# In-depth Analysis of Graph-based RAG in a Unified Framework

Yingli ZhouYaodong SuYouran SunShu WangCUHK-ShenzhenCUHK-ShenzhenCUHK-ShenzhenCUHK-Shenzhen

Taotao Wang Runyuan He Yongwei Liu Sicong Liang CUHK-Shenzhen CUHK-Shenzhen Huawei Cloud Huawei Cloud

Xilin Liu Yuchi Ma Yixiang Fang\* Huawei Cloud Huawei Cloud CUHK-Shenzhen

#### **ABSTRACT**

Graph-based Retrieval-Augmented Generation (RAG) has proven effective in integrating external knowledge into large language models (LLMs) for solving question-answering (QA) tasks. A number of graph-based RAG methods have been proposed in the literature. However, these methods have not been systematically and comprehensively compared under the same experimental settings. In this paper, we first summarize a unified framework to incorporate all graph-based RAG methods from a high-level perspective. We then extensively compare representative graph-based RAG methods over a range of datasets - from specific questions to abstract questions and examine the effectiveness of all methods, providing a thorough analysis of graph-based RAG approaches. As a byproduct of our experimental analysis, we are also able to identify new variants of the graph-based RAG methods over specific QA and abstract QA tasks respectively, by combining existing techniques, which outperform the state-of-the-art methods. Finally, based on the findings, we offer promising research opportunities. We believe that a deeper understanding of the behavior of existing methods can provide new valuable insights for future research.

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The source code, data, and/or other artifacts have been made available at https://github.com/JayLZhou/GraphRAG.

#### 1 INTRODUCTION

The development of Large Language Models (LLMs) like GPT-4 [1], Qwen2.5 [83], and LLaMA3.1 [11] has sparked a revolution in the field of artificial intelligence, fundamentally altering the landscape of natural language processing [19, 28, 40, 48, 59, 77, 79, 90]. Despite their remarkable success in modern question-answering (QA) systems, LLMs may still generate the wrong answers, due to a

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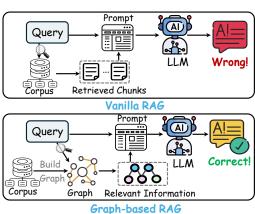


Figure 1: Overview of vanilla RAG and graph-based RAG.

lack of domain-specific knowledge, real-time updated information, and proprietary knowledge, which are outside LLMs' pre-training corpus. These gaps can lead to a phenomenon known as "hallucination" [63], where the model generates inaccurate or even fabricated information. Consequently, it is imperative to supplement LLMs with external knowledge to mitigate this problem.

To bridge this gap, Retrieval-Augmented Generation (RAG) [15, 18, 27, 29, 82, 86, 88] has been proposed to enhance the factual accuracy, adaptability, interpretability, and trustworthiness of LLMs. Generally, RAG methods retrieve relevant information from text corpora, relational data, or graph structures to support QA tasks. Meanwhile, RAG has also found numerous applications in various data management tasks, including NL2SQL [13, 37], data cleaning [14, 39, 55, 65], knob tuning [20, 36], DBMS diagnosis [69, 91, 91, 92], and SQL rewrite [41, 72].

The state-of-the-art RAG approaches typically use the graph data as the external data, since they capture the rich semantic information and link relationships between entities. Given a question Q, the key idea of graph-based RAG methods is to retrieve relevant information (e.g., nodes, edges, subgraphs, or textual data) from the graph and then feed them along with Q as a prompt into LLM to generate answers. The overview of traditional (i.e., vanilla) RAG and graph-based RAG is shown in Figure 1. Due to the important role of graph-based RAG in the various LLM-based applications, researchers from the database, data mining, machine learning, and natural language processing have designed efficient and effective graph-based RAG methods [12, 21, 21, 22, 38, 63, 67, 67, 80, 81].

In Table 1, we summarize the key characteristics of 12 representative graph-based RAG methods based on the graph types they

<sup>\*</sup>Corresponding author; Primary contact email address: fangyixiang@cuhk.edu.cn. This work is licensed under the Creative Commons BY-NC-ND 4.0 International License. Visit https://creativecommons.org/licenses/by-nc-nd/4.0/ to view a copy of this license. For any use beyond those covered by this license, obtain permission by emailing info@vldb.org. Copyright is held by the owner/author(s). Publication rights licensed to the VLDB Endowment.

Table 1: Classification of	existing representative g	graph-based RAG methods.

Method	Graph Type	Index Component	Retrieval Primitive	Retrieval Granularity	Specific QA	Abstract QA
RAPTOR [67]	Tree	Tree node	Question vector	Tree node	/	/
KGP [80]	Passage Graph	Entity	Question	Chunk	/	×
HippoRAG [22]	Knowledge Graph	Entity	Entities in question	Chunk	/	×
G-retriever[26]	Knowledge Graph	Entity, Relationship	Question vector	Subgraph	/	×
ToG [71]	Knowledge Graph	Entity, Relationship	Question	Subgraph	/	×
DALK [38]	Knowledge Graph	Entity	Entities in question	Subgraph	/	×
LGraphRAG [12]	Textual Knowledge Graph	Entity, Community	Question vector	Entity, Relationship, Chunk, Community	/	×
GGraphRAG [12]	Textual Knowledge Graph	Community	Question vector	Community	×	/
FastGraphRAG [16]	Textual Knowledge Graph	Entity	Entities in question	Entity, Relationship, Chunk	/	/
LLightRAG [21]	Rich Knowledge Graph	Entity, Relationship	Low-level keywords in question	Entity, Relationship, Chunk	1	/
GLightRAG [21]	Rich Knowledge Graph	Entity, Relationship	High-level keywords in question	Entity, Relationship, Chunk	/	/
HLightRAG [21]	Rich Knowledge Graph	Entity, Relationship	Both high- and low-level keywords	Entity, Relationship, Chunk	/	/

rely on, their index components, retrieval primitives and granularity, and the types of tasks they support. After a careful literature review, we make the following observations. First, no prior work has proposed a unified framework to abstract the graph-based RAG solutions and identify key performance factors. Second, existing works focus on evaluating the overall performance, but not individual components. Third, there is no existing comprehensive comparison between all these methods in terms of accuracy and efficiency.

Our work. To address the above issues, in this paper, we conduct an in-depth study on graph-based RAG methods. We first propose a unified framework with four stages, namely ① Graph building, ② Index construction, ③ Operator configuration, and ② Retrieval & generation, which capture the core ideas of all existing methods. Under this framework, we systematically compare 12 existing representative graph-based RAG methods. We conduct comprehensive experiments on both specific and abstract QA datasets and provide an in-depth analysis.

In summary, our principal contributions are as follows.

- Summarize a novel unified framework with four stages for graph-based RAG solutions from a high-level perspective (Sections 3 ~ 6).
- Conduct extensive experiments from different angles using various QA datasets (both specific and abstract QA), providing a thorough analysis of graph-based RAG methods. Based on our analysis, we identify new variants of graphbased RAG methods, by combining existing techniques, which highly outperform the state-of-the-art methods (Section 7).
- Summarize lessons learned and propose practical research opportunities that can facilitate future studies (Section 8).

The rest of the paper is organized as follows. In Section 2, we present the preliminaries and introduce a novel unified framework for graph-based RAG solutions in Section 3. In Sections 4 through 6, we compare the graph-based RAG methods under our unified framework. The comprehensive experimental results and analysis are reported in Section 7. We present the learned lessons and a list of research opportunities in Section 8, and Section 9 reviews related work while Section 10 summarizes the paper.

#### 2 PRELIMINARIES

In this section, we review some key concepts of LLM and the general workflow of graph-based RAG methods.

#### 2.1 Large Language Models (LLMs)

We introduce some fundamental concepts of LLMs, including LLM prompting and retrieval augmented generation (RAG).

**LLM Prompting.** After instruction tuning on large corpus of human interaction scenarios, LLM is capable of following human instructions to complete different tasks [10, 60]. Specifically, given the task input, we construct a prompt that encapsulates a comprehensive task description. The LLM processes this prompt to fulfill the task and generate the corresponding output. Note that pre-training on trillions of bytes of data enables LLM to generalize to diverse tasks by simply adjusting the prompt [60].

**Retrieval Augmented Generation.** During completing tasks with prompting, LLMs often generate erroneous or meaningless responses, i.e., the hallucination problem [28]. To mitigate the problem, retrieval augmented generation (RAG) is utilized as an advanced LLM prompting technique by using the knowledge within the external corpus, typically including two major steps [18]: (1) retrieval: given a user question Q, using the index to retrieve the most relevant (i.e., top-k) chunks to Q, where the large corpus is first split into smaller chunks, and (2) *generation*: guiding LLM to generate answers with the retrieved chunks along with Q as a prompt.

#### 2.2 Graph-based RAG

Unlike vanilla RAG, graph-based RAG methods employ graph structures built from external corpus to enhance contextual understanding in LLMs and generate more informed responses [63]. Typically, graph-based RAG methods are composed of three major stages: (1) graph building: given a large corpus  $\mathcal D$  with d chunks, for each chunk C, an LLM extracts nodes and edges to construct a graph  $\mathcal G$ ; (2) retrieval: given a user question Q, using the index to retrieve the most relevant information (e.g., nodes or subgraphs) from  $\mathcal G$ , and (3) generation: guiding LLM to generate answers by incorporating the retrieved information into the prompt along with Q.

Compared to vanilla RAG, the key difference in graph-based RAG methods can be summarized from two perspectives:

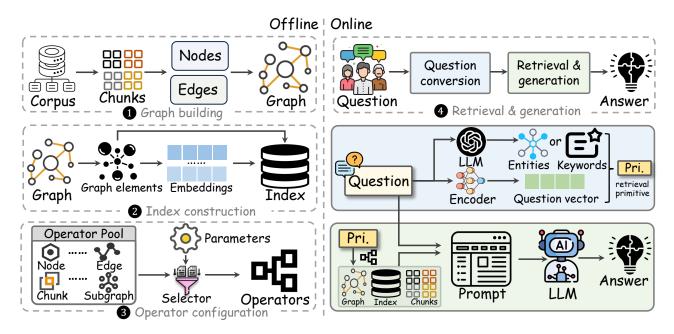


Figure 2: Workflow of graph-based RAG methods under our unified framework.

