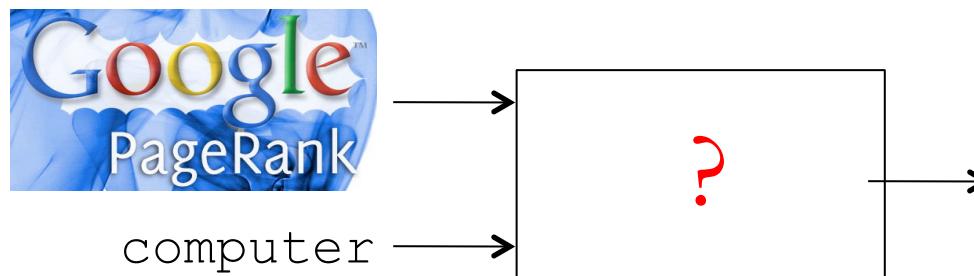
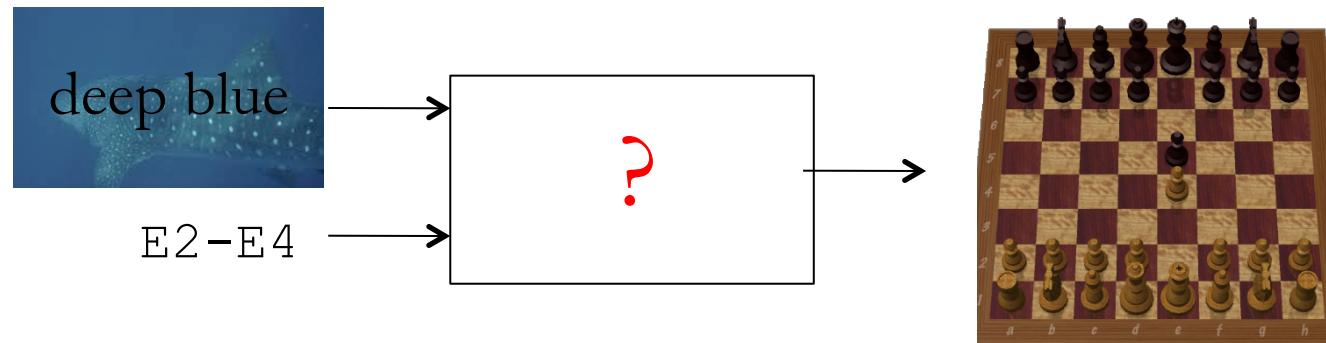
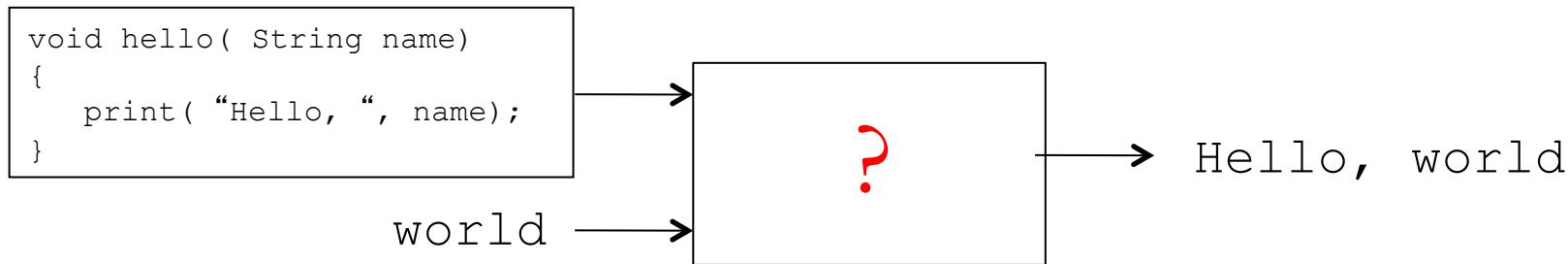
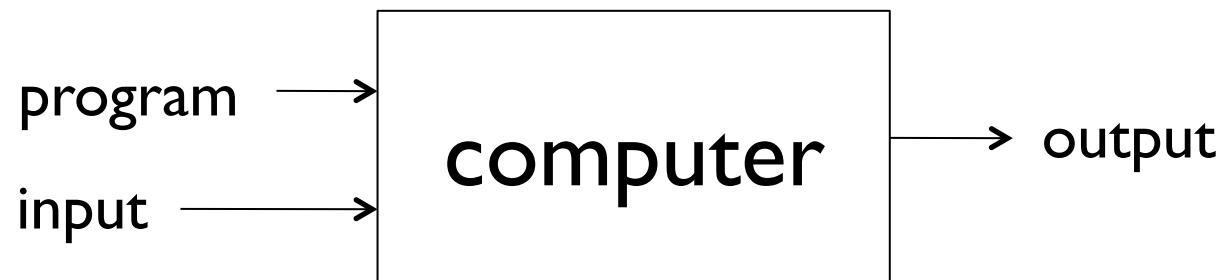


# Turing Machines

# What is a computer?

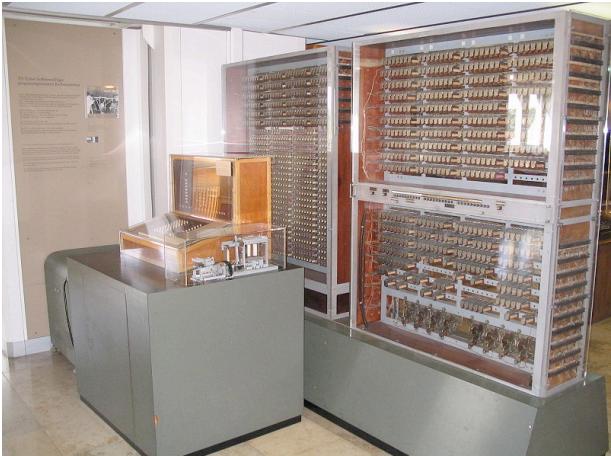


# What is a computer?

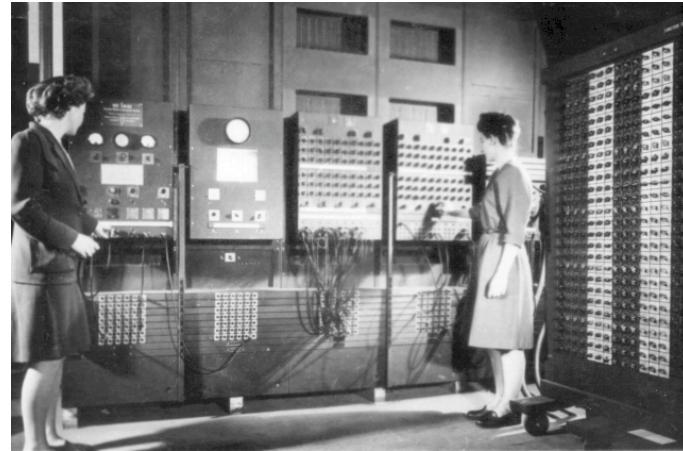


A **computer** is a machine that manipulates data according to a list of instructions.

# A brief history of computing devices



Z3 (Germany, 1941)



ENIAC (Pennsylvania, 1945)

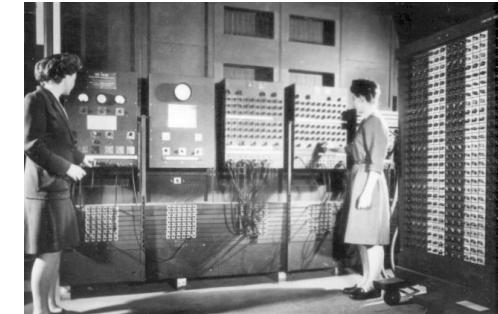


PC (1980)



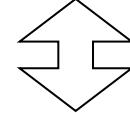
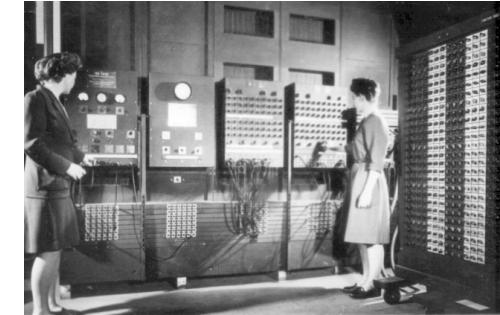
MacBook Air (2008)

# Computation is universal



In principle, all these  
have **the same** problem-solving ability

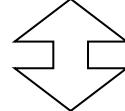
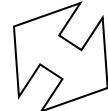
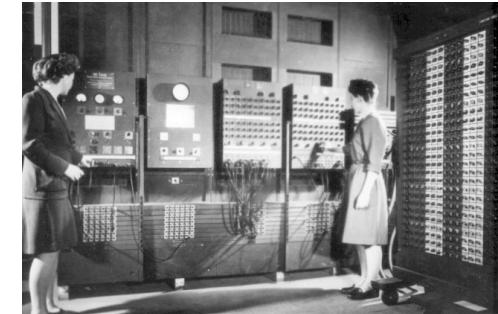
# The Church-Turing Thesis



Turing Machine

If an algorithm can be implemented on any **realistic** computer, then it can be implemented on a Turing Machine.

# The Church-Turing Thesis



Turing Machine

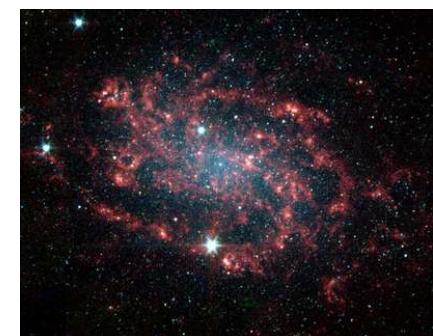
quantum computing



DNA computing



cosmic computing



# Alan Turing



Alan Turing  
(1912-1954)

- Invented the [Turing Test](#) to tell humans and computers apart
- Helped to break German encryption system (Enigma) in World War II
- The [Turing Award](#) is the “Nobel prize of Computer Science”

[Turing's motivation:](#) By studying Turing Machines, we can understand the limitations of real computers.

# Computer program analysis

```
public static void main(String args[]) {  
    System.out.println("Hello World!");  
}
```

What does this program do?

```
public static void main(String args[]) {  
    int i = 0;  
    for (j = 1; j < 10; j++) {  
        i += j;  
        if (i == 28) {  
            System.out.println("Hello World!");  
        }  
    }  
}
```

How about this one?

# Computers cannot analyze programs!



No Turing Machine can do this:

**input:** The code of a java program  $P$

Accept if  $P$  prints “hello, world”

Reject if not

**Significance:** It is impossible for computer to predict what a computer program will do!

# How do you argue things like that?



To argue what computers cannot do, we need to have a precise definition of what a computer is.

Turing's answer: *A computer is just a Turing Machine.*

1936: “On Computable Numbers, with an Application to the *Entscheidungsproblem*”

# Hilbert's list of 23 problems



David Hilbert  
(1862-1943)

- Leading mathematician in the 1900s
- At the 1900 International Congress of Mathematicians, proposed a list of 23 problems for the 20<sup>th</sup> century
- Hilbert's 10<sup>th</sup> problem:

Find a **method** to tell if an equation like  
 $xyz - 3xy + 6xz + 2 = 0$  has integer solutions

# Undecidability

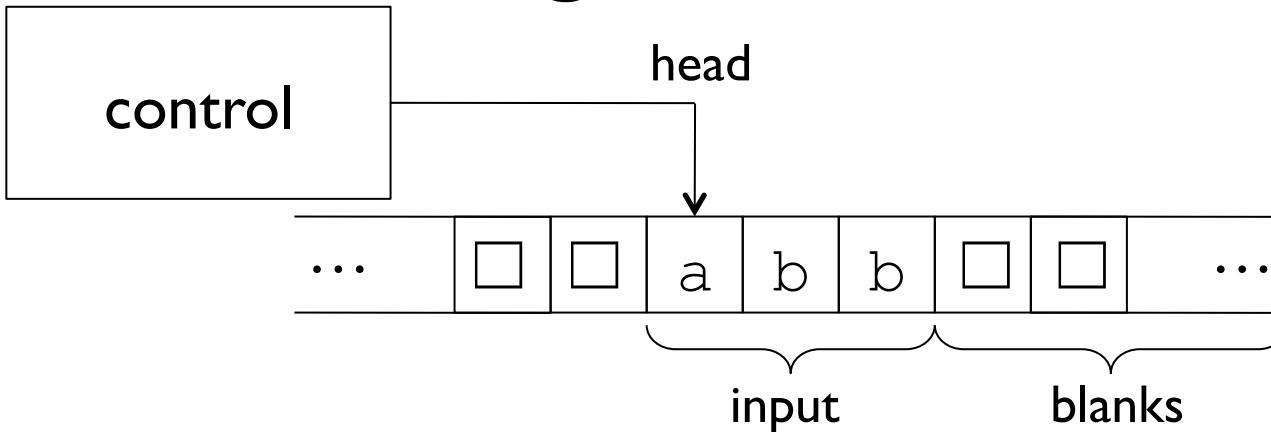
- What Hilbert meant to ask was:

Find a **Turing Machine** to tell if an equation like  
 $xyz - 3xy + 6xz + 2 = 0$  has integer solutions

- Building on work of Gödel, Church, Turing, Davis, Putnam, Robinson, in 1970 Matijasievič showed:

There is no such Turing Machine!

# Turing Machines



Can both **read** from and **write** to the tape

Head can move both **left** and **right**

Tape is infinite

Has two special states **accept** and **reject**

# Example

$$L_1 = \{w\#w : w \in \{a, b\}^*\}$$

Strategy:

Read and remember the first symbol

abbaa#a**bb**aa

Cross it off (x)

x**bb**aa#a**bb**aa

Read the first symbol past #

xbbaa#abbaa

If they don't match, reject

If they do, cross it off

xbbaa#xbbbaa

# Example

$$L_1 = \{w\#w : w \in \{a, b\}^*\}$$

Strategy:

Look for the first uncrossed symbol

xbbaa#x~~b~~baa

Cross it off (x)

xxbaa#x~~b~~baa

Read the first uncrossed symbol past #

xxbaa#xbaa

If they match, cross it off, else reject

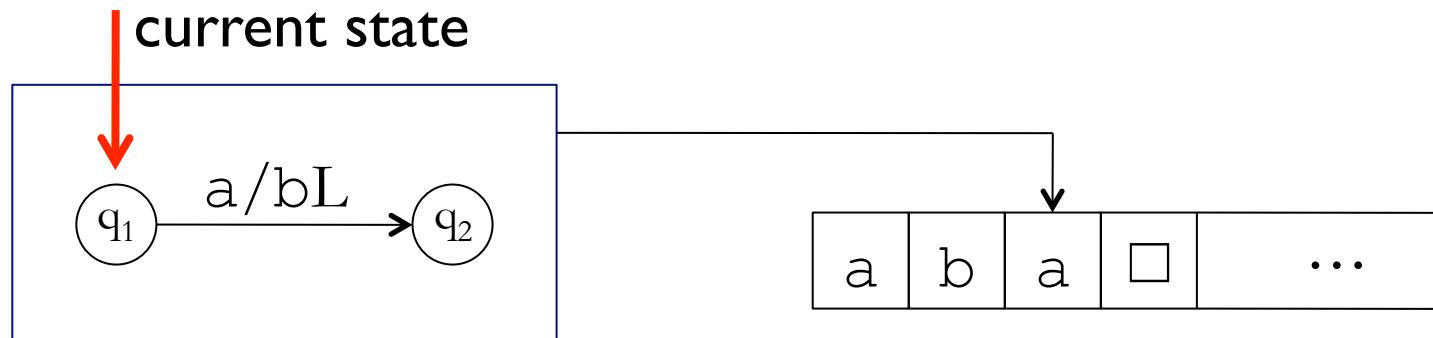
xxbaa#xxbaa

---

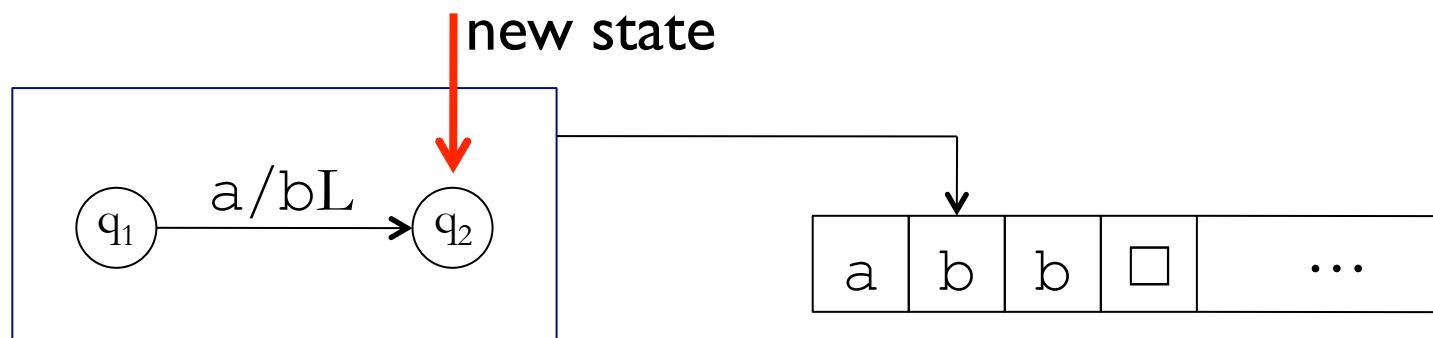
At the end, there should be only xs and #s    xxxx#xxxxx

If not, reject; otherwise, accept.

# How Turing Machines operate



Replace a with b, and move head left



# Formal Definition

A Turing Machine is  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{acc}}, q_{\text{rej}})$ :

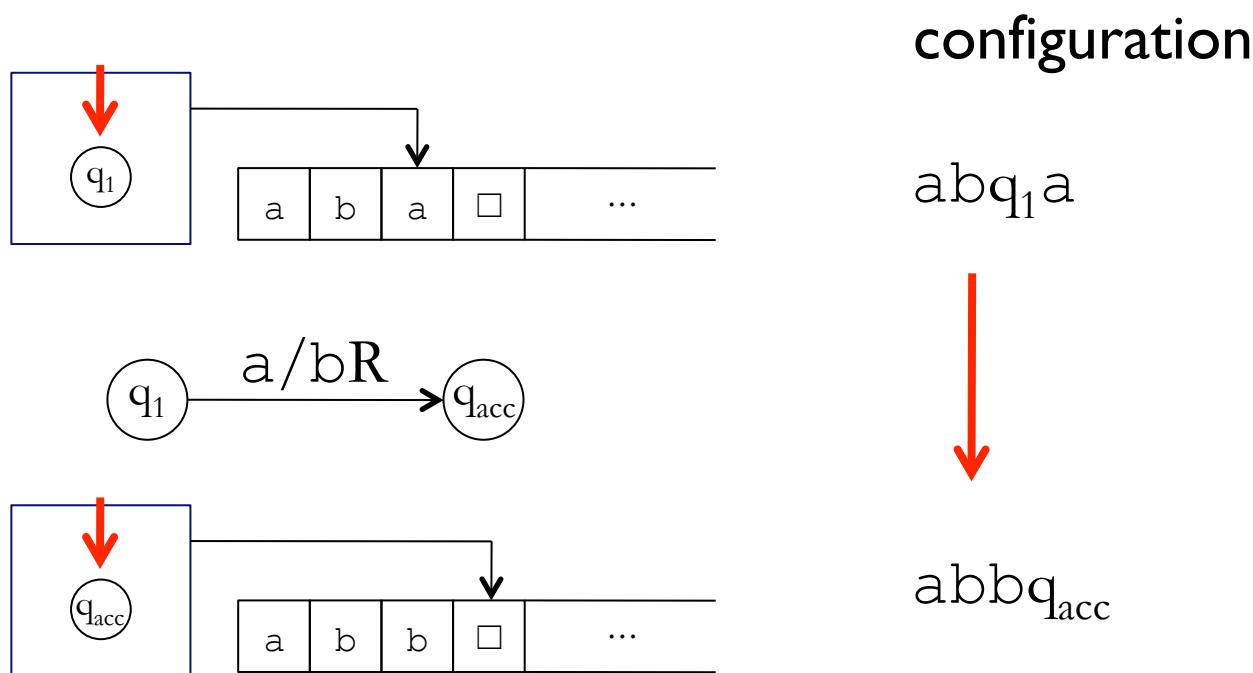
- $Q$  is a finite set of **states**;
- $\Sigma$  is the **input alphabet** not containing the **blank symbol**  $\square$
- $\Gamma$  is the **tape alphabet** ( $\Sigma \subseteq \Gamma$ ) including  $\square$
- $q_0$  in  $Q$  is the **start state**;
- $q_{\text{acc}}, q_{\text{rej}}$  in  $Q$  are the **accepting** and **rejecting state**
- $\delta$  is the **transition function**

$$\delta: (Q - \{q_{\text{acc}}, q_{\text{rej}}\}) \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

Turing Machines are deterministic

# Configurations

- A **configuration** consists of the current state, the head position, and tape contents



# Configurations

- We say configuration  $C$  **yields**  $C'$  if the TM can go from  $C$  to  $C'$  in one step

abq<sub>1</sub>a    **yields**    abbq<sub>acc</sub>

- The **start configuration** of the TM on input  $w$  is  $q_0 w$
- An **accepting configuration** is one that contains  $q_{acc}$ ;  
A **rejecting configuration** is one that contains  $q_{rej}$

# The language of a Turing Machine

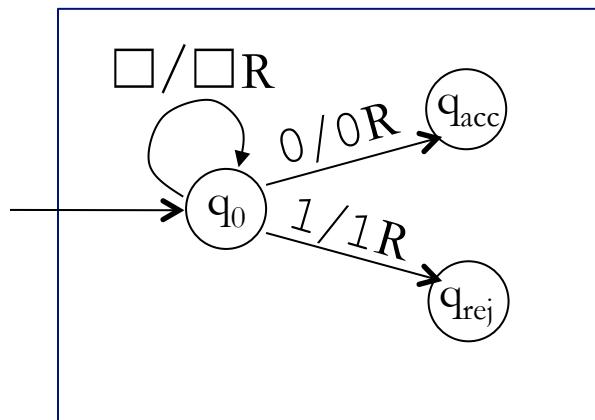
- We say  $M$  accepts  $x$  if there exists a sequence of configurations  $C_0, C_1, \dots, C_k$  where

$C_0$  is starting       $C_i$  yields  $C_{i+1}$        $C_k$  is accepting

The language recognized by  $M$  is the set of all inputs that  $M$  accepts

# Looping

- Something strange can happen in a Turing Machine:

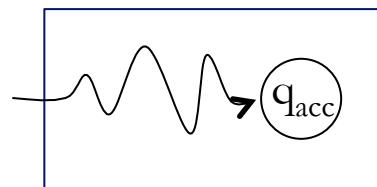


$$\Sigma = \{0, 1\}$$

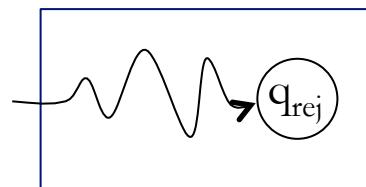
input:  $\epsilon$

This machine  
never halts

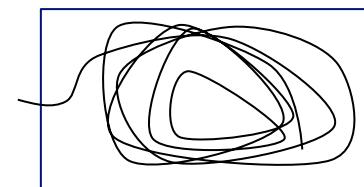
- Inputs can be divided into three types



accept



reject



loop

# Halting

- We say  $M$  halts on  $x$  if there exists a sequence of configurations  $C_0, C_1, \dots, C_k$  where

$C_0$  is starting

$C_i$  yields  $C_{i+1}$

$C_k$  is accepting  
or rejecting

A TM  $M$  is total if it halts on every input.

Language  $L$  is recursive (decidable) if it is recognised by a total TM.

