Week 8: 11/3/2020 13/3/2020

2.4 Matrix Transformations



Image from http://uk.ign.com/articles/2009/06/22/
transformers-the-many-looks-of-optimus-prime

Idea — Represent the point
$$(x,y)$$
 by the position vector $\begin{bmatrix} x \\ y \end{bmatrix}$.

Select any
$$2 \times 2$$
 matrix $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ and let

matrix multiplication

$$\begin{bmatrix} w \\ z \end{bmatrix} = M \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a \times x + b \times y \\ c \times x + d \times y \end{bmatrix} \rightarrow \text{Vector}$$

 $= \begin{bmatrix} a & b \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$ $\begin{bmatrix} c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$

 \blacksquare We have a <u>transformation</u> M which maps

$$\left[\begin{array}{c} x \\ y \end{array}\right] \xrightarrow{M} \left[\begin{array}{c} w \\ z \end{array}\right]$$

or we can think of this as

$$(x,y) \xrightarrow{M} (w,z)$$

Example 2.24

If
$$M = \begin{bmatrix} 1 & -1 \\ 0 & 3 \end{bmatrix}$$
 and $\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2 \\ 3 \end{bmatrix}$ then
$$M \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 1 & -1 \\ 0 & 3 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \end{bmatrix}$$

$$= \begin{bmatrix} 1 \times 2 + (-1) \times 3 \\ 0 \times 2 + 3 \times 3 \end{bmatrix} = \begin{bmatrix} -1 \\ 9 \end{bmatrix}$$

so the point (2,3) is transformed by M to (-1,9).

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} i \\ 0 \end{bmatrix} = \begin{bmatrix} [a & b][i] \\ [c & d][i] \end{bmatrix} = \begin{bmatrix} a \times 1 + b \times 0 \\ c \times 1 + d \times 0 \end{bmatrix} = \begin{bmatrix} a \\ c \end{bmatrix}$$



Image from

http://www.cloudworksmg.com/wp-content/uploads/2014/12/whats-big-idea.png

Consider what happens to the vectors $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ and $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ under a matrix transformation.

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a \\ c \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a \\ c \end{bmatrix} \quad \text{so} \quad \begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} a \\ c \end{bmatrix} = \begin{array}{c} \text{first} \\ \text{column} \\ \text{of M} \end{array}$$

and

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} b \\ d \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} b \\ d \end{bmatrix} \quad \text{so} \quad \begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} b \\ d \end{bmatrix} = \begin{array}{c} \text{Second} \\ \text{column} \\ \text{of } M \end{array}$$

Result 2.13 A matrix transformation is completely determined

by its action on the vectors

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \qquad \text{and} \qquad \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

-THE Key idea -

Scaling 2.4.1

stretching (enlarging or shrinking)



Example 2.25 We wish to **scale** (enlarge or shrink) all points by a factor of a > 0 in the x-direction only.

So
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} a \\ 0 \end{bmatrix}$$
 and $\begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ then $M = \begin{bmatrix} a & 0 \\ 0 & 1 \end{bmatrix}$ and $\begin{bmatrix} a & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a \times x \\ y \end{bmatrix}$

Conclusion: This transformation is a scaling in the x-direction. A value of a > 1 will enlarge, a value of 0 < a < 1 will shrink, and a=1 will stay the same.

- ightharpoonup Similarly, for a>0 and b>0
 - $M = \begin{bmatrix} 1 & 0 \\ 0 & b \end{bmatrix}$ is a **scaling** in the y-direction by a factor of b since $\begin{bmatrix} 1 & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ b \times y \end{bmatrix}$

since
$$\begin{bmatrix} 1 & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ b \times y \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 0 \\ b \end{bmatrix}$$
and
$$\begin{bmatrix} 0 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 0 \\ b \end{bmatrix}$$

• $M = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ is a **scaling** independently in the x-direction by a factor of a and in the y-direction by a factor of b since

