

Financial news for LPLA dated on 2020-06-09: Morgan Stanley Upgrades LPL Finl Hldgs to Overweight, Announces \$100 Price Target

Financial news for LPLA dated on 2020-06-05: Shares of several financial services companies are trading higher following strong US jobs data and continued optimism amid the reopening of the economy.

Financial news for LPLA dated on 2020-05-20: Credit Suisse Maintains Outperform on LPL Finl Hldgs, Raises Price Target to \$74

Financial news for LPLA dated on 2020-05-18: Wells Fargo Downgrades Wealth Management Stocks

Financial news for LPLA dated on 2020-05-18: Benzinga's Top Upgrades, Downgrades For May 18, 2020

Financial news for LPLA dated on 2020-05-18: LPL Financial shares are trading higher after Wells Fargo upgraded the stock from Equal-Weight to Overweight and announced a price target of \$70 per share.

Financial news for LPLA dated on 2020-05-18: Wells Fargo Upgrades LPL Finl Hldgs to Overweight, Announces \$70 Price Target

Financial news for LPLA dated on 2020-05-06: Cramer Gives His Opinion On Tandem Diabetes, JPMorgan And More

Financial news for LPLA dated on 2020-05-05: Morgan Stanley Maintains Equal-Weight on LPL Finl Hldgs, Raises Price Target to \$62

Financial news for LPLA dated on 2020-05-01: Credit Suisse Maintains Outperform on LPL Finl Hldgs, Raises Price Target to \$68

Financial news for LPLA dated on 2020-04-30: LPL Financial Holdings Q1 EPS \$1.920 Beats \$1.700 Estimate, Sales \$1.463B Beat \$1.440B Estimate

Financial news for LPLA dated on 2020-04-09: Cramer Weighs In On Uber, Yelp And More

Financial news for LPLA dated on 2020-04-06: Wells Fargo Maintains Equal-Weight on LPL Finl Hldgs, Lowers Price Target to \$54

Financial news for LPLA dated on 2020-04-03: Credit Suisse Maintains Outperform on LPL Finl Hldgs, Lowers Price Target to \$59

Financial news for LPLA dated on 2020-04-01: Morgan Stanley Maintains Equal-Weight on LPL Finl Hldgs, Lowers Price Target to \$61

Financial news for LPLA dated on 2020-03-25: Citigroup Upgrades LPL Finl Hldgs to Buy, Lowers Price Target to \$65

Financial news for LPLA dated on 2020-03-13: Morgan Stanley Maintains Equal-Weight on LPL Finl Hldgs, Lowers Price Target to \$67

Financial news for LPLA dated on 2020-03-12: Stocks That Hit 52-Week Lows On Thursday

Financial news for LPLA dated on 2020-03-06: Stocks That Hit 52-Week Lows On Friday

Financial news for LPLA dated on 2020-03-04: Citigroup Earlier Downgraded LPL Finl Hldgs to Neutral

Financial news for LPLA dated on 2020-02-10: Morgan Stanley Maintains Equal-Weight on LPL Financial Holdings, Lowers Price Target to \$97

Financial news for LPLA dated on 2020-01-31: Credit Suisse Maintains Outperform on LPL Financial Holdings, Raises Price Target to \$121

Financial news for LPLA dated on 2020-01-31: Wells Fargo Maintains Equal-Weight on LPL Financial Holdings, Lowers Price Target to \$98

Financial news for LPLA dated on 2020-01-31: Citigroup Maintains Buy on LPL Financial Holdings, Lowers Price Target to \$110

Financial news for LPLA dated on 2020-01-30: LPL Financial Holdings Q4 EPS \$1.53 Misses \$1.6 Estimate, Sales \$1.448B Beat \$1.44B Estimate

Financial news for LPLA dated on 2020-01-30: Earnings Scheduled For January 30, 2020

Financial news for LPLA dated on 2020-01-17: Stocks That Hit 52-Week Highs On Friday

Financial news for LPLA dated on 2020-01-09: Stocks That Hit 52-Week Highs On

Thursday

Financial news for LPLA dated on 2020-01-09: Wells Fargo Maintains Equal-Weight on LPL Financial Holdings, Raises Price Target to \$100

Financial news for LPLA dated on 2019-12-18: Stocks That Hit 52-Week Highs On Wednesday

Financial news for LPLA dated on 2019-12-12: Stocks That Hit 52-Week Highs On Thursday

Financial news for LPLA dated on 2019-12-06: Stocks That Hit 52-Week Highs On Friday

Financial news for LPLA dated on 2019-12-05: LPL Financial Launches No-Transaction-Fee, Exchange-Traded Fund Network

Financial news for LPLA dated on 2019-12-02: Stocks That Hit 52-Week Highs On Monday

Financial news for LPLA dated on 2019-11-29: Stocks That Hit 52-Week Highs On Friday

Financial news for LPLA dated on 2019-11-27: Stocks That Hit 52-Week Highs On Wednesday

Financial news for LPLA dated on 2019-11-26: Stocks That Hit 52-Week Highs On Tuesday

Financial news for LPLA dated on 2019-11-21: Stocks That Hit 52-Week Highs On Thursday

Financial news for LPLA dated on 2019-11-18: Morgan Stanley Maintains Equal-Weight on LPL Financial Holdings, Raises Price Target to \$91

Financial news for LPLA dated on 2019-11-15: Stocks That Hit 52-Week Highs On Friday

Financial news for LPLA dated on 2019-11-06: Citigroup Maintains Buy on LPL Financial Holdings, Raises Price Target to \$105

Financial news for LPLA dated on 2019-10-31: Shares of several financial service companies are trading lower in sympathy with weakness in the broader market.

