



Star Cluster Phase Mixing in a Milky Way-like Background Potential

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Abstract

Galaxies form in what is known as a hierarchical process, where smaller galaxies are accreted by bigger ones. The Milky Way's growth throughout its formation history can therefore be attributed to the absorption of smaller galaxies in the Local Group. During such absorption events, star clusters will be subjected to tidal forces that are in some cases strong enough to smear them out onto kiloparsec scales. As we explore our home galaxy with immense time-domain surveys like *Gaia* and LSST, galactic archaeologists will be looking for galaxy merger artifacts like stellar streams and trying to determine their origins. It is common to use phase space coordinate maps in these contexts, and we present a quantitative study of how external factors affect the phase mixing of star clusters in a Milky Way-like galaxy. **Something about the results.** This is achieved with a set of synthetic data cultivated from a simulation of star clusters moving through a Milky-Way like potential that employs gravity solvers compatible with the AMUSE environment.

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Introduction

1.1 Structure Growth & Galactic Archaeology

The question of where the Milky Way (MW) comes from and why it looks the way it does largely rests upon the foundation that was provided by quantum fluctuations during the time of inflation shortly after the Big Bang. This exponential growth model helps explain, among other things, the flatness of the Universe and lack of observed magnetic monopoles. Inflation also provides a model from which we can understand how initial anisotropies can develop into the complex hierarchical structure we observe at the present epoch. This in turn informs how we think about the development of galaxies like our own, and how artifacts from their formation history manifest.

1.1.1 Hierarchical Galaxy Formation Picture

A commonly used model for the initial set of mass fluctuations is scale-free; put another way, the power spectrum of fluctuations is directly inversely proportional to their size. Often referred to as the Harrison-Zel'dovich spectrum, this model agrees with an intuitive notion that there should be many more small fluctuations than large ones. The presence of dark matter (DM) and the Universe's changing equation-of-state, however, complicates this picture. Once the dominant underlying physics has been accounted for, e.g. the Universe becoming effectively transparent at the epoch of recombination and the presence of dark matter, the observed power spectrum emerges (1–3).

It can be shown from this set of mass fluctuations that the large-scale distri-

bution of matter forms a hierarchy (4) and in N -body simulations this structure persists on scales comparable to the Hubble time (5). Analytic models of gas and dark matter (6), as well as numerical simulations (7), demonstrate that dark matter haloes merge in a hierarchical process and provide the gravitational potential needed to form galaxies; see Figure 1.1. A MW-like stellar halo and appropriate dwarf galaxy population has been recovered from semianalytic models that follow this prescription (8).

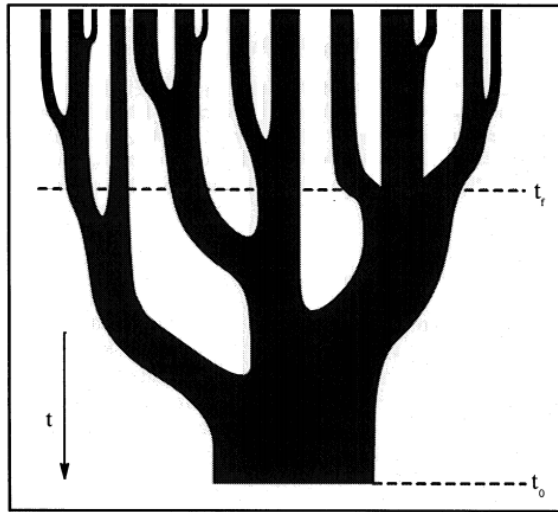


Figure 1.1: A sketch from (9) that illustrates how small dark matter haloes (at initial time t_f) coalesce into a single, larger halo (at final time t_0). Dwarf galaxies often form in smaller haloes and then accrete onto nearby galaxies, as was the case with the MW (10).

1.1.2 Tracing Galactic Substructures with Stellar Streams

Analyses of the Local Group (network of galaxies comprised of two main ones, M31 and the MW, along with many satellite dwarves) show that our home galaxy was constructed in a hierarchical process of this kind, and there are a number of ways in which artifacts from these mergers can be identified and analyzed. It is estimated that $\sim 10\%$ of the sky would be covered with tidal debris if the MW accreted a few hundred globular cluster-sized objects during its formation history (11).

In some cases there is a “tidal tail” that can still be found near its progenitor (12), a famous example being the Small Magellanic Cloud and Magellanic Stream (13). Some debris structures can be recovered in six-dimensional phase space

$\mathbf{w} \equiv (\mathbf{x}, \mathbf{v})$ long after complete tidal disruption and the structure spans tens of degrees along the sky. These objects, often called stellar streams, can tell us about the global (14) and local (15, 16) features of the dark matter halo. A spur in the GD-1 stream, for example, has been found using *Gaia* data (17) that could reasonably be explained by an interaction with a dark matter subhalo (18). Given that stellar streams are powerful tools for understanding the formation of our galaxy, it is important to understand factors that affect their morphologies; our study tries to understand the effect of cluster-cluster interactions on these structures in phase space coordinates.

1.2 The Milky Way

Our home galaxy is perhaps the most familiar object in the night sky, but our location within it makes certain analyses (especially pertaining to the optically thick galactic disk) difficult. Generally speaking, the MW is a spiral galaxy (19) with a “bar” passing through its nucleus (20). Star clusters and their consequent evolution can inform near-field cosmologists about how the Local Group environment affected MW growth and development, so an introduction of important features relevant to this work is warranted.

