# LEGAL_TEAM_QUESTIONS

# SOUTHGATE TERMINAL

## Facilitator Questions - LEGAL TEAM

### 75-Minute Cyber Crisis Exercise

## PURPOSE

These questions help facilitators guide and assess the Legal Team's compliance management, insurance determinations, and regulatory response throughout the exercise.

## TIMING OPTIONS

- **Option A**: Ask questions every 10 minutes for continuous assessment
- **Option B**: Ask at phase transitions (T+30, T+60, T+90)
- **Option C**: Ask at critical compliance deadlines

## PHASE 1: Policy Gaps & Insurance Concerns (T+0 to T+30)

### P1-1 Questions (T+0 to T+10)

**Question:** "Have you reviewed the expired contingency documents? Have you forwarded these concerns to Executive?"

**Look for:** - Recognition of policy compliance gap - Risk assessment of expired documentation - Proactive communication to Executive

**Notes:** _____ _____ _____ _____

### P1-2 Questions (T+10 to T+20)

**Question:** "Did you review clause 7.4? Are external communications clearly restricted?"

**Look for:** - Insurance clause interpretation (4.7 vs 7.4) - Understanding of communication restrictions - Clear guidance to Media team

**Notes:** _____ _____ _____ _____

### P1-3 Questions (T+20 to T+30)

**Question:** "Did you issue a formal internal comms blackout advisory? What influenced your decision?"

**Look for:** - Insurance compliance considerations - Formal advisory documentation - Rationale for communication stance

**Notes:** _____ _____ _____ _____

## PHASE 2: Vendor Crisis & Privilege Concerns (T+30 to T+60)

### P2-1 Questions (T+30 to T+40)

**Question:** "Have you assessed insurer implications of the vendor leak? Did you notify Executive of your findings?"

**Look for:** - Vendor liability assessment - Insurance notification requirements - Privilege breach recognition

**Notes:** _____ _____ _____ _____

### P2-2 Questions (T+40 to T+50)

**Question:** "Have you initiated the breach response protocol? Are insurer communications clearly documented?"

**Look for:** - Breach classification decision - Regulatory timeline awareness - Insurance notification completion

**Notes:** _____ _____ _____ _____

### P2-3 Questions (T+50 to T+60)

**Question:** "Have detailed breach documents been finalised? Are insurer and stakeholder notifications complete?"

**Look for:** - Documentation completeness - Notification timeline compliance - Stakeholder coverage

**Notes:** _____ _____ _____ _____

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## PHASE 3: Regulatory Pressure (T+60 to T+70)

### P3-1 Questions (T+60 to T+70)

**Question:** "Have formal breach obligations been confirmed with external legal counsel?"

**Look for:** - External counsel engagement - Regulatory requirement confirmation - Compliance timeline management

**Notes:** _____ _____ _____ _____

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# PHASE 4: Final Compliance & Documentation (T+90 to T+120)

## P4-1 Questions (T+90 to T+100)

**Question:** "Have all teams consolidated and verified their documentation? Are final reports accurate?"

**Look for:** - Legal review of documentation - Compliance verification - Risk assessment completion

**Notes:** _____ _____ _____ _____

## P4-2 Questions (T+100 to T+110)

**Question:** "Have final reports been validated for alignment and accuracy? Any outstanding concerns?"

**Look for:** - Legal consistency check - Liability considerations - Unresolved legal issues

**Notes:** _____ _____ _____ _____

## P4-3 Questions (T+110 to T+120)

**Question:** "Have final public statements and formal incident summaries been issued? Are all approvals documented?"

**Look for:** - Legal approval of statements - Compliance documentation - Future legal commitments

**Notes:** _____ _____ _____ _____

# OVERALL ASSESSMENT CRITERIA

### Compliance Management

- [ ] Regulatory deadlines identified and met
- [ ] Insurance requirements understood
- [ ] Documentation standards maintained
- [ ] Notification protocols followed

### Risk Assessment

- [ ] Insurance coverage correctly determined
- [ ] Vendor liability assessed
- [ ] Privilege protection maintained
- [ ] Legal exposure minimised

### Advisory Function

- [ ] Clear guidance to other teams
- [ ] Timely legal opinions
- [ ] Risk-based recommendations
- [ ] Strategic counsel provided

# GENERAL OBSERVATIONS

**Legal Analysis Quality:** _____

_____ _____

**Key Determinations Made:** _____

_____ _____

**Compliance Successes:** _____

_____ _____

**Risk Management Gaps:** _____

_____ _____

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**Facilitator:** _____ **Date:** _____ **Exercise ID:** _____