

STAT S4240 002, Homework 3

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Problem 1: Naive Bayes Text Classification: Data Preparation

(a) Text pre-processing

```
# load functions from hw03.R
source("hw03.R")

# preprocess text
preprocess.directory("datasets/FederalistPapers/fp_hamilton_train")
preprocess.directory("datasets/FederalistPapers/fp_hamilton_test")
preprocess.directory("datasets/FederalistPapers/fp_madison_train")
preprocess.directory("datasets/FederalistPapers/fp_madison_test")
```

(b) Loading the cleaned data

```
hamilton.train <- read.directory("datasets/FederalistPapers/fp_hamilton_train_clean")
hamilton.test <- read.directory("datasets/FederalistPapers/fp_hamilton_test_clean")
madison.train <- read.directory("datasets/FederalistPapers/fp_madison_train_clean")
madison.test <- read.directory("datasets/FederalistPapers/fp_madison_test_clean")
```

(c) Create a dictionary from all of the documents

```
dictionary <- make.sorted.dictionary.df(c(hamilton.train, hamilton.test,
                                         madison.train, madison.test))
```

(d) Creating document-term-matrices for each of the datasets

```
dtm.hamilton.train <- make.document.term.matrix(infiles=hamilton.train,
                                                dictionary=dictionary)
dtm.hamilton.test <- make.document.term.matrix(infiles=hamilton.test,
                                                dictionary=dictionary)
dtm.madison.train <- make.document.term.matrix(infiles=madison.train,
                                                dictionary=dictionary)
dtm.madison.test <- make.document.term.matrix(infiles=madison.test,
                                                dictionary=dictionary)
```

(e) Compute the log probabilities for the dictionary in each of the document datasets

```
mu=1/nrow(dictionary)

logp.hamilton.train <- make.log.pvec(dtm.hamilton.train, mu)
logp.hamilton.test <- make.log.pvec(dtm.hamilton.test, mu)
logp.madison.train <- make.log.pvec(dtm.madison.train, mu)
logp.madison.test <- make.log.pvec(dtm.madison.test, mu)
```

Problem 2: Naive Bayes Function

We first estimate the log priors based on the log of the proportion of training documents attributed to each author.

$$p(\text{author} = \text{author}) = \log \left(\frac{\# \text{ of training documents attributed to } \text{author}}{\text{total } \# \text{ of training documents}} \right)$$

Then, using (1) the log probabilities for the dictionary in a Hamilton-authored document and (2) the log probabilities for the dictionary in a Madison-authored document (as computed in **Problem 1**), we can input a new document-term-matrix and classify each document as belonging to one of the authors.

```
naive.bayes <- function(logp.hamilton.train, logp.madison.train,
                        log.prior.hamilton, log.prior.madison, dtm.test){
  # Performs naive bayes classification
  # Inputs: logp.hamilton.train : vector of log probabilities of words
  #           occurring in the hamilton training data
  #           logp.madison.train : vector of log probabilities of words
  #           occurring in the madison training data
  #           log.prior.hamilton : the log prior of hamilton documents
  #           log.prior.madison : the log prior of madison documents
  #           dtm.test           : a document-term-matrix to classify
  # Output: Classification labels for each document in dtm.test

  # calculate the log posterior probabilities
  log.post.hamilton <- log.prior.hamilton + (dtm.test %*% logp.hamilton.train)
  log.post.madison <- log.prior.madison + (dtm.test %*% logp.madison.train)

  # compare the log posterior probabilities and assign to the author
  # with highest probability
  prediction <- data.frame(logPostHam=log.post.hamilton,
                           logPostMad=log.post.madison)
  prediction$pred <- (log.post.hamilton >= log.post.madison)
  prediction$pred <- gsub(TRUE, "Hamilton", prediction$pred)
  prediction$pred <- gsub(FALSE, "Madison", prediction$pred)

  # return a vector of the predictions
  return(prediction$pred)
}
```