1.2.1 Haloes and Other MW Features

The geometry of the MW’s various components motivates our choice of a background gravitational potential in which our simulated star clusters evolve. Attributes relevant to this discussion (listed in terms of relevance for this study) are the dark matter halo, the diffuse stellar halo, the galactic center (bar + inactive nucleus), and galactic disk (most notably the spiral arms).

Analytic modelling of dark matter haloes is well established (21), including the notable NFW profile (22):

$$\frac{\rho(r)}{\rho_{\text{crit}}} = \frac{\delta_c}{(r/r_s)(1 + (r/r_s))^2}, \quad (1.1)$$

where r_s is a characteristic scale radius, δ_c is a tunable model parameter, and ρ_{crit} is the critical density of the Universe. This model assumes spherical symmetry, but a subset of Sloan Digital Sky Survey (SDSS) data has challenged this

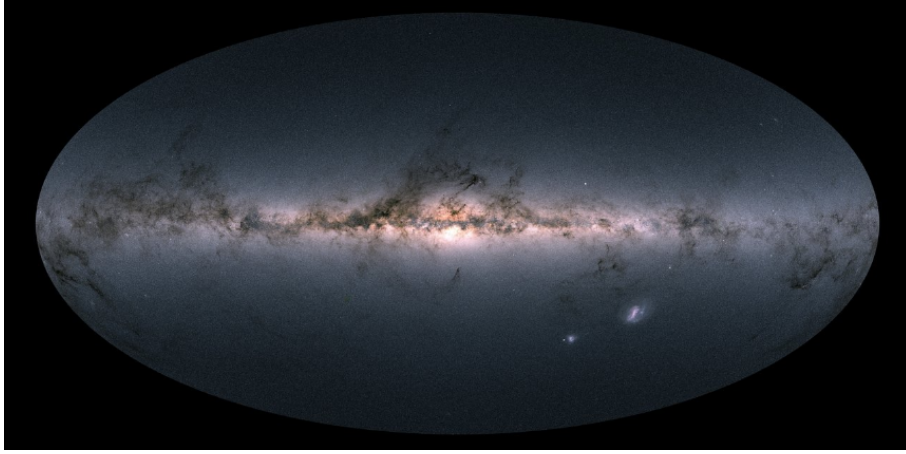


Figure 1.2: The MW, as observed by the *Gaia* mission. Time-domain surveys of this kind provide the basis from which galactic archaeologists can analyze stellar populations and galactic substructures such that the formation history of our home galaxy can be better understood (23). Image credit: ESA/*Gaia*/DPAC, CC BY-SA 3.0 IGO

assumption in the MW (24). With MW star number densities and velocity dispersions derived from mock stellar population surveys, the Jeans equations (25) demonstrate that the gravitational potential of the MW most appropriately fits an oblate (axisymmetric but not spherically symmetric) dark matter halo model (26). This type of analysis provides yet another motivation for using phase space coordinates (albeit indirectly) to constrain the geometry of gravitational potentials using large surveys; this will be the focus of the following section.

Globular clusters and stellar streams largely reside outside of the galactic plane in what is known as the stellar halo. SDSS data suggests that the MW's stellar halo is consistent with those from simulations in which the entire halo is built up with debris from tidally disrupted satellite galaxies (27). A recent study using *Gaia* data found that the total mass of the stellar halo is $\sim 1.5 \times 10^9 M_{\odot}$ ($\sim 10^{-3}$ of the total mass (28)) and is most accurately explained by a single dwarf galaxy progenitor (29).

The galactic center, which is opaque at several wavelengths (partially demonstrated in Figure 1.2), has several features that act as higher-order corrections to our proposed background potential. At the center of the MW is Sgr A^{*}, a super-massive black hole whose mass is constrained by observations of nearby orbiting stars (30). The galactic bulge has a mass comparable to the stellar halo and has a density profile that is mostly flat except at the innermost distances from the center (31). The peanut-shaped galactic bar is also important for the purposes of

analyzing tidal debris morphologies, as is the case with the Pal 5 and Ophiuchus streams (32, 33). Lastly, the galactic disk is largely irrelevant for this study but important updates to our understanding are expected in the near future thanks to the *Gaia* mission (34).

1.2.2 Star Clusters Before and After Tidal Disruption

There are two general classes of star clusters relevant to galactic astronomy, and they are partitioned by age, mass, and varial radius. Clusters younger than a few galaxy crossing times (~ 100 Myr) are often called open clusters or young massive clusters; initial stellar mass function and stellar dynamics studies are often dependent on these dynamical environments (35). For more than fifty years the standard for modelling star clusters has been the King model (36), which uses a single parameter W_0 reflective of depth of the cluster core's potential well, to generate a phase space distribution of member stars. Younger clusters have a halo that is well-approximated by a power law, so a King model should be approached with caution in those contexts (37).

Globular clusters are tightly bound groups of very old stars wherein any remaining gas and dust has long since dissipated. A common sentiment is that globular clusters are simply massive clusters that survived for a considerable fraction of the Hubble time. There are about 150 known MW globular clusters (38) with varying stellar populations. About one quarter of these globular clusters were accreted from nearby galaxies, while the rest were created *in situ* (39). One method of identifying globular clusters is by applying clustering algorithms to catalogs of RR Lyrae variable stars, a class of stars associated with globular clusters that are often used as standard candles in near-field cosmology (40).

In cases where the star cluster is tidally disrupted, as discussed in §1.1.2, a stellar stream is the end product. Omega Centauri, the most massive MW globular cluster, is the remnant of an accreted dwarf galaxy (41) and provides a helpful example of how streams can still be identified with the progenitor object. The Fimbulthul stream, which extends 28° away from ω Cen, is its tidal tail (42). The Pal 5 stream can be traced using RR Lyrae variable stars (43), yet another indication of the direct connection between globular clusters and stellar streams. Our goal is to help illuminate the evolution of star clusters as they are contorted by tidal forces provided by nearby star clusters as well as the background gravitational potential provided by the DM and stellar halos.