- Retrieval source: Vanilla RAG retrieves knowledge directly from external chunks, whereas graph-based RAG methods retrieve information from a graph constructed using these chunks
- Retrieval element: Given a user question Q, vanilla RAG aims to retrieve the most relevant chunks, while graphbased RAG methods focus on finding useful information from the graph, such as nodes, relationships, or subgraphs.

#### 3 A UNIFIED FRAMEWORK

In this section, we develop a novel unified framework, consisting of four stages: ① Graph building, ② Index construction, ③ Operator configuration, and ④ Retrieval & generation, which can cover all existing graph-based RAG methods, as shown in Algorithm 1.

#### **Algorithm 1:** A unified framework for graph-based RAG

**input** :Corpus  $\mathcal{D}$ , and user question Q **output**:The answers for user question Q

- 1  $C \leftarrow$  split  $\mathcal{D}$  into multiple chunks;
- // (1) Graph building.
- $_{2}$   $\mathcal{G} \leftarrow \mathsf{GraphBuilding}(\mathcal{C});$
- // (2) Index construction.
- $_{3}$   $I \leftarrow IndexConstruction(G, C);$ 
  - // (3) Operator configuration.
- $\textbf{4} \ O \leftarrow \texttt{OperatorConfiguration()};$ 
  - // (4) Retrieve relevant information and generate
    response.
- $5 \mathcal{R} \leftarrow \text{Retrieval\&generation}(\mathcal{G}, \mathcal{I}, \mathcal{O}, \mathcal{Q});$
- 6 return R;

Specifically, given the large corpus  $\mathcal{D}$ , we first split it into multiple chunks C (line 1). We then sequentially execute operations in

the following four stages (lines 2-5): (1) Build the graph  $\mathcal{G}$  for input chunks C (Section 4); (2) Construct the index based on the graph  $\mathcal{G}$  from the previous stage (Section 5); (3) Configure the retriever operators for subsequent retrieving stages (Section 6), and (4) For the input user question  $\mathcal{Q}$ , retrieve relevant information from  $\mathcal{G}$  using the selected operators and feed them along with the question  $\mathcal{Q}$  into the LLM to generate the answer. Note that the first three stages are executed offline, enabling support for efficient online queries once completed, we present the workflow of graph-based RAG methods under our framework in Figure 2.

#### 4 GRAPH BUILDING

The *graph building* stage aims to transfer the input corpus into a graph for the subsequent computation. Before building a graph, the first step is splitting the corpus into smaller chunks, and then employing LLM or other tools to finish the graph construction. There are five types of graphs with corresponding five types of graph construction methods, and we present a brief description of each graph type and its construction process below:

- **1** Passage Graph. In the passage graph (PG), each node is constructed by the entire chunk, while the edge is built by the entity linking tool [80]. Specifically, if two chunks contain a number of the same entities larger than a threshold, we link an edge for these two nodes.
- **2** Tree. The tree is constructed in a progressive manner, where each chunk represents the leaf node in the tree. Then, it uses an LLM to generate higher-level nodes. Specifically, at each i-th layer, the nodes of (i+1)-th layer are created by clustering nodes from the i-th layer that does not yet have parent nodes. For clusters containing more than two nodes, the LLM generates a virtual parent node, whose description is a summarized representation of the contents of all child nodes within the cluster.

Table 2: Comparison of different types of graphs.

Attributes	Tree	PG	KG	TKG	RKG
Original Chunk	1	1	×	Х	Х
Entity Name	Х	X	1	1	✓
Entity Type	Х	X	X	1	1
Entity Description	X	X	X	1	1
Relationship Name	X	X	1	X	✓
Relationship Keyword	X	X	X	X	✓
Relationship Description	Х	X	X	1	1
Edge Weight	X	X	1	✓	✓

- **8** Knowledge Graph. The knowledge graph (KG) is constructed by extracting entities and relationships from each chunk, where each entity represents an object and the relationship denotes the semantic relation between two entities.
- **4 Textual Knowledge Graph.** A textual knowledge graph (TKG) is a specialized KG (following the same construction step as KG), with the key difference being that in a TKG, each entity and relationship is assigned a brief textual description.
- **6** Rich Knowledge Graph. The rich knowledge graph (RKG) is an extended version of TKG, containing more information, including textual descriptions for entities and relationships, as well as keywords for relationships.

We summarize the key characters of each graph type in Table 2.

#### 5 INDEX CONSTRUCTION

To support efficient online querying, existing graph-based RAG methods typically include an index-construction stage, which involves storing entities or relationships in the vector database, and computing community reports for efficient online retrieval. Generally, there are three types of indices, ① Node Index, ② Relationship Index, and ② Community Index, where for the first two types, we use the well-known text-encoder models, such as BERT [9], BGE-M3 [54], or ColBert [34] to generate embeddings for nodes or relationships in the graph.

- Node Index. This index stores the graph nodes in the vector database. For RAPTOR, G-retriever, DALK, FastGraphRAG, LGraphRAG, LLightRAG, and HLightRAG, all nodes in the graph are directly stored in the vector database. For each node in KG, its embedding vector is generated by encoding its entity name, while for nodes in Tree, TKG, and RKG, the embedding vectors are generated by encoding their associated textual descriptions. In KGP, it stores the TF-IDF matrix [24], which represents the term-weight distribution across different nodes (i.e., chunks) in the index.
- **2 Relationship Index.** This index stores the relationships of the graph in a vector database, where for each relationship, its embedding vector is generated by encoding a description that combines its associated context (e.g., description) and the names of its linked entities.
- **3** Community Index. The community index stores the community reports for each community, where communities are generated by the clustering algorithm and the LLM produces the reports. Specifically, Leiden [74] algorithm is utilized by LGraphRAG and GGraphRAG.

#### 6 RETRIEVAL AND GENERATION

In this section, we explore the key steps in graph-based RAG methods, i.e., selecting operators, and using them to retrieve relevant information to question Q.

#### 6.1 Retrieval operators

Here, we exhibit that the retrieval stage in various graph-based RAG methods can be decoupled into a series of operators, with different methods selecting specific operators and combining them in various ways. By selecting and arranging these operators in different sequences, all existing (and potentially future) graph-based RAG methods can be implemented. Through an in-depth analysis of all implementations, we distill the retrieval process into a set of 19 operators, forming an operator pool. Based on the granularity of retrieval, we classify the operators into five categories:

- Node type. This type of operator focuses on retrieving "important" nodes for a given question, and based on the selection policy, there are seven different operators to retrieve nodes. **1** VDB leverages the vector database to retrieve nodes by computing the vector similarity with the query vector. **②** RelNode extracts nodes from the provided relationships. 3 PPR uses the Personalized PageRank (PPR) algorithm [25] to identify the top-k similar nodes to the question, where the restart probability of each node is based on its similarity to the entities in the given question. Agent utilizes the capabilities of LLMs to select nodes from a list of candidate nodes. **6** Onehop selects the one-hop neighbor entities of the given entities. **6** Link selects the top-1 most similar entity for each entity in the given set from the vector database. **TF-IDF** retrieves the top-k most relevant entities by ranking them based on term frequency and inverse document frequency from the TF-IDF matrix.
- Relationship type. These operators are designed to retrieve relationships from the graph that are most relevant to the user question. There are four operators: **①** VDB, **②** Onehop, **③** Aggregator, and 4 Agent. Specifically, the VDB operator also uses the vector database to retrieve relevant relationships. The Onehop operator selects relationships linked by one-hop neighbors of the given selected entities. The Aggregator operator builds upon the PPR operator in the node operator. Given the PPR scores of entities, the most relevant relationships are determined by leveraging entityrelationship interactions. Specifically, the score of each relationship is obtained by summing the scores of the two entities it connects. Based on these scores, the top-k most relevant relationships are selected. The key difference for the Agent operator is that, instead of using a candidate entity list, it uses a candidate relationship list, allowing the LLM to select the most relevant relationships based on the question.

Method	Node	Relationship	Chunk	Subgraph	Community
RAPTOR	VDB	N/A	N/A	N/A	N/A
KGP	TF-IDF	N/A	N/A	N/A	N/A
HippoRAG	Link + PPR	Aggregator	Aggregator	N/A	N/A
G-retriever	VDB	VDB	N/A	Steiner	N/A
ToG	Link + Onehop + Agent	Onehop + Agent	N/A	N/A	N/A
DALK	Link + Onehop + Agent	N/A	N/A	KhopPath + AgentPath	N/A
FastGraphRAG	Link + VDB + PPR	Aggregator	Aggregator	N/A	N/A
LGraphRAG	VDB	Onehop	0ccurrence	N/A	Entity
RGraphRAG	N/A	N/A	N/A	N/A	Layer
LLightRAG	VDB	Onehop	0ccurrence	N/A	N/A
GLightRAG	FromRel	VDB	FromRel	N/A	N/A
HLightRAG	VDB + FromRel	Onehop + VDB	Occurrence + FromRel	N/A	N/A

Table 3: Operators utilized in graph-based RAG methods; "N/A" means that this type of operator is not used.

are extracted from these chunks. The Occurrence operator selects the top-k chunks based on the given relationships, assigning each chunk a score by counting the number of times it contains both entities in a relationship.

