Chapter 31

Gesture

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The received view in (psycho-)linguistics, dialogue theory and gesture studies is that co-verbal gestures, i.e. hand and arm movement, are part of the utterance and contribute to meaning. The relationships between gesture and speech obey regularities which are consequently captured in grammar by means of a gesture-grammar interface. This chapter provides basic snapshots from gesture research, reviews constraints on speech-gesture integration and summarises their implementations into HPSG frameworks. Pointers to future developments conclude the exposition. Since there are already a couple of overviews on gesture such as Özyürek (2012); Wagner et al. (2014); Abner et al. (2015), this chapter aims at distinguishing itself in that it provides a guided tour, where the guiding force is drawn from semantics and general semiotics and focuses, of course, on gesture work within HPSG.

1 Why gestures?

People talk with their whole body. A verbal utterance is couched into an intonation pattern that, *via* prosody, articulation speed or stress, function as *paralinguistic* signals (e.g. Birdwhistell 1970). The temporal dimension of paralinguistics gives rise to *chronemic* codes (Poyatos 1975; Bruneau 1980). *Facial expressions* are commonly used to signal emotional states (Ekman & Friesen 1978), even without speech (Argyle 1975), and are correlated to different illocutions of the speech

acts performed by a speaker (Domaneschi et al. 2017). Interlocutors use *gaze* as a mechanism to achieve joint attention (Argyle & Cook 1976) or provide social signals (Kendon 1967). Distance and relative direction of speakers and addressees are organised according to culture-specific radii into social spaces (*proxemics*, Hall 1968). Within the inner radius of private space, tactile codes of *tacesics* (Kauffman 1971) are at work. Since the verbal and nonverbal communication means of face to face interaction may occur simultaneously, *synchrony* is a signal in its own (Wiltshire 2007). A special chronemic case in signalling at the right moment – or, for that matter, to the contrary: missing the right moment (an aspect of communication dubbed *kairemics* by Lücking & Pfeiffer (2012)). Besides the manifold areas of language use, the conventionalised, symbolic nature of language secures language's primacy in communication, however (de Ruiter 2004). For thorough introductions into semiotics and multimodal communication see Nöth (1990), Posner et al. (1997) or Müller et al. (2013).

The most conspicuous non-verbal communication means of everyday interaction are hand and arm movements, known as *gestures*. In seminal works, McNeill (1985; 1992) and Kendon (1980; 2004) argue that co-verbal gestures, i.e. hand and arm movements, can be likened to words in the sense that they are part of a speaker's utterance. Accordingly, integrated speech-gesture production models have been devised (Kita & Özyürek 2003; de Ruiter 2000; Krauss et al. 2000) that treat utterance production as a multimodal process. Given gestures' imagistic and often spontaneous character, it is appealing to think of them as "post-cards from the mind" (de Ruiter 2007: p. 21). Clearly, given this entrenchment in speaking, non-verbal communication means have repercussions to areas hitherto taken to be purely linguistic (in the sense of being related to the verbal domain). This section highlights some phenomena particularly important for grammar, including, for instance, *mixed syntax* (Slama-Cazacu 1976), or *pro-speech gesture*:

(1) He is a bit [circular movement of index finger in front of temple].

In (1), a gesture replaces a position that is usually filled by a syntactic constituent. The gesture is emblematically related to the property of *being mad* so that the mixed utterance from (1) is equivalent to the proposition that the referent of *he* is a bit mad.

Probably the most conspicuous gestures accompanying speech are pointing gestures (or deictic gestures). Given that the pronoun in (1) is used exophorically (that is, referring to someone from the physical utterance situation instead to a discourse referent from the preceding discourse), a pointing signal is required indicating where to find the referent. With regard to deictic gestures Fricke (2012)

argues that deictic words within noun phrases – her prime example is German so (like this) –, provide a structural, that is, language-systematic integration point between the vocal plane of conventionalized words and the non-vocal plane of body movement. Therefore, on this conception, not only utterance production but grammar is inherently multimodal.

Moving from sentence to dialogue, *interactive gestures* are bound up with turn management, among other (Bavelas et al. 1992; 1995). For instance, pointing gestures can be used to indicate the next speaker (Rieser & Poesio 2009). Thus, inasmuch as grammar is multimodal, also dialogue theory has to deal with specific non-verbal interaction means (see also *Pragmatics and dialogue semantics*, this volume).

