Comparative Analysis of Deep Learning Models for Network Intrusion Detection Systems

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Abstract—Detecting network intrusions is an imperative part of the modern cybersecurity landscape. Over the years, researchers have leveraged the ability of Machine Learning to identify and prevent network attacks. Recently there has been an increased interest in the applicability of Deep Learning in the network intrusion detection domain. However, Network Intrusion Detection Systems developed using Deep Learning approaches are being evaluated using the outdated KDD Cup '99 and NSL-KDD datasets which are not representative of real-world network traffic. Recent comparisons of these approaches on the more modern CSE-CIC-IDS2018 dataset, fail to address the severe class imbalance in the dataset which leads to significantly biased results. By addressing this class imbalance and performing an experimental evaluation of a Deep Neural Network, Convolutional Neural Network and Long Short-Term Memory Network on the balanced dataset, this research provides deeper insights into the performance of these models in classifying modern network traffic data. The Deep Neural Network demonstrated the best classification performance with the highest accuracy (84.312%) and F1-Score (83.799%) as well as the lowest False Alarm Rate (2.615%) whilst the Convolutional Neural Network was the most efficient model boasting the lowest model training and inference times. Dimensionality reduction using a Sparse AutoEncoder was incorporated to explore the potential benefits of the Self-Taught learning approach but did not improve model performance.

I. INTRODUCTION

The use of the internet and other interconnected networks has grown exponentially in recent years. This rapid development of online technologies has, however, been accompanied by an equally rapid increase in cyberattacks. Globally, Cybersecurity Ventures predicts that cybercrimes will cost companies \$10.5 trillion by 2025. The Cost of Data Breach Report 2020 by researchers at IBM suggests that these devastating consequences are a result of cybersecuirty breachs taking an average of 280 days to identify and contain. One solution to these constantly evolving attack scenarios is cybersecurity systems built on the foundations of Artificial Intelligence (AI) which can leverage the ability of Machine Learning (ML) to identify anomalies and prevent these attacks. One such system is a Network Intrusion Detection System (NIDS) which monitors incoming and outgoing network traffic in an attempt to identify threats as depicted in Figure 1. NIDSs are divided into two major categories; signature-based and anomaly-based [1]. Signature-based systems, also known as misuse-based systems, operate by comparing network traffic with a stored database

of known attack patterns and flagging potential threats based on their similarity to these attacks [2]. The most prevalent drawback of signature-based systems is the inability to identify novel attacks [3]. The storing and maintaining of a database of all known attack patterns is also computationally expensive [4]. On the other hand, anomaly-based systems first determine a benign network traffic baseline and then identify any network activity which deviates significantly from this baseline as a potential threat [5]. The ability of anomaly-based systems to identify unknown attacks has resulted in it becoming the most widely used approach in identifying network intrusions [6].

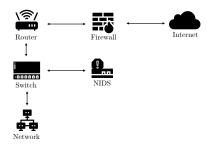


Fig. 1. Network Intrusion Detection System (NIDS) implementation into a wireless network

Recently, researchers have developed anomaly-based systems using ML techniques and have demonstrated the ability of these systems to efficiently solve the network intrusion detection problem [7]. The construction of these traditional ML models unfortunately relies heavily on feature engineering, a time-consuming task requiring a high-level of domain expertise [8]. Conversely, multi-layer Deep Learning (DL) models are capable of automatically extracting complex feature representations from data with little to no human interaction [9]. Researchers have demonstrated that incorporating DL models, such as Deep Neural Networks (DNNs) and Convolutional Neural Networks (CNNs), into the development of NIDS, results in faster and more accurate network intrusion detections, when compared to traditional ML approaches [10], [11]. The popular, two-stage, Self-Taught Learning (STL) approach has also shown promising results in the NIDS domain [1]. These approaches were, however, evaluated using outdated datasets which are no

longer representative of modern network traffic, leading to disputable results. Recent comparisons of these approaches have been performed using more modern network traffic data, specifically the CSE-CIC-IDS2018 dataset, but the severe class imbalance in the dataset is not addressed, resulting in biased performance metrics.