1.3 Simulation-to-Observation Comparisons

If not for having an eye towards the real world, this entire project would be little more than an intellectual exercise. It is critical that the simulations described in §2 (and anywhere, really) inform us about how nature works in some sense. In the context of our study, we must ensure that the initial star cluster phase space coordinates are reflective of what has been observed in the MW. When done properly, we can then make inferences about interacting star clusters using our simulated data set. After all, this is considerably easier than cultivating a comprehensive data set of all MW stars that were born in globular cluster-like systems.

A key component of galactic dynamics is constructing the distribution function $f(\mathbf{w})$, which serves as a probability density of particles in phase space (44):

$$N_{\text{stars}} = \int d\mathbf{w} f(\mathbf{w}), \quad (1.2)$$

$$\nu(\mathbf{x}) = \int d\mathbf{v} f(\mathbf{x}, \mathbf{v}), \quad (1.3)$$

where $\nu(\mathbf{x})$ is the spatial number density. There are few analytic expressions available for the distribution function; an ideal gas can be described using the Maxwell-Boltzmann distribution, but something more sophisticated is usually required in galactic contexts. This distribution function must satisfy the collisionless Boltzmann equation (i.e., conservation of number of stars),

$$0 = \partial_t f + \dot{\mathbf{w}} \partial_{\mathbf{w}} f, \quad (1.4)$$

and match observables like the brightness profile and rotation curve. We will focus on orbit-based methods, in which a library of orbits is created using simulations of particles moving through a fixed potential (45, 46). This can be done by minimizing a χ^2 statistic based on the distribution of orbit weights or with a “made-to-measure” N -body system that is guided towards matching the desired observed attributes while adjusting orbit weights. We employ the `galpy` Python package (47) to generate a set of star clusters consistent with the MW distribution function (to be discussed in more detail in §2).

1.4 Motivations and Brief Overview

A recent paper demonstrated that in order to understand how star clusters evolve via tidal disruption, capturing the effect of interactions with nearby star clusters is critical (48). While our approach is very similar, we want to provide further context using the language of phase mixing. Observables borrowed from the field of statistical physics will be dependent on external factors like background potential and number of other globular clusters in the system; it is important to establish cause-and-effect relations here. The question of how clusters “adopt” stars from one another can be analyzed with this treatment as well. After applying a hierarchical clustering algorithm to our synthetic data set (an established approach in galactic archaeology, see (49)), we can compare the results to our labelled data.

In §2 we discuss the computational techniques used to cultivate a suitable data set for the analysis of star cluster phase mixing. The language borrowed from the field of statistical physics that is used in this study is introduced in §3, and we present how certain quantities like phase-space density and entropy are affected by varying the galactic model. Unfortunately, stars do not come with a label telling us the progenitor from which they came; §4 is devoted to using machine learning tools, e.g. dimensionality reduction via an autoencoder, for the purpose of identifying tidally disrupted systems. The remaining chapters provide a discussion of our findings as well as a few examples of how we could use these results in future work.

Synthetic Data from Simulations

2.1 Gravity Solvers in AMUSE

The majority of the codes written for this thesis employ the AMUSE environment (50–53). A simple way of summarizing AMUSE is that it serves as a flexible Python wrapper for codes written in lower-level languages like C/C++ and Fortran. The following astrophysical phenomena can be incorporated into a user’s source code: gravitational dynamics, hydrodynamics, stellar evolution, and radiative transfer. Our focus will be on gravitation and stellar evolution, as star clusters are often too volatile to contain much gas and radiative transfer is too computationally expensive to be considered in this context as it is very much a higher-order correction.

2.1.1 *N*-body and Barnes-Hut Octtree Simulations

A helpful toy model that is often introduced in mechanics or computational physics courses is the *N*-body problem, in which *N* massive particles interact gravitationally. The equation of motion for the *i*th particle with mass m_i and position \mathbf{x}_i is a nonlinear, second-order differential equation:

$$m_i \ddot{\mathbf{x}}_i(t) = \mathbf{F}_i(t) = \sum_{j \neq i} \frac{G m_i m_j}{|\mathbf{x}_j(t) - \mathbf{x}_i(t)|^3} (\mathbf{x}_j(t) - \mathbf{x}_i(t)), \quad (2.1)$$

where the sum goes over all of the other particles. An analytic solution for $\mathbf{x}_i(t)$ is only available for $N \leq 3$ in some cases, but if the initial conditions for

all particles $\{\mathbf{w}_i(t=0)\}$ are known, then equation (2.1) can be solved iteratively. Approximations must be made, however, as we cannot compute the momentum and position via direct integration. One rudimentary approach is computing the force, and then updating the velocity/position vectors appropriately for each particle at time steps separated by an interval Δt :

$$\dot{\mathbf{x}}_i(t + \Delta t) \leftarrow \dot{\mathbf{x}}_i(t) + \frac{1}{m_i} \mathbf{F}_i \times \Delta t, \quad (2.2)$$

$$\mathbf{x}_i(t + \Delta t) \leftarrow \mathbf{x}_i(t) + \dot{\mathbf{x}}_i(t) \times \Delta t. \quad (2.3)$$

This method is clearly dependent on the choice of Δt , and the total energy of the system is not conserved. One way to mitigate this problem is with symplectic integration, which preserves the phase space volume of the system. By interleaving updates to the position and velocity vectors, each iteration serves as a combination of predictor and corrector. We use the AMUSE gravity solver Hermite (54); while not a symplectic integrator, it is close enough such that it can be employed here.