# Programming Turing Machines

Description of Turing Machine:

$$L_1 = \{w\#w : w \in \{a, b\}^*\}$$

1 Until you reach #

2 Read and remember entry

3 Write x

4 Move right past # and past all xs

xbbaa#x~~b~~baa

xxbaa#x~~b~~baa

x~~x~~baa#xbaa

5 If this entry is different, reject

Otherwise

6 Write x

x~~x~~baa#x~~x~~baa

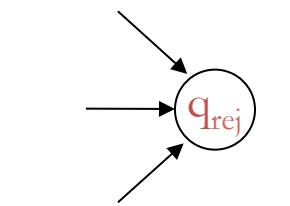
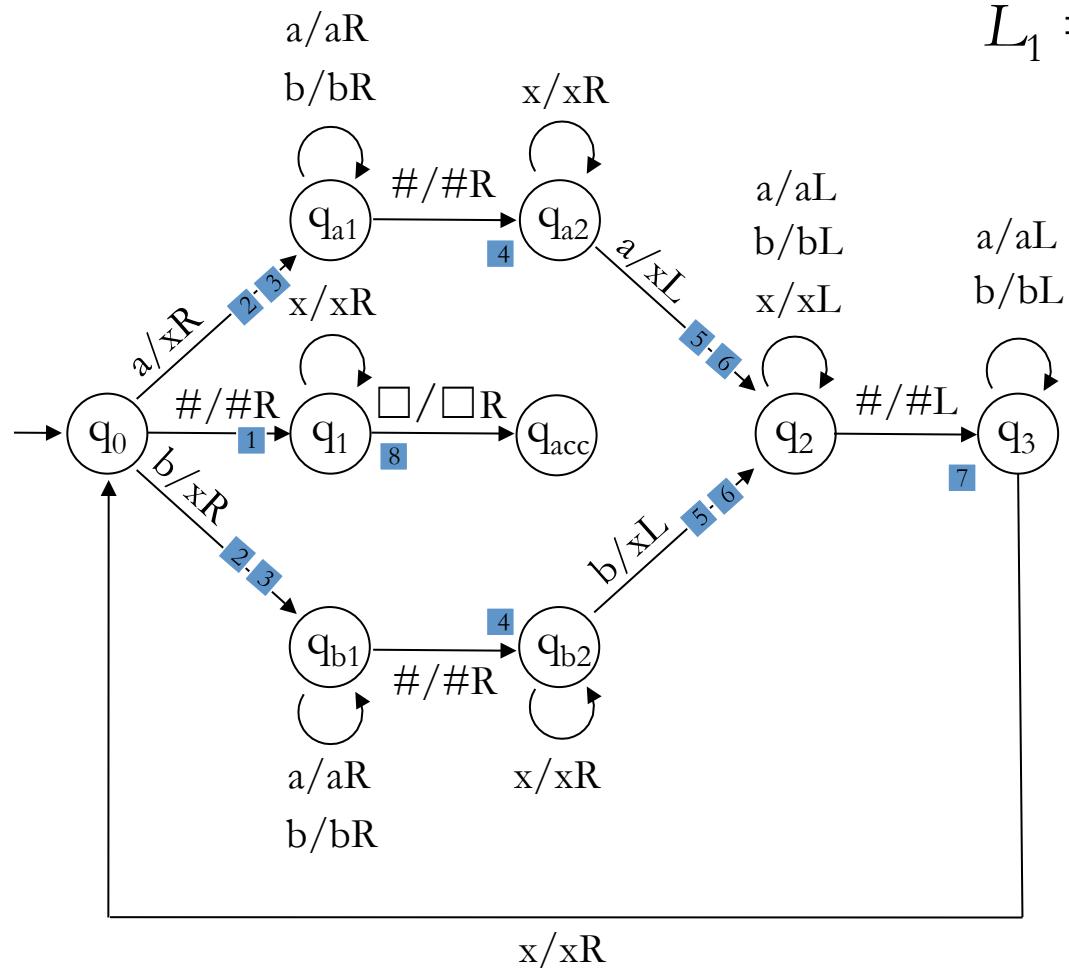
7 Move left past # and to right of first x

x~~x~~baa#xbaa

8 If you see only xs followed by □, accept

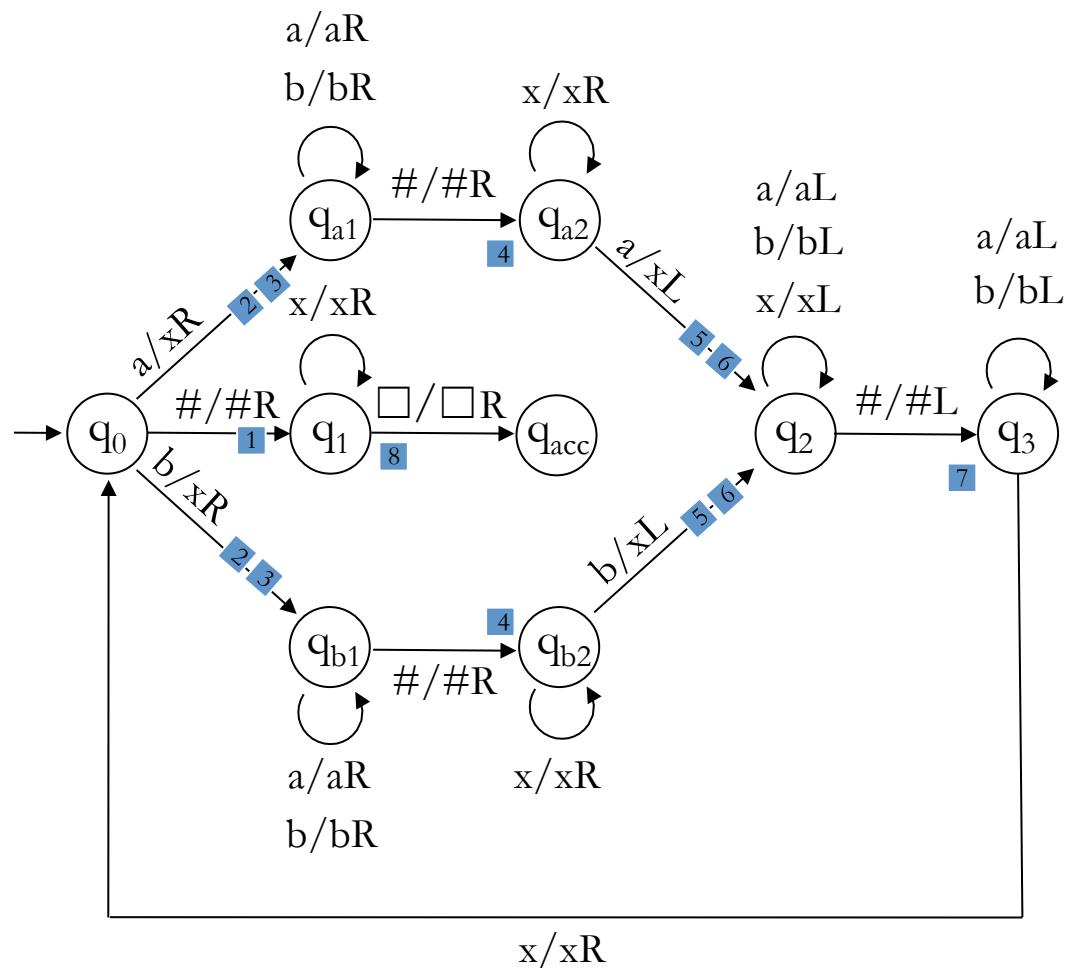
# Programming Turing Machines

$$L_1 = \{w\#w : w \in \{a, b\}^*\}$$



everything else

# Programming Turing Machines



**input:** aab#aab

**configurations:**

$q_0 aab\#aab$

$xq_{a1} ab\#aab$

$xaq_{a1} b\#aab$

$xabq_{a1}\#aab$

$xab\#q_{a2}aab$

$xabq_2\#xab$

$xaq_3 b\#xab$

$xq_3 ab\#xab$

$q_3 xab\#xab$

$xq_0 ab\#xab$

# Programming Turing Machines

$$L_2 = \{a^i b^j c^k : i \cdot j = k \text{ and } i, j, k > 0\}$$

High-level description of TM:

For every a:

Cross off the **same number** of bs and cs

Uncross the crossed bs (but not the cs)

Cross off this a

If all as and cs are crossed off, accept.

$$\Sigma = \{a, b, c\} \quad \Gamma = \{a, b, c, \underline{a}, \underline{b}, \underline{c}, \square\}$$

aabbcccc

**a**abbcccc

**a**ab**b**~~c~~**c**c

**a**ab**b**~~c~~**c**c

**a**ab**b**~~c~~**c**c

**a****a**bb~~c~~**c**c

**a****a**bbcccc

**a****a**bbcccc

# Programming Turing Machines

$$L_2 = \{ a^i b^j c^k : i \cdot j = k \text{ and } i, j, k > 0 \}$$

Low-level description of TM:

Scan input from left to right to check it looks like  $aa^*bb^*cc^*$

Move the head to the first symbol of the tape

For every a: how do we know?

Cross off the **same number** of bs and cs ← how to do this?

Restore the crossed bs (but not the cs)

Cross off this a

If all as and cs are crossed off, accept.

# Programming Turing Machines

Implementation details:

aabbccccc

the head to the first symbol of the tape

Put a **special marker** on top of first a

.aabbccccc

Cross off the same number of bs and cs:

.aa~~q~~bccccc

Replace b by ~~b~~

.a~~a~~~~b~~~~q~~bccccc

Move right until you see a c

.a~~a~~~~b~~~~b~~~~q~~cccc

Replace c by  $\epsilon$

.a~~a~~~~b~~~~b~~~~q~~ $\epsilon$ ccc

Move left just past the last ~~b~~

.a~~a~~~~b~~~~b~~~~q~~ $\epsilon$ ccc

If any bs are left, repeat

.a~~a~~~~b~~~~b~~~~q~~ $\epsilon$ ccc

.a~~a~~~~b~~~~b~~~~q~~ $\epsilon$ ccc

$$\Sigma = \{a, b, c\} \quad \Gamma = \{a, b, c, \alpha, \beta, \epsilon, \dot{a}, \dot{\alpha}, \square\}$$

# Programming Turing Machines

$$L_3 = \{\#x_1\#x_2\dots\#x_j : x_i \in \{0, 1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

#01#0011#1

High-level description of TM:

On input  $w$ ,

For every pair of blocks  $x_i$  and  $x_j$  in  $w$ ,

Compare the blocks  $x_i$  and  $x_j$

If they are the same, reject.

Otherwise, accept.

# Programming Turing Machines

$$L_3 = \{\#x_1\#x_2\dots\#x_j : x_i \in \{0, 1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

Low-level description: #01#0011#1

0. If input is  $\epsilon$ , or has exactly one  $\#$ , accept.

I. Place a mark on the leftmost  $\#$  #01#0011#1  
(i.e. replace  $\#$  by  $\dot{\#}$ ) and move right

2. Place another mark on next unmarked  $\#$  #01 $\dot{\#}$ 0011#1  
(If there are no more  $\#$ , accept)

# Programming Turing Machines

$$L_3 = \{\#x_1\#x_2\dots\#x_j : x_i \in \{0, 1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

current state:

#01#0011#1

3. Compare the two strings to the right of marked  $\dot{\#}$ . If there are same, reject  
#01#0011#1  
 $\diamond \leftrightarrow$
4. Move the right  $\dot{\#}$  to the right  
If not possible, move the left  $\dot{\#}$  to the next  $\#$  and put the right  $\dot{\#}$  on the next  
If not possible, accept  
#01#0011#1
5. Repeat Step 3  
#01#0011#1  
 $\diamond \leftrightarrow$

# Programming Turing Machines

$$L_3 = \{\#x_1\#x_2\dots\#x_l : x_i \in \{0, 1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j\}$$

3. Compare the two strings to the right of marked  $\dot{\#}$ . If there are same, reject  $\dot{\#}01\#0011\dot{\#}1$
4. Move the right  $\dot{\#}$  to the right  
If not possible, move the left  $\dot{\#}$  to the next  $\#$  and put the right  $\dot{\#}$  on the next  
If not possible, accept  $\#01\dot{\#}0011\dot{\#}1$  **accept**

# How to describe Turing Machines?

- Unlike for DFAs, NFAs, PDAs, Turing Machines are rarely given as complete state diagrams
- Instead usually a **high-level description** is given:  
A recipe about the workings of the Turing Machine
- However, in the exam and class test state diagrams will be used

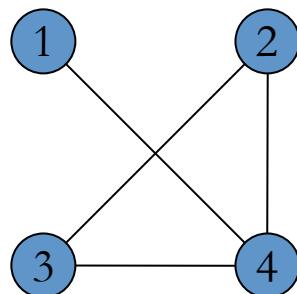
Practice makes perfect!

# Programming Turing Machines

$L_4 = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$

Q: How to feed a graph into a Turing Machine?

A: Represent it by a string, e.g.



$(1, 2, 3, 4) ((1, 4), (2, 3), (3, 4) (4, 2))$

Convention for describing graphs:

(nodes) (edges)

**no node must repeat**

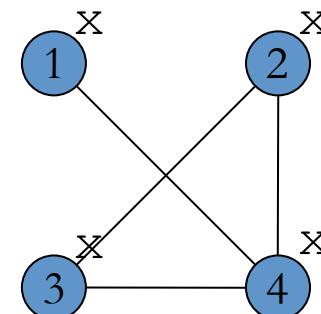
edges **are pairs** ( $\text{node}_1, \text{node}_2$ )

# Programming Turing Machines

$$L_4 = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$$

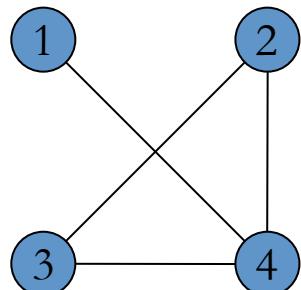
On input  $\langle G \rangle$ ,

0. Verify that  $\langle G \rangle$  is the description of a graph  
(no vertex repeats; edges only go between nodes)
- I. Mark the first node of  $G$
2. Repeat until no new nodes are marked:  
For each node, mark it if it is attached  
to an already marked node
3. If all nodes are marked accept, otherwise reject.



# Programming Turing Machines

$L_4 = \{\langle G \rangle : G \text{ is a connected undirected graph}\}$



$(\dot{1}, 2, 3, 4) ((1, 4) (2, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((\underline{1}, 4) (2, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, \underline{4}) (2, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, 4) (\dot{2}, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((\underline{1}, 4) (2, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, \underline{4}) (2, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, 4) (\underline{2}, 3) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, 4) (2, \underline{3}) (3, 4) (4, 2))$

$(\dot{1}, 2, 3, 4) ((1, 4) (2, 3) (\underline{3}, 4) (4, 2))$

$(\dot{1}, 2, \dot{3}, 4) ((1, 4) (2, 3) (3, 4) (4, 2))$  etc.

# Variants of Turing Machines

# The Church-Turing Thesis

*Every effectively calculable function is a computable function.*

”effectively calculable” = ”produced by any intuitively  
‘effective’ means whatsoever”

”effectively computable” = ”produced by a Turing-machine  
or equivalent mechanical device”

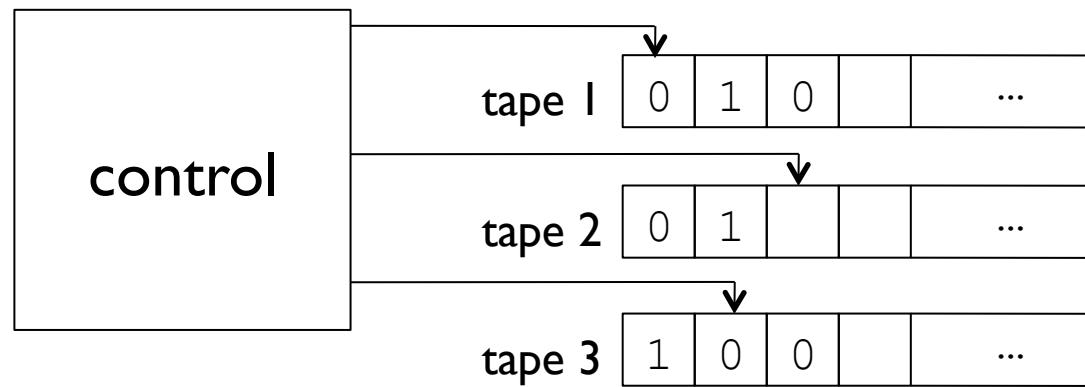
Gödel [1931]: Recursive Functions

Church [1933]:  $\lambda$  -Calculus

Post [1936]: Post model

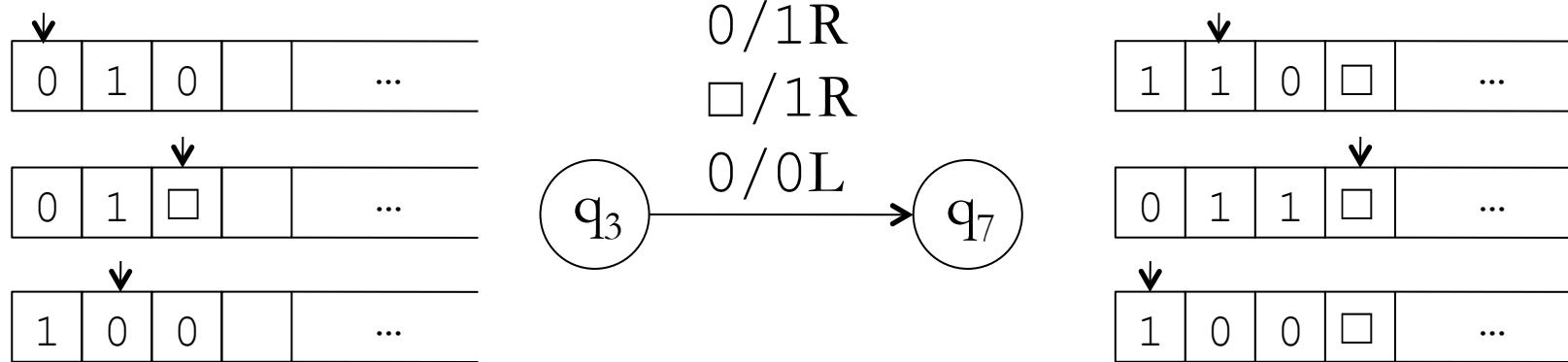
Turing [1936]: a-Machines (Turing Machines)

# The multitape Turing Machine



- The transition may depend on the contents of all the cells
- Different tape heads can be moved independently

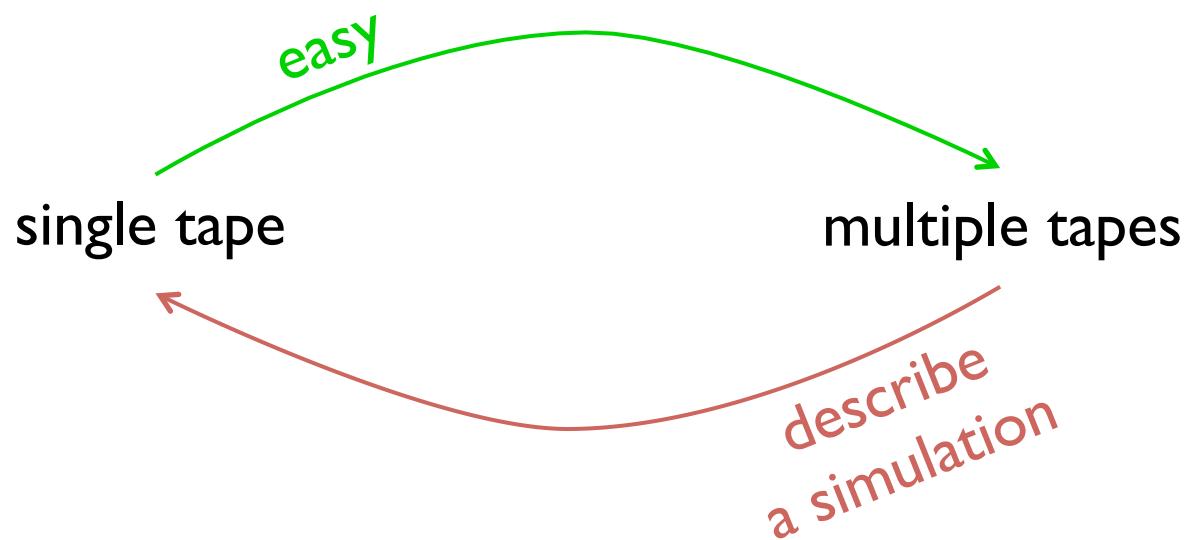
# The multitape Turing Machine



- Multiple tapes are convenient,  
e.g. one can serve as temporary storage

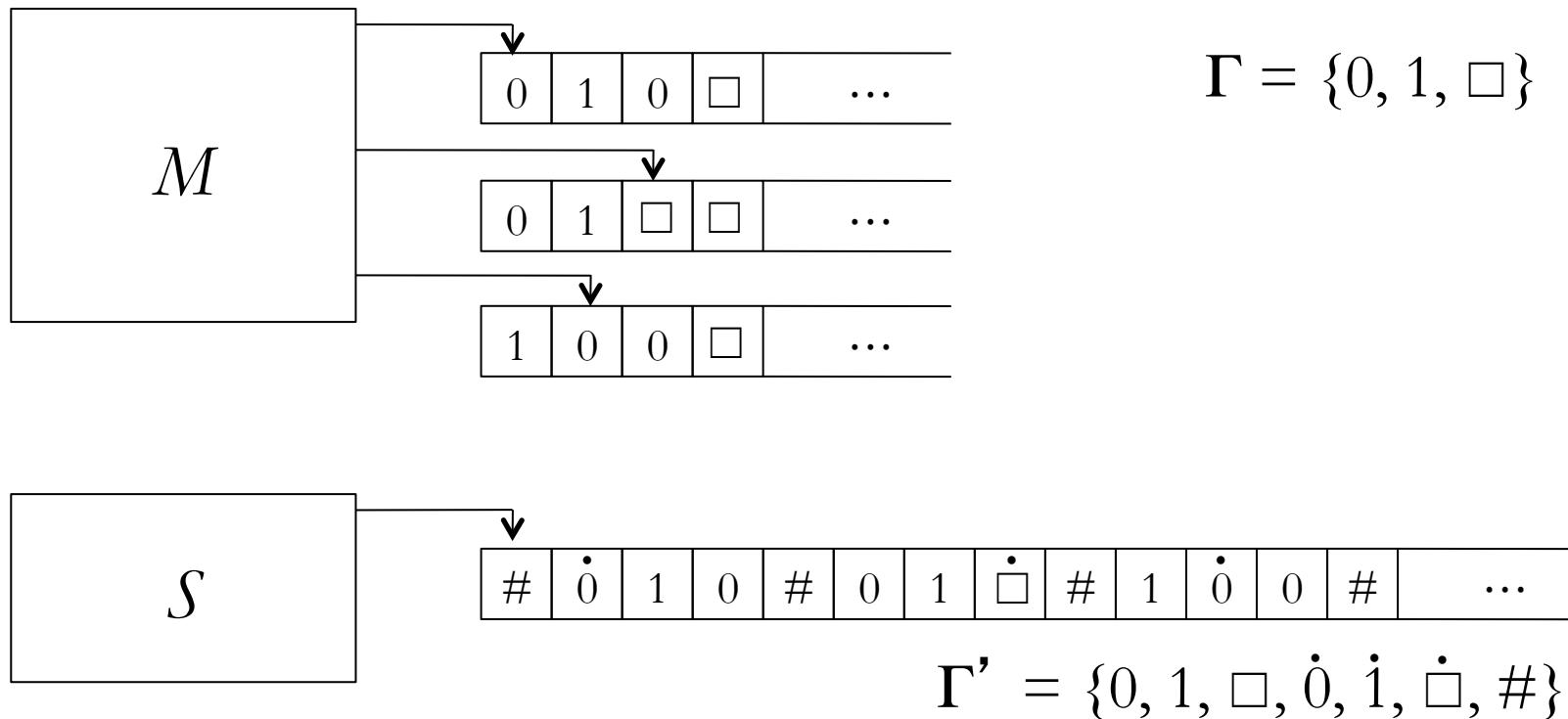
# How to argue equivalence

Multitape Turing Machines are **equivalent** to  
single-tape Turing Machines



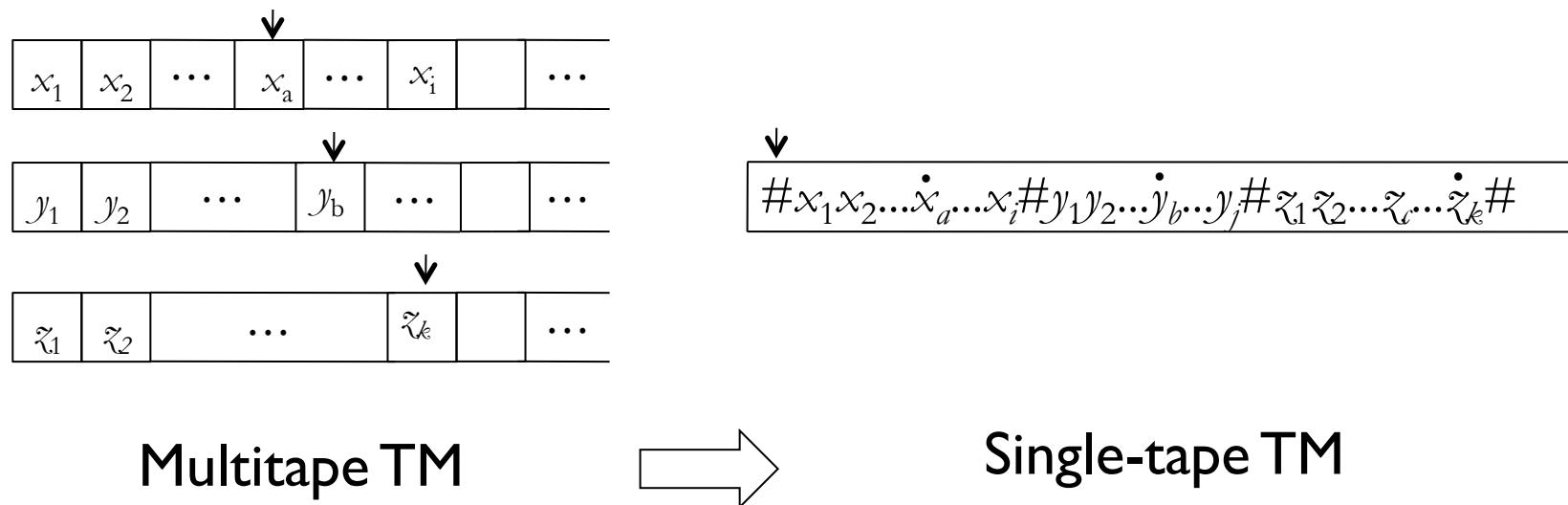
# The multitape Turing Machine

Multitape Turing Machines are **equivalent** to  
single-tape Turing Machines



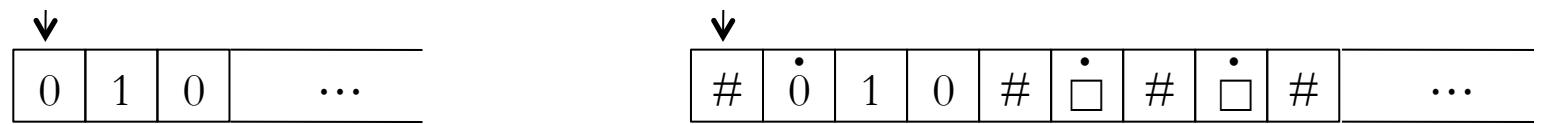
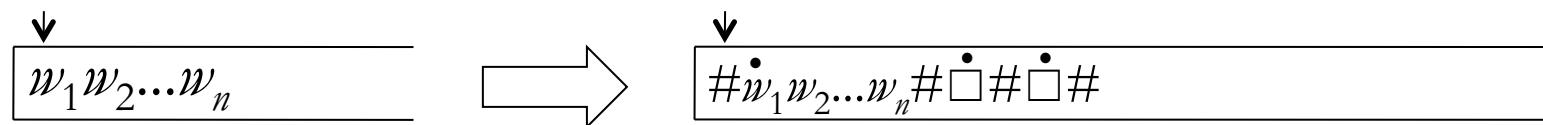
# Simulating a multitape TM

