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# A Study on Feature Analysis for Musical-Instrument Classification

Jeremiah D. Deng, *Member, IEEE*, Christian Simmermacher, and Stephen Cranefield

**Abstract**—In tackling data-mining and pattern-recognition tasks, finding a compact but effective set of features has often been found to be a crucial step in the overall problem-solving process. In this paper, we present an empirical study on feature analysis for classical-instrument recognition, using machine-learning techniques to select and evaluate features extracted from a number of different feature schemes. It is revealed that there is significant redundancy between and within feature schemes commonly used in practice. Our results suggest that further feature-analysis research is necessary in order to optimize feature selection and achieve better results for the instrument-recognition problem.

**Index Terms**—Feature extraction, feature selection, music, pattern classification.

## I. INTRODUCTION

MUSIC DATA analysis and retrieval has become a very popular research field in recent years. The advance of signal-processing and data-mining techniques has led to intensive study on content-based music retrieval [1], [2], music-genre classification [3], [4], duet analysis [2], and, most frequently, on musical-instrument detection and classification (e.g., [5]–[8]).

Instrument-detection techniques can have many potential applications. For instance, detecting and analyzing solo passages can lead to more knowledge about the different musical styles and can be further utilized to provide a basis for lectures in musicology. Various applications for audio editing and audio and video retrieval or transcription can be supported. An overview of audio-information retrieval has been presented by Foote [9] and extended by various authors [2], [10]. Other applications include playlist generation [11], acoustic-environment classification [12], [13], and using audio-feature extraction to support video-scene analysis and annotation [14].

One of the most crucial aspects of instrument classification is to find the right feature-extraction scheme. During the last few decades, research on audio signal processing has focused on speech recognition, but few features can be directly applied to solve the instrument-classification problem.

New methods are being investigated for achieving semantic interpretation of low-level features extracted by audio-signal-

processing methods. For example, a framework of low- and high-level features given in the MPEG-7 multimedia description standard [15] can be used to create application-specific description schemes. These can be used to annotate music with a minimum of human supervision for the purpose of music retrieval.

In this paper, we present a study on feature extraction and selection for instrument classification using machine-learning techniques. Features were first selected by ranking and other schemes. Data sets of reduced features were then generated, and their performance in instrument classification was further tested with a few classifiers using cross-validations. Three feature schemes were considered: features based on human perception, cepstral features, and the MPEG-7 audio descriptors. The performance of the feature schemes was assessed first individually and then in combination with each other. We also used dimension-reduction techniques to gain insight on the right dimensionality for feature selection. Our aim was to find the differences and synergies between the different feature schemes and test them with various classifiers, so that a robust classification system could be built. Features extracted from different feature schemes were ranked and selected, and a number of classification algorithms were employed and managed to achieve good accuracies in three groups of experiments: instrument-family classification, individual-instrument classification, and classification of solo passages.

Following this introduction, Section II reviews the recent relevant work on musical-instrument recognition and audio-feature analysis. Section III outlines the approach that we adopted in tackling the problem of instrument classification, including feature-extraction schemes, feature-selection methods, and classification algorithms used. Experiment settings and results based on the proposed approach are then presented in Section IV. We summarize the findings and conclude the paper in Section V.

## II. RELATED WORK

Various feature schemes have been proposed and adopted in the literature of instrument-sound analysis. On top of the adopted feature schemes, different computational models or classification algorithms have been employed for the purposes of instrument detection and classification.

Mel-frequency cepstral coefficients (MFCC) features are commonly employed not only in speech processing but also in music-genre and instrument classifications. Marques and Moreno [5] built a classifier that can distinguish between eight instruments with 70% accuracy using the support vector

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89 machines (SVM). Eronen [6] assessed the performance of  
90 MFCC, spectral, and temporal features such as amplitude en-  
91 velope and spectral centroids for instrument classification. The  
92 Karhunen–Loeve transform was conducted to decorrelate the  
93 features, and  $k$ -nearest neighbor ( $k$ -NN) classifiers were used,  
94 with their performance assessed through cross-validation. The  
95 results favored the MFCC features, and violin and guitar were  
96 among the most poorly recognized instruments.

97 The MPEG-7 audio framework targets the standardization of  
98 the extraction and description of audio features [15], [16]. The  
99 sound description of MPEG-7 audio features was assessed by  
100 Peeters *et al.* [17] based on their perceived timbral similarity.  
101 It was concluded that combinations of the MPEG-7 descriptors  
102 could be reliably applied in assessing the similarity of musical  
103 sounds. Xiong *et al.* [12] compared the MFCC and MPEG-7  
104 audio features for the purpose of sports-audio classification,  
105 adopting the hidden Markov models (HMMs) and a number of  
106 classifiers such as  $k$ -NN, Gaussian mixture models, AdaBoost,  
107 and SVM. Kim *et al.* [10] examined the use of HMM-  
108 based classifiers trained on MPEG-7-based audio descriptors in  
109 audio-classification problems such as speaker recognition and  
110 sound classification.

111 Brown *et al.* [18] conducted a study on identifying four in-  
112 struments of the woodwind family. Features used were cepstral  
113 coefficients, constant- $Q$  transform, spectral centroid, and auto-  
114 correlation coefficients. For classification, a scheme using the  
115 Bayes decision rules was adopted. The recognition rates based  
116 on the feature sets varied from 79% to 84%. Agostini *et al.* [7]  
117 extracted spectral features for timbre classification, and the per-  
118 formance was assessed over SVM,  $k$ -NN, canonical discrimi-  
119 nant analysis, and quadratic discriminant analysis, with the first  
120 and the last being the best. Compared with the average 55.7%  
121 correct tone-classification rate achieved by some conservatory  
122 students, it was argued that computer-based timbre recognition  
123 can exceed human performance at least for isolated tones.

