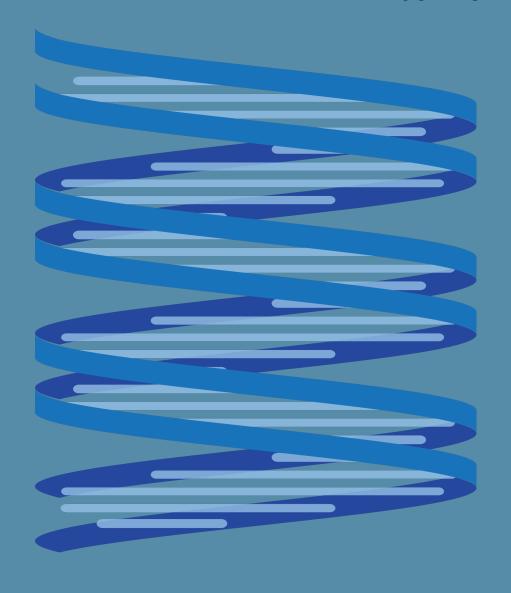
JENETICS

LIBRARY USER'S MANUAL



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http://jenetics.io

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Abstract

Jenetics is an Genetic Algorithm and Evolutionary Algorithm library, respectively, written in modern day Java. It is designed with a clear separation of the several algorithm concepts, e. g. Gene, Chromosome, Genotype, Phenotype, Population and fitness Function. Jenetics allows you to minimize or maximize the given fitness function without tweaking it. In contrast to other GA implementations, the library uses the concept of an evolution <code>stream</code> (EvolutionStream) for executing the evolution steps. Since the EvolutionStream implements the Java Stream interface, it works smoothly with the rest of the Java Stream API. This manual describes the concepts implemented in the <code>Jenetics</code> project and gives examples and best practice tips.

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1 Introduction

Jenetics is a library, written in Java¹, which provides an genetic algorithm (GA) implementation. It has no runtime dependencies to other libraries, except the Java 8 runtime. Since the library is available on maven central repository², it can be easily integrated into existing projects. The very clear structuring of the different parts of the GA allows an easy adaption for different problem domains.

This manual is not an introduction or a tutorial for genetic and/or evolutionary algorithms in general. It is assumed that the reader has a knowledge about the structure and the functionality of genetic algorithms. Good introductions to GAs can be found in [17], [9], [16], [8], [10] or [21].

To give a first impression of the library usage, lets start with a simple »Hello World« program. This first example implements the well known bit-counting problem.

```
import org.jenetics.BitChromosome;
  import org.jenetics.BitGene;
   import org.jenetics.Genotype;
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionResult;
  import org.jenetics.util.Factory;
   public final class HelloWorld {
       // 2.) Definition of the fitness function.
9
       private static Integer eval(final Genotype<BitGene> gt) {
10
11
           return ((BitChromosome) gt.getChromosome()).bitCount();
12
13
       public static void main(final String[] args) {
14
           // 1.) Define the genotype (factory) suitable
15
                  for the problem.
16
           final Factory < Genotype < Bit Gene >> gtf =
17
18
               Genotype. of (BitChromosome. of (10, 0.5));
19
           // 3.) Create the execution environment.
20
           final Engine < BitGene, Integer > engine = Engine
21
               .builder (HelloWorld::eval, gtf)
22
                .build();
23
           // 4.) Start the execution (evolution) and
25
26
                  collect the result.
           final Genotype < BitGene > result = engine.stream()
27
               .limit(100)
28
               . collect (EvolutionResult . toBestGenotype());
29
30
           System.out.println("Hello World:\n\t" + result);
31
32
       }
33
```

Listing 1: »Hello World« GA

¹The library is build with and depends on Java SE 8: http://www.oracle.com/technetwork/java/javase/downloads/index.html

²If you are using Gradle, you can use the following dependency string: »io.jenetics:-jenetics:3.8.0«.

In contrast to other GA implementations, **Jenetics** uses the concept of an evolution *stream* (EvolutionStream) for executing the evolution steps. Since the EvolutionStream implements the Java Stream interface, it works smoothly with the rest of the Java Stream API. Now let's have a closer look at listing 1 on the preceding page and discuss this simple program step by step:

- 1. The probably most challenging part, when setting up a new evolution Engine, is to transform the problem domain into an appropriate Genotype (factory) representation.³ In our example we want to count the number of *ones* of a BitChromosome. Since we are counting only the ones of one chromosome, we are adding only one BitChromosome to our Genotype. In general, the Genotype can be created with 1 to n chromosomes. For a detailed description of the genotype's structure have a look at section 3.1.3 on page 7.
- 2. Once this is done, the fitness function, which should be maximized, can be defined. Utilizing the new language features introduced in Java 8, we simply write a private static method, which takes the genotype we defined and calculate it's fitness value. If we want to use the optimized bit-counting method, bitCount(), we have to cast the Chromosome
 BitGene> class to the actual used BitChromosome class. Since we know for sure that we created the Genotype with a BitChromosome, this can be done safely. A reference to the eval method is then used as fitness function and passed to the Engine.build method.
- 3. In the third step we are creating the *evolution* Engine, which is responsible for changing, respectively evolving, a given population. The Engine is highly configurable and takes parameters for controlling the evolutionary and the computational environment. For changing the evolutionary behavior, you can set different alterers and selectors (see section 3.2 on page 11). By changing the used Executor service, you control the number of threads, the Engine is allowed to use. An new Engine instance can only be created via its builder, which is created by calling the Engine.builder method.
- 4. In the last step, we can create a new EvolutionStream from our Engine. The EvolutionStream is the model (or view) of the evolutionary process. It serves as a »process handle« and also allows you, among other things, to control the termination of the evolution. In our example, we simply truncate the stream after 100 generations. If you don't limit the stream, the EvolutionStream will not terminate and run forever. The final result, the best Genotype in our example, is then collected with one of the predefined collectors of the EvolutionResult class.

As the example shows, **Jenetics** makes heavy use of the Stream and Collector classes in Java 8. Also the newly introduced lambda expressions and the functional interfaces (SAM types) play an important roll in the library design.

There are many other GA implementations out there and they may slightly differ in the order of the single execution steps. **Jenetics** uses an classical approach. Listing 2 on the following page shows the (imperative) pseudo-code of the **Jenetics** genetic algorithm steps.

 $^{^3{\}rm Section}$ 6.1 on page 43 describes some common problem encodings.

Listing 2: Genetic algorithm

Line (1) creates the initial population and line (2) calculates the fitness value of the individuals. The initial population is created implicitly before the first evolution step is performed. Line (4) increases the generation number and line (5) and (6) selects the survivor and the offspring population. The offspring/survivor fraction is determined by the offspringFraction property of the Engine.Builder. The selected offspring are altered in line (7). The next line combines the survivor population and the altered offspring population—after removing the died individuals—to the new population. The steps from line (4) to (9) are repeated until a given termination criterion is fulfilled.

2 Architecture

The basic metaphor of the **Jenetics** library is the *Evolution Stream*, implemented via the Java 8 Stream API. Therefore it is no longer necessary (and advised) to perform the evolution steps in an *imperative* way. An evolution stream is powered by—and bound to—an *Evolution Engine*, which performs the needed *evolution* steps for each generation; the steps are described in the body of the while-loop of listing 2.

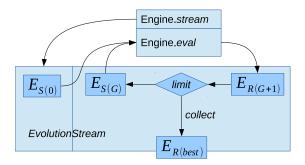


Figure 2.1: Evolution workflow

The described evolution workflow is also illustrated in figure 2.1, where $E_{S(i)}$ denotes the EvolutionStart object at generation i and $E_{R(i)}$ the Evolution-Result at the i^{th} generation. Once the evolution Engine is created, it can be used by multiple EvolutionStreams, which can be safely used in different execution threads. This is possible, because the evolution Engine doesn't have any mutable global state. It is practically a stateless function, $f_E: P \to P$, which maps a start population, P, to an evolved result population. The Engine function, f_E , is, of course, non-deterministic. Calling it twice with the same start population will lead to different result populations.

The evolution process terminates, if the EvolutionStream is truncated and the EvolutionStream truncation is controlled by the limit predicate. As long as the predicate returns true, the evolution is continued.⁴ At last, the EvolutionResult is collected from the EvolutionStream by one of the available EvolutionResult collectors.



Figure 2.2: Evolution engine model

Figure 2.2 shows the *static* view of the main *evolution* classes, together with its dependencies. Since the Engine class itself is immutable, and can't be changed after creation, it is instantiated (configured) via a builder. The Engine can be used to create an arbitrary number of EvolutionStreams. The EvolutionStream is used to control the evolutionary process and collect the final result. This is done in the same way as for the normal <code>java.util.stream.-Stream</code> classes. With the additional <code>limit(Predicate)</code> method, it is possible to truncate the EvolutionStream if some termination criteria is fulfilled. The separation of Engine and EvolutionStream is the separation of the evolution definition and evolution execution.

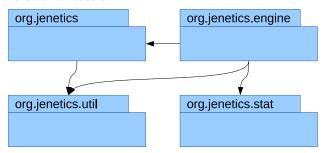


Figure 2.3: Package structure

In figure 2.3 the package structure of the library is shown and it consists of the following packages:

org.jenetics This is the base package of the **Jenetics** library and contains all domain classes, like **Gene**, **Chromosome** or **Genotype**. Most of this types are immutable data classes and doesn't implement any behavior. It also contains the **Selector** and **Alterer** interfaces and its implementations. The classes in this package are (almost) sufficient to implement an own GA.

org.jenetics.engine This package contains the actual GA implementation classes, e. g. Engine, EvolutionStream or EvolutionResult. They mainly operate on the domain classes of the org.jenetics package.

⁴See section 6.5 on page 57 for a detailed description of the available termination strategies.

- org.jenetics.stat This package contains additional statistics classes which are not available in the Java core library. Java only includes classes for calculating the sum and the average of a given numeric stream (e. g. Double-SummaryStatistics). With the additions in this package it is also possible to calculate the variance, skewness and kurtosis—using the Double-MomentStatistics class. The EvolutionStatistics object, which can be calculated for every generation, relies on the classes of this package.
- org.jenetics.util This package contains the collection classes (Seq, ISeq and MSeq) which are used in the public interfaces of the Chromosome and Genotype. It also contains the RandomRegistry class, which implements the global PRNG lookup, as well as helper IO classes for serializing Genotypes and whole Populations.

3 Base classes

This chapter describes the main classes which are needed to setup and run an genetic algorithm with the **Jenetics**⁵ library. They can roughly divided into three types:

Domain classes This classes form the domain model of the evolutionary algorithm and contain the structural classes like **Gene** and **Chromosome**. They are located in the org.jenetics package.

Operation classes This classes operates on the domain classes and includes the Alterer and Selector classes. They are also located in the org-.jenetics package.

Engine classes This classes implements the actual evolutionary algorithm and reside solely in the org.jenetics.engine package.

3.1 Domain classes

Most of the domain classes are pure data classes and can be treated as *value* objects⁶. All Gene and Chromosome implementations are immutable as well as the Genotype and Phenotype class. The only exception is the Population class, where it is possible to add and/or remove elements after it's creation.

Figure 3.1 on the following page shows the class diagram of the domain classes. All domain classes are located in the org.jenetics package. The Gene is the base of the class structure. Genes are aggregated in Chromosomes. One to n Chromosomes are aggregated in Genotypes. A Genotype and a fitness Function form the Phenotype, which are collected into a Population.

3.1.1 Gene

Genes are the basic building blocks of the **Jenetics** library. They contain the actual information of the encoded solution, the allele. Some of the implementations also contains domain information of the *wrapped* allele. This is the case

⁵The documentation of the whole API is part of the download package or can be viewed online: http://jenetics.io/javadoc/org.jenetics/3.8/index.html.

⁶https://en.wikipedia.org/wiki/Value_object

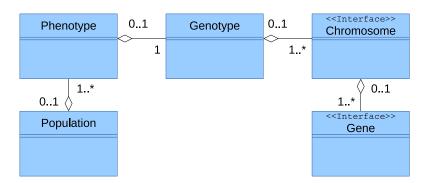


Figure 3.1: Domain model

for all BoundedGene, which contain the allowed minimum and maximum values. All Gene implementations are final and immutable. In fact, they are all value-based classes and fulfill the properties which are described in the Java 8 API documentation[13].⁷

Beside the container functionality for the allele, every **Gene** is its own factory and is able to create new, random instances of the same type and with the same constraints. The factory methods are used by the **Alterers** for creating new **Genes** from the existing one and play a crucial role by the exploration of the problem space.

```
public interface Gene<A, G extends Gene<A, G>>
extends Factory<G>, Verifiable

{
   public A getAllele();
   public G newInstance();
   public G newInstance(A allele);
   public boolean isValid();
}
```

Listing 3: Gene interface

Listing 3 shows the most important methods of the Gene interface. The <code>isValid</code> method, introduced by the <code>Verifiable</code> interface, allows the gene to mark itself as invalid. All invalid genes are replaced with new ones during the evolution phase.

The available Gene implementations in the **Jenetics** library should cover a wide range of problem encodings. Refer to chapter 5.1 on page 37 for how to implement your own Gene types.

3.1.2 Chromosome

A Chromosome is a collection of Genes which contains at least one Gene. This allows to encode problems which requires more than one Gene. Like the Gene interface, the Chromosome is also it's own factory and allows to create a new Chromosome from a given Gene sequence.

```
public interface Chromosome G extends Gene C, G extends Factory Chromosome G, Iterable G, Verifiable
```

 $^{^7\}mathrm{It}$ is also worth reading the blog entry from Stephen Colebourne: <code>http://blog.joda.org/2014/03/valjos-value-java-objects.html</code>

```
public Chromosome<G> newInstance(ISeq<G> genes);

public G getGene(int index);

public ISeq<G> toSeq();

public Stream<G> stream();

public int length();

}
```

Listing 4: Chromosome interface

Listing 4 on the previous page shows the main methods of the Chromosome interface. This are the methods for accessing single Genes by index and as an ISeq respectively, and the factory method for creating a new Chromosome from a given sequence of Genes. The factory method is used by the Alterer classes which were able to create altered Chromosome from a (changed) Gene sequence.

3.1.3 Genotype

The central class, the evolution Engine is working with, is the Genotype. It is the *structural* and immutable representative of an individual and consists of one to *n* Chromosomes. All Chromosomes must be parameterized with the same Gene type, but it is allowed to have different lengths and constraints. The allowed minimal- and maximal values of a NumericChromosome is an example of such a constraint. Within the same chromosome, all numeric gene alleles must lay within the defined minimal- and maximal values.

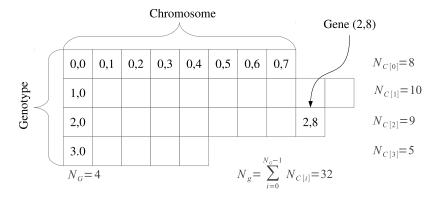


Figure 3.2: Genotype structure

Figure 3.2 shows the Genotype structure. A Genotype consists of N_G Chromosomes and a Chromosome consists of $N_{C[i]}$ Genes (depending on the Chromosome). The overall number of Genes of a Genotype is given by the sum of the Chromosome's Genes, which can be accessed via the Genotype.get-NumberOfGenes() method:

$$N_g = \sum_{i=0}^{N_G - 1} N_{C[i]} \tag{3.1}$$

As already mentioned, the Chromosomes of a Genotype doesn't have to have necessarily the same size. It is only required that all genes are from the same

type and the Genes within a Chromosome have the same constraints; e. g. the same min- and max values for numerical Genes.

```
Genotype<DoubleGene> genotype = Genotype.of(
DoubleChromosome.of(0.0, 1.0, 8),
DoubleChromosome.of(1.0, 2.0, 10),
DoubleChromosome.of(0.0, 10.0, 9),
DoubleChromosome.of(0.1, 0.9, 5)

DoubleChromosome.of(0.1, 0.9, 5)
```

The code snippet in the listing above creates a Genotype with the same structure as shown in figure 3.2 on the previous page. In this example the DoubleGene has been chosen as Gene type.

Genotype vector The Genotype is essentially a two-dimensional composition of Genes. This makes it trivial to create Genotypes which can be treated as a Gene matrices. If its needed to create a vector of Genes, there are two possibilities to do so:

- 1. creating a row-major or
- 2. creating a column-major

Genotype vector. Each of the two possibilities have specific advantages and disadvantages.

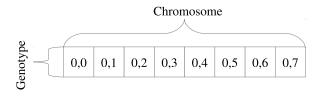


Figure 3.3: Row-major Genotype vector

Figure 3.3 shows a Genotype vector in row-major layout. A Genotype vector of length n needs one Chromosome of length n. Each Gene of such a vector obeys the same constraints. E. g., for Genotype vectors containing NumericGenes, all Genes must have the same minimum and maximum values. If the problem space doesn't need to have different minimum and maximum values, the row-major Genotype vector is the preferred choice. Beside the easier Genotype creation, the available Recombinator alterers are more efficient in exploring the search domain.

If the problem space allows equal Gene constraint, the row-major Genotype vector encoding should be chosen. It is easier to create and the available Recombinator classes are more efficient in exploring the search domain.

The following code snippet shows the creation of a row-major Genotype vector. All Alterers derived from the Recombinator do a fairly good job in exploring the problem space for row-major Genotype vector.

```
Genotype<DoubleGene> genotype = Genotype.of(
DoubleChromosome.of(0.0, 1.0, 8)

);
```

The column-major Genotype vector layout must be chosen when the problem space requires components (Genes) with different constraints. This is almost the *only* reason for choosing the column-major layout. The layout of this Genotype vector is shown in 3.4. For a vector of length n, n Chromosomes of length *one* are needed.

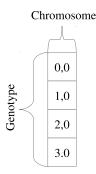


Figure 3.4: Column-major Genotype vector

The code snippet below shows how to create a Genotype vector in column-major layout. It's a little more effort to create such a vector, since every Gene has to be wrapped into a separate Chromosome. The DoubleChromosome in the given example has length of one, when the length parameter is omitted.

```
Genotype<DoubleGene> genotype = Genotype.of(
DoubleChromosome.of(0.0, 1.0),

DoubleChromosome.of(1.0, 2.0),

DoubleChromosome.of(0.0, 10.0),

DoubleChromosome.of(0.1, 0.9)

Output

DoubleChromosome.of(0.1, 0.9)
```

The greater flexibility of a column-major Genotype vector has to be payed with a lower exploration capability of the Recombinator alterers. Using Crossover alterers will have the same effect as the SwapMutator, when used with row-major Genotype vectors. Recommended alterers for vectors of NumericGenes are:

- MeanAlterer8,
- LineCrossover⁹ and
- IntermediateCrossover¹⁰

See also 6.2.2 on page 51 for an advanced description on how to use the predefined vector codecs.

```
<sup>8</sup>See 3.2.2 on page 19.

<sup>9</sup>See 3.2.2 on page 19.
```

 $^{^{10}}$ See 3.2.2 on page 20.

Genotype scalar A very special case of a Genotype contains only one Chromosome with length one. The layout of such a Genotype scalar is shown in 3.5. Such Genotypes are mostly used for encoding real function problems.



Figure 3.5: Genotype scalar

How to create a Genotype for a real function optimization problem, is shown in the code snippet below. The recommended Alterers are the same as for column-major Genotype vectors: MeanAlterer, LineCrossover and IntermediateCrossover.

```
Genotype<DoubleGene> genotype = Genotype.of(
DoubleChromosome.of(0.0, 1.0)

);
```

See also 6.2.1 on page 50 for an advanced description on how to use the predefined scalar codecs.

3.1.4 Phenotype

The Phenotype is the *actual* representative of an individual and consists of the Genotype and the fitness Function, which is used to (lazily) calculate the Genotype's fitness value.¹¹ It is *only* a container which forms the *environment* of the Genotype and doesn't change the structure. Like the Genotype, the Phenotype is immutable and can't be changed after creation.

```
public final class Phenotype<
      G extends Gene <?, G>
2
      C extends Comparable <? super C>
3
5
       implements Comparable<Phenotype<G, C>>
6
7
       public C getFitness();
       public Genotype<G> getGenotype();
       public long getAge(long currentGeneration);
9
       public void evaluate();
10
11
```

Listing 5: Phenotype class

Listing 5 shows the main methods of the Phenotype. The fitness property will return the actual fitness value of the Genotype, which can be fetched with the getGenotype method. To make the runtime behavior predictable, the fitness value is evaluated lazily. Either by querying the fitness property or through the call of the evaluate method. The evolution Engine is calling the evaluate method in a separate step and makes the fitness evaluation time available through the EvolutionDurations class. Additionally to the fitness value, the

¹¹Since the fitness Function is shared by all Phenotypes, calls to the fitness Function must be idempotent. See section 3.3.1 on page 20.

Phenotype contains the generation when it was created. This allows to calculate the current age and the removal of overaged individuals from the Population.

3.1.5 Population

The end of the class hierarchy of the domain model is the Population. It is a collection of individuals and forms the start and the end of an evolution step.

Listing 6: Population class

Listing 6 gives on overview of the most important methods of the Population class. In addition to the List methods, it provides a method for sorting the Phenotypes. Some Selector implementations require a sorted list of individuals according its fitness value. Calling population.sortWith(optimize.descending()) will sort the Population, so that the first element will be the individual with the best fitness.

3.2 Operation classes

Genetic operators are used for creating *genetic* diversity (Alterer) and selecting potentially useful solutions for recombination (Selector). This section gives an overview about the genetic operators available in the **Jenetics** library. It also contains some *theoretical* information, which should help you to choose the right combination of operators and parameters, for the problem to be solved.

3.2.1 Selector

Selectors are responsible for selecting a given number of individuals from the population. The selectors are used to divide the population into *survivors* and *offspring*. The selectors for offspring and for the survivors can be chosen independently.

