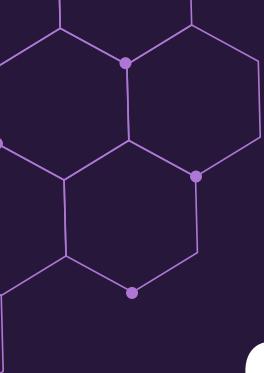


# Eigenfaces Using SVD & PCA

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# WHAT ARE EIGENFACES?

**DEF:** a set of eigenvectors used in a computer vision problem of human face recognition

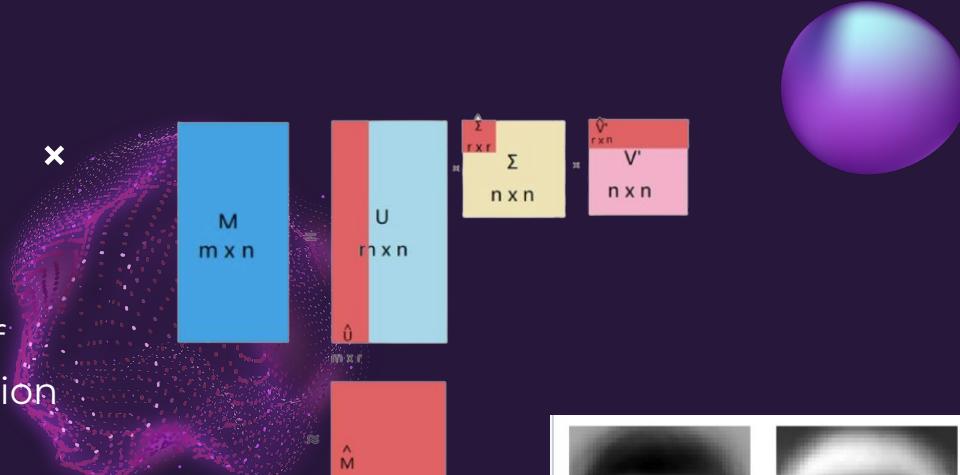
The term *Eigenface* was first introduced by Sirovich and Kirby in 1987.

Eigenfaces describe a set of bases (of features) that are derived by using PCA and SVD to project a higher-dimensional face-image space to a lower dimension.