- Subgraph Type. There are three operators to retrieve the relevant subgraphs from the graph  $\mathcal{G}$ : The  $oldsymbol{0}$  KhopPath operator aims to identify k-hop paths in G by iteratively finding such paths where the start and end points belong to the given entity set. After identifying a path, the entities within it are removed from the entity set, and this process repeats until the entity set is empty. Note that if two paths can be merged, they are combined into one path. For example, if we have two paths  $A \to B \to C$  and  $A \to B \to C \to C$ D, we can merge them into a single path  $A \rightarrow B \rightarrow C \rightarrow D$ . The **②** Steiner operator first identifies the relevant entities and relationships, then uses these entities as seed nodes to construct a Steiner tree [24]. The 3 AgentPath operator aims to identify the most relevant k-hop paths to a given question, by using LLM to filter out the irrelevant paths.
- Community type. Only the LGraphRAG and GGraphRAG using the community operators, which includes two detailed operators, **1** Entity, and **2** Level. The Entity operator aims to obtain the communities containing the specified entities. For all identified communities, they are sorted based on their rating (generated by the LLM), and the top-k communities are returned. The latter one is used to retrieve all communities below the required levels.

#### **Operator configuration** 6.2

Under our unified framework, any existing graph-based RAG method can be implemented by leveraging the operator pool along with specific method parameters. Those parameters define two key aspects: (1) which operators to use, and (2) how to combine or apply the selected operators.

In Table 3, we present how the existing graph-based RAG methods utilize our provided operators to assemble their retrieval stages. Due to this independent and modular decomposition of all graphbased RAG methods, we not only gain a deeper understanding of how these approaches work but also gain the flexibility to combine these operators to create new methods. Besides, new operators can be easily created, for example, we can create a new operator VDB

within the community type, which allows us to retrieve the most relevant communities by using vector search to compare the semantic similarity between the question and the communities. In our later experimental results (see Exp.5 in Section 7.3), thanks to our modular design, we can design a new state-of-the-art graph-based RAG method by first creating two new operators and combining them with the existing operators.

### Retrieval & generation

In the Retrieval & generation stage, the graph-based RAG methods first go through a Question conversion stage (see the second subfigure on the right side of Figure 2), which aims to transfer the user input question Q into the retrieval primitive P, where P denotes the atomic retrieval unit, such as entities or keywords in Q, and the embedding vector of Q.

In the  $\it Question\ conversion\ stage$  , DALK, HipporaG, and ToG extract entities from the question; KGP directly uses the original question as the retrieval primitive. The three versions of LightRAG extract keywords from the question as the retrieval primitive, and the remaining methods use the embedding vector of Q.

Based on the retrieval primitive P and the selected operators, the most relevant information to the user question *Q* is retrieved. This retrieved information is then combined with Q to construct the final prompt for the LLM to generate an answer. Generally, there are two types of answer generation paradigms: • Directly and • Map-Reduce. The former directly utilizes the LLM to generate the answer, while the latter, used in GGraphRAG, analyzes the retrieved communities one by one, first, each community is used to answer the question independently in parallel, and then all relevant partial answers are summarized into a final answer.

#### **EXPERIMENTS**

We now present the experimental results. Section 7.1 discusses the setup. We discuss the results in Sections 7.2 and 7.3.

#### 7.1 Setup

▶ Workflow of our evaluation. We present the first open-source testbed for graph-based RAG methods, which (1) collects and reimplements 12 representative methods within a unified framework

Table 4: Datasets used in our experiments; The underlined number of chunks denotes that the dataset is pre-split into chunks by the expert annotator.

Dataset	# of Tokens	# of Questions	# of Chunks	QA Type
MultihopQA	1,434,889	2,556	609	Specific QA
Quality	1,522,566	4,609	265	Specific QA
PopQA	2,630,554	1,172	33,595	Specific QA
MusiqueQA	3,280,174	3,000	29,898	Specific QA
HotpotQA	8,495,056	3,702	66,581	Specific QA
ALCE	13,490,670	948	89,562	Specific QA
Mix	611,602	125	61	Abstract QA
MultihopSum	1,434,889	125	609	Abstract QA
Agriculture	1,949,584	125	12	Abstract QA
CS	2,047,923	125	10	Abstract QA
Legal	4,774,255	125	94	Abstract QA

(as depicted in Section 3). (2) supports a fine-grained comparison over the building blocks of the retrieval stage with up to 100+ variants, and (3) provides a comprehensive evaluation over 11 datasets with various metrics in different scenarios, we summarize the workflow of our empirical study in Figure 3, and make our unified system available in: https://github.com/JayLZhou/GraphRAG/tree/master. ▶ Benchmark Dataset. We employ 11 real-world datasets for evaluating the performance of each graph-based RAG method, including both specific and abstract questions.

- Specific. The question in this group is detail-oriented and typically references specific entities within the graph (e.g., "Who won the 2024 U.S. presidential election?"). We categorize the questions into two groups based on complexity: Simple and Complex. The former has answers directly available in one or two text chunks, requiring no reasoning across chunks, and includes Quality [61], PopQA [52], and HotpotQA [84]. The latter involves reasoning across multiple chunks, understanding implicit relationships, and synthesizing knowledge, including datasets: MultihopQA [73], MusiqueQA [75], and ALCE [17].
- Abstract. Unlike the previous groups, the questions in this category are not centered on specific factual queries. Instead, they involve abstract, conceptual inquiries that encompass broader topics, summaries, or overarching themes. An example of an abstract question is: "How does artificial intelligence influence modern education?". The abstract question requires a high-level understanding of the dataset contents. We include five datasets: Mix [64], MultihopSum [73], Agriculture [64], CS [64], and Legal [64] in this category.

Their statistics, including the numbers of tokens, and questions, and the question-answering (QA) types are reported in Table 4. For specific (both complex and simple) QA datasets, we use the questions provided by each dataset. While for abstract QA datasets, we follow existing works [12, 21] and generate questions using the most advanced LLM, GPT-40. Specifically, for each dataset, we generate 125 questions by prompting GPT-40, following the approach in [21]. The prompt template used for question generation is provided in our technical report [66]. Note that MultihopQA and MultihopSum originate from the same source, but differ in the types

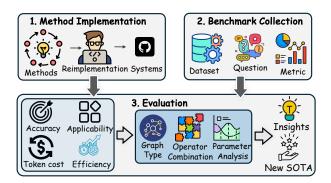


Figure 3: Workflow of our empirical study.

of questions they include—the former focuses on complex QA tasks, while the latter on abstract QA tasks.

- ▶ Evaluation Metric. For the specific QA tasks, we use Accuracy and Recall to evaluate performance on the first five datasets based on whether gold answers are included in the generations instead of strictly requiring exact matching, following [52, 68]. For the ALCE dataset, answers are typically full sentences rather than specific options or words. Following existing works [17, 67], we use string recall (STRREC), string exact matching (STREM), and string hit (STRHIT) as evaluation metrics. For abstract QA tasks, we follow prior work [12] and use a head-to-head comparison approach using an LLM evaluator (i.e., GPT-40). This is mainly because LLMs have demonstrated strong capabilities as evaluators of natural language generation, often achieving state-of-the-art or competitive results when compared to human judgments [76, 89]. Here, we utilize four evaluation dimensions: Comprehensiveness, Diversity, Empowerment, and Overall for abstract QA tasks.
- ▶ Implementation. We implement all the algorithms in Python with our proposed unified framework and try our best to ensure a native and effective implementation. All experiments are run on 350 Ascend 910B-3 NPUs [30]. Besides, Zeroshot, and VanillaRAG are also included in our study, which typically represent the model's inherent capability and the performance improvement brought by basic RAG, respectively. If a method cannot finish in two days, we mark its result as N/A in the figures and "—" in the tables.
- ▶ Hyperparameter Settings. In our experiment, we use Llama-3-8B [11] as the default LLM, which is widely adopted in existing RAG methods [87]. For the LLM, we set the maximum token length to 8,000, the temperature to 0.0, and the sample size to 1 to ensure the reproducibility of our study. For each method requiring top-k selection (e.g., chunks or entities), we set k=4. We use BGE-M3 [54] as the embedding model across all methods to generate embeddings for vector search. Besides, if a dataset is pre-split into chunks by the expert annotator, we use the provided splits as they preserve human intelligence. Otherwise, we follow existing works [12, 21] and divide the corpus into chunks, where each chunk denotes a part of the whole corpus and contains 1,200 tokens. For other hyperparameters of each method, we follow the original settings in their available code.

#### 7.2 Evaluation for specific QA

In this section, we evaluate the performance of different methods on specific QA tasks.

Table 5: Comparison of Methods on Different Datasets, where Purple denotes the best result, and Orange denotes the best result excluding the best one; For the three largest datasets, we replace the clustering method in RAPTOR from Gaussian Mixture to K-means, as the former fails to finish within two days; The results affected by this modification are marked with  $^{\dagger}$ .

Method	Multiho	pQA	Quality	PopQ	QA	Musiq	ıeQA	Hotpo	otQA		ALCE	
Method	Accuracy	Recall	Accuracy	Accuracy	Recall	Accuracy	Recall	Accuracy	Recall	STRREC	STREM	STRHIT
ZeroShot	49.022	34.256	37.058	28.592	8.263	1.833	5.072	35.467	42.407	15.454	3.692	30.696
VanillaRAG	50.626	36.918	39.141	60.829	27.058	17.233	27.874	50.783	57.745	34.283	11.181	63.608
G-retriever	42.019	43.116	31.807	17.084	6.075	2.733	11.662	_	_	9.754	2.215	19.726
ToG	41.941	38.435	34.888	47.677	23.727	9.367	20.536	_	_	13.975	3.059	29.114
KGP	48.161	36.272	33.955	57.255	24.635	17.333	27.572	_	_	27.692	8.755	51.899
DALK	53.952	47.232	34.251	45.604	19.159	11.367	22.484	33.252	47.232	21.408	4.114	44.937
LLightRAG	44.053	35.528	34.780	38.885	16.764	9.667	19.810	34.144	41.811	21.937	5.591	43.776
GLightRAG	48.474	38.365	33.413	20.944	8.146	7.267	17.204	25.581	33.297	17.859	3.587	37.131
HLightRAG	50.313	41.613	34.368	41.244	18.071	11.000	21.143	35.647	43.334	25.578	6.540	50.422
FastGraphRAG	52.895	44.278	37.275	53.324	22.433	13.633	24.470	43.193	51.007	30.190	8.544	56.962
HippoRAG	53.760	47.671	48.297	59.900	24.946	17.000	28.117	50.324	58.860	23.357	6.962	43.671
LGraphRAG	55.360	50.429	37.036	45.461	18.657	12.467	23.996	33.063	42.691	28.448	8.544	54.747
RAPTOR	56.064	44.832	56.997	62.545	27.304	$24.133^{\dagger}$	35.595 <sup>†</sup>	55.321 <sup>†</sup>	$62.424^\dagger$	$35.255^{\dagger}$	11.076 <sup>†</sup>	$65.401^\dagger$

Table 6: Comparison RAPTOR and RAPTOR-K.