The selection process of the **Jenetics** library acts on Phenotypes and indirectly, via the fitness function, on Genotypes. Direct Gene- or Population selection is not supported by the library.

```
Engine < DoubleGene, Double> engine = Engine.builder (...)

offspringFraction (0.7)

survivorsSelector (new RouletteWheelSelector <>())

offspringSelector (new TournamentSelector <>())

build ();
```

The offspringFraction, $f_O \in [0,1]$, determines the number of selected offspring

$$N_{O_q} = ||O_g|| = \text{rint}(||P_g|| \cdot f_O)$$
 (3.2)

and the number of selected survivors

$$N_{S_a} = ||S_a|| = ||P_a|| - ||O_a||. (3.3)$$

The **Jenetics** library contains the following selector implementations:

- TournamentSelector
- TruncationSelector
- MonteCarloSelector
- ProbabilitySelector
- RouletteWheelSelector
- LinearRankSelector
- ExponentialRankSelector
- BoltzmannSelector
- StochasticUniversalSelector

Beside the well known standard selector implementation the Probability-Selector is the base of a set of fitness proportional selectors.

Tournament selector In tournament selection the best individual from a random sample of s individuals is chosen from the population Pg. The samples are drawn with replacement. An individual will win a tournament only if the fitness is greater than the fitness of the other s-1 competitors. Note that the worst individual never survives, and the best individual wins in all the tournaments it participates. The selection pressure can be varied by changing the tournament sizes. For large values of s, weak individuals have less chance of being selected.

Truncation selector In truncation selection individuals are sorted according to their fitness. (This is one of the selectors, which relies on the **sortWith** method of the **Population** class.) Only the n best individuals are selected. The truncation selection is a very basic selection algorithm. It has it's strength in fast selecting individuals in large populations, but is not very often used in practice.

Monte Carlo selector The Monte Carlo selects the individuals from a given population randomly. This selector can be used to measure the performance of a other selectors. In general, the performance of a selector should be better than the selection performance of the Monte Carlo selector.

Probability selectors Probability selectors are a variation of *fitness proportional* selectors and selects individuals from a given population based on it's selection probability P(i). Fitness proportional selection works as shown in figure 3.6. An uniform distributed random number $r \in [0, F)$ specifies which individual is selected, by argument minimization:

$$i \leftarrow \underset{n \in [0,N)}{\operatorname{argmin}} \left\{ r < \sum_{i=0}^{n} f_i \right\}, \tag{3.4}$$

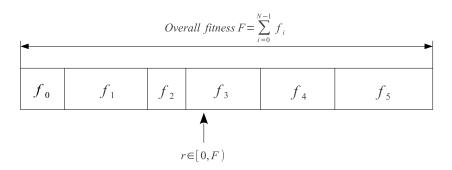


Figure 3.6: Fitness proportional selection

where N is the number of individuals and f_i the fitness value of the ith individual. The probability selector works the same way, only the fitness value f_i is replaced by the individual's selection probability P(i). It is not necessary to sort the population. The selection probability of an individual i follows a binomial distribution

$$P(i,k) = \binom{n}{k} P(i)^{k} (1 - P(i))^{n-k}$$
(3.5)

where n is the overall number of selected individuals and k the number of individuali in the set of selected individuals. The runtime complexity of the implemented probability selectors is $O(n + \log(n))$ instead of $O(n^2)$ as for the naive approach: A binary (index) search is performed on the summed probability array.

Roulette-wheel selector The roulette-wheel selector is also known as fitness proportional selector. In the **Jenetics** library it is implemented as *probability* selector. The fitness value f_i is used to calculate the selection probability of individual i.

$$P(i) = \frac{f_i}{\sum_{j=1}^{N} f_j}$$
 (3.6)

Selecting n individuals from a given population is equivalent to play n times on the roulette-wheel. The population don't have to be sorted before selecting the individuals. Roulette-wheel selection is one of the traditional selection strategies.

Linear-rank selector In linear-ranking selection the individuals are sorted according to their fitness values. The rank N is assigned to the best individual and the rank 1 to the worst individual. The selection probability P(i) of individual i is linearly assigned to the individuals according to their rank.

$$P(i) = \frac{1}{N} \left(n^{-} + \left(n^{+} - n^{-} \right) \frac{i - 1}{N - 1} \right). \tag{3.7}$$

Here $\frac{n^-}{N}$ is the probability of the worst individual to be selected and $\frac{n^+}{N}$ the probability of the best individual to be selected. As the population size is held constant, the condition $n^+ = 2 - n^-$ and $n^- \ge 0$ must be fulfilled. Note that

all individuals get a different rank, respectively a different selection probability, even if they have the same fitness value.[5]

Exponential-rank selector An alternative to the *weak* linear-rank selector is to assign survival probabilities to the sorted individuals using an exponential function:

$$P(i) = (c-1)\frac{c^{i-1}}{c^N - 1},$$
(3.8)

where c must within the range [0...1). A small value of c increases the probability of the best individual to be selected. If c is set to zero, the selection probability of the best individual is set to one. The selection probability of all other individuals is zero. A value near one equalizes the selection probabilities. This selector sorts the population in descending order before calculating the selection probabilities.

Boltzmann selector The selection probability of the Boltzmann selector is defined as

$$P(i) = \frac{e^{b \cdot f_i}}{Z},\tag{3.9}$$

where b is a parameter which controls the selection intensity and Z is defined as

$$Z = \sum_{i=1}^{n} e^{f_i}.$$
 (3.10)

Positive values of b increases the selection probability of individuals with high fitness values and negative values of b decreases it. If b is zero, the selection probability of all individuals is set to $\frac{1}{N}$.

Stochastic-universal selector Stochastic-universal selection [1] (SUS) is a method for selecting individuals according to some given probability in a way that minimizes the chance of fluctuations. It can be viewed as a type of roulette game where we now have p equally spaced points which we spin. SUS uses a single random value for selecting individuals by choosing them at equally spaced intervals. The selection method was introduced by James Baker. [2] Figure 3.7

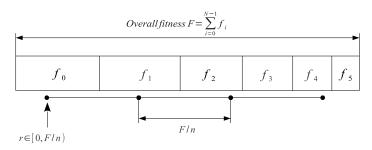


Figure 3.7: Stochastic-universal selection

shows the function of the stochastic-universal selection, where n is the number of individuals to select. Stochastic universal sampling ensures a selection of offspring, which is closer to what is deserved than roulette wheel selection. [17]

3.2.2 Alterer

The problem encoding (representation) determines the bounds of the search space, but the Alterers determine how the space can be traversed: Alterers are responsible for the genetic diversity of the EvolutionStream. The two Alterer types used in **Jenetics** are:

- 1. mutation and
- 2. recombination (e. g. crossover).

First we will have a look at the mutation — There are two distinct roles *mutation* plays in the evolution process:

- 1. **Exploring the search space**: By making small moves, mutation allows a population to explore the search space. This exploration is often slow compared to crossover, but in problems where crossover is disruptive this can be an important way to explore the landscape.
- 2. **Maintaining diversity**: Mutation prevents a population from correlating. Even if most of the search is being performed by crossover, mutation can be vital to provide the diversity which crossover needs.

The mutation probability, P(m), is the parameter that must be optimized. The optimal value of the mutation rate depends on the role mutation plays. If mutation is the only source of exploration (if there is no crossover), the mutation rate should be set to a value that ensures that a reasonable neighborhood of solutions is explored.

The mutation probability, P(m), is defined as the probability that a specific gene, over the whole population, is mutated. That means, the (average) number of genes mutated by a mutator is

$$\hat{\mu} = N_P \cdot N_g \cdot P(m) \tag{3.11}$$

where N_g is the number of available genes of a genotype and N_P the population size (revere to equation 3.1 on page 7).

Mutator The mutator has to deal with the problem, that the genes are arranged in a 3D structure (see chapter 3.1.3). The mutator selects the gene which will be mutated in three steps:

- 1. Select a genotype G[i] from the population with probability $P_G(m)$,
- 2. select a chromosome C[j] from the selected genotype G[i] with probability $P_C(m)$ and
- 3. select a gene g[k] from the selected chromosome C[j] with probability $P_g(m)$.

The needed sub-selection probabilities are set to

$$P_G(m) = P_C(m) = P_g(m) = \sqrt[3]{P(m)}.$$
 (3.12)

Gaussian mutator The Gaussian mutator performs the mutation of number genes. This mutator picks a new value based on a Gaussian distribution around the current value of the gene. The variance of the new value (before clipping to the allowed gene range) will be

$$\hat{\sigma}^2 = \left(\frac{g_{max} - g_{min}}{4}\right)^2 \tag{3.13}$$

where g_{min} and g_{max} are the valid minimum and maximum values of the number gene. The new value will be cropped to the gene's boundaries.

Swap mutator The swap mutator changes the order of genes in a chromosome, with the hope of bringing related genes closer together, thereby facilitating the production of building blocks. This mutation operator can also be used for combinatorial problems, where no duplicated genes within a chromosome are allowed, e. g. for the TSP.

The second alterer type is the recombination — An enhanced genetic algorithm (EGA) combine elements of existing solutions in order to create a new solution, with some of the properties of each parents. Recombination creates a new chromosome by combining parts of two (or more) parent chromosomes. This combination of chromosomes can be made by selecting one or more crossover points, splitting these chromosomes on the selected points, and merge those portions of different chromosomes to form new ones.

```
void recombine (final Population <G, C> pop) {
2
           // Select the Genotypes for crossover
           final Random random = RandomRegistry.getRandom();
           final int i1 = random.nextInt(pop.length());
           final int i2 = random.nextInt(pop.length());
           final Phenotype<G, C> pt1 = pop.get(i1);
           final Phenotype <G, C> pt2 = pop.get(2);
7
           final Genotype<G> gt1 = pt1.getGenotype();
           final Genotype < g> gt2 = pt2.getGenotype();
10
           //Choosing the Chromosome for crossover.
11
           final int chIndex =
12
                 random.nextInt\left(\min\left(\,gt1.length\left(\,\right)\,,\;\;gt2.length\left(\,\right)\,\right)\,\right);
13
           final MSeq<Chromosome<G>> c1 = gt1.toSeq().copy();
14
           final MSeq<Chromosome\langle G \rangle > c2 = gt2.toSeq().copy();
15
           \label{eq:final_MSeq_Seq} \textbf{final} \hspace{0.2cm} \operatorname{MSeq}(G\hspace{-0.2cm}\gt) \hspace{0.2cm} \operatorname{genes1} \hspace{0.2cm} = \hspace{0.2cm} \operatorname{c1.get} \hspace{0.2cm} \left(\hspace{0.2cm} \operatorname{chIndex}\hspace{0.2cm}\right) . \hspace{0.2cm} \operatorname{toSeq}\hspace{0.2cm} \left(\hspace{0.2cm}\right) . \hspace{0.2cm} \operatorname{copy}\hspace{0.2cm} \left(\hspace{0.2cm}\right) ;
16
           final MSeq \ll G \Rightarrow genes2 = c2.get(chIndex).toSeq().copy();
17
18
19
           // Perform the crossover.
           crossover(genes1, genes2);
20
           {\tt c1.set(chIndex,\ c1.get(chIndex).newInstance(genes1.toISeq()));}\\
21
           c2.set(chIndex, c2.get(chIndex).newInstance(genes2.toISeq()));
22
23
           //Creating two new Phenotypes and replace the old one
24
25
           pop.set \left(\hspace{.05cm} i1\hspace{.1cm},\hspace{.1cm} pt1.\hspace{.05cm} newInstance \left(\hspace{.05cm} gt1.\hspace{.05cm} newInstance \left(\hspace{.05cm} c1.\hspace{.05cm} toISeq \hspace{.05cm} (\hspace{.05cm})\hspace{.05cm}\right)\hspace{.05cm}\right);
           pop.set(i2, pt2.newInstance(gt1.newInstance(c2.toISeq())));
26
27 }
```

Listing 7: Chromosome selection for recombination

Listing 7 on the preceding page shows how two chromosomes are selected for *recombination*. It is done this way for preserving the given *constraints* and to avoid the creation of invalid individuals.

Because of the possible different Chromosome length and/or Chromosome constraints within a Genotype, only Chromosomes with the same Genotype position are recombined (see listing 7 on the previous page).

The recombination probability, P(r), determines the probability that a given individual (genotype) of a population is selected for recombination. The (mean) number of changed individuals depend on the concrete implementation and can be vary from $P(r) \cdot N_G$ to $P(r) \cdot N_G \cdot O_R$, where O_R is the order of the recombination, which is the number of individuals involved in the **combine** method.

Single-point crossover The single-point crossover changes two children chromosomes by taking two chromosomes and cutting them at some, randomly chosen, site. If we create a child and its complement we preserve the total number of genes in the population, preventing any genetic drift. Single-point crossover is the classic form of crossover. However, it produces very slow mixing compared with multi-point crossover or uniform crossover. For problems where the site position has some intrinsic meaning to the problem single-point crossover can lead to smaller disruption than multiple-point or uniform crossover.

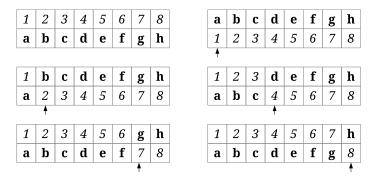


Figure 3.8: Single-point crossover

Figure 3.8 shows how the SinglePointCrossover class is performing the crossover for different crossover points—in the given example for the chromosome indexes 0, 1, 3, 6 and 7.

Multi-point crossover If the MultiPointCrossover class is created with one crossover point, it behaves exactly like the single-point crossover. The following picture shows how the multi-point crossover works with two crossover points, defined at index 1 and 4.

Figure 3.10 you can see how the crossover works for an odd number of crossover points.

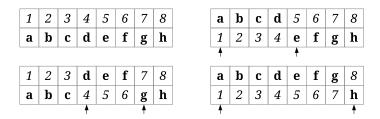


Figure 3.9: 2-point crossover

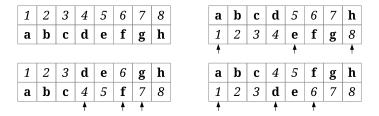


Figure 3.10: 3-point crossover

Partially-matched crossover The partially-matched crossover guarantees that all genes are found exactly once in each chromosome. No gene is duplicated by this crossover strategy. The partially-matched crossover (PMX) can be applied usefully in the TSP or other permutation problem encodings. Permutation encoding is useful for all problems where the fitness only depends on the ordering of the genes within the chromosome. This is the case in many combinatorial optimization problems. Other crossover operators for combinatorial optimization are:

• order crossover

• edge recombination crossover

• cycle crossover

• edge assembly crossover

The PMX is similar to the two-point crossover. A crossing region is chosen by selecting two crossing points (see figure 3.11 a)). After performing the

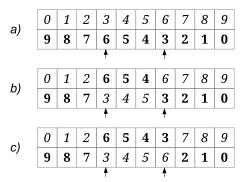


Figure 3.11: Partially-matched crossover

crossover we-normally-got two invalid chromosomes (figure 3.11 b)). Chromo-

some 1 contains the value 6 twice and misses the value 3. On the other side chromosome 2 contains the value 3 twice and misses the value 6. We can observe that this crossover is equivalent to the exchange of the values $3\rightarrow 6$, $4\rightarrow 5$ and $5\rightarrow 4$. To repair the two chromosomes we have to apply this exchange outside the crossing region (figure 3.11 b)). At the end figure 3.11 c) shows the repaired chromosome.

Uniform crossover In uniform crossover, the genes at index i of two chromosomes are swapped with the swap-probability, p_S . Empirical studies shows that uniform crossover is a more exploitative approach than the traditional exploitative approach that maintains longer schemata. This leads to a better search of the design space with maintaining the exchange of good information.[6]

				5			
a	b	С	d	е	f	g	h
а	2	С	4	5	f	g	8
a				5 e		_	

Figure 3.12: Uniform crossover

Figure 3.12 shows an example of a uniform crossover with four crossover points. A gene is swapped, if a uniformly created random number, $r \in [0, 1]$, is smaller than the swap-probability, p_S . The following code snippet shows how these swap indexes are calculated, in a functional way.

```
final Random random = RandomRegistry.getRandom();
final int length = 8;
final double ps = 0.5;
final int[] indexes = IntRange.range(0, length)
    .filter(i -> random.nextDouble() < ps)
    .toArray();</pre>
```

Mean alterer The Mean alterer works on genes which implement the Mean interface. All numeric genes implement this interface by calculating the arithmetic mean of two genes.

Line crossover The line crossover¹² takes two *numeric* chromosomes and treats it as a real number vector. Each of this vectors can also be seen as a point in \mathbb{R}^n . If we draw a line through this two points (chromosome), we have the possible values of the new chromosomes, which all lie on this line.

Figure 3.13 on the next page shows how the two chromosomes form the two three-dimensional vectors (black circles). The dashed line, connecting the two points, form the possible solutions created by the line crossover. An additional variable, p, determines how far out along the line the created children will be. If p = 0 then the children will be located along the line within the hypercube. If p > 0, the children may be located on an arbitrary place on the line, even

 $^{^{12}{\}rm The}$ line crossover, also known as line recombination, was originally described by Heinz Mühlenbein and Dirk Schlierkamp-Voosen.[11]

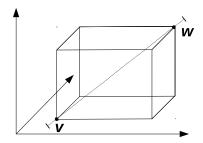


Figure 3.13: Line crossover hypercube

outside of the hypercube. This is useful if you want to explore *unknown* regions, and you need a way to generate chromosomes further out than the parents are.

The *internal* random parameters, which define the location of the new crossover point, are generated once for the whole vector (chromosome). If the LineCrossover generates numeric genes which lie outside the allowed minimum and maximum value, it simply uses the original gene and rejects the generated, invalid one.

Intermediate crossover The intermediate crossover is quite similar to the line crossover. It differs in the way on how the *internal* random parameters are generated and the handling of the invalid—out of range—genes. The *internal* random parameters of the IntermediateCrossover class are generated for *each* gene of the chromosome, instead once for all genes. If the newly generated gene is not within the allowed range, a new one is created. This is repeated, until a valid gene is built.

The crossover parameter, p, has the same properties as for the line crossover. If the chosen value for p is greater than 0, it is likely that some genes must be created more than once, because they are not in the valid range. The probability for gene re-creation rises sharply with the value of p. Setting p to a value greater than one, doesn't make sense in most of the cases. A value greater than 10 should be avoided.

3.3 Engine classes

The executing classes, which perform the actual evolution, are located in the org.jenetics.engine package. The evolution stream (EvolutionStream) is the base metaphor for performing an GA. On the EvolutionStream you can define the termination predicate and than collect the final EvolutionResult. This decouples the static data structure from the executing evolution part. The EvolutionStream is also very flexible, when it comes to collecting the final result. The EvolutionResult class has several predefined collectors, but you are free to create your own one, which can be seamlessly plugged into the existing stream.

3.3.1 Fitness function

The fitness Function is also an important part when modeling an genetic algorithm. It takes a Genotype as argument and returns, at least, a Comparable ob-

ject as result—the fitness value. This allows the evolution Engine, respectively the selection operators, to select the offspring- and survivor Population. Some selectors have stronger requirements to the fitness value than a Comparable, but this constraints is checked by the Java type system at compile time.

Since the fitness Function is shared by all Phenotypes, calls to the fitness Function has to be idempotent. A fitness Function is idempotent if, whenever it is applied twice to any Genotype, it returns the same fitness value as if it were applied once. In the simplest case, this is achieved by Functions which doesn't contain any global *mutable* state.

The following example shows the simplest possible fitness Function. This Function simply returns the allele of a 1x1 *float* Genotype.

```
public class Main {
       static Double identity (final Genotype < Double Gene > gt) {
2
           return gt.getGene().getAllele();
3
4
5
       public static void main(final String[] args) {
6
            // Create fitness function from method reference.
           Function < Genotype < Double Gene > , Double >> ff1 =
8
9
                Main::identity;
10
11
              Create fitness function from lambda expression.
           Function < Genotype < Double Gene >, Double >> ff2 = gt ->
12
13
                gt.getGene().getAllele();
       }
14
15
```

The first type parameter of the Function defines the kind of Genotype from which the fitness value is calculated and the second type parameter determines the return type, which must be, at least, a Comparable type.

3.3.2 Fitness scaler

The fitness value, calculated by the fitness Function, is treated as the raw-fitness of an individual. The **Jenetics** library allows you to apply an additional scaling function on the raw-fitness to form the fitness value which is used by the selectors. This can be useful when using probability selectors (see chapter 3.2.1 on page 12), where the actual amount of the fitness value influences the selection probability. In such cases, the fitness scaler gives you additional flexibility when selecting offspring and survivors. In the default configuration the raw-fitness is equal to the actual fitness value, that means, the used fitness scaler is the identity function.

The given listing shows a fitness scaler which reduces the the raw-fitness to its square root. This gives weaker individuals a greater changes being selected and weakens the influence of *super*-individuals.

When using a fitness scaler you have to take care that your scaler doesn't destroy your fitness value. This can be the case when your fitness value is negative and your fitness scaler squares the value. Trying to find the minimum will not work in this configuration.

3.3.3 Engine

The evolution Engine controls how the evolution steps are executed. Once the Engine is created, via a Builder class, it can't be changed. It doesn't contain any mutable global state and can therefore safely used/called from different threads. This allows to create more than one EvolutionStreams from the Engine and execute them in parallel.

```
public final class Engine<
       G extends Gene <?. G>.
2
       C extends Comparable <? super C>
3
4
       implements \ \ Function < Evolution Start < G, C>, \ \ Evolution Result < G, C>>
5
6
7
          The evolution function, performs one evolution step.
8
       EvolutionResult < G, C > evolve (
9
            Population < G, C > population ,
10
            long generation
11
       );
12
          Evolution stream for "normal" evolution execution.
13
       EvolutionStream <G, C> stream();
14
15
        // Evolution iterator for external evolution iteration.
16
       Iterator < Evolution Result < G, C>> iterator();
17
18
```

Listing 8: Engine class

Listing 8 shows the main methods of the Engine class. It is used for performing the actual evolution of a give population. One evolution step is executed by calling the Engine.evolve method, which returns an EvolutionResult object. This object contains the evolved Population plus additional information like execution duration of the several evolution sub-steps and information about the killed and as invalid marked individuals. With the stream method you create a new EvolutionStream, which is used for controlling the evolution process—see section 3.3.4 on page 24. Alternatively it is possible to iterate through the evolution process in an imperative way (for whatever reasons this should be necessary). Just create an Iterator of EvolutionResult object by calling the iterator method.