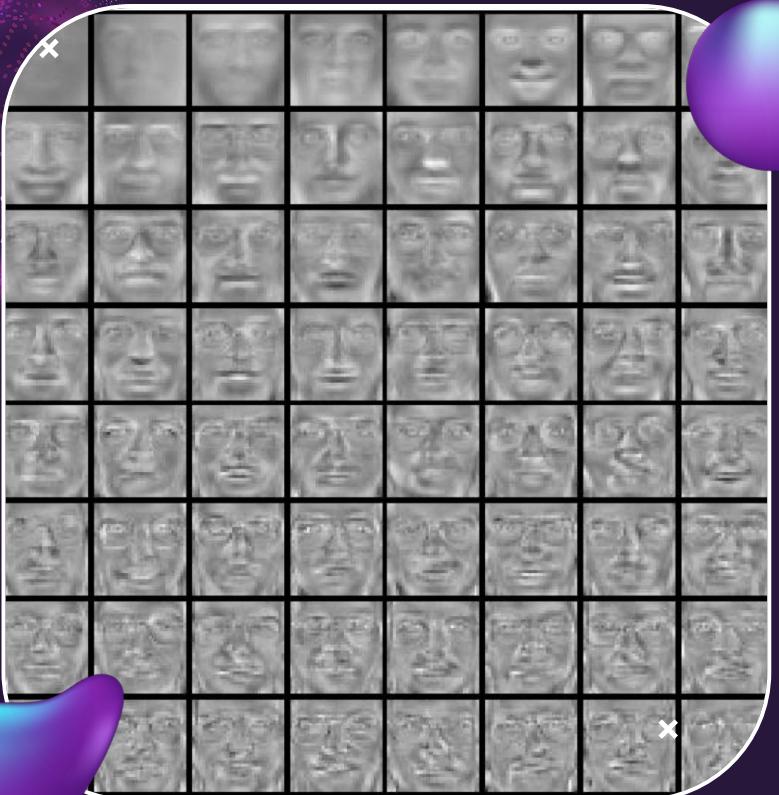


# 02. SVD

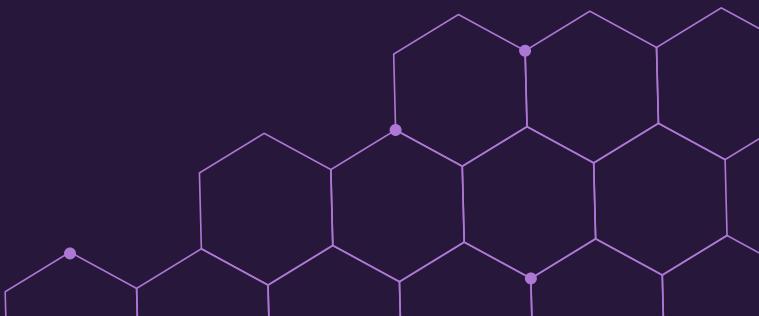
- Facial images can have millions of pixels, leaving us with a multi-million dimension vector space
- SVD can be used to compress images by **truncating** SVD matrices to lower dimensions
- Components will be in order of importance based on singular values



# 03 PCA



- Purpose of deriving principal components: **REDUCE** the number of variables while still retaining pertinent information
- Principal components are represented as a linear combination of the principal components. Eigenfaces are **LINEAR COMBINATIONS** of the faces
- The top eigenvectors (largest) are used to create eigenfaces that allow for comparison and recognition of facial features

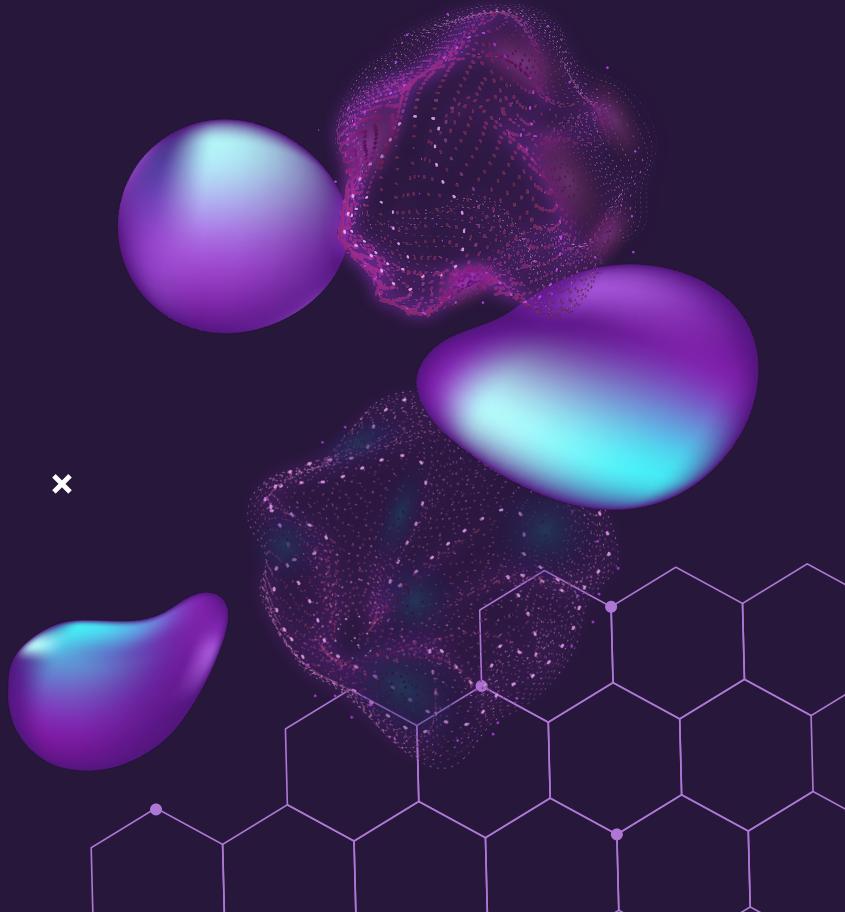


# SVD vs PCA

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- ◆ SVD:
- ◆ **PROS:** more numerically stable, handle rank-deficient matrices
- ◆ **CONS:** computationally expensive, time consuming
- PCA:
- **PROS:** computationally inexpensive, more suitable for large data sets
- **CONS:** not as numerically stable, cannot handle rank-deficient matrices as well
- ◆ Which is better? **IT DEPENDS**

