# Lecture 02: CNN for texts, embeddings for different languages

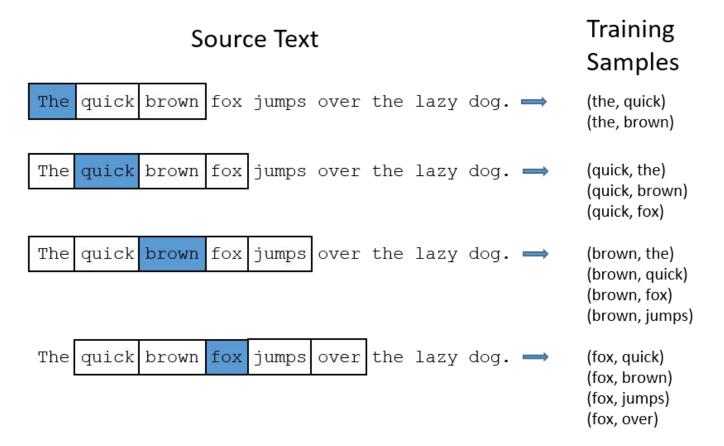
Radoslav Neychev

Fall 2020, Moscow, Russia

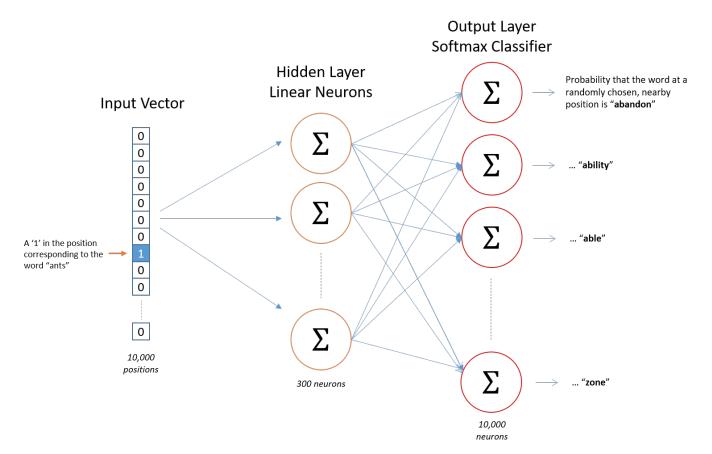
#### **Outline**

- Embeddings in the wild
  - Recap
  - Unsupervised translation
- RNNs recap:
  - Dealing with sequences
  - LSTM and GRU recap
  - Vanishing and exploding gradient recap
- CNNs for text processing

