

UBS Compliance Notice ("Notice B")

COMPLIANCE NOTICE FOR THE SUPPLIER'S STAFF

Return Notice to: UBS AG, [UBS to confirm branch and location]

INFOSYS LTD.

As an employee of [Supplier name to be inserted here] ("Supplier"), your services have been contracted to work for UBS AG and/or its branches and/or affiliates ("UBS"). UBS is regulated in multiple jurisdictions and, as such, is bound by applicable laws, rules and regulations. In order to ensure compliance with these laws, rules and regulations, UBS has put in place a number of policies and procedures with which all staff, including Supplier Staff, are required to comply ("UBS Compliance Policies").

UBS may require Staff to complete Affirmation Online, upon commencement of employment and annually thereafter during the course of work for UBS. Affirmation Online contains all of the information required for you to familiarise yourself with UBS Compliance Policies. Additionally, Affirmation Online requires you to confirm that you have read and understood UBS Compliance Policies and make any disclosures required under them.

You should pay particular attention to the following UBS Compliance Policies:

- Supplier Staff Personal Investment Rules.
- Compliance with laws and regulations (including Insider Dealing and Money Laundering).
- Handling confidential information.
- Managing conflicts of interest (whether arising in the course of your work for UBS or otherwise).

In connection with the Supplier Staff Dealing Rules, you may be required to disclose to the Supplier and/or UBS in advance and obtain approval of any share dealings that you propose to undertake.

You may also be required to attend relevant training sessions and/or complete computer based training.

This Notice must be signed by you before you can commence work for UBS.

Confirmation by Supplier Staff:

I undertake to comply with all the requirements set out in this Notice, including adhering to the UBS Compliance Policies and UBS Affirmation Online and providing all relevant disclosures required of me. I acknowledge that this undertaking is given in favour of UBS and I agree that in the event of any breach by me of any this undertaking, without limiting any right of the Supplier, UBS will have the right to enforce such undertaking directly against me.

Signature:

Brahmeshwar Singh

Dept:

FSDGTL

Name (capitals):

BRAHMESHWAR SINGH

Date of Birth:

29/09/1997

Title:

Mr

Start Date of Work for UBS:

02/March/2021

Date:

08/Feb/2021

GPIN:

EMP. No: 1043531

Supplier Staff Confidentiality Schedule

This Supplier Staff Confidentiality Agreement is entered into by Brahmeshwar Singh ('you', 'your') pursuant to the Tripartite Global Framework Agreement dated Feb 1, 2011 between:

UBS AG (London Branch), 100 Liverpool Street, London EC2M 2RH ("UBS");

and

Infosys Technologies Limited with a registered office at Plot No. 44, Electronics City, Hosur Road, Bangalore 560 100, India, and Progeon Limited with a registered office at Plot Nos 26/3, 26/4 and 26/6, Electronics City, Hosur Road, Bangalore 560 100, India (the "Supplier").

Acknowledgement

The operations of the Bank may involve you receiving or having access to or knowledge of the Bank's sensitive, confidential or secret information. It is your responsibility and obligation to maintain the highest professional standards to ensure that this information is properly and professionally handled to protect the Bank's commercial interests and to ensure compliance with regulatory and legal requirements. Failure to follow these principles will jeopardise the Bank's reputation and business, and may result in disciplinary action being taken by your employer against you.

In addition to and without altering the legal obligations you already have to keep information secret, you promise not (except for the purposes of properly performing your obligations for the Bank or unless required to do so by law or any regulatory or investigative authority) either during the course of your engagement on behalf of the Bank or after it has ended, whether deliberately or otherwise, to disclose or communicate any information that is sensitive, confidential or secret or belongs to the Bank.

You should assume that all information which you come across during your duties, that is not already obviously public knowledge, is confidential. This includes all business information, business and client data, (including information relating to trading positions or other holdings in securities, whether proprietary, discretionary or advisory), ideas, suggestions, concepts and other intellectual inputs. However, information that consists of general know-how or is a matter of your own skills or general or commercial knowledge is not confidential.

Confidential information must not be used to trade on your own account or for trading by other persons such as family or friends. If you divulge and /or abuse information in this way, you may be subject to criminal penalties and you are likely to be barred from further employment in the securities or futures industry.

