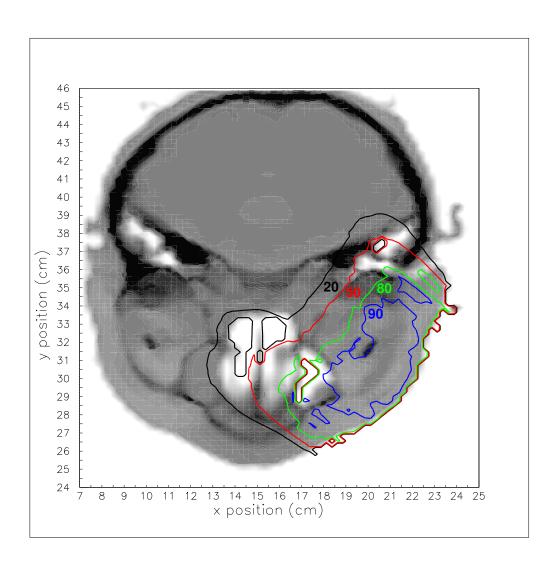
# **DOSXYZnrc Users Manual**

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NRCC Report PIRS-794revB



#### Abstract

DOSXYZnrc is an EGSnrc-based Monte Carlo simulation code for calculating dose distributions in a rectilinear voxel phantom and is based directly on the DOSXYZ code developed for the EGS4 code system (see NRC Report PIRS-509B). DOSXYZnrc is part of the OMEGA-BEAM system of codes developed at NRC. Density and material in every voxel may vary. A variety of beams may be incident on the phantom, including full phase-space files from BEAMnrc and beams characterized using Beam Characterization models. The companion program ctcreate is capable of reading in a CT data set of Hounsfield numbers and converting it into the information needed by DOSXYZnrc to simulate transport in a phantom (i.e. the appropriate material and density are specified in each voxel). Any of the available beams can be incident on this CT phantom. The code includes a restart facility and can be run on parallel computing platforms. The statistical analysis is based on a history by history method as opposed to the batch method used in DOSXYZ.

This user's manual covers general DOSXYZnrc inputs, geometries and outputs. It contains information on how to compile and run DOSXYZnrc using the EGSnrcMP system. It also describes the use of ctcreate.

The figure on the front page shows a PAW visualisation of the isodose curves from a DOSXYZ simulation in which a Clinac 2100c 18MeV electron beam (simulated using a multiple-source model—with 35 million initial histories) was incident on the head and neck of a CT phantom. The visualisation was implemented by Daryoush Sheikh-Bagheri.

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#### 1 Introduction

#### 1.1 Overview

DOSXYZnrc is a general-purpose Monte Carlo EGSnrc[1, 2] user-code for 3-dimensional absorbed dose calculations. EGSnrc/DOSXYZnrc simulates the transport of photons and electrons in a Cartesian volume and scores the energy deposition in the designated voxels. DOSXYZnrc is "stand alone", in the usual EGSnrc sense in that it is controlled by the × .pegs4dat and .egsinp files and is capable of writing out ASCII formatted dose distribution arrays. The code uses the EGSnrcMP system which is described in detail in its own Users Manual[3]. There is also a graphical user interface (GUI) which allows input files to be created and executed graphically[4]. Much of the information in this manual is conveniently accessible via the GUI's help files.

The geometry is a rectilinear volume with the X-Y plane on the page, X to the right, Y down the page and the Z-axis into the page. Voxel dimensions are completely variable in all three directions. Every voxel (volume element) can have different materials and/or varying densities (for use with CT data). The code allows sources such as a monoenergetic diverging or parallel beam, phase-space data generated by a BEAMnrc simulation, or a model-based beam reconstruction produced by BEAMDP.

DOSXYZnrc has a number of important and unique features such as dose component calculations, a wide variety of source configurations and beam reconstruction techniques, CT to phantom conversion (via ctcreate), restart capabilities, phase-space redistribution, etc.

ctcreate is a stand alone program which converts CT data sets into the data needed for DOSXYZnrc to do a simulation. At present it handles ADAC Pinnacle, AAPM, CADPLAN and DICOM formats for the CT files. If you develop extensions, why not share them with your colleagues? Send them to us and we will integrate them into the standard distribution with full acknowledgement of the source.

The DOSXYZnrc code, in common with the BEAMnrc system is written for a preprocessor of Fortran77 called MORTRAN. The user does not need to know MORTRAN to use the code, but its elements are needed for modifications (see refs [5] or [2]).

### 1.2 History of DOSXYZnrc

DOSXYZ started out as a demonstration code that Dave Rogers wrote in March 1986 to show Ralph Nelson that special purpose coding of rectilinear voxels was faster than using Ralph's more general macros. At about that time it was used to estimate the time required to do a full Monte Carlo treatment planning calculation and the results published 3 years later in a book chapter [6]. It then became the basis for a Monte Carlo timing benchmark [7] which was regularly updated and available on the WWW for many years (until 2000). The OMEGA project took this code over and added a variety of different source routines with coding contributions from Charlie Ma, Bruce Faddegon, George Ding, Dave Rogers, Alex Bielajew, and Paul Reckwerdt. More recent modifications have reduced the array space used by the

code, and added beam characterization inputs (Charlie Ma), btree inputs, (Brian Geiser, but no longer supported), correlated sampling (Mark Holmes, but no longer supported). Blake Walters and Mark Holmes added the CT reading ability in summer 1996. Blake Walters separated out the ctcreate code in summer 1997 to make the DOSXYZ code much smaller and thus able to handle much larger array sizes. Blake Walters added the dsurround option for reducing simulation time for depth-dose curves and dose profiles and also the coding for parallel processing in 1998. In 1999, an option was added to allow the user to run N parallel jobs using a phase space source that exists in N separate pieces (option now only available using the old pprocess script and not with the new built-in parallel processing functionality).

Prior to the 1999 revD of this manual, the authors included Paul Reckwerdt, Mark Holmes and Brian Geiser who had been involved with the original code to read Pinnacle CT data sets, correlated sampling and BTREE beam modelling respectively. These extensions are either no longer used or are not supported and, thus, these authors are no longer included as authors of the users manual. Manuals and/or notes by Geiser and Holmes are available separately describing BTREE[8] and correlated sampling[9]. This latter manual used to be part of the DOSXYZ Users Manual prior to 1999.

In 2001, with the help of Iwan Kawrakow, Blake Walters ported the DOSXYZ code to the EGSnrc system to give DOSXYZnrc. At the same time the statistical analysis routines were converted to a much improved, history by history approach[10] instead of the standard batch approach used in DOSXYZ.

In 2004, Iwan Kawrakow and Blake Walters ported DOSXYZnrc to the new EGSnrcMP system[3]. This eliminated the exclusive use of Linux/Unix scripts and allowed DOSXYZnrc to be compiled and run on Windows-based systems in addition to Linux/Unix platforms. At this time, DOSXYZnrc operates similarly to a standard EGSnrcMP user code, although it is only distributed as part of the OMEGA/BEAM system.

Although Charlie Ma is no longer an author of the DOSXYZnrc version of the code, his major contributions to the original EGS4 version still remain.

### 1.3 Compatibility of DOSXYZ and DOSXYZnrc

After renaming a DOSXYZ input file from filename.egs4inp to filename.egsinp, the file can be used directly by DOSXYZnrc. This is because DOSXYZnrc assumes particular default values for all of the additional EGSnrc input parameters needed. However, a better approach is to use the GUI for DOSXYZnrc (dosxyznrc\_gui) to read in the DOSXYZ file and then output the DOSXYZnrc input file with all of the defaults explicitly stated. For further information, see section 9 on page 90.

The results of calculations with DOSXYZ and DOSXYZnrc will be very similar for most situations. The one systematic difference is that the relativistic spin corrections to the multiple scattering cause the depth-dose curves for electron beams to be about 1.5% more penetrating in water for a given electron energy[11].

### 2 Compiling/running DOSXYZnrc

#### 2.1 Files Related to DOSXYZnrc and ctcreate

As an EGSnrcMP user code, the DOSXYZnrc files are mostly contained in the directory \$HEN\_HOUSE/user\_codes/dosxyznrc/, while ctcreate files are in \$OMEGA\_HOME/progs/ctcreate/. For a general description of the file structure see Chapter 1 of the BEAMnrc User's Manual [12].

The following describes some files related to DOSXYZnrc:

- dosxyznrc\_gui This is the Tcl graphical user interface for creatingi, modifying or executing DOSXYZnrc input files. Files related to this are located in \$OMEGA\_HOME/progs/gui/dosxyznrc. See the GUI manual[4] for more details.
- egsnrc\_cshrc\_additions (or egsnrc\_bashrc\_additions) Located in \$HEN\_HOUSE/scripts. If using a Linux/Unix system, this file must be sourced in the user's .cshrc (or .bashrc) file. It defines useful aliases for compiling/running DOSXYZnrc.
- Makefile Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. This file is used by the GNU make utility to handle compilation of DOSXYZnrc. Includes \$HEN\_HOUSE/specs/config.conf (where config is the configuration you are using) and \$HEN\_HOUSE/specs/beamnrc.spec files to define environment variables and compiler options. Also, with the RANDOM variable, it defines the random number generator used (current default is ranmar). Finally, it defines the variable SOURCES which determines the macros and MORTRAN sources that are concatenated together to create mortjob.mortran (the code that is actually MORTRAN compiled). There are two versions of this file, called Makefile.MS and Makefile.NOMS, on the distribution. The default Makefile is Makefile.NOMS which does not use multiple source models (source 4, beam characterization models). Makefile.MS is to be used when Multiple Source models are to be used (read the file for instructions).
- dosxyznrc.make Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. This is an empty file that must exist for compilation using the make utility.
- dosxyznrc.mortran Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. Main MORTRAN source
- srcxyznrc.mortran Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. Subroutines for source
  configuration inputs + energy spectrum
- srcxyznrc.macros Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. MORTRAN macros required by srcxyznrc.mortran
- read\_write\_pardose.c Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. C subroutines used in DOSXYZnrc to write and read binary .pardose output during parallel jobs. If you have a C or C++ compiler, then this is compiled when the OMEGA/BEAM system

is installed and read\_write\_pardose.o is put in directory \$HEN\_HOUSE/lib/config, where config is the name of your configuration. If you do not have a C or C++ compiler, then this file is not compiled, and the built-in parallel functionality of DOSXYZnrc cannot be used.

- dosxyznrc\_config.spec (where config is the name of your configuration) Located in \$HEN\_HOUSE/specs. This file is created during OMEGA/BEAM installation and determines whether or not the compiled C routines for reading/writing .pardose files, read\_write\_pardose.o, are linked in at compile time or not. If they are to be linked (i.e., you have a C or C++ compiler and read\_write\_pardose.c was compiled successfully), then the variable PARDOSE\_OBJECTS in this file is set to \$(EGS\_LIBDIR)=\$HEN\_HOUSE/lib/config. If the routines are not to be linked at compile time (i.e., you do not have a C or C++ compiler or read\_write\_pardose.c was not compiled successfully), then PARDOSE\_OBJECTS is left blank.
- dosxyznrc\_user\_macros.mortran Located in \$HEN\_HOUSE/user\_codes/dosxyznrc.

  MORTRAN macros that the user may change includes defaults for various options such as beam models, etc. Note that dosxyznrc\_user\_macros is also used by ctcreate to define the maximum dimensions of the DOSXYZnrc phantom output.
- dosxyznrc.io Located in \$HEN\_HOUSE/user\_codes/dosxyznrc. This file assigns file names to Fortran unit numbers for output files not opened explicitly in dosxyznrc.mortran. Currently, the only files that use this are the .egslst file (Fortran unit 1) and the .errors file (Fortran unit 15).
- phsp\_macros.mortran MORTRAN macros used to read phase space sources. This file is always picked up from the \$HEN\_HOUSE/utils directory.
- iaea\_phsp\_macros.mortran MORTRAN macros used to handle IAEA-format phase space sources. Located in the \$HEN\_HOUSE/utils directory, this file is only included if EGSnrc was installed on a machine with a working C++ compiler and the library of IAEA phase space handling routines (\$HEN\_HOUSE/iaea\_phsp/iaea\_phsp.a) was compiled successfully. Otherwise, these macros are defined as blank ({;}) in phsp\_macros.mortran and IAEA functionality does not exist.
- beammodel\_macros.mortran MORTRAN macros required by the multiple-source model for beam reconstruction (source 4), stored in \$OMEGA\_HOME/progs/beamdp.
- beammodel\_routines.mortran MORTRAN subroutines required by the multiple-source model for beam reconstruction (source 4), stored in \$OMEGA\_HOME/progs/beamdp.
- DOSXYZnrc\_examples/ A subdirectory of \$HEN\_HOUSE/user\_codes/dosxyznrc. This directory contains sample input files for DOSXYZnrc.

The following is a description of some of the files related to ctcreate:

Makefile Located in \$OMEGA\_HOME/progs/ctcreate. Used by the GNU make utility, this file directs the compilation of ctcreate. The SOURCES variable defines the macros and MORTRAN sources concatenated to create mortjob.mortran (which is ultimately MORTRAN compiled)

ctcreate.mortran Located in \$OMEGA\_HOME/progs/ctcreate. This is the main MORTRAN source code for ctcreate.

ReadCT\_DICOM.c Located in \$0MEGA\_HOME/progs/ctcreate. This is a C subroutine for reading CT images in DICOM format. It is linked to ctcreate.mortran at compile time.

tags\_ct.h Located in \$0MEGA\_HOME/progs/ctcreate. This is a C header file used with ReadCT\_DICOM.c. It defines the hexadecimal data identifiers used in DICOM image format.

lnblnk1\_function.mortran MORTRAN macro to provide the FORTRAN lnblnk function for all configurations. This is picked up from \$HEN\_HOUSE/src.

#### 2.2 Compiling and Running DOSXYZnrc

The commands for compiling and running DOSXYZnrc are similar to those for other EGSnrcMP user codes (see the EGSnrcMP Users Manual[3]). For EGS4 users, please note that file extensions have changed from, eq. file.egs4inp to file.egsinp.

DOSXYZnrc is normally compiled on your user area as part of the OMEGA/BEAM user set up (see the BEAMnrc Manual [12] for configuration instructions). To compile DOSXYZnrc independently (e.g., necessary if you have changed some parameters in

dosxyznrc\_user\_macros.mortran), ensure that Makefile, dosxyznrc.make,

dosxyznrc.mortran, dosxyznrc\_user\_macros.mortran, srcxyznrc.mortran,

srcxyznrc.macros, and dosxyznrc.io exist in your

\$EGS\_HOME/dosxyznrc directory (they should have been copied there automatically from \$HEN\_HOUSE/user\_codes/dosxyznrc during OMEGA/BEAM configuration). Then, from \$EGS\_HOME/dosxyznrc, compile DOSXYZnrc by typing:

make [options]

The options for make are:

make Compile with default optimization

make opt turned on. Default optimization is level 2 (-02).

make noopt Compile with no optimization

make debug Compile executable for debugging.

make fortran Do mortran compilation only, leaving behind the Fortran

file dosxyznrc.F.

make clean Remove the Fortran file, mortjob.mortran file, dosxyznrc.mortlst file and the executable.

To preserve compatibility with old usage, the mf command is also available for compiling DOSXYZnrc on a Linux/Unix system (you must have sourced

\$HEN\_HOUSE/scripts/egsnrc\_cshrc\_additions or

\$HEN\_HOUSE/scripts/egsnrc\_bashrc\_additions from your .cshrc or .bashrc file). mf is aliased to the script \$HEN\_HOUSE/scripts/compile\_user\_code.

To use mf, go into \$EGS\_HOME/dosxyznrc and type:

#### m[f] dosxyznrc [a] [opt|noopt|debug]

The options for mf are: mf => Mortran and Fortran compile and then link

m => Mortran compile and create the Fortran file

opt => use optimization (default level 2)

debug => create executable ready for a debug run

The parameter "a" is not used and is only present for compatibility with the previous version of mf.

Once you have successfully compiled DOSXYZnrc, the executable, dosxyznrc\*, will be left in your \$EGS\_HOME/bin/config directory (where config is the name of the configuration that you are using).

To run DOSXYZnrc interactively from the command line, go into \$EGS\_HOME/dosxyznrc and type:

dosxyznrc -i inputfile -p pegsdata

where the input file is \$EGS\_HOME/dosxyznrc/inputfile.egsinp and the file pegsdata.pegs4dat contains the PEGS4 data set (it can be on \$EGS\_HOME/pegs4/data or if not found there, on \$HEN\_HOUSE/pegs4/data).

If you are using a Unix/Linux system, then you can also start an interactive DOSXYZnrc run using the ex (aliased to \$HEN\_HOUSE/scripts/run\_user\_code) command:

#### ex dosxyznrc inputfile pegsdata

ex is provided to preserve compatibility with old usage.

If you are using a Linux/Unix system then you can also run DOSXYZnrc in batch mode. Batch submission is required for parallel jobs. Batch submission uses the exb command, which is aliased to the script \$HEN\_HOUSE/scripts/run\_user\_code\_batch. The syntax of the exb command is:

exb dosxyznrc inputfile pegsdata [short|medium|long] [batch=batch\_sys] [p=N]

The [short|medium|long] option defines the name of the queue that is used (default is long as at NRC). The batch\_sys input defines the network queuing system to use. Currently, batch\_sys can be set to at (the standard Unix batch command), pbs (to use PBS), keg (to use Sun's SGE) or nqs (for NQS). The default is at unless otherwise specified by setting the environment variable \$EGS\_BATCH\_SYSTEM. Finally, N is used if you are submitting parallel

jobs and is set equal to the number of jobs that you want to split the simulation into.

Once a run is started, a temporary working directory is created as a subdirectory of \$EGS\_HOME/dosxyznrc. This temporary working directory has the name egsrun\_pid\_inputfile\_hostname, where where pid is the process ID number and hostname is the name of the computer the job is running on. All output files are written to this temporary directory. At the end of the run, the files are moved into \$EGS\_HOME/dosxyznrc and the temporary working directory is deleted. For more information on temporary working directories, see the EGSnrcMP Users Manual[3].

DOSXYZnrc outputs the following files: inputfile.egslst, inputfile.egslog (for batch runs only, where it contains screen output), inputfile.egsdat (which can be used to restart the calculation) and inputfile.3ddose which contains a summary of the data in all regions and can be used by STATDOSE to create xmgr/xmgrace graphs (see "STATDOSE Users Manual" [13] ). If this is a parallel run, then the individual jobs will output binary .pardose files instead of .3ddose files. The .pardose are then combined automatically at the end of the parallel run to create a .3ddose file. See section 10 for more information on parallel runs. Note that the .egslst file can become VERY long and thereby become useless so use it carefully for getting the dose which usually can be more effectively obtained via the .3ddose output file.

The DOSXYZnrc code can also be compiled and run from the dosxyznrc\_gui[4]. To compile DOSXYZnrc, select "Compile" from the "Run" menu. This will open up a window which gives you the different make options (ie optimization vs no optimization, debug, etc). To run the code from the GUI, you must first load an existing input file or create a new one (new inputs or changes to an input file must first be saved before running). Then select "Run" from the "Run" menu. This will open up a window in which you can either run DOSXYZnrc interactively or else submit to a queue (or start a parallel run). Batch runs will use the PBS queueing system unless otherwise specified in the \$EGS\_BATCH\_SYSTEM environment variable. Dialog that would normally appear on screen during an interactive run now appears in the GUI run window.

For more information about compiling and running user codes, see the EGSnrcMP Users Manual[3].

#### 2.2.1Including source 4/beam characterization

To implement beam characterization models in DOSXYZnrc, copy beammodel\_macros.mortran and beammodel\_routines.mortran, to the user's dosxyznrc area from \$OMEGA\_HOME/progs/beamdp. Also copy Makefile.MS from \$HEN\_HOUSE/user\_codes/dosxyznrc/ to the user's dosxyznrc area and rename it Makefile. Then recompile.

### 2.3 Statistical Analysis

The statistical analysis in the original DOSXYZ code was done using a standard batching technique. Starting with DOSXYZnrc the statistics on the doses are determined by grouping scored quantities (i.e., energy deposited) on a history-by-history basis and then determining the uncertainties. For most sources, this simply means grouping quantities by incident particle. However, for phase space sources, where more than one incident particle may be traced back to a single primary history, quantities are grouped by primary history. For more information, see the published paper on history by history statistics in DOSXYZnrc and BEAMnrc[10].

It is worth noting that the method used takes into account the latent variance in any phase space file being used as a source (i.e. the uncertainty introduced by the statistical variations in the phase space file). Hence, one cannot reduce the uncertainty in any dose calculation below that level by recycling the data a large number of times. However, one can get an artificially low statistical result which ignores this latent variance if the phase space source is allowed to restart the phase space file instead of using the recycle option (whereby each particle is used multiple times as it is read in - see section 8.11, page 82). Thus, in order to get accurate uncertainty estimates, restarting the phase space file should be avoided.

### 3 DOSXYZnrc Input Parameters

### 3.1 Descriptions in DOSXYZnrc Source Code

This section describes input parameters for DOSXYZnrc. The following descriptions can be found in the beginning of the dosxyznrc.mortran source code. The graphical user interface facilitates creation of these input files and contains a great deal of on-line help[4].

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

A general purpose EGSnrc user code to do cartesian coordinate dose deposition studies. Every voxel (volume element) can have different materials and/or varying densities (for use with CT data).

The geometry is a rectilinear volume with a right-handed coordinate system: the X-Y plane on the page, X to the right, Y down and the Z-axis into the page. Voxel dimensions are completely variable in all three directions. For more detail on geometry see subroutine HOWFAR

Unit Assignments

==========

```
Unit 1 Output summary and results
  Unit 2
         .egsrns file for storing random numbers
  Unit 3 output .3ddose file containing dose arrays
  Unit 4 Raw data output file for restarts
  Unit 5
         Input stream - file or terminal
  Unit 6 prompts for and echoes input
  Unit 8 echoes input cross-section data (usually assigned to a null file)
  Unit 17 geometry output file for EGS_Windows
  Unit 13 phase space output file for EGS_Windows
  Unit 12 Input cross section file from PEGS4
  Unit 15 Output of EGSnrc input error messages
  Unit 16 Output for .pardose when IPARALLEL > 1
  Unit 44 Full phase-space data set, such as output from BEAM
  $CTUnitNumber (normally 45) CT data set input
DESCRIPTION OF INPUT FILE (on unit 5)
```

Record 1 TITLE Up to 80 characters

Record 2 NMED Number of media in problem - defaults to 1 If (NMED=0) then create dosxyznrc phantom from binary CT data

-----if NMED > 0 => non-CT data input-----

Record 3(NMED times) Media names, left justified. Note that entire volume is initially set to medium 1

Record 4 ECUTIN, PCUTIN, ESTEPM(i, i=1, NMED), SMAX

ECUTIN, PCUTIN: Electron (total) and photon global cutoff energies in MeV. If ECUTIN > ECUT input in EGSnrc parameters (See below), then ECUTIN is used. The same is true if PCUTIN > PCUT input in EGSnrc parameters. ECUT and PCUT default to AE and AP, respectively.

ESTEPM(i,i=1,NMED): Dummy input (used to be estepe, max. energy loss/electron step in each medium)

SMAX: Dummy input (used to be max. step length)

ESTEPE and SMAX are now handled in EGSnrc inputs (See below), but the dummy inputs are retained for compatibility with EGS4/DOSXYZ input files.

Record 5 IMAX, JMAX, KMAX, IPHANT (4i10) IMAX, JMAX, KMAX: Number of voxels in the X,Y,Z directions If < 0, it means that (-n) sets of equally spaced

```
boundaries will be input for that direction.
              IPHANT: set to 1 to output a .egsphant file for displaying
                     non-CT isodose contours using dosxyz_show
Record 6 et seq,
                     repeated for x, y and z directions separately
                     i.e. repeat the following replacing (i and x) by
                     (j and y) and (k and z) respectively
  if IMAX > 0
             input, one per record, the IMAX+1 x boundaries
  if IMAX < 0
             input smallest x boundary, followed by abs(IMAX) pairs
             one pair/record:
             voxel width, # voxels with this width
  For example, starting at record 5:
            -1,-1,-1
            0.0
            1.0,16
            0.0
            1.0,16
            0.0
            1.0.16
     defines a 16x16x16 cm cube of 1cm**3 voxels with a total of 4097 regions
  Or:
            -1,-1,2
            0.0
            1.0,16
            0.0
            1.0,16
            0.0
            5.0
            10.0
     defines a 16x16x10 cm cube with 1x1x5 cm voxels stacked 2 deep
 Record 7 et seq
           IL, IU, JL, JU, KL, KU, MEDIUM, DENSITY (7i10,f10.0)
           This record is repeated until a blank record is found.
           All regions default to medium 1 with its default density
           unless changed here.
           For all voxels with
                     IL <= i <= IU
                     JL <= i <= JU
                     KL \le k \le KU
           the medium and density are defined.
           If DENSITY=0.0, the default value for that
           MEDIUM is used (faster than entering default density here).
           If IU and IL are non-zero, the rest default to all j,k
```

#### Record 8 et seq

IL, IU, JL, JU, KL, KU, ECUTL, PCUTL (6i10,2f10.0) This record is repeated until a blank record is found. As above but allowing a region by region local definition of ECUT and PCUT.

All regions default to the global values defined in record 4 unless changed here.

Note, this option disabled, but must enter blank record for compatibility. Note old code is in place.

#### Record 9 et seq

IL,IU, JL,JU, KL,KU,IZSCAN,MAX20
This record is repeated until a blank record is found.
As above except these are the regions for which the dose will be printed in .egslst - beware of a paper explosion!
The default is to print nothing unless asked for here.
IZSCAN is non-zero to get a z-scan per page, otherwise output is an x-scan per page. If the input parameter
MAX20 is equal to 1 on any of these input lines, a summary of the 20 highest doses is included in the output.

------if NMED = 0 input for a CT phantom ------

Record 3 PhantFileName (A256) : The full name of the file containing the CT phantom as output by ctcreate (should be a .egsphant file).

#### Record 4 ECUTIN, PCUTIN, SMAX (3F15.0)

ECUTIN, PCUTIN: Electron (total) and photon global cutoff energies in MeV. If ECUTIN > ECUT input in EGSnrc parameters (See below), then ECUTIN is used as the global ECUT. The same is true if PCUTIN > PCUT input in EGSnrc parameters. ECUT and PCUT default to AE and AP, respectively.

SMAX: Dummy input (used to be max. step length)

SMAX is now handled in EGSnrc inputs (See below), but the dummy input is retained for compatibility with EGS4/DOSXYZ input files.

#### Record 5 zeroairdose, doseprint, MAX20 (315)

= O(default) to not zero the dose in air

```
doseprint: = 1 for output of all doses to
```

.egslst file

= O(default) to suppress this output

MAX20: = 1 to print out summary of 20 highest

doses

= O(default) no summary of these doses

\_\_\_\_\_

-----From here on the two formats are identical.-------

Input source parameters read by srcxyznrc.mortran

(see section 4 of DOSXYZnrc User's Manual for details)

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Record SC1-0 Parallel beam incident on the front with rectangular collimation (front is the zbound(1) plane)

iqin, isource, xinl, xinu, yinl, yinu, thetax, thetay, thetaz

iqin Charge of the incident beam (defaults to 0)

isource = 0

xinl,xinu Lower and upper x-bounds on source (in cm)
yinl,yinu Lower and upper y-bounds on source (in cm)

thetax Angle of the beam relative to the X-axis (degrees) thetay Angle of the beam relative to the Y-axis (degrees) thetaz Angle of the beam relative to the Z-axis (degrees)

(incident angles default to 90,90,0)

\_\_\_\_\_\_

Record SC1-1 Parallel beam incident from any direction with rectangular collimation

iqin, isource, xiso, yiso, ziso, theta, phi, xcol, ycol, phicol

iqin Charge of the incident beam (defaults to 0)

isource = 1

x|y|z| iso x|y|z|-coordinates of the isocenter

theta angle between the +z direction and a line joining

the center of the beam to the isocenter

phi angle between the +x direction and the

projection of the line joining the center of the

beam to the isocenter on the xy plane

x|y|col total x- and y- widths of the beam on the plane

perpendicular to the beam direction, defined by the center of the beam and the isocenter

phicol angle by which the collimator is rotated in the

collimator plane perpendicular to the beam direction Phicol is determined for theta=0 or 180 and phi=0. The positive sense of rotation is counterclockwise

as one sights down the beam direction.

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Record SC1-2 Full phase-space source file, particles incident on front face

iqin(iqphsp)= 0 only photons from ph-sp file will be used

= 1 only positrons will be used =-1 only electrons will be used = 2 all the particles will be used

internally renamed as iqphsp

isource = 2

x|y|z| iso x|y|z|-coordinates of the isocenter

theta angle between the +z direction and a line joining the origin in the phase space plane to the isocenter. theta=180 degrees for a beam down

from the top.

phi angle between the +x direction and the

projection of the line joining the origin in the phase space plane to the isocenter on the xy plane

dsource For a BEAMnrc format phase space source: absolute

distance from the isocenter to the

source center, which is, by definition, the origin in the phase space plane. For an IAEA format phase space source: the primary source to

isocentre distance (SAD).

phicol angle by which the collimator is rotated in the

collimator plane perpendicular to beam direction. Phicol is determined for theta=0 or 180 and phi=0. The positive sense of rotation is counterclockwise

as one sights down from the origin in the

phase space plane.

phicol = 180 to retain same x,y positions passing

from BEAM to DOSXYZ (because of coordinate

transformation used).

i\_dbs set to 1 if you used directional bremsstrahlung splitting (DBS) in the BEAM simulation used to generate this phase space source AND you wish to

reject fat photons not aimed into the splitting

field. These fat photons may compromise dose statistics. Set to 0 otherwise. r\_dbs DBS splitting radius used in BEAMnrc simulation(cm). Set to 0 to disable this option. Only needed if  $i_dbs=1.$ ssd\_dbs SSD at which  $r_{dbs}$  is defined in the BEAM sim. (cm). Only needed if i\_dbs=1. z\_dbs Z in the BEAMnrc run where the phase space source was scored (in cm). Only needed if i\_dbs=1. No. of times to split charged particles as soon e\_split as they enter the DOSXYZnrc geometry. Used in conjunction with photon splitting (n\_split) to prevent higher-weight charged particles from compromising dose statistics. Recommended setting is e\_split=n\_split for optimum efficiency. Not used if n\_split<=1.