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# 04 Eigenfaces



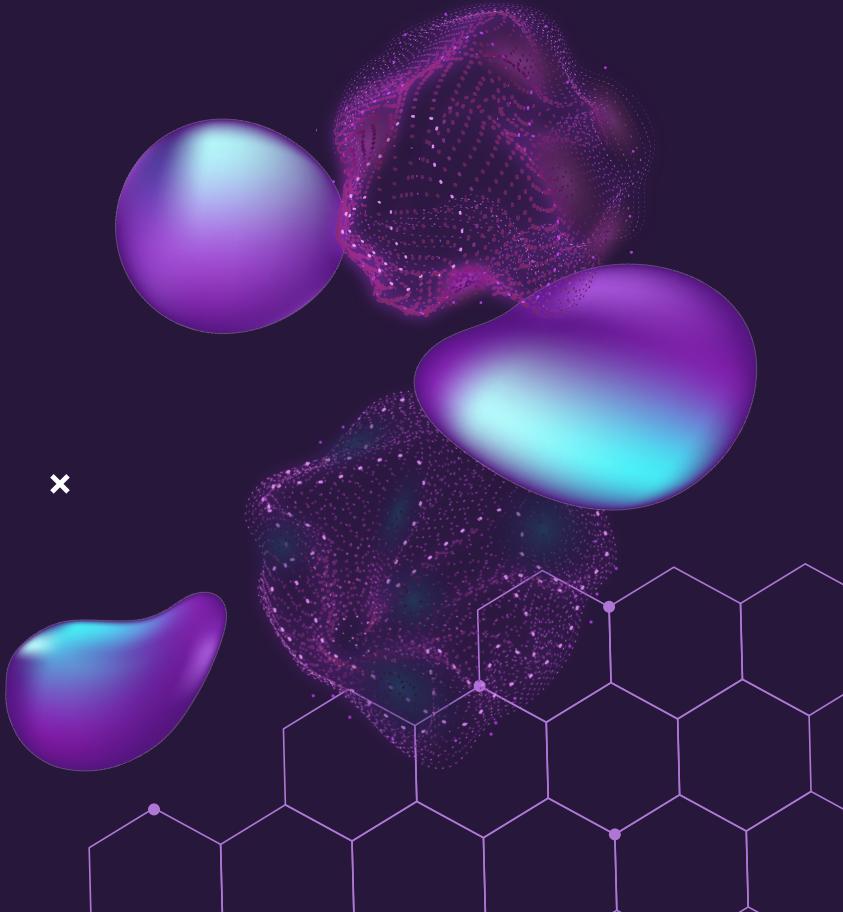
## Main Idea

Let  $\Gamma$  be a vector, corresponding to a  $N \times N$  face image.

We want to represent  $\Gamma$  into a low-dimension space such that

$$\hat{\Phi} = \Gamma - \text{mean face}$$
$$\hat{\Phi} - \text{mean} = w_1 u_1 + w_2 u_2 + \dots + w_k u_k$$

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# Computation of Eigenfaces

## STEP 1

Obtain face images  
 $I_1, I_2, \dots, I_M$

Note: Images must be  
the same size and  
centered

## STEP 2

Create a vector  $\Gamma_i$   
for every image  $I_i$

## STEP 3

Compute the  
average face  
vector:

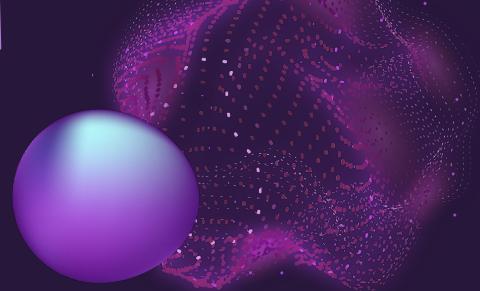
$$\Psi = \frac{1}{M} \sum_{i=1}^M \Gamma_i$$

## STEP 4

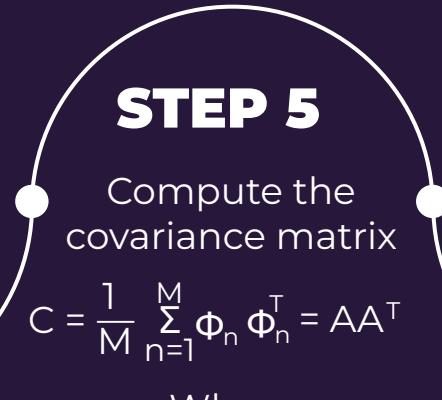
Subtract the mean  
face  
 $\Phi_i = \Gamma_i - \Psi_i$



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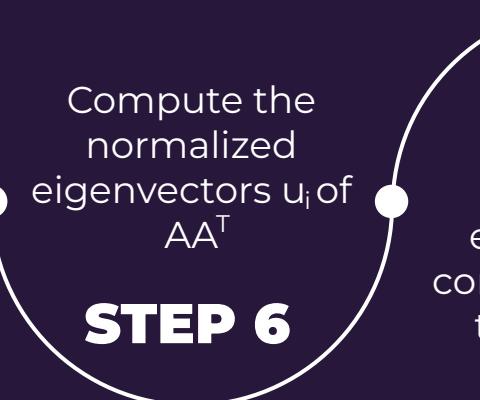
## STEP 5