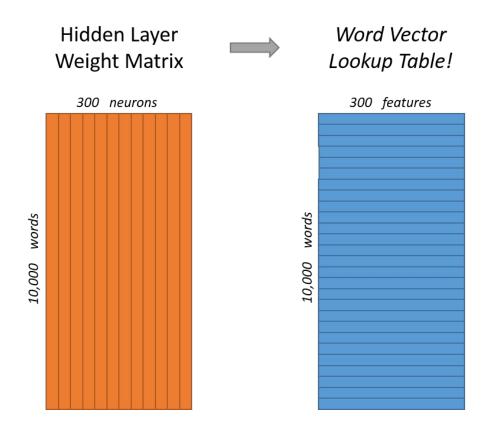
#### Embeddings: word2vec

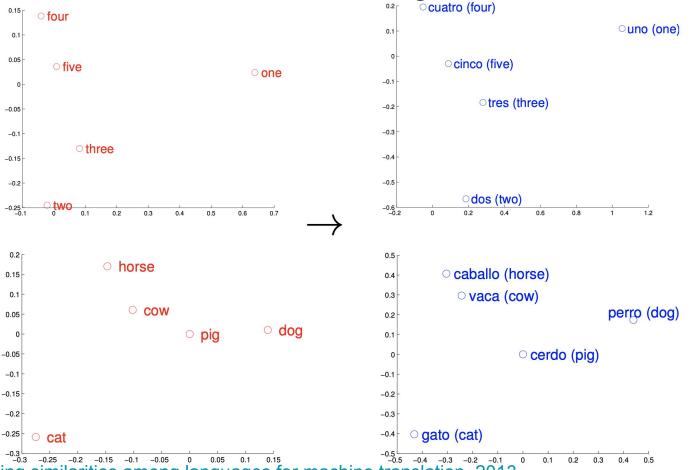


#### Embeddings: word2vec



#### Embeddings: word2vec





Source: Exploiting similarities among languages for machine translation, 2013

- Word embeddings are quite similar for different languages
- Assume there n = 5000 word-translation pairs  $\{x_i,y_i\}_{i\in\{1,n\}}$
- Learn linear mapping between the source and target spaces

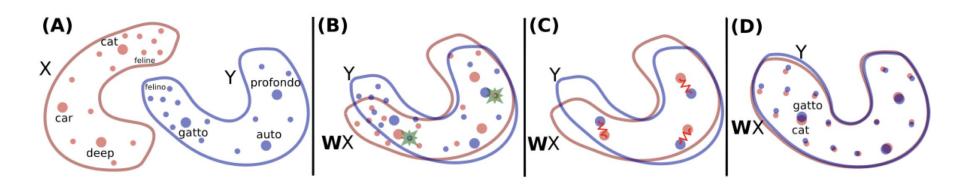
$$W^\star = \operatorname*{argmin}_{W \in M_d(\mathbb{R})} \|WX - Y\|_{\mathrm{F}}$$

• The translation of source word is  $t = \operatorname{argmax}_t \cos(Wx_s, y_t)$ .

- Word embeddings are quite similar for different languages
- Assume there n = 5000 word-translation pairs  $\{x_i,y_i\}_{i\in\{1,n\}}$
- Learn linear mapping between the source and target spaces
  enforcing an orthogonality constraint on W:

$$W^* = \underset{W \in O_d(\mathbb{R})}{\operatorname{argmin}} \|WX - Y\|_{\mathcal{F}} = UV^T, \text{ with } U\Sigma V^T = \text{SVD}(YX^T).$$

• The translation of source word is  $t = \operatorname{argmax}_t \cos(Wx_s, y_t)$ .



Comment: mapping between two languages can be done completely in unsupervised manner with GANs.

We will meet later.

More info available in the mentioned paper:

Source: Word translation without parallel data, ICLR 2018

## Why cosine distance/similarity?

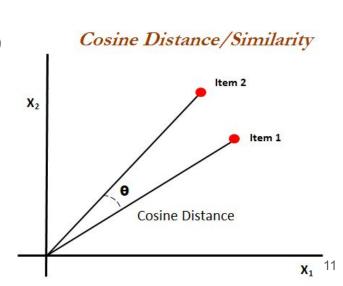
$$ext{similarity} = \cos( heta) = rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^{n} A_i B_i}{\sqrt{\sum\limits_{i=1}^{n} A_i^2} \sqrt{\sum\limits_{i=1}^{n} B_i^2}}$$

Cosine distance focuses on angle between the vectors.

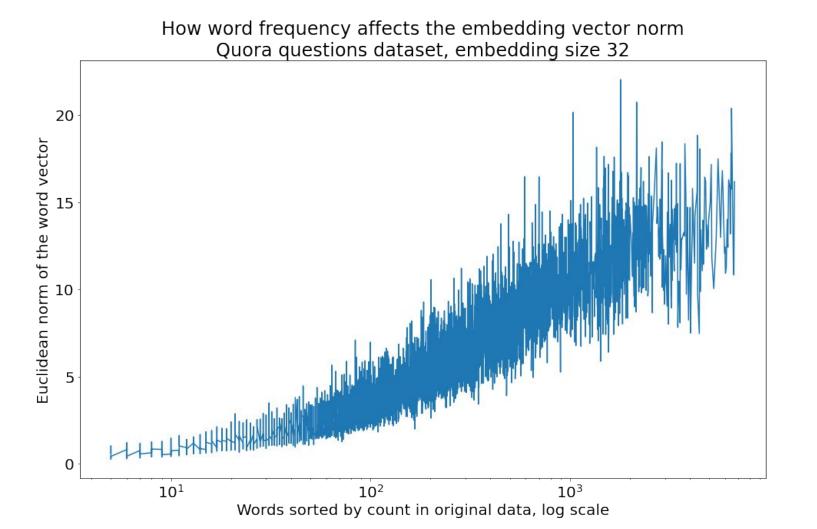
With count-based approaches (e.g. BOW)

it is really useful.