For i\_dbs=1, photons are projected from z\_dbs to ssd\_dbs and if they will fall outside of r\_dbs (based on their Z direction cosine) then they will be rejected. This prevents fat photons from compromising dose statistics.

Note: as currently set, multiple passers and all particles going backwards in the phase space file are NOT used.

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Record SC1-3 Point source incident from the front (along the +ve z-axis) employing rectangular collimation

iqin, isource, xinl, xinu, yinl, yinu, ssd

\_\_\_\_\_\_

Record SC1-4 Beam characterization model, particles incident on front face

iqin, isource, xiso, yiso, ziso, thetax, thetay, thetaz, phicol

= 2 all the particles will be simulated

isource = 4

x y z iso	x y z -coordinates of the isocenter
theta	angle between the +z direction and a line joining
	the origin in the phase space plane to the isocenter
phi	angle between the +x direction and the
	projection of the line joining the origin in the
	phase space plane to the isocenter on the xy plane
dsource	absolute distance from the isocenter to the
	source center, which is, by definition, the
	origin in the phase space plane
phicol	angle by which the collimator is rotated in the
	collimator plane perpendicular to the beam direction
	Phicol is determined for theta=0 or 180 and phi=0.
	The positive sense of rotation is counterclockwise
	as one sights down from the origin in the
	phase space plane.

Record SC1-6 Uniform isotropically radiating parallelepiped within DOSXYZ phantom

```
iqin, isource, xinl, xinu, yinl, yinu, zinl, zinu
```

```
iqin
            charge of particles from source (defaults to 0)
isource
xinl
            min x of active volume (cm)
xinu
            max x of active volume (cm)
yinl
            min y of active volume (cm)
yinu
            max y of active volume (cm)
zinl
            min z of active volume (cm)
            max z of active volume (cm)
zinu
```

NOTE: The active volume must be completely contained within the phantom.

Record SC1-7 Parallel beam incident from multiple, user-selected angles.

iqin,isource,xiso,yiso,ziso,nang,xcol,ycol,phicol

```
iqin
            Charge of the incident beam (defaults to 0)
isource
x|y|z|iso
            x|y|z|-coordinates of the isocenter
            number of incident theta-phi pairs or, if -ve,
nang
            absolute value is the number of groups of theta-
            phi pairs. Within a group:
               1. only theta or phi, but not both, can vary
               2. varying theta's or phi's are evenly
                  distributed
               3. theta-phi pairs have equal probability
```

total x- and y- widths of the beam on the plane

x|y|col

phicol

perpendicular to the beam direction, defined by the center of the beam and the isocenter angle by which the collimator is rotated in the collimator plane perpendicular to the beam direction Phicol is determined for theta=0 or 180 and phi=0. The positive sense of rotation is counterclockwise as one sights down the beam direction.

Note that, similar to source 1, theta is defined as the angle between the  $\pm$ 2 direction and a line joining the center of the beam to the isocenter, and phi is defined as the angle between the  $\pm$ 2 direction and the projection of the line joining the center of the beam to the isocenter on the xy plane.

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Record SC1-8 Full phase-space source, particles incident from multiple, user-selected angles.

iqin,isource,xiso,yiso,ziso,nang,dsource,phicol,i\_dbs,r\_dbs,ssd\_dbs,
 z\_dbs,e\_split

iqin(iqphsp)= 0 only photons from ph-sp file will be used

= 1 only positrons will be used

=-1 only electrons will be used

= 2 all the particles will be used

internally renamed as iqphsp

isource

x|y|z|iso

nang

x|y|z|-coordinates of the isocenter number of incident theta-phi pairs or, if -ve, absolute value is the number of groups of theta-phi pairs. Within a group:

- 1. only theta or phi, but not both, can vary
- varying theta's or phi's are evenly distributed

dsource

3. theta-phi pairs have equal probability For an BEAMnrc format phase space source: the absolute distance from the isocenter to the source center, which is, by definition, the origin in the phase space plane. For an IAEA format source: the primary source-to-isocentre distance (SAD).

phicol

angle by which the collimator is rotated in the collimator plane perpendicular to beam direction. Phicol is determined for theta=0 or 180 and phi=0. The positive sense of rotation is counterclockwise as one sights down from the origin in the phase space plane.

phicol = 180 to retain same x,y positions passing from BEAM to DOSXYZ (because of coordinate transformation used).

set to 1 if you used directional bremsstrahlung
splitting (DBS) in the BEAM simulation used to
generate this phase space source AND you wish to
reject fat photons not aimed into the splitting
field. These fat photons may compromise dose
statistics. Set to 0 otherwise.
DBS splitting radius used in BEAMnrc simulation(cm).
Set to 0 to disable this option. Only needed if
i_dbs=1.
SSD at which $r_{dbs}$ is defined in the BEAM sim. (cm).
Only needed if i_dbs=1.
Z in the BEAMnrc run where the phase space source
was scored (in cm). Only needed if i_dbs=1.
No. of times to split charged particles as soon
as they enter the DOSXYZnrc geometry. Used in
conjunction with photon splitting (n_split) to
prevent higher-weight charged particles from
compromising dose statistics. Recommended setting
is e_split=n_split for optimum efficiency. Not
used if n_split<=1.

For i\_dbs=1, photons are projected from z\_dbs to ssd\_dbs and if they will fall outside of r\_dbs (based on their Z direction cosine) then they will be rejected. This prevents fat photons from compromising dose statistics.

Note: as currently set, multiple passers and all particles going backwards in the phase space file are NOT used. Also note that, similar to source 2, theta is the angle between the +z direction and a line joining the origin of the phase space plane to the isocenter, and phi is the angle between the +x direction and the projection of the line joining the origin of the phase space plane to the isocenter in the xy plane.

Record SC1-9 BEAM treatment head simulation used as source, incident from any angle

This source requires unix/Linux systems to have a working C/C++ compiler and requires the BEAM code, BEAM\_accelname, to have been compiled as a shared library (libBEAM\_accelname.so or BEAM\_accelname.dll) that exists in your EGS\_HOME/bin/config directory. See the DOSXYZnrc Manual for more details.

iqin,isource,xiso,yiso,ziso,theta,phi,dsource,phicol,i\_dbs,e\_split

iqin(iqinc) = 0 only photons from BEAM simulation will be used = 1 only positrons will be used

=-1 only electrons will be used
= 2 all the particles will be used
internally renamed as iqinc

isource = 9

x|y|z| iso x|y|z|-coordinates of the isocenter.

theta angle between the +z direction and a vector

joining the isocentre to the origin of the source plane (the plane where the particles are sampled

from the BEAM simulation--which is the

scoring plane in a standard BEAM simulation). theta=180 degrees for a beam down from the top.

phi angle between the +x direction and the

projection of the vector joining the isocenter to

the origin of the source plane.

dsource absolute distance from the isocenter to the

origin of the source plane.

phicol angle by which the source plane is rotated about

the BEAM central axis. Rotation is counter-clockwise for theta=0. Set phicol=180 degrees

to retain same x,y, positions passing

from BEAM to DOSXYZ when theta=180 (beam coming

down from top).

i\_dbs set to 1 if you are using directional bremsstrahlung

splitting (DBS) in the BEAM simulation

AND you wish to reject fat photons not aimed into

the splitting field. These fat photons may compromise dose statistics. Set to 0 otherwise.

e\_split No. of times to split charged particles as soon

as they enter the DOSXYZnrc geometry. Used in conjunction with photon splitting (n\_split) to prevent higher-weight charged particles from compromising dose statistics. Recommended setting

is e\_split=n\_split for optimum efficiency. Not

used if n\_split<=1.

-----

Record SC1-10 BEAM treatment head simulation used as source, incident from multiple, user-defined angles.

This source requires unix/Linux systems to have a working C/C++ compiler and requires the BEAM code, BEAM\_accelname, to have been compiled as a shared library (libBEAM\_accelname.so or BEAM\_accelname.dll) that exists in your EGS\_HOME/bin/config directory. See the DOSXYZnrc Manual for more details.

iqin,isource,xiso,yiso,ziso,nang,dsource,phicol,i\_dbs,e\_split

=-1 only electrons will be used = 2 all the particles will be used internally renamed as iqinc

= 10isource

x|y|z|iso x|y|z|-coordinates of the isocenter. nang

number of incident theta-phi pairs or, if -ve, absolute value is the number of groups of theta-

phi pairs. Within a group:

1. only theta or phi, but not both, can vary

2. varying theta's or phi's are evenly distributed

3. theta-phi pairs have equal probability dsource absolute distance from the isocenter to the

origin of the source plane.

angle by which the source plane is rotated about phicol the BEAM central axis. Rotation is counterclockwise for theta=0. Set phicol=180 degrees to retain same x,y, positions passing from BEAM to DOSXYZ when theta=180 (beam coming

down from top).

i\_dbs set to 1 if you are using directional bremsstrahlung

splitting (DBS) in the BEAM simulation

AND you wish to reject fat photons not aimed into the splitting field. These fat photons may

compromise dose statistics. Set to 0 otherwise.

e\_split No. of times to split charged particles as soon as they enter the DOSXYZnrc geometry. Used in conjunction with photon splitting (n\_split) to prevent higher-weight charged particles from compromising dose statistics. Recommended setting is e\_split=n\_split for optimum efficiency. Not

used if n\_split<=1.

Note that this source requires Record SC1-10a, described below.

Record SC1-20 Synchronized phase space source simulating continuous motion of the source plane between user-defined control points. Option to run the source through a geometry (usually MLC) defined using BEAM or a non-EGSnrc code compiled as a shared library - added by Tony Popescu and Julio Lobo

This source requires unix/Linux systems to have a working C/C++ compiler and requires the BEAM code or non-EGSnrc code (e.g particleDmlc) to have been compiled as a shared library (libparticleDmlc.so) that exists in your EGS\_HOME/bin/config directory.

iqin,isource,nset,i\_dbs,r\_dbs,ssd\_dbs,z\_dbs,e\_split,i\_muidx\_out, calflag

iqin(iqinc) = 0 only photons from BEAM simulation will be used = 1 only positrons will be used =-1 only electrons will be used = 2 all the particles will be used internally renamed as iqinc isource = 20nset number of settings (control points) (>=2) set to 1 if you are using directional bremsstrahlung i\_dbs splitting (DBS) in the BEAM simulation AND you wish to reject fat photons not aimed into the splitting field. These fat photons may compromise dose statistics. Set to 0 otherwise. DBS splitting radius used in BEAMnrc simulation(cm).  $r_dbs$ Set to 0 to disable this option. Only needed if i\_dbs=1. ssd\_dbs SSD at which  $r_{dbs}$  is defined in the BEAM sim. (cm). Only needed if i\_dbs=1. z\_dbs Z in the BEAMnrc run where the phase space source was scored (in cm). Only needed if i\_dbs=1. e\_split No. of times to split charged particles as soon as they enter the DOSXYZnrc geometry. Used in conjunction with photon splitting (n\_split) to prevent higher-weight charged particles from compromising dose statistics. Recommended setting is e\_split=n\_split for optimum efficiency. Not used if n\_split<=1. i\_muidx\_out Set to 1 to include frMU\_indx (the fractional monitor unit index) in phase space output if i\_phsp\_out=1 or 2. set to 1 to skip the calibration run performed to calflag refine the estimate of NRCYCL needed to avoid rewinding the phsp. Only do this if certain that your phsp has enough particles compared to the no. of histories you are running.

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Record SC1-21 Synchronized BEAM treatment head simulation used as source with continuous motion of the source plane between user-defined control points. Option to run the source through a geometry (usually MLC) defined using a non-EGSnrc code compiled as a shared library.

- added by Tony Popescu and Julio Lobo

This source requires unix/Linux systems to have a working C/C++ compiler and requires the BEAM code being used as the source, BEAM\_accelname, to have been compiled as a shared library (libBEAM\_accelname.so or BEAM\_accelname.dll) that exists in your \$EGS\_HOME/bin/config directory. See

```
the DOSXYZnrc Manual for more details.
       iqin,isource,nset,i_dbs,e_split,i_muidx_out
               iqin(iqinc) = 0 only photons from BEAM simulation will be used
                           = 1 only positrons will be used
                           =-1 only electrons will be used
                           = 2 all the particles will be used
                           internally renamed as iqinc
                           = 21
               isource
               nset
                           number of settings (control points) (>=2)
               i_dbs
                           set to 1 if you are using directional bremsstrahlung
                           splitting (DBS) in the BEAM simulation
                           AND you wish to reject fat photons not aimed into
                           the splitting field. These fat photons may
                           compromise dose statistics. Set to 0 otherwise.
                           No. of times to split charged particles as soon
             e_split
                           as they enter the DOSXYZnrc geometry. Used in
                           conjunction with photon splitting (n_split) to
                           prevent higher-weight charged particles from
                           compromising dose statistics. Recommended setting
                           is e_split=n_split for optimum efficiency. Not
                           used if n_split<=1.
            i_muidx_out
                           Set to 1 to include frMU_indx (the fractional
                           monitor unit index) in phase space output if
                           i_phsp_out=1 or 2.
Record SC1-7a, SC1-8a and SC1-10a (required for sources 7, 8 and 10 only)
        if nang>0:
         (theta(i),phi(i),pang(i),i=1,nang)
                           incident theta i
               theta(i)
                           incident phi i.
               phi(i)
               pang(i)
                           probability of a particle being incident at
                           theta(i)-phi(i) (probabilities are automatically
                           normalized to 1).
        if nang<0:
         (ivary(i),angfixed(i),angmin(i),angmax(i),ngang(i),pgang(i),i=1,-nang)
               ivary(i)
                           =0 to vary phi in group i, 1 to vary theta in
                           group i
               angfixed(i) =fixed theta (ivary=0) or phi (ivary=1) for group i
                           min. value of varying phi (ivary=0) or theta
               angmin(i)
```

(ivary=1) in group i

max. value of varying phi or theta in group i

number of equally-spaced phi's or theta's including angmin(i) and angmax(i) (Note: this

angmax(i)

ngang(i)

```
means ngang(i) must be at least 2).
                           probability of a particle being incident in
               pgang(i)
                           group i (probabilities are automatically normalized
                           to 1).
Record SC1-20a and SC1-21a (required for sources 20 and 21)
  (|x|y|ziso(i),theta(i),phi(i),phicol(i),dsource(i),muIndex(i),i=1,nset)
           |x|y|ziso(i)
                           x,y,z of isocentre for control point i
               theta(i)
                           incident theta for control point i
               phi(i)
                           incident phi for control point i
               phicol(i)
                           incident phicol for control point i
               dsource(i)
                           distance from isocentre to origin of BEAM
                           scoring plane for control point i. For source
                           21 using an IAEA phase space file, this is
                           the primary source to isocentre distance (SAD).
               muIndex(i)
                           fractional monitor unit index for control point
                           i. Restrictions: muIndex(i) is on [0,1],
                           muIndex(i-1)<=muIndex(i), muIndex(1)=0.0,</pre>
                           muIndex(nset)=1.0
  Note: theta, phi, phicol, and dsource have the same meaning as for
  Source 2 (see above).
 Record SC2
       enflag, mode, medsur, dsurround(1), dflag, dsurround(2), dsurround(3),
                                                               dsurround(4)
                      = 0 for monoenergetic beams
            enflag
                      = 1 for beams with an energy spectrum
                      = 2 for ph-sp beam input or full BEAM sim.
                      = 3 for ph-sp beam input or full BEAM sim. + dose
                          components calculations (use of LATCH filters)
                      = 4 for ph-sp beam modelled with multiple-source
                          (use of LATCH filters)
            mode
                      = 0 default file format for ph-sp data (enflag=2)
                          (7 variables/record)
                      = 2 special file format for ph-sp data with ZLAST
                          (8 variables/record)
           medsur
                          medium number for the region outside the phantom
                          (default = vacuum if medsur = 0) for enflag > 1
           dsurround(1):
                          if dflag=0, the thickness of the region surrounding
                          the phantom on all sides (default=50cm)
                          OR
                          if dflag=1, the thickness of the region surrounding
```

```
the phantom in the x direction (default=0cm)
                     = 0 dsurround(1) applies to all sides of phantom
            dflag
                     = 1 dsurround(1) applies to x direction; must input
                         dsurround(2) for y direction, dsurround(3) for +z
                         direction (down), dsurround(4) for -z direction (up)
         dsurround(2):
                         (only required if dflag=1) thickness of region
                          surrounding phantom in y direction (default=0cm)
         dsurround(3):
                         (only required if dflag=1) thickness of region
                          surrounding phantom in +z direction (default=0cm)
         dsurround(4):
                         (only required if dflag=1) thickness of region
                          surrounding phantom in -z direction (default=0cm)
     Note: Currently, medsur, dflag and dsurround(1...4) are only input
            if enflag > 1.
Record SC3a (if enflag = 0)
           ein
           ein
                     kinetic energy of the incident particles in MeV
Record SC3b (if enflag = 1, 2, 3, or 4, each with different inputs)
            FILNAM or
            the_beam_code, the_input_file, the_pegs_file or
            the_shared_code, the_phsp_file, the_input_file (isource 20) or
  the_beam_code, the_input_file, the_pegs_file, the_vcu_code, the_vcu_input_file
                                                           (isource = 21)
           FILNAM Name of file containing energy spectrum
                                                              (enflag = 1)
                   name of file containing phase-space data (enflag = 2,3
                                                       and isource=2,8)
                   name of file containing source parameters (enflag = 4)
            or for isource = 9,10
         the_beam_code
                          The name of the BEAM code you are running as a
                          source (ie BEAM_accelname). This must have been
                          compiled as a shared library (libBEAM_accelname.so
                          or BEAM_accelname.dll) and exist in
                          EGS_HOME/bin/config.
         the_input_file
                          The input file used to run the BEAM simulation
                          (no .egsinp extension). This must exist in your
                          EGS_HOME/BEAM_accelname directory. It must be
                          a working input file and must be set up to write
                          a phase space file at a single scoring plane.
                          This plane becomes where particles are sampled from
                          for the DOSXYZ simulation (no phase space file
                          is scored, however).
```

the\_pegs\_file The pegs data set used by the BEAM simulation (no .pegs4dat extension). This must be in HEN\_HOUSE/pegs4/data or EGS\_HOME/pegs4/data.

or for isource = 20

the\_shared\_code BEAM or non-EGSnrc code used to simulate a geometry interposed between phase space source and the phantom. Must have been compiled as a shared library and exist in \$EGS\_HOME/bin/config (isource = 20)

the\_phsp\_file Name of file containing phase space data (isource = 20)

the\_input\_file Input file for the BEAM or non-EGSnrc code used to simulate a geometry interposed between the phase space source and the phantom. In the case where you are using a BEAM accelerator, this must exist in the \$EGS\_HOME/BEAM\_accelname directory and must specify a scoring plane at the bottom of the accelerator. (isource = 20)

or for isource = 21

the\_beam\_code Same as for isource=9, 10 the\_input\_file Same as for isource=9, 10 the\_pegs\_file Same as for isource=9, 10 the\_vcu\_code (optional) Name of non-EGS

(optional) Name of non-EGSnrc code used to simulate a geometry (usually MLC) interposed between the treatment head source and the phantom. Must have been compiled as a shared library and exist in \$EGS\_HOME/bin/config.

\_\_\_\_\_\_

Record SC3c (if enflag = 3)
 I\_BIT\_FILTER, NBIT1, NBIT2

- - = 1 EXclusive for bits: if any of the set of NBIT1 bits are set, the particle is not used.
  - = 2 INclusive for regions: use secondary particles that originated in any of the specified NBIT1 regions.
  - = 3 EXclusive for regions: do not use secondary particles that originated in any of the NBIT1 specified regions.

Note: For I\_BIT\_FILTER=0,1 the actual bits specified below by LATBIT(i) are checked. For I\_BIT\_FILTER=2,3 the regions of origin (stored in bits 24 to 28 and specified by IREGION\_TO\_BIT) are checked against LATBIT(i) values.

NBIT1: the number of bits or regions of origin to include (I\_BIT\_FILTER=0,2) or exclude  $(I_BIT_FILTER=1,3)$ .

NBIT2: the number of bits to exclude. Only has meaning for I\_BIT\_FILTER=0, otherwise, it is automatically set to 0.

Restrictions: for I\_BIT\_FILTER=0: 0<=NBIT1+NBIT2<=29 for I\_BIT\_FILTER=1: 0<=NBIT1<=29

for I\_BIT\_FILTER=2,3: 0<=NBIT1<=24

(LATBIT(i), i=1, NBIT1)

(LATBIT(i), i=1, NBIT1): bits/IREGION\_TO\_BITs to include (I\_BIT\_FILTER=0,2) or exclude (I\_BIT\_FILTER=1,3) from the source.

Next line required only if I\_BIT\_FILTER=0 and NBIT2>0.

(LATBIT(i), i=NBIT1+1, NBIT1+NBIT2) (LATBIT(i),i=NBIT1+1,NBIT1+NBIT2): bits to exclude (I\_BIT\_FILTER=0).

Restrictions: bits should be >=0 and <=28 IREGION\_TO\_BITs should be >=0 and <=23.

Note: The check on particle charge is done independently before these bit filters are applied.

end of inputs read by srcxyznrc.mortran

Record 13 (9 if NMED=0)

NCASE, IWATCH, TIMMAX, INSEED1, INSEED2, BEAM\_SIZE, ISMOOTH, IRESTART, IDAT, IREJECT, ESAVE\_GLOBAL, NRCYCL, IPARALLEL, PARNUM, n\_split, ihowfarless, i\_phsp\_out

NCASE Number of histories

IWATCH 0=>no tracking output, 1=>list every interaction 2=>list every electron step 4=>EGS\_Windows output IWATCH is for debugging/watching what is happening

It MUST be ZERO for production runs

Not used currently but prints warning: default 1 hour TIMMAX

INSEED1&2 Starting random number seeds (0 is ok)
 Note that, if using the RANLUX random no.
 generator, INSEED1 is the luxury level and should
 have a value >0 and <=4. Otherwise, a default
 luxury level of 1 will be used.</pre>

BEAM\_SIZE Beam size for a square field for the dose calculation This parameter is only used by sources 2,3 and 4 (default to 100 cm for a 100x100 cm square field)

ISMOOTH =1 re-distribute particles when re-start a ph-sp file
 and/or recycle an individual particle

=0 re-use the ph-sp data once run out (no redistribution)

IRESTART = 0 first run for this data set (the default)

= 1 restart of a previous run

= 2 just create the input file and exit

= 3 just read in the raw data and do the statistical analysis

= 4 recombine .pardose files from parallel runs

IDAT = 0 output intermediate files for restarts

= 1 do not output intermediate data files at all

= 2 output the data file for restart at end only

IREJECT = 0 (default) do not perform charged particle range
 rejection.

= 1 perform charged particle range rejection. If
 particle range to ECUTIN < distance to nearest voxel
 boundary then terminate history if E <ESAVE\_GLOBAL.</pre>

Number of times to recycle each particle in a phase space

ESAVE\_GLOBAL Energy (MeV) below which charged particle will be considered for range rejection.

source. Each particle in the phase space file is used a total of NRCYCL+1 times (provided the particle has the correct charge and latch value) before going on to the next particle. If NRCYCL is set <=0 then NRCYCL is automatically calculated to use the entire phase space file with no restarts, unless the incident charge is +1 (positron) or the user filters incident particles based on their LATCH values (enflag=3), in which case NRCYCL is set to 0. The calculated NRCYCL does not take into account particles that are rejected because they miss the geometry or because they are beyond the beam field being considered (defined by BEAM\_SIZE) If NRCYCL is set > 0, then the user-input value is used. If NCASE > no. of particles in the phase space file, then use of NRCYCL is essential for accurate statistics. If you are unsure of how many times to recycle, use the automatically-calculated value of NRCYCL. If this still results in many restarts (because of many particles missing the geometry or beyond beam field),

then re-run the simulation with NRCYCL set manually to:

NRCYCL

NCASE/(NPHSP - NSMISS/NRCYCL\_prev - NOUTSIDE - NRJCT - NDBSRJCT)-1 where NPHSP is the no. of particles of interest in the the ph-sp file (ie total no. of particle, no. of photons, no. of electrons), NSMISS is the no. of particles that missed the geometry in the previous run, NRCYCL\_prev is the value of NRCYCL used in the previous run, NOUTSIDE is the no. of particles rejected because they were beyond BEAM\_SIZE in the previous run, NRJCT is the no. of particles rejected because they were multiple passers, and NDBSRJCT is the number of photons rejected because they fall outside the directional bremsstrahlung splitting (DBS) field radius at the SSD (see inputs for source 2,8 for more info on rejecting fat photons when DBS is used in BEAM to generate phase space files). All of the aforementioned values are available in the .egslst file. Always round your calculated value of NRCYCL up.

Note: the following 2 inputs are only relevant if you are manually creating/submitting your parallel jobs or if you are using the unix pprocess script. In the case of the pprocess script, these inputs get set automatically.

> IPARALLEL set >1 if you are distributing the job among IPARALLEL machines. This will generate a binary .pardose file for each job which will contain the doses in all voxels WITHOUT UNCERTAINTIES. IPARALLEL defaults to 0.

PARNUM Only needs to be set if IPARALLEL>1 and you are using a single phase space source. The partition of the phase space source that will be used for this one of the series of parallel input files (for the same total simulation) is given by:

> (PARNUM-1)\*(nshist/IPARALLEL)<nnphsp<= (PARNUM) \* (nshist/IPARALLEL)

where nshist is the total number of particles in the phase space file and nnphsp is the number of the particle chosen for the simulation. Thus, PARNUM should cover the range 1<=PARNUM<=IPARALLEL. If you are using a separate phase space source for each parallel job (ie the phase space source exists in IPARALLEL pieces) and, thus, do not wish to partition the phase space sources, PARNUM can be left at its default value of 0.

If set > 1, then all photons will be split n\_split n\_split times. This option increases the efficiency of dose caluclations more than photon forcing. A rule of thumb for good efficiency is

n\_split >= No/(1-exp(-Lambda))
where Lambda is the approx. number of photon MFP
in the geometry of interest and No >= 5.
Note that if you use the above, there will
be on average approx. No primary interactions per
incident photon => reduce the number of
histories by this number.

The n\_split algorithm works as follows:

- \* dpmfp\_i = -log(1 (eta+i-1)/n\_split)
  where dpmfp\_i is MFP to the next interaction for the i-th sub-photon
  (i=1,2,...,n\_split)
  - eta is a random number (the same for all n\_split sub-photons)
- \* Once at the interaction site, the i'th sub-photon produces electrons and/or scattered photons. Scattered photons are killed with probability 1/n\_split, so that, if they survive, they have the weight of the original photon. Electrons have the weight of 1/n\_split of original weight.
- \* In any radiative events (brems, annih, annih at rest), photons are killed with probability 1/n\_split => they have again the weight of the photon that initiated the history, if they survive

ihowfarless

Set to 1 to use the 'HOWFARLESS' algorithm for transport in a homogeneous phantom. When 'HOWFARLESS' is used, subroutines HOWFAR and HOWNEAR only consider the extreme outer boundaries of the phantom when calculating the distance along the particle trajectory or nearest perpendicular distance to a region boundary. This removes the restriction that charged particle steps must stop at all voxel boundaries and, thus, speeds up the calculation considerably. For the purpose of dose deposition in the voxels, the total curved charged particle step is approximated using 2 straightline steps joined at a hinge point. See the DOSXYZnrc manual for more details about the approximation. Restrictions on charged particle step length then become the EGSnrc input variables Smax (max. allowable charged particle step length) and estepe (max. fractional energy loss/step). Leaving Smax set to its default value of 5 cm should maximize efficiency of the 'HOWFARLESS' algorithm at most beam energies. Efficiency improvement due to 'HOWFARLESS' depends on the incident beam type, DOSXYZnrc voxel size and the boundary crossing algorithm (BCA) used. For incident photon beams from accelerators simulated with BEAMnrc (phase space or BEAMnrc sim. sources), the efficiency improves by ~30% when the PRESTA-I BCA is used, and by factors of 2.5-3.5 when the more accurate (but slower) EXACT BCA is used. In monoenergetic electron beams,

'HOWFARLESS' improves efficiency by a factor of up to ~4 with the PRESTA-I BCA and by a factor of up to ~15 with the EXACT BCA. Recommended for all homogeneous phantom calculations, but it must NOT be used in nonhomogeneous phantoms.

i\_phsp\_out

For outputting IAEA format phase space data on exit from the phantom geometry. If i\_phsp\_out=1, then data is output in DOSXYZnrc coordinates. If i\_phsp\_out=2 data is output in BEAMnrc coordinates. This option is only available for phase space or BEAM simulation sources (which have a region surrounding the phantom). The default is i\_phsp\_out=0--no phase space output. If you are using source 20 or source 21 (synchronized sources) then you also have the option of storing frMU\_indx in the file (see source inputs).

#### EGSnrc INPUTS \*\*\*\*\*\*\*

(modified from the description in \$HEN\_HOUSE/get\_inputs.mortran)

All input associated with selection of EGSnrc transport parameter is not crucial for the execution as there are default values set. Therefore, if some of the input options in this section are missing/misspelled, this will be ignored and defualt parameter assumed As the transport parameter input routine uses get\_inputs, a lot of error/warning messages may be produced on UNIT 15, though. If you don't have the intention of changing default settings, simply ignore the error messages.

The delimeters are

:start mc transport parameter: :stop mc transport parameter:

Currently, the following options are available (case does not matter and the internal variables are shown in [] brackets):

Global ECUT= Global (in all regions) electron transport cut off energy (in MeV). If this imput is missing, or is < ECUTIN from the main DOSXYZnrc inputs (See above) then ECUTIN is used for global ECUT. ECUT defaults to AE(medium).

[ ECUT ]

Global PCUT= Global (in all regions) photon transport cut off energy (in MeV). If this imput is missing, or is < PCUTIN from the main DOSXYZnrc inputs

(See above) then PCUTIN is used for global PCUT. PCUT defaults to AP(medium).

