

MTH 511 HW 4

Brandy Tucknott

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1. Prove theorem 5.5.

Theorem 5.5. *Let $f : (M, d) \rightarrow (N, \rho)$ be one-to-one and onto. Then the following are equivalent.*

- (i) f is a homeomorphism.
- (ii) $x_n \rightarrow_d x \leftrightarrow f(x_n) \rightarrow_\rho f(x)$.
- (iii) G is open in $M \leftrightarrow f(G)$ is open in N .
- (iv) E is closed in $M \leftrightarrow f(E)$ is closed in N .
- (v) $\hat{d}(x, y) = \rho(f(x), f(y))$ defined a metric on M equivalent to d .

Proof. Our method will be to show that $i \rightarrow ii \rightarrow iii \rightarrow iv \rightarrow v \rightarrow i$.

$(i) \rightarrow (ii)$: since f is a homeomorphism, we have that f, f^{-1} are continuous. Then by Theorem 5.1, we have that

$$\begin{aligned} x_n \rightarrow_d x &\longrightarrow f(x_n) \rightarrow_\rho f(x) \\ f(x_n) \rightarrow_\rho f(x) &\longrightarrow f^{-1}(f(x_n)) \rightarrow_d f^{-1}(f(x)) \text{ which is equivalent to} \\ f(x_n) \rightarrow_\rho x &\longrightarrow x_n \rightarrow_d x. \end{aligned}$$

Thus $(i) \rightarrow (ii)$.

$(ii) \rightarrow (iii)$: Property two says f, f^{-1} are continuous, so by Theorem 5.1 we have that

$$\begin{aligned} f(G) \text{ in } N \text{ open} &\longrightarrow G \text{ in } M \text{ open} \\ f^{-1}(f(G)) \text{ in } M \text{ open} &\longrightarrow f(G) \text{ in } N \text{ open} \text{ which is equivalent to} \\ G \text{ in } M \text{ open} &\longrightarrow f(G) \text{ in } N \text{ open}. \end{aligned}$$

Thus $(ii) \rightarrow (iii)$.

$(iii) \rightarrow (iv)$: This uses the exact same argument as $(ii) \rightarrow (iii)$, replacing the word ‘open’ for ‘closed’.

$(iv) \rightarrow (v)$: Certainly $\hat{d} = \rho$ is a metric, so it remains to be shown if it is an equivalent metric. Since we are given (iv) , we know that

$$f^{-1}(f(E)) \text{ closed in } M \longrightarrow f^{-1}(E) \text{ closed in } N \text{ and} \tag{1}$$

$$f(E) \text{ closed in } N \longrightarrow E \text{ closed in } M. \tag{2}$$

From Theorem 5.1 we know that f, f^{-1} are continuous, and thus f is a homeomorphism. This gives us $(i) \rightarrow (ii)$. We see then if $d(x_n, x) \rightarrow 0$, then $x_n \rightarrow_d x$ and by (ii) $x_n \rightarrow_\rho x$ which is equivalent to $x_n \rightarrow_{\hat{d}} x$. On the other hand, if $\hat{d}(x_n, x) \rightarrow 0$, then $x_n \rightarrow_{\hat{d}=\rho} x$ and by (ii) we have that $x_n \rightarrow_d x$. Thus \hat{d} is equivalent to d .

$(v) \rightarrow (i)$: Property (v) tells us that

$$x_n \rightarrow_d x \longleftrightarrow x_n \rightarrow_{\hat{d}} x.$$

It is clear that

$$\begin{aligned} x_n \rightarrow_d x &\longrightarrow x_n \rightarrow_{\hat{d}} x \\ d(x_n, x) \rightarrow 0 &\longrightarrow \rho(x_n, x) \rightarrow 0 \\ x_n \rightarrow x &\longrightarrow f(x_n) \rightarrow f(x) \\ &\text{Thus } f \text{ is continuous.} \end{aligned}$$

Similarly, we have that

$$\begin{aligned} x_n \rightarrow_{\hat{d}} x &\longrightarrow x_n \rightarrow_d x \\ \rho(x_n, x) \rightarrow 0 &\longrightarrow d(x_n, x) \rightarrow 0 \\ f(x_n) \rightarrow x &\longrightarrow x_n \rightarrow x \\ &\text{Thus } f^{-1} \text{ is continuous.} \end{aligned}$$

Since f, f^{-1} is continuous with f one-to-one and onto, we conclude that f is a homeomorphism.

□

2. Suppose we are given a point x and a sequence x_n in a metric space M , and suppose that $f(x_n) \rightarrow f(x)$ for every continuous real-valued function f on M . Prove that $x_n \rightarrow x$ in M .

Proof. Suppose $x_n \not\rightarrow x$. Then there exists some $\varepsilon > 0$ and a subsequence (x_{n_k}) such that

$$d(x_{n_k}, x) \geq \varepsilon$$

for all k . Let $f : M \rightarrow \mathbb{R}$ be defined by

$$f(y) = \max(0, 1 - \frac{d(x, y)}{\varepsilon}).$$

For any $y, z \in M$, we have that

$$|f(y) - f(z)| \leq \frac{|d(x, y) - d(x, z)|}{\varepsilon} \leq \frac{d(y, z)}{\varepsilon},$$

so f is lipschitz and thus continuous (by exercise 5.19 in the book). Observe that

$$d(x_{n_k}, x) \geq \varepsilon \longrightarrow \frac{d(x_{n_k}, x)}{\varepsilon} \geq 1 \longrightarrow 1 - \frac{d(x_{n_k}, x)}{\varepsilon} \leq 0.$$