- We show how to **simulate** a multitape TM on a single tape TM
- To be specific, let's do a 3-tape TM



# Simulating a multitape TM

## Single-tape TM: Initialisation



S: On input  $w_1 \dots w_n$ :

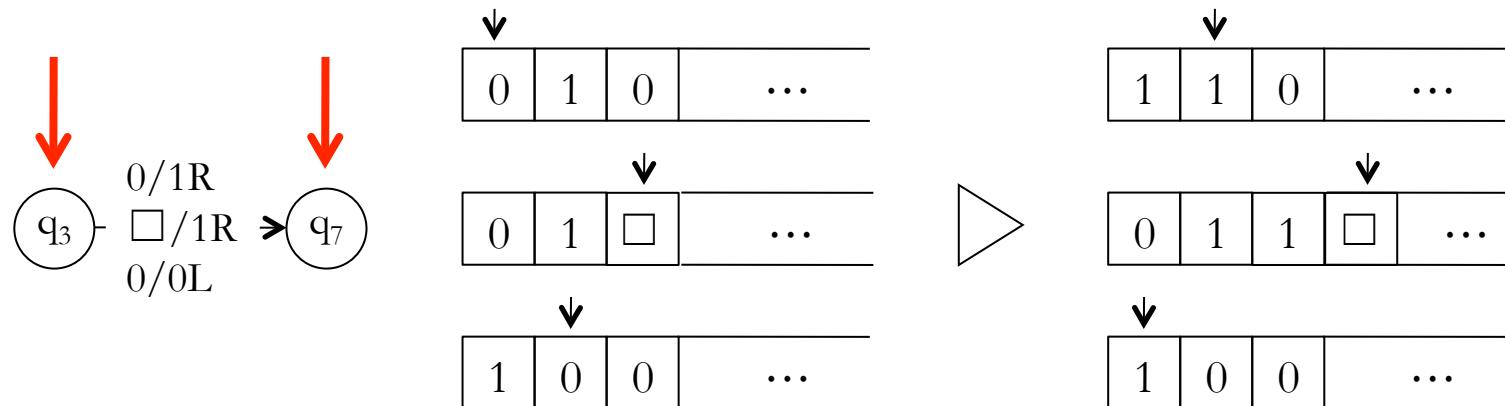
1. Replace tape contents by  $\# \bullet w_1 w_2 \dots w_n \# \square \# \square \#$

Remember that  $M$  is in state  $q_0$

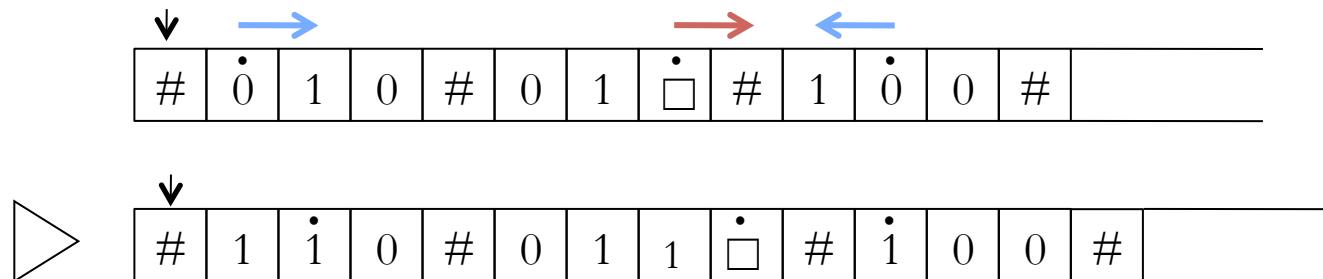
# Simulating a multitape TM

## Single-tape TM: Simulating Multitape TM moves

Suppose Multitape TM wants to move like this:



We simulate move on single-tape TM like this:



# Simulating a multitape TM

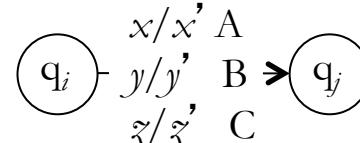
$S$ : On input  $w_1 \dots w_n$ :

1. Replace tape contents by  $\# \dot{w}_1 w_2 \dots w_n \# \dot{\square} \# \dot{\square} \# \dot{\square} \#$   
Remember (in state) that  $M$  is in state  $q_0$
2. To simulate a step of  $M$ :

Make a pass over tape to find  $\dot{x}, \dot{y}, \dot{z}$



$\# x_1 x_2 \dots \dot{x} \dots x_i \# y_1 y_2 \dots \dot{y} \dots y_j \# z_1 z_2 \dots \dot{z} \dots z_k \#$

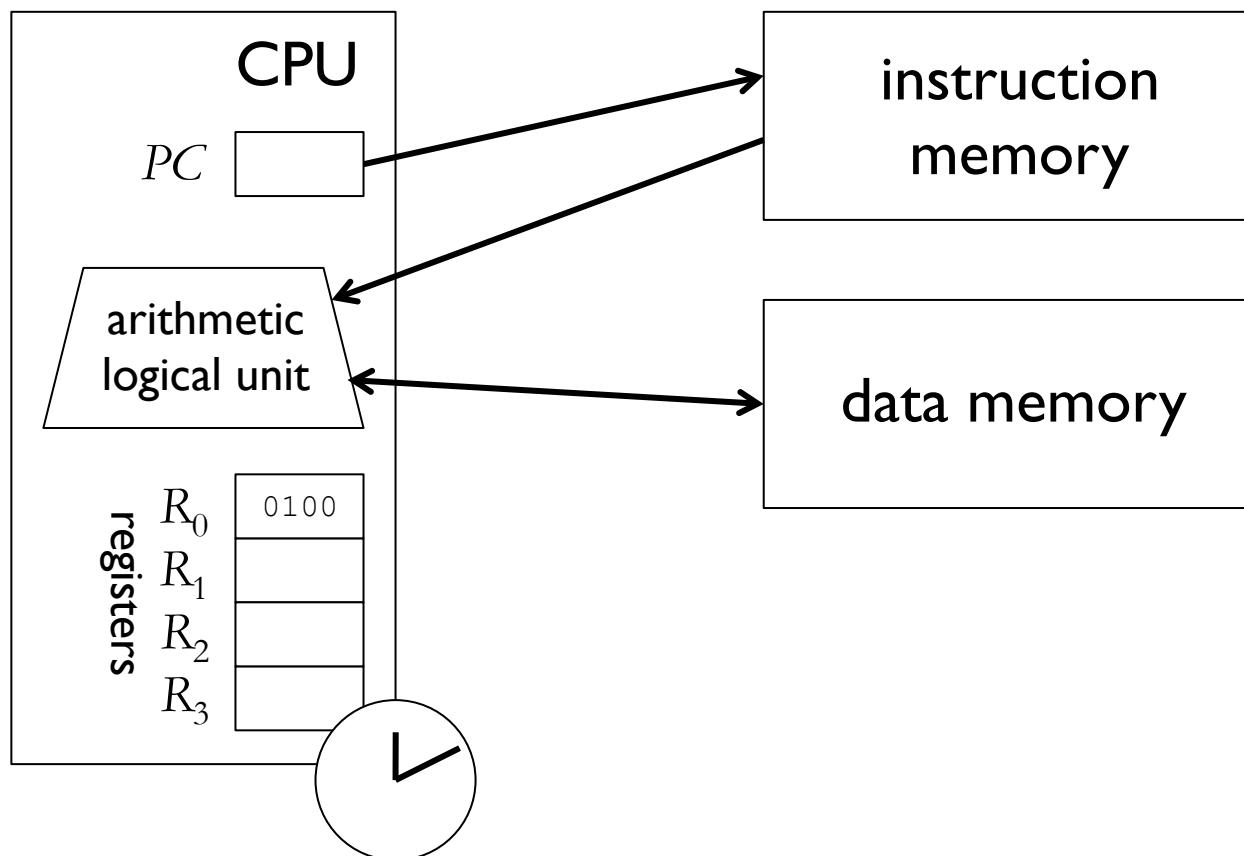
If  $M$  is in state  $q_i$  and has transition  update state / tape accordingly.

3. If  $M$  reaches accept (reject) state, accept (reject).

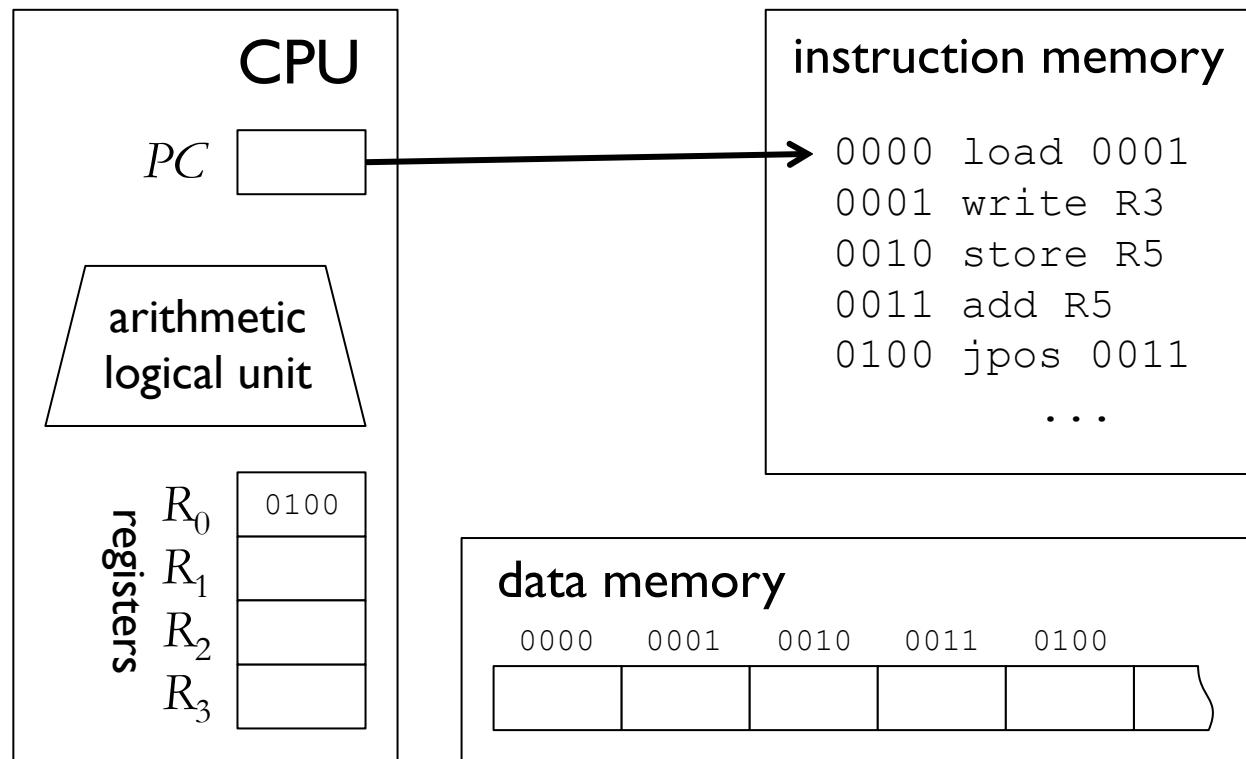
# Doing simulations

- To **simulate** a model  $M$  by another model  $N$ :
  1. Say how the state and storage of  $N$  is used to represent the state and storage of  $M$
  2. Say what should be initially done to convert the input of  $N$  (if anything)
  3. Say how each transition of  $M$  can be implemented by a sequence of transitions of  $N$

# What does a computer look like?



# What does a computer look like?



# Instruction set

---

load $x$	<b>Put the value <math>x</math> into <math>R_0</math></b>
load $R_k$	<b>Copy the value of <math>R_k</math> into <math>R_0</math></b>
store $R_k$	<b>Copy the value of <math>R_0</math> into <math>R_k</math></b>
read $R_k$	<b>Copy the value at memory location <math>R_k</math> into <math>R_0</math></b>
write $R_k$	<b>Copy the value of <math>R_0</math> into memory location <math>R_k</math></b>
add $R_k$	<b>Add <math>R_0</math> and <math>R_k</math>, and put result in <math>R_0</math></b>
jump $n$	<b>Set <math>PC</math> to <math>n</math></b>
jzero $n$	<b>Set <math>PC</math> to <math>n</math>, if <math>R_0</math> is zero</b>
jpos $n$	<b>Set <math>PC</math> to <math>n</math>, if <math>R_0</math> is positive</b>

---

# Random access machines

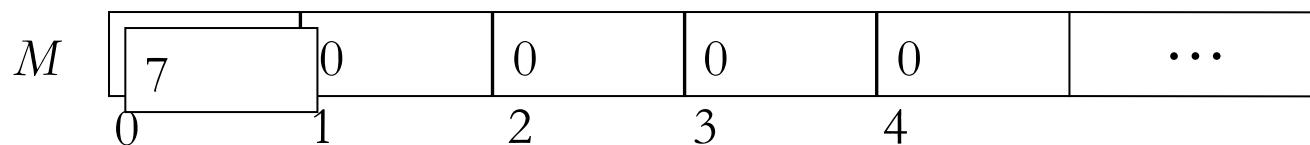
$PC$	0	program counter	instruction	meaning
$R_0$	0	registers	0 load 7	$R_0 := 7$
$R_1$	0		1 write R2	$M[R_2] := R_0$
$R_2$	0		2 store R1	$R_1 := R_0$
			3 add R1	$R_0 := R_0 + R_5$
			4 jzero 3	if $R_0 = 0$ then $PC := 3$
			5 accept	

$M$	2	1	2	2	0	...	memory
	0	1	2	3	4		

It has **registers** that can store integer values, a **program counter**, and a **random-access memory**

# Random access machines

	instruction	meaning
$PC$	► 0 load 7	$R_0 := 7$
$R_0$	► 1 write R2	$M[R_2] := R_0$
$R_1$	► 2 store R1	$R_1 := R_0$
$R_2$	► 3 add R1	$R_0 := R_0 + R_1$
	► 4 jzero 3	if $R_0 = 0$ then $PC := 3$
	► 5 accept	

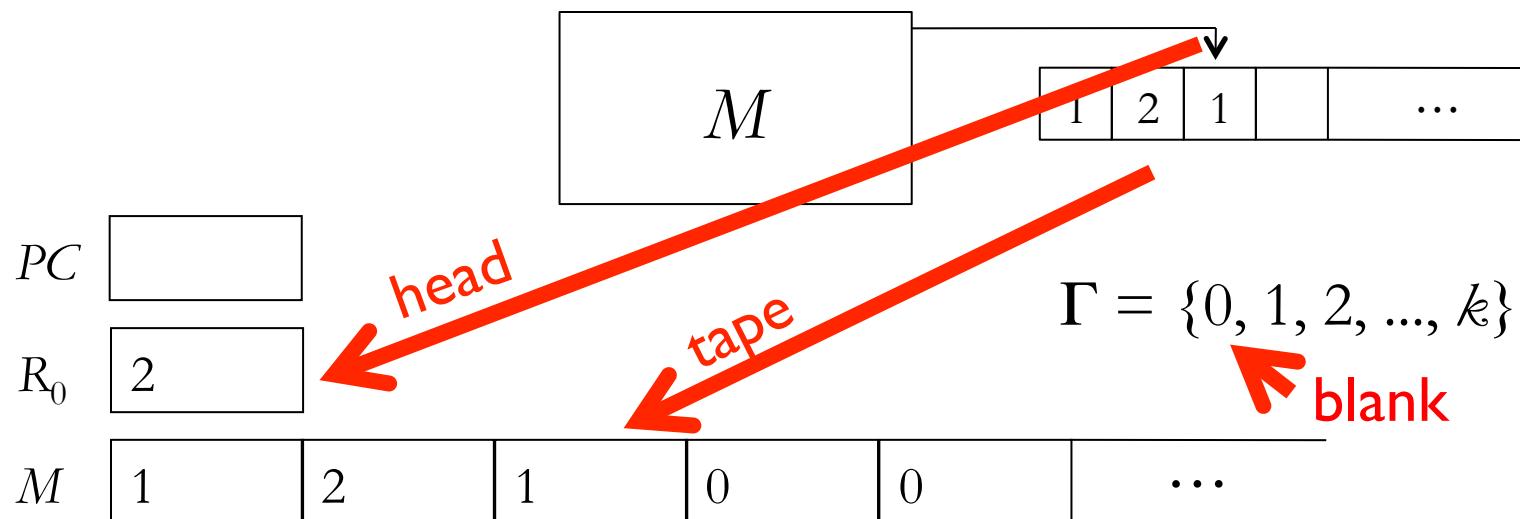


- The instructions are indexed by the program counter
- Initially, the input is in the first  $k$  memory cells, all registers and PC are set to 0

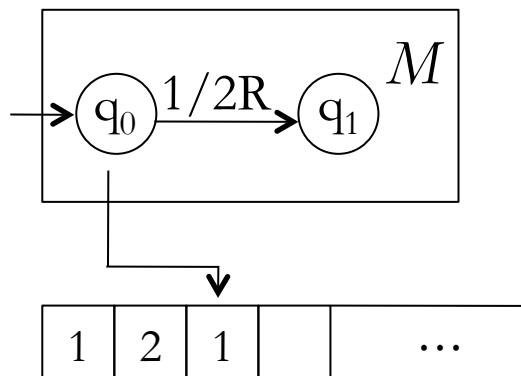
# Random access machines

Random access machines are equivalent to Turing Machines

- Simulating a Turing Machine on a RAM:



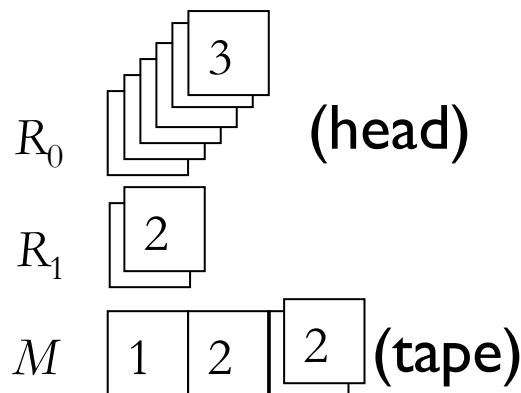
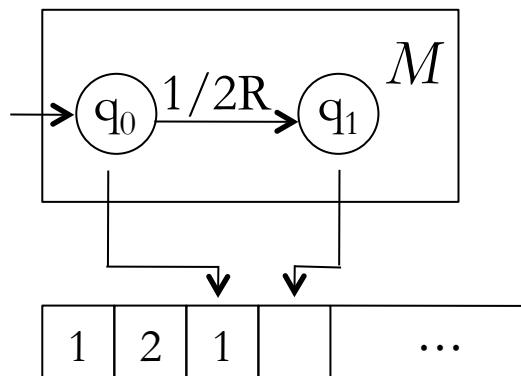
# Simulating a TM on a RAM



$PC$	<table border="1" style="display: inline-table;"><tr><td>0</td></tr></table>	0		
0				
$R_0$	<table border="1" style="display: inline-table;"><tr><td>0</td></tr></table>	0		
0				
$R_1$	<table border="1" style="display: inline-table;"><tr><td>0</td></tr></table>	0		
0				
$M$	<table border="1" style="display: inline-table;"><tr><td>1</td><td>2</td><td>1</td></tr></table>	1	2	1
1	2	1		

program	
0 store R1	save head position
1 read R1	read tape contents $x$
2 add -1	
3 jzero 6	if $x = 1$ goto line 6
6 load 2	new value of cell
7 write R1	write in memory
8 load R1	recall head position
9 add 1	move head to right
10 jump 30	go to state $q_1$
...	
30 store R1	handle for state $q_1$
...	
200 accept	handle for state $q_{\text{acc}}$

# Simulating a TM on a RAM



## program

- 
- ▶ 0 store R1 save head position
  - ▶ 1 read R1 read tape contents  $x$
  - ▶ 2 add -1
  - ▶ 3 jzero 6 if  $x = 1$  goto line 6
  
  - ▶ 6 load 2 new value of cell
  - ▶ 7 write R1 write in memory
  - ▶ 8 load R1 recall head position
  - ▶ 9 add 1 move head to right
  - ▶ 10 jump 30 go to state  $q_1$
  - ...  
...  
...  
...
  - ▶ 30 store R1 handle for state  $q_1$
-

# Simulating a RAM on a Turing Machine

- The configuration of a RAM consists of
  - Program counter
  - Contents of registers
  - Indices and contents of all nonempty memory cells

$PC$  14

$R_0$  3

$R_1$  17

$R_2$  5

**configuration =**

$(14, 3, 17, 5, (0, 2), (2, 1), (3, 2))$

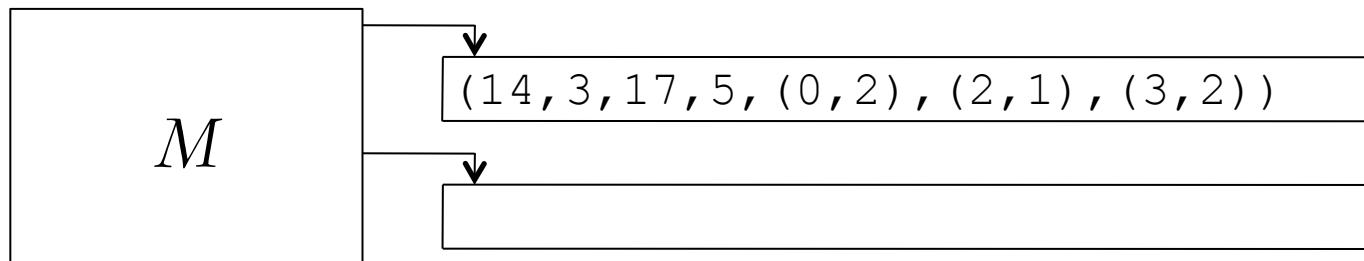
$M$

0	1	2	3	4	
---	---	---	---	---	--

$0 \quad 1 \quad 2 \quad 3 \quad 4 \quad \dots$

# Simulating a RAM on a 2-tape TM

- The TM has a **simulation tape** and a **scratch tape**
- The simulation tape stores RAM configuration