[ PCUT ]

Global SMAX=

Global (in all regions) maximum step-size restriction for electron transport (in cm). No SMAX restriction is necessary if the electron step algorithm is PRESTA-II and the EXACT boundary crossing algorithm is used. In this case, SMAX will default to 1e10. However, if either Electron-step algorithm= PRESTA-I

Boundary crossing algorithm= PRESTA-I (the default), then a step-size restriction is necessary, and SMAX will default to 5 cm.

[ SMAXIR ]

ESTEPE=

Maximum fractional energy loss per step. Note that this is a global option only, no region-by-region setting is possible. If missing, the defualt is 0.25 (25%).

[ ESTEPE ]

XImax=

Maximum first elastic scattering moment per step. Default is 0.5, NEVER use value greater than 1 as this is beyond the range of MS data available. [ XIMAX ]

Boundary crossing algorithm=

There are two selections possible: EXACT and PRESTA-I. PRESTA-I means that boundaries will be crossed a la PRESTA. That is, with lateral correlations turned off at a distance given by 'Skin depth for BCA' (see below) from the boundary and MS forced at the boundary. EXACT means the algorithm will cross boundaries in a single scattering (SS) mode, the distance from a boundary at which the transition to SS mode is made is determined by 'Skin depth for BCA' (see below). Default is PRESTA-I for efficiency reasons. This is known not to be exactly correct, and when charged particle equilibrium does not hold or when there is a large difference in size between dose voxels and voxels making up the rest of the phantom, can result in an overestimate of dose by up to 2.5%. cases, swich to EXACT BCA.

[ bca\_algorithm, exact\_bca ]

Skin depth for BCA=

If Boundary crossing algorithm= PRESTA-I (default) then this is the distance from the boundary (in elastic MFP) at which lateral correlations will be switched off. The default in this case is to calculate a value based on the scattering power at

ECUT (same as PRESTA in EGS4). If Boundary crossing algorithm= EXACT then this is the distance from the boundary (in elastic MFP) at which the algorithm will go into single scattering mode and defaults to 3 mfp. Note that if you choose EXACT boundary crossing and set Skin depth for BCA to a very large number (e.g. 1e10), the entire calculation will be in SS mode. If you choose PRESTA-I boundary crossing and make Skin depth for BCA large, you will get default EGS4 behaviour (no PRESTA). [ skindepth\_for\_bca ]

Note that the above defaults have been choosen as a compromise between accuracy (EXACT BCA) and efficiency (PRESTA-I BCA) since the PRESTA-I BCA algorithm has proven to generally produce satisfactory results. Note that the new transport mechanics of EGSnrc are maintained away from boundaries and that one always has the option of verifying the accuracy by doing a long run with the EXACT BCA.

#### Electron-step algorithm=

PRESTA-II (the default), the name is used for historical reasons or PRESTA-I

Determines the algorithm used to take into account lateral and longitudinal correlations in a condensed history step.

[ transport\_algorithm ]

Spin effects=

Off, On, default is On

Turns off/on spin effects for electron elastic scattering. Spin On is ABSOLUTELY necessary for good backscattering calculations. Will make a difference even in 'well conditioned' situations (e.g. depth dose curves for RTP energy range electrons).

[ spin\_effects ]

Brems angular sampling= Simple, KM, default is Simple

If Simple, use only the leading term of the Koch-Motz distribution to determine the emission angle of bremsstrahlung photons. If KM, complete modified Koch-Motz 2BS is used (modifications concern proper handling of kinematics at low energies, makes 2BS almost the same as 2BN at low energies). [ IBRDST ]

Brems cross sections= BH, NIST, NRC, default is BH

If BH is selected, the Bethe-Heitler bremsstrahlung cross sections (Coulomb corrected above 50 MeV)

will be used. If NIST is selected, the NIST brems cross section data base (which is the basis for the ICRU radiative stopping powers) will be employed. Differences are negligible for E > ,say, 10 MeV, but signifficant in the keV energy range. If NRC is selected, NIST data including corrections for electron-electron brems will be used (typically only significant for low values of the atomic number Z and for k/T < 0.005).

Bound Compton scattering= On, Off or Norej (Default is On)

If Off, Compton scattering will be treated with
Klein-Nishina, with On Compton scattering is
treated in the Impulse approximation.

Make sure to turn on for low energy applications,
not necessary above, say, 1 MeV. Option Norej
uses full bound Compton cross section data
supplied in input below and does not reject
interactions.

[ IBCMP ]

Compton cross sections= Bound Compton cross-section data. User—
supplied bound Compton cross-sections in the file
\$HEN\_HOUSE/data/comp\_xsections\_compton.data, where
comp\_xsections is the name supplied for this input.
This is only used if Bound Compton scattering= Simple
and is not available on a region-by-region basis
(see below). The default file (ie in the absence
of any user-supplied data) is compton\_sigma.data.
[ comp\_xsections ]

Radiative Compton corrections= On or Off (default). If on, then include radiative corrections for Compton scattering. Equations are based on original Brown & Feynman equations (Phys. Rev. 85, p 231--1952). Requires a change to the user codes Makefile to include \$(EGS\_SOURCEDIR)rad\_compton1.mortran in the SOURCES (just before \$(EGS\_SOURCEDIR)get\_inputs.mortran).

[ radc\_flag ]

Pair angular sampling= Off, Simple or KM

If off, pairs are set in motion at an angle m/E relative to the photon direction (m is electron rest energy, E the photon energy). Simple turns on the leading term of the angular distribution (this is sufficient for most applications), KM (comes from Koch and Motz) turns on using 2BS from the article by Koch and Motz.

Default is Simple, make sure you always use Simple or KM

[ IPRDST ]

Pair cross sections= BH (default) or NRC. If set to BH, then use

Bethe-Heitler pair production cross-sections. If set to NRC, then use NRC pair production cross-sections (in file \$HEN\_HOUSE/data/pair\_nrc1.data). of interest at low energies, where the NRC crosssections take into account the assymmetry in the positron-electron energy distribution.

[ pair\_nrc ]

Photoelectron angular sampling= Off or On

If Off, photo-electrons get the direction of the 'mother' photon, with On, Sauter's furmula is used (which is, striktly speaking, valid only for K-shell photo-absorption).

If the user has a better approach, replace the macro \$SELECT-PHOTOELECTRON-DIRECTION;

The only application that

I encountered until now where this option made a small difference was a big ion chamber (cavity size comparable with electron range) with high-Z walls in a low energy photon beam.

Default is Off

[ IPHTER ]

Rayleigh scattering= Off, On, custom

If On, turned on coherent (Rayleigh) scattering. Default is Off. Should be turned on for low energy applications. Not set to On by default because On requires a special PEGS4 data set. If set to custom, then media for which custom form factors are to be specified are listed in the input: ff media names=

and the corresponding files containing custom data are listed in:

ff file names=

[ IRAYLR ]

Atomic relaxations = Off, On

Default is Off. The effect of using On is twofold:

- In photo-electric absorption events, the element (if material is mixture) and the shell the photon is interacting with are sampled from the appropriate cross seections
- Shell vacancies created in photo-absorption events are relaxed via emission of fluorescent X-Rays, Auger and Koster-Cronig electrons.

Make sure to turn this option on for low energy applications.

[ IEDGFL ]

Electron impact ionization= Off, On, Casnati, Kolbenstvedt, Gryzinski (Default is Off)

> Determines which, if any, theory is used to model electron impact ionization. If set to 'On' then the

theory of Kawrakow is used. Other settings use the theory associated with the name given. See future editions of the EGSnrc Manual (PIRS-701) for more details. This is only of interest in keV X-Ray simulations. Otherwise, leave it Off. [eii\_flag]

Photon cross sections= epdl, xcom, customized (Default is Storm-Israel cross-sections from PEGS4)

The name of the cross-section data for photon interactions. This input line must be left out to access the default Storm-Israel cross-sections from PEGS4. 'edpl' uses cross-sections from the evaluated photon data library (EPDL) from Lawrence Livermore. 'xcom' will use the XCOM cross-sections from Burger and Hubbell. The user also has the option of using their own customized cross-section data. See the BEAMnrc manual for more details. [ photon\_xsections ]

Photon cross-sections output= Off (default) or On. If On, then a file \$EGS\_HOME/user\_code/inputfile.xsections is output containing photon cross-section data used.

[ xsec\_out ]

Atomic relaxations, Rayleigh scattering,
Photoelectron angular sampling and Bound Compton scattering
can also be turned On/Off on a region-by-region
basis. To do so, put e.g.

Atomic relaxations= On in Regions or Atomic relaxations= Off in regions

in your input file. Then use

Bound Compton start region= Bound Compton stop region=

or

Rayleigh start region= Rayleigh stop region=

or

Relaxations start region= Relaxations stop region=

or

PE sampling start region= PE sampling stop region=

each followed by a lost of one or more start and stop regions separated by commas. Example:

Atomic relaxations = On in Regions

Relaxations start region= 1, 40 Relaxations stop region= 10, 99

> will first turn off relaxations everywhere and then turn on in regions 1-10 and 40-99. Note that input is checked against min. and max. region number and ignored if start region < 1 or stop\_region > \$MXREG or start region > stop region.

### 4 Source Routines

### 4.1 Source Types in DOSXYZnrc

The following source types have been developed for DOSXYZnrc:

- Parallel rectangular beam incident from the front (isource = 0)
- Parallel rectangular beam incident from any direction (isource = 1)
- Phase-space source, particles incident from any direction (isource = 2)
- Point source incident from the front (isource = 3)
- Beam characterization model, particles incident from any direction (isource = 4)
- Uniform isotropically radiating parallelepiped within DOSXYZnrc volume (isource = 6)
- Parallel rectangular beam incident from multiple angles (isource = 7)
- Phase-space source incident from multiple angles (isource = 8)
- Full BEAM treatment head simulation as source (isource = 9)
- Full BEAM treatment head simulation as source incident from multiple angles (isource = 10)
- Phase space source incident from multiple angles, with multiple SSD's and isocentres—options to run source through a shared library geometry (defined by BEAM or other MLC code) and synchronize with geometry settings in the case of a BEAM shared library (isource = 20)
- BEAM treatment head simulation incident from multiple angles, with multiple SSD's and isocentres—options to synchronize with BEAM geometry settings and run source through a shared library geometry (other MLC code) (isource = 21)

```
For all sources, the first input record is: iqin, isource, .....
```

### 4.2 isource = 0: Parallel Rectangular Beam Incident from Front

The uniform parallel rectangular beam is always assumed to be incident parallel to the Z-axis from the front of the phantom. The input parameters are:

```
iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron)
isource = 0
xinl,xinu Lower and upper x-bounds on the phantom surface
yinl,yinu Lower and upper y-bounds on the phantom surface
thetax Angle of the beam relative to the x-axis (degrees)
thetay Angle of the beam relative to the y-axis (degrees)
thetaz Angle of the beam relative to the z-axis (degrees)
```

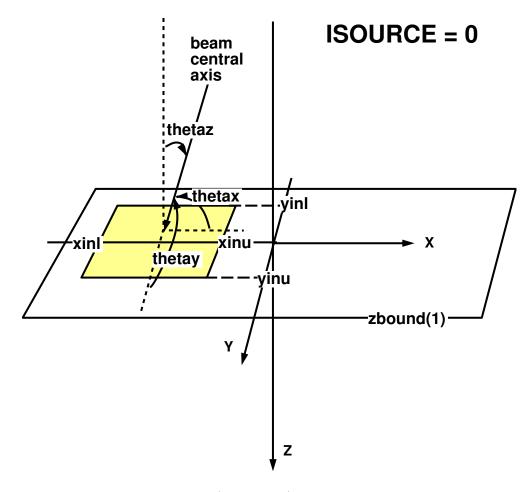


Figure 1: Parallel rectangular beam (isource=0) showing the beam field, defined by xinu, xinl, yinu, and yinl on the phantom surface and the beam directions, thetax, thetay, and thetaz. The angles thetax and thetay are relative to the positive x- and y-axes respectively, while thetaz is measured relative to the negative z-axis.

# 4.3 isource = 1: Parallel Rectangular Beam Incident from Any Direction

This uniform parallel rectangular beam may be incident from any direction. The input parameters are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron)
isource = 1

xiso/yiso/ziso x-, y-, z-coordinates of the isocenter. The isocenter is normally inside the phantom.

theta Angle between the +z direction and a line joining the center of the beam where it strikes the phantom surface to the isocenter. In a polar coordinate system, this angle is known as the polar angle and normally has a range 0-180 degrees. Note that a centred beam incident along the +z-axis (ie from the top) has theta=180 degrees. theta is not to be confused with thetaz for isource=0, for which case thetaz=0 degrees to aim the source=0 beam along the +z-axis.

phi Angle between the +x direction and the projection on the x-y plane of the line joining the center of the beam on the phantom surface to the isocenter on the xy plane. In a polar coordinate system, this angle is known as the azimuthal angle and normally has a range 0-360 degrees.

xcol/ycol Total x- and y-widths of the beam on the plane perpendicular to the beam direction, defined by the center of the beam and the isocenter

phicol Angle by which the collimator is rotated in the collimator plane perpendicular to the beam direction. phicol is determined for theta=phi=0. The positive sense of rotation is counterclockwise as one sights down the beam direction. Note that the effect of setting phicol=90 degrees is the same as switching the values of xcol and ycol when theta and phi are 0 or are both multiples of 90 degrees.

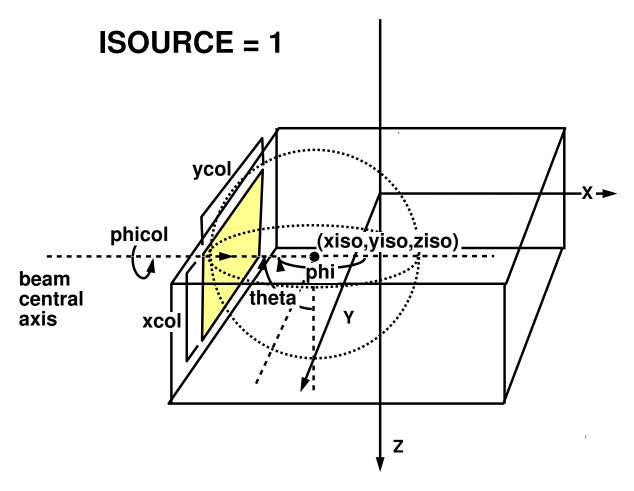


Figure 2: Parallel rectangular beam incident from any direction (isource=1). A polar coordinate system is set up at the isocenter, (xiso,yiso,ziso). The position of the beam collimator is then defined using the angles, theta and phi. In the particular example shown in this figure, with the beam incident on the centre of the negative Y face of the volume, theta is 90 degrees and phi is 180 degrees. The dimensions of the rectangular collimator are given by xcol and ycol. An additional degree of freedom is available by allowing the collimator to rotate in its own plane by angle phicol. Note that source 1 is always incident right on the phantom surface (ie particles do not travel through air to get to the phantom)

### 4.4 isource = 2: Phase-Space Source Incident from Any Direction

This source uses a phase-space file generated during a BEAMnrc simulation at any flat scoring plane of a linear accelerator geometry. A user can choose any particular type of particles from the phase-space file and score dose components using the LATCH filter. The field size of the incident beam can be reduced using the parameter BEAM\_SIZE. There is also a parameter called ISMOOTH which can be set to 1 if one wants to shift the particles to their symmetrical positions with respect to x- and y-axis in the phase-space file for repeated use of these phase-space particles. For ISMOOTH = 0, no shift will be made when phase-space particles are re-used. LATCH filter, BEAM\_SIZE and ISMOOTH are input later in the file.

Input parameters for a phase-space source are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 2

xiso/yiso/ziso x-, y-, z-coordinates of the isocenter (normally located within the phantom)

theta Same as for isource=1.

phi Same as for isource=1.

dsource For a BEAMnrc format phase space source, this is the absolute distance from the isocenter to the source center, which is, by definition, the origin of the phase-space plane (origin may not even be in the beam). For an IAEA format phase space source, this is the distance from the isocentre to the primary source (*i.e.* the source used to generate the phase space file), or SAD.

- phicol Angle by which the source is rotated in the source plane perpendicular to the beam direction. phicol is determined for theta=phi=0. The positive sense of rotation is counterclockwise as one sights down the beam direction.
- i\_dbs Set to 1 if the phase space source was generated using directional bremsstrahlung splitting (DBS) in BEAM **AND** you wish to reject photons directed outside the splitting field (which will all be fat). Set to 0 otherwise. Note that i\_dbs is read in as a real and converted to integer.
- r\_dbs Radius (in cm) of the DBS splitting field in the BEAMnrc simulation used to generate this source. Only needed if i\_dbs = 1.
- ssd\_dbs SSD (in cm) where the DBS splitting field radius was defined in the BEAMnrc simulation used to generate this source. Only needed if i\_dbs = 1.
- z\_dbs Z value (in cm) in the BEAMnrc simulation where this phase space source was scored. This will be at the back of a component module (CM). Only needed if i\_dbs = 1

e\_split Number of times to split charged particles as soon as they enter the phantom geometry. Split particles have their weight reduced by a factor of 1/e\_split. This is only used in conjunction with photon splitting (n\_split, see Section 8.16) and prevents higher-weight contaminant electrons from compromising statistics in photon beams. For maximum efficiency, it is suggested that you set e\_split=n\_split, the photon splitting number.

FILNAM The full name (including extension) of the phase space file (including the directory path). In the case of an IAEA-format phase space source, the full name of the phase space data (.IAEAphsp) file is input here. The header (.IAEAheader) file as assumed to exist in the same directory. For more information about IAEA-format date, see the BEAMnrc Manual.

theta, phi and dsource can be set to place the source anywhere inside the phantom or the surrounding region; the medium and the thickness of the surrounding region is input by the user. Particles from the phase space which are initially outside both the phantom and surrounding region are terminated immediately. If the medium of the surrounding region is air, for example, the phase-space particles will be transported *properly* through air to the surface of the phantom. A particle history is terminated if it is determined that the particle will not make it to the phantom surface (the particle loses all its energy in the surrounding region or it escapes through the outer boundaries of the surrounding region).

Note that, for source 2, the user must set enflag = 2 or 3, input the mode of the phase-space file (0 or 2), and input the medium number and the thickness for the surrounding region. The user should also input the phase-space file name after the above inputs. These other inputs are described in sections 5 and 6 below. For more information related to phase-space sources, see the section on phase-space files in the BEAMnrc User's Manual [12].

The value of phicol must be set to 180 degrees in order for the X-Y coordinates in a BEAMnrc-generated phase space source to map onto the equivalent X-Y coordinates in DOSXYZnrc. This is because of the general coordinate transformation done going from BEAMnrc to DOSXYZnrc.

#### 4.4.1 Inputs related to directional bremsstrahlung splitting

If you have used the directional bremsstrahlung splitting (DBS) variance reduction technique (see the BEAMnrc User's Manual[12] for more details) to generate the phase space source, then it is recommended that you use the inputs i\_dbs, r\_dbs, ssd\_dbs and z\_dbs to prevent unsplit, high-weight ("fat") photons which are outside the splitting field from compromising your dose statistics. r\_dbs and ssd\_dbs (the DBS splitting field radius and SSD in cm) are available directly from the inputs for DBS in the BEAM simulation, while z\_dbs is simply the Z value at the back of the component module (CM) in the BEAMnrc simulation in which this phase space source was scored. If i\_dbs is set to 1, then before a photon is used in the DOSXYZnrc simulation, it is projected along its trajectory from z\_dbs to ssd\_dbs (we assume ssd\_dbs\gez\_dbs). If it falls outside r\_dbs at ssd\_dbs, then the photon will not have been split and will be fat (but this information is not carried in the phase space file

except indirectly because of the larger weight which is not a unique specifier). To be able to ignore these fat photons and thereby increase the efficiency considerably, it is important to ensure that the dose from the photons being ignored is negligible. This can usually be arranged by making the splitting field big enough.

Note that charged particles are not rejected with this technique, which means that if you do not want fat charged particles to compromise dose statistics (especially near the surface of a phantom) then you must use the electron splitting option in DBS (again, see the BEAMnrc User's Manual for more details).

### 4.4.2 IAEA format phase space sources

In the case of an IAEA format phase space source, the input, dsource (see above), defines the distance from the primary source (i.e. the source used to generate the phase space file) to the phantom isocentre, or SAD. This is because, unlike BEAMnrc format phase space files, the incident Z-position of particles is available and read from either the phase space data (in the case of 3-D phase space files) or the header file (in the case of planar phase space files, such as those scored by BEAMnrc). The input value of dsource is then subtracted from this Z-position to give the distance along the beam axis from the phantom isocentre to the particle incident position.

The ability of source 2 to automatically handle IAEA phase space files in which the Z-position for each particle is scored (3-D data) is particularly useful in cases where the phase space data available for an accelerator is scored on a non-planar surface (as is the case with the data that Varian has made available for their TrueBeam accelerators) or when using 3-D phase space data scored by an upstream DOSXYZnrc simulation (see Section 8.18).

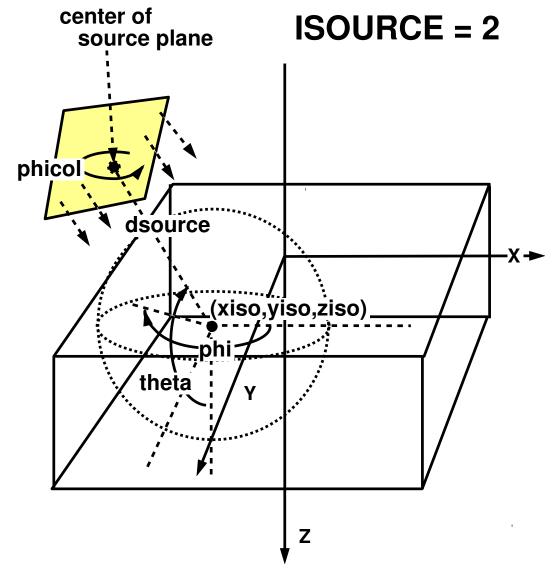


Figure 3: Phase-space source incident from any direction (isource=2). Similar to source 1, a polar coordinate system is set up at the isocenter, (xiso, yiso, ziso). The position of the origin in the phase-space plane is then defined by the angles theta and phi, and the distance from the isocenter, dsource. The source can be rotated in its own plane using the variable phicol. The figure shows that the source plane does not necessarily have to be right against the surface of the phantom, however it must be within the surrounding region specified by dsurround (see section 5).

# 4.5 isource = 3: Point Source Rectangular Beam Incident from Front

The isotropically-radiating point source is placed on the Z-axis. It is assumed to be incident on the front surface of the phantom, but can be placed any distance above the phantom. The beam field can be asymmetric.

The input parameters for the point source are:

```
iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron)
isource = 3
xinl,xinu Lower and upper x-bounds of the field on the phantom surface
yinl,yinu Lower and upper y-bounds of the field on the phantom surface
ssd Distance from the point source to the phantom surface (cm)
```

Note that, between the source and the phantom surface the medium is assumed to be a vacuum for this source.

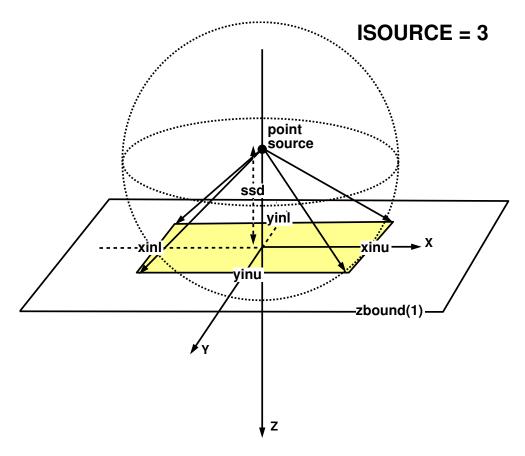


Figure 4: Point source incident from the front (isource=3). The isotropically-radiating point source is located on the Z-axis at distance ssd above the phantom. The source is collimated to a rectangular field defined by xinu, xinl, yinu, yinl on the phantom surface.

# 4.6 isource = 4: Beam Characterization Model Incident from Any Direction

This source uses the beam characterization models created by BEAMDP from phase space data generated by BEAMnrc. This source consists of a variety of sub-sources for different types of particles coming from different components of a linear accelerator. Each sub-source has its own spectral and (planar) fluence distributions and the correlation between the energy, position and incident angle is retained by sampling the particle positions on the sub-source (the surface of the component) and on the phase-place plane. A beam model input file, generated by BEAMDP, is required by this source. For more details about beam characterization models see the BEAMnrc User's Manual and associated paper[14, 15].

Apart from isource, which must be set to 4, input parameters for source 4 are the same as for source 2:

Note this source requires enflag = 4 and to input the beam model input file name after the enflag input. The field size of the incident beam can be reduced using the parameter BEAM\_SIZE. These inputs are described in sections 5 and 6 below.

The default version of DOSXYZnrc does not include this source. In order to use it you must recompile DOSXYZnrc using the instructions in section 2.2.1. .

# 4.7 isource = 6: Uniform Isotropically Radiating Parallelepiped within DOSXYZnrc Volume

This source allows the user to simulate a uniform isotropically radiating rectangular volume (parallelepiped) within the DOSXYZnrc phantom. The active volume is restricted to being completely contained within the DOSXYZnrc phantom. However, it can be shrunk to a point anywhere within the phantom.

The input parameters for the uniform isotropically radiating parallelepiped:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron)
isource = 6
xinl,xinu Lower and upper x-bounds of the active volume (cm)
yinl,yinu Lower and upper y-bounds of the active volume (cm)
zinl,zinu Lower and upper z-bounds of the active volume (cm)

## ISOURCE = 6

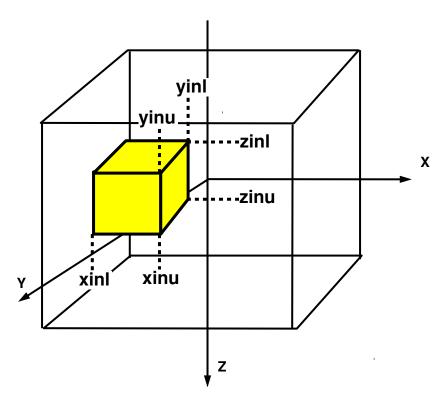


Figure 5: Uniform isotropically radiating parallelepiped within DOSXYZnrc phantom (isource=6). The active volume, specified by xin1, xinu, yin1, yinu, zin1, zinu, must fit within the phantom. The source can be shrunk to a point within the phantom by setting xinu=xin1, yin1=yinu and zin1=zinu.

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# 4.8 isource = 7: Parallel Rectangular Beam Incident from Multiple Directions

This is a uniform parallel rectangular beam similar to source 1, but incident from multiple, user-defined directions (theta-phi pairs). The input parameters are:

```
iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron)
   isource = 7
   xiso/yiso/ziso x-, y-, z-coordinates of the isocenter. The isocenter is normally inside
     the phantom.
   nang The number of incident theta-phi pairs or, if negative, then abs(nang) is the number
     of groups of incident theta-phi pairs, where, within a group, all theta-phi pairs have
     equal probability. The incident angles theta and phi are defined the same as they are
     in source 1. Theta-phi pairs/groups are specified on separate lines (see below).
   xcol/ycol Total x- and y-widths of the beam on the plane perpendicular to the beam
     direction, defined by the center of the beam and the isocenter
   phicol Angle by which the collimator is rotated in the collimator plane perpendicular
     to the beam direction. phicol is defined the same way as in source 1.
And then, on the subsequent abs(nang) lines:
If nang > 0:
For i=1,nang: theta(i), phi(i), pang(i)
   theta(i) Theta for pair i (degrees).
   phi(i) Phi for pair i (degrees).
   pang(i) Probability of particle being incident at Theta(i)-Phi(i).
If nang < 0:
For i=1,-nang: ivary(i), angfixed(i), angmin(i), angmax(i), ngang(i), pgang(i)
   ivary(i) Set to 0 to vary phi in group i; Set to 1 to vary theta in group i.
```

angfixed(i) Fixed theta (ivary(i)=0) or phi (ivary(i)=1) in group i (degrees).

angmin(i) Minimum varying phi (ivary(i)=0) or theta (ivary(i)=1) in group i (de-

grees).

- angmax(i) Maximum varying phi (ivary(i)=0) or theta (ivary(i)=1) in group i (degrees).
- ngang(i) Number of equally-spaced phi (ivary(i)=0) or theta (ivary(i)=1) between and including angmin(i) and angmax(i) in group i (Note that, since it includes angmin(i) and angmax(i), nang(i) must be ≥ 2).
- pgang(i) Probability of particle being in group i. Within a group, all theta-phi pairs have equal probability.

Note that the pang(i) are automatically normalized.

# 4.9 isource = 8: Phase-Space Source Incident from Multiple Directions

This source is similar to source 2, except that it is incident from multiple, user-defined directions (theta-phi pairs).

Input parameters for source 8 are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 8

xiso/yiso/ziso x-, y-, z-coordinates of the isocenter (normally located within the phantom)

nang The number of incident theta-phi pairs or, if negative, then abs(nang) is the number of groups of incident theta-phi pairs, where, within a group, all theta-phi pairs have equal probability. The incident angles theta and phi are defined the same as they are in source 2. Theta-phi pairs/groups are specified the same way as they are in source 7 (see section 4.8 above).

dsource For BEAMnrc phase space files, this is the absolute distance from the isocenter to the source center, which is, by definition, the origin of the phase-space plane (origin may not even be in the beam). For IAEA phase space files, this is the primary source to isocentre distance (SAD).

- phicol Angle by which the source is rotated in the source plane perpendicular to the beam direction. phicol is determined for theta=phi=0. The positive sense of rotation is counterclockwise as one sights down the beam direction.
- i\_dbs Set to 1 if the phase space source was generated using directional bremsstrahlung splitting (DBS) in BEAMnrc AND you wish to reject photons directed outside the splitting field (which will all be fat). Set to 0 otherwise. Note that i\_dbs is read in as a real and converted to integer.