In this research the class imbalance was addressed using an undersampling technique which ensured equal distribution of the classes [12]. The classification performance and efficiency of three DL approaches; a DNN, a CNN and a Long Short-Term Memory (LSTM) network, were evaluated on the undersampled dataset. A Support Vector Machine (SVM), a traditional ML model, was used as a baseline to demonstrate the improvements offered by DL techniques in the NIDS domain and a legacy benchmark dataset, the NSL-KDD was used to validate model implementations. Model classification ability was measured using accuracy, F1-Score and False Alarm Rate (FAR) whilst model efficiency was gauged using model training and inference time. The potential benefits of first using a Sparse AutoEncoder (SAE) to perform dimensionality reduction prior to training the classification model, as outlined in the STL approach, were also investigated for the DNN and CNN models. Preliminary results indicate that there is a trade-off that exists between model classification performance and model efficiency, in that the DNN was able to attain the highest accuracy and F1-Score but the shared network parameters in the CNN architecture resulted in the shortest model training time and fastest inference time. The LSTM model demonstrated the most consistent performance over multiple runs and the inclusion of the SAE for feature extraction did not demonstrate any significant improvements in the performance of the DNN or the CNN.

The contribution of this research is two-fold. Firstly, the experimental comparison provides deeper insights into the performance of DL models in detecting and classifying network intrusions. Secondly, by providing a clear outline of the data preprocessing techniques used and the implementation details of the models, this research presents unbiased and reproducible results which serve as a baseline for future research. The implementation of the data preprocessing and models used are also made publicly available on GitHub, here. The rest of this report is structured as follows; Section II presents a brief review of approaches that have been used to develop and evaluate DL-based NIDS in the literature. Section III outlines the methodology used to conduct the experimental comparison including the datasets used, the preprocessing done to the data, the implementation details of the models, the metrics used to evaluate the models as well as the software and hardware that were utilized to conduct the experimental comparison. Section IV presents the results of the comparison and an interpretation of these results. Lastly, the report is concluded in Section V with a brief summary of the work and recommendations for future research.

TABLE I

KEY CONTRIBUTIONS IN THE LITERATURE
PERFORMANCE METRICS FOR FIVE-CLASS CLASSIFICATION

| Author | Dataset | Model | Results |
|---------------------------|-------------|-----------|---|
| Javaid, 2016 [1] | NSL-KDD | SAE + SMR | Accuracy: 79.1% Precision: 84.0% Recall: 69.0% F1: 75.76% |
| Alrawashdeh, 2016 [6] | KDD Cup '99 | DBN + LR | Accuracy: 97.9% Recall: 97.5% |
| Yin, 2017 [7] | NSL-KDD | RNN | Accuracy: 81.29% |
| Shone, 2018 [9] | NSL-KDD | NDAE + RF | Accuracy: 85.4% Precision: 100% Recall: 85.42% F1: 87.37% |
| Al-Qatf, 2018 [13] | NSL-KDD | SAE + SVM | Accuracy: 80.48% Precision: 93.92% Recall: 68.25% F1: 79.08% |
| Vinayakumar, 2019 [10] | NSL-KDD | DNN | Accuracy: 78.5% Precision: 81.0% Recall: 78.5% F1: 76.5% |
| Xiao, 2019 [11] | KDD Cup '99 | AE + CNN | Accuracy: 94% Recall: 93% |

II. RELATED WORK

As outlined in the introduction, the Self-Taught Learning (STL) framework has become a popular approach in NIDS research. This two-stage approach involves first learning an effective feature representation of the data using a large collection of unlabelled data, referred to as the Unsupervised Feature Learning (UFL) stage of the framework. In the second stage, the learnt feature representations are used as the input to a supervised algorithm which performs the classification task. The initial proposal of this framework, utilized a Sparse AutoEncoder (SAE) for UFL followed by a SoftMax Regression (SMR) for classification and was able to significantly improve the classification performance of a SMR on the NSL-KDD dataset [1]. Following the success of the STL framework, numerous researchers have experimented with different combinations of models to perform the feature extraction and classification tasks as outlined in Table I above. The performance metrics in the table are for the five-class classification task as the records in the KDD Cup '99 and NSL-KDD datasets are classified as either benign or as one of four attack types; User-to-Remote, Denial-of-Service, Probe or Remote-to-Local. The highest accuracy result for each dataset is emphasized in the table.