124 Kostek [2] studied the classification of 12 instruments played  
125 under different articulations. She used multilayer neural net-  
126 works trained on wavelet-transform features and MPEG-7 de-  
127 scriptors. It was found that a combination of these two feature  
128 schemes can significantly improve the classification accuracy  
129 to a range of 55%–98%, with an average of about 70%. Mis-  
130 classifications occurred mainly within each instrument fam-  
131 ily (woodwinds, brass, and strings). A more recent study by  
132 Kaminskyj *et al.* [19] dealt with isolated monophonic  
133 instrument-sound recognition using  $k$ -NN classifiers. Features  
134 used included MFCC, constant- $Q$ -transform spectrum fre-  
135 quency, root-mean-square (rms) amplitude envelope, spectral  
136 centroid, and multidimension-scaling (MDS) analysis trajecto-  
137 ries. These features underwent principal component analysis  
138 (PCA) for reduction to a total dimensionality of 710. The  
139  $k$ -NN classifiers were then trained under different hierarchical  
140 schemes. A leave-one-out strategy was used, yielding an accu-  
141 racy of 93% in instrument recognition and 97% in instrument-  
142 family recognition.

143 Some progress has been made in musical-instrument iden-  
144 tification for polyphonic recordings. Eggink and Brown [20]  
145 presented a study on the recognition of five instruments (flute,  
146 oboe, violin, and cello) in accompanied sonatas and concertos.

Gaussian-mixture-model classifiers were employed on features  
reduced by PCA. The classification performance on a variety of  
data resources ranged from 75% to 94%, whereas misclassifi-  
cation occurred mostly for flute and oboe (with both classified  
as violin). Essid *et al.* [8] processed and analyzed solo musical  
phrases from ten instruments. Each instrument was represented  
by 15 min of audio material from various CD recordings. Spec-  
tral features, audio-spectrum flatness, MFCC, and derivatives  
of MFCC were used as features. An SVM classifier yielded an  
average accuracy of 76% with 35 features. Livshin and Rodet  
[21] evaluated the use of monophonic phrases for instrument  
detection in continuous recordings of solo and duet perfor-  
mances. The study made use of a database with 108 different  
solos from seven instruments. A set of 62 features (temporal,  
energy, spectral, harmonic, and perceptual) was proposed and  
subsequently reduced by feature selection. The best 20 features  
were used for real-time performance. A leave-one-out cross-  
validation using a  $k$ -NN classifier gave an accuracy of 85%  
for 20 features and 88% for 62 features. Benetos *et al.* [22]  
adopted the branch-and-bound search to extract a six-feature  
subset from a set of MFCC, MPEG-7, and other audio spectral  
features. A nonnegative matrix factorization algorithm was  
used to develop the classifiers, gaining an accuracy of 95.2%  
for six instruments.

With the emergence of many audio-feature schemes, feature  
analysis and selection has been gaining more attention recently.  
A good introduction on feature selection was given in the  
work of Guyon and Elisseeff [23], outlining the methods of  
correlation modeling, selection criteria, and the general ap-  
proaches of using filters and wrappers. Yu and Liu [24] dis-  
cussed some generic methods such as information gain (IG) and  
symmetric uncertainty (SU), where an approximation method  
for correlation and redundancy analysis was proposed based  
on using SU as the correlation measure. Grimaldi *et al.* [25]  
evaluated selection strategies such as IG and gain ratio (GR) for  
music-genre classification. Livshin and Rodet [21] used linear  
discriminant analysis to repeatedly find and remove the least  
significant feature until a subset of 20 features was obtained  
from the original 62 feature types. The reduced feature set gave  
an average classification rate of 85.2%, which is very close to  
that of the complete set.

Benchmarking is still an open issue that remains unresolved.  
There are very limited resources available for benchmarking;  
therefore, direct comparison of these various approaches is  
hardly possible. Most studies have used recordings digitized  
from personal or institutional CD collections. The McGill Uni-  
versity Master Samples (<http://www.music.mcgill.ca/resources/mums/html/mums.html>) have been used in some studies [7],  
[19], [20], whereas the music samples from the UIOWA MIS  
Database (<http://theremin.music.uiowa.edu/>) were also widely  
used [18], [20], [22].

### III. FEATURE ANALYSIS AND VALIDATION

#### A. Instrument Categories

Traditionally, musical instruments are classified into four  
main categories or families: string, brass, woodwind, and per-  
cussion. For example, violin is a typical string instrument,

TABLE I  
FEATURE ABBREVIATIONS AND DESCRIPTIONS

#	Abbr.	Description	Scheme
1	ZC	Zero Crossings	Perception-based
2-3	ZCRM, ZCRD	Mean and standard deviation of ZC Ratios	
4-5	RMSM, RMSD	Mean and standard deviation of RMS	
6-7	CentroidM, CentroidD	Mean and standard deviation of Centroid	
8-9	BandwidthM, BandwidthD	Mean and standard deviation of Bandwidth	
10-11	FluxM, FluxD	Mean and standard deviation of Flux	MPEG-7
12	HC	Harmonic Centroid Descriptor	
13	HD	Harmonic Deviation Descriptor	
14	HS	Harmonic Spread Descriptor	
15	HV	Harmonic Variation Descriptor	
16	SC	Spectral Centroid Descriptor	
17	TC	Temporal Centroid Descriptor	
18	LAT	Log-Attack-Time Descriptor	
19-44	MFCCkM, MFCCkD	Mean and standard deviation of the first 13 linear MFCCs	MFCC

203 oboe and clarinet belong to the woodwind category, horn and  
204 trumpet are brass instruments, and piano is usually classified as  
205 a percussion instrument. Sounds produced by these instruments  
206 bear different acoustic attributes. A few characteristics can be  
207 obtained from their sound envelopes, including attack (the time  
208 from silence to amplitude peak), sustain (the time length in  
209 maintaining level amplitude), decay (the time the sound fades  
210 from sustain to silence), and release (the time of the decay from  
211 the moment the instrument stops playing). To achieve accurate  
212 classification of instruments, more complicated features need to  
213 be extracted.