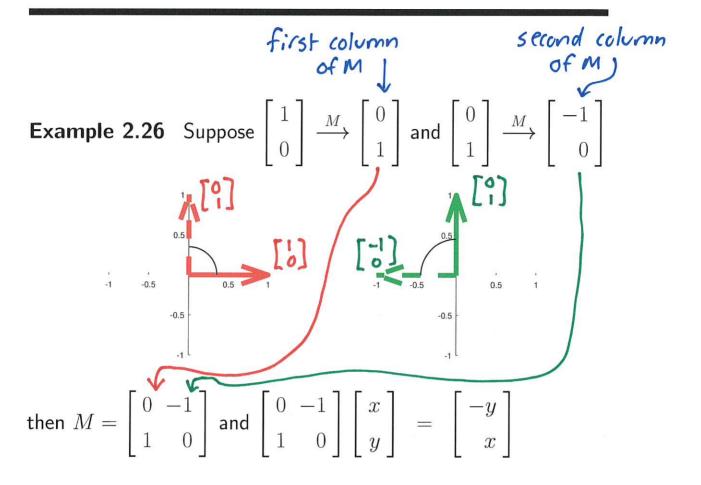
$$\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a \times x \\ b \times y \end{bmatrix}$$

• $M = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ is the **identity** transformation since

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix}$$

A scaling transformation can enlarge or shrink. When a<0 or b<0, the enlarging or shrinking is combined with a reflection.

2.4.2 Rotations and Reflections

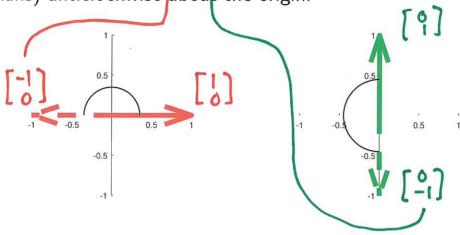


Conclusion: This transformation is a <u>rotation</u> of 90 degrees (or $\frac{\pi}{2}$ radians) anticlockwise about the origin, i.e., (0,0) is the fixed point of the rotation.

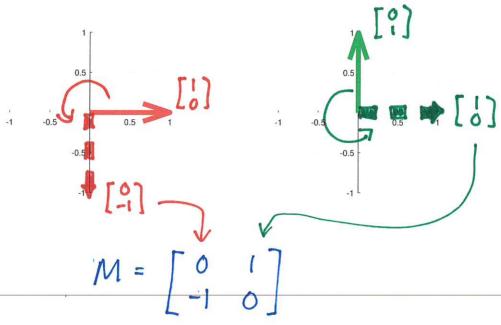
Remember that **positive** angles are **anticlockwise**.



▶ Similarly,
$$M = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$
 is a rotation of 180 degrees (or π radians) anticlockwise about the origin.



Practice Problem. Write down a single matrix that represents a <u>rotation</u> of 270 degrees (or $\frac{3\pi}{2}$ radians) anticlockwise about the origin.



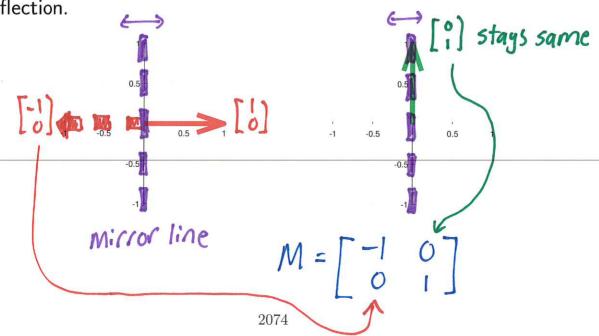
Example 2.27 Suppose
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$
 and $\begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 0 \\ -1 \end{bmatrix}$

Micros Line 1.1 Stays same

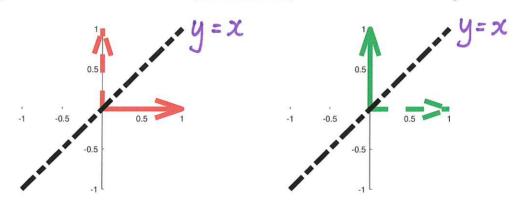
then $M = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ and $\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ -y \end{bmatrix}$

Conclusion: This transformation is a <u>reflection</u> in the x-axis, i.e., the x-axis is the *mirror line* of the reflection.

Practice Problem. Write down a single matrix that represents a <u>reflection</u> in the y-axis, i.e., the y-axis is the *mirror line* of the reflection.



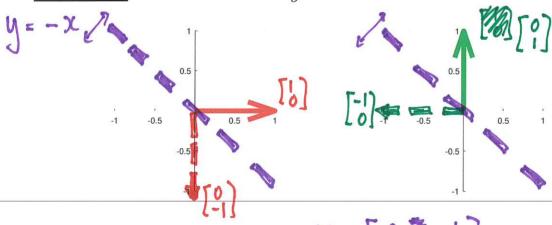
Example 2.28 Consider a <u>reflection</u> in the *mirror* line y = x.



