

A decorative graphic consisting of a thin blue circle on the left side. A horizontal bar, divided into a solid blue left half and a light blue right half, passes through the circle. Large, dark blue square brackets are positioned on the left and right sides of the bar, framing the title text.

# Ring Exploration by Semi-Synchronous Oblivious Robots

**Franck Petit**  
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[Context

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  - Autonomous
  - Anonymous : Undistinguishable

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  - Autonomous
  - Anonymous
  - Oblivious : No mean to know the past

# Context

- A team of  $k$  “weak” robots evolving into a ring of  $n$  nodes
  - Autonomous
  - Anonymous
  - Oblivious
  - Disoriented : No mean to agree on a common direction or orientation



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  - **Atomicity** : In every configuration, each robot is located at exactly one node

# Context

- A team of  $k$  “*weak*” robots evolving into a ring of  $n$  nodes
  - Atomicity
  - Multiplicity : In every configuration, each node contains zero, one, or more than one robot  
(every robot is able to detect it)

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  1. **Look** : Instantaneous snapshot with multiplicity detection

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  1. **Look**
  2. **Compute**: Based on this observation, decides to either stay idle or move to one of the neighboring nodes

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  1. Look
  2. Compute
  3. Move : Move toward its destination

[ Problem ]

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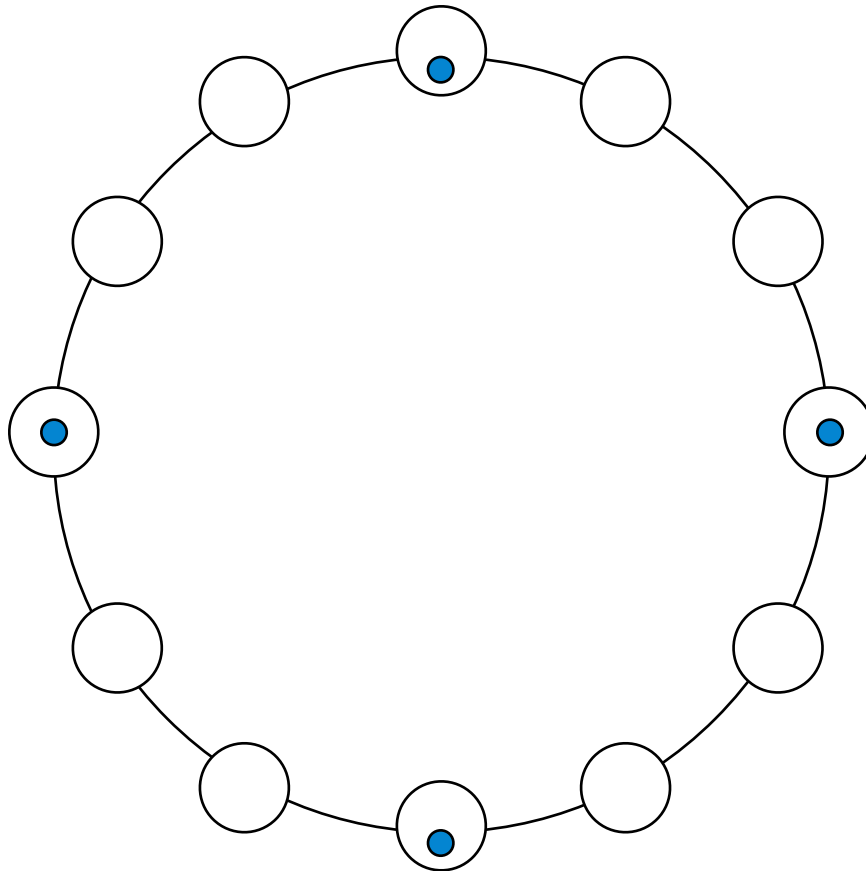
Starting from a configuration where no two robots are located at the same node:

- **Exploration:**  
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- **Termination:**  
Eventually, every robot stays idle
- **Performance:** Number of robots ( $k < n$ )



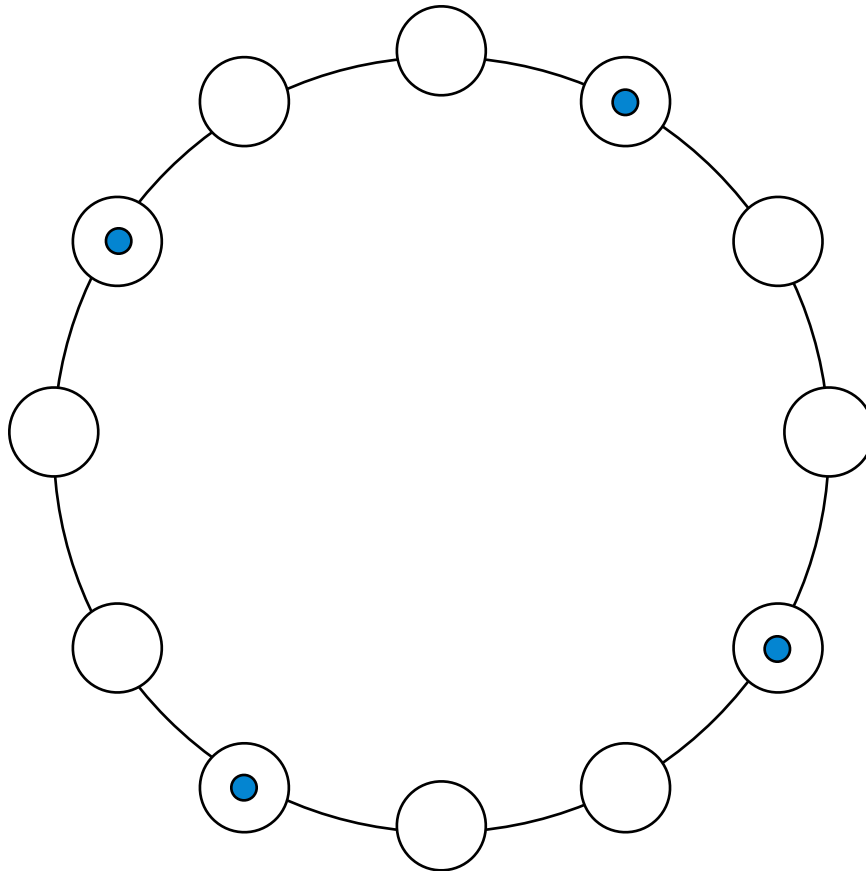
# [ Lower Bound (1/2) ]

Deterministic Exploration impossible if  $k \mid n$



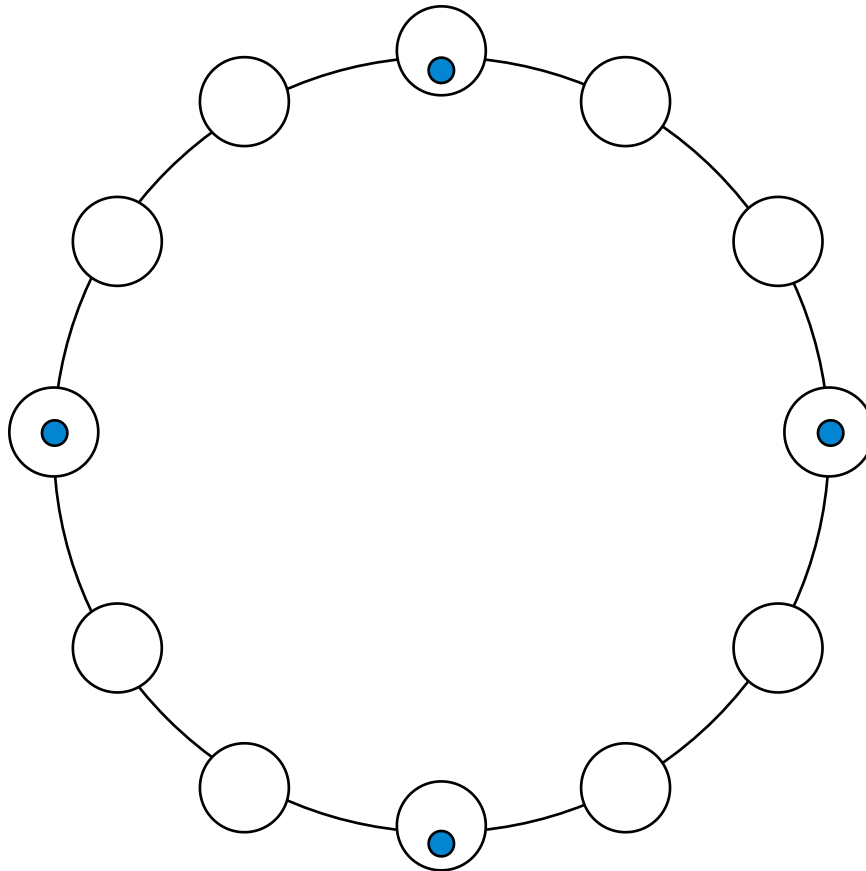
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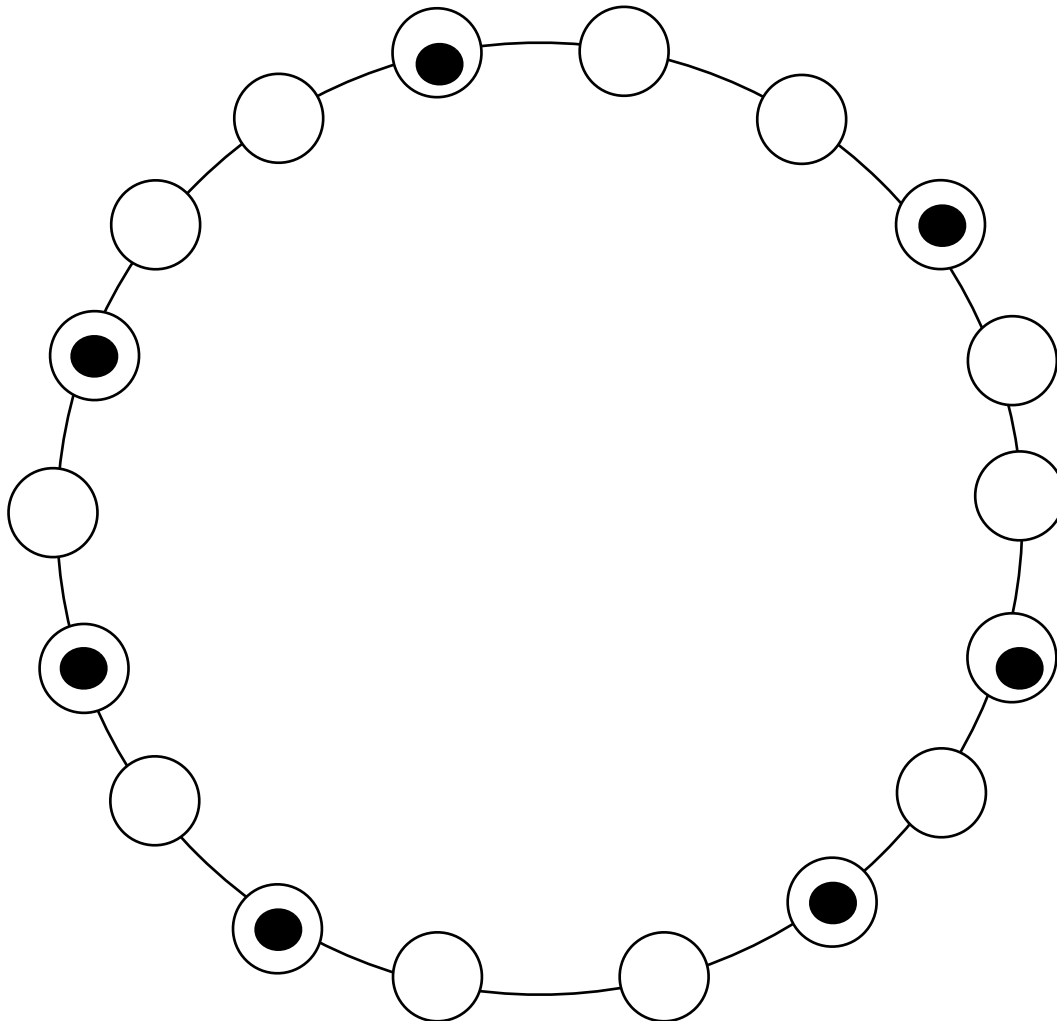
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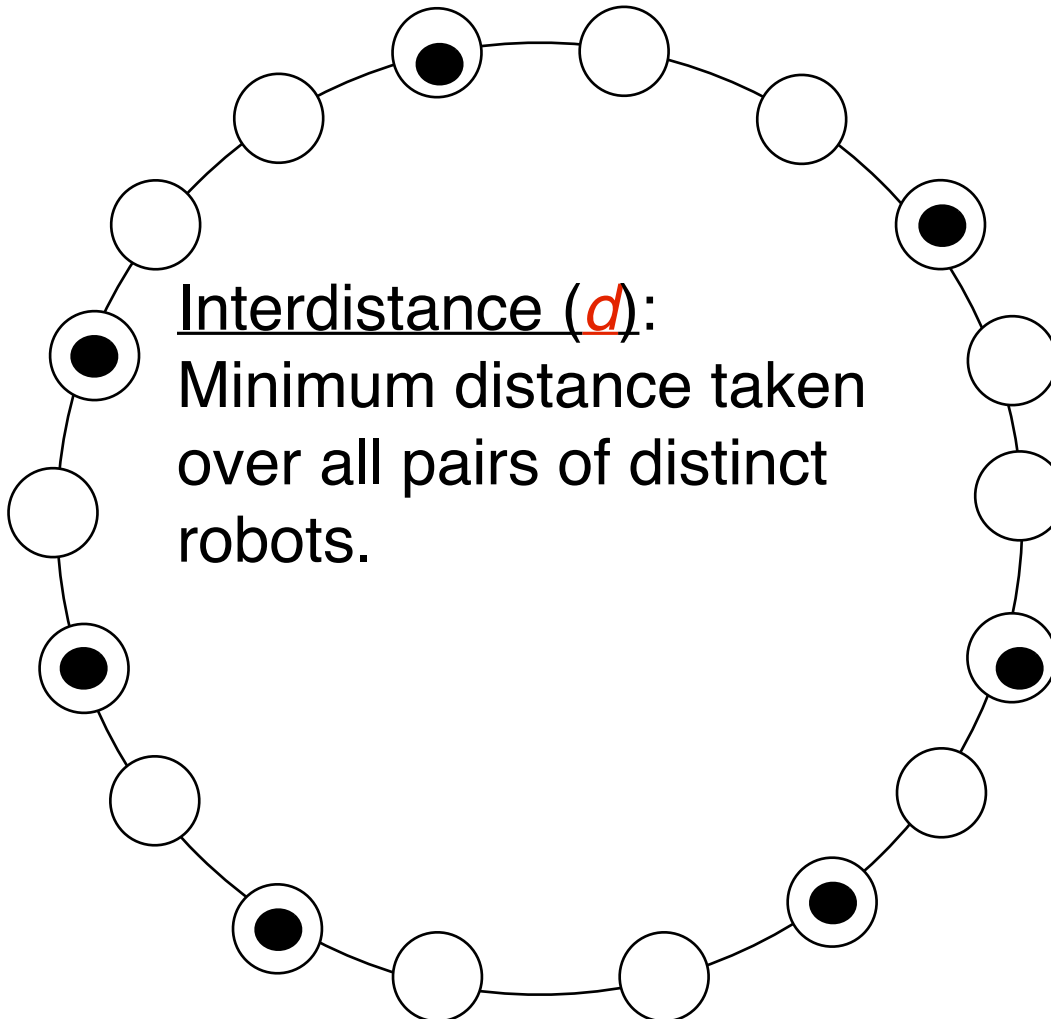
# Deterministic Algorithm

- $k \nmid n$ ,  $k > \log n$ , and  $n > 16$



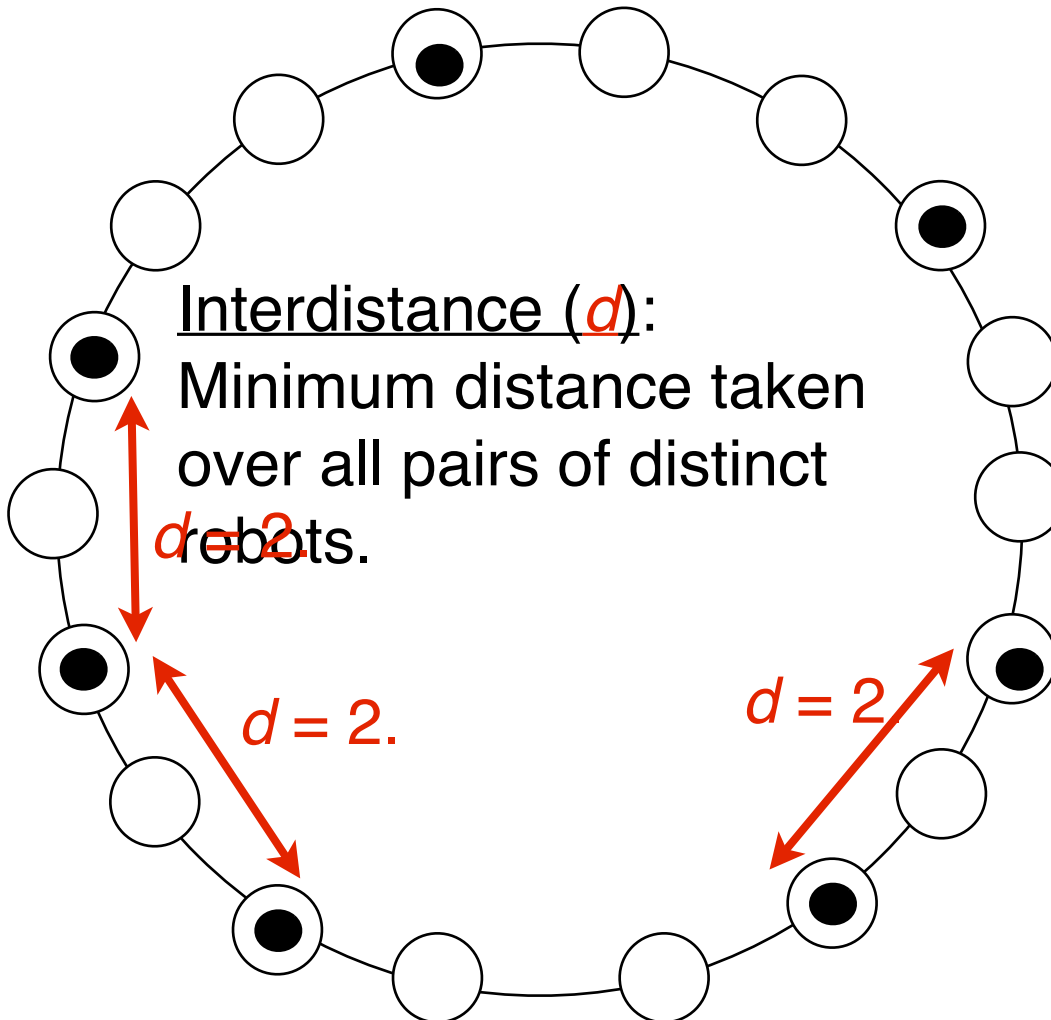
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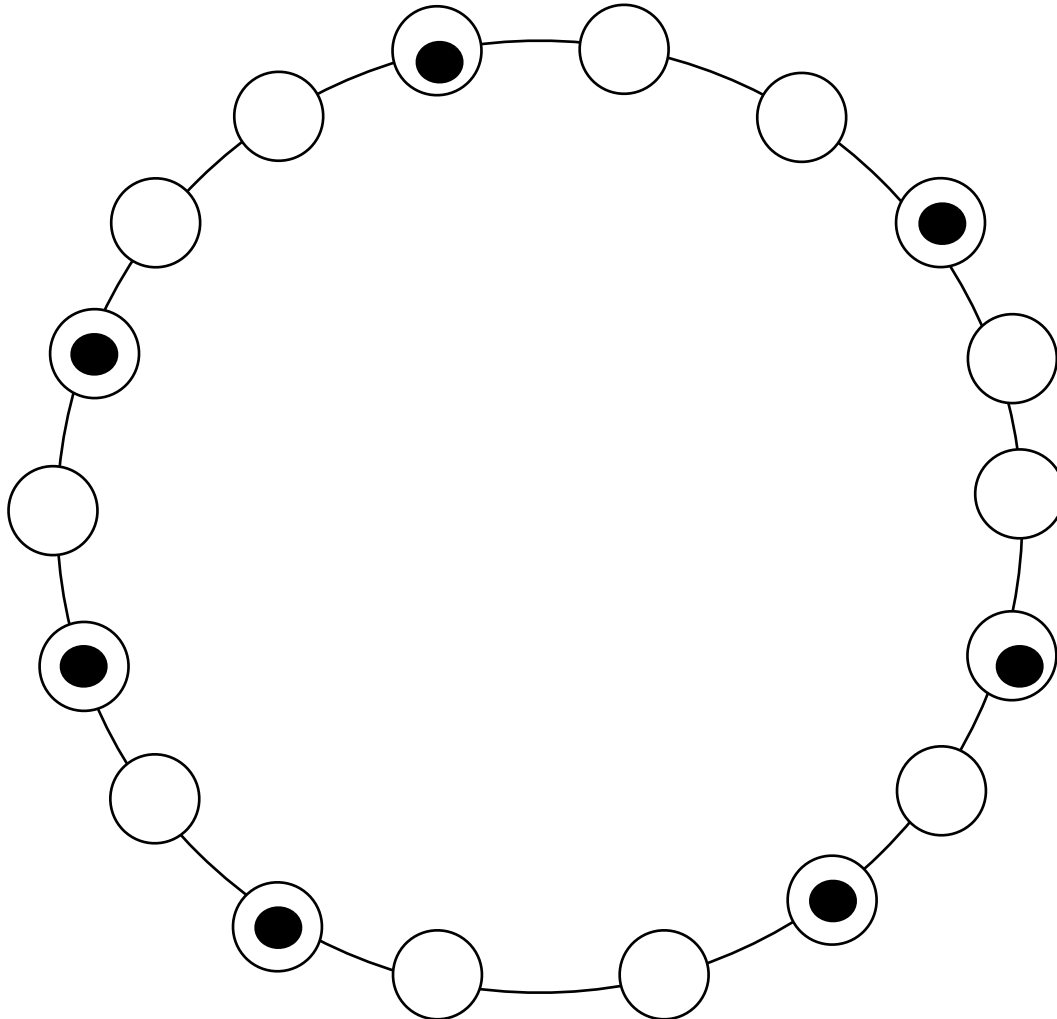
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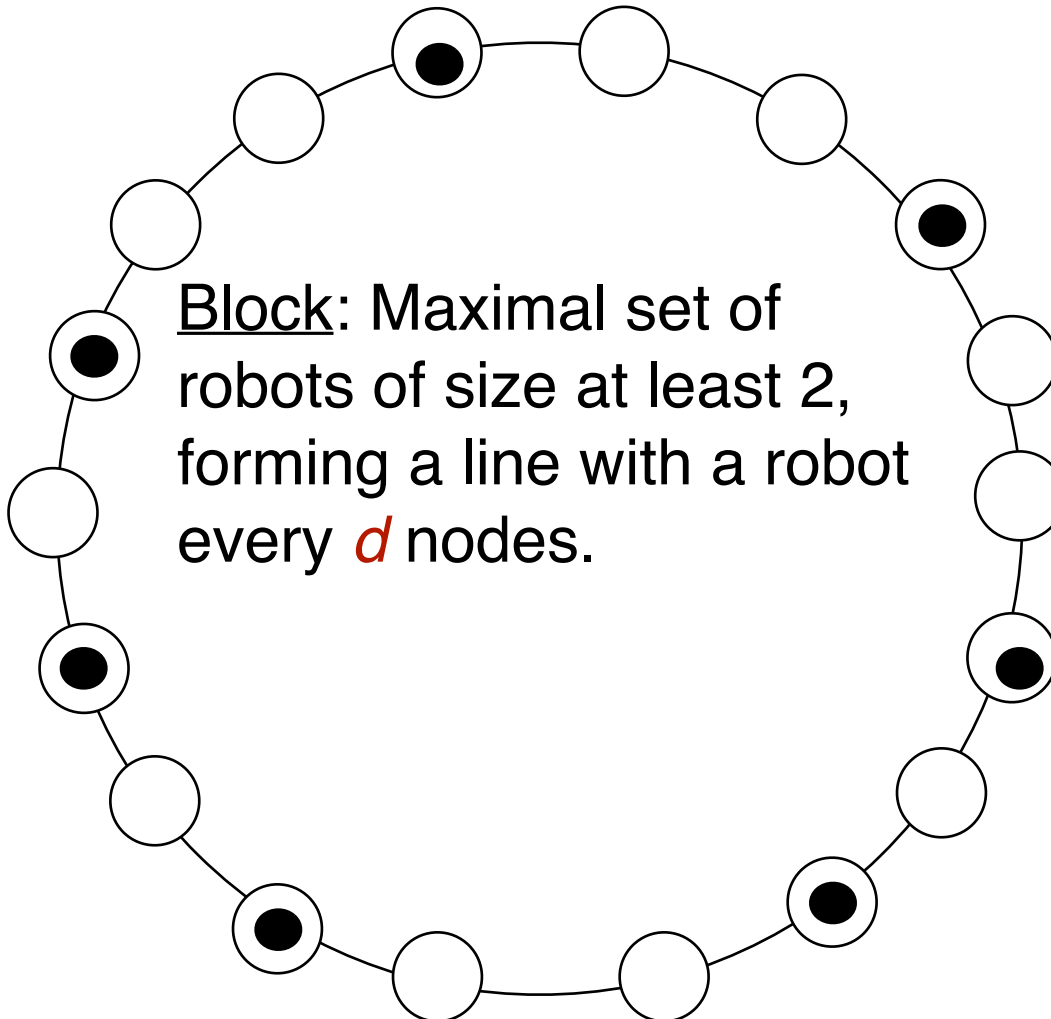
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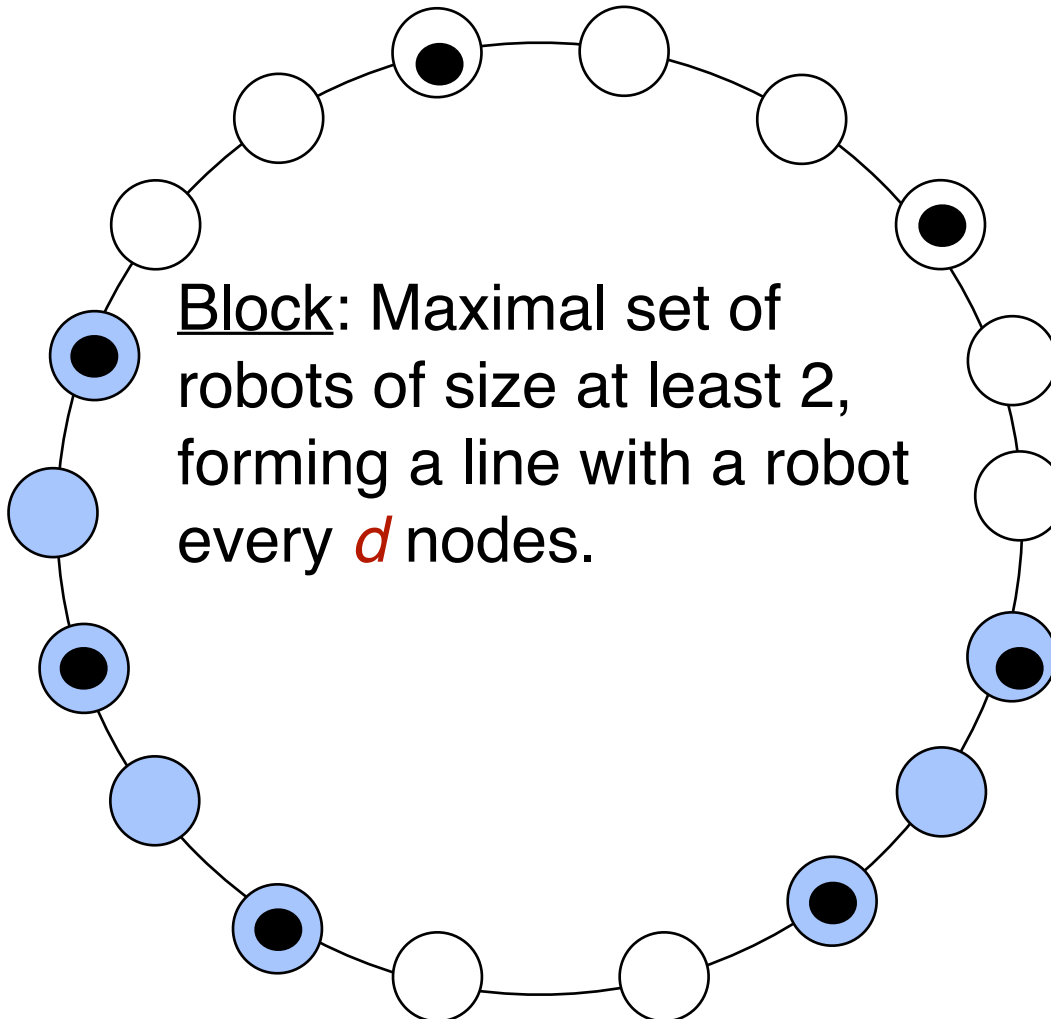
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**Goal:** Transform the (arbitrary) initial configuration into a configuration of **interdistance 1** where there is a **single block or two blocks of the same size**.

**Method:** Decrease the number of blocks whenever possible. Otherwise, decrease the interdistance.

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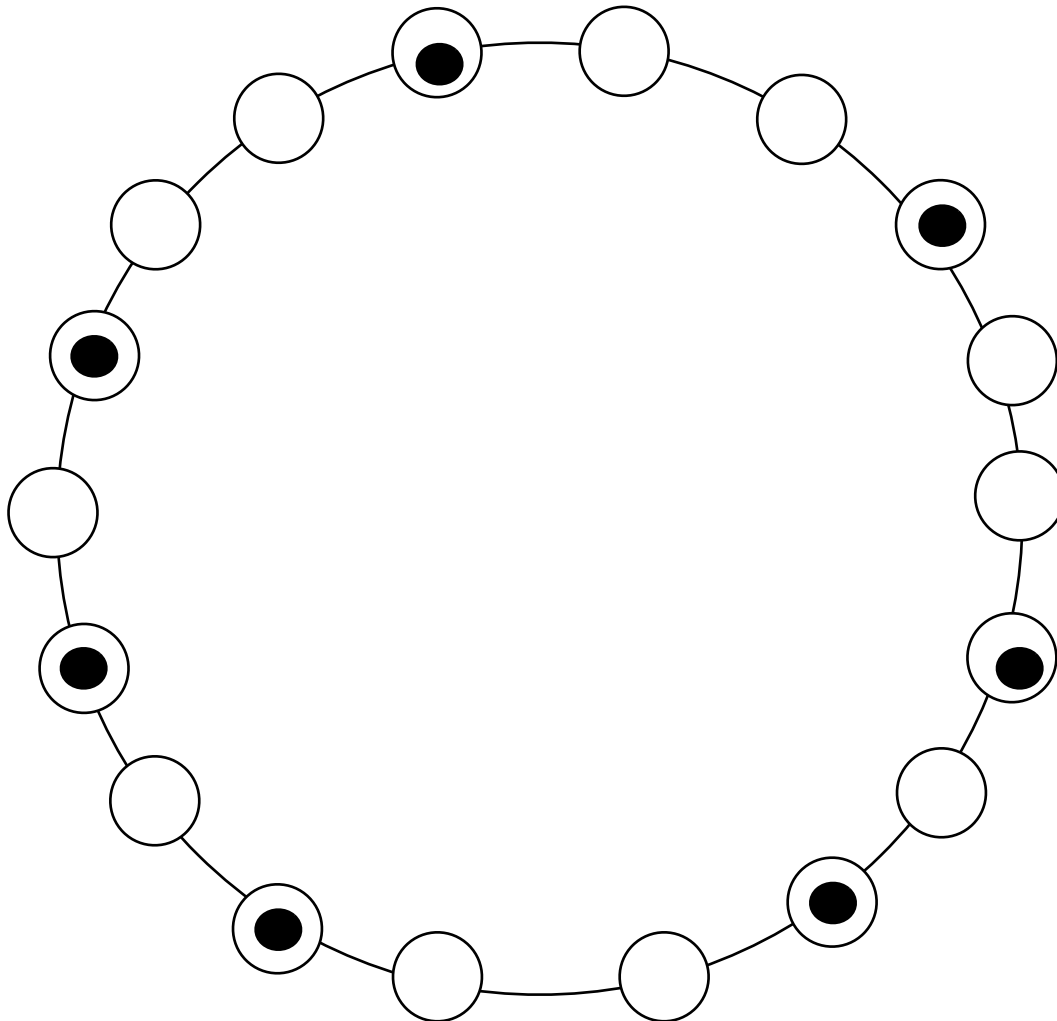
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- Exploration Phase:  
**Goal:** Perform exploration until reaching an identified final configuration.