Before the end of your engagement on behalf of the Bank you must return to the Bank (or, at the direction of the Bank, destroy) all confidential information without retaining it in any form. All other documents, data, manuals, security keys and other items which are the Bank's property and which may be in your possession or under your control should also be returned at this time.

All trade secrets, inventions, writings and other confidential information developed or created by or with your assistance during your engagement by the Bank in the context of the Bank's business or related activities shall be governed by the terms of the Bank's contract with your employer.

You agree that you will at the request and expense of the Bank:

- give and supply all such information and assistance that may be reasonably necessary to enable the Bank to use this intellectual property to its best advantage; and
- where ownership in the intellectual property vests in the Bank, execute all documents that may be necessary or desirable for obtaining patent or other appropriate protection for this intellectual property in such parts of the world as may be specified by the Bank.

You should be aware that you may not for any purpose whatsoever use any such intellectual property in which the rights are owned by the Bank in any way other than in the direct interest of the Bank unless you obtain proper prior written permission.

Persons receiving or having access to or knowledge of HR Information should treat such information as though it is the Bank's commercially sensitive, confidential or secret information. In addition such persons should not misuse HR Information. The misuse of HR Information includes the use of such information, whether directly or indirectly, to gain a personal advantage or to profit.

A breach of any of the responsibilities set out in this agreement may constitute misconduct and may result in summary dismissal by your employer.

Singapore Banking Secrecy

To the extent that you have access to customer information of UBS AG Singapore Branch, you shall:

1. not disclose directly or indirectly to any person, firm or company or use other than for any legitimate purposes any confidential information;
2. not, without the prior authority in writing of the Bank, remove from the Bank's premises, or copy the contents of, any documents, computer disk, tape or other tangible items which contain any confidential information or which belong to the Bank;
3. be subject to and strictly observe the provisions of Section 47 of the Singapore Banking Act (Cap 19), as amended from time to time, which states that customer information shall not, in any way, be disclosed by a bank in Singapore or any of its officers to any other person except as expressly provided in the Banking Act.

Definitions

In this agreement the following terms have the following meanings:

“Bank” means UBS AG and/or any of its affiliates or related companies.

“employee” and “employment” include arrangements legally constituting employment and also other arrangements for provision of services including those supplied by a temporary service provider or by an independent contractor/consultant.

“HR Information” means information relating to the employment status of employees within the Bank or ex or future employees and may include details relating to their compensation, performance, position or other employment data.

“intellectual property” includes but is not limited to patents, trade marks, service marks, design rights, inventions, improvements to procedures and confidential information arising or existing anywhere in the world.

Declaration

I understand that any breach of the above provisions during and after my business attachment with the Bank shall result in UBS AG reserving the right to undertake civil or criminal proceedings against me.

I have read and understood the above clauses and agree to be bound by their terms, during my business attachment with the Bank and any time thereafter.

Brahmeshwar Singh

Signature

Brahmeshwar Singh

Print Name

03 / Feb / 2021

Date

**Declaration and Consent of staff member regarding data protection / privacy and client
confidentiality / bank secrecy obligations
/use of personal information**

Last name	SINGH
First name	BRAHMESHWAR
Date of birth	29/Sep/1997
Employer / Company (name, address)	Infosys ,Pune ,Maharashtra

As a bank, UBS AG and its affiliates (hereinafter: "**UBS**") are subject to strict obligations with regard to data protection/privacy requirements, non-disclosure/ confidentiality obligations in relation to its clients' and other persons' data. These obligations also apply to me personally as a member of staff of the Employer / Company which has entered into a contract with UBS ("Agreement") and carries out work for UBS as set out in the Agreement.

I therefore acknowledge that I am bound by the following obligations as provided for by the Agreement and/or applicable law:

Confidentiality

All non-public information obtained in connection with the Agreement must be treated confidentially in line with the applicable confidentiality obligations under the Agreement. This applies in particular to:

- all information concerning clients of UBS, including knowledge of whether or not someone is a client of UBS (**banking secrecy / client confidentiality**);
- any personal data of a person be it a client or any other person such as an employee of UBS (**data protection / privacy**)
- non-public information about the business of UBS, such as its organisation, operational and technical processes, infrastructure and systems (including hardware and software), products and services, information on employees and contractual relations with third parties (**trade and business secrecy**).