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- r\_dbs Radius (in cm) of the DBS splitting field in the BEAMnrc simulation used to generate this source. Only needed if i\_dbs = 1.
- ssd\_dbs SSD (in cm) where the DBS splitting field radius was defined in the BEAMnrc simulation used to generate this source. Only needed if i\_dbs = 1.
- **z\_dbs** Z value (in cm) in the BEAMnrc simulation where this phase space source was scored. This will be at the back of a component module (CM). Only needed if **i\_dbs** = 1.
- e\_split Number of times to split charged particles as soon as they enter the phantom. The weight of split particles is reduced by a factor of 1/e\_split. This is used in conjunction with photon splitting (input variable n\_split, see Section 8.16) to prevent higher-weight contaminant electrons from compromising dose statistics. For optimum efficiency, set e\_split=n\_split.
- FILNAM The full name (including extension) of the phase space file (including the directory path). See the description of source 2 (Section 4.4) for more details.

An example may help illustrate this input. The following input:

```
0, 8, 0.0, 0.0, 0.0, -1.0, 80.0, 90.0, 0.0, 0.0, 0.0, 0.0, 0.0
1, 90.0, 0.0, 356.40, 100, 1.0
```

is for source 8. The phase space file rotates about the isocenter at (0,0,0). The centre of the phase-space is 80 cm from the isocenter and the collimator angle is 90 degrees. The -1.0 indicates there is only one group of angles. The 1 at the start of the second line tells us that phi is fixed (at 90 degrees) and that theta is varying, in this case in 100 discrete steps between 0 and 356.4 degrees with equal intensity at each angle. In this example, i\_dbs is set to 0, indicating that either directional bremsstrahlung splitting (DBS) was not used in the BEAMnrc simulation that generated this source or else the user does not wish to reject high-weight ("fat") photons created by DBS.

For more information on how to use the inputs i\_dbs, r\_dbs, ssd\_dbs and z\_dbs see section 4.4 on source 2 above.

Similar to source 2, source 8 automatically handles IAEA format phase space sources with Z-position scored for each particle (3-D phase space).

# 4.10 isource = 9: BEAM Treatment Head Simulation Incident from Any Direction

This source uses particles sampled from a BEAM simulation running concurrently with the DOSXYZ simulation. The BEAM accelerator code must be compiled as a shared library (existing in directory \$EGS\_HOME/bin/config, where config is the name of your configuration) and must be supplied with its own input file and pegs data file. More details about this are given below. Source particles for DOSXYZ are then sampled from what would be

the scoring plane during a normal run of the BEAM accelerator. Thus, this source is similar to isource=2 (full phase space file) without the need to store a phase space file.

Note that if you are running on Unix/Linux you must have a working C/C++ compiler to use this source and the file  $HEN_HOUSE/specs/config.conf$  (i.e. file  $EGS_CONFIG$ ) must have the variable  $EEAMLIB_OBJECTS$  set to  $HEN_HOUSE$ )lib/ $my_machine$ /load\_beamlib.o and

BEAMLIB\_EXTRA\_LIBS set to -ld1. If you have installed EGSnrcMP on a Unix/Linux system with a working C/C++ compiler, the installation will automatically compile the C code \$HEN\_HOUSE/cutils/load\_beamlib.c to create load\_beamlib.o, and BEAMLIB\_OBJECTS and BEAMLIB\_EXTRA\_LIBS will automatically be set to their proper values. Otherwise BEAMLIB\_OBJECTS and BEAMLIB\_EXTRA\_LIBS will remain undefined (ie definitions left blank).

If you are running on Windows, then there is no requirement to have a working C/C++ compiler to use this source. In this case, the installation automatically sets BEAMLIB\_OBJECTS in \$HEN\_HOUSE/specs/config.conf to \$(HEN\_HOUSE)lib/\$(my\_machine)/load\_beamlib.obj, where load\_beamlib.obj is a precompiled version of load\_beamlib.o included with the installation. BEAMLIB\_EXTRA\_LIBS does not need to be defined and is left blank. See the BEAMnrc Manual[12] for more information about config.conf files.

Similar to isource = 2, you can select particles from the BEAM simulation to use based on their charge and/or LATCH values. You can also select the size of the BEAM field considered using the BEAM\_SIZE input (discussed in more detail in its own section below).

Input parameters for source 9 are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 9

xiso/yiso/ziso x-, y-, z-coordinates of the isocenter (normally located within the phantom)

theta Same as for isource=2. Recall that, for a beam coming down from the top of the phantom, theta=180 degrees.

phi Same as for isource=2.

dsource Absolute distance from the isocenter to the centre of the source plane, which is, by definition, the origin of the scoring plane in the BEAM simulation.

phicol Angle by which the source is rotated about the BEAM central axis. phicol is determined for theta=phi=0. The positive sense of rotation is counterclockwise for theta=0. If theta=180 degrees (ie beam coming down from the top), then phicol must be set to 180 degrees to have the BEAM x-y coordinates match the DOSXYZ x-y coordinates.

i\_dbs Set to 1 if directional bremsstrahlung splitting (DBS) is being used in the BEAM simulation **AND** you wish to reject fat photons falling outside the DBS splitting field.

This is recommended so that the fat photons do not compromise dose statistics. Note that, with <code>isource=9</code>, we have direct access to the BEAM stack variable (IPHAT) indicating whether a photon is fat or not, thus we do not need to reconstruct the DBS splitting field using the additional inputs <code>r\_dbs</code>, <code>ssd\_dbs</code> and <code>z\_dbs</code> required in source 2.

e\_split Number of times to split charged particles as soon as they enter the phantom geometry. Split particles have their weight reduced by a factor of 1/e\_split. This is only used in conjunction with photon splitting (n\_split, see Section 8.16) and prevents higher-weight contaminant electrons from compromising statistics in photon beams. For maximum efficiency, it is suggested that you set e\_split=n\_split, the photon splitting number.

In addition to the above inputs, the user must input the following information, all on one line, separated by commas. This line of input follows the enflag input line, i.e. the second line after the above input.

the\_beam\_code The name of the BEAM accelerator simulation (ie BEAM\_accelname). This code must have been compiled as a shared library that exists in your \$EGS\_HOME/bin/config directory. More information on compiling a BEAM code as a library is given below.

the\_input\_file The input file to use for the BEAM simulation (no .egsinp extension). This file must exist in your \$EGS\_HOME/BEAM\_accelname directory (ie the accelerator directory). In the BEAM input file, you must define a single scoring plane in the accelerator, where the source particles will be sampled, and the BEAM input parameter IO\_OPT must be set to output a phase space file at this scoring plane. See the BEAMnrc Manual[12] for more information about scoring planes and IO\_OPT. the\_input\_file will define a value of NCASE (no. of histories) for the BEAM simulation, but this is ignored and the BEAM simulation will always run until NCASE for the DOSXYZ simulation is reached.

the\_pegs\_file The pegs data to be used in the BEAM simulation (no .pegs4dat extension). This file must exist in either \$HEN\_HOUSE/pegs4/data or your \$EGS\_HOME/pegs4/data directory.

A graphical representation of source 9 is similar to that of source 2 shown in Figure 3. In both sources, the "source plane" is the scoring plane in the BEAM simulation, but in source 9 particles are sampled as soon as they cross the scoring plane rather than stored in a phase space file for later use. Note that the BEAM central axis points from the origin of the source plane to the isocenter.

Similar to source 2, theta, phi and dsource can be set to place the source plane anywhere inside the phantom or the surrounding region; the medium and the thickness of the surrounding region are input by the user. Particles initially falling outside both the phantom and surrounding region are terminated immediately. A particle history is terminated if it is determined that the particle will not make it to the phantom surface (the particle loses all its energy in the surrounding region or it escapes through the outer boundaries of the surrounding region).

The user must also set enflag = 2 or 3 the and input the medium number and the thickness for the surrounding region. These inputs are described in sections 5 and 6 below.

### 4.10.1 Compiling a BEAM code as a shared library

In order to compile your BEAM accelerator code, BEAM\_accelname, as a shared library, you must already have built the code and created your directory \$EGS\_HOME/BEAM\_accelname using the beam\_build tool. Building a BEAM code is discussed in detail in the BEAM Manual[12]. Of course, if you have already been running BEAM\_accelname as a regular BEAM simulation, then the code will have already been built and \$EGS\_HOME/BEAM\_accelname will exist. To compile the code as a shared library, go into your directory \$EGS\_HOME/BEAM\_accelname and type:

#### make library

If you are using a Unix/Linux system, this will create the library libBEAM\_accelname.so. On a Windows system, the library will be named BEAM\_accelname.dll. The library will automatically be copied to your directory \$EGS\_HOME/bin/config, where config is the name of your configuration (e.g. gcc, win2k, pgf77, etc). See the BEAM Manual for the differences between the codes concatenated to create a BEAM library and those used for a standard BEAM accelerator simulation.

In previous versions of BEAMnrc, the library libg2c.a was required when compiling shared library sources on Unix/Linux to avoid confusion of Fortran units between DOSXYZnrc (the driving code) and BEAMnrc. Recently, however, the opening of files in BEAMnrc has been recoded so that only available Fortran units are used. This solves the problem of confusion between Fortran units and eliminates the need for the libg2c.a library (which caused problems with the gfortran compiler).

Recall that when entering the input parameter the\_beam\_code, specifying the BEAM simulation to use, you simply use BEAM\_accelname, omitting the lib prefix (in the case of a Unix/Linux library) and the .so or .dll extension of the library.

#### 4.10.2 Efficiency of BEAMnrc simulation source vs. a phase space source

A BEAMnrc source has the obvious advantage over a phase space source in that intermediate phase space data need not be stored. For many calculations with reasonable precision, this can save tens of GBytes of disk storage space. In general, the tradeoff will be a reduced simulation efficiency, due to the extra time required to perform a full accelerator simulation to generate source particles.

Recent research[16] has shown, however, that by using variance reduction techniques in the BEAMnrc simulation source and in the DOSXYZnrc calculation, the efficiencies of photon beam dose calculations using BEAMnrc simulation sources can be maximized so that they are only 3–13% (depending on beam energy, field size and phantom voxel size) lower than the peak efficiencies with the equivalent phase space sources. The variance reduction techniques required are directional bremsstrahlung splitting (DBS–see Ref[17] and Section 6.3.4 in the

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BEAMnrc Manual) to maximize the efficiency of photon production in the BEAMnrc simulation source in conjunction with photon splitting (n\_split—see Section 8.16) to maximize the efficiency of the DOSXYZnrc calculation. Efficiencies quoted for phase space sources include the time required to transport particles through the accelerator jaws (from "fixed" phase space data collected above the jaws) to generate the source, but even if this time is omitted, the peak efficiencies with BEAMnrc simulation sources are only 5–30% lower than those with phase space sources.

### 4.11 isource = 10: Full BEAMnrc Treatment Head Simulation Incident from Multiple Directions

This source is similar to source 9, except that it is incident from multiple, user-defined directions (theta-phi pairs).

Inputs for source 10 are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 10

xiso/yiso/ziso x-, y-, z-coordinates of the isocenter (normally located within the phantom)

nang The number of incident theta-phi pairs or, if negative, then abs(nang) is the number of groups of incident theta-phi pairs, where, within a group, all theta-phi pairs have equal probability. Same as for source 8 (see Section 4.9 above for more details).

dsource Absolute distance from the isocenter to the centre of the source plane. Same as for source 9 (Section 4.10).

- phicol Angle by which the source is rotated about the BEAM central axis. Same as for source 9 (Section 4.10).
- i\_dbs Set to 1 if directional bremsstrahlung splitting (DBS) is being used in the BEAM simulation **AND** you wish to reject fat photons falling outside the DBS splitting field so that they do not compromise dose statistics. Same as for source 9 (Section 4.10).
- e\_split Number of times to split charged particles as soon as they enter the phantom geometry. This is only used in conjunction with photon splitting (n\_split>1). Same as for source 9 (Section 4.10).
- the\_beam\_code The name of the BEAM accelerator simulation (ie BEAM\_accelname). Same as for source 9 (Section 4.10).
- the\_input\_file The input file to use for the BEAM simulation (no .egsinp extension). Same as for source 9.

the\_pegs\_file The pegs data to be used in the BEAM simulation (no .pegs4dat extension). Same as for source 9.

For an example of how the theta-phi pairs are specified, see the description at the bottom of Section 4.9 above.

Note that, similar to source 9, the user must also set enflag = 2 or 3 and input the medium number and the thickness for the surrounding region. These inputs are described in sections 5 and 6 below.

### 4.12 isource = 20: Synchronized phase space source

This source greatly enhances the capabilities of the phase space source incident from multiple directions (isource=8). Source 20 was developed by Lobo and Popescu[18] to allow the user to simulate continuous motion of the phase space source relative to the DOSXYZnrc phantom over specified ranges of incident directions, SSD's and isocentre coordinates. Moreover, the source allows the user to interpose a geometry, generated using either a BEAM accelerator or a non-EGSnrc code (likely simulating an MLC geometry) compiled as a shared library, between the source plane and the DOSXYZnrc phantom. In the case of a BEAM shared library, the source parameters (range of incident directions, SSD's, isocentres) can be synchronized with the field settings of any synchronized component modules (see the BEAMnrc Manual[19]).

The geometrical parameters controlling the orientation of the source plane relative to the DOSXYZnrc phantom have the same definition as those for sources 2, 8, 9 and 10 and are shown in Figure 3.

Input parameters for source 20 are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 20

nset The number of control points. Control points define the beginning and end points of ranges of incident direction angles, SSD's and isocentre coordinates over which continuous motion of the source is simulated (see below). nset must be in the range  $2 \le \text{nset} \le \$\text{MXANG}$ , where \$MXANG is defined in the file  $\$\text{EGS\_HOME/dosxyznrc/dosxyznrc\_user\_macros.mortran}$ .

- i\_dbs Set to 1 if the phase space source was generated using directional bremsstrahlung splitting (DBS) in BEAM and you wish to reject photons directed outside the splitting field (which will all be fat). Set to 0 otherwise. Note that i\_dbs is read in as a real and converted to integer.
- r\_dbs Radius (in cm) of the DBS splitting field in the BEAM simulation used to generate this source. Only needed if i\_dbs=1.

- ssd\_dbs SSD (in cm) where the DBS splitting field radius was defined in the BEAM simulation used to generate this source. Only needed if i\_dbs = 1.
- z\_dbs Z value (in cm) in the BEAM simulation where this phase space source was scored. This will be at the back of a component module (CM). Only needed if i\_dbs = 1.
- e\_split Number of times to split charged particles as soon as they enter the phantom geometry. Split particles have their weight reduced by a factor of 1/e\_split. This is only used in conjunction with photon splitting (n\_split, see Section 8.16) and prevents higher-weight contaminant electrons from compromising statistics in photon beams. For maximum efficiency, it is suggested that you set e\_split=n\_split, the photon splitting number.
- i\_muidx\_out Set to 1 to include the fractional monitor unit index, MU, associated with each particle in IAEA format phase space output for particles leaving the phantom geometry. Note that phase space data is not output at all unless i\_phsp\_out, the input parameter in the main code controlling phase space output, is set to 1 or 2. If MU is included in the phase space output, then phase space data has a time dimension and is considered 4-D. Scoring of MU allows synchronization between the DOSXYZnrc simulation generating the file and any downstream simulations—such as BEAMnrc simulations with synchronized component modules—using the file as a source. See Section 8.18 for a description of DOSXYZnrc phase space output.
- calflag Set to 1 to skip the calibration run through a BEAM shared library geometry between the source plane and the phantom. The number of times to recycle each source particle before proceeding to the next one, NRCYCL, will then not take into account the ratio of the number of particles emerging from the library geometry to the number of incident particles (survival ratio). The default is to do the calibration run if a BEAM shared library geometry is present.

For i=2,...,nset (the no. of control points), the following parameters are entered:

xiso(i)/yiso(i)/ziso(i) x-, y-, z-coordinates of the isocenter.

theta(i) Angle of line connecting phase space plane to isocentre relative to the +Z axis.

phi(i) Angle of line connecting phase space plane to isocentre relative to the +X axis.

phicol(i) 2D Angle of rotation of the phase space plane about its own origin.

dsource(i) The distance from the centre of the phase space plane to the isocentre except when an IAEA format phase space source is used, in which case input the distance from the primary BEAM source to the isocentre (SAD). When an IAEA phase space source is used, the distance from the phase space plane to the isocentre is calculated using the Z position of the phase space plane read in from the IAEA header file.

muIndex(i) A monitor unit index in the range [0,1] defining the fraction of the total number of incident particles delivered up to control point i. Note that muIndex(i) ≥ muIndex(i-1). Also note that for correct selection of the range of incident source parameters, muIndex(i)=0.0 and muIndex(nset)=1.0. See below for more information on how muIndex is used to sample from a range of incident source parameters.

End of inputs required for each control point.

the\_shared\_lib The name of the BEAM accelerator code or non-EGSnrc MLC simulation code compiled as a shared library (See below for more information). Leave this blank if there is no geometry interposed between the phase space source and the DOSXYZnrc phantom. This code must have been compiled as a shared library (e.g. libBEAM\_accelname.so if a BEAM accelerator compiled on Unix/Linux) and exist in directory \$EGS\_HOME/bin/config, where config is your configuration name.

FILNAM The full name (including directory path and file extension) of the phase space source.

the\_input\_file Input file for the BEAM or non-EGSnrc code defining the shared library geometry interposed between the phase space source and the DOSXYZnrc phantom. For a BEAM shared library, this input file must exist in \$EGS\_HOME/BEAM\_accelname In the case of a BEAM shared library, this file must specify phase space output at the bottom of the accelerator.

Prior to each incident particle (Note: not necessarily a statistically-independent primary history) a random number, MU\_RND = [0,1], which can be thought of as a fractional monitor unit, is compared to the muIndex(i) of the control points to determine the incident geometrical parameters. If source 20 is being run through a BEAM shared library geometry which has synchronized component modules, such as SYNCJAWS and/or SYNCVMLC (See the BEAMnrc Users Manual[19]), then the value of MU\_RND is passed to BEAM from DOSXYZnrc (more on this below). If muIndex(i-1) \leq MU\_RND <muIndex(i) then the parameters determining the orientation of the source plane are interpolated between control points i-1 and i using:

$$\mathtt{PARAM} = \mathtt{PARAM}(\mathtt{i}-\mathtt{1}) + \frac{[\mathtt{PARAM}(\mathtt{i}) - \mathtt{PARAM}(\mathtt{i}-\mathtt{1})]}{[\mathtt{muIndex}(\mathtt{i}) - \mathtt{muIndex}(\mathtt{i}-\mathtt{1})]} \left[\mathtt{MU\_RND} - \mathtt{muIndex}(\mathtt{i}-\mathtt{1})\right] \quad (1)$$

Where PARAM is the value of the source parameter (xiso, yiso, ziso, theta, phi, etc) used in the simulation, and PARAM(i) and PARAM(i-1) are its values defined at control points i and i-1, respectively. Thus, source parameters are evenly distributed between control points i-1 and i.

The generation of a new value of MU\_RND for each incident particle means that particles in the phase space source arising from the same primary history will be incident from different source orientations. This was implemented to ensure adequate sampling of source positions

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even in cases where where relatively few primary histories are represented in the phase space source (*i.e.* if the BEAM simulation used to generate the source used directional bremsstrahlung splitting with a high splitting number). Note, however, that regardless of how spatially well-sampled a dose distribution is, its uncertainty is limited by the number of primary histories simulated.

An simple example input segment for nset=7 is:

```
0, 0, -9, 90, 0, 0, 15, 0

0, 0, -9, 90, 360, 0, 15, 0.1

0, 0, -5, 90, 0, 45, 15, 0.1

0, 0, -5, 90, 360, 45, 15, 0.5

0, 0, 5, 90, 0, 90, 15, 0.5

0, 0, 5, 90, 360, 90, 15, 0.9

0, 0, 9, 90, 360, 135, 15, 1.0
```

This sample input defines four distinct ranges of incident source parameters:

- 1. The first two control points (muIndex(1)=0.0, muIndex(2)=0.1) define 10% of incident particles with (xiso, yiso, ziso)=(0, 0, -9), theta=90° (i.e. incident from the side of the phantom), phi evenly distributed over [0,360°] (i.e. circling the entire phantom in the X-Y plane), phicol=0°, and dsource=15 cm.
- 2. Control points 3 and 4, with muIndex(3)=0.1 and muIndex(4)=0.5, define 40% of incident particles with (xiso, yiso, ziso)=(0,0,-5), theta=90°, phi evenly distributed over [0,360°], phicol=45°, and dsource=15 cm. Note that setting muIndex(3) = muIndex(2) is used to define a discontinuity between this range and the previous range, since no parameters will be chosen between control points 2 and 3.
- 3. Control points 5 and 6, with muIndex(5)=0.5 and muIndex(6)=0.9, define another range of parameters (discontinuous with the previous one), in which 40% of incident particles have (xiso, yiso, ziso)=(0, 0, 5), theta=90°, phi evenly distributed over [0,360°], phicol=90°, and dsource=15 cm.
- 4. Control point 7 (muIndex(7)=1.0) defines 10% of particles (muIndex(7)-muIndex(6)=0.1) with (xiso, yiso, ziso) evenly distributed over [(0, 0, 5),(0, 0, 9)], theta=90°, phi evenly distributed over [0,360°], phicol evenly distributed over [90°,135°] and dsource=15 cm. Note that this range is continuous with the previous one.

A realistic simulation of a treatment plan may involve hundreds, or thousands, of control points. In practice these could either be extracted from a treatment plan or generated using your own code[18]. Note that a large number of control points may require you to increase the value of \$MXANG in \$EGS\_HOME/dosxyznrc/dosxyznrc\_user\_macros.mortran (which defines the maximum value of nset) and recompile DOSXYZnrc.

It is recommended that the user set the input i\_dbs=1 if directional bremsstrahlung splitting (DBS) was used to generate the phase space source and they wish to eliminate fat photons, directed outside the splitting field, from the DOSXYZnrc simulation. From the BEAM

simulation used to generate the phase space source, the user must then input the DBS splitting radius, r\_dbs, the Z-position of the scoring plane, z\_dbs, and the SSD used for DBS, ssd\_dbs. See Section 4.4.1 for more details.

Just as with other phase space sources (sources 2, 8), source 20 requires setting the input parameter, enflag, to 2 or 3 (with or without LATCH bit filtering), that the mode of the phase space file (0-no zlast scoring, 2-zlast scoring) be input, and that the thickness and medium of the region surrounding the phantom be specified. See Section 5 for more information.

### 4.12.1 Phase space source incident through a shared library geometry

Using the inputs, the\_shared\_lib and the\_input\_file, the user is able to interpose a geometry defined using a code compiled as a shared library between the phase space source and the DOSXYZnrc phantom geometry. As mentioned above, the code can be a BEAM accelerator or a non-EGSnrc user code, and the\_input\_file is an input file for the code which defines the geometry parameters (e.g. a BEAM input file). The compiled shared library (e.g. libBEAM\_accelname.so if the code is a BEAM accelerator and has been compiled on Unix/Linux) must exist in the \$EGS\_HOME/bin/config directory, where config is the name of your configuration.

The system requirements for the use of a shared library geometry are the same as those required for a BEAM treatment head source and are detailed in Section 4.10. Instructions on compiling a BEAM code as a shared library are given in Section 4.10.1.

A 2D schematic showing the differences between running source 20 through a BEAM shared library versus a non-EGSnrc code, user\_code, compiled as a shared library is shown in Figure 6.

If a BEAM shared library is used the following conditions apply:

- The BEAM input file, the\_input\_file must define a scoring plane at the bottom of the geometry. Particle data at this plane are not actually output but are stored in an internal array for eventual DOSXYZnrc transport through the phantom.
- If the source specified in the BEAM input file is a phase space source, it must not make use of the same phase space file as DOSXYZnrc source 20. This causes a file opening conflict in some versions of Fortran and will cause the run to fail. Note that when BEAM is used to define a geometry for source 20, the BEAM source is not used, so a dummy source of any type can be specified in the BEAM input file.
- With a BEAMnrc-format phase space source, the incident Z-position (in the BEAM frame) of the phase space source is the same as the incident Z-position of the source as defined in the BEAM input file, the\_input\_file. The source 20 input, dsource then defines the distance from the phantom isocentre to the centre of the scoring plane.
- If an IAEA-format phase space source is used, the incident Z-position of the phase space source is read from the phase space data itself. Note that this means the user

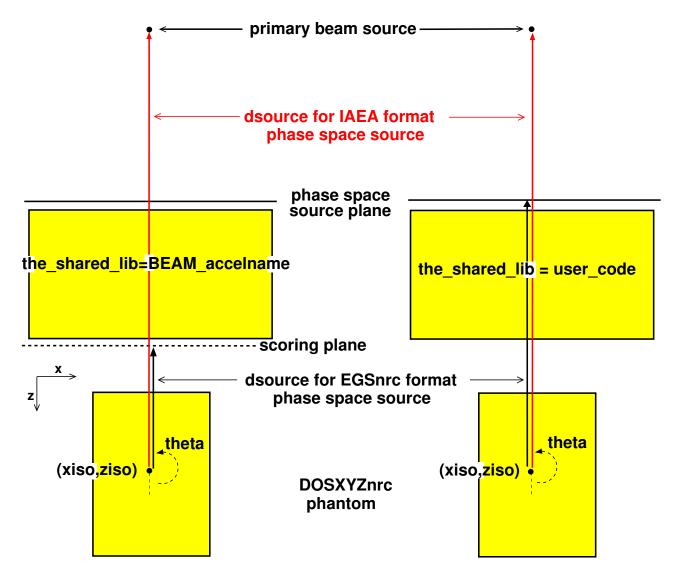


Figure 6: Source 20 incident through a shared library (BEAM or non-EGSnrc user code). When the phase space source is run through a BEAM shared library geometry (left), dsource defines the distance between the centre of the scoring plane at the bottom of the geometry and the isocentre (BEAMnrc phase space source, black arrow), or the distance between the primary source and the isocentre (IAEA phase space source, red arrow). When a non-EGSnrc code is used (right), dsource defines the distance between the phase space source and the isocentre (EGSnrc-format phase space source, black arrow) or between the primary source and the isocentre (IAEA phase space source, red arrow).

must ensure consistency between the geometry defined in BEAM and the incident Z-position of the particles. The input parameter, dsource, defines the distance from the isocentre to the primary beam source (i.e. dsource=SAD, see red arrow in figure)

• The pegs data used in the accelerator simulation is not an input variable and must be the same as that used in the DOSXYZnrc phantom.

If the phase space source is run through a non-EGSnrc shared library (compiled from user\_code) the following applies:

- Phase space data below the defined geometry need not be scored.
- If a BEAMnrc-format phase space source is used, the incident Z-position (in the user\_code frame) is defined as dsource. Thus, the user must ensure that the source plane is positioned correctly relative to the defined geometry.
- For an IAEA-format phase space source, the incident Z-position is read from the phase space source, and dsource defines the primary source-to-isocentre distance. Again, the user must ensure consistency between the incident Z-position and the dimensions of the geometry defined in user\_code.

One issue when running source 20 through a shared library is the determination of how many times to recycle incident particles so that the phase space source does not rewind, causing loss of information about particle correlations and subsequent underestimation of dose uncertainties. As mentioned in Section 8.11 below, DOSXYZnrc automatically estimates the number of times to recycle each particle, NRCYCL, based on the number of particles in the phase space source, the number of histories requested, and the charge of particles requested. However, interactions in the shared library geometry will change the number of particles reaching the DOSXYZnrc phantom per particle incident from the phase space source. Thus, the original estimate of NRCYCL is incorrect. To overcome this when a BEAM shared library is used, an initial calibration comprising 1x10<sup>6</sup> particles run through the BEAM geometry only is used to determine the ratio of the number of particles emerging from the the bottom of the BEAM library geometry to the number of incident particles (survival ratio). NRCYCL is then recalculated by multiplying the original estimate by 1/(survival ratio).

Depending on the shared library geometry, the calibration run can consume significant CPU time at the beginning of the simulation. Therefore, if there is a sufficient number of particles in the phase space source that the user is confident rewinding the source is not an issue, the calibration run can be skipped by setting the input, calflag, to 1.

If a non-EGSnrc shared library is used, then the user must provide a routine to estimate the survival ratio of particles passing through the geometry. This will be covered in more detail below.

When a BEAM shared library geometry includes synchronized component modules (CMs), such as SYNCJAWS, SYNCVMLC or SYNCMLCE, then the opening coordinates of these component modules (defining the field) can be synchronized with the orientation of the phase space source plane relative to the phantom. In this case the value of MU\_RND, used to set the

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incident source orientation (see above), is passed from DOSXYZnrc to BEAM, where it is then used to set the opening coordinates of any synchronized CMs. The user controls the synchronization between CM geometries and the incident source orientation using files (one for each synchronized CM) that associate a field (set of opening coordinates) with a range of fractional monitor units. See the BEAMnrc Users Manual[19] for more information about synchronized CMs.

### Non-EGSnrc Geometry Code (user\_code)

The coding which allows a non-EGSnrc user code compiled as a shared library to communicate with DOSXYZnrc is found in the C code, \$HEN\_HOUSE/cutils/load\_vculib.c. The acronym "vcu" stands for "Virginia Commonwealth University" and is a reference to the fact that the Lobo and Popescu[18] used a simplified MLC geometry simulation developed at VCU, called particleDMLC[20], as a shared library geometry in simulations with source 20.

System requirements for the use of a non-EGSnrc shared library with source 20 (or 21) are the same as those for a BEAM simulation source (see Section 4.10 above). Unix/Linux systems must have a working C/C++ compiler so that load\_vculib.c can be compiled to create the object file, load\_vculib.o. If a working C/C++ compiler is detected on installation of BEAMnrc/DOSXYZnrc then this is done automatically. Windows systems do not require a working C/C++ compiler, since a precompiled version of load\_vculib.o is provided. In addition, the file, \$HEN\_HOUSE/specs/dosxyznrc\_config.spec, where config is the configuration name, must have the variable VCULIB\_OBJECTS set to \$HEN\_HOUSE/lib/config/load\_vculib.o (note that a short form for this is \$EGS\_LIBDIR/load\_vculib.o) prior to compiling DOSXYZnrc. Again, this is set automatically on installation if load\_vculib.o was created successfully.