Financial news for LPLA dated on 2019-10-25: Credit Suisse Maintains Outperform on LPL Financial Holdings, Raises Price Target to \$98

Financial news for LPLA dated on 2019-10-24: LPL Financial Holdings Q3 EPS \$1.57 Misses \$1.61 Estimate, Sales \$1.416B Miss \$1.42B Estimate

Financial news for LPLA dated on 2019-10-14: Morgan Stanley Maintains Equal-Weight on LPL Financial Holdings, Lowers Price Target to \$84

Financial news for LPLA dated on 2019-10-02: Shares of several financial companies are trading lower in sympathy with the overall market on continued fears of a recession amid a weak ADP jobs report. There is also uncertainty in the market over the upcoming U.S.-China meeting next week.

Financial news for LPLA dated on 2019-10-01: Shares of several financial service companies are trading lower after worse-than-expected PMI results.

Financial news for LPLA dated on 2019-10-01: Credit Suisse Maintains Outperform on LPL Financial Holdings, Lowers Price Target to \$98

Financial news for LPLA dated on 2019-09-19: Citigroup Maintains Buy on LPL Financial Holdings, Lowers Price Target to \$96

Financial news for LPLA dated on 2019-09-17: Benzinga's Top Upgrades, Downgrades For September 17, 2019

Financial news for LPLA dated on 2019-09-17: Jefferies Initiates Coverage On LPL Financial Holdings with Buy Rating, Announces \$96 Price Target

Financial news for LPLA dated on 2019-08-12: Shares of several financial companies are trading lower in sympathy with the overall market as Treasury yields continue to fall, raising concerns about the overall economy.

Financial news for LPLA dated on 2019-08-02: Shares of several banks and financial companies are trading lower amid renewed US-China trade tensions, which have intensified concerns of a global economic slowdown.

Financial news for LPLA dated on 2019-07-25: LPL Financial Holdings Q2 Adj. EPS \$1.85 Beats \$1.73 Estimate

Financial news for LPLA dated on 2019-07-25: Earnings Scheduled For July 25, 2019

Financial news for LPLA dated on 2019-07-23: Stocks that Broke 52-Week Highs Tuesday

Financial news for LPLA dated on 2019-07-09: Citigroup Maintains Buy on LPL Financial Holdings, Lowers Price Target to \$100

Financial news for LPLA dated on 2019-05-22: LPL Financial Increases The Amount Of Its Forecast Technology Spend, Allocating An Additional \$15 Million To The \$135 Million Already Planned For 2019

Financial news for LPLA dated on 2019-05-21: LPL Financial Reports Purchase Of Allen & Company, No Terms Disclosed

Financial news for LPLA dated on 2019-05-08: Benzinga's Top Upgrades, Downgrades For May 8, 2019

Financial news for LPLA dated on 2019-05-08: Compass Point Downgrades LPL Financial Holdings Inc. - Common Stock to Neutral

Financial news for LPLA dated on 2019-05-06: 80 Biggest Movers From Friday

Financial news for LPLA dated on 2019-05-03: LPL Financial shares are trading higher after the company reported better-than-expected Q1 EPS and sales; the company also announced a \$0.25 per share dividend.

Financial news for LPLA dated on 2019-05-02: LPL Financial Holdings Q1 Adj. EPS \$1.93 Beats \$1.59 Estimate, Sales \$1.372B Beat \$1.35B Estimate

Financial news for LPLA dated on 2019-03-22: Shares of several U.S. bank stocks are trading lower after the yield curve inverted; the 3-month curve topped the 10-year curve for the first time since 2007.

Financial news for LPLA dated on 2019-03-19: A New Look For The Barron's 400 ETF

Financial news for LPLA dated on 2019-03-11: Compass Point Initiates Coverage On LPL Financial Holdings with Buy Rating, Announces \$82 Price Target

Financial news for LPLA dated on 2019-02-01: LPL Financial Holdings shares are trading higher after the company reported mixed Q4 earnings, beating EPS estimates but missing revenue estimates.

Financial news for LPLA dated on 2019-01-31: LPL Financial Holdings Q4 EPS \$1.49 Beats \$1.37 Estimate, Sales \$1.317B Miss \$1.36B Estimate

Financial news for LPLA dated on 2018-12-04: LPL Financials Sees FY19 Core G&A \$845M-\$870M

Financial news for LPLA dated on 2018-12-03: LPL Financial Reports Purchase Of AdvisoryWorld For \$28M

Financial news for LPLA dated on 2018-11-06: Stocks That Will Trade Ex Dividend For Wed., Nov. 7, 2018

Financial news for LPLA dated on 2018-10-26: Credit Suisse Maintains Outperform on LPL Financial Holdings, Lowers Price Target to \$88

Financial news for LPLA dated on 2018-10-25: LPL Financial Holdings Q3 Adj. EPS \$1.32 Beats \$1.25 Estimate, Sales \$1.331B Beat \$1.3B Estimate

Financial news for LPLA dated on 2018-10-25: Earnings Scheduled For October 25, 2018