It should be noted, however, that not all gestures are as clearly a part of a sentence or an utterances as those in the examples given so far. A prominent counter-example in particular for interactive gesture views is gesturing on the telephone (see Bavelas et al. (2008) for an overview of a number of respective studies). Since such gestures are not observable for the addressee, they cannot reasonably taken to be a constituent of the intended content. Rather, "telephone gestures" seem to be purely speaker-oriented, presumably facilitating word retrieval. Furthermore, the lion's share of everyday gestures seem to consist of rather sloppy movements that do not contribute to the content of the utterance – they are *contingent* as opposed to be an obligatory semantic component (Lücking 2013). Based on such dividing evidence, gestures have broadly been distinguished into foreground vs. background gestures (Cooperrider 2017). This distinction aims to cover a basic difference in gestures and in the way a gesture is integrated into an utterance. Roughly, foreground gesture, but not background gestures, are semantically interpretable components of an utterance. This chapter focuses on foreground gestures.

2 Kinds of gestures

Gestures come in various kinds and are usually assigned to a number of gesture classes. These gesture classes are characterized by the function performed by a gesture and the meaning relation the gesture bears to its content (if any). A classic taxonomy consists of the following classes (McNeill 1992):

iconic (or representational) gestures. Spontaneous hand arm movements that are commonly said to be based on some kind of resemblance

relation.¹. Iconic gestures employ a mode of representation such as *drawing*, *modeling*, *shaping* or *placing* (Streeck 2008; Müller 1998).

- deictic gestures (pointing). Typically hand and arm movements that perform a demonstration act. In which way pointing is standardly accomplished is subject to culture-specific conventions, though (Wilkins 2003). In principle, any extended body part, artifact or locomotor momentum will serve the demonstrative purpose. Accordingly, there are deictic systems that involve lip-pointing (Enfield 2001) or nose-pointing (Cooperrider & Núñez 2012). Furthermore, under certain circumstances, pointing with the eyes (gaze-pointing) is also possible (Hadjikhani et al. 2008). Note further that the various deictic means can be interrelated. For instance, manual pointing can be differentiated by cues of head and gaze (Butterworth & Itakura 2000).
- beats (rhythmic gestures). Hand and arm movements that are coupled to the intonational or rhythmic contour of the accompanying speech. Beats lack representational content but are usually used to an emphasising effect.
- emblem (lexicalized gestures). In contrast to the other classes, emblems are special in that they follow a fully conventionalized form-meaning relation. A common example in Western countries is the thumbs-up gesture, signalling "approval or encouragement" (Merriam Webster online dictionary)².

In addition to classifying gestures according to the above-given functional groups, a further distinction is usually made with regard to the ontological place of their referent: representational and deictic gestures can relate to concrete or to abstract objects or scenes (McNeill 1992). For instance, an iconic drawing gesture can metaphorically display the notion "genre" (*op. cit.*). Likewise, pointing into a location within gesture space as a proxy for a real-world place is a point in case. An example is provided in Figure 5 below. Accordingly, gestures can be cross-classified into concrete and *abstract* or *metaphorical* ones.

Besides categorially distinguished gesture classes, gestures can also be specified according to multi-dimensional or functional characteristics (McNeill 2005;

¹But see footnote 5 in Section 4.4 for pointers to critical discussions of resemblance as a sign-bearing relation.

²https://www.merriam-webster.com/dictionary/thumbs-up, accessed 20th August 2018. The fact that emblems can be lexicalized in dictionaries emphasizes their special, conventional status among gestures.

Gerwing & Bavelas 2004). See Özyürek (2012) for an overview of various gesture classification schemes.

On the most basic, kinematic level the movement of a prototypical gesture follows an "anatomic triple": gestures have to be partitioned into at least a preparation, a stroke, and a retraction phase (Kendon 1972). The stroke is the movement part that carries the gesture's meaning. Preparation and retraction phase bring hand and arms into respectively out of the stroke. Unless stated otherwise, when talking about gestures what follows, the stroke phase, which is the "gesture proper" or the "semantic interpretable" phase, is referred to.

In any case, the spontaneous, usually co-verbal hand and arm movement considered in this chapter are different from the signed signs of sign languages (see *Sign languages*, this volume) and pantomime (not spontaneous and co-verbal).³

3 Basic empirical phenomena of grammatical gesture integration

With regard to grammar-gesture integration, three main phenomena have to be dealt with:

- What is the meaning of a gesture? How to assign semantic representations or truth conditions to hand and arm movements?
- What is the affiliate of a gesture, that is, its verbal attachment site?
- What is the result of multimodal integration, that is, composing verbal and non-verbal meaning?

