NIST Special Publication 500-XXX

A Common Data Format for Election Results Reporting

Author Other authors

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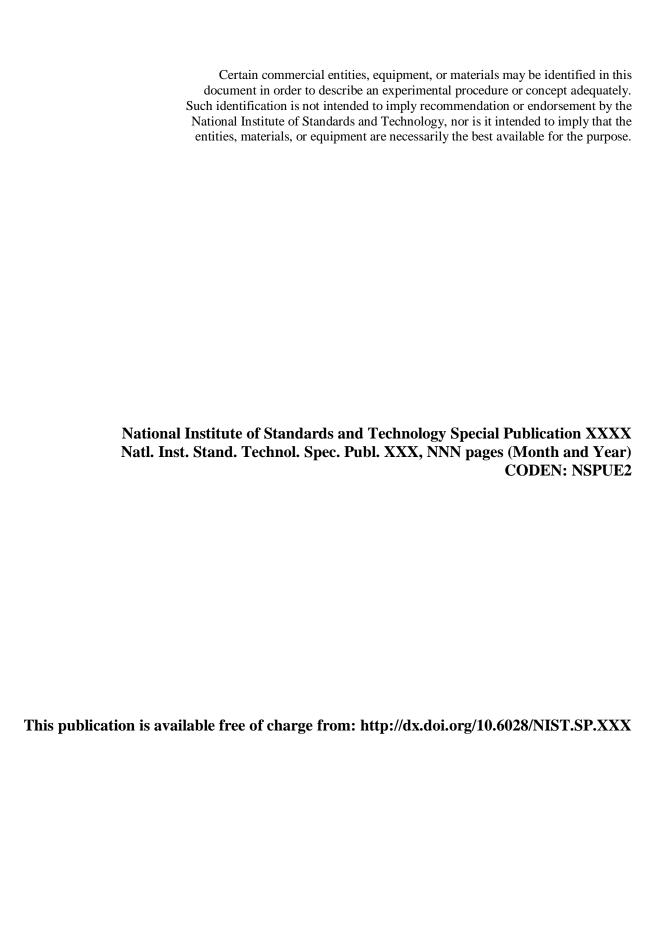
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- Address the following questions:
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 - What was the <u>objective</u> of the activity being documented?
 - What was the <u>scope</u> of the activity?
 - What were the principal conclusions and recommendations?
- *Use complete sentences*;
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- Avoid using equations and tables; and
- Avoid using "this draft" in the Abstract, for it can be easily overlooked when the final version is published.

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term 1; term 2; term 3; term 4; etc.[Alphabetic order, separated by a semicolon]

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- Avoid overkill—use less than 10 keywords, if possible;
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- Consider the words or phrases that a user might search on;
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- List the research areas, the general type of study, or the general class of processes examined;
- *Identify the specific problem and the method used;*
- *Indicate the type of results reported;*
- *Include synonyms*;
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Acknowledgements

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1 Introduction

This guide specifies an XML (eXtensible Markup Language) format for exporting election and election results data from voting systems used for managing elections and tabulating election results across states and territories of the United States. It allows for reporting on information known in advance about the election, for reporting election night results, and for reporting on updates and certified results from the post-election canvass. It can be used for reporting election results from distributed voting places to central offices of the county or state, and from county or state offices to news media and the general public. The electronic formats can also be used as a basic export of election information from an election management system (EMS) and for combining election data from different EMSs or transferring election data between EMSs.

This guide includes a data model in UML (Unified Modeling Language) that itemizes and defines the data involved in election management and election results reporting. The XML format is derived on this model.

The primary features of this guide include:

- Major data elements and their attributes and associations with each other are fully defined as part of the data model.
- The data model can be used to generate data formats for today's election systems as well as for election systems to be developed.
- Election data and results can be reported at flexible levels from highly aggregated to very detailed.
- Detailed reporting includes by device types, by types of ballot, and down to various geopolitical geographies including precinct, split precinct, and by specific equipment.
- Geo-political units of geography can be specified in a flexible manner that will accommodate most or all states and jurisdictions reporting structures.
- Major elements such as for contests, geo-political units, and parties include multiple identifiers so as to support a future guideline on uniform codes for election objects.
- Detailed instructions for implementation and use of the formats are included.
- Accommodations for various non-standard features of elections have been made, e.g., ballot fusion in New York.

1.1 Purpose

The purpose of this guide is to provide common data interchange formats for reporting election results that manufacturers of voting equipment can integrate into their products and for which election offices, the media and other groups can develop or procure to facilitate reporting and analysis of election results.

Advantages of using this guide include:

• Election results can be reported directly from election offices in this format regardless of voting systems manufacturer, thus enabling (or providing) interoperability.

- The need for custom software and custom reporting formats can be greatly reduced.
- Jurisdictions that use multiple versions of EMSs and tabulators can more easily combine the results into one report and transfer information between systems.
- Jurisdictions can name election objects (i.e., contests, units of geo-political geography) according to local, state, and national-level schemes to achieve greater uniformity in naming.
- Potential improvements in the usability and accuracy of election reconciliations, audits, and analysis can be realized.
- Consistency in election results reports across different voting systems will make reporting on election performance, e.g., for the EAC (Election Assistance Commission) election survey and other election analyses, easier and more accurate.

1.2 Audience

The intended audience of this guide includes election officials, manufacturers and developers, as well as others in the election community and the general public. Election results reporting is deceptively complex, thus some background in election administration is useful in understanding the material in this guide. The guide also includes appropriate overview information where deemed useful for more effective communication.

1.3 Motivation and methodology

This document was motivated primarily to reduce the inherent complexity for U.S. election officials in collecting election results and publishing them, especially on election night when time frames are tight and there are more opportunities for error and a greater need for automation. The process of reporting election results is a highly complicated activity that occurs over several different time frames and in multiple scenarios. The equipment involved and data produced often do not interoperate, adding more complexity to the process. Additionally, there are sometimes significant variations among different jurisdictions within a state as well among the states themselves in the way they perform election results reporting.

NIST worked with a community of U.S. election officials, analysts, and voting system manufacturers to analyze different reporting scenarios and their associated geo-political geographies throughout the United States, to analyze existing voting systems and how equipment in the future may operate, as well as to analyze how election results are themselves typically studied and used post-election. This resulted in the following three-step process:

- Development of three use cases for election reporting activities that correspond to the different time frames and reporting scenarios:
 - Pre-election describes election data that is known ahead of the election;
 basically an export from an EMS of the contests, candidates, ballot initiatives,
 information about offices, and the geo-political geographies associated with the reporting jurisdiction.
 - Election night addresses reporting of election results to varying levels of detail.
 The results can be summarized by contest and jurisdiction or broken down by individual reporting units such as precincts. The reports can be formatted in

- various ways, including as updates or corrections to previous reports, or as internal intermediate reports within a state or county.
- **Post-election** addresses updates and the final results compiled during the post-election canvass.
- Development of an election results data model that represents the data of the use cases and defines how the election results data are optimally associated and organized,
- Lastly, generation of the XML data formats from the model.

The advantages of using a UML data model include that the model is independent of a concrete format; if changes are needed to the format, one can make changes to the model and generate or derive a new version of the format using commercial products.

This guide addresses U.S. elections and is not intended for use "as is" in elections in other countries. However, the guide was written with the intention that it be adaptable to other election environments. Terminology used in this guide would naturally differ from that used in other countries.

1.4 Document Structure

Sections 1 and 2 deal with introductory and background material. One of the most complicated aspects of election results reporting is understanding and dealing with geo-political units of geography and how they are structured differently across states and jurisdictions, therefore Section 2 includes an overview of geo-political geographies, describing how they overlay each other and how results are tied to them. Section 3 contains an overview of the three use cases for election results reporting and the UML data model that implements the use cases. Section 4 contains the XML schema that is derived from the UML model and associated documentation. Section 5 describes how to use the major features of the schema.

The appendices include references, definitions, and acronyms.

2 Background: Geo-Political Geography and Election Results Reporting

This section provides an overview of the geo-political geography in the United States as it relates to elections and election reporting and serves to provide background for how geo-political geography is implemented in the UML model and ElectionResultsReporting schema.

2.1 Types of Geo-Political Geography

As it relates to the voting process in the US, units of geo-political geography include jurisdictions, electoral districts, precincts, and several other geographical units associated with political boundaries. Vote counts can be associated with these units and, generally, the media and election analysts wish to obtain voting results broken out by these units, with precincts generally being the smallest unit and building block of geo-political geography. Ballot counts and vote counts for contests can be associated with a variety of different types of geo-political geography, ranging from aggregated counts associated with a county or state down to more detailed counts associated with a precinct and breakdowns of precinct.

Geo-political geography can be quite complex; some of these geographies form hierarchical relationships whereas others overlap, and some can change their boundaries every few years. This makes detailed reporting on elections and aggregation of the detailed results complicated. Cities and districts in particular can cut across precinct lines, splitting them into multiple parts (called split precincts), and each part requiring separate tracking. Quite often, different states or counties within the same state may report elections in different ways, associating results with geo-political units differently in each case.

The following sections itemize three general types of geo-political geography that election officials generally recognize:

- 1. Governmental-based Geography
- 2. Political-based Geography
- 3. Administrative-based Geography

2.1.1 Governmental-based Geography

Governmental-based geography refers to entities that have generally been set up from the beginning of time within the state and that tend to not change over time, with the exception of some cities. For many states, the governmental-based geography is hierarchical, as shown in Figure 1. These can be categorized as follows:

- States
- Counties and parishes
- Cities
- Indian Reservations
- Towns and Townships
- Other Civil Divisions

Nearly all states have counties, although some use different words to describe them, e.g., parishes for Louisiana and boroughs for Alaska. Within the counties in 20 states are townships, which observe their county boundaries. In the six New England states, townships run the election process and there is no county government, thus election results are reported directly to the state. Townships in Michigan, Minnesota and Wisconsin also run their elections, but report their information to the county, which then reports to the state.

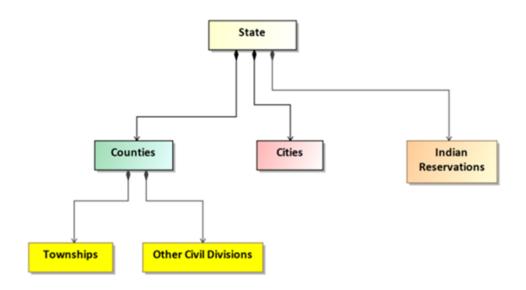


Figure 1 - Governmental Geographies

Governmental-based geographies generally do not cross the lines of the precincts that compose them; however cities can change their boundaries through annexations and, in some states, city boundaries can also cross county boundaries. Accordingly, changes to city boundaries may result in crossing the boundaries of one or more precincts, creating split precincts and requiring a distinct ballot style per split precinct. Reporting on election results for a city with split precincts requires knowledge of which precincts and split precincts compose the city.

Generally, governmental-based geographies are associated with offices that are elected jurisdiction-wide (such as for County Clerk, Supervisor, Treasurer, Assessor, Highway Commissioner, etc.) and thus do not require different ballot style areas within the geography for those offices, i.e., all voters in the jurisdiction vote for the office.

2.1.2 Political-based Geography

Political-based geographies are those that tend to be population-based and therefore may change with each U.S. Census every 10 years in a process known as re-districting. Political-based geographies are generally electoral district geographies where people are elected to an office that

has jurisdiction within that geography, e.g., a U.S Congressional district. These political-based geographies can be categorized as follows:

- U.S. Congressional districts
- State senate or upper-house districts
- State house or lower-house districts (in some states, several state house districts combine to form a state senate district)
- County districts
- City districts (sometimes called Wards)

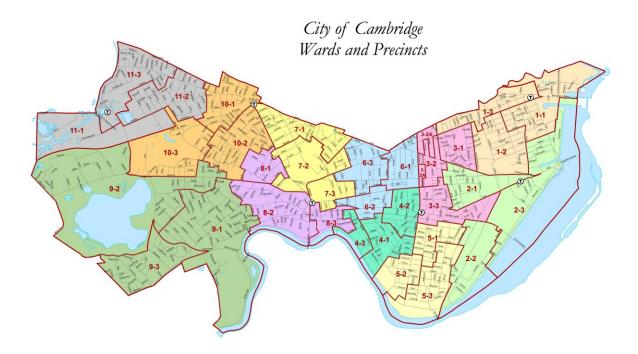


Figure 2 - Ward and Precincts in Cambridge, MA.

2.1.3 Administrative-based Geography

Administrative-based geographies include precincts; they tend to be smaller territories that, sometimes, elect people or have policies that are adopted by a vote of the people in the area. These territories can be very small, sometimes only applying to a single house along a street, and thus difficult to track. They can involve territory that is non-contiguous in itself, e.g., for some of the taxing and special districts. They can change a number of times throughout a given year, even daily in some cases. These administrative-based geographies can be categorized as follows:

- Taxing districts e.g., fire, water, sewer, transit, school, police, hospital, utilities
- Special districts, e.g., unique areas brought together for a referendum
- Election administrative areas

- o Precincts, split precincts, combined precincts
- Other ballot style areas
- Polling places, vote centers

Figure 2 shows wards and precincts in the city of Cambridge, MA. The wards are implemented as collections of precincts.

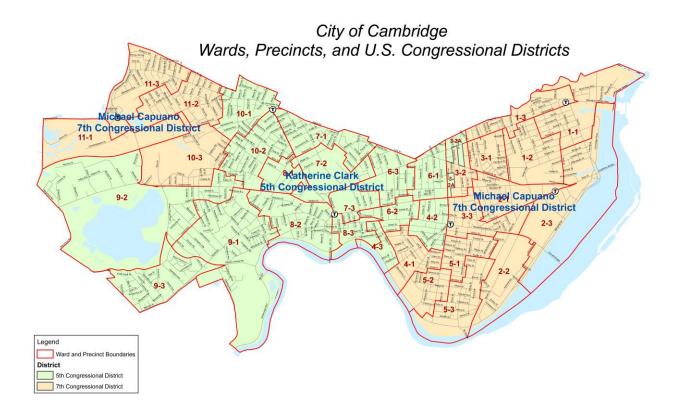


Figure 3 - Districts Overlaying Wards and Precincts

A general misconception about geo-political geographies is that they are largely hierarchical, however political-based geographies proves this wrong. Figure 3 shows how the wards and precincts make up the U.S. Congressional districts in Cambridge, but for many states these geographies often overlap each other, forming not a hierarchical tree but a lattice. As a result of re-districting, a district may cross the boundaries of a precinct and create one or more split precincts, with a distinct ballot style per split precinct; see Figure 4. Depending on the number of districts and how they overlap, the resultant number of ballot styles created may grow substantially beyond the number of whole precincts.

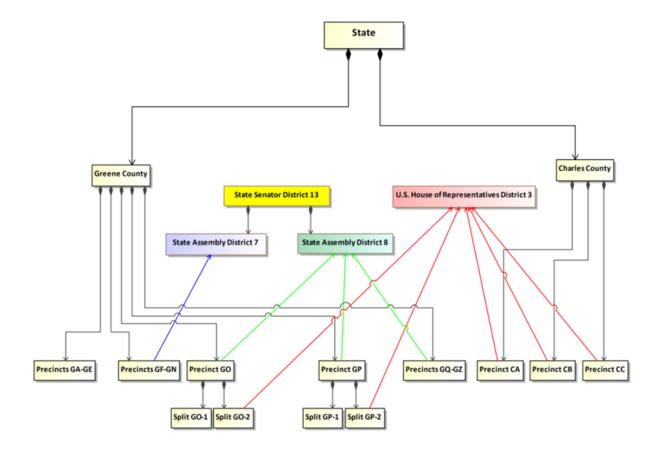


Figure 4 – Precinct and Split Precinct Geographies

Precincts can be split as well by changes to the other administrative-based geographies. This requires a unique ballot style per split precinct, which adds complexity to election organization and results reporting. Generally, there is one polling place per precinct, but a number of states now use combined precincts and vote centers on election night, which associate multiple precincts with one polling place. This means that at a vote center handling multiple precincts that themselves may be split, there can be potentially many different ballot styles in use at the vote center, with each vote-capture device needing to display any one of the ballots depending on what precinct a voter is assigned to. This adds further complexity and places additional demands on election jurisdictions on their ability to report details of votes on election night and post-election.

Some states/counties prefer over time to heal split precincts by combining them with other precincts or generally redrawing the precinct boundaries so that the number of ballot styles is reduced and election management and reporting is less complicated.

3 Use Cases and UML Model for Election Results Reporting

This section describes the election results reporting use cases and high-level requirements derived from them. The use cases and requirements are represented in the UML data model and the ElectionResultsReporting XML schema. These use cases are for the following phases of election results reporting, which are described in the proceeding Sections:

- Pre-election
- Election night
- Post-election

3.1 Pre-Election Use Case

The process of reporting election results starts well before election day, as the contests, candidates, ballot initiatives, and geo-political geographies associated with the reporting jurisdiction are defined. The pre-election use case describes this data to enable election officials to report on a variety of information about the election in order to determine whether it is organized correctly. The pre-election use case can also be considered as a full export of the election data contained in an EMS.

Pre-election information can come from any databases or devices that an election jurisdiction uses to manage elections, including voter registration databases, ballot programming systems, candidate filing systems, EMSs, campaign finance systems, etc. The data for pre-election includes the following:

- Election information known ahead of time:
 - o Election date, place, jurisdiction.
 - Number of registered voters and voters by party (except where there is same day registration).
- Contests and candidates on the ballot and their associated party affiliations and contact information.
- Offices associated with contests and districts.
- Geo-political geographies within the scope of the election report: the counties, cities, townships, precincts, etc., how they are organized and relate to precincts and split precincts, authorities and addresses.
- Vote-capture device types and the specific devices associated with polling places.
- Ballots containing ordered contest information.
- Multiple identifiers for contests, geo-political geographies, offices, and parties:
 - o A national-level naming/type code for use across all states.
 - A state-wide naming/type code for use within the state and across all its geographies.
 - o A local-level naming/type code for use as needed on a more local basis.

The naming or coding of election objects (e.g., contests, districts, offices, precincts, etc.) is generally not uniform across many states and jurisdictions. Jurisdictions in a state may code their contests or precincts or other objects in ways that are not unique across databases or across the jurisdictions within a state, which causes complications and work-arounds when combining the data or conducting state-wide or nation-wide analysis of elections. Local election results based on a non-uniform scheme will need to be translated into a broader coding scheme in order to aggregate the local results and analyze them. The translation may need to be done manually with a resultant greater potential for error.

The pre-election use case includes support for a coding scheme to enable election officials to code election objects in a uniform way. Each election object has up to three identifiers associated, as follows:

- Local code used if there is a code for the object whose scope is within a county, city, or similar jurisdiction.
- State code used if there is a code for the object whose scope is state-wide.
- National code a code for the object whose scope is nationwide.

The national code would uniquely identify the contest, district, etc., and if used within states, their jurisdictions, databases, etc., would have significant benefits for combining, sharing, and reporting data.

3.2 Election Night Reporting Use Case

The election night reporting use case addresses both the contest-level reporting of election results on election night, as well as the potential addition of further details such as precinct-level results and ballot counts and breakdowns of counts by device type and ballot class.

The activities after voting closes can be complicated and rapid reporting of results is expected. Thus the amount of detailed data reported will vary across certain states and jurisdictions depending on factors such as equipment involved, population, geography, etc. Across the U.S., election reporting is mostly managed in two ways:

- By the counties (and sometimes cities) directly, with the counties reporting outward to the public/media and possibly reporting upward to the state, or
- As part of a state-wide process, with the counties reporting upward to the state and the state reporting outward to the public/media.

Upon closing of the polls on election night, election officials transfer the vote totals from the vote-capture devices into tabulation devices or databases to be aggregated. From there, election results are reported from local jurisdictions upward to the city or county or state level, where the results continue to be aggregated in a process referred to as rolling up the votes and creating state or county roll-ups. As part of this process, internal, intermediate reports from different EMSs or databases may need to be combined. The roll-ups are then formatted and released to the media

and public during election night until all results from the local jurisdictions are accounted for. The results are, at this stage, considered as unofficial.

The data for election night reporting includes the following:

- Information from the pre-election use case.
- Aggregated contest results for offices and ballot measures and counts of write-ins.
- Timestamp for date and time that the report was generated.
- Further details as possible, including:
 - Breakdowns of votes by various geo-political geographies such as precinct or split precinct.
 - Vote counts and ballot counts broken down by ballot types (absentee, early, etc.) and type of device (electronic, optical scan, etc.).
 - Additional counts as available, e.g., the number of votes cast by party or the number of straight party selections.

Election night results generally do not contain all the details included in item (d), above, as a consequence of the pressure on election night to report results quickly but accurately. Therefore, this use centers on results that can be summarized at the highest levels by contest and jurisdiction. At the same time, if a jurisdiction wishes and is able to report results in greater detail, this use case allows for results broken down by individual reporting units such as precincts and by type of ballot and equipment used. The results can be formatted in various ways, e.g., as updates or corrections to previous reports or as internal intermediate reports within a state or county. They can also be formatted to refer to pre-election data elements by identifiers as opposed to repeating the pre-election elements in every report.