Financial news for LPLA dated on 2018-08-08: Stocks Trading Ex Dividend For Wed., Aug. 8, 2018

Financial news for LPLA dated on 2018-07-26: LPL Financial Holdings Q2 Adj. EPS \$1.42 Beats \$1.20 Estimate, Sales \$1.299B Beat \$1.28B Estimate

Financial news for LPLA dated on 2018-07-26: Earnings Scheduled For July 26, 2018

Financial news for LPLA dated on 2018-07-12: Morgan Stanley Maintains Equal-Weight on LPL Financial Holdings, Lowers Price Target to \$70

Financial news for LPLA dated on 2018-06-21: LPL Financial Reports Total Brokerage And Advisory Assets Were Up 1.1% MoM At \$659.5B

Financial news for LPLA dated on 2018-06-13: LPL Financial Shares Tick Higher Premarket As Massachusetts Secretary Of Commonwealth William Galvin Has Announced Office Has Reached Settlement With Co.

Financial news for LPLA dated on 2018-05-16: Goldman Sachs Downgrades LPL Financial Holdings to Neutral

Financial news for LPLA dated on 2018-05-03: LPL Financial Holdings Q1 EPS \$1.30 Beats \$0.71 Estimate, Sales \$1.242B Beat \$1.17B Estimate

Financial news for LPLA dated on 2018-05-03: LPL Financial To Resume Trading At 4:35pm ET

Financial news for LPLA dated on 2018-05-03: LP Financial Option Alert: Jul 20 \$65 Calls at the Ask: 1007 @ \$2.0 vs 71 OI; Earnings 5/3 After Close Ref=\$59.43

Financial news for LPLA dated on 2018-05-03: Earnings Scheduled For May 3, 2018

Financial news for LPLA dated on 2018-04-10: Analysts Break Down The Best Discount Brokers Heading Into Q1 Earnings

Financial news for LPLA dated on 2018-04-10: Bernstein Initiates Coverage On LPL Financial Holdings with Outperform Rating, Announces \$75.00 Price Target

Financial news for LPLA dated on 2018-02-20: LPL Financial Reports Jan. AUM \$636B Up 3.5% Sequentially

Financial news for LPLA dated on 2018-02-07: Morgan Stanley Maintains Equal-Weight on LPL Financial Holdings, Raises Price Target to \$66.00

Financial news for LPLA dated on 2018-02-02: Nomura Maintains Buy on LPL Financial Holdings, Raises Price Target to \$84.00

Financial news for LPLA dated on 2018-02-01: LPL Financial Reports Q4 EPS \$0.76

Financial news for LPLA dated on 2018-01-09: 25 Stocks Moving In Tuesday's Pre-Market Session

Financial news for LPLA dated on 2018-01-03: Morgan Stanley Upgrades LPL Financial Holdings to Equal-Weight

Financial news for LPLA dated on 2017-12-03: LPL Financial Vs. Raymond James: A Financials Pair Trade

Financial news for LPLA dated on 2017-12-01: Credit Suisse Initiates Coverage On LPL Financial Holdings with Outperform Rating, Announces \$68.00 Price Target

Financial news for LPLA dated on 2017-11-16: LPL Financial Reports Oct. 2017 Total Brokerage And Advisory Assets Were Up 1.1% MoM

Financial news for LPLA dated on 2017-11-08: LPL Financial Holdings Holding Analyst/Investor Day Today

Financial news for LPLA dated on 2017-11-06: The Week Ahead: Earnings Season Continues, Several IPOs, Notable Conferences On The Docket

Financial news for LPLA dated on 2017-10-26: LPL Financial Q3 Adj. EPS \$0.66 vs \$0.58 Est., Sales \$1.06B vs \$1.08B Est.

Financial news for LPLA dated on 2017-09-20: LPL Financial Reports Aug. Total Brokerage, Advisory Assets Served ~\$551B, Up 0.3% MoM

Financial news for LPLA dated on 2017-08-15: LPL Financial Reports Deal To Buy National Planning Holdings For \$325M

Financial news for LPLA dated on 2017-07-27: LPL Financial Reports Q2 EPS \$0.74 vs \$0.66 Est.

Financial news for LPLA dated on 2017-04-28: 20 Biggest Mid-Day Gainers For Friday

Financial news for LPLA dated on 2017-04-28: Benzinga's Top Upgrades, Downgrades For April 28, 2017

Financial news for LPLA dated on 2017-04-28: Nomura Upgrades LPL Financial Holdings to Buy

Financial news for LPLA dated on 2017-04-28: Citigroup Upgrades LPL Financial Holdings to Buy

Financial news for LPLA dated on 2017-04-27: LPL Financial Q1 Adj EPS \$0.66 vs \$0.37 Est, Sales \$1.04B vs \$1.01B Est

Financial news for LPLA dated on 2017-04-27: LPL Financial Shares Halted News Pending

Financial news for LPLA dated on 2017-04-11: LPL Financial Reports Filing of Definitive Proxy Materials, Names William Glavin as New Independent Director

Financial news for LPLA dated on 2017-02-16: LPL Financial Reports Total Brokerage and Advisory Assets of \$516.7B In January, Up 1.4% MoM

Financial news for LPLA dated on 2017-02-09: LPL Financial Reports Q4 EPS \$0.46 vs. Est. \$0.36, Rev. \$1.007B vs. Est. \$1.01B