With word embeddings it is useful as well.



Source: <u>question</u>



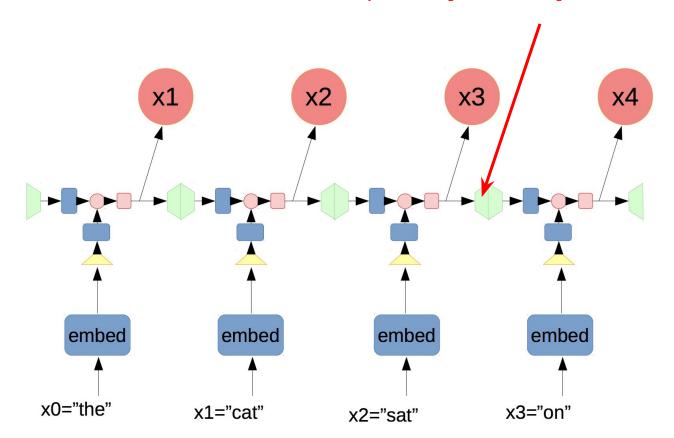
## Vector norms for words with no specific context

word	count	vector norm
overheat	11	0.81233
enormous	12	0.807057
dog	1212	11.2591
cat	1545	10.3738
laptop	1906	14.5192
phone	4124	15.7901
a	155726	11.4656
the	252068	8.47355

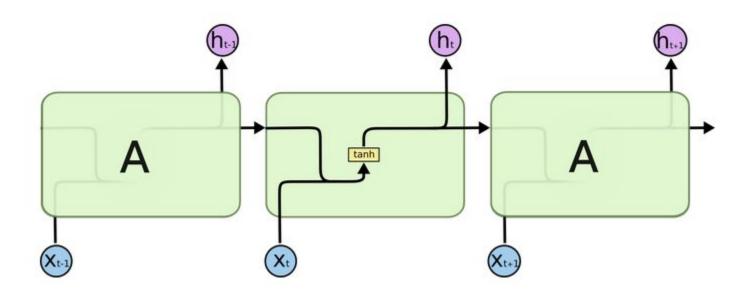
## How to deal with texts?

#### Recap: RNN

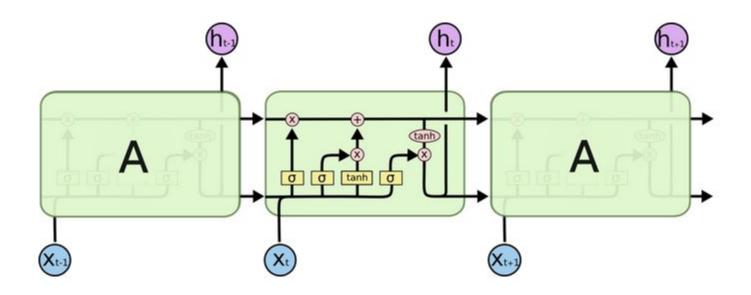
# Here is the embedding for phrase [x0, x1, x2]