A Non-symmetric Deep AutoEncoder (NDAE) and Random Forest (RF) were combined to perform the dimensionality reduction and classification respectively [9]. Compared to utilizing a Deep Belief Network (DBN) for feature extraction [6], this approach demonstrated a 5% improvement in accuracy on the NSL-KDD dataset and improved precision, recall, F1-Score and FAR. Using a SAE for feature learning and

an SVM to perform the classification task proved that along with the improvements in accuracy, the STL approach also offered dramatic reduction in model training and testing times [13]. These time reductions are imperative as timeliness is crucial when developing systems to detect network intrusions in real-world environments. Further improvements in model efficiency were illustrated by reducing dimensionality using an AutoEncoder (AE) before transforming the data into a two-dimensional matrix and using a CNN to perform further feature extraction and the classification task [11]. Due to Recurrent Neural Networks (RNNs) being developed as a means to model sequential data, utilizing these models in NIDS development allows for the incorporation of the temporal aspect of the network data and this approach has been shown to outperform a number of traditional ML approaches on the NSL-KDD dataset [7]. DNNs have also been utilized to perform both the feature extraction and classification tasks and demonstrated superior performance when compared to traditional ML models [10]. The literature, however, lacks an objective comparison of these different DL approaches. The primary disadvantage of the approaches outlined above is the utilization of the KDD Cup '99 and NSL-KDD datasets when evaluating model performance. Network architectures have changed dramatically over the past 20 years and these older datasets no longer represent modern attack styles [4]. Researchers continue to validate their use of these older datasets with the fact that it allows them to draw comparisons with other academic literature but if future researchers continue using only these datasets to evaluate their work, their conclusions will only become more disputable [9]. In order to address the lack of an empirical comparison of DL models for network intrusion detection on newer datasets, researchers have recently begun performing comparisons of these models on the CSE-CIC-IDS2018 dataset. Their results are summarized in Table II and a brief overview of each of the comparisons is given below.

TABLE II
DEEP LEARNING MODEL PERFORMANCE IN RECENT COMPARISONS

| Author | Model | CSE-CIC-IDS2018 Results |
|-------------------|-----------|-------------------------|
| Ferrag, 2020 [14] | DNN | Accuracy: 97.281% |
| | RNN | Accuracy: 97.310% |
| | CNN | Accuracy: 97.376% |
| | RBM | Accuracy: 97.280% |
| | DBN | Accuracy: 97.302% |
| | DBM | Accuracy: 97.371% |
| | DAE | Accuracy: 97.372% |
| Gamage, 2020 [15] | DNN | Accuracy: 98.38% |
| | AE + DNN | Accuracy: 98.22% |
| | DBN + DNN | Accuracy: 98.31% |
| | LSTM | Accuracy: 97.60% |

In the first comparison, the authors utilize the taxonomy presented by Deng and Yu [16] to classify DL approaches into two categories; deep discriminative models and

generative/unsupervised models. The models they select for their comparison include a RNN, a DNN and a CNN (deep discriminative models) as well as a Deep AE, a Restricted Boltzmann Machine (RBM), a Deep Boltzmann Machine and a DBN (generative/unsupervised models) [14]. Although their comparison includes a wide variety of models, it contains very little information about data pre-processing and does not provide details about the model architectures and hyperparameters used. A new taxonomy of DL models for network intrusion detection is proposed by the authors of the second comparison. Their taxonomy divides models into 4 categories namely; supervised instance learning, supervised sequence learning, semi-supervised instance learning and other learning paradigms. In their evaluation, a DNN is selected to represent the first category, an LSTM to represent the second and an AE as well as a DBN to represent the third [15]. The authors believe these models are representative of the different approaches for building DL models, but their evaluation is undermined by the fact that they do not include a CNN in their comparison, which the authors of the first comparison found to be the best performing model on the CSE-CIC-IDS2018 dataset. However, a significant result found in this first comparison is that the inclusion of an AE to perform dimensionality reduction was unable to improve the classification performance of the DNN.

