# COMP201 Software Engineering I Lecture 12 – Formal Specification

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See vital for all notes

# Coming Up...

#### Coming Up...

- **To explain** why formal specification techniques help discover problems in system requirements
- To describe the use of:
  - algebraic techniques (for interface specification) and
  - model-based techniques (for behavioural specification)
- To introduce Abstract State Machine Model (ASML)

#### **Formal Methods**

- Formal specification is part of a more general collection of techniques that are known as 'formal methods'
- These are all based on the mathematical representation and analysis of software
- Formal methods include:
  - Formal specification
  - Specification analysis and proof
  - Transformational development
  - Program verification
- See COMP 313: Formal Methods for more...

### The Reality of Formal Methods

- Software first developed with Assembly Language
- Limited understanding of software testing and testing tools
- Modern software development has many ways to make high quality software
- Therefore: formal methods not commonly used
  - The most acceptable techniques are approaches like programming by contract (e.g. Eiffel method)

#### **Acceptance of Formal Methods**

- Formal methods have not become mainstream software development techniques as was once predicted
- Other software engineering techniques have been successful at increasing system quality.
- Market changes have made time-to-market rather than software with a low error count the key factor.
- The scope of formal methods is limited.
- Formal methods are hard to scale up to large systems

#### **Use of Formal Methods**

- Their principal benefits are in reducing the number of errors in systems so their main area of applicability is critical systems:
  - Air traffic control information systems,
  - Railway signalling systems
  - Spacecraft systems
  - Medical control systems
- In this area, the use of formal methods is most likely to be cost-effective

#### **Specification in the Software Process**

- **Specification** and design are inextricably mixed.
- Architectural design is essential to structure a specification.
- Formal specifications are expressed in a mathematical notation with precisely defined vocabulary, syntax and semantics.

#### **Specification Techniques**

- Algebraic approach
  - The system is specified in terms of its operations and their relationships
- Model-based approach
  - The system is specified in terms of a state model that is constructed using mathematical constructs such as sets and sequences.
  - Operations are defined by modifications to the system's state

#### **Use of Formal Specification**

- Formal specification involves investing more effort in the early phases of software development
  - This reduces requirements errors as it forces a detailed analysis of the requirements
- Incompleteness and inconsistencies can be discovered and resolved.
  - Hence, savings are made as the amount of rework due to requirements problems is reduced

#### **Formal Specification**

- The system requirements and design are expressed in detail
- This reduces ambiguity
- Requirements are carefully analysed and refined before implementation
- A large benefit of formal specification is its ability to uncover potential problems and ambiguities in the requirements.

## **Question Time**

#### **CLICK HERE TO GO TO POLL**



#### **Interface Specification**

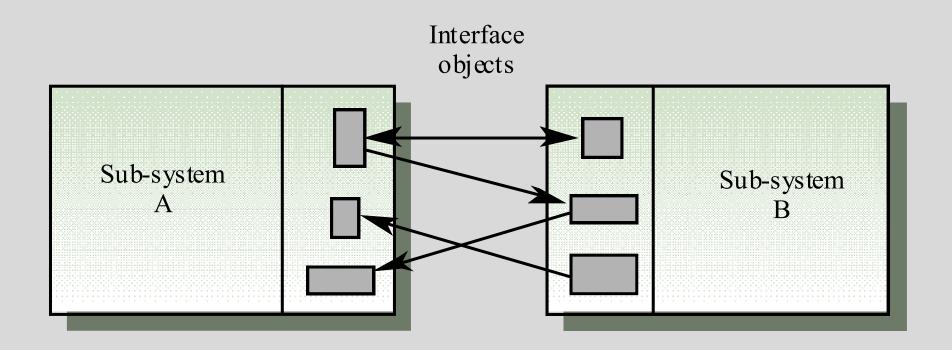
- Large systems are decomposed into subsystems with well-defined interfaces between these subsystems
- Specification of subsystem interfaces allows independent development of the different subsystems
- Interfaces may be defined as abstract data types or object classes

The algebraic approach to formal specification is particularly well-suited to interface specification