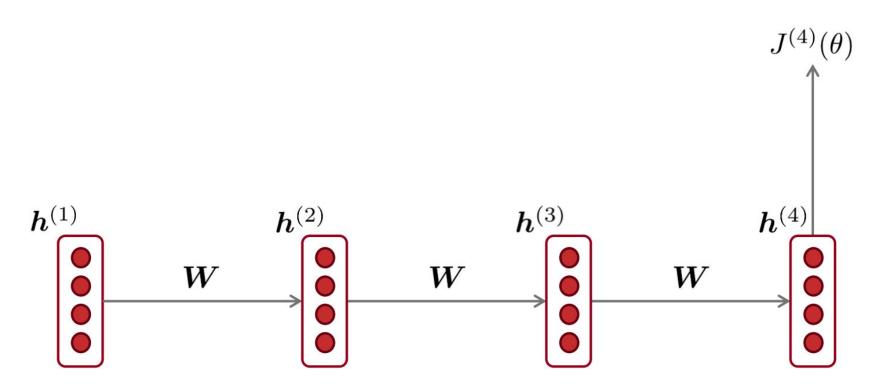


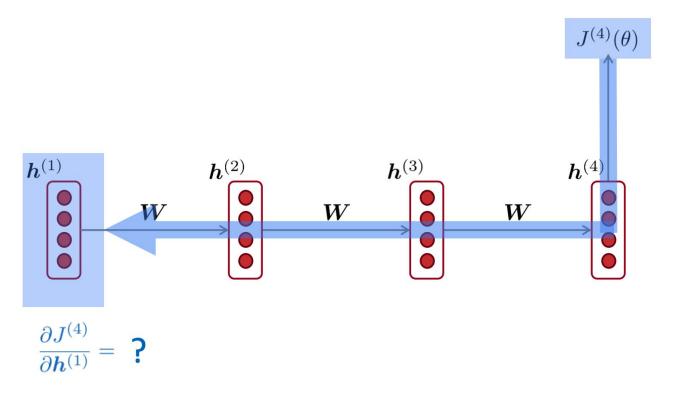
## Recap: Vanilla RNN

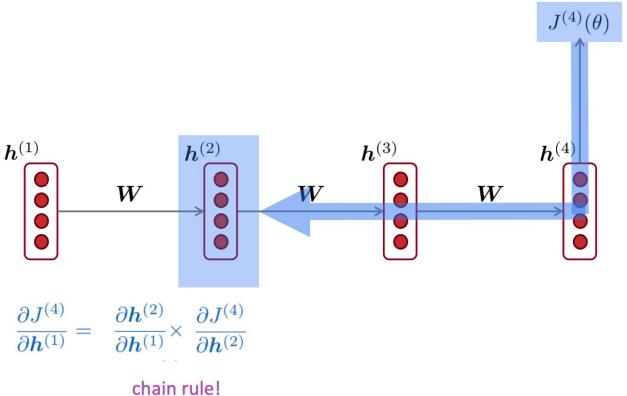


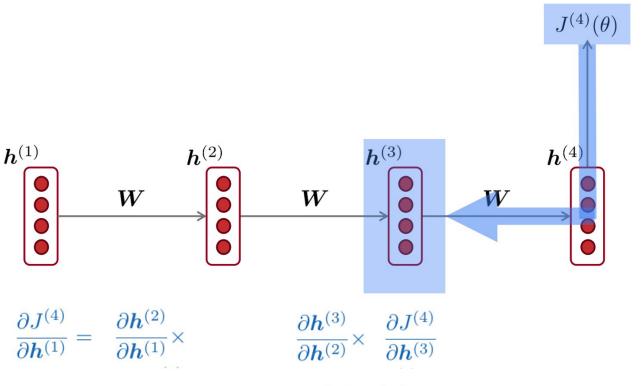
## Recap: LSTM



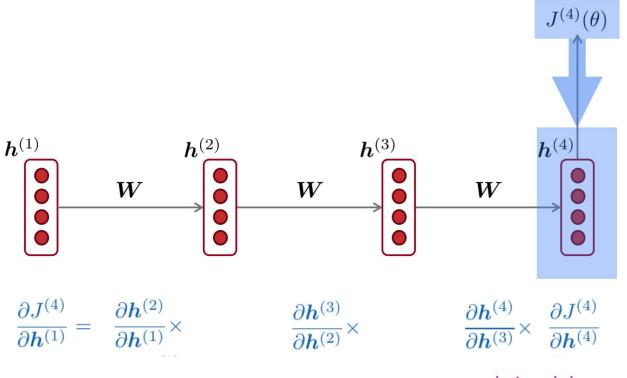








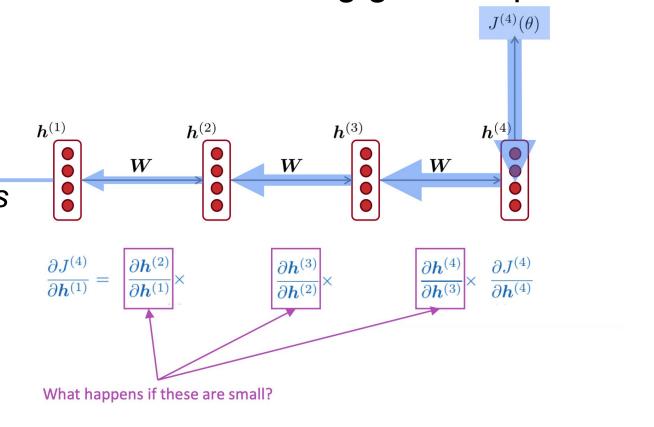
chain rule!



chain rule!

Vanishing gradient problem:

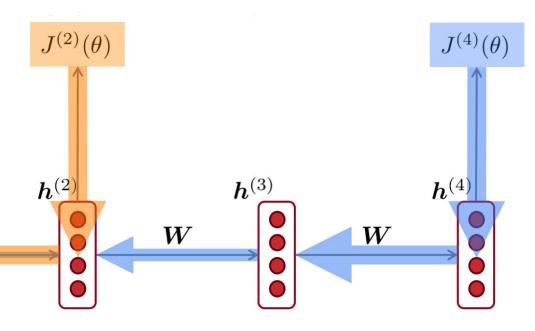
When the derivatives are small, the gradient signal gets smaller and smaller as it propagates further



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Gradient signal from far away is lost because it's much smaller than from close-by



