

# Introduction

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I. CONTEXT AND MOTIVATION

II. METHODOLOGY AND CONTRIBUTIONS

III. ORGANIZATION OF THE THESIS

REFERENCES

# State of the art

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## I. IoT APPLICATIONS REQUIREMENTS [1]

- A. Transportation and logistics
- B. Healthcare
- C. Smart environnement
- D. personal and social
- E. Futuristic



Fig. 1: Use cases.

Use cases		
Health Monitoring		
Water Distribution		
Electricity Distribution		
Smart Buildings		
Intelligent Transportation		
Surveillance		
Environmental Monitoring		

TABLE I: Use cases [hancke\_role\_2012]

voir [4]

Smart systems in smart cities [6]

- ⇒ Smart Mobility
- ⇒ Smart semaphores controle
- ⇒ Smart Red Swarm



Fig. 2: Use cases.

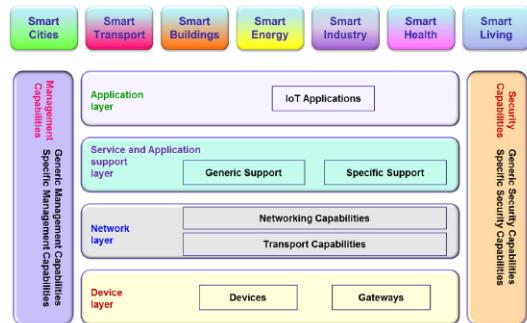


Fig. 3: Use cases.

Challenges-Applications	Gids	EHealth	Transportations	Cities	Building
Ressources cinstriants	+	+++	-	++	+
Mobility	+	++	+++	+++	-
<b>Heterogeneity</b>	++	++	++	+++	+
Scalability	+++	++	+++	+++	++
QoS cinstriants	++	++	+++	+++	+++
Data management	++	+	+++	+++	++
Lack of standardization	++	++	++	++	+++
Amount of attacks	+	+	+++	+++	+++
Safety	++	++	+++	++	+++

TABLE II: Main IoT challenges[2] + [3]

- ⇒ Smart panels



Fig. 4: 802.15.4 use cases [sarwar\_iot\_].

Use Case	Packet rate () [packet/day]	Minimum success rate (Ps,min )	Grouping
Wearables	10	90	Group A PL = 10/20B
Smoke Detectors	2	90	
Smart Grid	10	90	
White Goods	3	90	
Waste Management	24	90	
VIP/Pet Tracking	48	90	Group B PL = 50B
Smart Bicycle	192	90	
Animal Tracking	100	90	
Environmental Monitoring	5	90	
Asset Tracking	100	90	
Smart Parking	60	90	
Alarms/Actuators	5	90	
Home Automation	5	90	Group C PL = 100/200B
Machinery Control	100	90	
Water/Gas Metering	8	90	
Environmental Data Collection	24	90	
Medical Assisted Living	8	90	
Microgeneration	2	90	
Safety Monitoring	2	90	
Propane Tank Monitoring	2	90	
Stationary Monitoring	4	90	
Urban Lighting Vending Machines Payment	5	90	
Vending Machines General	100	90	
	1	90	Group D PL = 1KB

TABLE III: APPLICATION REQUIREMENTS FOR THE USE CASES OF INTEREST[5] [3].

- Smart bus scheduling
- Smart EV management
- Smart surface parking
- Smart signs
- Smart energy systems
- Smart lighting
- Smart water jet systems
- Smart residuals gathering

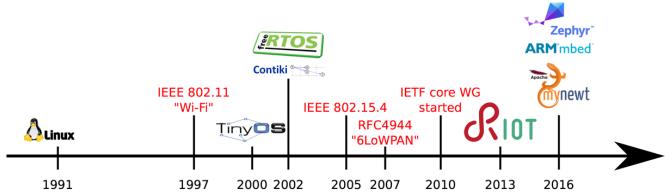


Fig. 5: .