#### 214 B. Feature Extraction for Instrument Classification

215 Because of the complexity of modeling instrument timbre,  
216 various feature schemes have been proposed through acoustic  
217 study and pattern-recognition research. Our main intentions  
218 are to investigate the performance of different feature schemes  
219 and find a good feature combination for a robust instrument  
220 classifier. Here, we consider three different extraction methods,  
221 namely, perception-based features, MPEG-7-based features,  
222 and MFCC. The first two feature sets consist of temporal  
223 and spectral features, whereas the last is based on spectral  
224 analysis. These features, 44 in total, are listed in Table I. Among  
225 them, the first 16 are perception-based features, the next 7 are  
226 MPEG-7 descriptors, and the last 26 are MFCC features.

227 1) *Perception-Based Features*: To extract perception-based  
228 features, music sound samples were segmented into 40-ms  
229 frames with 10-ms overlap. Each frame signal was analyzed  
230 by 40 bandpass filters centered at Bark-scale frequencies. The  
231 following are some important perceptual features used in this  
232 paper.

233 1) Zero-crossing rate (ZCR), an indicator for the noisiness  
234 of the signal, which is often used in speech-processing  
235 applications

$$\text{ZCR} = \frac{\sum_{n=1}^N |\text{sign}(F_n) - \text{sign}(F_{n-1})|}{2N} \quad (1)$$

236 where  $N$  is the number of digf samples in the frame, and  
237  $F_n$  is the value of the  $n$ th sample of a frame.

2) Root mean square (rms), which summarizes the energy 238  
distribution in each frame 239

$$\text{rms} = \sqrt{\frac{\sum_{n=1}^N F_n^2}{N}}. \quad (2)$$

3) Spectral centroid, which measures the average frequency 240  
weighted by the sum of spectrum amplitude within one 241  
frame 242

$$\text{Centroid} = \frac{\sum_{k=1}^K P(f_k) f_k}{\sum_{k=1}^K P(f_k)} \quad (3)$$

where  $f_k$  is the frequency in the  $k$ th channel,  $K = 40$  243  
is the number of channels, and  $P(f_k)$  is the spectrum 244  
amplitude on the  $k$ th channel. 245

4) Bandwidth (also referred to as the centroid width), which 246  
shows the frequency range of a signal weighted by its 247  
spectrum 248

$$\text{Bandwidth} = \frac{\sum_{k=1}^K |\text{Centroid} - f_k| P(f_k)}{\sum_{k=1}^K P(f_k)}. \quad (4)$$

5) Flux, representing the amount of local spectral change, 249  
which is calculated as the squared difference be- 250  
tween the normalized magnitudes of consecutive spectral 251  
distributions 252

$$\text{Flux} = \sum_{k=2}^K |P(f_k) - P(f_{k-1})|^2. \quad (5)$$

These features were extracted from multiple segments of a 253  
sample signal, and the mean value and standard deviation were 254  
used as the feature values for each sound sample. 255

2) *MPEG-7 Timbral Features*: Instruments usually have 256  
some unique properties that can be described by their harmonic 257  
spectra and their temporal and spectral envelopes. The MPEG-7 258  
audio framework [15] endeavors to provide a complete feature 259

set for the description of harmonic instrument sounds. We consider in this paper only two classes of timbral descriptors in the MPEG-7 framework: timbral spectral and timbral temporal. These include seven feature descriptors: harmonic centroid (HC), harmonic deviation (HD), harmonic spread (HS), harmonic variation (HV), spectral centroid (SC), log attack time (LAT), and temporal centroid (TC). The first five belong to the timbral spectral feature scheme, whereas the last two belong to the timbral temporal scheme. Note that the SC feature value was obtained from the spectral analysis of the entire sample signal; thus, it is similar to but different from the CentroidM of the perception-based features. CentroidM was aggregated from the centroid feature extracted from short segments within a sound sample.

3) *MFCC Features*: To obtain MFCC features, a signal needs to be transformed from frequency (hertz) scale to mel scale

$$\text{mel}(f) = 2595 \log_{10} \left( 1 + \frac{f}{700} \right). \quad (6)$$

The mel scale has 40 filter channels. The first extracted filterbank output is a measure of power of the signal, and the following 12 linearly spaced outputs represent the spectral envelope. The other 27 log-spaced channels account for the harmonics of the signal. Finally, a discrete cosine transform converts the filter outputs to give the MFCCs. The mean and standard deviation of the first 13 coefficients thus obtained were extracted for classification.

### C. Feature Selection

Feature-selection techniques are often necessary for optimizing the feature sets used in classification. This way, redundant features are removed from the classification process, and the dimensionality of the feature set is reduced to save computational cost and defy the “curse of dimensionality” that impedes the construction of good classifiers [23]. To assess the quality of a feature used for classification, a correlation-based approach is often adopted. In general, a feature is good if it is relevant to the class concept but is not redundant given the inclusion of other relevant features. The core issue is modeling the correlation between two variables or features. Based on information theory, a number of indicators can be developed to rank the features by their correlation to the class. Relevant features will yield a higher correlation.