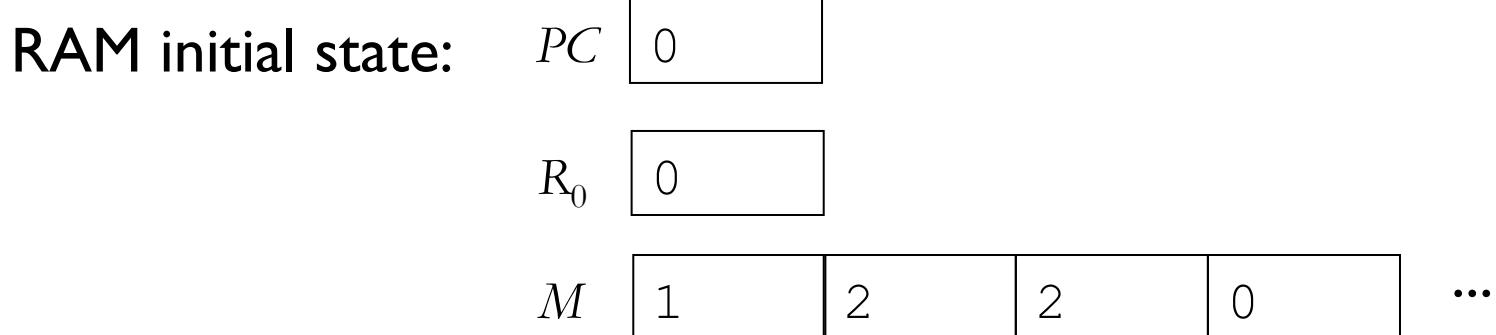


- The TM has a set of states corresponding to each program instruction of the RAM
- The TM tape is updated according to RAM instruction

# Simulating a RAM on a 2-tape TM

## Initialization

TM input: 122



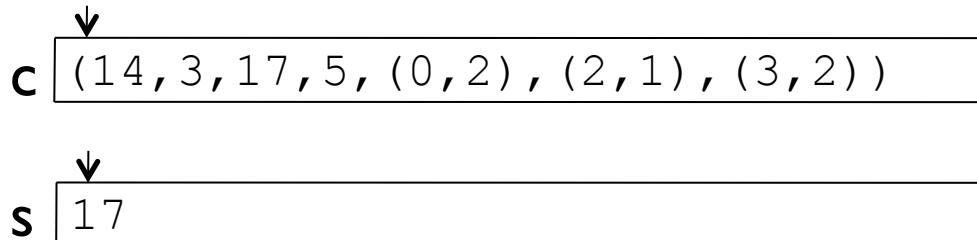
$S$ : On input  $w_1 \dots w_n$ :

1. Replace tape contents by  
 $(0, 0, 0, \dots, 0, (0, w_1), (1, w_2), \dots, (n-1, w_n))$

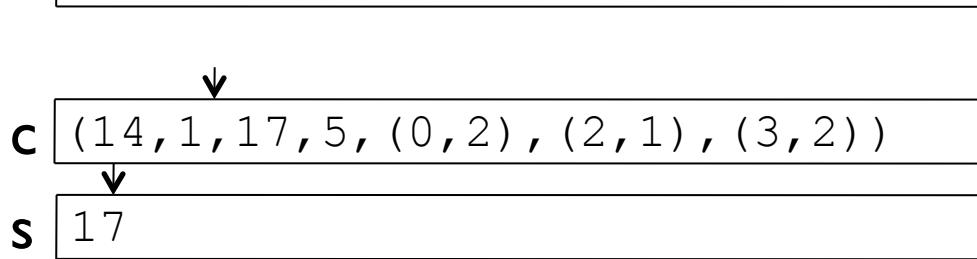
# Simulating a RAM on a 2-tape TM

- Example: load R1 c (14, 3, 17, 5, (0, 2), (2, 1), (3, 2))

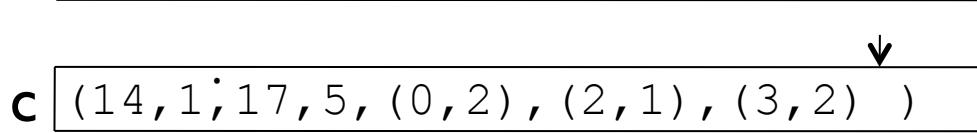
I. Copy  $R_1$  to scratch tape



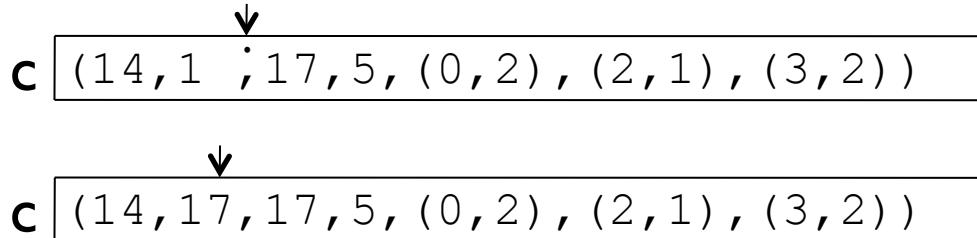
2. Write  $R_1$  to conf tape



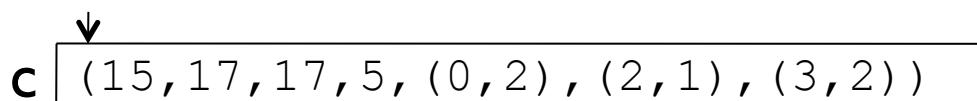
Make more space  
as needed



3. Erase scratch tape



4. Update PC



# Simulating a RAM on a 2-tape TM

*S:* On input  $w_1 \dots w_n$ :

1. Replace tape contents by  
 $(0, 0, 0, \dots, 0, (0, w_1), (1, w_2), \dots, (n-1, w_n))$
2. Simulate instruction in RAM program  
by a sequence of TM transitions
3. If RAM instruction is accept, go to accept state.  
If RAM instruction is reject, go to reject state.

# Running time

- The **running time** of TM  $M$  is the function  $t_M(n)$ :

$t_M(n) = \text{maximum number of steps that } M \text{ takes on any input of length } n$

$$L = \{w\#w : w \in \{a, b\}^*\}$$

$M$ : On input $x$ , until you reach #	$O(n)$ times
Read and cross off first a or b before #	
Read and cross off first a or b after #	$O(n)$ steps
If there is a mismatch, reject	
If all symbols but # are crossed off, accept	$O(n)$ steps
running time:	$O(n^2)$

# Measuring running time

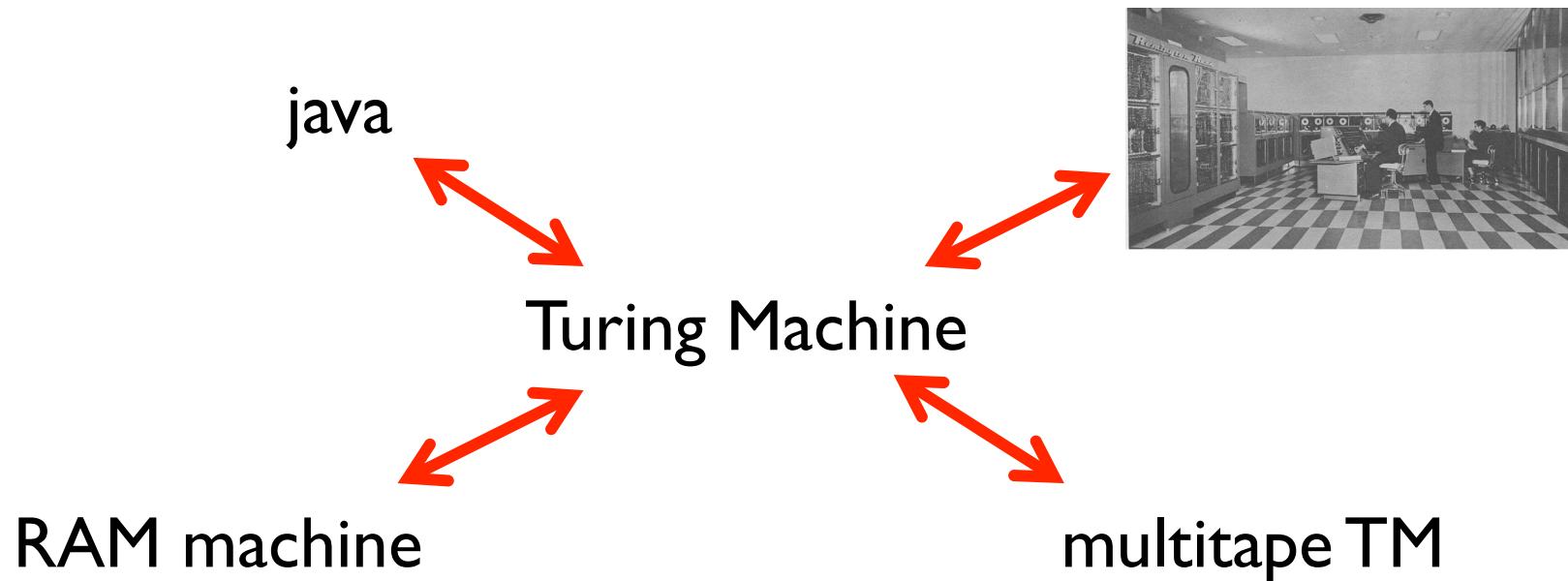
- What does it mean when we say:  
“This algorithm runs in time  $T$ ”
- One “time unit” in
  - java
  - RAM machine
  - Turing Machine

if (x > 0)	write r3;	$\delta(q_3, a) = (q_7, b, R)$
y = 5*y + x;		

all mean different things!

# Efficiency and the Church-Turing thesis

- The Church-Turing thesis says all these are equivalent in computing power...



... but **not** in running time!

# The Cobham-Edmonds thesis

- It states that a computational problem can be **feasibly solved** on a computational device only if they can be computed in **polynomial time**, i.e., in time  $O(n^c)$  where  $n$  is the size of the input and  $c$  is some constant.
- This differ between machines considered, e.g., **quantum machines** are able to **factorise** any number in polynomial time, but no algorithm for a conventional computer is known.

# Millenium prize problems

- Recall that in 1900, Hilbert gave 23 problems that guided mathematics in the 20<sup>th</sup> century
- In 2000, the Clay Mathematical Institute gave 7 problems for the 21<sup>st</sup> century

1 P versus NP ← computer science

2 The Hodge conjecture

~~3 The Poincaré conjecture~~ Perelman 2006

4 The Riemann hypothesis ← Hilbert's 8<sup>th</sup> problem

5 Yang–Mills existence and mass gap

6 Navier–Stokes existence and smoothness

7 The Birch and Swinnerton-Dyer conjecture



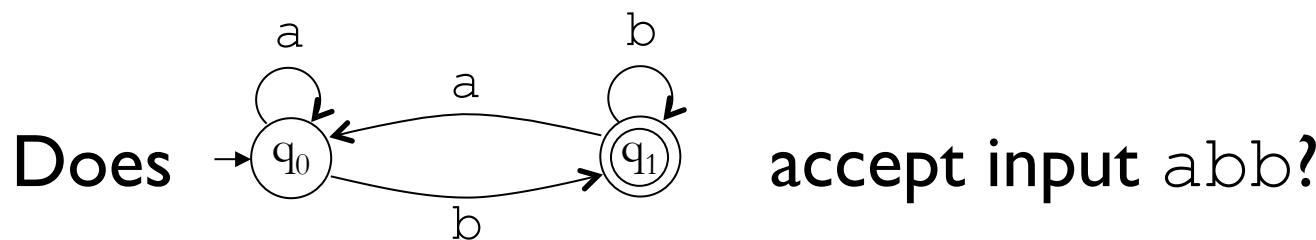
# P vs NP

- P is the class of languages that can be decided on a TM with **polynomial** running time in the input length.
- NP is the class of languages that can be decided on a **nondeterministic** TM with **polynomial** running time in the input length.

Is P = NP ? (open since Cook (1971))

# Decidable and undecidable languages

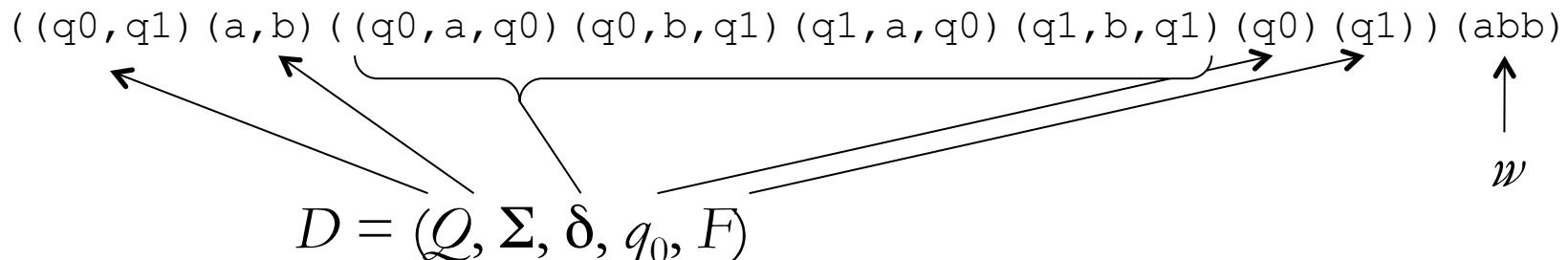
# Problems about automata



- We can formulate this question as a language:

$$\mathcal{A}_{\text{DFA}} = \{\langle D, w \rangle : D \text{ is a DFA that accepts input } w\}$$

Is  $\mathcal{A}_{\text{DFA}}$  decidable?



# Problems about automata

$A_{\text{DFA}} = \{\langle D, w \rangle : D \text{ is a DFA that accepts input } w\}$

pseudocode:

On input  $\langle D, w \rangle$ ,  
where  $D = (Q, \Sigma, \delta, q_0, F)$ :

Set  $q := q_0$

For  $i := 1$  to  $\text{length}(w)$ :

$q := \delta(q, w_i)$

If  $q \in F$  accept, else reject

TM description:

On input  $\langle D, w \rangle$ ,  
where  $D$  is a DFA,  $w$  is a string

Simulate  $D$  on input  $w$

If simulation ends in acc state,  
accept. Otherwise, reject.

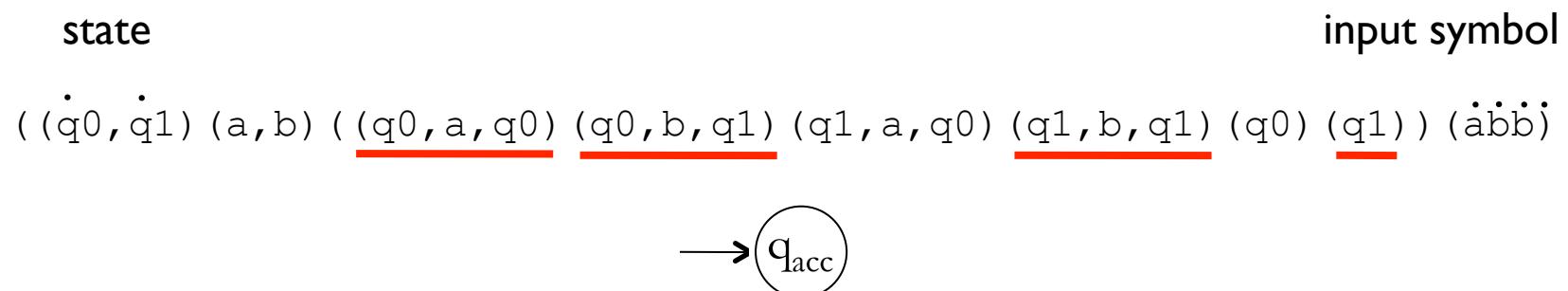
# Problems about automata

$A_{\text{DFA}} = \{\langle D, w \rangle : D \text{ is a DFA that accepts input } w\}$

Turing Machine details:

Check input is in correct format.  
(Transition function is complete, no duplicate transitions)

Perform simulation:



# Problems about automata

$$A_{\text{DFA}} = \{\langle D, w \rangle : D \text{ is a DFA that accepts input } w\}$$

Turing Machine details:

Check input is in correct format.  
(Transition function is complete, no duplicate transitions)

Perform simulation:

Put markers on start state of  $D$  and first symbol of  $w$

Until marker for  $w$  reaches last symbol:

Update both markers

If state marker is on accepting state, *accept*. Else *reject*.

# Acceptance problems about automata

$$A_{\text{DFA}} = \{\langle D, w \rangle : D \text{ is a DFA that accepts input } w\}$$
$$A_{\text{NFA}} = \{\langle N, w \rangle : N \text{ is an NFA that accepts } w\}$$
$$A_{\text{REX}} = \{\langle R, w \rangle : R \text{ is a regular expression that generates } w\}$$

Which of these is decidable?

# Acceptance problems about automata

$$A_{\text{NFA}} = \{\langle N, w \rangle : N \text{ is an NFA that accepts input } w\}$$

- The following TM decides  $A_{\text{NFA}}$ :

$N :=$  On input  $\langle N, w \rangle$ , where  $N$  is an NFA and  $w$  is a string

    Convert  $N$  to a DFA  $D$  using the standard conversion procedure.

    Run the TM  $M$  for  $A_{\text{DFA}}$  on input  $\langle D, w \rangle$

    If  $M$  accepts, accept. Otherwise, reject.

# Acceptance problems about automata

$A_{\text{REX}} = \{\langle R, w \rangle : R \text{ is a regular expression that generates } w\}$

- The following TM decides  $A_{\text{REX}}$ :

$P :=$  On input  $\langle R, w \rangle$ , where  $R$  is a reg exp and  $w$  is a string  
    Convert  $R$  to an NFA  $N$  using the standard  
    conversion procedure.  
    Run the TM  $N$  for  $A_{\text{NFA}}$  on input  $\langle N, w \rangle$   
    If  $N$  accepts, accept. Otherwise, reject.

# Other problems about automata

$$MIN_{DFA} = \{ \langle D \rangle : D \text{ is a minimal DFA} \}$$

decidable

- The following TM decides  $MIN_{DFA}$ :

$R :=$  On input  $\langle D \rangle$ , where  $D$  is a DFA

Run the algorithm for checking  
distinguishability of states of  $D$ .

If every pair of states is distinguishable, accept.  
Otherwise, reject.

# Other problems about automata

$$EQ_{DFA} = \{\langle D_1, D_2 \rangle : D_1, D_2 \text{ are DFAs and } L(D_1) = L(D_2)\}$$

*decidable*

- The following TM decides  $EQ_{DFA}$ :

$S :=$  On input  $\langle D_1, D_2 \rangle$ , where  $D_1$  and  $D_2$  are DFAs

Run the DFA minimization algorithm

on  $D_1$  to obtain a DFA  $D_1'$

Run the DFA minimization algorithm

on  $D_2$  to obtain a DFA  $D_2'$

If  $D_1' = D_2'$  accept, otherwise reject.

# Other problems about automata

$E_{\text{DFA}} = \{\langle D \rangle : D \text{ is a DFA and } L(D) \text{ is empty}\}$

- The following TM decides  $E_{\text{DFA}}$ :

$T :=$  On input  $\langle D \rangle$ , where  $D$  is a DFA

Run the TM  $S$  for  $EQ_{\text{DFA}}$  on input  $\langle D, \rightarrow \rangle$

If  $S$  accepts `accept`, otherwise `reject`.

# Problems about context-free grammars

$$A_{\text{CFG}} = \{\langle G, w \rangle : G \text{ is a CFG that generates } w\}$$

**decidable**

$V :=$  On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string

Eliminate the nullable and unit productions from  $G$

Convert  $G$  to Chomsky Normal Form

Run the Cocke-Younger-Kasami algorithm on  $\langle G, w \rangle$

If the CYK algorithm produces a parse tree, accept.

Otherwise, reject.

# Are all problems about CFGs decidable?

$EQ_{CFG} = \{ \langle G_1, G_2 \rangle : G_1 \text{ and } G_2 \text{ are context-free grammars that generate the same strings} \}$

What's the difference between  $EQ_{DFA}$  and  $EQ_{CFG}$ ?

To decide  $EQ_{DFA}$ , we minimized both DFAs

But there is no method that, given a CFG or PDA, produces a unique equivalent minimal CFG or PDA.

# Entscheidungsproblem

- $V_F = \{\langle F \rangle : F \text{ is universally valid assuming some axioms}\}$

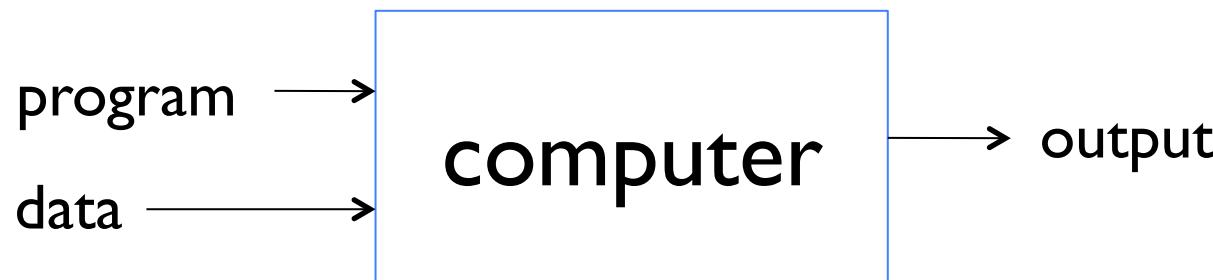
*undecidable*

Asks for an algorithm to decide whether a given statement is provable from the axioms using the rules of first-order logic.

# The universal Turing Machine and undecidability

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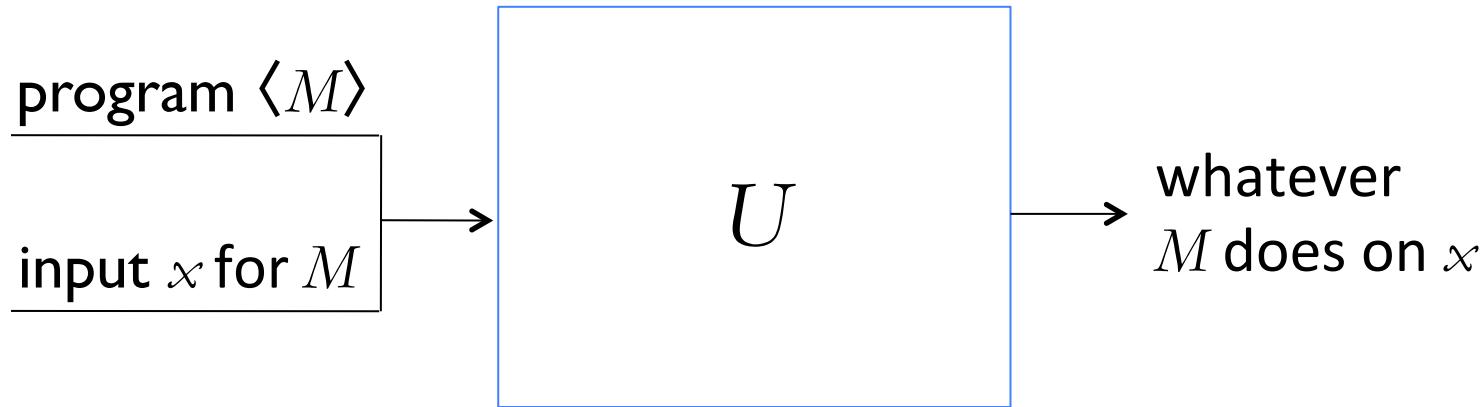
# Turing Machines versus computers



A **computer** is a machine that manipulates data according to a list of instructions.

How does a Turing Machine take a program as part of its input?

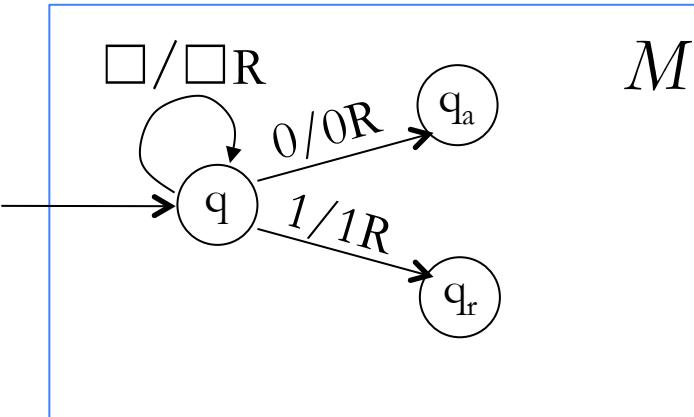
# The Universal Turing Machine



The **universal TM**  $U$  takes as inputs a program  $M$  and a string  $x$  and **simulates**  $M$  on  $x$

The program  $M$  itself is specified as a TM!