#### **Sub-System Interface Specification**

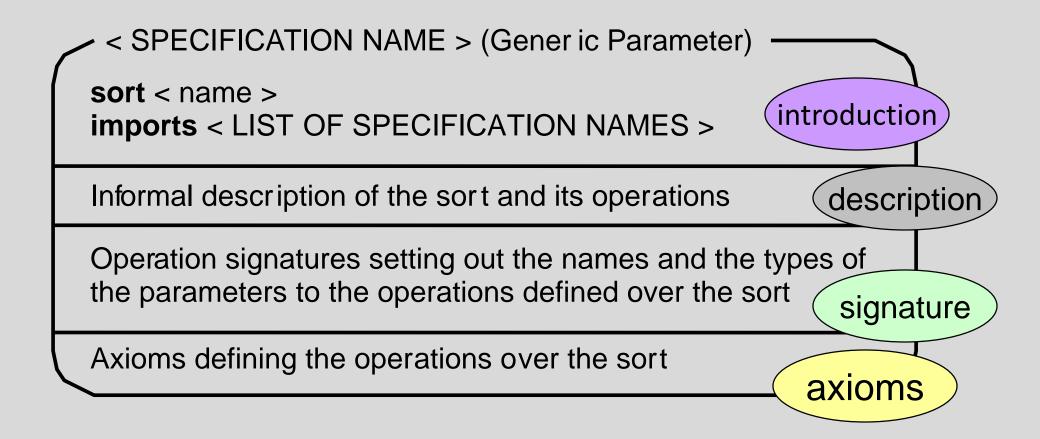
- Clear and unambiguous sub-system interface specifications reduce the chance of misunderstandings between a provider and user of a sub-system.
- The algebraic approach to specification was originally developed for the definition of *abstract data types*.
- This idea was then extended to model complete system specifications.

# **Sub-System Interfaces**



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#### The Structure of an Algebraic Specification



#### The Structure of an Algebraic Specification

- Introduction Declares the sort (the type name) of the entity being specified, i.e., a set of objects with common characteristics. It also imports other specifications to use.
- **Description** An informal description of the operations to aid understanding.
- Signature Defines the syntax of the interface to the abstract data type (object), including their names, parameter list and return types.
- **Axioms** Defines the semantics of the operations by defining axioms characterising the behaviour.

#### **Systematic Algebraic Specification**

- Algebraic specifications of a system may be developed in a systematic way:
  - Specification structuring;
  - Specification naming;
  - Operation selection;
  - Informal operation specification;
  - Syntax definition;
  - Axiom definition.

#### **Specification Operations**

- Constructor operations. Operations which create entities of the type being specified.
- **Inspection operations**. Operations which evaluate entities of the type being specified.

#### **Example: Operations on a List ADT**

- A list contains a sequence of elements of some type
- Elements may be added to the end and removed from the front
  - (this is also called a *queue*, how does this differ from a *stack*?).
- We want operations to :
  - Create
  - Cons (create a new list with an added member)
  - Head (to evaluate the first element)
  - Length
  - Tail (which creates a list by removing the first (head) element).

#### **Example: Operations on a List ADT**

- Constructor operations which evaluate to sort List
  - Create, Cons and Tail.
- Inspection operations which take sort list as a parameter and return some other sort
  - Head and Length.
- Tail can be defined using the simpler constructors Create and Cons.
- No need to define Head and Length with Tail.

#### **Example: List Specification**

```
List(Elem)
sort List
Imports INTEGER
```

Defines a list where elements are added at the end and removed from the front. The operations are Create, which brings an empty list into existence, Cons, which creates a new list with an added member, Length, which evaluates the list size, Head, which evaluates the front element of the list and Tail, which creates a list by removing the head from its input list.

```
Create -> List
Cons(List, Elem) -> List
Head (List) -> Elem
Length (List) -> Integer
Tail (List) -> List
```

```
Head(Create) = Undefined exception (empty List)
Head(Cons(L,v)) = if L = Create then v else Head (L)
Length(Create) = 0
Length(Cons(L,v)) = Length (L) + 1
Tail(Create) = Create
Tail(Cons(L,v)) = if L = Create then Create else Cons(Tail(L), v)
```

#### **Lecture Key Points**

- Formal system specification complements informal specification techniques.
- Formal specifications are precise and unambiguous. They remove areas of doubt in a specification.
- Formal specification forces an analysis of the system requirements at an early stage. Correcting errors at this stage is cheaper than modifying a delivered system.
- Formal specification techniques are most applicable in the development of critical systems and standards.