The following example, (2) and Figure 1, (taken from Lücking (2013: 189)) illustrates these issues:

(2) Ich g[laube das sollen TREP]pen sein. I think that shall STAIRCASES be.

"I think that shall be staircases."

The first syllable of the German noun *Treppen* (*staircases*) carries main stress, indicated by capitalization. The square brackets indicate the temporal overlap between speech and gesture stroke, which is shown in Figure 1. The gesture attributes to the noun it attaches to: from the multimodal utterance the observer

³In languages like German the difference between free gesticulation and sign language signs is also reflected terminologically: the former are called *Gesten*, the latter *Gebärden*.



Figure 1: "I think that shall be staircases."

retrieves the information that the speaker talks about spiral staircases. This interpretation assumes that the common noun is the affiliate of the iconic gesture. Obviously, mere temporal synchrony is too weak to be an indicator of affiliation. In fact, there are speech-gesture affiliations without temporal overlap between gesture and verbal affiliate at all (e.g. Lücking et al. 2004). Therefore, temporal overlap or vicinity is just one indicator of affiliation, a second one is intonation: a gesture is usually related to a stressed element in speech (McClave 1994; Nobe 2000; Loehr 2004; 2007). As a result, multimodal communication gives rise to complex "peak pattern" (Tuite 1993; Loehr 2004; Jannedy & Mendoza-Denton 2005).

The interpretation of a gesture changes with different affiliations. Suppose the gesture from Figure 1 is produced in company to stressed *glaube* (*think*) instead of *staircases*:

(3) Ich G[LAUbe das sollen Trep]pen sein.I THINK those should stairs be."I think those should be stairs."

Now the spiral movement is interpreted as a metaphorical depiction of a psychological process. Thus, the interpretation of a gesture depends on the integration point (affiliation), which in turn is marked by prosody. Note that the resulting multimodal utterance may express a richer content than speech alone, as in (2), or a content equivalent to speech content, as in (3); it can even express less than speech or contradict speech:⁴

⁴In case of contradiction, that, speech-gesture mismatch, the resulting multimodal utterance is

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The nonverbal act can repeat, augment, illustrate, accent, or contradict the words; it can anticipate, coincide with, substitute for or follow the verbal behavior; and it can be unrelated to the verbal behavior. (Ekman & Friesen 1969: 53)

Given the linguistic significance of gestures as sketched in the preceding sections grammar-oriented accounts on speech-gesture integration have recently been developed that try to deal with (at least one of) the three basic phenomena, though with different settings of priorities, including Alahverdzhieva (2013), Alahverdzhieva & Lascarides (2010), Ebert (2014), Giorgolo (2010), Giorgolo & Asudeh (2011), Lücking (2013), Lücking (2016), Rieser (2008), Rieser & Poesio (2009), Rieser (2011), Rieser (2015), Schlenker (2018).

The HPSG-related approaches are briefly reviewed below. For grammars for sign languages see Steinbach & Holler (2018), Chapter 30 of this volume. There also belong investigations into iconic features of American Sign Language (Schlenker et al. 2013; Schlenker 2014).

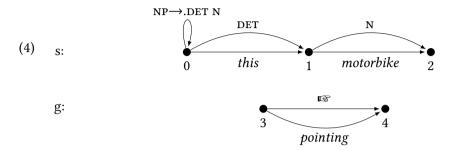
4 Gestures in HPSG

4.1 Precursors

Since a linguistic unification-based grammar rests on a conventional, "unimodal" parser, Johnston (1998) and Johnston et al. (1997) developed a *multimodal chart parser*, which are still a topic of computational linguistics (Alahverdzhieva et al. 2012) (see also *Computational linguistics and Language Engineering*, this volume). A multimodal chart parser consists of two or more layers and allows for layer-crossing charts. The multimodal NP *this*[[\$\overline{a}\$]] *motorbike*, for instance, is

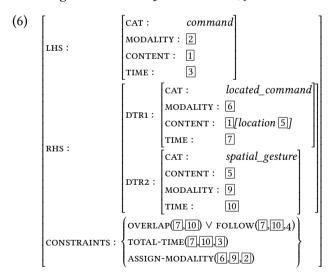
perceived as ill-formed and induces N400 effects (Wu & Coulson 2005; Kelly et al. 2004).