# Turing Machines as strings

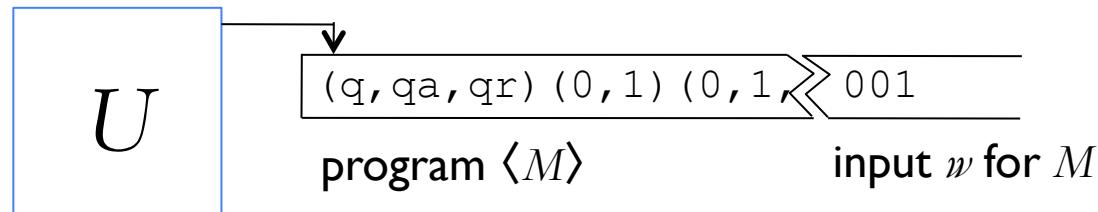


A Turing Machine is  
 $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{acc}}, q_{\text{rej}})$

- This Turing Machine can be described by the string

$$\langle M \rangle = (q, q_a, q_r) (0, 1) (0, 1, \square) \\ ((q, q, \square/\square R) \\ (q, q_a, 0/0R) \\ (q, q_r, 1/1R)) \\ (q) (q_a) (q_r)$$

# The universal Turing Machine



$U :=$  On input  $\langle M, w \rangle$ ,

Simulate  $M$  on input  $w$

If  $M$  enters accept state, accept.

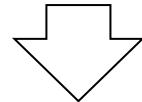
If  $M$  enters reject state, reject.

# Acceptance of Turing Machines

$$A_{\text{TM}} = \{\langle M, w \rangle : M \text{ is a TM that accepts } w\}$$

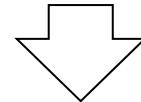
$U :=$  On input  $\langle M, w \rangle$ ,  
Simulate  $M$  on input  $w$

$M$  accepts  $w$



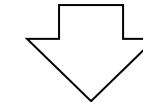
$U$  accepts  $\langle M, w \rangle$

$M$  rejects  $w$



$U$  rejects  $\langle M, w \rangle$

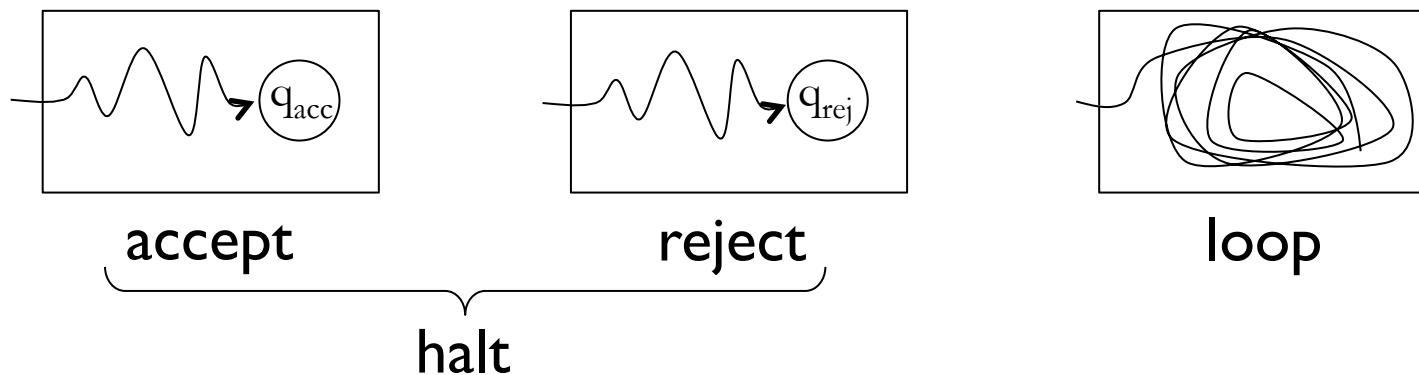
$M$  loops on  $w$



$U$  loops on  $\langle M, w \rangle$

TM  $U$  recognises but does not decide  $A_{\text{TM}}$

# Recognising versus deciding



The language recognised by a TM is the set of all inputs that make it accept.

A TM decides language  $L$  if it recognises  $L$  and halts (does not loop) on every input.

# Undecidability

- Turing's Theorem:

The language  $A_{\text{TM}}$  is **undecidable** (semi-decidable).

- Before we show this, let's observe one thing:

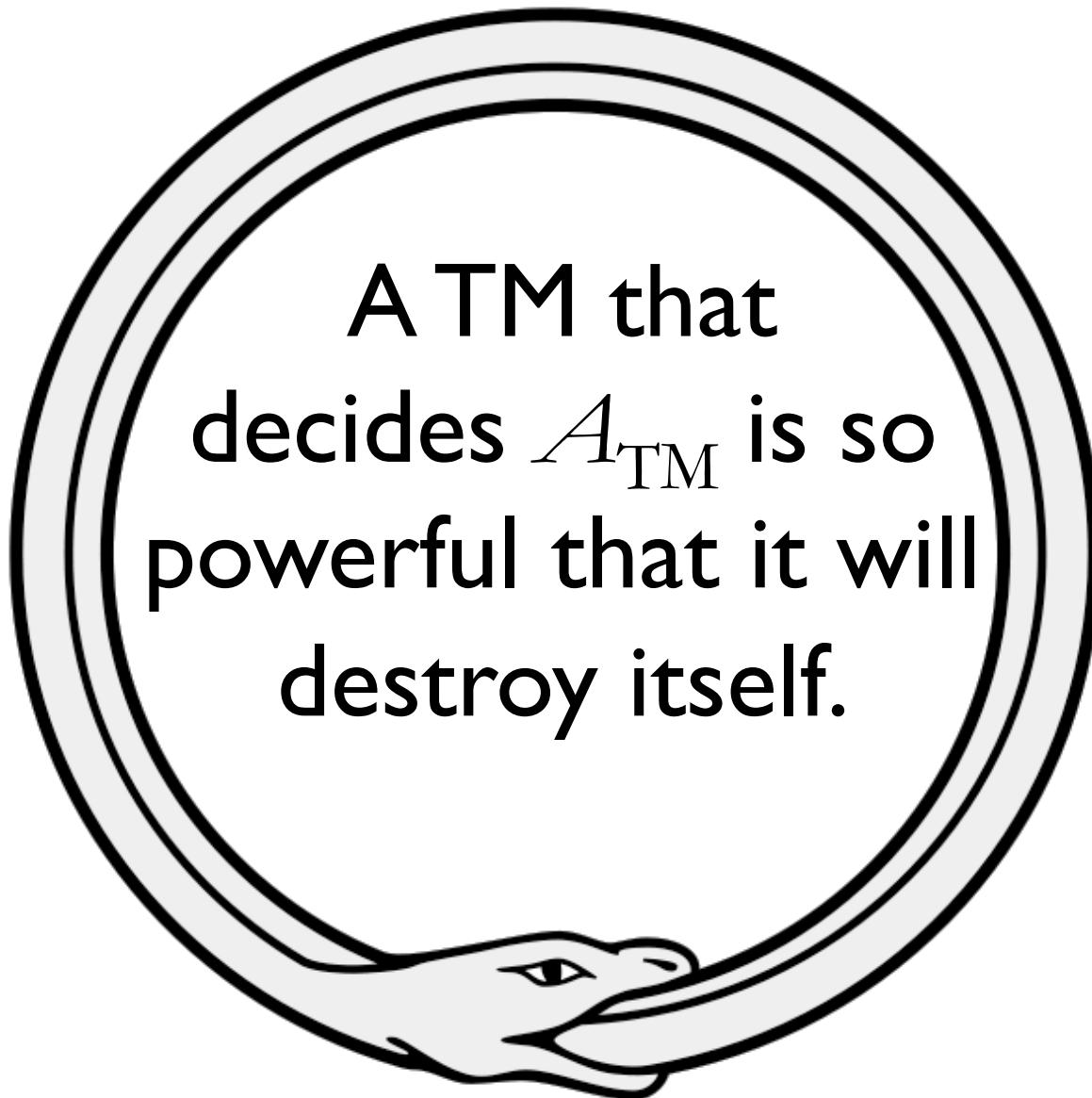
A Turing Machine  $M$  can be given its own description  $\langle M \rangle$  as an input!

# Terminology

- **Recursive language** = decidable problem = total TM
- **Recursively enumerable language** = semi-decidable problem = recognisable language = (undecidable problem)
- Undecidable problem = unrecognisable language

# Turing's theorem

A TM that decides  $A_{\text{TM}}$  is so powerful that it will destroy itself.

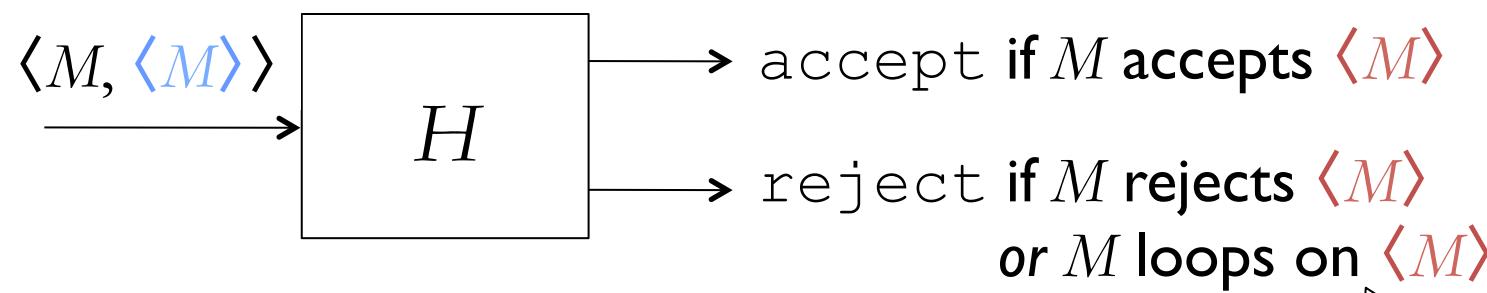


# Proof of Turing's Theorem

- Proof by contradiction:

Suppose  $A_{\text{TM}}$  is decidable.

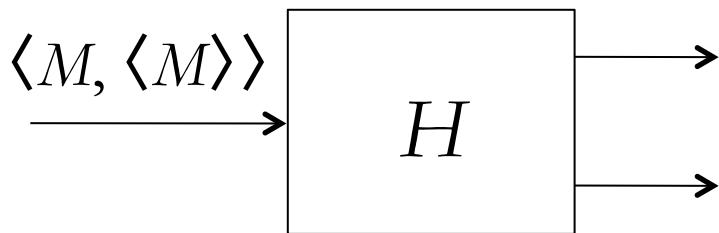
Then there is a (total) TM  $H$  that decides  $A_{\text{TM}}$ :



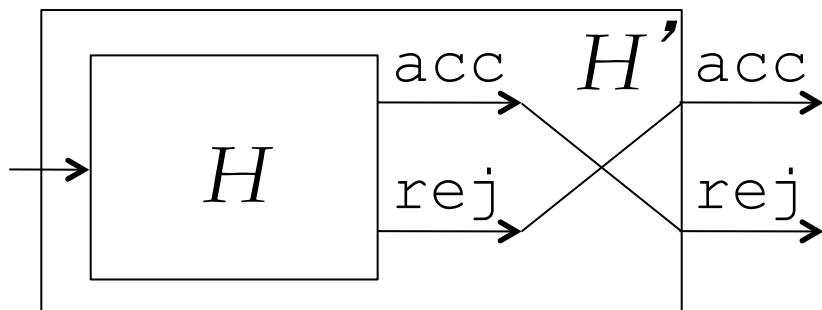
What happens when  $w = \langle M \rangle$ ?

*H never loops,  
because it is total*

# Proof of undecidability

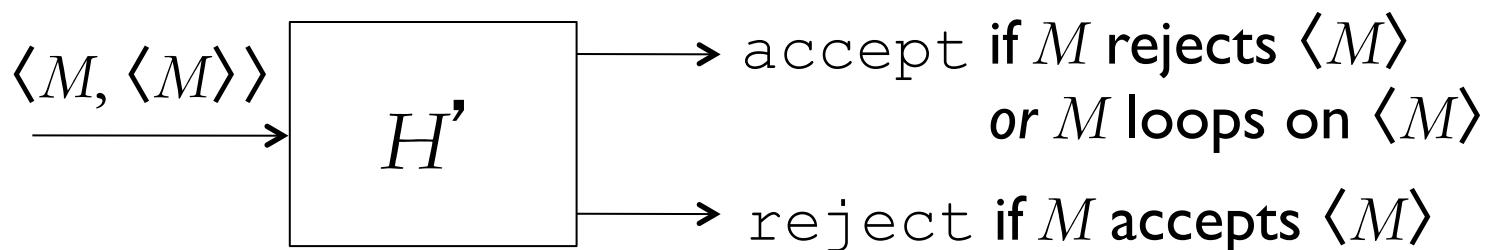
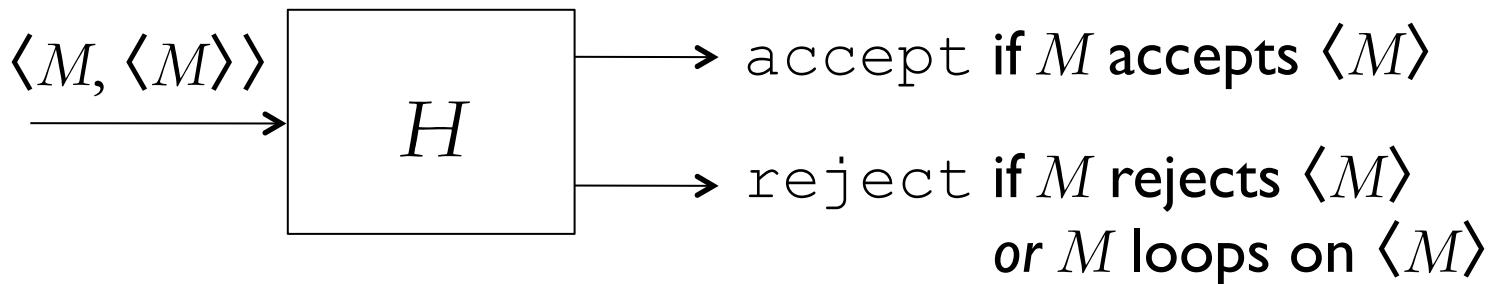


Let  $H'$  be a TM that does **the opposite** of  $H$

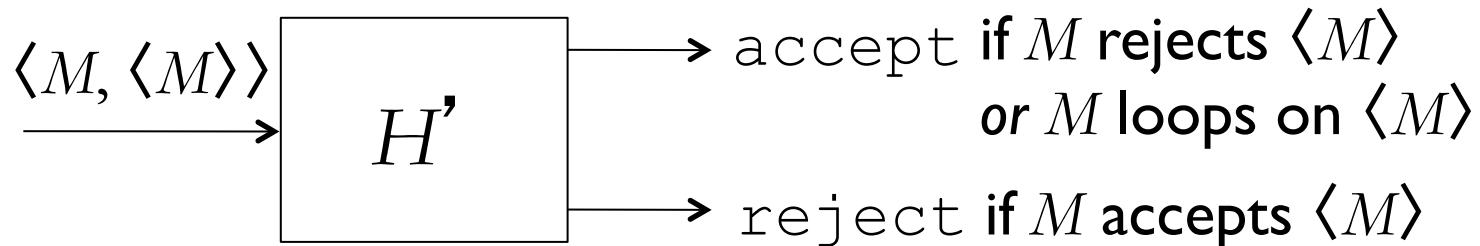


To go from  $H$  to  $H'$ ,  
just switch its *accept*  
and *reject* states

# Proof of undecidability



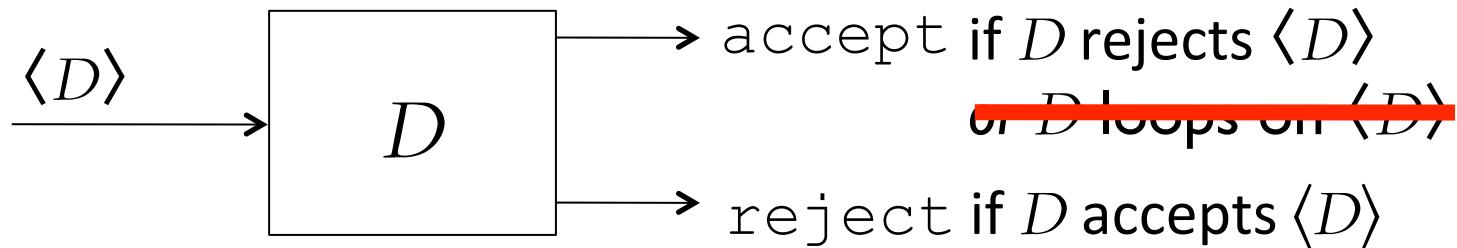
# Proof of undecidability



Let  $D$  be the following TM:



# Proof of undecidability



What happens when  $M = D$ ?

If  $D$  accepts  $\langle D \rangle$

then  $D$  rejects  $\langle D \rangle$

If  $D$  rejects  $\langle D \rangle$

then  $D$  accepts  $\langle D \rangle$

so  $D$  cannot exist!

# Proof of undecidability: conclusion

- Proof by contradiction
  - We assumed  $A_{\text{TM}}$  was decidable
  - Then we built Turing Machines  $H, H', D$
  - But  $D$  cannot exist!
- Conclusion

The language  $A_{\text{TM}}$  is **undecidable**.

# What happened?

		all possible inputs $w$				
		$\epsilon$	0	1	00	...
all possible Turing Machines	$M_1$	acc	rej	rej	acc	
	$M_2$	rej	acc	loop	rej	...
	$M_3$	rej	loop	rej	rej	
	$\vdots$			$\vdots$		

We can write an infinite table for every pair  $(M, w)$

# What happened?

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...
$M_1$	acc	loop	rej	acc	
$M_2$	rej	acc	rej	acc	...
$M_3$	loop	rej	loop	rej	
:			:		

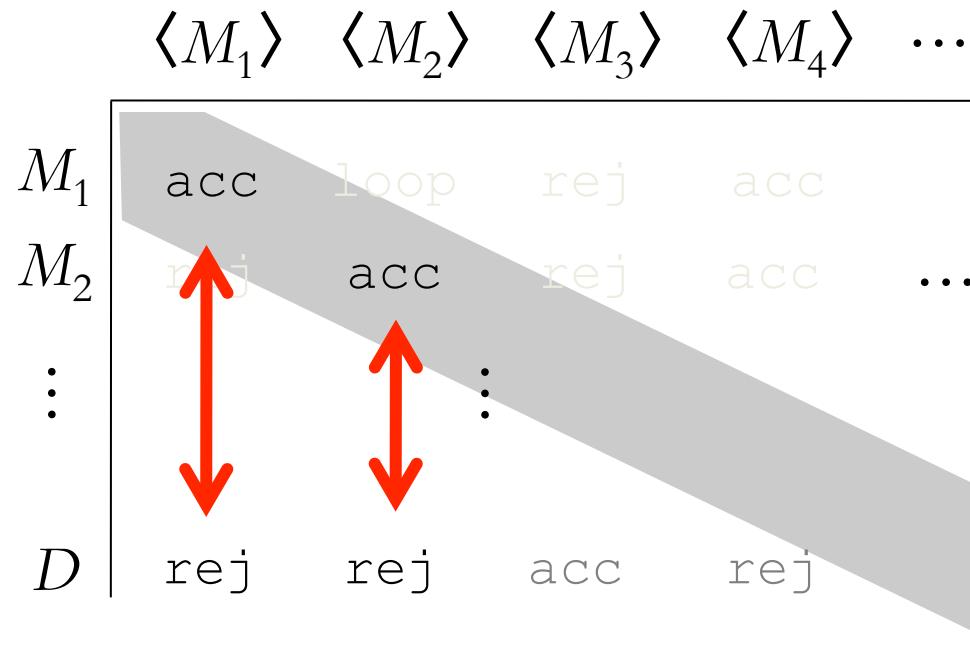
Now let's look only at those  $w$  that describe a TM  $M$

# What happened?

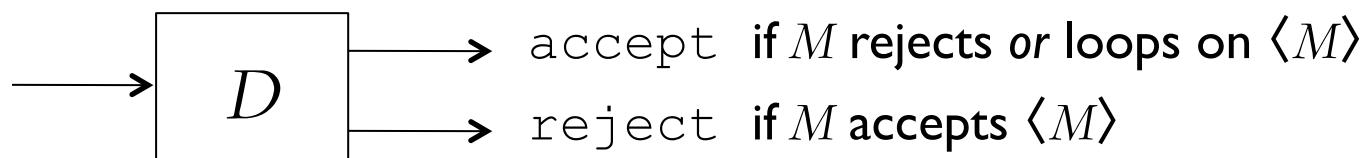
	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$
$M_1$	acc	loop	rej	acc	
$M_2$	rej	acc	rej	acc	$\dots$
$\vdots$			$\vdots$		
$D$	rej	rej	acc	rej	
$\vdots$			$\vdots$		

If  $A_{\text{TM}}$  is decidable, then TM  $D$  is in the table

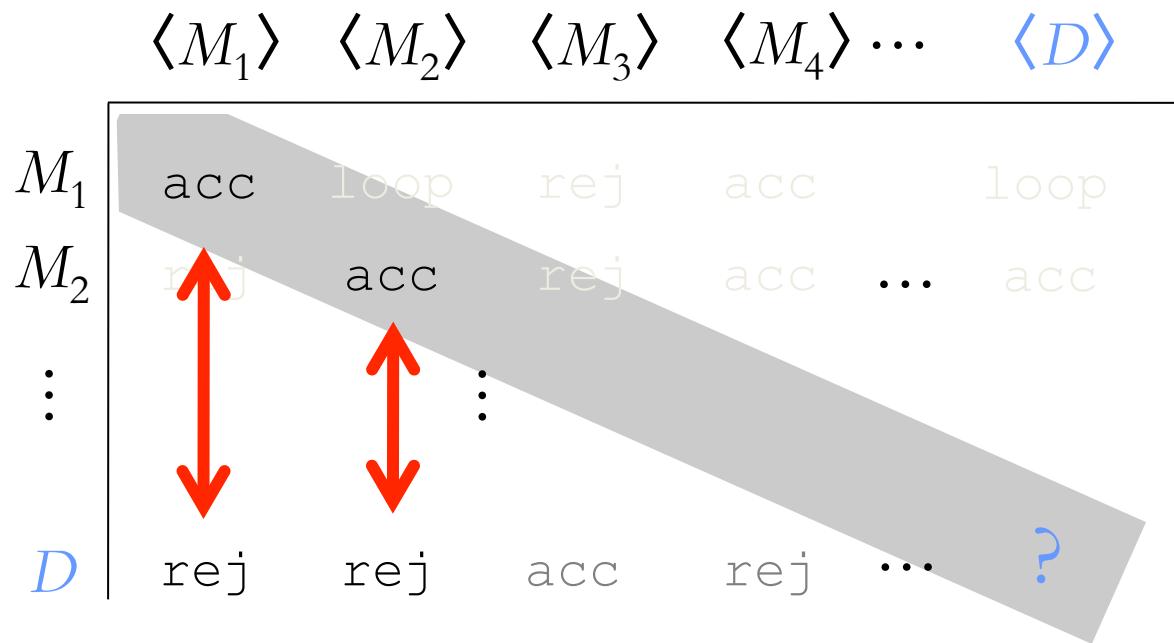
# What happened?



$D$  does the opposite of the diagonal entries



# What happened?



We run into trouble when we look at  $(D, \langle D \rangle)$ !

Related to the liar paradox: “This sentence is false.”

# Unrecognisable languages

The language  $\overline{A_{\text{TM}}}$  is recursively enumerable but not recursive.

- How about languages that are not recognisable?

$$\begin{aligned}\overline{A_{\text{TM}}} = & \{\langle M, w \rangle : M \text{ rejects or loops on input } w\} \\ & \cup \{x : x \text{ does not describe a TM or a valid input}\}\end{aligned}$$

The language  $\overline{A_{\text{TM}}}$  is not recursively enumerable.

# Unrecognisable languages

- Theorem

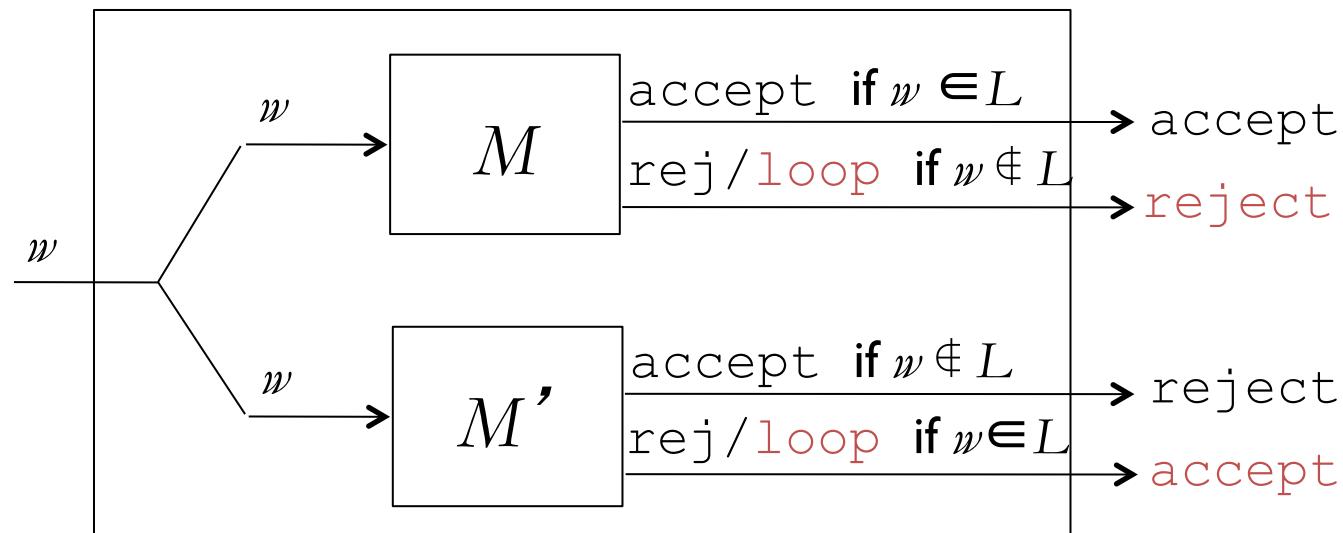
If  $L$  and  $\overline{L}$  are both recursively enumerable,  
then  $L$  is recursive.