Given a discretized feature set, the “noisiness” of the feature  $X$  can be measured as the entropy, which is defined as

$$H(X) = -\sum_i P(x_i) \log_2 P(x_i) \quad (7)$$

where  $P(x_i)$  is the prior probability for the  $i$ th discretized value of  $X$ . The entropy of  $X$  after observing another variable  $Y$  is then defined as

$$H(X|Y) = -\sum_j P(y_j) \sum_i (P(x_i|y_j) \log_2 P(x_i|y_j)). \quad (8)$$

The IG [26], indicating the amount of additional information about  $X$  provided by  $Y$ , is given as

$$\text{IG}(X|Y) = H(X) - H(X|Y). \quad (9)$$

IG itself is symmetrical, i.e.,  $\text{IG}(X|Y) = \text{IG}(Y|X)$ , but in practice, it favors features with more values [24].

The GR method normalizes IG by an entropy term

$$\text{GR}(X|Y) = \frac{\text{IG}(X|Y)}{H(Y)}. \quad (10)$$

A better measure is defined as the symmetrical uncertainty [27]

$$\text{SU}(X|Y) = 2 \frac{\text{IG}(X|Y)}{H(X) + H(Y)}. \quad (11)$$

SU compensates for IG’s bias toward features with more values and restricts the value range within  $[0, 1]$ .

Despite a number of efforts previously made using the aforementioned criteria [24], [25], there is no golden rule for the selection of features. In practice, it is found that the performance of the selected feature subsets is also related to the choice of classifiers for pattern-recognition tasks. The wrapper-based approach [28] was therefore proposed, using a classifier combined with some guided search mechanism to choose an optimal selection from a given feature set.

### D. Feature Analysis by Dimension Reduction

Standard dimension-reduction or MDS techniques such as PCA and Isomap [29] are often used to estimate an embedding dimension of the high-dimensional feature space. PCA projects high-dimensional data into low-dimensional space while preserving the maximum variance. It has been found rather effective in pattern-recognition tasks such as face and handwriting recognition. The Isomap algorithm calculates the geodesic distances between points in a high-dimensional observation space and then conducts eigenanalysis of the distance matrix. As the output, new coordinates of the data points in a low-dimensional embedding are obtained that best preserve their intrinsic geodesic distances. In this paper, we used PCA and Isomap to explore the sparseness of the feature space and examine the residuals of the chosen dimensionality to estimate how many features at least should be included in a subset. The performance of the selected subsets was then compared with that of the reduced and transformed feature space obtained by MDS.

### E. Feature Validation via Classification

Feature-combination schemes generated from the selection rankings were then further assessed using classifiers under cross-validation. The following classification algorithms were used in this paper:  $k$ -NN, an instance-based classifier weighted by the reciprocal of distances [30]; naive Bayes, employing Bayesian models in the feature space; multilayer perceptron (MLP) and radial basis functions (RBFs), which are both neural

TABLE II  
FEATURE RANKING FOR SINGLE TONES

Rank	IG		GR		SU		SVM
	Feature	Value	Feature	Value	Feature	Value	Feature
1	LAT	0.8154	LAT	0.5310	LAT	0.4613	HD
2	HD	0.6153	HD	0.5270	HD	0.3884	FluxD
3	FluxD	0.4190	MFCC2M	0.3230	BandwidthM	0.2267	LAT
4	BandwidthM	0.3945	MFCC12D	0.2970	FluxD	0.2190	MFCC3D
5	MFCC1D	0.3903	MFCC4D	0.2700	RMSM	0.2153	MFCC4M
6	MFCC3D	0.381	BandwidthM	0.2660	MFCC1D	0.2084	ZCRD
7	RMSM	0.3637	RMSM	0.2640	MFCC4M	0.1924	MFCC1M
8	BandwidthD	0.3503	MFCC13D	0.2580	MFCC11D	0.1893	HC
9	MFCC4M	0.3420	MFCC2D	0.2450	MFCC3D	0.1864	MFCC9D
10	MFCC11D	0.3125	MFCC11D	0.2400	BandwidthD	0.1799	ZC
11	ZCRD	0.3109	MFCC7D	0.2350	MFCC2M	0.1784	RMSM
12	CentroidD	0.2744	FluxD	0.2290	MFCC4D	0.1756	CentroidD
13	MFCC8D	0.2734	MFCC1D	0.2240	MFCC7D	0.1710	MFCC9M
14	MFCC6D	0.2702	MFCC4M	0.2200	MFCC12D	0.1699	BandwidthM
15	MFCC7D	0.2688	CentroidM	0.2150	ZCRD	0.1697	MFCC5D
16	ZC	0.2675	SC	0.2110	CentroidD	0.1653	SC
17	MFCC4D	0.2604	MFCC5M	0.2090	CentroidM	0.1610	MFCC12D
18	CentroidM	0.2578	CentroidD	0.2080	MFCC13D	0.1567	MFCC7M
19	MFCC10M	0.2568	HC	0.1950	SC	0.1563	MFCC2M
20	MFCC10D	0.2519	MFCC1M	0.1910	MFCC8D	0.1532	MFCC6M

network classifiers; and SVM, which is a statistical learning algorithm and has been widely used in many classification tasks.