Note that
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$
 and $\begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$

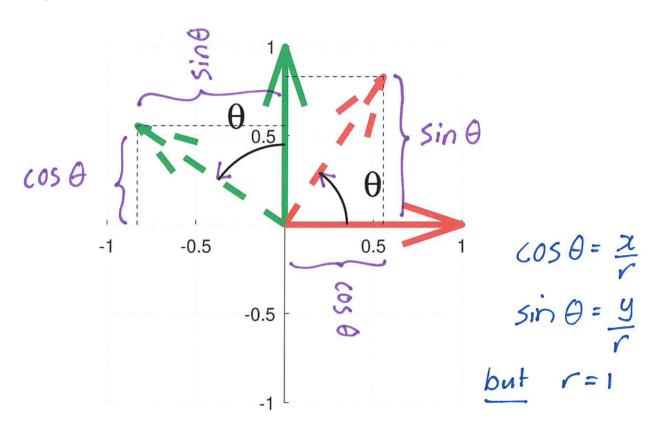
so
$$M = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$
 and $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} y \\ x \end{bmatrix}$

Practice Problem. Write down a single matrix that represents a **reflection** in the *mirror* line y = -x.



2.4.3 General Rotations and Reflections

Idea — We wish to construct a transformation matrix M which represents a general <u>rotation</u> of θ (radians) anticlockwise about the origin.



Suppose
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$$

then (x, y) in Cartesian coordinates

must correspond to

(r, heta) in polar coordinates

so
$$x = r \times \cos \theta$$
 and $y = r \times \sin \theta$ but we also know that $r = 1$.

Therefore
$$x = \cos \theta$$
 and $y = \sin \theta$ so

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$$

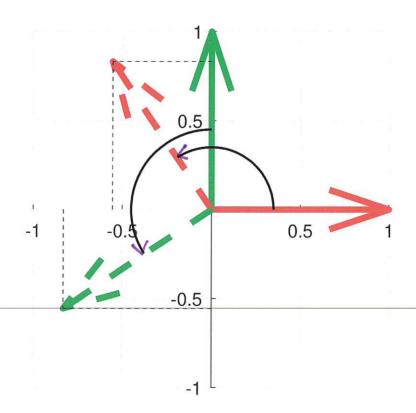
Similarly

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} -\sin\theta \\ \cos\theta \end{bmatrix}$$

giving

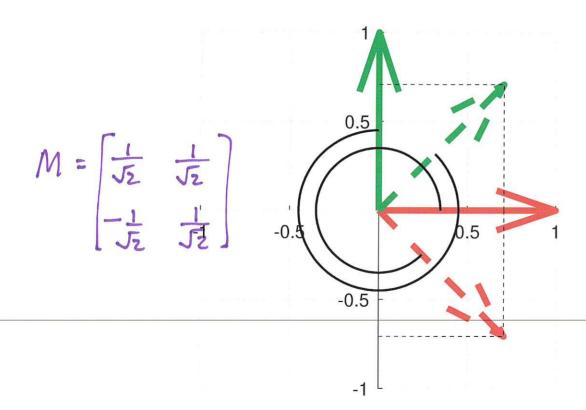
$$M = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

Example 2.29 Consider a rotation of $\frac{11\pi}{16}$ radians anticlockwise about the origin.



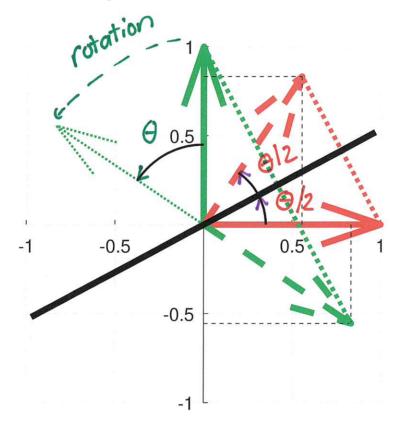
R can help us here. Note that the R function cbind glues together columns to make a matrix.

Practice Problem. Give that $\cos(\frac{7\pi}{4}) = \frac{1}{\sqrt{2}}$ and $\sin(\frac{7\pi}{4}) = -\frac{1}{\sqrt{2}}$, write down a transformation matrix M that represents a rotation of $\frac{7\pi}{4}$ radians anticlockwise about the origin.



Idea — Suppose we wish to construct a transformation matrix M which represents a <u>reflection</u> in any given <u>mirror line</u>.