Method	Mul	tihopQA	Quality	PopQA		
Wicthou	Accuracy	Recall	Accuracy	Accuracy Reca		
RAPTOR RAPTOR-K	56.064 <b>56.768</b>	<b>44.832</b> 44.208	<b>56.997</b> 54.567	62.545 <b>64.761</b>	27.304 <b>28.469</b>	

- ▶ Exp.1. Overall performance. We report the metric values of all algorithms on specific QA tasks in Table 5. We can make the following observations and analyses: (1) Generally, the RAG technique significantly enhances LLM performance across all datasets, and the graph-based RAG methods (e.g., HippoRAG and RAPTOR) typically exhibit higher accuracy than VanillaRAG. However, if the retrieved elements are not relevant to the given question, RAG may degrade the LLM's accuracy. For example, on the Quality dataset, compared to Zeroshot, RAPTOR improves accuracy by 53.80%, while G-retriever decreases it by 14.17%. This is mainly because, for simple QA tasks, providing only entities and relationships from a subgraph is insufficient to answer such questions effectively.
- (2) For specific QA tasks, retaining the original text chunks is crucial for accurate question answering, as the questions and answers in these datasets are derived from the text corpus. This may explain why G-retriever, ToG, and DALK, which rely solely on graph structure information, perform poorly on most datasets. However, on MultihopQA, which requires multi-hop reasoning, DALK effectively retrieves relevant reasoning paths, achieving accuracy and recall improvements of 6.57% and 27.94% over VanillaRAG, respectively.
- (3) If the dataset is pre-split into chunks by the annotator, the naive-based RAG, VanillaRAG often performs better compared to datasets where chunks are split based on the token count, and we further investigate this phenomenon later.
- (4) RAPTOR often achieves the best performance across most datasets, especially for simple questions. For complex questions, RAPTOR also performs exceptionally well. This is mainly because, for such questions, high-level summarized information is crucial for understanding the underlying relationships across multiple chunks. Hence, as we shall see, LGraphRAG is expected to achieve similar results, as it also incorporates high-level information (i.e., a summarized report of the most relevant community for a given question). However, we only observe this effect on the MultihopQA dataset. For the other two complex QA datasets, LGraphRAG even underperforms compared to VanillaRAG. Meanwhile, RAPTOR still achieves the best performance on these two datasets. We hypothesize that this discrepancy arises from differences in how high-level information is retrieved (See operators used for each method in Table 3). Specifically, RAPTOR leverages similarity-based vector search, comparing the embedding similarity between the given question and the high-level text summary. In contrast, LGraphRAG relies on entity frequency, selecting communities based on the occurrence of entities similar to those in the question. However, this approach may retrieve communities that do not match the semantic meaning of the question, therefore degrading LLM's performance. To further investigate this issue, we conduct an extra ablation study later (See Exp.4 in this Section).
- (5) For the three largest datasets, the K-means [24]-based RAPTOR (denoted as RAPTOR-K) also demonstrates remarkable performance. This suggests that the clustering method used in RAPTOR does not significantly impact overall performance. This may be because different clustering methods share the same key idea, grouping similar items into the same cluster, therefore, they may generate similar chunk clusters. To verify this, we also compare the RAPTOR-K with RAPTOR with the first three datasets, and present results in Table 6. We observe that RAPTOR-K achieves comparable or even better performance than RAPTOR. This raises an interesting research

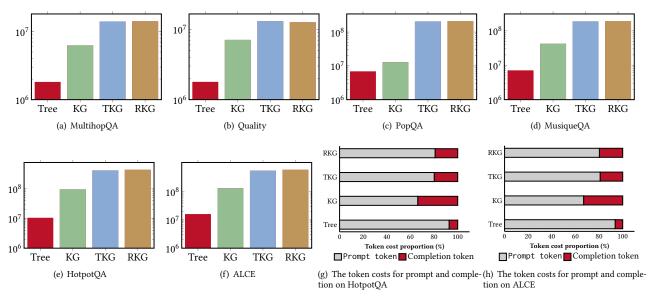


Figure 4: Token cost of graph building on specific QA datasets.

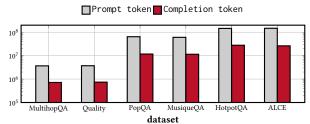


Figure 5: Token cost of index construction in specific QA.

question: which clustering method is best suited for different types of QA tasks? In the remaining part of our experiments, if RAPTOR does not finish constructing the graph within two days, we use RAPTOR-K instead.

▶ Exp.2. Token costs of graph and index building. In this experiment, we first report the token costs of building four types of graphs across all datasets. Notably, building PG incurs no token cost, as it does not rely on the LLM for graph construction. As shown in Figure 4(a) to (f), we observe the following: (1) Building trees consistently require the least token cost, while TKG and RKG incur the highest token costs, with RKG slightly exceeding TKG. In some cases, RKG requires up to  $40 \times$  more tokens than trees. (2) KG falls between these extremes, requiring more tokens than trees but fewer than TKG and RKG. This trend aligns with the results in Table 2, where graphs with more attributes require higher token costs for construction. (3) Recall that the token cost for an LLM call consists of two parts: the prompt token, which accounts for the tokens used in providing the input, and the completion part, which includes the tokens generated by the model as a response. Here, we report the token costs for prompt and completion on HotpotQA and ALCE datasets in Figure 4(g) to (h). The other datasets exhibit similar trends, we include their results in our technical report [66]. We can see that, regardless of the graph type, the prompt part always incurs higher token costs than the completion part.

We then examine the token costs of index building across all datasets. Since only LGraphRAG and GGraphRAG require an LLM for index construction, we report only the token costs for generating community reports in Figure 5. We can see that the token cost for index construction is even greater than that of building TKG. This is mainly because it requires generating a report for each community, and the number of communities is typically large, especially in large datasets. For example, the HotpotQA dataset contains 57,384 communities, significantly increasing the overall token consumption. That is to say, on large datasets, the two versions of GraphRAG often take more tokens than other methods in the offline stage.

▶ Exp.3. Evaluation of the generation costs. In this experiment, we evaluate the time and token costs for each method in specific QA tasks. Specifically, we report the average time and token costs for each query across all datasets in Table 7.1 It is not surprising that ZeroShot and VanillaRAG are the most cost-efficient methods in terms of both time and token consumption. Among all graph-based RAG methods, RAPTOR and HippoRAG are typically the most cost-efficient, as they share a similar retrieval stage with VanillaRAG. The main difference lies in the chunk retrieval operators they use. Besides, KGP and ToG are the most expensive methods, as they rely on the LLM for information retrieval during prompt construction. The former utilizes the LLM to reason the next required information based on the original question and retrieved chunks, while the latter employs LLM to select relevant entities and relationships for answering the question. On the other hand, the costs of LLightRAG, GLightRAG, and HLightRAG gradually increase, aligning with the fact that more information is incorporated into the prompt construction. All three methods are more expensive than LGraphRAG in specific QA tasks, as they include an additional keyword extraction step, which incurs extra LLM calls. Moreover, the time cost of all methods is proportional to the completion token

<sup>&</sup>lt;sup>1</sup>Note that these results may vary upon rerunning due to the inherent uncertainty of the LLM.

Table 7: Time and token costs of all methods on specific QA datasets.

Method	Multi	hopQA	Qu	ality	Pop	QA	Musi	queQA	Hotp	otQA	AL	CE
Method	time	token	time	token	time	token	time	token	time	token	time	token
ZeroShot	2.91 s	272.5	1.47 s	169.1	1.26 s	78.9	1.73 s	137.8	1.51 s	125.0	2.18 s	165.5
VanillaRAG	2.18 s	3,644.9	2.12 s	4,502.0	1.41 s	644.1	1.31 s	745.4	1.10 s	652.0	1.07 s	845.1
G-retriever	6.62 s	1,199.9	8.04 s	1,117.3	40.73 s	3,475.6	36.81 s	3,166.1	_	_	99.75 s	5,218.8
ToG	67.56 s	16,833.0	33.61 s	9,217.3	30.43 s	8,131.9	53.55 s	12,480.8	_	_	34.94 s	11,383.2
KGP	39.94 s	16,127.8	36.92 s	20,354.6	45.48 s	6,763.8	42.21 s	7,257.6	_	_	105.09 s	9,326.6
DALK	12.35 s	3,406.5	14.96 s	3,060.3	15.66 s	2,579.7	22.61 s	3,304.0	20.22 s	4,062.5	18.21 s	4,126.1
LLightRAG	14.22 s	5,718.9	15.78 s	5,077.6	10.33 s	2,383.1	13.00 s	3,025.4	11.85 s	3,041.1	10.49 s	4,342.4
GLightRAG	19.59 s	6,051.5	15.34 s	6,068.1	11.12 s	2,826.4	13.63 s	5,682.2	12.97 s	3,223.3	12.80 s	4,073.3
HLightRAG	19.50 s	7,244.0	22.06 s	6,649.4	16.55 s	4,710.7	20.83 s	5,732.5	19.90 s	4,817.2	17.01 s	6,215.7
FastGraphRAG	4.31 s	5,881.6	4.62 s	6,203.0	14.29 s	6,190.5	16.12 s	6,051.2	28.71 s	6,029.9	26.35 s	6,007.8
HippoRAG	3.39 s	3,309.3	3.81 s	3,936.2	2.98 s	751.3	2.66 s	857.6	3.12 s	726.4	3.42 s	865.1
LGraphRAG	2.90 s	6,178.8	2.26 s	6,207.5	1.66 s	4,161.1	2.21 s	4,496.4	2.05 s	4,806.2	2.25 s	5,407.0
RAPTOR	3.04 s	3,255.3	2.36 s	4,372.2	1.41 s	1,231.4	1.72 s	1,687.6	1.45 s	598.8	1.64 s	793.1

Table 8: Proportion of retrieved nodes across tree layers.