3.3 Post-Election Reporting Use Case

Election officials generally return the day after the election to undertake a full scale re-canvass of the results over a legislatively-determined time period, e.g., 14 or more days, in which more details about the election results are analyzed. This process is generally referred to as the post-election canvass and results in the certification of the election results. While election officials strive to collect/tabulate vote totals as accurately as possible on election night, the unofficial returns have a potential to change as the post-election canvass takes place.

The post-election reporting use case thus addresses the updates to the election night unofficial results. The post-election results generally contain greater detail than election night results, including results by reporting unit, by counts of different types of ballots (early, absentee, provisional, etc.), and by the type of vote-capture device used by voters to cast their ballots. They may also include results that were not available for election night reporting, such as absentee ballots received too close to the election day, or provisional ballots. This use case is of interest especially by analysts and media performing detailed analysis of election results and voting trends.

The data for post-election night reporting includes:

- Information from the pre-election use case.
- All information from the election night reporting use case, updated to reflect any changes.
- Ballot and vote counts associated with specific vote-capture devices that were located at polling places.

3.4 Requirements Derived From Use Cases

High-level requirements for the UML data model and the XML implementation are derived from analysis of the use cases. The model identifies the primary data elements, their definitions, specific attributes, and the associations between data elements. The high-level requirements are summarized below:

- 1. The data model shall support a pre-election use case that permits jurisdictions to list all contests, associated candidates and their affiliations; all jurisdictions, districts, offices, precincts and voting locations; all voting devices; and all ballot identifiers and ballots.
- 2. The data model shall support an election night reporting use case in both a highly-aggregated mode (for reporting aggregated contest results with little or no further detail or breakdown) and in a highly-detailed mode (for reporting contest and ballot counts broken down in a variety of ways, reported by precinct or, if possible, device type or by specific device), with variations in-between.
- 3. The data model shall support a post-election use case for reporting certified contest and ballot counts.
- 4. The data model shall include a mechanism to associate election districts with their constituent precincts and split precincts, so as to support analysis of how voters in districts vote in other contests on the ballot.
- 5. The data model shall include the capacity for codes/types for a national, state, or local-level coding system for the following items:
 - a) Contests.
 - b) Geo-political units.
 - c) Political parties.
 - d) Devices.
- 6. The data model shall include enumerations of common values for codes and identifiers.
- 7. The data model shall support reporting on multiple types of elections, including:
 - a) Open and closed partisan primaries.
 - b) Runoffs.
 - c) Special elections.
 - d) General elections.
- 8. The data model shall support reporting results in a variety of different election reportstyle formats, including:
 - a) Summary contest.
 - b) Precinct-level.
- 9. The data model shall support reporting results in a variety of different file configurations, including:
 - a) One complete file of results.
 - b) A sequence of result files (when the results are split across multiple files).

- c) Partial updates.
- d) Corrections.
- 10. The data model shall support reporting contest counts for the following items:
 - a) Ballot measures.
 - b) Candidates.
 - c) Write-ins.
 - d) The number of straight party selections on ballots (if applicable).
 - e) The number of votes by political party (if applicable).
- 11. The data model shall support reporting contest and ballot counts and their summaries as follows:
 - a) By geo-political units of geography (e.g., county, township, city, precinct, etc.).
 - b) By type of ballot/voting (e.g., absentee, early, in-person, provisional).
 - c) By type of voting equipment used (e.g., electronic, optical scan, etc.).
 - d) By overvotes and undervotes for each contest.
- 12. The data model shall support reporting contest results by the following items:
 - a) Votes reported in the contest.
 - b) Votes reported for each candidate(s) or selection(s) in the contest.
 - c) Overvotes reported for the contest.
 - d) Undervotes reported for the contest.
- 13. The data model shall support the association of candidates with the following:
 - a) Political parties.
 - b) Multiple party endorsements.
 - c) Multiple listings, within a contest, of the same candidate associated with different parties.
- 14. The data model shall support the reporting of, by geo-political units of geography, the following items:
 - a) Persons who are eligible to vote in the election, i.e., the registration count(s).
 - b) Persons who actually participated in the election, i.e., the total turnout.

3.5 UML Model for Election Results Reporting

This section presents the UML data model for election results reporting and includes a class diagram that shows graphically how the model is structured. This section provides overviews of the model from the perspective of each use case, and then concludes with a full description of all elements of the model: its enumerations, classes, and attributes, and their definitions.

The UML model represents a format-independent description of the data involved in election results reporting (as per the three use cases of the guide). Its primary benefit is that it unambiguously defines and describes the data elements and how they are related without requiring readers to know the technical details of any particular data format implementation (e.g., XML). Using commercial tools, a data format can be generated from the model, thus if changes need to be made to a format, the model can be changed and the format can be re-generated.

3.5.1 Class Diagram Summary

The UML data model can be shown graphically as a class diagram. Figure 5 shows a high-level view of the election results reporting class diagram, and Figure 6 shows the class diagram in full detail including all attributes.

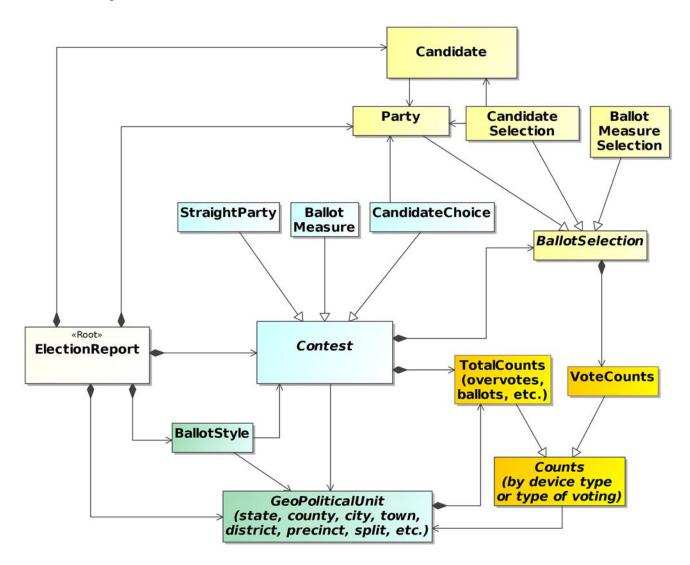


Figure 5 - Simplified ElectionResultsReporting Class Diagram

Each class in either of the figures represents a major data element, e.g., Contest, Candidate, or Party. To aid understanding, classes that are highly-related to each other are shown in the same color.

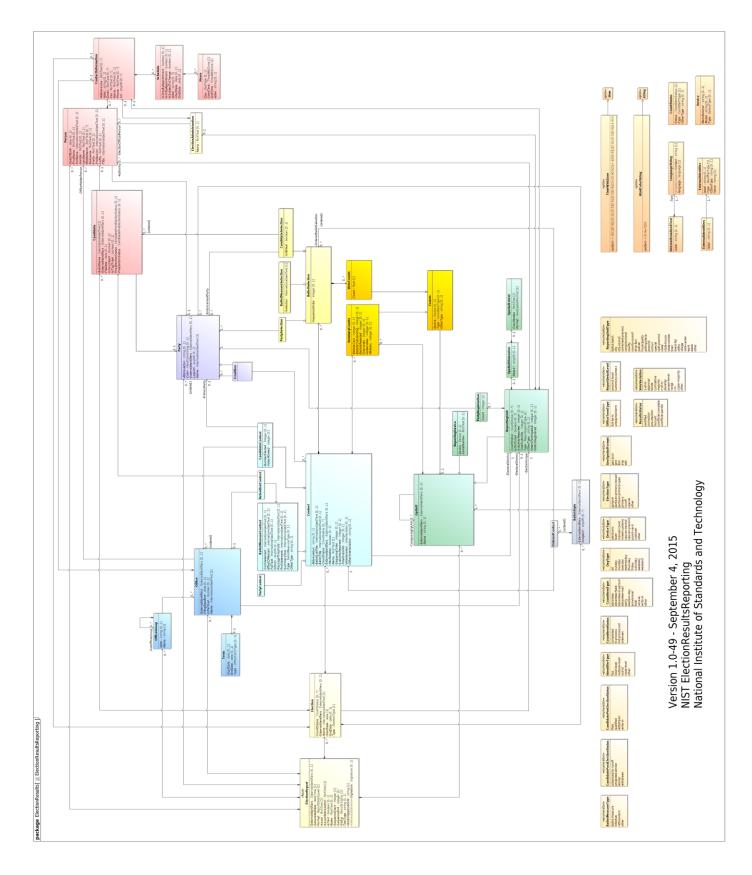


Figure 6 - Detailed ElectionResultsReporting Class Diagram

There are 3 types of relationships between classes in the class diagram:

Directed Composition: see Figure 5, ElectionReport and Contest. Read as, an election is composed of contests. A direction composition relationship has a closed diamond at one end and an arrow pointing to the composing class:



Figure 7 - Directed Composition Example

Directed Association: see Figure 5, Candidate and Party. Read as, a candidate (on the ballot) is associated with or linked to a party. A directed association has an arrow at one end, goes in one direction, and serves to link the class to another associated class, e.g., the party linked to the candidate:



Figure 8 - Directed Association Example

Is a Type of or **Instance of**: see Figure 5, Contest and CandidateContest. Read as, a candidate contest is a type of a contest. Contest is an abstract class; it is "implemented" by its concrete classes such as CandidateContest; the names of abstract classes are shown in italics, e.g., Contest. An instance relationship has an open triangle at one end, pointing from the concrete class to the abstract class:



Figure 9 - Is a Type of Example

3.5.2 Overviews by Use Case

UML models can be challenging at first to understand, thus the following Sections break down the model with high-level overviews from the perspective of each use case. A number of details of the full data model have been omitted in Figure 4 including various classes that are helpful but not required; the full model is shown in Figure 5.

3.5.2.1 Pre-Election

A pre-election report can consist of the following items:

- 1. A description of the election (ElectionReport).
- 4. A listing of all ballot configurations (ElectionReport is composed of BallotStyle).
- 5. A listing of all candidates (ElectionReport is composed of Candidate).
- 6. A listing of all contests on the ballot (ElectionReport is composed of Contest), the geographical scope of each contest (Contest association with GeoPoliticalUnit), and if a primary election, the primary party associated with each contest (CandidateContest association with Party).
- 7. A listing of all geo-political units such as districts, precincts, etc., within the scope of the election (ElectionReport is composed of GeoPoliticalUnit).
- 8. A listing of all Parties (ElectionReport is composed of Party).

3.5.2.2 Election-Night Reporting

An election-night report can consist of the items below:

- 1. Any items from the pre-election use case.
- 2. For each contest of type office and type ballot measure:
 - A ballot selection for each candidate (BallotSelection type=CandidateSelection) or ballot measure (BallotSelection type=BallotMeasureSelection) or straight party selection (BallotSelection type=StraightParty) and the number of votes received (Contest association with VoteCounts).
 - b) The votes broken down by ballot class (absentee, early, etc.) and device type (BallotSelection association with VoteCounts).
 - c) The votes broken down by geo-political units (county, city, precinct, device, etc.) (VoteCounts association with GeoPoliticalUnit).
 - d) The geo-political unit associated with the contest, i.e., the district (Contest association with GeoPoliticalUnit).
 - e) A summary of counts associated with the contest: write-ins, overvotes, undervotes, and total ballots; the summaries broken down by ballot class and device type and geo-political units (Contest association with SummaryCounts).
 - f) A count of straight party selections on ballots (if applicable) (Contest type=StraightParty).
 - g) For a geo-political unit, the number of all ballots cast in that geo-political unit, broken down by ballot class and device type (GeoPoliticalUnit association with SummaryCounts).

3.5.2.3 Post-Election Reporting

The post-election reporting use case is for the highest level of detail that a reporting jurisdiction may wish to provide, thus it can include the following:

- 1. Items from the pre-election use case,
- 2. Items from the election night reporting use case, and

3. For a geo-political unit, the number of ballots cast broken down by ballot class as well as device type (association between GeoPoliticalUnit and SummaryCounts).

Election night reporting and post-election reporting share almost all data elements, but it is likely that, in reality, election night reporting will include a much smaller subset of the items that are possible, whereas post-election reporting will include a substantially more complete set.

4 ElectionResultsReporting Schema Documentation

The following sections contain discussion of the elements and attributes included in the NIST ElectionResultsReporting XML schema.

In the sections below, an element or an enumeration name is denoted using italics and angle brackets, e.g., *<ElectionReport>* or *<ReportingUnitType>*. Attributes and enumeration values are in italics, e.g., *label* or *geo-json*. An element is sometimes referred to as a "sub-element" when it is included in another element, e.g., *<Election>* is a sub-element of *<ElectionReport>*. "Includes" is used to denote that an element contains another element as a sub-element, e.g., *<ElectionReport>* includes *<Election>*. "References" is used to denote one element linking to a second element via the second element's *objectId* attribute, e.g., *<Candidate>* references *<Party>* using the *<PartyId>* sub-element.

4.1 Schema Stylistic Conventions

The ElectionResultsReporting schema was written observing the following stylistic conventions:

- Element, attribute, enumeration, and primitive names observe variations of "CamelCode" conventions, that is:
 - Element and enumeration and primitive names begin with a capital letter and names that consist of multiple words are concatenated and each word begins with a capital letter, thus "CamelCode". For example, <ElectionReport> or <BallotStyle>.
 - O Attribute names begin with a non-capital (lower-case) letter and names that consist of multiple words are concatenated, with the first word beginning in a non-capital letter and subsequent words beginning in a capital letter, thus "camelCode". For example, objectId.
 - Enumeration value names are in non-capital letters, and names that consist of multiple words are separated by hyphens. For example, 1-of-n or absentee-inperson
- Element, attribute, and enumeration value ordering is generally alphabetical, with the following exceptions:
 - Element names such as *StartDate* are followed by *EndDate*, or *Type* is followed by *OtherType*.
 - The *objectId* attribute comes first, followed by the other attributes in alphabetical order.
 - o If there is an enumeration value of other, it comes last in the list of values.

4.2 Elements

The following subsections deal with major elements in the schema.

4.2.1 The <AnnotatedString> Element

<AnnotatedString> is used as a type for character strings; it adds a 16-character annotation to a
character string, for example:

```
<Email annotation="Work">john.a.smith@26003.org</Email>
<Phone annotation="Cell">304-243-1234</Phone>
```

Table 4.1 - Attributes for <AnnotatedString>

| Attribute | Required | Туре | Attribute Description | |
|------------|----------|------|--|--|
| annotation | no | | An annotation of up to 16 characters associated with a character string. | |

Schema Definition:

4.2.2 The <BallotSelection> Abstract Element

Used for the ballot selections in a contest (e.g., for candidates, for ballot measures) and to generally link them to vote counts. <Contest> includes <BallotSelection>.

<BallotSelection> is an abstract element with three xsi:types that get used according to the
type of contest:

- *<BallotSelection xsi:type="BallotMeasureSelection">*, used if the contest type is for a ballot measure, including for retentions (see subsection 4.2.2.1)
- *<BallotSelection xsi:type="CandidateSelection">*, used if the contest type is for one or more candidates, to link the ballot selection to the candidate elements and endorsement parties (see subsection 4.2.2.2)
- *<BallotSelection xsi:type="PartySelection">*, used if the contest type is for a party, e.g., for a straight party contest (see subsection 4.2.2.3)

<BallotSelection> includes <VoteCounts> for associating vote counts with the ballot selection. <BallotSelection> "wraps" occurrences of <VoteCounts> elements in a container element for the purpose of making large instance files easier to manipulate in XML viewers and editors.

<SequenceOrder> is included to specify an ordering for the ballot selections for purposes of display. However, this ordering cannot be guaranteed to be the same order as is on all ballots originally if ballot rotation was used. The original ballot ordering can be preserved, however, by using the <BallotStyle> element (see section 4.2.3).

Table 4.2 - Attributes for <BallotSelection>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.3 - Elements for <BallotSelection>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-------------|--|
| <sequenceorder></sequenceorder> | 0 or 1 | xsd:integer | Order in which the candidate is listed on the ballot for purposes of results display. If not present, no order is assumed. |
| <votecounts></votecounts> | 0 or more | VoteCounts | For associating votes with a ballot selection in a contest. |
| <votecountscollection></votecountscollection> | 0 or more | | Wrapper for collections of < <i>VoteCounts</i> > elements. |

Schema definition:

4.2.2.1 The <BallotSelection xsi:type="BallotMeasureSelection>

For a ballot selection in a ballot measure contest. Because judicial or other retention contests are often treated like ballot measure contests, this element can be used also for retention contests. It is an xsi:type of *BallotSelection* and therefore inherits its attributes and elements (see Table 4.2 and Table 4.3). Its syntax is:

<BallotSelection xsi:type="BallotMeasureSelection" ... />

Table 4.4 - Elements for <BallotSelection xsi:type="BallotMeasureSelection">

| Element | Multiplicity | Туре | Element Description |
|-------------------------|--------------|-----------------------|---|
| <selection></selection> | 1 | InternationalizedText | Contains the text used to indicate a vote for or against the ballot measure, e.g., "yes", "no". |

Schema definition:

4.2.2.2 The <BallotSelection xsi:type="CandidateSelection"> Element

For the ballot selections in a candidate contest, including for write-ins. It is an xsi:type of <*BallotSelection>* and therefore inherits its attributes and elements (see Table 4.2 and Table 4.3). References to multiple <*Candidate>* elements can be included if necessary, e.g., when the ballot selection would be for a ticket of candidates (unless the ticket itself is defined as a candidate). Its syntax is:

```
<BallotSelection xsi:type="CandidateSelection" ... />
```

<EndorsementPartyId> is used to reference any associated endorsement parties other than the specific party of the candidate (<Candidate> references <Party> for that purpose). For example, if a candidate of one party is also endorsed by a second party, use <EndorsementPartyId> to reference the second party. A second example would be for ballot fusion as used in some states, where the same candidate is listed multiple times in the same contest, but with different endorsement parties.

When multiple candidates are referenced for a ticket and the ordering of the candidates is important to preserve, it is expected that the generating application will list the occurrences of <*CandidateId*> according to the ordering scheme in place.

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-------------|--|
| <candidateid></candidateid> | 0 or more | xsd:IDREF | Unique identifier for a <i><candidate></candidate></i> element. For associating a candidate with the candidate selection on the ballot. The multiplicity is unlimited for cases where the ballot selection is for multiple candidates, e.g., a ticket. |
| <endorsementpartyid></endorsementpartyid> | 0 or more | xsd:IDREF | Unique identifier for a <i>Party</i> element. For associating one or more endorsing parties with the candidate selection. |
| IsWriteIn | 0 or 1 | xsd:boolean | Indicates whether the candidate is a write-in, e.g., "yes" or "no". Assumed to be "no" if not present. |

Table 4.5 - Elements for <BallotSelection xsi:type="CandidateSelection">

</xsd:sequence>
 </xsd:extension>
 </xsd:complexContent>
</xsd:complexType>

4.2.2.3 The <BallotSelection xsi:type="PartySelection"> Element

For a ballot selection involving a party such as for a straight party selection on the ballot. It is an xsi:type of *BallotSelection* and therefore inherits its attributes and elements (see Table 4.2 and Table 4.3). Its syntax is:

```
<BallotSelection xsi:type="PartySelection" ... />
```

Table 4.6 - Elements for <BallotSelection xsi:type="PartySelection">

| Element | Multiplicity | Type | Element Description |
|---------------------|--------------|-----------|---|
| <partyid></partyid> | 1 or more | xsd:IDREF | Unique identifier for a <i>Party</i> element. For associating one or more parties with the party selection. |

4.2.3 The <BallotStyle> and <OrderedContest> Elements

For defining a ballot style composed of contests and their ballot selections, and associating the ballot style with a political party, a reference to an image of the ballot, and a reference to the a precinct or other geo-political unit that the ballot is unique to. *<Election>* includes *<BallotStyle>*.

<BallotStyle> references <OrderedContest> to include contests on that ballot style and the ballot selections associated with each contest (see subsection 4.2.3.1). To preserve any rotation associated with the ballot, it is expected that the generating application will list the occurrences of <OrderedContest> in the order as on the ballot for the associated geo-political unit.

<BallotStyle> includes <GpUnitId> to reference a <GpUnit> element defined for the
associated precinct or split precinct. If the ballot style is associated with multiple precincts (or
other geographies), multiple references can be used, e.g.,

When including *<ExternalIdentifiers>*, if the type is not listed in enumeration *<IdentifierType>*, use *other* and include the type (that is not listed in the enumeration) in *OtherType*.

Table 4.7 – Attributes for <BallotStyle>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.8 - Elements for <BallotStyle>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|---------------------|--|
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the ballot style. |
| <gpunitid></gpunitid> | 1 or more | xsd:IDREF | Unique identifier for a <gpunit> element. For associating a specific geopolitical unit with the ballot style.</gpunit> |
| <imageuri></imageuri> | 0 or more | xsd:anyURI | URI for a ballot image. |

| Element | Multiplicity | Туре | Element Description |
|-----------------------------------|--------------|----------------|--|
| <orderedcontest></orderedcontest> | 0 or more | OrderedContest | For associating contests in the order as listed on ballot. |
| <partyid></partyid> | 0 or more | xsd:IDREF | Unique identifier for a <pre><party> element. For associating a party with the ballot style.</party></pre> |

4.2.3.1 The <OrderedContest> Element

<OrderedContest> associates a reference to a contest with references to the contest's ballot
selections. <BallotStyle> includes <OrderedContest> for each contest on the ballot. To
preserve any rotation associated with the ballot, it is expected that the generating application will
list the occurrences of <OrderedBallotSelectionId> in the order as on the ballot for the
associated geo-political unit.