The mirror line is specified by rotating the x-axis by $\frac{\theta}{2}$ (radians) clockwise about the origin.



A similar analysis to the case of a general rotation gives

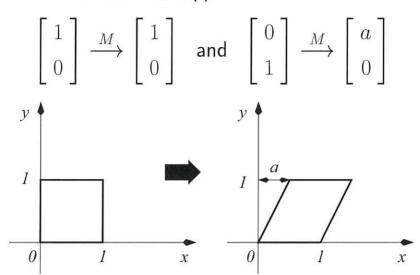
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix} \text{ and } \begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} \sin \theta \\ -\cos \theta \end{bmatrix}$$

giving

$$M = \begin{bmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{bmatrix}$$

2.4.4 Shear

Idea — For some number a, suppose

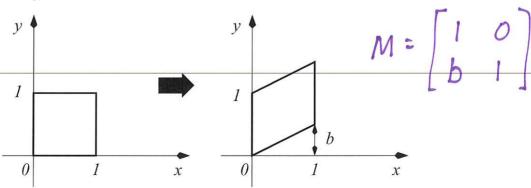


giving

$$M = \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}$$

This is called a <u>shear</u> in the x-direction. If a>0 then we have a shear to the right, and if a<0 then we have a shear to the left.

Practice Problem. What transformation matrix M represents a **shear** in the y-direction as illustrated below?



2.4.5 Composite Transformations (the magic)

Idea — Suppose we wish to perform

ullet a $\underline{\text{rotation}}$ by 90 degrees anticlockwise about the origin

$$\begin{bmatrix} 0 - 1 \\ 1 & 0 \end{bmatrix}$$

followed by

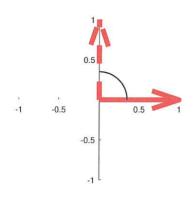
• a **reflection** in the *x*-axis.

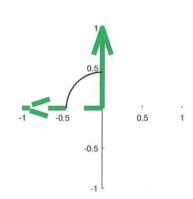
We can find a single matrix for this transformation as follows.

rotation

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

so
$$(x,y) \stackrel{\mathsf{rotation}}{\longrightarrow} (x',y')$$





• followed by reflection

$$\begin{bmatrix} x'' \\ y'' \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} x' \\ y' \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{pmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$= \begin{pmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \end{pmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$= \begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

so
$$(x,y)$$
 rotation (x',y') reflection (x'',y'')

reflection rotation
second
$$\begin{bmatrix}
1 & 0 \\
0 & -1
\end{bmatrix}
\begin{bmatrix}
1 & 0 \\
0 & -1
\end{bmatrix}
=
\begin{bmatrix}
1 & 0 \\
0 & -1
\end{bmatrix}
\begin{bmatrix}
1 & 0 \\
0 \end{bmatrix}
\begin{bmatrix}
1 & 0 \\
0$$

• The composite transformation matrix is

$$M = \begin{bmatrix} 0 & -1 \\ -1 & 0 \end{bmatrix}$$

so
$$(x,y) \stackrel{M}{\longrightarrow} (x'',y'')$$

Note — the composite transformation is a **product** of the individual matrices.

Warning! —

- We must perform the matrix multiplication in the **correct order**.
- The first transformation matrix is on the **right**.
- Matrix multiplication is <u>not</u> commutative.

Example 2.30 Determine a single matrix M that represents the composite transformation composed of applying

• a **reflection** in the *x*-axis

followed by

• a <u>rotation</u> by 90 degrees anticlockwise about the origin.

Solution.

$$M = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$
rotation
reflection first
second

Matrix multiplication is

not commutative

so order does matter.

2.4.6 Inverse of a Transformation

Question —

How can we undo a matrix transformation?

ldea ---

- We know how to perform a transformation using a 2×2 matrix M, such as a rotation or a reflection.
- We simply need to find another matrix, say A, so that AM = I where I is the **identity** matrix, i.e.,

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

written M^{-1}

if we can find a square matrix of the same size such that

$$M^{-1} M = I$$

Example 2.31 The inverse of
$$\begin{bmatrix} 1 & 0 \\ -4 & 2 \end{bmatrix}$$
 is $\begin{bmatrix} 1 & 0 \\ 2 & \frac{1}{2} \end{bmatrix}$