As already shown in previous examples, the Engine can only be created via its Builder class. Only the fitness Function and the Chromosomes, which represents the problem encoding, must be specified for creating an Engine instance. For the rest of the parameters default values are specified. This are the Engine parameters which can configured:

- alterers A list of Alterers which are applied to the offspring Population, in the defined order. The default value of this property is set to Single-PointCrossover<>(0.2) followed by Mutator<>(0.15).
- clock The java.time.Clock used for calculating the execution durations. A
 Clock with nanosecond precision (System.nanoTime()) is used as default.
- executor With this property it is possible to change the java.util.concurrent.Executor engine used for evaluating the evolution steps. This property can be used to define an application wide Executor or for controlling
 the number of execution threads. The default value is set to ForkJoinPool.commonPool().
- fitnessFunction This property defines the fitness Function used by the evolution Engine. (See section 3.3.1 on page 20.)
- fitnessScaler This property defines the fitness scaler used by the evolution Engine. The default value is set to the identity function. (See section 3.3.2 on page 21.)
- genotypeFactory Defines the Genotype Factory used for creating new individuals. Since the Genotype is its own Factory, it is sufficient to create a Genotype, which then serves as template.
- genotypeValidator This property lets you override the default implementation of the Genotype.isValid method, which is useful if the Genotype validity not only depends on valid property of the elements it consists of.
- maximalPhenotypeAge Set the maximal allowed age of an individual (Phenotype). This prevents *super* individuals to live *forever*. The default value is set to 70.
- offspringFraction Through this property it is possible to define the fraction of offspring (and survivors) for evaluating the next generation. The fraction value must within the interval [0,1]. The default value is set to 0.6. Additionally to this property, it is also possible to set the survivorsFraction, survivorsSize or offspringSize. All this additional properties effectively set the offspringFraction.
- offspringSelector This property defines the Selector used for selecting the offspring Population. The default values is set to TournamentSelector<>(3).
- optimize With this property it is possible to define whether the fitness Function should be maximized of minimized. By default, the fitness Function is maximized.
- phenotypeValidator This property lets you *override* the default implementation of the Phenotype.isValid method, which is useful if the Phenotype validity not only depends on valid property of the elements it consists of.

populationSize Defines the number of individuals of a Population. The evolution Engine keeps the number of individuals constant. That means, the Population of the EvolutionResult always contains the number of entries defined by this property. The default value is set to 50.

selector This method allows to set the offspringSelector and survivors—Selector in one step with the same selector.

survivorsSelector This property defines the Selector used for selecting the survivors Population. The default values is set to TournamentSelector<>(3).

individualCreationRetries The evolution Engine tries to create only valid individuals. If a newly created Genotype is not valid, the Engine creates another one, till the created Genotype is valid. This parameter sets the maximal number of retries before the Engine gives up and accept invalid individuals. The default value is set to 10.

3.3.4 EvolutionStream

The EvolutionStream controls the execution of the evolution process and can be seen as a kind of execution *handle*. This handle can be used to define the termination criteria and to *collect* the final evolution result. Since the EvolutionStream extends the Java Stream interface, it integrates smoothly with the rest of the Java Stream API.¹³

```
public interface EvolutionStream <
G extends Gene < ?, G >,
C extends Comparable < ? super C >

extends Stream < EvolutionResult < G, C >>

public EvolutionStream < G, C >
limit (Predicate < ? super EvolutionResult < G, C >> proceed);

}
```

Listing 9: EvolutionStream class

Listing 9 shows the whole EvolutionStream interface. As it can be seen, it only adds one additional method. But this additional limit method allows to truncate the EvolutionStream based on a Predicate which takes an Evolution-Result. Once the Predicate returns false, the evolution process is stopped. Since the limit method returns an EvolutionStream, it is possible to define more than one Predicate, which both must be fulfilled to continue the evolution process.

```
Engine < Dobule Gene, Double > engine = ...
Evolution Stream < Double Gene, Double > stream = engine.stream()

limit (predicate1)

limit (predicate2)

limit (100);
```

¹³It is recommended to make yourself familiar with the Java Stream API. A good introduction can be found here: http://winterbe.com/posts/2014/07/31/java8-stream-tutorial-examples/

The EvolutionStream, created in the example above, will be truncated if one of the two predicates is false or if the maximal allowed generations, of 100, is reached. An EvolutionStream is usually created via the Engine.stream() method. The *immutable* and *stateless* nature of the evolution Engine allows to create more than one EvolutionStream with the same Engine instance.

The generations of the EvolutionStream are evolved serially. Calls of the EvolutionStream methods (e. g. limit, peek, ...) are executed in the thread context of the created Stream. In a *typical* setup, no additional synchronization and/or locking is needed.

In cases where you appreciate the usage of the EvolutionStream but need a different Engine implementation, you can use the EvolutionStream.of factory method for creating an new EvolutionStream.

```
static <G extends Gene<?, G>, C extends Comparable<? super C>>
EvolutionStream<G, C> of(
Supplier<EvolutionStart<G, C>> start,
Function<? super EvolutionStart<G, C>, EvolutionResult<G, C>> f

);
```

This factory method takes a start value, of type EvolutionStart, and an evolution Function. The evolution Function takes the start value and returns an EvolutionResult object. To make the runtime behavior more predictable, the start value is fetched/created lazily at the evolution start time.

```
final Supplier<EvolutionStart<DoubleGene, Double>>> start = ...
final EvolutionStream<DoubleGene, Double>> stream =

EvolutionStream.of(start, new MySpecialEngine());
```

3.3.5 EvolutionResult

The EvolutionResult collects the result data of an evolution step into an immutable *value* class. This class is the type of the stream elements of the EvolutionStream, as described in section 3.3.4 on the preceding page.

```
public final class EvolutionResult <
    G extends Gene < ?, G >,
    C extends Comparable < ? super C >

implements Comparable < EvolutionResult < G, C >>

Population < G, C > getPopulation();

long getGeneration();

}
```

Listing 10: EvolutionResult class

Listing 3.3.5 shows the two most important properties, the population and the generation the result belongs to. This are also the two properties needed for the next evolution step. The generation is, of course, incremented by one. To make collecting the EvolutionResult object easier, it also implements the Comparable interface. Two EvolutionResults are compared by its best Phenotype.

The EvolutionResult classes has three predefined factory methods, which will return Collectors usable for the EvolutionStream:

toBestEvolutionResult() Collects the best EvolutionResult of an Evolution-Stream according to the defined optimization strategy.

toBestPhenotype() This collector can be used if you are only interested in the best Phenotype.

toBestGenotype() Use this collector if you only need the best Genotype of the EvolutionStream.

The following code snippets shows how to use the different EvolutionStream collectors.

3.3.6 EvolutionStatistics

The EvolutionStatistics class allows you to gather additional statistical information from the EvolutionStream. This is especially useful during the development phase of the application, when you have to find the right parametrization of the evolution Engine. Besides other informations, the Evolution-Statistics contains (statistical) information about the fitness, invalid and killed Phenotypes and runtime information of the different evolution steps. Since the EvolutionStatistics class implements the Consumer<Evolution-Result<?, C>> interface, it can be easily plugged into the EvolutionStream, adding it with the peek method of the stream.

```
Engine < Double Gene, Double > engine = ...
Evolution Statistics <?, Double > statistics =

Evolution Statistics . of Number();
engine . stream()
. limit (100)
. peek (statistics)
. collect (to Best Genotype());
```

Listing 11: EvolutionStatistics usage

Listing 11 shows how to add the the EvolutionStatistics to the EvolutionStream. Once the algorithm tuning is finished, it can be removed in the production environment.

There are two different specializations of the EvolutionStatistics object available. The first is the general one, which will be working for every kind of Genes and fitness types. It can be created via the EvolutionStatistics.-ofComparable() method. The second one collects additional statistical data for numeric fitness values. This can be created with the EvolutionStatistics.-ofNumber() method.

```
2
     Time statistics
3
                 Selection: sum=0.046538278000 s; mean=0.003878189833 s
                  Altering: sum = 0.086155457000 s; mean = 0.007179621417 s
       Fitness calculation: sum=0.022901606000 s; mean=0.001908467167 s
         Overall execution: sum=0.147298067000 s; mean=0.012274838917 s
     Evolution statistics
10
               Generations: 12
11
                  Altered: sum=7,331; mean=610.916666667
12
13
                    Killed: sum=0; mean=0.00000000
14
                  Invalids: sum=0; mean=0.00000000
15
     Population statistics
16
17
18
                       Age: max=11; mean=1.951000; var=5.545190
19
                         min = 0.00000000000
20
                          max = 481.748227114537
21
                          mean = 384.430345078660
22
                               = 13006.132537301528
23
                          var
```

A typical output of an number EvolutionStatistics object will look like the example above.

The EvolutionStatistics object is a simple for inspecting the Evolution-Stream after it is finished. It doesn't give you a *live* view of the current evolution process, which can be necessary for long running streams. In such cases you have to maintain/update the statistics yourself.

```
public class TSM {
       // The locations to visit
       static final ISeq<Point> POINTS = ISeq.of(...);
3
       // The permutation codec.
       static final Codec<ISeq<Point>, EnumGene<Point>>
       CODEC = codecs.ofPermutation(POINTS);
9
       // The fitness function (in the problem domain).
       static double dist(final ISeq<Point> p) {...}
11
       // The evolution engine.
12
       static final Engine < EnumGene < Point >, Double > ENGINE = Engine
13
           .builder(TSM::dist, CODEC)
14
           . optimize (Optimize . MINIMUM)
15
16
           . build();
17
       // Best phenotype found so far.
18
       static Phenotype<EnumGene<Point>, Double> best = null;
19
20
       // You will be informed on new results. This allows to
21
         react on new best phenotypes, e.g. log it.
22
       private static void update(
23
           final EvolutionResult < EnumGene < Point >, Double > result
24
25
           if (best == null ||
26
                best.compareTo(result.getBestPhenotype()) < 0)
27
28
                best = result.getBestPhenotype();
29
               System.out.print(result.getGeneration() + ": ");
30
               System.out.println("Found best phenotype: " + best);
31
32
       }
33
34
```

```
// Find the solution.
35
       public static void main(final String[] args)
36
           final ISeq<Point> result = CODEC.decode(
37
               ENGINE.stream()
38
                    .peek (TSM::update)
39
                    .limit(10)
40
                    .collect(EvolutionResult.toBestGenotype())
41
42
           System.out.println(result);
43
44
45
```

Listing 12: Live evolution statistics

Listing 12 on the previous page shows how to implement a manual statistics gathering. The update method is called whenever a new EvolutionResult is has been calculated. If a new best Phenotype is available, it is stored and logged. With the TSM::update method, which is called on every finished generation, you have a *live* view on the evolution progress.

4 Nuts and bolts

4.1 Concurrency

The **Jenetics** library parallelizes independent task whenever possible. Especially the evaluation of the fitness function is done concurrently. That means that the fitness function must be thread safe, because it is shared by all phenotypes of a population. The easiest way for achieving thread safety is to make the fitness function immutable and re-entrant.

4.1.1 Basic configuration

The used Executor can be defined when building the evolution Engine object.

```
import java.util.concurrent.Executor;
  import java.util.concurrent.Executors;
2
   public class Main {
       private static Double eval(final Genotype<DoubleGene> gt) {
5
           // calculate and return fitness
6
7
       public static void main(final String[] args) {
9
            // Creating an fixed size ExecutorService
10
           final ExecutorService executor = Executors
11
                .newFixedThreadPool(10)
12
           final Factory < Genotype < Double Gene >> gtf = ...
13
           final Engine < Double Gene, Double > engine = Engine
14
                .builder (Main::eval, gtf)
15
16
                // Using 10 threads for evolving.
                .executor(executor)
17
                .build()
18
19
20
       }
21
```

If no Executor is given, Jenetics uses a common ForkJoinPool¹⁴ for concur-

 $^{^{14} \}texttt{https://docs.oracle.com/javase/8/docs/api/java/util/concurrent/ForkJoinPool.html}$

rency.

Sometimes it might be useful to run the evaluation Engine single-threaded, or even execute all operations in the main thread. This can be easily achieved by setting the appropriate Executor.

```
final Engine CoubleGene, Double> engine = Engine.builder(...)

// Doing the Engine operations in the main thread

.executor((Executor)Runnable::run)

build()
```

The code snippet above shows how to do the Engine operations in the main thread. Whereas the snippet below executes the Engine operations in a single thread, other than the main thread.

```
final Engine < DoubleGene, Double> engine = Engine.builder(...)

// Doing the Engine operations in a single thread

.executor(Executors.newSingleThreadExecutor())

build()
```

Such a configuration can be useful for performing reproducible (performance) tests, without the uncertainty of a concurrent execution environment.

4.1.2 Concurrency tweaks

Jenetics uses different strategies for minimizing the concurrency overhead, depending on the configured Executor. For the ForkJoinPool, the fitness evaluation of the population is done by recursively dividing it into sub-populations using the abstract RecursiveAction class. If a minimal sub-population size is reached, the fitness values for this sub-population are directly evaluated. The default value of this threshold is five and can be controlled via the io.jenetics.concurrency.splitThreshold system property. Besides the splitThreshold, the size of the evaluated sub-population is dynamically determined by the Fork-JoinTask.getSurplusQueuedTaskCount() method. If this value is greater than three, the fitness values of the current sub-population are also evaluated immediately. The default value can be overridden by the io.jenetics.concurrency.maxSurplusQueuedTaskCount system property.

```
$ java -Dio.jenetics.concurrency.splitThreshold=1 \
    -Dio.jenetics.concurrency.maxSurplusQueuedTaskCount=2 \
    -cp jenetics-3.8.0.jar:app.jar \
    com.foo.bar.MyJeneticsApp
```

You may want to tweak this parameters, if you realize a low CPU utilization during the fitness value evaluation. Long running fitness function could lead to CPU under-utilization while evaluating the last sub-population. In this case, only one core is busy, while the other cores are idle, because they already finished the fitness evaluation. Since the workload has been already distributed, no work-stealing is possible. Reducing the splitThreshold can help to have a more equal workload distribution between the available CPUs. Reducing the

¹⁵Excerpt from the Javadoc: Returns an estimate of how many more locally queued tasks are held by the current worker thread than there are other worker threads that might steal them. This value may be useful for heuristic decisions about whether to fork other tasks. In many usages of ForkJoinTasks, at steady state, each worker should aim to maintain a small constant surplus (for example, 3) of tasks, and to process computations locally if this threshold is exceeded.

maxSurplusQueuedTaskCount property will create a more uniform workload for fitness function with heavily varying computation cost for different genotype values.

The fitness function shouldn't acquire locks for achieving thread safety. It is also recommended to avoid calls to blocking methods. If such calls are unavoidable, consider using the ForkJoinPool.managedBlock method. Especially if you are using a ForkJoinPool executor, which is the default.

If the Engine is using an ExecutorService, a different optimization strategy is used for reducing the concurrency overhead. The original population is divided into a fixed number 16 of sub-populations, and the fitness values of each sub-population are evaluated by one thread. For long running fitness functions, it is better to have smaller sub-populations for a better CPU utilization. With the io.jenetics.concurrency.maxBatchSize system property, it is possible to reduce the sub-population size. The default value is set to Integer.MAX_-VALUE. This means, that only the number of CPU cores influences the batch size.

```
$ java -Dio.jenetics.concurrency.maxBatchSize=3 \
   -cp jenetics-3.8.0.jar:app.jar \
   com.foo.bar.MyJeneticsApp
```

Another source of under-utilized CPUs are lock contentions. It is therefore strongly recommended to avoid locking and blocking calls in your fitness function at all. If blocking calls are unavoidable, consider using the *managed block* functionality of the ForkJoinPool. ¹⁷

4.2 Randomness

In general, GAs heavily depends on *pseudo* random number generators (PRNG) for creating new individuals and for the selection- and mutation-algorithms. **Jenetics** uses the Java Random object, respectively sub-types from it, for generating random numbers. To make the random engine pluggable, the Random object is always fetched from the RandomRegistry. This makes it possible to change the implementation of the random engine without changing the client code. The central RandomRegistry also allows to easily change Random engine even for specific parts of the code.

The following example shows how to change and restore the Random object. When opening the with scope, changes to the RandomRegistry are only visible within this scope. Once the with scope is left, the original Random object is restored.

```
List < Genotype < Double Gene >>> genotypes =
Random Registry. with (new Random (123), r -> {
Genotype. of (Double Chromosome. of (0.0, 100.0, 10))
```

¹⁶The number of sub-populations actually depends on the number of available CPU cores, which are determined with Runtime.availableProcessors().

¹⁷A good introduction on how to use managed blocks, and the motivation behind it, is given in this talk: https://www.youtube.com/watch?v=rUDGQQ83ZtI

With the previous listing, a random, but reproducible, list of genotypes is created. This might be useful while testing your application or when you want to evaluate the EvolutionStream several times with the same initial population.

```
Engine < Double Gene, Double > engine = ...;

// Create a new evolution stream with the given

// initial genotypes.

Phenotype < Double Gene, Double > best = engine.stream(genotypes)

.limit(10)

.collect(Evolution Result.to Best Phenotype());
```

The example above uses the generated genotypes for creating the Evolution-Stream. Each created stream uses the same starting population, but will, most likely, create a different result. This is because the stream evaluation is still non-deterministic.

Setting the PRNG to a Random object with a defined seed has the effect, that every evolution *stream* will produce the same result—in an single threaded environment.

The parallel nature of the GA implementation requires the creation of streams $t_{i,j}$ of random numbers which are statistically independent, where the streams are numbered with j=1,2,3,...,p, p denotes the number of processes. We expect statistical independence between the streams as well. The used PRNG should enable the GA to play fair, which means that the outcome of the GA is strictly independent from the underlying hardware and the number of parallel processes or threads. This is essential for reproducing results in parallel environments where the number of parallel tasks may vary from run to run.

The Fair Play property of a PRNG guarantees that the quality of the genetic algorithm (evolution stream) does not depend on the degree of parallelization.

When the Random engine is used in an multi-threaded environment, there must be a way to parallelize the sequential PRNG. Usually this is done by taking the elements of the sequence of pseudo-random numbers and distribute them among the threads. There are essentially four different parallelizations techniques used in practice: Random seeding, Parameterization, Block splitting and Leapfrogging.

Random seeding Every thread uses the same kind of PRNG but with a different seed. This is the default strategy used by the **Jenetics** library. The RandomRegistry is initialized with the ThreadLocalRandom class from the java.util.concurrent package. Random seeding works well for the most prob-

lems but without theoretical foundation. ¹⁸ If you assume that this strategy is responsible for some *non*-reproducible results, consider using the LCG64Shift-Random PRNG instead, which uses *block splitting* as parallelization strategy.

Parameterization All threads uses the same kind of PRNG but with different parameters. This requires the PRNG to be parameterizable, which is not the case for the Random object of the JDK. You can use the LCG64ShiftRandom class if you want to use this strategy. The theoretical foundation for these method is weak. In a massive parallel environment you will need a reliable set of parameters for every random stream, which are not trivial to find.

Block splitting With this method each thread will be assigned a non-over-lapping contiguous block of random numbers, which should be enough for the whole runtime of the process. If the number of threads is not known in advance, the length of each block should be chosen much larger then the maximal expected number of threads. This strategy is used when using the LCG64-ShiftRandom.ThreadLocal class. This class assigns every thread a block of $2^{56} \approx 7, 2 \cdot 10^{16}$ random numbers. After 128 threads, the blocks are recycled, but with changed seed.

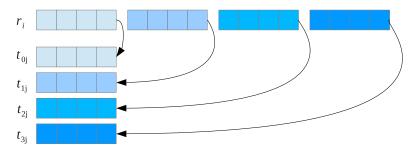


Figure 4.1: Block splitting

Leapfrog With the leapfrog method each thread $t \in [0, P)$ only consumes the P^{th} random number and jump ahead in the random sequence by the number of threads, P. This method requires the ability to jump very quickly ahead in the sequence of random numbers by a given amount. Figure 4.2 on the following page graphically shows the concept of the *leapfrog* method.

LCG64ShiftRandom The LCG64ShiftRandom class is a port of the trng::-lcg64_shift PRNG class of the TRNG¹⁹ library, implemented in C++.[4] It implements additional methods, which allows to implement the *block splitting*—and also the *leapfrog*—method.

```
public class LCG64ShiftRandom extends Random {
   public void split(final int p, final int s);
   public void jump(final long step);
   public void jump2(final int s);
```

 $^{^{18}\}mathrm{This}$ is also expressed by Donald Knuth's advice: »Random number generators should not be chosen at random.«

 $^{^{19} {}m http://numbercrunch.de/trng/}$

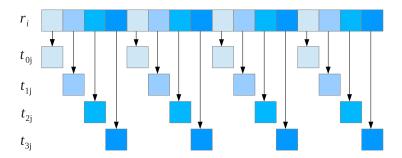
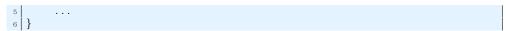


Figure 4.2: Leapfrogging



Listing 13: LCG64ShiftRandom class

Listing 13 shows the interface used for implementing the block splitting and leapfrog parallelizations technique. This methods have the following meaning:

- **split** Changes the internal state of the PRNG in a way that future calls to nextLong() will generated the s^{th} sub-stream of p^{th} sub-streams. s must be within the range of [0, p-1). This method is used for parallelization via leapfrogging.
- jump Changes the internal state of the PRNG in such a way that the engine jumpss steps ahead. This method is used for parallelization via block splitting.
- jump2 Changes the internal state of the PRNG in such a way that the engine jumps 2^s steps ahead. This method is used for parallelization via block splitting.