The major downfall of both these comparisons is that the authors do not address the class imbalance present in the CSE-CIC-IDS2018 dataset. This class imbalance makes the identification of minority classes by DL models difficult and introduces bias in favour of the majority classes resulting in deceptively high performance metrics [17]. As previously noted, in order to ensure the results from the comparison in this research were not biased by the class imbalance, an undersampling technique was incorporated into the data preprocessing of the CSE-CIC-IDS2018 dataset. Furthermore, to ensure the comparison was representative of the best performing models from prior work, the empirical comparison includes a DNN, a CNN, a LSTM and two STL models which use a SAE for dimensionality reduction and a DNN and CNN, respectively for the classification task.

III. METHODOLOGY

The related work section above identified a number of shortfalls found in the literature. The list below outlines how each of these shortfalls were addressed in this research.

- The use of outdated datasets: The NSL-KDD dataset was used purely to verify that the models were implemented correctly and attained results similar to those reported in the literature. Thereafter, the models were evaluated using the modern CSE-CIC-IDS2018 dataset.
- Not addressing the class imbalance of the CSE-CIC-IDS2018 dataset: An undersampling technique which ensures an equal class distribution was incorporated into the preprocssing of the CSE-CIC-IDS2018 dataset.

- Unrepresentative model selection: In order to ensure that the comparison is representative of all categories across both taxonomies presented in the literature a DNN, a CNN, a LSTM as well as a DNN and CNN which use a SAE for dimensionality reduction were included in the comparison. The SAE is used in place of the DBN as prior work demonstrated the superior performance of the AE and its variants to perform feature extraction. [9], [14], [15]
- Not providing information about data preprocessing, model architectures and hyperparameters: The data preprocessing procedure used for both the NSL-KDD and CSE-CIC-IDS2018 dataset, the model architectures and hyperparemeters are all delineated below.

A. Data

As evident in the related work section, the two most used datasets in NIDS research are the KDD Cup '99 and the NSL-KDD datasets. The KDD Cup '99 dataset was generated in 1999 from the DARPA98 network traffic which was collected over 9 weeks in raw tdpdump format [1]. The KDD Cup '99 has been used as a benchmark in NIDS research for many years but suffers from the major drawback that about 75% of the records are redundant. The NSL-KDD dataset was derived from the original KDD Cup '99 dataset and effectively solved the inherent redundant record problem [7]. It also classified the records into different difficulty levels based on the number of traditional ML algorithms that were able to correctly classify the records. The NSL-KDD dataset consists of 41 network traffic features which contain both host-based and time-based information.

In order to address the lack of a modern network traffic dataset, the CSE-CIC-IDS2018 dataset was developed as a comprehensive and diverse benchmark dataset for network intrusion detection, specifically anomaly-based methods [18]. The dataset is the result of a collaborative project between the Communications Security Establishment (CSE) and the Canadian Institute of Cybersecurity(CIC). The CSE-CIC-IDS2018 dataset is the most recent network intrusion detection dataset that is publicly available, contains a wide range of attack types and consists of enough network traffic to be considered big data [17]. The dataset contains 16,233,002 instances of network traffic captured over the course of 10 days. Seven different attack scenarios are represented in the dataset; Denial-of-Service (DoS), Distributed Denial-of-Service (DDoS), Bruteforce, Heartbleed, Botnet and inside infiltration. The dataset consists of 80 features extracted from the raw network data by the CICFlowMeter-V3, a network traffic flow analyser [18]. As previously noted, a prevalent issue in the CSE-CIC-IDS2018 dataset is the severe class imbalance, only 17% of the dataset consits of attack records whilst the remaining 83% is benign network traffic data.

B. Data Preprocessing

The NSL-KDD dataset is provided as two separate csv files, one containing the data for model training and one containing testing data. The files contain a total of 43 columns, including the 41 features, an attack type label and a difficulty level. Due to the way the difficulty level was derived it was removed from the dataset. The 'num_outbound_calls' feature was also removed as it has a value of zero for all records and would not contribute to the classification ability of the models. The dataset originally contains 23 different categories for the attack type label which are grouped into 5 different attack classes for the classification task as outlined in Table III below. The features indicating the protocol type used in the connection, the destination service used and the status of the connection are all categorical features with 3, 70 and 11 categories respectively. These categorical features were onehot encoded, yielding a total of 121 features, and 1 attack type class label. Although the dataset is already divided into training and testing data by the providers, 20% of the training data was used as validation data for hyperparameter tuning. Min-max normalization was used to scale all of the features in both the training data and testing data of the NSL-KDD and CSE-CIC-IDS2018 datasets.