Element **Multiplicity Element Description Type** Unique identifier for a <ContestId> <Contest> element. For 1 xsd:IDREF associating a contest on the ballot. Unique identifier for a <BallotSelection> element. <OrderedBallotSelectionId> 0 or more xsd:IDREF For associating ballot selections with the contest.

Table 4.9 - Elements for <OrderedContest>

4.2.4 The <Candidate> Element

For defining information about a candidate in a contest. <BallotSelection</pre>
xsi:type="CandidateSelection"> references <Candidate> elements to associate one or more candidates with a ballot selection. <Election> includes <Candidate>.

<Candidate> uses <PartyId> to reference the candidate's political party. If the candidate is endorsed by other parties for a particular contest, the endorsing parties are referenced using the <BallotSelection xsi:type="Candidate> sub-element (see section 4.2.2.2).

<ExternalIdentifiers> can be used to associate an ID with the candidate. If the type is not
listed in enumeration <IdentifierType>, use other and include the type (that is not listed in
the enumeration) in OtherType.

Table 4.10 - Attributes for <Candidate>

| Attribute | Required | Туре | Attribute Description |
|-----------|----------|------|--|
| objectId | yes | | Unique internal identifier used by other element(s) to reference this element. |

Table 4.11 - Elements for <Candidate>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|---|
| <ballotname></ballotname> | 1 | InternationalizedText | For the candidate's name as listed on the ballot. |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating codes with the candidate. |
| <filedate></filedate> | 0 or 1 | xsd:date | Date when the candidate filed for the contest. |
| <isincumbent></isincumbent> | 0 or 1 | xsd:boolean | Boolean to indicate whether the candidate is the incumbent for the office associated with the contest. Assumed to be "no" if not present. |
| <istopticket></istopticket> | 0 or 1 | xsd:boolean | Boolean to indicate whether the |

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------------|---|
| | | | candidate is the top of a ticket that includes multiple candidates. Assumed to be "no" if not present. |
| <partyid></partyid> | 0 or 1 | xsd:IDREF | Unique identifier for a <i>Party</i> element. For associating a party with the candidate. |
| <personid></personid> | 0 or 1 | xsd:IDREF | Unique identifier for a <i>Person</i> element. For associating more detailed information about the candidate. |
| <postelectionstatus></postelectionstatus> | 0 or 1 | CandidatePostElectionStatus | Final status of the candidate, e.g., winner, withdrawn, etc. |
| <preelectionstatus></preelectionstatus> | 0 or 1 | CandidatePreElectionStatus | Registration status of the candidate, e.g., filed, qualified, etc. |

4.2.5 The <ContactInformation> Element

For defining contact information about objects such as persons, boards of authorities, organizations, etc. <ElectionAdministration>, <Person>, <GpUnit>, and <Office> include <ContactInformation>.

To include an address for the contact, use multiple occurrences of *AddressLine*. It is expected that the generating application will list the name of the person/organization in the first occurrence of *AddressLine*, with subsequent ordered occurrences for street address, city, state, zip code, etc.

<Email>, <Fax>, and <Phone> are of type AnnotatedString, which permits up to a 16-character
annotation to be associated with the data, for example:

```
<Email annotation="Work">john.a.smith@26003.org</Email>
<Email annotation="Home">john.a.smith@26003.org</Email>
```

Table 4.12 - Attributes for <ExternalIdentifiers>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.13 - Elements for <ContactInformation>

| Element | Multiplicity | Туре | Element Description |
|-----------------------------|--------------|-----------------|--|
| <addressline></addressline> | 0 or more | xsd:string | For associating an address with the contact. |
| <email></email> | 0 or more | AnnotatedString | Email address associated with the contact. |
| <fax></fax> | 0 or more | AnnotatedString | Fax number associated with the contact. |
| <name></name> | 0 or 1 | xsd:string | Name associated with the contact. |
| <phone></phone> | 0 or more | AnnotatedString | Phone number associated with the contact. |
| <schedule></schedule> | 0 or more | Schedule | For associating a schedule with the contact. |
| <uri></uri> | 0 or more | xsd:anyURI | URI associated with the contact. |

4.2.6 The <Contest> Abstract Element

For defining a contest and linking the contest to the associated candidates or ballot measures. *<Election>* includes *<Contest>*.

<Contest> is an abstract element with four xsi:types that get used according to the type of contest:

- <Contest xsi:type="BallotMeasureContest">, used for a contest involving a ballot measure (see subsection 4.2.6.1)
- *<Contest xsi:type="CandidateContest">*, used for a contest involving one or more candidates for an office (see subsection 4.2.6.2)
- *<Contest xsi:type="PartyContest">*, used for a contest for a straight party selection on the ballot (see subsection 4.2.6.3)
- *<Contest xsi:type="RetentionContest">*, used for a judicial or other type of retention contest (see subsection 4.2.6.4)

Each xsi:type is used to include information for that specified type of contest, for example, <*Contest* xsi:type="CandidateContest"> is used to include information about a candidate contest including the associated office and the number of candidates that can be voted for.

<Contest> includes <BallotSelection> to link the selections on the ballot to the contest, e.g.,
to link one or more candidates to a candidate contest. Like <Contest>, <BallotSelection> is
also an abstract element and has xsi types that essentially correspond to those of <Contest>, as
follows:

- <Contest xsi:type="BallotMeasureContest"> includes <BallotSelection xsi:type="BallotMeasureSelection"> (see section 4.2.2.1)
- <Contest xsi:type="CandidateContest"> includes <BallotSelection xsi:type="CandidateSelection"> (see section 4.2.2.2)
- <Contest xsi:type="PartyContest"> includes <BallotSelection xsi:type="PartySelection"> (see section 4.2.2.3)
- <Contest xsi:type="RetentionContest"> includes <BallotSelection xsi:type="BallotMeasureSelection"> (see section 4.2.2.1)

<Contest> includes a required <ElectoralDistrictId> reference to a <GpUnit> defined for
the geographical scope of the contest. For example, in a state senate contest,
<ElectoralDistrictId> would reference a <GpUnit xsi:type="ReportingUnit"> element
defined for the district associated with the contest. <Office> also includes an optional reference
that serves the same purpose.

<Contest> includes <SummaryCounts> for providing a summary of miscellaneous counts associated with the contest, including total number of ballots cast containing the contest, total number of overvotes, undervotes, or write-ins. The summary counts can be associated with the contest as a whole, or with precincts or other lower-level reporting units by using multiple occurrences of <SummaryCounts> (see sections 4.2.8 and 4.2.8.1).

<SequenceOrder> is used for results display ordering, i.e., to display contests according to a particular ordering. For example, "100" may indicate a U.S. Senatorial contest, "200" may indicate a U.S. Congressional contest, etc. <SequenceOrder> is not appropriate to use as the contest order on the ballot; contest order on each ballot can be preserved, however, using the <BallotStyle> element, which associates ballot styles with their corresponding precincts or other geo-political units (see section 4.2.3).

When including <ExternalIdentifiers>, if the type is not listed in enumeration <IdentifierType>, use other and include the type (that is not listed in the enumeration) in <OtherType>.

Table 4.14 - Attributes for <Contest>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.15 - Elements for <Contest>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|--|
| <abbreviation></abbreviation> | 0 or 1 | xsd:string | Abbreviation for the contest. |
| <ballotselection></ballotselection> | 0 or more | BallotSelection | For associating a ballot selection for the contest, i.e., a candidate, a ballot measure. |
| <ballotsubtitle></ballotsubtitle> | 0 or 1 | InternationalizedText | Subtitle of the contest as it appears on the ballot. |
| <ballottitle></ballottitle> | 0 or 1 | InternationalizedText | Title of the contest as it appears on the ballot. |
| <countstatus></countstatus> | 0 or more | CountStatus | For providing various counting status associated with the contest. |
| <electoraldistrictid></electoraldistrictid> | 1 | xsd:IDREF | Unique identifier for a <gpunit> element. For associating the contest with a reporting unit that represents the geographical scope of the contest, e.g., a district, etc.</gpunit> |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the contest. |
| <hasrotation></hasrotation> | 0 or 1 | xsd:boolean | Boolean to indicate whether the selections in the contest are rotated. Assumed to be "no" if not present. |

| Element | Multiplicity | Type | Element Description |
|---|--------------|---------------|--|
| <name></name> | 1 | xsd:string | Name of the contest, not necessarily as it appears on the ballot (use <i>BallotTitle</i> for this). |
| <sequenceorder></sequenceorder> | 0 or 1 | xsd:integer | Orderering for listing the contest for purposes of results display. If not present, no order is assumed. |
| <subunitsreported></subunitsreported> | 0 or 1 | xsd:integer | Number of subunits, e.g., precincts, that have completed reporting votes for this contest. |
| <summarycounts></summarycounts> | 0 or more | SummaryCounts | For providing a summary of miscellaneous counts associated with the contest. |
| <totalsubunits></totalsubunits> | 0 or 1 | xsd:integer | Total number of subunits, e.g., precincts that have this contest on the ballot. |
| <votevariation></votevariation> | 0 or 1 | VoteVariation | Vote variation associated with the contest, e.g., $n-of-m$. |
| <othervotevariation></othervotevariation> | 0 or 1 | xsd:string | For use when <pre><votevariation> is other.</votevariation></pre> |

```
<xsd:complexType name="Contest" abstract="true">
  <xsd:sequence>
      <xsd:element name="Abbreviation" type="xsd:string" minOccurs="0"/>
      <xsd:element name="BallotSelection" type="BallotSelection" minOccurs="0"</pre>
        maxOccurs="unbounded"/>
      <xsd:element name="BallotSubTitle" type="InternationalizedText" minOccurs="0"/>
      <xsd:element name="BallotTitle" type="InternationalizedText" minOccurs="0"/>
      <xsd:element name="CountStatus" type="CountStatus" minOccurs="0" maxOccurs="unbounded"/>
      <xsd:element name="ElectoralDistrictId" type="xsd:IDREF"/>
      <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" min0ccurs="0"/>
      <xsd:element name="HasRotation" type="xsd:boolean" minOccurs="0"/>
      <xsd:element name="Name" type="xsd:string"/>
      <xsd:element name="SequenceOrder" type="xsd:integer" minOccurs="0"/>
      <xsd:element name="SubUnitsReported" type="xsd:integer" minOccurs="0"/>
      <xsd:element name="SummaryCounts" type="SummaryCounts" minOccurs="0"</pre>
        maxOccurs="unbounded"/>
      <xsd:element name="TotalSubUnits" type="xsd:integer" minOccurs="0"/>
      <xsd:element name="VoteVariation" type="VoteVariation" minOccurs="0"/>
      <xsd:element name="OtherVoteVariation" type="xsd:string" minOccurs="0"/>
   </xsd:sequence>
   <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
</xsd:complexType>
```

4.2.6.1 The <Contest xsi:type="BallotMeasureContest"> Element

For ballot measure (i.e., referenda or a tax measure) and judicial retention contests. It is an xsi:type of *<Contest>* and therefore inherits its attributes and elements (see Table 4.14 and Table 4.15). Its syntax is:

```
<Contest xsi:type="BallotMeasureContest" ... />
```

0 or 1

If the type of ballot measure is not listed in enumeration *<BallotMeasureType>*, use *other* and include the type (that is not listed in the enumeration) in *<OtherType>*.

Table 4.16 - Elements for <Contest xsi:type="BallotMeasureContest">

Element Multiplicity **Element Description Type** For a statement on the ballot <ConStatement> 0 or 1 InternationalizedText associated with a "no" vote. For a statement on the ballot 0 or 1 <EffectOfAbstain> InternationalizedText detailing the effect of abstaining from voting on the ballot measure. For full text on the ballot of the 0 or 1 InternationalizedText <FullText> ballot measure. For associating a URI with the ballot 0 or 1 <InfoUri> xsd:anyURI measure contest. For a statement on the ballot of the number or percentage of votes 0 or 1 InternationalizedText <PassageThreshold> needed to approve or pass the ballot measure. For a stament on the ballot <ProStatement> 0 or 1 InternationalizedText associated with a "yes" vote. For a summary on the ballot of the 0 or 1 InternationalizedText <SummaryText> ballot measure. For indicating the type of ballot 0 or 1 <Type> BallotMeasureType measure. Used when BallotMeasureType is

Schema Definition:

<OtherType>

xsd:string

other.

4.2.6.2 The <Contest xsi:type="CandidateContest"> Element

For a contest that involves selecting one or more candidates. It is an xsi:type of *<Contest>* and therefore inherits its attributes and elements (see Table 4.14 and Table 4.15). Its syntax is:

```
<Contest xsi:type="CandidateContest" ... />
```

This element optionally references *<Office>* and *<Party>*. For cases when the contest is associated with multiple offices, e.g., if Governor and Lt. Governor are both separate offices, it is expected that the generating application will list the multiple references to *<Office>* according to any ordering scheme in place.

Multiplicity **Element Element Description** Type Number of candidates that are elected in the contest 0 or 1 <NumberElected> xsd:integer ("N" of N-of-M). Unique identifier for an <Office> element. For <OfficeId> 0 or more xsd:IDREF associating an office description with the contest. Unique identifier for a *Party* element. For <PrimaryPartyId> 0 or 1 xsd:IDREF associating a party with the contest. Maximum number of votes/write-ins per voter in <VotesAllowed> 1 xsd:integer this contest.

Table 4.17 - Elements for <Contest xsi:type="CandidateContest">

4.2.6.3 The <Contest xsi:type="PartyContest"> Element

For a contest that involves choosing a party, typically for a straight party selection on the ballot. It is an xsi:type of *<Contest>*. Its syntax is:

```
<Contest xsi:type="PartyContest" ... />
```

4.2.6.4 The <Contest xsi:type="RetentionContest"> Element

For judicial retention or other types of retention contests. Retention contests can be treated essentially as ballot measure contests, however this element differs from <Contest xsi:type="BallotMeasureContest"> in that it can include a reference to a candidate or the associated office.

Besides being an xsi:type of <*Contest>*, this element uses <*BallotMeasureContest>* as an extension base. Therefore, it inherits attributes and elements from BOTH elements (see Table 4.14 and Table 4.15 for <*Contest>* and Table 4.16 for <*Contest* xsi:type="BallotMeasure">). Its syntax is:

```
<Contest xsi:type="RetentionContest" ... />
```

Table 4.18 - Elements for < Contest xsi:type="RetentionContest">

| Element | Multiplicity | Type | Element Description |
|-----------------------------|--------------|-----------|--|
| <candidateid></candidateid> | 1 | xsd:IDREF | Unique identifier for a <i><candidate></candidate></i> element. For associating a candidate with the retention contest. |
| <officeid></officeid> | 0 or 1 | xsd:IDREF | Unique identifier for an <i><office></office></i> element. For associating an office description with the retention contest. |

4.2.7 The <CountStatus> Element

For reporting on the counting status for various items such as ballot types or write-ins, e.g., whether for a certain type of ballot, the counts are in progress, not yet started, complete, etc. <Contest>, <Election>, and <GpUnit> include <CountStatus>.

If the type of count item is not listed in enumeration *CountItemType*, use *other* and include the type (that is not listed in the enumeration) in *CotherType*.

Element Multiplicity **Element Description** Type The status of the count, from the <Status> 1 CountItemStatus <CountItemStatus> enumeration. The type of item, from the <CountItemType> 1 <Type> CountItemType enumeration. 0 or 1 Used when <Type> is other. <OtherType> xsd:string

Table 4.19 - Elements for <CountStatus>

4.2.8 The <Counts> Abstract Element, and the <VoteCounts> and <SummaryCounts> Elements

<Counts> is not used or referenced directly; it is used only as an extension base for the following elements:

- *<SummaryCounts>*, for reporting on contest and geo-political unit summary vote counts (see subsection 4.2.8.1)
- <*VoteCounts>*, for reporting on contest vote counts (see subsection 4.2.8.2)

These elements inherit the attributes and elements of <*Counts*>.

<Counts> provides the capability to filter vote counts by device characteristics or by various types of ballots or write-ins, or to link the counts to a <GpUnit> element defined for, e.g., a precinct.

If the type of count item is not listed in enumeration *CountItemType*, use *other* and include the type (that is not listed in the enumeration) in *CotherType*.

| Element | Multiplicity | Type | Element Description |
|-------------------------|--------------|---------------|---|
| <device></device> | 0 or 1 | Device | For filtering the vote counts by device type. |
| <gpunitid></gpunitid> | 0 or 1 | xsd:IDREF | Unique identifier for a <i>GpUnit</i> element. For associating counts with a geo-political unit, e.g., a precinct, a county, a township, etc. |
| <type></type> | 0 or 1 | CountItemType | The type of count being used as a filter on the vote counts, e.g., election day, early voting, etc. |
| <othertype></othertype> | 0 or 1 | xsd:string | Used when <type> is other.</type> |

Table 4.20 - Elements for <Counts>

4.2.8.1 The <SummaryCounts> (extension base <Counts>) Element

For reporting on contest-wide or geo-political unit-wide summary counts. Includes *<Counts>* as an extension base and therefore inherits the elements from *<Counts>* (see Table 4.20), but it is included directly from *<Contest>* and *<GpUnit>* (i.e., it is not included as an xsi:type of *<Counts>*).

<Contest> includes <SummaryCounts> for providing a geographical scope-wide summary of miscellaneous counts associated with a contest, including total number of ballots cast containing the contest, total number of overvotes, undervotes, and write-ins. <SummaryCounts> can optionally reference <GpUnit> elements defined for lower level reporting units, e.g., precincts, so as to associate summary counts with each precinct or other lower-level reporting units within the scope of the contest.

<GpUnit> includes <SummaryCounts> for the purpose of providing summary counts specific to
that <GpUnit>, e.g., a <GpUnit> defined for a district can include <SummaryCounts> for this
purpose. <SummaryCounts>, in this case, would not include the <GpUnitId> element defined in
<Counts>.

In *<Counts>*, if the type of count item is not listed in enumeration *<CountItemType>*, use *other* and include the type (that is not listed in the enumeration) in *<OtherType>*.

| Element | Required | Туре | Element Description |
|---|----------|-------------|------------------------------------|
| <ballotscast></ballotscast> | 0 or 1 | xsd:integer | Number of ballots cast. |
| <ballotsoutstanding></ballotsoutstanding> | 0 or 1 | xsd:integer | Number of ballots not yet counted. |
| <ballotsrejected></ballotsrejected> | 0 or 1 | xsd:integer | Number of ballots rejected. |
| <0vervotes> | 0 or 1 | xsd:integer | Number of overvotes. |
| <undervotes></undervotes> | 0 or 1 | xsd:integer | Number of undervotes. |
| <writeins></writeins> | 0 or 1 | xsd:integer | Number of write-ins. |

Table 4.21 - Elements for <SummaryCounts>

</xsd:complexType>

4.2.8.2 The <VoteCounts> (extension base <Counts>) Element

For reporting on vote counts for ballot selections in a contest. <*VoteCounts>* includes <*Counts>* as an extension base and therefore inherits the elements from <*Counts>* (see Table 4.20), but it is included directly by <*BallotSelection>* (i.e., it is not referenced as an xsi:type of <*Counts>*).

In *<Counts>*, if the type of count item is not listed in enumeration *<CountItemType>*, use *other* and include the type (that is not listed in the enumeration) in *<OtherType>*.

Table 4.22 - Elements for <VoteCounts>

| Element | Required | Type | Element Description |
|-----------------|----------|-----------|---|
| <count></count> | 1 | xsd:float | Count of contest votes cast; can include a fractional component in special cases. |

4.2.9 The <Device> Element

For filtering vote counts by various attributes associated with devices. <GpUnit> includes <Device>, and <SummaryCounts> and <VoteCounts> include <Device> as a result of it being included from extension base <Counts>.

If manual counting of ballots is being employed, use the *DeviceType* enumeration value "manual-count".

Multiplicity Element Description Element Type Manufacturer of the device. <Manufacturer> 0 or 1 xsd:string Manufacturer's device model, used to filter on, e.g., a <Model> 0 or 1 xsd:string specific model of DRE or other device type. Enumerated type of device, e.g., DRE, opscan-precinct, 0 or 1 <Type> DeviceType etc. Used when <Type> is other. <OtherType> 0 or 1 xsd:string

Table 4.23 - Elements for < Device>

4.2.10 The <Election> Element

For defining the status of the election and associated information such as candidates, contests, and vote counts.

<Election> includes the major elements that are specific to an election: <BallotStyle>,
<Candidate>, and <Contest>. <Election> "wraps" occurrences of these elements in container
elements for the purpose of making large instance files easier to manipulate in XML viewers and
editors.

<Election> includes a required <ElectionScopeId> reference to a <GpUnit> element for the purpose of identifying the geographical scope of the election. For example, for an election within a county, <ElectionScodeId> would include a reference to a <GpUnit> defined for the county. If it is desired to include election authority information, the <GpUnit> can include <ElectionAdministration>, see sections 4.2.14.2 and 4.2.11.