- We know  $A_{\text{TM}}$  is recursively enumerable, so if  $\overline{A_{\text{TM}}}$  was also, then  $A_{\text{TM}}$  would be recursive.
- But Turing's Theorem says  $A_{\text{TM}}$  is not recursive.

# Unrecognisable languages

If  $L$  and  $\overline{L}$  are both recursively enumerable,  
then  $L$  is recursive.

- Proof idea



# Unrecognisable languages

If  $L$  and  $\overline{L}$  are both recursively enumerable,  
then  $L$  is recursive.

Let  $M = \text{TM}$

On input  $w$ ,

**Problem:** If  $M$  loops on  $w$ , we will  
never get to step 2!

- ① Simulate  $M$  on input  $w$ . If it accepts, accept.
- ② Simulate  $M'$  on input  $w$ . If it accepts, reject.

# Bounded simulation

If  $L$  and  $\overline{L}$  are both recursively enumerable,  
then  $L$  is recursive.

- Turing Machine that decides  $L$ :

Let  $M = \text{TM for } L, M' = \text{TM for } \overline{L}$

On input  $w$ ,

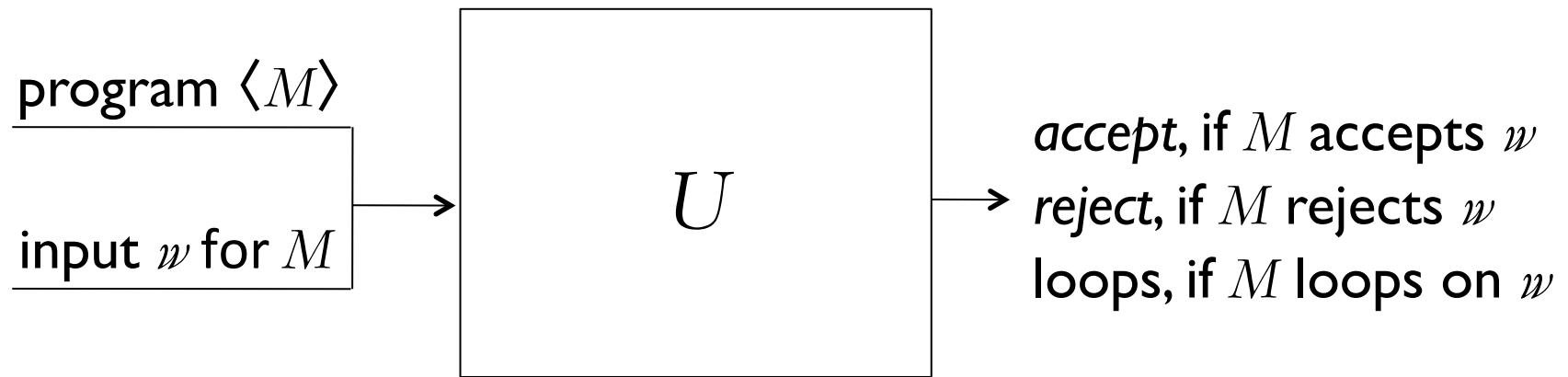
For  $t := 0$  to infinity

Do  $t$  transitions of  $M$  on  $w$ . If it accepts, accept.

Do  $t$  transitions of  $M'$  on  $w$ . If it accepts, reject.

# Reducibility

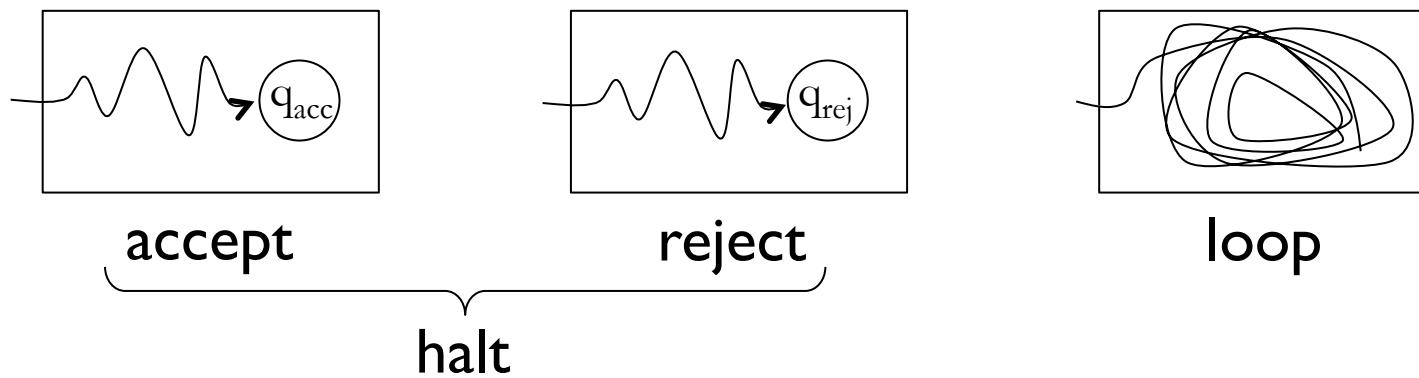
# Summary of last lecture



The **universal TM**  $U$  takes as inputs a program  $M$  and a string  $x$  and simulates  $M$  on  $x$

The program  $M$  itself is specified as a TM!

# Summary of last lecture



$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$$

recursively enumerable: By universal TM  $U$

undecidable (i.e. not recursive): Turing's Theorem

# Another undecidable language

$HALT_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$

$HALT_{\text{TM}}$  is an undecidable language.

- We will argue that

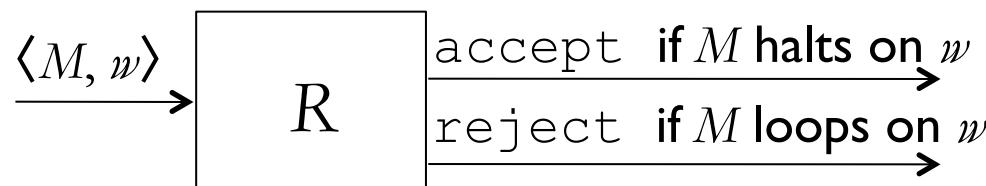
If  $HALT_{\text{TM}}$  is recursive, so is  $A_{\text{TM}}$ .

... but by Turing's Theorem it is not.

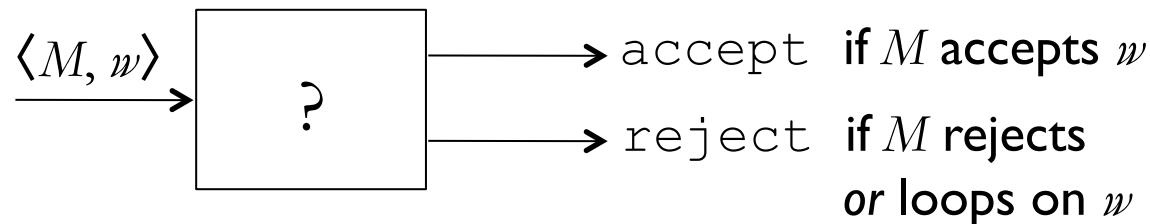
# Undecidability of halting

If  $\text{HALT}_{\text{TM}}$  is recursive, so is  $\mathcal{A}_{\text{TM}}$ .

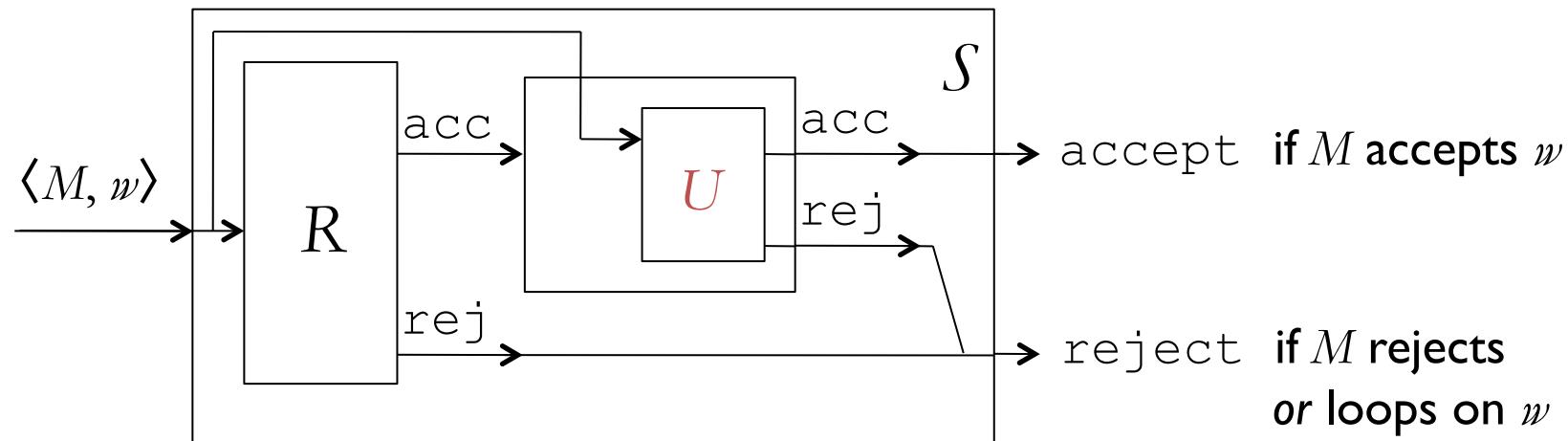
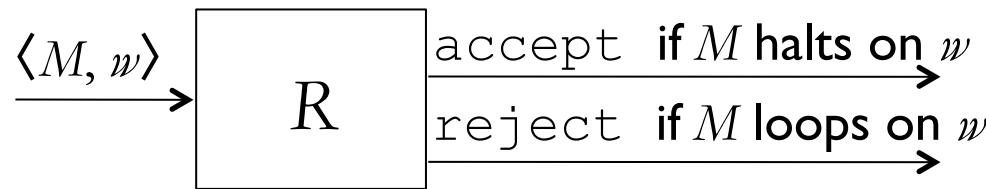
- Suppose  $R$  decides



- We want a TM  $S$  that decides



# Undecidability of halting



# Undecidability of halting

$HALT_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Suppose that  $HALT_{\text{TM}}$  is recursive. Let  $H$  be a TM that decides  $HALT_{\text{TM}}$ . Then this TM decides  $A_{\text{TM}}$ :

```
S := On input ⟨M, w⟩,  
    Run R on input ⟨M, w⟩.  
    If R rejects, reject.  
    If R accepts, run U on input ⟨M, w⟩  
        If U accepts, accept. If U rejects, reject.
```

Impossible, because  $A_{\text{TM}}$  is not recursive.

# Reducibility

- Suppose you think  $L$  is not recursive.
- How do you know for sure?

Show: If some TM  $R$  decides  $L$   
then using  $R$ , we can build another TM  $S$   
so that  $S$  decides  $A_{\text{TM}}$

...but this is impossible, because  $A_{\text{TM}}$  is not recursive.

# Example I

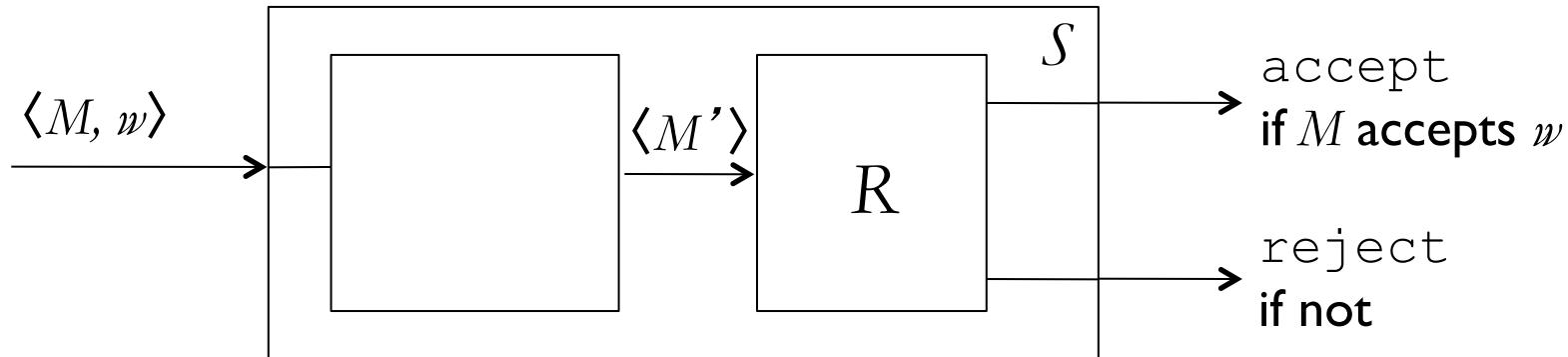
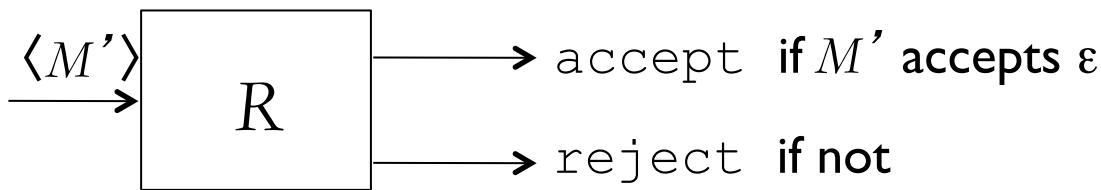
$AEPS_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts input } \epsilon\}$

recursive

undecidable

- Step 1: Take an **educated** guess
  - To know if  $M$  accepts  $\epsilon$ , it looks like we have to **simulate** it
  - But then we might end up in a loop
- Step 2: Prove it!

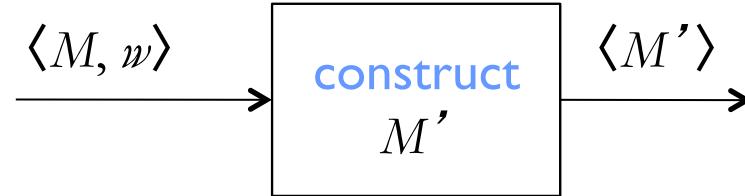
# Example I: Figuring out the reduction



$M'$  should be a Turing Machine such that:

$M'$  on input  $\epsilon$  =  $M$  on input  $w$

# Example I: Implementing the reduction

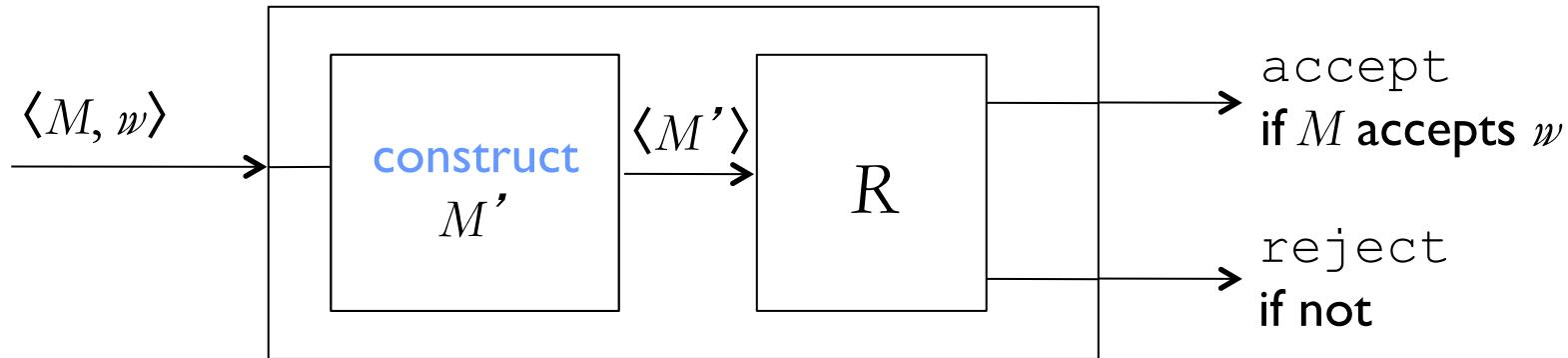


$M'$  should be a Turing Machine such that:

$$M' \text{ on input } \varepsilon = M \text{ on input } w$$

$M' :=$  On input  $\zeta$  (ignored),  
    Simulate  $M$  on input  $w$   
    If  $M$  accepts  $w$ , accept  
    Otherwise, reject

# Example 1: Implementing the reduction



$S :=$  On input  $\langle M, w \rangle$ :

Construct the following TM  $M'$ :

$M' :=$  On input  $z$ ,  
Simulate  $M$  on input  $w$  and output answer

Run  $R$  on input  $\langle M' \rangle$  and output its answer.

## Example 1: Writing it up

$AEPS_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts input } \varepsilon\}$

$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Suppose  $AEPS_{TM}$  is recursive and  $R$  decides it. Let

$S :=$  On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string  
Construct the following TM  $M'$ :

$M' :=$  On input  $z$ ,  
Simulate  $M$  on input  $w$  and output answer

Run  $R$  on input  $\langle M' \rangle$  and output its answer.

Then  $S$  accepts  $\langle M, w \rangle$  if and only if  $M$  accepts  $w$

So  $S$  decides  $A_{TM}$ , which is impossible.

# Example 2

$SOME_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts some input}\}$

recursive

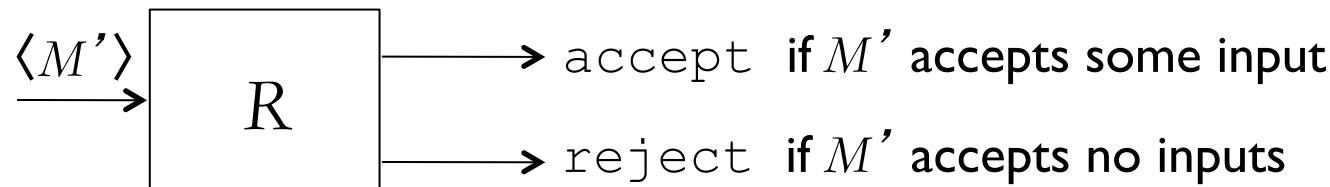
undecidable

- Step 1: Take an **educated** guess
  - To know if  $M$  is in  $SOME_{TM}$ , looks like we have to **simulate**
  - But then we might end up in a loop
- Step 2: Prove it!

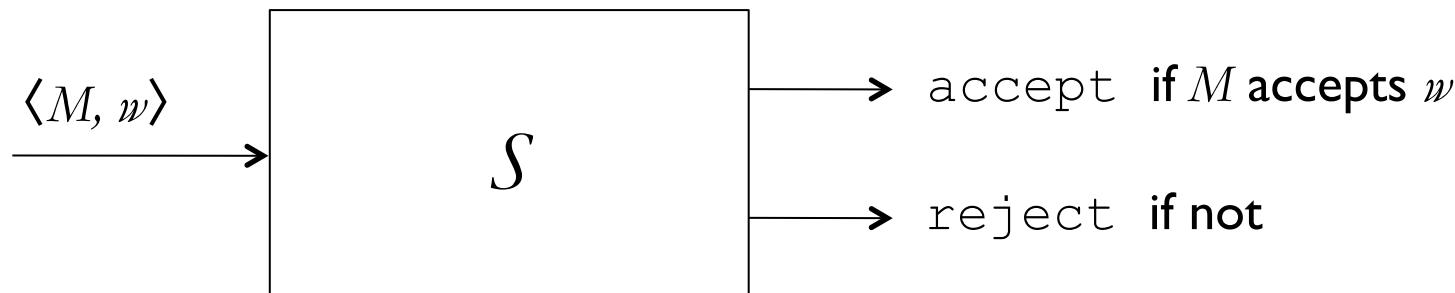
# Example 2: Setting up the reduction

$SOME_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts some input}\}$

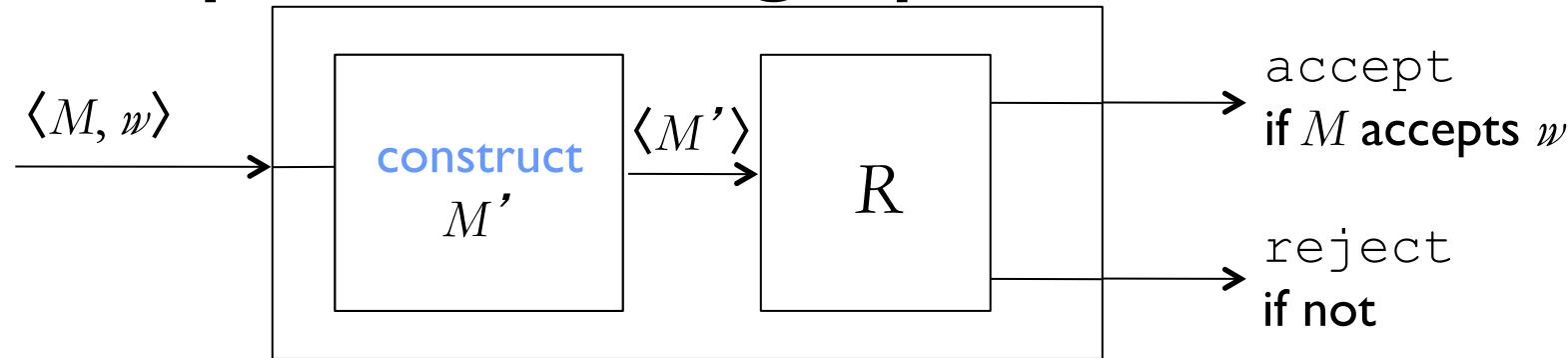
Show: If  $SOME_{TM}$  can be decided by some TM  $R$ ,



... then  $A_{TM}$  can be decided by some other TM  $S$



# Example 2: Setting up the reduction



**Task** Given  $\langle M, w \rangle$ , **construct**  $M'$  so that:

If  $M$  accepts  $w$ , then  $M'$  accepts some input

If  $M$  does not accept  $w$ , then  $M'$  accepts no inputs

# Example 2: Implementing the reduction

**Task** Given  $\langle M, w \rangle$ , construct  $M'$  so that:

If  $M$  accepts  $w$ , then  $M'$  accepts some input

If  $M$  does not accept  $w$ , then  $M'$  accepts no inputs

```
 $M' :=$  On input  $z$ ,  
    Simulate  $M$  on input  $w$   
    If  $M$  accepts, accept  
    Otherwise, reject
```

## Example 2: Writing it up

$SOME_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts some input}\}$

$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Suppose  $SOME_{TM}$  is recursive and  $R$  decides it. Let

$S :=$  On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string  
Construct the following TM  $M'$ :

$M' :=$  On input  $z$ ,  
Simulate  $M$  on input  $w$  and output answer

Run  $R$  on input  $\langle M' \rangle$  and output its answer.

Then  $S$  accepts  $\langle M, w \rangle$  if and only if  $M$  accepts  $w$

So  $S$  decides  $A_{TM}$ , which is impossible.

# Example 3

$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that accepts no input}\}$

recursive



Show: If  $E_{\text{TM}}$  can be decided by some TM  $R$ ,  
... then  $SOME_{\text{TM}}$  can be decided by another TM  $S$

$SOME_{\text{TM}} = \{\langle M \rangle : M \text{ is a TM that accepts some input}\}$

## Example 3

$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that accepts no input}\}$

$SOME_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that accepts some input}\}$

Suppose  $E_{\text{TM}}$  can be decided by some TM  $R$ .

Consider the following TM  $S$ :

```
 $S :=$  On input  $\langle M \rangle$  where  $M$  is a TM  
    Run  $R$  on input  $\langle M \rangle$ .  
    If  $R$  accepts, reject. If  $R$  rejects, accept.
```

Then  $S$  decides  $SOME_{\text{TM}}$ , a contradiction.