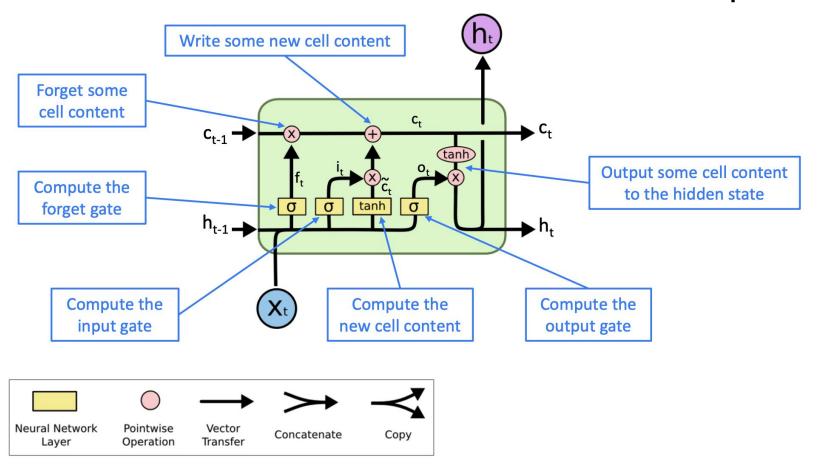


So model weights updates will be based only on short-term effects

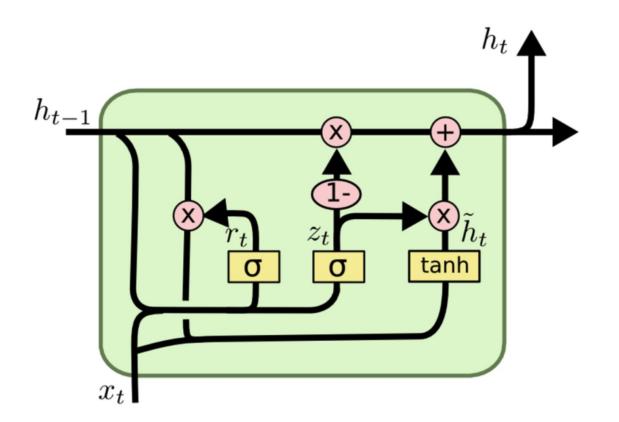
 $h^{(1)}$ 

W

#### Recap: LSTM



## Recap: GRU



#### Vanishing gradient: LSTM vs GRU

- LSTM and GRU are both great
  - GRU is quicker to compute and has fewer parameters than LSTM
  - There is no conclusive evidence that one consistently performs better than the other
  - LSTM is a good default choice (especially if your data has particularly long dependencies, or you have lots of training data)