TABLE III
NSL-KDD CLASS DISTRIBUTION FOR
TRAINING AND TESTING DATA SETS

| Class | Training Data | | Testing Data | |
|--------------|---------------|------------|--------------|------------|
| | Records | Percentage | Records | Percentage |
| Benign | 67 343 | 53.458% | 9711 | 43.076% |
| Dos Attack | 45 927 | 36.458% | 7460 | 33.091% |
| Probe Attack | 11 656 | 9.253% | 2421 | 10.739% |
| U2R Attack | 52 | 0.041% | 67 | 0.297% |
| R2L Attack | 995 | 0.790% | 2885 | 12.797% |

The CSE-CIC-IDS2018 dataset is provided as 10 files corresponding to the 10 day period over which the network traffic was captured. In order to reduce computational costs, instead of processing the entire dataset at once, these 10 files were partitioned according to the attack type which was being simulated on that day as outlined in Table IV below. Each partition of the dataset was preprocessed in the same way with records containing missing or erroneous values being removed and the protocol type feature being one-hot encoded. As previously noted, an undersampling technique was utilized to address the heavy class imbalance present in the CSE-CIC-IDS2018 dataset. This undersampling technique involved identifying the attack class with the least number of records in the dataset and then randomly selecting the same number of records for each of the other attack classes. The least frequent attack type class in the dataset after removing duplicates and missing data was the Web Attack class with 928 records, this meant that 928 attack records were selected from each partition. Benign records were then selected from

TABLE IV
CLASS DISTRIBUTION FOR CSE-CIC-IDS2018 PARTITIONS

| Partition | Files Included | Attack Records | |
|--------------|-----------------------------------|-----------------|----------------|
| | | Before Cleaning | After Cleaning |
| BruteForce | 02-14-2018.csv | 380 949 | 156 668 |
| DoS | 02-15-2018.csv, 02-16-2018.csv | 654 300 | 506 075 |
| Web | 02-22-2018.csv, 02-23-2018.csv | 928 | 928 |
| Infiltration | 02-28-2018.csv, 03-01-2018.csv | 161 934 | 135 270 |
| Botnet | 03-02-2018.csv | 286 191 | 282 310 |
| DDoS | 02-20-2018.csv, 02-21-2018.csv | 1 263 933 | 1 246 366 |

each partition according to the proportion of total benign records in the original dataset that each partition contained. Unlike the NSL-KDD which is already split into training and testing data, the CSE-CIC-IDS2018 dataset needs to be divided manually. 60% of the undersampled data was used for training, 20% was used for validation and 20% was used for evaluation. This split was done in a stratified manner, to ensure the distribution of the target class was similar in each subset of data.

The incorporation of the CNN model requires further preprocessing as the one-dimensional network traffic data needs to be transformed into the two-dimensional matrix form required for the input of the CNN. Once each feature had been normalized using min-max normalization, these normalized values were used as the intensities of the pixels in the image data representations. For the NSL-KDD dataset, each record was represented by a feature vector with 121 features and could therefore be transformed into an 11x11 image. After preprocessing the CSE-CIC-IDS2018 contained 79 features, due to its prime nature this number of features could not inherently be transformed in the same way. Therefore two features, containing all zeros, were added to the CSE-CIC-IDS2018 dataset, this allowed the records to be transformed into 9x9 images without effecting the classification ability of the DL models used in the comparison. Figure 2 and Figure 3 contain examples of the newly formed two-dimensional input images for both datasets. For the STL models, where the SAE was used to reduce dimensionality, the NSL-KDD was reduced to 100 features because, as demonstrated in prior research, this is the optimal number of features to use when extracting features from the NSL-KDD dataset [11]. The CSE-CIC-IDS2018 dataset was reduced to 64 features, as this number of features was able to improve the performance of the baseline SVM model the most. The reduced features of each dataset were thereafter transformed into 10x10 and 8x8 images, respectively, to perform the classification task using the CNN.