#### IV. EXPERIMENT

##### A. Experiment Settings

We tackled the musical-instrument-classification problem in two stages: 1) instrument-type classification using samples of individual instruments and 2) direct classification of individual instruments.

A number of utilities were used for feature extraction and classification experiments. The perception-based features were extracted using the IPeM Toolbox [31]. The Auditory Toolbox [32] was used to extract MFCC features. The MPEG-7 audio-descriptor features were obtained using an implementation by Casey [33]. Various algorithms implemented in Waikato Environment for Knowledge Analysis (Weka) [34] were used for feature selection and classification experiments.

Samples used in the first experiment were taken from the previously mentioned UIOWA MIS collection. The collection consists of 761 single-instrument files from 20 instruments, which cover the dynamic range from pianissimo to fortissimo and are played bowed or plucked, with or without vibrato, depending on the instrument. All samples were recorded in the same acoustic environment (an anechoic chamber) under the same conditions. We realized that this was a strong constraint, and our result might not generalize to a complicated setting such as live recordings of an orchestra. To explore the potential of various feature schemes for instrument classification in live solo performance, solo-passage music samples were collected from CD recordings from private collections and the University of Otago Library.

##### B. Instrument-Family Classification

1) *Feature Ranking and Selection*: We first simplified the instrument-classification problem by grouping the instruments

into four families: piano, brass, string, and woodwind. For this four-class problem, the best 20 features generated by the three selection methods are shown in Table II. All of them indicate that LAT and HD are the most relevant features. It is important to note that the standard deviations of the MFCCs are predominantly present in all three selections. Also, the measures of the centroid and bandwidth, as well as the deviation of flux, zero crossings, and mean of rms, can be found in each of them. These selections are different from the best 20 features selected by Livshin and Rodet [21], where MPEG-7 descriptors were not considered. However, they also included bandwidth (spectral spread), MFCC, and SC.

Classifiers were then employed to assess the quality of feature selection. A number of algorithms, including naive Bayes,  $k$ -NN, MLP, RBF, and SVM, were compared on classification performance based on tenfold cross-validation. Among these, the naive-Bayes classifiers employed kernel estimation during training. A plain  $k$ -NN classifier was used here with  $k = 1$ . SVM classifiers were built using sequential minimal optimization, with RBF kernels and a complexity value of 100, with all attributes being standardized. Pairwise binary SVM classifiers were trained for this multiclass problem, with between 10 and 80 support vectors being created for each SVM. The structure of MLP was automatically defined in the Weka implementation, and each MLP was trained over 500 epochs with a learning rate of 0.3 and a momentum of 0.2.

To investigate the redundancy of the feature set, we used the IG filter to generate reduced feature sets of the best 20, best 10, and best 5 features, respectively. Other choices, instead of IG, were found to produce similar performance and, hence, are not considered here. The performance of these reduced sets was compared with the original full set with all 44 features. The results are given in Table III.

These can be contrasted with the results presented in Table IV, where 17 features were selected using a rank search based on SVM attribute evaluation and the correlation-based CfsSubset scheme implemented in Weka. This feature set, 417



TABLE III  
CLASSIFIER PERFORMANCE (IN PERCENTAGE)  
OF THE INSTRUMENT FAMILIES

Feature Scheme	$k$ -NN	Naive Bayes	SVM	MLP	RBF
All 44	95.75	86.5	97.0	95.25	95.0
Best 20	94.25	86.25	95.5	93.25	95.5
Best 10	90.25	86.25	94.25	91.0	87.0
Best 5	89.5	81.0	91.75	86.75	84.5

TABLE IV  
PERFORMANCE (IN PERCENTAGE) OF CLASSIFIERS TRAINED  
ON THE "SELECTED 17" FEATURE SET

Classifier	1NN	Naive Bayes	SVM	MLP	RBF
Performance	96.5	88.25	92.75	94	94

TABLE V  
PERFORMANCE (IN PERCENTAGE) IN CLASSIFYING THE  
FOUR CLASSES (TENFOLD CROSS-VALIDATION)

Feature Sets	Brass	Woodwind	String	Piano	Overall
MFCC (26)	99	90	89	95	93.25
MPEG-7 (7)	90	62	76	99	81.75
IPEM (11)	93	63	81	100	84.25
MFCC+MPEG-7 (33)	98	92	91	100	95.25
MFCC+IPEM (37)	98	89	94	98	94.75
IPEM+MPEG-7(18)	93	76	85	100	88.5
Top 50% mix (21)	95	89	88	100	93
Best 20	97	88	92	100	94.25
Selected 17	97	94	95	100	96.5

denoted as "Selected 17," includes CentroidD, BandwidthM, FluxD, ZCRD, MFCC[2–6]M, MFCC10M, MFCC3/4/6/8D, HD, LAT, and TC. It is noted that TC contributes positively to the classification task, even though it is not among the top 20 ranked features. Here, the classification algorithms take similar settings as those used to generate the results shown in Table III. The performance of the "Selected 17" feature set is very close to that of the full feature set. The  $k$ -NN classifier performs even slightly better with the reduced feature set.

2) *Evaluation of Feature-Extraction Schemes:* Since the  $k$ -NN classifier produced similar performance in much less computing time compared with SVM, we further used one-NN classifiers to assess the contribution from each individual feature scheme and improvements achieved through scheme combinations. Apart from combining the schemes one by one, another option was also considered: picking the top 50% ranked attributes from each feature scheme, resulting in a 21-dimension composite set, called the "Top 50% mix." The results are presented in Table V. Aside from overall performance, classification accuracy on each instrument type is also reported. From these results, it can be seen that, among the individual feature subsets, MFCC outperforms both IPEM and MPEG-7. This is different from the finding of Xiong *et al.* [12] that reveals that MPEG-7 features give better results than MFCC for the classification of sports-audio scenes such as applause, cheering, music, etc. The difference was however marginal (94.73% versus 94.60%). Given that the scope of this paper is much narrower, this should not be regarded as a contradiction. Indeed, some researchers also found more favorable results using MFCC instead of MPEG-7 for instrument classification [8], [10].

