

## Beneficial Ownership Information Report

### Filing Information

1. Type of filing:

- a. Initial report ☐
- b. Correct prior report ☐
- c. Update prior report ☐
- d. Newly exempt entity ☐

Reporting Company information associated with most recent report, if any:

- e. Legal name
- f. Tax Identification type
- g. Tax Identification number
- h. Country/Jurisdiction (if foreign tax ID

2. Date prepared

### Part I. Reporting Company Information

3. Request to receive FinCEN Identifier (FinCEN ID) ☐
4. Foreign pooled investment vehicle ☐

**Full legal name and alternate name(s):**

5. \* Reporting Company legal name
6. Alternate name (e.g. trade name, DBA)

**Form of identification:**

7. \* Tax Identification type
8. \* Tax Identification number
9. Country/Jurisdiction (if foreign tax ID only)

**Jurisdiction of formation or first registration:**

10. \* a. Country/Jurisdiction of formation

**Domestic Reporting Company:**

- b. State of formation
- c. Tribal jurisdiction of formation
- d. Name of the other Tribe

**Foreign Reporting Company:**

- e. State of first registration
- f. Tribal jurisdiction of first registration
- g. Name of the other Tribe

Current U.S. Address:

11. \* Address (number, street, and apt. or suite no.)
12. \* City
13. \* U.S. or U.S. Territory
14. \* State
15. \* ZIP Code
16. Existing Reporting Company

☐ (check if Reporting Company was created or registered before January 1, 2024)
17. (This item is reserved for future use)

Part II. Company Applicant Information

Company Applicant FinCEN ID:

18. FinCEN ID

Full legal name and date of birth:

19. \* Individual's last name
20. \* First name
21. Middle name
22. Suffix
23. \* Date of birth

Current address:

24. \* Address type

☐ a. Business address ☐ b. Residential address
25. \* Address (number, street, and apt. or suite no.)
26. \* City
27. \* Country/Jurisdiction
28. \* State
29. \* ZIP/Foreign postal code

Form of identification and issuing jurisdiction:

30. \* Identifying document type
31. \* Identifying document number
32. \* Identifying document issuing jurisdiction:

a. Country/Jurisdiction

b. State

c. Local/Tribal

d. Other local/Tribal description
33. \* Identifying document image

34. (This item is reserved for future use)

Part III. Beneficial Owner Information

35. Parent/Guardian information instead of minor child ☐ (check if the Beneficial Owner is a minor child and the parent/guardian information is provided instead)

Beneficial Owner FinCEN ID:

36. FinCEN ID

Exempt entity:

37. Exempt entity ☐

Full legal name and date of birth:

38. \* Individual's last name or entity's legal name.

39. \* First name

40. Middle name

41. Suffix

42. \* Date of birth

Residential address:

43. \* Address (number, street, and apt. or suite no.)

44. \* City

45. \* Country/Jurisdiction

46. \* State

47. \* ZIP/Foreign postal code

Form of identification and issuing jurisdiction:

48. \* Identifying document type

49. \* Identifying document number

50. \* Identifying document issuing jurisdiction:

a. Country/Jurisdiction

b. State

c. Local/Tribal

d. Other local/Tribal description

51. \* Identifying document image

First Name

Last Name

Phone

Email