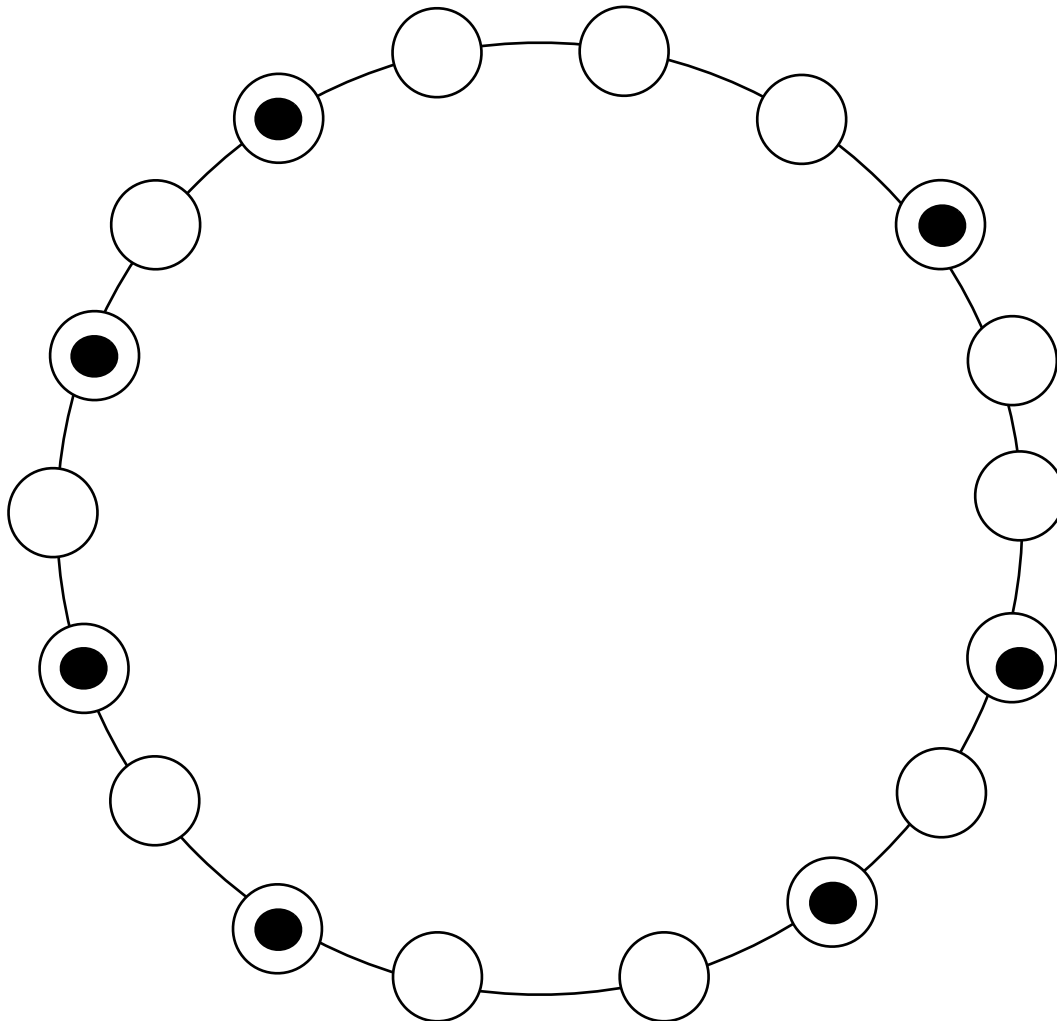
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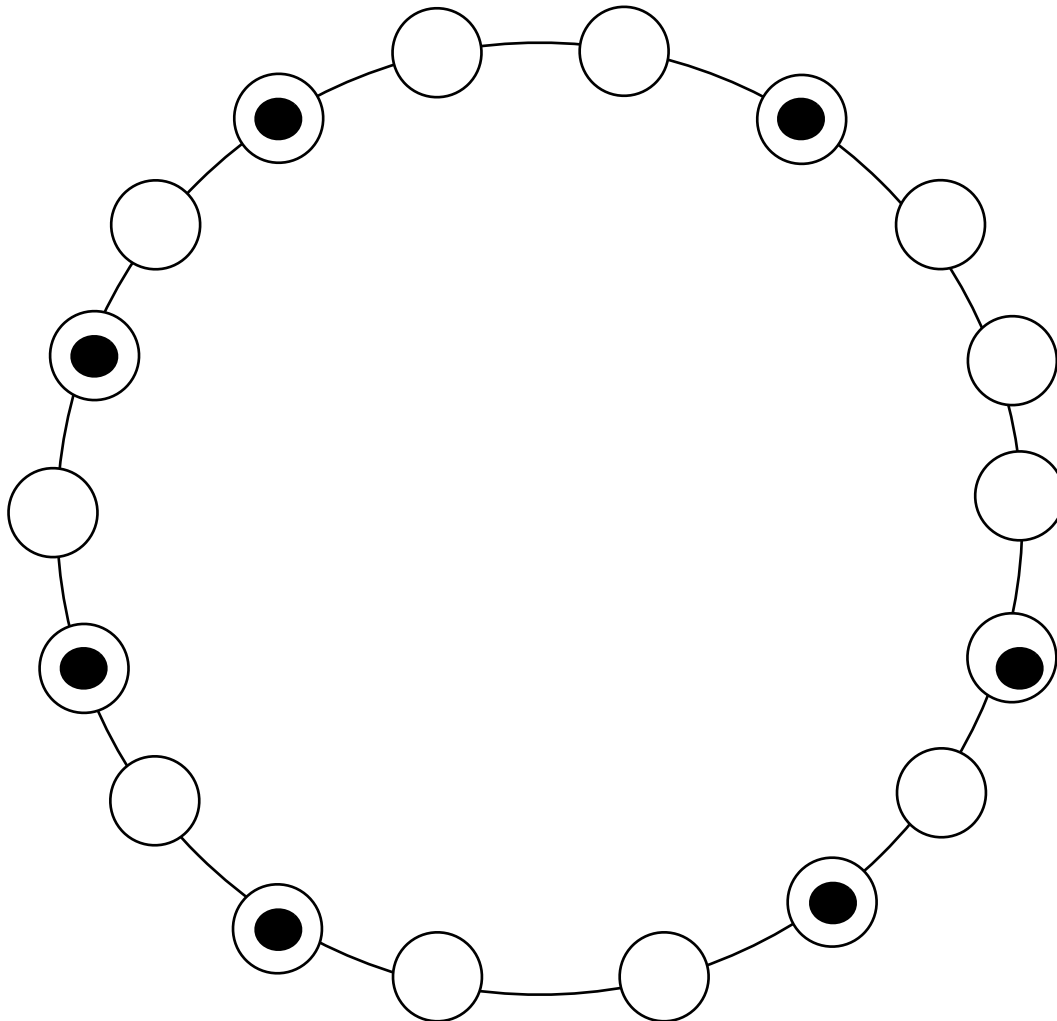
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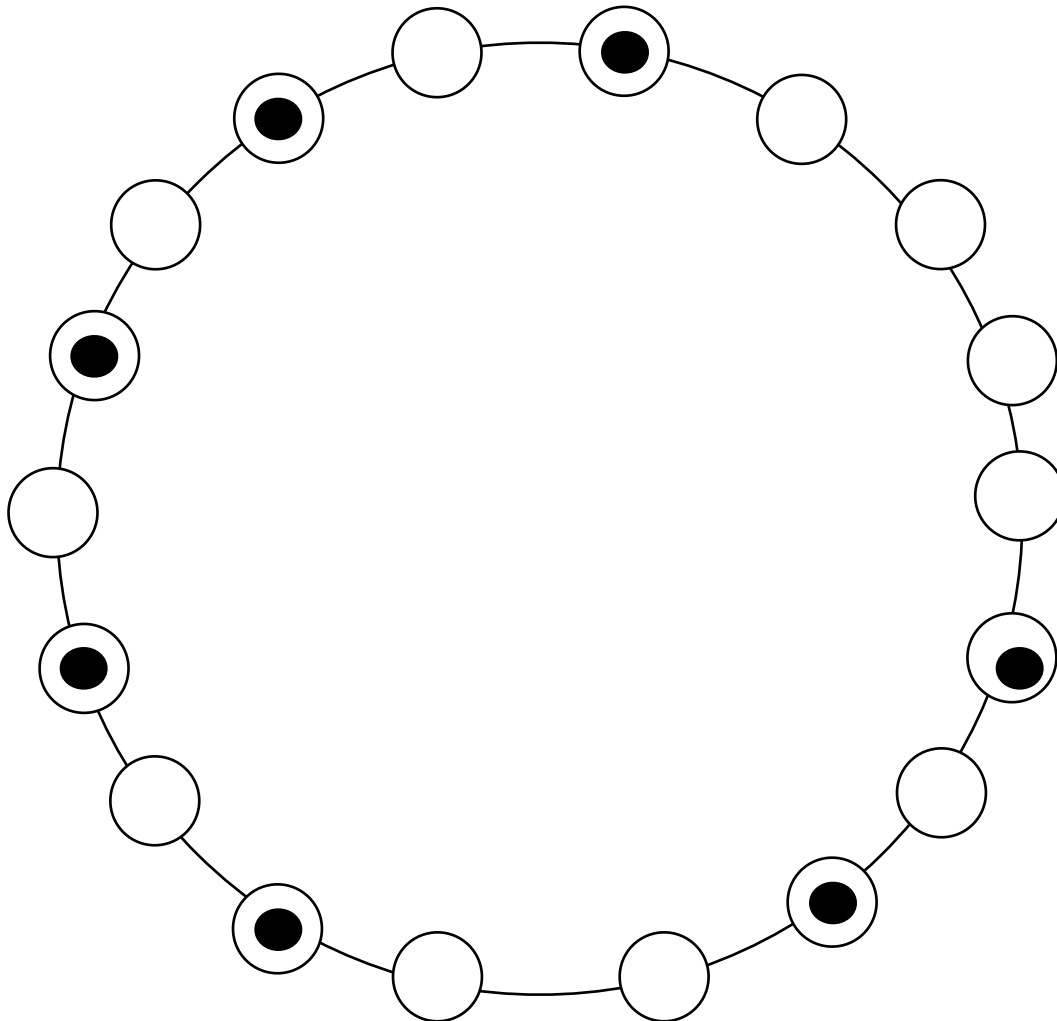
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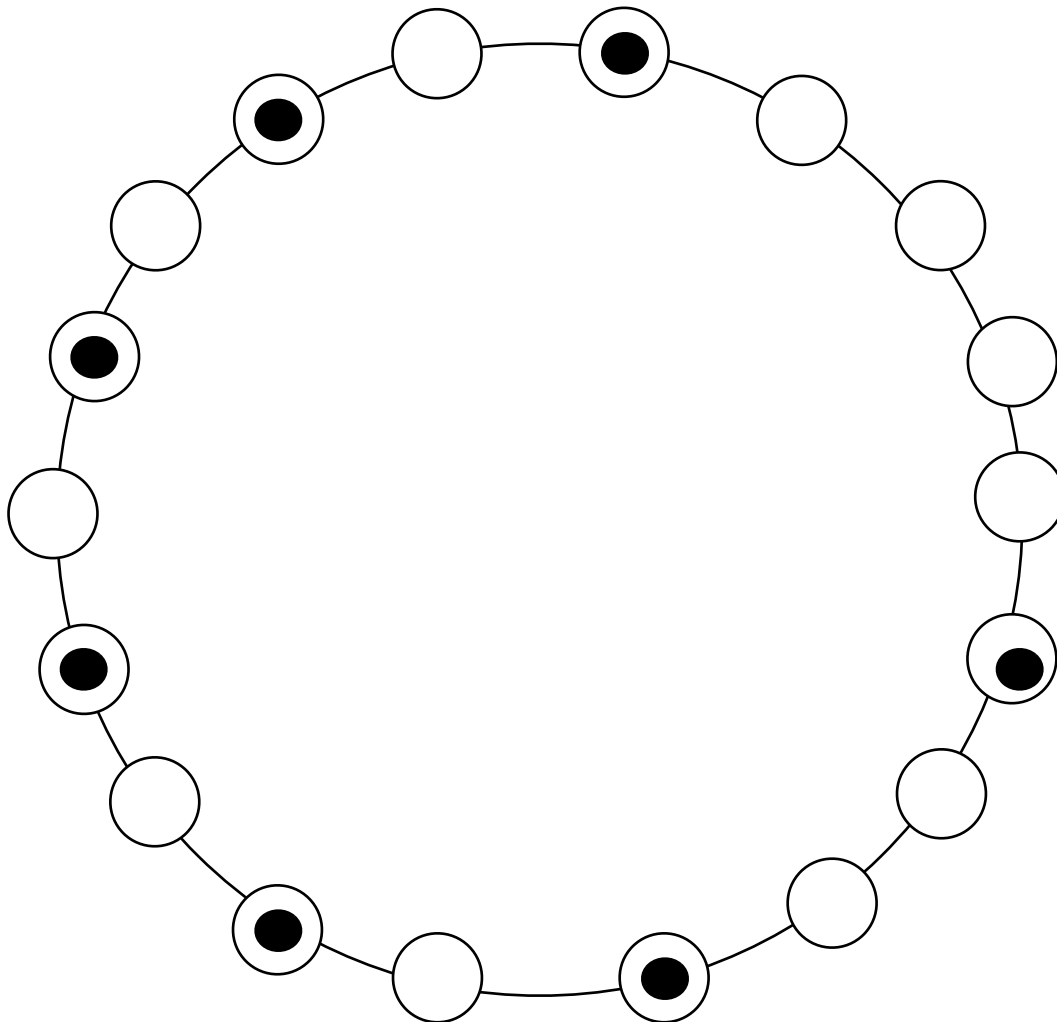
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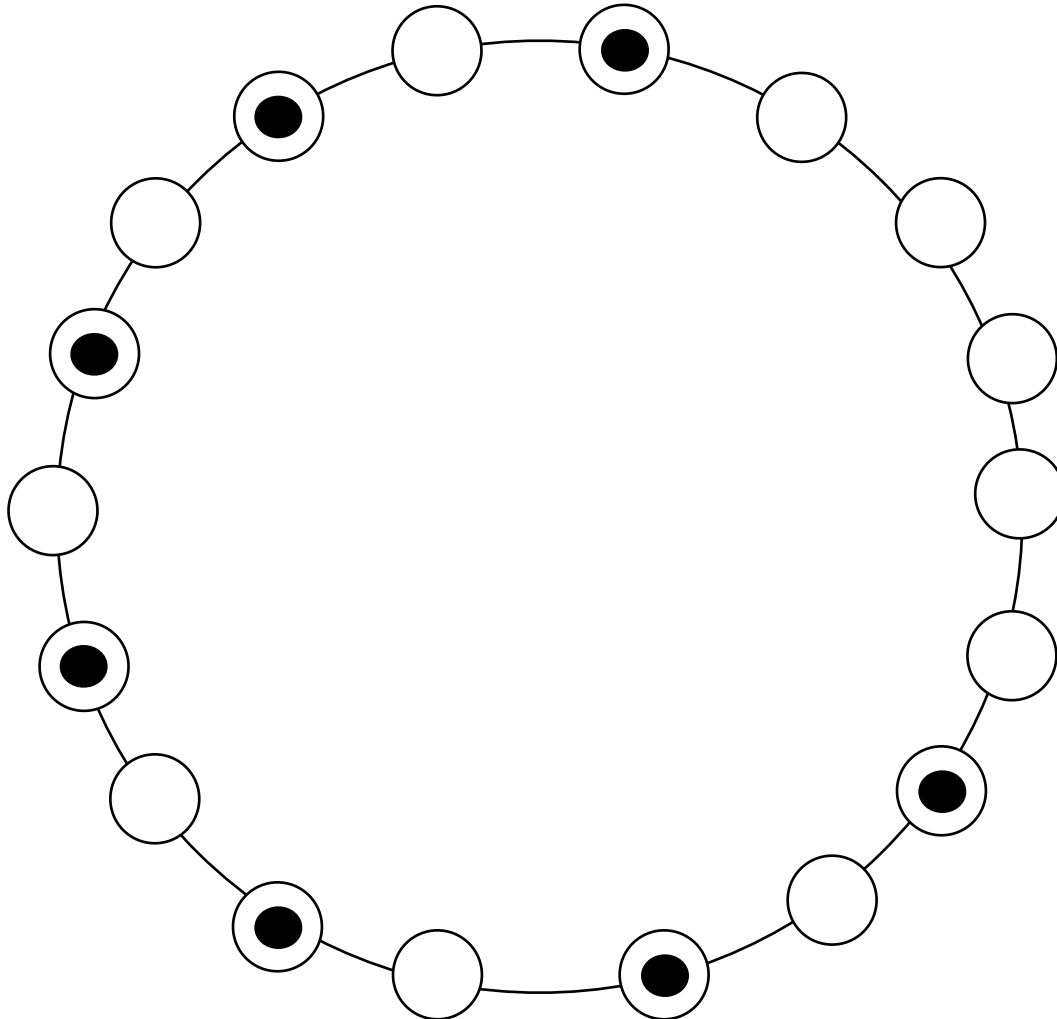
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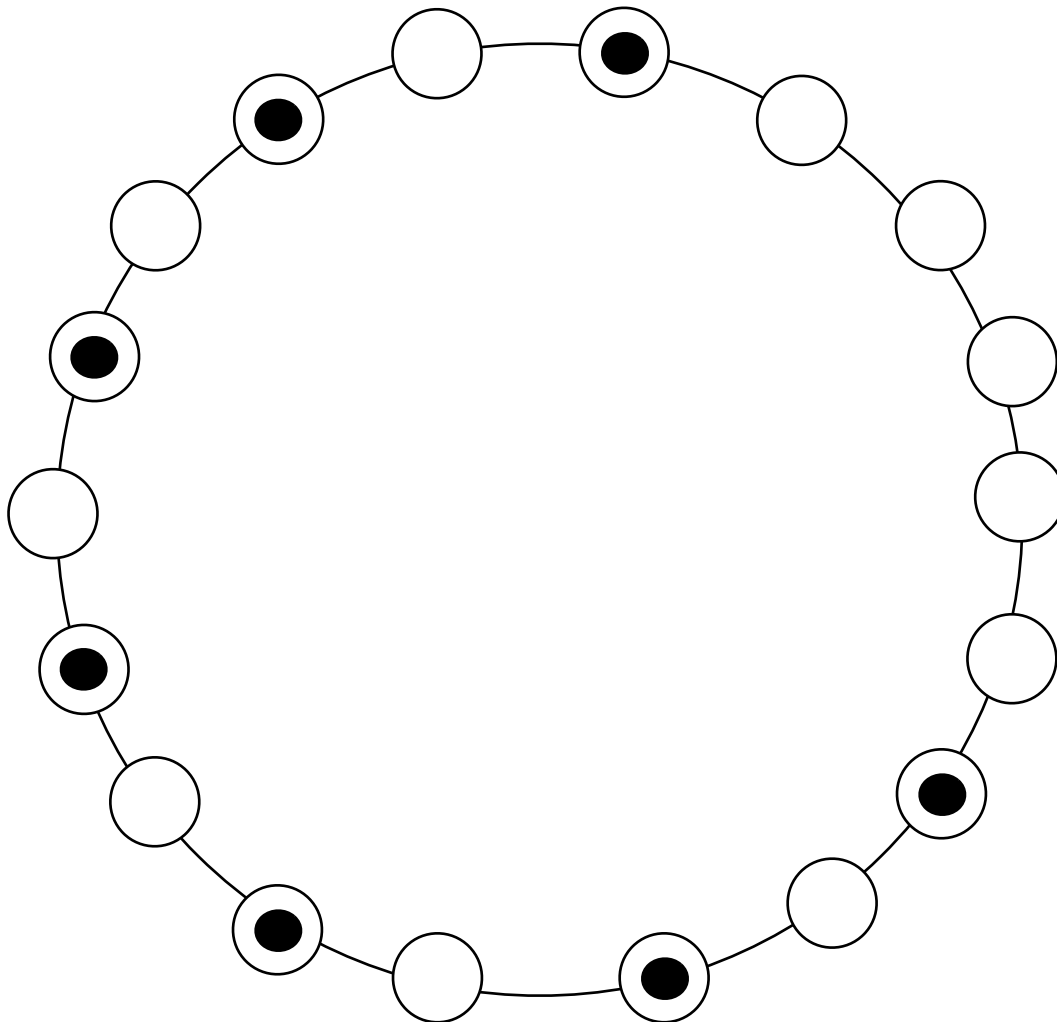
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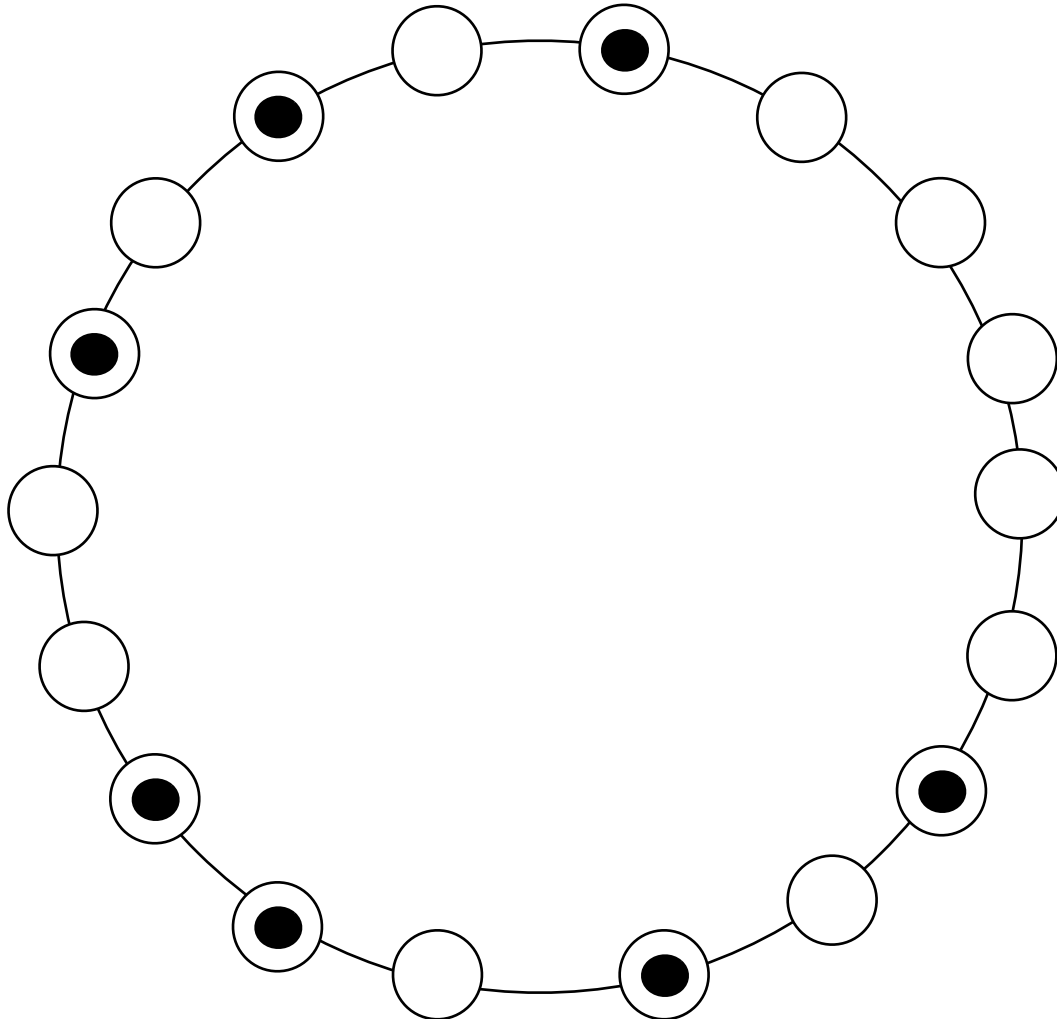
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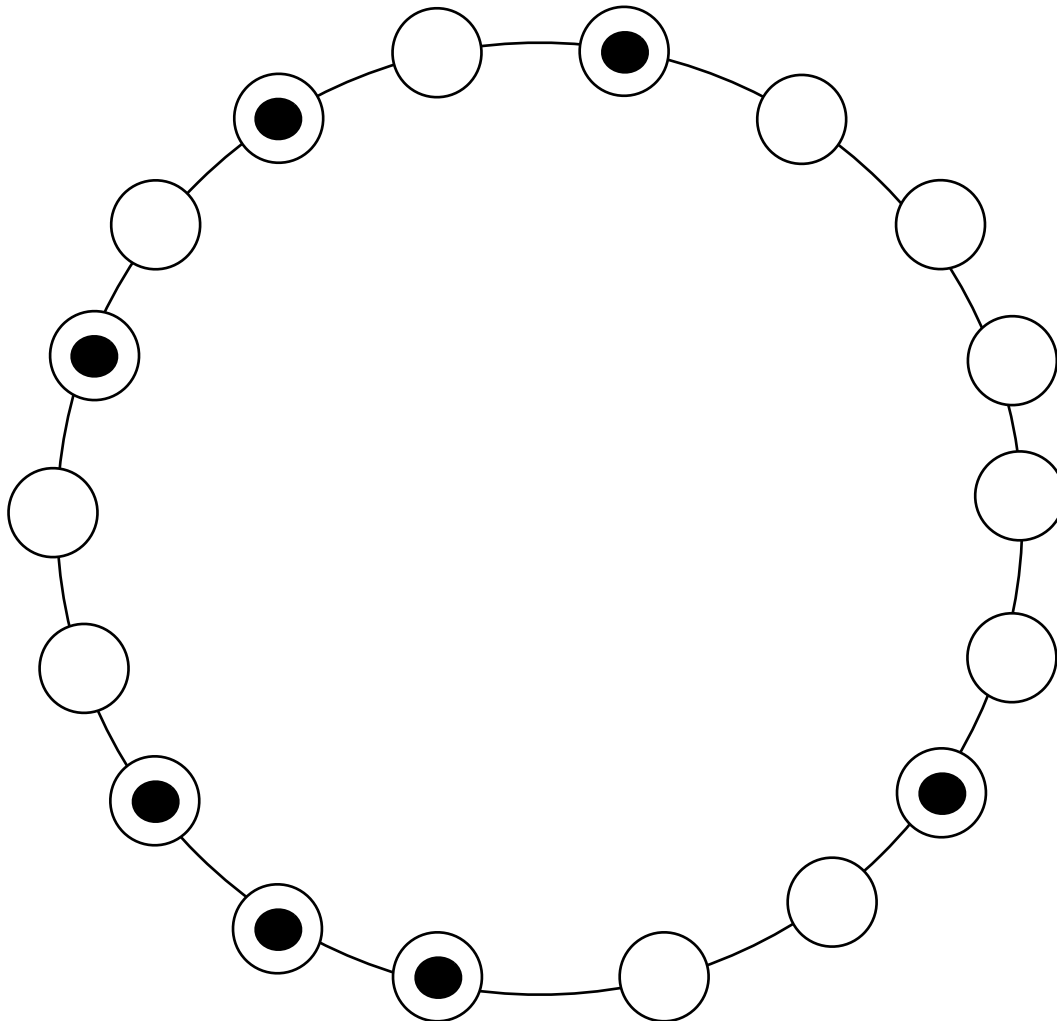
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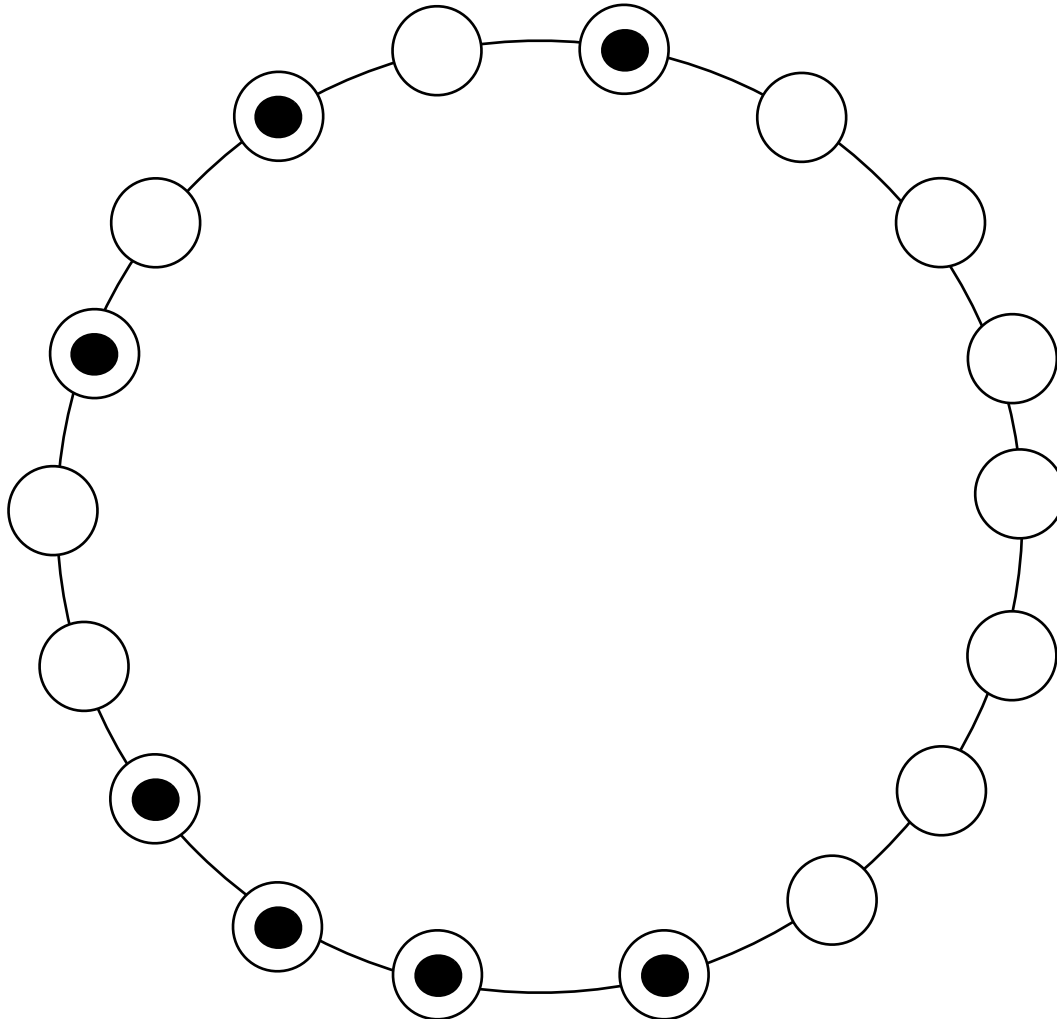
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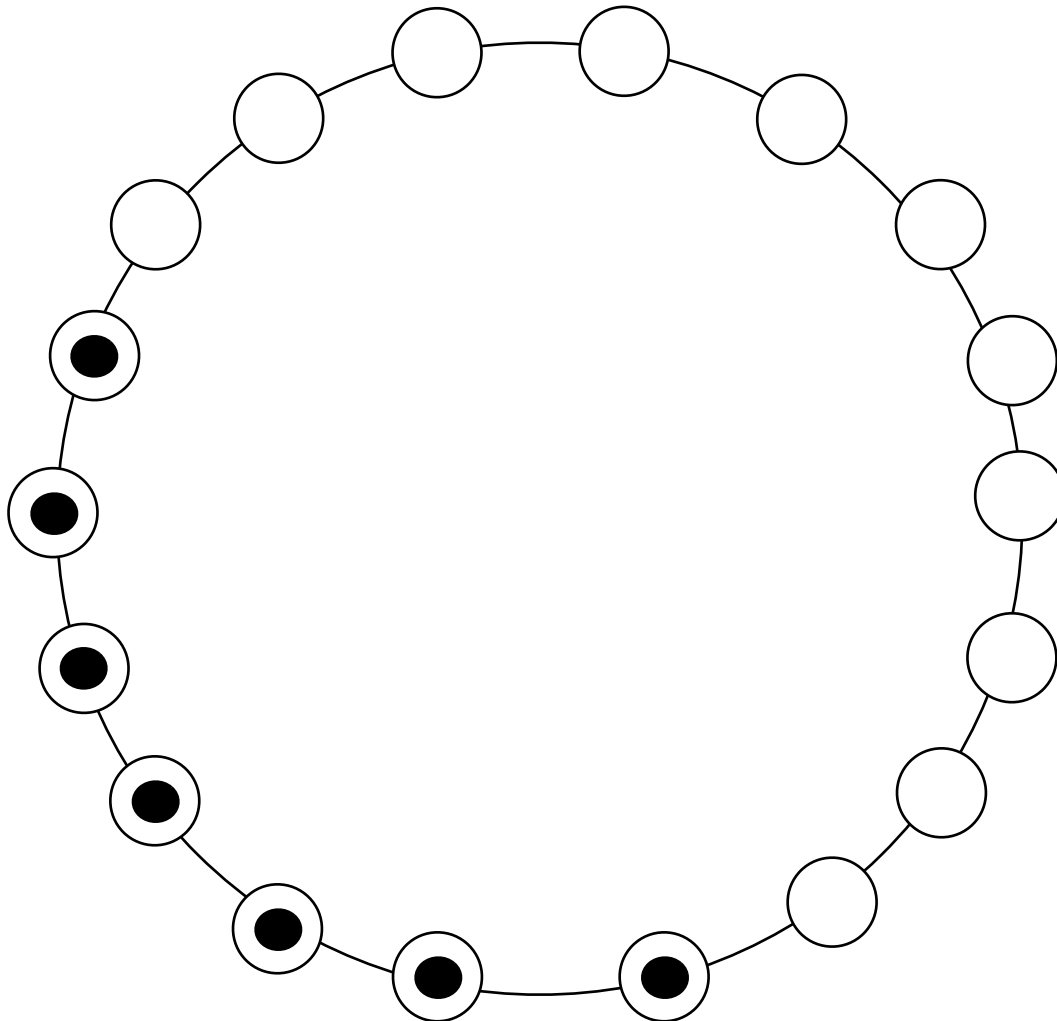
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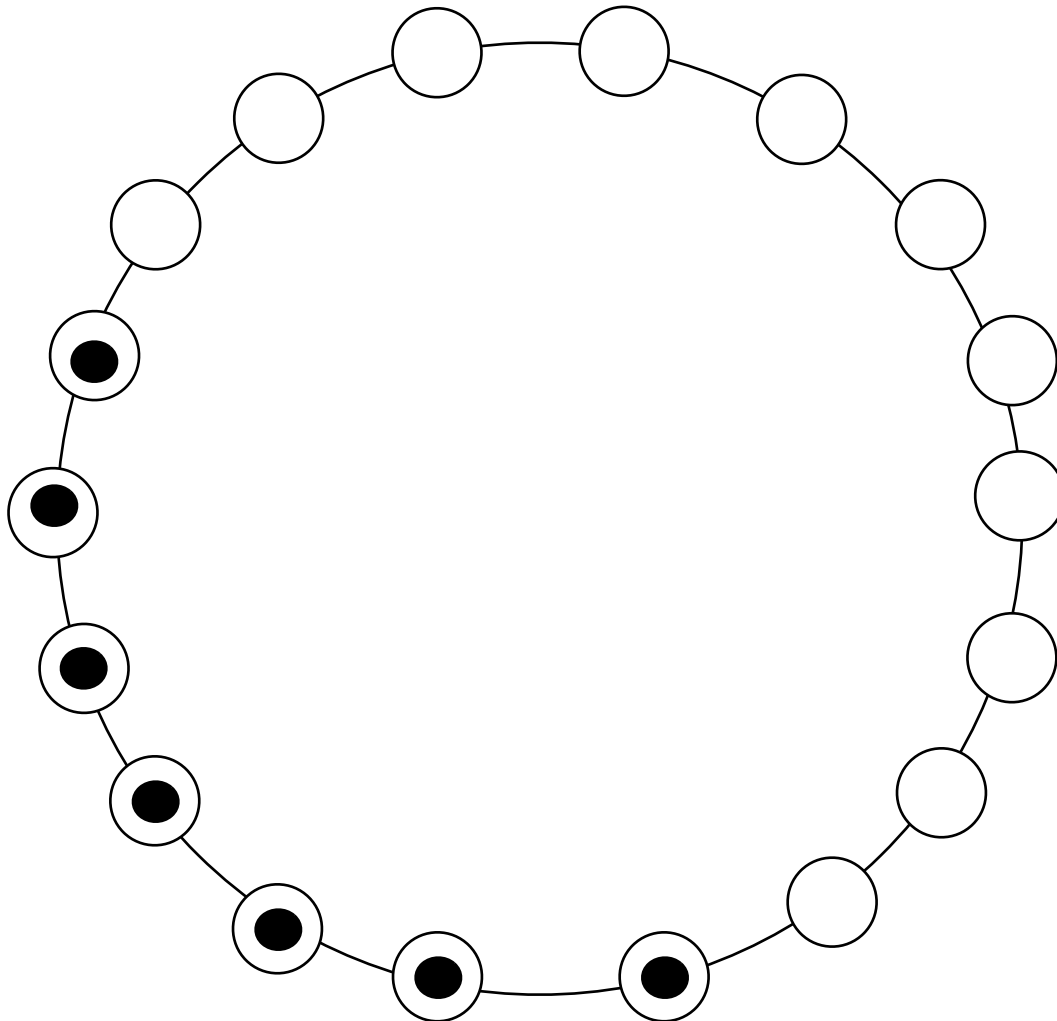
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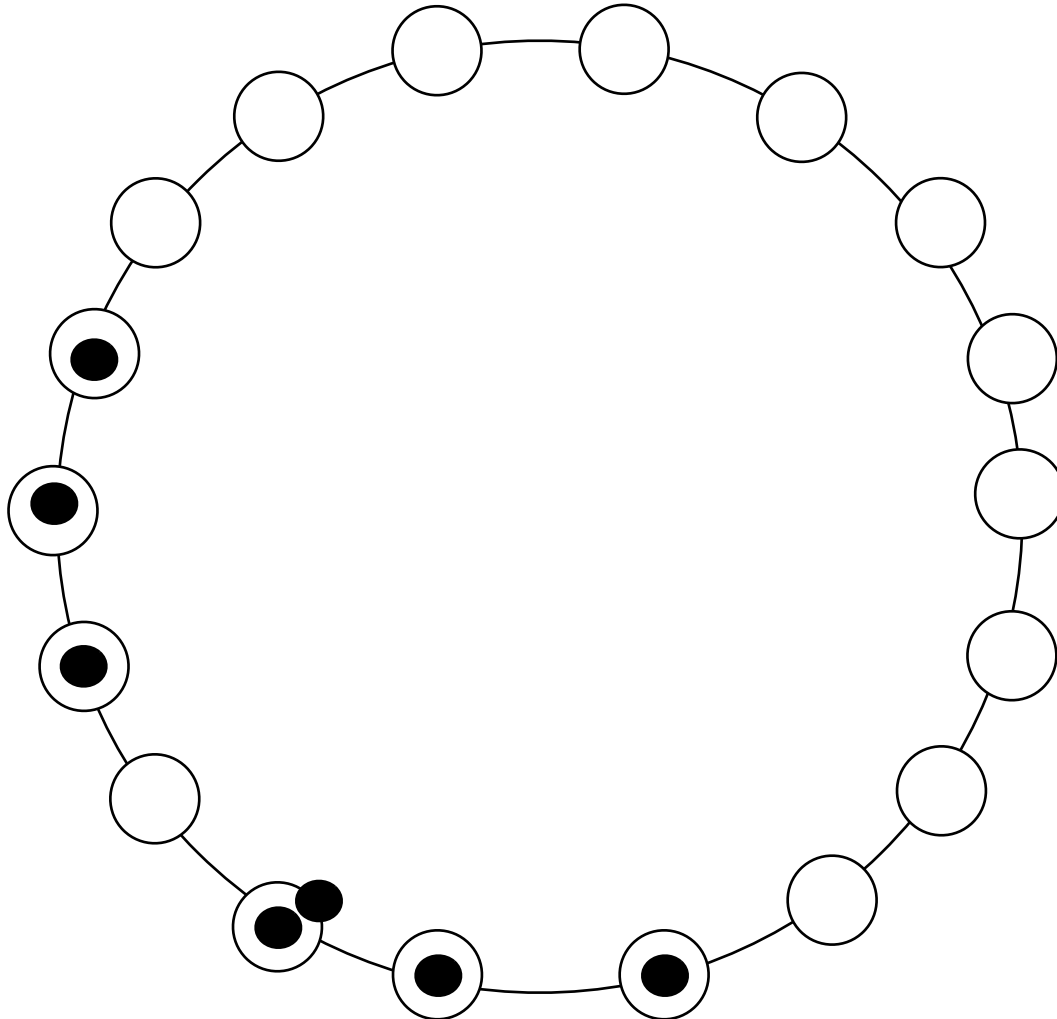
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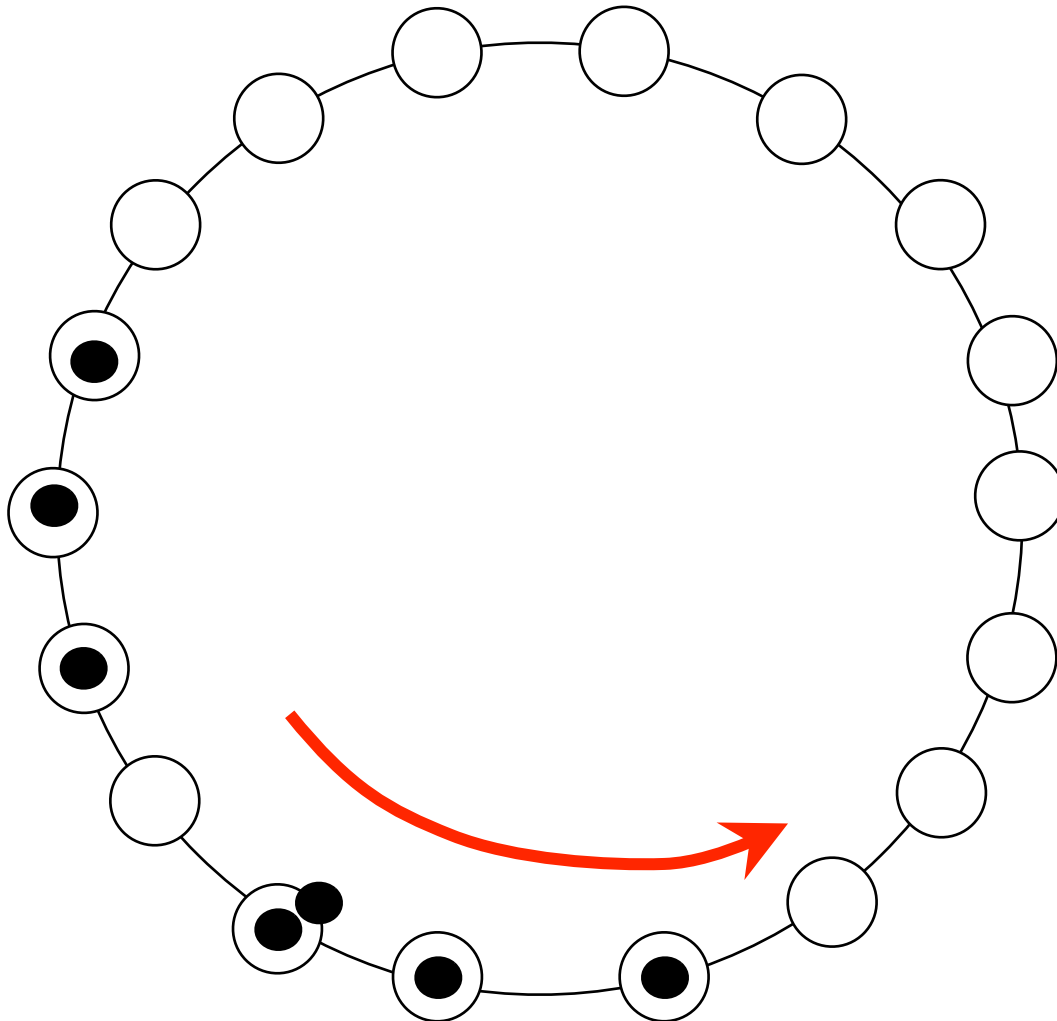
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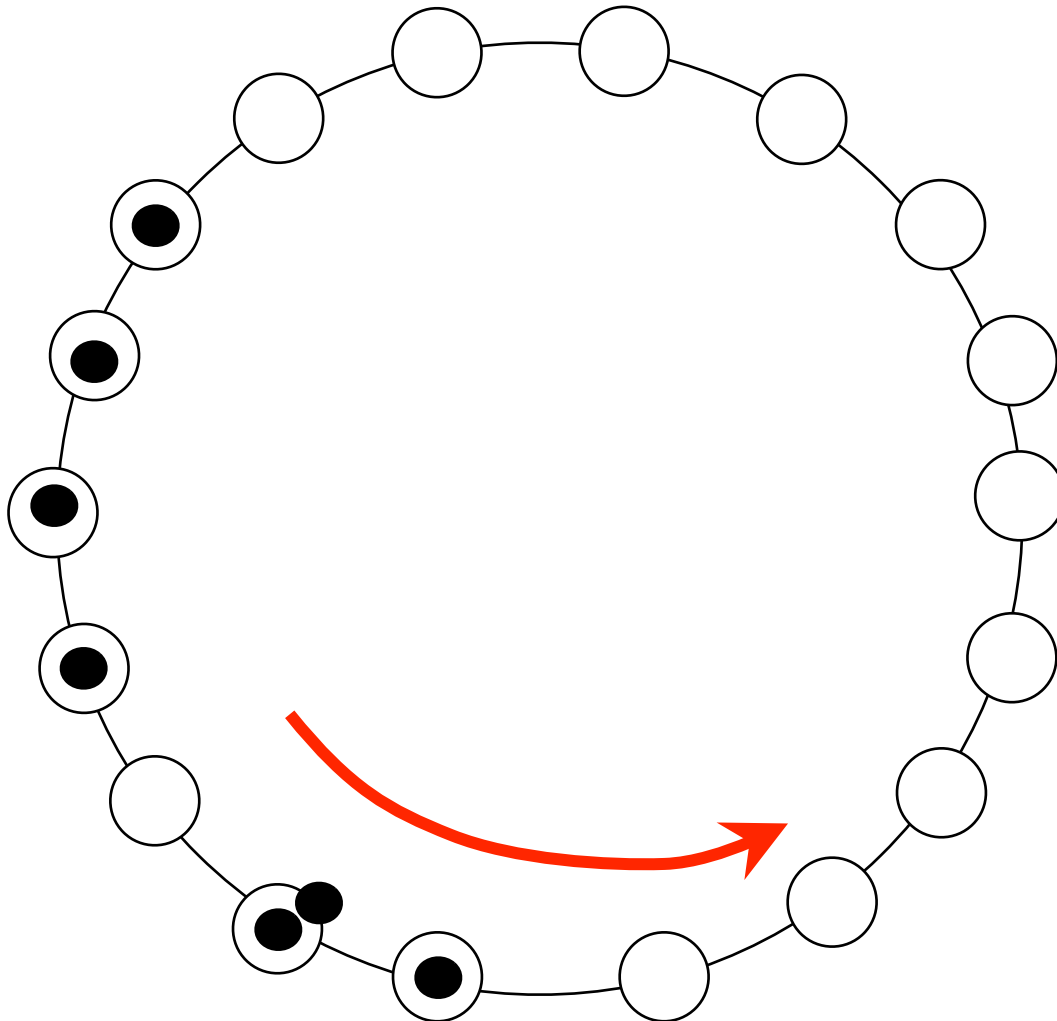
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# [Optimality]