processed in terms of a multimodal chart parser covering of a speech (s) and a gesture (g) layer:



A multimodal chart or *multichart* is defined in terms of sets of identifiers from both layers. Possible multicharts from (4) include the following ones:

The basic rule for integrating spatial gestures with speech commands is the basic integration scheme (Johnston 1998; Johnston et al. 1997), reproduced in (6):



The AVM in (6) implements a mother-daughter structure along the lines of a context free grammar rule, where a left-hand side (*lhs*) expands to a right-hand side (*rhs*). The right-hand side consists of two constituents (daughters *dtr1* and *dtr2*), a verbal expression (*located_command*) and a gesture. The semantic inte-

gration between both modalities is achieved in terms of feature-structure sharing, see tag 5: the spatial gesture provides the location coordinate for the verbal command.

The bimodal integration is constrained by a set of restrictions, mainly regulating the temporal relationship between speech and gesture (see tags 7 and 10 in the 'constraints' field): the gesture may overlap with its affiliated word in time, or follow it in at most four seconds.

An integration scheme akin to that displayed in (6) also underlies current grammar-oriented approaches to deictic and iconic gestures (see Sections 4.3 and 4.4 below).

4.2 Representing Gestures with AVMs

Representing the formal features of gestures in terms of attribute-value matrices has been initiated in robotics (Kopp et al. 2004). A representation format that captures the "phonological", physical properties of a gesture is designed according to the moveable junctions of arms and hands. For instance, the representation of the gesture in Figure 1 according to the format used in Lücking et al. (2010) is given in (7):

(7)	right hand		
		SHAPE G	
	HANDSHAPE	PATH 0	
	PALM	ORIENT PAB>PAB/PUP>PAB	
		PATH 0	
		DIR 0	
	вон	ORIENT BUP>BTB/BUP>BUP	
		PATH ARC>ARC>ARC	
		DIR MR>MF>ML	
	WRIST	POSITION P-R	
		PATH <i>LINE</i>	
		DIR MU	
		DIST D-EK	
		[EXTENT small]	
	SYNC	[CONFIG BHA]	
		[REL.MOV LHH]	

The formal description of a gestural movement is given in terms of the handshape, the orientations of the palm and the back of the hand (BOH), the movement trajectory (if any) of the wrist and the relation between both hands (synchronicity, SYNC). The handshape is drawn from the fingerspelling alphabet of American Sign Language, as illustrated in Figure 2. The orientations of palm and back of hand are specified with reference to the speaker's body (e.g., *PAB* encodes "palm away from body" and *BUP* encodes "back of hand upwards"). Movement features are specified with respect to the wrist: the starting position is given and the performed trajectory is encoded in terms of the described path and the direction and extent of the movement. Position and extent are given with reference to the *gesture space*, that is the structured area within the speaker's immediate reach (McNeill 1992) – see Figure 3. Rectangular and roundish movement are distinguished in terms of *line* or *arc* values of feature DIR(ection). An example is given in Figure 4. For a documentation of gesture representation along this line see Lücking et al. (2016). For related formats see Martell (2002) and Gibbon et al. (2003). For a different approach see Lausberg & Sloetjes (2009).

The "phonological" representation of gestures is slightly refined in Lücking (2016), where gestures are analysed in terms of a formal event framework (Fernando 2011; Cooper & Ginzburg 2015) in order to account for Gestalt properties of gesture movements (see also the example discussed by Rieser (2008)).

4.3 Pointing Gestures

Pointing gestures are the referring device: they probably pave a way to reference in both an evolutionary and language acquisition perspective. (Bruner 1998; Masataka 2003; Matthews et al. 2012); they are predominant inhabitants of the "deictic level" of language, interleaving the symbolic (and the iconic) ones (Levinson (2008), see also Bühler (1934)); they underlie reference in Naming Games in computer simulation approaches (Steels 1995) (for a semantic assessment of naming and categorisation games see Lücking & Mehler (2012)). The referential import of pointing gesture has been studied experimentally to some detail (Bangerter & Oppenheimer 2006; Kranstedt et al. 2006b,a; van der Sluis & Krahmer 2007). As a result, it turns out that pointings do not rest on a direct "laser"or "beam" mechanism (McGinn 1981). Rather, they serve a (more or less rough) locating function (Clark 1996) that can be modelled in terms of a pointing cone (Lücking et al. 2015). These works provide an answer to the first basic question (cf. Section 3): pointing gestures have a "spatial meaning" which focuses or highlights a region in relation to the direction of the pointing device. Such a spatial semantic model has been introduced in Rieser (2004) under the name of region pointing, where the gesture adds a locational constraint to the restrictor of a noun phrase. The spatial model is also adopted in Lascarides & Stone (2009), where the