Layer	MultihopQA	Quality	PopQA	MusiqueQA	HotpotQA	ALCE
0	59.3%	76.8%	76.1%	69.3%		90.6%
1	27.5%	18.7%	16.5%	28.1%	9.5%	8.8%
> 1	13.2%	4.5%	7.4%	2.6%	0.8%	0.6%

cost. We present the results in our technical report [66], which explains why in some datasets, VanillaRAG is even faster than ZeroShot.

▶ Exp.4. Detailed analysis for RAPTOR and LGraphRAG. Our first analysis about RAPTOR aims to explain why RAPTOR outperforms VanillaRAG. Recall that in RAPTOR, for each question *Q*, it retrieves the top-*k* items across the entire tree, meaning the retrieved items may originate from different layers. That is, we report the proportion of retrieved items across different tree layers in Table 8. As we shall see, for the MultihopQA and MusiqueQA datasets, the proportion of retrieved high-level information (i.e., items not from leaf nodes) is significantly higher than in other datasets. For datasets requiring multi-hop reasoning to answer questions, highlevel information plays an essential role. This may explain why RAPTOR outperforms VanillaRAG on these two datasets.

Table 9: Descriptions of the different variants of LGraphRAG.

Name	Retrieval elements	New retrieval strategy
GraphRAG-ER	Entity, Relationship	<b>X</b>
GraphRAG-CC	Community, Chunk	×
VGraphRAG-CC	Community, Chunk	/
LGraphRAG	Entity, Relationship, Community, Chunk	×

We then conduct a detailed analysis of LGraphRAG on complex questions in specific QA datasets by modifying its retrieval methods or element types. By doing this, we create three variants of

LGraphRAG, and we present the detailed descriptions for each variant in Table 9. Here, VGraphRAG-CC introduces a new retrieval strategy. Unlike LGraphRAG, it uses vector search to retrieve the top-k elements (i.e., chunks or communities) from the vector database. Aftwerwards, we evaluate their performance on the three complex QA datasets, and present the results in Table 10. We make the following analysis: (1) Community reports serve as effective high-level information for complex QA tasks. For instance, VGraphRAG-CC achieves comparable or even better performance than RAPTOR, highlighting the value of community reports. (2) The retrieval strategy in the original LGraphRAG, which selects communities and chunks based on the frequency of relevant entities in a given question, may not be optimal in some cases. This is verified by VGraphRAG-CC consistently outperforming GraphRAG-CC, which suggests that a vector-based retrieval approach is a better way than the heuristic rule-based way. (3) For multi-hop reasoning tasks (e.g., MultihopQA), entity and relationship information can serve as an auxiliary signal, it helps LLM link relevant information (i.e., entity and relationship descriptions), and guide the reasoning process. This is supported by LGraphRAG outperforming both GraphRAG-CC and VGraphRAG-CC on the MultihopQA dataset, indicating the importance of structured graph information for multi-hop reasoning.

▶ Exp.5. New SOTA algorithm. Based on the above analysis, we aim to develop a new state-of-the-art method for complex QA datasets, denoted as VGraphRAG Specifically, our algorithm first retrieves the top-*k* entities and their corresponding relationships, this step is the same as LGraphRAG. Next, we adopt the vector search-based retrieval strategy to select the most relevant communities and chunks, this step is the same as VGraphRAG-CC. Then, by combining the four elements above, we construct the final prompt of our method to effectively guide the LLM in generating accurate answers. The results are also shown in Table 10, we can see that VGraphRAG performs best on all complex QA datasets. For example, on the ALCE dataset, it improves STRREC, STREM, and STRHIT by 8.47%, 13.18%, and 4.93%, respectively, compared to VGraphRAG-CC.

Dataset	Metric	ZeroShot	VanillaRAG	LGraphRAG	RAPTOR	GraphRAG-ER	GraphRAG-CC	VGraphRAG-CC	VGraphRAG
MultihopQA	Accuracy Recall	49.022 34.526	50.626 36.918	55.360 50.429	<b>56.064</b> 44.832	52.739 45.113	52.113 43.770	55.203 46.750	59.664 50.893
MusiqueQA	Accuracy	1.833	17.233	12.467	24.133	11.200	13.767	22.400	26.933
	Recall	5.072	27.874	23.996	35.595	22.374	25.707	35.444	40.026
ALCE	STRREC	15.454	34.283	28.448	35.255	26.774	35.366	37.820	41.023
	STREM	3.692	11.181	8.544	11.076	7.5949	11.920	13.608	15.401
	STRHIT	30.696	63.608	54.747	65.401	52.743	64.662	68.460	71.835

Table 10: Comparison of our newly designed methods on specific datasets with complex questions.

Meanwhile, compared to RAPTOR, our new algorithm VGraphRAG improves Accuracy by 6.42% on the MultihopQA dataset and 11.6% on the MusiqueQA dataset, respectively.

#### 7.3 Evaluation for abstract QA

In this section, we evaluate the performance of different methods on abstract QA tasks.

**► Exp.1. Overall Performance.** We evaluate the performance of methods that support abstract QA (see Table 1) by presenting head-to-head win rate percentages, comparing the performance of each row method against each column method. Here, we denote VR, RA, GS, LR, and FG as VanillaRAG, RAPTOR, GGraphRAG with highlevel communities (i.e., level two for this original implementation), HLightRAG and FastGraphRAG, respectively The results are shown in Figure 6 to Figure 10, and we can see that: (1) Graph-based RAG methods often outperform VanillaRAG, primarily because they effectively capture inter-connections among chunks. (2) Among graph-based RAG methods, GGraphRAG and RAPTOR typically outperform HLightRAG and FastGraphRAG because they incorporate high-level summarized text information into the prompt, which is crucial for abstract QA tasks. In contrast, the latter two rely only on low-level graph structure information (e.g., entities and relationships) and original text chunks, limiting their effectiveness in handling abstract questions. (3) On almost all datasets, GGraphRAG consistently achieves the best performance, aligning with findings from existing work [12]. This suggests that community reports are highly effective in capturing high-level structured knowledge and relational dependencies among chunks, while the Map-Reduce generation strategy further aids in filtering irrelevant retrieved content. (4) For some datasets and metrics, RAPTOR outperforms GGraphRAG, possibly because the text in the original chunks plays a crucial role in answering certain questions.

▶ Exp.2. Token costs of graph and index building. The token costs of the graph and index building across all abstract QA datasets are shown in Figures 11 and 12. The conclusions are highly similar to the Exp.2 in Section 7.2.

▶ Exp.3. Evaluation of the generation costs. In this experiment, we present the time and token costs for each method in abstract QA tasks. As shown in Table 11, as expected, GGraphRAG is the most expensive method, while other graph-based methods exhibit comparable costs, though all remain more expensive than VanillaRAG. For example, on the MutihopSum dataset, GGraphRAG

requires 57 × more time and 210 × more tokens per query compared to VanillaRAG. Specifically, each query in GGraphRAG takes around 9 minutes and consumes 300K tokens, making it impracticable for real-world scenarios. This is because, to answer an abstract question, GGraphRAG needs to analyze all retrieved communities, which is highly time- and token-consuming, especially when the number of communities is large (e.g., in the thousands).

▶ Exp.4. New SOTA algorithm. While the GGraphRAG shows remarkable performance in abstract QA, its time and token costs are not acceptable in practice. Based on the above analysis, we aim to design a cost-efficient version of GGraphRAG, named CheapRAG. Our design is motivated by the fact that: only a few communities (typically less than 10) are used in constructing prompt. However, in GGraphRAG, all communities within a specified number of layers must be retrieved and analyzed, which is highly token-consuming. Besides, as discussed earlier, original chunks are also valuable for certain questions. Therefore, our new algorithm, CheapRAG, incorporates these useful chunks. Specifically, given a new question O, our algorithm first retrieves the most relevant communities and chunks. Next, we apply a Map-Reduce strategy to generate the final answer. As shown in Figure 13 and Table 11, CheapRAG not only achieves better performance than GGraphRAG but also highly reduces token costs (in most cases). For example, on the Multihop-Sum dataset, CheapRAG reduces token costs by 100× compared to GGraphRAG, while achieving better answer quality. In addition, on the smallest dataset (i.e., Mix), CheapRAG consumes slightly more tokens than GGraphRAG since this dataset contains only 108 communities with 21 of them being retrieved. Furthermore, we admit that the diversity of answers generated by CheapRAG is not yet optimal, and we leave this as future work.

More Analysis. In addition, we present a detailed analysis of the graph-based RAG methods in our technical report [66], including Effect of chunk size, Effect of base model, and The size of graph. Due to the space limited, we only summarize the key conclusions here: (1) Chunk quality is crucial for all methods. (2) Stronger backbone models can further enhance performance for all methods. (3) The constructed graphs are typically very sparse, with their size proportional to the number of chunks.

#### 8 LESSONS AND OPPORTUNITIES

We summarize the lessons (L) for practitioners and propose practical research opportunities (O) based on our observations.