Runtime performance Table 4.1 shows the random number generation speed for the different PRNG implementations.²⁰

	$\mathtt{int/s}$	long/s	float/s	double/s
Random	$87 \cdot 10^{6}$	$43 \cdot 10^{6}$	$86 \cdot 10^{6}$	$42 \cdot 10^{6}$
ThreadLocalRandom	$255 \cdot 10^{6}$	$253 \cdot 10^{6}$	$208 \cdot 10^{6}$	$208 \cdot 10^{6}$
LCG64ShiftRandom	$237 \cdot 10^{6}$	$241 \cdot 10^{6}$	$176 \cdot 10^{6}$	$178 \cdot 10^{6}$

Table 4.1: Performance of various PRNG implementations.

The default PRNG used by the **Jenetics** has the best runtime performance behavior (for generating int values).

 $^{^{20}\}mathrm{Measured}$ on a Intel(R) Core(TM) i7-6700HQ CPU @ 2.60GHz with Java(TM) SE Runtime Environment (build 1.8.0_102-b14)—Java HotSpot(TM) 64-Bit Server VM (build 25.102-b14, mixed mode)—, using the JHM micro-benchmark library.

4.3 Serialization

Jenetics supports serialization for a number of classes, most of them are located in the org.jenetics package. Only the concrete implementations of the Gene and the Chromosome interfaces implements the Serializable interface. This gives a greater flexibility when implementing own Genes and Chromosomes.

- BitGene
- BitChromosome
- CharacterGene
- CharacterChromosome
- IntegerGene
- IntegerChromosome
- LongGene
- LongChomosome

- DoubleGene
- DoubleChromosome
- EnumGene
- PermutationChromosome
- Genotype
- Phenotype
- Population

With the serialization mechanism you can write a population to disk and load it into an new EvolutionStream at a later time. It can also be used to transfer populations to evolution engines, running on different hosts, over a network link. The IO class, located in the org.jenetics.util package, supports native Java serialization and JAXB XML serialization.

```
/ Creating result population.
  EvolutionResult < DoubleGene, Double > result = stream
       .limit(100)
3
       . collect(toBestEvolutionResult());
   // Writing the population to disk.
   final File file = new File("population.xml");
  IO.jaxb.write(result.getPopulation(), file);
     Reading the population from disk
10
  Population < Double Gene , Double > population =
11
       (\,Population\!<\!DoubleGene\,,\ Double\!>) IO\,.\,jaxb\,.\,read\,(\,file\,)\,;
12
   EvolutionStream < DoubleGene, Double > stream = Engine
13
       .build(ff, gtf)
14
       .stream (population, 1);
```

The following listing shows the XML serialization of a Population which consists of Genotypes as shown in figure 3.2 on page 7; only the first Phenotype is shown.

```
<allele>0.43947528327497376</allele>
                    <allele>0.10654807463069327</allele>
15
                    <allele>0.19696530915810317</allele>
16
                    <allele>0.7450003838065538</allele>
17
                    <allele>0.5594416969271359</allele>
18
                    <allele>0.02823782430152355</allele>
19
20
                    <allele>0.5741102315010789</allele>
21
                    <allele>0.4533651041367144</allele>
                    <allele>0.811148141800367</allele>
22
                    <allele>0.5710456351848858</allele>
23
                    <allele>0.30166768355230955</allele>
24
                    <allele>0.5455492865240272</allele>
26
                    <allele>0.21068427527733102</allele>
27
                    <allele>0.5265067943902246</allele>
                    <allele>0.273549098065591</allele>
28
                    <allele>0.2648197379297126</allele>
29
                    <allele>0.8732775776362911</allele>
30
31
                    <allele>0.9498003919007005</allele>
               </chromosome>
33
           </genotype>
34
35
           <fitness
               xmlns:xs="http://www.w3.org/2001/XMLSchema"
36
               xsi:type="xs:double"
37
           >234.23443</fitness>
39
           <raw-fitness
               xmlns:xs="http://www.w3.org/2001/XMLSchema"
40
               xsi:type="xs:double
41
           >34.2498</raw-fitness>
42
       </phenotype>
43
45 </org.jenetics.Population>
```

When serializing a whole population the fitness function and fitness scaler are not part of the serialized XML file. If an EvolutionStream is initialized with a previously serialized Population, the Engine's current fitness function and fitness scaler are used for re-calculating the fitness values.

The IO class can also be used for serializing own JAXB annotated classes. Listing 14 shows how an user-defined JAXB class can be marshaled with the IO helper class.

```
@XmlRootElement(name = "data-class")
  @XmlType(name = "DataClass")
  @XmlAccessorType (XmlAccessType.FIELD)
  public class DataClass {
       @XmlAttribute public String name;
       @XmlValue public String value;
6
7
       public DataClass(final String name, final String value) {
9
           this.name = name;
           this.value = value;
10
11
12
       // Default constructor needed by JAXB.
13
       public DataClass() {
14
15
16
       public static void main(final String[] args) throws Exception {
17
              Registering the class before serialization.
18
19
           IO.JAXB.register(DataClass.class);
20
           final DataClass data =
21
               new DataClass("some name", "some value");
22
           IO.jaxb.write(data, System.out);
23
24
```

```
25 | }
```

Listing 14: DataClass JAXB serialization

The output of the marshaled DataClass looks like expected.

```
1 <?xml version="1.0" encoding="UTF-8" standalone="yes"?>
2 <data-class name="some name">some value</data-class>
```

4.4 Utility classes

The org.jenetics.util and the org.jenetics.stat package of the library contains utility and helper classes which are essential for the implementation of the GA.

org.jenetics.util.Seq Most notable are the Seq interfaces and its implementation. They are used, among others, in the Chromosome and Genotype classes and holds the Genes and Chromosomes, respectively. The Seq interface itself represents a fixed-sized, ordered sequence of elements. It is an abstraction over the Java build-in *array*-type, but much safer to use for *generic* elements, because there are no casts needed when using *nested* generic types.

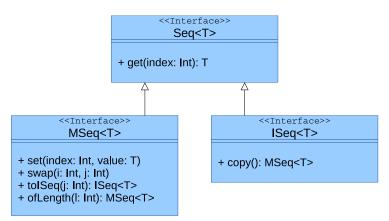


Figure 4.3: Seq class diagram

Figure 4.3 shows the Seq class diagram with their most important methods. The interfaces MSeq and ISeq are mutable, respectively immutable specializations of the basis interface. Creating instances of the Seq interfaces is possible via the static factory methods of the interfaces.

```
| // Create "different" sequences.
| final Seq<Integer> a1 = Seq. of(1, 2, 3);
| final MSeq<Integer> a2 = MSeq. of(1, 2, 3);
| final ISeq<Integer> a3 = MSeq. of(1, 2, 3).toISeq();
| final MSeq<Integer> a4 = a3.copy();
| // The 'equals' method performs element-wise comparison.
| assert (a1.equals(a2) && a1 != a2);
| assert (a2.equals(a3) && a2 != a3);
| assert (a3.equals(a4) && a3 != a4);
```

How to create instances of the three Seq types is shown in the listing above. The Seq classes also allows a more *functional* programming style. For a full method description refer to the Javadoc.

org.jenetics.stat This package contains classes for calculating statistical moments. They are designed to work smoothly with the Java Stream API and are divided into mutable (number) consumers and immutable value classes, which holds the statistical moments. The additional classes calculate the

• minimum, • variance,

• maximum,

• skewness and

• *sum*,

 \bullet mean,

• kurtosis value.

Numeric type	Consumer class	Value class
int	IntMomentStatistics	IntMoments
long	LongMomentStatistics	LongMoments
double	DoubleMomentStatistics	DoubleMoments

Table 4.2: Statistics classes

Table 4.2 contains the available statistical moments for the different numeric types. The following code snippet shows an example on how to collect double statistics from an given DoubleGene stream.

```
// Collecting into an statistics object.
DoubleChromosome chromosome = ...
DoubleMomentStatistics statistics = chromosome.stream()

. collect(DoubleMomentStatistics

. toDoubleMomentStatistics(v -> v.doubleValue()));

// Collecting into an moments object.
DoubleMoments moments = chromosome.stream()

. collect(DoubleMoments.toDoubleMoments(v -> v.doubleValue()));
```

5 Extending Jenetics

The **Jenetics** library was designed to give you a great flexibility in transforming your problem into a structure that can be solved by an GA. It also comes with different implementations for the base data-types (genes and chromosomes) and operators (alterers and selectors). If it is still some functionality missing, this section describes how you can extend the existing classes. Most of the *extensible* classes are defined by an interface and have an abstract implementation which makes it easier to extend it.

5.1 Genes

Genes are the starting point in the class hierarchy. They hold the actual information, the alleles, of the problem domain. Beside the *classical* bit-gene, **Jenetics**

comes with gene implementations for numbers (double-, int- and long values), characters and enumeration types.

For implementing your own gene type you have to implement the Gene interface with three methods: (1) the getAllele() method which will return the wrapped data, (2) the newInstance method for creating new, random instances of the gene—must be of the same type and have the same constraint—and (3) the isValid() method which checks if the gene fulfill the expected constraints. The gene constraint might be violated after mutation and/or recombination. If you want to implement a new number-gene, e. g. a gene which holds complex values, you may want extend it from the abstract NumericGene class. Every Gene extends the Serializable interface. For normal genes there is no more work to do for using the Java serialization mechanism.

The custom Genes and Chromosomes implementations must use the Random engine available via the RandomRegistry.getRandom method when implementing their factory methods. Otherwise it is not possible to seamlessly change the Random engine by using the RandomRegistry.setRandom method.

If you want to support your own allele type, but want to avoid the effort of implementing the Gene interface, you can alternatively use the Any-Gene class. It can be created with AnyGene.of(Supplier, Predicate). The given Supplier is responsible for creating new random alleles, similar to the newInstance method in the Gene interface. Additional validity checks are performed by the given Predicate.

```
class LastMonday {
       // Creates new random 'LocalDate' objects.
2
3
       private static LocalDate nextMonday() {
           final Random random = RandomRegistry.getRandom();
4
6
               . of (2015, 1, 5)
               .plusWeeks(random.nextInt(1000));
7
       }
9
       // Do some additional validity check.
10
       private static boolean is Valid (final LocalDate date) {...}
11
12
         Create a new gene from the random 'Supplier' and
13
       // validation 'Predicate'
14
       private final AnyGene<LocalDate> gene = AnyGene
15
           . of(LastMonday::nextMonday, LastMonday::isValid);
16
17 }
```

Listing 15: AnyGene example

Example listing 15 shows the (almost) minimal setup for creating user defined Gene allele types. By convention, the Random engine, used for creating the new LocalDate objects, must be requested from the RandomRegistry. With the optional validation function, isValid, it is possible to reject Genes whose alleles doesn't conform some criteria.

The simple usage of the AnyGene has also its downsides. Since the AnyGene instances are created from function objects, serialization is not supported by

the AnyGene class. It is also not possible to use some Alterer implementations with the AnyGene, like:

- GaussianMutator,
- MeanAlterer and
- PartiallyMatchedCrossover

5.2 Chromosomes

A new gene type normally needs a corresponding chromosome implementation. The most important part of a chromosome is the factory method newInstance, which lets the evolution Engine create a new Chromosome instance from a sequence of Genes. This method is used by the Alterers when creating new, combined Chromosomes. It is allowed, that the newly created chromosome has a different length than the original one. The other methods should be self-explanatory. The chromosome has the same serialization mechanism as the gene. For the minimal case it can extends the Serializable interface.

Corresponding to the AnyGene, it is possible to create chromosomes with arbitrary allele types with the AnyChromosome.

```
public class LastMonday {
       // The used problem Codec.
       private static final Codec < LocalDate, AnyGene < LocalDate >>
3
       CODEC = Codec.of(
4
            Genotype.of(AnyChromosome.of(LastMonday::nextMonday)),
            gt -> gt.getGene().getAllele()
6
7
       );
       // Creates new random 'LocalDate' objects.
9
10
       private static LocalDate nextMonday() {
            final Random random = RandomRegistry.getRandom();
11
12
            LocalDate
                 . of (2015, 1, 5)
13
                 .plusWeeks(random.nextInt(1000));
14
15
16
       // The fitness function: find a monday at the end of the month.
17
       private static int fitness(final LocalDate date) {
18
            return date.getDayOfMonth();
19
20
21
       public static void main(final String[] args) {
22
            {\bf final} \  \, {\bf Engine}{<} {\bf AnyGene}{<} {\bf LocalDate}{>}, \  \, {\bf Integer}{>} \  \, {\bf engine} \  \, = \  \, {\bf Engine}
23
                 .builder(LastMonday::fitness, CODEC)
24
                 .offspringSelector(new RouletteWheelSelector<>())
25
                 . build();
26
27
            final Phenotype<AnyGene<LocalDate>, Integer> best =
28
                 engine.stream()
29
                     .limit(50)
30
31
                      . collect(EvolutionResult.toBestPhenotype());
32
            System.out.println(best);
33
       }
34
35
```

Listing 16: AnyChromosome example

Listing 16 on the preceding page shows a full usage example of the AnyGene and AnyChromosome class. The example tries to find a Monday with a maximal day of month. An interesting detail is, that an Codec²¹ definition is used for creating new Genotypes and for converting them back to LocalDate alleles.

The convenient usage of the AnyChromosome has to be payed by the same restriction as for the AnyGene: no serialization support for the chromosome and not usable for all Alterer implementations.

5.3 Selectors

If you want to implement your own selection strategy you only have to implement the Selector interface with the select method.

Listing 17: Selector interface

The first parameter is the original population from which the *sub*-population is selected. The second parameter, **count**, is the number of individuals of the returned sub-population. Depending on the selection algorithm, it is possible that the sub-population contains more elements than the original one. The last parameter, **opt**, determines the optimization strategy which must be used by the selector. This is exactly the point where it is decided whether the GA minimizes or maximizes the fitness function.

Before implementing a selector from scratch, consider to extend your selector from the ProbabilitySelector (or any other available Selector implementation). It is worth the effort to try to express your selection strategy in terms of selection property P(i). Another way for re-using existing Selector implementation is by composition.

```
public class ElitistSelector <
2
       G extends Gene <?. G>.
       C extends Comparable <? super C>
3
4
5
       implements Selector <G, C>
6
       private final TruncationSelector <G, C>
7
8
       _elite = new TruncationSelector <>();
9
       private final TournamentSelector<G, C>
10
11
       _{\text{rest}} = \text{new TournamentSelector} <> (3);
12
13
       public ElitistSelector() {
14
15
       @Override
16
```

 $^{^{21}\}mathrm{See}$ section 6.2 on page 49 for a more detailed Codec description.

```
public Population <G, C> select (
17
            final Population <G, C> population,
18
            final int count,
19
20
            final Optimize opt
21
            return population.isEmpty() || count <= 0
22
23
                 ? new Population <>(0)
                 : append (
24
                     \_{elite.select\,(\,population\,,\ 1\,,\ opt\,)\,,}
25
                     \_rest.select(population, max(0, count - 1), opt));
26
       }
27
28
       private Population<G, C> append(
29
            final Population <G, C> p1,
30
31
            final Population <G, C> p2
32
            p1.addAll(p2);
33
            return p1;
34
       }
35
36
```

Listing 18: Elitist selector

Listing 18 on the preceding page shows how an *elitist* selector could be implemented by using the existing Truncation- and TournamentSelector. With *elitist* selection, the quality of the best solution in each generation monotonically increases over time.[3] Although this is not necessary, since the evolution Engine/Stream doesn't throw away the best solution found during the evolution process.

5.4 Alterers

For implementing a new alterer class it is necessary to implement the Alterer interface. You might do this if your new Gene type needs a special kind of alterer not available in the **Jenetics** project.

Listing 19: Alterer interface

The first parameter of the alter method is the Population which has to be altered. Since the Population class is mutable, the altering is performed in place. The second parameter is the generation of the newly created individuals and the return value is the number of genes that has been altered.

To maximize the range of application of an Alterer, it is recommended that they can handle Genotypes and Chromosomes with variable length.

5.5 Statistics

During the developing phase of an application which uses the **Jenetics** library, additional statistical data about the evolution process is crucial. Such data can help to optimize the parametrization of the evolution Engine. A good starting point is to use the EvolutionStatistics class in the org.jenetics.engine package (see listing 11 on page 26). If the data in the EvolutionStatistics class doesn't fit your needs, you simply have to write your own statistics class. It is not possible to derive from the existing EvolutionStatistics class. This is not a real restriction, since you still can use the class by delegation. Just implement the Java Consumer<EvolutionResult<G, C>> interface.

5.6 Engine

The evolution Engine itself can't be extended, but it is still possible to create an EvolutionStream without using the Engine class.²² Because the Evolution-Stream has no direct dependency to the Engine, it is possible to use an different, special evolution Function.

```
public final class SpecialEngine {
       // The Genotype factory.
2
       private static final Factory<Genotype<DoubleGene>>> GTF =
3
           Genotype. of (DoubleChromosome. of (0, 1));
       // The fitness function.
       private static Double fitness(final Genotype<DoubleGene> gt) {
           return gt.getGene().getAllele();
9
10
       // Create new evolution start object.
11
12
       private static EvolutionStart < DoubleGene, Double>
       start (final int population Size, final long generation) {
13
           final Population < DoubleGene, Double> population = GTF
14
                .instances()
15
                .map(gt -> Phenotype
16
                    .of(gt, generation, SpecialEngine::fitness))
17
                .limit(populationSize)
18
                . collect (Population . toPopulation ());
19
20
           return EvolutionStart.of(population, generation);
21
       }
22
23
       // The special evolution function.
24
25
       private static EvolutionResult < DoubleGene, Double>
       evolve(final EvolutionStart < DoubleGene, Double> start) {
26
           return ...; // Add implementation!
27
28
29
       public static void main(final String[] args) {
30
           final Genotype<DoubleGene> best = EvolutionStream
31
                .of(() \rightarrow start(50, 0), SpecialEngine::evolve)
32
                .limit(limit.bySteadyFitness(10))
33
                . limit (100)
34
                . collect (EvolutionResult.toBestGenotype());
35
36
           System.out.println("Best Genotype: " + best));
37
```

²²Also refer to section 3.3.4 on page 24 on how to create an EvolutionStream from an evolution Function.

```
38 }
39 }
```

Listing 20: Special evolution engine

Listing 20 on the previous page shows a *complete* implementation stub for using an own special evolution Function.

6 Advanced topics

This section describes some advanced topics for setting up an evolution Engine or EvolutionStream. It contains some problem encoding examples and how to override the default validation strategy of the given Genotypes. The last section contains a detailed description of the implemented termination strategies.

6.1 Encoding

This section presents some encoding examples for common problems. The encoding should be a complete and minimal expression of a solution to the problem. An encoding is complete if it contains enough information to represent every solution to the problem. An minimal encoding contains only the information needed to represent a solution to the problem. If an encoding contains more information than is needed to uniquely identify solutions to the problem, the search space will be larger than necessary.

Whenever possible, the encoding should not be able to represent infeasible solutions. If a genotype can represent an infeasible solution, care must be taken in the fitness function to give partial credit to the genotype for its »good« genetic material while sufficiently penalizing it for being infeasible. Implementing a specialized Chromosome, which won't create invalid encodings can be a solution to this problem. In general, it is much more desirable to design a representation that can only represent valid solutions so that the fitness function measures only fitness, not validity. An encoding that includes invalid individuals enlarges the search space and makes the search more costly. A deeper analysis of how to create encodings can be found in [15] and [14].

Some of the encodings represented in the following sections has been implemented by **Jenetics**, using the Codec²³ interface, and are available through static factory methods of the org.jenetics.engine.codecs class.

6.1.1 Real function

Jenetics contains three different numeric gene and chromosome implementations, which can be used to encode a real function, $f: \mathbb{R} \to \mathbb{R}$:

- IntegerGene/Chromosome,
- LongGene/Chromosome and
- DoubleGene/Chromosome.

It is quite easy to encode a real function. Only the minimum and maximum value of the function domain must be defined. The DoubleChromosome of length 1 is then wrapped into a Genotype.

²³See section 6.2 on page 49.

```
Genotype.of(
DoubleChromosome.of(min, max, 1)
);
```

Decoding the double value from the Genotype is also straight forward. Just get the first gene from the first chromosome, with the getGene() method, and convert it to a double.

```
static double toDouble(final Genotype<DoubleGene> gt) {
   return gt.getGene().doubleValue();
}
```

When the Genotype only contains scalar chromosomes²⁴, it should be clear, that it can't be altered by every Alterer. That means, that none of the Crossover alterers will be able to create modified Genotypes. For scalars the appropriate alterers would be the MeanAlterer, GaussianAlterer and Mutator.

Scalar Chromosomes and/or Genotypes can only be altered by MeanAlterer, GaussianAlterer and Mutator classes. Other alterers are allowed, but will have no effect on the Chromosomes.

6.1.2 Scalar function

Optimizing a function $f(x_1,...,x_n)$ of one or more variable whose range is one-dimensional, we have two possibilities for the Genotype encoding.[19] For the first encoding we expect that all variables, x_i , have the same minimum and maximum value. In this case we can simply create a Genotype with a Numeric-Chromosome of the desired length n.

```
Genotype.of(
DoubleChromosome.of(min, max, n)
);
```

The decoding of the Genotype requires a cast of the first Chromosome to a DoubleChromosome. With a call to the DoubleChromosome.toArray() method we return the variables $(x_1, ..., x_n)$ as double[] array.

```
static double[] toScalars(final Genotype<DoubleGene> gt) {
   return gt.getChromosome().as(DoubleChromosome.class).toArray();
}
```

With the *first* encoding you have the possibility to use all available alterers, including all Crossover alterer classes.

The second encoding must be used if the minimum and maximum value of the variables x_i can't be the same for all i. For the different domains, each variable x_i is represented by a Numeric Chromosome with length one. The final Genotype will consist of n Chromosomes with length one.

```
Genotype.of(
DoubleChromosome.of(min1, max1, 1),
DoubleChromosome.of(min2, max2, 1),

...
DoubleChromosome.of(minn, maxn, 1)

buttoring

DoubleChromosome.of(minn, maxn, 1)
```

 $^{^{24}}$ Scalar chromosomes contains only one gene.