Problem 3: Assessing Model Performance

Accuracy: 63% accurate (% of the test papers that are classified correctly)

- **True Positive Rate:** 100% (Hamilton classified as Hamilton divided by the total amount of testing Hamilton papers)
- **True Negative Rate:** 9% (Madison classified as Madison divided by the total amount of testing Madison papers)

- **False Positive Rate:** 91% (Madison classified as Hamilton divided by the total amount of testing Madison)
- **False Negative Rate:** 0% (Hamilton classified as Madison divided by the total amount of testing Hamilton)

```
> confusionMatrix(data=predictions$pred,
+                 reference=predictions$trueValue,
+                 dnn=c("Prediction", "True Value"),
+                 positive="Hamilton")
Confusion Matrix and Statistics
```

	True Value	
Prediction	Hamilton	Madison
Hamilton	16	10
Madison	0	1

```

      Accuracy : 0.6296
    95% CI : (0.4237, 0.806)
No Information Rate : 0.5926
P-Value [Acc > NIR] : 0.427258
```

```

      Kappa : 0.106
McNemar's Test P-Value : 0.004427
```

```

      Sensitivity : 1.00000
      Specificity : 0.09091
    Pos Pred Value : 0.61538
    Neg Pred Value : 1.00000
      Prevalence : 0.59259
    Detection Rate : 0.59259
Detection Prevalence : 0.96296
    Balanced Accuracy : 0.54545
```

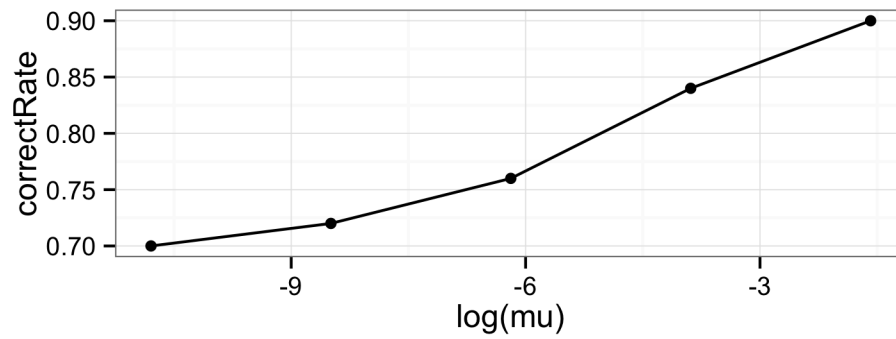
```
'Positive' Class : Hamilton
```

Problem 4: 5-fold Cross Validation

- (a) **Cross-Validation** For each value of $\mu \in \left\{ \frac{0.1}{|D|}, \frac{1}{|D|}, \frac{10}{|D|}, \frac{100}{|D|}, \frac{1000}{|D|} \right\}$, where $|D| = 4875$ is the size of our dictionary; estimations of the the correct classification rate, the false negative rate, and the false positive rate are outlined below. For each of the metrics, the table indicates the value for each of the 25 tests and the graph indicates averages over the 5 tests for each choice of μ .

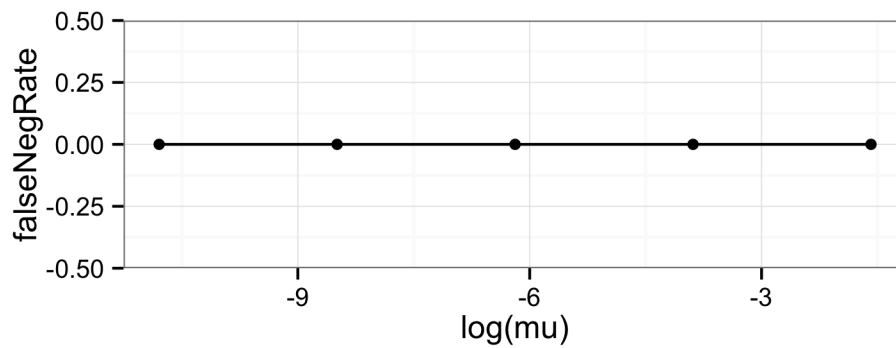
Correct Rate:

	fold1	fold2	fold3	fold4	fold5
mu1	0.7	0.7	0.7	0.7	0.7
mu2	0.8	0.7	0.7	0.7	0.7
mu3	0.8	0.7	0.7	0.8	0.8
mu4	0.9	0.7	0.8	0.8	1.0
mu5	1.0	0.8	0.9	0.8	1.0