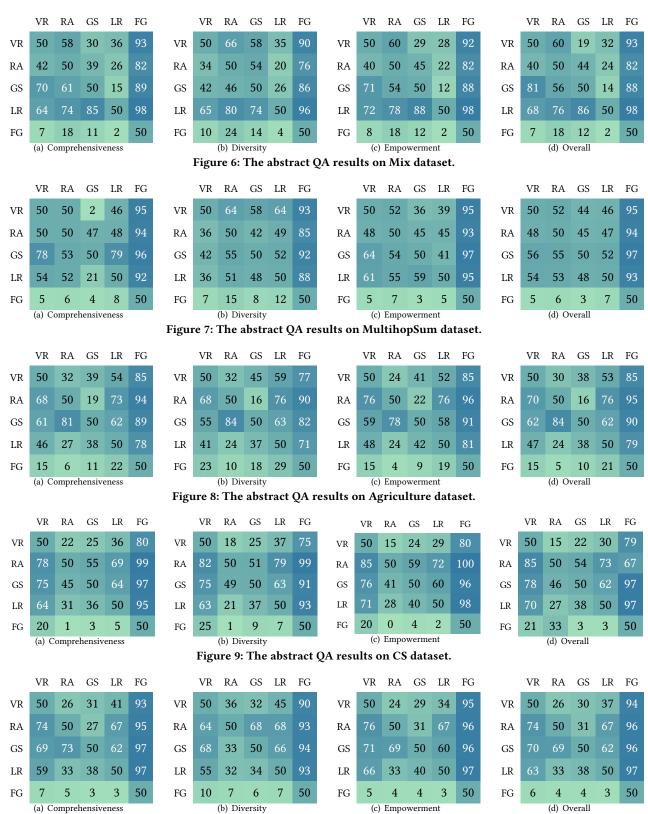


Figure 10: The abstract QA results on Legal dataset.

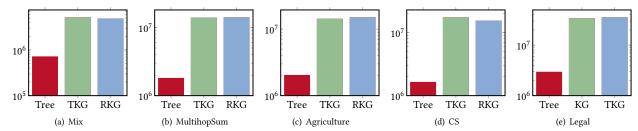


Figure 11: Token cost of the graph building on abstract QA datasets.

Table 11: Time and token costs on abstract QA datasets.

Dataset	VanillaRAG		RAPTOR		GGraphRAG		HLightRAG		FastGraphRAG		CheapRAG	
Dataset	time	token	time	token	time	token	time	token	time	token	time	token
Mix	18.7 s	4,114	35.5 s	4,921	72.2 s	10,922	22.6 s	5,687	20.9 s	4,779	27.3 s	11,720
MultihopSum	9.1 s	1,680	32.7 s	4,921	521.0 s	353,889	33.7 s	5,329	34.4 s	5,839	54.1 s	3,784
Agriculture	17.4 s	5,091	20.7 s	3,753	712.3 s	448,762	25.3 s	4,364	28.8 s	5,640	47.1 s	10,544
CS	17.8 s	4,884	32.7 s	4,921	442.0 s	322,327	51.4 s	4,908	28.2 s	5,692	48.8 s	17,699
Legal	26.2 s	2,943	59.8 s	3,573	231.2 s	129,969	31.1 s	4,441	34.0 s	5,411	34.8 s	14,586

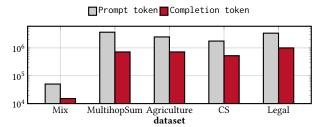


Figure 12: Token cost of index construction in abstract QA.

#### Lessons:

- ▶ <u>L1.</u> In Figure 14, we depict a roadmap of the recommended RAG methods, highlighting which methods are best suited for different scenarios.
- ▶ <u>L2.</u> Chunk quality is very important for the overall performance of all RAG methods, and human experts are better at splitting chunks than relying solely on token size.
- ▶ <u>L3.</u> For complex questions in specific QA, high-level information is typically needed, as they capture the complex relationship among chunks, and the vector search-based retrieval strategy is better than the rule-based (e.g., Entity operator) one.
- ▶ <u>L4.</u> Community reports are a more effective high-level structure than summarized chunk clusters for abstract QA tasks, as they better capture broader topics and overarching themes within local modules of the entire corpus.
- ▶ <u>L5.</u> Original chunks are useful for all QA tasks, as they provide essential textual descriptions for augmenting or completing information needed to answer questions. When attempting to design new graph-based RAG methods, incorporating the relevant original chunks is not a bad idea.

#### Opportunities:

▶ <u>O1.</u> All existing graph-based RAG methods (both specific QA and abstract QA) assume the setting of the external corpus is static.

What if the external knowledge source evolves over time? For example, Wikipedia articles are constantly evolving, with frequent updates to reflect new information. A biography may be revised with recent achievements, or scientific entries updated with new discoveries. Can we design graph-based RAG methods that efficiently and effectively adapt to such dynamic changes in external knowledge sources?

- ▶ <u>O2</u>. The quality of a graph plays a key role in determining the effectiveness of graph-based RAG methods. However, evaluating graph quality before actually handling a question remains a critical challenge that needs to be addressed. Existing graph construction methods consume a substantial number of tokens and often produce graphs with redundant entities or miss potential relationships, so designing a cost-efficient yet effective construction method is a meaningful research direction.
- ▶ <u>O3</u>. In many domains, the corpus is private, and retrieving the relevant information can reveal information about the knowledge source. Designing a graph-based RAG method that incorporates local differential privacy is an interesting research problem.
- ▶ <u>O4.</u> In real applications, external knowledge sources are not limited to text corpora; they may also include PDFs, HTML pages, tables, and other structured or semi-structured data. A promising future research direction is to explore graph-based RAG methods for heterogeneous knowledge sources.
- ▶ <u>O5.</u> The well-known graph systems, such as Neo4j [58], and Nebula [57] has supported transfer the corpus into the graph. However, enabling these popular graph systems to support the various graph-based RAG methods presents an exciting opportunity.

In addition, more lessons and opportunities are reported in our technical report [66].

#### 9 RELATED WORKS

In this section, we review the related works, including Retrieval-Augmentation-Generation (RAG) frameworks, and LLMs for graph

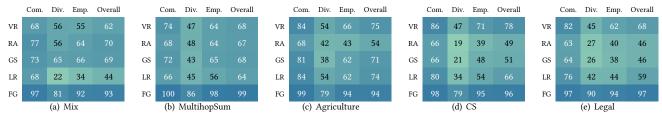


Figure 13: Comparison of our newly designed method on abstract QA datasets.

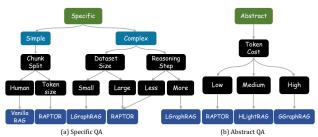


Figure 14: The taxonomy tree of RAG methods.

data management. Besides, works on employing LLM for enhancing database performance are also discussed.

**RAG frameworks.** RAG has been proven to excel in many tasks, including open-ended question answering [31, 70], programming context [5-7], SQL rewrite [42, 72], automatic DBMS configuration debugging [69, 92], and data cleaning [55, 56, 65]. The naive RAG technique relies on retrieving query-relevant information from external knowledge bases to mitigate the "hallucination" of LLMs. Recently, most RAG approaches [12, 21, 21, 22, 38, 63, 67, 67, 80, 81] have adopted graph as the external knowledge to organize the information and relationships within documents, achieving improved overall retrieval performance, which is extensively reviewed in this paper. In terms of open-source software, a variety of graph databases are supported by both the LangChain [35] and LlamaIndex [49] libraries, while a more general class of graph-based RAG applications is also emerging, including systems that can create and reason over knowledge graphs in both Neo4j [58] and Nebula-Graph [57]. For more details, please refer to the recent surveys of graph-based RAG methods [23, 63].

• LLMs for graph data management. Recent advances in LLMs have demonstrated superiority in natural language understanding and reasoning, which offers opportunities to leverage LLMs to graph data management. These include using LLMs for knowledge graph (KG) creation [80] and completion [85], as well as for the extraction of causal graphs [4] from source texts. They also include advanced methods by employing KG to enhance the LLM reasoning. For instance, KD-CoT [78] retrieves facts from an external knowledge graph to guide the CoT performed by LLMs. RoG [50] proposes a planning-retrieval-reasoning framework that retrieves reasoning paths from KGs to guide LLMs conducting faithful reasoning. To capture graph structure, GNNRAG [53] adopts a lightweight graph neural network to retrieve elements from KGs effectively. StructGPT [32] and ToG [71] treat LLMs as agents that interact with KGs to find reasoning paths leading to the correct answers.

• LLMs for database. Due to the wealth of developer experience captured in a vast array of database forum discussions, recent studies [5, 13, 20, 36, 42, 72, 92, 93] have begun leveraging LLMs to enhance database performance. For instance, GPTuner [36] proposes to enhance database knob tuning using LLM by leveraging domain knowledge to identify important knobs and coarsely initialize their values for subsequent refinement. Besides, D-Bot [92] proposes an LLM-based database diagnosis system, which can retrieve relevant knowledge chunks and tools, and use them to identify typical root causes accurately. The LLM-based data analysis systems and tools are also been studied [2, 8, 43–47, 62].

To the best of our knowledge, our work is the first study that provides a unified framework for all existing graph-based RAG methods and compares existing solutions comprehensively via empirical results.

#### 10 CONCLUSIONS

In this paper, we provide an in-depth experimental evaluation and comparison of existing graph-based Retrieval-Augmented Generation (RAG) methods. We first provide a novel unified framework, which can cover all the existing graph-based RAG methods, using an abstraction of a few key operations. We then thoroughly analyze and compare different graph-based RAG methods under our framework. We further systematically evaluate these methods from different angles using various datasets for both specific and abstract question-answering (QA) tasks, and also develop variations by combining existing techniques, which often outperform state-of-the-art methods. From extensive empirical results and analysis, we have identified several important findings and analyzed the critical components that affect the performance. In addition, we have summarized the lessons learned and proposed practical research opportunities that can facilitate future studies.