Currently, load\_vculib.c is optimized for calls to subroutines defined in particleDMLC. However, the user can make changes to this file so that it is specific for calls to their own geometry code. For illustrative purposes, the following functions must be defined in the user's geometry code, assuming they have made no changes to load\_vculib.c or the DOSXYZnrc coding:

- 1. initvcu(char \*the\_input\_file, float \*survival\_ratio) An initialization routine which reads an input file for the geometry code (the\_input\_file) and generates an estimate of the survival ratio of particles between the phase space source and the bottom of the user code geometry (usually a simulation of an MLC). The input file would likely specify the geometry parameters and would also pass the name of the phase space source to the user code. The survival ratio is used to calculate the number of times to recycle incident particles, NRCYCL (see above).
- 2. vculib\_sample(float \*e, float \*x, float \*y, float \*z, float \*u, float \*v, float \*w, float \*w, int \*iq, int \*latch, int \*nhist, int \*more\_in\_container) A subroutine used to run the geometry code and sample particles reaching the bottom of the geometry. The subroutine returns the phase space data for a particle at the bottom of the geometry (e=energy; x,y,z=particle x,y,z-position; u,v,w=x,y,z-direction cosines; wt=particle weight; iq=charge; latch=latch value), the number of primary histories run to that point, nhist, and an integer, more\_in\_container, which is set to

0 if the storage array for particles reaching the bottom of the geometry is empty (i.e. the geometry simulation must be run on the next call to vculib\_sample).

3. vculib\_finish() A subroutine called at the end of the simulation to close any files used by the geometry code, free up reserved memory, etc.

### 4.12.2 IAEA-format phase space sources

Similar to other phase space sources (sources 2 and 8) source 20 is implemented such that if an IAEA-format phase space source is used, the incident Z-position of a particle is automatically read directly from the phase space data. This eliminates the restriction imposed by BEAMnrc-format phase space files of all particles being incident in the same plane and, thus, allows more flexibility in terms of the phase space data that can be used.

If the IAEA phase space source includes the fractional monitor unit index, MU, associated with each particle (i.e. 4-D data), then a random value for MU, MU\_RND, is not chosen at the beginning of each history, and, instead, the value of MU read from the phase space data is automatically used to set the incident source parameters (isocentre position, incident angle, etc) for the incident particle. MU is automatically scored in IAEA phase space data output by BEAMnrc simulations using synchronized component modules (CMs) with time-varying opening coordinates (see the BEAMnrc Users Manual[19]) and can also be included in IAEA phase space data output by DOSXYZnrc simultions using synchronized sources (20 or 21) by using the i\_muidx\_out input flag (see description of input above and Section 8.18). Thus, use of MU read from the phase space source allows synchronization of source 20 with upstream BEAMnrc simulations having synchronized CMs or upstream DOSXYZnrc simulations with synchronized sources.

#### 4.12.3 Simulation of Spiral CT Scan

A recent publication by Kim et al[21] details a modification of Source 8 (See Section 4.9 above) in which the phase space plane can be made to rotate in phi about an isocentre which is concurrently translating in Z, thus simulating exposure in a helical pattern. Kim et al have used this to simulate dose due to a spiral CT scan, and their results compare well with measurement.

Spiral scans can be modeled equally well using Source 20 (and 21, see below) using just two control points (nset=2). An example of two control points defining a spiral scan is:

These control points define simultaneous translation of the isocentre from z(1)=0 to z(2)=10 cm and rotation of the source plane from phi(1)=0 at z(1) through  $phi(2)=3600^{\circ}$  at z(2). The angular range, phi(2)-phi(1), determines the pitch of the helix. In this example, the source rotates ten times  $(3600^{\circ}=10\times360^{\circ})$  over a Z translation of 10 cm, so the pitch is equal to 1. The direction of rotation is determined by the sign of phi(2)-phi(1). If this is

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positive (as in this example), then rotation is clockwise about the Z-axis; if negative, rotation is counter-clockwise. Note that the X- and Y-positions of the isocentre in this example remain unchanged, and theta is fixed at 90°, which means the source-isocentre vector is perpendicular to the Z-axis.

The two control points in this example cover the entire range of fractional monitor units  $(i.e. \ \mathtt{muIndex(1)} = 0, \ \mathtt{muIndex(2)} = 1.0)$ , and, thus, include all incident particles. However, using more control points to further subdivide the range, [0,1], of fractional monitor units, it would be possible to generate multiple spiral scans-having different pitch, Z-range, etc-in one simulation.

### 4.13 isource = 21: Synchronized BEAM Simulation Source

Source 21 defines a BEAM treatment head simulation (compiled as a shared library) source incident over multiple ranges of continuous motion with respect to angle, SSD and isocentre. The source motion can be synchronized with the settings of any synchronized component modules (CMs) in the accelerator. There is also an option to run the source through a geometry (usually MLC) defined by a non-EGSnrc user code, compiled as a shared library, placed between the treatment head and the DOSXYZnrc phantom. Source 21 was developed and contributed by Lobo and Popescu[18]. Just as source 20 represents a significant enhancement over source 8 (phase space incident from multiple angles), source 21 represents a significant enhancement over source 10 (treatment head incident from multiple angles).

The system requirements for running shared library sources are given in Section 4.10 describing source 9 (BEAM treatment head source incident from one direction). Instructions on compiling a BEAM accelerator as a shared library are also given there.

The input parameters for source 21 are:

iqin Charge of the incident beam (-1: electron, 0: photon, 1: positron, 2: all particle types)

isource = 21

- nset The number of control points defining ranges of continuous source motion. Same as for source 20 (see above). nset must be in the range  $2 \le \texttt{nset} \le \texttt{\$MXANG}$ , where \$MXANG is defined in the file  $\texttt{\$EGS\_HOME/dosxyznrc/dosxyznrc\_user\_macros.mortran}$ .
- i\_dbs Set to 1 if directional bremsstrahlung splitting is used in the BEAM simulation and you wish to reject photons directed outside the splitting field (which will all be fat). Set to 0 otherwise. Note that i\_dbs is read in as a real and converted to integer.
- e\_split Number of times to split charged particles as soon as they enter the phantom geometry. Split particles have their weight reduced by a factor of 1/e\_split. This is only used in conjunction with photon splitting (n\_split, see Section 8.16) to prevent higher-weight contaminant electrons from compromising statistics in photon beams. For maximum efficiency, it is suggested that you set e\_split=n\_split, the photon splitting number.

i\_muidx\_out Set to 1 to include the fractional monitor unit index, MU, associated with each particle in IAEA format phase space output for particles leaving the phantom geometry. Note that phase space data is not output at all unless i\_phsp\_out, the input parameter in the main code controlling phase space output, is set to 1 or 2. If MU is included in the phase space output, then phase space data has a time dimension and is considered 4-D. Scoring of MU allows synchronization between the DOSXYZnrc simulation generating the file and any downstream simulations—such as BEAMnrc simulations with synchronized component modules—using the file as a source. See Section 8.18 for a description of DOSXYZnrc phase space output.

For control points i=1,2,...,nset, the following parameters defining the source orientation are entered:

xiso(i)/yiso(i)/ziso(i) x-, y-, z-coordinates of the phantom isocenter.

theta(i) Angle of normal connecting origin of the BEAM scoring plane to the isocentre.

phi(i) Angle of normal connecting the origin of the BEAM scoring plane to isocentre relative to the +X axis.

phicol(i) 2D Angle of rotation of the BEAM scoring plane about its own origin.

dsource(i) The length of the normal from the origin of the BEAM scoring plane to the isocentre.

muIndex(i) A monitor unit index in the range [0,1] defining the fraction of the total number of incident primary histories delivered up to control point i. This is slightly different from source 20, where muIndex(i) defines the fraction of incident particles up to control point i. Thus, for source 21, muIndex(i) is actually related to the fractional monitor units delivered by the BEAM simulation. Note that muIndex(i) ≥ muIndex(i-1). Also note that for correct selection of the range of incident source parameters, muIndex(1)=0.0 and muIndex(nset)=1.0.

This is the end of inputs required for each control point.

the\_beam\_code The name of the BEAM accelerator code (i.e. BEAM\_accelname) used to run the treatment head simulation. This must have been compiled as shared library (e.g. libBEAM\_accelname.so on a Linux/Unix system) existing in your \$EGS\_HOME/bin/config directory.

the\_input\_file The input file for the BEAM treatment head simulation. This must exist in directory \$EGS\_HOME/BEAM\_accelname and must specify a single scoring plane (usually at the bottom of the accelerator).

the\_pegs\_file The PEGS data to be used in the BEAM treatment head simulation.

the\_vcu\_code This optional input is the name of a non-EGSnrc user code, compiled as a shared library, that can be used to define a geometry through which particles are run between the bottom of the treatment head and the DOSXYZnrc phantom. The shared library must exist in directory \$EGS\_HOME/bin/config

the\_vcu\_input\_file Input file for the\_vcu\_code defining the geometry interposed between the treatment head simulation and the phantom.

For each primary history in the treatment head simulation a random fractional monitor unit index, MU\_RND  $\in$  [0,1], is chosen. MU\_RND is either generated by DOSXZYnrc or, if there are synchronized CMs in the treatment head simulation also undergoing motion, it is passed to DOSXYZnrc from the BEAM simulation. More details on the use of synchronized CMs in the BEAM simulation are given below. For all particles associated with a given value of MU\_RND, the control points, i-1 and i, within which the source position falls are those for which muIndex(i-1) \leq MU\_RND \leq muIndex(i), and the parameters determining the source orientation are calculated using Equation (1) (see Section 4.12 above). Note that, unlike source 20, MU\_RND is chosen only for each primary history, not for each incident particle. Thus, the muIndex(i) for the control points are traceable to actual monitor units in the treatment head.

Recall that the source plane is actually the phase space scoring plane in the BEAM treatment head, defined in the\_input\_file. Phase space data is not output at this plane but is stored in an internal array for use by DOSXYZnrc over the course of the simulation.

Similar to source 9 (See section 4.10), since the BEAM simulation is running concurrently with DOSXYZnrc, if directional bremsstrahlung splitting (DBS) is being used in BEAM, information about whether or not a particle has been split or has survived russian roulette ("fat" particles) is available without having to reconstruct the DBS splitting field after the fact. Thus, fat photons can be rejected from the DOSXYZnrc simulation simply by setting i\_dbs=1 and the additional inputs required to reject fat photons from phase space sources, r\_dbs, ssd\_dbs, z\_dbs (See Section 4.12) are not required.

As with other phase space and BEAM simulation sources, the input enflag must be set to 2 or 3 to indicate whether LATCH bit filtering is to be used, the mode of the data (with or without zlast) being read from the BEAM simulation must be indicated, and the medium and thickness of the medium surrounding the phantom (dsurround) must be input. For more information on these inputs, see Section 5.

#### 4.13.1 Use of synchronized CMs in the BEAM simulation

If the BEAM treatment head simulation includes synchronized component modules, such as SYNCJAWS, SYNCVMLC, and SYNCMLCE, and the user is modeling dynamically-changing field coordinates with them, then MU\_RND for each primary history is passed from BEAM to DOSXYZnrc, and the user can synchronize the orientation of the source plane with the field coordinates through the muIndex(i) of the individual control points. Synchronized CMs are also synchronized with each other. For more information on the synchronized CMs, see the BEAMnrc Users Manual[19].

Figure 7 below shows visually how the incident source orientation may be synchronized with the CM settings.

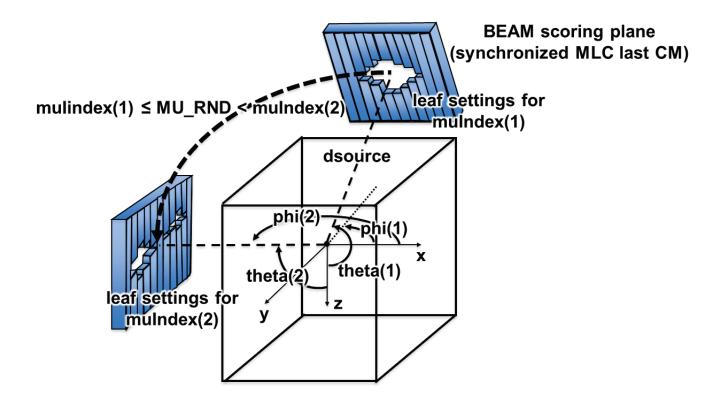


Figure 7: Source 21, including a synchronized CM, incident on a DOSXYZnrc phantom. The figure indicates that the final CM in the treatment head simulation is a synchronized MLC (SYNCVMLC, SYNCMLCE or SYNCHDMLC). Two control points for the source plane, having different muIndex, theta and phi, are indicated. Different MLC leaf settings are also associated with the values of muIndex (the user sets this up in a file read by the synchronized CM). Continuous motion of the source between (theta(1), phi(1)) and (theta(2), phi(2)), along with dynamic motion of the MLC leaves, is simulated for values of MU\_RND with muIndex(1) \leq MU\_RND < muIndex(2). Continuous motion in dource, isocentre position (xiso, yiso, ziso), and the angle of rotation of the source plane in the plane (phico1) can also be simulated.

### 4.13.2 Running the source through a non-EGSnrc shared library

Using the inputs the\_vcu\_code and the\_vcu\_input\_file the user has the option to interpose another geometry (usually an MLC) between the source plane and the DOSXYZnrc phantom. In general, the\_vcu\_code is a non-EGSnrc user code. Lobo and Popescu[18] have used a version of the code particleDMLC[20], developed at Virginia Commonwealth University (hence "vcu" in the variable names), to place a simplified MLC simulation between the source and the phantom.

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A detailed discussion of potential user codes for creating simplified MLCs is beyond the scope of this manual. However, a summary of the subroutines that must exist in the\_vcu\_code and the parameters required to communicate with DOSXYZnrc is given in the description of source 20 (Section 4.12) above.

Note that with source 21, dsource always defines the Z-position, in the BEAM frame, of the source plane (scoring plane in the BEAM simulation), and it is up to the user to ensure that the source is positioned correctly relative to the geometry defined by the\_vcu\_code.

# 5 Other Source-Related Inputs

After the inputs described above, there is another record input with several other variables related mostly to the source.

## 5.1 enflag

The setting of enflag determines whether the source is monoenergetic or has an energy spectrum, or, if the source is a phase space file, whether or not a dose component is to be calculated. The possible settings of enflag are:

- = 0 (default) for a monoenergetic source (isource=0,1,3,6).
- = 1 for an energy spectrum input (isource=0,1,3,6).
- = 2 for a phase space source (isource=2) or full BEAM simulation source (isource=9), no dose component calculation.
- = 3 for a phase space source (isource=2) or full BEAM simulation source (isource=9), dose component calculation using a bit filter (see section 7 below).
- = 4 for a beam characterization model source (isource=4).

## 5.2 mode

mode only has meaning for a phase space source (isource=2). However, an explicit value for mode must exist in the input file for a full BEAM simulation source (isource=9) or beam characterization model source (isource=4), since isource=4 and 9 make use of medsur, dflag and dsurround, which occur after mode on the same line. The possible settings of mode are:

- = 0 (default) the phase space source does not contain ZLAST (for photons, Z of last site of interaction; for electrons, Z where electron or its ancestor was set in motion by a photon) and, thus, has 7 variables/record.
- = 2 the phase space source contains ZLAST and, thus, has 8 variables/record.

Note that DOSXYZnrc does not make use of ZLAST, but it needs to know whether ZLAST is in the file or not so that the appropriate number of variables/record can be read. Thus, if a phase space file is only going to be used as a source for a DOSXYZnrc calculation, you can save space by leaving ZLAST out of the file. For more information about ZLAST, see the BEAMnrc Users Manual[19].

### 5.3 medsur

medsur is the medium number for the region surrounding the phantom as defined by dsurround (see below). medsur is only input for phase space, full BEAM simulation or beam characterization model sources (isource=2, 4, 8 or 9). The default setting of medsur is 0, indicating the surrounding region is vacuum. However, the user may set this to correspond to any of the media that they have defined at the top of the DOSXYZnrc input file. In many cases, the user may wish to fill this region with air and have particles transported properly through air before reaching the phantom.

## 5.4 dsurround and dflag

dsurround and dflag are required for phase space, full BEAM simulation and beam characterization model sources isource=2, 4, 8, 9, 10, 20 or 21. These inputs define the dimensions of the region surrounding the phantom (ie filled with the medium defined by medsur). Note that dsurround is a 4-dimensional array with dsurround(1) occurring before dflag on the input line and dsurround(2...4), if required, occurring after dflag.

The possible settings for dflag and their relation to dsurround are as follows:

dflag=0 (default) dsurround(1) defines the thickness if the surrounding region (in cm) on all sides of the phantom, and the user need not input values for dsurround(2...4). dsurround(1) defaults to 50 cm if it is set <0.

dflag=1 means that dsurround(1) defines the thickness of the surrounding region in the  $\pm x$  directions, dsurround(2) is the thickness of the surrounding region in the  $\pm y$  directions, dsurround(3) is the thickness in the +z direction (bottom of the phantom) and dsurround(4) is the thickness in the -z direction (top of the phantom). dsurround(1...4) default to 0.

Use of dflag=1 with dsurround(1...4) can save substantial amounts of computing time if the user is only interested in the dose along a certain axis or in a specific slice of a DOSXYZnrc phantom (see section 14 below).

## 5.5 ein

ein is the kinetic energy of a monoenergetic incident beam in MeV. It defaults to 1.25 MeV if ein is set  $\leq 0$ . Note that ein is only required if isource=0,1,3,6 and enflag=0.

#### 5.6 FILNAM

FILNAM is the file name (with extension) of:

incident beam energy spectrum if isource=0,1,3 or 6 and enflag=1. In this case, FILNAM has a specific format which corresponds to the ensrc format used in the original EGSnrc system (see \$HEN\_HOUSE/spectra for a large number of example spectra):

phase space source: if isource=2 or 8 and enflag=2 or 3. See Section 4.4 for more details.

beam characterization model: if isource=4 and enflag=4.

the\_beam\_code, the\_input\_file, the\_pegs\_file: if isource=9 or 10 and enflag=2 or 3. These are the names of the BEAM accelerator code being used as a source, the input file for the BEAM simulation, and the pegs data for the BEAM simulation respectively. See section 4.10 for more details.

## **5.7** IOUTSP

IOUTSP is only required with an incident energy spectrum (enflag=1). The possible settings of IOUTSP are:

- = 0 (default) no output summary of incident energy spectrum in .egslst.
- = 1 output a summary of the incident energy spectrum to the .egslst file.

# 6 Phase Space Sources

The phase-space files output by BEAMnrc and used by DOSXYZnrc for sources 2 and 8 are described in detail in section 7 of the BEAMnrc User's Manual. [12].

The phase-space files are binary files opened with ACCESS = 'direct' and FORM = 'unformatted'. Because they are binary files, and because there are two common byte orders used for binary files by different systems (eg PCs and DEC machines use one form and SUNs and SGIs use the other), files written by one system may not be compatible with another system. The utility readphsp found on \$OMEGA\_HOME/progs/readphsp can convert files from one format to the other (see the description in the BEAMnrc User's Manual. [12].)

There are also two types of phase-space files determined by how many variables they contain. The shorter format is called 'MODEO' and ZLAST is not scored and the longer format is 'MODE2' when ZLAST is present. Since DOSXYZnrc makes no use of the ZLAST variable, it saves space to use MODE0 files for use with DOSXYZnrc. The 'MODE0' or 'MODE2' designation appears in the first record of a phase-space file along with the total number of particles contained in the file, the number of photons, maximum energy of any particle in the file, minimum energy of electrons, and minimum energy of photons.

Phase space files generated by BEAMnrc use negative E (energy) to mark the first particle scored by each new primary history. If the file is used as a source, then the negative E marker allows scored quantities (ie energy deposited) to be grouped according to primary history. This ensures that uncertainties estimated account for the correlations between incident particles in a phase space source [10]. If an old phase space file without negative E markers is used as a source, then scored quantities will be grouped according to incident particle instead of primary history. DOSXYZnrc will then output a warning that uncertainty may be underestimated because correlations between incident particles could not be taken into account. This is not a cause for concern, because we have found [10] that in the cases we studied, the underestimate is not significant.

When using a phase space source, if the entire phase space file is read before the requested number of histories is run, then DOSXYZnrc restarts the phase space file from the beginning. For the reasons discussed in section 8.11, page 82, this is not a desirable occurrence and one should strive to avoid this by using the recycling feature (which also saves on reading time).

# 6.1 IAEA-format Phase Space Sources

In addition to the standard BEAMnrc phase space files described above, DOSXYZnrc can also use IAEA-format phase space data as a source. This allows the user to make use of IAEA's online data base of accelerator phase space data at:

www-nds.iaea.org/phsp/phsp.htmlx

Note that this functionality requires that EGSnrc/DOSXYZnrc be installed on a machine with a working C++ compiler. This is detected automatically during EGSnrc installation and, if a working C++ compiler is found, the library of IAEA phase space handling routines is compiled.

Phase space data in IAEA format comprises both a header (extension .IAEAheader) file and a phase space data (extension .IAEAphsp) file. When using IAEA phase space data as a source, only the full name and directory path of the .IAEAphsp file needs to be specified (See Section 4.4). The .IAEAheader file is assumed to be in the same directory.

Note that when IAEA phase space sources are used the incident Z-position of each particle is available and is automatically read, either from the header file in the case of planar phase space data (such as that scored by BEAMnrc), or from the phase space data itself if it is scored in 3-D (such as that scored by DOSXYZnrc). The ability to handle 3-D phase space data greatly enhances the flexibility of DOSXYZnrc and allows it to use non-planar data supplied by manufacturers, such as that supplied by Varian for their TrueBeam accelerators. In addition, IAEA phase space files generated by BEAMnrc simulations with synchronized component modules (CMs) and by DOSXYZnrc simulations using synchronized sources (20 or 21) may include the fractional monitor unit (MU) associated with each particle. This is automatically read in and used by DOSXYZnrc's synchronized phase space source (source 20) allowing synchronization between the DOSXYZnrc simulation and the upstream simulation that generated the phase space file. See Sections 4.4.2 and 4.12.1 for more information.

Form more information on the format of IAEA phase space data, see the BEAMnrc Manual and IAEA Report INDC(NDS)-0484[22].

# 7 Calculating Dose Components with DOSXYZnrc

# 7.1 Bit Settings

The LATCH variable, associated with each particle in a BEAMnrc simulation, is a 32-bit variable used to track the particle's history. It is discussed in detail in the BEAMnrc User's Manual [12].

Each bit in LATCH is designated as follows with bit 0 being the lowest value bit:

- **bit 0** Set to 1 if a photon is created by a bremsstrahlung event or an electron is created by a bremsstrahlung photon; 0 otherwise
- bits 1-23 Used to record the region where a particle has been and/or has interacted. Note that the bit set for a region is determined by IREGION\_TO\_BIT (which is defined in the BEAMnrc simulation) for that region.
- bits 24-28 Stores IREGION\_TO\_BIT (as a binary number) of the region in which a secondary particle is created; if these bits are all 0, the particle is a primary particle
- bits 29-30 Store the charge of a particle at the time LATCH is output to a phase-space file.
- bit 31 Set to 1 if a particle has crossed a scoring plane more than once when LATCH is output to a phase-space file.

For secondary particles, recording the IREGION\_TO\_BIT's of the regions in which they were created in bits 24-28 is equivalent to multiplying the IREGION\_TO\_BIT by 2<sup>24</sup>, or 16777216. Thus, to retrieve IREGION\_TO\_BIT of the region of origin of a secondary particle, the LATCH value of the particle must be divided by 16777216 (i.e., taking the value INT(LATCH/16777216)).

When used with a phase space source, DOSXYZnrc has the capability of selecting from the phase space file only those particles that have user-specified bits (called a "bit filter") set in their LATCH variables. Thus, DOSXYZnrc will score only the dose component arising from these user-selected particles. For example, in the BEAMnrc simulation, bit 10 may be associated with a square applicator, so any particle in the phase space file that has been in the applicator will have bit 10 set in LATCH. When using this phase space file as a source in DOSXYZnrc, the user may opt to use only those particles that have bit 10 set, thus scoring the dose component from particles that have been in the applicator.

In general, a dose component can be selected according to what regions particles have passed through/interacted in, whether the particle is a primary or secondary, if the particle is a secondary then where it was created, and any combination of these.

# 7.2 Input for Dose Component Calculations

In order to enable dose component calculations with a phase space source or full BEAM simulation source (isource=2, 8 or 9), the variable enflag must be set to 3. The user then specifies which type of bit filter (I\_BIT\_FILTER) to use. Below is a description of the 4 types of bit filters available and the inputs associated with each.

- I\_BIT\_FILTER=0 This is an inclusive/exclusive bit filter. On the same line as I\_BIT\_FILTER, the user inputs the integers NBIT1 and NBIT2. NBIT1 is the number of bits to include and NBIT2 is the number of bits to exclude. Restriction is that 0\leq NBIT1+NBIT2\leq 29. Both NBIT1 and NBIT2 can be set to zero. On the next line, the user inputs BIT(I) (I=1,NBIT1), the bits to be included, and on the following line BIT(I) (I=NBIT1+1,NBIT1+NBIT2), the bits to be excluded. If any of the first set of NBIT1 bits are set and none of the second set of NBIT2 bits are set in the particle's LATCH variable, the particle is used in the simulation.
- I\_BIT\_FILTER=1 This is an exclusive bit filter. On the same line as I\_BIT\_FILTER, the user inputs the integer NBIT1, the number of bits to be excluded (0 \leq NBIT1 \leq 29). NBIT2 is not relevant for this filter and is automatically set to 0. On the next line, the user inputs, BIT(I) (I=1,NBIT1), the bits to be excluded. If any of these NBIT1 bits are set in the particle's LATCH variable, the particle is NOT used in the simulation.
- I\_BIT\_FILTER=2 An inclusive region-of-origin filter. The user inputs NBIT1 on the same line as I\_BIT\_FILTER, where NBIT1 is the number of regions of origin to be included. Since regions of origin are distinguished only by their values of IREGION\_TO\_BIT, NBIT1 can also be seen as the number of distinct values of IREGION\_TO\_BIT to be included. The restriction on NBIT1 is 0\leq NBIT1\leq 24. NBIT2 is not relevant for this filter and is automatically set to 0. On the next line, the user inputs IREGION\_TO\_BIT(I) (I=1,NBIT1),

the IREGION\_TO\_BIT values of the NBIT1 regions of origin to be included. If the particle originated in any one of these NBIT1 regions, then it will be used in the simulation. Primary particles will be included if IREGION\_TO\_BIT=0 is one of the NBIT1 values of IREGION\_TO\_BIT to include.

I\_BIT\_FILTER=3 An exclusive region-of-origin filter. The user inputs NBIT1 (0 \leq NBIT1 \leq 24), the number of regions of origin to be excluded, on the same line as I\_BIT\_FILTER and ignores NBIT2, since it is not relevant for this filter. On the next line the user inputs IREGION\_TO\_BIT(I) (I=1,NBIT1), the IREGION\_TO\_BIT values of the regions of origin to be excluded. If a particle originated in any one of these regions, then it is NOT used in the simulation. Note that primary particles will be excluded if IREGION\_TO\_BIT=0 is one of the NBIT1 values of IREGION\_TO\_BIT to exclude.

Note that since only one bit filter can be input per simulation, only one dose component may be calculated at a time using DOSXYZnrc. This is because of the large memory requirements for the dose scoring arrays.

# 8 Other Input Variables

This section provides descriptions of main DOSXYZnrc input variables not covered above.

#### 8.1 IPHANT

If IPHANT is set to 1, DOSXYZnrc outputs phantom data to a .egsphant file. This file has the same format as the .egsphant file that ctcreate outputs for CT data (see section 16.6) and can be read by dosxyz\_show[23], along with the .3ddose dose output file to display isodose contours in the phantom. Note that this option is redundant (and, therefore, unavailable) when using a CT phantom because it is an input file from ctcreate).

## 8.2 MAX20

When MAX20 is set to 1, a summary of the maximum 20 doses in the phantom is output to the screen (log file) and list file. This summary includes an output of the 20 maximum doses with their fractional uncertainties and coordinates, the average of the 20 maximum doses, the average fractional uncertainty of these doses (not the uncertainty of the average), the average fractional uncertainties of all doses > 50% of the maximum dose, and the average absolute uncertainty of all doses > 50% of the maximum dose as a fraction of the maximum dose.

When not in CT phantom mode, MAX20 is the last variable on the line(s) specifying the regions for which dose will be output (ie record 9). If MAX20 is set to 1 on ANY ONE of these lines (including the line of zeros indicating the end of this record) then the summary of the 20 maximum doses will be output.

In CT phantom mode, MAX20 is input on the same line as zeroairdose and doseprint.

This option is useful for timing/efficiency studies.

#### 8.3 zeroairdose

zeroairdose is only used in CT phantom mode. Setting zeroairdose to 1 will set all dose estimates in voxels with density < 0.044 g/cm³ to zero in the .3ddose file. This has the effect of zeroing dose in voxels filled with air (see the default CT ramp in Figure 10–note that "AIR" has a density ranging from 0.001-0.044 g/cm³). Air dose will not be zeroed in the .egslst file, this file will continue to report the precise estimated dose. zeroairdose serves as a dose visualisation parameter for CT phantom simulations, since, in general, the user is only interested in seeing the dose within the patient, not in the surrounding air.

## 8.4 doseprint

This input parameter is also only used in CT phantom mode.

doseprint is set to 1 if the user wants a full output of doses in all voxels of the CT phantom in the .egslst file. Since, in CT phantom cases, the user is usually not interested in this output and, owing to the large number of voxels in a CT phantom, it can make the .egslst exceptionally large, the default is to suppress this output (ie set doseprint to 0).

#### 8.5 NCASE

NCASE is the number of histories to run in a simulation. Minimum value is 100. Default is 100 if NCASE is set < 100. The number of histories per output batch is equal to NCASE/(\$NBATCH), where \$NBATCH is currently set to 10.