Financial news for LPLA dated on 2017-02-07: Jim Cramer Gives His Opinion About American Outdoor Brands And LPL Financial Holdings

Financial news for LPLA dated on 2017-01-10: Goldman Sachs Upgrades LPL Financial Holdings to Neutral

Financial news for LPLA dated on 2016-12-16: 17 Financial Twitter Names To Follow For 2017

Financial news for LPLA dated on 2016-12-15: LPL Financial Reports Nov. Total Brokerage And Advisory Assets Of \$501B, Up 1.3% vs. End of November

Financial news for LPLA dated on 2016-12-08: CORRECTION: LPL Financial Has Decided to Stay Independent

Financial news for LPLA dated on 2016-12-08: LPL Financial Has Decided to Stay Independent

Financial news for LPLA dated on 2016-12-08: LPL Financial Shares Volatile After Volume Spike

Financial news for LPLA dated on 2016-12-06: LPL Financial Shares Down 6+% On Above Average Volume; Co Announced Monday Morning The Departure Of CEO Mark Casady Effective Jan 3, 2017, Stock Closed Down 3.7% Monday

Financial news for LPLA dated on 2016-12-06: 18 Stocks Moving In Tuesday's Pre-Market Session

Financial news for LPLA dated on 2016-11-17: LPL Financial Reports Monthly Activity for October 2016, Total Brokerage and Advisory Assets Served Were Down 1.5% At ~\$495B

Financial news for LPLA dated on 2016-11-10: UBS Upgrades LPL Financial Holdings Inc. - Common Stock to Neutral, Raises to \$35.00

Financial news for LPLA dated on 2016-11-02: LPL Financial Reports Q3 EPS \$0.58 vs. Est. \$0.38

Financial news for LPLA dated on 2016-11-02: The Market In 5 Minutes: Alibaba, Brocade And A Game 6

Financial news for LPLA dated on 2016-11-01: Benzinga's M&A Chatter for Tuesday November 1, 2016

Financial news for LPLA dated on 2016-11-01: Hearing Deal Reporter Says LPL Financial in Talks with PE Firms

Financial news for LPLA dated on 2016-11-01: LPL Financial Spikes to High of \$31.92 on Volume

Financial news for LPLA dated on 2016-11-01: Watch These 5 Huge Put Purchases In Tuesday Trade

Financial news for LPLA dated on 2016-10-31: Option Alert: LPLA Dec16 31.0 Puts: 3491 @ ASK \$2.45: 4000 traded vs 0 OI: Earnings 11/2 After Close \$31.00 Ref

Financial news for LPLA dated on 2016-10-24: LPL Financial Shares Spiked Sharply Higher to Session High of \$31.31 as Hearing Traders Discussed Unconfirmed Takeover Interest; Stock Quickly Pared Gains But Remain ~1% Higher for Session

Financial news for LPLA dated on 2016-10-20: 29 Years Later, The Market Looks Nothing Like It Did October 19, 1987

Financial news for LPLA dated on 2016-10-12: 12 Biggest Mid-Day Losers For Wednesday

Financial news for LPLA dated on 2016-10-12: Benzinga's Top Downgrades

Financial news for LPLA dated on 2016-10-12: Keep an Eye on These 5 Stocks for October 12, 2016

Financial news for LPLA dated on 2016-10-12: JP Morgan Downgrades LPL Financial Holdings Inc. to Neutral

Financial news for LPLA dated on 2016-10-11: LPL Financial Surges On Possible Sale Talks

Financial news for LPLA dated on 2016-10-11: LPL Financial Shares to Resume Trade Around 3:26:15 p.m. EDT

Financial news for LPLA dated on 2016-10-11: UPDATE: LPL Financial Shares Turn Positive, Now Halted Up ~5.2% on Circuit Breaker

Financial news for LPLA dated on 2016-10-11: Hearing LPL Financial Working with Goldman to Explore Sale

Financial news for LPLA dated on 2016-10-11: LPL Financial Halted On Circuit Breaker

Financial news for LPLA dated on 2016-09-26: Morgan Stanley On Brokers: Ratings Span Entire Spectrum

Financial news for LPLA dated on 2016-09-26: Morgan Stanley Initiates Coverage on LPL Financial Holdings at Underweight, Announces \$27.00 PT

Financial news for LPLA dated on 2016-09-13: LPL Financial Reports Total Brokerage And Advisory Assets At End Of August Were \$502B A Up 0.4% MoM

Financial news for LPLA dated on 2016-08-23: LPL Financial Spikes to High of \$29.07 on Volume

Financial news for LPLA dated on 2016-08-22: Benzinga's Top Downgrades

Financial news for LPLA dated on 2016-08-22: Credit Suisse Downgrades LPL Financial Holdings to Neutral, Maintains \$28.00 PT

Financial news for LPLA dated on 2016-07-28: LPL Financial Reports Q2 EPS \$0.53 Vs Est \$0.44, Sales \$1.019B Vs Est \$1.0B

Financial news for LPLA dated on 2016-07-13: UPDATE: LPL Financial Research Issues Midyear Outlook 2016

Financial news for LPLA dated on 2016-07-13: LPL Financial Holdings Publishes Midyear Outlook 2016

Financial news for LPLA dated on 2016-06-03: Mid-Day Market Update: First Marblehead Surges Following Acquisition News; Immunomedics Shares Drop