Table 4.24 - Elements for <Election>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|---|
| <ballotstyle></ballotstyle> | 0 or more | BallotStyle | For defining ballot styles associated with the election. |
| <candidate></candidate> | 0 or more | Candidate | For defining candidates associated with the election. |
| <contactinformation></contactinformation> | 0 or 1 | ContactInformation | For associating various contact information with the election. |
| <contest></contest> | 0 or more | Contest | For defining contests associated with the election. |
| <countstatus></countstatus> | 0 or more | CountStatus | For providing various counting status on types of ballots or other items. |
| <electionscopeid></electionscopeid> | 1 | xsd:IDREF | Unique identifier for a <i>GpUnit</i> element. For associating the election with a reporting unit that represents the geographical scope of the election, e.g., a state, a county, etc. |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the election. |
| <name></name> | 1 | InternationalizedText | For including a name for the election; the name could be the same name as appears on the ballot. |
| <startdate></startdate> | 1 | xsd:date | Calendar start date of the election, e.g., "November 4, 2014". |

| Element | Multiplicity | Type | Element Description |
|---------------------|--------------|--------------|---|
| <enddate></enddate> | 0 or 1 | xsd:date | For an election that spans a period of days. |
| <type></type> | 1 | ElectionType | Enumerated type of election, e.g., partisan-primary, open-primary, etc. |

```
<xsd:complexType name="Election">
  <xsd:sequence>
      <xsd:element name="BallotStyleCollection" minOccurs="0">
         <xsd:complexType>
            <xsd:sequence>
               <xsd:element name="BallotStyle" type="BallotStyle" maxOccurs="unbounded"/>
            </xsd:sequence>
         </xsd:complexType>
      </xsd:element>
      <xsd:element name="CandidateCollection" minOccurs="0">
         <xsd:complexType>
            <xsd:sequence>
               <xsd:element name="Candidate" type="Candidate" maxOccurs="unbounded"/>
            </xsd:sequence>
         </xsd:complexType>
      </xsd:element>
      <xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"/>
      <xsd:element name="ContestCollection" minOccurs="0">
        <xsd:complexType>
            <xsd:sequence>
               <xsd:element name="Contest" type="Contest" maxOccurs="unbounded"/>
            </xsd:sequence>
         </xsd:complexType>
      </xsd:element>
      <xsd:element name="CountStatus" type="CountStatus" minOccurs="0" maxOccurs="unbounded"/>
      <xsd:element name="ElectionScopeId" type="xsd:IDREF"/>
      <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" min0ccurs="0"/>
      <xsd:element name="Name" type="InternationalizedText"/>
      <xsd:element name="StartDate" type="xsd:date"/>
      <xsd:element name="EndDate" type="xsd:date" minOccurs="0"/>
      <xsd:element name="Type" type="ElectionType"/>
   </xsd:sequence>
</xsd:complexType>
```

4.2.11 The <ElectionAdministration> Element

Used to provide various information about an election authority. <GpUnit xsi:type="ReportingUnit"> includes <ElectionAdministration>.

The *<Electon>* element references the *<GpUnit>* defined as the scope of the election, e.g., if the scope of the election is for a county, *<Election>* references the *<GpUnit>* element defined for that county. *<ElectionAdministration>* would presumably be included from that *<GpUnit>* element defined as the scope of the election.

<ElectionAdministration> includes <ContactInformation> for the election authority and,
using <ElectionOfficialPersonId>, references one or more <Person> elements defined for
individuals/organizations associated with the election authority.

| Element | Multiplicity | Туре | Element Description |
|---|--------------|--------------------|--|
| <contactinformation></contactinformation> | 0 or 1 | ContactInformation | For including various contact information. |
| <electionofficialpersonid></electionofficialpersonid> | 0 or more | xsd:IDREF | Unique identifier for a <pre><person> element defined for the election authority.</person></pre> |
| <name></name> | 0 or 1 | xsd:string | Name of the election authority. |

Table 4.25 - Elements for < Device>

4.2.12 The <ElectionReport> Element

The root element; for defining items pertaining to the status and format of the report and when it was generated. The optional *Signature* element is used for an XML digital signature [12]. *Signature* must be the last element of *ElectionReport*.

<ElectionReport> includes the major elements that are not necessarily specific to an election
and that therefore can exist in a pre-election report: <GpUnit>, <Office> and <OfficeGroup>,
<Party>, <Person>, and <Election>. As with <Election>, <ElectionReport> "wraps"
occurrences of the elements (excepting <Election>) in container elements for the purpose of
making the instance files easier to manipulate in XML viewers and editors.

When a particular ordering of items such as offices or political parties are important to preserve, it is expected that the generating application will define those elements according to any ordering scheme in place.

Table 4.26 - Elements for <ElectionReport>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|---------------------|--|
| <election></election> | 0 or more | Election | For associating elections with the report. |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the report. |
| <format></format> | 1 | ReportDetailLevel | Detail level of the report, e.g., contest summary, precinct level results, etc. |
| <generateddate></generateddate> | 1 | xsd:dateTime | Identifies the date and time that the election report was generated. |
| <gpunit></gpunit> | 0 or more | GpUnit | For associating geo-political units with the report. |
| <issuer></issuer> | 1 | xsd:string | Identification of the report issuer. |
| <issuerabbreviation></issuerabbreviation> | 1 | xsd:string | An abbreviation of the report issuer such as the 2-character U.S. Census Bureau abbreviation of the state whose results are being reported, e.g., AL, TX, MN, etc. |
| <istest></istest> | 0 or 1 | xsd:boolean | Used to indicate whether the report is a test report. Assumed to be "no" if not present. |
| <notes></notes> | 0 or 1 | xsd:string | For including an arbitrary message with the report. |
| <office></office> | 0 or more | Office | For associating offices with the report. |
| <officegroup></officegroup> | 0 or more | OfficeGroup | For associating a name for a |

| Element | Multiplicity | Type | Element Description |
|--|--------------|---------------|--|
| | | | grouping of offices with the report. |
| <party></party> | 0 or more | Party | For associating parties with the report. |
| <person></person> | 0 or more | Person | For associating persons with the report. |
| <sequencestart></sequencestart> | 1 | xsd:integer | If this report is part of a sequence of files, its number in the sequence. |
| <sequenceend></sequenceend> | 1 | xsd:integer | Indicates the upper bound of the sequence. |
| <status></status> | 1 | ResultsStatus | Status of the election report, e.g., test mode, unofficial, etc. |
| <testtype></testtype> | 0 or 1 | xsd:string | A description of the type of test, e.g., pre-election, logic and accuracy, etc. |
| <pre><vendorapplicationid></vendorapplicationid></pre> | 1 | xsd:string | An identifier of the vendor application generating the election report, e.g., X-EMS version 3.1.a. |
| <signature></signature> | 0 or 1 | Signature | Reference to the <i><signature></signature></i> element of the W3C digital signature schema imported into this schema. |

```
<xsd:complexType name="ElectionReport">
      <xsd:sequence>
         <xsd:element name="Election" type="Election" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="Format" type="ReportDetailLevel"/>
         <xsd:element name="GeneratedDate" type="xsd:dateTime"/>
         <xsd:element name="GpUnitCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="GpUnit" type="GpUnit" maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="Issuer" type="xsd:string"/>
         <xsd:element name="IssuerAbbreviation" type="xsd:string"/>
         <xsd:element name="IsTest" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="Notes" type="xsd:string" minOccurs="0"/>
         <xsd:element name="OfficeCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Office" type="Office" maxOccurs="unbounded"/>
                  <xsd:element name="OfficeGroup" type="OfficeGroup" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
```

```
<xsd:element name="PartyCollection" minOccurs="0">
         <xsd:complexType>
            <xsd:sequence>
               <xsd:element name="Party" type="Party" max0ccurs="unbounded"/>
         </xsd:complexType>
      </xsd:element>
      <xsd:element name="PersonCollection" minOccurs="0">
         <xsd:complexType>
            <xsd:sequence>
               <xsd:element name="Person" type="Person" max0ccurs="unbounded"/>
            </xsd:sequence>
         </xsd:complexType>
      </xsd:element>
      <xsd:element name="SequenceStart" type="xsd:integer"/>
      <xsd:element name="SequenceEnd" type="xsd:integer"/>
      <xsd:element name="Status" type="ResultsStatus"/>
      <xsd:element name="TestType" type="xsd:string" min0ccurs="0"/>
      <xsd:element name="VendorApplicationId" type="xsd:string"/>
      <xsd:element ref="ds:Signature" minOccurs="0"/>
   </xsd:sequence>
</xsd:complexType>
```

4.2.13 The <ExternalIdentifiers Element>

For associating a jurisdiction's codes, i.e., identifiers, with objects such as candidates, offices, or geo-political units such as counties, towns, precincts, etc.¹ Multiple occurrences of the *ExternalIdentifier*> sub-element can be used to associate multiple codes, e.g., if there is a desire to associate multiple codes with a particular object (FIPS as well as OCD-IDs), as follows:

For elements that include *<ExternalIdentifiers>*, if the type is not listed in enumeration *<IdentifierType>*, use *other* and include the type (that is not listed in the enumeration) in *OtherType*, e.g.,

```
<ExternalIdentifier>
    <Type>other</Type>
    <Value>101-A</Value>
    <OtherType>Ohio County Precinct Numbers</OtherType>
<ExternalIdentifier>
```

Table 4.27 - Attributes for <ExternalIdentifiers>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.28 - Elements for <ExternalIdentifiers>

| Element | Multiplicity | Туре | Element Description |
|-------------------------|--------------|----------------|---|
| <type></type> | yes | IdentifierType | An identifier type, e.g., FIPS. |
| <othertype></othertype> | no | xsd:string | Used when <identifiertype> value is other.</identifiertype> |
| <value></value> | yes | xsd:string | The identifier used by the jurisdiction. |

_

¹ A future version of this standard will reference a registry containing election data-related codes, thus this element may change.

4.2.14 The <GpUnit> Abstract Element

For defining geo-political units such as cities, districts, jurisdictions, precincts or split precincts, for the purpose of associating contests, offices, vote counts, or other information with the geographies. *<ElectionReport>* includes *<GpUnit>*.

<GpUnit> is an abstract element with two xsi:types:

- *<GpUnit xsi:type="ReportingUnit">*, for associating vote counts with a specific vote-capture device (see subsection 4.2.13)
- *<GpUnit xsi:type="ReportingDevice">*, for associating vote counts with geopolitical units such as cities, districts, counties, precincts, etc. (see subsection 4.2.13)

<Election> and <Contest> contain a required reference to a <GpUnit> element defined as the
jurisdiction of the election or contest respectively; <Office> contains a similar reference that is
optional.

<SummaryCounts> and <VoteCounts> reference <GpUnit> to link vote or summary counts to
<GpUnit> elements defined for precincts or other types of geo-political units. <GpUnit> also
includes <SummaryCounts> so as to provide summary counts for the corresponding geo-political
unit. <BallotStyle> references <GpUnit> to link a ballot style to its corresponding geopolitical unit.

<ComposingGpUnitId> is used to reference other <GpUnit> elements and associate them with the parent <GpUnit>, with the rule that each referenced element must be defined for a geopolitical unit that is contained within the parent <GpUnit> element. For example, precincts are often contained within counties, thus within a <GpUnit> element defined for a county, it is correct to reference elements defined for precincts. As well, within a <GpUnit> element defined for a district, it is correct to reference those elements defined for precincts and split precincts that are contained within the district element.

If this above rule of containment is not observed, then applications will not know how to navigate within instance files and find the needed information. Applications need to know that, e.g., state elements can reference county elements, or precinct elements can reference split-precinct elements. If this rule is violated, for example, split-precinct elements are referencing precinct elements, an application would not be able to find information correctly within the instance file.

When including *<ExternalIdentifiers>*, if the type is not listed in enumeration *<IdentifierType>*, use *other* and include the type (that is not listed in the enumeration) in *<OtherType>*.

Table 4.29 - Attributes for <GpUnit>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.30 - Elements for <GpUnit>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|---------------------|--|
| <composinggpunitid></composinggpunitid> | 0 or more | xsd:IDREF | Unique identifier for a <i>GpUnit</i> element. For creating a reference to another <i>GpUnit</i> that is contained with the parent <i>GpUnit</i> . |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the <i><gpunit></gpunit></i> , e.g., a district's or county's code. |
| <name></name> | 0 or 1 | xsd:string | Name of the geo-political unit. |
| <summarycounts></summarycounts> | 0 or more | SummaryCounts | Ballot summary counts (overvotes, undervotes, total ballots, etc.) optionally broken down by device type and ballot class. |

4.2.14.1 The <GpUnit xsi:type="ReportingDevice"> Element

For reporting counts associated with a specific vote-capture device. It is an xsi:type of *GpUnit* and therefore inherits its attributes and elements (see Table 4.29 and Table 4.30). Its syntax is:

```
<GpUnit xsi:type="ReportingDevice" ... />
```

It identifies a specific vote-capture device by using the *<SerialNumber>* element, and then includes *<Device>* to identify other characteristics of the device such as manufacturer and model.

Table 4.31 - Elements for <GpUnit xsi:type="ReportingDevice">

| Element | Multiplicity | Туре | Element Description | |
|-------------------------------|--------------|------------|--|--|
| <device></device> | 0 or 1 | Device | For filtering the vote counts by device type, model, and manufacturer. | |
| <serialnumber></serialnumber> | 0 or 1 | xsd:string | A serial number or otherwise identifier associated with the device. | |

4.2.14.2 The <GpUnit xsi:type="ReportingUnit"> Element

For defining a geo-political unit such as state, county, township, precinct, etc., using the <*ReportingUnitType*> enumeration. It is an xsi:type of <*GpUnit*> and therefore inherits its attributes and elements (see Table 4.29 and Table 4.30). Its syntax is:

```
<GpUnit xsi:type="ReportingUnit" ... />
```

This element optionally references *Person* to associate one or more authorities for the reporting unit. It also includes *ContactInformation* to provide contact addresses for the reporting unit, such as an address of a vote center.

<Flection> references this element so as to identify the geographical scope of the election. In
this case, the <GpUnit> element defined for the scope of the election may include
<ElectionAdministration> so as to include election authority-related information.

The <Type> sub-element uses the <ReportingUnitType> enumeration to specify the type of geo-political geography being defined. <ReportingUnitType> contains the most common types of geographies, e.g., state, county, precinct, and so forth². If the reporting unit type is not listed in enumeration <ReportingUnitType>, use other and include the reporting unit type (that is not listed in the enumeration) in <OtherType>.

The <IsDistricted> boolean can be used in a number of ways. It is not strictly necessary, as it is possible to identify districts by their <Type> sub-element or by examining the <Contest> element's <ElectoralDistrictId> sub-element, which links to the electoral district associated with the contest (see section 4.2.6). However, if a district is defined but is not linked from a contest, or if the type of district is not listed in the <ReportingUnitType> enumeration and therefore <OtherType> is used, then <IsDistricted> is necessary to identify the <GpUnit> as a district. The <IsDistricted> boolean can also be used to signify that a <GpUnit> defined as a jurisdiction, e.g., a county, is also used as a district for, e.g., county-wide contests.

| Element | Multiplicity | Туре | Element Description |
|---|--------------|--------------------|--|
| <authorityid></authorityid> | 0 or more | xsd:IDREF | The <i>objectId</i> of one or more <i><person></person></i> elements describing an authority responsible for the reporting unit. |
| <contactinformation></contactinformation> | 0 or 1 | ContactInformation | For associating contact information with the reporting unit. |

Table 4.32 - Elements for <GpUnit xsi:type="ReportingUnit">

² A future version of this schema may specify geographies differently using a structured registry of defined types.

| Element | Multiplicity | Туре | Element Description |
|---|--------------|------------------------|--|
| <countstatus></countstatus> | 0 or more | CountStatus | For providing various counting status on types of ballots or other items. |
| <electionadministration></electionadministration> | 0 or 1 | ElectionAdministration | For use when the reporting unit serves as the authority in the election. |
| <isdistricted></isdistricted> | 0 or 1 | xsd:boolean | Boolean to indicate whether the reporting unit is a district; assumed to be "no" if not present. |
| <number></number> | 0 or 1 | xsd:string | A number associated with the reporting unit; for compatibility with VIP. |
| <partyregistration></partyregistration> | 0 or more | PartyRegistration | For associating a count of registered voters per party with the geo-political unit. |
| <spatialdimension></spatialdimension> | 0 or 1 | SpatialDimension | For describing the reporting unit's spatial extent (a polygon that shows the related area). |
| <subunitsreported></subunitsreported> | 0 or 1 | xsd:integer | Number of associated subunits such as precincts that have completed reporting. |
| <totalsubunits></totalsubunits> | 0 or 1 | xsd:integer | Total number of associated subunits such as precincts. |
| <type></type> | 1 | ReportingUnitType | Enumerated type of reporting unit, e.g., state, county, district, precinct, etc. |
| <othertype></othertype> | 0 or 1 | xsd:string | For use when <reportingunittype> value is other.</reportingunittype> |
| <votersparticipated></votersparticipated> | 0 or 1 | xsd:integer | Number of voters who have participated in the election, i.e., shown up at the polls, including those who did not cast ballots. |
| <votersregistered></votersregistered> | 0 or 1 | xsd:integer | Number of registered voters residing within the boundaries of the geopolitical unit. |

```
<xsd:complexType name="ReportingUnit">
      <xsd:complexContent>
         <xsd:extension base="GpUnit">
            <xsd:sequence>
               <xsd:element name="AuthorityId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="ContactInformation" type="ContactInformation" minOccurs="0"/>
               <xsd:element name="CountStatus" type="CountStatus" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="ElectionAdministration" type="ElectionAdministration"</pre>
minOccurs="0"/>
               <xsd:element name="IsDistricted" type="xsd:boolean" minOccurs="0"/>
               <xsd:element name="Number" type="xsd:string" min0ccurs="0"/>
               <xsd:element name="PartyRegistration" type="PartyRegistration" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="SpatialDimension" type="SpatialDimension" minOccurs="0"/>
               <xsd:element name="SubUnitsReported" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="TotalSubUnits" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="Type" type="ReportingUnitType"/>
               <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
               <xsd:element name="VotersParticipated" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="VotersRegistered" type="xsd:integer" minOccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
```

4.2.15 The <InternationalizedText> Element

For strings that can contain text in one of a number of different languages, for use with text that can be included on the ballot. The *Identifier* attribute can be used to assign an identifier to the text as desired.

<Text> uses the xsd:language type attribute such that its language attribute must be set to a value that identifies the language. An example of usage for the string "This is content in Spanish." is as follows:

```
<InternationalizedText>
     <Text Language="es">Este es el contenido en Español.</LanguageString>
</InternationalizedText>
```

Values for *language* include:

- en English
- en-US U.S. English
- en-GB U.K. English
- fr French
- es Spanish
- zh Chinese
- ja Japanese
- ko Korean

Table 4.33 - Attributes for <InternationalizedText>

| Attribute | Required | Туре | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.34 - Elements for <InternationalizedText>

| Element | Multiplicity | Type | Element Description |
|---------------|--------------|----------------|---|
| <text></text> | 1 or more | LanguageString | A string of text, i.e., possibly non-English. |

Table 4.35 - Attributes for <Text>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------------|---|
| text | yes | xsd:language | Identification of the language, e.g., "es". |

4.2.16 The <Office> Element

For defining an office and associated information associated with a contest and/or a district. <ElectionReport> includes <Office> (and <OfficeGroup>, see section 4.2.17).
<CandidateContest> and <RetentionContest> reference <Office>.

<Office> includes <Term> for defining details about the term of an office such as start/end dates and the type of term. <OfficeGroup> is included from ElectionReport (see subsection 4.2.17) to assign a name to a grouping of office definitions.

<Office> includes an optional <ElectoralDistrictId> reference to a <GpUnit> for the
purpose of identifying the geographical scope of the office. For example, for an office for a state
senate seat, <ElectoralDistrictId> would include a reference to the <GpUnit> defined for the
district associated with that office.

Table 4.36 - Attributes for <Office>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.37 - Elements for <Office>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|---|
| <contactinformation></contactinformation> | 0 or 1 | ContactInformation | For associating various contact information with the office. |
| <electoraldistrictid></electoraldistrictid> | 0 or more | xsd:IDREF | Unique identifier for a <gpunit> element. For associating the office with a reporting unit that represents the geographical scope of the contest, e.g., a district, etc.</gpunit> |
| <externalidentifiers></externalidentifiers> | 0 or more | ExternalIdentifiers | For associating an ID with the office. |
| <filingdeadline></filingdeadline> | 0 or 1 | xsd:dateTime | Date and time when a candidate must have filed for the contest for the office. |
| <ispartisan></ispartisan> | 0 or 1 | xsd:boolean | Boolean to indicate whether the office is partisan, e.g., "yes" or "no". Assumed to be "no" if not present. |
| <name></name> | 1 | InternationalizedText | Name of the office; can appear on the ballot. |
| <officeholderpersonid></officeholderpersonid> | 0 or more | xsd:IDREF | Unique identifier for a |

| Element | Multiplicity | Type | Element Description |
|---------|--------------|------|--|
| | | | <candidate> element defined for the office holder.</candidate> |

Table 4.38 - Attributes for <Term>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.39 - Elements for <Term>

| Element | Multiplicity | Type | Element Description |
|-------------------------|--------------|----------------|--|
| <startdate></startdate> | 0 or 1 | xsd:date | Start date for the current term of the office. |
| <enddate></enddate> | 0 or 1 | xsd:date | End date for the current term of the office. |
| <type></type> | 0 or 1 | OfficeTermType | Enumerated type of term, e.g., full- term, unexpired-term, etc. |

```
<xsd:complexType name="Office">
      <xsd:sequence>
         <xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"/>
         <xsd:element name="ElectoralDistrictId" type="xsd:IDREF" min0ccurs="0"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="FilingDeadline" type="xsd:date" minOccurs="0"/>
         <xsd:element name="IsPartisan" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="Name" type="InternationalizedText"/>
         <xsd:element name="OfficeHolderPersonId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="Term" min0ccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="StartDate" type="xsd:date" minOccurs="0"/>
                  <xsd:element name="EndDate" type="xsd:date" minOccurs="0"/>
                  <xsd:element name="Type" type="OfficeTermType" minOccurs="0"/>
               </xsd:sequence>
               <xsd:attribute name="label" type="xsd:string"/>
            </xsd:complexType>
         </xsd:element>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
```

4.2.17 The <OfficeGroup> Element

<OfficeGroup> is used to assign a name to a grouping of office definitions. It includes references to <Office> elements and a name to identify the grouping of references, e.g, "Judicial" or "Statewide", etc. <SubOfficeGroup> can be used to create a nested hierarchy of groupings. <ElectionReport> includes <OfficeGroup>.