Check —

$$\begin{bmatrix} 1 & 0 \\ -4 & 2 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 2 & \frac{1}{2} \end{bmatrix} = \begin{bmatrix} 1 \times 1 + 0 \times 2 & 1 \times 0 + 0 \times \frac{1}{2} \\ -4 \times 1 + 2 \times 2 & -4 \times 0 + 2 \times \frac{1}{2} \end{bmatrix}$$
$$= \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Definition 2.15

The <u>determinant</u> of a 2×2 matrix $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ is

$$\det \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) = a \times d - b \times c$$

 \Box

Example 2.32 If $A = \begin{bmatrix} 3 & 6 \\ -1 & 1 \end{bmatrix}$

then
$$det(A) = 3 \times 1 - 6 \times (-1) = 3 + 6 = 9$$

Result 2.16 Consider any
$$2 \times 2$$
 matrix $M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$

 $\bullet \ \, \text{If} \quad \det(M) = ad - bc \neq 0 \quad \text{then} \\$

$$M^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$

• If det(M) = ad - bc = 0 then A has **no inverse**.

and transformation is a projection.
$$\square$$
 (2D \rightarrow 1D)

Example 2.33 Consider
$$M = \begin{bmatrix} 3 & 4 \\ 2 & 6 \end{bmatrix}$$

$$det(M) = 3 \times 6 - 4 \times 2 = 10$$

$$M^{-1} = \frac{1}{10} \begin{bmatrix} 6 & -4 \\ -2 & 3 \end{bmatrix} = \begin{bmatrix} 0.6 & -0.4 \\ -0.2 & 0.3 \end{bmatrix}$$

- ➤ The standard matrix transformations we have been looking at all have "natural" inverses.
 - Scaling

$$M = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \qquad M^{-1} = \begin{bmatrix} \frac{1}{a} & 0 \\ 0 & \frac{1}{b} \end{bmatrix}$$

Rotation

$$M = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \qquad M^{-1} = \begin{bmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{bmatrix}$$

Reflection

$$M = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \qquad M^{-1} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

For **every** reflection matrix M, we have

$$M^{-1} = M$$

reflection applied twice is the identity

Shear

$$M = \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix} \qquad M^{-1} = \begin{bmatrix} 1 & -a \\ 0 & 1 \end{bmatrix}$$

$$M = \begin{bmatrix} 1 & 0 \\ b & 1 \end{bmatrix} \qquad M^{-1} = \begin{bmatrix} 1 & 0 \\ -b & 1 \end{bmatrix}$$

Summary

A matrix transformation is **completely determined** by its action on

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$
 If
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} a \\ c \end{bmatrix} \text{ and } \begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{M} \begin{bmatrix} b \\ d \end{bmatrix} \text{ then } M = \begin{bmatrix} a & b \\ c & d \end{bmatrix}.$$

Typical transformations (in 2D) can each be represented by a 2×2 matrix M with $det(M) \neq 0$.

Identity
$$M = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$
 Scale by a in the x -direction and b in the y -direction
$$M = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$$
 Reflection in the x -axis
$$M = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Reflection in the
$$y$$
-axis
$$M = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

Reflection in the line $y = x$	$M = \left[egin{array}{cc} 0 & 1 \ 1 & 0 \end{array} ight]$
Reflection in the line $y = -x$	$M = \left[\begin{array}{cc} 0 & -1 \\ -1 & 0 \end{array} \right]$
Rotate anticlockwise about the origin by an angle θ	$M = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$
Rotate anticlockwise about the origin by $\frac{\pi}{2}$	$M = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$
Rotate anticlockwise about the origin by π	$M = \left[egin{array}{cc} -1 & 0 \ 0 & -1 \end{array} ight]$
Rotate anticlockwise about the origin by $\frac{3\pi}{2}$	$M = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$
Shear in the x -direction	$M = \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix}$
Shear in the y -direction	$M = \begin{bmatrix} 1 & 0 \\ b & 1 \end{bmatrix}$

• Composite transformations

$$A$$
 followed by B
$${\rm gives}\ M=BA \qquad \text{(applied right-to-left)}$$
 A followed by B followed by C

gives
$$M = CBA$$
 (applied right-to-left)

$$\bullet \text{ For a } 2 \times 2 \text{ matrix} \quad M = \left[\begin{array}{cc} a & b \\ c & d \end{array} \right]$$

$$\det(M) = ad - bc$$

If
$$det(M) = 0$$
 then A has no inverse.

If $det(M) \neq 0$ then A has exactly one inverse.

$$M^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$