Figure 2: American Sign Language fingerspelling alphabet (image released to Public Domain by user Ds13 in the English Wikipedia at 18th December 2018).

region denoted by a pointing is represented by a vector \vec{p} . This region is an argument to function v, however, which maps the projected cone region to $v(\vec{p})$, the space-time talked about, which may be different from the gesture space (many more puzzles of local deixis are collected by Klein (1978) and Fricke (2007)).

Let us illustrate some aspects of pointing gesture integration by means of a real world example (taken from dialogue V5 of the SaGA corpus (Lücking et al. 2010)). The speaker in (8) and Figure 5 places a pond with his left hand into gesture space. He then points at his left hand/the pond (on *dual points* see Goodwin (2003), on *deferred reference* see Quine (1950); Nunberg (1993)), depicting *driving towards* or *heading straight*. The movement aspect is subsequently enacted by moving the right hand/driver towards the left hand/pond.

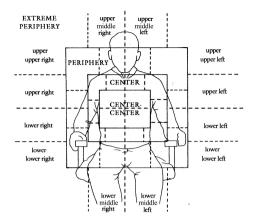


Figure 3: Gesture Space (taken from McNeill (1992: 378).)



Figure 4: The same sequence of direction labels can give rise to an open rectangle or a semicircle, depending on the type of concatenation (example taken from Lücking (2016)).

(8) wenn du dort eingefahren bist, fährst Du geradeaus auf einen Teich zu ..
'n Teich .. und .. [gesture from Figure 5 occurs here]
when you drive in there, you're heading straight for a pond .. a pond .. and ..
[gesture from Figure 5 occurs here]

This example highlights two crucial phenomena: firstly, the region pointed at is not the region referred to. The indicated location in gesture space has to be mapped onto a spatial area of the described situation. Such mapping are accounted for by $v(\vec{p})$. Secondly, the gesture is produced *after* speech. This means that in order to identify the gesture's verbal attachment site something beyond mere temporal synchrony (the second basic question, see Section 3) has to be exploited. To this end, phonological information on top of the basic integration scheme in (6) are used (Alahverdzhieva & Lascarides 2011; Lücking 2013). Within a temporal window (basic integration scheme), a pointing gesture is affiliated to a stressed expression. This relaxation captures also post-speech gestures as in example (8)/Figure 5. Within HPSG, such constraints can be formulated within an interface to metrical trees from the phonological model of Klein (2000) or phonetic information packing from Engdahl & Vallduví (1996) – Tseng (2018),

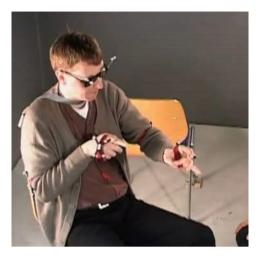


Figure 5: Driving towards/heading straight

Chapter 21 of this volume. In order to deal with gestures that are affiliated with expressions that are larger than single words, Alahverdzhieva & Lascarides (2011) also develop a phrase or sentence level integration scheme, where the stressed element has to be a semantic head (in the study of Mehler & Lücking (2012) 18.8% of the gestures had a phrasal affiliate). On this account, semantic integration of gesture location and verbal meaning (the third basic question from Section 3) is brought about using underspecification mechanism of *Multiple Recursion Semantics* (MRS) (Copestake et al. 2005).

(Pointing) gestures that occur in company to a demonstrative expression in speech have received special attention, since they manifest the transition point between the symbolic realm of language and the deictic realm of perception (Levinson 2008; Fricke 2012). Building on this phenomenon, Ebert (2014) argue within in a dynamic semantic framework that co-demonstrative gestures contribute to at-issue content while other gestures contribute to non-at-issue content. Within a presuppositional framework, this picture has been refined due to gestures that are entailed in local contexts in terms of "cosuppositions" Schlenker (2018).

A dialogue-oriented focus is taken in Lücking (2018): here pointing gesture play a role in formulating processing instructions that guide the addressee in understanding demonstrative noun phrases (see also Lücking, Ginzburg & Cooper (2018), Chapter 25 of this volume).