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#### A ADDITIONAL EXPERIMENTS

#### A.1 More analysis

In this Section, we present a more detailed analysis of graph-based RAG methods from the following angles.

▶ Exp.1. Effect of the chunk size. Recall that our study includes some datasets that are pre-split by the export annotator. To investigate this impact, we re-split the corpus into multiple chunks based on token size for these datasets instead of using their original chunks. Here, we create three new datasets from HotpotQA, PopQA, and ALCE, named HotpotAll, PopAll, and ALCEAll, respectively.

For each dataset, we use Original to denote its original version and New chunk to denote the version after re-splitting. We report the results of graph-based RAG methods on both the original and new version datasets in Figure 15, we can see that: (1) The performance of all methods declines, mainly because rule-based chunk splitting (i.e., by token size) fails to provide concise information as effectively as expert-annotated chunks. (2) Graph-based methods, especially those relying on TKG and RKG, are more sensitive to chunk quality. This is because the graphs they construct encapsulate richer information, and coarse-grained chunk splitting introduces potential noise within each chunk. Such noise can lead to inaccurate extraction of entities or relationships and their corresponding descriptions, significantly degrading the performance of these methods. (3) As for token costs, all methods that retrieve chunks incur a significant increase due to the larger chunk size in New chunk compared to Original, while other methods remain stable. These findings highlight that chunk segmentation quality is crucial for the overall performance of all RAG methods.

- ▶ Exp.2. Effect of the base model. In this experiment, we evaluate the effect of the LLM backbone by replacing Llama-3-8B with Llama-3-70B [11] on the MultihopQA and ALCEAll datasets. We make the following observations: (1) All methods achieve performance improvements when equipped with a more powerful backbone LLM (i.e., Llama-3-70B) compared to Llama-3-8B. For example, on the ALCEALL dataset, replacing the LLM from 8B to 70B, ZeroShot improves STRREC, STREM, and STRHIT by 102.1%, 94.2%, and 101.7%, respectively. (2) Our proposed method, VGraphRAG, consistently achieves the best performance regardless of the LLM backbone used. For example, on the MultihopQA dataset, VGraphRAG with Llama-3-70B achieves 7.20% and 12.13% improvements over RAPTOR with Llama-3-70B in terms of Accuracy and Recall, respectively. (3) Under both Llama-3-70B and Llama-3-8B, while all methods show improved performance, they exhibit similar trends to those observed with Llama-3-8B. For instance, RAPTOR remains the best-performing method among all existing graph-based RAG approaches, regardless of the LLM used.
- ▶ Exp.3. The size of graph. For each dataset, we report the size of five types of graphs in Table 13. We observe that PG is typically denser than other types of graphs, as they connect nodes based on shared entity relationships, where each node represents a chunk in PG. In fact, the probability of two chunks sharing at least a few entities is quite high, leading to a high graph density (i.e., the ratio of edges to nodes), sometimes approaching a clique (fully connected

graph). In contrast, KG, TKG, and RKG are much sparser since they rely entirely on LLMs to extract nodes and edges. This sparsity is primarily due to the relatively short and incomplete outputs typically generated by LLMs, which miss considerable potential node-edge pairs. Interestingly, the size or density of the constructed graph has not shown a strong correlation with the final performance of graph-based RAG methods. This observation motivates us to explore a method for evaluating the quality of the constructed graph before using it for LLM-based question answering.

#### A.2 Additional results on token costs.

As shown in Figure 16, we present the proportions of token costs for the additional eight datasets, which exhibit trends similar to those observed in HotpotQA and ALCE.

# A.3 Additional results of generation token

As shown in Figure 17, we present the average token costs for prompt tokens and completion tokens across all questions in all specific QA datasets. We can observe that the running time of each method is highly proportional to the completion token costs, which aligns with the computational paradigm of the Transformer architecture.

#### A.4 Evaluation metrics

This section outlines the metrics used for evaluation.

- Metrics for specific QA Tasks. We use accuracy as the evaluation metric, based on whether the gold answers appear in the model's generated outputs, rather than requiring an exact match, following the approach in [3, 52, 68]. This choice is motivated by the uncontrollable nature of LLM outputs, which often makes it difficult to achieve exact matches with standard answers. Similarly, we prefer recall over precision as it better reflects the accuracy of the generated responses.
- Metrics for abstract QA Tasks. Building on existing work, we use an LLM to generate abstract questions, as shown in Figure 18. Defining ground truth for abstract questions, especially those involving complex high-level semantics, presents significant challenges. To address this, we adopt an LLM-based multi-dimensional comparison method, inspired by [12, 21], which evaluates comprehensiveness, diversity, empowerment, and overall quality. We use a robust LLM, specifically GPT-40, to rank each baseline in comparison to our method. The evaluation prompt used is shown in Figure 19.

#### A.5 Implementation details

In this subsection, we present more details about our system implementation. Specifically, we use HNSW [51] from Llama-index [49] (a well-known open-source project) as the default vector database for efficient vector search. In addition, for each method, we optimize efficiency by batching or parallelizing operations such as encoding nodes or chunks, and computing personalized page rank, among others, during the retrieval stage.

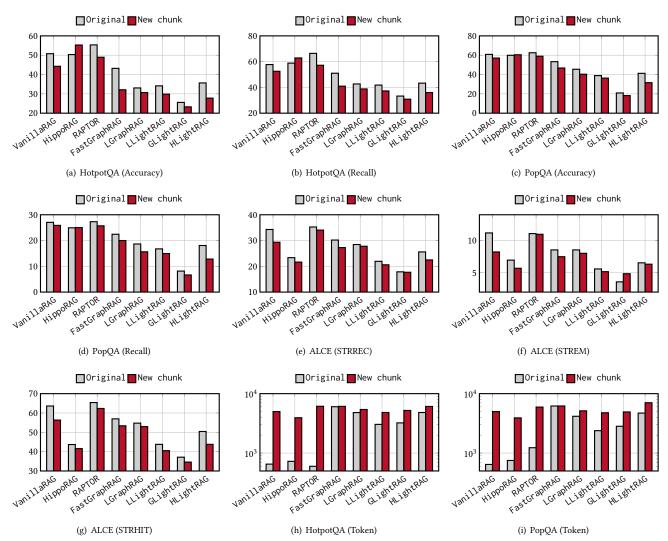


Figure 15: Effect of chunk quality on the performance of specific QA tasks.

#### **B** MORE DISCUSSIONS.

#### **B.1** New operators

Here, we introduce the operators that are not used in existing graph-based RAG methods but are employed in our newly designed state-of-the-art methods.

**Chunk Type.** We include a new operator VDB of chunk type, which is used in our VGraphRAG method. This operator is the same as the chunk retrieval strategy of VanillaRAG.

**Community Type.** We also include a new operator VDB of community type, retrieving the top-*k* communities by vector searching, where the embedding of each community is generated by encoding its community report.

#### **B.2** More Lessons and Opportunities

In this section, we show the more lessons and opportunities learned from our study.

Lessons

- ▶ <u>L6.</u> For large datasets, both versions of the GraphRAG methods incur unacceptable token costs, as they contain a large number of communities, leading to high costs for generating community reports.
- ▶ <u>L7.</u> Regardless of whether the questions are specific or abstract, they all rely on an external corpus (i.e., documents). For such questions, merely using graph-structure information (nodes, edges, or subgraphs) is insufficient to achieve good performance.
- ▶ <u>L8.</u> Methods designed for knowledge reasoning tasks, such as DALK, ToG, and G-retriever, do not perform well on document-based QA tasks. This is because these methods are better suited for extracting reasoning rules or paths from well-constructed KGs. However, when KGs are built from raw text corpora, they may not accurately capture the correct reasoning rules, leading to suboptimal performance in document-based QA tasks.
- ▶ <u>L9.</u> The effectiveness of RAG methods is highly impacted by the relevance of the retrieved elements to the given question. That is, if the retrieved information is irrelevant or noisy, it may degrade

Table 12: The specific QA performance comparison of graph-based RAG methods with different LLM backbone models.

Method	LLM backbone	Multiho	pQA	ALCEAll			
	DENT SUCKES ONE	Accuracy	Recall	STRREC	STREM	STRHIT	
Zeroshot	Llama-3-8B	49.022	34.256	15.454	3.692	30.696	
	Llama-3-70B	55.908	52.987	31.234	7.170	61.920	
VanillaRAG	Llama-3-8B	50.626 36.918		29.334 8.228		56.329	
	Llama-3-70B	56.768 49.127		34.961 9.810		68.038	
HippoRAG	Llama-3-8B	53.760	47.671	21.633	5.696	41.561	
	Llama-3-70B	57.277	57.736	32.904	9.916	32.534	
RAPTOR	Llama-3-8B	56.064	44.832	34.044	10.971	62.342	
	Llama-3-70B	<b>63.028</b>	<b>61.042</b>	37.286	12.236	<b>68.671</b>	
FastGraphRAG	Llama-3-8B	52.895	44.278	27.258	7.490	53.376	
	Llama-3-70B	54.069	55.787	35.658	12.236	65.612	
LGraphRAG	Llama-3-8B	55.360	50.429	27.785	8.017	52.954	
	Llama3 70B	58.060	55.390	34.256	10.232	66.561	
VGraphRAG	Llama-3-8B	59.664	50.893	35.213	11.603	64.030	
	Llama-3-70B	<b>67.567</b>	<b>68.445</b>	<b>37.576</b>	<b>12.447</b>	<b>69.198</b>	

Table 13: The size of each graph type across all datasets.