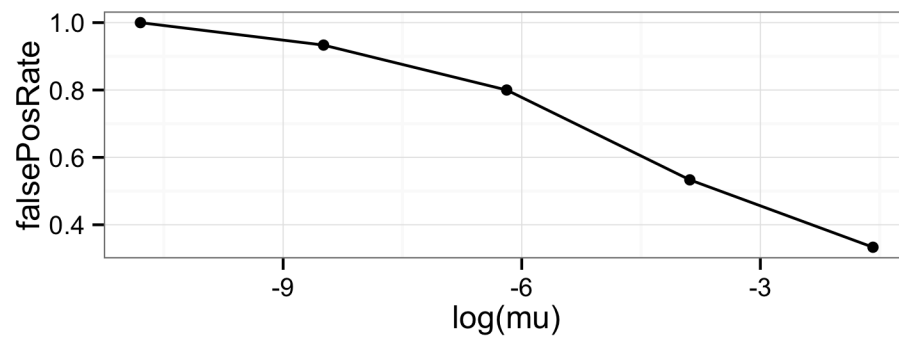
False Negative Rate:

	fold1	fold2	fold3	fold4	fold5
mu1	0	0	0	0	0
mu2	0	0	0	0	0
mu3	0	0	0	0	0
mu4	0	0	0	0	0
mu5	0	0	0	0	0



False Positive Rate:

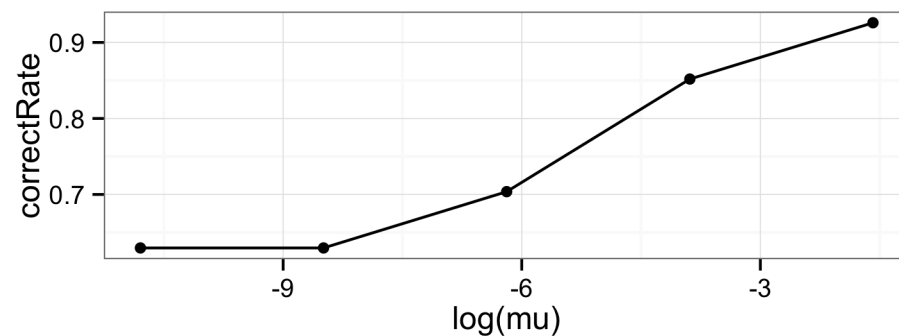
	fold1	fold2	fold3	fold4	fold5
mu1	1.0000000	1.0000000	1.0000000	1.0000000	1.0000000
mu2	0.6666667	1.0000000	1.0000000	1.0000000	1.0000000
mu3	0.6666667	1.0000000	1.0000000	0.6666667	0.6666667
mu4	0.3333333	1.0000000	0.6666667	0.6666667	0.0000000
mu5	0.0000000	0.6666667	0.3333333	0.6666667	0.0000000



- (b) Based on the values measured on the training set (using 5-fold cross validation), the best value for μ is $\mu_5 = \frac{1000}{|D|} \approx 0.205$. At this value we maximize the accuracy and minimize the false positive rate, with no increase in the false negative rate.
- (c) **Testing Set** For the same values of μ used in Part (a), estimations of the the correct classification rate, the false negative rate, and the false positive rate are outlined below.