Thus $\max(0, 1 - \frac{d(x_{n_k}, x)}{\varepsilon}) = 0$, and $f(x_{n_k}) = 0$. Since $f(x_{n_k}) = 0$ and $f(x) = \max(0, 1 - \frac{d(x, x)}{\varepsilon}) = 1$, we have that $f(x_{n_k}) \not\rightarrow f(x)$, a contradiction. We conclude our assumption must be wrong, and it must be that $x_n \rightarrow x$. \square

3. Prove that a totally bounded metric space is separable.

Proof. Let M be a totally bounded metric space. Then for some points $x_1, \dots, x_n \in M$, we have that

$$M = \bigcup_{i=1}^n B_\varepsilon(x_i)$$

for all $\varepsilon > 0$ and $n < \infty$. Define $D_n = \{x_1, \dots, x_n\}$, $D = \bigcup_{n=1}^{\infty} D_n$. Then D is a countable union of finite sets, and it remains to be shown that D is dense. Obviously $\overline{D} \subseteq M$, so we want to show that $M \subseteq \overline{D}$. Let $x \in M$. Then there exists some $x_k \in D_n$ with $k \leq n$ such that $x \in B_\varepsilon(x_k)$. Then clearly $x_k \in B_\varepsilon(x)$, and $B_\varepsilon(x) \setminus \{x\} \cap D \neq \emptyset$ and $x \in \overline{D}$ by definition of closure. We conclude that $\overline{D} = M$, so D is dense, and since it is countable we have that M is separable. \square

4. Let (M, d) and (N, ρ) be metric spaces with $f : M \rightarrow N$ a surjective function which satisfies

$$\rho(f(x), f(y)) \leq d(x, y)$$

for all $x, y \in M$. Prove or find a counterexample to the following.

- (a) If (N, ρ) is complete, then (M, d) is complete.

Proof. Let $M = (0, 1)$, $N = \{0\}$, $f : M \rightarrow N$ be defined by $f(x) = 0$. Define also $d(x, y) = |x - y|$ and $\rho(0, 0) = 0$. Then certainly the given inequality holds with f onto. Every Cauchy sequence in N converges trivially to $0 \in N$, but the sequence $x_n = \frac{1}{n}$ converges to 0 , which is not in M . We conclude the statement does not universally hold. \square

- (b) (M, d) is complete, then (N, ρ) is complete.

Proof. Let $M = \mathbb{R}$, $N = (0, 1)$ with $d(x, y) = \rho(x, y) = |x - y|$. Define $f : M \rightarrow N$ as $f(x) = 1/2 \arctan(x) + 1/2$. Notice that since $\arctan(x)$ is invertible only when the domain is restricted to $(-\pi/2, \pi/2)$, the domain \mathbb{R} makes it no longer one-to-one, but it retains its onto properties. Since $f'(x) = 1/(1 + x^2)$ is bounded by $(0, 1)$, we have that

$$\begin{aligned} \left| \frac{f(x) - f(y)}{x - y} \right| &\leq 1 \\ |f(x) - f(y)| &\leq |x - y| \\ \rho(f(x), f(y)) &\leq d(x, y). \end{aligned}$$

Again consider a sequence $x_n = 1/n$ in N . Certainly x_n is Cauchy, but it converges to $0 \notin N$, so the statement does not universally hold. \square

5. Fill in the details for the proof that ℓ_1 and ℓ_∞ are complete. (CURRENTLY INCOMPLETE)

Proof. Here we show that ℓ_1 is complete. Let $f \in \ell_1$ be written as $f = (f(k))_{k=1}^\infty$, in which case $\|f\|_1 = \sum_{k=1}^\infty |f(k)|$. Let f_n be a sequence in ℓ_1 , where $f_n = (f_n(k))_{k=1}^\infty$ and suppose that each f_n is Cauchy in ℓ_1 . That is, suppose that for each $\varepsilon > 0$ there exists n_0 such that $\|f_n - f_m\|_1 < \varepsilon$ whenever $n, m \geq n_0$. The proof is broken into three steps, but since 2 and 3 are completed in the book, we will just do step 1 for both ℓ_1 and ℓ_∞ .

Step 1. (ℓ_1) $f(k) = \lim_{n \rightarrow \infty} f_n(k)$ exists in \mathbb{R} for each k .

We need to show $f \in \ell_1$ and that $\|f_n - f\|_1 \rightarrow 0$ as $n \rightarrow \infty$.

□

6. Prove that c_0 is complete by showing that c_0 is closed in ℓ_∞ .

Proof. Since a closed subspace of a complete metric space is complete, it is sufficient to show that $c_0 \subseteq \ell_\infty$ is closed. Let x_n be a sequence in c_0 converge to x in ℓ_∞ . Let $\varepsilon > 0$ be arbitrary, and choose N large enough such that for all $n \geq N$,

$$\|x_n - x\|_\infty < \frac{\varepsilon}{2}.$$

For that index n , since x_n is in c_0 , there exists K such that for all $k \geq K$,

$$\|(x_n)_k - (x_n)\|_\infty < \frac{\varepsilon}{2}.$$

Then for any $k \geq K$, we have that

$$\begin{aligned} |x_k| &\leq |x_k - (x_n)_k| + |(x_n)_k| \\ &\leq \|x_k - (x_n)_k\|_\infty + \|(x_n)_k\|_\infty \\ &< \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \end{aligned}$$

Thus for all $k \geq K$, we have $|x_k| < \varepsilon$, and thus $x_k \rightarrow 0$ and x_k is in c_0 . Since c_0 contains all its limit points in ℓ_∞ , it is closed in ℓ_∞ . \square