Compute the covariance matrix

$$C = \frac{1}{M} \sum_{n=1}^M \Phi_n \Phi_n^T = AA^T$$

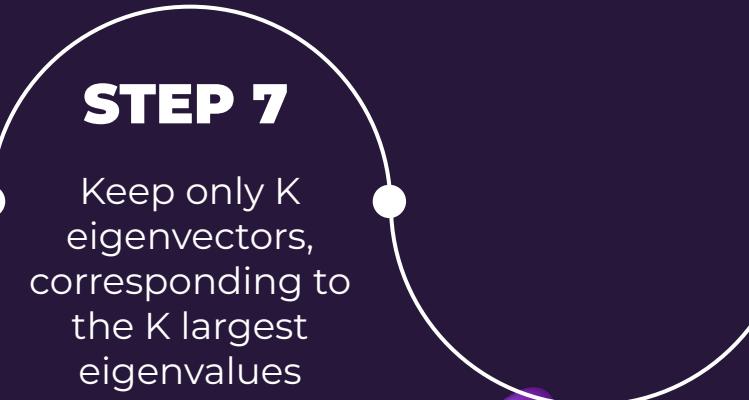
Where

$$A = [\Phi_1 \Phi_2 \dots \Phi_M]$$



## STEP 6

Compute the normalized eigenvectors  $u_i$  of  $AA^T$



## STEP 7

Keep only  $K$  eigenvectors, corresponding to the  $K$  largest eigenvalues

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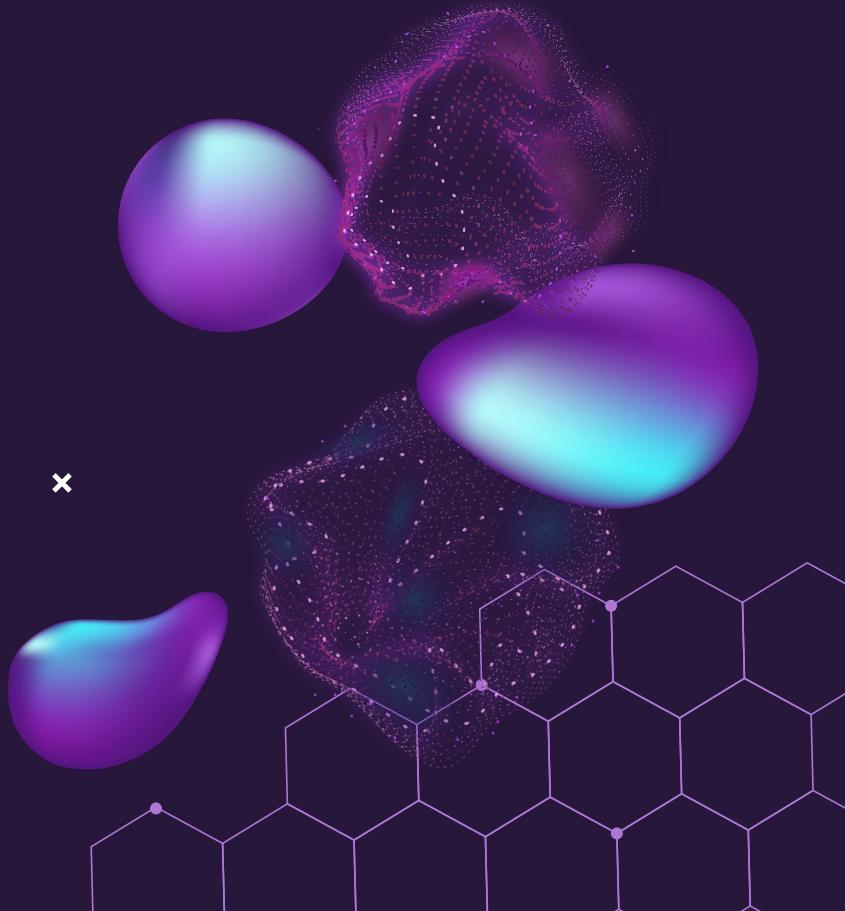
# In-Depth Example

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- ◆ Images used are from the Yale Faces B data set, which had 38 participants
- ◆ Participants sat in a geodesic dome where lights would flash at different angles to capture each participants face in different lighting conditions
- ◆ This resulted in 64 pictures of each participants face
- ◆ Each image has a resolution of approximately 32,000 pixels

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# Facial Reconstruction

- ◆ Compute the mean face or the average column vector and subtract it from all of the faces in the matrix
- ◆ Then compute the SVD of the data
- ◆ Calculate the eigenfaces for each column, which captures different features
- ◆ Can then use a linear combination of these eigenface to recreate the image of a person