#### 8.6 IWATCH

IWATCH controls output to the screen (interactive run) or to the .egslog file (batch run) during beam execution. The possible settings are:

- = 0 (default) On completion of each batch, outputs information about the batch (eg elapsed time, CPU time, elapsed/CPU time, random number used to begin batch, # of random seeds used in the batch, total number of histories run up to and including the current batch, the total number of particles scored in the first phase-space file)
- = 1 Outputs same information as 0 plus, after every particle interaction, outputs complete information about the particle(s) involved (eg interaction type, particle type, position of particle on stack, particle energy, X-Y-Z position of particle, U-V-W direction cosines, LATCH value of particle, region # of particle); also informs user when a particle is being discarded, when a particle is passing from one CM to another

- = 2 Similar to 1 but with complete particle information output at every step; also outputs total dose in a region whenever energy is deposited there
- = 3 Similar to 2 but with particle and dose information output whenever dose is deposited.
- = 4 Outputs same information as 0 plus .egsgph and .egsgeom files for graphical representation of the accelerator and particle paths using EGS\_Windows.

## 8.7 TIMMAX

TIMMAX is the maximum CPU time in hours allowed for a simulation. TIMMAX defaults to 0.99 hrs if it is set = 0. However, this time-restriction function is not activated in the current version of DOSXYZnrc except to print a warning as it starts a batch which will exceed this limit.

## 8.8 INSEED1, INSEED2

These are random number seeds used to initialize the RANMAR[24, 25] or RANLUX[26, 27] random number generator. Within DOSXYZnrc, INSEED1 is limited to the range 0<INSEED1\leq 31328 (defaults to 1802), and INSEED2 has the range 0<INSEED2\leq 30081 (defaults to 9373). These ranges/defaults are designed for RANMAR (the default random number generator), however, if you are using RANLUX then INSEED1 is the "luxury level" of the random number generator and must be in the range 0<INSEED1\leq 4, otherwise, it will automatically be set to the default luxury level of 1 (Note: this means that the DOSXYZnrc default value for INSEED1 of 1802 will ultimately get reset to 1 by RANLUX).

Note that INSEED1 and INSEED2 are only used to initialize the random number generator, and, during a simulation, they no longer reflect the values of the seeds that are actually used to generate the random numbers. During restarts (IRESTART = 1), the state of the random number generator at the end of the previous run is read from the .egsdat file and is used at the beginning of the restart. Thus, a restarted run with a total of 10000+10000 histories should generate results identical to a single run of the same simulation with 20000 histories. Also note that when running parallel jobs with DOSXYZnrc (see section 10), INSEED2 must have a different value for each of the individual jobs that make up the simulation. This is taken care of automatically if you use DOSXYZnrc's built-in parallel processing functionality.

In order to switch from the RANMAR random number generator to RANLUX in DOSXYZnrc, go into \$EGS\_HOME/dosxyznrc/Makefile and change the line:

RANDOM = \$(EGS\_SOURCEDIR)ranmar

to:

RANDOM = \$(EGS\_SOURCEDIR)ranlux

Then recompile DOSXYZnrc.

### 8.9 BEAM\_SIZE

BEAM\_SIZE allows user control of incident beam size for sources 2 and 8 (phase-space input), 9 and 10 (full BEAM simulation sources) and 4 (beam model source). BEAM\_SIZE is the side of a square field in cm. The default value for BEAM\_SIZE is 100 cm. When phase-space particles are read from a data file or reconstructed by a multiple-source model, DOSXYZnrc will check their positions and discard those that fall outside of the specified field.

One should be careful with the use of this parameter because particles outside the specified field (defined by BEAM\_SIZE) may have some effects on the dose distributions calculated and therefore the results may be biased with the use of BEAM\_SIZE smaller than the field size of the original phase-space data. It should also be noted that this parameter cannot be used as a beam defining tool, such as a collimator, because in reality particles outside of the inner opening of any beam confining components may interact with and be scattered by the components, resulting in an increase of the particles within the specified field.

## 8.10 ISMOOTH

When phase-space data are used DOSXYZnrc will re-use the phase-space particles if the number of histories required by the user is greater than the number of particles stored in the phase-space file. Clearly, the dose distributions obtained with more histories have smaller statistical uncertainties. Although some histories may start with the same incident phase-space, the particle trajectories will be different because different random numbers will be used in the simulations in the phantom, resulting in different dose distributions. However, surface doses are mainly affected by the electron fluence distribution and therefore would not be improved by re-using the phase-space particles if the data file contains too few particles (i.e., the calculated doses would have small statistical uncertainties but large systematic uncertainties).

In order to reduce the systematic uncertainties due to a small data set, DOSXYZnrc can redistribute the phase-space particles as long as the simulated linear accelerator geometry is symmetric, and the treatment field is centred on the beam axis. Currently, DOSXYZnrc is allowed to move a particle to 3 symmetrical positions (each with modified direction cosines). This process is accurate as long as the phase space file is symmetric with respect to the x-axis and also with respect to the y-axis. Suppose a particle is at (x,y) with (u,v) the 3 new positions are

A user has two options for ISMOOTH:

- = 1 DOSXYZnrc re-distributes the phase-space particles when they are used more than once.
- = 0 (default) DOSXYZnrc re-uses the phase-space particles without changing the particle positions

See also section 8.11 which discusses recycling phase space data.

#### 8.11 NRCYCL

NRCYCL is an essential input when using a phase space source. It determines the number of times that each particle from a phase space source is recycled (*ie*, reused each time it is read). If NRCYCL>0, then each source particle is used a total of NRCYCL + 1 times before moving on to the next particle in the source. When phase space data is sparse, then particles must be re-used to obtain adequate statistics. In addition to recycling, particles may also be re-used whenever a phase space source is restarted (happens automatically when the simulation has reached the end of the source). Restarting is not recommended, however, because it may lead to underestimates of the uncertainty in the final results [10]. We recommend setting NRCYCL to a value that will ensure that the entire phase space source gets sampled (ie the simulation uses almost all the particles in the source) but prevents the source from being restarted.

If you are unsure of the correct value of NRCYCL to use, then run with NRCYCL=0 and DOSXYZnrc will automatically calculate a value of NRCYCL based on the number of histories and the number of particles (with appropriate charge) in the phase space source. There is a possibility that, even with the automatically calculated value of NRCYCL, the phase space source will restart. This may be due to particles that have been rejected because they missed the geometry, were multiple passers and/or were beyond the beam field defined by BEAM\_SIZE) or else the algorithm for calculating NRCYCL may have determined that setting NRCYCL> 0 will result in the phase space source not being sampled adequately. If the source has only been restarted once and only a small fraction of it has been covered on the second pass, this is not likely to have a significant impact on the estimated uncertainties. However, if a large portion of the source is covered on the second pass, or if it is restarted more than once, we recommend re-running the simulation with a new value of NRCYCL calculated as:

$$\text{NRCYCL} = \frac{\text{NCASE}}{\left(\text{NPHSP} - (\text{NSMISS/NRCYCL}_{prev}) - \text{NOUTSIDE} - \text{NRJCT} - \text{NDBSRJCT}\right)} - 1 \qquad (2)$$

where NCASE is the number of incident histories, NPHSP is the total number of particles in the phase space file, NSMISS is the number of particles that missed the geometry in the previous run, NRCYCL<sub>prev</sub> is the setting of NRCYCL in the previous run, NOUTSIDE is the number of particles rejected because they were outside the field defined by BEAM\_SIZE in the previous run, NRJCT is the number of particles rejected because they were multiple passers in the previous run, and NDBSRJCT is the number of photons rejected because they fall outside the directional bremsstrahlung splitting (DBS) field radius at the SSD (only if DBS was used in the BEAM simulation that generated this source AND the user has opted to reject these photons—see section 4.4 for more information about this). Note that NPHSP, NSMISS, NOUTSIDE, NRJCT and NDBSRJCT are all available from the .egslst file of the previous run. Always round your calculated value of NRCYCL up to the nearest integer.

NRCYCL is not automatically calculated if you are only using the positrons in the phase space source or if you are selecting a sub-set of the phase space source based on LATCH settings. In

these cases, the information required for an a-priori calculation of NRCYCL is not available in the header of the phase space source. We recommend setting NRCYCL manually to a "best guess" value. Then, if the source restarts, calculate NRCYCL using Equation 2 where NRJCT includes the number of particles rejected because they were multiple passers, the number of particles rejected because they were the wrong charge and the number of particles rejected because they did not have the right LATCH setting.

If the phase space source is stored on a remote disk, then using NRCYCL to avoid restarting a phase space source also has the beneficial effect of reducing network traffic because it reduces the number of times that a phase space source is accessed during a run (particle data is stored in temporary variables during recycling, not re-read from the source). Repeated accessing of a phase space source on a remote disk can slow a simulation down considerably.

NRCYCL is compatible with ISMOOTH (see section 8.10). So if ISMOOTH=1, then, during the recycling loop, the initial position and direction cosines of the particle are shifted according to the scheme outlined in the ISMOOTH subsection above.

Note that the total number of histories is always limited by NCASE. For example, if the phase space source has 1000 suitable particles and the user sets NRCYCL=9 (so each particle will be used a total of 10 times), but only sets NCASE=5000, then the simulation will only have a chance to use (and recycle) the first 500 particles before the simulation stops. In order to go through the entire phase space source, the user would have to set NCASE=10000. If NCASE>10000 then the phase space source would be restarted at least once during the run. After restarting, particle recycling continues as before.

## 8.12 IRESTART

The possible settings of IRESTART are:

- = 0 (default) DOSXYZnrc initiates a new run, deleting all of the output files (.egslog, .egslst, .egsdat, etc) if present
- = 1 Restart of a previous run; DOSXYZnrc opens the .egsdat from the previous run and reads:
  - 1.  $\sum_{i=1}^{nhist} edep_i$  and  $\sum_{i=1}^{nhist} edep_i^2$  for all voxels, where  $edep_i$  is energy deposited by primary (non-phase space) history i and nhist is the number of primary histories in the previous run.
  - 2. number of histories and number of primary histories from the previous run
  - 3. the time taken by the previous run
  - 4. the state of the random number generator at the end of the previous run
  - 5. other data relating to particle fluence in previous run, number of electron steps, number of particles rejected from phase space source (if applicable), etc.

After the current run is complete, dose and uncertainties are calculated using data from the current run and from the previous run [10]. Note that the number of histories

to run and the total CPU time allowed for the simulation do not include the histories and CPU time from the previous run. Also note that, currently, although a restarted simulation with, for example 100000+100000 histories will have identical dose results to a one-off simulation with 200000 histories, the uncertainty estimates on the doses may not be the same. We are currently investigating why this is so.

- = 2 DOSXYZnrc creates the .egsinp file and then exits without running the simulation
- = 3 DOSXYZnrc opens the .egsdat file from a previous run, reads the data enumerated above and calculates doses and uncertainties; no simulation is run.
- = 4 DOSXYZnrc recombines the binary .pardose files from parallel jobs and creates .egslst and .3ddose output files from the recombined data (see section 10 for more about parallel jobs).

## 8.13 IDAT

The possible settings of the variable IDAT are:

- = 0 (default) DOSXYZnrc outputs .egsdat file with restart data after every batch.
- = 1 DOSXYZnrc does not output a .egsdat file at all.
- = 2 DOSXYZnrc outputs a .egsdat with restart data only at the end of the entire run.

For large phantoms, writing this file will take a lot of time. For production runs use 2 usually.

### **8.14** IREJECT

IREJECT is a switch for turning on charged particle range rejection. Range rejection can save simulation time by terminating particle histories immediately if they cannot reach the boundary of the current voxel with energy > ECUT and their current energy is less than ESAVE\_GLOBAL. This is the same as the IREJECT\_GLOBAL = 2 option in the BEAMnrc code as discussed in the BEAMnrc User's Manual [12].

The possible settings of IREJECT are:

- = 0 (default) DOSXYZnrc will not perform charged particle range rejection.
- = 1 DOSXYZnrc will immediately terminate the history of a charged particle and deposit its remaining energy in the current voxel if its energy is < ESAVE\_GLOBAL (see description in next section) and if it cannot reach the nearest voxel boundary with an energy > ECUT.

It is found that for 5 mm<sup>3</sup> voxels, range rejection can save 10 to 17% on computing time but for smaller voxels it saves less time (3 to 4% for 2.5 mm<sup>3</sup> voxels). For non-CT phantoms where one can arrange to have at least some of the voxels quite large, the savings will be correspondingly larger, especially using the dsurround option (see section 14).

Similar to BEAMnrc, range to ECUT is determined by subtracting the range from ECUT to AE (determined using EGSnrc macros and EGSnrc-calculated tables of range to AE as a function of particle energy) from the particle's range to AE (calculated in EGSnrc) at every charged particle step. Rejection of particles based on range to ECUT is performed by a DOSXYZnrc macro and not by the EGSnrc's built-in range rejection macro. This is because the EGSnrc range rejection is based on range to AE and not ECUT.

### 8.15 ESAVE\_GLOBAL

ESAVE\_GLOBAL is the maximum energy (in MeV) for which range rejection calculations will be performed (ie a particle cannot be rejected if its energy is  $\geq$  ESAVE\_GLOBAL). This option is to prevent termination of high-energy electrons which are likely to generate bremsstrahlung.

## 8.16 n\_split

n\_split is used to control DOSXYZnrc's photon splitting option. If n\_split is set > 1 all photons are split into n\_split photons, each with a weight equal to  $\frac{1}{n\_split}$  times the weight of the original photon. For each photon, i, where i=1,2,...,n\_split:

• The mean free path to its next interaction,  $DPMFP_i$ , given by

$$DPMFP_i = -\ln\left(1 - \frac{\eta + i - 1}{\text{n\_split}}\right) \tag{3}$$

where  $\eta$  is a random number, chosen once for all n\_split photons.

- At the interaction site, each photon i produces charged particles and/or scattered photons. Russian roulette is played on all scattered photons with a survival probability of  $\frac{1}{n.split}$ . Surviving photons have their weight increased by n.split so that their weight is equal to the weight of the original photon before splitting. All charged particles survive with weight equal to  $\frac{1}{n.split}$  times the original weight.
- If these charged particles undergo radiative events (bremsstrahlung, annihilation, annihilation at rest), Russian roulette is played on the resultant photons with a survival probability of  $\frac{1}{n\_split}$ . Again, surviving photons have their weight increased by  $n\_split$  so that their weight is equal to the weight of the original photon before splitting.
- Photons whose weight has been restored to the original weight are subject to splitting again.

Photon splitting has the potential to increase the efficiency of a dose calculation more than photon interaction forcing. A good rule of thumb for the setting of n\_split is:

$$n\_split >= \frac{N}{1 - e^{-\lambda}} \tag{4}$$

where  $\lambda$  is approximately equal to the number of photon mean free paths in the geometry of interest and  $N \geq 5$ . This will increase the number of primary interactions per incident photon by approximately N, so reduce NCASE by a factor of N.

It has recently been shown[16] that the use of photon splitting with a phase space source (Section 4.4) or BEAMnrc simulation source (Section 4.10) can increase the efficiency of dose calculations in simulated photon beams by a factor of up to 6.5 (depending on beam energy, field size and phantom voxel size). Moreover, the optimum efficiency (i.e. at the optimum value of n\_split) with a BEAMnrc simulation source is only 3-13% lower than that with the corresponding phase space source, potentially eliminating the need to store phase space data. Thus, it is highly-recommended that you use photon splitting to increase the efficiency of photon beam dose calculations. The optimum setting of  $n\_split$  for phase space and BEAMnrc simulation sources depends on incident beam energy, field size and phantom voxel size. For BEAMnrc simulation sources, splitting numbers of 40 (0.25  $\times$  0.25  $\times$  0.25  $\text{cm}^3$  voxels) or 32 (0.5 × 0.5 × 0.5 cm<sup>3</sup> voxels) should give efficiencies close to the optimum, and for phase space sources, splitting numbers of 32  $(0.25 \times 0.25 \times 0.25 \text{ cm}^3 \text{ voxels})$  or 24  $(0.5 \times 0.5 \times 0.5 \text{ cm}^3 \text{ voxels})$  will be close to the optimum. Note that these settings of n\_split are higher than those calculated using the rule of thumb given in the paragraph above. This is because much of the efficiency improvement is due to the fact that n\_split reduces the number of source particles required, thus reducing the CPU time spent generating the source particles (transport through the jaws in the case of a phase space source, performing an entire treatment head simulation in the case of a BEAMnrc simulation source), whereas the rule of thumb is based on the efficiency improvement being solely due to the efficiency inherent in the splitting algorithm itself.

When n\_split is used with a phase space or BEAMnrc simulation source, then contaminant electrons may compromise dose statistics because they are fewer and will have a higher weight than the split photons (which contribute most of the dose). To avoid this, the phase space sources (isource=2,8) and the BEAMnrc simulation source (isource=9) have an input, e\_split, which can be used to split charged particles e\_split times as soon as they enter the phantom geometry. The weight of the particle is reduced by 1/e\_split. To maximize efficiency, it is recommended that you set e\_split=n\_split if you are using photon splitting with a phase space or BEAMnrc simulation source. For more information about e\_split see Sections 4.4 and 4.10.

## 8.17 ihowfarless

If ihowfarless is set to 1, then DOSXYZnrc uses the "HOWFARLESS" algorithm for transport in the phantom.

The "HOWFARLESS" algorithm is used to significantly increase the efficiency of dose calculations in a homogeneous phantom. When the option is used, the HOWFAR and HOWNEAR

subroutines in DOSXYZnrc only consider the extreme outer boundaries of the phantom when calculating the distance along the particle trajectory to the next region boundary and the perpendicular distance to the nearest region boundary respectively. This eliminates the need to stop at voxel boundaries and, hence, speeds up charged particle transport considerably. For the purposes of dose deposition, the total curved charged particle step is approximated by two straight-line steps joined at a hinge point. The straight-line steps can be calculated based on either the known initial position/direction of the particle or its known final position/direction. As coded, the "HOWFARLESS" algorithm uses a 1:1 mixture of step approximations based on the initial position/direction and approximations based on the final position/direction. This has been found to give accurate dose results over all energies and maximum allowed step lengths (input variable SMAX, see paragraph below).

When the "HOWFARLESS" option is used, the limitations on step length within the phantom become the maximum allowable charged particle step length, SMAX (see Section 9.3), and the maximum fractional energy loss per electron step, ESTEPE (see Section 9.4). It is recommended that you leave SMAX at its default value (5 cm if you are using the PRESTA-I boundary crossing algorithm or electron step algorithm, 1e10 cm if you are using the EXACT boundary crossing algorithm and the PRESTA-II electron step algorithm). At most beam energies, this will ensure that step length is only limited by ESTEPE, which it is not recommended that you change.

The efficiency gained using the "HOWFARLESS" algorithm depends on the source type, energy, field size, phantom voxel size, and the boundary crossing algorithm (BCA) used. For a photon beam from a BEAMnrc-simulated linac (using either a phase space source or full BEAMnrc simulation source), use of "HOWFARLESS" increases the efficiency by  $\sim 30\%$  when the PRESTA-I BCA is used, and by a factor of 2.5-3.5 when the more accurate (but much slower) EXACT BCA is used. In the case of a simple photon beam source (e.g. a parallel beam simulated using isource=1) with an energy spectrum, the efficiency gain with "HOWFARLESS" is a factor of 1.5-2.5 with the PRESTA-I BCA and a factor of 5-9 with the EXACT BCA. The highest efficiency gains occur in monoenergetic electron beams, where "HOWFARLESS" increases the efficiency by a factor of 3-4 with the PRESTA-I BCA and 8-14 with the EXACT BCA. Efficiency gains are much greater with the EXACT BCA because calculations with standard HOWFAR use the BCA at every voxel boundary, while "HOWFARLESS" calculations use the BCA only at the extreme outer boundaries of the phantom. Thus, the standard calculation becomes much slower when the BCA is switched from PRESTA-I to EXACT, while the speed of the "HOWFARLESS" calculation does not decrease appreciably. For more information about BCA's see Section 9.6.

Use of "HOWFARLESS" is recommended in all homogeneous phantom calculations, such as those used for beam commissioning. More information about this option can be found in the "HOWFARLESS" paper by Walters and Kawrakow[28].

# 8.18 i\_phsp\_out

If i\_phsp\_out is set to 1 or 2, DOSXYZnrc outputs phase space data in IAEA format for particles leaving the phantom geometry. The phase space data is in 3-D, meaning that it

includes the (X,Y,Z) position for each particle. If i\_phsp\_out=1, then the particle positions are in the DOSXYZnrc coordinate system, and if i\_phsp\_out=2, the particle positions are transformed into the BEAMnrc, or source, coordinate system. Note that phase space output requires the existence of a region surrounding the phantom geometry and, thus, is limited to phase space sources (source no.'s 2, 8, 20), BEAMnrc simulation sources (source no.'s 9, 10, 21) and the multiple source model (source no. 4), all of which require the input of dflag and dsurround to define the dimensions and medium of a surrounding region (see Section 5.4).

If phase space data is output when source 20 (synchronized phase space source) or 21 (synchronized BEAM simulation source) is used then, through the i\_muidx\_out input for those sources (see Sections 4.12 and 4.13), the user has the option to also include the fractional monitor unit index, MU, associated with the particle in the output phase space data. Thus, the data includes a time dimension and is considered 4-D. Scoring 4-D data allows simulations downstream of the DOSXYZnrc simulation—e.g. BEAMnrc simulations with synchronized component modules or further DOSXYZnrc simulations with synchronized sources—that use the phase space data as a source to be synchronized with the DOSXYZnrc simulation that generated the phase space file.

Since it includes the Z-position of each particle, the size of an IAEA phase space file written by DOSXYZnrc may be slightly larger than that written by BEAMnrc, with the minimum record size (bytes/particle) for DOSXYZnrc being 33 bytes while that for BEAMnrc is 29 bytes. If MU is included in the phase space data, then the record size increases to 37 bytes. Note that DOSXYZnrc does not have an option to include ZLAST (Z position of last site of photon interaction or creation of secondary charged particle) in the phase space output.

Unlike BEAMnrc, DOSXYZnrc does not have the possibility of defining multiple phase space scoring planes. Thus, the naming scheme for phase space space files output by DOSXYZnrc does not include the scoring plane number, and the files are simply named inputfile.IAEAheader (header file) and inputfile.IAEAphsp (phase space data).

The default directory for phase space output is \$EGS\_HOME/dosxyznrc (i.e. the user code directory). The user can change the phase space output directory by editing the file \$EGS\_HOME/dosxyznrc\_user\_macros.mortran, changing the macro \$DIRECTORY-FOR-PHSP to the name of the desired output directory (include the full path), and then recompiling DOSXYZnrc.

For more information on the IAEA phase space file format, see the BEAMnrc Users Manual [19].

## 8.19 ECUTIN

ECUTIN is used together with the Global ECUT input in the EGSnrc input parameters (see section 9) to define the global electron cutoff energy in MeV. If ECUTIN > Global ECUT in the EGSnrc inputs, or if the Global ECUT input is missing from the EGSnrc inputs, then ECUTIN is used as global cutoff energy.

As soon as an electron's total energy falls below the cutoff energy, its history is terminated and its energy deposited in the current region. The time required for a given calculation is

strongly dependent on the value of ECUT and thus it is important to use as high a value as possible.

The user can override the global ECUT with the ECUT's defined for individual regions within CMs (see CM descriptions below). However, if the ECUT for an individual region is < global ECUT, then it is set equal to the global ECUT.

Note that AE for the PEGS4 data set used is the lower limit on the value of ECUT used in a given region. The selection of AE also requires some care and is discussed in section 14 of the BEAMnrc manual.

Selection of ECUT is complex in general and is very dependent on what is being calculated [29, 6]. For therapy beams, ECUT can be quite high since low-energy electrons contribute little to dose in phantom. For what we consider detailed work, we have used ECUT = 0.700 MeV but much higher may be possible. However, if the dose in the monitor chamber is an important part of the calculation, lower values of ECUT may be required.

As a general rule of thumb for calculations of dose distributions, ECUT should be chosen so that the electron's range at ECUT is less than about 1/3 of the smallest dimension in a dose scoring region. This ensures energy is transported and deposited in the correct region although for electrons which are moving isotropically, this can be a very conservative requirement.

#### 8.20 PCUTIN

PCUTIN is used together with the Global PCUT input in the EGSnrc input section to define the global cutoff energy for photon transport in MeV. It is the photon equivalent of ECUTIN. Similar to ECUTIN, if PCUTIN is > the value input for Global PCUT in the EGSnrc input section, or if Global PCUT is omitted from the EGSnrc inputs, then PCUTIN is used as the global PCUT. Also the user can override global PCUT with PCUTs defined for individual regions within CMs.

The exact value of the global PCUT is not critical in the sense that low values do not take much more time. A value of 0.01 MeV should generally be used.

## 8.21 ESTEPM, SMAX

These are dummy inputs that used to define the maximum fractional energy loss per step (ESTEPM) and maximum step length (SMAX). These transport parameters are now handled in the EGSnrc inputs. The dummy inputs have been preserved to ensure compatibility with EGS4/DOSXYZ input files.

# 9 EGSnrc inputs

The use of EGSnrc to simulate charged particle and photon transport in DOSXYZnrc allows the user a greater degree of control over the transport physics than was previously available in EGS4 versions of DOSXYZ. For most accelerator applications, the DOSXYZnrc default settings of the EGSnrc parameters should be adequate, however, there are some cases, such as low-energy applications, in which the user will want to vary the EGSnrc transport parameters using the EGSnrc inputs.

EGSnrc inputs appear at the end of a DOSXYZnrc input file between the delimiters:start mc transport parameter: and:stop mc transport parameter:. The format follows that of the general purpose EGSnrc user-codes[30].

In general, EGSnrc inputs must appear in the input file in the format:

### PARAMETER NAME= parameter value

Note that there is a space between the "=" sign and the parameter value. Of course, if you are using the DOSXYZnrc GUI to set the EGSnrc inputs, then the above format is written to the input file automatically when you save the input parameters.

If any or all of the EGSnrc input parameters is missing, then the default setting will be used. This feature allows DOSXYZ input files to be used directly with DOSXYZnrc. A better approach is to read the old DOSXYZ input file into the dosxyznrc\_gui and then save it since this will explicitly add the required EGSnrc inputs to the file.

The following sections describe the EGSnrc inputs required in DOSXYZnrc. For more information, see the EGSnrc manual[2]. The actual internal variable name associated with each input appears in brackets.

# 9.1 Global ECUT (ECUT)

Global ECUT defines the global electron cutoff energy (ECUT) in MeV. This is one of the two EGSnrc input parameters that is also accessible through the main DOSXYZnrc input section of the input file (the other is Global PCUT described below). Specifically, if ECUTIN in the main DOSXYZnrc inputs is > Global ECUT, or if Global ECUT is missing from the EGSnrc input section, then the global value of ECUT is set to ECUTIN. See section 8.19 for a more detailed discussion of ECUT.

# 9.2 Global PCUT (PCUT)

Global PCUT defines the global photon cutoff energy (PCUT) in MeV. Similar to Global ECUT, this EGSnrc input parameter is also accessible through the main DOSXYZnrc input section of the input file. If PCUTIN in the main DOSXYZnrc inputs is > Global PCUT, or if Global PCUT is missing from the EGSnrc input section, then the global value of PCUT is set to PCUTIN. See section 8.20 for a more detailed discussion of PCUT.

# 9.3 Global SMAX (SMAXIR)

Global SMAX defines the maximum electron step length in cm. If the default EGSnrc electron step electron algorithm (see section 9.8) and the exact boundary crossing algorithm are used, then no restriction on maximum step length is needed. However, if using PRESTA-I (the EGS4 standard) as the electron step algorithm or the boundary crossing algorithm, then Global SMAX must be set to a reasonable value (eg 5 cm) to ensure proper electron transport in low density materials (air). Global SMAX defaults to 5 cm when PRESTA-I BCA or electron step algorithm is used is used and 1.E10 cm when the EXACT BCA and PRESTA-II electron step algorithm are used.

## 9.4 ESTEPE (ESTEPE)

ESTEPE is the maximum fractional energy loss per electron step. For accurate electron transport with default EGSnrc electron step algorithm (see section 9.8 below) ESTEPE should not exceed 0.25 (the default). ESTEPE should not be changed unless PRESTA-I is being used as the electron transport algorithm.

# 9.5 XImax (XIMAX)

XIMAX is the maximum first multiple elastic scattering moment per electron step. It is equal to roughly half the average multiple scattering angle squared. Make sure you do not set XIMAX > 1, since this is beyond the range of available multiple scattering data. The default value of 0.5 should be sufficient for most applications.

# 9.6 Boundary crossing algorithm (bca\_algorithm)

This controls the algorithm used to transport electrons across region boundaries. There are two possible settings of Boundary crossing algorithm: EXACT and PRESTA-I (the default). In the PRESTA-I case boundary crossing is carried out in a manner similar to EGS4. Specifically, lateral pathlength corrections are turned off if the perpendicular distance from the electron to the boundary is less than Skin depth for BCA (see section 9.7 below) and then, once the electron reaches the boundary, a multiple scattering event is forced. If EXACT boundary crossing is used, electrons are transported in single elastic scattering mode as soon as they are within a distance from the boundary given by the EGSnrc input Skin depth for BCA (see section 9.7 below).

The EXACT boundary crossing algorithm was introduced in EGSnrc to eliminate a known fluence singularity caused by forcing a multiple scattering event at a boundary [31]. Although the PRESTA-I BCA can be up to 3 times more efficient than the EXACT BCA it has been shown to result in dose overestimation by up to 2.5% in simulations where charged particle equilibrium does not hold (e.g. small beam field on voxels with dimensions  $\sim$  field size) or when dose voxels are much smaller than the voxels making up the rest of the phantom[16]. Under such conditions, you must manually switch to the EXACT BCA for accurate results.

#### 9.7 Skin depth for BCA (skindepth\_for\_bca)

If Boundary crossing algorithm= PRESTA-I, then Skin depth for BCA is the perpendicular distance (in elastic mean free paths) from the boundary at which lateral pathlength corrections are turned off and the particle is transported in a straight line until it reaches the boundary. By default the distance at which to switch off lateral corrections is a fixed value calculated by EGSnrc to be the same as that used in the original implementation of PRESTA in EGS4 and depends on the value of ECUT.

If Boundary crossing algorithm= EXACT, then Skin depth for BCA determines the perpendicular distance (in elastic mean free paths) to the region boundary at which electron transport will go into single elastic scattering mode. A skin depth of 3 elastic mean free paths has been found to give peak efficiency in this case and is the default for this case.