Financial news for LPLA dated on 2016-05-19: Here's All Of Today's After-Hours Earnings Results

Financial news for LPLA dated on 2016-05-19: LPL Financial Reports Apr. Total Brokerage, Advisory Assets Up 0.7% MoM, Total Cash Sweep Balances Down 3% MoM

Financial news for LPLA dated on 2016-04-28: LPL Financial Holdings Reports Q1 EPS \$0.56 vs. Est. \$0.44, Rev. \$1B vs. Est. \$1.03B

Financial news for LPLA dated on 2016-04-06: LPL Financial Media Statement on Release of Department of Labor Fiduciary Rule, Company Is pleased With Positive Rule Changes

Financial news for LPLA dated on 2016-04-06: 3 Options Trades Goldman Is Watching With Catalysts Coming

Financial news for LPLA dated on 2016-04-06: Goldman Offers 3 Options Trades For Upcoming Catalyst In AMG, JNJ, LPLA

Financial news for LPLA dated on 2016-04-05: Goldman Cuts LPL Financial To Sell, Warns Q1 Could Miss Expectations

Financial news for LPLA dated on 2016-04-05: Benzinga's Top Downgrades

Financial news for LPLA dated on 2016-04-05: Goldman Sachs Downgrades LPL Financial Holdings to Sell, Raises PT to \$21.00, Recommends Buying May \$24 Puts

Financial news for LPLA dated on 2016-03-29: LPL Financial Files Definitive Proxy Materials, Announces Nomination of Marco Hellman As Independent Director

Financial news for LPLA dated on 2016-03-23: SunTrust Favors TD Ameritrade, Ameriprise In Retail Broker Sector

Financial news for LPLA dated on 2016-03-23: SunTrust Robinson Humphrey Initiates Coverage on LPL Financial Holdings at Neutral, Announces \$23.00 PT

Financial news for LPLA dated on 2016-03-17: LPL Financial Reports Monthly Activity for February 2016

Financial news for LPLA dated on 2016-02-17: Benzinga's Top Upgrades

Financial news for LPLA dated on 2016-02-17: Citigroup Upgrades LPL Financial, Says Street Overly Pessimistic, Expects Shares To Trade Range Bound

Financial news for LPLA dated on 2016-02-17: Citigroup Upgrades LPL Financial Holdings to Neutral

Financial news for LPLA dated on 2016-02-16: Benzinga's Volume Movers

Financial news for LPLA dated on 2016-02-16: Benzinga's Top Upgrades

Financial news for LPLA dated on 2016-02-16: Credit Suisse Upgrades LPL Financial Holdings to Outperform, Lowers PT to \$16.50

Financial news for LPLA dated on 2016-02-12: Mid-Afternoon Market Update: Moneygram Gains On Earnings Beat; Pandora Shares Slide

Financial news for LPLA dated on 2016-02-12: Mid-Day Market Update: Crude Oil Jumps 11%; Nu Skin Shares Tumble Following Weak Results

Financial news for LPLA dated on 2016-02-12: Mid-Morning Market Update: Markets Open Higher; Groupon Tops Q4 Expectations

Financial news for LPLA dated on 2016-02-12: Credit Suisse Maintains Neutral on LPL Financial Holdings, Lowers PT to \$28.00

Financial news for LPLA dated on 2016-02-12: Wells Fargo Downgrades LPL Financial Holdings to Market Perform

Financial news for LPLA dated on 2016-02-11: LPL Financial Reports Q4 EPS \$0.37 vs. Est. \$0.51, Rev. 1.02B vs. Est. \$1.04B

Financial news for LPLA dated on 2016-02-11: Earnings Scheduled For February 11, 2016

Financial news for LPLA dated on 2016-02-05: Susquehanna Upgrades LPL Financial Holdings to Neutral

Financial news for LPLA dated on 2016-01-25: LPL Financial Names Tracy Calder Chief Risk Officer

Financial news for LPLA dated on 2016-01-12: Citigroup Downgrades LPL Financial Holdings to Sell

Financial news for LPLA dated on 2015-11-24: Amended 13D Filing from Marcato Capital on LPL Financial Shows 6.4% Stake

Financial news for LPLA dated on 2015-11-24: Marcato Capital Management Reports 6.4% Stake In Lpl Financial Holdings as of November 20, 2015

Financial news for LPLA dated on 2015-10-29: LPL Financial Q3 EPS \$0.55 vs \$0.54 est, Announces \$500M Buyback

Financial news for LPLA dated on 2015-10-02: Benzinga's Top Downgrades

Financial news for LPLA dated on 2015-10-02: UBS Downgrades T Rowe Price and LPL Financial; Upgrades Blackrock and Northern Trust

Financial news for LPLA dated on 2015-09-28: LPL Financial Elects Viet Dinh to Board of Directors

Financial news for LPLA dated on 2015-09-22: Marcato Capital Management Reports 6.3% Stake In LPL Financial Through 13D SEC Filing

Financial news for LPLA dated on 2015-09-22: LPL Financial Spikes Higher

Financial news for LPLA dated on 2015-08-20: LPL Financial Names Mark Casady as Chair, CEO

Financial news for LPLA dated on 2015-08-16: eVestment Wants To Change The Way Retail Traders Invest

Financial news for LPLA dated on 2015-07-15: Benzinga's Top Downgrades

Financial news for LPLA dated on 2015-07-15: Susquehanna Downgrades LPL Financial Holdings to Neutral