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Original Image



$r = 25$



$r = 50$



$r = 100$



$r = 200$



$r = 400$



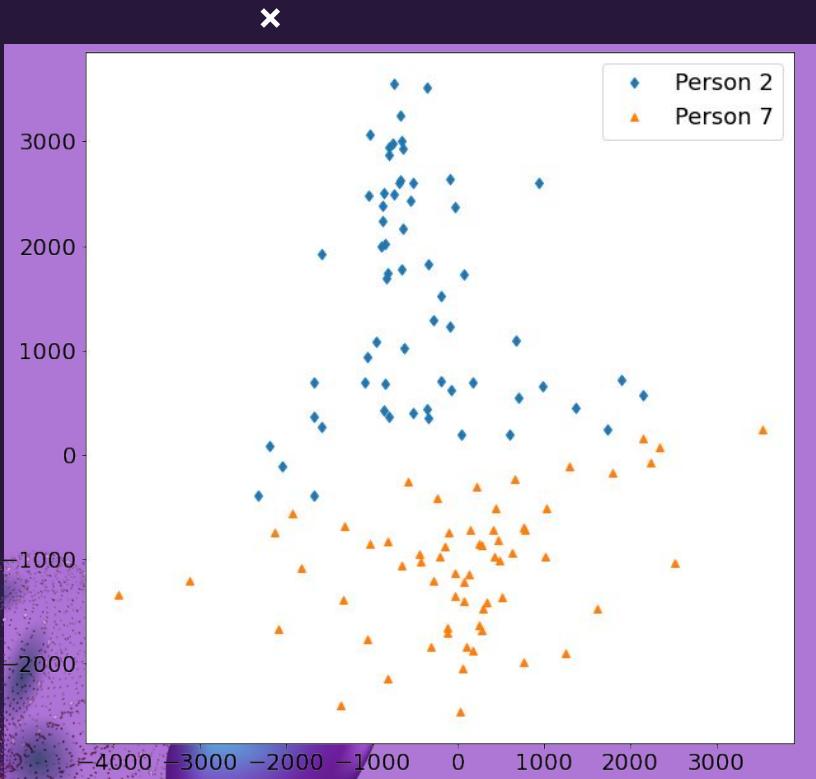
$r = 800$



$r = 1600$



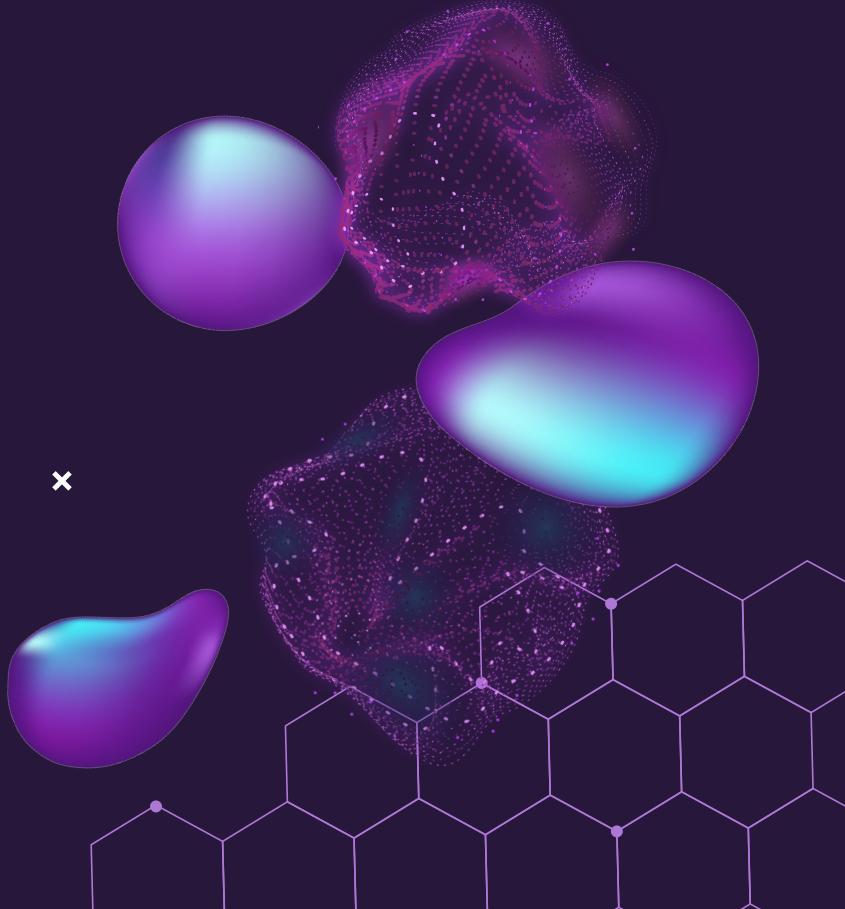
# Image Classification



- Randomly chose person 2 (left) and person 7 (right)
- Projected their faces into the 5th and 6th principle component and graph them together

# 06 Takeaways

- Facial recognition technique by breaking down all the important features that make up a face and using those features to create a special set of images called Eigenfaces.
- SVD: factorization technique
- PCA: linear combination technique
- Eigenfaces method: higher success rate in comparison to other methods; ease and speed of recognition with respect to other methods



# WORK CITED

[EigenFaces and A Simple Face Detector with PCA/SVD in Python | sandipanweb](#)  
[SVD and Eigenfaces - Nextjournal](#)

[SVD: Eigenfaces 1 \[Python\]](#)

[SVD: Eigenfaces 2 \[Python\]](#)

[SVD: Eigenfaces 3 \[Python\]](#)

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