If Boundary crossing algorithm= EXACT and Skin depth for BCA is set to a very large number (eg 1e10), then the entire simulation will be done in single scattering mode.

#### 9.8 Electron-step algorithm (transport\_algorithm)

This input determines the algorithm used to calculate lateral and longitudinal corrections to account for elastic scattering in a condensed history electron step. There are 2 possible settings: PRESTA-II (the default) and PRESTA-I. PRESTA-II (the name "PRESTA" is preserved only for historical reasons) is the new, more accurate, algorithm developed for use with EGSnrc[2]. PRESTA-I is the original PRESTA algorithm with some modifications [32, 33]. The original PRESTA-I is known to underestimate lateral deflections, to underestimate longitudinal straggling and to produce a singularity in the distribution describing the lateral spread of electrons in a single condensed history. While PRESTA-I may be accurate enough for high energies (where elastic scattering is weak), it is not recommended for low energy applications.

#### 9.9Spin effects (spin\_effects)

If Spin effects= on (the default), then elastic scattering cross-sections that take into account relativistic spin effects are used in electron transport. If Spin effects= off, then screened Rutherford cross-sections (similar to EGS4) are used for elastic scattering. It should be noted that using Spin effects= on does increase calculation time, however, results are more accurate and it is ABSOLUTELY necessary for good backscatter calculations.

Including spin effects has a small but distinct effect on calculated depth-dose curves. In low-Z materials such as water, the value of  $R_{50}$  for a given energy is higher than with EGS4/PRESTA. For high-Z materials it is the reverse and backscatter also increases.

# 9.10 Brems angular sampling (IBRDST)

This input determines the type of angular sampling that is done when a bremsstrahlung photon is created. If Brems angular sampling= Simple (the default) then bremsstrahlung angles are sampled using only the leading term of modified equation 2BS of Koch and Motz[34, 35]. If Brems angular sampling= KM, then the bremsstrahlung angles are sampled using the entire modified equation. Brems angular sampling= Simple is adequate at high energies, however, there is little increase in simulation time associated with using the entire modified 2BS equation and the entire equation is recommended at low energies. Note that Brems angular sampling= KM is similar to the bremsstrahlung angular sampling scheme used by the latest version of EGS4/DOSXYZ, with some modifications.

# 9.11 Brems cross sections (IBR\_NIST)

This input determines the cross-section used for bremsstrahlung interactions. If Brems cross sections= BH (the default), then Bethe-Heitler cross-sections (Coulomb corrected above 50 MeV)[35] are used. These cross-sections are similar to those used by EGS4/DOSXYZ. If Brems cross sections= NIST, then cross-sections from the NIST bremsstrahlung cross-section data base[36, 37] are used. The NIST cross-sections are the basis for radiative stopping powers recommended by the ICRU[38]. The difference between BH and NIST is negligible for energies > 10MeV, but becomes significant in the keV energy range. There is also a Brems cross sections= NRC option. The NRC cross-sections are the NIST cross-sections including corrections for electron-electron bremsstrahlung (typically only significant for low values of the atomic number Z and for k/T; 0.005).

# 9.12 Bound Compton scattering (IBCMP)

The Bound Compton scattering input is used to determine whether binding effects and Doppler broadening are simulated in Compton (incoherent) scattering events. If this input is set to Off (the default), then the Klein-Nishina formula[39] is used to determine cross-sections for Compton scattering. This is similar to the treatment of Compton scattering in EGS4/DOSXYZ. If Bound Compton scattering= On, then the original Klein-Nishina formula is augmented with the impulse approximation[40] to simulate binding effects and Doppler broadening. Simulation of binding effects and Doppler broadening takes extra time and is only important below 1 MeV and/or if Rayleigh scattering is being simulated (see section 9.18). A third option, Bound Compton scattering= Norej, is provided which uses the total bound Compton cross sections (em i.e. no impulse approximation) and does not reject any Compton interactions at run time.

Bound Compton scattering may also be turned on in selected regions (off everywhere else) using Bound Compton scattering= On in regions together with the inputs Bound Compton start region and Bound Compton stop region to define the region ranges for which bound Compton is to be turned on. Conversely, bound Compton can be turned off in selected regions (on everywhere else) by inputting Bound Compton scattering= Off in regions with Bound Compton start region and Bound Compton stop region used to define the

region ranges where bound Compton is to be turned off. Of course, turning bound Compton on/off in regions is accomplished much more easily in the DOSXYZnrc GUI. Note that the Norej option cannot be used on a region-by-region basis.

#### Compton cross sections (comp\_xsections) 9.13

If the Bound Compton scattering= Norej option is selected (see above), then the user also has the option of specifying their own Compton cross section data using the Compton cross sections input. Cross section data must exist in the \$HEN\_HOUSE/data directory and the file name must have the form x\_compton.data, where x is a name specified by the user. All values of x will appear in the GUI menu where Compton cross section data can be selected. Alternatively, if editing the .egsinp file directly, the form of this input is:

Compton cross sections= x

Default Compton cross section data, default\_compton.data, is included in the EGSnrc system.

#### 9.14Radiative Compton corrections (radc\_flag)

If set to Radiative Compton corrections = On, then radiative corrections for Compton scattering based on the equations of Brown and Feynman (Phys. Rev. 85, p 231–1952) are used. If set to Off (the default) no corrections are done. Note that if set to On then the variable SOURCES in \$EGS\_HOME/dosxyznrc/Makefile (See Section 2.1 above) must be modified to include \$(EGS\_SOURCEDIR) rad\_compton1.mortran just before \$(EGS\_SOURCEDIR) get\_inputs.mortran.

#### 9.15Pair angular sampling (IPRDST)

This input determines the method used to sample the positron/electron emission angles (relative to the incoming photon) in a pair production event. There are three possible settings of this input: Off, Simple and KM. If it is set to Off, then the positron and electron created by pair production have fixed polar angles,  $\theta_{\pm}$ , given by  $\theta_{\pm} = \frac{m}{E_{\gamma}}$ , where m is the electron rest energy and  $E_{\gamma}$  is the energy of the original photon. This is similar to the method used to determine positron/electron emission angles in the original version of EGS4. If Pair angular sampling= KM, then eqn 3D-2003 in Motz et al[41] is used to determine the positron/electron emission angles. This option is similar to the sampling technique used by the current version of EGS4/DOSXYZ. Finally if Pair angular sampling= Simple (the default), then only the first term in the Motz et al eqn 3D-2003 is used. The KM option becomes less efficient with increasing accelerator energies and, moreover, involves assumptions that are questionable at low energy. For these reasons, the default setting is Simple.

# 9.16 Pair cross sections (pair\_nrc)

The Pair cross sections input determines the cross-sections to use for pair production events. If set to BH (the default), then Bethe-Heitler cross sections are used. If set to NRC, then the NRC cross sections found in \$HEN\_HOUSE/data/pair\_nrc1.data are used. The NRC setting is only of interest at low energies, where these cross-sections take into account assymmetry in the positron-electron energy distribution.

# 9.17 Photoelectron angular sampling (IPHTER)

The Photoelectron angular sampling input determines the sampling method used by EGSnrc to determine the angle of emission of photoelectrons. If Photoelectron angular sampling= Off (the default), then photoelectrons inherit the direction of the incident photon. If Photoelectron angular sampling= On, then Sauter's formula [42] is used to determine the angle of the photoelectron. Note that, in most applications, we have not observed any difference between the "Off" and "On" settings of this parameters. Also note that, strictly speaking, Sauter's formula is only valid for K-shell photo-absorption and is also derived from extreme relativistic approximations. Thus, if the user has a better approach, they can insert it in the \$SELECT-PHOTOELECTRON-DIRECTION; macro in \$HEN\_HOUSE/egsnrc.macros.

Similar to bound Compton scattering, photoelectron angular sampling can be turned on or off in selected regions (with the opposite setting everywhere else) by setting Photoelectron angular sampling= On in regions or Photoelectron angular sampling= Off in regions together with the inputs PE sampling start region and PE sampling stop region to define the region ranges for which photoelectron angular sampling is to be turned on or off.

# 9.18 Rayleigh scattering (IRAYLR)

This input determines whether Rayleigh (coherent) scattering is simulated or not. If Rayleigh scattering= On, then Rayleigh events are simulated using the total coherent cross-sections from Storm and Israel[43] and atomic form factors from Hubbell and Øverbø[44]. This data must be included in the PEGS4 material data set. If Rayleigh scattering= Off (the default), then Rayleigh events are not simulated. Rayleigh scattering is only recommended for low-energy (< 1 MeV) simulations. Also, for proper simulation of Rayleigh events, bound Compton scattering (see section 9.12 above) must also be turned on.

Rayleigh scattering can be turned on or off in selected regions (with the opposite setting everywhere else) using Rayleigh scattering= On in regions or Rayleigh scattering= Off in regions and the inputs Relaxations start region and Relaxations stop region to define the region ranges for turning Rayleigh scattering on or off.

EGSnrc also allows the user to specify custom Rayleigh form factors for specified media. To do this, the user must set Rayleigh scattering= custom and then specify the list of PEGS4 media in additional input ff media names= and the list of files containing custom form factors for each specified medium in the additional input ff file names=.

# 9.19 Atomic Relaxations (IEDGFL)

This input determines whether or not the relaxation of atoms to their ground state after Compton and photoelectric events is simulated. If Atomic Relaxations= On, then relaxation after Compton and photoelectric events is simulated via the emission of any combination of K-, L-, M- and N-shell fluorescent photons, Auger electrons and Coster-Kronig electrons. The lower energy limit for relaxation processes is 1 keV. Thus, only relaxation in shells with binding energy > 1 keV is simulated. If Atomic Relaxations= Off (the default), then atomic relaxations are not simulated. In this case, when there is a photoelectric event, EGSnrc transfers all of the photon energy to the photoelectron. This is different from EGS4/DOSXYZ, where the binding energy of the electron is subtracted and deposited on the spot. Both approaches are approximations, but the EGSnrc approach is more accurate. Atomic Relaxations= On is only recommended for low-energy applications.

Similar to bound Compton, photoelectric angular sampling and Rayleigh scattering, atomic relaxations can be turned on/off in selected regions (with the opposite setting everywhere else) using Atomic Relaxations= On in regions or Atomic Relaxations= Off in regions and the inputs Relaxations start region and Relaxations stop region to define the region ranges for which relaxations are to be turned on/off.

# 9.20 Electron impact ionization (eii\_flag)

This input determines what, if any, theory is used to simulate electron impact ionization. The possible values are "off" (the default), "on", "Casnati", "Kolbenstvedt", and "Gryzinski". When "on" is selected, Kawrakow's electron impact ionization theory[45] is used. For the other selections, the theory associated with the name given is used. See future editions of the EGSnrc Manual[46] for more details.

Since the details of electron impact ionization are only relevant at keV X-Ray energies, the default "off" setting should be used in most BEAMnrc simulations.

# 9.21 Photon cross sections (photon\_xsections)

This selects the photon interaction cross-sections to use in the simulation. Cross-sections included with the BEAMnrc/DOSXYZnrc distribution (and, thus, the possible settings of photon\_xsections immediately after installation are): "Storm-Israel" (the default), "epdl" and "xcom". The Storm-Israel cross-sections are the standard PEGS4 cross-sections. The "epdl" setting will use cross-sections from the evaluated photon data library (EPDL) from Lawrence Livermore [47]. The "xcom" setting will use the XCOM photon cross-sections from Burger and Hubbell [48]. Note that the EGSnrc transport parameter input routine is coded in such a way that, if you are editing the .egsinp file directly instead of using the BEAMnrc GUI, the default Storm-Israel cross-sections can only be specified by leaving out the Photon cross sections input line altogether. This is taken care of automatically if you are using the GUI to set this parameter.

You can also use your own customized photon cross-section data. To do this, you must

create the files x\_pair.data, x\_photo.data, x\_rayleigh.data and x\_triplet.data (where "x" is the name of your cross-section data) which contain cross-sections for pair production, photoelectric events, rayleigh scattering and triplet production, respectively. These files must be in your \$HEN\_HOUSE/data directory. Once these files are in place, then "x" will appear in the pull-down menu in the GUI where photon cross-sections are specified. Alternatively, if you are editing the .egsinp file directly, you can enter the line:

Photon cross sections= x

inside the block of EGSnrc transport parameter inputs.

## 9.22 Photon cross-sections output (xsec\_out)

The input Photon cross-sections output can be set to On to output the file \$EGS\_HOME/dosxyznrc/inputfile.xsections which contains the photon cross section data used in the simulation. Default is Off.

# 10 Parallel Runs using DOSXYZnrc

A DOSXYZnrc simulation may be split into parallel jobs, distributing the simulation among different processors and greatly reducing the elapsed time required for a simulation.

In order to take advantage of the parallel functionality described in this section, the C routines for reading/writing .pardose files, read\_write\_pardose.c, must have been successfully compiled during OMEGA/BEAM installation (this requires that your system have a C or C++ compiler), and the \$HEN\_HOUSE/specs/dosxyznrc\_config.spec created during installation must have the variable PARDOSE\_OBJECTS set to \$(EGS\_LIBDIR)read\_write\_pardose.o (done automatically if read\_write\_pardose.c was compiled successfully). For more information about read\_write\_pardose.c and dosxyznrc\_config.spec,see section 2.1(page 6).

Note that one must be careful when performing parallel calculations with phase space sources and/or high resolution phantoms, since the network traffic generated can be very high.

Previously, parallel calculations were submitted using the pprocess script, which created separate input files for each job, with the same number of histories (total histories/no. of jobs) in each job. These input files were then submitted to the batch queueing system. The DOSXYZnrc input variables IPARALLEL and PARNUM were essential in this former approach because they allowed a phase space source to be divided up into equal partitions. IPARALLEL was set to the number of parallel jobs and PARNUM took on values 1,2,...,IPARALLEL, with each input file having a different value of PARNUM. For a given input file, then, particles from a phase space source were sampled from a partition given by:

$$(\mathtt{PARNUM} - \mathtt{1}) * \frac{\mathtt{nshist}}{\mathtt{IPARALLEL}} + 1 \leq \mathtt{nnphsp} \leq \mathtt{PARNUM} * \frac{\mathtt{nshist}}{\mathtt{IPARALLEL}}$$

where nshist is the total number of particles in the phase space source and nnphsp is the number of the source particle selected. This ensured that the entire phase space source was sampled evenly over all parallel jobs.

The previous approach to parallel calculations was limited by the fact that each machine ran the same number of histories, making the total calculation only as efficient as the slowest CPU.

The current approach to parallel calculations with DOSXYZnrc is similar to that used by other EGSnrcMP user codes (including BEAMnrc) and uses built-in functions to run the jobs. You must be using a Unix/Linux system and have a batch queueing system, such as PBS or NQS, installed.

To submit a parallel job, use the exb script with the following syntax:

exb dosxyznrc inputfile pegsdata [short|medium|long] batch=batch\_sys p=N

where N is the number of parallel jobs and batch\_sys is the name of the batch queuing system (currently batch\_system=pbs, for PBS, batch\_system=keg, for Sun's SGE and batch\_system=nqs, for NQS, are supported). See section 2.2 for more on exb. Note that parallel jobs will not run with the standard Unix batch command at. Thus, you must explicitly input the batch\_system variable as shown above unless you have set the environment variable \$EGS\_BATCH\_SYSTEM to something other than at, in which case that is the default.

The details of how a parallel run is carried out are similar to those described in the BEAMnrc Users Manual [12]. Basically, jobs are controlled by a job control file,

\$EGS\_HOME/dosxyznrc/inputfile.lock (created by the first job submitted). This file is read from and updated by all parallel jobs and contains the total number of histories remaining to be run and the number of jobs running, among other information. Jobs run until there are no histories remaining in the job control file. Rather than each job running a fixed NCASE/N histories (as in the old pprocess scheme), a job is only allowed to run a fraction, or "chunk" of this number at a time. Thus each run consists of NCASE/(N\*\$N\_CHUNK) histories, where \$N\_CHUNK is defined in \$HEN\_HOUSE/src/egsnrc.macros and is set by default to 10. Breaking the simulation into smaller chunks allows jobs using faster CPU's to run more histories than those running on slower CPU's, increasing the efficiency of this new parallel processing scheme. If a job finds there are no histories left in inputfile.lock, then it analyzes the results for its own runs for output to inputfile\_w[i\_parallel].egslst, where i\_parallel is the parallel job number (1,2,...N), and then quits. The last job to quit calls a subroutine in DOSXYZnrc (combine\_results) which automatically combines and analyzes the output from all parallel jobs and outputs the results to inputfile.egslst and inputfile.3ddose.

Similar to BEAMnrc, each parallel job must begin with a different random number seed. This is accomplished by incrementing JXXIN, the second random number seed, for each parallel job submitted using:

$$JXXIN = JXXIN_{input} - 1 + i_{parallel}$$
 (5)

where  $JXXIN_{input}$  is the value of JXXIN in inputfile.egsinp and i\_parallel is the parallel job number (1,2,...,N).

During a parallel run, output of .3ddose files is suppressed, since these files can be large and outputting one for each parallel job could consume large amounts of CPU time/memory. Instead, each parallel job outputs a binary .pardose file. These files are output by the C

routine write\_pardose.c which is found in the file read\_write\_pardose.c and is linked with DOSXYZnrc at compile time. A .pardose file contains the following data:

- 1. no. of primary histories in the job
- 2. incident fluence in the job
- 3. the number of voxels in X, Y and Z directions
- 4. the voxel boundaries
- 5.  $\frac{\sum_{i=1}^{nhist} edep_i}{rho}$  for all voxels where  $edep_i$  is the energy deposited by primary history i during the job, nhist is the number of primary histories in the job, and rho is the density of the voxel
- 6.  $\frac{\sum_{i=1}^{nhist} edep_i^2}{rho^2}$  for all voxels

Thus, a .pardose file contains enough information to recreate a .3ddose file. At the end of a parallel run, when all jobs are finished, the last job uses the C subroutine read\_pardose.c (also found in read\_write\_pardose.c) to read the .pardose files from all jobs and then recombines the data to create inputfile.3ddose.

.pardose files can be recombined separately by re-running DOSXYZnrc with the input parameter, IRESTART=4 after all jobs have completed. Use of IRESTART=4 is generally not necessary now that the last job automatically recombines parallel results, however, it may be useful if, for some reason, all of the .pardose files were not moved out of their temporary working directories or if you wish to add more .pardose files from a separate group of parallel runs. See section 8.12(page 83) for more on IRESTART.

Note that .egsdat files could have been used for recombining after a parallel run (similar to BEAMnrc). However, .egsdat files from DOSXYZnrc runs can get quite large. Thus, we opted to use the much smaller .pardose files, allowing the user to set IDAT=1 (option to not output .egsdat files – see section 8.13, page 84) to further save CPU time/memory during a parallel run.

Similar to parallel BEAMnrc runs, if you are using a phase space file as a source, then this source is partitioned so that it is sampled evenly over all jobs. Each chunk of the run uses a different partition of the phase space file, where the number of particles in each partition p\_per\_phsp\_chunk, is given by:

$$p_per_phsp_chunk = \frac{nshist}{(N * SN_CHUNKS)}$$
(6)

where nshist is the total number of particles in the phase space source. See the BEAMnrc Manual [12] for more details.

Parallel runs can be restarted, provided that you have the .egsdat files from all of the previous parallel jobs available. If you are using a phase space source, however, restarting presents a problem in that a particular partition of the source may get used by a different job the second time around. At the end of the run, results from the second use of this partition

will be recombined with those from its first use with no attention paid to the correlation between the two results. This will result in the uncertainties being underestimated. We recommend that you do not restart a parallel run if you are using a phase space source.

# 11 Adjustable Parameters in the Source Code

Within the MORTRAN language, one can set a variety of variables which are used at the compilation stage. These can all be adjusted by the user and then the code recompiled as normal. Many of the parameters the user might want to adjust are in the file \$EGS\_HOME/dosxyznrc\_user\_macros.mortran. If you want to change any of these parameters from their default values (values are echoed at the top of the .egslst and .egslog files) simply go into this file, change the relevant parameters and recompile DOSXYZnrc. Note that a copy of the original dosxyznrc\_user\_macros.mortran file remains in the \$HEN\_HOUSE/user\_codes/dosxyznrc directory so that you can revert back to the original defaults at any time.

**\$MXMED** the maximum number of media allowed in the phantom (default 5)

**\$MXSTACK:** The maximum stack allowed by EGSnrc (default 15, code will warn you if this is too small)

**\$IMAX, \$JMAX, \$KMAX:** the maximum number of dose scoring regions in the x, y, z directions within the phantom.

**\$DOSEZERO:** a flag which is either 1 (default) which implies all doses with uncertainties greater than 50% are zeroed in the .3ddose output file (since these are usually air regions with wildly fluctuating doses which destroy display routines) or the flag is 0 which implies all values are output to the .3ddose file. In all cases, the values are output to the .egslst file if requested. Note that the input variable zeroairdose also tends to get rid of these artifacts (see section 8.3).

# 12 Format of Dose Outputs

The dose distributions calculated by DOSXYZnrc can be found in the output files, ".egslst", ".3ddose" and ".pardose". The file ".egslst" contains not only the dose (when asked for) and statistical data but also the information about simulation geometry, number of histories run, CPU time used, etc. The dose output file ".3ddose" contains the information about the simulation geometry and the calculation results in a format that can be read by STATDOSE for generating xvgr/xmgr/xmgrace plots.

### 12.1 Format of .3ddose

The following explains the format of .3ddose":

Row/Block 1 — number of voxels in x,y,z directions (e.g.,  $n_x, n_y, n_z$ )

Row/Block 2 — voxel boundaries (cm) in x direction  $(n_x + 1 \text{ values})$ 

Row/Block 3 — voxel boundaries (cm) in y direction  $(n_y + 1 \text{ values})$ 

Row/Block 4 — voxel boundaries (cm) in z direction( $n_z + 1$  values)

Row/Block 5 — dose values array  $(n_x n_y n_z \text{ values})$ 

Row/Block 6 — error values array (relative errors,  $n_x n_y n_z$  values)

General rules for reading the dose data:

- 1. Read one by one (across columns) to get dose (error) readings in x direction
- 2. Read every  $(n_x)$ -th value to get readings in y direction
- 3. Read every  $(n_x n_y)$ -th value to get readings in z direction

# 12.2 A Sample .3ddose File

Table 1 shows the dose distributions in a 4x4x4 1 cm<sup>3</sup> cube with x between -2 and 2, y between -2 and 2 and z between 0 and 4.

## 12.3 .pardose Files

The ".pardose" file is output instead of the ".3ddose" file by parallel jobs (see section 10,page 97). ".pardose" is a binary file containing the number of histories in the run, the number of voxels in the X, Y and Z directions, the voxel boundaries, and information about energy deposited and energy<sup>2</sup> in each voxel. The binary format allows for much faster reading and writing and smaller file size. Enough information is contained in ".pardose" files from a group of parallel runs to reconstruct a ".3ddose" file from them.

# 13 Dose Normalization

Dose normalization depends on what source you are using. For sources with a well-defined beam area on the surface of the phantom (sources 0,1,3,7) dose is normalized by the incident particle fluence, ainflu, given by

$$\mathtt{ainflu} = rac{\mathtt{NCASE} + \mathtt{ncaseold} - \mathtt{nmissm}}{(\mathtt{xinu} - \mathtt{xinl}) * (\mathtt{yinu} - \mathtt{yinl})}$$

or

$$\mathtt{ainflu} = \frac{\mathtt{NCASE} + \mathtt{ncaseold} - \mathtt{nmissm}}{\mathtt{xcol} * \mathtt{ycol}}$$

where NCASE is the number of histories in this run, ncaseold is the number of histories from previous runs (if this is the first run then this will be 0) and nmissm is the total number of

Table 1: Sample .3ddose output file

Row (block)		Column Number				
Number		1	2	3	4	5
1	(1)	4	4	4		
$\begin{bmatrix} 2\\3\\4 \end{bmatrix}$	(2) (3) (4)	-2.0000 -2.0000 0.0000	-1.0000 -1.0000 1.0000	0.0000 0.0000 2.0000	1.0000 1.0000 3.0000	2.0000 2.0000 4.0000
5 6 7 8 9 10 11 12 13 14 15 16 17	(5)	1.0000 8.0000 8.0000 1.0000 4.0000 16.000 4.0000 3.0000 6.0000 8.0000 8.0000 4.0000	2.0000 8.0000 2.0000 16.000 16.000 2.0000 6.0000 24.000 6.0000 4.0000 8.0000	2.0000 2.0000 1.0000 4.0000 16.000 4.0000 3.0000 24.000 3.0000 8.0000 8.0000 8.0000	1.0000 2.0000 2.0000 4.0000 4.0000 2.0000 6.0000 24.000 4.0000 32.000 32.000 4.0000	2.0000 8.0000 2.0000 4.0000 4.0000 6.0000 6.0000 3.0000 8.0000 32.000 8.0000
18 19 20 21 22 23 24 25 26 27 28 29 36	(6)	1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01	1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01	1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01	1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01	1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01 1.0000E-01

particles from the source that missed the geometry, including in any previous runs. (xinu - xinl)\*(yinu - yinl) is the beam area for sources 0 and 3, while xcol\*ycol is the beam area for sources 1 and 7. If the incident beam area happens to be 0, then dose is normalized by (NCASE+ncaseold-nmissm).

For phase space sources (sources 2,8,20), dose is normalized by an estimate of the number of particles incident from the original, non-phase space source, NP, given by:

```
 \begin{array}{ll} \mathtt{NP} &=& \mathtt{NINCSRC} * \\ && \left\lceil \frac{\mathtt{NCASE} + \mathtt{ncaseold} + \mathtt{nsmiss} + (\mathtt{NRCYCL} + 1) * (\mathtt{nsrjct} + \mathtt{nsoutside} + \mathtt{ndbsrjct}) \right\rceil }{\mathtt{nshist}} \end{array}
```

where (nsrjct+nsoutside+ndbsrjct) is the total number of particles rejected because they had the wrong charge, LATCH bit setting, were going backwards, had crossed the phase space plane more than once, were beyond the user-selected field (BEAM\_SIZE), or were fat photons (if directional bremsstrahlung splitting (DBS) was used in the BEAM simulation that generated this phase space source), nsmiss is the number of particles rejected because they missed the geometry, NRCYCL is the number of times that each particle is to be recycled, nshist is the total number of particles in the phase space file and NINCSRC is the number of particles from the original, non-phase space source used to generate this phase space source. The quantity NCASE+ncaseold+nsmiss+(NRCYCL+1)\*(nsrjct+nsoutside+ndbsrjct) is an estimate of the total number of particles read from the phase space file in the simulation. By dividing this by nshist we obtain an estimate of the number of times that the phase space source is used which we then multiply by NINCSRC to obtain an estimate of the equivalent number of particles incident from the original source.

For the isotropically radiating source (source 6), dose is simply normalized by the total number of histories, NCASE+ncaseold. For beam characterization models (source 4), dose is normalized by NCASE+ncaseold-nsmiss, since no information about the beam area or the number of particles incident from the original, non-phase space source is available.

For the full BEAM simulation source (sources 9,10), doses are normalized by the number of primary histories incident in the BEAM simulation. This is similar to sources 2 and 8 (phase space sources), where this number is estimated, but with source 9 and 10, because the BEAM simulation is being run concurrently with DOSXYZ, we have access to the exact number of primary histories.

# 14 Saving Time in Depth-Dose and Dose Profile Calculations using dflag and dsurround

When enflag > 1 (i.e. phase space source, full BEAM simulation, or multiple source model) the user can specify the medium and thickness of a region surrounding the DOSXYZnrc phantom using medsur, dflag and dsurround(1...4) (see section 5 above). Setting dflag to 1 allows the user to input separate thicknesses for the region surrounding the phantom in the  $\pm$  x directions, the  $\pm$  y directions, the +z direction and the -z direction. This flexibility allows the user to divide only a portion of the DOSXYZnrc phantom into voxels. For example, voxels may be specified in a column (for depth dose calculations) or slice (for

dose profile and depth dose calculations) within a larger phantom of the same medium (see figure 8 below).

Since much of the time in a DOSXYZnrc simulation is taken transporting particles to and away from voxel boundaries, having voxels only on the axis/slice of interest and then defining the rest of the phantom as essentially one region using dsurround(1...4) can save substantial amounts of CPU time in depth-dose and dose profile calculations. CPU time can be reduced even further by turning on range rejection. Since range rejection of charged particles is based on whether or not a particle can reach the boundary of a region, range rejection in the "dsurround" region will be more efficient because, on average, the distance from the particle to a boundary of the region will be larger than if the entire phantom was divided into small voxels.

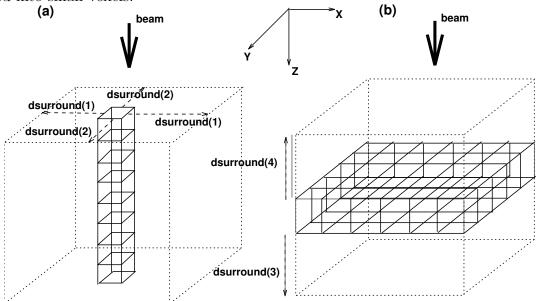


Figure 8: Two examples of how dflag and dsurround(1...4) can be used to specify a small group of voxels within a larger phantom of the same medium. In (a) voxels are only specified in a single column for scoring depth-dose, while the rest of the phantom is defined using dsurround(1) and dsurround(2) (dsurround(3) and dsurround(4) are 0 in this particular example). (b) shows voxels specified in a horizontal slice for scoring the dose profile at a given z value. In this latter example, the dimensions of the phantom below and above the slice are defined by dsurround(3) and dsurround(4) respectively. dsurround(1) and dsurround(2) are set to 0 in (b).

Table 2 below shows the results of timing studies performed using a beam of 10 MeV electrons and a beam of 6 MV photons incident on a 59x59x10cm water phantom. For each beam, 4 cases were simulated: the entire volume filled with  $1cm^3$  voxels;  $1cm^3$  voxels specified in a column (1x1x10) down the central (z) axis of the volume (similar to Figure 8(a));  $1cm^3$  voxels specified in a vertical slice (1x59x10) through the phantom in the y direction at x=0;  $1cm^3$  voxels specified in a horizontal slice (59x59x1) through the phantom at z=2.5cm ( $\sim d_{max}$ ) (similar to Figure 8(b)). In all cases, simulations were run with and without range rejection.