The direct N -body algorithm generally has complexity $O(N^2)$, which becomes unacceptably slow in the limit of large N . A clever improvement, called the Barnes-Hut octree (55), achieves computational complexity $O(N \log_2 N)$. This is done by constructing a tree-like data structure where the root node is the entire d -dimensional simulation volume; if a particular branch has more particles than a dictated threshold, it is divided into 2^d subbranches (see Figure 2.1).

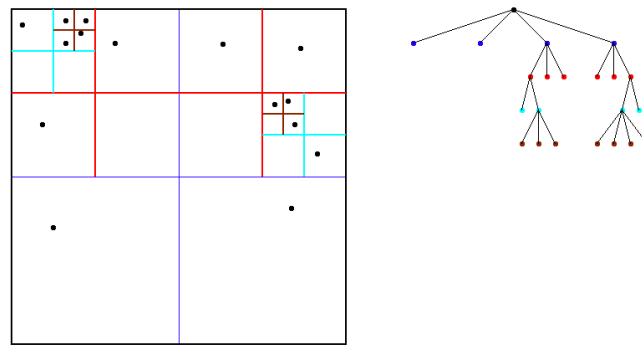


Figure 2.1: A 2-dimensional quadtree (see James Demmel's lecture notes <https://people.eecs.berkeley.edu/~demmel/cs267/lecture26/lecture26.html>) in which the maximum number of particles in a leaf node is 1.

Once the tree is created, the force on each particle is computed. The mass mul-

tipole moment of each leaf node is determined, and if $\theta \equiv \ell_{\text{box}} / |\mathbf{x}_i - \mathbf{x}_{\text{box COM}}|$ is less than a user-prescribed value, then the multipole moment approximation is employed for that box. If we wanted to explore MW dynamics that incorporate the rest of the Local Group with an octree, for example, M31 satellite galaxies would probably be treated as point particles. Our codes use BHTree, an implementation of the octree formalism compatible with AMUSE.

2.1.2 Bridging Gravity Solvers with the Background Potential

In reality, equation (2.1) is incomplete. While it would be appropriate if we were only concerned with the gravitational interaction between stars, the background potential provided by the MW must be incorporated if our results are to be compared to observations. A helpful description of how this bridging is handled in AMUSE is provided in (50, 56), and we repeat a few of the key points here. The dynamical state of a particular particle $g(t)$ evolves in time using the Poisson bracket and the Hamiltonian:

$$\frac{dg}{dt} = \{g, H\}, \quad (2.4)$$

$$\equiv D_H g. \quad (2.5)$$

If the Hamiltonian is separable, i.e. $H = H_{\text{int}} + H_{\text{ext}}$, the time evolution can be written using a K th-order approximation in the following way:

$$g(t + \delta t) = \exp(\delta t D_H) g(t), \quad (2.6)$$

$$\simeq \left[\prod_{i=1}^K \exp(a_i \delta t D_{H,\text{int}}) \exp(b_i \delta t D_{H,\text{ext}}) \right] g(t). \quad (2.7)$$

where $H_{\text{int, ext}}$ are the Hamiltonians of the sub worker system and parent worker system, respectively. Equation (2.7) is symplectic, so the phase space volume will be conserved for the entire system. This can then be used to construct a leapfrog integrator such that the global system (all particles and background potential) and local system (particle interactions) are evolved in an interleaved fashion. The background potential is provided by `galpy.potential.MWPotential2014`, a realistic galactic bulge model constrained by recent MW kinematic observations.

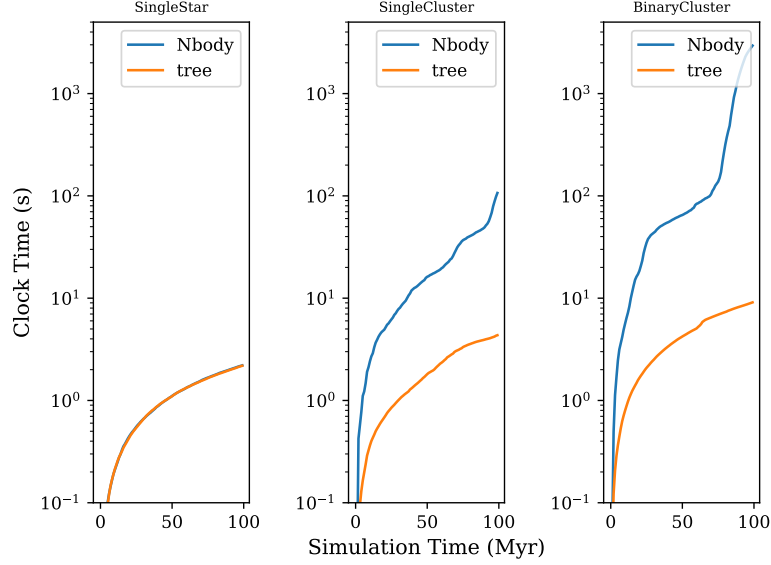


Figure 2.2: The clock time for each aforementioned gravity solver in which a single star, single $100M_{\odot}$ star cluster, and binary cluster are evolved for 100 Myr. Self-interactions and interactions with the background potential are included.