Financial news for LPLA dated on 2015-06-01: LPL Financial Names Matthew Audette CFO

Financial news for LPLA dated on 2015-05-06: FINRA Sanctions LPL Financial Unit \$11.7M for Widespread Supervisory Failures -Reuters

Financial news for LPLA dated on 2015-04-30: LPL Financial Q1 EPS \$0.64 vs \$0.62 est, Revenue \$1.11B vs \$1.13B est

Financial news for LPLA dated on 2015-04-14: Labor Department Unveils Proposal To Require Brokers And Insurance Agents Providing Retirement Advice To Put Clients' Interest Before Their Own

Financial news for LPLA dated on 2015-04-14: Northstar Asset Management, Ameriprise, RCS Capital, Primerica, LPL Financial Holdings All Spike Higher on News of Consumer Protection Proposal

Financial news for LPLA dated on 2015-03-03: LPL Financial Names Dan Arnold President

Financial news for LPLA dated on 2015-02-19: LPL Q4 EPS \$0.66 vs \$0.61, Revenue \$1.1B vs \$1.1B

Financial news for LPLA dated on 2015-02-18: Stephen Mandel's Lone Pine Capital Loves Tech, Consumer Stocks

Financial news for LPLA dated on 2015-01-05: Wells Fargo Upgrades LPL Financial Holdings to Outperform

Financial news for LPLA dated on 2014-11-18: JP Morgan Reports Municipal Bond Deal with LPL Financial

Financial news for LPLA dated on 2014-11-14: The Saga Shaking The REIT World

Financial news for LPLA dated on 2014-11-04: LPL Financial Holdings Suspends Sale Of Investment Products Indefinitely

Financial news for LPLA dated on 2014-10-22: Benzinga's Top Initiations

Financial news for LPLA dated on 2014-10-22: Keefe Bruyette & Woods Initiates LPL Financial With Outperform

Financial news for LPLA dated on 2014-10-22: Nomura Downgrades LPL Financial Holdings Inc. to Neutral, Lowers PT to \$45.00

Financial news for LPLA dated on 2014-10-22: Keefe Bruyette & Woods Initiates Coverage on LPL Financial Holdings Inc. at Outperform, Announces \$43.00 PT

Financial news for LPLA dated on 2014-10-21: LPL Financial Holdings Inc. Sees Q3 EPS \$0.47-0.49 vs \$0.59 Est

Financial news for LPLA dated on 2014-10-07: 8-K from LPL Financial Shows Board Authorized Buyback Plan for Added \$150M in Shares

Financial news for LPLA dated on 2014-07-31: Citigroup Downgrades LPL Financial Holdings Inc. to Neutral

Financial news for LPLA dated on 2014-07-30: LPL Financial Holdings Reports Q2 Adjusted EPS of \$0.61, Inline; Revenue of \$1.09B vs \$1.09B Est

Financial news for LPLA dated on 2014-04-23: LPL Financial Holdings Inc. Reports Q1 EPS of \$0.69 vs \$0.72 Est; Revenue of \$1.09B vs \$1.11B Est

Financial news for LPLA dated on 2014-02-13: LPL Financial Announces \$100M Share Repurchase From TPG and Separate \$104M Direct Offering by TPG and Other Selling Stockholders

Financial news for LPLA dated on 2014-02-11: LPL Financial Holdings Reports Q4 Adjusted EPS of \$0.63 vs \$0.58 Est; Revenue of \$1.09B vs \$1.07B Est

Financial news for LPLA dated on 2014-02-10: Nomura Maintains Buy on LPL Financial Holdings Inc., Raises PT to \$62.00

Financial news for LPLA dated on 2014-01-15: UPDATE: Credit Suisse Initiates Coverage on LPL Financial

Financial news for LPLA dated on 2014-01-15: Credit Suisse Initiates Coverage on LPL



Financial Holdings Inc. at Neutral, Announces \$51.00 PT

Financial news for LPLA dated on 2014-01-13: UPDATE: JP Morgan Upgrades LPL Financial Holdings on Positive Trends in Asset Management

Financial news for LPLA dated on 2014-01-13: Benzinga's Top Upgrades

Financial news for LPLA dated on 2014-01-13: JP Morgan Upgrades LPL Financial Holdings Inc. to Overweight, Raises PT to \$60.00

Financial news for LPLA dated on 2014-01-10: Top 4 NASDAQ Stocks In The Investment Brokerage-National Industry With The Highest Dividend Yield

Financial news for LPLA dated on 2014-01-09: Nomura Initiates Coverage on LPL Financial Holdings Inc. at Buy, Announces \$59.00 PT

Financial news for LPLA dated on 2014-01-02: S&P Capital IQ Downgrades LPL Financial Holdings Inc. to Hold

Financial news for LPLA dated on 2013-12-13: UPDATE: Keefe, Bruyette & Woods Upgrades LPL Financial on 2014 Outlook

Financial news for LPLA dated on 2013-12-13: Keefe Bruyette & Woods Upgrades LPL Financial Holdings Inc. to Outperform

Financial news for LPLA dated on 2013-11-13: UPDATE: Citigroup Reiterates on LPL Financial Holdings Following Investor Day

Financial news for LPLA dated on 2013-11-13: Citigroup Maintains Buy on LPL Financial Holdings Inc., Raises PT to \$52.00