With the help of the new Java Stream API, the decoding of the **Genotype** can be done in a view lines. The **DoubleChromosome** stream, which is created from the chromosome **Seq**, is first mapped to **double** values and then collected into an array.

```
static double[] toScalars(final Genotype<DoubleGene> gt) {
   return gt.stream()
   .mapToDouble(c -> c.getGene().doubleValue())
   .toArray();
}
```

As already mentioned, with the use of scalar chromosomes we can only use the MeanAlterer, GaussianAlterer or Mutator alterer class.

If there are performance issues in converting the Genotype into a double[] array, or any other numeric array, you can access the Genes directly via the Genotype.get(i, j) method and than convert it to the desired numeric value, by calling intValue(), longValue() or doubleValue().

6.1.3 Vector function

A function $f(X_1,...,X_n)$, of one to n variables whose range is m-dimensional, is encoded by m DoubleChromosomes of length n.[20] The domain-minimum and maximum values—of one variable X_i are the same in this encoding.

```
Genotype.of(
DoubleChromosome.of(min1, max1, m),
DoubleChromosome.of(min2, max2, m),

...
DoubleChromosome.of(minn, maxn, m)

buttoring

DoubleChromosome.of(minn, maxn, m)
```

The decoding of the vectors is quite easy with the help of the Java Stream API. In the first map we have to cast the Chromosome

Chromosome to an double [] array, which is collected to an 2-dimensional double [n] [m] array afterwards.

For the special case of n = 1, the decoding of the Genotype can be simplified to the decoding we introduced for scalar functions in section 6.1.2.

```
static double[] toVector(final Genotype<DoubleGene> gt) {
    return gt.getChromosome().as(DoubleChromosome.class).toArray();
}
```

6.1.4 Affine transformation

An affine transformation 25 , 26 is usually performed by a matrix multiplication with a transformation matrix—in a homogeneous coordinates system 27 . For a

 $^{^{25} {\}tt https://en.wikipedia.org/wiki/Affine_transformation}$

 $^{^{26} \}mathtt{http://mathworld.wolfram.com/AffineTransformation.html}$

²⁷https://en.wikipedia.org/wiki/Homogeneous_coordinates

transformation in \mathbb{R}^2 , we can define the matrix A^{28} :

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & 0 & 1 \end{pmatrix}. \tag{6.1}$$

A simple representation can be done by creating a Genotype which contains two DoubleChromosomes with a length of 3.

```
Genotype.of(
DoubleChromosome.of(min, max, 3),
DoubleChromosome.of(min, max, 3)

output

DoubleChromosome.of(min, max, 3)
```

The drawback with this kind of encoding is, that we will create a lot of *invalid* (non-affine transformation matrices) during the evolution process, which must be detected and discarded. It is also difficult to find the right parameters for the *min* and *max* values of the DoubleChromosomes.

A better approach will be to encode the transformation parameters instead of the transformation matrix. The affine transformation can be expressed by the following parameters:

- s_x the scale factor in x direction
- s_y the scale factor in y direction
- t_x the offset in x direction
- t_y the offset in y direction
- θ the rotation angle clockwise around origin
- k_x shearing parallel to x axis
- k_y shearing parallel to y axis

This parameters can then be represented by the following Genotype.

```
Genotype. of (
       // Scale
       DoubleChromosome.of(sxMin, sxMax),
       DoubleChromosome.of(syMin, syMax),
       // Translation
       DoubleChromosome.of(txMin, txMax),
       DoubleChromosome.of(tyMin, tyMax),
       // Rotation
9
       DoubleChromosome.of(thMin, thMax),
10
       // Shear
       DoubleChromosome.of(kxMin, kxMax),
11
       Double Chromosome.\ of\ (kyMin\ ,\ kxMax)
12
13
```

This encoding ensures that no invalid **Genotype** will be created during the evolution process, since the crossover will be only performed on the same kind of

 $^{^{28} {\}tt https://en.wikipedia.org/wiki/Transformation_matrix}$

chromosome (same chromosome index). To convert the Genotype back to the transformation matrix A, the following equations can be used:

$$a_{11} = s_x \cos \theta + k_x s_y \sin \theta$$

$$a_{12} = s_y k_x \cos \theta - s_x \sin \theta$$

$$a_{13} = t_x$$

$$a_{21} = k_y s_x \cos \theta + s_y \sin \theta$$

$$a_{22} = s_y \cos \theta - s_x k_y \sin \theta$$

$$a_{23} = t_y$$

$$(6.2)$$

This corresponds to an transformation order of $T \cdot S_h \cdot S_c \cdot R$:

$$\left(\begin{array}{ccc} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} 1 & k_x & 0 \\ k_y & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{array} \right).$$

In Java code, the conversion from the **Genotype** to the transformation matrix, will look like this:

```
static double[][] toMatrix(final Genotype<DoubleGene> gt) {
         final double sx = gt.get(0, 0).doubleValue();
        \begin{array}{ll} final \ double \ sy = gt.get(1,\ 0).doubleValue();\\ final \ double \ tx = gt.get(2,\ 0).doubleValue(); \end{array}
3
4
        final double ty = gt.get(3, 0).doubleValue();
5
        final double th = gt.get(4, 0).doubleValue();
final double kx = gt.get(5, 0).doubleValue();
6
7
        final double ky = gt.get(6, 0).doubleValue();
9
        final double cos_th = cos(th);
10
        final double sin th = sin(th);
11
        final double a11 = cos_th*sx + kx*sy*sin_th;
12
13
         final double a12 = cos_th*kx*sy - sx*sin_th;
        final double a21 = cos_th*ky*sx + sy*sin_th;
14
        final double a22 = cos_th*sy - ky*sx*sin_th;
15
16
        return new double [][] {
17
              {a11, a12, tx},
              {a21, a22, ty},
{0.0, 0.0, 1.0}
19
20
        };
21
22
```

For the introduced encoding all kind of alterers can be used. Since we have one scalar DoubleChromosome, the rotation angle θ , it is recommended also to add an MeanAlterer or GaussianAlterer to the list of alterers.

6.1.5 Graph

A graph can be represented in many different ways. The most known graph representation is the adjacency matrix. The following encoding examples uses adjacency matrices with different characteristics.

Undirected graph In an undirected graph the edges between the vertices have no direction. If there is a path between nodes i and j, it is assumed that there is also path from j to i.

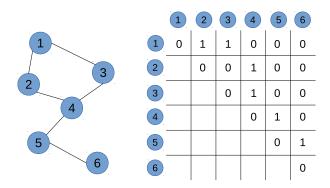


Figure 6.1: Undirected graph and adjacency matrix

Figure 6.1 shows an undirected graph and its corresponding matrix representation. Since the edges between the nodes have no direction, the values of the lower diagonal matrix are not taken into account. An application which optimizes an undirected graph has to ignore this part of the matrix.²⁹

```
final int n = 6;
final Genotype<BitGene> gt = Genotype.of(BitChromosome.of(n), n);
```

The code snippet above shows how to create an adjacency matrix for a graph with n = 6 nodes. It creates a genotype which consists of n BitChromosomes of length n each. Whether the node i is connected to node j can be easily checked by calling gt.get(i-1, j-1).booleanValue(). For extracting the whole matrix as int[] array, the following code can be used.

Directed graph A directed graph (digraph) is a graph where the path between the nodes have a direction associated with them. The encoding of a directed graph looks exactly like the encoding of an undirected graph. This time the whole matrix is used and the second diagonal matrix is no longer ignored.

Figure 6.2 on the next page shows the adjacency matrix of a digraph. This time the whole matrix is used for representing the graph.

Weighted directed graph A weighted graph associates a weight (label) with every path in the graph. Weights are usually real numbers. They may be restricted to rational numbers or integers.

The following code snippet shows how the **Genotype** of the matrix is created.

```
final int n = 6;

final double min = -1;

final double max = 20;
```

²⁹This property violates the *minimal* encoding requirement we mentioned at the beginning of section 6.1 on page 43. For simplicity reason this will be ignored for the undirected graph encoding.

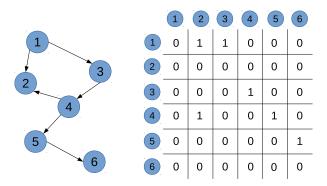


Figure 6.2: Directed graph and adjacency matrix

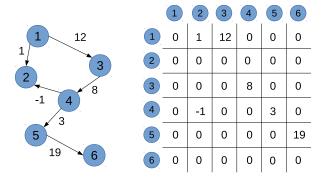


Figure 6.3: Weighted graph and adjacency matrix

```
final Genotype<DoubleGene> gt = Genotype
of(DoubleChromosome.of(min, max, n), n);
```

For accessing the single matrix elements, you can simply call Genotype.get(i, j).doubleValue(). If the interaction with another library requires an double-[][] array, the following code can be used.

```
final double [][] array = gt.stream()
map(dc -> dc.as(DoubleChromosome.class).toArray())
toArray(double [][]::new);
```

6.2 Codec

The Codec interface—located in the org.jenetics.engine package—narrows the gap between the fitness Function, which should be maximized/minimized, and the Genotype representation, which can be understand by the evolution Engine. With the Codec interface it is possible to implement the encodings of section 6.1 on page 43 in a more formalized way.

Normally, the Engine expects a fitness function which takes a Genotype as input. This Genotype has then to be transformed into an object of the problem domain. The usage Genotype definition and the transformation code. Genotype definition and the transformation code.

 $^{^{30}}$ Section 6.1 on page 43 describes some possible encodings for common optimization prob-

```
public interface Codec<T, G extends Gene<?, G>> {
   public Factory<Genotype<G>> encoding();
   public Function<Genotype<G>, T> decoder();
   public default T decode(final Genotype<G> gt) {...}
```

Listing 21: Codec interface

Listing 21 shows the Codec interface. The encoding() method returns the Genotype factory, which is used by the Engine for creating new Genotypes. The decoder Function, which is returned by the decoder() method, transforms the Genotype to the argument type of the fitness Function. Without the Codec interface, the implementation of the fitness Function is *polluted* with code, which transforms the Genotype into the argument type of the actual fitness Function.

```
static double eval(final Genotype<DoubleGene> gt) {
   final double x = gt.getGene().doubleValue();
   // Do some calculation with 'x'.
   return ...
}
```

The Codec for the example above is quite simple and is shown below. It is not necessary to implement the Codec interface, instead you can use the Codec.of factory method for creating new Codec instances.

```
final DoubleRange domain = DoubleRange.of(0, 2*PI);
final Codec<Double, DoubleGene> codec = Codec.of(
Genotype.of(DoubleChromosome.of(domain)),
gt -> gt.getChromosome().getGene().getAllele()

5 );
```

When using an Codec instance, the fitness Function solely contains code from your actual problem domain—no dependencies to classes of the **Jenetics** library.

```
static double eval(final double x) {
// Do some calculation with 'x'.
return ...
}
```

Jenetics comes with a set of standard encodings, which are created via static factory methods of the org.jenetics.engine.codecs class. The following subsections shows some of the implementation of this methods.

6.2.1 Scalar codec

Listing 22 shows the implementation of the ${\tt codecs.ofScalar}$ factory method—for Integer scalars.

Listing 22: Codec factory method: ofScalar

The usage of the Codec, created by this factory method, simplifies the implementation of the fitness Function and the creation of the evolution Engine. For

lems.

scalar types, the saving, in complexity and lines of code, is not that big, but using the factory method is still quite handy.

The following listing demonstrates the interaction between Codec, fitness Function and evolution Engine.

```
class Main {
       // Fitness function directly takes an 'int' value.
2
3
       static double fitness (int arg) {
           return ...;
4
       public static void main(String[] args) {
6
           final Engine < Integer Gene, Double > engine = Engine
               .builder (Main:: fitness, of Scalar (IntRange.of (0, 100)))
9
                . build();
10
11
       }
12
```

6.2.2 Vector codec

In the listing 23, the of Vector factory method returns a Codec for an int[] array. The domain parameter defines the allowed range of the int values and the length defines the length of the encoded int array.

```
static Codec<int[], IntegerGene> ofVector(
2
       IntRange domain,
       int length
3
  ) {
5
       return Codec. of (
           Genotype.of(IntegerChromosome.of(domain\,,\ length))\,,
6
           gt -> gt.getChromosome()
                    .as(IntegerChromosome.class)
8
9
                    .toArray()
10
       );
11 }
```

Listing 23: Codec factory method: of Vector

The usage example of the *vector* Codec is almost the same as for the *scalar* Codec. As additional parameter, we need to define the length of the desired array and we define our fitness function with an int[] array.

```
class Main {
2
        // Fitness function directly takes an 'int[]' array.
        static double fitness(int[] args) {
3
             return ...;
4
        public static void main(String[] args) {
6
              {\bf final} \  \, {\bf Engine}{<} {\bf IntegerGene} \; , \; \; {\bf Double}{>} \; {\bf engine} \; = \; {\bf Engine}
                   .builder (
                        Main:: fitness,
9
                        of Vector (IntRange.of (0, 100), 10))
10
                   . build();
11
12
13
        }
14
```

6.2.3 Subset codec

There are currently two kinds of subset codecs you can choose from: finding subsets with *variable* size and with *fixed* size.

Variable-sized subsets A Codec for *variable-sized* subsets can be easily implemented with the use of a BitChromosome, as shown in listing 24.

Listing 24: Codec factory method: ofSubSet

The following usage example of *subset* Codec shows a simplified version of the Knapsack problem (see section 9.4 on page 80). We try to find a subset, from the given basic SET, where the sum of the values is as big as possible, but smaller or equal than 20.

```
class Main {
       // The basic set from where to choose an 'optimal' subset.
2
       {\bf final \ static \ ISeq}{<} Integer{>} SET =
4
           ISeq. of (1, 2, 3, 4, 5, 6, 7, 8, 9, 10);
5
       // Fitness function directly takes an 'int' value.
7
       static int fitness (ISeq < Integer > subset) {
           assert (subset.size() <= SET.size());
            final int size = subset.stream().collect(
9
                Collectors.summingInt(Integer::intValue));
10
           return size \leq 20 ? size : 0;
11
12
       public static void main(String[] args) {
13
14
           final Engine < BitGene, Double > engine = Engine
                .builder (Main:: fitness, ofSubSet (SET))
15
                .build();
16
17
       }
18
19
```

Fixed-size subsets³¹ The second kind of subset codec allows you to find the *best* subset of a given, fixed size. A classical usage for this encoding is the Subset sum problem³²:

Given a set (or multi-set) of integers, is there a non-empty subset whose sum is zero? For example, given the set $\{-7, -3, -2, 5, 8\}$, the answer is yes because the subset $\{-3, -2, 5\}$ sums to zero. The problem is NP-complete³³.

```
public class SubsetSum
implements Problem<ISeq<Integer>, EnumGene<Integer>, Integer>

private final ISeq<Integer> _basicSet;
private final int _size;

public SubsetSum(ISeq<Integer> basicSet, int size) {
    _basicSet = basicSet;
}
```

³¹The algorithm for choosing subsets based on a FORTRAN77 version, originally implemented by Albert Nijenhuis, Herbert Wilf. The actual Java implementation is based on the C++ version by John Burkardt.[12], [22]

³²https://en.wikipedia.org/wiki/Subset_sum_problem

 $^{^{33}\,}https://en.\,wikipedia.\,org/wiki/NP-completeness$

```
9
            size = size;
10
11
       @Override\\
12
       public Function<ISeq<Integer>, Integer> fitness() {
13
           return subset -> abs(
14
15
               subset.stream().mapToInt(Integer::intValue).sum());
16
17
       @Override
18
       public Codec<ISeq<Integer>, EnumGene<Integer>> codec() {
19
20
           return codecs.ofSubSet(_basicSet, _size);
21
22
```

6.2.4 Permutation codec

This kind of codec can be used for problems where optimal solution depends on the order of the input elements. A classical example for such problems is the Knapsack problem (chapter 9.5 on page 82).

Listing 25: Codec factory method: ofPermutation

Listing 25 shows the implementation of a permutation codec, where the order of the given alleles influences the value of the fitness function. An alternate formulation of the traveling salesman problem is shown in the following listing. It uses the permutation codec in listing 25 and uses <code>java.awt.geom Points</code> for representing the city locations.

```
public class TSM {
        // The locations to visit.
3
        static final ISeq<Point> POINTS = ISeq.of(...);
4
        // The permutation codec.
5
        static final Codec<ISeq<Point>, EnumGene<Point>>
6
7
       CODEC = codecs.ofPermutation(POINTS);
        // The fitness function (in the problem domain).
9
        static double dist(final ISeq<Point> p) {
10
             return IntStream.range(0, p.length)
11
12
                  .mapToDouble(i -> p.get(i)
                       .\;distance\left(\,p\,.\,get\left(\,i\;+\;i\%p\,.\,length\left(\,\right)\,\right)\,\right)\,
13
                  . \operatorname{sum}();
14
        }
15
16
        // The evolution engine.
17
18
        static final Engine < EnumGene < Point >, Double > ENGINE = Engine
             .\;builder\,(TSM::dist\;,\;CODEC)
19
             . optimize (Optimize .MINIMUM)
20
             .build();
21
22
```

```
// Find the solution.
       public static void main(final String[] args)
24
           final ISeq<Point> result = CODEC.decode(
25
26
               ENGINE. stream()
27
                    .limit(10)
                    . collect (EvolutionResult.toBestGenotype())
28
29
           );
30
           System.out.println(result);
31
32
33
```

6.2.5 Composite codec

The *composite* Codec factory method allows to combine two or more Codecs into one. Listing 26 shows the method signature of the factory method, which is implemented directly in the Codec interface.

Listing 26: Composite Codec factory method

As you can see from the method definition, the combining Codecs and the combined Codec have the same Gene type.

Only Codecs which the same Gene type can be composed by the combining factory methods of the Codec class.

The following listing shows a full example which uses a combined Codec. It uses the subset Codec, introduced in section 6.2.3 on page 51, and combines it into a Tuple of subsets.

```
class Main {
       static final ISeq<Integer> SET =
2
3
           ISeq. of (1, 2, 3, 4, 5, 6, 7, 8, 9);
       // Result type of the combined 'Codec'.
       static final class Tuple<A, B> {
6
           final A first:
           final B second;
           Tuple(final A first , final B second) {
9
10
               this. first = first;
                this.second = second;
11
           }
12
       }
13
14
       static int fitness(Tuple<ISeq<Integer>, ISeq<Integer>> args) {
15
           return args.first.stream()
16
                   .mapToInt(Integer::intValue).sum() -
17
18
               args.second.stream()
                    .mapToInt(Integer::intValue).sum();
19
       }
20
21
       public static void main(String[] args) {
```

```
// Combined 'Codec'
            final Codec<Tuple<ISeq<Integer>, ISeq<Integer>>, BitGene>
24
                codec = Codec.of(
25
                    codecs.ofSubSet(SET),
26
                    codecs.ofSubSet(SET),
27
                    Tuple::new
28
29
30
           final Engine < BitGene, Integer > engine = Engine
31
                . builder (Main:: fitness, codec)
32
                . build();
33
34
           final Phenotype<BitGene, Integer> pt = engine.stream()
35
                . limit (100)
36
                . collect (EvolutionResult.toBestPhenotype());
37
38
            // Use the codec for converting the result 'Genotype'.
39
           final Tuple<ISeq<Integer>, ISeq<Integer>> result =
40
                codec.decoder().apply(pt.getGenotype());
41
       }
42
43
```

If you have to combine more than one Codec into one, you have to use the second, more general, *combining* function: Codec.of(ISeq<Codec<?, G>>,-Function<Object[], T>). The example above shows how to use the general combining function. It is just a little bit more verbose and requires explicit casts for the *sub-codec* types.

6.3 Problem

The Problem interface is a further abstraction level, which allows to *bind* the problem encoding and the fitness function into one class.

```
public interface Problem<
T,
G extends Gene<?, G>,
C extends Comparable<? super C>

{
public Function<T, C> fitness();
public Codec<T, G> codec();
}
```

Listing 27: Problem interface

Listing 27 shows the Problem interface. The generic type T represents the *native* argument type of the fitness function and C the Comparable result of the fitness function. G is the Gene type, which is used by the evolution Engine.

```
/ Definition of the Ones counting problem.
   final Problem<ISeq<BitGene>, BitGene, Integer>ONES_COUNTING =
3
       Problem. of (
            // Fitness Function < ISeq < BitGene >, Integer >
5
           genes -> (int)genes.stream()
                . filter (BitGene::getBit).count(),
6
           Codec. of (
                // Genotype Factory < Genotype < Bit Gene >>
                Genotype. of (BitChromosome. of (20, 0.15)),
9
                   Genotype conversion
10
                // Function<Genotype<BitGene>, <BitGene>>
11
12
                gt -> gt.getChromosome().toSeq()
13
       );
14
15
     Engine creation for Problem solving.
16
   final Engine < BitGene, Integer > engine = Engine
17
       . bulder (ONES_COUNTING)
18
       .populationSize(150)
19
       .survivorsSelector(newTournamentSelector<>(5))
20
       .offspringSelector(new RouletteWheelSelector<>())
21
22
       .alterers (
           new Mutator <>(0.03),
23
           new SinglePointCrossover <>(0.125))
24
       .build();
25
```

The listing above shows how a new Engine is created by using a predefined Problem instance. This allows the complete decoupling of problem and Engine definition.

6.4 Validation

A given problem should usually encoded in a way, that it is not possible for the evolution Engine to create *invalid* individuals (Genotypes). Some possible encodings for common data-structures are described in section 6.1 on page 43. The Engine creates new individuals in the *altering* step, by rearranging (or creating new) Genes within a Chromosome. Since a Genotype is treated as *valid* if every single Gene in every Chromosome is *valid*, the validity property of the Genes determines the validity of the whole Genotype.