2.1.3 Nemesis

For 128 star clusters (the largest number considered in this work), $N \simeq 10^5$; the speed of an octree simulation would beat that of a naïve N -body approach by a factor of $\sim 10^4$. There is a trade-off, however, with octree accuracy that is encapsulated by the choice of θ_{\max} . If too many boxes are treated with the center-of-mass approximation, then the force will not be accurate; If $\theta_{\max} = 0$ and the number of maximum allowed particles in each box is 1, then the N -body force computation result is recovered.

In order to utilize the accuracy of N -body approaches and the speed of tree codes, we use an AMUSE-compatible solver called *Nemesis* (57). This solver is ideally suited for environments in which many of the particles belong to “sub-systems” (i.e., star clusters) while the rest can be thought of as field particles. The so-called “parent worker”, which operates on the scales at which field particles interact with star clusters, will be an N -body solver. The “sub worker”, by contrast, will solve the internal dynamics of the clusters themselves. SOMETHING ABOUT COMPARISONS BETWEEN THESE THINGS USING FIGURES.

2.2 Star Cluster Initial Conditions in Phase Space

For each simulation a prescribed number of star clusters need to be initialized. In each case we use a King model with parameter $W_0 = 1.5$. The cluster mass is taken from a cluster mass function with power law $\alpha \simeq -2$ (58). Each star is given a mass consistent with the Salpeter mass distribution (59); the number of stars is then determined by a collection of Salpeter-distributed stars whose collective mass is within 1% of the desired cluster mass value. The publicly available AMUSE function then generates particles with appropriate attributes.

The initial location of each star is taken from a distribution function consistent with the Milky Way (60), such that they are distributed within 1 kpc of the galactic center and according to the gravitational potential of the Milky Way. Once the spatial location of each star cluster has been established, we must begin using the language of action-angle variables in order to get the appropriate velocities $\mathbf{v} \equiv v_r \hat{r} + v_\phi \hat{\phi} + v_z \hat{z}$. (61). If we assume that the orbits are non-chaotic (i.e., quasi-periodic), then each action is

$$J_i = \frac{1}{2\pi} \oint_{\gamma_i} \mathbf{v} \cdot d\mathbf{x}. \quad (2.8)$$

where γ_i is the torus on which the i th orbit is defined (62). The conjugate angle θ_i has the equation of motion

$$\frac{d\theta_i}{dt} \equiv \Omega_i, \quad (2.9)$$

$$\Omega_i = \partial_{J_i} H(\{J_i\}), \quad (2.10)$$

where $H(\{J_i\})$ is the Hamiltonian in terms of the set of relevant actions. The galpy package generates sets of action-angle pairs using the Stäckel approximation (63, 64), which employs an axisymmetric potential Φ_S written in terms of a coordinate system (u, v) connected to our original cylindrical coordinate system (r, z) via the following generating function:

$$S(p_r, p_z, u, v) = p_r r(u, v) + p_z z(u, v). \quad (2.11)$$

From these action-angle variables a quasi-isothermal distribution function can

be created (65):

$$f_z(J_z) = \frac{(\Omega_z J_z + V_\gamma^2)^{-\gamma}}{2\pi \int_0^\infty dJ_z (\Omega_z J_z + V_\gamma^2)^{-\gamma}}, \quad (2.12)$$

$$f_r(J_r, L_z) = \exp\left(-\left[\lim_{J_r \rightarrow 0} \Omega_r(J_r, L_z)\right] \frac{J_r}{\sigma_r^2}\right), \quad (2.13)$$

where V_γ, γ are empirically-derived constants and σ_r^2 is the velocity dispersion in the r -direction. We employ the relevant galpy packages to sample velocities from these distribution functions and do not change the underlying constants so as to find the appropriate velocity vector \mathbf{v} .

2.3 Experimental Setup

We have a set of eight simulations, where the number of initial star clusters is $\log_2 N_{\text{clusters}} = 0, 1, \dots, 7$. The initial conditions of each star cluster are saved such that the first cluster is in each simulation, the second cluster is in all but one simulation, and so on. The total mass, number of stars, and initial phase space coordinates for each of the star clusters are shown in Table 8.1, and the corresponding cumulative distribution functions are shown in Figure 2.3.

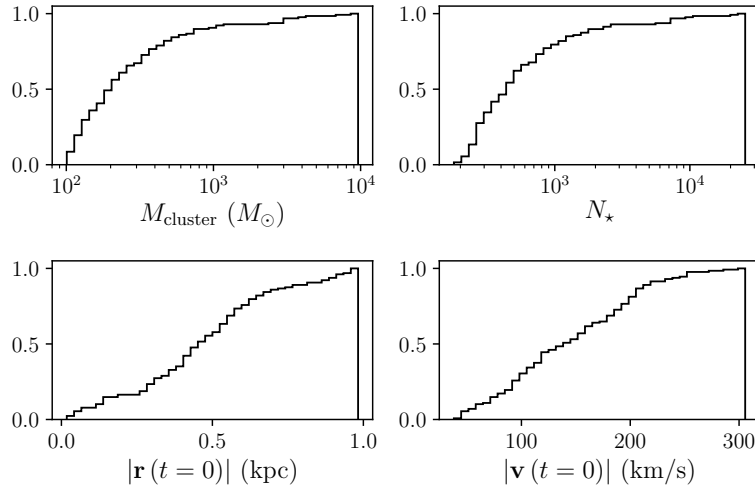


Figure 2.3: The cumulative distribution function for each attribute provided in Table 8.1.