Financial news for LPLA dated on 2013-11-11: Susquehanna Upgrades LPL Financial Holdings Inc. to Neutral

Financial news for LPLA dated on 2013-11-11: UBS Upgrades LPL Financial Holdings Inc. to Neutral

Financial news for LPLA dated on 2013-10-30: LPL Financial Holdings Reports Q3 Adjusted EPS of \$0.56 vs \$0.52 Est; Revenue of \$1.05B vs \$1.02B Est

Financial news for LPLA dated on 2013-10-09: JMP Securities Initiates Coverage on LPL Financial Holdings Inc. at Market Perform

Financial news for LPLA dated on 2013-08-14: LPLA Says Hellman & Friedman to Distribute 12.6M LPLA Shares to Partners

Financial news for LPLA dated on 2013-08-14: LPL Financial Announces 12.6 Million Share Common Stock Distribution by Original Private Equity Sponsors

Financial news for LPLA dated on 2013-08-01: Citigroup Upgrades LPL Financial Holdings Inc. to Buy, Raises PT to \$45.00

Financial news for LPLA dated on 2013-07-31: LPL Financial Holdings Inc. Reports Q2 Adjusted EPS of \$0.61 vs \$0.60 Est; Revenue of \$1.02B vs \$999.14M Est

Financial news for LPLA dated on 2013-06-19: LPL Financial Quickly Reaches \$50 Billion Milestone for RIA Custody Business

Financial news for LPLA dated on 2013-06-07: LPL Financial Names Michelle Oroschakoff Chief Risk Officer

Financial news for LPLA dated on 2013-05-29: Stocks to Watch for May 29, 2013

Financial news for LPLA dated on 2013-05-28: LPL Financial Holdings Announces \$282M Authorized for Share Repurchases

Financial news for LPLA dated on 2013-05-28: LPL Financial to Buyback Added \$200M Shares

Financial news for LPLA dated on 2013-05-01: LPL Financial Rumored to Be Looking for \$1.08B Loan for Refinancing

Financial news for LPLA dated on 2013-04-25: LPL Financial Holdings Inc. Reports Q1 EPS of \$0.64 vs \$0.55 Est; Revenue of \$974.80M vs \$979.91M Est

Financial news for LPLA dated on 2013-02-08: From Earlier: Susquehanna Downgrades LPL Financial Holdings Inc. to Negative, Maintains PT to \$25.00

Financial news for LPLA dated on 2012-12-12: LPL Financial Charged with Improper

## Sales of Non-Traded Reits

Financial news for LPLA dated on 2012-11-13: LPL Financial Holdings Trading Halted Circuit Breaker, Down 9%

Financial news for LPLA dated on 2012-10-31: LPL Investment Holdings Reports Q3 EPS \$0.47 vs \$0.44 Est; Revenues \$907.2M vs \$899.60M Est

Financial news for LPLA dated on 2012-10-12: JP Morgan Maintains LPL Investment Holdings at Neutral, Raises PT from \$29 to \$32

Financial news for LPLA dated on 2012-09-14: UBS Downgrades LPL Investment Holdings from Neutral to Sell, Lowers PT from \$28 to \$25

Financial news for LPLA dated on 2012-08-01: UBS Maintains LPL Investment Holdings at Neutral, Lowers PT from \$35 to \$28

Financial news for LPLA dated on 2012-08-01: UPDATE: Citigroup Lowers PT on LPL Financial Holdings from \$33 to \$30

Financial news for LPLA dated on 2012-08-01: Citigroup Maintains LPL Investment Holdings at Neutral, Lowers PT from \$33 to \$30

Financial news for LPLA dated on 2012-07-31: LPL Investment Holdings Reports Q2 EPS \$0.49 vs \$0.56 Est; Revenues \$907.8M vs \$913.56M Est

Financial news for LPLA dated on 2012-06-05: LPL Financial Names Dan Arnold Chief Financial Officer

Financial news for LPLA dated on 2012-05-29: LPL Investment Holdings Announces Additional \$75M Share Repurchase Program

Financial news for LPLA dated on 2012-05-01: UPDATE: Citi Lowers LPL Investment Holdings' PT

Financial news for LPLA dated on 2012-05-01: Citigroup Maintains LPL Investment Holdings at Neutral, Lowers PT from \$35 to \$34

Financial news for LPLA dated on 2012-04-18: LPL Financial Announces Realignment of Executive Responsibilities

Financial news for LPLA dated on 2012-04-06: Cracker Barrel and Other Stocks Insiders Are Buying

Financial news for LPLA dated on 2012-04-02: LPL Investment Holdings Completes Refinancing of Senior Credit Facilities and Declares Special Dividend of \$2

Financial news for LPLA dated on 2012-04-02: UPDATE: Morgan Stanley Downgrades LPL Investment Holdings

Financial news for LPLA dated on 2012-04-02: Benzinga's Top Downgrades

Financial news for LPLA dated on 2012-04-02: Morgan Stanley Downgrades LPL Investment Holdings from Overweight to Equal-weight, Maintains PT at \$39

Financial news for LPLA dated on 2012-03-20: UBS Maintains LPL Investment Holdings at Neutral, Raises PT from \$36 to \$38

Financial news for LPLA dated on 2012-03-06: LPL Investment Holdings Announces Proposed Refinancing of Senior Secured Credit Facilities