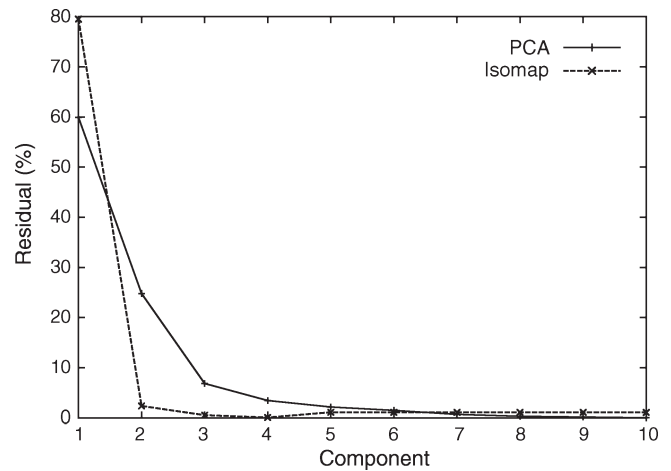


Fig. 1. Graphical representation of the reduced components. The  $x$ -axis gives the component number, and the  $y$ -axis gives the relevant normalized residual (in percentage). Only ten components are shown.

In terms of average performance of combination schemes listed in Table V, the MFCC+MPEG-7 set produced the best results, whereas the MPEG-7+IPEM set with 18 features gave the poorest result. It is observed that the inclusion of MFCC is most beneficial to the woodwind and string families, whereas the inclusion of the MPEG-7 seems to boost the performance on piano and woodwind. Generally, the more features that are included, the better the performance. However, the difference among 33, 37, and 44 features is almost negligible. It is interesting to note that the "Selected 17" feature set produced very good performance. The "Top 50% mix" set produced a performance as high as 93%, slightly worse than that of the "Best 20" set, probably due to the fact that the selection was not done globally among all features. All these results, however, clearly indicate that there is strong redundancy within the feature schemes.

In terms of accuracy on each instrument type, the piano can be rather accurately classified on most feature sets. The MPEG-7 and IPEM sets seem to have problems in identifying woodwind instruments, with which MFCC can cope very well. Combining MFCC with other feature sets can boost the performance on woodwind significantly. The MPEG-7 set does not perform well on string instruments either; however, a combination with either MFCC or IPEM can effectively enhance the performance. These results suggest that these individual feature sets are quite complementary to each other despite their strong redundancy.

3) *Dimension Reduction:* Overall, when the total number of included features is reduced, the classification accuracy decreases monotonically. However, it is interesting to see from Table III that, even with five features only, the classifiers achieved a classification rate around 90%. In order to interpret this finding, we used PCA and Isomap to reduce the dimensionality of the full feature set. The two methods report similar results. The normalized residuals of the extracted first ten components using these methods are shown in Fig. 1. The 3-D projection of the Isomap algorithm, generated by selecting the first three coordinates from the resulting embedding, is shown in Fig. 2. The separability of the four classes already

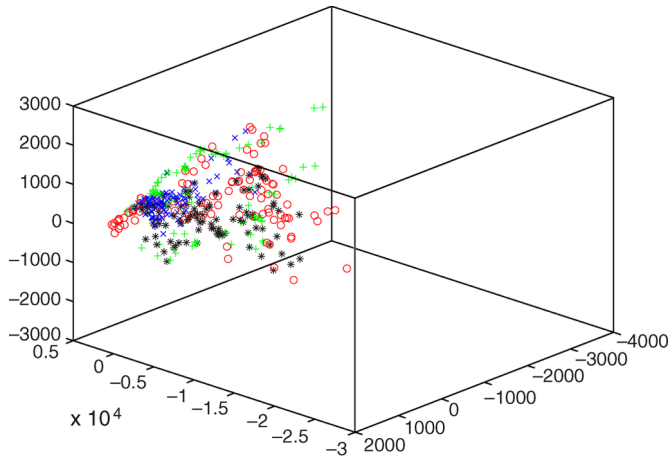


Fig. 2. Three-dimensional embedding of the feature space. There are 400 instrument samples, each with its category labeled:  $\times$ —“piano,”  $\circ$ —“string,”  $+$ —“brass,” and  $*$ —“woodwind.” The three axes correspond to the transformed first three dimensions generated by Isomap.

488 starts to emerge with three dimensions. For both methods, the  
489 residual falls under 0.5% after the fourth component, although  
490 the dropping reported by Isomap is more significant. This  
491 suggests that the data manifold of the 44-D feature space may  
492 have an embedded dimension of four or five only.

493 As a test, the first five principal components (PCs) of the  
494 complete feature set were extracted, resulting in weighted com-  
495 binations of MFCC, IPFM, and MPEG-7 features. A one-NN  
496 classifier trained with the five PCs reports an average accuracy  
497 of 88.0% in a tenfold cross-validation, very close to that of the  
498 “Best 5” selection given in Table III. This further confirms that  
499 there is strong redundancy within and between the three feature  
500 schemes.