As an example, <0fficeGroup> can be used to group state offices, etc., as follows:

Table 4.40 - Attributes for <OfficeGroup>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.41 - Elements for <OffieGroup>

| Element | Multiplicity | Туре | Element Description |
|-----------------------------------|--------------|-------------|---|
| <name></name> | 1 | xsd:string | Name of the office grouping. |
| <officeid></officeid> | 0 or more | xsd:IDREF | Unique identifier for an <0ffice> element. For associating a name with a grouping of Office elements. |
| <officesubgroup></officesubgroup> | 0 or more | OfficeGroup | For defining a nested hierarchy of <i><office></office></i> element groupings. |

4.2.18 The <Party> Abstract and xsi:type Coalition Elements

Used to describe a political party that can then be referenced in other elements. <ElectionReport> includes <Party>. <Candidate>, <PartyContest>,
<PartyRegistration>, and <Person> reference <Party>.

<Party> is an abstract element with one xsi:type:

```
<Party xsi:type="Coalition">, used to define coalitions (see section 4.2.18.1)
```

The *<Color>* element specifies a 6-digit RGB code displayable using HTML. For example, the color green is encoded as:

```
<Party Color="00FF00" ... />
```

Table 4.42 - Attributes for <Party>

| Attribute | Required | Туре | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.43 - Elements for <Party>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|--|
| <abbreviation></abbreviation> | 0 or 1 | xsd:string | Short name for the party, e.g., "DEM". |
| <color></color> | 0 or 1 | HTMLColorString | For associating an HTML RGB color coding with the party. |
| <externalidentifiers></externalidentifiers> | 0 or 1 | ExternalIdentifiers | For associating an ID with the party. |
| <logouri></logouri> | 0 or 1 | xsd:anyUri | A URI to the party's graphical logo. |
| <name></name> | 1 | InternationalizedText | Official full name of the party, e.g., "Republican"; can appear on the ballot. |

<xsd:attribute name="objectId" type="xsd:ID" use="required"/>
</xsd:complexType>

4.2.18.1 The <Party xsi:type="Coalition"> Element

For defining a coalition, i.e., a collection of parties organized for the purpose of endorsing a candidates in a contest. It is an xsi:type of <*Party*> and therefore inherits its attributes and elements (see Table 4.42 and Table 4.43). Its syntax is:

```
<Party xsi:type="Coalition" ... />
```

<Coalition> elements are created by defining <Party> elements from <ElectionReport>, but using the above syntax (thus, coalition elements can be referenced via any references to <Party> included in other elements, e.g., <Candidate> or <CandidateSelection>). <Coalition> elements themselves are composed of multiple occurrences of <Party> references along with a reference to an associated contest(s), for example:

If *<ContestId>* is not present, a general default is that the coalition endorses the associated parties.

| Element | Multiplicity | Туре | Element Description |
|-------------------------|--------------|-----------|--|
| <contestid></contestid> | 0 or more | xsd:IDFEF | Unique identifier for a <i><contest></contest></i> element. For associating contests with the coalition. |
| <partyid></partyid> | 0 or more | xsd:IDREF | Unique identifier for a <i>Party</i> element. For associating parties with the coalition. |

Table 4.44 - Elements for <Party xsi:type="Coalition">

4.2.19 The <PartyRegistration> Element

For tracking the number of registered voters per party per geo-political unit, i.e., for reporting on the number of registered voters of a particular party in a district or other type of reporting unit. Referenced by *GpUnit*>.

Table 4.45 - Elements for <PartyRegistration>

| Element | Multiplicity | Туре | Element Description |
|---------------------|--------------|-------------|--|
| <count></count> | 1 | xsd:integer | A count for tracking the number of registered voters. |
| <partyid></partyid> | 1 | xsd:IDREF | Unique identifier for a <i>Party</i> element. For associating a political party. |

4.2.20 The <Person> Element

For defining information about a person; the person may be a candidate, election official, authority for a reporting unit, etc. <ElectionReport> includes <Person>. <Candidate> and <ElectionAuthority> and <GpUnit> reference <Person>. <Person> optionally includes <ContactInformation> for associating contact information.

Multiple occurrences of the <MiddleName> element can be used as needed, e.g., for names such as "John Andrew Winston Smith", as follows:

Table 4.46 - Attributes for <Person>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|--------|--|
| objectId | yes | xsd:ID | Unique internal identifier used by other element(s) to reference this element. |

Table 4.47 - Elements for <Person>

| Element | Multiplicity | Туре | Element Description |
|---|--------------|-----------------------|---|
| <contactinformation></contactinformation> | 0 or more | ContactInformation | For associating contact information with the person. |
| <dateofbirth></dateofbirth> | 0 or 1 | xsd:date | Person's date of birth. |
| <firstname></firstname> | 0 or 1 | xsd:string | Person's first (given) name. |
| <fullname></fullname> | 0 or 1 | InternationalizedText | Person's full name. |
| <gender></gender> | 0 or 1 | xsd:string | Person's gender. |
| <lastname></lastname> | 0 or 1 | xsd:string | Person's last (family) name. |
| <middlename></middlename> | 0 or more | xsd:string | Person's middle name. |
| <nickname></nickname> | 0 or 1 | xsd:string | Nickname associated with the person. |
| <partyid></partyid> | 0 or 1 | xsd:IDREF | Unique identifier for a Party element. For associating a political party with the person. |
| <prefix></prefix> | 0 or 1 | xsd:string | A prefix associated with the person, e.g., Mr. |
| <profession></profession> | 0 or 1 | InternationalizedText | Person's profession. |
| <suffix></suffix> | 0 or 1 | xsd:string | A suffix associated with the |

| Element | Multiplicity | Type | Element Description |
|--|--------------|------|---------------------|
| | | | person, e.g., Jr. |
| <title></td><td>0 or 1</td><td>InternationalizedText</td><td>A title associated with the person.</td></tr></tbody></table></title> | | | |

```
<xsd:complexType name="Person">
      <xsd:sequence>
         <xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"</pre>
max0ccurs="unbounded"/>
         <xsd:element name="DateOfBirth" type="xsd:date" minOccurs="0"/>
         <xsd:element name="FirstName" type="xsd:string" minOccurs="0"/>
         <xsd:element name="FullName" type="InternationalizedText" minOccurs="0"/>
         <xsd:element name="Gender" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="LastName" type="xsd:string" minOccurs="0"/>
         <xsd:element name="MiddleName" type="xsd:string" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="Nickname" type="xsd:string" minOccurs="0"/>
         <xsd:element name="PartyId" type="xsd:IDREF" minOccurs="0"/>
         <xsd:element name="Prefix" type="xsd:string" minOccurs="0"/>
         <xsd:element name="Profession" type="InternationalizedText" minOccurs="0"/>
         <xsd:element name="Suffix" type="xsd:string" minOccurs="0"/>
         <xsd:element name="Title" type="InternationalizedText" minOccurs="0"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
```

4.2.21 The <Schedule> and <Hours> Elements

For defining a schedule associated with a particular election office or location. <*ContactInformation*> includes <*Schedule*>.

Table 4.48 - Attributes for <Schedule>

| Attribute | Required | Туре | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.49 - Elements for <Schedule>

| Element | Multiplicity | Type | Element Description |
|---|--------------|-------------|--|
| <hours></hours> | 0 or more | Hours | For specifying a range of hours for a schedule. |
| <isonlybyappointmment></isonlybyappointmment> | 0 or 1 | xsd:boolean | If an appointment is only by appointment; assumed to be "no" if not present. |
| <isorbyappointment></isorbyappointment> | 0 or 1 | xsd:boolean | If an appointment can by appointment presumably as desired; assumed to be "no" if not present. |
| <issubjecttochange></issubjecttochange> | 0 or 1 | xsd:boolean | If an appointment may be subject to change; assumed to be "no" if not present. |
| <startdate></startdate> | 0 or 1 | xsd:date | For the starting date of the schedule. |
| <enddate></enddate> | 0 or 1 | xsd:date | For the ending date of the schedule. |

4.2.21.1 The <Hours> Element

<Hours> is used to specify a specific day and hours on that day, including the time zone.
Multiple occurences of <Hours> can be used if the schedule includes a range of days and hours, for example, for specific hours on a Wednesday and Thursday:

Table 4.50 - Attributes for <Schedule>

| Attribute | Required | Type | Attribute Description |
|-----------|----------|------------|--|
| label | no | xsd:string | For use as needed and compatibility with the VIP schema. |

Table 4.51 - Elements for <Hours>

| Element | Multiplicity | Type | Element Description |
|-------------------------|--------------|--------------|-----------------------------|
| <day></day> | no | DayType | Day of week or weekend. |
| <starttime></starttime> | yes | TimeWithZone | Start time of the schedule. |
| <endtime></endtime> | yes | TimeWithZone | End time of the schedule. |

4.2.22 The <SpatialDimension> and <SpatialExtent> Elements

For defining the spatial layout of a *<GpUnit>*, e.g., a map or a spatial extent (a polygon that shows the related area) for various purposes, including to visualize election results, to understand the composition of districts, or to determine whether *<GpUnit>* elements are properly related. *<GpUnit>* includes *<SpatialDimension>*.

Table 4.52 - Elements for <SpatialDimension>

| Element | Multiplicity | Type | Element Description |
|--|--------------|---------------|---|
| <mapuri></mapuri> | 0 or 1 | xsd:anyURI | Typically a URL to a map of the <gpunit>.</gpunit> |
| <pre><spatialextent></spatialextent></pre> | 0 or 1 | SpatialExtent | For associating a <i><gpunit< i="">> element's spatial extent information.</gpunit<></i> |

4.2.22.1 The SpatialExtent Element

<SpatialDimension> includes <SpatialExtent> for defining a <GpUnit> element's spatial
extent data and the format used for the spatial extent.

Table 4.53 - Elements for <SpatialExtent>

| Element | Multiplicity | Type | Element Description |
|-----------------------------|--------------|------------------|--|
| <coordinates></coordinates> | 1 | xsd:string | The data coordinates constituting the spatial extent. |
| <format></format> | 1 | GeoSpatialFormat | Enumerated type for the format used, e.g., gml, kml, wkt, etc. |

4.3 Enumerations

The following subsections deal with enumerations in the schema.

4.3.1 The BallotMeasureType Enumeration

Enumeration for types of ballot measures in the *<BallotMeasureContest>* element.

Table 4.54 - Values for <BallotMeasureType>

| Value | Value Description |
|----------------|---|
| ballot-measure | For a standard "yes" or "no" question on the ballot. |
| initiative | For an initiative. |
| referendum | For a referendum. |
| other | Used when the type of ballot measure is not included in this enumeration. |

4.3.2 The CandidatePostElectionStatus Enumeration

Enumeration for various post-election statuses applicable to a candidate in the *<Candidate>* element.

Table 4.55 - Values for <CandidatePostElectionStatus>

| Value | Value Description |
|------------------------|--|
| advanced-to- runoff | For candidates who have advanced to a runoff. |
| projected-winner | For a projected contest winner. |
| winner | For the official contest winner or one of N contest winners for N-of-M voting. |
| withdrawn | For candidates who have withdrawn from the contest. |

4.3.3 The CandidatePreElectionStatus Enumeration

Enumeration for various pre-election statuses applicable to a candidate in the *<Candidate>* element.

Table 4.56 - Values for <CandidatePreElectionStatus>

| Value | Value Description |
|-----------|---|
| filed | For candidates who have filed with the election authority but not necessarily qualified. |
| qualified | For candidates who are qualified by the election authority to be on the ballot for a contest. |
| withdrawn | For candidates who have withdrawn from the contest. |
| write-in | For when the candidate is a write-in. |

4.3.4 The CountItemStatus Enumeration

Enumeration for various counting-related statuses for types of ballots or write-ins, used in the *<CountStatus>* element.

Table 4.57 - Values for <CountItemStatus>

| Value | Value Description |
|---------------|---|
| completed | For counts that are complete. |
| in-process | For counts that are in process |
| not-processed | When the counting has not started or is not underway. |
| unknown | When the status of the counting is unknown. |

4.3.5 The CountItemType Enumeration

Enumeration for the items that are counted during the course of an election and for which the status of the counts are of interest. Used in the *<Counts>* and *<CountStatus>* elements.

Value Value Description For any/all types of absentee, generally when absentee is not broken out into absentee specific types. absentee-fwab A type of absentee; for Federal Write-in Absentee Ballots. A type of absentee; for absentee ballots cast in-person, e.g., at a county absentee-in-person office. absentee-mail A type of absentee; for postal mail absentee ballots. early For ballots cast during early voting periods. For ballots cast on election day. election-day For challenged ballots. provisional total For the total of all ballot types. For ballots from UOCAVA voters. uocava For write-ins on ballots. write-in other Used when the type of counting item is not included in this enumeration.

Table 4.58 - Values for <CountItemType>

4.3.6 The DayType Enumeration

Enumeration for the day(s) in a schedule in the <Schedule> element.

Table 4.59 - Values for <DayType>

| Value | Value Description |
|-----------|------------------------------------|
| all | Used for all days of the week. |
| sunday | |
| monday | |
| tuesday | |
| wednesday | |
| thursday | |
| friday | |
| saturday | |
| weekday | Used for any day of the week. |
| weekend | Used for both Saturday and Sunday. |

4.3.7 The DeviceType Enumeration

Enumeration for the type of device in the *<Device>* element.

Table 4.60 - Values for <DeviceType>

| Value | Value Description |
|-----------------|---|
| electronic | For DRE (Direct Record Electronic) and touchscreen devices such as tablets. |
| lever | For lever machines. |
| manual-count | For hand-counted paper ballots. |
| mixed-systems | For devices, e.g., that print voter choices on an optical scan ballot (hybrid of a DRE and an optical scan system) |
| opscan-central | For an optical scanner used at a central office with no opportunity for voter correction of mistakes. |
| opscan-precinct | For an optical scanner used at a precinct or other location where voter correction of mistakes such as overvotes is possible. |
| punch-card | For punch card devices. |
| unknown | Used when the type of device is unknown. |
| other | Used when the device type is not listed in this enumeration. |

4.3.8 The ElectionType Enumeration

Enumeration for the type of election in the *<ElectionReport>* element.

Table 4.61 - Values for <ElectionType>

| Value | Value Description |
|-------------------------|---|
| general | For the election held typically on the national day for elections (e.g., the Tuesday after the 1st Monday in November). |
| partisan-primary-closed | For a primary election that is for a specific party where voter eligibility is based on registration. |
| partisan-primary-open | For a primary election that is for a specific party where voter declares desired party or chooses in private. |
| primary | For a primary election, type not specified such as nonpartisan. |
| runoff | For an election to decide a prior contest that ended with no candidate receiving a majority of the votes. |
| special | For an election held out of sequence for special circumstances, e.g., to fill a vacated office. |
| other | Used when the election type is not listed in this enumeration. |

4.3.9 The GeoSpatialFormat Enumeration

Enumeration for geospatial vector data formats used in geographic information system (GIS) software, used in the *SpatialExtent>* element.

ValueValue Descriptiongeo-jsonFor GeoJSON open standard format.gmlFor Geography Markup Language format.kmlFor Keyhole Markup Language format.shpFor the shape file format associated with ESRI.wktFor Well-known Text format.

Table 4.62 - Values for <GeoSpatialFormat>

4.3.10 The IdentifierType Enumeration

Enumeration for election data-related codes in the *ExternalIdentifiers* element³.

Table 4.63 - Values for <IdentifierType>

| Value | Value Description |
|----------------|--|
| fips | For FIPS codes. |
| local-level | For a code that is specific to a county or other similar locality. |
| national-level | For a code that is used at the national level other than "fips" or "ocd-id". |
| ocd-id | For Open Civic Data identifiers. |
| state-level | For a code that is specific to a state. |
| other | Used when the type of code is not included in this enumeration. |

³ A future version of this schema will contain additional enumeration values for <IdentifierType> that link to a registry of election data-related codes.

4.3.11 The OfficeTermType Enumeration

Enumeration for the office term type in the *<Office>* element.

Table 4.64 - Values for <OfficeTermType>

| Value | Value Description |
|----------------|---|
| full-term | When the officeholder's term began at the beginning of the full term of the office, e.g., 6 years for U.S. Senate. |
| unexpired-term | When the officeholder's term began at some date after the beginning of the full term of the office, generally because the previous officeholder vacated the office before the fullterm expired. |

4.3.12 The ReportDetailLevel Enumeration

Enumeration for the detail level of the election results report in the *<Election>* element.

Table 4.65 - Values for <ReportDetailLevel>

| Value | Value Description |
|-----------------|---|
| precinct-level | For reports that contain counts from precincts in the reporting jurisdiction. |
| summary-contest | For reports that contain only aggregated counts. |

4.3.13 The ReportingUnitType Enumeration

Enumeration for the type of geo-political unit in the *<GpUnit xsi:type="ReportingUnit">* element⁴.

Table 4.66 - Values for <ReportingUnitType>

| Value | Value Description |
|-----------------------|--|
| ballot-batch | Used for reporting batches of ballots that may cross precinct boundaries. |
| city | Used for a city that reports results and/or for a district that encompasses the city. |
| city-council | Used for city council districts. |
| combined- precinct | Used for one or more precincts that have been combined for the purposes of reporting. Used for "Ward" if "Ward" is used interchangeably with "CombinedPrecinct". |
| congressional | Used for U.S. Congressional districts. |
| county | Used also for "Parish". |
| county-council | Used for county council districts. |
| dropbox | Used for a dropbox for absentee ballots. |
| judicial | Used for judicial districts. |
| municipality | Used as applicable for various units such as towns, townships, villages that report votes. |
| polling-place | Used for a polling place. |
| precinct | Used also for "Ward" or "District" when these terms are used to mean the same thing as "Precinct". |
| school | Used for a school district. |
| special | Used for a special district. |
| split-precinct | Used for splits of precincts. |
| state | Used for a state and/or for a district that encompasses the state. |
| state-house | Used for a state house or assembly district. |
| state-senate | Used for a state senate district. |
| town | Used in some New England states. |
| township | Used in some mid-western states. |
| utility | Used for a utility district. |
| village | Used for villages that report votes. |
| vote-center | Used for a vote center. |
| ward | Used for combinations or groupings of precincts or other units – use "Precinct" or "CombinedPrecinct" if this term is being used interchangeably. |

 $^{^4}$ A future version of this schema may specify geographies differently using a structured registry of defined types.

-

| Value | Value Description |
|-------|---|
| water | Used for a water district. |
| other | Used for other types of reporting units not included in this enumeration. |

```
<xsd:simpleType name="ReportingUnitType">
  <xsd:restriction base="xsd:string">
      <xsd:enumeration value="ballot-batch"/>
      <xsd:enumeration value="city"/>
     <xsd:enumeration value="city-council"/>
     <xsd:enumeration value="combined-precinct"/>
      <xsd:enumeration value="congressional"/>
      <xsd:enumeration value="county"/>
      <xsd:enumeration value="county-council"/>
      <xsd:enumeration value="drop-box"/>
     <xsd:enumeration value="judicial"/>
     <xsd:enumeration value="municipality"/>
      <xsd:enumeration value="polling-place"/>
      <xsd:enumeration value="precinct"/>
     <xsd:enumeration value="school"/>
     <xsd:enumeration value="special"/>
     <xsd:enumeration value="split-precinct"/>
      <xsd:enumeration value="state"/>
      <xsd:enumeration value="state-house"/>
      <xsd:enumeration value="state-senate"/>
      <xsd:enumeration value="town"/>
     <xsd:enumeration value="township"/>
      <xsd:enumeration value="utility"/>
      <xsd:enumeration value="village"/>
      <xsd:enumeration value="vote-center"/>
     <xsd:enumeration value="ward"/>
      <xsd:enumeration value="water"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
```

4.3.14 The ResultsStatus Enumeration

Enumeration for the status of the election results in the *<ElectionReport>* element.