TABLE V HIDDEN LAYER ARCHITECTURE FOR MODELS

| Model | Hidden Layer Architecture | |
|-------|--------------------------------------|--|
| DNN | Fully Connected - 64 Neurons | |
| | Batch Normalization | |
| | Dropout - $p = 0.2$ | |
| | Fully Connected - 32 Neurons | |
| | Batch Normalization | |
| | Dropout - $p = 0.2$ | |
| | Fully Connected - 16 Neurons | |
| | Batch Normalization | |
| | Dropout - $p = 0.2$ | |
| CNN | Convolution - 8 filters , 2×2 kernel | |
| | Batch Normalization | |
| | MaxPool - 2×2 pool size | |
| | Convolution - 16 filters, 2×2 kernel | |
| | MaxPool - 2×2 pool size | |
| | Dropout - $p = 0.2$ | |
| | Fully Connected - 64 Neurons | |
| LSTM | Fully Connected LSTM- 64 Neurons | |
| | Batch Normalization | |
| | Dropout - $p = 0.2$ | |
| | Fully Connected LSTM- 32 Neurons | |
| | Batch Normalization | |
| | Dropout - $p = 0.2$ | |

C. Models

The initial implementation of each model was done using the model architectures and hyperparameter values reported by the authors who originally proposed the models in prior work. Further hyperparameter tuning was performed experimentally by observing the effect of varying model architectures and hyperparameters on the performance metrics of each model on the validation dataset. Table V contains a detailed breakdown of the hidden layer architectures used for each model, the number of input neurons was determined by the input features of each dataset whilst the number of neurons in the output layer coincided with the number of target classes in the dataset. To reduce the possibility of overfitting when training the models early stopping, a form of regularization which stops training once the model performance on an unseen subset of data (the validation set) stops improving, was included in the training process. The validation loss was monitored and if no improvement was detected for 5 epochs, training was stopped and the best performing network weights were restored. With the incorporation of early stopping, the number of epochs that each model should train for did not need to be explicitly set as a hyperparameter, as it varied depending on the number of epochs required for the validation loss to reach convergence. To ensure any variance in the training processes, including the variance caused by randomly splitting data, was accounted for, the training and evaluation process for each model was repeated five times, with all reported metrics being the mean of the five iterations.



Fig. 2. Two-dimensional Image Data Examples for each class in the NSL-KDD dataset



Fig. 3. Two-dimensional Image Data Examples for each class in the CSE-CIC-IDS2018 dataset

In order to accelerate training, a batch size of 256 records was utilized along with Batch Normalization. Batch Normalization is an optimization method proposed by Google, in which each batch of data used in training is standardized before being fed to the next layer in the network, thereby stabilizing and accelerating training. Dropout, another regularization technique presented by Hinton [19], was also used to further reduce the risk of overfitting in the model training process. This technique involves randomly setting the weights of some hidden layer neurons to zero, temporarily excluding those neurons from the training calculations hereby preventing the development of unwanted co-dependencies between neurons. The dropout parameter p indicates the probability that a neuron will be excluded at each step of training and was set to 0.2, as prior research indicates this is the optimal value for reducing overfitting without hindering model performance [15]. The SAE, a variant of the AE, that introduces a sparse penalty to ensure the AE learns a more concise and efficient low-dimensional feature representation was used for feature reduction in the STL models. The sparsity parameter was set to 0.2 as previous researchers experimentally evaluated the effect that varying the sparsity parameter had on model performance and demonstrated that a value of 0.2 could increase model accuracy and reduce model training time [13]. As indicated in the data preprocessing section above, the NSL-KDD and CSE-CIC-IDS2018 datasets were reduced to 100 and 64 features respectively.

D. Evaluation Metrics

The objective of a NIDS is to obtain a high accuracy and F1-Score with a low False Alarm Rate [7]. These three indicators are the most frequently used performance metrics when evaluating DL-based NIDS and are therefore the primary evaluation metrics used to empirically evaluate and compare models in this research. The problem in NIDS development is, however, two-fold. Not only do the performance metrics need to demonstrate that the model is effective, but the

model also has to be efficient as timeliness is critical in modern NIDS development. Thus, along with the performance metrics outlined above, the comparative analysis included an examination of the time required to train each of the models as well as the time it took each model to make inferences about the testing data.

E. Software and Hardware

In order to ensure a fair comparison of the different DL models, each model was constructed, trained, tested and evaluated on the same computing device using the same software frameworks. Model construction was done using Python with the TensorFlow and Keras frameworks. A 13-inch Apple M1 MacBook Pro with 8GB RAM was used to carry out the experimental evaluation.