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---

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- Minimal Number of Robots?



[Optimality]

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# [Oblivious Robots]

---

Termination

Exploration



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Termination

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```
graph TD; Termination --> ImplicitMemory[Implicit memory]; Exploration --> ImplicitMemory;
```

Implicit memory

# [Oblivious Robots]

Termination

Exploration

```
graph TD; Termination --> IM[Implicit memory]; Exploration --> IM; IM --> C[At least one configuration that cannot be an initial configuration];
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Implicit memory

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# Oblivious Robots

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Implicit memory

At least one configuration that cannot be an initial configuration

Remark.

If  $n > k$ , any terminal configuration of any protocol contains at least one *tower*.

[Tower

]

# [ Tower ]

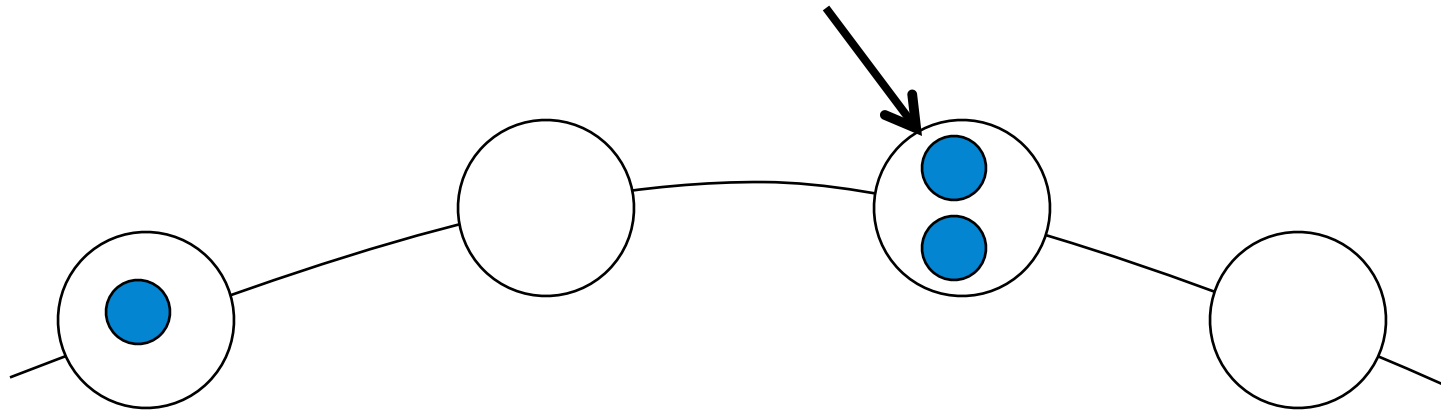
*Definition.*

*A node with at least two robots.*

# Tower

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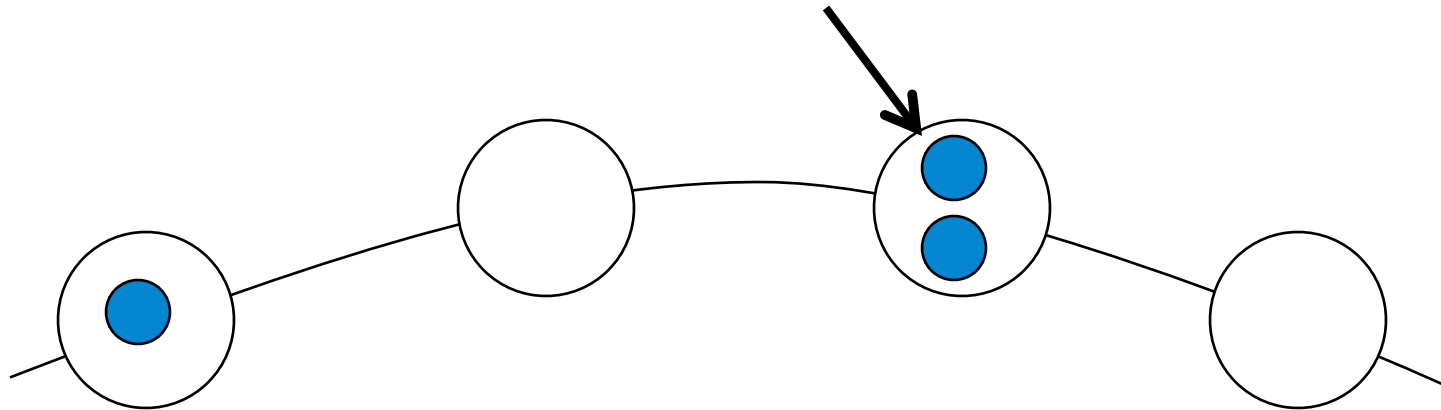
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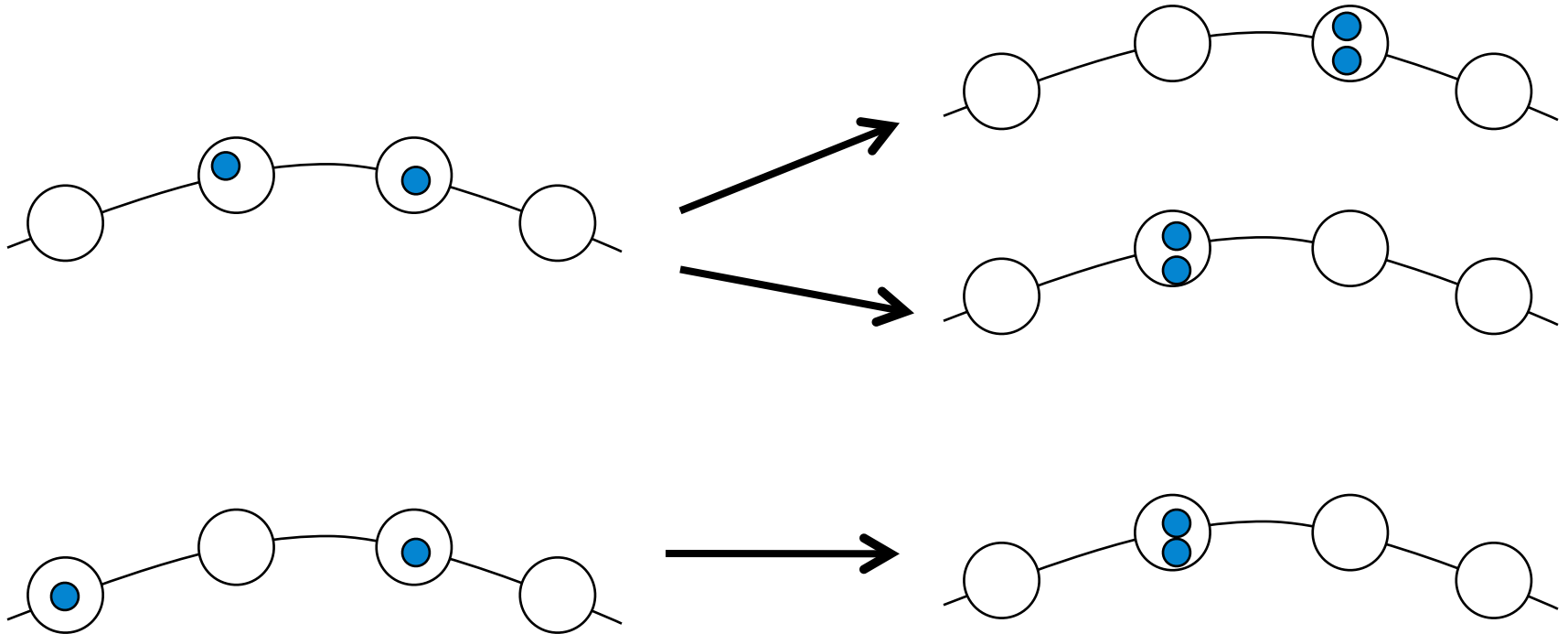
$$k \geq 2$$

# [Tower Building]

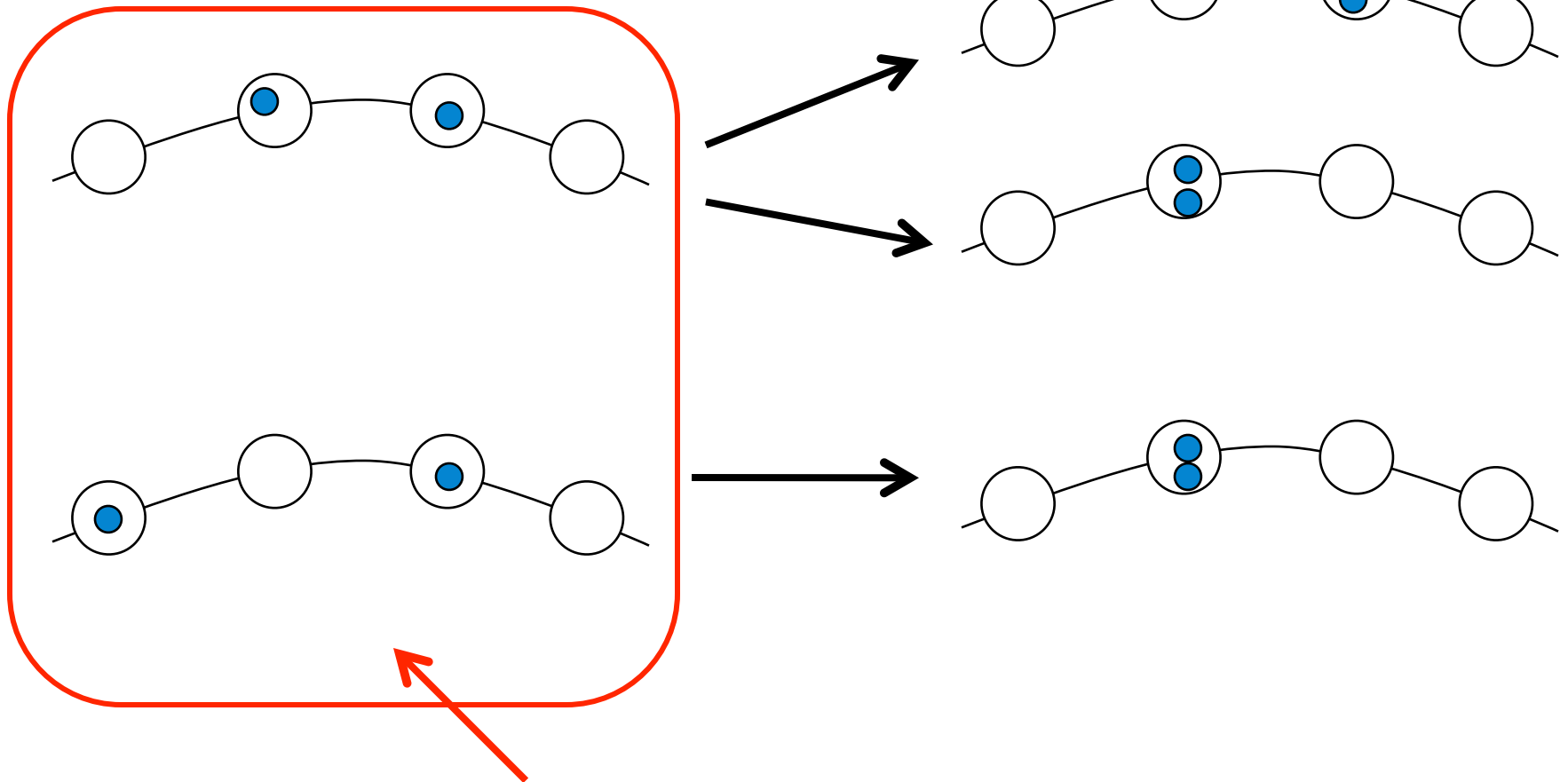
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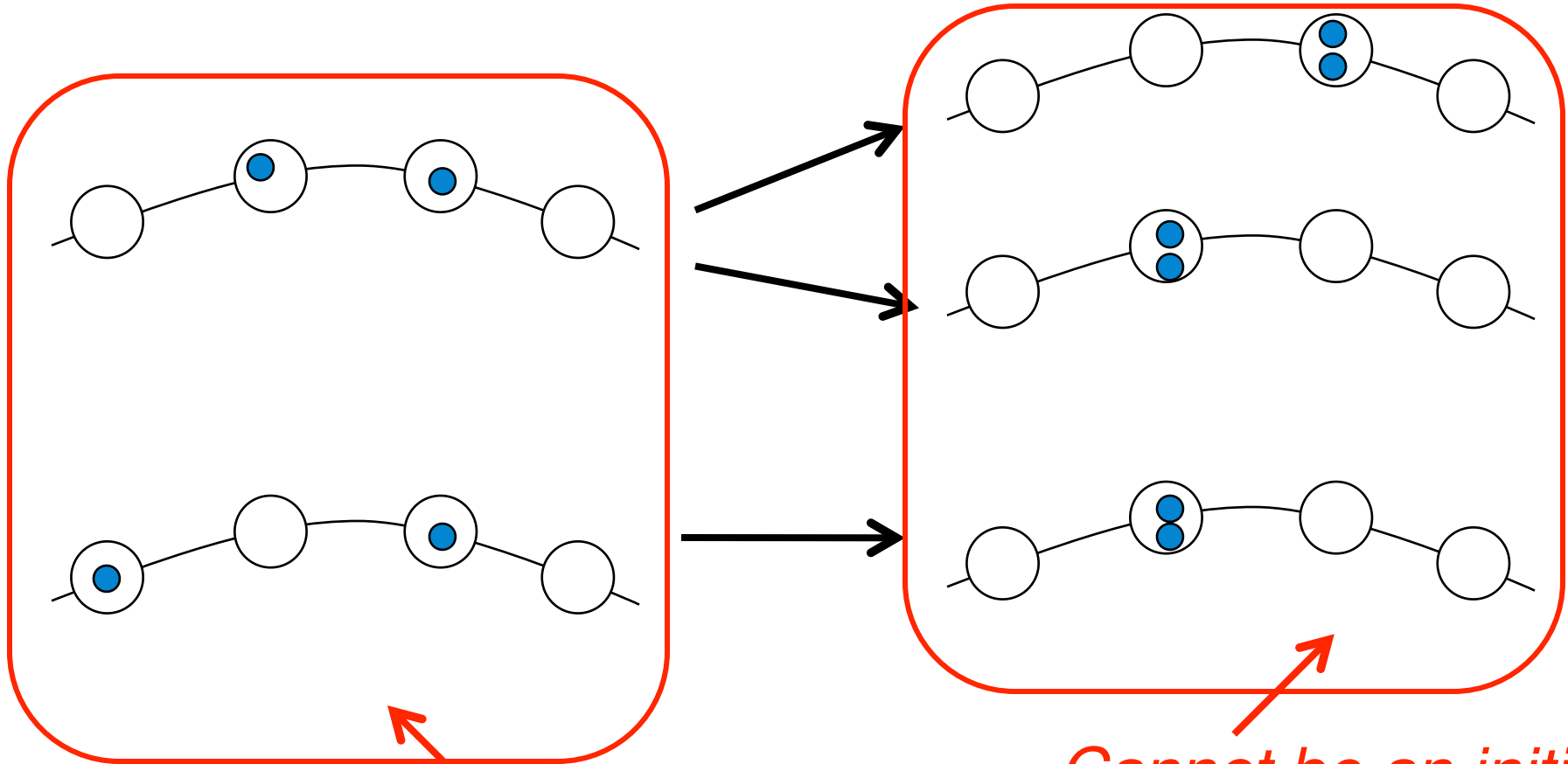


# Tower Building



*Can be an initial configuration*

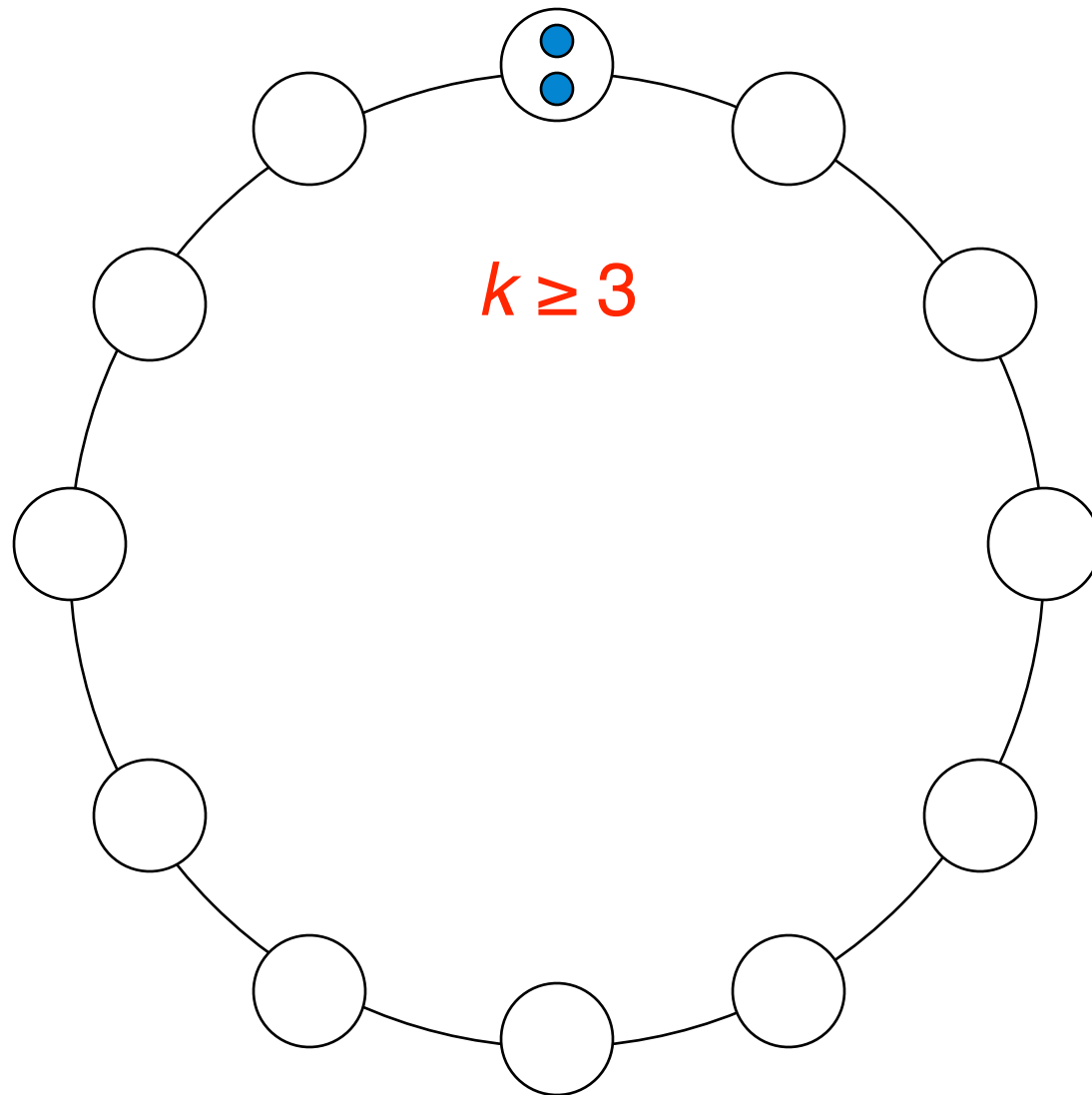
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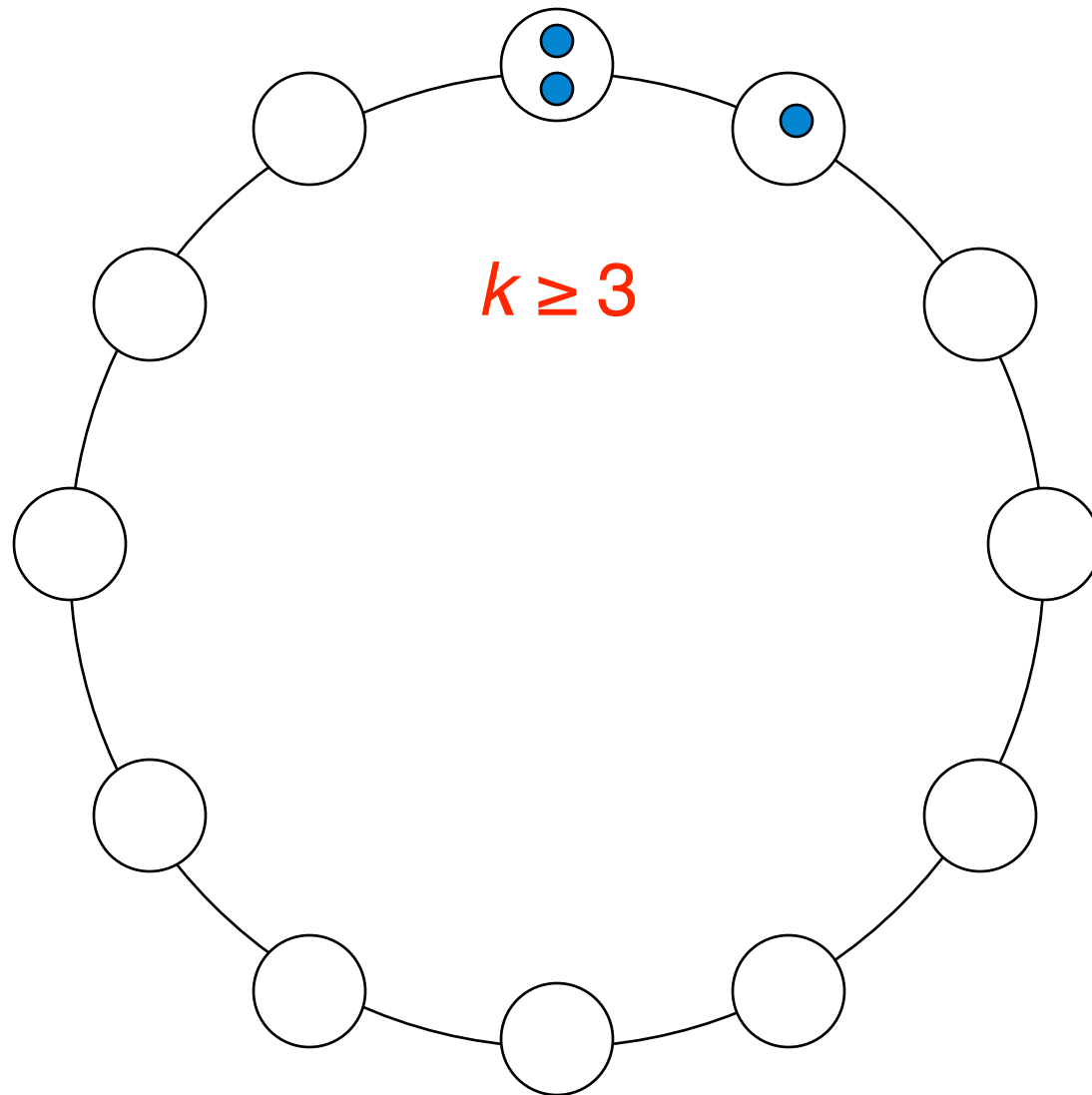
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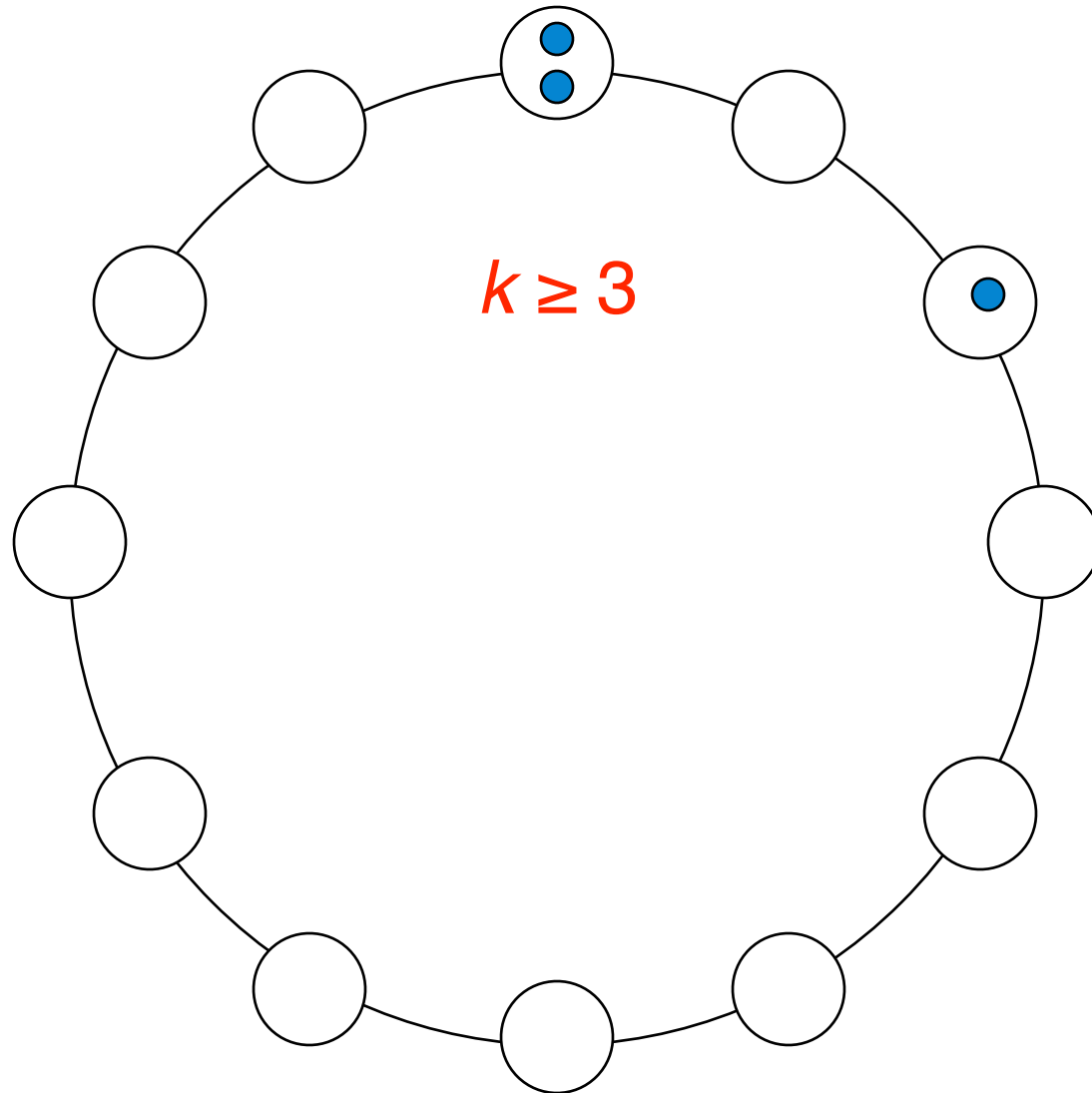
# [ Enabling Exploration ]