The Engine tries to create only valid individuals when creating the initial Population and when it replaces Genotypes which has been *destroyed* by the altering step. Individuals which has exceeded its lifetime are also replaced by new valid ones. To guarantee the termination of the Genotype creation, the Engine is parameterized with the maximal number of retries (individualCreationRetries)³⁴.

If the described validation mechanism doesn't fulfill your needs, you can override the validation mechanism by creating the Engine with an external Genotype validator.

```
final Predicate <? super Genotype <DoubleGene>>> validator = gt -> {
    // Implement advanced Genotype check.

boolean valid = ...;
return valid;
};
final Engine <DoubleGene, Double> engine = Engine.builder(gtf, ff)
limit(100)
```

 $^{^{34}}$ See section 3.3.3 on page 22.

Having the possibility to replace the default validation check is a nice thing, but it is better to not create invalid individuals in the first place. For achieving this goal, you have two possibilities:

- 1. Creating an explicit Genotype factory and
- 2. implementing new Gene/Chromosome/Alterer classes.

Genotype factory The usual mechanism for defining an encoding is to create a Genotype $prototype^{35}$. Since the Genotype implements the Factory interface, an prototype instance can easily passed to the Engine.builder method. For a more advanced Genotype creation, you *only* have to create an explicit Genotype factory.

```
final Factory<Genotype<DoubleGene>>> gtf = () -> {
    // Implement your advanced Genotype factory.
    Genotype<DoubleGene> genotype = ...;
    return genotype;
};
final Engine<DoubleGene, Double> engine = Engine.builder(gtf, ff)
    .limit(100)
    .individualCreationRetries(15)
    .build();
```

With this method you can avoid that the Engine creates invalid individuals in the first place, but it is still possible that the alterer step will destroy your Genotypes.

Gene/Chromosome/Alterer Creating your own Gene, Chromosome and Alterer classes is the most heavy-wighted possibility for solving the *validity* problem. Refer to section 5 on page 37 for a more detailed description on how to implement this classes.

6.5 Termination

Termination is the criterion by which the evolution stream decides whether to continue or truncate the stream. This section gives a deeper insight into the different ways of terminating or truncating the evolution stream, respectively. The EvolutionStream of the Jenetics library offers an additional method for limiting the evolution. With the limit(Predicate<EvolutionResult<G,C>>) method it is possible to use more advanced termination strategies. If the predicate, given to the limit function, returns false, the evolution stream is truncated. EvolutionStream.limit(r -> true) will create an infinite evolution stream.

All termination strategies described in the following sub-sections are part of the library and can be created by factory methods of the org.jenetics-.engine.limit class. The termination strategies where tested by solving the

³⁵https://en.wikipedia.org/wiki/Prototype_pattern

Knapsack problem³⁶ (see section 9.4 on page 80) with 250 items. This makes it a real problem with a search-space size of $2^{250} \approx 10^{75}$ elements.

The predicate given to the EvolutionStream.limit function must return false for truncating the evolution stream. If it returns true, the evolution is continued.

Population size:	150	
Survivors selector:	TournamentSelector<>(5)	
Offspring selector:	RouletteWheelSelector<>()	
Alterers:	Mutator<>(0.03) and	
	SinglePointCrossover<>(0.125)	
Fitness scaler:	Identity function	

Table 6.1: Knapsack evolution parameters

Table 6.1 shows the evolution parameters used for the termination tests. To make the tests comparable, all test runs uses the same evolution parameters and the very same set of knapsack items. Each termination test was repeated 1000 times, which gives us enough data to draw the given candlestick diagrams.

Some of the implemented termination strategy needs to maintain an internal state. This strategies can't be re-used in different evolution streams. To be on the safe side, it is recommended to always create an Predicate instance for each stream. Calling Stream.limit(limit.by TerminationStrategy) will always work as expected.

6.5.1 Fixed generation

The simplest way for terminating the evolution process, is to define a maximal number of generations on the EvolutionStream. It just uses the existing limit method of the Java Stream interface.

```
final long MAX_GENERATIONS = 100;
EvolutionStream < DoubleGene, Double> stream = engine.stream()
limit (MAX_GENERATIONS);
```

This kind of termination method should always be applied—usually additional with other evolution terminators—, to guarantee the truncation of the evolution stream and to define an upper limit of the executed generations.

Figure 6.4 on the following page shows the best fitness values of the used Knapsack problem after a given number of generations, whereas the candle-stick points represents the min, 25^{th} percentile, median, 75^{th} percentile and max fitness after 250 repetitions per generation. The solid line shows for the mean of the best fitness values. For a small increase of the fitness value, the needed generations grows exponentially. This is especially the case when the fitness is approaching to its maximal value.

³⁶The actual implementation used for the termination tests can be found in the Github repository: https://github.com/jenetics/jenetics/blob/master/org.jenetics.tool/src/main/java/org/jenetics/tool/problem/Knapsack.java

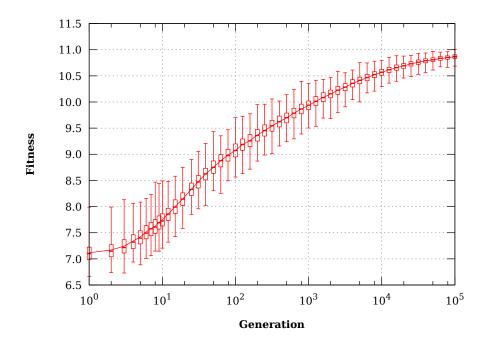


Figure 6.4: Fixed generation termination

6.5.2 Steady fitness

The *steady fitness* strategy truncates the evolution stream if its best fitness hasn't changed after a given number of generations. The predicate maintains an internal state, the number of generations with non increasing fitness, and must be newly created for every evolution stream.

```
final class SteadyFitnessLimit<C extends Comparable<? super C>>
2
       implements Predicate < Evolution Result <?, C>>
3
       private final int _generations;
4
       private boolean _proceed = true;
6
       private int _stable = 0;
       private C _fitness;
7
       public SteadyFitnessLimit(final int generations) {
9
10
            _generations = generations;
11
12
13
       @Override
       public boolean test(final EvolutionResult <?, C> er) {
14
15
           if (!_proceed) return false;
            if (_fitness == null) {
16
                _fitness = er.getBestFitness();
17
                _{\text{stable}} = 1;
18
           } else {
19
                final Optimize opt = result.getOptimize();
20
21
                if (opt.compare(_fitness, er.getBestFitness()) >= 0) {
                     _proceed = ++_stable <= _generations;
22
                } else {
23
                    _fitness = er.getBestFitness();
24
                    _{\text{stable}} = 1;
25
```

Listing 28: Steady fitness

Listing 28 on the previous page shows the implementation of the limit.bySteadyFitness(int) in the org.jenetics.engine package. It should give you an impression of how to implement own termination strategies, which possible holds and internal state.

```
Engine < Dobule Gene, Double > engine = ...

Evolution Stream < Double Gene, Double > stream = engine.stream()

limit(limit.by Steady Fitness (15));
```

The steady fitness terminator can be created by the bySteadyFitness factory method of the org.jenetics.engine.limit class. In the example above, the evolution stream is terminated after 15 stable generations.

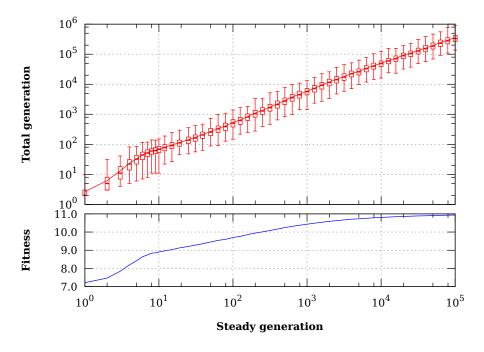


Figure 6.5: Steady fitness termination

Figure 6.5 shows the actual total executed generation depending on the desired number of steady fitness generations. The variation of the total generation is quite big, as shown by the candle-sticks. Though the variation can be quite big—the termination test has been repeated 250 times for each data point—, the tests showed that the *steady fitness* termination strategy always terminated, at least for the given test setup. The lower diagram give an overview of the fitness progression. Only the mean values of the maximal fitness is shown.

6.5.3 Evolution time

This termination strategy stops the evolution when the elapsed evolution time exceeds an user-specified maximal value. The evolution stream is only truncated at the end of an generation and will not interrupt the current evolution step. An maximal evolution time of zero ms will at least evaluate one generation. In an time-critical environment, where a solution must be found within a maximal time period, this terminator let you define the desired guarantees.

```
Engine < DobuleGene, Double > engine = ...

EvolutionStream < DoubleGene, Double > stream = engine.stream()

limit(limit.byExecutionTime(Duration.ofMillis(500));
```

In the code example above, the byExecutionTime(Duration) method is used for creating the termination object. Another method, byExecutionTime(Duration, Clock), lets you define the java.time.Clock, which is used for measure the execution time. Jenetics uses the nano precision clock org.jenetics.util.NanoClock for measuring the time. To have the possibility to define a different Clock implementation is especially useful for testing purposes.

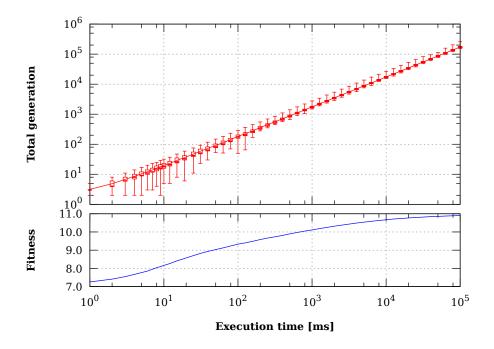


Figure 6.6: Execution time termination

Figure 6.6 shows the evaluated generations depending on the execution time. Except for very small execution times, the evaluated generations per time unit stays quite stable.³⁷ That means that a doubling of the execution time will double the number of evolved generations.

 $[\]overline{\ \ \ }^{37}$ While running the tests, all other CPU intensive process has been stopped. The measuring started after a warm-up phase.

6.5.4 Fitness threshold

A termination method that stops the evolution when the best fitness in the current population becomes less than the user-specified fitness threshold and the objective is set to minimize the fitness. This termination method also stops the evolution when the best fitness in the current population becomes greater than the user-specified fitness threshold when the objective is to maximize the fitness.

```
Engine < Double > engine = ...
EvolutionStream < Double > engine = ...
Limit(limit.byFitnessThreshold(10.5)
Limit(5000);
```

When limiting the evolution stream by a fitness threshold, you have to have a knowledge about the expected maximal fitness. If there is no such knowledge, it is advisable to add an additional fixed sized generation limit as safety net.

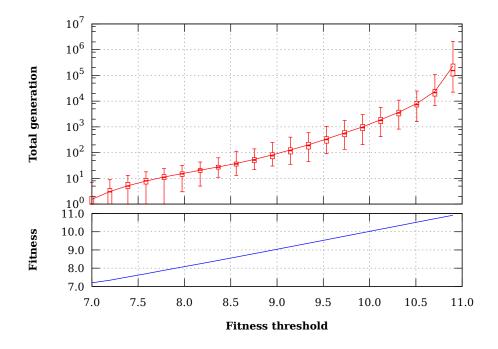


Figure 6.7: Fitness threshold termination

Figure 6.7 shows executed generations depending on the minimal fitness value. The total generations grows exponentially with the desired fitness value. This means, that this termination strategy will (practically) not terminate, if the value for the fitness threshold is chosen to high. And it will definitely not terminate if the fitness threshold is higher than the *global* maximum of the fitness function. It will be a *perfect* strategy if you can define some *good enough* fitness value, which can be *easily* achieved.

6.5.5 Fitness convergence

In this termination strategy, the evolution stops when the fitness is deemed as converged. Two filters of different lengths are used to smooth the best fitness across the generations. When the best smoothed fitness of the long filter is less than a specified percentage away from the best smoothed fitness from the short filter, the fitness is deemed as converged.

Jenetics offers a generic version fitness-convergence predicate and a version where the smoothed fitness is the moving average of the used filters.

```
public static <N extends Number & Comparable<? super N>>
Predicate < EvolutionResult <?, N>> byFitnessConvergence(
    final int shortFilterSize,
    final int longFilterSize,
    final BiPredicate < DoubleMoments, DoubleMoments> proceed
);
```

Listing 29: General fitness convergence

Listing 29 shows the factory method which creates the *generic* fitness convergence predicate. This method allows to define the evolution termination according to the statistical moments of the short- and long fitness filter.

```
public static <N extends Number & Comparable <? super N>
Predicate < Evolution Result <?, N>> by Fitness Convergence (
final int short Filter Size,
final int long Filter Size,
final double epsilon

i public static <N extends Number & Comparable <? super N>>

predicate < Evolution Result <?, N>> by Fitness Convergence (
final int long Filter Size,

final double epsilon

i public static <N extends Number & Comparable <? super N>>

predicate < Evolution Result <?, N>> by Fitness Convergence (
final int short Filter Size,

final double epsilon
```

Listing 30: Mean fitness convergence

The second factory method (shown in listing 30) creates a fitness convergence predicate, which uses the moving average³⁸ for the two filters. The smoothed fitness value is calculated as follows:

$$\sigma_F(N) = \frac{1}{N} \sum_{i=0}^{N-1} F_{[G-i]}$$
 (6.3)

where N is the length of the filter, $F_{[i]}$ the fitness value at generation i and G the current generation. If the condition

$$\frac{|\sigma_F(N_S) - \sigma_F(N_L)|}{\delta} < \epsilon \tag{6.4}$$

is fulfilled, the evolution stream is truncated. Where δ is defined as follows:

$$\delta = \begin{cases} \max(|\sigma_F(N_S)|, |\sigma_F(N_L)|) & \text{if} \quad \sigma_F(N_x) \neq 0 \\ 1 & \text{otherwise} \end{cases}$$
 (6.5)

```
Engine < Dobule Gene, Double > engine = ...

Evolution Stream < Double Gene, Double > stream = engine.stream()

limit (limit.by Fitness Convergence (10, 30, 10E-4);
```

For using the fitness convergence strategy you have to specify three parameter. The length of the short filter, N_S , the length of the long filter, N_L and the relative difference between the smoothed fitness values, ϵ .

 $^{^{38} {\}tt https://en.wikipedia.org/wiki/Moving_average}$

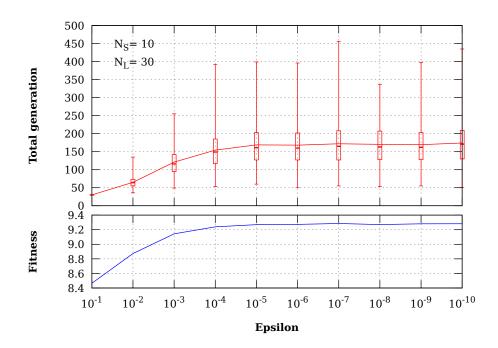


Figure 6.8: Fitness convergence termination: $N_S = 10, N_L = 30$

Figure 6.8 shows the termination behavior of the fitness convergence termination strategy. It can be seen that the minimum number of evolved generations is the length of the long filter, N_L .

Figure 6.9 on the following page shows the generations needed for terminating the evolution for higher values of the N_S and N_L parameters.

6.6 Evolution performance

This section contains an empirical *proof*, that *evolutionary* selectors deliver significantly better fitness results than a random search. The MonteCarloSelector is used for creating the comparison (random search) fitness values.

Figure 6.10 on page 66 shows the *evolution* performance of the Selector³⁹ used by the examples in section 6.5 on page 57. The lower blue line shows the (mean) fitness values of the *Knapsack* problem when using the MonteCarlo-Selector for selecting the survivors and offspring population. It can be easily seen, that the performance of the *real* evolutionary Selectors is much better than a random search.

6.7 Evolution strategies

Evolution Strategies, ES, were developed by Ingo Rechenberg and Hans-Paul Schwefel at the Technical University of Berlin in the mid 1960s.[18] It is a global optimization algorithm in continuous search spaces and is an instance

³⁹The termination tests are using a TournamentSelector, with tournament-size 5, for selecting the survivors, and a RouletteWheelSelector for selecting the offspring.

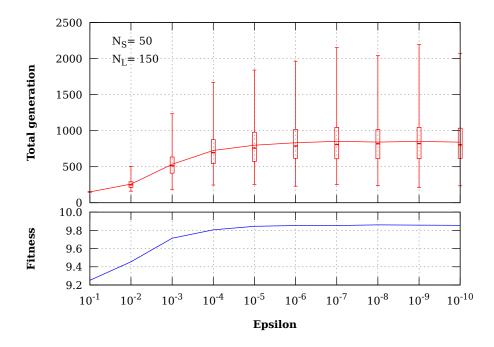


Figure 6.9: Fitness convergence termination: $N_S = 50$, $N_L = 150$

of an Evolutionary Algorithm from the field of Evolutionary Computation. ES uses truncation selection⁴⁰ for selecting the individuals and usually mutation⁴¹ for changing the next generation. This section describes how to configure the evolution Engine of the library for the (μ, λ) - and $(\mu + \lambda)$ -ES.

6.7.1 (μ, λ) evolution strategy

The (μ, λ) algorithm starts by generating λ individuals randomly. After evaluating the fitness of all the individuals, all but the μ fittest ones are deleted. Each of the μ fittest individuals gets to produce $\frac{\lambda}{\mu}$ children through an ordinary mutation. The newly created children just replaces the discarded parents.[8]

To summarize it: μ is the number of parents which survive, and λ is the number of offspring, created by the μ parents. The value of λ should be a multiple of μ . ES practitioners usually refer to their algorithm by the choice of μ and λ . If we set $\mu = 5$ and $\lambda = 5$, then we have a (5, 20)-ES.

```
final Engine < Double Gene, Double > engine =
    Engine.builder(fitness, codec)
    .populationSize(lambda)
    .survivorsSize(0)
    .offspringSelector(new TruncationSelector <> (mu))
    .alterers(new Mutator <> (p))
    .build();
```

Listing 31: (μ, λ) Engine configuration

 $^{^{40}}$ See 3.2.1 on page 12.

⁴¹See 3.2.2 on page 15.

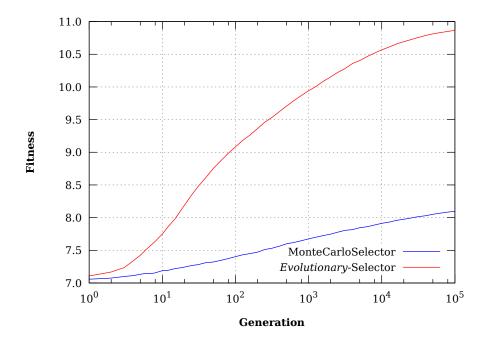


Figure 6.10: Selector-performance (Knapsack)

Listing 31 on the previous page shows how to configure the evolution Engine for (μ, λ) -ES. The population size is set to λ and the survivors size to zero, since the best parents are not part of the final population. Step three is configured by setting the offspring selector to the TruncationSelector. Additionally, the TruncationSelector is parameterized with μ . This lets the TruncationSelector only select the μ best individuals, which corresponds to step two of the ES.

There are mainly three levers for the (μ, λ) -ES where we can adjust exploration versus exploitation:[8]

- Population size λ : This parameter controls the sample size for each population. For the extreme case, as λ approaches ∞ , the algorithm would perform a simple random search.
- Survivors size of μ : This parameter controls how selective the ES is. Relatively low μ values pushes the algorithm towards exploitative search, because only the best individuals are used for reproduction. ⁴²
- Mutation probability p: A high mutation probability pushes the algorithm toward a fairly random search, regardless of the selectivity of μ .

 $^{^{42}\}mathrm{As}$ you can see in listing 31 on the preceding page, the survivors size (reproduction pool size) for the $(\mu,\lambda)\text{-ES}$ must be set indirectly via the TruncationSelector parameter. This is necessary, since for the $(\mu,\lambda)\text{-ES}$, the selected best μ individuals are not part of the population of the next generation.

6.7.2 $(\mu + \lambda)$ evolution strategy

In the $(\mu + \lambda)$ -ES, the next generation consists of the selected best μ parents and the λ new children. This is also the main difference to (μ, λ) , where the μ parents are not part of the next generation. Thus the next and all successive generations are $\mu + \lambda$ in size.[8]

Jenetics works with a constant population size and it is therefore not possible to implement an increasing population size. Besides this restriction, the Engine configuration for the $(\mu + \lambda)$ -ES is shown in listing 32.

```
final Engine < Double Gene, Double > engine =
    Engine.builder(fitness, codec)
    .populationSize(lambda)
    .survivorsSize(mu)
    .selector(new TruncationSelector <> (mu))
    .alterers(new Mutator <> (p))
    .build();
```

Listing 32: $(\mu + \lambda)$ Engine configuration

Since the selected μ parents are part of the next generation, the survivorsSize property must be set to μ . This also requires to set the survivors selector to the TruncationSelector. With the selector(Selector) method, both selectors, the selector for the survivors and for the offspring, can be set.

Because the best parents are also part of the next generation, the $(\mu + \lambda)$ -ES may be more exploitative than the (μ, λ) -ES. This has the risk, that very fit parents can defeat other individuals over and over again, which leads to a prematurely convergence to a local optimum.

7 Internals

This section contains internal implementation details which doesn't fit in one of the previous sections. They are not essential for using the library, but would give the user a deeper insight in some design decisions, made when implementing the library. It also introduces tools and classes which where developed for testing purpose. This classes resides below the org.jenetics.internal package. Though they are not part of the official API, they are packed into the delivered jar and can be used accordingly. Be aware that all classes below the org.jenetics.internal package can be changed and removed without announcement.