Without the prior express consent of UBS, I must not:

- procure information to someone for whom it is not intended;
- make private use of information or enable third parties to do so;
- put information at the disposal of third parties or make it available in any manner whatsoever;
- remove from the premises of UBS, in whole or in part, original business documents or copies thereof either on paper or (electronic) data carriers, to misappropriate such documents in any other way or to make them available to third

parties.

The duties of confidentiality shall survive the termination of my employment with the Employer / Company.

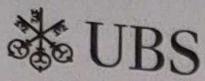
Data protection / privacy

When processing personal data, the provisions of the applicable data protection / privacy law and any other laws which govern the processing of personal data must be adhered to.

Personal data must only be collected and processed in a lawful manner, must be processed in a proportionate manner consistent with the purposes for which the data has been collected in line with the Agreement, be kept up-to-date and accurate and not be retained for longer than needed for the purposes for which it was originally collected. When processing personal data the applicable security measures must be adhered to. Personal data may not be transferred to other persons, unless expressly permitted under the Agreement.

UBS reserves the right to retain and review all messages, in particular emails and chat messages ("**Messages**"). To the extent that I have access to UBS networks, UBS computers or UBS removable media, I acknowledge I might be subject to measures to prevent the unauthorized transmission of UBS information via electronic distribution channels to external recipients or removable media, including the use of software to prevent or detect suspected data leakage or monitor information transmission.

UBS or its authorized agent may request from me the voluntary provision of data / information relating to me ("Personal Data") for the purposes of the administration and management of its services and its businesses, and/or for compliance with applicable procedures, laws and regulations. These purposes include but are not limited to management of UBS's rights or obligations under the Agreement, insurance requirements, reference checks by UBS or any other person, avoidance of existing or potential conflict of interests, or requirements of regulatory or governmental



authorities located inside or outside India.

I am aware that if I choose not to provide the aforesaid data / information to UBS or its authorized agent, UBS may request the Employer / Company to replace me with another member of staff to provide the services under the Agreement, or terminate the Agreement, as a result of my decision.

I consent to: (a) UBS having the rights to use my personal information in connection with the Agreement; and (b) I further agree, consent to and authorize UBS to hold, use, process, disclose or transfer any personal information to any branches, subsidiaries, business divisions and affiliates of UBS AG wherever incorporated or carrying on business ("UBS Group"), any consultants or advisers of the UBS Group, any third party service providers or agents providing services for or at the request of the UBS Group, any regulatory or governmental authorities or authorized bodies having jurisdiction over the UBS Group, any persons or bodies where UBS is required to do so by law or where the interests of the UBS Group or public interest require disclosure, any actual or proposed assignee or successor of any part of the UBS Group, or any person with my express or implied consent and any other person for their processing and use of the same to achieve the aforementioned purposes. I understand I may, subject to applicable laws, request for access to certain information held by UBS, as well as request correction of such information. To exercise these rights, I may contact my UBS line manager and I am aware that UBS has the right to charge me a reasonable fee for the cost of related administration in connection with any data access request.

Possible sanctions in case of breach

A breach of the obligations set out in this Declaration may constitute a breach of applicable law and lead to sanctions (imprisonment, penalty or fine) under criminal law, claims for damages under civil law and may also impact my employment relationship with the Employer / Company.

This Declaration is governed by and shall be construed in accordance with the laws of India and the courts of India shall have exclusive jurisdiction to hear and decide any action or settle any dispute which may arise in connection with this Declaration.

Place/Date:

Kaupar / 08/feb/2021

Signature:

Brahmeshwar Singh

Additional UBS Checks

- a. Do you have any family relations who work at UBS?

A family relation includes spouse, domestic partner or civil partner (or similar relationship recognised under applicable law), parent, sister, brother, child (including step-child), or equivalent laws.

Yes/No

Details: No

- b. Do you have any external directorship positions which could raise a conflict of interest to UBS?