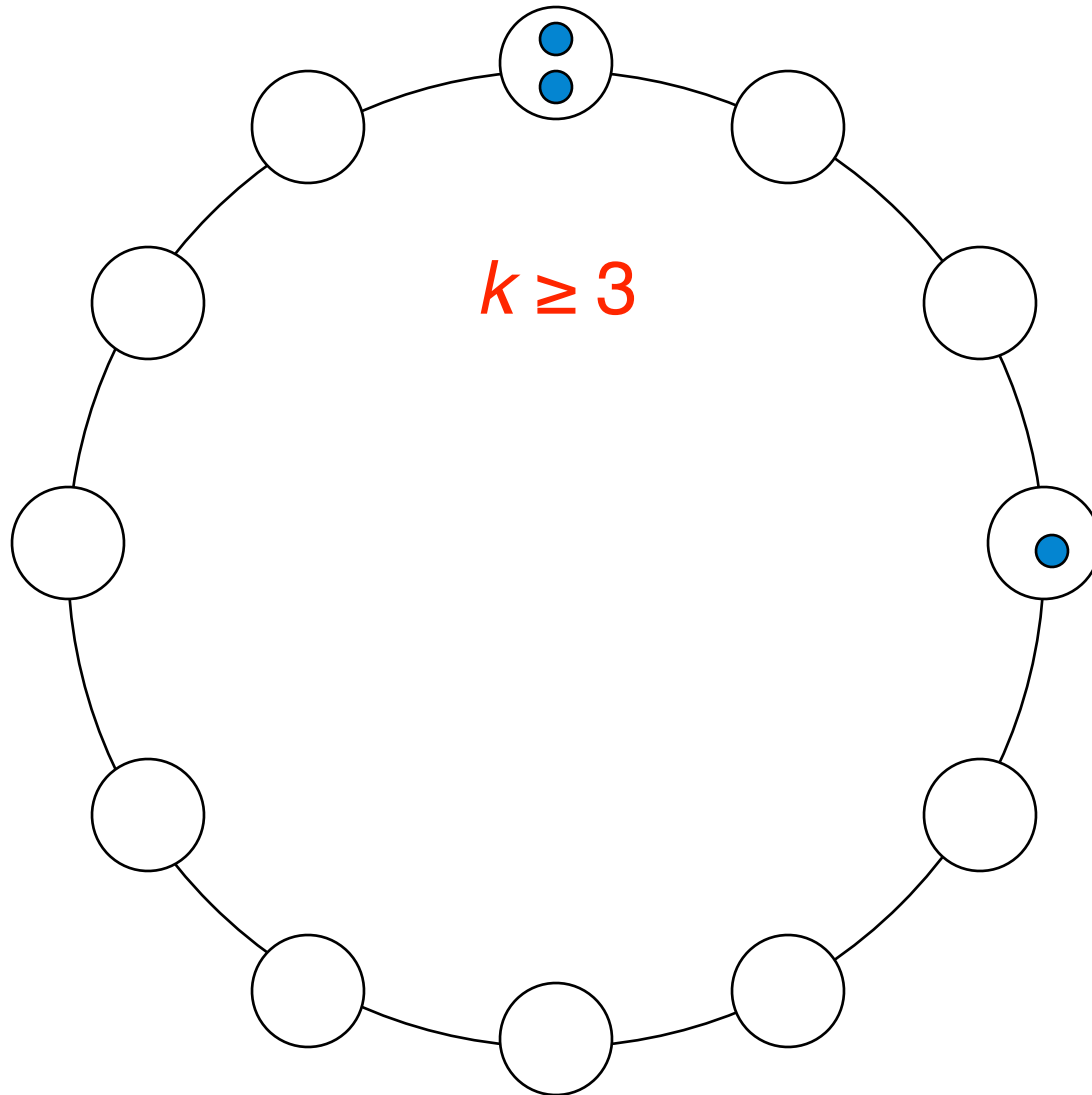
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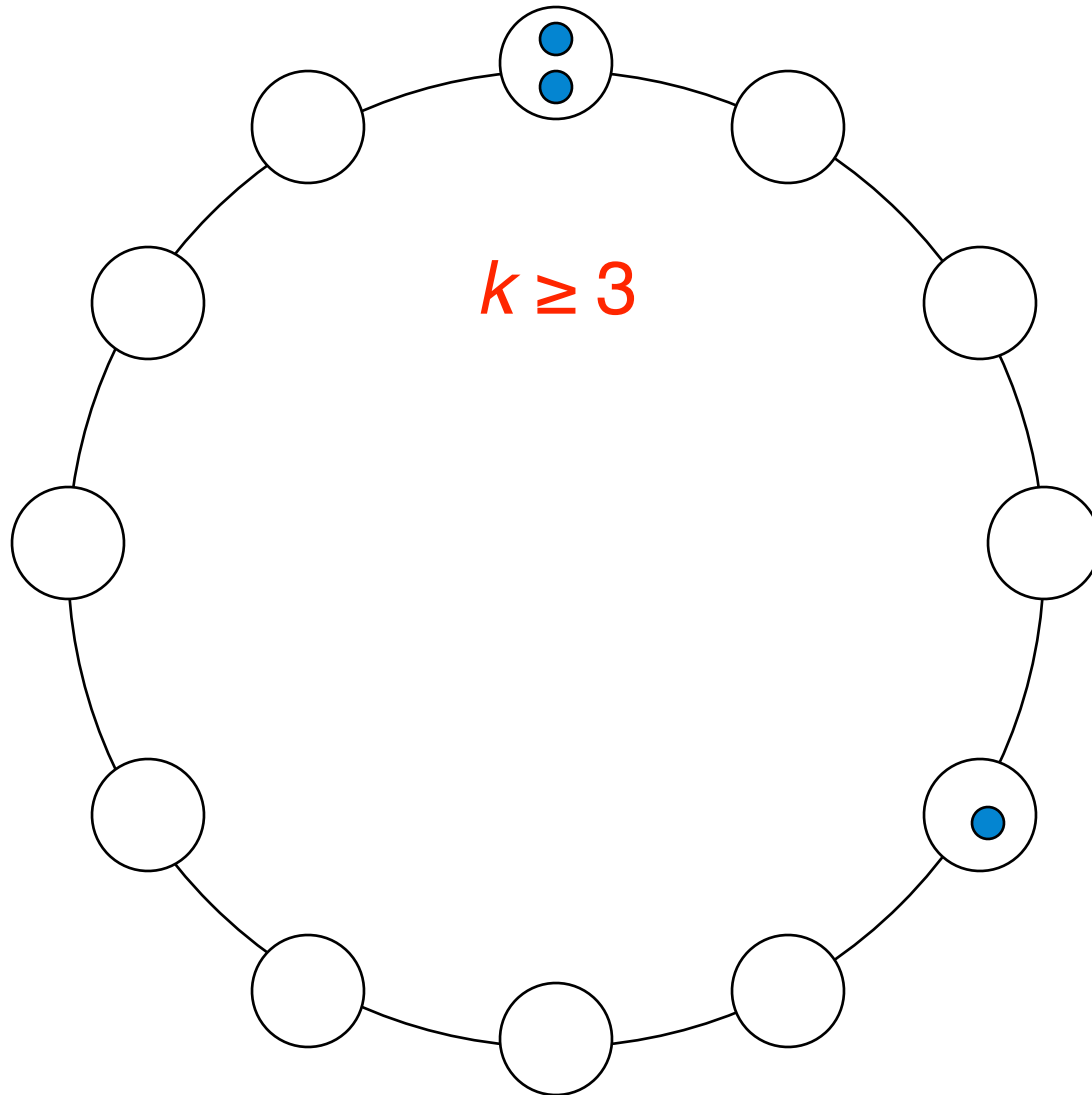
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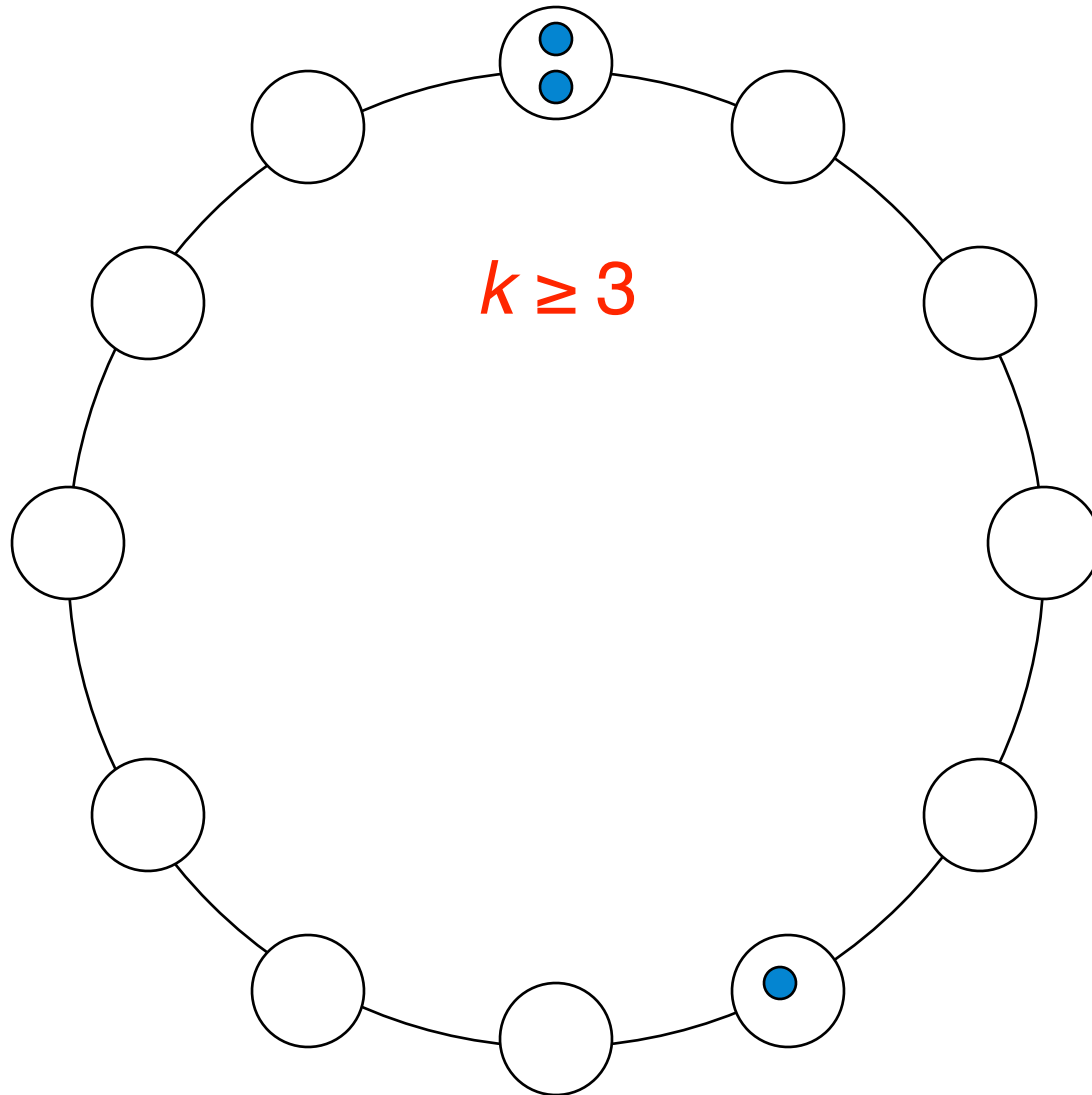


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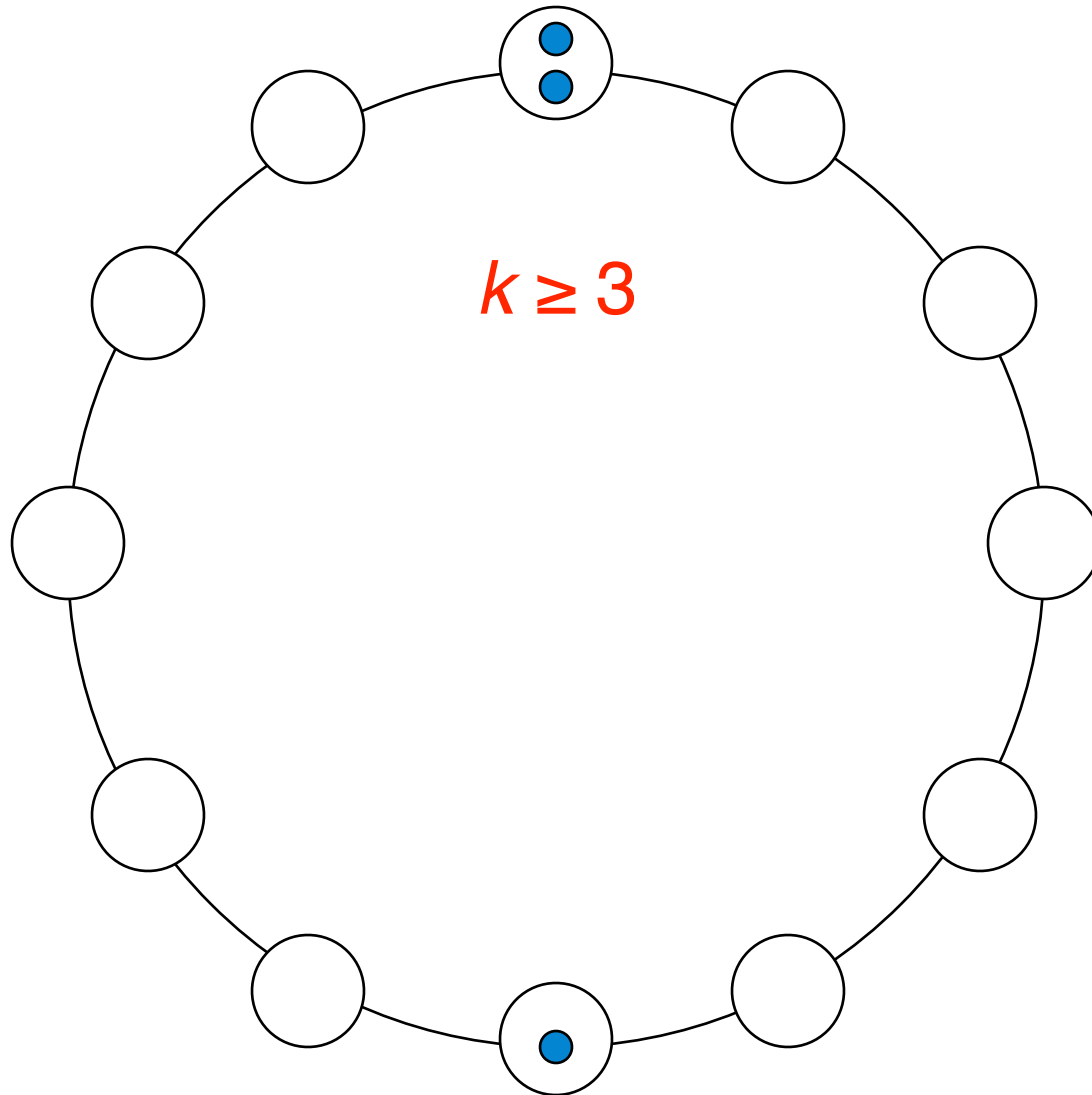




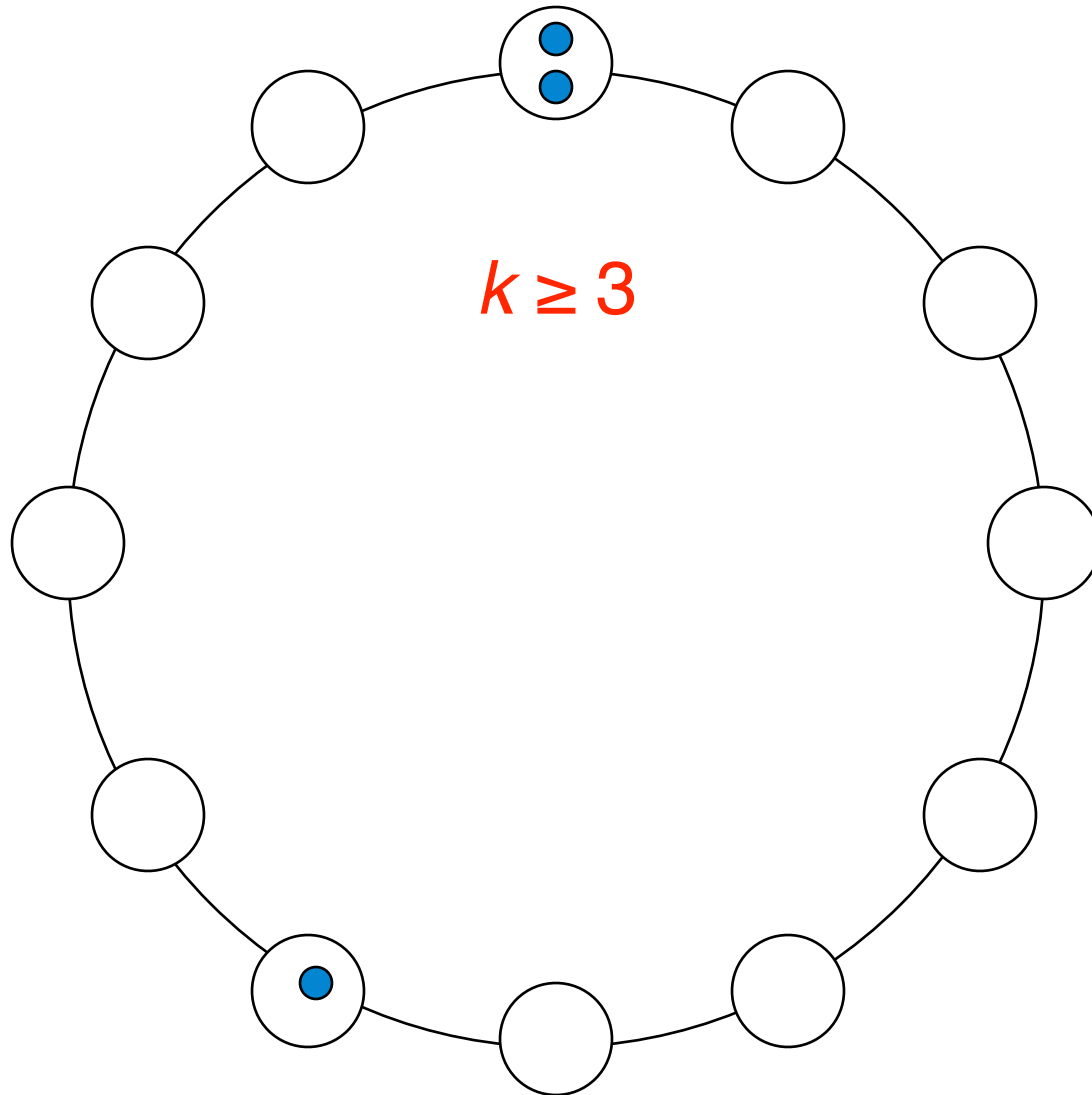
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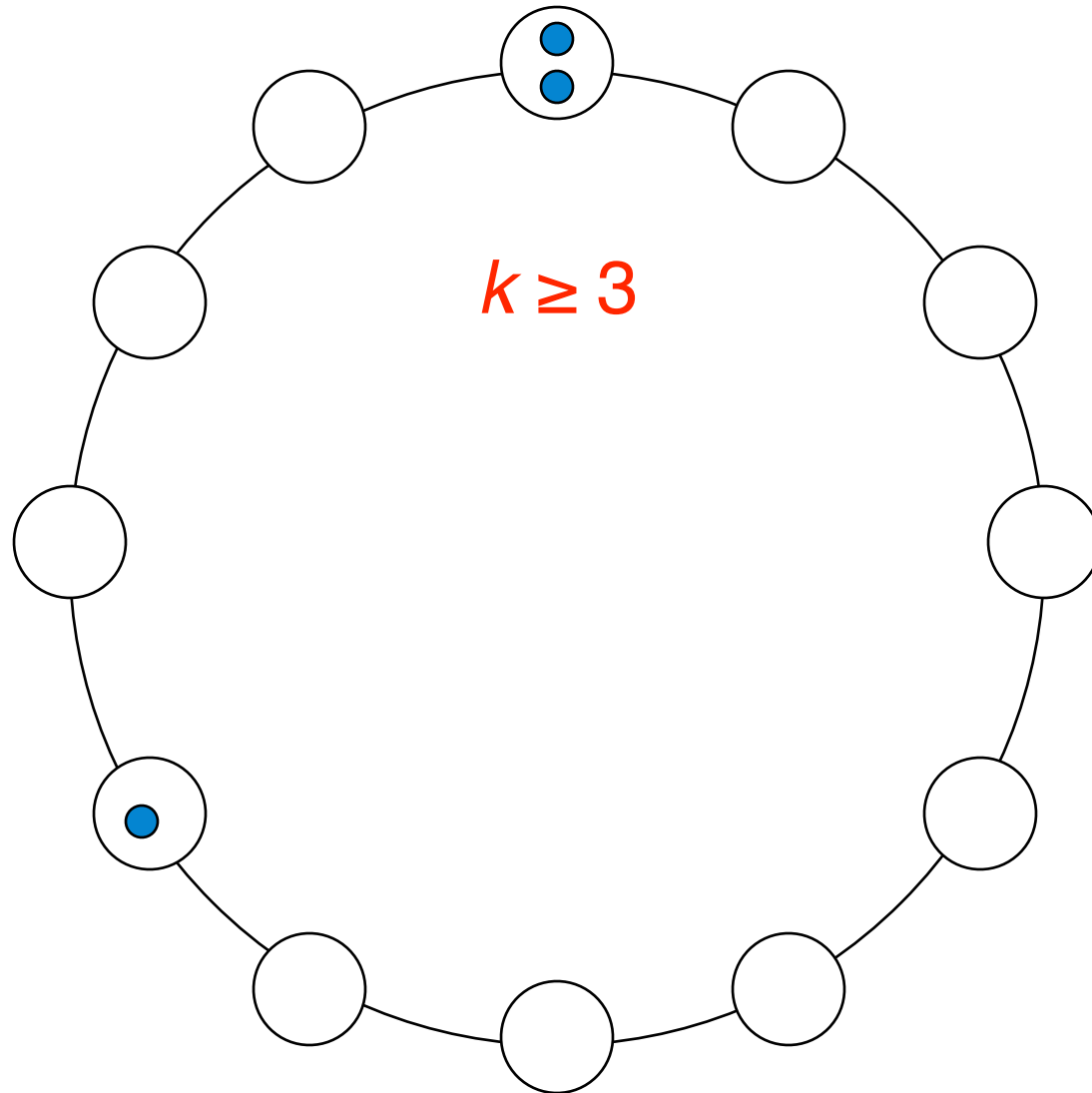
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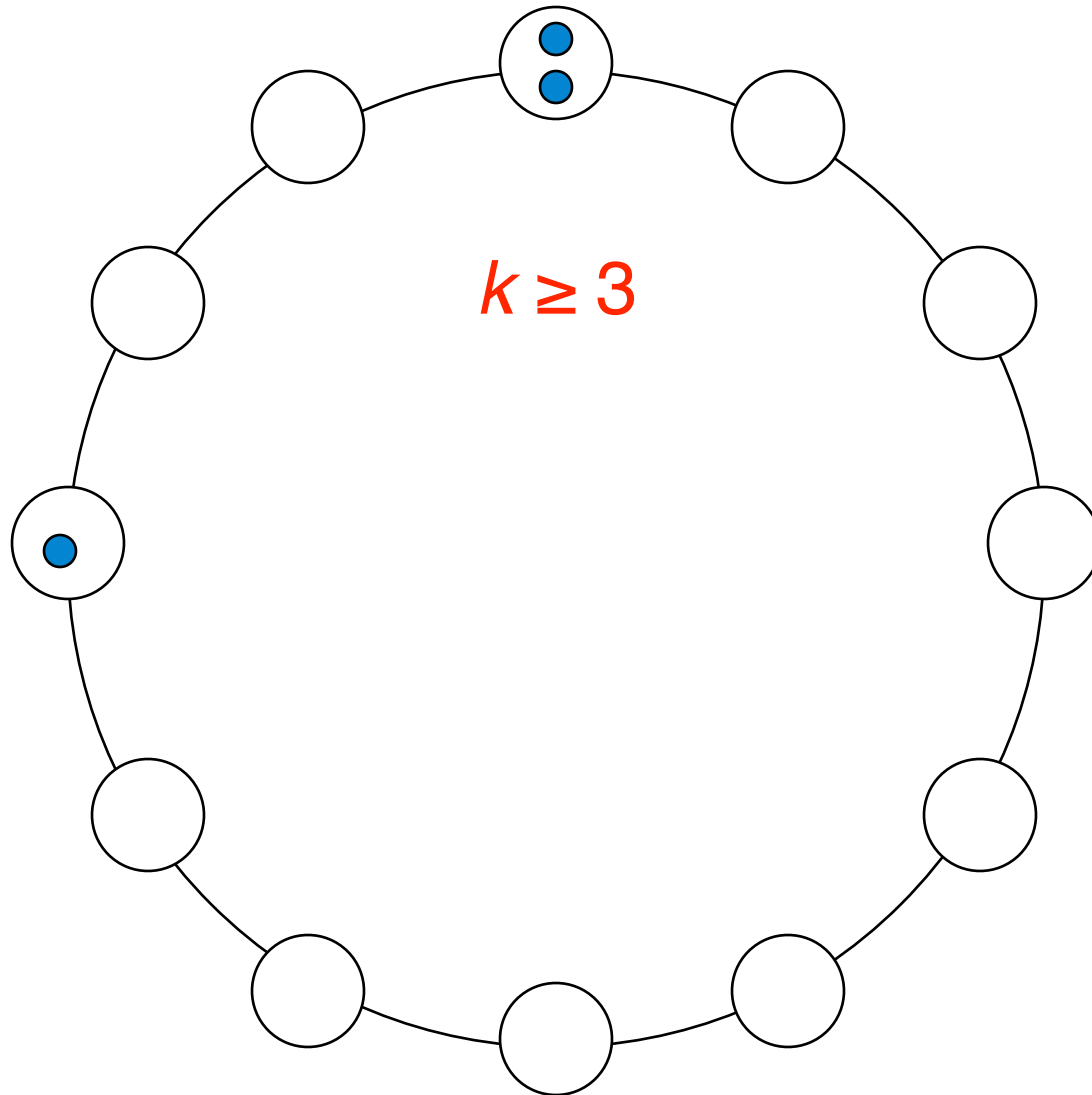
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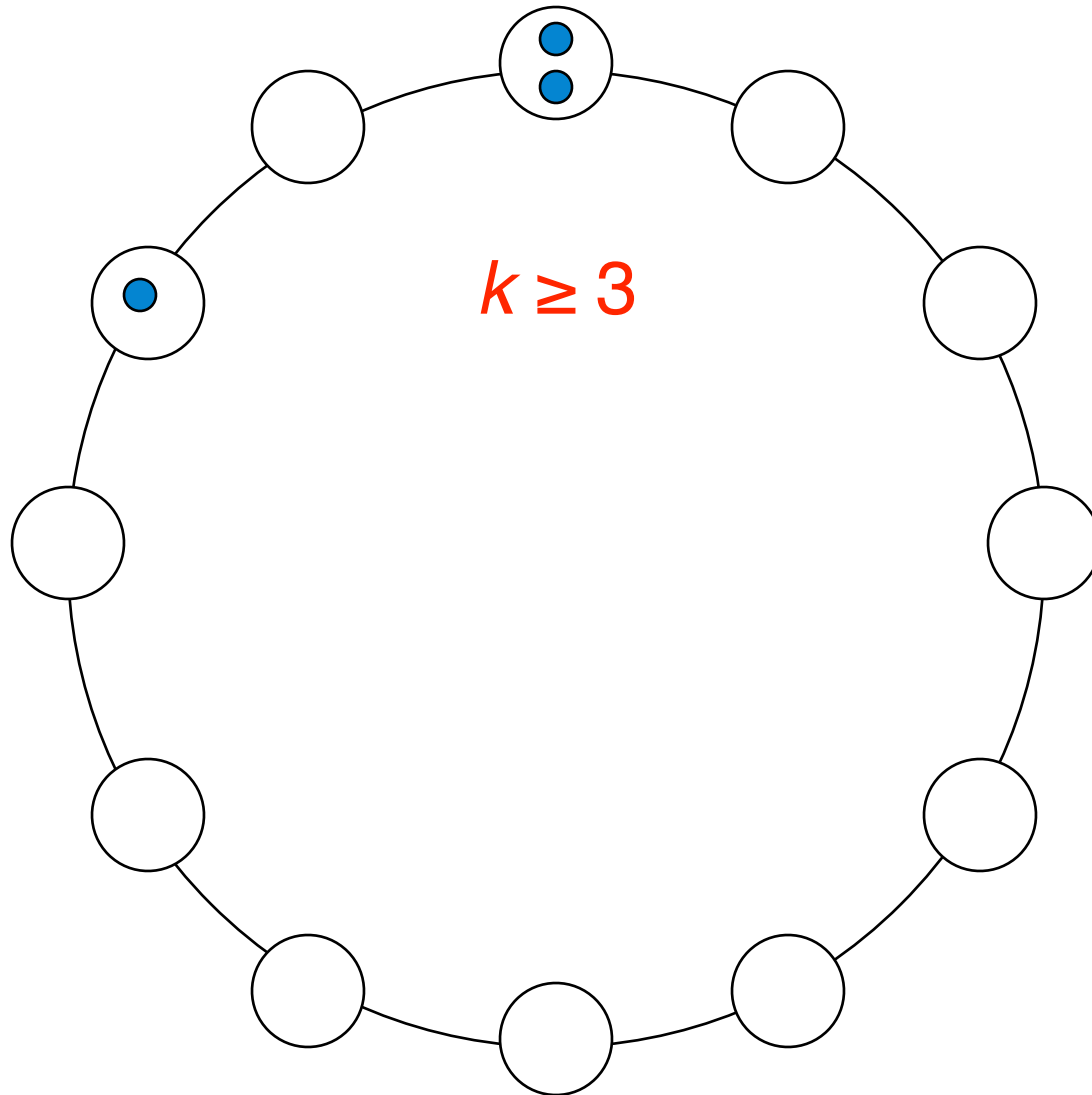
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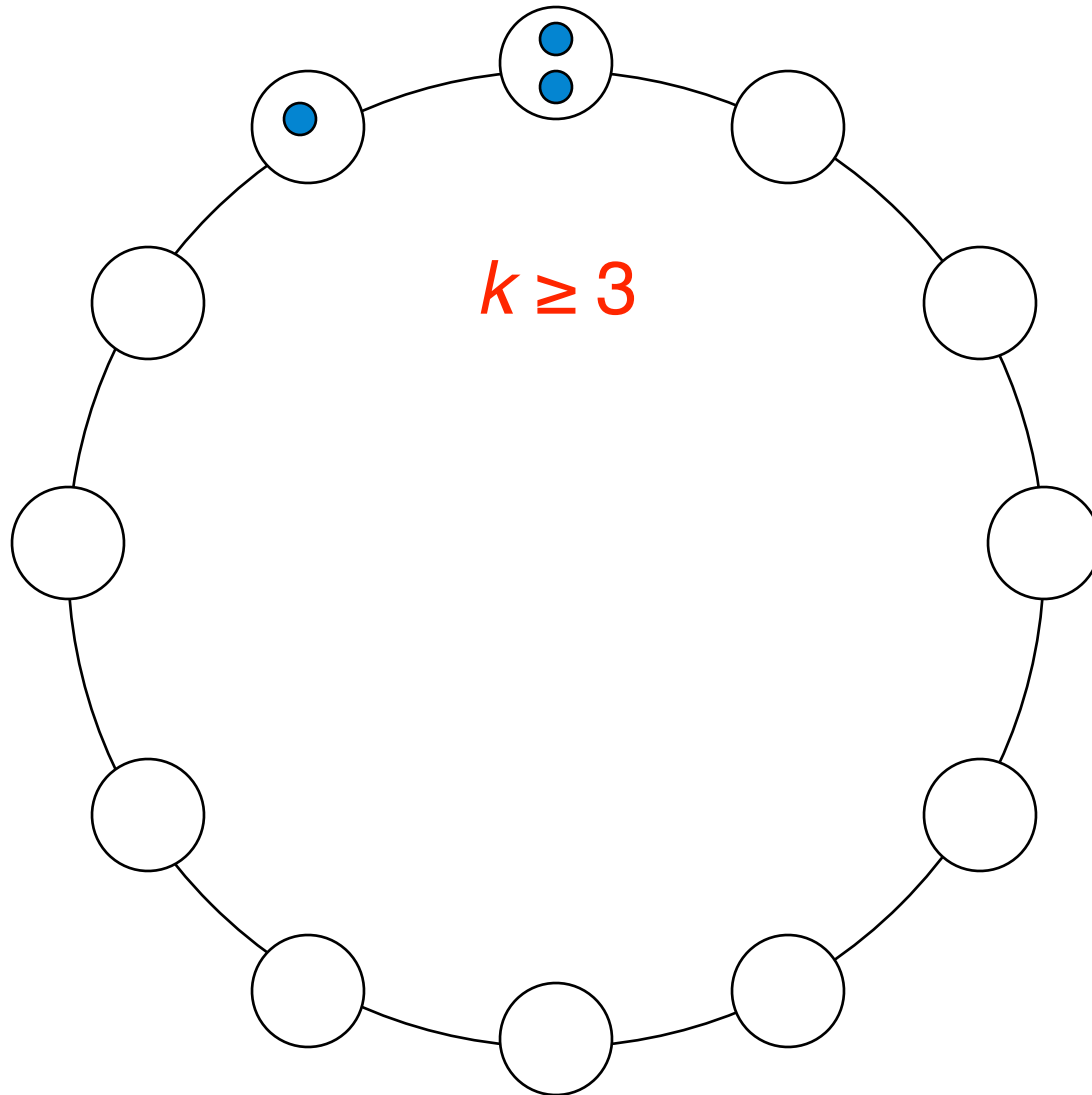
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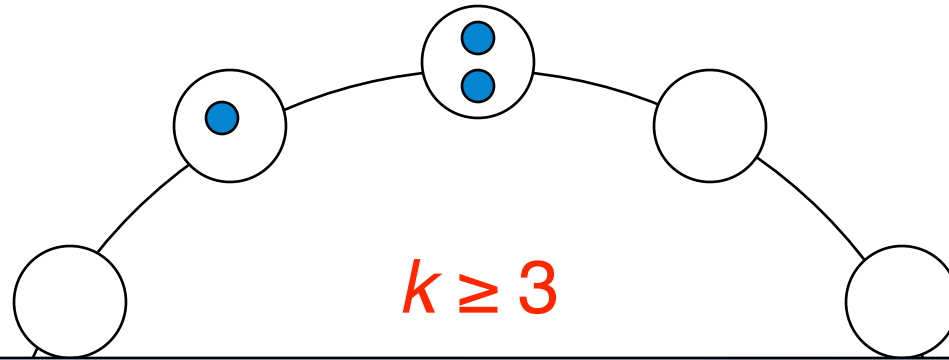
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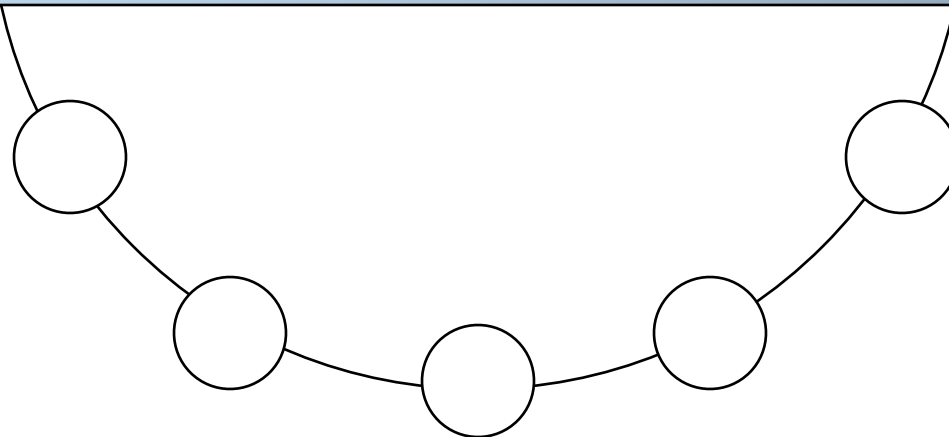


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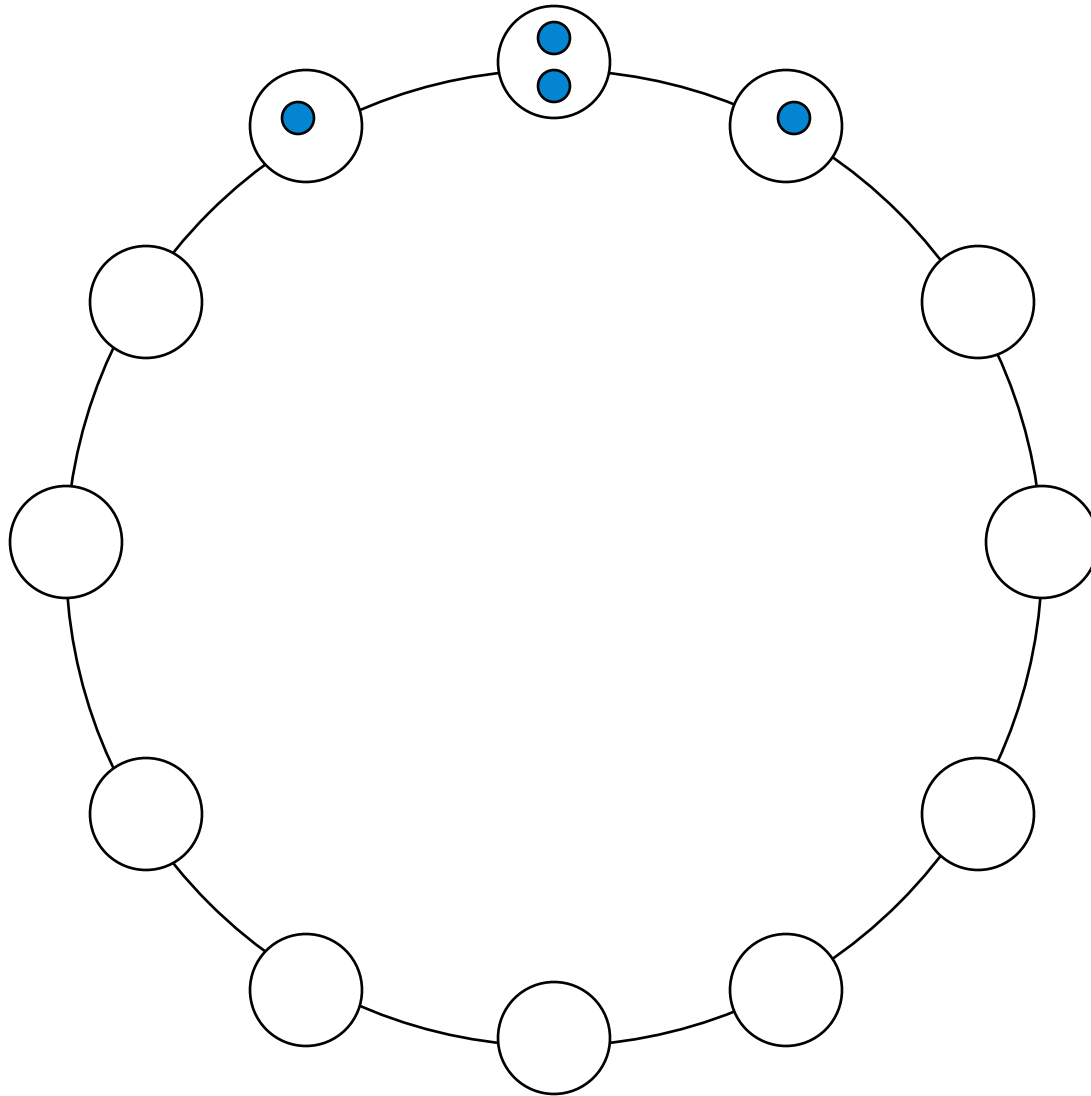
Lemma.