	Tree		PG		KG		TKG		RKG	
Dataset	# of vertices	# of edges								
MultihopQA	2,053	2,052	1,658	564,446	35,953	37,173	12,737	10,063	18,227	12,441
Quality	1,862	1,861	1,518	717,468	28,882	30,580	10,884	8,992	13,836	9,044
PopQA	38,325	38,324	32,157	3,085,232	260,202	336,676	179,680	205,199	188,946	215,623
MusiqueQA	33,216	33,215	29,898	3,704,201	228,914	295,629	153,392	183,703	149,125	188,149
HotpotQA	73,891	73,890	66,559	13,886,807	511,705	725,521	291,873	401,693	324,284	436,362
ALCE	99,303	99,302	89,376	22,109,289	610,925	918,499	306,821	475,018	353,989	526,486
Mix	719	718	1,778	1,225,815	28,793	34,693	7,464	2,819	7,701	3,336
MultihopSum	2,053	2,052	N/A	N/A	N/A	N/A	12,737	10,063	18,227	12,441
Agriculture	2,156	2,155	N/A	N/A	N/A	N/A	15,772	7,333	17,793	12,600
CS	2,244	2,243	N/A	N/A	N/A	N/A	10,175	6,560	12,340	8,692
Legal	5,380	5,379	N/A	N/A	N/A	N/A	15,034	10,920	16,565	17,633

the LLM's performance. When designing new graph-based RAG methods, it is crucial to evaluate whether the retrieval strategy effectively retrieves relevant information for the given question.

#### **Opportunities**

- ▶ <u>O6</u>. An interesting future research direction is to explore more graph-based RAG applications. For example, applying graph-based RAG to scientific literature retrieval can help researchers efficiently extract relevant studies and discover hidden relationships between concepts. Another potential application is legal document analysis, where graph structures can capture case precedents and legal interpretations to assist in legal reasoning.
- ▶ <u>O7.</u> The users may request multiple questions simultaneously, but existing graph-based RAG methods process them sequentially. Hence, a promising future direction is to explore efficient scheduling strategies that optimize multi-query handling. This could involve batching similar questions or parallelizing retrieval.
- ▶ <u>O8.</u> Different types of questions require different levels of information, yet all existing graph-based RAG methods rely on fixed,

predefined rules. How to design an adaptive mechanism that can address these varying needs remains an open question.

▶  $\underline{O9}$ . Existing methods do not fully leverage the graph structure; they typically rely on simple graph patterns (e.g., nodes, edges, or k-hop paths). Although GraphRAG adopts a hierarchical community structure (detecting by the Leiden algorithm), this approach does not consider node attributes, potentially compromising the quality of the communities. That is, determining which graph structures are superior remains an open question.

#### **B.3** Benefit of our framework

Our framework offers exceptional flexibility by enabling the combination of different methods at various stages. This modular design allows different algorithms to be seamlessly integrated, ensuring that each stage—such as *graph building*, and *retrieval&generation*—can be independently optimized and recombined. For example, methods like HipporakG, which typically rely on KG, can easily be adapted to use RKG instead, based on specific domain needs.

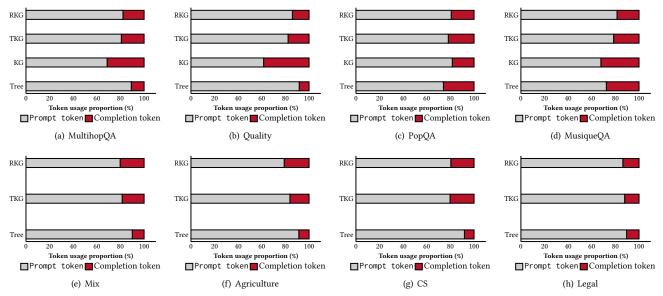


Figure 16: Proportion of the token costs for prompt and completion in graph building stage across all datasets.

In addition, our operator design allows for simple modifications—often just a few lines of code—to create entirely new graph-based RAG methods. By adjusting the retrieval stage or swapping components, researchers can quickly test and implement new strategies, significantly accelerating the development cycle of retrieval-enhanced models.

The modular nature of our framework is further reinforced by the use of retrieval elements (such as node, relationship, or subgraph) coupled with retrieval operators. This combination enables us to easily design new operators tailored to specific tasks. For example, by modifying the strategy for retrieving given elements, we can create customized operators that suit different application scenarios.

By systematically evaluating the effectiveness of various retrieval components under our unified framework, we can identify the most efficient combinations of graph construction, indexing, and retrieval strategies. This approach enables us to optimize retrieval performance across a range of use cases, allowing for both the enhancement of existing methods and the creation of novel, state-of-the-art techniques.

Finally, our framework contributes to the broader research community by providing a standardized methodology to assess graph-based RAG approaches. The introduction of a unified evaluation testbed ensures reproducibility, promotes fair a benchmark, and facilitates future innovations in RAG-based LLM applications.

#### **B.4** Limitations

In our empirical study, we put considerable effort into evaluating the performance of existing graph-based RAG methods from various angles. However, our study still has some limitations, primarily due to resource constraints. (1) Token Length Limitation: The primary experiments are conducted using Llama-3-8B with a token window size of 8k. This limitation on token length restricted the model's

ability to process longer input sequences, which could potentially impact the overall performance of the methods, particularly in tasks that require extensive context. Larger models with larger token windows could better capture long-range dependencies and deliver more robust results. This constraint is a significant factor that may affect the generalizability of our findings. (2) Limited Knowledge Datasets: Our study did not include domain-specific knowledge datasets, which are crucial for certain applications. Incorporating such datasets could provide more nuanced insights and allow for a better evaluation of how these methods perform in specialized settings. (3) Resource Constraints: Due to resource limitations, the largest model we utilized is Llama-3-70B, and the entire paper consumes nearly 10 billion tokens. Running larger models, such as GPT-40 (175B parameters or beyond), would incur significantly higher costs, potentially reaching several hundred thousand dollars depending on usage. While we admit that introducing more powerful models could further enhance performance, the 70B model is already a strong choice, balancing performance and resource feasibility. That is to say, exploring the potential of even larger models in future work could offer valuable insights and further refine the findings. (4) Prompt Sensitivity: The performance of each method is highly affected by its prompt design. Due to resource limitations, we did not conduct prompt ablation studies and instead used the available prompts from the respective papers. Actually, a fairer comparison would mitigate this impact by using prompt tuning tools, such as DSPy [33], to customize the prompts and optimize the performance of each method.

These limitations highlight areas for future exploration, and overcoming these constraints would enable a more thorough and reliable evaluation of graph-based RAG methods, strengthening the findings and advancing the research.

#### C PROMPT

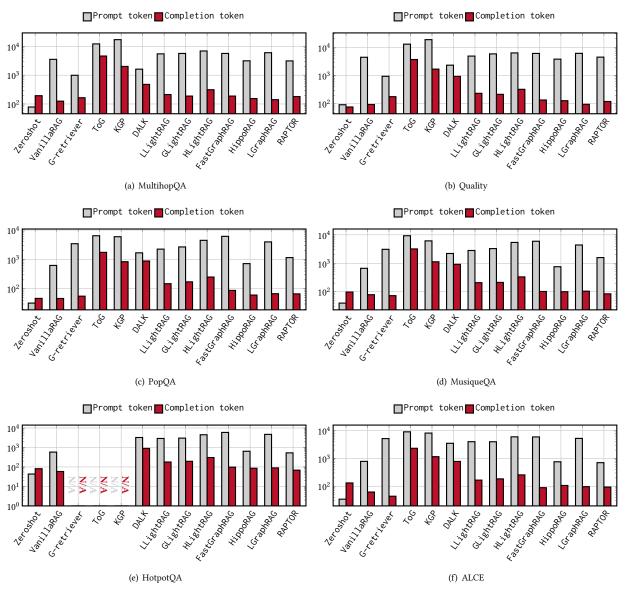


Figure 17: Token costs for prompt and completion tokens in the generation stage across all datasets.

## **Prompt:** Given the following description of a dataset: {description} Please identify 5 potential users who would engage with this dataset. For each user, list 5 tasks they would perform with this dataset. Then, for each (user, task) combination, generate 5 questions that require a high-level understanding of the entire dataset. Output the results in the following structure: - User 1: [user description] - Task 1: [task description] - Question 1: - Question 2: - Question 3: - Question 4: - Question 5: - Task 2: [task description] - Task 5: [task description] - User 2: [user description] - User 5: [user description] Note that there are 5 users and 5 tasks for each user, resulting in 25 tasks in total. Each task should have 5 questions, resulting in 125 questions in total. The Output should present the whole tasks and questions for each user.

Prompt for generating abstract questions

Output:

Figure 18: The prompt for generating abstract questions.

#### Prompt for LLM-based multi-dimensional comparison

#### **Prompt:**

You will evaluate two answers to the same question based on three criteria: Comprehensiveness, Diversity, Empowerment, and Directness.

- Comprehensiveness: How much detail does the answer provide to cover all aspects and details of the question?
- Diversity: How varied and rich is the answer in providing different perspectives and insights on the question?
- Empowerment: How well does the answer help the reader understand and make informed judgments about the topic?
- Directness: How specifically and clearly does the answer address the question?

For each criterion, choose the better answer (either Answer 1 or Answer 2) and explain why. Then, select an overall winner based on these four categories.

```
Here is the question:
```

```
Question: {query}
Here are the two answers:
    Answer 1: {answer1}
    Answer 2: {answer2}
Evaluate both answers using the four criteria listed above and provide detailed explanations for each criterion. Output
your evaluation in the following JSON format:
{
    "Comprehensiveness": {
        "Winner": "[Answer 1 or Answer 2]",
        "Explanation": "[Provide one sentence explanation here]"
    "Diversity": {
        "Winner": "[Answer 1 or Answer 2]",
        "Explanation": "[Provide one sentence explanation here]"
    },
    "Empowerment": {
        "Winner": "[Answer 1 or Answer 2]",
        "Explanation": "[Provide one sentence explanation here]"
    },
    "Overall Winner": {
        "Winner": "[Answer 1 or Answer 2]",
        "Explanation": "[Briefly summarize why this answer is the overall winner]"
    }
Output:
```

Figure 19: The prompt for the evaluation of abstract QA.