- Smart building construction
- Smart tourism
- Smart QRinfo
- Smart monitoring
- Smart hawkeye

## F. Summary and discussion

### II. IOT SENSORS

#### A. OS platform

The operating system is the foundation of the IoT technology as it provides the functions for the connectivity between the nodes. However, different types of nodes need different levels of OS complexity; a passive node generally only needs the communication stack and is not in need of any threading capabilities, as the program can handle all logic in one function. Active nodes and border routers need to have a much more complex OS, as they need to be able to handle several running threads or processes, e.g. routing, data collection and interrupts. To qualify as an OS suitable for the IoT, it needs to meet the basic requirements: Low Random-access memory (RAM) footprint Low Read-only memory (ROM) footprint Multi-tasking Power management (PM) Soft real-time These requirements are directly bound to the type of hardware designed for the IoT. As this type of hardware in general needs to have a small form factor and a long battery life, the on-board memory is usually limited to keep down size and energy consumption. Also, because of the limited amount of memory, the implementation of threads is usually a challenging task, as context switching needs to store thread or process variables to memory. The size of the memory also directly affects the energy consumption, as memory in general is very power hungry during accesses. To be able to reduce the energy consumption, the OS needs some kind of power management. The power management does not only let the OS turn on and off peripherals such as flash memory, I/O, and sensors, but also puts the MCU itself in different power modes. As the nodes can be used to control and monitor consumer devices, either a hard or soft real-time OS is required. Otherwise, actions requiring a close to instantaneous reaction might be indefinitely delayed. Hard real-time means that the OS scheduler can guarantee latency and execution time, whereas Soft real-time means that latency and execution time is seen as real-time but can not be guaranteed by the scheduler. Operating systems that meet the above requirements are compared in table 2.1 and 2.2.

OS	Contiki	MANTIS	Nano-RK	LiteOS
<b>Architecture</b>	Modular	Modular	Layered	Monolithic
<b>Multi threading</b>	✓	✗	✓	✓
<b>Scheduling</b>	Interrupts	Priority	Monotonic	Round Robin
	execute w.r.t.	classes	harmonized	
<b>Dynamic Memory</b>	✓	✓	✗	✓
<b>Memory protection</b>	✗	✗	✗	✓
<b>Network Stack</b>	uIP/Rime	At Kernel-/COMM layer	Socket abstraction	file
<b>Virtualization and Completion</b>	Serialized/Access	Semaphores	Serialized/semaphore	Synchronization primitives

TABLE IV: Common operating systems used in IoT environment [7]

1) *Contiki*: Contiki is an embedded operating system developed for IoT written in C [12]. It supports a broad range of MCUs and has drivers for various transceivers. The OS does not only support TCP/IPv4 and IPv6 with the uIP stack [9], but also has support for the 6LoWPAN stack and its own stack called RIME. It supports threading with a thread system called Phototreads [13]. The threads are stack-less and thus use only two bytes of memory per thread; however, each thread is bound to one function and it only has permission to control its own execution. Included in Contiki, there is a range of applications such as a HTTP, Constrained Application Protocol (CoAP), FTP, and DHCP servers, as well as other useful programs and tools. These applications can be included in a project and can run simultaneously with the help of Phototreads. The limitations to what applications can be run is the amount of RAM and ROM the target MCU provides. A standard system with IPv6 networking needs about 10 kB RAM and 30 kB ROM but as applications are added the requirements tend to grow.

Contiki is an open source operating system for the Internet of Things. Contiki connects tiny low-cost, low-power microcontrollers to the Internet.

2k RAM, 60k ROM; 10k RAM, 48K ROM Portable to tiny low-power micro-controllers I386 based, ARM, AVR, MSP430, ... Implements uIP stack IPv6 protocol for Wireless Sensor Networks (WSN) Uses the protothreads abstraction to run multiple process in an event based kernel. Emulates concurrency Contiki has an event based kernel (1 stack) Calls a process when an event happens

*Contiki size*: One of the main aspect of the system, is the modularity of the code. Besides the system core, each program builds only the necessary modules to be able to run, not the entire system image. This way, the memory used from the system, can be reduced to the strictly necessary. This methodology makes more practical any change in any module, if it is needed. The code size of Contiki is larger than that of TinyOS, but smaller than that of the Mantis system. Contiki's event kernel is significantly larger than that of TinyOS because of the different services provided. While the TinyOS event kernel only provides a FIFO event queue scheduler , the