Every execution must contain a suffix of at least  $n-k+1$  configurations containing a tower of less than  $k$  robots and any two of them are distinguishable.

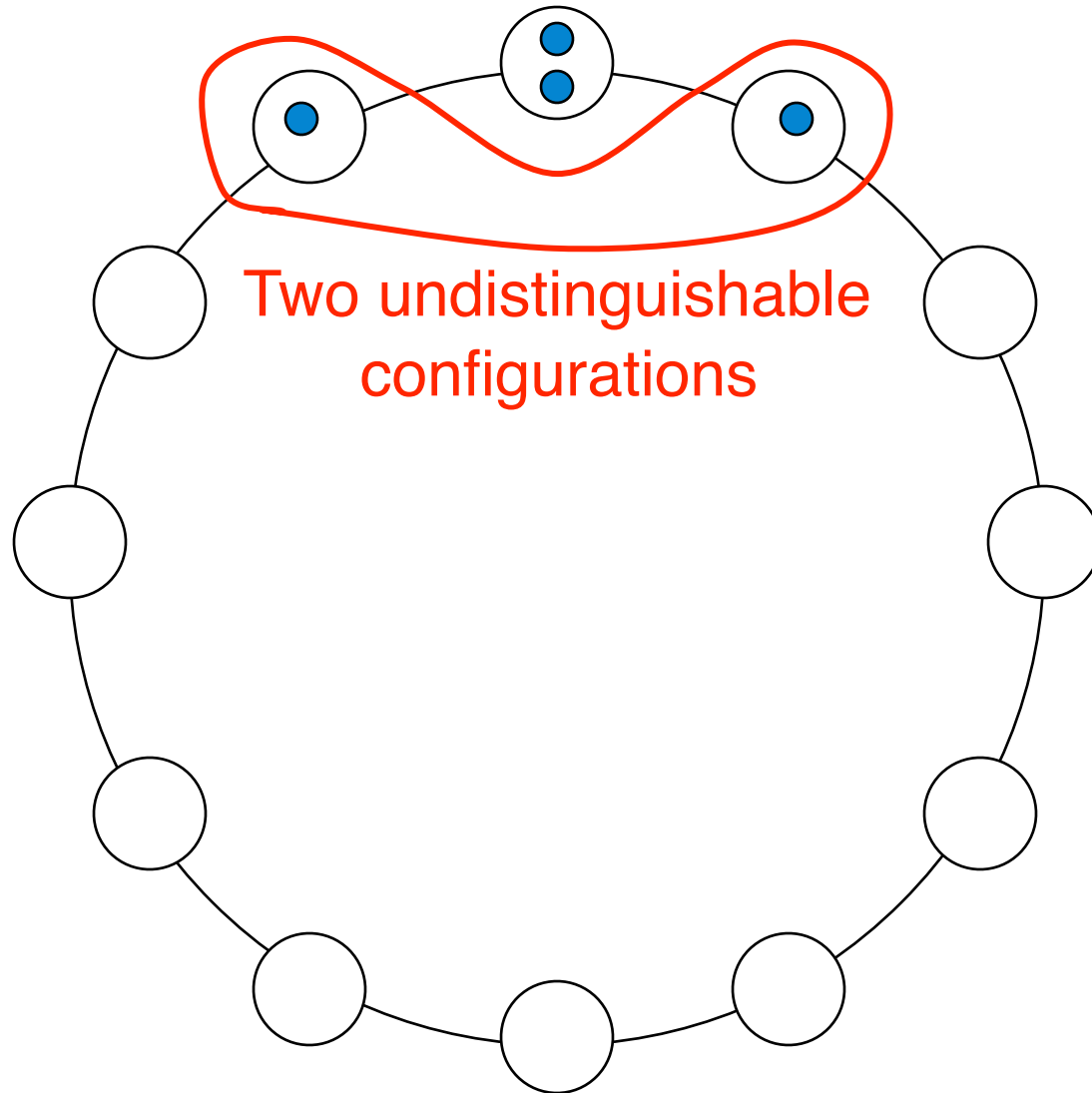




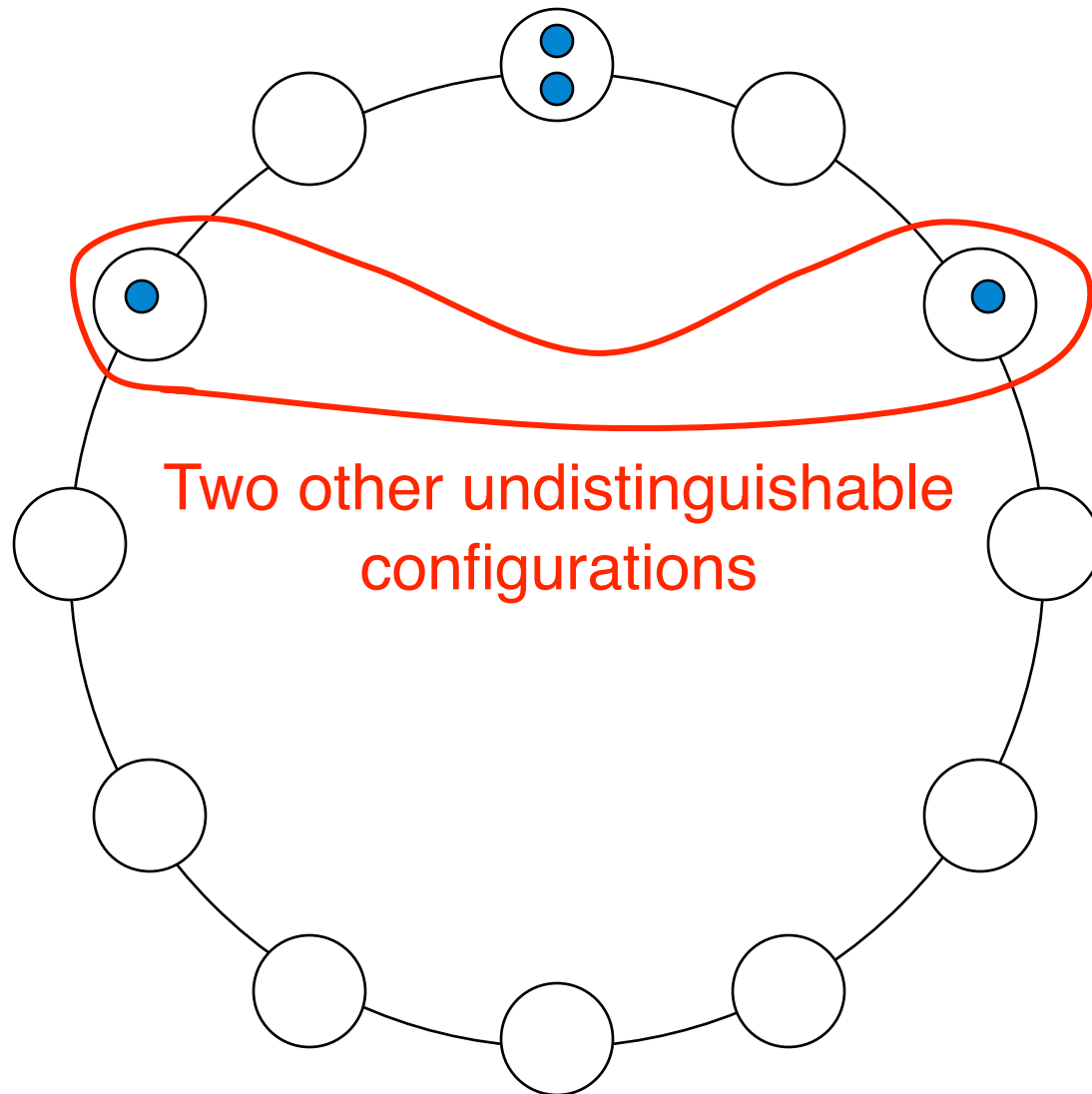
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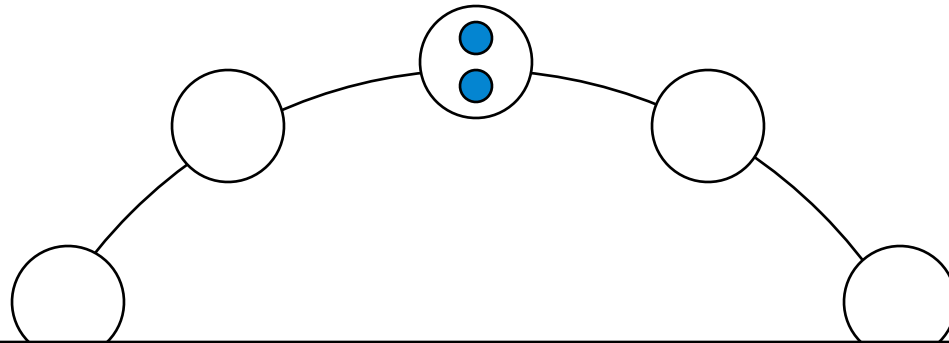
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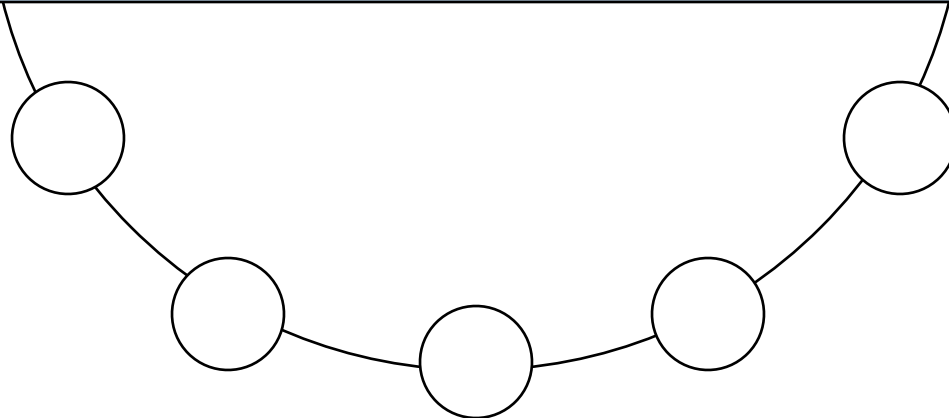
# Enabling Exploration



Lemma.

With 3 robots and a fixed tower of 2 robots, the maximum number of distinguishable configurations is equal to .

$$\left\lfloor \frac{n}{2} \right\rfloor$$



# [Enabling Exploration]

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# Enabling Exploration

Theorem.

*For every  $n > 4$ , there exists no exploration protocol (even probabilistic) of an  $n$ -size ring with 3 robots.*

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*For every  $n > 4$ , there exists no exploration protocol (even probabilistic) of an  $n$ -size ring with 3 robots.*

Proof :

$$\left\lfloor \frac{n}{2} \right\rfloor \geq n - k + 1 \Rightarrow n \leq 4$$

# Contribution

Theorem.

**4 probabilistic** robots are necessary and sufficient, provided that  $n > 4$

- The theorem holds even if  $k$  divides  $n$ .
- 1. Exploration impossible with less than 4 robots
- 2. Give an algorithm working with 4 probabilistic robots



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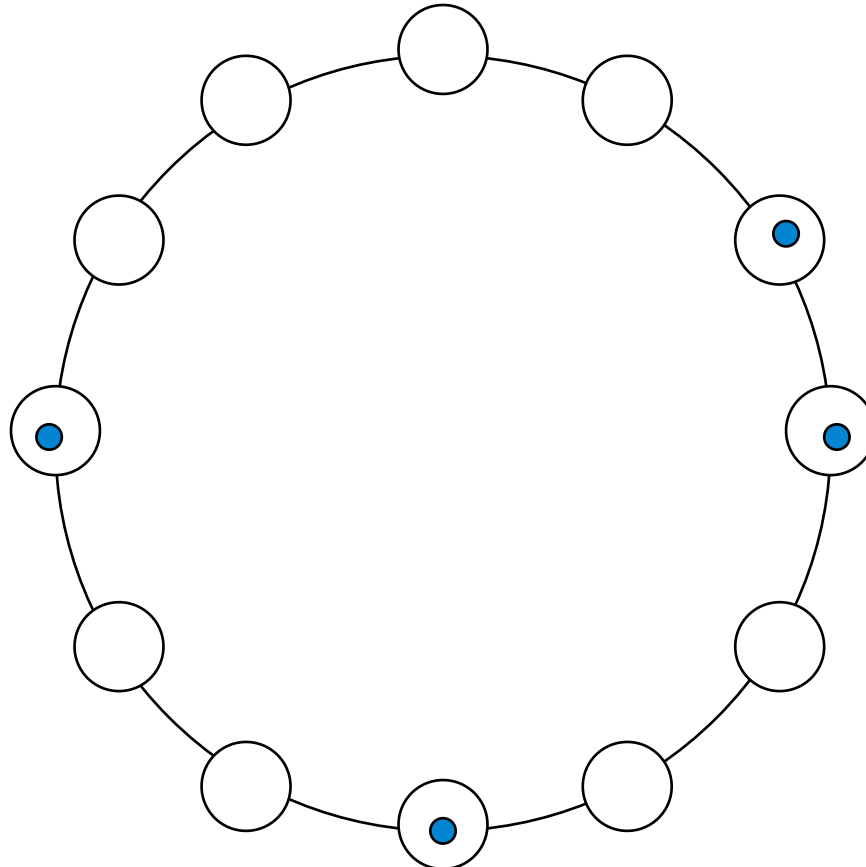
Segment.

*A maximal non-empty elementary path of occupied nodes.*

# Definitions

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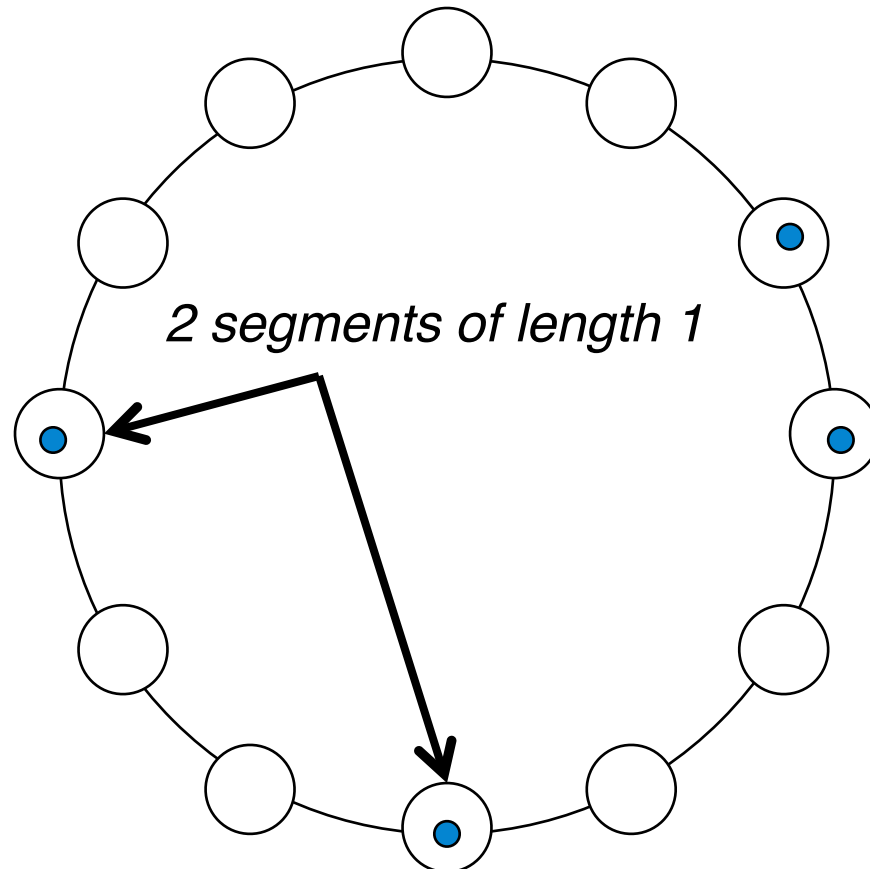
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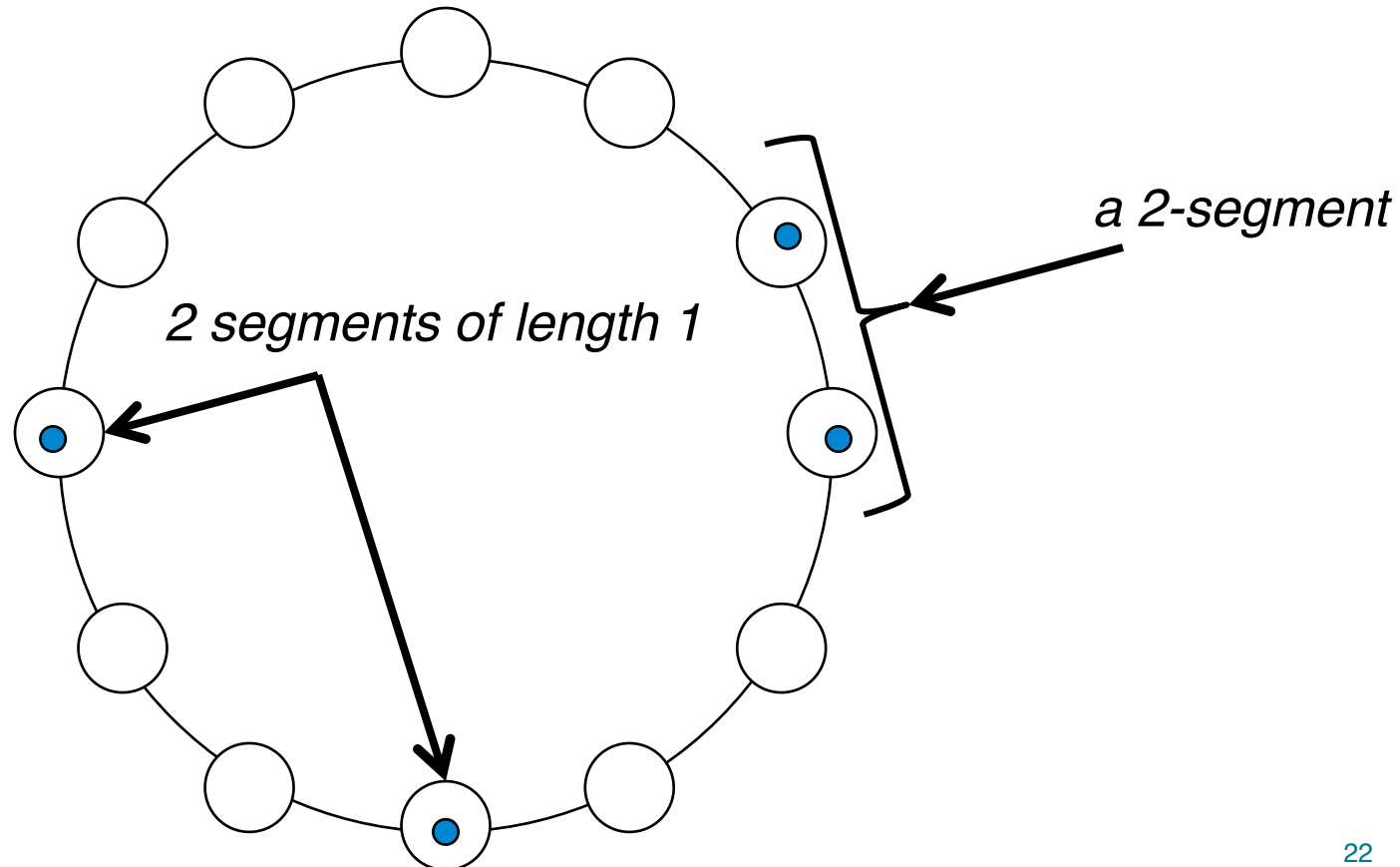
*A maximal non-empty elementary path of occupied nodes.*



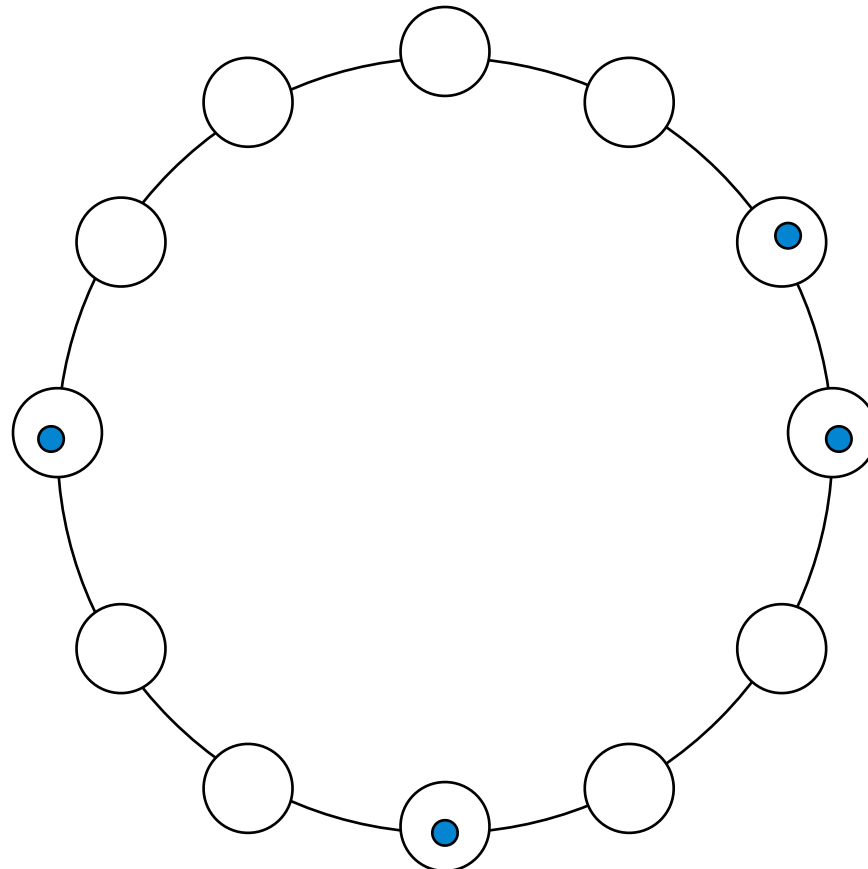
# Definitions

## Segment.

*A maximal non-empty elementary path of occupied nodes.*



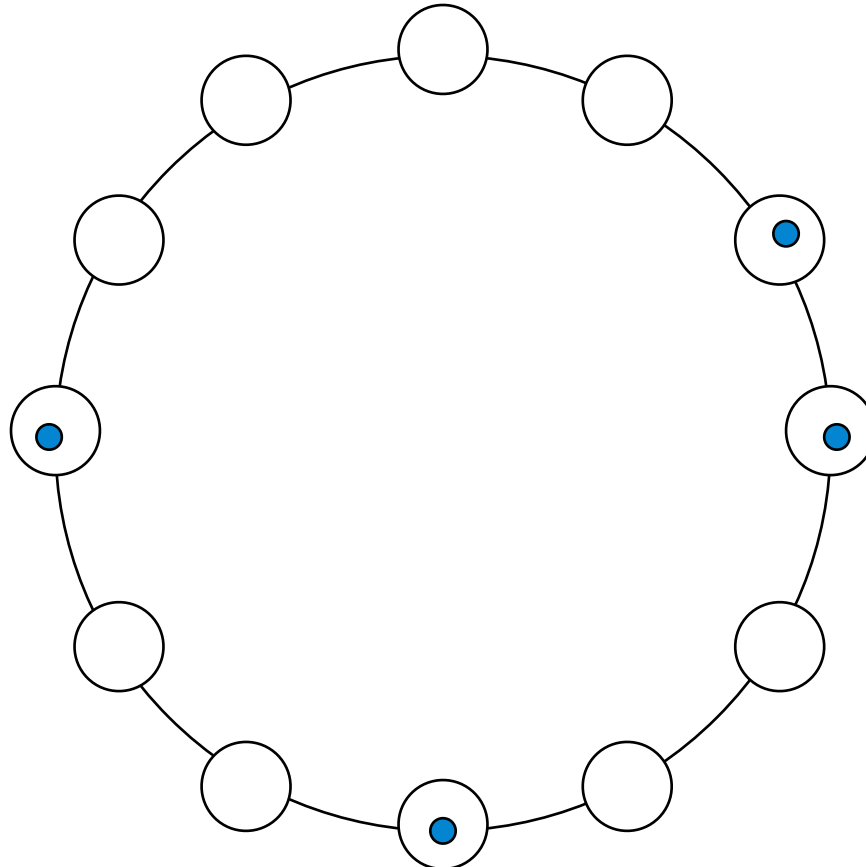
# Definitions



# Definitions

Hole.

*A maximal non-empty elementary path of free nodes.*

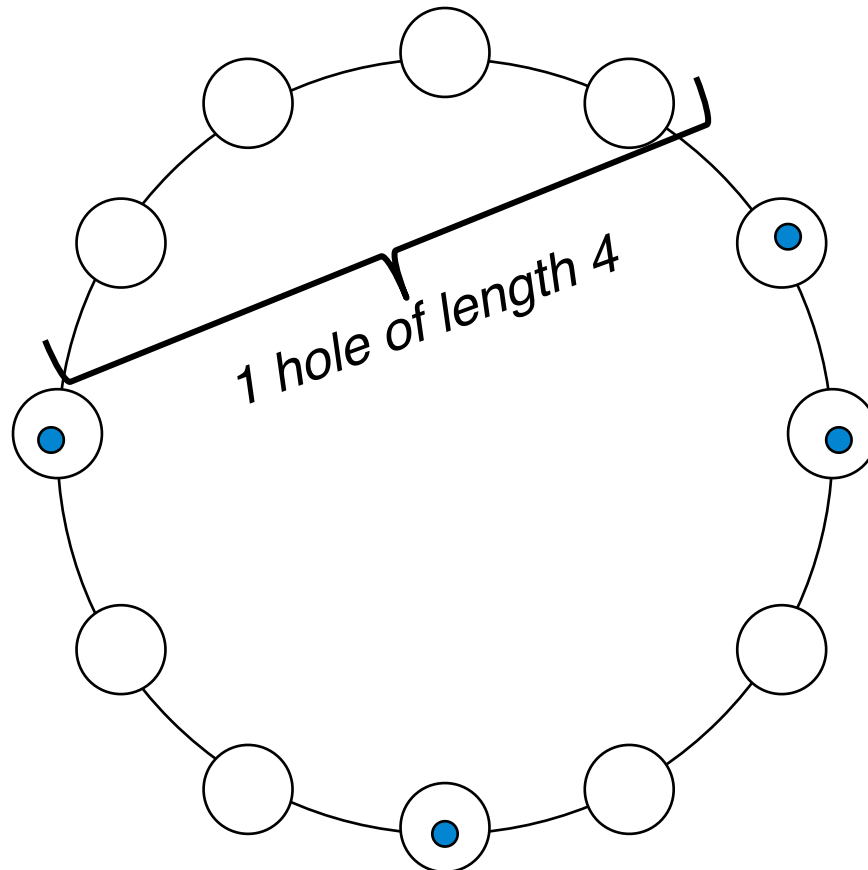




# Definitions

Hole.

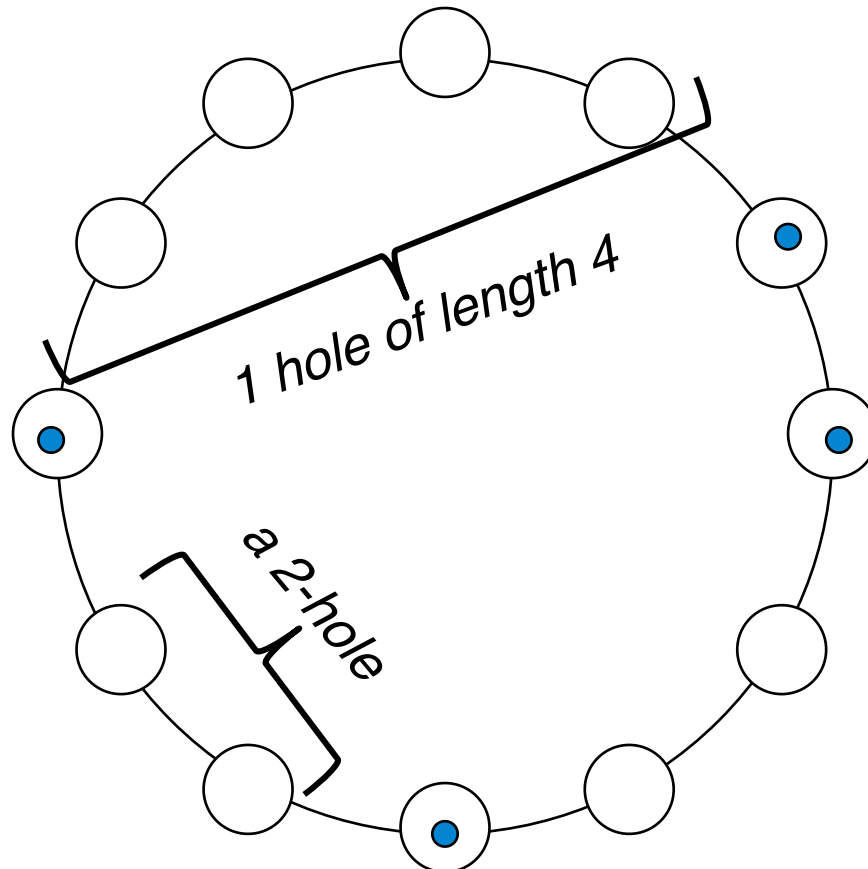
*A maximal non-empty elementary path of free nodes.*



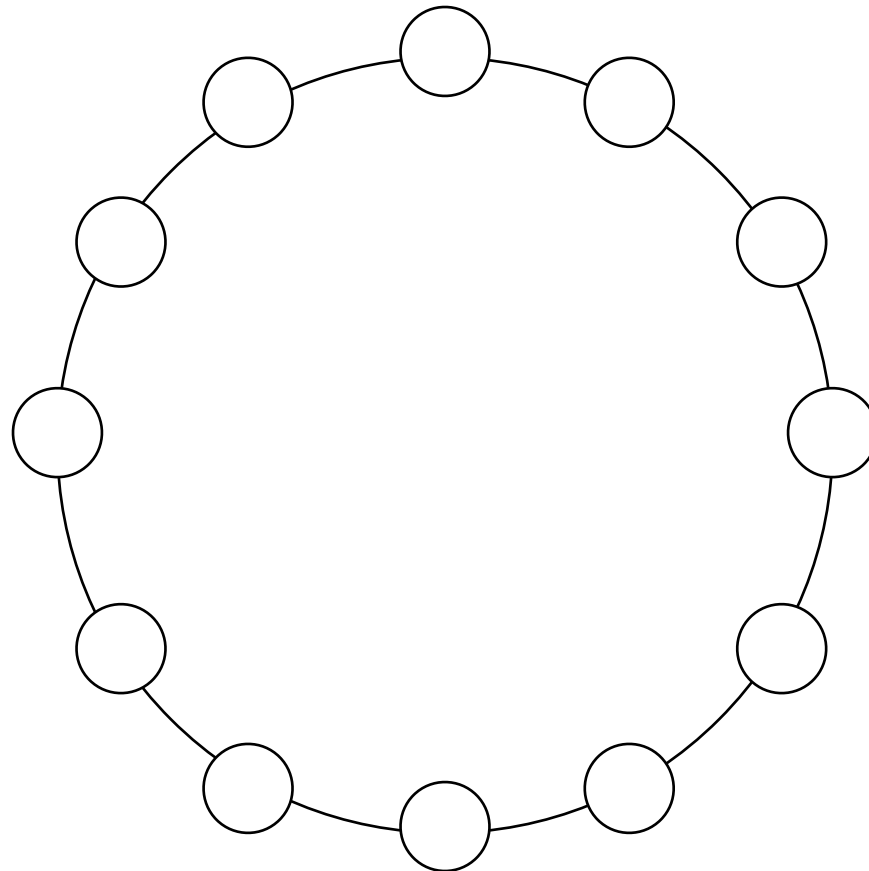
# Definitions

## Hole.

*A maximal non-empty elementary path of free nodes.*

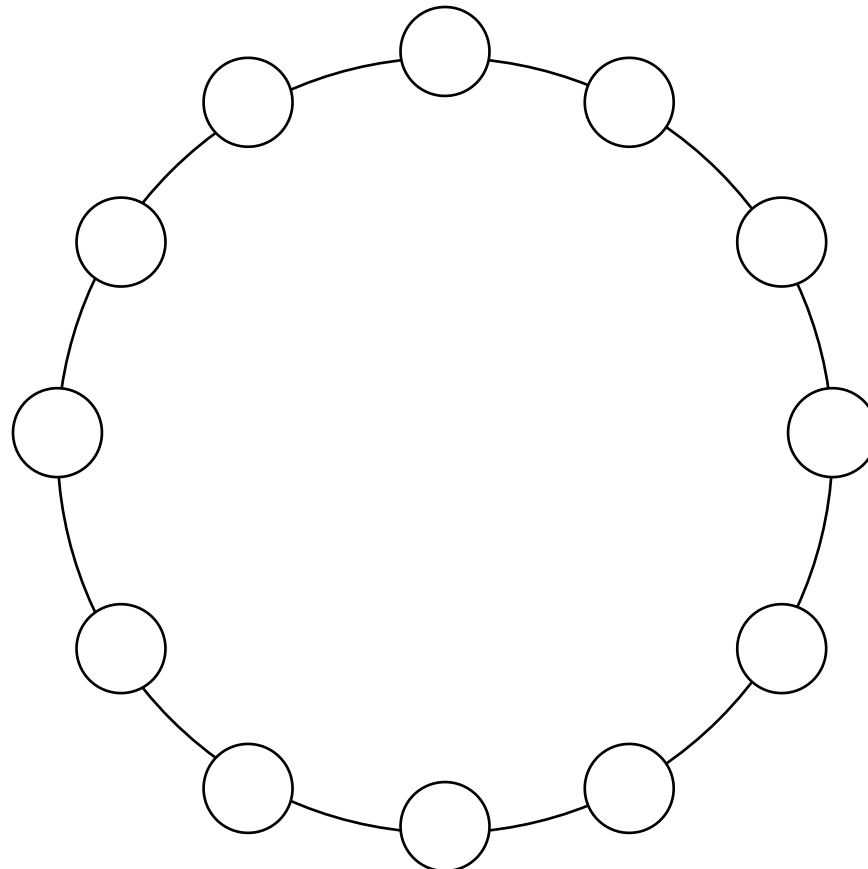


# Definitions



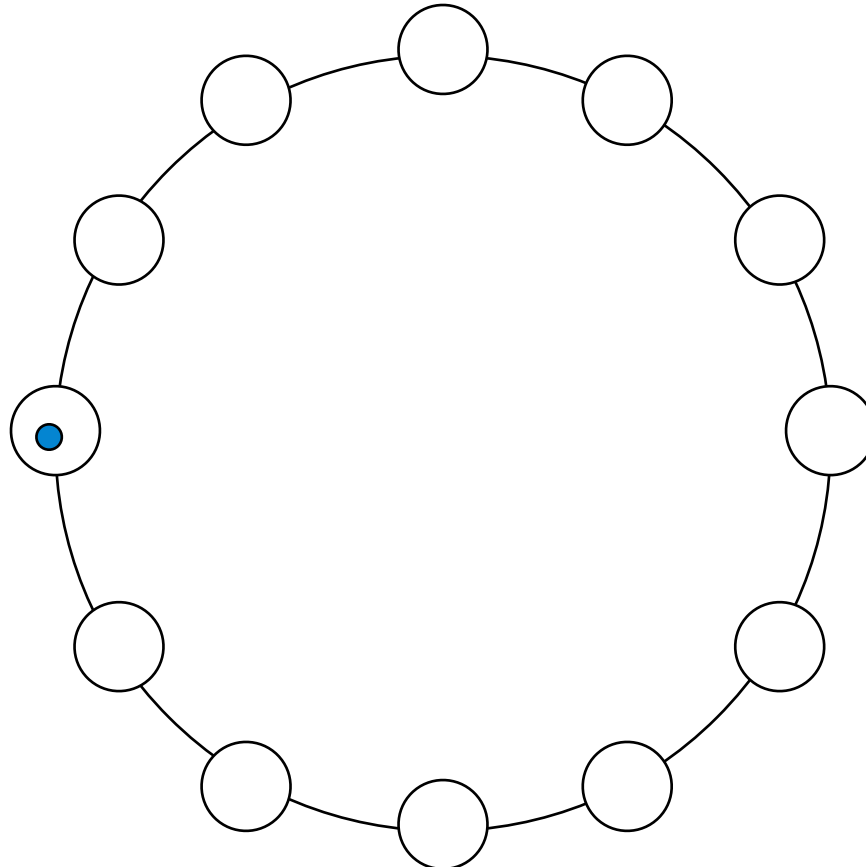
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