Table 4.67 - Values for <ResultsStatus>

| Value | Value Description |
|---------------------|--|
| certified | For results that have been certified by the election authority. |
| correction | For results that are a correction to an earlier report. |
| pre-election | For a pre-election data. |
| recount | For results that are a recount of an earlier election. |
| unofficial-complete | For results that are unofficial and complete, e.g., the complete election night results. |
| unofficial-partial | For results that are unofficial and partial, e.g., partial election night results. |

4.3.15 The VoteVariation Enumeration

Enumeration for contest algorithm or rules in the *<Contest>* element.

Table 4.68 - Values for <VoteVariationType>

| Value | Value Description |
|----------------|--|
| 1-of-m | For 1 of M voting. |
| approval | For approval voting. |
| borda | For the Borda count voting. |
| cumulative | For cumulative voting. |
| majority | For majority voting. |
| measure | For a ballot measure. |
| n-of-m | For N of M voting. |
| plurality | For plurality voting. |
| range | For range voting. |
| rcv | For ranked choice voting. |
| super-majority | For super majority voting. |
| other | Used when the vote variation type is not included in this enumeration. |

```
<xsd:simpleType name="VoteVariation">
  <xsd:restriction base="xsd:string">
     <xsd:enumeration value="1-of-m"/>
      <xsd:enumeration value="approval"/>
      <xsd:enumeration value="borda"/>
      <xsd:enumeration value="cumulative"/>
      <xsd:enumeration value="majority"/>
      <xsd:enumeration value="n-of-m"/>
      <xsd:enumeration value="plurality"/>
      <xsd:enumeration value="proportional"/>
      <xsd:enumeration value="range"/>
      <xsd:enumeration value="rcv"/>
      <xsd:enumeration value="super-majority"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
```

4.4 Primitives

The following subsections deal with primitives in the schema.

4.4.1 The HTMLColorString Primitive

For a string containing a 6-digit Red-Green-Blue (RGB) code that can be displayed using HTML. Used in *Party* to associate a web-displayable color with the party. The RGB code is specified in hexadecimal, such that the RGB code for the color green is "00FF00" ("#00" + "#FF" + "#00").

Schema definition:

4.4.2 The Primitive ShortString Primitive

For defining a 16-character annotation, used with character strings in <AnnotatedString>.

Schema definition:

4.4.3 The Primitive TimeWithZone Primitive

For defining a time pattern, including the time zone, used in *Hours* for defining a schedule.

Hh:mm:ss+05

4.5 Complete Schema Listing

```
<?xml version="1.0" encoding="UTF-8"?>
<!-- Version 1.0-49, September 4, 2015, NIST ElectionResultsReporting, National Institute of
Standards and Technology -->
<xsd:schema xmlns="NIST_V1_election_results_reporting.xsd"</pre>
xmlns:ds="http://www.w3.org/2000/09/xmldsig#" xmlns:xsd="http://www.w3.org/2001/XMLSchema"
targetNamespace="NIST_V1_election_results_reporting.xsd" elementFormDefault="qualified"
version="1.0">
  <xsd:import namespace="http://www.w3.org/2000/09/xmldsig#"</pre>
schemaLocation="http://www.w3.org/2000/09/xmldsig#"/>
  <!-- ======= Roots ======= -->
  <xsd:element name="ElectionReport" type="ElectionReport"/>
   <!-- ======= Primitives ======= -->
   <xsd:simpleType name="HtmlColorString">
      <xsd:restriction base="xsd:string">
         <xsd:pattern value="[0-9a-f]{6}"/>
      </xsd:restriction>
   </xsd:simpleTvpe>
   <xsd:simpleType name="ShortString">
      <xsd:restriction base="xsd:string">
         <xsd:maxLength value="16"/>
      </xsd:restriction>
  </xsd:simpleType>
   <xsd:simpleType name="TimeWithZone">
      <xsd:restriction base="xsd:time">
         <xsd:pattern value="(([01][0-9]|2[0-3]):[0-5][0-9]:[0-5][0-9]|(24:00:00))(Z|[+-]((0[0-</pre>
9]|1[0-3]):[0-5][0-9]|14:00))"/>
      </xsd:restriction>
   </xsd:simpleType>
  <!-- ====== Enumerations ======= -->
   <xsd:simpleType name="BallotMeasureType">
      <xsd:restriction base="xsd:string">
         <xsd:enumeration value="ballot-measure"/>
         <xsd:enumeration value="initiative"/>
         <xsd:enumeration value="referendum"/>
         <xsd:enumeration value="other"/>
      </xsd:restriction>
   </xsd:simpleType>
   <xsd:simpleType name="CandidatePostElectionStatus">
      <xsd:restriction base="xsd:string">
         <xsd:enumeration value="advanced-to-runoff"/>
         <xsd:enumeration value="projected-winner"/>
         <xsd:enumeration value="winner"/>
         <xsd:enumeration value="withdrawn"/>
      </xsd:restriction>
   </xsd:simpleType>
   <xsd:simpleType name="CandidatePreElectionStatus">
      <xsd:restriction base="xsd:string">
         <xsd:enumeration value="filed"/>
         <xsd:enumeration value="qualified"/>
         <xsd:enumeration value="withdrawn"/>
         <xsd:enumeration value="write-in"/>
      </xsd:restriction>
   </xsd:simpleType>
   <xsd:simpleType name="CountItemStatus">
      <xsd:restriction base="xsd:string">
         <xsd:enumeration value="completed"/>
         <xsd:enumeration value="in-process"/>
         <xsd:enumeration value="not-processed"/>
         <xsd:enumeration value="unknown"/>
      </xsd:restriction>
```

```
</xsd:simpleType>
<xsd:simpleType name="CountItemType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="absentee"/>
      <xsd:enumeration value="absentee-fwab"/>
      <xsd:enumeration value="absentee-in-person"/>
      <xsd:enumeration value="absentee-mail"/>
      <xsd:enumeration value="early"/>
      <xsd:enumeration value="election-day"/>
      <xsd:enumeration value="provisional"/>
      <xsd:enumeration value="total"/>
      <xsd:enumeration value="uocava"/>
      <xsd:enumeration value="write-in"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="DayType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="all"/>
      <xsd:enumeration value="sunday"/>
      <xsd:enumeration value="monday"/>
      <xsd:enumeration value="tuesdav"/>
      <xsd:enumeration value="wednesday"/>
      <xsd:enumeration value="thursday"/>
      <xsd:enumeration value="friday"/>
      <xsd:enumeration value="saturday"/>
      <xsd:enumeration value="weekday"/>
      <xsd:enumeration value="weekend"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="DeviceType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="electronic"/>
      <xsd:enumeration value="lever"/>
      <xsd:enumeration value="manual-count"/>
      <xsd:enumeration value="mixed-systems"/>
      <xsd:enumeration value="opscan-central"/>
      <xsd:enumeration value="opscan-precinct"/>
      <xsd:enumeration value="punch-card"/>
      <xsd:enumeration value="unknown"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ElectionType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="general"/>
      <xsd:enumeration value="partisan-primary-closed"/>
      <xsd:enumeration value="partisan-primary-open"/>
      <xsd:enumeration value="primary"/>
      <xsd:enumeration value="runoff"/>
      <xsd:enumeration value="special"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="GeoSpatialFormat">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="geo-json"/>
      <xsd:enumeration value="gml"/>
      <xsd:enumeration value="kml"/>
      <xsd:enumeration value="shp"/>
      <xsd:enumeration value="wkt"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="IdentifierType">
```

```
<xsd:restriction base="xsd:string">
      <xsd:enumeration value="fips"/>
      <xsd:enumeration value="local-level"/>
      <xsd:enumeration value="national-level"/>
      <xsd:enumeration value="ocd-id"/>
      <xsd:enumeration value="state-level"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="OfficeTermType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="full-term"/>
      <xsd:enumeration value="unexpired-term"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ReportDetailLevel">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="precinct-level"/>
      <xsd:enumeration value="summary-contest"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ReportingUnitType">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="ballot-batch"/>
      <xsd:enumeration value="city"/>
      <xsd:enumeration value="city-council"/>
      <xsd:enumeration value="combined-precinct"/>
      <xsd:enumeration value="congressional"/>
      <xsd:enumeration value="county"/>
      <xsd:enumeration value="county-council"/>
      <xsd:enumeration value="drop-box"/>
      <xsd:enumeration value="judicial"/>
      <xsd:enumeration value="municipality"/>
      <xsd:enumeration value="polling-place"/>
      <xsd:enumeration value="precinct"/>
      <xsd:enumeration value="school"/>
      <xsd:enumeration value="special"/>
      <xsd:enumeration value="split-precinct"/>
      <xsd:enumeration value="state"/>
      <xsd:enumeration value="state-house"/>
      <xsd:enumeration value="state-senate"/>
      <xsd:enumeration value="town"/>
      <xsd:enumeration value="township"/>
      <xsd:enumeration value="utility"/>
      <xsd:enumeration value="village"/>
      <xsd:enumeration value="vote-center"/>
      <xsd:enumeration value="ward"/>
      <xsd:enumeration value="water"/>
      <xsd:enumeration value="other"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ResultsStatus">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="certified"/>
      <xsd:enumeration value="correction"/>
      <xsd:enumeration value="pre-election"/>
      <xsd:enumeration value="recount"/>
      <xsd:enumeration value="unofficial-complete"/>
      <xsd:enumeration value="unofficial-partial"/>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="VoteVariation">
   <xsd:restriction base="xsd:string">
      <xsd:enumeration value="1-of-m"/>
```

```
<xsd:enumeration value="approval"/>
         <xsd:enumeration value="borda"/>
         <xsd:enumeration value="cumulative"/>
         <xsd:enumeration value="majority"/>
         <xsd:enumeration value="n-of-m"/>
         <xsd:enumeration value="plurality"/>
         <xsd:enumeration value="proportional"/>
         <xsd:enumeration value="range"/>
         <xsd:enumeration value="rcv"/>
         <xsd:enumeration value="super-majority"/>
         <xsd:enumeration value="other"/>
      </xsd:restriction>
   </xsd:simpleType>
   <!-- ======= Interfaces Defined ======== -->
   <!-- ======= Classes ======= -->
   <xsd:complexType name="AnnotatedString">
      <xsd:simpleContent>
         <xsd:extension base="xsd:string">
            <xsd:attribute name="annotation" type="ShortString"/>
         </xsd:extension>
      </xsd:simpleContent>
   </xsd:complexType>
   <xsd:complexType name="BallotMeasureContest">
      <xsd:complexContent>
         <xsd:extension base="Contest">
            <xsd:sequence>
               <xsd:element name="ConStatement" type="InternationalizedText" minOccurs="0"/>
               <xsd:element name="EffectOfAbstain" type="InternationalizedText" minOccurs="0"/>
               <xsd:element name="FullText" type="InternationalizedText" minOccurs="0"/>
              <xsd:element name="InfoUri" type="xsd:anyURI" minOccurs="0"/>
              <xsd:element name="PassageThreshold" type="InternationalizedText" minOccurs="0"/>
              <xsd:element name="ProStatement" type="InternationalizedText" minOccurs="0"/>
               <xsd:element name="SummaryText" type="InternationalizedText" minOccurs="0"/>
              <xsd:element name="Type" type="BallotMeasureType" min0ccurs="0"/>
               <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="BallotMeasureSelection">
      <xsd:complexContent>
         <xsd:extension base="BallotSelection">
               <xsd:element name="Selection" type="InternationalizedText"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="BallotSelection" abstract="true">
      <xsd:sequence>
         <xsd:element name="SequenceOrder" type="xsd:integer" minOccurs="0"/>
         <xsd:element name="VoteCountsCollection" minOccurs="0" maxOccurs="unbounded">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="VoteCounts" type="VoteCounts" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="BallotStyle">
```

```
<xsd:sequence>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="GpUnitId" type="xsd:IDREF" max0ccurs="unbounded"/>
         <xsd:element name="ImageUri" type="xsd:anyURI" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="OrderedContest" type="OrderedContest" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="PartyId" type="xsd:IDREF" minOccurs="0" maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="Candidate">
      <xsd:sequence>
         <xsd:element name="BallotName" type="InternationalizedText"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="FileDate" type="xsd:date" minOccurs="0"/>
         <xsd:element name="IsIncumbent" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="IsTopTicket" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="PartyId" type="xsd:IDREF" minOccurs="0"/>
         <xsd:element name="PersonId" type="xsd:IDREF" minOccurs="0"/>
         <xsd:element name="PostElectionStatus" type="CandidatePostElectionStatus"</pre>
minOccurs="0"/>
         <xsd:element name="PreElectionStatus" type="CandidatePreElectionStatus" minOccurs="0"/>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="CandidateContest">
      <xsd:complexContent>
         <xsd:extension base="Contest">
            <xsd:sequence>
               <xsd:element name="NumberElected" type="xsd:integer" min0ccurs="0"/>
               <xsd:element name="OfficeId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="PrimaryPartyId" type="xsd:IDREF" minOccurs="0"/>
               <xsd:element name="VotesAllowed" type="xsd:integer"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="CandidateSelection">
      <xsd:complexContent>
         <xsd:extension base="BallotSelection">
            <xsd:sequence>
               <xsd:element name="CandidateId" type="xsd:IDREF" max0ccurs="unbounded"/>
               <xsd:element name="EndorsementPartyId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="IsWriteIn" type="xsd:boolean" minOccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="Coalition">
      <xsd:complexContent>
         <xsd:extension base="Party">
            <xsd:sequence>
               <xsd:element name="ContestId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="PartyId" type="xsd:IDREF" minOccurs="0" maxOccurs="unbounded"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="ContactInformation">
      <xsd:sequence>
         <xsd:element name="AddressLine" type="xsd:string" min0ccurs="0" max0ccurs="unbounded"/>
```

```
<xsd:element name="Email" type="AnnotatedString" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="Fax" type=" AnnotatedString" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
         <xsd:element name="Phone" type="AnnotatedString" min0ccurs="0" max0ccurs="unbounded"/>
         <xsd:element name="Schedule" type="Schedule" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="Uri" type="xsd:anyURI" minOccurs="0" maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="Contest" abstract="true">
      <xsd:sequence>
         <xsd:element name="Abbreviation" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="BallotSelection" type="BallotSelection" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="BallotSubTitle" type="InternationalizedText" minOccurs="0"/>
         <xsd:element name="BallotTitle" type="InternationalizedText" min0ccurs="0"/>
         <xsd:element name="CountStatus" type="CountStatus" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="ElectoralDistrictId" type="xsd:IDREF"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="HasRotation" type="xsd:boolean" minOccurs="0"/>
         <xsd:element name="Name" type="xsd:string"/>
         <xsd:element name="SequenceOrder" type="xsd:integer" minOccurs="0"/>
         <xsd:element name="SubUnitsReported" type="xsd:integer" minOccurs="0"/>
         <xsd:element name="SummaryCounts" type="SummaryCounts" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="TotalSubUnits" type="xsd:integer" minOccurs="0"/>
         <xsd:element name="VoteVariation" type="VoteVariation" min0ccurs="0"/>
         <xsd:element name="OtherVoteVariation" type="xsd:string" minOccurs="0"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="CountStatus">
      <xsd:sequence>
         <xsd:element name="Status" type="CountItemStatus"/>
         <xsd:element name="Type" type="CountItemType"/>
         <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="Counts" abstract="true">
      <xsd:sequence>
         <xsd:element name="Device" type="Device" minOccurs="0"/>
         <xsd:element name="GpUnitId" type="xsd:IDREF" min0ccurs="0"/>
         <xsd:element name="Type" type="CountItemType" minOccurs="0"/>
         <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="Device">
      <xsd:sequence>
         <xsd:element name="Manufacturer" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="Model" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="Type" type="DeviceType" minOccurs="0"/>
         <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="Election">
      <xsd:sequence>
         <xsd:element name="BallotStyleCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="BallotStyle" type="BallotStyle" maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="CandidateCollection" minOccurs="0">
```

```
<xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Candidate" type="Candidate" max0ccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"/>
         <xsd:element name="ContestCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Contest" type="Contest" maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="CountStatus" type="CountStatus" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="ElectionScopeId" type="xsd:IDREF"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="Name" type="InternationalizedText"/>
         <xsd:element name="StartDate" type="xsd:date"/>
         <xsd:element name="EndDate" type="xsd:date" min0ccurs="0"/>
         <xsd:element name="Type" type="ElectionType"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="ElectionAdministration">
      <xsd:sequence>
         <xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"/>
         <xsd:element name="ElectionOfficialPersonId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="Name" type="xsd:string" min0ccurs="0"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="ElectionReport">
      <xsd:sequence>
         <xsd:element name="Election" type="Election" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" min0ccurs="0"/>
         <xsd:element name="Format" type="ReportDetailLevel"/>
         <xsd:element name="GeneratedDate" type="xsd:dateTime"/>
         <xsd:element name="GpUnitCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="GpUnit" type="GpUnit" maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="Issuer" type="xsd:string"/>
         <xsd:element name="IssuerAbbreviation" type="xsd:string"/>
         <xsd:element name="IsTest" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="Notes" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="OfficeCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Office" type="Office" maxOccurs="unbounded"/>
                  <xsd:element name="OfficeGroup" type="OfficeGroup" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="PartyCollection" minOccurs="0">
            <xsd:complexType>
                  <xsd:element name="Party" type="Party" max0ccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
```

```
<xsd:element name="PersonCollection" minOccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Person" type="Person" max0ccurs="unbounded"/>
               </xsd:sequence>
            </xsd:complexType>
         </xsd:element>
         <xsd:element name="SequenceStart" type="xsd:integer"/>
         <xsd:element name="SequenceEnd" type="xsd:integer"/>
         <xsd:element name="Status" type="ResultsStatus"/>
         <xsd:element name="TestType" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="VendorApplicationId" type="xsd:string"/>
         <xsd:element ref="ds:Signature" min0ccurs="0"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="ExternalIdentifiers">
      <xsd:sequence>
         <xsd:element name="ExternalIdentifier" max0ccurs="unbounded">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="Type" type="IdentifierType"/>
                  <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
                  <xsd:element name="Value" type="xsd:string"/>
               </xsd:sequence>
               <xsd:attribute name="label" type="xsd:string"/>
            </xsd:complexType>
         </xsd:element>
      </xsd:sequence>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="GpUnit" abstract="true">
      <xsd:sequence>
         <xsd:element name="ComposingGpUnitId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" minOccurs="0"/>
         <xsd:element name="Name" type="xsd:string" minOccurs="0"/>
         <xsd:element name="SummaryCounts" type="SummaryCounts" minOccurs="0"</pre>
maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="Hours">
      <xsd:sequence>
         <xsd:element name="Day" type="DayType" min0ccurs="0"/>
         <xsd:element name="StartTime" type="TimeWithZone"/>
         <xsd:element name="EndTime" type="TimeWithZone"/>
      </xsd:sequence>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="InternationalizedText">
      <xsd:sequence>
         <xsd:element name="Text" type="LanguageString" maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="LanguageString">
      <xsd:simpleContent>
         <xsd:extension base="xsd:string">
            <xsd:attribute name="language" type="xsd:language" use="required"/>
         </xsd:extension>
      </xsd:simpleContent>
   </xsd:complexType>
   <xsd:complexType name="Office">
      <xsd:sequence>
```

```
<xsd:element name="ContactInformation" type="ContactInformation" min0ccurs="0"/>
         <xsd:element name="ElectoralDistrictId" type="xsd:IDREF" minOccurs="0"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" min0ccurs="0"/>
         <xsd:element name="FilingDeadline" type="xsd:date" min0ccurs="0"/>
         <xsd:element name="IsPartisan" type="xsd:boolean" min0ccurs="0"/>
         <xsd:element name="Name" type="InternationalizedText"/>
         <xsd:element name="OfficeHolderPersonId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="Term" min0ccurs="0">
            <xsd:complexType>
               <xsd:sequence>
                  <xsd:element name="StartDate" type="xsd:date" minOccurs="0"/>
                  <xsd:element name="EndDate" type="xsd:date" minOccurs="0"/>
                  <xsd:element name="Type" type="OfficeTermType" minOccurs="0"/>
               </xsd:sequence>
               <xsd:attribute name="label" type="xsd:string"/>
            </xsd:complexType>
         </xsd:element>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="OfficeGroup">
      <xsd:sequence>
         <xsd:element name="Name" type="xsd:string"/>
         <xsd:element name="OfficeId" type="xsd:IDREF" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="SubOfficeGroup" type="OfficeGroup" minOccurs="0"</pre>
maxOccurs="unbounded"/>
      </xsd:sequence>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="OrderedContest">
      <xsd:sequence>
         <xsd:element name="ContestId" type="xsd:IDREF"/>
         <xsd:element name="OrderedBallotSelectionId" type="xsd:IDREF" minOccurs="0"</pre>
maxOccurs="unbounded"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="Party">
      <xsd:sequence>
         <xsd:element name="Abbreviation" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="Color" type="HtmlColorString" minOccurs="0"/>
         <xsd:element name="ExternalIdentifiers" type="ExternalIdentifiers" min0ccurs="0"/>
         <xsd:element name="LogoUri" type="xsd:anyURI" minOccurs="0"/>
         <xsd:element name="Name" type="InternationalizedText"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexType>
   <xsd:complexType name="PartyContest">
      <xsd:complexContent>
         <xsd:extension base="Contest"/>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="PartyRegistration">
         <xsd:element name="Count" type="xsd:integer"/>
         <xsd:element name="PartyId" type="xsd:IDREF"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="PartySelection">
      <xsd:complexContent>
         <xsd:extension base="BallotSelection">
            <xsd:sequence>
               <xsd:element name="PartyId" type="xsd:IDREF" maxOccurs="unbounded"/>
            </xsd:sequence>
```

```
</xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="Person">
      <xsd:sequence>
         <xsd:element name="ContactInformation" type="ContactInformation" minOccurs="0"</pre>
maxOccurs="unbounded"/>
         <xsd:element name="DateOfBirth" type="xsd:date" minOccurs="0"/>
         <xsd:element name="FirstName" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="FullName" type="InternationalizedText" minOccurs="0"/>
         <xsd:element name="Gender" type="xsd:string" minOccurs="0"/>
         <xsd:element name="LastName" type="xsd:string" minOccurs="0"/>
         <xsd:element name="MiddleName" type="xsd:string" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="Nickname" type="xsd:string" minOccurs="0"/>
         <xsd:element name="PartyId" type="xsd:IDREF" min0ccurs="0"/>
         <xsd:element name="Prefix" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="Profession" type="InternationalizedText" min0ccurs="0"/>
         <xsd:element name="Suffix" type="xsd:string" min0ccurs="0"/>
         <xsd:element name="Title" type="InternationalizedText" min0ccurs="0"/>
      </xsd:sequence>
      <xsd:attribute name="objectId" type="xsd:ID" use="required"/>
   </xsd:complexTvpe>
   <xsd:complexType name="ReportingDevice">
      <xsd:complexContent>
         <xsd:extension base="GpUnit">
            <xsd:sequence>
               <xsd:element name="Device" type="Device" minOccurs="0"/>
               <xsd:element name="SerialNumber" type="xsd:string" min0ccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="ReportingUnit">
      <xsd:complexContent>
         <xsd:extension base="GpUnit">
            <xsd:sequence>
               <xsd:element name="AuthorityId" type="xsd:IDREF" min0ccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="ContactInformation" type="ContactInformation" minOccurs="0"/>
               <xsd:element name="CountStatus" type="CountStatus" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="ElectionAdministration" type="ElectionAdministration"</pre>
minOccurs="0"/>
               <xsd:element name="IsDistricted" type="xsd:boolean" min0ccurs="0"/>
               <xsd:element name="Number" type="xsd:string" min0ccurs="0"/>
               <xsd:element name="PartyRegistration" type="PartyRegistration" minOccurs="0"</pre>
maxOccurs="unbounded"/>
               <xsd:element name="SpatialDimension" type="SpatialDimension" minOccurs="0"/>
               <xsd:element name="SubUnitsReported" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="TotalSubUnits" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="Type" type="ReportingUnitType"/>
               <xsd:element name="OtherType" type="xsd:string" minOccurs="0"/>
               <xsd:element name="VotersParticipated" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="VotersRegistered" type="xsd:integer" minOccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="RetentionContest">
      <xsd:complexContent>
         <xsd:extension base="BallotMeasureContest">
            <xsd:sequence>
               <xsd:element name="CandidateId" type="xsd:IDREF"/>
               <xsd:element name="OfficeId" type="xsd:IDREF" minOccurs="0"/>
```

```
</xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="Schedule">
      <xsd:sequence>
         <xsd:element name="Hours" type="Hours" minOccurs="0" maxOccurs="unbounded"/>
         <xsd:element name="IsOnlyByAppointment" type="xsd:boolean" minOccurs="0"/>
         <xsd:element name="IsOrByAppointment" type="xsd:boolean" minOccurs="0"/>
         <xsd:element name="IsSubjectToChange" type="xsd:boolean" minOccurs="0"/>
         <xsd:element name="StartDate" type="xsd:date" minOccurs="0"/>
         <xsd:element name="EndDate" type="xsd:date" minOccurs="0"/>
      <xsd:attribute name="label" type="xsd:string"/>
   </xsd:complexType>
   <xsd:complexType name="SpatialDimension">
      <xsd:sequence>
         <xsd:element name="MapUri" type="xsd:anyURI" minOccurs="0"/>
         <xsd:element name="SpatialExtent" type="SpatialExtent" minOccurs="0"/>
      </xsd:sequence>
  </xsd:complexType>
   <xsd:complexType name="SpatialExtent">
      <xsd:sequence>
         <xsd:element name="Coordinates" type="xsd:string"/>
         <xsd:element name="Format" type="GeoSpatialFormat"/>
      </xsd:sequence>
   </xsd:complexType>
   <xsd:complexType name="SummaryCounts">
      <xsd:complexContent>
         <xsd:extension base="Counts">
            <xsd:sequence>
               <xsd:element name="BallotsCast" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="BallotsOutstanding" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="BallotsRejected" type="xsd:integer" min0ccurs="0"/>
               <xsd:element name="Overvotes" type="xsd:integer" minOccurs="0"/>
               <xsd:element name="Undervotes" type="xsd:integer" min0ccurs="0"/>
               <xsd:element name="WriteIns" type="xsd:integer" min0ccurs="0"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
   <xsd:complexType name="VoteCounts">
      <xsd:complexContent>
         <xsd:extension base="Counts">
            <xsd:sequence>
               <xsd:element name="Count" type="xsd:float"/>
            </xsd:sequence>
         </xsd:extension>
      </xsd:complexContent>
   </xsd:complexType>
</xsd:schema>
```