### 501 C. Instrument Classification

502 1) *Individual-Instrument Sound Recognition*: Next, all 20  
503 instruments were directly distinguished from each other. We  
504 chose to use one-NN classifiers as they worked very quickly and  
505 gave almost the same accuracies compared to SVM. A feature-  
506 selection process was conducted using correlation-based subset  
507 selection on attributes searched by SVM evaluation. This re-  
508 sulted in a subset of 21 features, including LAT, FluxM, ZCRD,  
509 HD, CentroidD, TC, HC, RMSD, FluxD, and 12 MFCC values.  
510 The confusion matrix for individual-instrument classification is  
511 given in Table VI. Instrument “a” is piano, and instruments  
512 “b–f” belong to the brass type, “g–j” to the string type, and  
513 “k–t” to the woodwind type.

514 The overall average classification accuracy is 86.9%. The  
515 performance, in general, is quite satisfactory, particularly for  
516 piano and string instruments. Only one out of 20 piano samples  
517 was wrongly classified (as oboe). Among the string instru-  
518 ments, the most significant errors occurred for viola samples,  
519 with an accuracy of  $18/25 = 72\%$ . Classification errors in the  
520 woodwind category mainly occurred within itself, having only  
521 sporadic cases of wrong classification into other families. The  
522 woodwind instruments have the lowest classification accuracy  
523 compared with other instruments, but this may relate to the

limited number of woodwind data samples in the current data  
set. The worst classified instrument is  $E^b$  clarinet. There is also  
a notable confusion between alto flute and bass flute.

2) *Instrument Recognition in Solo Phrases*: Finally, a pre-  
liminary experiment on instrument recognition in solo phrases  
was conducted. For this experiment, one representative in-  
strument of each instrument type was chosen. These were  
as follows: trumpet, flute, violin, and piano. To detect the  
right instrument in solo passages, a classifier was trained on  
short monophonic phrases. Solo excerpts from CD recordings  
were tested on this classifier. The problem here is that these  
solo phrases were recorded with accompaniment; thus, they  
were often polyphonic in nature. Selecting fewer and clearly  
distinguishable instruments for the trained classifier helps make  
the problem more addressable. It is assumed that an instrument  
is playing dominantly in the solo passages. Thus, its spectral  
characteristics will probably be the most dominant, and the fea-  
tures derived from the harmonic spectrum are assumed to work.

The samples for the four instruments were taken from live  
CD recordings. The trumpet passages sometimes have multiple  
brass instruments playing. The flutes are accompanied by mul-  
tiple flutes, a harp, or a double bass, and the violin passages are  
sometimes flute- and string-accompanied. Passages of around  
10-s length were segmented into 2-s phrases with 50% overlap.  
Shorter segments seemed to have a tendency to lower classifica-  
tion rates. The amount of music samples was basically balanced  
across the four instrument types, as seen in Table VII.

The same SVM-based feature-selection scheme used before  
searched out 19 features for this task. These included the fol-  
lowing: eight MFCC values (mainly means), five MPEG-7 fea-  
tures (HD, HS, HV, and SC), and four perception-based features  
(CentroidM, FluxM, ZCRD, and RMSM). An average accuracy  
of 98.4% was achieved over four instruments using three-NN  
classifiers with distance weighting. The Kappa statistic is re-  
ported as 0.98 for the tenfold cross-validation, suggesting that  
the classifier stability is very strong. The confusion matrix is  
shown in Table VIII. The numbers shown are in percentage. The  
largest classification errors occurred with flute being classified  
as piano.

Here, again, MFCC is shown to be dominant in classification.  
To achieve a good performance, it is noted that the other two  
feature schemes also contributed favorably and should also be  
included.

### 567 D. Discussion

The scopes of some current studies and performance  
achieved are listed in Table IX, where the number of in-  
struments and the classification accuracies (in percentages)  
of instrument-family and individual-instrument classifications  
are listed. It can be seen that our results are better than or  
comparable with those obtained by other researchers. However,  
it is noted that the number of instruments included is different  
and that the data sources are different despite the fact that  
most of these included the UIOWA sample set. The exact  
validation process used to assess the classification performance  
may be different as well. For instance, we adopted tenfold  
cross-validation in all our experiments, whereas Kaminskyj and



TABLE VI  
CONFUSION MATRIX FOR ALL 20 INSTRUMENTS WITH TENFOLD CROSS-VALIDATION. ALL NUMBERS ARE IN PERCENTAGE

Instrument	Classified As																			
	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t
a=piano	95	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0
b=tuba	0	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c=trumpet	0	0	95	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d=horn	0	0	0	95	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0
e=ttrombone	0	0	0	0	90	0	0	5	0	0	0	0	0	0	0	5	0	0	0	0
f=btrombone	0	0	0	0	5	95	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g=violin	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	0	0	0	0	0
h=viola	0	0	0	0	4	8	4	72	0	0	0	0	0	0	0	4	0	4	0	4
i=bass	0	0	0	0	0	0	0	0	92	0	0	0	0	0	0	4	0	4	0	0
j=cello	0	0	0	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	0	0
k=sax	0	0	0	0	0	0	0	0	0	0	80	10	0	0	0	0	0	0	0	10
l=altosax	0	0	0	0	0	0	0	0	0	0	0	80	0	0	0	0	20	0	0	0
m=oboe	0	10	0	0	0	10	0	10	0	10	0	0	60	0	0	0	0	0	0	0
n=bassoon	0	0	0	0	0	0	0	0	0	0	0	0	0	100	0	0	0	0	0	0
o=flute	0	0	0	0	0	0	0	0	10	0	0	0	0	0	70	10	0	0	10	0
p=altoflute	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	70	20	0	0	0
q=bflute	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20	80	0	0	0
r=bclarinet	0	0	0	0	0	10	0	0	10	10	0	0	0	0	10	0	0	60	0	0
s=bbclarinet	0	0	0	0	0	0	0	0	10	0	0	0	0	0	10	0	0	0	80	0
t=ebclarinet	0	0	0	0	0	0	0	0	0	0	10	10	0	0	10	20	0	0	0	50