Financial news for LPLA dated on 2012-02-21: Bank of America Maintains LPL Investment Holdings at Neutral, Raises PO from \$30 to \$34

Financial news for LPLA dated on 2012-02-08: UPDATE: UBS Investment Research Raises Target on LPL Investment to \$36

Financial news for LPLA dated on 2012-02-08: UBS Maintains LPL Investment Holdings from Neutral to , Raises PT from \$30 to \$36

Financial news for LPLA dated on 2012-02-08: UBS Maintains LPL Investment Holdings from Neutral to , Raises PT from \$30 to \$36

Financial news for LPLA dated on 2012-02-08: Morgan Stanley Maintains LPL Investment Holdings at Overweight, Raises PT from \$39 to \$37

Financial news for LPLA dated on 2012-02-07: LPL Investment Holdings Reports Q4 EPS \$0.44 May Not Compare vs \$0.46 Est; Revenues \$828.7M vs \$875.17M Est

Financial news for LPLA dated on 2012-01-13: Charles Schwab Earnings Preview: Marginally Better Q4 Results Expected

Financial news for LPLA dated on 2012-01-03: LPL Financial to Acquire Fortigent; Terms Not Disclosed

Financial news for LPLA dated on 2011-12-30: LPL Financial Executives Adopt New 10b5-1 Plans

Financial news for LPLA dated on 2011-11-22: Citigroup Reiterates Neutral, \$28 Target on LPL Investments

Financial news for LPLA dated on 2011-10-26: LPL Investment Holdings Reports Q3 EPS \$0.46 vs \$0.45 Est; Revenues \$882.9M vs \$896.26M Est

Financial news for LPLA dated on 2011-10-21: Ameriprise Financial Earnings Preview: Analysts Expect Rising Revenue, Falling EPS

Financial news for LPLA dated on 2011-10-04: LPL Financial Announces Executive Appointments to Strategic Planning and Institution Services Positions

Financial news for LPLA dated on 2011-07-28: KBW Reports On LPLA

Financial news for LPLA dated on 2011-07-27: LPL Investment Reports Q2 EPS of \$0.52 vs. \$0.50 Est; Revenues \$894.0M vs. \$894.9M Est

Financial news for LPLA dated on 2011-07-22: Ameriprise Financial Earnings Preview: Analysts Expect Rising Revenue from Prior Quarter

Financial news for LPLA dated on 2011-07-07: KBW Updates on Broker Dealers 7/7

Financial news for LPLA dated on 2011-06-10: Morgan Stanley Reiterates Overweight on LPL Investment Holdings

Financial news for LPLA dated on 2011-05-25: LPL Investment Holdings Announces \$80 Million Share Repurchase Program

Financial news for LPLA dated on 2011-04-29: J.P. Morgan Comments On LPL Investment Holdings 1Q11 Results

Financial news for LPLA dated on 2011-04-27: Benzinga's Volume Movers (SVVS, LPLA, RLOC, SHOR)

Financial news for LPLA dated on 2011-04-26: Morgan Stanley Reiterates Overweight on LPL Investment Holdings (LPLA)

Financial news for LPLA dated on 2011-04-25: LPL Investment Holdings Reports EPS of \$0.52 vs. \$0.48 Estimate; Revenues \$873.9M vs. \$856.7M Estimate

Financial news for LPLA dated on 2011-04-25: LPL Investment Holdings Announces Secondary Offering by Certain Selling Stockholders, 6.21M Shares (LPLA)

Financial news for LPLA dated on 2011-04-20: LPL Financial Announces Intent to Acquire Concord Capital Partners (LPLA)

Financial news for LPLA dated on 2011-04-19: J.P. Morgan Raises 2011 EPS Estimate on LPL Investment Holdings (LPLA)

Financial news for LPLA dated on 2011-03-15: UPDATE: Citi Color On LPLA Price Increase

Financial news for LPLA dated on 2011-03-15: J.P. Morgan Neutral On LPL Investment Holdings Inc. (LPLA)

Financial news for LPLA dated on 2011-03-15: Citigroup Raises PT On LPL Investment Holdings To \$33.50

Financial news for LPLA dated on 2011-02-08: Goldman Sachs Comments On LPL Investment Holdings Following Earnings

Financial news for LPLA dated on 2011-02-08: Citi Pessimistic About LPL Investment Holdings (LPLA)

Financial news for LPLA dated on 2011-02-07: LPL Financial Reports Q4 EPS of \$.42 vs. \$.40

Financial news for LPLA dated on 2011-01-06: Financial Advisors Fall Short on Social Media

Financial news for LPLA dated on 2011-01-03: Citi Initiates Coverage On LPL Investment Holdings (LPLA)

Financial news for LPLA dated on 2011-01-03: Citigroup Initiates LPL Investment Holdings At Sell

Financial news for LPLA dated on 2010-12-30: Goldman Opens LPLA With Buy

Financial news for LPLA dated on 2010-12-28: Morgan Stanley Initiates LPLA Coverage With An OW Rating, \$43 Target

Financial news for LPLA dated on 2010-12-28: Company News for December 28, 2010 - Corporate Summary

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Financial news for LPLA dated on 2010-12-28: Morgan Stanley Opens LPLA With Overweight

Financial news for LPLA dated on 2010-11-23: LPL Investment Holdings Inc. Announces Closing of IPO