7.1 PRNG testing

Jenetics uses the dieharder⁴³ (command line) tool for testing the *randomness* of the used PRNGs. dieharder is a random number generator (RNG) testing suite. It is intended to test generators, not files of possibly random numbers. Since dieharder needs a huge amount of random data, for testing the quality of a RNG, it is usually advisable to pipe the random numbers to the dieharder process:

```
$ cat /dev/urandom | dieharder -g 200 -a
```

 $^{^{43}}$ From Robert G. Brown: http://www.phy.duke.edu/~rgb/General/dieharder.php

The example above demonstrates how to stream a raw binary stream of bits to the stdin (raw) interface of dieharder. With the DieHarder class, which is part of the org.jenetics.internal.util package, it is easily possible to test PRNGs extending the java.util.Random class. The only requirement is, that the PRNG must be default-constructible and part of the classpath.

```
$ java -cp org.jenetics-3.8.0.jar \
      org.jenetics.internal.util.DieHarder \
      <random-engine-name> -a
```

Calling the command above will create an instance of the given random engine and stream the random data (bytes) to the raw interface of dieharder process.

```
#-----#
  # Testing: <random-engine-name> (2015-07-11 23:48)
  #-----#
3
  # Linux 3.19.0-22-generic (amd64)
  # java version "1.8.0_45"
  # Java(TM) SE Runtime Environment (build 1.8.0 45-b14)
  # Java HotSpot(TM) 64-Bit Server VM (build 25.45-b02)
  #-----#
  #-----#
10
            dieharder version 3.31.1 Copyright 2003 Robert G. Brown
  #----
    rng_name |rands/second| Seed
  stdin_input_raw| 1.36e+07 |1583496496|
15
  test_name | ntup | tsamples | psamples | p-value | Assessmen

diehard_birthdays | 0 | 100 | 100 | 0.63372078 | PASSED
    diehard_operm5 | 0 | 1000000 | 100 | 0.42965082 | PASSED
    diehard_rank_32x32 | 0 | 40000 | 100 | 0.95159380 | PASSED
    diehard_rank_6x8 | 0 | 100000 | 100 | 0.70376799 | PASSED
       test_name |ntup| tsamples |psamples| p-value |Assessment
16
17
20
   diehard_rank_32x32|
21
22
  Preparing to run test 209. ntuple = 0 dab_monobit2 | 12 | 65000000 |
23
                                     1|0.76563780| PASSED
26
  # Summary: PASSED=112, WEAK=2, FAILED=0
           235,031.492 MB of random data created with 41.394 MB/sec
  #-----#
29
  # Runtime: 1:34:37
```

In the listing above, a part of the created dieharder report is shown. For testing the LCG64ShiftRandom class, which is part of the org.jenetics.util package, the following command can be called:

```
$ java -cp org.jenetics-3.8.0.jar \
      org.jenetics.internal.util.DieHarder \
      org.jenetics.util.LCG64ShiftRandom -a
```

Table 7.1 on the following page shows the summary of the dieharder tests. The full report is part of the source file of the LCG64ShiftRandom class. 44

Random seeding

The PRNGs⁴⁵, used by the **Jenetics** library, needs to be initialized with a proper seed value before they can be used. The usual way for doing this, is to take the current time stamp.

⁴⁴https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/ org/jenetics/util/LCG64ShiftRandom.java ⁴⁵See section 4.2 on page 30.

Passed tests	Weak tests	Failed tests
110	4	0

Table 7.1: LCG64ShiftRandom quality

```
public static long seed() {
   return System.nanoTime();
}
```

Before applying this method throughout the whole library, I decided to perform some statistical tests. For this purpose I treated the seed() method itself as PRNG and analyzed the created long values with the DieHarder class. The seed() method has been wrapped into the org.jenetics.internal.util.-NanoTimeRandom class. Assuming that the dieharder tool is in the search path, calling

```
$ java -cp org.jenetics-3.8.0.jar \
    org.jenetics.internal.util.DieHarder \
    org.jenetics.internal.util.NanoTimeRandom -a
```

will perform the statistical tests for the nano time *random engine*. The statistical quality is rather bad: every single test failed. Table 7.2 shows the summary of the dieharder report.⁴⁶

Passed tests	Weak tests	Failed tests
0	0	114

Table 7.2: Nano time seeding quality

An alternative source of entropy, for generating seed values, would be the /dev/random or /dev/urandom file. But this approach is not portable, which was a prerequisite for the **Jenetics** library.

The next attempt tries to fetch the seeds from the JVM, via the Object.-hashCode() method. Since the hash code of an Object is available for every operating system and most likely "randomly" distributed.

```
public static long seed() {
    return ((long)new Object().hashCode() << 32) |
    new Object().hashCode();
}</pre>
```

This seed method has been wrapped into the ObjectHashRandom class and tested as well with

```
$ java -cp org.jenetics-3.8.0.jar \
    org.jenetics.internal.util.DieHarder \
    org.jenetics.internal.util.ObjectHashRandom -a
```

Table 7.3 shows the summary of the dieharder report⁴⁷, which looks better than the nano time seeding, but 86 failing tests was still not very satisfying.

⁴⁶The detailed test report can be found in the source of the NanoTime-Random class. https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/org/jenetics/internal/util/NanoTimeRandom.java

⁴⁷ Full report: https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/org/jenetics/internal/util/ObjectHashRandom.java

Passed tests	Weak tests	Failed tests
28	0	86

Table 7.3: Object hash seeding quality

After additional experimentation, a combination of the nano time seed and the object hash seeding seems to be the *right* solution. The rational behind this was, that the PRNG seed shouldn't rely on a single *source* of entropy.

```
public static long seed() {
       return mix(System.nanoType(), objectHashSeed());
3
  }
  private static long mix(final long a, final long b) {
5
6
       long c = a^b;
         ^= c << 17;
       c ^= c >>> 31;
       c = c << 8;
9
10
11
12
13
  private static long objectHashSeed() {
       return ((long)new Object().hashCode() << 32) |
14
15
           new Object().hashCode();
16
```

Listing 33: Random seeding

The code in listing 33 shows how the nano time seed is mixed with the object seed. The mix method was inspired by the mixing step of the lcg64_shift⁴⁸ random engine, which has been reimplemented in the LCG64ShiftRandom class. Running the tests with

```
$ java -cp org.jenetics-3.8.0.jar \
    org.jenetics.internal.util.DieHarder \
    org.jenetics.internal.util.SeedRandom -a
```

leads to the statistics summary⁴⁹, which is shown in table 7.4.

Passed tests	Weak tests	Failed tests
112	2	0

Table 7.4: Combined random seeding quality

The statistical performance of this seeding is better, according to the dieharder test suite, than some of the real random engines, including the default Java Random engine. Using the proposed seed() method is in any case preferable to the simple System.nanoTime() call.

Open questions

 $^{^{48}{\}rm This}$ class is part of the TRNG library: https://github.com/rabauke/trng4/blob/master/src/lcg64_shift.hpp

 $^{^{49} \}rm Full\ report.$ https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/org/jenetics/internal/util/SeedRandom.java

- How does this method perform on operating systems other than Linux?
- How does this method perform on other JVM implementations?

8 Incubation

This section describes the classes in the *not yet* released modules. Incubating features and experimental genetic operators will be implemented in this modules. If you find this classes useful, you must build the module yourself, since they are not yet available in the global maven repository. With

\$./gradlew <module>:jar

it is possible to create a module JAR which can be added to your project classpath. Be aware that interface and/or implementation of incubating modules can be changed without noticing.

Currently incubation modules:

org.jenetics.tool Contains utility classes for measuring the evolution performance.⁵⁰ This classes where used for creating the diagrams in this manual.

org.jenetix Contains non-standard selector and mutator classes.⁵¹ The classes needed for the *Weasel program* (see section 8.1) are part of this module.

8.1 Weasel program

The Weasel program⁵² is thought experiment from Richard Dawkins, in which he tries to illustrate the function of genetic mutation and selection.⁵³ For this reason he chooses the well known example of typewriting monkeys.

I don't know who it was first pointed out that, given enough time, a monkey bashing away at random on a typewriter could produce all the works of Shakespeare. The operative phrase is, of course, given enough time. Let us limit the task facing our monkey somewhat. Suppose that he has to produce, not the complete works of Shakespeare but just the short sentence »Methinks it is like a weasel«, and we shall make it relatively easy by giving him a typewriter with a restricted keyboard, one with just the 26 (uppercase) letters, and a space bar. How long will he take to write this one little sentence?[7]

The search space of the 28 character long target string is $27^{28} \approx 10^{40}$. If the monkey writes 1,000,000 different sentences per second, it would take about 10^{26} years (in average) writing the correct one. Although Dawkins did not provide the source code for his program, a »Weasel« style algorithm could run as follows:

1. Start with a random string of 28 characters.

 $^{^{50} {\}tt http://jenetics.io/javadoc/org.jenetics.tool/3.8/index.html}$

 $^{^{51}}$ http://jenetics.io/javadoc/org.jenetix/3.8/index.html

⁵²https://en.wikipedia.org/wiki/Weasel_program

⁵³The classes are located in the org. jenetix module.

- 2. Make n copies of the string (reproduce).
- 3. Mutate the characters with an mutation probability of 5%.
- 4. Compare each new string with the target string »METHINKS IT IS LIKE A WEASEL«, and give each a score (the number of letters in the string that are correct and in the correct position).
- 5. If any of the new strings has a perfect score (28), halt. Otherwise, take the highest scoring string, and go to step 2.

Richard Dawkins was also very careful to point out the limitations of this simulation:

Although the monkey/Shakespeare model is useful for explaining the distinction between single-step selection and cumulative selection, it is misleading in important ways. One of these is that, in each generation of selective »breeding«, the mutant »progeny« phrases were judged according to the criterion of resemblance to a distant ideal target, the phrase METHINKS IT IS LIKE A WEASEL. Life isn't like that. Evolution has no long-term goal. There is no long-distance target, no final perfection to serve as a criterion for selection, although human vanity cherishes the absurd notion that our species is the final goal of evolution. In real life, the criterion for selection is always short-term, either simple survival or, more generally, reproductive success.[7]

If you want to write a Weasel program with the **Jenetics** library, you need to use the special WeaselSelector and WeaselMutator.

```
public class WeaselProgram {
        private static final String TARGET =
2
3
             "METHINKS IT IS LIKE A WEASEL";
        private static int score(final Genotype<CharacterGene> gt) {
5
             final CharSequence source =
7
                 (CharSequence) gt.getChromosome();
             return IntStream.range(0, TARGET.length())
                 .map(i \rightarrow source.charAt(i) = TARGET.charAt(i) ? 1 : 0)
                  .sum();
10
        }
11
12
       public static void main(final String[] args) {
    final CharSeq chars = CharSeq.of("A-Z");
    final Factory<Genotype<CharacterGene>>> gtf = Genotype.of(
13
14
15
                 new CharacterChromosome(chars, TARGET.length())
16
17
             final Engine < CharacterGene, Integer > engine = Engine
18
                  .builder (WeaselProgram::score, gtf)
19
                  . population Size (150)
20
                 .selector(new WeaselSelector<>())
21
                 . offspringFraction(1)
22
                  .alterers (new WeaselMutator <> (0.05))
23
                  . build();
24
             final Phenotype<CharacterGene, Integer> result = engine
25
                 .stream()
26
                  . limit (by Fitness Threshold (TARGET. length () - 1))
27
                  .peek(r -> System.out.println(
```

Listing 34: Weasel program

Listing 34 on the previous page shows how-to implement the WeaselProgram with **Jenetics**. Step (1) and (2) of the algorithm is done implicitly when the initial population is created. The third step is done by the WeaselMutator, with mutation probability of 0.05. Step (4) is done by the WeaselSelector together with the configured offspring-fraction of one. The evolution stream is limited by the limit.byFitnessThreshold, which is set to $score_{max} - 1$. In the current example this value is set to TARGET.length() - 1 = 27.

```
[UBNHLJUS RCOXR LFIYLAWRDCCNY] --> 6
   2:
       [UBNHLJUS RCOXR LFIYLAWWDCCNY]
[UBQHLJUS RCOXR LFIYLAWWECCNY]
3
   3:
       [UBQHLJUS RCOXR LFICLAWWECCNL]
   5:
5
       [W QHLJUS RCOXR LFICLA WEGCNL]
   6:
                                 WEGCNL]
       [W QHLJKS
                  RCOXR LFIHLA
   8:
       [W QHLJKS RCOXR LFIHLA
                                 WEGSNL]
                                          -->
                                         --> 13
   9:
       [W OHLJKS
                  RCOXR LFIS A
                                 WEGSNLl
   10:
       [M QHLJKS
                  RCOXR LFIS
                              Α
                                 WEGSNLl
                                          -->
                                 WEGSNLl
10
   11:
       IMEQHLJKS
                  RCOXR
                         LFIS
                              Α
                                              15
       [MEQHIJKS
                   ICOXR
                         LFIN
                                 WEGSNL]
   12:
                                               17
                               Α
11
   14:
       [MEQHINKS
                   ICOXR
                         LFIN
                                 WEGSNL]
12
                                          -->
   16:
       [METHINKS
                   ICOXR
                         LFIN
                                 WEGSNL]
13
   18:
       [METHINKS
                   IMOXR
                         LFKN
                                 WEGSNL]
                                          -->
                                         -->
15
   19:
       IMETHINKS
                   TMOXR LIKN
                               Α
                                 WEGSNI.]
                                         -->
16
   20:
       IMETHINKS
                  IMOIR LIKN
                               Α
                                 WEGSNLl
                                              22
   23:
       [METHINKS
                  IMOIR LIKN
                                 WEGSEL]
                                              23
17
   26:
       [METHINKS
                   IMOIS
                         LIKN
                                 WEGSEL]
18
   27:
       [METHINKS
                  IM IS LIKN
                                 WEHSEL]
                                          -->
                                              25
19
                                         --> 26
   32:
       [METHINKS
                  IT
                      IS
                         LIKN
                               Α
                                 WEHSEL]
                                          --> 27
   42:
       [METHINKS
                  IT IS LIKN
                              Α
                                 WEASEL]
22 46: [METHINKS IT IS LIKE A WEASEL]
```

The (shortened) output of the Weasel program (listing 34 on the preceding page) shows, that the optimal solution is reached in generation 46.

Appendix

9 Examples

This section contains some coding examples which should give you a feeling of how to use the **Jenetics** library. The given examples are complete, in the sense that they will compile and run and produce the given example output.

Running the examples delivered with the **Jenetics** library can be started with the run-examples.sh script.

\$./run-examples.sh

Since the script uses JARs located in the build directory you have to build it with the jar *Gradle* target first; see section 10 on page 86.

9.1 Ones counting

Ones counting is one of the simplest model-problem. It uses a binary chromosome and forms a classic genetic algorithm⁵⁴. The fitness of a Genotype is proportional to the number of ones.

```
import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
  {\bf import\ static\ org.jenetics.engine.limit.by Steady Fitness;}
  import org.jenetics.BitChromosome;
  import org.jenetics.BitGene;
  import org.jenetics.Genotype;
  import org.jenetics.Mutator;
  import org.jenetics.Phenotype;
   import org.jenetics.RouletteWheelSelector;
  import org.jenetics.SinglePointCrossover;
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
12
  public class OnesCounting {
14
15
       // This method calculates the fitness for a given genotype.
16
       private static Integer count(final Genotype<BitGene> gt) {
17
           return gt.getChromosome()
18
19
                . as (BitChromosome.class)
               .bitCount();
20
21
       }
22
       public static void main(String[] args) {
23
24
           // Configure and build the evolution engine.
           final Engine < BitGene, Integer > engine = Engine
25
                .builder (
26
                    OnesCounting::count,
                    BitChromosome. of (20, 0.15))
28
                .populationSize(500)
29
                . selector (new Roulette Wheel Selector <>())
30
                .alterers (
31
                   new Mutator <>(0.55),
32
33
                   new SinglePointCrossover <>(0.06))
                .build();
34
```

⁵⁴In the classic genetic algorithm the problem is a maximization problem and the fitness function is positive. The domain of the fitness function is a bit-chromosome.

```
35
           // Create evolution statistics consumer.
36
           final EvolutionStatistics < Integer , ?>
37
                statistics = EvolutionStatistics.ofNumber();
38
39
           final Phenotype<BitGene, Integer> best = engine.stream()
40
41
                  Truncate the evolution stream after 7 "steady
                  generations
42
                . limit (bySteadyFitness (7))
43
                  The evolution will stop after maximal 100
44
                  generations.
45
                .limit(100)
46
                // Update the evaluation statistics after
47
                // each generation
48
                .peek(statistics)
                  Collect (reduce) the evolution stream to
50
                // its best phenotype.
51
                . collect(toBestPhenotype());
52
53
           System.out.println(statistics);
54
           System.out.println(best);
55
       }
56
57
```

The genotype in this example consists of one BitChromosome with a ones probability of 0.15. The altering of the offspring population is performed by mutation, with mutation probability of 0.55, and then by a single-point crossover, with crossover probability of 0.06. After creating the initial population, with the ga.setup() call, 100 generations are evolved. The tournament selector is used for both, the offspring- and the survivor selection—this is the default selector. ⁵⁵

```
Time statistics
3
                  Selection: sum=0.016580144000 s; mean=0.001381678667 s
                   Altering: sum=0.096904159000 s; mean=0.008075346583 s
6
       Fitness calculation: sum=0.022894318000 s; mean=0.001907859833
         Overall execution: sum = 0.136575323000 s: mean = 0.011381276917
     Evolution statistics
9
10
11
12
                    Altered: sum=40,487; mean=3373.916666667
13
                      \texttt{Killed: sum=0; mean=0.000000000} \\
14
                   Invalids: sum = 0; mean = 0.000000000
15
     Population statistics
16
17
                        Age: max=9; mean=0.808667; var=1.446299
18
                    Fitness:
19
                           min = 1.00000000000
20
                           max = 18.00000000000
21
                           mean = 10.050833333333
22
23
                                 = 7.839555898205
                                = 2.799920694985
                           std
   [00001101|11110111|11111111] --> 18
```

The given example will print the overall timing statistics onto the console. In the *Evolution statistics* section you can see that it actually takes 15 generations to fulfill the termination criteria—finding no better result after 7 consecutive generations.

 $^{^{55}} For the other default values (population size, maximal age, ...) have a look at the Javadoc: http://jenetics.io/javadoc/org.jenetics/3.8/index.html$

9.2 Real function 9 EXAMPLES

9.2 Real function

In this example we try to find the minimum value of the function

$$f(x) = \cos\left(\frac{1}{2} + \sin(x)\right) \cdot \cos(x). \tag{9.1}$$

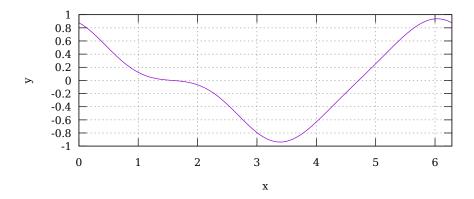


Figure 9.1: Real function

The graph of function 9.1, in the range of $[0, 2\pi]$, is shown in figure 9.1 and the listing beneath shows the GA implementation which will minimize the function.

```
| import static java.lang.Math.PI;
  import static java.lang.Math.cos;
  import static java.lang.Math.sin;
  import\ static\ org.jenetics.engine. Evolution Result.to Best Phenotype;
  import static org.jenetics.engine.limit.bySteadyFitness;
  import org.jenetics.DoubleGene;
  import org.jenetics.MeanAlterer;
  import org.jenetics.Mutator;
  import org.jenetics.Optimize;
  import org.jenetics.Phenotype;
11
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
  import org.jenetics.engine.codecs;
14
  import org.jenetics.util.DoubleRange;
16
   public class RealFunction {
17
18
       // The fitness function.
19
       private static double fitness(final double x) {
20
           return \cos(0.5 + \sin(x))*\cos(x);
21
22
23
       public static void main(final String[] args) {
24
           \begin{tabular}{ll} final & Engine < Double Gene \ , & Double > engine \ = & Engine \end{tabular}
25
                  Create a new builder with the given fitness
26
                // function and chromosome.
27
                .builder (
28
                    RealFunction::fitness,
29
                    codecs.ofScalar(DoubleRange.of(0.0, 2.0*PI)))
30
```

9.2 Real function 9 EXAMPLES

```
.populationSize(500)
31
                . optimize (Optimize .MINIMUM)
32
                .alterers (
33
34
                    new Mutator <>(0.03),
                    new MeanAlterer <>(0.6))
35
                  Build an evolution engine with the
36
37
                // defined parameters.
                . build();
38
39
            // Create evolution statistics consumer.
40
           final EvolutionStatistics < Double, ?>
41
42
                statistics = EvolutionStatistics.ofNumber();
43
           final Phenotype < DoubleGene, Double > best = engine.stream()
44
                // Truncate the evolution stream after 7 "steady"
                // generations.
46
                . limit (bySteadyFitness (7))
47
                // The evolution will stop after maximal 100
48
                // generations.
49
50
                . limit (100)
                // Update the evaluation statistics after
51
                // each generation
52
                .peek(statistics)
53
                // Collect (reduce) the evolution stream to
54
                // its best phenotype.
55
56
                . collect(toBestPhenotype());
57
58
           System.out.println(statistics);
           System.out.println(best);
59
       }
60
61 }
```

The GA works with 1×1 DoubleChromosomes whose values are restricted to the range $[0, 2\pi]$.

```
______
     Time statistics
3
               Selection: sum=0.064406456000 s; mean=0.003066974095 s
4
                Altering: sum=0.070158382000 s; mean=0.003340875333 s
5
      Fitness calculation: sum=0.050452647000 s; mean=0.002402507000 s
6
        Overall execution: sum=0.169835154000 s; mean=0.008087388286
    Evolution statistics
10
              Generations: 21
11
12
                 Altered: sum=3,897; mean=185.571428571
                  \texttt{Killed: sum=0; mean=0.000000000}
13
                Invalids: sum=0; mean=0.000000000
15
  | Population statistics
16
17
                     Age: max=9; mean=1.104381; var=1.962625
18
19
                  Fitness:
                        min
                            = -0.938171897696
20
                        max = 0.936310125279
21
                        mean = -0.897856583665
22
                        var = 0.027246274838
23
                            = 0.165064456617
24
                        std
  [[[3.389125782657314]]] --> -0.9381718976956661
```

The GA will generated an console output like above. The *exact* result of the function–for the given range–will be 3.389, 125, 782, 8907, 939... You can also see, that we reached the final result after 19 generations.