# Example 4

$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ accept the same inputs}\}$

recursive

undecidable

Show: If  $EQ_{TM}$  can be decided by some TM  $R$ ,  
... then  $E_{TM}$  can be decided by another TM  $S$

# Example 4: Setting up the reduction

$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ accept the same inputs}\}$

$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that accepts no input}\}$

**Task** Given  $\langle M \rangle$ , construct  $\langle M_1, M_2 \rangle$  so that:

If  $M$  accepts no input, then  $M_1, M_2$  accept same inputs

If  $M$  accepts some input, then  $M_1, M_2$  do not accept same inputs

**Idea** Make  $M_1 = M$

Make  $M_2$  accept nothing

## Example 4: Writing it up

$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ accept the same inputs}\}$

$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM that accepts no input}\}$

Suppose  $EQ_{TM}$  is recursive and  $R$  decides it. Let

$S :=$  On input  $\langle M \rangle$  where  $M$  is a TM

Construct the following TM  $M_2$ :

$M_2 :=$  On input  $z$ , reject.

Run  $R$  on input  $\langle M, M_2 \rangle$  and output its answer.

Then  $S$  accepts  $\langle M \rangle$  if and only if  $M$  accepts no input.

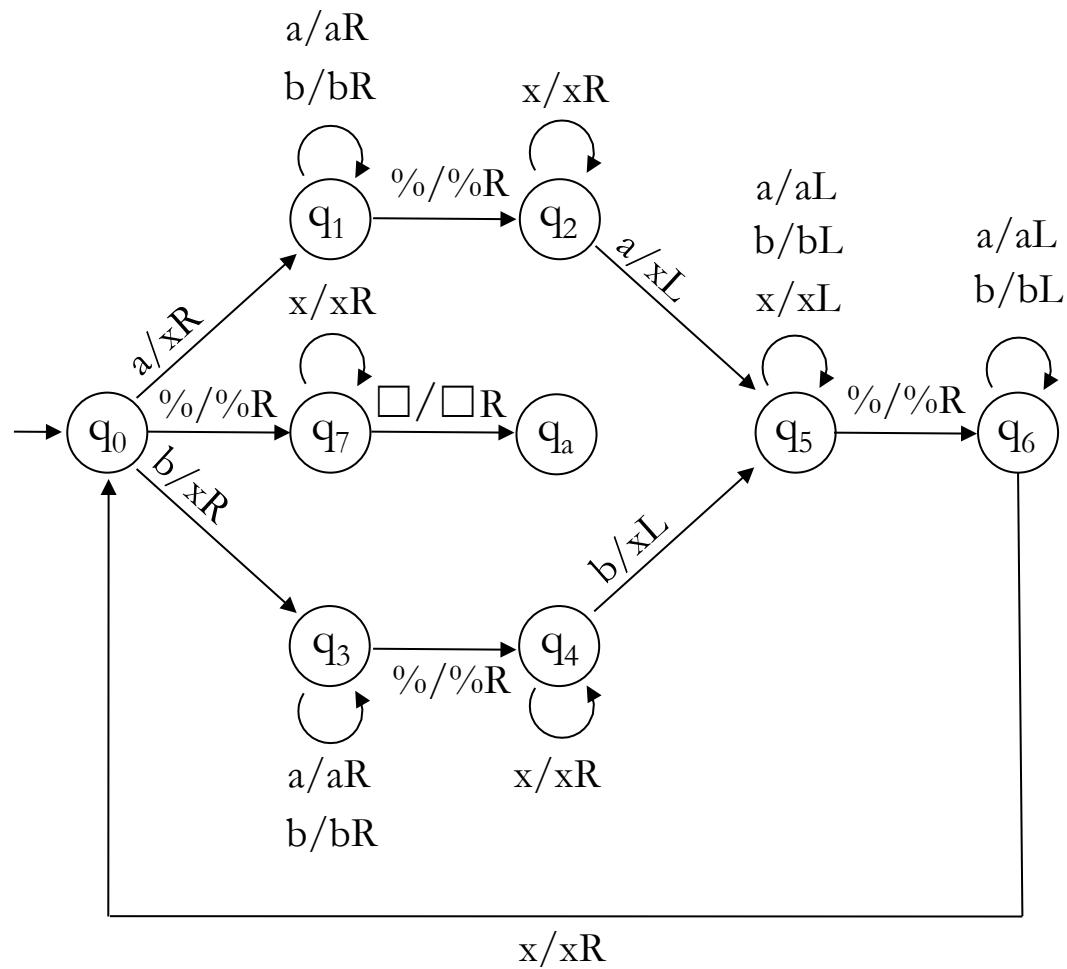
So  $S$  decides  $E_{TM}$ , which is impossible.

# Undecidable problems for CFGs

# Decidable vs. undecidable

decidable	undecidable
“DFA $M$ accepts $w$ ”	“TM $M$ accepts $w$ ”
“CFG $G$ generates $w$ ”	“TM $M$ halts on $w$ ”
“DFAs $M$ and $M'$ accept same inputs”	“TM $M$ accepts some input”
	“TM $M$ and $M'$ accept different inputs”
“CFG $G$ generates all inputs”	“CFG $G$ is ambiguous”
more?	?

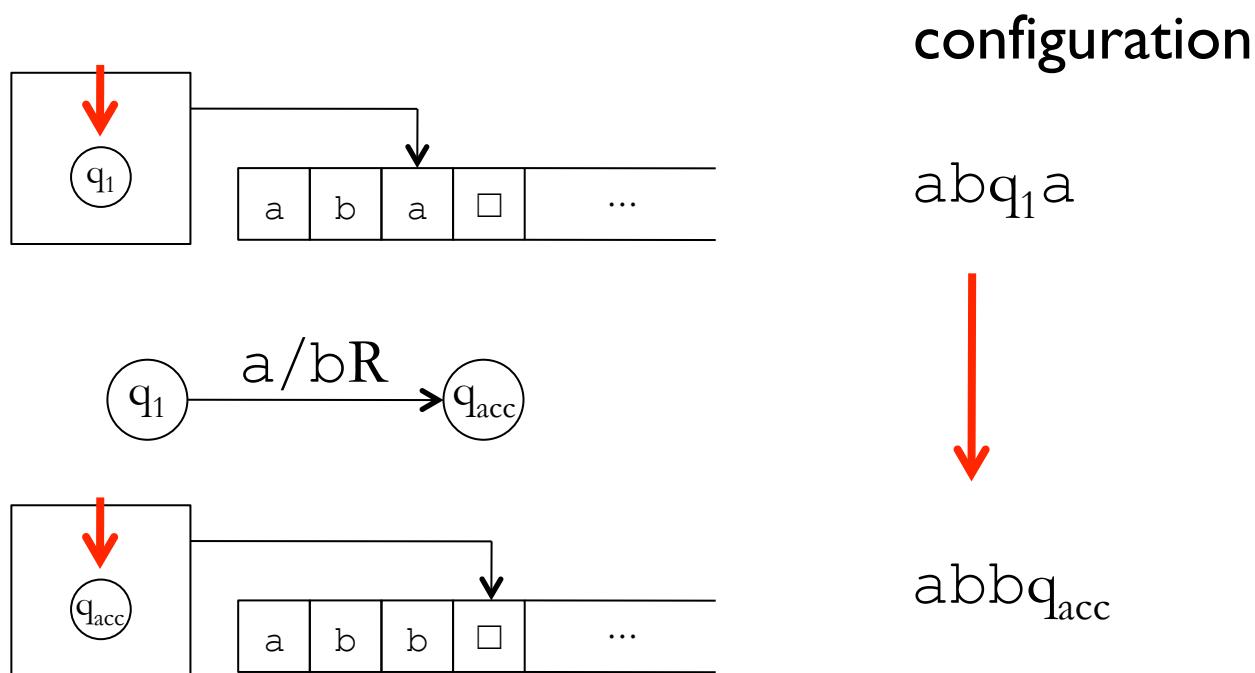
# Representing computations



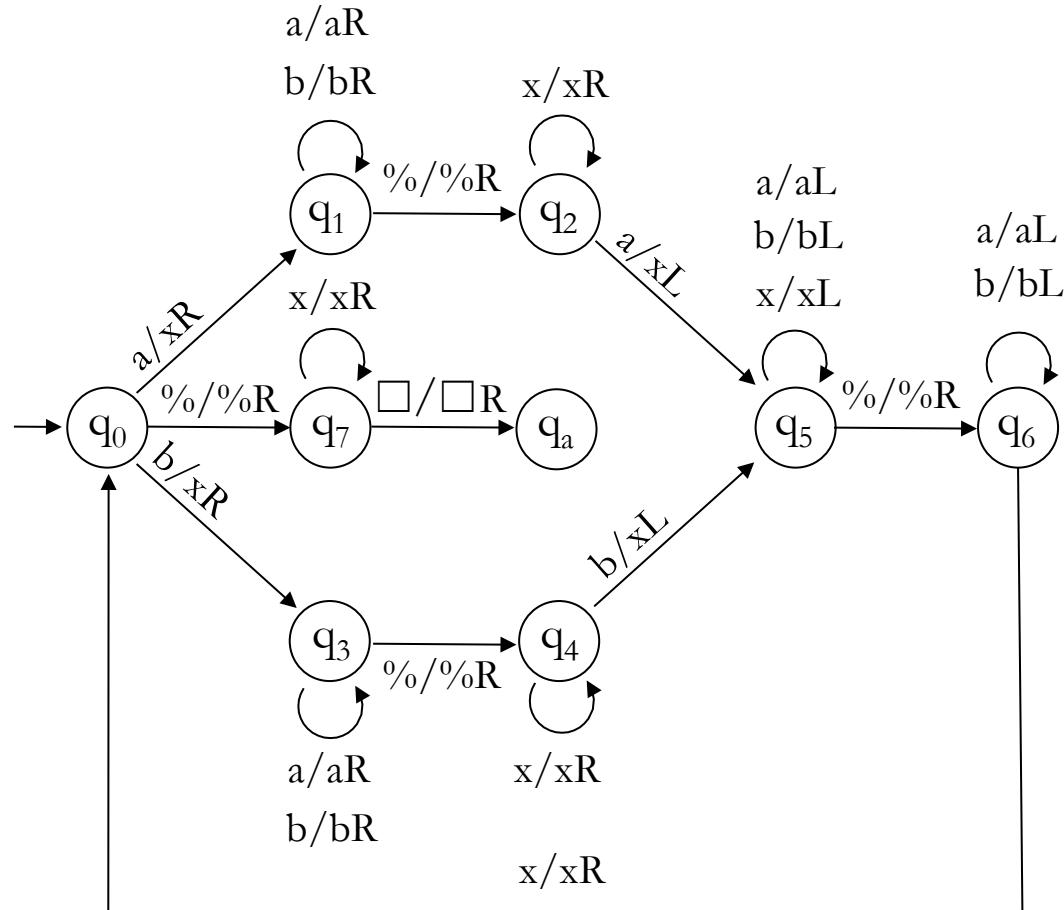
$$L_1 = \{w\%w : w \in \{a, b\}^*\}$$

# Configurations

- A **configuration** consists of the current state, the head position, and tape contents



# Computation histories



$q_0$	a	b	$\circ$	a	b
x	$q_1$	b	$\circ$	a	b
x	b	$q_1$	$\circ$	a	b
x	b	$\circ$	$q_2$	a	b
x	b	$q_5$	$\circ$	x	b
x	$q_6$	b	$\circ$	x	b
⋮					
x	x	$\circ$	x	x	$q_7$
x	x	$\circ$	x	x	$\square q_a$

computation history

# Computation histories as strings

If  $M$  halts on  $w$ , the computation history of  $(M, w)$  is the sequence of configurations  $C_1, \dots, C_l$  that  $M$  goes through on input  $w$ .

$q_0 a b \% a b$
$x q_1 b \% a b$
$\vdots$
$x x \% x x q_7$
$x x \% x x q_a$

$q_0 ab \% ab \# x q_1 b \% ab \# \dots \# xx \% xx \square q_a$

The computation history can be written as a string *hist* over alphabet  $\Gamma \cup Q \cup \{\#\}$

accepting history:  $M$  accepts  $w \iff q_{\text{acc}}$  occurs in *hist*

rejecting history:  $M$  rejects  $w \iff q_{\text{rej}}$  occurs in *hist*

# Undecidable problems for CFGs

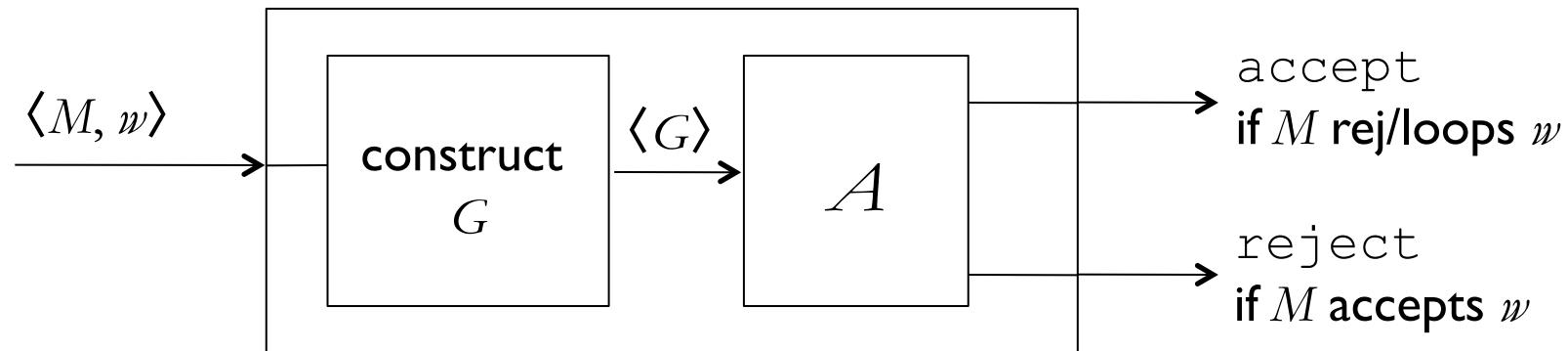
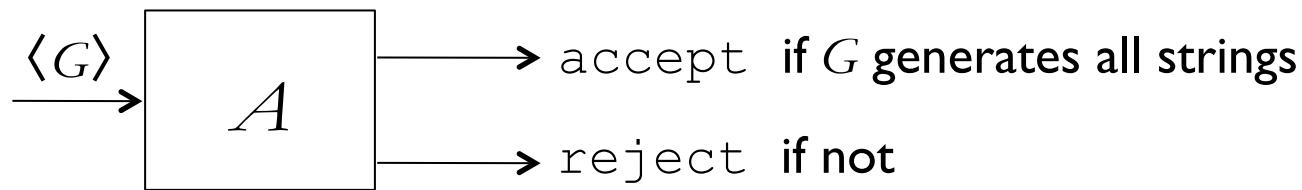
$ALL_{CFG} = \{\langle G \rangle \mid G \text{ is a CFG that generates all strings}\}$

The language  $ALL_{CFG}$  is undecidable  
(and not even r.e.).

- We will argue that

If  $ALL_{CFG}$  is recursive, then so is  $\overline{A_{TM}}$ .

# Undecidable problems for CFGs



$G$  generates all strings if  $M$  rejects or loops on  $w$

$G$  fails to generate some string if  $M$  accepts  $w$

# Undecidable problems for CFGs



The **alphabet** of  $G$  will be  $\Gamma \cup Q \cup \{\#\}$

$G$  will generate all strings **except** the computation history of  $(M, w)$ , if it is accepting

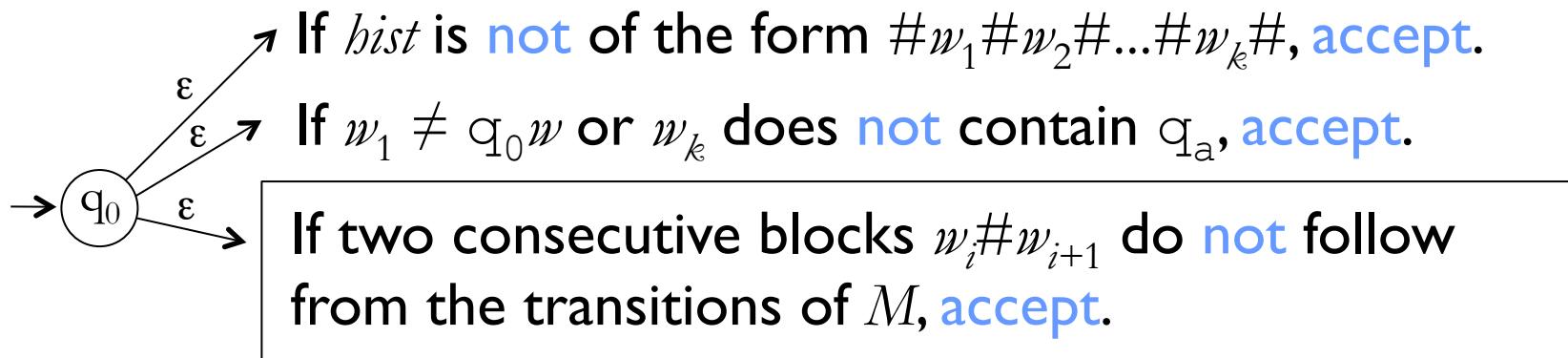
First we construct a PDA  $P$ , then convert it to CFG  $G$

# Undecidability via computation histories

candidate computation history  $hist$  of  $(M, w)$   $\rightarrow P \rightarrow$  accept everything except accepting  $hist$

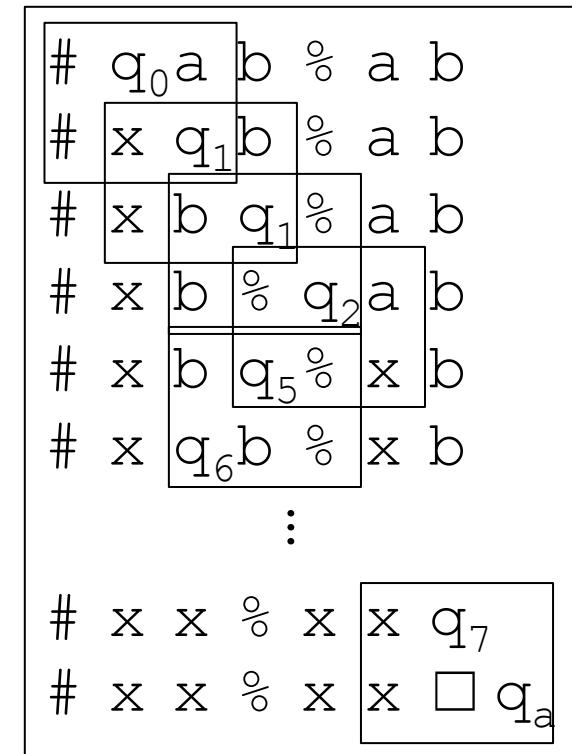
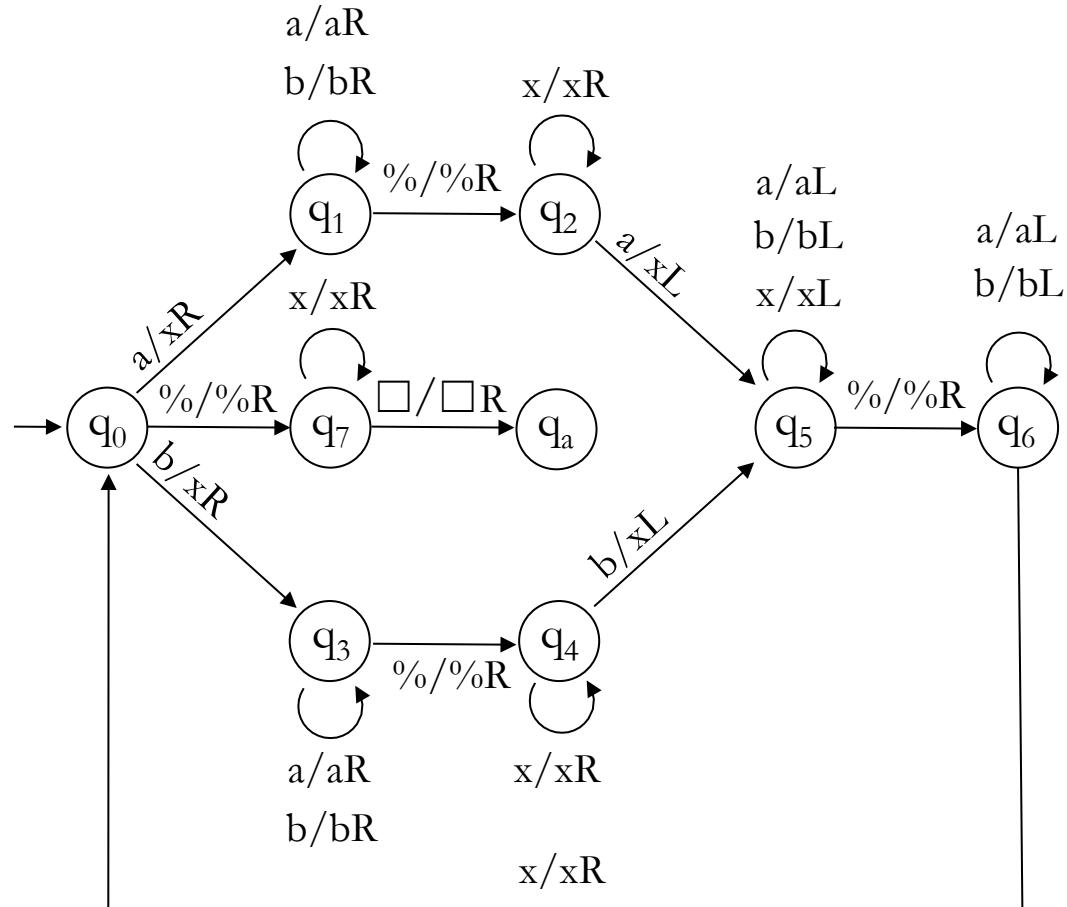
$\#q_0ab\%ab\#xq_1b\%ab\#\dots\#xx\%xx\Box q_a\# \rightarrow reject$

$P$ : On input  $hist$ , (try to spot a mistake in  $hist$ )



Otherwise,  $hist$  is an accepting history, so reject.

# Computation is local



**Changes** between configurations always occur around the head

# Legal and illegal transition windows

legal windows

...  $\boxed{a \ b \ x}$  ...  
...  $\boxed{a \ b \ x}$  ...

...  $\boxed{a \ q_2 a}$  ...  
...  $\boxed{q_5 a \ x}$  ...

...  $\boxed{a \ b \ a}$  ...  
...  $\boxed{a \ b \ q_5}$  ...

...  $\boxed{a \ a \square}$  ...  
...  $\boxed{x \ a \square}$  ...

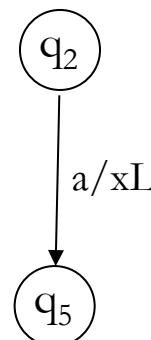
illegal windows

...  $\boxed{q_2 a \ b}$  ...  
...  $\boxed{a \ b \ q_2}$  ...

...  $\boxed{q_2 q_2 a}$  ...  
...  $\boxed{q_2 q_2 x}$  ...

...  $\boxed{a \ q_2 a}$  ...  
...  $\boxed{q_5 a \ b}$  ...

...  $\boxed{a \ q_2 a}$  ...  
...  $\boxed{a \ q_5 x}$  ...



# Implementing $P$

If two consecutive blocks  $w_i \# w_{i+1}$  do **not** follow from the transitions of  $M$ , **accept**:

For every position of  $w_i$ :

Remember offset from  $\#$  in  $w_i$  on stack

Remember first row of window in state

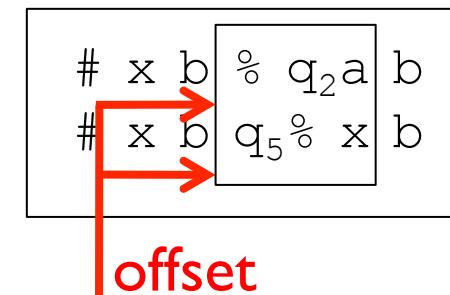
After reaching the next  $\#$ :

Pop offset from  $\#$  from stack as you consume input

Remember second row of window in state

If window is **illegal**, accept;

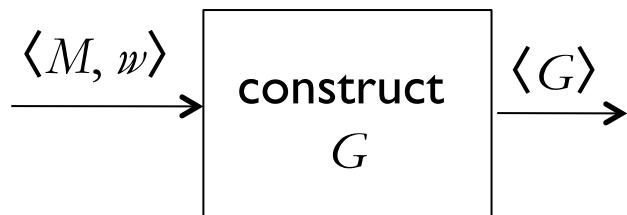
Otherwise reject.



# The computation history method

$$ALL_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG that generates all strings}\}$$

If  $ALL_{\text{CFG}}$  is recursive, then so is  $\overline{A_{\text{TM}}}$ .