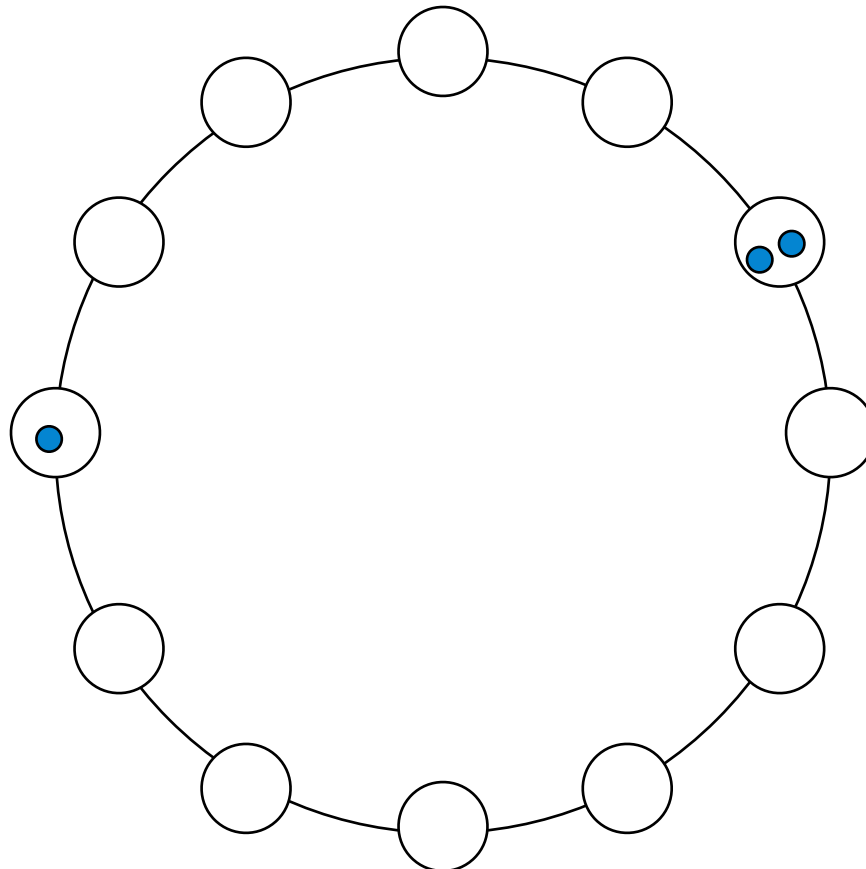
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



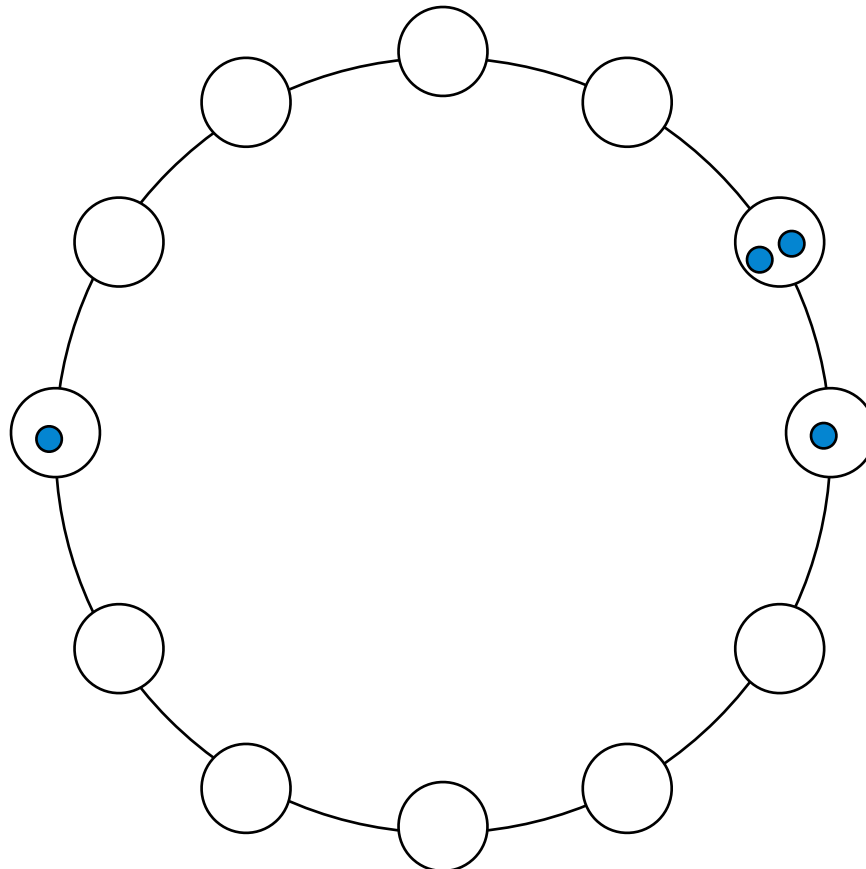
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



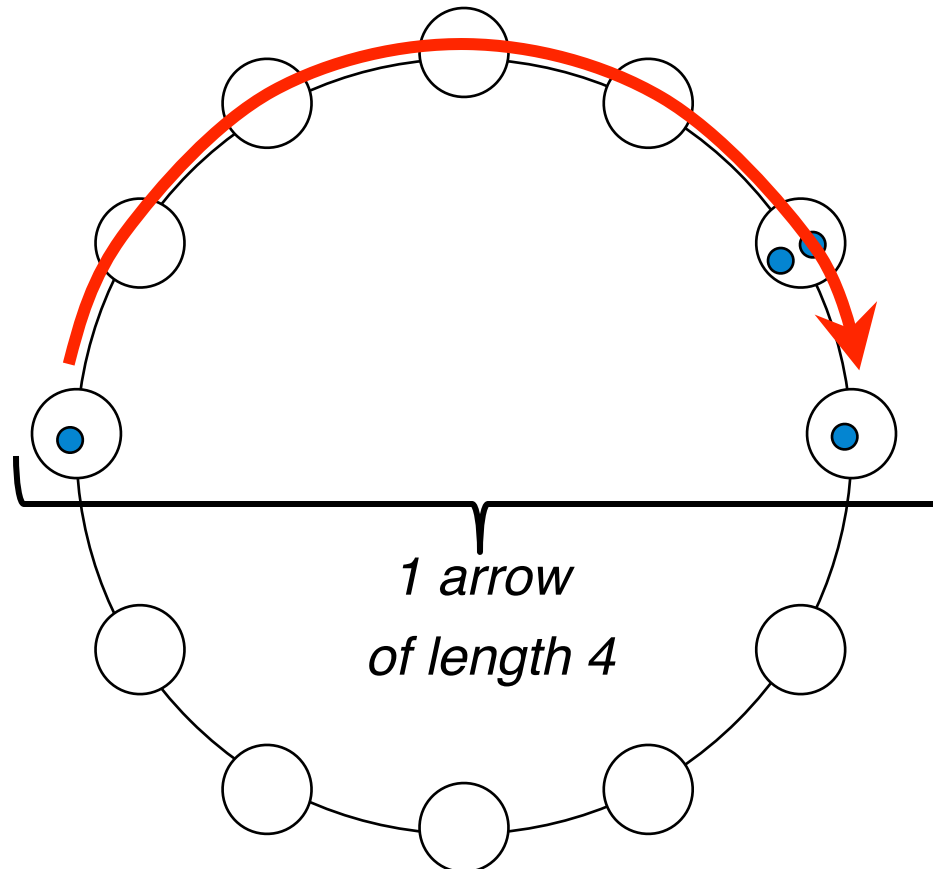
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



# Definitions

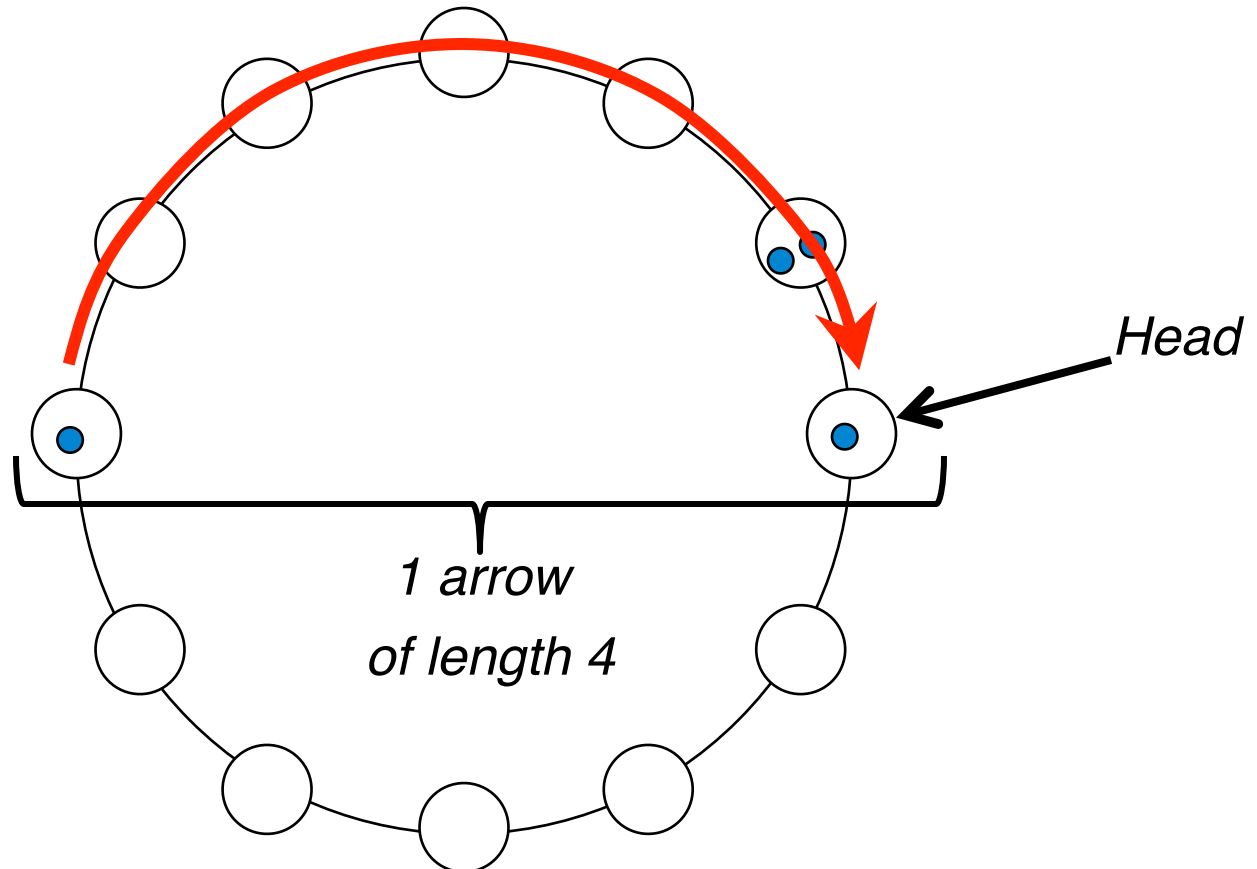
Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.





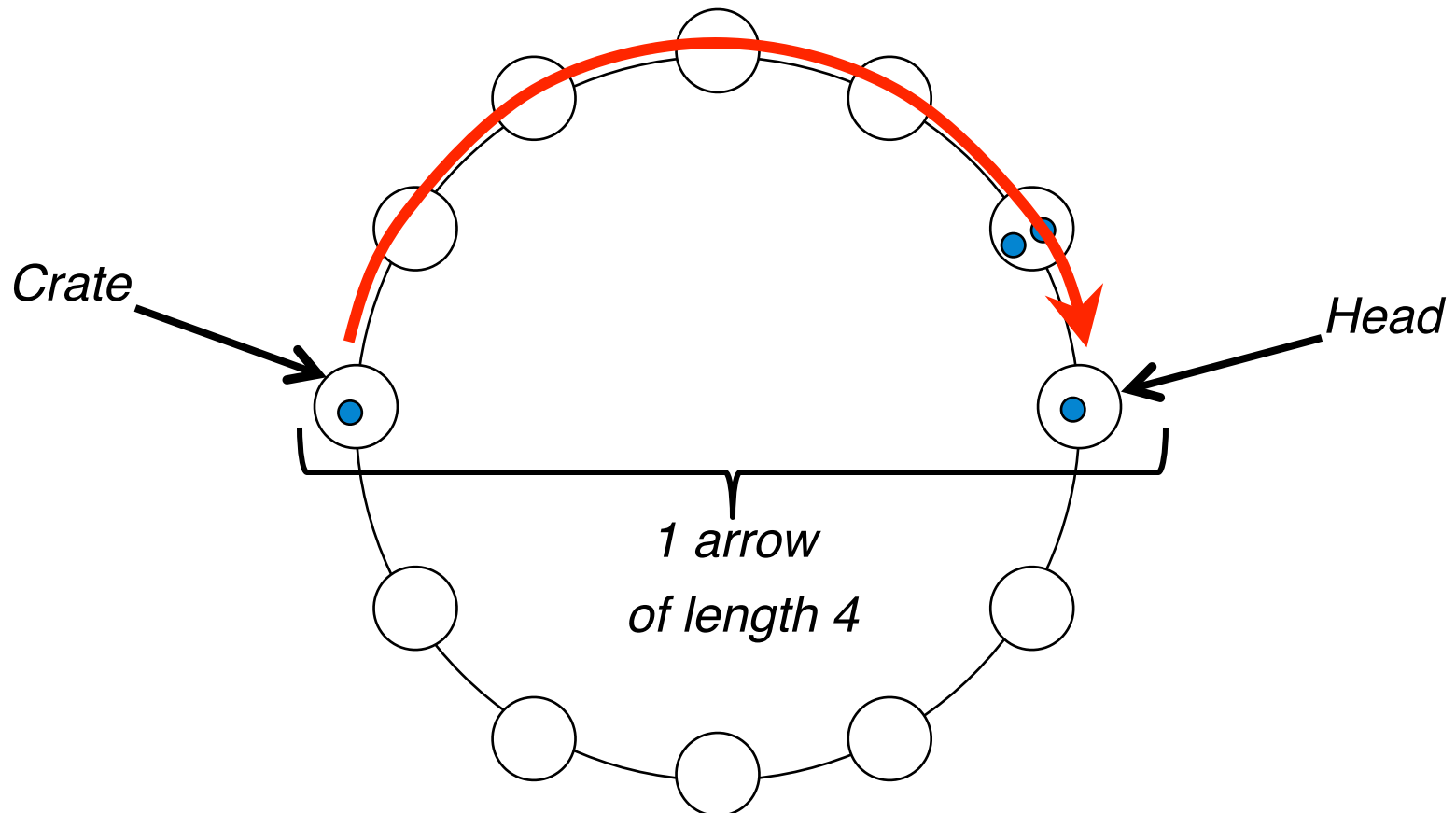
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



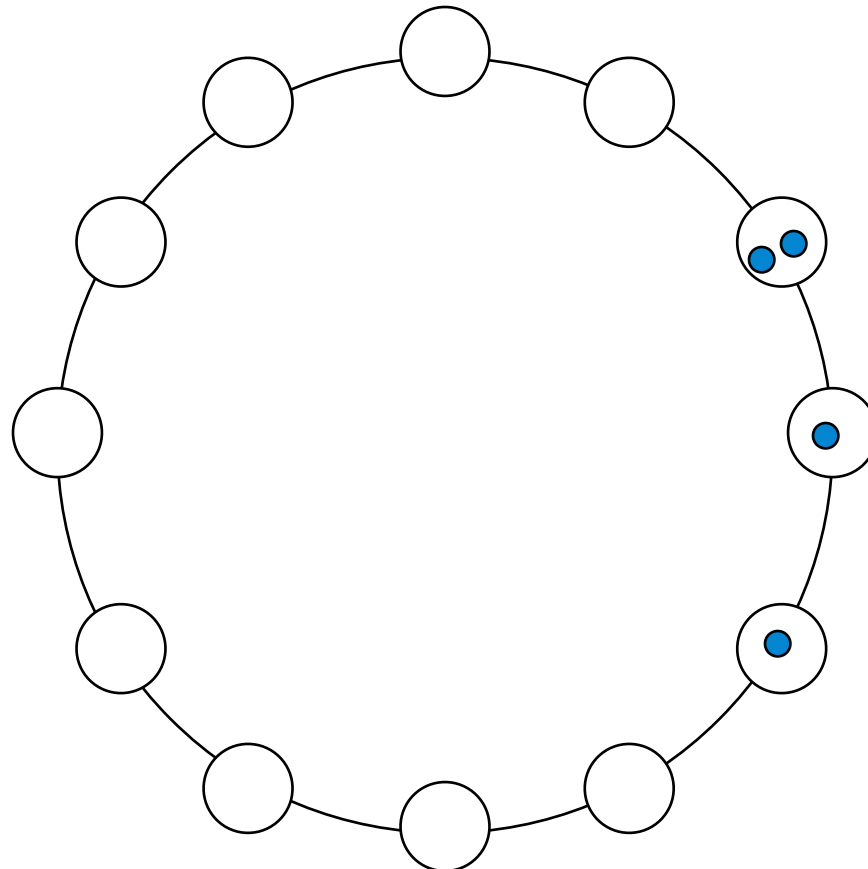
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



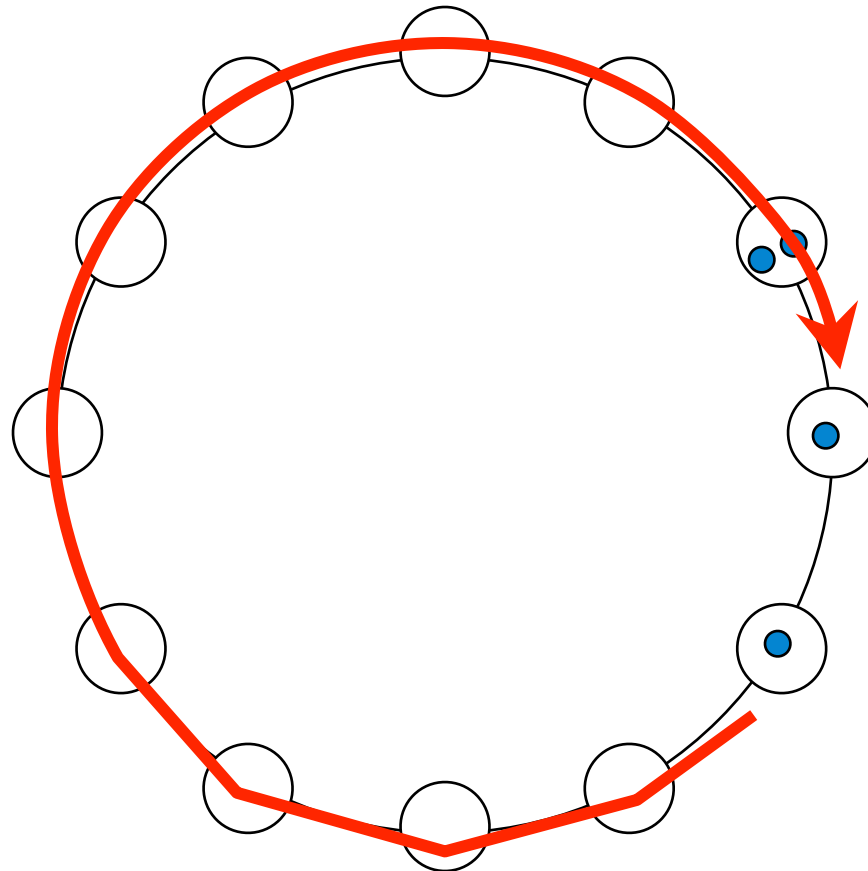
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



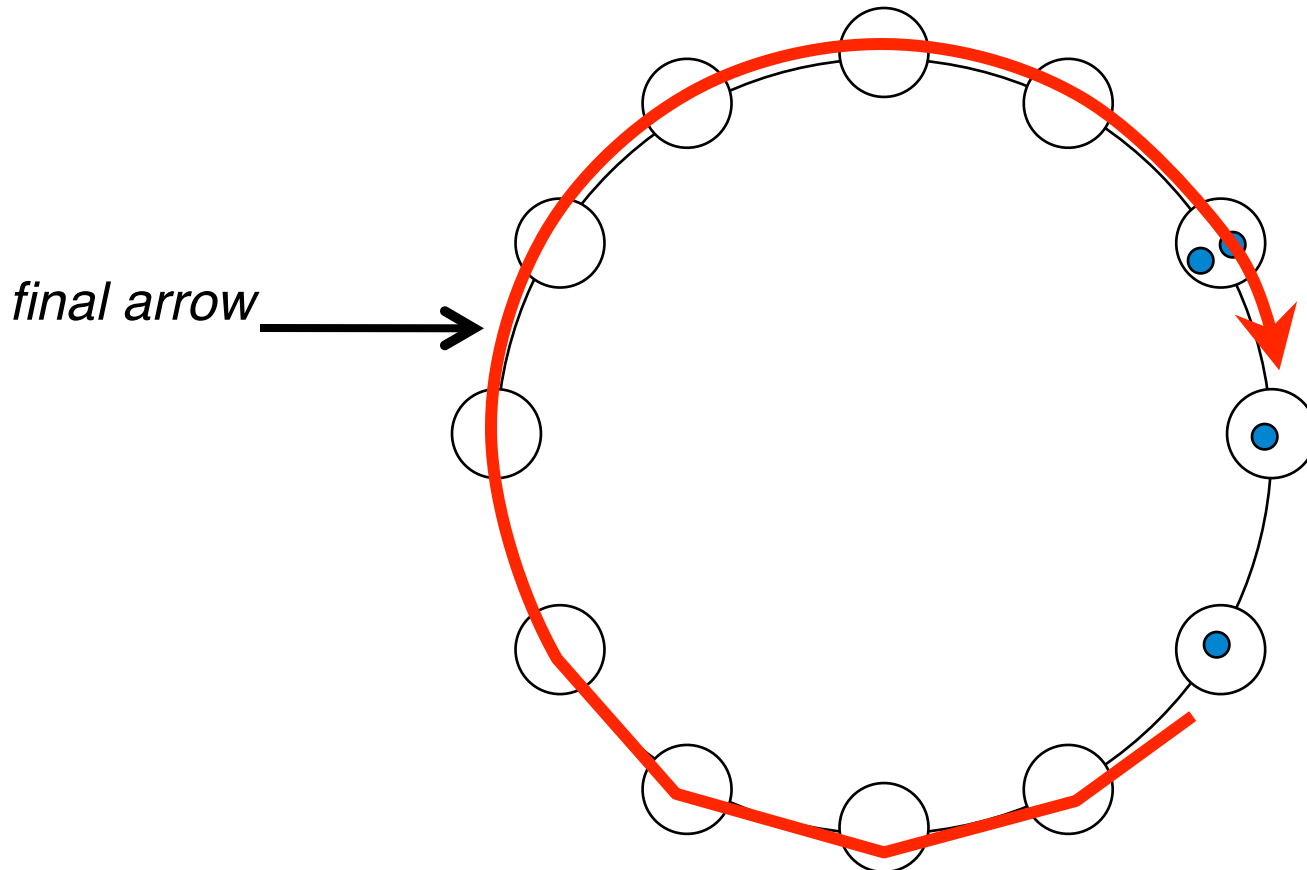
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



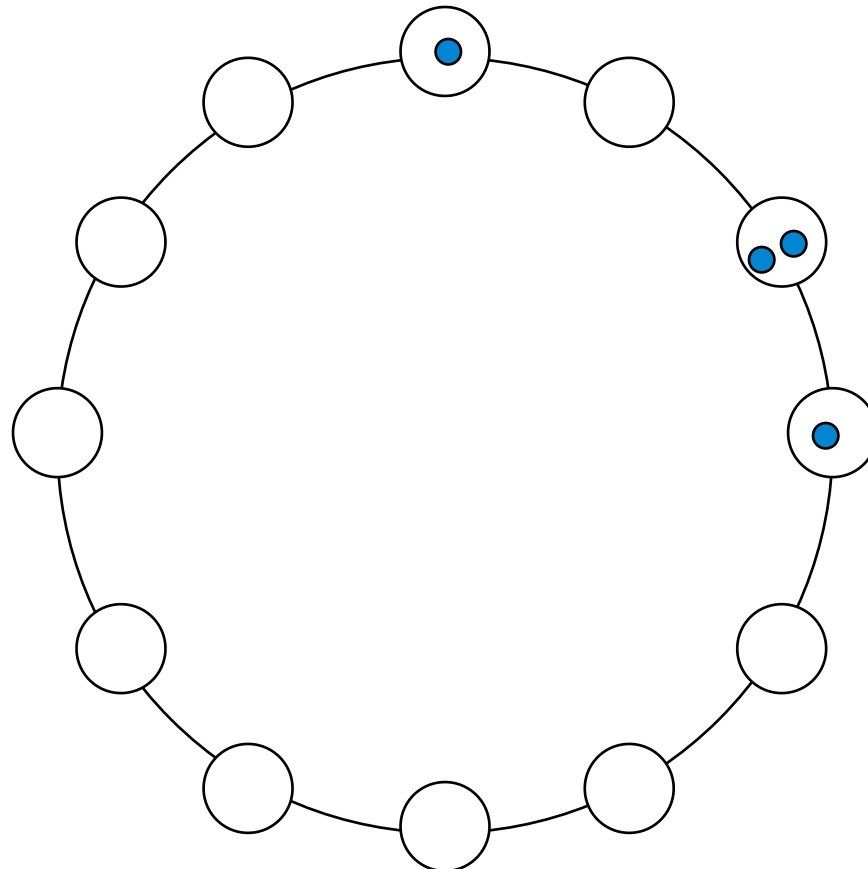
# Definitions

*Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.*



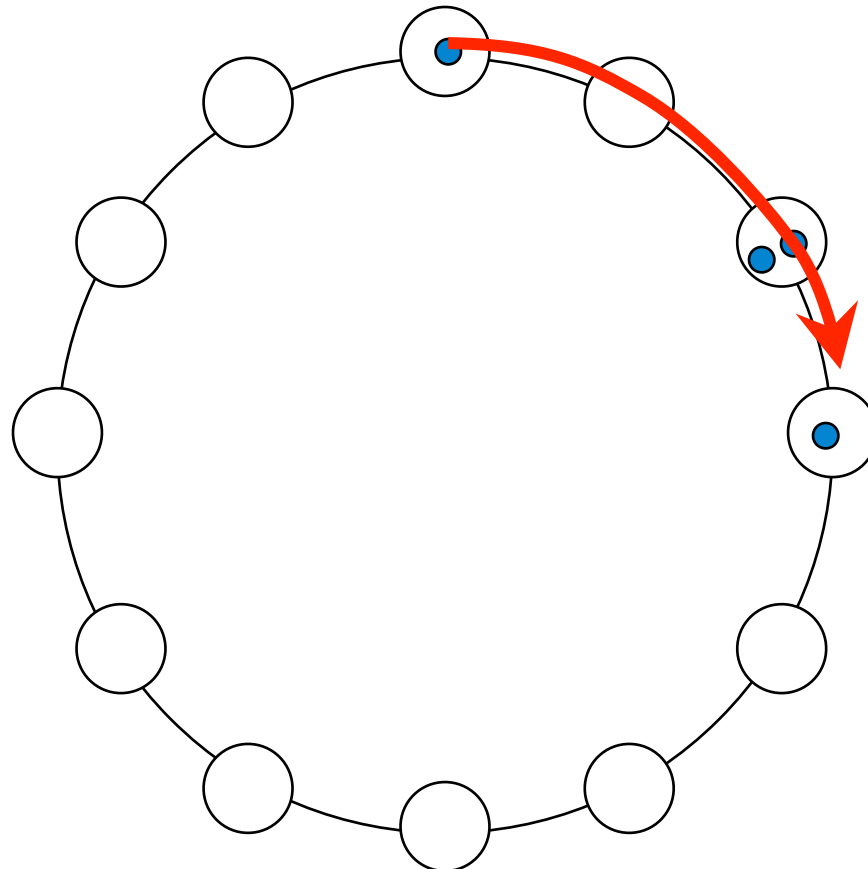
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



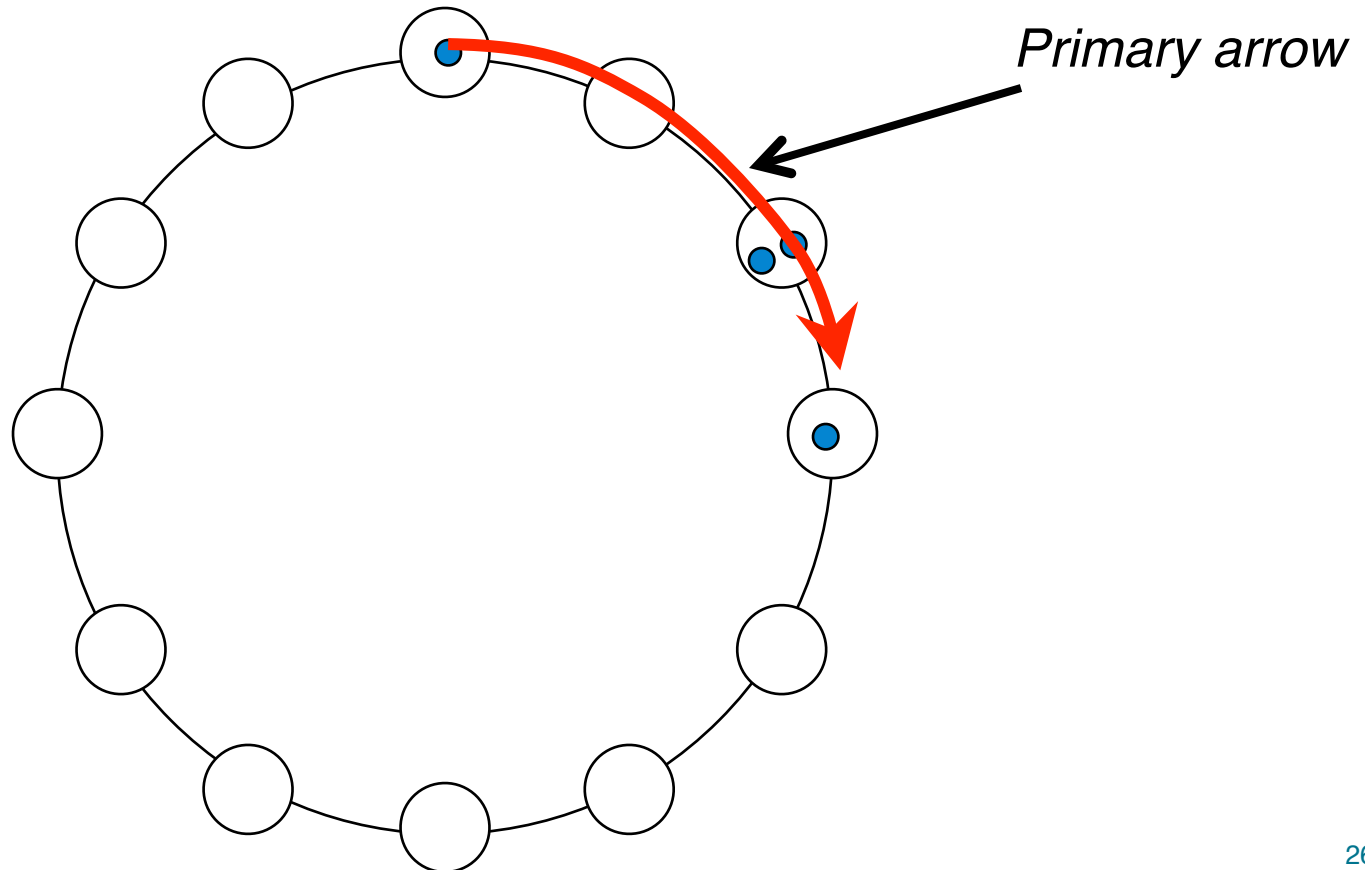
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.