Yes/No

No

Details:

- c. UBS will carry out a Global Background Check, if you are a Category I worker. You are required to give your consent to this check by signing this document. The Global Background Check looks at the Dow Jones Watch list and a UBS internal database for global sanctions.

Date:

08/feb/2021

Signature:

Brahmешвар Singh

Name:

BRAHMESHWAR SINGH

Letter of Authorization

To whom it may concern

I hereby authorize Infosys Limited ("Infosys") and its representative (NASSCOM Approved Agency) to verify all the information provided in my application of employment and to conduct such enquiries as may be required by Infosys Limited to verify facts of all such informations provided by me. I hereby declare that this authorization shall be valid till such time I remain an employee of Infosys Limited. I hereby authorize all individuals, private establishments, government establishments/agencies, educational institutions who may have information relevant to this enquiry to co-operate and disclose to Infosys Limited and/or its agents such information as may be required. I hereby release Infosys Limited and all its personnel and agents from any liability which could result, either directly or indirectly, from the disclosure of information by a third party to another party in response to Infosys Limited's enquiries.

Signature: Brahmeshwar Singh

Name in Block Capitals: BRAHME SHWAR SINGH

Date: 08/feb/2021

Emp ID/Can ID: 1043531

Employment Verification Form – Infosys (UBS)

Infosys Employee Number	Work Location	Date of Joining of Infosys		
1043531	Pune	[20]	[March]	[2019]

Please provide complete and correct information. Please do not use short forms / abbreviations. USE ONLY CAPTIAL/BLOCK LETTERS.

Personal Details				
Full Name [as per passport] BRAHMESHWAR SINGH				
First Name BRAHMESHWAR				
Last Name SINGH				
Middle Name				
Former Name(s) / Maiden Name (if applicable)			Gender:	
			Male <input checked="" type="checkbox"/>	Female <input type="checkbox"/>
Date of Birth			Social Security Number (For US)	
29 09 1997			Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
			Social Insurance Number (For Canada)	
			Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Father's Name [as per passport]			Passport Number	Date of Expiry of Passport
NAUBAT SINGH			S71648LL	[20] [02] [2029]
IT PAN Card			Driver's License	Date of Expiry of Driver's License
IEEPS5683K				[DD] [Month] [YYYY]
Voter (Election) Card				

Details of Addresses

(Covering Current and last 5 years) Kindly provide the Address details without any Gap

Address	Complete Address	From- Till Date Date(MM/YYYY)		Landline Telephone Number/ Cell Number	
Current Address Prominent Landmark	Vill & Post → Mala sa Dist → Kanpur Dehat St → Uttar Pradesh 209312	[09th]	[1997]	9198333529	
	– Till Date				
Pin Code [Mandatory]:					
Previous Addresses (in Chronological Order)					
Address	Complete Address	From Date(MM/YYYY)		To Date Date(MM/YYYY)	
Previous Address 1		[Month]	[Year]	[Month]	[Year]
	Pin Code [Mandatory]:				
Previous Address 2		[Month]	[Year]	[Month]	[Year]
	Pin Code [Mandatory]:				
Previous Address 3		[Month]	[Year]	[Month]	[Year]
	Pin Code [Mandatory]:				
Previous Address 4		[Month]	[Year]	[Month]	[Year]
	Pin Code [Mandatory]:				
Previous Address 5		[Month]	[Year]	[Month]	[Year]
	Pin Code [Mandatory]:				

Educational Qualifications

Educational Record -(Please account for all your qualifications from Graduation onwards)					
Please attach copy of Degree certificate and Consolidated Marks List for education verification					
Full College Name (1)		Pranveer Singh Institute of Technology and college of Engineering Kanpur-Agra-Delhi NH2, Bhawni, Kanpur UP (209305)			
College Address					
Full University Name and Address		Lucknow University (AKTU University)			
From [Aug] [2014]	To [Jun] [2018]	Graduated: Yes/No Yes	Program: Full time/part time full time	Roll No / Enrollment No. 1434810025	
Type of degree [Bachelors/ Masters] Bachelor			Graduation Date [as per certificate] [June] [2018]	Subject Major/ Branch/ Discipline Computer Science & Engg	
Copy of the Certificate Attached		<input type="checkbox"/> Yes/No			
Copy of the Consolidated Marks List Attached		<input checked="" type="checkbox"/> Yes/No			
Full College Name (2)					
College Address					
Full University Name and Address					
From [Month] [YYYY]	To [Month] [YYYY]	Graduated: Yes/No	Program: Full time/part time	Roll No / Enrollment No.	
Type of degree [Bachelors/ Masters]			Graduation Date [as per certificate]	Subject Major/ Branch/ Discipline	
			[Month] [YYYY]		
Copy of the Certificate Attached		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Copy of the Consolidated Marks Sheet Attached		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