Rule of thumb: start with LSTM, but switch to GRU if you want something more efficient

#### Vanishing gradient in non-RNN

#### Vanishing gradient is present in all deep neural networks

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- Potential solution:

direct (or skip-) connections (just like in ResNet)

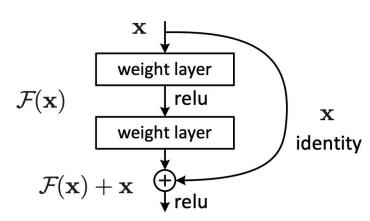
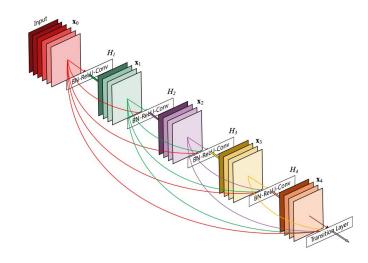


Figure 2. Residual learning: a building block.

#### Vanishing gradient in non-RNN

#### Vanishing gradient is present in **all** deep neural networks

- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower
- Potential solution: dense connections (just like in DenseNet)



#### Vanishing gradient in non-RNN

Vanishing gradient is present in all deep neural networks

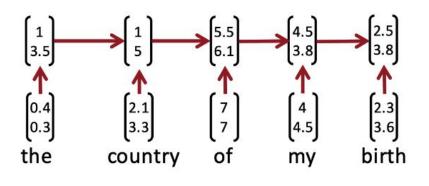
- Due to chain rule / choice of nonlinearity function, gradient can become vanishingly small during backpropagation
- Lower levels are hard to train and are trained slower

#### **Conclusion:**

Though vanishing/exploding gradients are a general problem, RNNs are particularly unstable due to the repeated multiplication by the same weight matrix [Bengio et al, 1994]

## Applying CNNs to texts

#### From RNN to CNN



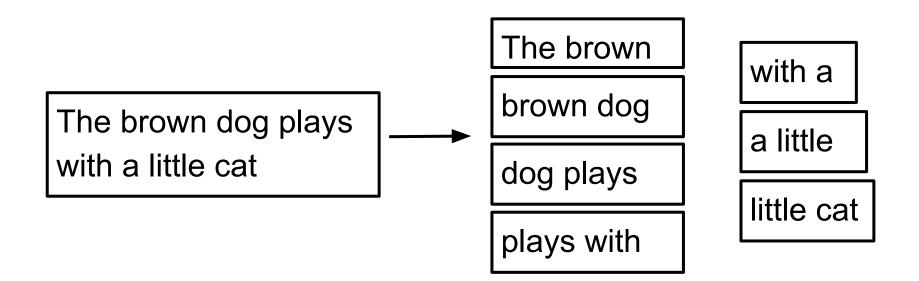
Recurrent neural nets
 can not capture phrases
 without prefix context and
 often capture too much of
 last words in final vector

#### From RNN to CNN

RNN: Get compositional vectors for grammatical phrases only

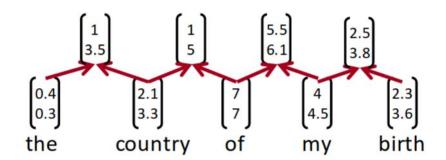
- CNN: What if we compute vectors for every possible phrase?
  - Example: "the country of my birth" computes vectors for:
    - the country, country of, of my, my birth, the country of, country of my, of my birth, the country of my, country of my birth
- Regardless of whether it is grammatical
- Wouldn't need parser
- Not very linguistically or cognitively plausible