Statistical Properties of Tidally Disrupted Star Clusters

In §2, we establish that the distribution function $f(\mathbf{w})$ is an important tool in analyzing the evolution of dynamical systems. The Liouville theorem (of which the Collisionless Boltzmann Equation stated in §1 is a special case) states that the distribution function obeys the continuity equation (66),

$$\partial_t f + \{f, H\} = 0. \tag{3.1}$$

This theorem suggests that the evolution of a classical system governed by Hamilton's equations must be deterministic, or that no information about the system is lost (67). A set of star clusters moving through the Milky Way is a classical system that should obey the Liouville theorem; this motivates our interest in computing the distribution function as it evolves so as to confirm the integrity of our simulations. Several diagnostics, including the dimension (68) and information entropy (62), provide a quantitative measure of each system's phase-mixing.

3.1 Phase Space Densities of Discrete Samples

In order to estimate the distribution function describing the phase space volume occupied by the star clusters we have simulated, we must develop a pipeline that takes a set of phase space coordinates and produces a distribution function at each relevant phase space coordinate. To start, we constructed an interface

such that an AMUSE particle set could be given as input into the EnBiD package (69). EnBiD extracts data from a set of phase space coordinates by allocating each particle into a k-d tree that is metric free. The provided output is then a phase space density estimate at each phase coordinate of the given particles; we chose a suggested set of parameters that made the estimates kernel-smoothed.

While there is an option of providing particle masses, we want to estimate the number density which is equivalent to the distribution function as shown by Equation (1.2). In order to estimate $f(\mathbf{w})$, we employ an interpolation scheme from the `scipy` package. The final data product is then a six-dimensional matrix that gives a distribution function value at each phase space coordinate within a grid encapsulating a provided phase space volume.

It would be desirable to construct a distribution function map for the entire MW, but this approach is far too data-intensive. In order to avoid crowding of sample points into just a few phase space volume cells, a spatial resolution of $\Delta x \sim 0.01$ kpc and velocity resolution of $\Delta v \sim 1$ km/s would be required. If we were to explore a phase space volume with spatial domains $\in [-5, 5]$ kpc and velocity domains $\in [-300, 300]$ km/s, it would require a grid with $\sim 10^9$ elements. If each distribution function value is a 32-bit floating point number, we would need roughly one terabyte worth of storage space in order to capture each experiment's output at a reasonable time interval. As a solution, we propose treating each cluster separately; many of them will evolve in such a way that their phase space volume is comparatively small, so fewer distribution function values will need to be stored while maintaining a sufficiently high resolution.

3.2 Dimension, Entropy

Phase-mixed structures like star clusters can be thought of as a D -dimensional manifold embedded within an N -dimensional space such that $D \leq N$. For the purposes of our work, $N = 6$. Observers will often talk about a structure's dimensionality K , whose value is determined by the number of observables required to see the projection of the object. We will return to the idea of observed dimensionality in §4, but for now we are concerned with determining a particular cluster's dimension.

Each star cluster is effectively a point particle ($D = 0$) in phase space at the beginning of each simulation. Any internal motion or displacement from the cluster's center is small compared to the initial phase space coordinates it has been assigned. Once the star cluster becomes tidally disrupted or disintegrates

entirely, the shape of the manifold will get more complicated and the dimension may increase. Our proposed method of evaluating the dimension is shown with the pseudocode script `dimension.py`:

```

1 def dimensions(t, clusters_init, clusters_t):
2
3     '''
4     creates a list of dimensions [D0, D1, ..., DN] for the N clusters
5     provided as input
6     '''
7
8     dimension_list = []
9     clusters_init: D array containing phase space coordinates at time t
10    = 0
11    clusters_t: D array containing phase space coordinates at time t =
12    t
13
14    def domain(m, cluster):
15        #use 2 standard deviations as bound, avoids outliers
16        return percentile(cluster[m], 97.8) - percentile(clst[m], 2.2)
17
18    #determine initial size of each cluster in phase space
19    dxs_init = [ domain(x, cluster) for cluster in clusters_init]
20    ...
21    dvzs_init = [ domain(vz, cluster) for cluster in clusters_init ]
22
23    for j, cluster in enumerate(clusters_t):
24
25        D = 0
26        if domain(x, cluster) > 2 * dxs_init[j]:
27            D += 1
28        ...
29        if domain(vz, cluster) > 2 * dvzs_init[j]:
30            D += 1
31        dimension_list.append(D)
32
33    return dimension_list

```

dimension.py

In order to define the initial structure size, we determine the range of values in each direction at time $t = 0$ using 2σ percentiles such that outliers are avoided. We then compute the manifold size at time $t = t$, and if a particular direction's range has grown to larger than twice the initial value, we posit that the structure's presence in that particular direction is no longer negligible. The cluster's

dimension D , as we have defined it, is the number of directions in which the structure's extent has markedly grown since its initialization. It is worth noting that this is not exactly the dimension of the manifold; a single loop, for example, would have dimension $D = 2$ in our formulation while actually being a one-dimensional structure in space. We will revisit the question of whether or not the structure's dimensionality K could recover the manifold's dimension via the usage of an autoencoder in §4.

The information entropy, written in terms of the distribution function (62), is

$$S = - \int d\mathbf{w} f(\mathbf{w}) \ln f(\mathbf{w}). \quad (3.2)$$

As mentioned in §3.1, we will make the approximation of partitioning the distribution function by cluster $\{f_i(\mathbf{w})\}$ and integrating over the relevant phase space volumes $\{V_i\}$:

$$S \simeq - \sum_{i=1}^{N_{\text{clusters}}} \int_{V_i} d\mathbf{w} f_i(\mathbf{w}) \ln f_i(\mathbf{w}). \quad (3.3)$$

The second law of thermodynamics states that the entropy of an isolated system never decreases in time, and will stay constant as long as each process is *reversible*. A single star orbiting through a smooth potential, for example, fits this criterion; the equation of motion is well-defined and the system can be reversed to its original state. Star clusters whose initial position is within the galactic center may very well have chaotic orbits (70), and the internal stellar dynamics may provide further complications.