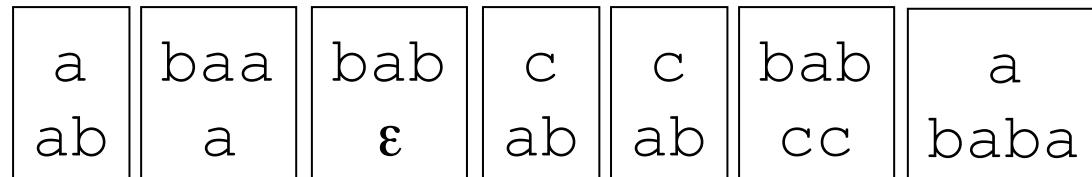
- $G$  accepts all strings **except** accepting computation histories of  $(M, w)$
- We first construct a PDA  $P$ , then convert it to CFG  $G$ .

# The Post Correspondence Problem

- Input: A set of tiles like this



- Given an infinite supply of such tiles, can you match top and bottom?



# Undecidability of PCP

$PCP = \{\langle T \rangle \mid T \text{ is a collection of tiles that contains a top-bottom match}\}$

The language  $PCP$  is undecidable.

# Ambiguity of CFGs

$$AMB = \{G \mid G \text{ is an ambiguous CFG}\}$$

The language  $AMB$  is undecidable.

- We will argue that

If  $AMB$  is recursive, then so is  $PCP$ .

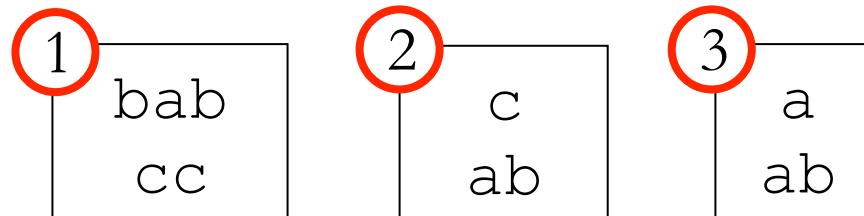
# Ambiguity of CFGs

$T$  (collection of tiles)  $\longrightarrow$   $G$  (CFG)

If  $T$  can be matched, then  $G$  is ambiguous

If  $T$  cannot be matched, then  $G$  is unambiguous

- Step I: Number the tiles



# Ambiguity of CFGs

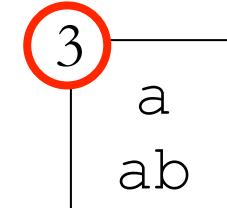
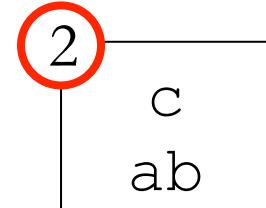
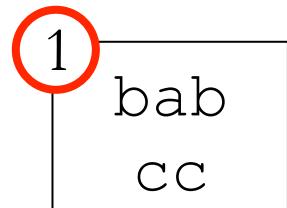
$T$  (collection of tiles)  $\longrightarrow$   $G$  (CFG)

**Terminals:** a, b, c, 1, 2, 3

**Variables:** S, T, B

**Productions:**  $T \rightarrow babT1$      $T \rightarrow cT2$      $T \rightarrow aT3$   
 $B \rightarrow ccB1$      $B \rightarrow abB2$      $B \rightarrow abB3$

$S \rightarrow T \mid B$



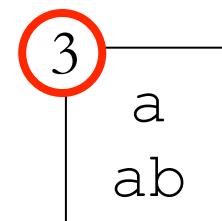
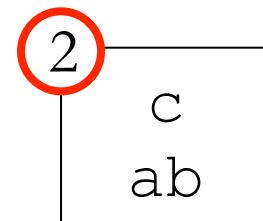
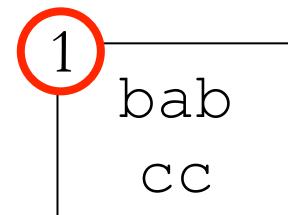
# Ambiguity of CFGs

$T$  (collection of tiles)  $\longrightarrow$   $G$  (CFG)

**Terminals:** a, b, c, 1, 2, 3

**Variables:** S, T, B

**Productions:**



$S \rightarrow T \mid B$

$T \rightarrow babT1$

$T \rightarrow cT2$

$T \rightarrow aT3$

$T \rightarrow bab1$

$T \rightarrow c2$

$T \rightarrow a3$

$B \rightarrow ccB1$

$B \rightarrow abB2$

$B \rightarrow abB3$

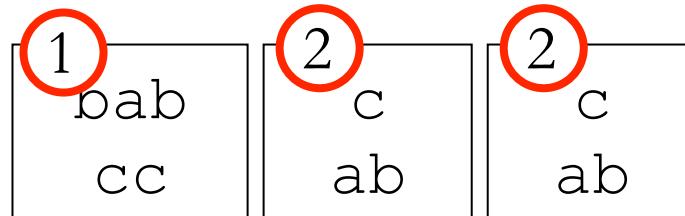
$B \rightarrow cc1$

$B \rightarrow ab2$

$B \rightarrow ab3$

# Ambiguity of CFGs

- Each sequence of tiles gives two derivations



$S \Rightarrow T \Rightarrow babT1 \Rightarrow babcT21 \Rightarrow babcc221$

$S \Rightarrow B \Rightarrow ccB1 \Rightarrow ccabB21 \Rightarrow ccabab221$

- If the tiles **match**, these two derive the same string

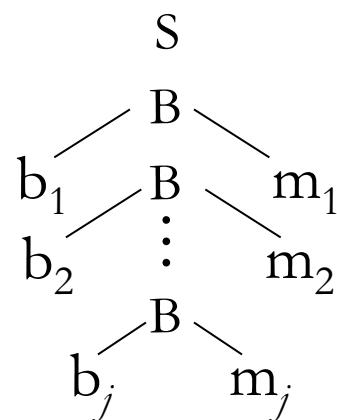
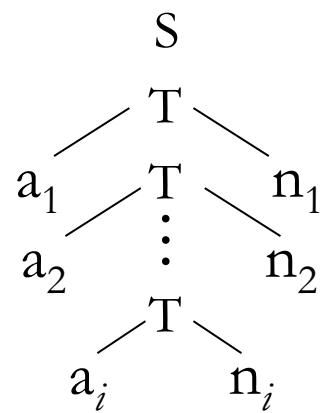
# Ambiguity of CFGs

$T$  (collection of tiles)  $\longrightarrow$   $G$  (CFG)

If  $T$  can be matched, then  $G$  is ambiguous ✓

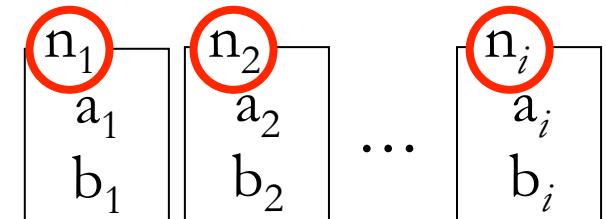
If  $T$  cannot be matched, then  $G$  is unambiguous ✓

- If  $G$  is ambiguous then ambiguity must look like this



Then  $n_1 \dots n_i = m_1 \dots m_j$

So there is a match



# Undecidability of PCP (optional)

---

# Undecidability of PCP

$PCP = \{\langle T \rangle : T \text{ is a collection of tiles that contains a top-bottom match}\}$

The language  $PCP$  is undecidable.

- We show that

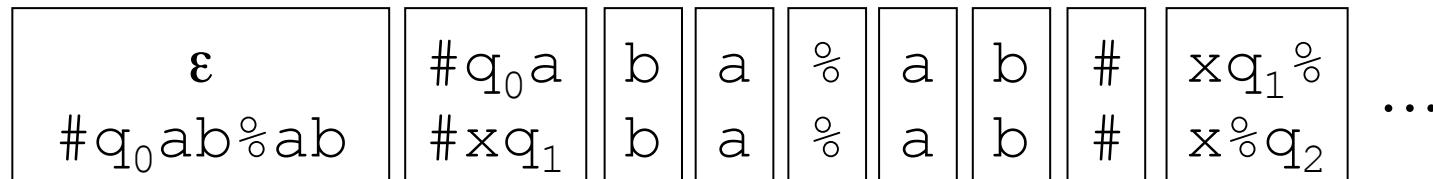
If  $PCP$  can be decided, so can  $A_{TM}$ .

# Undecidability of PCP

$$\begin{array}{ccc} \langle M, w \rangle & \xrightarrow{\hspace{2cm}} & T \text{ (collection of tiles)} \\ M \text{ accepts } w & \xrightleftharpoons{\hspace{2cm}} & T \text{ contains a match} \end{array}$$

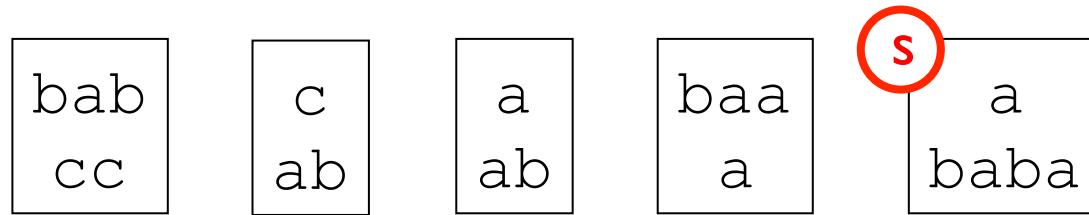
- Idea: Matches represent accepting histories

#q<sub>0</sub>ab%ab#xq<sub>1</sub>b%ab#...#xx%xx◻q<sub>a</sub>#  
#q<sub>0</sub>ab%ab#xq<sub>1</sub>b%ab#...#xx%xx◻q<sub>a</sub>#



# An assumption

- We will assume that one of the PCP tiles is marked as a **starting tile**



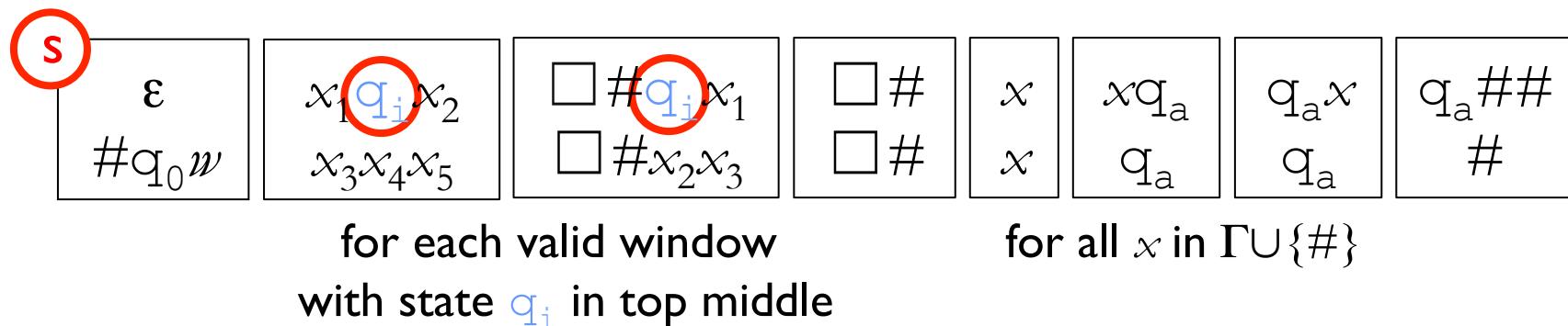
- Later we'll see how to “simulate” the starting tile by an ordinary tile

# Undecidability of PCP

$\langle M, w \rangle \longrightarrow T$  (collection of tiles)

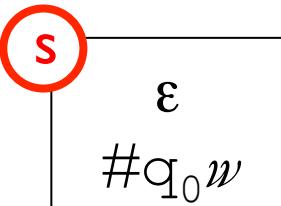
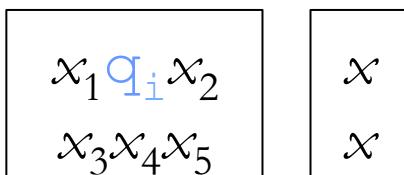
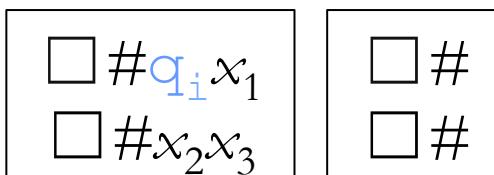
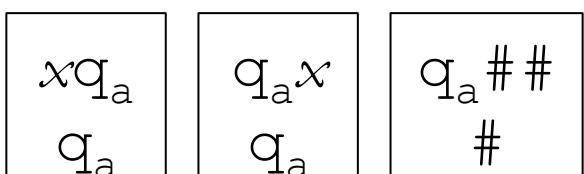
$M$  accepts  $w \iff T$  contains a match

- On input  $\langle M, w \rangle$  we construct these tiles for PCP



# Undecidability of PCP

---

tile type	purpose	
	represents initial configuration	
	represent valid transitions between configurations	
	add blank spaces before # if necessary	
	complete match if computation accepts	

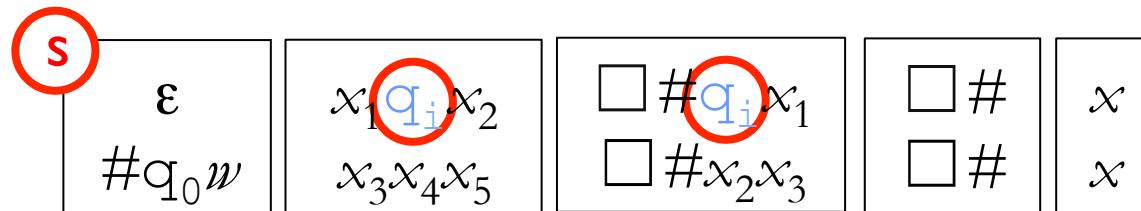
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# Undecidability of PCP

$q_0 a \% ab \# x q_1 \% ab \# \dots \text{ } xx \% xx q_7 \square \# xx \% xx \square q_a$

accepting computation history

$q_0 ab \% ab \# x q_1 b \% ab \# \dots \text{ } xx \% xx q_7 \square \#$



# Undecidability of PCP

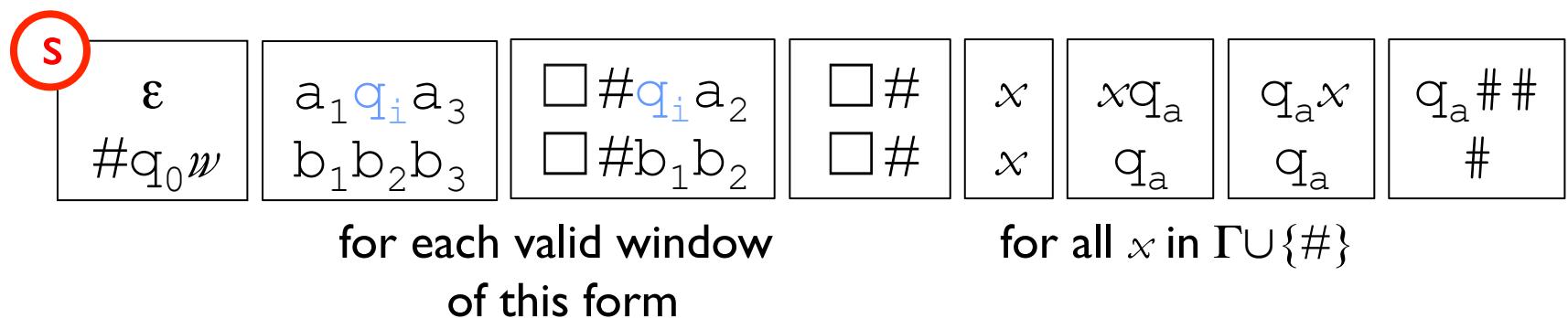
- Once the accepting state symbol occurs, the last two tiles can “eat up” the rest of the symbols

#xx%xx□q<sub>a</sub> #xx%xxq<sub>a</sub>#xx%xq<sub>a</sub>#...#q<sub>a</sub># #  
#xx%xx□q<sub>a</sub> #xx%xxq<sub>a</sub>#xx%xq<sub>a</sub>#...#q<sub>a</sub># #

x	xq <sub>a</sub>	q <sub>a</sub> x	q <sub>a</sub> # #
x	q <sub>a</sub>	q <sub>a</sub>	#

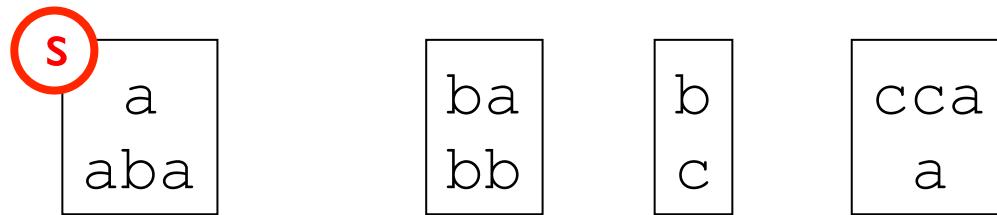
# Undecidability of PCP

- If  $M$  rejects on input  $w$ , then  $q_{\text{rej}}$  appears on bottom at some point, but it cannot be matched on top
- If  $M$  loops on  $w$ , then matching keeps going forever

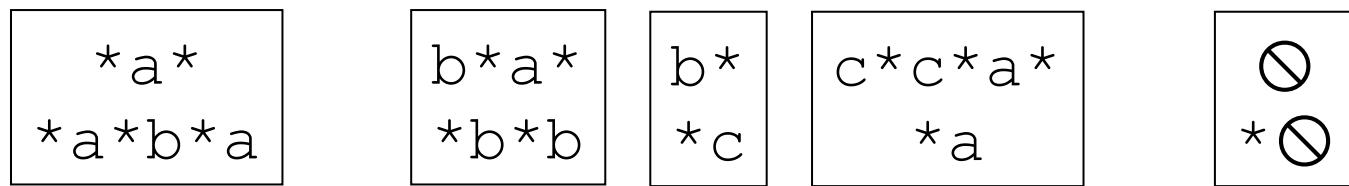


# Getting rid of the starting tile

- We assumed that one tile marked as **starting tile**



- We can remove assumption by changing tiles a bit

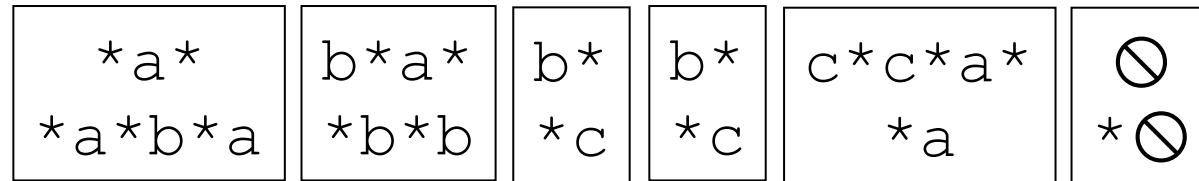
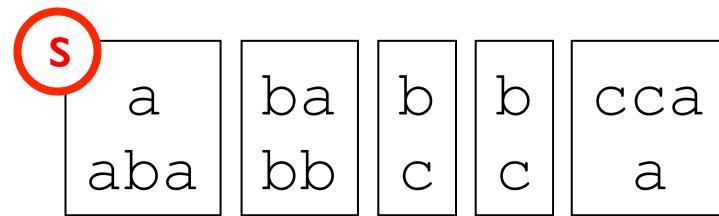


“starting tile”  
begins with \*

“middle tiles”

“final tile”

# Getting rid of the starting tile



can only be used  
as a starting tile

can only be used  
to complete a match

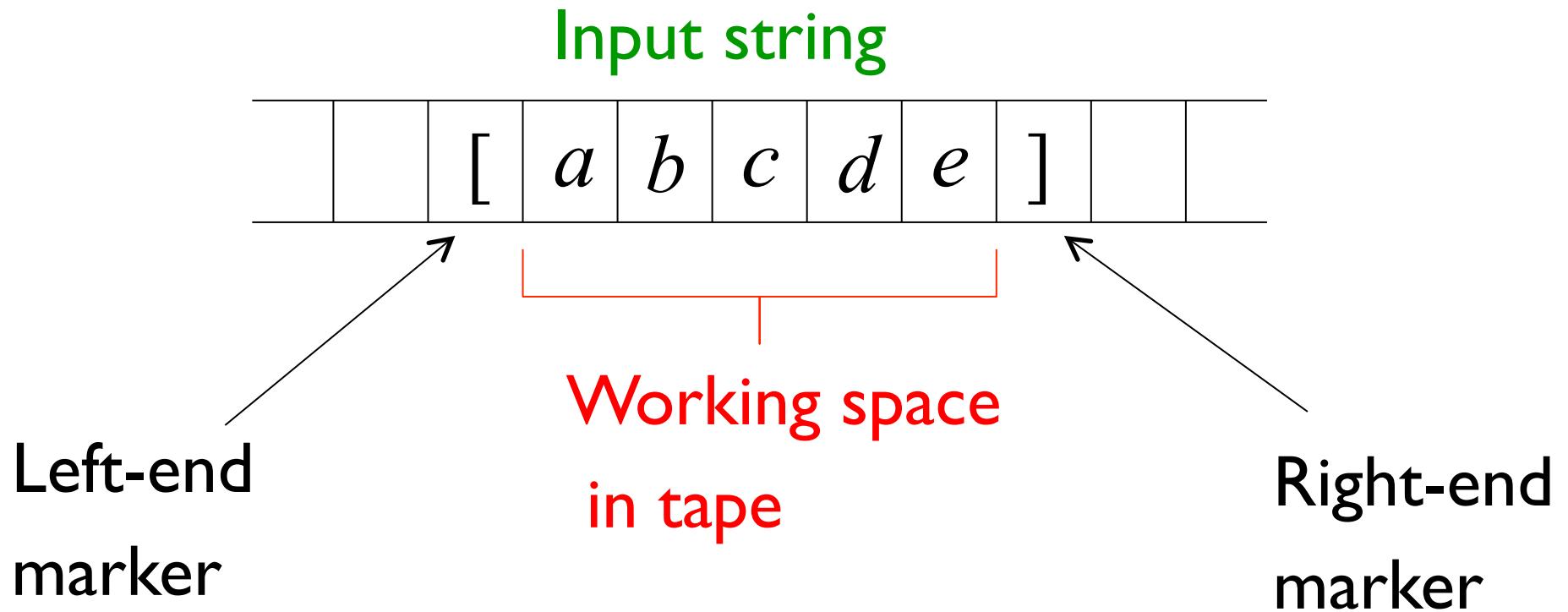
# The Chomsky Hierarchy

# Linear-Bounded Automata:

Same as Turing Machines with one difference:

the input string tape space  
is the only tape space allowed to use

# Linear Bounded Automaton (LBA)



All computation is done between end markers

Example languages accepted by LBAs:

$$L = \{a^n b^n c^n\}$$

$$L = \{a^{n!}\}$$

LBAs have more power than PDAs

(pushdown automata)

LBAs have less power than Turing Machines

We define LBAs as non-deterministic.

**Open Problem (since 1964):**

Do nondeterministic LBAs have the same power  
as deterministic LBAs ?

**LBA vs PDA:**

LBAs are closed under complementation (only  
shown in 1987 by Immerman and Szelepcsényi);

PDAs are not and so deterministic PDAs are  
weaker than PDAs.

# Unrestricted Grammars:

Productions

$$u \rightarrow v$$



String of variables  
and terminals

String of variables  
and terminals

Example unrestricted grammar:

$$S \rightarrow aBc$$

$$aB \rightarrow cA$$

$$Ac \rightarrow d$$

## Theorem:

A language  $L$  is Turing-Acceptable if and only if  $L$  is generated by an unrestricted grammar

# Context-Sensitive Grammars:

Productions

$$u \rightarrow v$$



String of variables  
and terminals

String of variables  
and terminals

and:  $|u| \leq |v|$

The language  $\{a^n b^n c^n\}$

is context-sensitive:

$$S \rightarrow abc \mid aAbc$$

$$Ab \rightarrow bA$$

$$Ac \rightarrow Bbcc$$

$$bB \rightarrow Bb$$

$$aB \rightarrow aa \mid aaA$$

## Theorem:

A language  $L$  is context sensitive

if and only if

it is accepted by a Linear-Bounded automaton

## Observation:

Acceptance of a given input for LBAs is decidable.

(However, emptiness problem is undecidable.)

## Fact:

There is a language which is recursive  
but not context-sensitive.

$L_d = \{ x \mid x \text{ is LBA and } x \notin \mathcal{L}(x)\}$  is decidable  
but not context-sensitive

Is decidable by the following program:

- I. Check if  $x$  is LBA, if not *reject*
2. Run  $x$  on input  $x$ , if accepts then *reject*,  
otherwise *accept*

Now, suppose LBA  $M^*$  recognises  $L_d$ , i.e.  $= \mathcal{L}(M^*)$ .

If  $M^* \notin \mathcal{L}(M^*)$  then  $M^* \in \mathcal{L}(M^*)$ .

If  $M^* \in \mathcal{L}(M^*)$  then  $M^* \notin \mathcal{L}(M^*)$ .

## The Chomsky Hierarchy