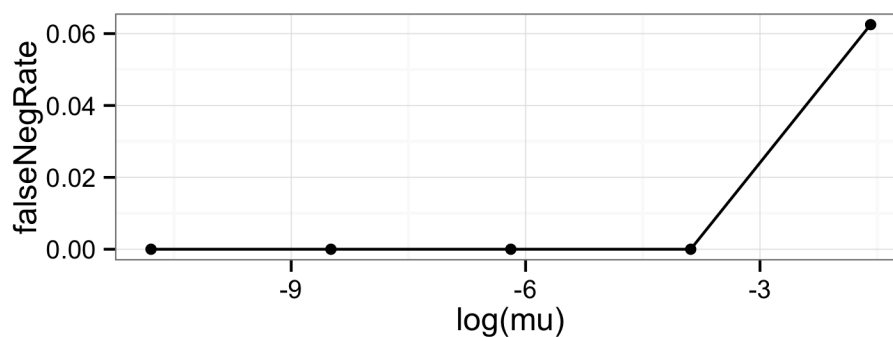
Correct Rate:

	mu1	mu2	mu3	mu4	mu5
testSet	0.6296296	0.6296296	0.7037037	0.8518519	0.9259259



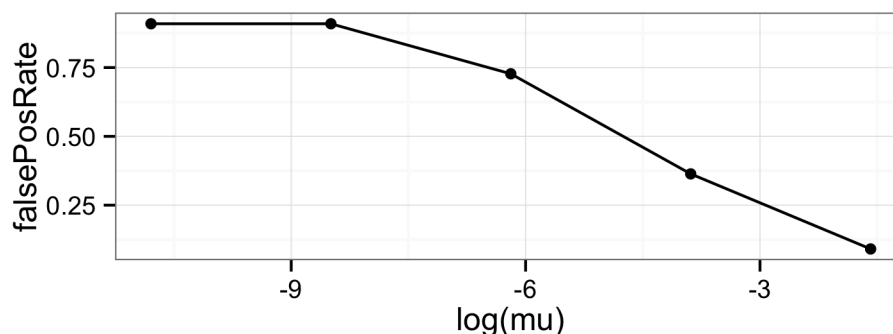
False Negative Rate:

	mu1	mu2	mu3	mu4	mu5
testSet	0	0	0	0	0.0625



False Positive Rate:

	mu1	mu2	mu3	mu4	mu5
testSet	0.9090909	0.9090909	0.7272727	0.3636364	0.09090909



Using validation set cross-validation, it still appears as though $\text{mu5} = \frac{1000}{|D|} \approx 0.205$ is the optimal choice for μ . At this value we maximize the accuracy and minimize the false positive rate, with only a minimal increase in the false negative rate.

The next-best choice would be $\text{mu4} = \frac{100}{|D|} \approx 0.0205$, since at this value we still have a 0% false negative rate. As we shift from mu4 to mu5 , however, the large drop in the false positive rate more than compensates for the small increase in the false negative rate, again, making mu5 the optimal value.

- (d) For $\mu = \text{mu5}$, the overall correct rate was more or less accurate, with the cross-validation estimate only 9.28% lower than the value generated on the full testing set. The false negative and false positive rates show very high percent error – this is only because both metrics were 0 in the cross-validation estimates and non-zero in the full testing test, making the ‘percent error’ metric somewhat misleading here.

Both the false negative and false positive rates were 0 in the cross-validation estimates, so with non-zero rates generated from the full testing set, the percent error appears to be unreasonably high.

To minimize the differences between the cross-validation rate estimates and the rates on the training set, we could increase the number of folds used in the cross-validation method. This would provide a more accurate estimate for the rate estimates.

	correctRate	falseNegRate	falsePosRate
mu1	0.3341176	-Inf	-0.1059259
mu2	0.1435294	-Inf	0.3340741
mu3	0.0800000	-Inf	0.1324074
mu4	-0.1078261	-Inf	-0.1425926
mu5	-0.0928000	-14.81481	-4.3185185