IV. RESULTS

A. Verification of Model Implementation

To verify the implementation of the models used in this comparison, the results attained on the benchmark dataset, the NSL-KDD, in prior works were compared to the results achieved in this evaluation. As evident in Table VI, the classification performance of these models were very similar to the results found in the literature (within 3%). These minor disparities can be attributed to differences in preprocessing techniques and the variance associated with training neural networks.

TABLE VI
NSL-KDD FIVE CLASS CLASSIFICATION ACCURACY COMPARISON WITH
PRIOR WORK

| Model | Prior Work | This Work |
|-------|--------------|-----------|
| SVM | 76.761% [13] | 74.778% |
| DNN | 78.5% [10] | 76.127% |
| CNN | NA | 74.101% |
| LSTM | 77.26% [15] | 76.132% |

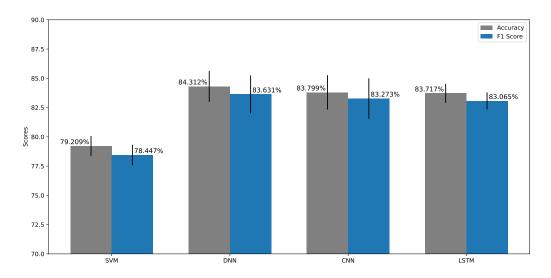


Fig. 4. Model classification performance on undersampled CSE-CIC-IDS2018 dataset, results averaged over 5 iterations with error bars indicating 1 standard deviation.

B. Comparing Model Classification Performance

As previously noted, in order to account for any variance in the data splitting and model training processes, each model was trained and evaluated five times, with the reported metrics being the mean of the five iterations and the error bars on the plots in this section representing a single standard deviation, calculated over the five runs. As evident in Figure 4, all three DL models were able to outperform the classification performance of the traditional ML model, the SVM. Although the DNN was able to attain the highest average accuracy and F1-Score, the LSTM achieved the lowest variance across multiple runs. By reducing the number of neurons from hidden layer to hidden layer in the DNN, the model is able to learn complex features and extract better representations of the data which in turn can be used to make more accurate classifications. The low variance of the LSTM model is a result of the feedback loop incorporated in the architecture of LSTMs which is capable of memorizing previous information and applying it to the current output. This allows it to learn long term dependencies in the network data and reduce the variability of the run-to-run classification performance. The transformation of the network traffic data into twodimensional images and the utilization of a CNN to classify these image data does not appear to improve classification performance. Due to the fact that the network traffic data does not inherently contain any spatial information, the positional relation of the features in the two-dimensional form does not appear to provide the model with any useful additional information resulting in similar performance to the other neural networks.

Once again in Figure 5, it can be observed that all three of the DL models were able to reduce the FAR on the undersampled CSE-CIC-IDS2018 dataset, when compared to the shallow learning baseline. The lowest FAR is attained

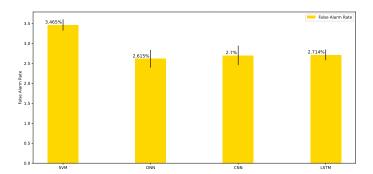


Fig. 5. False Alarm Rate of models on undersampled CSE-CIC-IDS2018 dataset, results averaged over 5 iterations with error bars indicating 1 standard deviation.

by the DNN whilst the lowest variance is achieved by the LSTM, which coincides with the observations made above and reaffirms the corresponding interpretations.

C. Comparing Model Efficiency

The results in Figure 7 and Figure 8 reveal that there is a trade-off that exists between model accuracy and model efficiency. Although the CNN was not able to improve classification performance, these figures demonstrate that the shared network parameters in the CNN model architecture allow for a significant reduction in model training and testing times. These reductions in training time are imperative in NIDS development, as in order to develop real-time intrusion detection systems, these models will need to learn from new network traffic data as it becomes available. These systems also need to make predictions rapidly, as attacks that are identified too late may result in devastating consequences. Whilst significant work is still required to develop online systems, these reductions in training and testing times are a step in the right direction. Due to the connections which exist between the neurons in the hidden layers of the LSTM

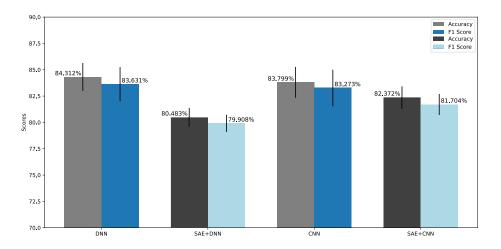


Fig. 6. Comparison of STL Models with vanilla implementation of the DNN and CNN on the undersampled CSE-CIC-IDS2018 dataset, results averaged over 5 iterations with error bars indicating 1 standard deviation.