# [ Probabilistic Algorithm ]

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# [ Probabilistic Algorithm ]

- *Initially, there is no tower*

# [ Probabilistic Algorithm ]

- *Initially, there is no tower*

# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment

# Probabilistic Algorithm

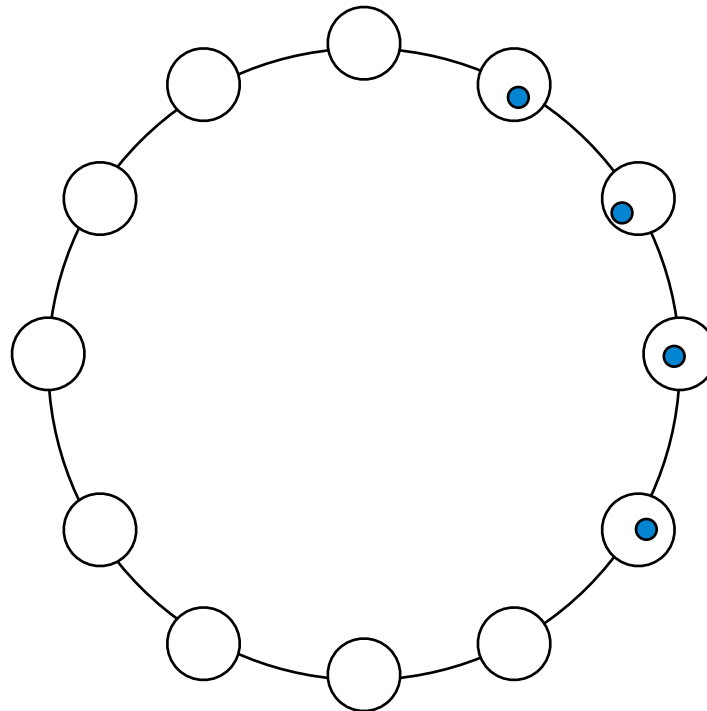
- *Initially, there is no tower*
  1. Converge toward a 4-segment
  2. Build a tower

# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

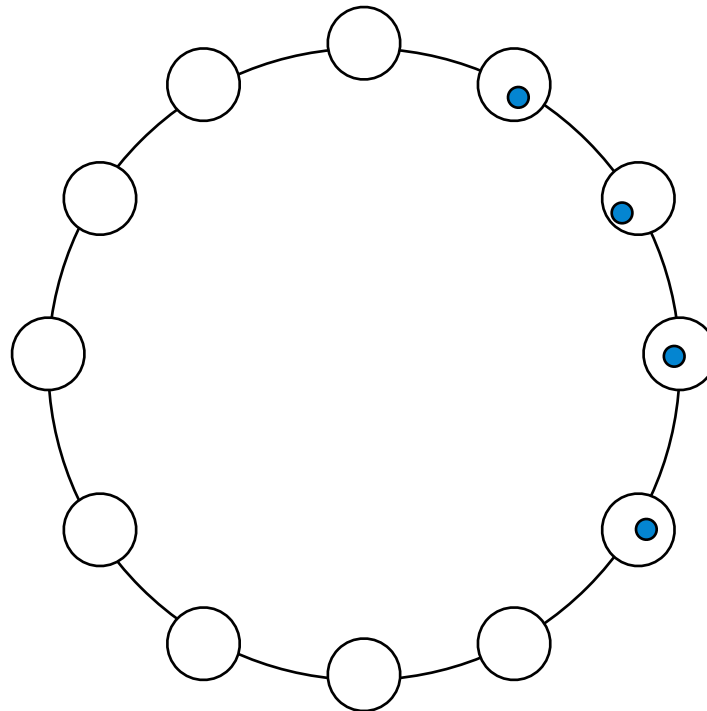
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

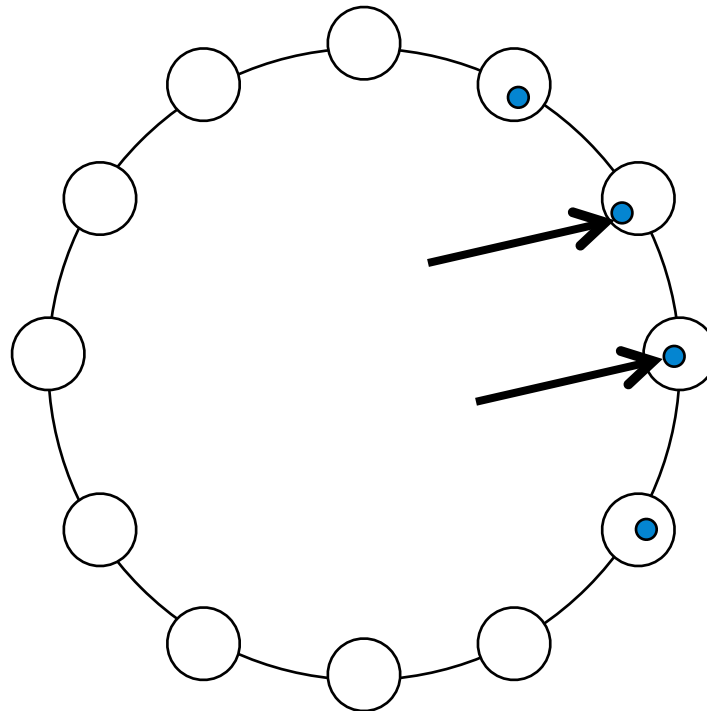




# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

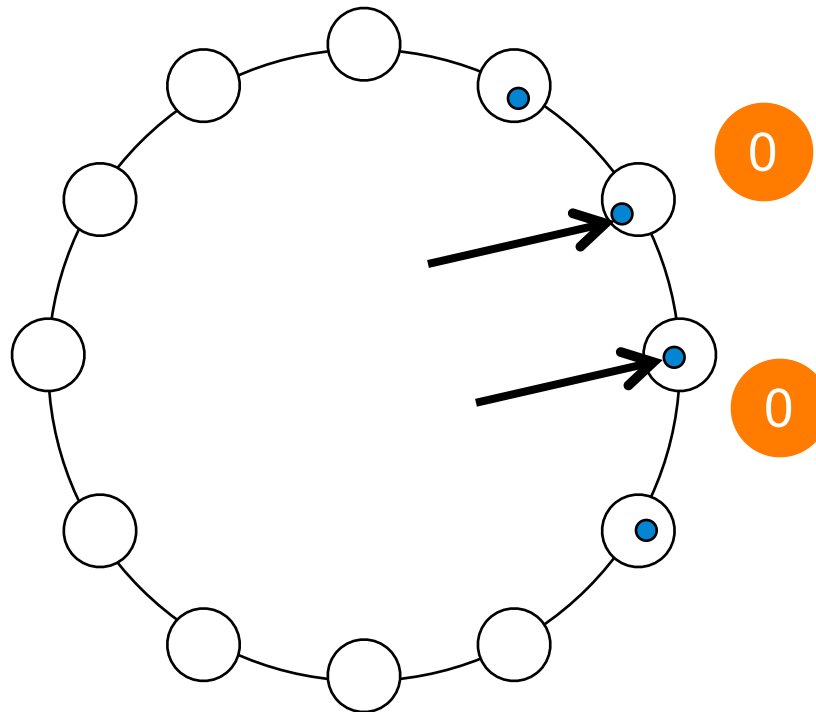
If I am an *internal* node, then I *try* to move on the other internal node.



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

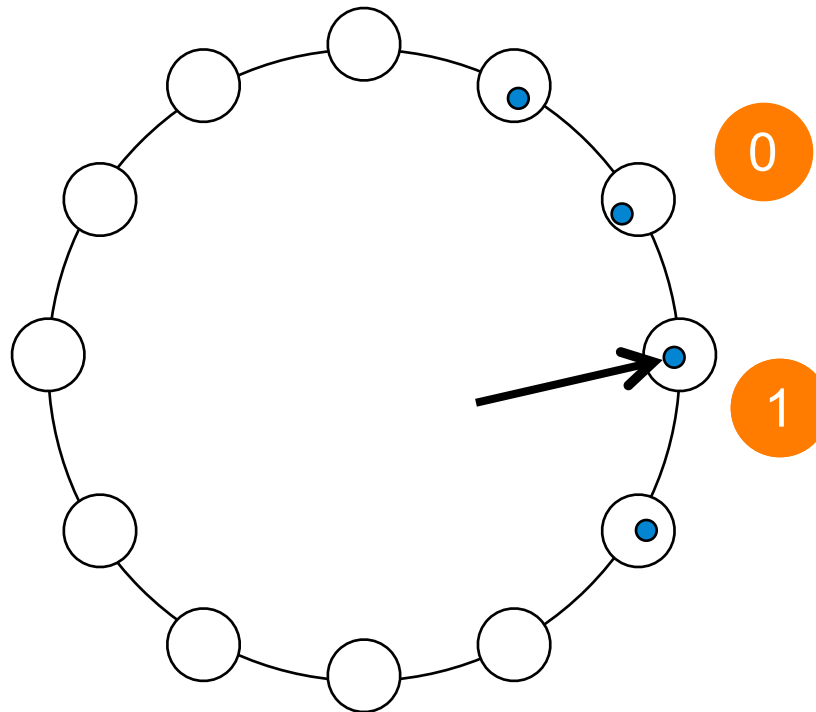
If I am an *internal* node, then I *try* to move on the other internal node.



# Probabilistic Algorithm

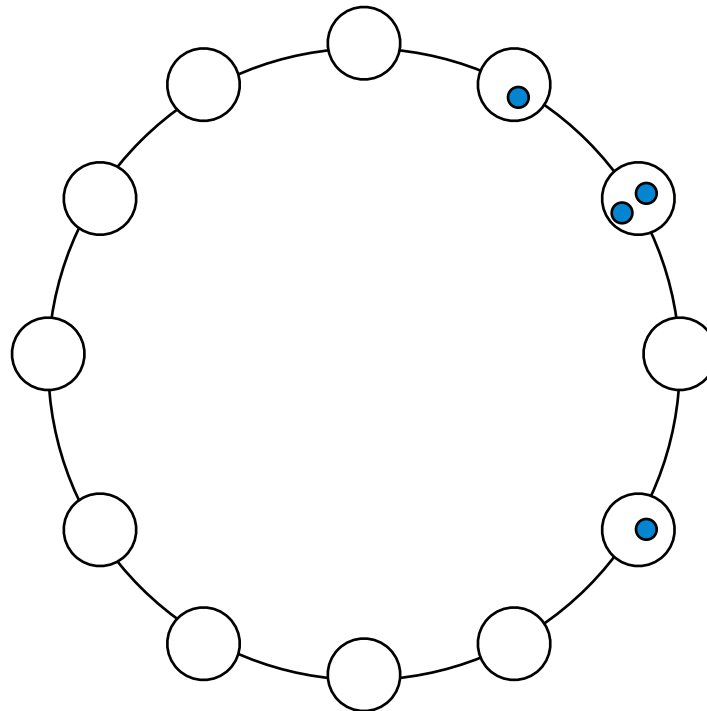
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

If I am an *internal* node, then I *try* to move on the other internal node.



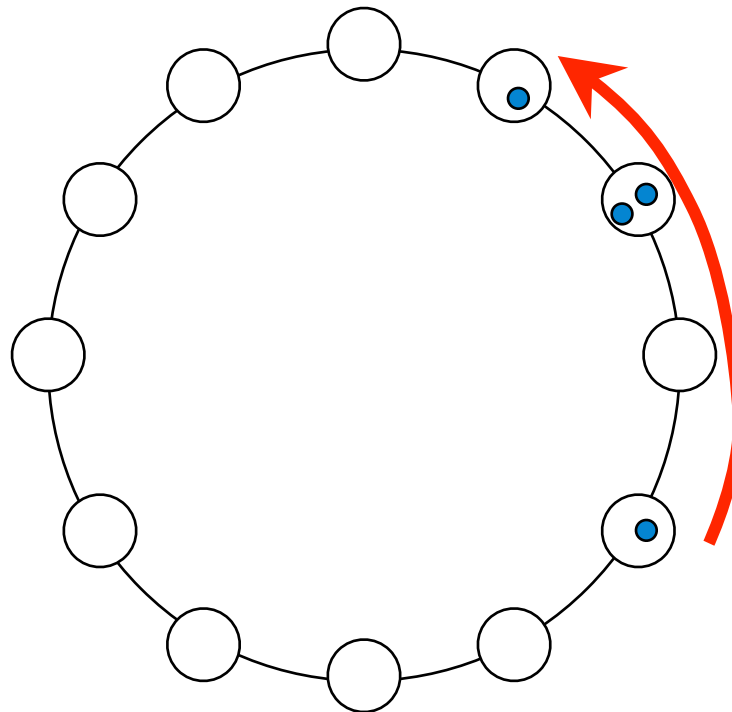
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate



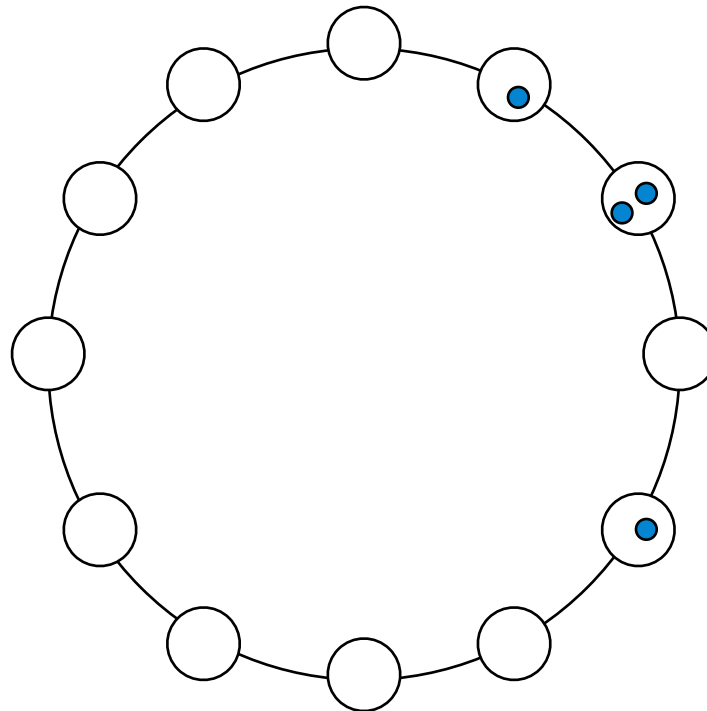
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



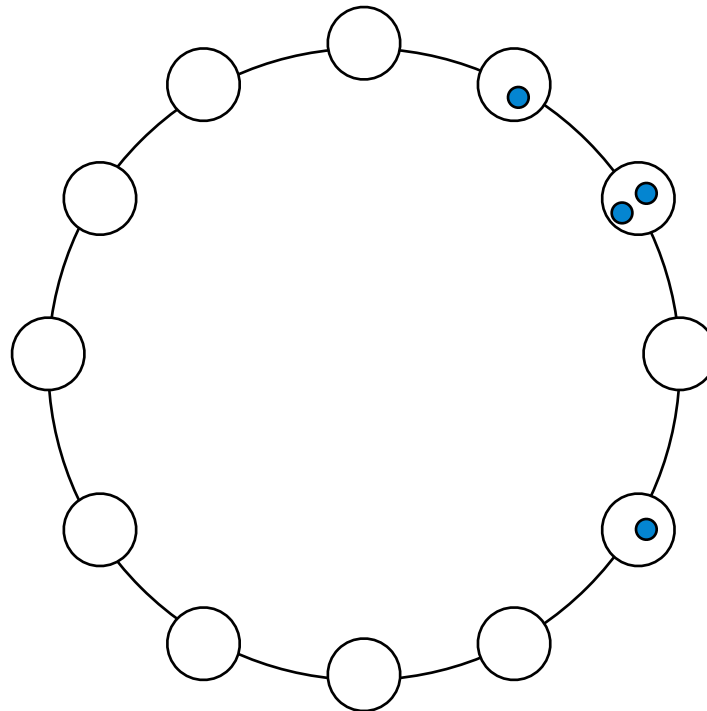
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



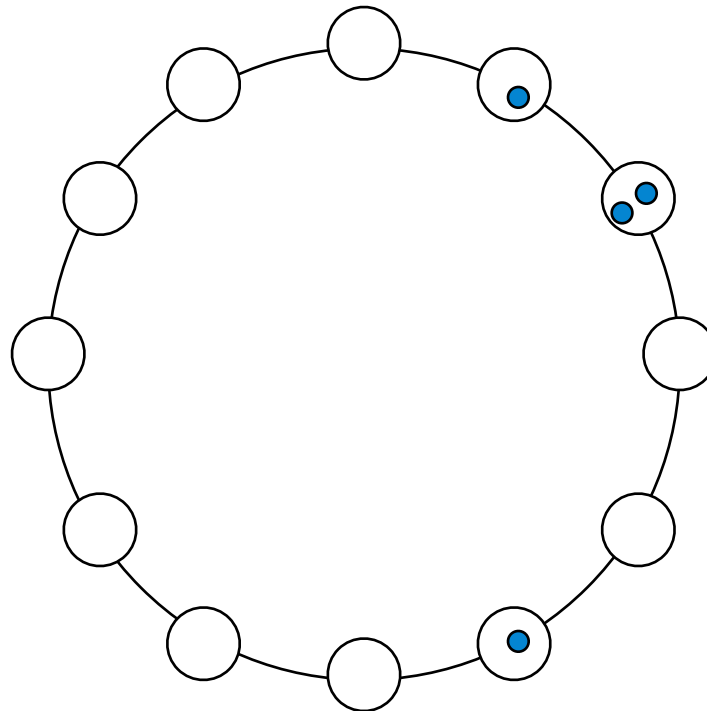
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



# Probabilistic Algorithm

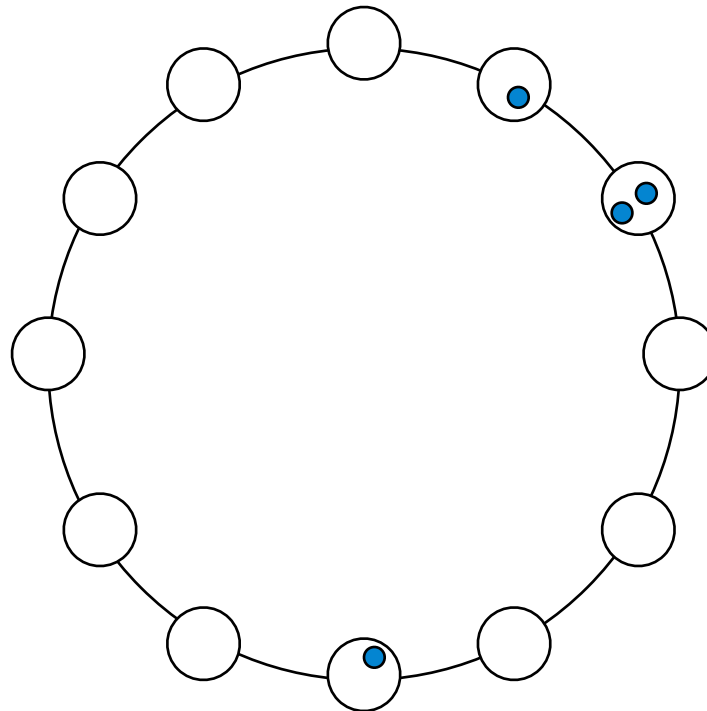
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate





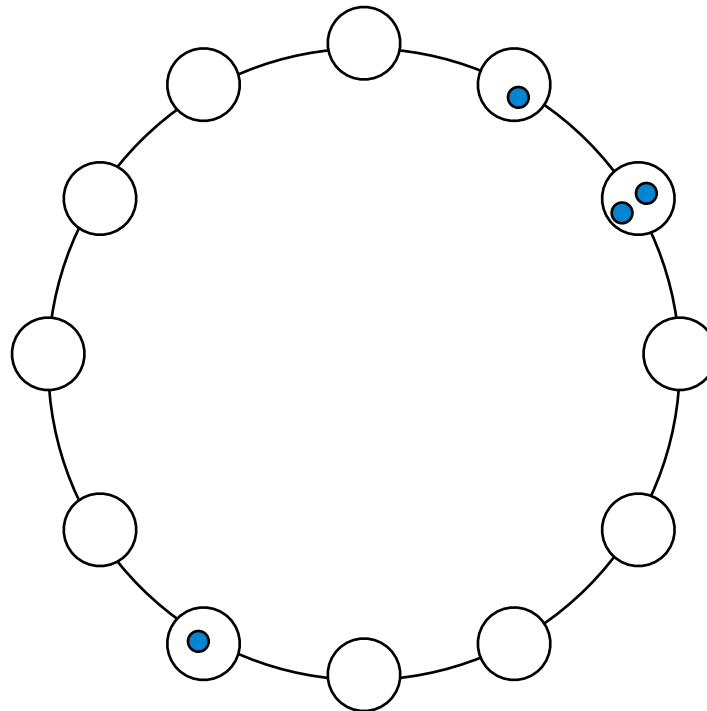
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



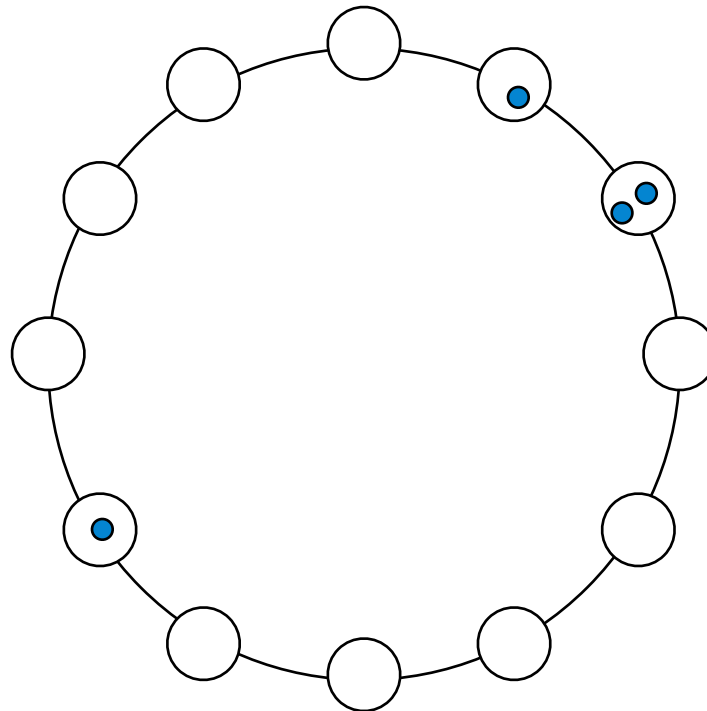
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



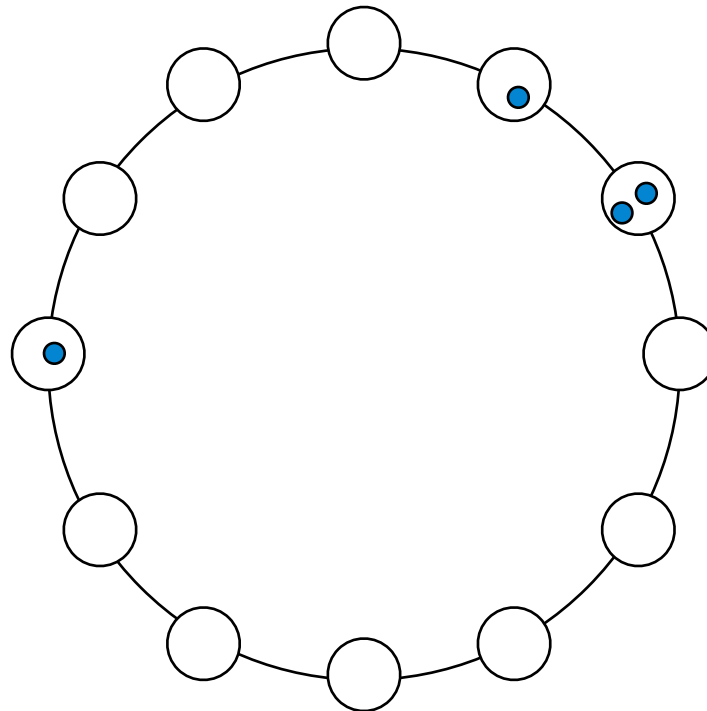
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



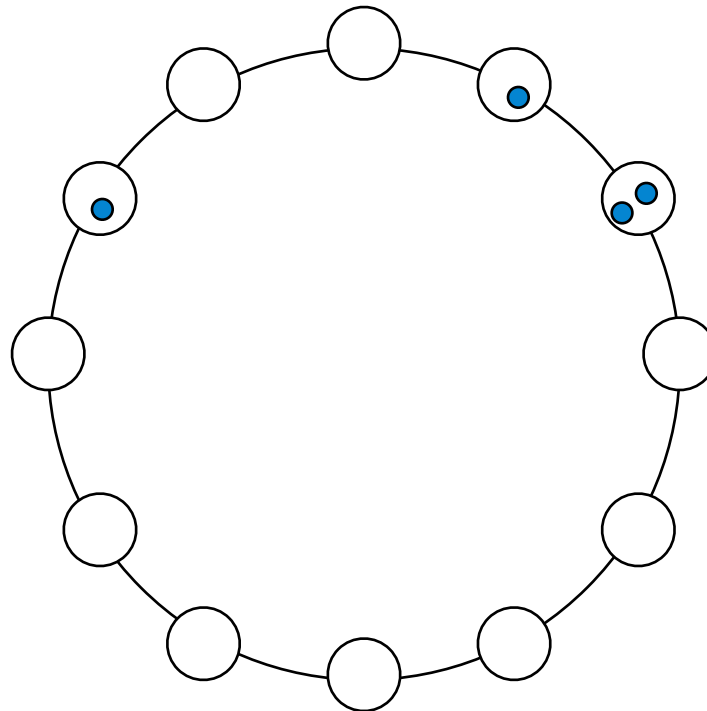
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



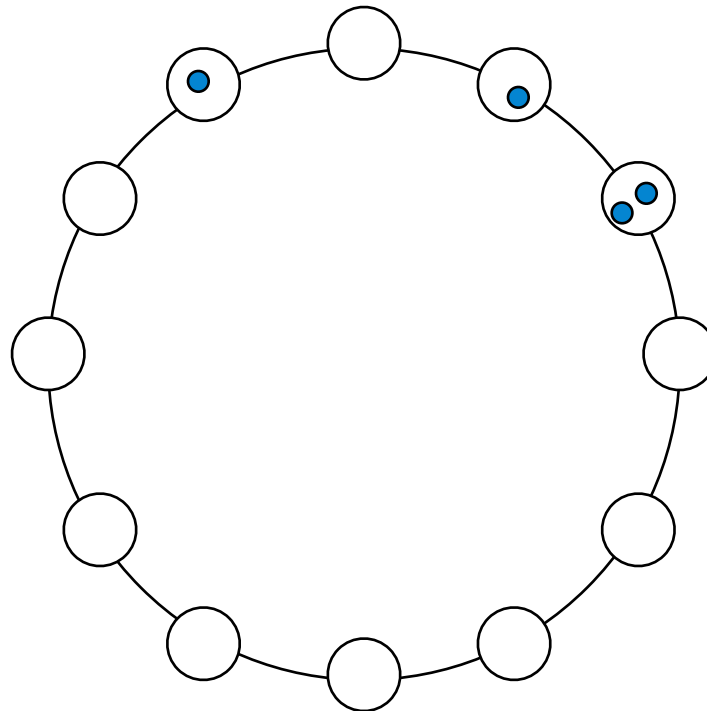
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



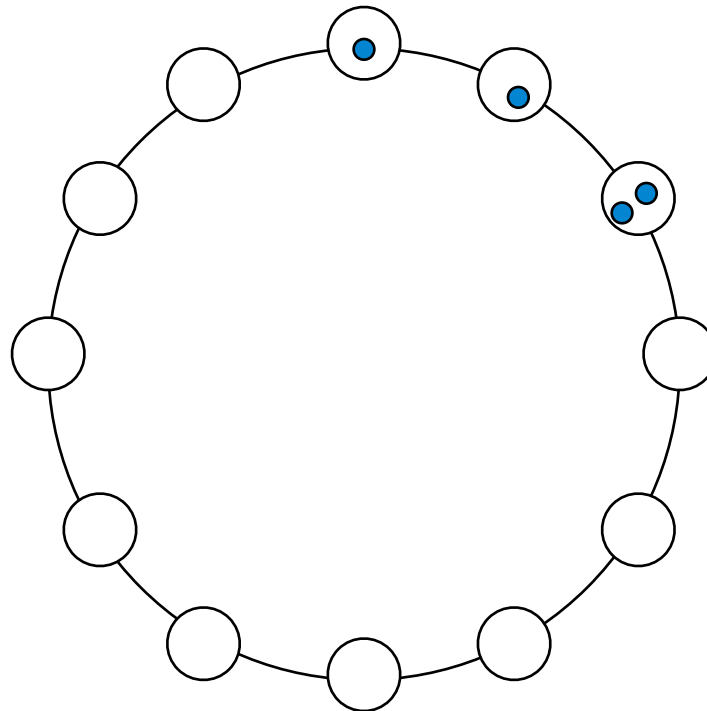
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



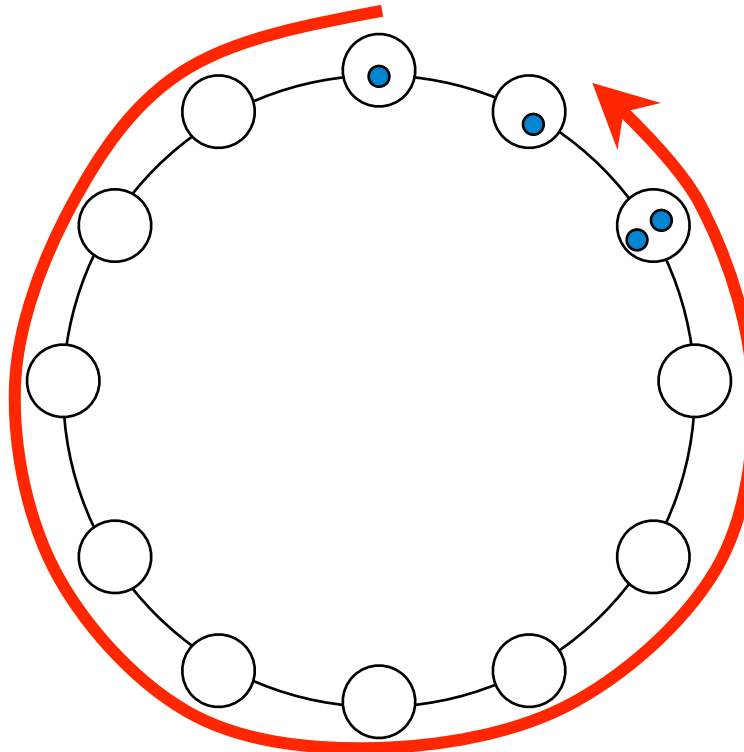
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate



# Probabilistic Algorithm

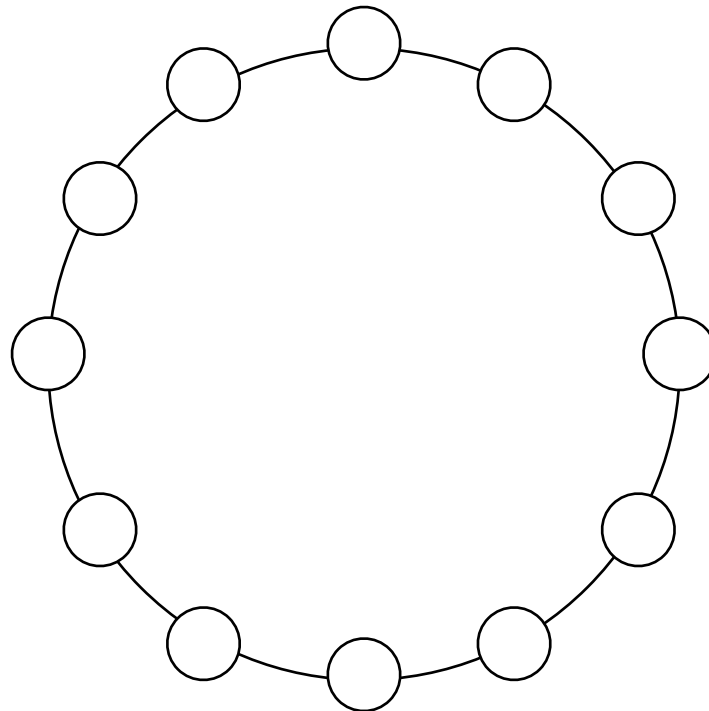
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow





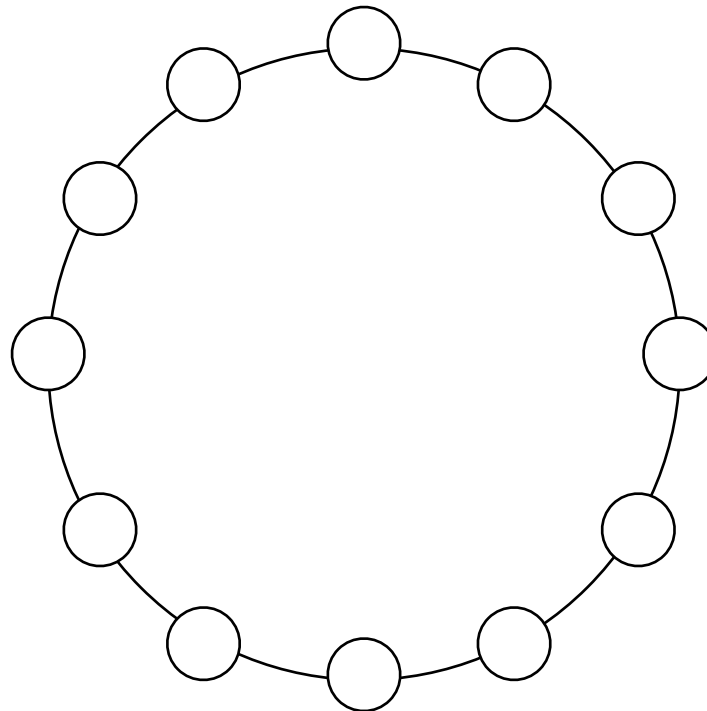
# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow



# Probabilistic Algorithm

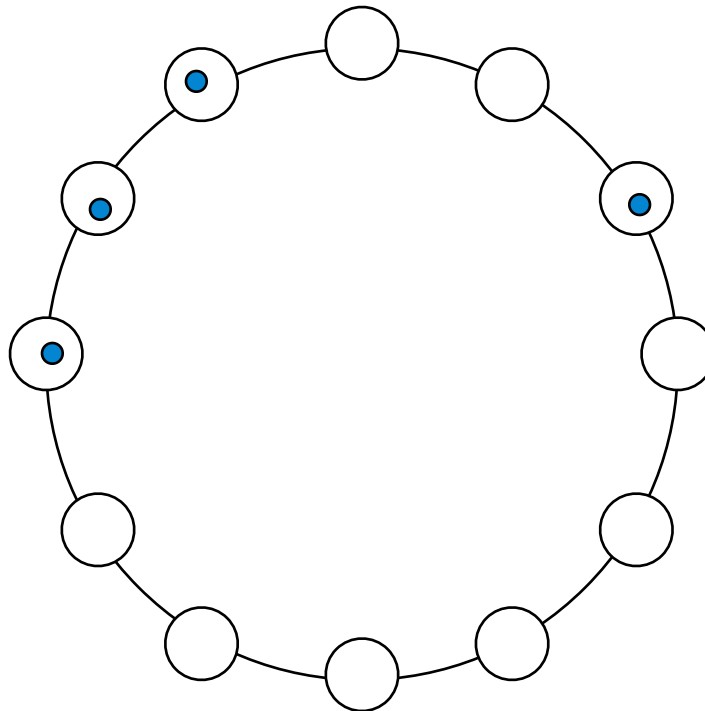
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

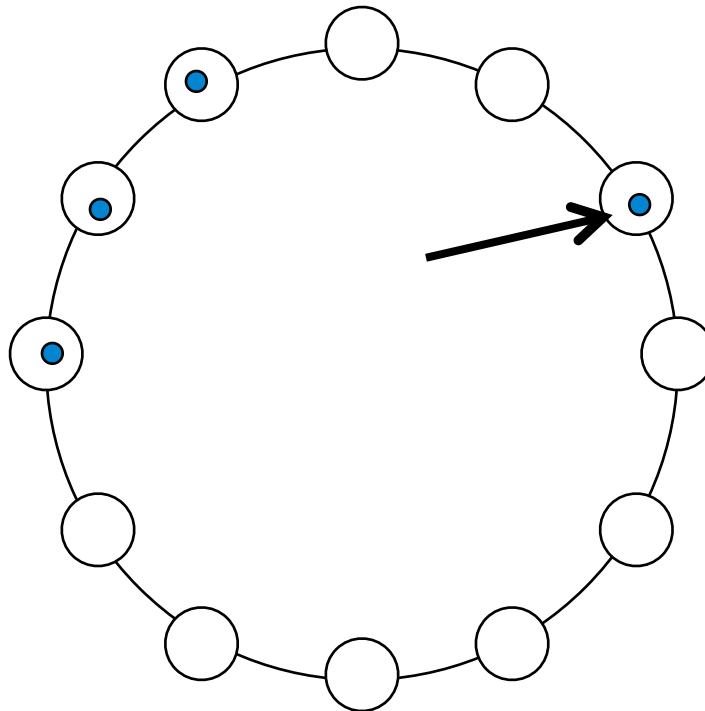
a) 3-segment



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

a) 3-segment

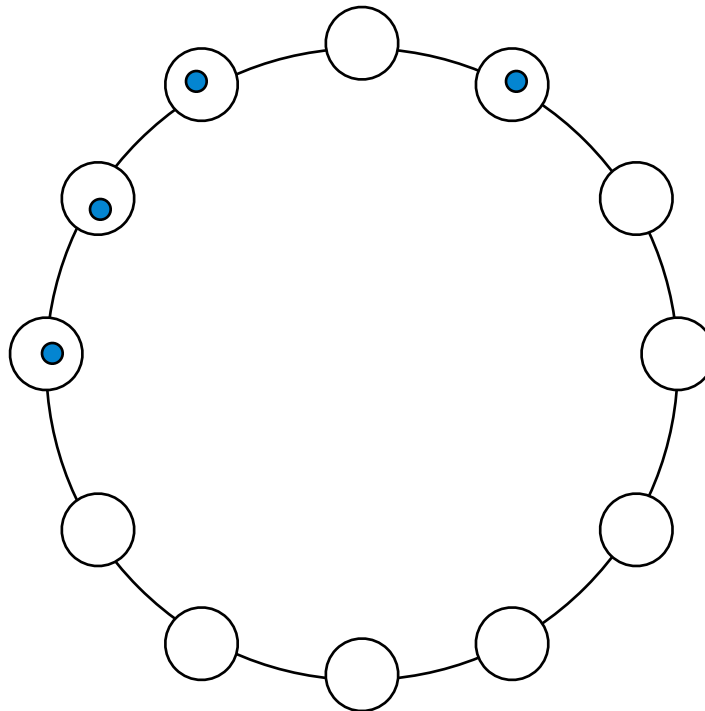


If I am *the isolated* node,  
then I *move* through a  
shortest hole.

# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

a) 3-segment

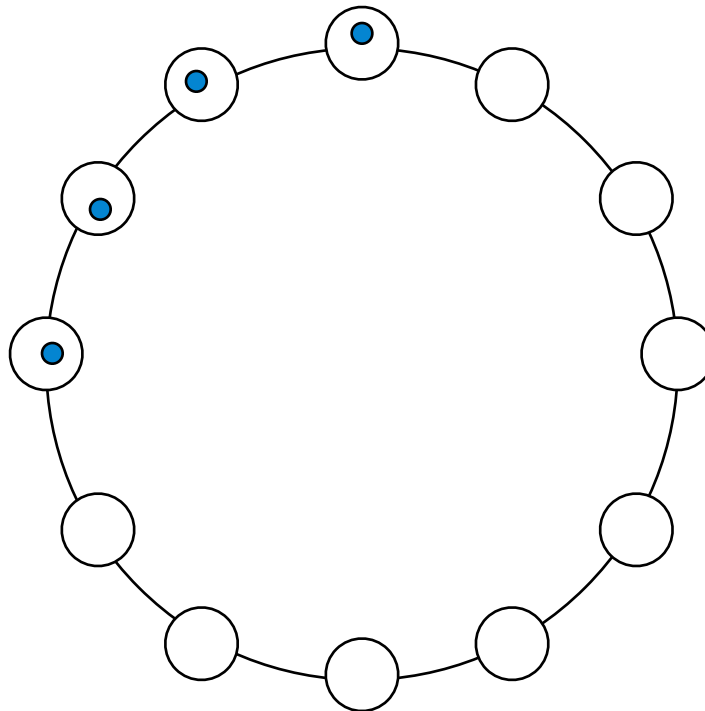


If I am *the isolated node*,  
then I *move* through a  
shortest hole.

# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

a) 3-segment

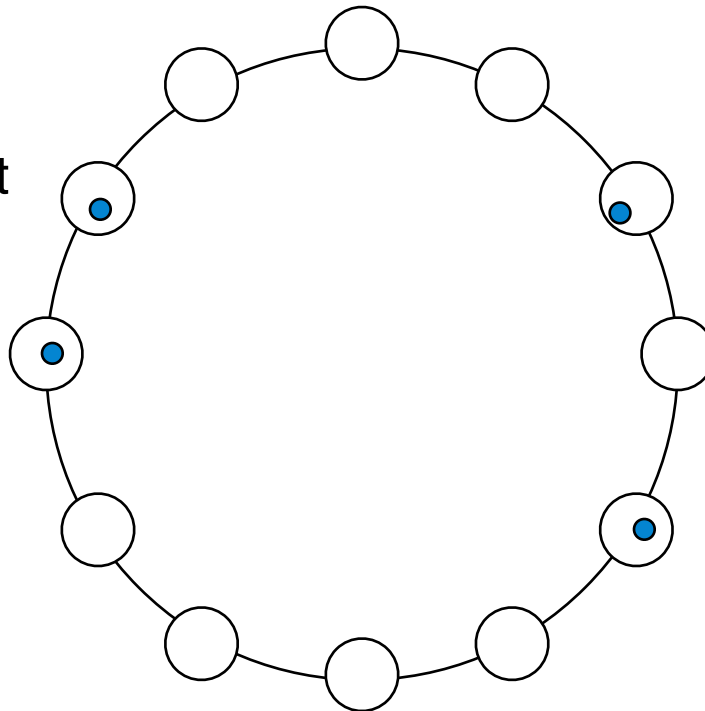


If I am *the isolated* node,  
then I *move* through a  
shortest hole.

# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment

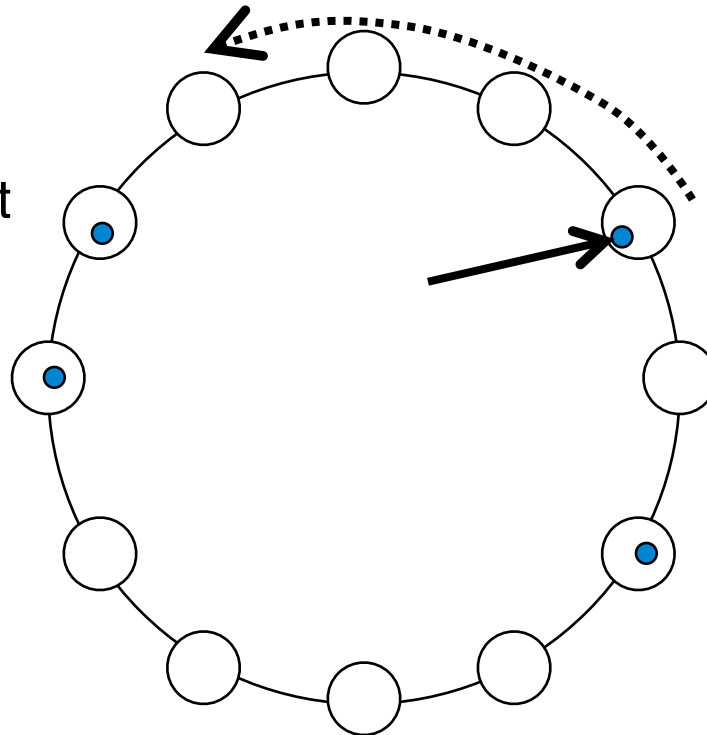


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment

*If I am at the closest distance from the 2-segment, then I **move** toward the closest extremity.*



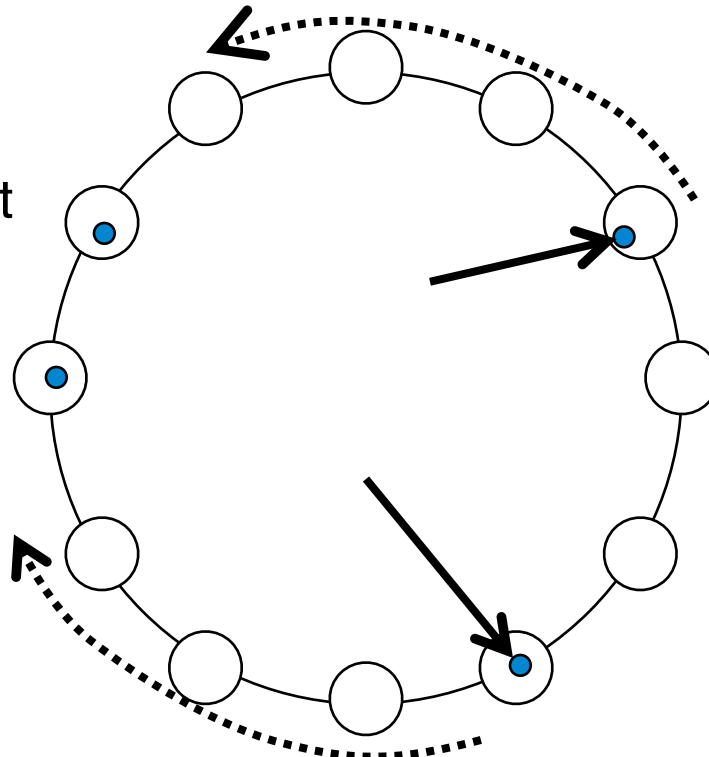


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment

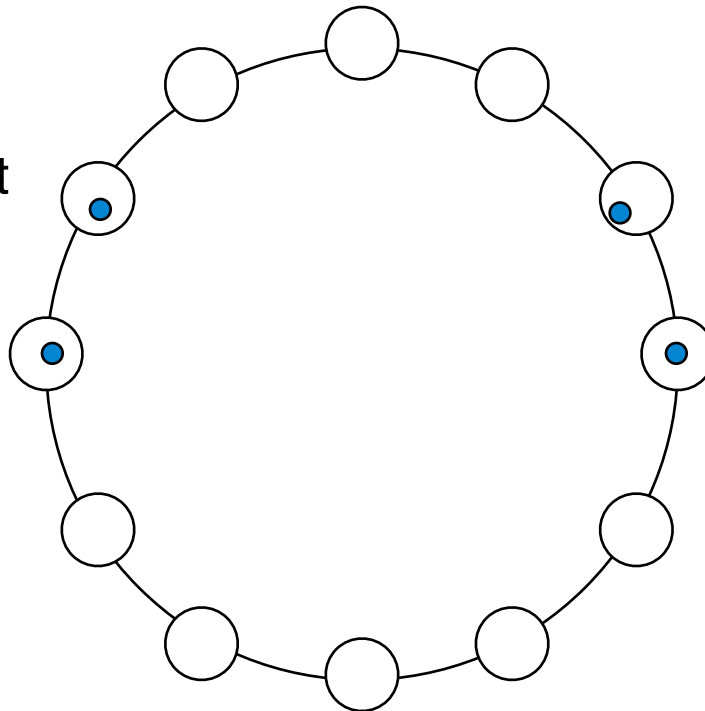
*If I am at the closest distance from the 2-segment, then I **move** toward the closest extremity.*



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments

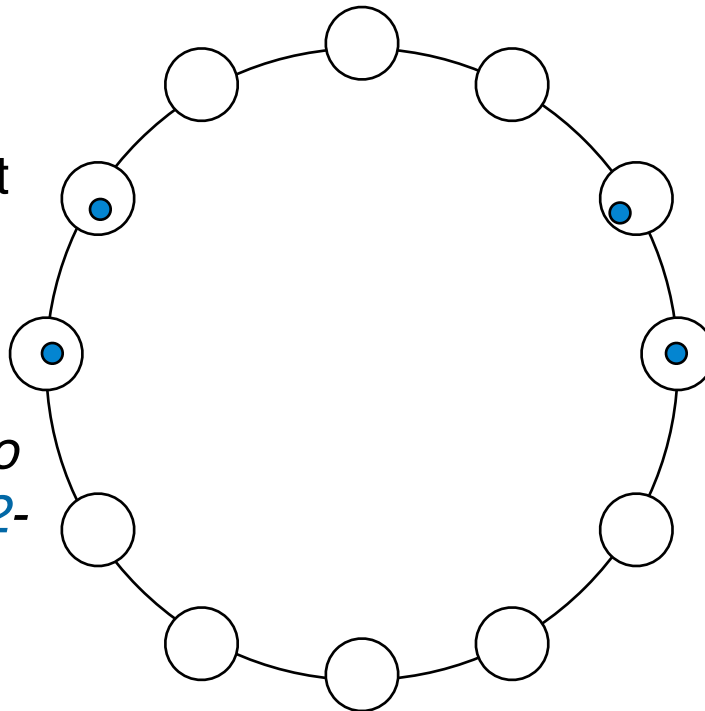


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments

*If I am a neighbor of the longest hole, then I **try** to move toward the other 2-segment.*

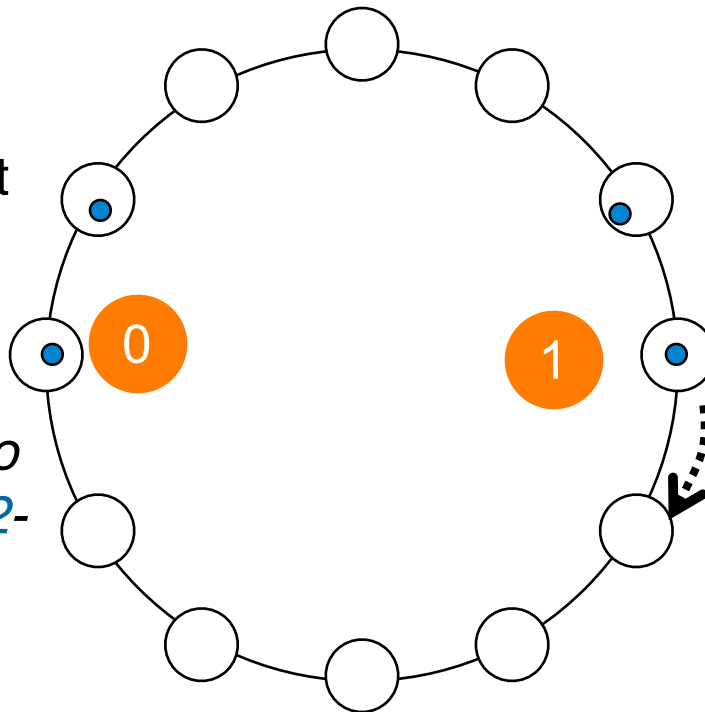


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments

*If I am a neighbor of the longest hole, then I **try** to move toward the other 2-segment.*

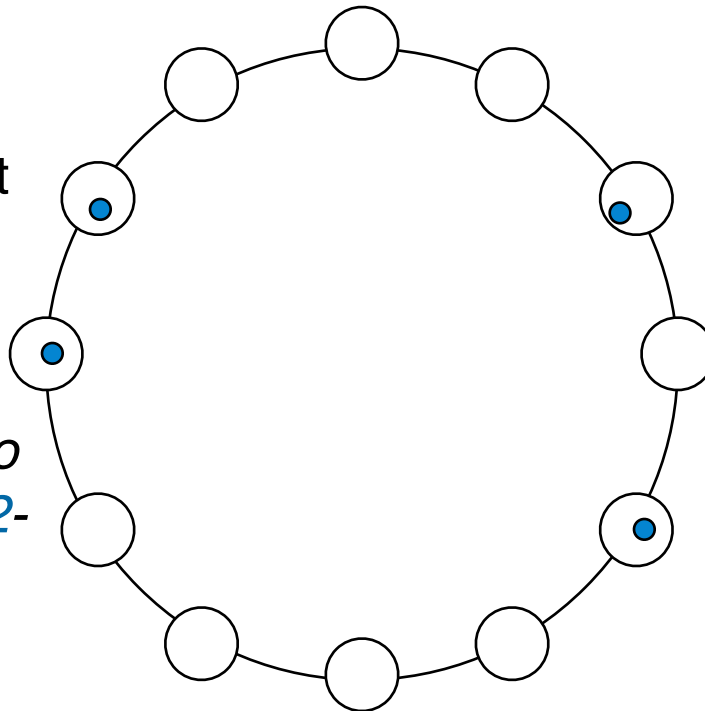


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments

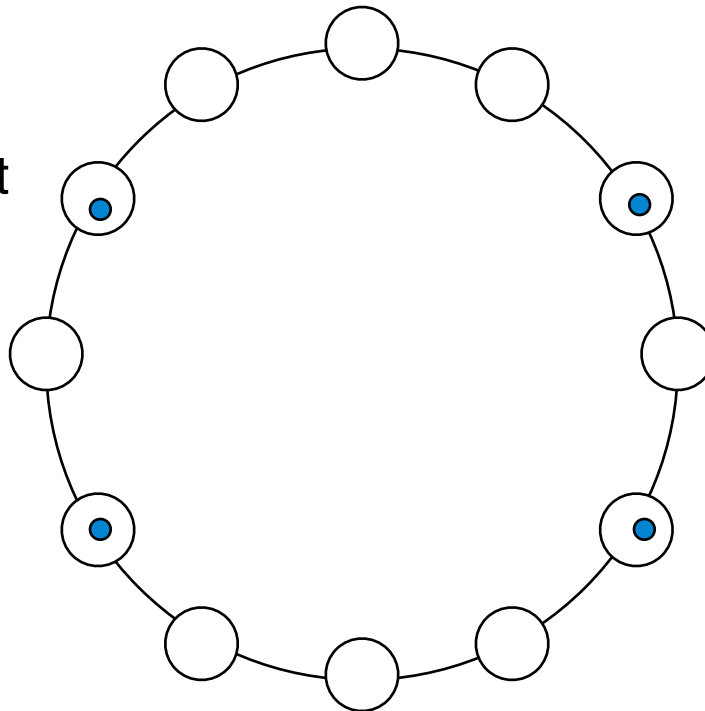
*If I am a neighbor of the longest hole, then I **try** to move toward the other 2-segment.*



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

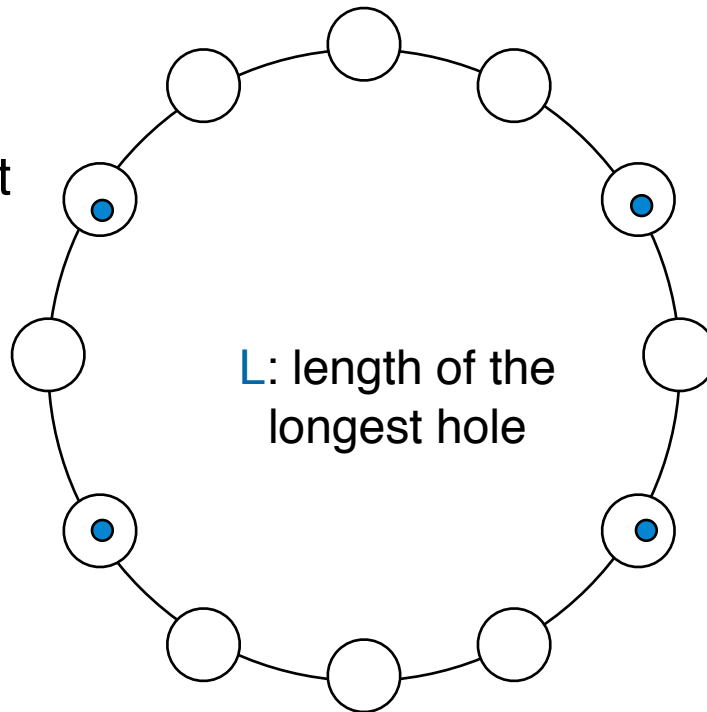
- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

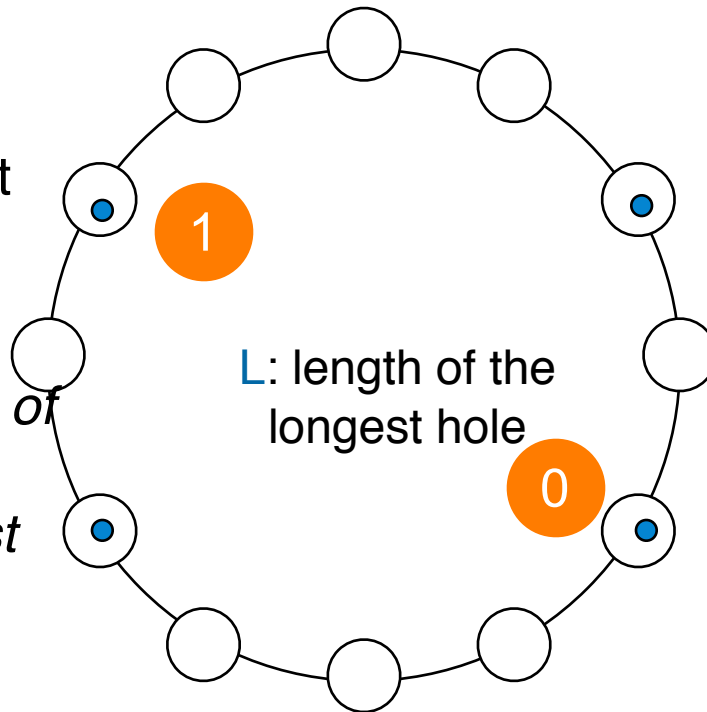


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

If 4 robots are neighbors of an  $L$ -hole, then I *try* to move through my longest neighboring hole.



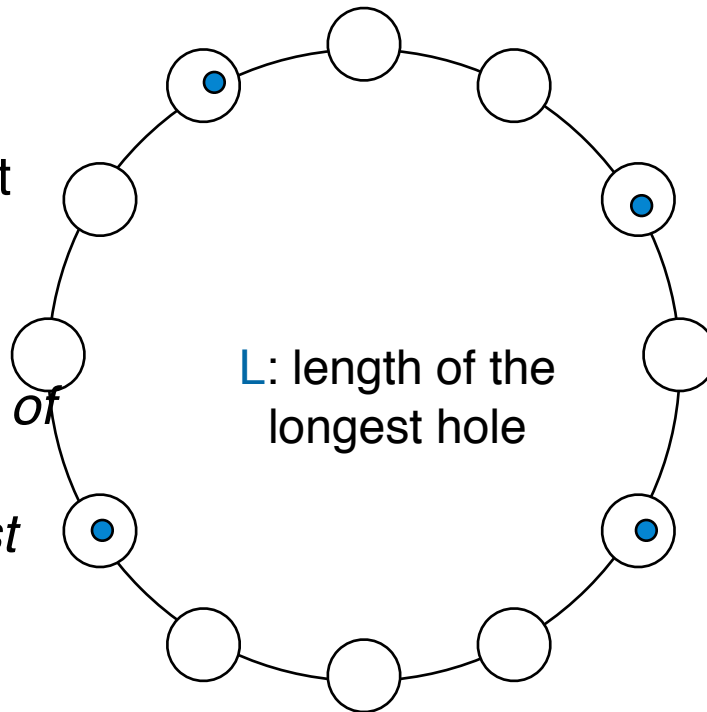


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

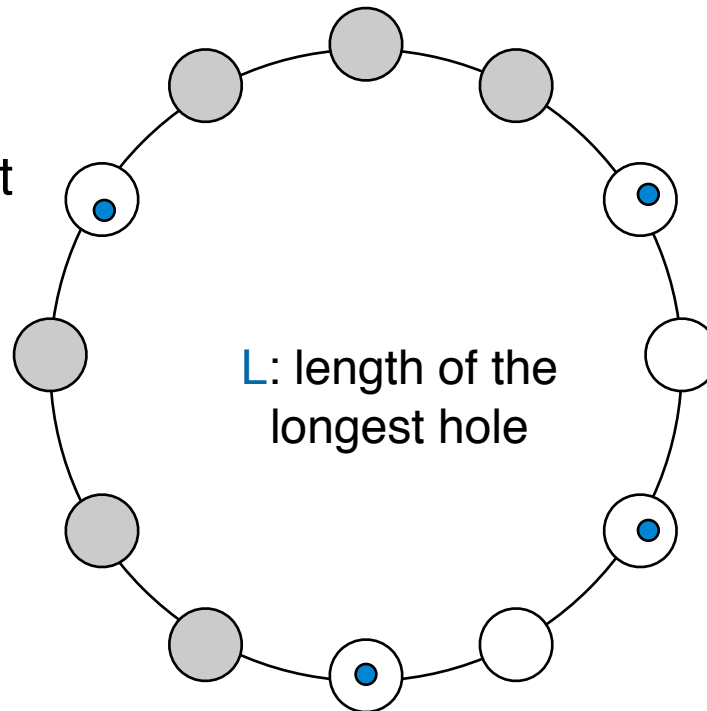
If 4 robots are neighbors of an  $L$ -hole, then I *try* to move through my longest neighboring hole.



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

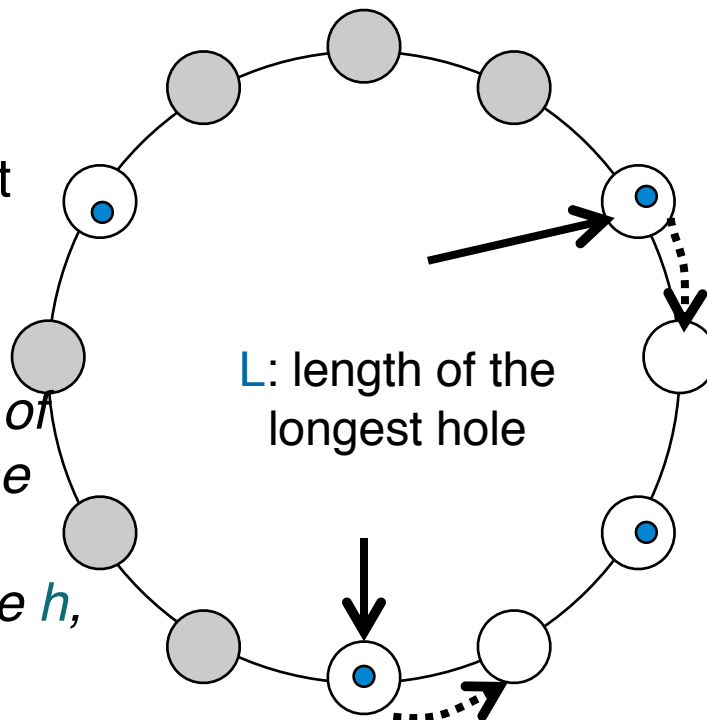


# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

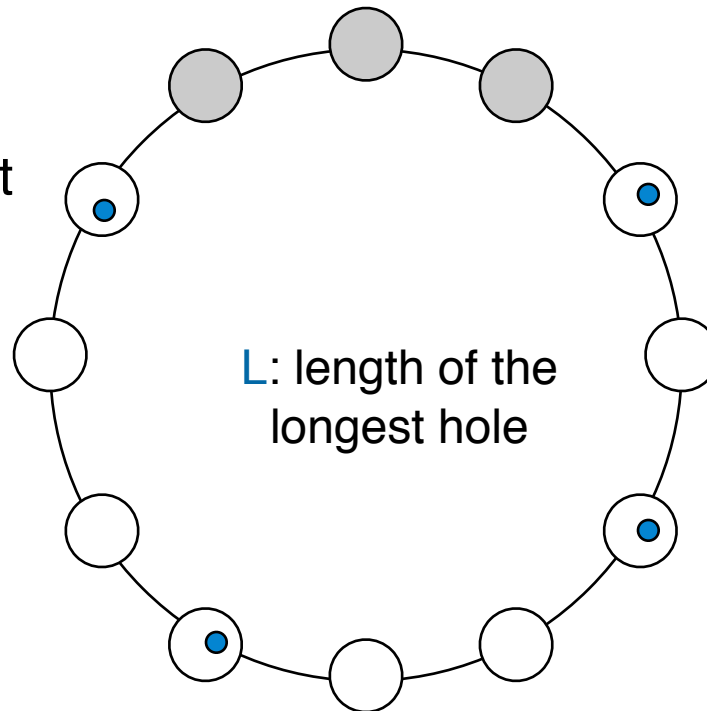
*If 3 robots are neighbors of an  $L$ -hole, then if I am one of this 3 robots and a neighbor of a smaller hole  $h$ , then I move through  $h$ .*



# Probabilistic Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

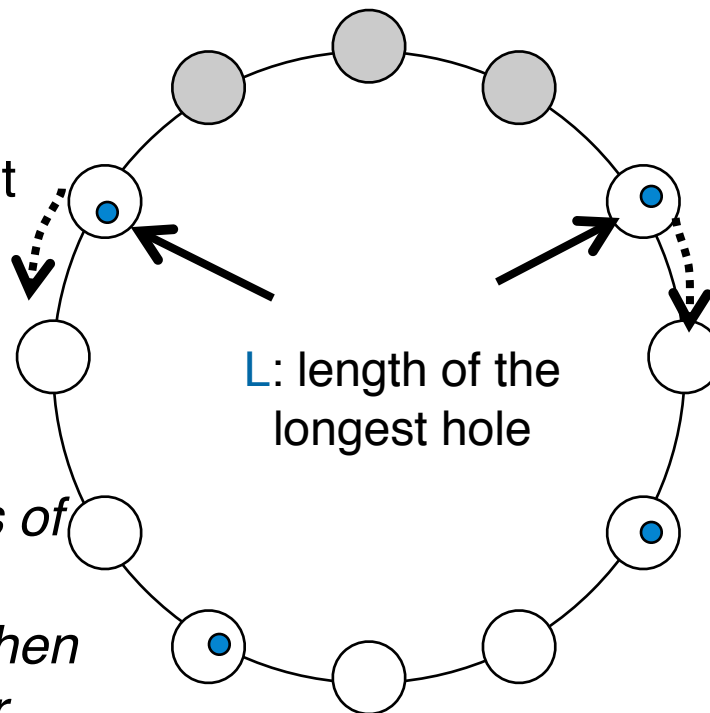
- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes



# Probabilistic Algorithm

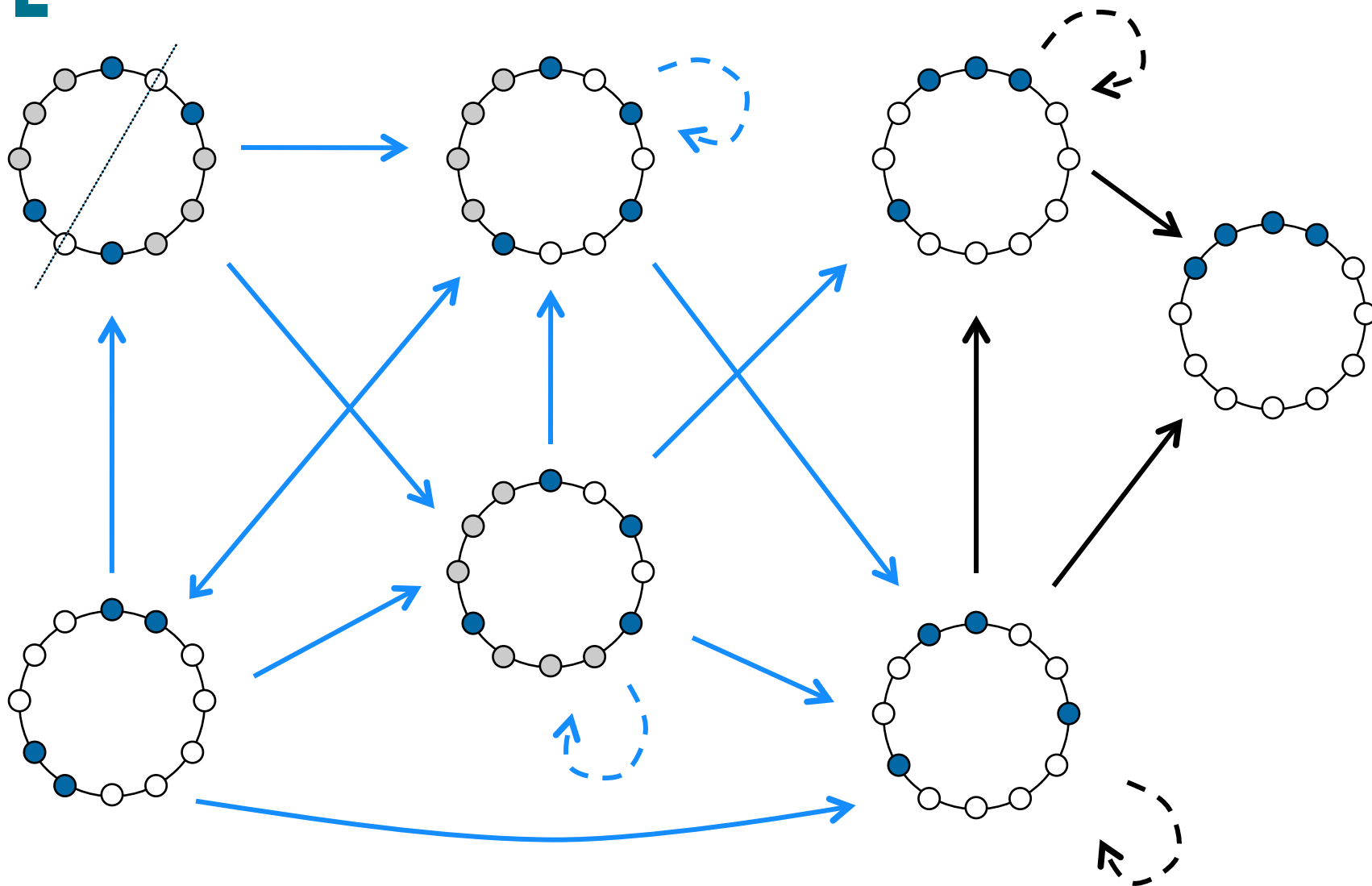
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes



*If 2 robots are neighbors of an  $L$ -hole, then if I am neighbor of the  $L$ -hole, then I move through the other*

# [ Phase 1, Summary ]



[Proof

---

]

# [Proof]

Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*



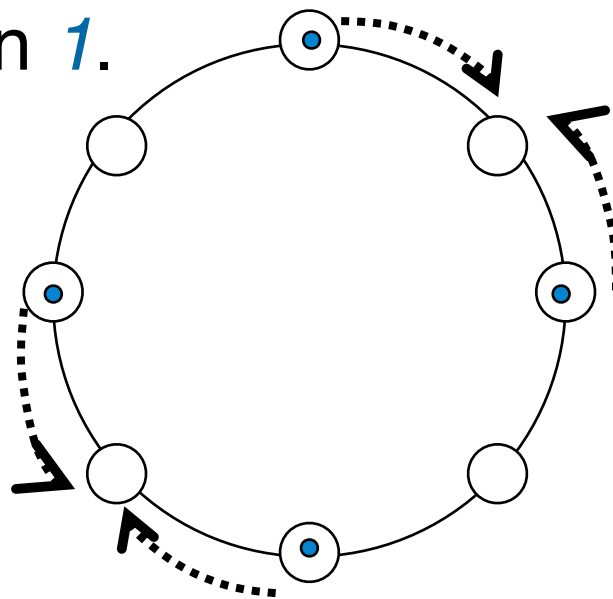
# Proof

## Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

Proof Bas:

With  $n > 8$  and 4 robots, there always exists a hole of length greater than 1.



# [Proof]

Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

*cases  $n=5, 6, 7$  are treated separately.*

# [Proof]

Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

Lemma.

*Starting from any initial configuration, the system reaches in finite expected time a configuration containing a 4-segment.*

*cases  $n=5, 6, 7$  are treated separately.*

# [Proof]

## Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

## Lemma.

*Starting from any initial configuration, the system reaches in finite expected time a configuration containing a 4-segment.*

## Theorem.

*The algorithm (Phases 1 to 3) is a probabilistic exploration protocol for 4 robots in a ring of  $n > 8$  nodes.*

*cases  $n=5, 6, 7$  are treated separately.*

# [ Conclusion ]

✓ *Ring*

$n$ : Number of nodes

$k$ : Number of agents

# Conclusion

$n$ : Number of nodes

$k$ : Number of agents

✓ *Ring* [Flocchini et al., OPODIS 2007]

- Deterministic exploration impossible if  $k$  divides  $n$  (except if  $k = n$ )
- Asynchronous deterministic algorithm with  $k > 16$
- Deterministic or probabilistic exploration impossible if  $k < 4$
- Probabilistic algorithm impossible in asynchronous settings
- Optimal Semi-synchronous Probabilistic Algorithm
- Deterministic exploration impossible if  $k < 5$  and  $n$  even
- Optimal asynchronous deterministic algorithm,  $k = 5$  and  $n$  even
- Optimal semi-synchronous deterministic algorithm,  $k = 4$  and  $n$  odd

# Conclusion

$n$ : Number of nodes

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✓ *Ring* [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]

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# Conclusion

$n$ : Number of nodes

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✓ *Ring* [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]  
[Lamani et al., SIROCCO 2010]

# Conclusion

$n$ : Number of nodes

$k$ : Number of agents

- ✓ **Ring** [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]  
[Lamani et al., SIROCCO 2010]
- ✓ **Tree** [Flocchini et al., SIROCCO 2008]
  - Asynchronous deterministic algorithm for trees with maximum degree equal to 3:  $k \in \Theta(\log n / \log \log n)$
  - Arbitrary tree:  $k \in \Theta(\log n)$
- ✓ **Chain** [Flocchini et al., IPL 2011]
  - Characterization of  $k$ :  $k = 3$ ,  $k > 4$ , or  $k = 4$  and  $n$  odd

# Conclusion

$n$ : Number of nodes

$k$ : Number of agents

- ✓ **Ring** [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]  
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  - Arbitrary tree:  $k \in \Theta(\log n)$
- ✓ **Chain** [Flocchini et al., IPL 2011]
  - Characterization of  $k$ :  $k = 3$ ,  $k > 4$ , or  $k = 4$  and  $n$  odd
- ✓ **Grid** [Devismes et al., SSS 2012]
  - Deterministic or probabilistic exploration impossible if  $k < 2$
  - Optimal Semi-synchronous Deterministic Algorithm,  $k = 3$