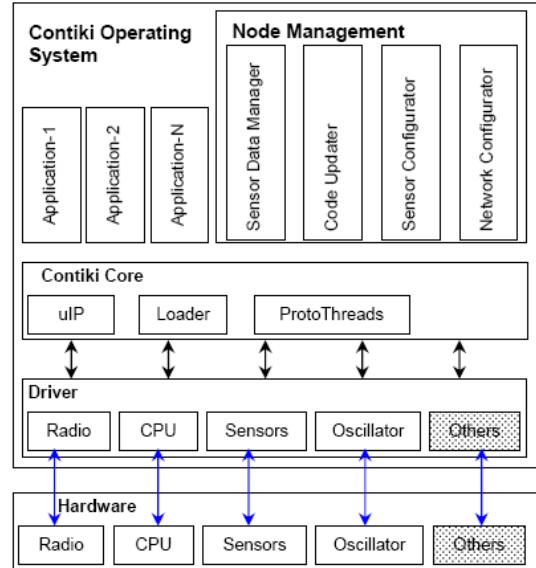


Fig. 6: contiki .

Contiki kernel supports both FIFO events and poll handlers with priorities. Furthermore, the flexibility in Contiki requires more run-time code than for a system like TinyOS, where compile time optimization can be done to a larger extent.

The documentation in the doc folder can be compiled, in order to get the html wiki of all the code. It needs doxygen installed, and to run the command make html. This will create a new folder, doc/html, and in the index.html file, the wiki can be opened.

*Contiki Hardware*: Contiki can be run in a number of platforms, each one with a different CPU. Tab.7 shows the hardware platforms currently defined in the Contiki code tree. All these platforms are in the platform folder of the code.

#### Kernel structure:

2) *RIOT*: RIOT is a open source embedded operating system supported by Freie Universität Berlin, INRIA, and Hamburg University of Applied Sciences [14]. The kernel is written in C but the upper layers support C++ as well. As the project originates from a project with real-time and reliability requirements, the kernel supports hard real-time multi-tasking scheduling. One of the goals of the project is to make the OS completely POSIX compliant. Overhead for multi-threading is minimal with less than 25 bytes per thread. Both IPv6 and 6LoWPAN is supported together with UDP, TCP, and IPv6 Routing Protocol for Low-Power and Lossy Networks (RPL); and CoAP and Concise Binary Object Representation (CBOR) are available as application level communication protocols.

3) *TinyOS*: TinyOS is written in Network Embedded Systems C (nesC) which is a variant of C [15]. nesC does not have any dynamic memory allocation and all program paths are available at compile-time. This is manageable thanks to the structure of the language; it uses modules and interfaces instead of functions [16]. The modules use and provide interfaces and are interconnected with configurations; this

procedure makes up the structure of the program. Multitasking is implemented in two ways: trough tasks and events. Tasks, which focus on computation, are non-preemptive, and run until completion. In contrast, events which focus on external events i.e. interrupts, are preemptive, and have separate start and stop functions. The OS has full support for both 6LoWPAN and RPL, and also have libraries for CoAP.

4) *freeRTOS*: One of the more popular and widely known operating systems is freeRTOS [17]. Written in C with only a few source files, it is a simple but powerful OS, easy to overview and extend. It features two modes of scheduling, pre-emptive and co-operative, which may be selected according to the requirements of the application. Two types of multitasking are featured: one is a lightweight Co-routine type, which has a shared stack for lower RAM usage and is thus aimed to be used on very small devices; the other is simply called Task, has its own stack and can therefore be fully pre-empted. Tasks also support priorities which are used together with the pre-emptive scheduler. The communication methods supported out-of-the-box are TCP and UDP.

Plan de contrôle	Plan de gestion	Plan de données
Contrôle d'admission	Contrôle et supervision de QoS	Contrôle du trafic
Réservation de ressources	Gestion de contrats	Façonnage du trafic
Routage	QoS mapping	Controle de congestion
Signalisation	Politique de QoS	Classification de paquets Marquage de paquets Ordonnancements des paquets Gestion de files d'attente

TABLE V: An example table.