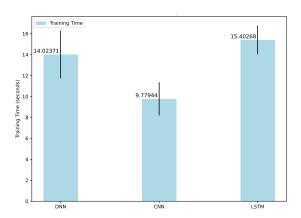


Fig. 7. Time taken for validation loss to converge in model training on undersampled CSE-CIC-IDS2018 dataset, results averaged over 5 iterations with error bars indicating 1 standard deviation.

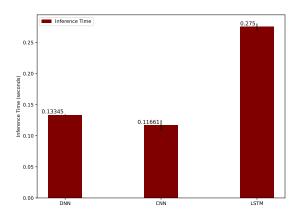


Fig. 8. Time taken for model to make predictions on test data on undersampled CSE-CIC-IDS2018 dataset, results averaged over 5 iterations with error bars indicating 1 standard deviation.

model, this model has an increased number of weights, which results in a lengthy forward propagation step and an increased inference time as apparent in Figure 8.

D. Self Taught Learning Approach

Figure 6 outlines that the utilization of the SAE for unsupervised feature learning prior to model training did not increase the classification performance of the DNN or the CNN network. Evidently the feature extraction being performed results in a loss of useful information which hinders the ability of the models to classify the records of network traffic in the undersampled CSE-CIC-IDS2018 dataset. This coincides with the results found in prior related works, in which the inclusion of an AE for feature extraction was unable to improve the classification ability of a DNN on the CSE-CIC-IDS2018 dataset [15].

V. DISCUSSION AND CONCLUSION

The rapid development and deployment of network-based technologies has led to an increase in the number of cyberattacks that occur. Network Intrusion Detection Systems are a vital cybersecurity tool which aim to identify potential threats and prevent these attacks by monitoring network traffic. Recently, the incorporation of DL algorithms into the development of these systems has led to a drastic increase in effectiveness and efficiency. Particularly, the hybrid STL approach of using an unsupervised model to reduce the dimensionality of the data through feature extraction, followed by a supervised model to perform the classification has gained significant traction in the literature. Alternative approaches which incorporate the temporal aspect of network data have also shown promising results. These novel systems have, however, been evaluated using the outdated KDD Cup '99 and NSL-KDD datasets which are not representative of modern network traffic. The utilization of these legacy datasets allows researchers to draw comparisons with other academic literature but leads to unrealistic results and disputable conclusions. Researchers who have begun evaluating these DL approaches on the more modern CSE-CIC-IDS2018 dataset do not address the severe class imbalance in the data which causes biased performance metrics. By addressing this class imbalance, and performing a comparative analysis of the classification ability and model efficiency of a DNN, a CNN and a LSTM on the balanced CSE-CIC-IDS2018 dataset, this research addresses the lack of a fair evaluation of DL models found in the literature by providing insights into the performance of these models in classifying modern network traffic data. All three DL approaches were able to outperfrom the shallow learning baseline, a SVM. Although the LSTM was the most reliable model and the CNN was the most efficient, the DNN boasted superior classification ability. Two STL models which use a SAE to perform feature extraction were also incorporated to ensure the comparison was representative of the best approaches found in the literature. However, these STL models did not demonstrate any improvements in model performance. This research evaluated how DL techniques currently perform on modern network traffic data and serves as a baseline for future DL-based NIDS research. Potential avenues for future research include the comparison of utilizing different dimensionality reduction techniques such as RBMs and DBNs to perform the feature extraction stage of the STL approach. These approaches could potentially extract features without causing a loss of useful information. Reinforcement Learning and Transfer Learning have also demonstrated impressive results in recent NIDS research [20], [21]. Comparing these approaches with the approaches evaluated in this research would be a beneficial contribution to the NIDS research community.

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