These factors motivate our computation of the information entropy, as a function of time and number of initial star clusters. The numerical integration is achieved by separating the integration $\int d\mathbf{w} \rightarrow \int dx dy dz dv_x dv_y dv_z$ and applying Simpson's method, where the integrand is approximated by a set of interpolating polynomials constructed from sample points. Each volume V_i is tessellated into a grid with 10^6 elements uniformly spaced in each direction; this ensures that we reduce the amount of storage space needed by at least two orders of magnitude while computing the information entropy.

3.3 Orbital Fundamental Frequencies

(61), (69), (71), (72)

Phase Space Coordinate Maps and Related Classification Schemes

4.1 Reducing Dimensionality of Phase Space Maps

Potential autoencoder to reduce dimensionality of data points (6D to 2D), create an image based on this set of 2D ordered pairs? We could look at amount of loss, would probably be much easier to cluster in fewer dimensions.

4.2 Clustering Algorithms and Distance Metrics

4.2.1 Hierarchical Clustering

4.2.2 *k*-means

4.2.3 Gaussian Mixture Modelling

4.2.4 Distance Metrics

3D, 6D, potential $\geq 7D$

4.3 Finding "Adopted" Stars

Use an effective clustering algorithm and discuss the nature of the stars that get adopted by a different cluster.

4.4 Potential Image-Based Machine Learning Classification

Discussion

5.1 Comparison to the Literature

5.2 Future Work

Our focus has been on the phase space morphology of a collection of star clusters. As these clusters undergo tidal disruption, they are in effect progenitors of a stellar halo. In the introduction we mention that observations and simulations of the Milky Way indicate that the stellar halo is built up by accreting smaller objects like globular clusters and dwarf galaxies. The Andromeda Galaxy, the largest member of the Local Group, has a metallicity profile in the outer stellar halo consistent with smaller mergers of this kind (73). Moving forward, we plan on exploring phenomena related to the buildup of the Milky Way's stellar halo via tidal disruption of star clusters and dwarf galaxies. This can be done with AMUSE simulations, semi-analytic modelling, publicly available data from near-field cosmological simulations, and time-domain survey data. Potential projects include discerning which planetary systems can survive strong galactic tidal fields, determining the Local Group's dark matter merger tree in a Λ CDM framework, and developing connections between stellar halo buildup in cosmological simulations and features within the *Gaia*/VRO datasets.

5.2.1 Planetary Orbits in Star Clusters Undergoing Tidal Disruption

It has been demonstrated through simulations that planetary systems can thrive in a star cluster environment (74). An extension of the work done in this thesis could be an exploration of planetary dynamics in star clusters undergoing tidal disruption. The Oort Cloud's morphology is affected by the MW tidal field (75) and planets have been stripped from their parent star by MW tidal effects (76). Can habitable planets (77) maintain stable (i.e., persist on a timescale to the Hubble time) orbits in such an environment? This could be explored numerically (AMUSE) and analytically (treating the cluster stars and galactic bulge background as higher-order perturbations (78)).

5.2.2 The Local Group's DM Halo Merger Tree

We introduce the idea of a dark matter merger tree in §1.1.1, and there are several ways of constructing such a tree with the extended Press-Schechter (EPS) formalism (79). Near-field cosmologists often prune a branch of a cosmological simulation's very large merger tree if its characteristics are similar to the Local Group (80, 81); can the Local Group's DM halo merger tree be independently inferred using the Λ CDM framework? What are confounding factors to this approach? Is it worth developing a machine learning-based platform, inspired by (82), that discerns the Local Group merger tree using numerical simulations and the EPS formalism?

5.2.3 Connecting Stellar Halo Buildup in the Latte Simulations to Milky Way Observations

Chapter 6

Conclusion

Acknowledgments

The following Python packages were employed during this project and their continued maintenance is greatly appreciated: NumPy (83), matplotlib (84), pandas (85), astropy (86). Many thanks to my advisor Simon Portegies Zwart, whose guidance and advice were instrumental at every stage of this project. Everyone with whom I interacted at the Leiden Observatory over the last two years have made the astronomy master's program a tremendously rewarding experience and it has been a pleasure. To my parents, I could never adequately express how much I appreciate your endless encouragement.