Employment section: Please give details of all previous employers covering last 5 years.

Ensure that you are descriptive wherever necessary – e.g. If Company is closed, do mention it. Company Contact Details and Employee Number/ ID/ Personnel Number are mandatory. If your last employer did not provide one, please mention and state reasons for the same.

Please attach a copy of the relieving letter for the previous employments.

Details of Current Employer		
Company Name (1): Infosys Ltd.		Position Held & Department
Infosys Location Address: Hinjewadi-Phase-2 Rajiv Gandhi infotech Park, Pune Maharashtra 411057		Telephone:
Date of Joining: [12] [March] [2019]	Employee Number/ ID/ Personnel Number: 1043531	Name of Reporting Manager/ HR Manager Nitin Patil
Designation & Department of Reporting Manager Senior Project Manager	Contact No:	Email ID: Nitin.Patil01@infosys.com
Nature of the employment - <input checked="" type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Contractual		
Agency Details (if temporary or contractual):		
Can a reference be taken now? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If No, when can it be taken?		

Details of Previous Employers (Covering last 5 years)

Please attach copy of Experience/ Service Certificate/ Relieving letter for employment verification

Company Name (2):			Position Held & Department
Address (location where the employee has worked):			Telephone:
Employment Period: (date, month, year)		Employee Number/ ID/ Personnel Number:	Name of Reporting Manager/ HR Manager
From Date: [DD] [Month] [YYYY]			
To Date: [DD] [Month] [YYYY]			
Designation & Department of Reporting Manager		Contact No:	Email ID:
Nature of the employment - <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Contractual			
Agency Details (if temporary or contractual)			
Reason(s) for Leaving		Copy of the Experience/ Service Certificate/ Relieving letter Attached <input type="checkbox"/> Yes <input type="checkbox"/> No	

Company Name (3):			Position Held & Department
Address (location where the employee has worked):			Telephone:
Employment Period: (date, month, year)		Employee Number/ ID/ Personnel Number:	Name of Reporting Manager/ HR Manager
From Date: [DD] [Month] [YYYY]			
To Date: [DD] [Month] [YYYY]			
Designation & Department of Reporting Manager		Contact No:	Email ID:
Nature of the employment - <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Contractual			

Agency Details (if temporary or contractual)		
Reason(s) for Leaving	Copy of the Experience/ Service Certificate/ Relieving letter Attached <input type="checkbox"/> Yes <input type="checkbox"/> No	
Company Name (4):		Position Held & Department
Address (location where the employee has worked):		Telephone:
Employment Period: (date, month, year)	Employee Number/ ID/ Personnel Number:	Name of Reporting Manager/ HR Manager
From Date: [DD] [Month] [YYYY] To Date: [DD] [Month] [YYYY]		
Designation & Department of Reporting Manager	Contact No:	Email ID:
Nature of the employment - <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary <input type="checkbox"/> Contractual		
Agency Details (if temporary or contractual)		
Reason(s) for Leaving	Copy of the Experience/ Service Certificate/ Relieving letter Attached <input type="checkbox"/> Yes <input type="checkbox"/> No	

Note: Please add more boxes if required to cover employment period of last 5 years

Please account for all gaps in employment to employment during the last five years.
 Any gap of 3 month or more should be explained with reason

From Date	To Date	Reason
[DD] [Month] [YYYY]	[DD] [Month] [YYYY]	
[DD] [Month] [YYYY]	[DD] [Month] [YYYY]	
[DD] [Month] [YYYY]	[DD] [Month] [YYYY]	