5 Schema Features and Usage

This section presents an overview of various features and usage of the schema, including

- How UML relationships from Chapter 3 are implemented in the XML schema
- The objectId unique identifier for internal referencing
- General layout of instance files
- How geo-political geography is implemented
- How contests and ballot selections are implemented
- How vote counts and summary vote counts are implemented
- How ballot counts are linked to geo-political geography

No attempt is made to provide a complete overview of usage, but more to describe sufficient usage of certain elements such that readers can understand in general how all elements are to be used. Readers should consult the references for XML and XML digital signature usage in References.

5.1 Overview of UML Relationships Implemented in the XML Schema

The XML schema is generated from the UML model, thus having some understanding of how the XML is generated will assist users of the schema as well as others who may wish to extend the model or schema for other purposes. This section provides a brief overview of the schema generation.

When XML is generated from the UML model, the classes in the model get generated as major XML elements, e.g., the UML Candidate class results in the XML <*Candidate*> element. The attributes in the UML classes generally get generated as XML sub-elements within the XML major element, e.g., the UML attribute Name in the Candidate class gets generated as the sub-element <*Name*> in the <*Candidate*> major element.

In general, the schema treats major elements such as candidates or contests or parties as if they are tables in a database that are linked together depending on the type of relationship between the objects. Thus, each major element gets generated with an objectId attribute of type xsd:IDREF, which is used to link the elements in various ways (explained more in section 5.2).

The relationships between UML classes result in XML elements that function differently depending upon the type of relationship. As a brief guide, using Figure 5 on page 14, the directed composition relationship between Election and Contest results in 1 or more XML subelements named *<Contest>* being created in *<Election>*.

For Candidate and Party from Figure 5, the directed association relationship results in 0 or 1 XML sub-element(s) named <*PartyId>* being created for <*Candidate>*. The <*PartyId>* sub-element links to a specific <*Party>* element that will be associated with that candidate, which is akin to linking the candidate to the candidate's party. Directed associations are generally named using the name of the UML class at the endpoint concatenated with "Id" to show that the generated sub-element links the two elements with an identifier of type xsd:IDREF, as shown

below:

```
<Party objectId="0ID-IND">
    <Name>Independent</Name>
    </Party>
...
...

<Candidate objectId="0ID-C1">
    <Name>John Brown</Name>
    <PartyId>0ID-IND</PartyId>
    </Candidate>
```

Figure 10 - Directed Association in XML

If the relationship between two classes has a name located at the destination class of the relationship (i.e., the endpoint of the relationship), then the element created uses that name. For example, from Figure 5, the directed association between Contest and GpUnit is named ElectoralDistrict at its endpoint, and it is named thus so as to emphasize that the role of the GpUnit class in this case is to serve as the electoral district for the contest. The XML subelement generated is therefore named *ElectoralDistrictId* but it is of type xsd:IDEF.

"Instance of' relationships are slightly more complex. For Contest and CandidateContest in Figure 5, CandidateContest is an instance of Contest, i.e., it is a specific type of Contest and thus CandidateContest in UML is generated with *<Contest>* as an XML extension base and all attributes and elements of *<Contest>* are global to elements that use *<Contest>* as an extension base. The XML syntax for using *<Contest>* for a candidate contest is as follows:

Figure 11 - Instance of Relationship in XML

Instance relationships are used in cases such as *<Contest>* where there can be several types of contests, but the different types of contests generally require the same set of attributes plus additional attributes that are specific to a type. Thus, *<Contest>* is generated as an abstract XML element with all of its sub-elements and attributes global to the specific types of contests, e.g., *CandidateContest*. But, the sub-elements of *CandidateContest* are private to *CandidateContest*.

5.2 objectId Unique Identifier for Internal Referencing

Many XML elements in the schema contain an attribute named *objectId* of type xsd:ID. This attribute is used only for internal referencing within an XML instance, i.e., to link from one element to another. Type xsd:ID requires that the objectId be a unique legal XML value that does not contain a colon. Digits, the hyphen, and the period may not be used as the starting character. Each *objectId* value must be unique within the instance file.

Section 5.1 showed an example of linking a *Party* element to a *Candidate* element by using the *Party* element's *objectId* attribute. Another example is shown below, for linking *Candidate* elements to *BallotSelection* elements where *objectId* holds the value of a unique identifier, e.g., "IDC1", for "John Brown" and can be used to reference that candidate from within other elements.

```
<!-Definitions for Candidates -->
<Candidate objectId=OID-C1>
   <Name>John Brown</Name>
</Candidate>
<Candidate objectId=OID-C2>
   <Name>Thomas Paine</Name>
</Candidate>
<Candidate objectId=0ID-C3>
   <Name>John Hancock</Name>
</Candidate>
<BallotSelection xsi:type="CandidateSelection">
   <CandidateId>OID-C1></CandidateId>
</BallotSelection>
<BallotSelection xsi:type="CandidateSelection">
   <CandidateId>OID-C2></CandidateId>
</BallotSelection>
<BallotSelection xsi:type="CandidateSelection">
   <CandidateId>OID-C3></CandidateId>
</BallotSelection>
```

Figure 12 - Use of objectId for Linking Elements

5.3 Wrapper Elements

Collections of elements in <ElectionReport> and <Election> that are likely to be quite large are wrapped by container elements so as to make instance files easier to read in XML viewers. For example, in <Election>, <Contest> is wrapped by <ContestCollection>, which then contains 1 or more <Contest> sub-elements, as follows:

Figure 13 - Use of Wrapper Elements

This applies as well to <BallotStyle>, <Candidate>, <GpUnit>, <Office> and <OfficeGroup>, <Party>, and <Person>. Additionally, <BallotSelection> wraps the <VoteCounts> element using <VoteCountsCollection>.

5.4 General Layout of Instance Files

In general, instance files are built by creating collections of elements such for office descriptions, geo-political units, candidates, contests, etc., and then linking the elements as necessary using the *objectId* attributes. Most elements are optional, thus instance files will vary in content but generally follow the same structure below:

- <*ElectionReport*> element (pre-election elements)
 - o <GpUnit> elements
 - Office and OfficeGroup elements
 - o <Party> elements
 - O <Person> elements
- *<Election>* element(s) (elements generally associated with an election):
 - o <BallotStyle> elements
 - O <Candidate> elements
 - Repeated sequences of <Contest> elements:
 - <Contest> element for Contest 1
 - <BallotSelection> elements for the ballot selections in Contest 1
 - *<VoteCounts>* elements associated with the ballot selections
 - <Contest> element for Contest 2, etc.

Many of the elements make use of enumerated values, for example, the *<CountStatus>* element makes use of the *<CountItemType>* enumeration for filtering vote counts by ballot type. Most enumerations include a value of *Other* for use when none of the enumerated values is applicable, and the elements using these enumerations include an *<OtherType>* element that can be used to hold an other value.

The elements for *<ElectionReport>* and *<Election>*, *<Candidate>*, *<Office>*, *<Party>*, and *<Person>* are relatively straightforward in structure and usage, with the *objectId* attributes used to link elements as neede. The *<GpUnit>* element and the elements used with *<Contest>* are more complex. The following clauses describe usage for these elements in more detail.

5.5 Defining Geo-Political Geography Using the <GpUnit> Element

A primary feature of the schema is the geo-political geography element *<GpUnit>*, which can be used in a variety of ways to exactly mirror a jurisdiction's geo-political geography. *<GpUnit>* elements are used in an election report to list the geo-political units of geography that lay within the geographic scope of the election results such as counties, cities, precincts, etc., so that ballot and vote counts can be associated with them. *<GpUnit>* elements are required in two places:

1. The *<Election>* element contains a required link to a *<GpUnit>* defined for the geographical scope of the election, using the *<ElectionScopeId>* sub-element.

2. The *<Contest>* element contains a required link to a *<GpUnit>* defined for the geographical scope of the contest, using the *<ElectoralDistrictId>* sub-element. The *<Office>* element contains the same sub-element, but it is optional.

<GpUnit> elements, as described in section 4.2.14, can be used for the following major types of objects:

- Geographies that report election results or that can have vote counts associated with them, including for states, counties, cities, towns, precincts, split precincts, etc.
- Geographies that serve as electoral districts, or districts that do not have contests associated
- Specific voting devices
- Polling places, drop boxes, vote centers, and other miscellaneous objects

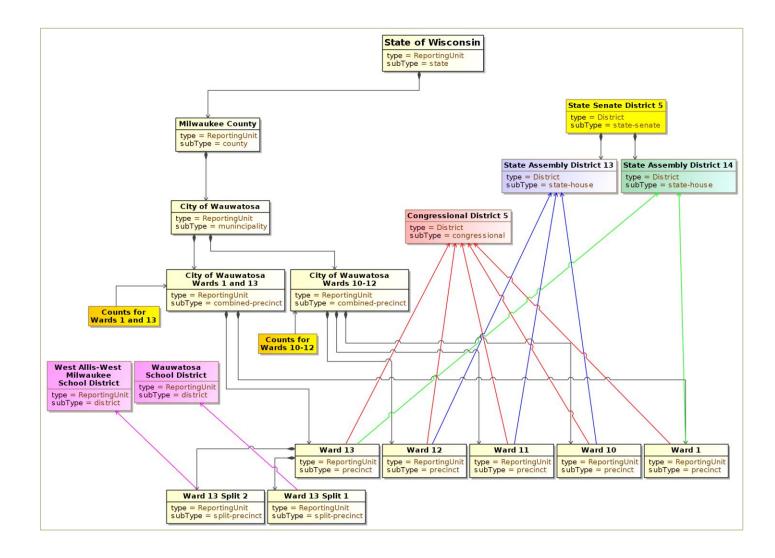


Figure 14 - Geo-political Geography Example from Wisconsin

Additionally, *<GpUnit>* elements can be defined for other types of objects not listed in the *<ReportingUnitType>* enumeration by using the value other in the *<Type>* sub-element and then listing the other type of object in the *<OtherType>* sub-element.

As a brief overview of how *GpUnit*> elements can be arranged and linked, Figure 14 shows an actual example of relatively-complicated geo-political geography in the state of Wisconsin, with each box on the diagram representing either a *GpUnit*> element of a certain type (note that in Wisconsin, a ward is the same as a precinct).

5.5.1 Defining and Associating GpUnits

A simple example of a collection of *GpUnit* elements is shown below for the wards (precincts) in Figure 14:

```
<GpUnitCollection>
   <GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD1">
      <Name>Ward 1</Name>
      <Type>precinct</Type>
  </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="OID-WARD10">
      <Name>Ward 10</Name>
      <Type>precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="OID-WARD11">
      <Name>Ward 11</Name>
      <Type>precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD12">
      <Name>Ward 12</Name>
      <Type>precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD13">
      <ComposingGpUnitId>OID-WARD13-1</ComposingGpUnitId>
      <ComposingGpUnitId>OID-WARD13-2/ComposingGpUnitId>
      <Name>Ward 13</Name>
      <Type>precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="OID-WARD13-1">
      <Name>Ward 13-1</Name>
      <Type>split-precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="OID-WARD13-2">
      <Name>Ward 13-2</Name>
      <Type>split-precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD14">
      <Name>Ward 14</Name>
      <Type>precinct</Type>
   </GpUnit>
   <GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD15">
      <Name>Ward 15</Name>
      <Type>precinct</Type>
   </GpUnit>
```

<GpUnitCollection>

Figure 15 - < GpUnit > Definition

The two split precinct elements in the example need to be linked with their parent Ward 13 element in order to identify that Ward 13 is split. Thus, the definition for Ward 13 uses <*ComposingGpUnitId*> to reference the two <*GpUnit*> elements defined for the split precincts and associate them with the parent element defined for Ward 13.

However, there is a rule that must be observed when defining relationships:

< Composing GpUnitId> must only reference a geo-political unit that is contained within the parent < GpUnit> element.

Accordingly, split precincts are contained within precincts; counties are contained within states; precincts are contained with counties; precincts and split precincts are contained with the districts they compose, and so forth.

If this above rule of containment is not observed, then applications will not know how to navigate within instance files and find the needed information. Applications need to know that, always, the relationships are containment relationship, e.g., state elements can reference only objects such as counties within the states, or precinct elements can only reference split-precinct elements, and so forth. If this rule is violated, an application would not be able to find information correctly within the instance file.

A second example of linking *<GpUnit>* elements is from Figure 14 on page 110 for associating the wards with the districts they compose, shown below:

Figure 16 - Linking < GpUnit> Elements to Form Relationships

The <IsDistricted> boolean is used in this example. It is not strictly necessary, as it is possible to identify districts by their type or by examining the <Contest> element's <ElectoralDistrictId> sub-element, which links to the electoral district associated with the contest (see section 4.2.6). However, if a district is defined but is not linked from a contest, or if the type of district is not listed in the <ReportingUnitType> enumeration and therefore <OtherType> is used, then <IsDistricted> is necessary to identify the <GpUnit> as a district.

The *<IsDistricted>* boolean can also be used to signify that a *<GpUnit>* defined as a jurisdiction, e.g., a county, is also used as a district for, e.g., county-wide contests.

5.5.2 Using One <GpUnit> Element for Duplicate Electoral Districts

There are, quite often, multiple jurisdictional-wide contests that use, for their respective electoral districts, the same physical district. In a given county, for example, contests for county executive and at large county council positions will all use the same physical district. In states, the contest for governor and the contests for any other state-wide positions will share the same physical district.

Each <Contest> element requires an <ElectoralDistrictId> sub-element that links a <GpUnit> element to the contest; the <GpUnit> element will have been defined for the physical geography of the associated district. Because <GpUnit> elements defined for states or for large counties may have very long lists of <ComposingGpUnitId> sub-elements, one for each precinct in the county or state, instance files could grow quite large if different <GpUnit> elements are defined for each of the contests that share the same physical district.

An option for reducing the file size is to define a <GpUnit> element for the, say, county or state, and then re-use the element for contests that are county-wide or state-wide. The generating application could, effectively, keep track of the objectId attribute of the county or state <GpUnit> element and then use the associated value for each contest's <ElectoralDistrictId> sub-element.

Thus, if all contest definitions for jurisdictional-wide contests use the same value for the *<ElectoralDistrictId>* sub-element, duplication can be reduced and file sizes will be smaller.

5.5.3 Associating an Election Authority with an Election using the <GpUnit> element

The ElectionResultsReporting schema allows for an election report to include information about multiple elections. Each election can have election authority information associated with the election, and this is done by including the *<ElectionAdministration>* element from within the *<GpUnit>* element that has been defined for the election.

5.6 Defining Contests Using the <Contest> Element

After the *<GpUnit>* elements have been defined, contests can then be defined using the *<Contest>* element. Defining contests involves the use of several elements, depending on what type of contest is involved and whether and what types of vote counts are desired. The elements needed for contest definitions are as follows:

- 1. The *<Contest>* abstract element, to identify the name of the contest and other attributes and its type:
 - a. CandidateContest, for a contest involving candidates
 - b. BallotMeasureContest, for a contest involving a ballot measure

- c. PartyContest, for a contest involving a straight party selection
- d. RetentionContest, for a contest involving a judicial or other retention
- 2. A link to a GpUnit element defined for the contest's electoral district, using the *<ElectoralDistrictId>* sub-element
- 3. The *<BallotSelection>* abstract element, to identify a selection on the ballot for that corresponding contest and its type:
 - a. CandidateSelection, if the selection is for a candidate
 - b. BallotMeasureSelection, if the selection is for a ballot measure or retention
 - c. PartySelection, if the selection is for a straight party selection
- 4. *<VoteCounts>*, to report the number of votes for the ballot selection, for the contest as a whole or broken out by, e.g., precincts and/or types of ballots
- 5. *<SummaryCounts>*, to report summary counts for the contest, e.g., total ballots cast, overvotes, undervotes, etc.

It is possible to define contests without associated *<BallotSelection>* elements as well as without any of the elements for election results; but these elements are required if associating vote counts with ballot selections.

CandidateContest is the type of contest likely to be used most often; it is for contests involving candidates and has several elements associated with it including for the number of seats associated with the office and the number of selections a voter can make in the contest (both are generally 1).