#### Recap: n-gramms



#### From RNN to CNN

Imagine using only bigrams



 Same operation as in RNN, but for every pair

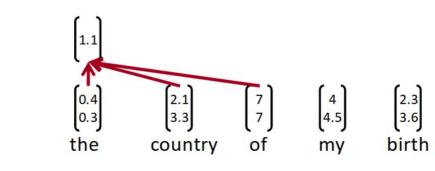
$$p = \tanh\left(W \left[ \begin{array}{c} c_1 \\ c_2 \end{array} \right] + b\right)$$

Can be interpreted as convolution over the word vectors

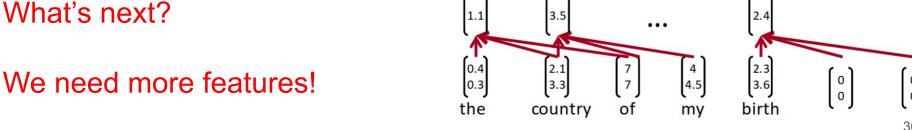
## One layer CNN

- Simple convolution + pooling
- Window size may be different (2 or more)
- The feature map based on bigrams:

$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$



 $c_i = f(\mathbf{w}^T \mathbf{x}_{i:i+h-1} + b)$ 



## One layer CNN

Feature representation is based on some applied filter:

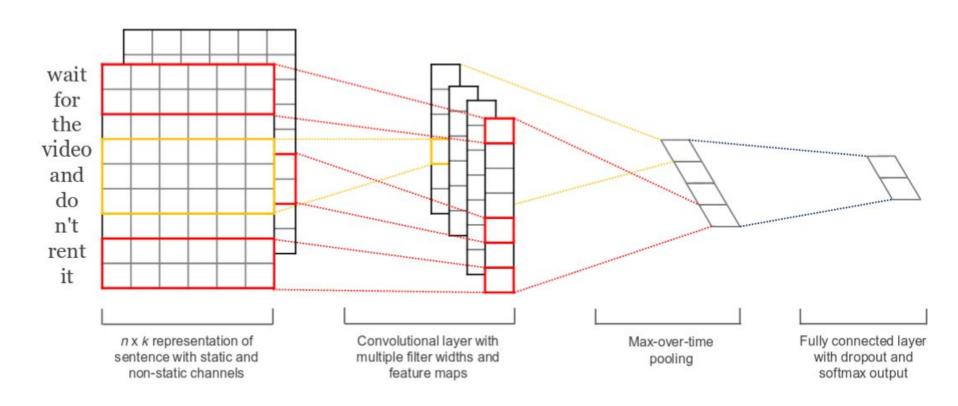
$$\mathbf{c} = [c_1, c_2, \dots, c_{n-h+1}] \in \mathbb{R}^{n-h+1}$$

Let's use pooling:

$$\hat{c} = \max\{\mathbf{c}\}\$$

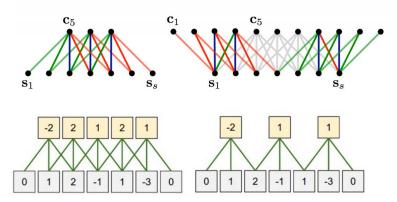
- Now the length of c is irrelevant!
- So we can use filters based on unigrams, bigrams, tri-grams,
  4-grams, etc.

## Another example from Kim (2014) paper

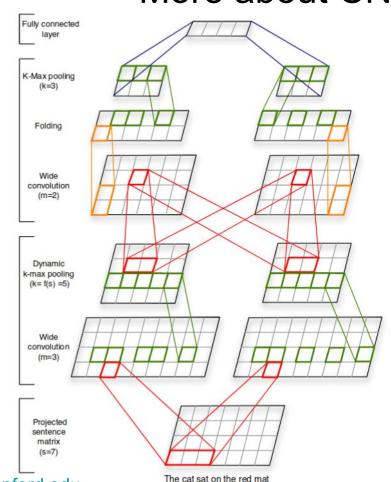


#### More about CNN

 Narrow vs wide convolution (stride and zero-padding)



- Complex pooling schemes over sequences
- Great readings (e.g. Kalchbrenner et. al. 2014)