Semantic aspects of iconic features of pointing as discourse referent indication

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in American Sign Language have been investigated and formally modelled by Schlenker et al. (2013); Schlenker (2014).

4.4 Iconic Gestures

There is nearly no semantic work on how and which meanings can be assigned to iconic gestures (first basic question from Section 3). Two exceptions being the approaches of Rieser (2010) and Lücking (2013; 2016). Rieser (2010) tries to extract a "depiction typology" out of a speech-and-gesture corpus where formal gesture features are correlated with topological clusters consisting of geometrical constructs. These geometrical objects are used in order to provide a possibly underspecified semantic representation for iconic gestures which is integrated into word meaning (Hahn & Rieser 2010; Rieser 2011). Lücking (2013; 2016) draws on Goodman's notion of exemplification (Goodman 1976), iconic gestures are connected to semantic predicates in terms of a reversed denotation relation: the meaning of an iconic gesture is given in terms of the set of predicates which have the gesture event within their denotation. In a further step, common perceptual features are extracted and represented as part of a lexical extension of lexemes. This conception is motivated by psychophysic theories of the perception of biological events (Johansson 1973), draws on philosophical similarity conception beyond isomorphic mappings (Peacocke 1987),⁵ and, using a somewhat related approach at least, has been proven to work in robotics (Sowa 2006). These perceptual features serve as the integration locus for iconic gestures, using standard unification techniques. With regard to conventionalized sign language, iconic aspects have also been captured in terms of presuppositions that map, for instance, height specifications of signed loci to social properties and relations like power (that is, a higher locus indicates more power) (Schlenker et al. 2013).

The richer formal, functional and representational features of iconic gestures as compared to deictic gestures (cf. Section 4.3) is accounted for in Alahverdzhieva & Lascarides (2010) by assigning a formal predicate to each "phonological" feature of a gesture representation (cf. Section 4.2). These formal gesture predicates are highly underspecified, using *Robust Multiple Recursion Semantics* (RMRS) (Copes take 2007). That is, they can be assigned various (so far postulated) predicates (which are assumed to be constrained by iconicity (Alahverdzhieva & Lascarides 2010)) with differing arity in the gesture resolution process. Similar to pointing

⁵That mere resemblance, usually associated with iconic signs, is too empty a notion to provide the basis for a signifying relation has been emphasised on various occasions (Burks 1949; Bierman 1962; Eco 1976; Goodman 1976; Sonesson 1998).

gesture integration, phonetic constraints on word and sentence level apply and semantic integration is achieved using underspecification techniques of *Multiple Recursion Semantics* (MRS) (Copestake et al. 2005). The received integrated representation are then resolved using the SDRT tools of Lascarides & Stone (2009).

Iconic gestures in particular are involved in a short-term dynamic phenomenon on repeated co-occurrence, iconic gestures and affiliated speech can fuse into a *multimodal ensemble* (Kendon 2004; Lücking et al. 2008; Mehler & Lücking 2012). The characteristic feature of such an ensemble is that their gestural part, their verbal part, or even both parts can be simplified without changing the meaning of the ensemble. Ensembles, thus, are the result of a process sign formation as studied, for instance, in experimental semiotics Galantucci & Garrod (2011). Such grammaticalisation process eventually might lead to conventional signs. However, most conventional, emblematic everyday gesture seem to be the result of circumventing a taboo: something you should not name is gesticulated (Posner 2002).

5 Outlook

What are (still) challenging issues with respect to grammar-gesture integration, in particular from a semantic point of view? Candidates include:

- gestalt phenomena: the trajectories described by a gesture are often incomplete and have to be completed by drawing on gestalt principles or everyday knowledge (Lücking 2016);
- negligible features: not all formal features of a gesture are meaning-carrying features in the context of utterance. For instance, in a dynamic gesture the handshape often (though not always) does not provide any semantic information. How to distinguish between significant and negligible gesture features?
- "semantic endurance": due to holds, gestural meanings can kept fixed for some time is keeps available for semantic attachment. This may call for a more sophisticated algebraic treatment of speech-gesture integration than offered by typed feature structures (Rieser 2015).

Finally, the empirical domain of "gesture" has to extended to other non-verbal signals such as laughter (Ginzburg et al. 2015), facial expressions or gaze (see Section 1 for a brief list of non-verbal signals), in isolation as well as in mutual

combination. Thus, there is still some way to go in order to achieve a fuller understanding of natural language interaction and thereby natural languages.

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Part V The broader picture