Chapter 8

Appendix

Index	M_{cluster}	N_*	$ r(t=0) $ (kpc)	$ v(t=0) $ (km/s)	Index	M_{cluster}	N_*	$ r(t=0) $ (kpc)	$ v(t=0) $ (km/s)
0	254.269971	510	0.529058	154.125035	64	787.330168	1827	0.628327	164.866255
1	320.654548	742	0.047160	101.831769	65	254.948494	520	0.422761	113.887732
2	365.734064	638	0.654683	152.655588	66	232.345641	449	0.434244	183.421306
3	279.874002	528	0.898183	207.839411	67	4575.061661	11506	0.480632	222.942538
4	108.680750	200	0.420335	106.972709	68	3804.290120	9837	0.477419	48.724874
5	354.644095	581	0.366976	94.406889	69	978.573108	2368	0.449417	210.412720
6	236.744049	489	0.545772	95.359375	70	138.868192	222	0.566274	155.695466
7	441.617902	1096	0.018716	183.643782	71	119.807539	203	0.441254	305.627029
8	174.928441	300	0.018716	255.310864	72	118.175242	230	0.401758	149.048091
9	155.512219	211	0.407975	97.036469	73	134.831818	225	0.549820	58.309553
10	126.551358	262	0.352137	209.026554	74	591.473889	1339	0.420923	202.973977
11	203.592578	419	0.822341	54.386894	75	1068.665579	2524	0.486531	102.995349
12	179.015570	294	0.468761	117.180000	76	326.437110	563	0.605059	80.518996
13	156.992199	279	0.357146	185.780830	77	349.879583	846	0.449458	120.432598
14	136.356055	354	0.138233	120.850160	78	108.705909	295	0.357933	159.015633
15	127.970176	287	0.140743	195.645284	79	154.115736	287	0.590266	124.015618
16	259.285172	687	0.140743	206.830099	80	104.268821	284	0.337137	152.403422
17	270.631940	580	0.206362	254.235562	81	141.976935	252	0.303770	77.349840
18	119.644796	316	0.150337	145.882884	82	9613.835654	25673	0.594737	127.763659
19	264.982943	547	0.608400	111.058530	83	431.121797	931	0.687901	166.166425
20	101.421905	242	0.390114	199.526598	84	184.711594	334	0.822637	50.184230
21	448.480396	947	0.282176	191.988462	85	161.998117	300	0.474588	91.672303
22	730.199640	1770	0.294496	289.601690	86	785.456538	1873	0.631890	74.081534
23	2383.255993	5817	0.708265	165.879908	87	245.721487	614	0.901408	205.110902
24	398.271889	901	0.543478	67.874695	88	134.193358	381	0.408464	194.537931
25	151.631188	275	0.755188	50.970889	89	144.122923	362	0.695995	61.703359
26	224.600623	476	0.662335	121.863350	90	379.965762	823	0.017743	152.333702
27	567.749097	1439	0.322504	233.560308	91	474.531032	1099	0.574972	218.553237
28	136.998914	325	0.056223	136.309778	92	219.961310	550	0.300551	186.587081
29	168.348773	255	0.541110	163.001149	93	141.767319	379	0.982547	135.030986
30	128.261633	248	0.583203	179.680076	94	552.280890	1332	0.291601	235.335091
31	122.594602	345	0.057840	102.344579	95	485.640142	1013	0.425738	100.134770
32	3096.819038	7445	0.862338	200.210237	96	3099.402704	7877	0.318978	123.801322
33	739.012308	1630	0.143357	221.834894	97	126.097297	239	0.514310	75.234972
34	350.415027	852	0.630960	202.382107	98	466.174677	1095	0.788612	197.941391
35	115.817766	258	0.972306	215.931445	99	197.201209	444	0.540370	141.295061
36	3123.570623	7970	0.423727	256.359352	100	176.099179	386	0.405123	175.200896
37	1230.417212	2837	0.555750	53.748652	101	173.863999	389	0.426402	119.136912
38	101.222980	244	0.620522	102.107002	102	120.583907	318	0.360679	121.858391
39	119.119194	271	0.629408	133.763987	103	186.329424	333	0.086391	245.599377
40	149.206022	258	0.363274	63.375208	104	569.378260	1256	0.154393	162.779585
41	7595.062192	19945	0.958881	45.580680	105	3093.151279	7995	0.678805	221.025228
42	129.597621	327	0.770459	205.758103	106	153.432486	298	0.570615	239.111757
43	192.279907	512	0.726289	85.222537	107	112.236374	179	0.497946	100.574247
44	134.406773	352	0.551301	90.313198	108	342.875326	766	0.669095	189.169620
45	126.674646	352	0.953675	161.165120	109	138.240135	290	0.513690	179.570776
46	120.126083	285	0.271965	275.866353	110	802.057139	1837	0.641449	119.104342
47	156.277879	281	0.285194	116.260993	111	593.826538	1296	0.443023	180.798604
48	350.332865	788	0.982380	44.727260	112	395.841952	778	0.310460	93.770902
49	102.778408	228	0.578713	92.250988	113	120.070315	283	0.431889	60.122893
50	204.003690	475	0.868920	144.495591	114	200.268576	373	0.576385	106.295180
51	121.154782	263	0.300177	93.920963	115	225.541236	542	0.493471	84.896070
52	215.848832	540	0.088475	200.033610	116	193.047551	388	0.648087	80.825569
53	197.339913	399	0.394126	216.765490	117	181.937544	382	0.919073	110.907261
54	1155.144135	2795	0.459677	158.652990	118	127.078162	243	0.132152	210.233834
55	265.841887	609	0.459677	186.490609	119	308.925154	749	0.457901	84.085074
56	217.793528	484	0.048257	190.195993	120	233.470109	464	0.446385	143.571684
57	348.383005	731	0.319568	131.038654	121	191.708436	476	0.067046	193.209375
58	200.360948	425	0.532969	72.779867	122	461.018440	965	0.310836	252.742152
59	221.665638	527	0.133054	113.888085	123	100.743429	272	0.518440	74.483335
60	265.082076	655	0.917181	37.911442	124	111.675151	271	0.201965	123.172434
61	132.406552	270	0.541160	110.289602	125	101.337703	269	0.264054	205.545156
62	223.572012	440	0.563583	166.935751	126	366.025069	880	0.558255	48.400425
63	101.981398	263	0.141584	94.878240	127	199.131436	476	0.922707	150.214173

Table 8.1: The 128 star clusters used in our simulations. To maintain consistency we select clusters from this table starting at the beginning each time; for example, star cluster with index 2 is in every simulation where $\log_2 N_{\text{clusters}} \geq 2$.

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