9.3 Rastrigin function

The Rastrigin function 56 is often used to test the optimization performance of genetic algorithm.

$$f(\mathbf{x}) = An + \sum_{i=1}^{n} (x_i^2 - A\cos(2\pi x_i)).$$
 (9.2)

As the plot in figure 9.2 shows, the Rastrigin function has many local minima, which makes it difficult for standard, gradient-based methods to find the global minimum. If A = 10 and $x_i \in [-5.12, 5.12]$, the function has only one global minimum at $\mathbf{x} = \mathbf{0}$ with $f(\mathbf{x}) = 0$.

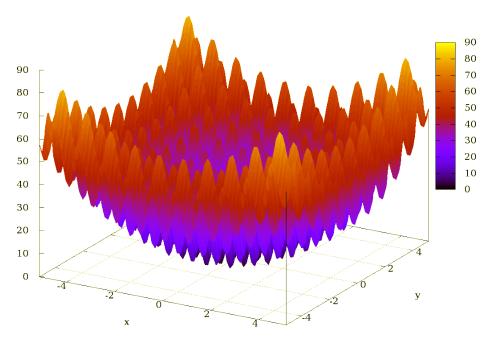


Figure 9.2: Rastrigin function

The following listing shows the Engine setup for solving the Rastrigin function, which is very similar to the setup for the real-function in section 9.2 on page 76. Beside the different fitness function, the Codec for double vectors is used, instead of the double scalar Codec.

```
import static java.lang.Math.PI;
import static java.lang.Math.cos;
import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
import static org.jenetics.engine.limit.bySteadyFitness;

import org.jenetics.DoubleGene;
import org.jenetics.MeanAlterer;
import org.jenetics.Mutator;
import org.jenetics.Optimize;
import org.jenetics.Phenotype;
import org.jenetics.engine.Engine;
```

 $^{^{56} {\}tt https://en.wikipedia.org/wiki/Rastrigin_function}$

```
12 import org.jenetics.engine. Evolution Statistics;
   import org.jenetics.engine.codecs;
   import org.jenetics.util.DoubleRange;
14
15
   public class RastriginFunction {
16
       private static final double A = 10;
17
       private static final double R = 5.12;
18
       private static final int N = 2;
19
20
       private static double fitness(final double[] x) {
21
            double value = A*N;
22
            for (int i = 0; i < N; ++i) {
23
                 value += x[i]*x[i] - A*cos(2.0*PI*x[i]);
24
25
            return value;
27
28
29
       public static void main(final String[] args) {
30
            final Engine < Double Gene, Double > engine = Engine
31
                .builder (
32
                     RastriginFunction::fitness,
33
                      // Codec for 'x' vector.
                     codecs.ofVector(DoubleRange.of(-R, R), N))
35
                 .populationSize(500)
36
37
                 . optimize (Optimize .MINIMUM)
                 .alterers (
38
39
                     new Mutator <>(0.03),
                     new MeanAlterer <>(0.6))
40
                 .build();
41
42
            final EvolutionStatistics<Double, ?>
43
                 statistics = EvolutionStatistics.ofNumber();
44
            \label{eq:conditional} \textbf{final Phenotype} < \textbf{DoubleGene} \,, \ \ \textbf{Double} > \ \textbf{best} \ = \ \textbf{engine.stream} \, (\,)
46
                 .limit(bySteadyFitness(7))
47
                 .peek(statistics)
48
                 . collect(toBestPhenotype());
49
50
            System.out.println(statistics);
51
            System.out.println(best);
52
       }
53
54 }
```

The console output of the program shows, that Jenetics finds the optimal solution after 38 generations.

```
-----+
     Time statistics
3
                 Selection: sum = 0.209185134000 s; mean = 0.005504871947 s
4
      Altering: sum=0.295102044000 s; mean=0.007765843263 s
Fitness calculation: sum=0.176879937000 s; mean=0.004654735184 s
5
6
         Overall execution: sum=0.664517256000 s; mean=0.017487296211 s
     Evolution statistics
10
              Generations: 38
11
                  Altered: sum=7,549; mean=198.657894737
12
                    Killed: sum=0; mean=0.00000000
13
                 Invalids: sum = 0; mean = 0.000000000
  | Population statistics
17
                      Age: max=8; mean=1.100211; var=1.814053
18
19
                  Fitness:
```

$9.4 \quad 0/1 \text{ Knapsack}$

In the knapsack problem⁵⁷ a set of items, together with it's size and value, is given. The task is to select a disjoint subset so that the total size does not exceed the knapsack size. For solving the 0/1 knapsack problem we define a BitChromosome, one bit for each item. If the i^{th} bit is set to one the i^{th} item is selected.

```
| import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
  {\bf import\ static\ org.jenetics.engine.limit.by Steady Fitness;}
  import java.util.Random;
  import java.util.function.Function;
   import java.util.stream.Collector;
  import java.util.stream.Stream;
  import org.jenetics.BitGene;
  import org.jenetics.Mutator;
  import org.jenetics.Phenotype;
  import org.jenetics.RouletteWheelSelector;
  {\bf import} \ {\tt org.jenetics.SinglePointCrossover};\\
  import org.jenetics.TournamentSelector;
  import org.jenetics.engine.Engine;
15
  {\bf import} \ {\tt org.jenetics.engine.EvolutionStatistics};
  import org.jenetics.engine.codecs;
  import org.jenetics.util.ISeq;
  {\bf import} \ {\tt org.jenetics.util.RandomRegistry};\\
   // The main class.
22
   public class Knapsack {
23
       // This class represents a knapsack item, with a specific // "size" and "value".
24
25
       final static class Item {
26
           public final double size;
27
           public final double value;
28
29
            Item(final double size, final double value) {
30
                this.size = size;
31
                this.value = value;
32
33
34
            // Create a new random knapsack item.
35
            static Item random() {
36
                final Random r = RandomRegistry.getRandom();
37
                return new Item (
38
                    r.nextDouble()*100,
39
                     r.nextDouble()*100
40
                );
41
           }
42
43
            // Collector for summing up the knapsack items.
44
```

 $^{^{57} {}m https://en.wikipedia.org/wiki/Knapsack_problem}$

```
static Collector < Item , ? , Item > toSum() {
45
                  return Collector.of(
46
                       () \rightarrow new double [2],
47
                      (a, b) \rightarrow \{a[0] \neq b. size; a[1] \neq b. value; \}, (a, b) \rightarrow \{a[0] \neq b[0]; a[1] \neq b[1]; return a; \},
48
49
                      r \rightarrow new Item(r[0], r[1])
50
51
                  );
             }
52
        }
53
54
        // Creating the fitness function.
55
56
        static Function<ISeq<Item>, Double>
57
        fitness (final double size) {
             return items -> {
58
59
                  final Item sum = items.stream().collect(Item.toSum());
                  return sum.size <= size ? sum.value : 0;
60
             };
61
        }
62
63
        public static void main(final String[] args) {
64
             final int nitems = 15;
65
             final double kssize = nitems *100.0/3.0;
66
67
             final ISeq<Item> items =
68
                  Stream.generate(Item::random)
69
70
                      .limit(nitems)
                       .collect(ISeq.toISeq());
71
72
             // Configure and build the evolution engine.
73
             final Engine < BitGene, Double > engine = Engine
74
                  .builder(fitness(kssize), codecs.ofSubSet(items))
                  . population Size (500)
76
                  .survivorsSelector(new TournamentSelector<>(5))
77
                  . offspringSelector (new RouletteWheelSelector <>())
78
                  .alterers (
79
                      new Mutator <>(0.115),
80
                      new SinglePointCrossover <>(0.16))
81
                  . build();
82
83
             // Create evolution statistics consumer.
84
             final EvolutionStatistics < Double, ?>
85
                  statistics = EvolutionStatistics.ofNumber();
86
87
             final Phenotype<BitGene, Double> best = engine.stream()
88
89
                  // Truncate the evolution stream after 7 "steady
                  // generations.
90
                  .limit(bySteadyFitness(7))
91
                  // The evolution will stop after maximal 100 // generations.
92
93
                  .limit(100)
                  // Update the evaluation statistics after // each generation
95
96
                  .peek(statistics)
97
                  // Collect (reduce) the evolution stream to // its best phenotype.
98
99
                  . collect (toBestPhenotype());
100
101
             System.out.println(statistics);
102
             System.out.println(best);
103
104
        }
105 }
```

The console out put for the Knapsack GA will look like the listing beneath.

```
2
     Time statistics
3
                 Selection: sum=0.044465978000 s; mean=0.005558247250 s
                  Altering: sum = 0.067385211000 s; mean = 0.008423151375 s
5
6
       Fitness calculation: sum=0.037208189000 s; mean=0.004651023625 s
         Overall execution: sum=0.126468539000 s; mean=0.015808567375 s
     Evolution statistics
9
10
               Generations: 8
11
                   Altered: sum=4,842; mean=605.250000000
12
13
                    Killed: sum=0; mean=0.00000000
14
                  Invalids: sum=0; mean=0.00000000
15
     Population statistics
16
17
18
                       Age: max=7; mean=1.387500; var=2.780039
19
                          min = 0.000000000000
20
                          max = 542.363235999342
21
                          mean = 436.098248628661
22
                               = 11431.801291812390
23
                           var
                              = 106.919601999878
25
   [01111011|10111101] --> 542.3632359993417
```

9.5 Traveling salesman

The Traveling Salesman problem⁵⁸ is one of the classical problems in computational mathematics and it is the most notorious NP-complete problem. The goal is to find the shortest distance, or the path, with the least costs, between N different cities. Testing all possible path for N cities would lead to N! checks to find the shortest one.

The following example uses a path where the cities are lying on a circle. That means, the optimal path will be a polygon. This makes it easier to check the quality of the found solution.

```
import static java.lang.Math.PI;
  import static java.lang.Math.abs;
  import static java.lang.Math.sin;
  import\ static\ org.jenetics.engine. Evolution Result.to Best Phenotype;
  import static org.jenetics.engine.limit.bySteadyFitness;
  import java.util.stream.IntStream;
  import org.jenetics.EnumGene;
  import org.jenetics.Genotype;
  import org.jenetics.Optimize;
11
  {\bf import} \ {\rm org.jenetics.PartiallyMatchedCrossover};
12
  import org.jenetics.PermutationChromosome;
  import org.jenetics.Phenotype;
14
  import org.jenetics.SwapMutator;
15
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
17
  public class TravelingSalesman {
19
20
         Problem initialization:
21
       // Calculating the adjacence matrix of the "city" distances.
22
       private static final int STOPS = 20;
23
       private static final double[][] ADJACENCE = matrix(STOPS);
24
```

⁵⁸https://en.wikipedia.org/wiki/Travelling_salesman_problem

```
25
       private static double[][] matrix(int stops) {
26
            final double radius = 10.0;
27
            \mathbf{double}\,[\,]\,[\,]\,\ \mathbf{matrix}\,=\,\mathbf{new}\,\,\mathbf{double}\,[\,\mathbf{stops}\,]\,[\,\mathbf{stops}\,]\,;
28
29
            for (int i = 0; i < stops; ++i) {
30
31
                 for (int j = 0; j < stops; ++j) {
                     matrix[i][j] = chord(stops, abs(i - j), radius);
32
33
34
            return matrix;
35
36
37
       private static double chord(int stops, int i, double r) {
38
39
            return 2.0*r*abs(sin((PI*i)/stops));
40
41
       // Calculate the path length of the current genotype.
42
       private static
43
       Double dist(final Genotype<EnumGene<Integer>>> gt) {
44
               Convert the genotype to the traveling path
45
            final int[] path = gt.getChromosome().stream()
46
                 .mapToInt(EnumGene < Integer > :: getAllele)
47
                 .toArray();
48
49
50
            // Calculate the path distance.
            return IntStream.range(0, STOPS)
51
52
                 .mapToDouble(i ->
                     ADJACENCE[path[i]][path[(i + 1)%STOPS]])
53
                 .sum();
54
       }
55
56
       public static void main(String[] args) {
57
            final Engine < EnumGene < Integer >, Double > engine = Engine
58
                 .builder (
59
                      TravelingSalesman::dist,
60
                     PermutationChromosome.ofInteger(STOPS))
61
                 . optimize (Optimize . MINIMUM)
62
63
                 . maximalPhenotypeAge(11)
                 . population Size (500)
64
65
                 .alterers (
                     new SwapMutator < > (0.2),
66
                     new Partially Matched Crossover <>(0.35))
67
                 .build();
68
69
            // Create evolution statistics consumer.
70
            final EvolutionStatistics < Double, ?>
71
                 statistics = EvolutionStatistics.ofNumber();
72
73
            final Phenotype<EnumGene<Integer>, Double> best =
                 engine.stream()
75
                    Truncate the evolution stream after 15 "steady"
76
                 // generations.
77
                 . limit (bySteadyFitness (15))
78
                 // The evolution will stop after maximal 250
79
                 // generations.
80
                 . limit (250)
81
                 // Update the evaluation statistics after
82
                 // each generation
83
84
                 .peek(statistics)
                 // Collect (reduce) the evolution stream to // its best phenotype.
85
86
```

The Traveling Salesman problem is a very good example which shows you how to solve combinatorial problems with an GA. **Jenetics** contains several classes which will work very well with this kind of problems. Wrapping the base type into an EnumGene is the first thing to do. In our example, every city has an unique number, that means we are wrapping an Integer into an EnumGene. Creating a genotype for integer values is very easy with the factory method of the PermutationChromosome. For other data types you have to use one of the constructors of the permutation chromosome. As alterers, we are using a swap-mutator and a partially-matched crossover. These alterers guarantees that no invalid solutions are created—every city exists exactly once in the altered chromosomes.

```
2
      Time statistics
3
                  Selection: sum = 0.134312100000 s: mean = 0.001618218072 s
4
                  Altering: sum=0.272923323000 s; mean=0.003288232807 s
5
       Fitness calculation: sum=0.171154575000 s; mean=0.002062103313
6
         Overall execution: sum=0.571970865000 s; mean=0.006891215241
9
      Evolution statistics
10
               Generations: 83
11
12
                    Altered: sum=117,315; mean=1413.433734940
                     Killed: sum=55; mean=0.662650602
13
                   Invalids: sum = 0; mean = 0.000000000
14
16
     Population statistics
17
                        Age: max=11; mean=1.608048; var=4.913384
18
19
                    Fitness:
20
                                = 95.823941038289
21
                                = 352.556531948213
                           max
22
                           mean = 162.422468571595
                                = 3846.044938421069
23
                           var
                                = 62.016489246176
                           std
24
25
   [12|11|10|1|2|3|4|5|6|7|8|9|0|19|18|17|16|15|14|13] --> 95.82394103828862
```

The listing above shows the output generated by our example. The last line represents the phenotype of the best solution found by the GA, which represents the traveling path. As you can see, the GA has found the shortest path, in reverse order.

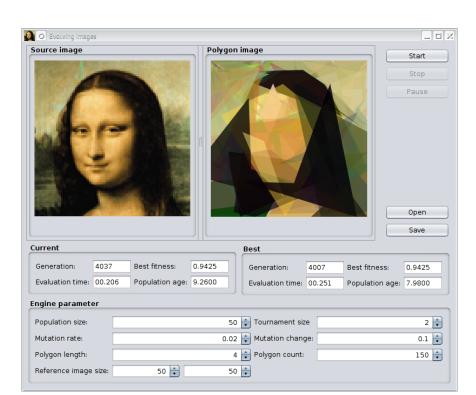
9.6 Evolving images

The following example tries to approximate a given image by semitransparent polygons.⁵⁹ It comes with an Swing UI, where you can immediately start your own experiments. After compiling the sources with

\$./gradlew jar

you can start the example by calling

⁵⁹Original idea by Roger Johansson http://rogeralsing.com/2008/12/07/genetic-programming-evolution-of-mona-lisa.



\$./jrun org.jenetics.example.image.EvolvingImages

Figure 9.3: Evolving images UI

Figure 9.3 show the GUI after evolving the default image for about 4,000 generations. With the »Open« button it is possible to load other images for polygonization. The »Save« button allows to store polygonized images in PNG format to disk. At the button of the UI, you can change some of the GA parameters of the example:

Population size The number of individual of the population.

Tournament size The example uses a **TournamentSelector** for selecting the offspring population. This parameter lets you set the number of individual used for the tournament step.

Mutation rate The probability that a polygon *component* (color or vertex position) is altered.

Mutation magnitude In case a polygon *component* is going to be mutated, its value will be randomly modified in the uniform range of [-m, +m].

Polygon length The number of edges (or vertices) of the created polygons.

Polygon count The number of polygons of one individual (Genotype).

Reference image size To improve the processing speed, the fitness of a given polygon set (individual) is not calculated with the full sized image. Instead

an scaled reference image with the given size is used. A smaller reference image will speed up the calculation, but will also reduce the accuracy.

It is also possible to run and configure the *Evolving Images* example from the command line. This allows to do long running evolution *experiments* and save polygon images every n generations—specified with the --image-generation parameter.

Every command line argument has proper default values, so that it is possible to start it without parameters. Listing 35 shows the default values for the GA engine if the --engine-properties parameter is not specified.

```
population_size=50
tournament_size=3
mutation_rate=0.025
mutation_multitude=0.15
polygon_length=4
polygon_count=250
reference_image_width=60
reference_image_height=60
```

Listing 35: Default engine.properties

For a quick start, you can simply call

\$./jrun org.jenetics.example.image.EvolvingImages evolve

The images in figure 9.4 on the following page shows the resulting polygon images after the given number of generations. They where created with the command line version of the program using the default engine.properties file (listing 35):

```
$ ./jrun org.jenetics.example.image.EvolvingImages evolve \
    --generations 10000000 \
    --image-generation 100
```

10 Build

For building the **Jenetics** library from source, download the most recent, stable package version from https://sourceforge.net/projects/jenetics/files/latest/download or https://github.com/jenetics/jenetics/releases and extract it to some build directory.

```
$ unzip jenetics-<version>.zip -d <builddir>
```

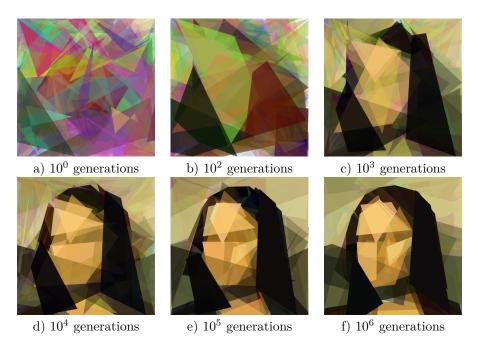


Figure 9.4: Evolving Mona Lisa images

<version> denotes the actual Jenetics version and <builddir> the actual
build directory. Alternatively you can check out the latest version from the
Git master branch.

Jenetics uses $Gradle^{60}$ as build system and organizes the source into sub-projects (modules). ⁶¹ Each sub-project is located in it's own sub-directory:

- org.jenetics: This project contains the source code and tests for the **Jenetics** *core*-module.
- org.jenetics.example: This project contains example code for the *ore*-module.
- org.jenetics.doc: Contains the *code* of the web-site and *this* manual.

For building the library change into the <builddir> directory (or one of the module directory) and call one of the available tasks:

- compileJava: Compiles the **Jenetics** sources and copies the class files to the <builddir>/<module-dir>/build/classes/main directory.
- jar: Compiles the sources and creates the JAR files. The artifacts are copied to the <builddir>/<module-dir>/build/libs directory.

⁶⁰http://gradle.org/downloads

⁶¹If you are calling the gradlew script (instead of gradle), which are part of the downloaded package, the proper Gradle version is automatically downloaded and you don't have to install Gradle explicitly.

- test: Compiles and executes the unit tests. The test results are printed onto the console and a test-report, created by *TestNG*, is written to

 dir

 /module-dir

 directory.
- javadoc: Generates the API documentation. The Javadoc is stored in the <builddir>/<module-dir>/build/docs directory
- clean: Deletes the <builddir>/build/* directories and removes all generated artifacts.

For building the library from the source, call

```
$ cd <build-dir>
$ gradle jar
```

or

\$./gradlew jar

if you don't have the Gradle build system installed—calling the the Gradle wrapper script will download all needed files and trigger the build task afterwards.

IDE integration Gradle has tasks which creates the project file for Eclipse⁶² and IntelliJ IDEA⁶³. Call

\$./gradlew <eclipse|idea>

for creating the project files for Eclipse or IntelliJ, respectively.

External library dependencies The following external projects are used for running and/or building the **Jenetics** library.

- \bullet TestNG
 - **Version**: *6.11*
 - Homepage: http://testng.org/doc/index.html
 - License: Apache License, Version 2.0
 - Scope: test
- Apache Commons Math
 - **Version**: 3.6.1
 - Homepage: http://commons.apache.org/proper/commons-math/
 - Download: http://tweedo.com/mirror/apache/commons/math/ binaries/commons-math3-3.6.1-bin.zip
 - License: Apache License, Version 2.0
 - Scope: test

⁶²http://www.eclipse.org/

⁶³http://www.jetbrains.com/idea/

• Java2Html

```
- Version: 5.0
```

- Homepage: http://www.java2html.de/

- Download: http://www.java2html.de/java2html_50.zip

- License: GPL or CPL1.0

- Scope: javadoc

• Gradle

- **Version**: 3.5

- Homepage: http://gradle.org/

 $-\ \mathbf{Download:}\ http://services.\ gradle.\ org/distributions/gradle-3.$

5-bin.zip

- License: Apache License, Version 2.0

- Scope: build

Maven Central The whole Jenetics package can also be downloaded from the Maven Central repository http://repo.maven.apache.org/maven2:

pom.xml snippet for Maven

Gradle

```
'io.jenetics:jenetics:3.8.0'
```

11 License

The library itself is licensed under the Apache License, Version 2.0.

```
Copyright 2007-2017 Franz Wilhelmstötter
```

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 ${\tt http://www.apache.org/licenses/LICENSE-2.0}$

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