The table shows that if a depth-dose curve is all that is required, then specifying voxels only

Table 2: Timing results for circular beams of 10 MeV electrons and 6 MV photons incident on a water phantom. In all cases, the phantom size is the same (59x59x10 cm<sup>3</sup>), but the 1x1x1cm<sup>3</sup> voxels take up differing parts of the volume (see text).

source	number of voxel	CPU tin	me (hrs)
	regions (all 1		
	$cm^3$ )		
		range rejection off	range rejection on
10 MeV elec-	59x59x10 uni-	0.576	0.559  (ESAVE=5 MeV)
trons	form		
(r=10  cm,	1x1x10 central	0.181	0.100
	axis		
$1x10^6$ histories)	1x59x10 vertical	0.208	0.141
	plane		
	59x59x1 hori-	0.345	0.300
	zontal plane		
6 MV photons	59x59x10	1.623	1.525  (ESAVE=3 MeV)
r=10  cm,	1x1x10	0.785	0.479
$30x10^6$ histories)	1x59x10	0.824	0.551
	59x59x1	0.969	0.711

in a 1x1x10cm column can decrease simulation time by a factor of 5.5 for the electron beam and a factor of 3 for the photon beam. In general, the CPU time increases as the number of voxels specified increases, but orientation of the volume in which voxels are specified plays a role as well. The 59x59x1cm horizontal slice is, relatively, the least efficient use of dsurround (saving a factor of  $\sim 2$  in CPU time) due to the fact that it has more voxels than the central-axis phantom and the vertical slice phantom but also due to the fact that most of the primary and secondary particles from the beam have to be transported across the horizontal voxel boundaries defining the slice. Finally, note that range rejection is more efficient when using dsurround with a smaller number of voxels: turning range rejection on decreases the simulation time for the case where voxels are only specified in a 1x1x10cm column by a factor of almost 2, while its effect on the simulation time when the entire phantom is divided into voxels is negligible.

Note that this option allows electrons to take much bigger steps in the dsurround regions and this can cause some small inaccuracies unless the default EGSnrc transport parameters are used.

## 15 Pegsless mode

As of 2013, DOSXYZnrc can be run independent of the pegs4 cross section data file. Photon cross sections had been calculated on the fly since 2006, so the move towards a completely pegsless version of EGSnrc-based user codes was a logical one.

When running in pegsless mode, all media used in the simulation must be defined in

the .egsinp file, between the delimiters, :start media definition: and :stop media definition:. .egsinp file. Here, the user has the option of specifying the name of a material data file, containing the compositions, bulk densities, etc, of the media and/or defining media parameters directly in the .egsinp file. The latter method is useful for defining media not included in the material data file or for overriding parameters in the material data file. The user also specifies the particle energy limits for cross section calculation: AE and UE for electrons, AP and UP for photons. For more information about the inputs between the :start media definition: and :stop media definition: delimiters, including defaults, you are urged to refer to the BEAMnrc Users Manual[19].

Pegsless mode is accessible through the DOSXYZnrc GUI by selecting "Change PEGS4 file" from the "File" menu and then opting to "Go PEGSless" instead of selecting a PEGS4 file. Once you have done this, a "Define Media" button at the bottom of the main input window becomes active. Pushing this button will open up a sub-window through which you have access to the inputs between :start media definition: and :stop media definition: in the .egsinp file.

DOSXYZnrc can be run interactively in pegless mode using the command line input:

dosxyznrc -i inputfile

where inputfile is the name of the input file (with no .egsinp extension).

Pegsless batch runs use the command line syntax:

exb dosxyznrc inputfile pegsless [short|medium|long] [batch=batch\_system] [p=N]

This is identical to the syntax of a run with pegs data (see Section 2.2 above) but with the word pegsless in place of the name of the pegs data file.

On termination, pegsless runs output a file, inputfile.mederr, containing information about where the specifications for each medium in the simulation have been read (*i.e.* from the material data file or directly from inputfile.egsinp) and various warning messages related to inputs that have been left blank and have been set to their default values. The media compositions and settings for other parameters necessary for calculating cross sections are output to inputfile.egslst and inputfile.egslog (for batch runs).

## 16 CT Based Phantoms/ctcreate

The CT phantom option of DOSXYZnrc allows calculation of dose distributions in phantoms that are derived from CT data sets. This allows simulations in realistic anthropomorphic phantoms. (Please note that the previous sentence does not use the word patient.) The creation of CT phantoms from CT data is performed using the stand-alone code, ctcreate.

At this point in time, ctcreate supports CT data in DICOM format (with some restrictions, see below), ADAC Pinnacle format, and CADPLAN format. A tool for converting the AAPM CT format into Pinnacle format is also available.

The process by which CT phantoms are created by ctcreate are outlined here and described

in detail in the following sections.

- 1. Read in the format of the CT data
- 2. Read in the CT header parameters (binary or ASCII).
- 3. Read in the binary CT data.
- 4. Choose a subset of the CT data set (if desired).
- 5. Resample the CT data to correspond to volume elements that dose will be scored in.
- 6. Convert the CT data to materials and densities for each voxel.
- 7. Transfer the data via a file to be input to DOSXYZnrc.

The relevant CT phantom information is written into the file, \*.egsphant (prefix is the same as the original CT data set name). A flowchart for the CT phantom code, showing how it relates to DOSXYZnrc is shown in figure 9.

Nearly all of the CT phantom functionality takes place outside of ctcreate proper in subroutines. This modularity allows for easy changes in the code by ambitious users (for example subroutines for other CT file formats). Specifically, this is to provide the user with the means of reading their own CT file formats and writing their own dose distribution files without rewriting large chunks of ctcreate.mortran and dosxyznrc.mortran. This can be accomplished by creating their own versions of the subroutines ReadCT in ctcreate.mortran and write\_dose in dosxyznrc.mortran. The specifications as to what is required of these subroutines can be found in the codes themselves and in section 16.5 of this document.

Note that the input CT data set defines the coordinate system for the calculation, which may be different from the coordinate system of the accelerator simulation (using BEAM). For example, for Pinnacle CT data sets, the Z-axis is typically down the centre of the patient whereas in the accelerator simulation the Z-axis is the beam's central axis. This causes no problems, but must be kept in mind.

### 16.1 Using the CT Phantom Option in DOSXYZnrc

The CT phantom option is used by setting the number of materials, nmed in record 2 of the DOSXYZnrc input file, to zero. This will cause the program to execute differently than when nmed is > 0, and, instead of geometry, material and density data for the phantom being input explicitly in the DOSXYZnrc input file, DOSXYZnrc reads these data from a CT phantom file which has been created using ctcreate. The input for the CT and non-CT modes of DOSXYZnrc are common again after this input (see section 3.1, page 11).

In short, the input file for DOSXYZnrc is very different if the CT option is being used. From section 3.1 it can be seen that in CT mode, input records 3-9 in non-CT mode are replaced by records 3-5, which specify the name of the file containing the CT phantom data, the transport parameters, and some output parameters.

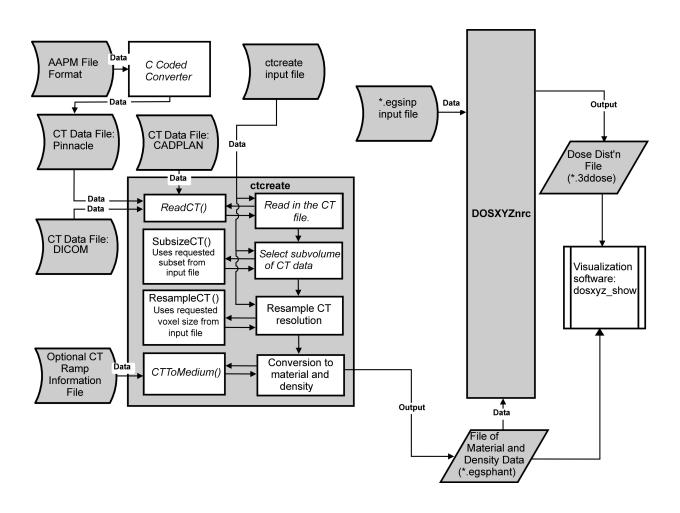


Figure 9: A flowchart for use of CT data with ctcreate and DOSXYZnrc.

### 16.2 Using ctcreate

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This section covers the input parameters that ctcreate requires to create a \*.egsphant CT phantom file that can then be read in and used by DOSXYZnrc.

It is fairly simple to obtain a CT phantom from the CT data set since all the required material and geometry information is contained in the data set. The additional information that the user is required to provide are the CT data format, the name of the file containing either the header info (Pinnacle) or containing the names of the actual CT data files (CADPLAN and DICOM), voxel dimensions for the phantom. The user can also select a subset of the full CT data and specify their own CT ramp (ie function for converting CT data to the densities and materials required for the DOSXYZnrc phantom). Input of a custom CT ramp is highly recommended.

The following are the input parameters for ctcreate. This description is found at the beginning of the ctcreate.mortran code.

```
DESCRIPTION OF INPUT FILE
                                                       toc:
 (Revision: 1.19 last edited Date: 2013/03/25 14:40:01 )
CT Record 1
                     ctformat (A60)
                       The format of the CT data. Currently Pinnacle,
                       CADPLAN and DICOM formats are handled for all
                       architectures.
                       AAPM format requires conversion to Pinnacle through
                       the $OMEGA_HOME/progs/ctcreate/CT/AAPM/aapm2pinnacle
                       code.
CT Record 2
                     CTFilename (up to 256 characters)
                       For Pinnacle format:
                        CTFilename is the full name of the .header file.
                        Assumes that the binary CT data is stored in a file
                        with the same prefix but with a .img extension.
                       For CADPLAN and DICOM formats:
                        CTFilename is the full name of a file in which is
                        stored the full names of the CADPLAN data files
                        that make up the full CT image (one file/slice).
                        Files must be in order of increasing Z.
CT Record 3
                     xctsubmin,xctsubmax,yctsubmin,yctsubmax,zctsubmin,
                     zctsubmax (6F10.4) (ON ONE LINE)
                     xctsubmin,xctsubmax: lower and upper x boundaries (cm)
                                          of the subset of the CT
                                          data to be considered for the
                                          dosxyznrc phantom.
                     yctsubmin, yctsubmax: lower and upper y bounds (cm)
```

of the subset of the CT data

to be considered for the dosxyznrc phantom.

zctsubmin, zctsubmax: lower and upper z bounds (cm) of the subset of the CT data to be considered for the dosxyznrc phantom.

If all are set to 0, then the entire CT volume is selected. If, in any dimension, the lower bound is >= the upper bound, then the entire thickness in that dimension will be used. Finally, note that the subvolume is always expanded to include an integer number of CT voxels.

CT Record 4

xyz\_xthickness,xyz\_ythickness,xyz\_zthickness (3F15.0); The x, y and z voxel dimensions (cm) to be used for the dosxyznrc phantom. Restrictions:

(xctsubmax-xctsubmin)/\$IMAX<=xyz\_xthickness<= (xctsubmax-xctsubmin)

(yctsubmax-yctsubmin)/\$JMAX<=xyz\_ythickness<=

(yctsubmax-yctsubmin) (zctsubmax-zctsubmin)/\$KMAX<=xyz\_zthickness<=

(zctsubmax-zctsubmin)

Note that voxel dimensions are always increased to fit an integer number of dosxyznrc voxels on the CT sub-volume selected.

CT Record 5

num\_material, material\_ct\_lower\_bound (2I10) num\_material: This is the number of materials and ramps that are to be read from the file. material\_ct\_lower\_bound: lower CT no. for the first medium in the ramp.

If this input is 0,0 then the default CT ramp is used. See subroutine CTToMedium for a description of the default ramp.

If the default ramp is not used then the format for user input of the materials and ramps is shown in CT Records 6.a and 6.b. These line pairs are repeated num\_material times.

Repeat 6.a and 6.b for i=1 to num\_material (only used if num\_material > 0).

CT Record 6.a material\_name (24A1)

The PEGS4 name of material i.

CT Record 6.b material\_ct\_upper\_bound(i),

Parameters may be input interactively, by typing:

#### ctcreate

and responding to the prompts; or stored in an input file and ctcreate run with the input file by typing:

```
ctcreate inputfilename
```

ctcreate input parameters are described in more detail in the subsections below.

#### 16.2.1 ctformat

The first input required by ctcreate is the format of the CT data. Currently, ctcreate supports Pinnacle, CADPLAN and DICOM formats. If the data is in AAPM format, then it can be converted to Pinnacle format using the code \$OMEGA\_HOME/progs/ctcreate/CT/AAPM/aapm2pinnacle

#### 16.2.2 CTFilename

CTFilename is the full name of a file, the contents of which depend on the the CT data format:

Pinnacle format CTFilename is the full name of the header file of the CT data set (including the .header extension). The code will read in all the information that it requires from this file before moving on to read in the entire binary data file (which has an assumed extension .img and the same prefix as the header file).

CADPLAN and DICOM formats CTFilename is the full name (*i.e.* including directory path) of a file containing the full names of the individual CT data files (1 file/image slice). The file names MUST appear in order of increasing Z position of the slice.

On output, the CT phantom will be named: CTFilename(minus .header extension if using Pinnacle format).egsphant

#### 16.2.3 xctsubmin,xctsubmax,yctsubmin,yctsubmax,zctsubmin,zctsubmax

xctsubmin,xctsubmax, yctsubmin, yctsubmax, zctsubmin, and zctsubmax are used to create six planes which describe a cube. The subsection of the original CT data contained in this cube will be used to create the CT phantom. In this manner only the portion of interest in the original CT data is used in the simulation. This allows the particle simulation to be performed at a higher resolution than if the calculation used the entire CT volume, and allows the user to trim some of the air that surrounds a typical CT image from consideration in the phantom. Note that if the sub-volume selected by the user does not fit on an integer number of voxels from the original CT data, then the sub-volume will automatically be expanded until it does so.

#### 16.2.4 xyz\_xthickness,xyz\_ythickness,xyz\_zthickness

The third line of the input to ctcreate is the spatial resolution that the user requires for the simulation. xyz\_xthickness, xyz\_ythickness, xyz\_zthickness are the 3 dimensions of the voxels to be used in the CT phantom. The maximum voxel dimension in a given direction is the distance between the planes delimiting the subset of the CT data to be used in that direction (see previous section). The minimum voxel dimension in a direction is the distance between the planes delimiting the subset of CT data in that direction divided by the maximum number of voxels allowed in that direction (set at compilation). ctcreate will alert the user when dimensions less than the minimum or greater than the maximum are input. Note that, if necessary, the voxel dimensions will always be increased to fit an integer number of DOSXYZnrc voxels on the CT sub-volume selected by the user.

#### 16.2.5 num\_material, material\_ct\_lower\_bound, and other CT ramp inputs

num\_material is the number of materials in the ramp function used to convert CT number in each voxel to material and density. If this is set to zero then a default CT ramp is used in the conversion. The default ramp is shown in Figure 10 and in the sample input file given in the next section. material\_ct\_lower\_bound defines the lowest CT number of the first ramp (i.e. the lowest CT number for material 1). If the default ramp is used, then material\_ct\_lower\_bound defaults to -1024, which is typically the minimum CT number for air in DICOM format data.

If num\_material >0 then the CT ramp is read from the input file. For each medium in the ramp, there are two lines of input. The first of these lines is the name of the material (material\_name) which must be identical to a material in the PEGS4 material data file being used in the DOSXYZnrc simulation. The second line specifies the maximum CT number for the medium, material\_ct\_upper\_bound, the minimum density of the medium, material\_density\_lower\_bound, and the maximum density of the medium, material\_density\_upper\_bound. Note that the ramp is assumed to be continuous in CT number. Thus, for material n, material\_density\_lower\_bound(n) corresponds to a CT number of material\_ct\_upper\_bound(n-1) (or the overall minimum CT no. of the ramp, material\_ct\_lower\_bound, if n=1), and material\_density\_upper\_bound(n) corresponds to

material\_ct\_upper\_bound(n). Also note that the ramp must be input in order of increasing material\_ct\_upper\_bound.

The CT ramp is then used to determine the medium and density in each voxel of the CT data. If a voxel's CT number is  $\leq$  material\_ct\_upper\_bound(n) and > material\_ct\_upper\_bound(n-1) (or material\_ct\_lower\_bound if n=1) then it is assigned medium n. The density is then calculated using a linear interpolation between the medium's density limits:

$$\begin{split} & \texttt{rhor}_{i,j,k} = \texttt{material\_density\_lower\_bound}(\texttt{n}) \\ & + \left( \frac{\texttt{material\_density\_upper\_bound}(\texttt{n}) - \texttt{material\_density\_lower\_bound}(\texttt{n})}{\texttt{material\_ct\_upper\_bound}(\texttt{n}) - \texttt{material\_ct\_upper\_bound}(\texttt{n}-1)} \right) \\ & * \left( \texttt{CT}_{i,j,k} - \texttt{material\_ct\_upper\_bound}(\texttt{n}-1) \right) \end{aligned}$$

The default ramp is shown in Figure 10. This is a modified version of a CT ramp given in Kawrakow et al[49]. Note that this ramp has been optimized for typical DICOM format data, where the lowest CT number for air material\_ct\_lower\_bound is -1024.

If the CT number in a voxel is less than material\_ct\_lower\_bound then the medium in that voxel is set to vacuum with density zero. Those voxels with CT numbers above the last material's upper CT number (material\_ct\_upper\_bound(num\_material)) will have the density set to the maximum density for the last material.

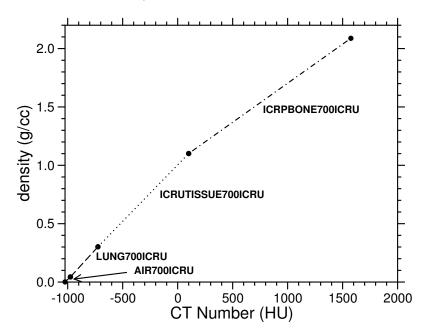


Figure 10: The default ramp for converting CT values to material and density in ctcreate modified from Kawrakow et al[49].

The default CT ramp may be suitable for displaying a .egsphant file using dosxyz\_show[23] and for example calculations. However, we strongly recommend that you explicitly enter your own CT ramp function for more detailed simulations, since the CT ramp is dependent upon the imager and the data acquisition method.

### 16.3 Sample ctcreate CT Phantom Input File

Table 3 shows an example ctcreate input file, and a sample DOSXYZnrc input file (excluding EGSnrc inputs) which uses the CT phantom data from ctcreate.

### 16.4 Location of ctcreate and How to Compile It

ctcreate.mortran and related files (see section 2.1 for a complete list) reside in the subdirectory \$0MEGA\_HOME/progs/ctcreate. Normally, it is compiled as part of the OMEGA/BEAM installation (see the BEAMnrc Manual[12] for installation instructions), however it can be compiled separately by going into this directory and typing make.

### 16.5 ReadCT() Subroutines

ReadCT is the most important subroutine in ctcreate. It handles the reading in of header information and binary CT data. Currently, there are three ReadCT subroutines within ctcreate, one for each of the CT formats supported: ReadCT\_Pinnacle for Pinnacle, ReadCT\_CADPLAN for CADPLAN data and ReadCT\_DICOM for DICOM data.

#### 16.5.1 ReadCT\_Pinnacle

ReadCT\_Pinnacle is contained within the ctcreate.mortran file and calls other subroutines and functions in this file:

ReadReal Reads real values from the image header (.header) file.

ReadInt Reads integer values from the header file.

read\_ct\_data Reads binary CT data from the image (.img) file.

swap\_bytes Swaps bytes of CT data if there is a byte order mismatch between the data and the machine you are using.

Note that in Pinnacle format CT numbers are > 0. Thus, the default CT ramp in ctcreate cannot be used to convert CT numbers to media and densities. The example ctcreate inputfile, \$0MEGA\_HOME/progs/ctcreate/ctcreate\_examples/CT\_create.inp (used in Lab VI in the BEAMnrc Workshop), is used to convert Pinnacle format data and contains a custom CT ramp which is just the default ramp shifted up by 1025 HU.

#### 16.5.2 ReadCT\_CADPLAN

ReadCT\_CADPLAN is also contained within the ctcreate.mortran file. Unlike ReadCT\_Pinnacle, ReadCT\_CADPLAN is a self-contained subroutine. Byte swapping is not required since single bytes are read at a time.

Table 3: Sample input files for ctcreate and DOSXYZnrc

Input Parameter Description of input fields.	
for ctcreate	
DICOM	CT data is in DICOM format
CTfname	in DICOM format, this is the name of a file con-
	taining the names of the individual image slices
	(in order of increasing Z).
-17.64,17.64,-17.64,17.64,-0.7,4.3 The subvolume of the CT data set that is to b	
used for the phantom.	
1.0, 1.0, 1.0	The phantom voxel size.
4, -1024	num_material, material_ct_lower_bound. If
	num_material=0 the default ramp will be used.
	The default values are listed explicitly in this ex-
	ample.
AIR700ICRU	The material_name for the first material.
-974,0.001,0.044	CT ramp parameters for the first material:
	<pre>material_ct_upper_bound,</pre>
	<pre>material_density_lower_bound,</pre>
	material_density_upper_bound
LUNG7001CRU	The material name for the second material.
-724,0.044,0.302	The ramp parameters for the second material.
ICRUTISSUE700ICRU	The material name for the third material.
101,0.302,1.101	The ramp parameters for the third material.
ICRPBONE700ICRU	The material name for the fourth material.
1976,1.101,2.088	The ramp parameters for the fourth material.
	DOSXYZnrc
Sample CT Input File	Title of the simulation and phantom.
0	NMED - If this is zero the code switches to
ams.	CT_Phantom mode.
CTfname.egsphant	The name of the CT phantom file.
0.7,0.01,5.0	ECUTIN, PCUTIN, SMAX (dummy inputs)
1,0,1	zeroairdose, doseprint and MAX20
-1,0,-1.25,1.25,-2.25,2.25,90.0,	The source description record. At this point the
90.0,0.0	CT phantom input meets up with the manual phantom inputs.
0	monoenergetic particle spectrum.
20.0	particle energy.
100,0,500.,7,3,100.,0,0,0,1,5.0,	Monte Carlo information (note range rejection
0,0,0,0	below 5MeV).
0,0,0,0	DOTOM STATE A ).

#### 16.5.3 ReadCT\_DICOM.c

ReadCT\_DICOM.c is a separate C routine whose object file is linked to ctcreate at compile time. ReadCT\_DICOM.c is automatically compiled when you make ctcreate. ReadCT\_DICOM.c requires the C header file tags\_ct.h, which defines hexadecimal tags, or labels, for the DICOM data. These tags are defined in the DICOM standard, and if the user modifies ReadCT\_DICOM.c to deal with additional tags, then these can be added to the tags\_ct.h file. Currently, ReadCT\_DICOM assumes the DICOM data has been collected on a machine with little endian byte order (ie compatible with Linux), however, if the data was collected on a machine with big endian byte order, you must go into ReadCT\_DICOM.c and change the line:

#### #define DICOM\_ENDIAN 1

to:

#### #define DICOM ENDIAN O

and recompile ctcreate. The endianness of the machine you are running ctcreate on is automatically detected by ReadCT\_DICOM and then bytes are swapped if necessary.

The DICOM format is known to vary from imager to imager and institute to institute. Thus, we cannot guarantee that ReadCT\_DICOM will work with your images without modification. As a minimum, the current version of ReadCT\_DICOM requires the following information in the image (slice) header(s):

- 1. Number of rows (data tag 0x00280010). This defines the number of voxels in the X-direction.
- 2. Number of columns (data tag 0x00280011). This defines the number of voxels in the Y-direction.
- 3. Pixel spacing (data tag 0x00280030). Defines the X- and Y-dimensions of the voxels.
- 4. Image position (data tag 0x00200032). Defines the (X,Y,Z) of the centre of the first voxel. The position of the first slice (slice with lowest Z) is used to determine the X-, Y- and Z-offset (starting position) of the CT data, and the Z-positions of the first two slices are used to established voxel Z-dimension (*i.e.* slice thickness). The Z-positions of slices as read from the header are also used to sort slices in order of increasing Z, in case the user has not already done so in the file containing the slice names.
- 5. A pixel data tag (0x7fe00010) indicating the size of the data array in bytes. This tag must appear last in the header, immediately preceding the CT data itself.

ReadCT\_DICOM assumes slices are contiguous in Z. Thus, once the Z-position of the first slice and slice thickness (Z-dimension of voxels) are established, ReadCT\_DICOM positions subsequent slices so that there are no gaps or overlaps between them. A warning message is output if the Z-position of a slice determined by ReadCT\_DICOM does not equal the Z-position of the slice as read from the image position in its header.

We note that ReadCT\_DICOM.c has limited flexibility in terms of slice layout, and it has not been tested on all possible variations of the DICOM format. Therefore, if you require more flexibility or are having trouble converting your DICOM files, you are strongly urged to either modify ReadCT\_DICOM or use your own stand-alone routine for converting DICOM images to .egsphant files.

The initial version of ReadCT\_DICOM.c was provided by Nick Reynaert at the University of Ghent.

#### 16.5.4 Generic ReadCT

Essentially, ReadCT is the subroutine or stand-alone code which the user will have to customize or program from scratch to deal with any CT format not currently handled. The structure and number of subroutines within ReadCT is largely a matter of the programmer's taste. However, if ReadCT is to be included in ctcreate (or linked as an object file when compiling ctcreate), it must pass certain essential data back to ctcreate. An example call to a generic ReadCT routine is:

Subroutine ReadCT(fname, asize, ctdata, offset, vsize, error)

where fname is a character string containing the name of the particular CT data set. The essential CT data returned to ctcreate are:

- asize(3) An array returning the number of CT voxels in the x,y,z directions.
- vsize(3) An array returning the dimensions of the CT voxels
- offset(3) An array returning the lower bounds of the CT data in the x,y,z directions in cm.
- ctdata(\$CTIMAX,\$CTJMAX,\$CTKMAX) A 3-D integer array returning the CT data (Hounsfield numbers). In ctdata, x \( \times i, y \to j, z \to k, \text{ so that ctdata(i,j,k) is equal to the Hounsfield number in the voxel with lower bounds x=offset(1)+(i-1)\*vsize(1), y=offset(2) + (j-1)\*vsize(2), z=offset(3)+ (k-1)\*vsize(3) and upper bounds x=offset(1)+ i\*vsize(1), y=offset(2)+ j\*vsize(2), z=offset(3)+ k\*vsize(3)

error Returns the error status of the CT read operation. Currently not used.

## 16.6 Description of the \*.egsphant File

The \*.egsphant file output by ctcreate or by DOSXYZnrc itself is an ASCII file containing the following information necessary for DOSXYZnrc to simulate the CT phantom and for the display program, dosxyz\_show[23], to display the density information:

- 1. The number of media in the phantom
- 2. The names of the media

- 3. The ESTEPE value for each medium (now a dummy input)
- 4. The number of voxels in the X, Y and Z directions
- 5. A list of the voxel boundaries in the X direction
- 6. A list of the voxel boundaries in the Y direction
- 7. A list of the voxel boundaries in the Z direction
- 8. For each Z slice, an X-Y array containing the medium number in each voxel
- 9. For each slice in the Z direction, an X-Y array containing the densities in each voxel

In addition to passing the necessary medium information to DOSXYZnrc, the X-Y arrays of medium numbers (item 8 above) provide a rough slice-by-slice view of the CT phantom. A sample X-Y array of medium numbers from one slice of a CT phantom derived from a lung image is shown below (hold at a distance, and raw data on screen is easier to see):

The user can use these slice-by-slice views to determine whether the CT data is being read in correctly by ReadCT or to verify that the sub-volume of the original CT data selected includes the physiology of interest.

## 16.7 Files and Macros for implementation.

Below is a short description of the files and macros required to compile and run ctcreate and DOSXYZnrc with CT phantoms.

dosxyznrc\_user\_macros.mortran ctcreate uses the version stored in \$HEN\_HOUSE/user\_codes/dosxyznrc to obtain \$IMAX, \$JMAX and \$KMAX, the maximum X, Y and Z dimensions of the CT phantom allowed in DOSXYZnrc.

\$CTIMAX, \$CTIMAX, \$CTKMAX Macros defining the maximum X, Y and Z dimensions of the CT data to be read in. These macros are in ctcreate.mortran

Inblnk1.mortran MORTRAN macro to provide the FORTRAN Inblnk function for Linux, rs6000 and HP9000 machines. ctcreate makes extensive use of this function and DOSXYZnrc uses it in CT phantom mode. It is read from the \$HEN\_HOUSE/src directory

## 17 Known Bugs/Restrictions

A restarted run that uses a phase space source with particle recycling will not produce dose/uncertainty results identical to a single run with the same total number of histories. This is because the last particle used before the restart may not have been recycled the full NRCYCL times, and restarting automatically skips to the next particle. Results will agree within uncertainty, however.

It is recommended that when using the built-in parallel processing functionality you do not restart parallel runs that use a phase space source. In this case, parallel jobs have lost track of which chunks of the phase space source they were using in the original run and the uncertainty analysis after recombining the restarted runs will not take into account correlations due to reusing chunks of the phase space source.

## 18 Acknowledgments

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Charlie Ma was a major contributor to the DOSXYZ code and was the senior author of the original DOSXYZ manual. Since he is not directly involved with DOSXYZnrc, he is no longer an author of the manual, but his contribution to the original code while he was at NRC still plays a significant role in the current version.

A variety of people have contributed various pieces of code to the DOSXYZnrc program. We wish to thank: Julie Zachman of UW for providing the aapm2pinnacle tool; Daryoush Sheikh-Bagheri for developing PAW macros for displaying dose distributions and CT files; Geoff Zhang and Daryoush again for extensive use of the code and drawing our attention to bugs; Alex Bielajew for early work on the code; Marc Lauterbach and Joerg Lehmann for initial coding to read CADPLAN CT data sets. We also wish to thank Paul Reckwerdt for his work on the original code to read Pinnacle CT data sets; Mark Holmes for his extensive work on reading CT data and for coding the correlated sampling routines[9]; Brian Geiser for the BTREE beam modeling method[8].

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