PartyContest is included as a contest type because a number of states keep track of the number of straight party selections made by voters and straight party selection does not "fit" within the definition of a candidate contest, i.e., there are no candidates. However, some jurisdictions treat straight party selection as a candidate contest, thus the schema does not prevent usage of the <code>CandidateContest</code> type being used for straight party selections.

Following is a short example of a contest involving *CandidateContest* that illustrates using ballot selections and counts, with the counts being aggregated, that is, associated with the electoral district of the contest as a whole:

```
<Count>2716</Count>
         </VoteCounts>
      </VoteCountsCollection>
   </BallotSelection>
   <!-- Vote count for Thomas Paine -->
   <BallotSelection xsi:type="CandidateSelection" objectId="0ID-C2">
      <CandidateId>OID-C2</CandidateId>
      <VoteCountsCollection>
         <VoteCounts>
            <Count>2392</Count>
         </VoteCounts>
      </VoteCountsCollection>
   </BallotSelection>
   <ElectoralDistrictId>OID-State</ElectoralDistrictId>
   <Name>Governor</Name>
   <VotesAllowed>1</VotesAllowed>
</Contest>
```

Figure 17 - Basic Contest Definition and Linkage to Vote Counts

Contests for BallotMeasureContest and PartyContest are implemented as per this example.

5.7 Associating Vote Counts with Contests

The ElectionResultsReporting schema includes the capability to associate vote counts with contests in a variety ways, including the following:

- 1. As aggregated vote counts (applying to the entire electoral district)
 - The vote counts can be broken out (or filtered by) ballot type, device type, and by specific device and manufacturer.
- 2. As subsets of vote counts, each associated with specific geo-political units within the electoral district such as precincts or split precincts
 - The vote counts can be broken out by ballot type, device type, and by specific device and manufacturer.
- 3. As summary vote counts that can be aggragated as well as associated with specific geopolitical units.
 - The summaries can be broken out by ballot type, device type, and by specific device and manufacturer.
 - The summaries can include:
 - o Total number of ballots cast containing the contest.
 - o Total number of overvotes and undervotes in the contest.
 - o Total number of write-ins in the contest.

The following sections go into more detail on how to report counts accordingly.

5.7.1 Associating Aggregated Vote Counts with Contests

The previous section showed an example of basic contest definition involving aggregated vote counts applying to the entire electoral district. To go into greater level of detail and report the aggregated counts by ballot type or device type, one includes additional values from the <CountItemType> or <DeviceType> enumerations. These enumerations specify different ballot types or device items that can be used as, essentially, filters on the aggregated results. An example of this is as follows, using the contest for governor and values from the <CountItemType> enumeration:

```
<!-- Governor contest -->
<Contest xsi:type="CandidateContest" objectId="0ID-GOV">
   <!-- Vote counts for John Brown broken down by ballot type -->
   <BallotSelection xsi:type="CandidateSelection" objectId="0ID-C1">
      <CandidateId>OID-C1</CandidateId>
      <VoteCountsCollection>
         <VoteCounts>
            <BallotType>election-day
            <Count>2716</Count>
         </VoteCounts>
         <VoteCounts>
            <BallotType>early</BallotType>
            <Count>700</Count>
         </VoteCounts>
         <VoteCounts>
            <BallotType>absentee</BallotType>
            <Count>16</Count>
         </VoteCounts>
     </VoteCountsCollection>
   </BallotSelection>
</Contest>
```

Figure 18 - Linking Aggregated Vote Counts to Contests

This example answers the questions, how many votes did John Brown get for the contest as a whole, filtered by ballots cast on election day, by ballots cast during the early voting period, or by absentee ballots.

5.7.2 Associating Vote Counts Broken Down by Precincts, etc., with Contests

For associating vote counts broken down by geo-political units such as precints and split precincts, one uses the *<GpUnitId>* sub-element to link the counts to *<GpUnit>* elements defined for, the precincts and split precincts. For example, to associate the vote count for John Brown by ward in Figure 14, the XML is:

```
<!-- Governor contest -->
<Contest xsi:type="CandidateContest" objectId="0ID-GOV">
   <!-- Vote count for John Brown broken down by ward -->
   <BallotSelection xsi:type="CandidateSelection" objectId="0ID-C1">
      <CandidateId>OID-C1</CandidateId>
      <VoteCountsCollection>
         <VoteCounts>
            <Count>325</Count>
            <GpUnitId>OID-WARD1</GpUnitId>
         </VoteCounts>
         <VoteCounts>
            <Count>29</Count>
            <GpUnitId>OID-WARD10</GpUnitId>
         </VoteCounts>
         <VoteCounts>
            <Count>219</Count>
            <GpUnitId>OID-WARD11</GpUnitId>
         </VoteCounts>
      </VoteCountsCollection>
   </BallotSelection>
```

Figure 19 - Linking Vote Counts to Precincts

To break the vote counts down further by ballot type or device type, one again uses values from the *<CountItemType>* or *<DeviceType>* enumerations. Using Ward 1 from the previous example, the XML is:

```
<!-- Governor contest -->
<Contest xsi:type="CandidateContest" objectId="0ID-GOV">
   <!-- Vote count for John Brown broken down by ward -->
   <BallotSelection xsi:type="CandidateSelection" objectId="0ID-C1">
     <CandidateId>OID-C1</CandidateId>
      <VoteCountsCollection>
         <VoteCounts>
            <BallotType>election-day
            <Count>300</Count>
            <Device>
               <Type>opscan-precinct</Type>
            </Device>
            <GpUnitId>OID-WARD1</GpUnitId>
         </VoteCounts>
         <VoteCounts>
            <BallotType>early</BallotType>
            <Count>17</Count>
            <Device>
               <Type>opscan-precinct</Type>
```

Figure 20 - Filtering Vote Counts by Device and Ballot Type

Thus, using the two examples, John Brown received 300 votes in Ward 1 on election day using precinct-count optical scanner. He received 17 votes during the early voting period, again using a precinct-count optical scanner.

5.7.3 Associating Summary Vote and Ballot Counts with Contests

It is possible to associate summaries of vote and ballot counts for the contest for various purposes including for reconciliations and checks on the contest. These summaries include:

- Total number of ballots cast containing the contest.
- Total number of overvotes and undervotes in the contest.
- Total number of write-ins in the contest.

As with previous examples, it is possible to further filter these counts by ballot type and device type, using values from the <CountItemType> or <DeviceType> enumerations. It is also possible to link the counts to specific geo-political units such as precincts and split precincts by including the <GpUnitId> sub-element to link the counts to <GpUnit> elements defined for, the precincts and split precincts.

Following is an example of summary counts for a contest using SummaryCounts to report summary vote counts by ballot type and further broken down by ward:

```
<Contest xsi:type="CandidateContest" objectId="0ID-GOV">
  <BallotSelection ...
  <ElectoralDistrictId>OID-State</ElectoralDistrictId>
  <Name>Governor</Name>
  <SummaryCounts>
     <BallotsCast>888033/BallotsCast>
     <0vervotes>6013</0vervotes>
     <Undervotes>1000</Undervotes>
     <GpUnit>OID-WARD1</GpUnit>
  </SummaryCounts>
  <SummaryCounts>
     <BallotsCast>8506</BallotsCast>
     <0vervotes>133</0vervotes>
     <Undervotes>10</Undervotes>
     <GpUnit>OID-WARD1</GpUnit>
  </SummaryCounts>
```

```
...
</Contest>
```

Figure 21 - Associating Summary Counts with Contests

Some jurisdictions report items such as overvotes and undervotes as candidates in a contest as opposed to reporting them separately as a summary of counts. This schema does not prevent one from treating overvotes, undervotes, or other types of counts as candidates in a contest, thus one would report their specific vote counts as shown in section 5.7.1.

5.8 Associating Ballot Counts with Geo-political Units

Lastly, it is possible to associate the ballots cast in each geo-political unit and filter them by ballot types and device types by, again, including the *SummaryCounts* element. The summary counts include:

- Total number of ballots cast at that geo-political unit
- Total number of overvotes and undervotes on all ballots cast at that geo-political unit
- Total number of write-ins on all ballots cast at that geo-political unit
- Summary ballot counts for the geo-political unit broken out by
 - o the type of ballot
 - o the type of device
 - o the specific device serial number and manufacturer

Thus, one can associate summary counts for each precinct, etc., and, as possible, go down to the level of specific devices. To do this, the *SummaryCounts* element needs to be added to each *Spunit* definition, as follows:

```
<GpUnit xsi:type="ReportingUnit" objectId="0ID-WARD10">
  <Name>Ward 10</Name>
  <SummaryCounts>
     <BallotsCast>8033</BallotsCast>
     <0vervotes>113</0vervotes>
     <Undervotes>1010</Undervotes>
  </SummaryCounts>
  <SummaryCounts>
      <Device>
         <Type>opscan-precinct</Type>
      </Device>
      <BallotsCast>2013</BallotsCast>
      <0vervotes>100</0vervotes>
      <Undervotes>1000</Undervotes>
  </SummaryCounts>
  <SummaryCounts>
      <Device>
         <Type>electronic</Type>
      </Device>
      <BallotsCast>6020</BallotsCast>
```

Figure 22 - Associating Summary Ballot Counts with <GpUnit> Elements

In this example, Ward 10 had a total of 8033 ballots cast, with 113 overvotes and 1010 undervotes on all ballots. The count is then broken down by device type, with 2013 ballots cast on precinct optical scan and 6020 ballots cast on an all-electronic device such as a DRE.

Appendix A—Acronyms

Selected acronyms and abbreviations used in this document are defined below.

EAC Election Assistance Commission

EMS Election Management System

FWAB Federal Write-in Absentee Ballot

UML Unified Modeling Language

UOCAVA Uniform and Overseas Citizens Assistance in Voting Act

VRDB Voter Registration Database

VVSG Voluntary Voting Systems Guidelines

XML Extensible Markup Language

Appendix B—Glossary

Selected terms used throughout this document are defined below. In some of the definitions, there is ancillary information that is not part of the definition but helpful in understanding the definition; this ancillary information is preceded with "*Note:*".

Absentee ballot: Ballot resulting from absentee voting.

Absentee voting: Voting that can occur unsupervised at a location chosen by the voter

and usually done ahead of election day. Note: In some jurisdictions,

absentee voting is also called early voting and vote by mail.

Affiliation: Association with a political party. *See also*: endorsement. *Note*:

Affiliation with a political party does not imply endorsement by that

political party; endorsement does not imply affiliation.

Approval voting: Voting variation in which the voter can select as many candidates as

desired in a contest, where the candidate with the most votes wins. *Note:* With approval voting, there are no overvotes, as each vote for a candidate counts towards that candidate's tally. Approval voting also works with N-of-M voting, where the voter may choose any number of the candidates and the N candidates with the most votes

win.

Ballot code: An identification code printed on a paper ballot to identify the ballot

style layout (and sometimes the precinct) to the tabulator so it can associate the applicable contest and candidate list and ballot response

locations needed to process vote selections.

Ballot measure: Contest in which the choices are typically Yes and No. *Syn*:

referendum.

Ballot rotation: The process of varying the order of the contest choices within a

given contest.

Ballot style geography: Configuration of districts associated with any contest on the ballot

that when combined make up a unique area of geography where all

voters would receive the same ballot.

Ballot style layout: The list of contests and candidates associated with a particular ballot

and its associated precinct or split precinct (and party, for some primaries), including language used and the ordering of contests and candidates. *Note:* In closed primaries, the same collection of ballot style layouts are used within the same ballot style geography, with the specific ballot style layout depending on the voter's affiliation.

Closed primary: Primary election in which only voters registered with a political

party may vote in those party-specific contests associated with that

party.

Combined precinct: Two or more precincts assigned the same polling place. *Syn*:

consolidated precinct.

Contest: A single decision being put before the voters (e.g., the selection of

candidates to fill a particular public office or the approval or

disapproval of a constitutional amendment).

Cross-party endorsement: Endorsement of a given contest choice by two or more political

parties.

Cumulative voting: Voting variation in which the voter is entitled to allocate a fixed

number of votes (N) over a list of M contest choices or write-ins. *Note:* Unlike N-of-M voting, cumulative voting allows the voter to allocate more than one vote to a given contest choice. The voter is

not obliged to allocate all N votes.

Direct record electronic

(DRE):

An electronic vote-capture device that provides choices that are visible to the voter on a front panel of the machine in which voters directly enter choices into electronic storage with the use of a touch-screen, push-buttons, or similar device. *Note:* An alphabetic

keyboard is often provided with the entry device to allow for the possibility of write-in votes. The voter's choices are stored in these

machines and added to the choices of all other voters.

Early voting: Voting that occurs prior to election day at a polling location under

the supervision of poll workers or election administrative staff. *See also:* in-person voting. *Note:* some jurisdictions, early voting is

referred to as in-person absentee voting.

Election certification: The process of certifying the results of an election, including

absentee or early votes, votes cast on election day, and valid

provisional ballots.

Election day: The date on which the election is considered held. Absentee votes

and early votes may be cast in advance of election day. *Note:* Some jurisdictions have an election period of several days or weeks for inperson or remote voting, and there is no one day that is election day.

Election night: The period of time starting when the polls close in a jurisdiction in

which results may first begin to be reported and ending when all

precincts have reported.

District: As used in elections, an administrative division in which voters are

entitled to vote in contests that are specific to that division, such as

those for state senators and delegates.

Election management system (EMS):

Computer systems used to perform such tasks as preparing ballots, setting up tally systems, maintaining voter registration information, generating reports, and to consolidate, report, and display election results. *Note:* This device receives results data from the vote-capture devices or by manual input, accumulates the results, and reports the accumulated results.

Election official:

Any county clerk and recorder, election judge, member of a canvassing board, member of a board of county commissioners, member or secretary of a board of directors authorized to conduct public elections, representative of a governing body, or other person contracting for or engaged in the performance of election duties as required by the election code.

Endorsement:

Approval by a political party (e.g., as the candidate that the party elects to field in a particular contest and/or as the candidate that should receive straight party votes). *See also:* affiliation. *Note:* In some states, more than one party may endorse a contest selection.

General election:

Election in which the candidates for contests and offices have generally been chosen during a primary election. *Note:* In presidential systems, the term refers to a regularly scheduled election where both the president, and either "a class" of or all members of the national legislature are elected at the same time. A general election day may also include elections for local officials.

Group voting:

A ballot variation used in Massachusetts during the Presidential Preference Primary election where a large number of delegates are elected from each town. *Note:* Candidates can run as a group or as independent candidates. Each group has a single selection available to automatically select every candidate in the group. If a group is selected, any selections outside the group are additive and contribute to determination of overvote.

In-person voting:

Voting that occurs at a polling place under the supervision of poll workers on election day. *See also:* early voting. *Syn:* polling place voting.

Jurisdiction:

Term as used in election contexts to signify a geographical area to which a practical authority has been granted to administer elections for political offices. Areas of jurisdiction apply to local, state, and federal levels. *Note:* States, counties, cities, towns and townships are all examples of jurisdictions.

Municipality:

Term as used in election contexts to signify a jurisdiction such as city or town that has some form of local government for which elections are generally conducted.

N-of-M:

Voting variation in which the voter is entitled to allocate a fixed number of votes (N) over a list of M contest choices or write-ins, with the constraint that at most 1 vote may be allocated to a given contest choice. *See also:* approval voting, cumulative voting. *Note:* This usually occurs when multiple seats are concurrently being filled in a governing body such as a city council or school board where candidates run at-large. The voter is not obliged to allocate all N votes. 1-of-M is N-of-M voting where N=1.

Nonpartisan primary:

Election held to narrow the field of candidates in non-party-specific contests prior to the general election. *Note:* A primary election may be comprised of a nonpartisan primary for some contests or measures and a partisan and/or open primary for others.

Open primary:

Primary held in a state where voters do not register as a party member. *Note*: There are two variations. In one, the voter declares a choice of party ballot to the pollworker and is issued a ballot containing only contests for that party (and nonpartisan contests). In the other, the ballot issued contains all eligible contest from all parties and the voter selects the party of choice, privately, by only selecting candidates in contests of the desired party. Selections in more than one party void the partisan section of the ballot.

Overvote:

Occurs when a voter selects more than one candidate in a 1-of-M contest or more than N candidates in an N-of-M contest. The vote for that contest is considered an overvote and not counted towards any candidate in that contest (unless approval voting applies for that contest). *Note:* Usually the rest of a properly marked ballot is counted. Large numbers of overvotes can be indicative of confusing ballot layout or confusing instructions.

Party preference contest:

Voting variation on an open primary ballot, where the party preference contest appears at the head (or top) of the ballot and lists the parties whose contests appear on the ballot. When a party is selected, it acts as a filter such that only selections applicable to that party are tabulated on a paper ballot system or displayed on a voting terminal. *See also:* open primary; pick-your-party primary

Partisan primary:

Election held to determine which candidate(s) will represent a political party for particular offices in the general election.

Pick-your-party primary:

Open primary ballot that contains all eligible contest from all parties but also has an extra contest at the top of the ballot (party preference contest) containing a list of parties. A selection in this contest allows the voter to designate the party that is intended to be voted so that an inadvertent selection of candidates in more than one party's contests

does not result in spoiling/voiding the partisan section of their ballot.

Polling place:

Location at which voters cast their ballots in-person on vote-capture devices (e.g., DRE) under the supervision of poll workers usually on election day. *Syn:* polling station or poll. *Note:* A polling place is typically in 1-to-1 correspondence with a precinct except for combined precincts and vote centers.

Plurality voting:

Voting variation in which the voter can select at most one candidate in a contest, where the candidate with the most votes wins. If a voter selects more than one candidate in a contest, then the vote is considered an overvote and is not counted towards any of the candidates on that contest. If there are N seats open in a contest, then the voter may select up to, but not more than, N candidates, where the top N candidates receiving the most votes win; if a voter selects more than N candidates, then the vote is considered an overvote and not counted towards any of the candidates on that contest.

Precinct:

An election administration division corresponding to a contiguous geographic area that is the basis for determining the contests and measures on which the voters legally residing in that area are eligible to vote. *Syn:* a beat, box, polling district, ward. *See also:* combined precinct, election district, split precinct.

Primary election:

Election generally held to determine which candidate(s) will represent a political party for particular offices in the general election and/or to narrow the field of candidates in non-party-specific contests prior to the general election. In some cases, such as for local and state central committee members for a particular party, certain contests serve as the sole election as opposed to a primary followed by a general election. *Note:* From the functional viewpoint of the voting system, the defining features of a primary election are the presence of party-specific contests and a requirement to report separate totals for the different political parties.

Provisional ballot:

Ballot cast by a voter whose eligibility to vote is disputed by an election official. *Syn:* a challenged ballot.

Ranked choice voting (RCV):

Voting variation in which voters express their intent by ordering contest choices from strongest to weakest preference. *Note:* Implementations of RCV differ in whether voters are required to rank every choice and in the algorithm used to determine a winner or winners.

Reporting context:

Geographic scope within which reported totals or counts are calculated (e.g., precinct or election district).

Reporting unit: An administrative division that reports votes or to which votes are

associated, e.g., state, county, city, precinct, etc.

Schema: A file containing definitions of data elements and attributes with

rules for usage, e.g., for XML.

Split precinct: Precinct serving voters from two or more administrative divisions,

such as election districts, that may require different ballot styles,

other than for primaries. Syn: split.

Straight party voting: Voting variation in a general election in which a vote in a

designated, special contest (in which the choices are political parties) implies votes in accordance with the endorsements of the selected party in all other contests on the ballot in which straight party voting is allowed. *Note:* There are different tabulation rules for processing

paper ballots containing a straight party selection and direct

selections of one or more candidates in individual contests that are

state specific.

Tabulator: A programmed device that counts votes.

Town: An urban area that has a name, defined boundaries, and local

government, and that is generally larger than a village and smaller

than a city.

Township: A subdivision of a county in most northeast and Midwest U.S. states,

having the status of a unit of local government with varying

governmental powers. Syn: civil township.

UOCAVA voter: From the Uniform and Overseas Citizens Assistance in Voting Act

(UOCAVA); A U.S. citizen who is an active member of the

Uniformed Services and the Merchant Marine, or the commissioned corps of the Public Health Service or the National Oceanic and Atmospheric Administration, their eligible family members, and

U.S. citizens residing outside the United States.

Undervote: Occurs when the voter does not select a candidate in a 1-of-M

contest or selects fewer than N candidates in a N-of-M contest. *Note:* can indicate a conscious choice of the voter to not vote in the contest. As with overvotes, large numbers of undervotes can be indicative of confusing ballot layout or confusing instructions.

Vote-capture device: An automated device that is used directly by a voter to cast a ballot.

See also: direct record electronic (DRE).

Vote center: A polling place where voters from multiple precinctsmay cast their

ballots. Syn: a super precinct. See also: combined precinct.

Voter turnout: The number of voters who have cast ballots as a percentage of the

total number of voters who can cast ballots. *Note:* Various states calculate this differently, sometimes using the total number of

potentially eligible voters whether registered or not.

Voting variation: A contest option or feature, e.g., approval voting, cumulative voting.

Write-in: Vote for a candidate who is explicitly named by the voter in lieu of

choosing a candidate who is already listed on the ballot. *Note:* In some states, this does not preclude writing in the name of a candidate who is already listed on the ballot. Some states require write-in candidates to be registered prior to the elections to be considered a

valid write-in selection.

Appendix C—References

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