TABLE VII  
DATA SOURCES FOR THE SOLO-PHRASE EXPERIMENT

Instrument	Data sources
Trumpet	9 min / 270 samples
Piano	10.6 min / 320 samples
Violin	10 min / 300 samples
Flute	9 min / 270 samples
Total	38.6 min / 1160 samples

TABLE VIII  
CONFUSION MATRIX FOR INSTRUMENT RECOGNITION IN SOLO PASSAGES (PERFORMANCE IN PERCENTAGE)

Instrument	Classified As			
	piano	trumpet	violin	flute
piano	100	0	0	0
trumpet	0.4	99.6	0	0
violin	0.3	0.3	98.7	0.7
flute	3.7	0	1.5	94.8

580 Czaszejko [19] and others used leave-one-out cross-validation  
581 instead.

582 Paired with a good performance level, the feature dimension-  
583 ality of our approach is relatively low, with the selected feature  
584 sets having fewer than or around 20 dimensions. On the other  
585 hand, Eggink and Brown [20] used the same UIOWA sample  
586 collection but a different feature scheme with 90 dimensions,  
587 reporting an average recognition rate of only 59% on five  
588 instruments (flute, clarinet, oboe, violin, and cello). Livshin  
589 and Rodet [21] used 62 features and selected the best 20 for  
590 real-time solo detection. Kaminskyj and Czaszejko [19] used  
591 710 dimensions after PCA. In this paper, a 5-D set after PCA  
592 also achieved a good classification accuracy. A notable work is  
593 by Benetos *et al.* [22], where only six features were selected.  
594 However, there were only six instruments included in their  
595 study, and the scalability of the feature selection needs to be  
596 further assessed.

597 Although we gave such a performance list in Table IX, the  
598 comparison has to be made with a notion of care. This is

particularly true for the case of instrument recognition in solo 599  
passages, as it is impossible to make fair comparison when there 600  
are no widely accepted benchmarks and researchers have used 601  
various performance CDs [8], [21]. 602

## V. CONCLUSION

In this paper, we presented a study on feature extraction and 604  
evaluation for the problem of instrument classification. The 605  
main contribution is that we investigated three major feature- 606  
extraction schemes, analyzed them using a number of feature- 607  
selection methods, and assessed the classification performance 608  
of the individual feature schemes, combined schemes, and 609  
selected feature subsets. A small embedding dimension of the 610  
feature space used was obtained using MDS, confirming the 611  
strong redundancy of the considered feature schemes. 612

For experiments on monotone music samples, a publicly 613  
available data set was used to allow for the purpose of bench- 614  
marking. Feature-ranking measures were employed, and these 615  
produced similar feature-selection outputs. Moreover, the per- 616  
formance of the obtained feature subsets was verified using a 617  
number of classifiers. The MPEG-7 audio-descriptor scheme 618  
contributed the first two most significant features (LAT and HD) 619  
for instrument classification; however, as indicated by feature 620  
analysis, MFCC and perception-based features dominated in 621  
the ranked and SVM-based selections. It was also demonstrated 622  
that, among the individual feature schemes, the MFCC feature 623  
scheme gave the best classification performance. 624

It is interesting to see that the feature schemes adopted in 625  
current research are all highly redundant as assessed by the 626  
dimension-reduction techniques. This may imply that an opti- 627  
mal and compact feature scheme remains to be found, allowing 628  
classifiers to be built quickly and accurately. The finding of 629  
an embedding dimension as low as four or five, however, may 630  
relate to the specific sound source files we used in this paper, 631  
and its scalability needs further verification. 632

TABLE IX  
PERFORMANCE OF INSTRUMENT CLASSIFICATION COMPARED

Work	no. of instruments	Family classification (%)	Individual classification (%)
Eronen [6]	29	77	35
Martin and Kim [35]	14	90	70
Agostini et al. [7]	27	81	70
Kostek [2]	12	-	70
Kaminskyj and Czaszejko [19]	19	97	93
Benetos et al. [22]	6	-	95.2
<i>This work</i>			
UIOWA samples	20	96.5	86.9
Solo phrases	4	-	98.4

On the other hand, in the classification of individual instruments, even the full feature set would not help much in distinguishing woodwind instruments. In fact, it was found in our experiments on solo-passage classification that some MPEG-7 features were not reliable for giving robust classification results with the current fixed segmentation of solo passages. For instance, attack time was not selected in the feature scheme, but it could become a very effective attribute with the help of onset detection. All these indicate that more research works in feature extraction and selection are still necessary.

Apart from the timbral feature schemes we examined, there are other audio descriptors in the MPEG-7 framework that may contribute to better instrument classification, e.g., those obtained from global spectral analysis such as spectral envelope and spectral flatness [15]. Despite some possible redundancy with the introduction of new features, it would be interesting to investigate the potential gains that can be obtained. It would also be interesting to see how the proposed approach scales with increased feature numbers and increased amount of music samples. For our future work, we intend to investigate these issues along with the use of more live recorded music data and also experiment on finding better mechanisms to combine the feature schemes and improve the classification performance for more solo instruments.

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