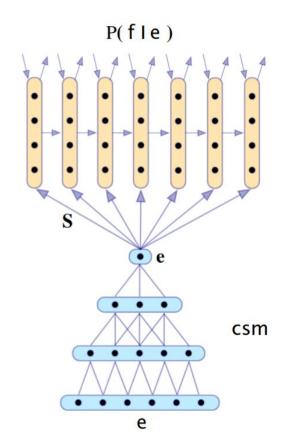
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#### Neural machine translation: CNN as encoder, RNN as decoder

- One of the first neural machine translation efforts
- Paper: <u>Recurrent Continuous</u>

  <u>Translation Models, Kalchbrenner and Blunsom, 2013</u>

## **CNN** applications



## Approaches comparison

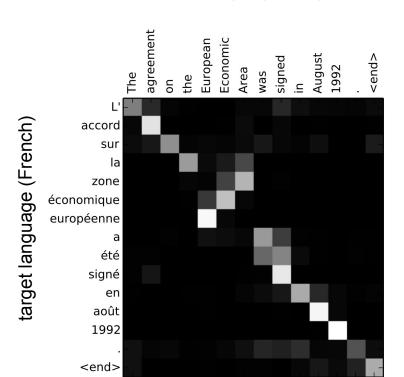
Model	MR	SST-1	SST-2	Subj	TREC	CR	MPQA
CNN-rand	76.1	45.0	82.7	89.6	91.2	79.8	83.4
CNN-static	81.0	45.5	86.8	93.0	92.8	84.7	89.6
CNN-non-static	81.5	48.0	87.2	93.4	93.6	84.3	89.5
CNN-multichannel	81.1	47.4	88.1	93.2	92.2	85.0	89.4
RAE (Socher et al., 2011)	77.7	43.2	82.4	-	-	_	86.4
MV-RNN (Socher et al., 2012)	79.0	44.4	82.9	_	_	_	_
RNTN (Socher et al., 2013)	1-	45.7	85.4	_	_	_	_
DCNN (Kalchbrenner et al., 2014)	_	48.5	86.8	_	93.0	_	_
Paragraph-Vec (Le and Mikolov, 2014)	_	48.7	87.8	_	_	_	_
CCAE (Hermann and Blunsom, 2013)	77.8	_		_	_	_	87.2
Sent-Parser (Dong et al., 2014)	79.5	_	_	_	_	_	86.3
NBSVM (Wang and Manning, 2012)	79.4	_	_	93.2	_	81.8	86.3
MNB (Wang and Manning, 2012)	79.0	_		93.6	_	80.0	86.3
G-Dropout (Wang and Manning, 2013)	79.0	_	_	93.4	_	82.1	86.1
F-Dropout (Wang and Manning, 2013)	79.1	_	_	93.6	_	81.9	86.3
Tree-CRF (Nakagawa et al., 2010)	77.3	_	-	_	_	81.4	86.1
CRF-PR (Yang and Cardie, 2014)	_	_	_	_	_	82.7	_
SVM <sub>S</sub> (Silva et al., 2011)	_	_	_	_	95.0	_	_

### Outro and Tips

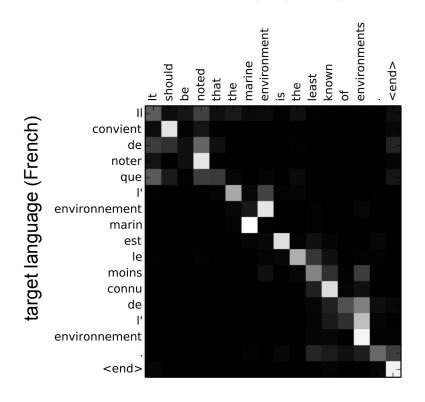
- Vanishing gradient is present not only in RNNs
  - Use some kind of memory or skip-connections
- LSTM and GRU are both great
  - GRU is quicker, LSTM catch more complex dependencies
- Clip your gradients
- Using CNNs for texts is similar to n-gramm trick
- CNNs are more effective in case of massive computations
- Combining RNN and CNN worlds? Why not

#### Attention outro





#### source language (English)



Problems?

Word2vec embeddings capture only **local** context