5) *SDN platforms*: Sensor OpenFlow [20,21] SDWN [60] Smart [14] SDN-WISE [78] SDCSN [88] TinySDN [69,118] Virtual Overlay [59,87,90] Multi-task [122] SDWSN-RL [123] Wireless power transfer [126] Function alternation [65] Statistical machine learning [24] Context-based [91,92] Soft-WSN [9]

- [8] Many studies have identified **SDN** as a potential solution to the WSN challenges, as well as a model for **heterogeneous** integration.
- [8] This **shortfall** can be resolved by using the **SDN approach**.
- [9] **SDN** also enhances better control of **heterogeneous** network infrastructures.
- [9] Anadiotis et al. define a **SDN operating system for IoT** that integrates SDN based WSN (**SDN-WISE**). This experiment shows how **heterogeneity** between different kinds of SDN networks can be achieved.
- [9] In cellular networks, OpenRoads presents an approach of introducing **SDN** based **heterogeneity** in wireless networks for operators.
- [10] There has been a plethora of (industrial) studies **synergising SDN in IoT**. The major characteristics of IoT are low latency, wireless access, mobility and **heterogeneity**.
- [10] Thus a bottom-up approach application of **SDN** to the realisation of **heterogeneous IoT** is suggested.

- [10] Perhaps a more complete IoT architecture is proposed, where the authors apply **SDN** principles in IoT **heterogeneous** networks.
- [11] it provides the **SDWSN** with a proper model of network management, especially considering the potential of **heterogeneity** in SDWSN.
- [11] We conjecture that the **SDN paradigm** is a good candidate to solve the **heterogeneity** in IoT.

Management architecture	Management feature	Controller configuration	Traffic Control	Configuration and monitoring	Scalability and localization	Communication management
[12] Sensor Open Flow	SDN support protocol	Distributed	in/out-band	✓	✓	✓
[13] SDWN	Duty cycling, aggregation, routing	Centralized	in-band	✓		
[14] SDN-WISE	Programming simplicity and aggregation	Distributed	in-band		✓	
[degante smart 2014]	in resource allocation	Distributed	in-band		✓	
SDCSN	Network reliability and QoS	Distributed	in-band		✓	
TinySDN	In-band-traffic control	Distributed	in-band		✓	
Virtual Overlay	Network flexibility	Distributed	in-band		✓	
Context based	Network scalability and performance	Distributed	in-band		✓	
CRLB	Node localization	Centralized	in-band			
Multi-hop	Traffic and energy control	Centralized	in-band			✓
Tiny-SDN	Network task measurement	-	in-band			

TABLE VI: SDN-based network and topology management architectures. [10]

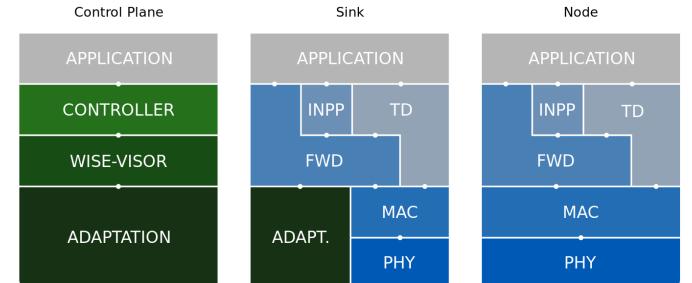


Fig. 7: LPWAN connectivity.

## 6) Summary and conclusion:

	<b>LiteOS</b>	<b>Nano-RK</b>	<b>MANTIS</b>	<b>Contiki</b>
<b>Architecture</b>	Monolithic	Layered	Modular	Modular
<b>Scheduling</b> <b>Memory</b>	Round Robin	Monotonic harmonized	Priority classes	Interrupts execute w.r.t.
<b>Network</b>	File	Socket abstraction	At Kernel COMM layer	uIP, Rime
<b>Virtualization</b> <b>and Completion</b>	Synchronization primitives	Serialized access semaphores	Semaphores	Serialized, Access
<b>Multi threading</b>	✓	✓	✗	✓
<b>Dynamic protection</b>	✓	✗	✓	✓
<b>Memory Stack</b>	✓	✗	✗	✗

TABLE VII: Common operating systems used in IoT environment [7]

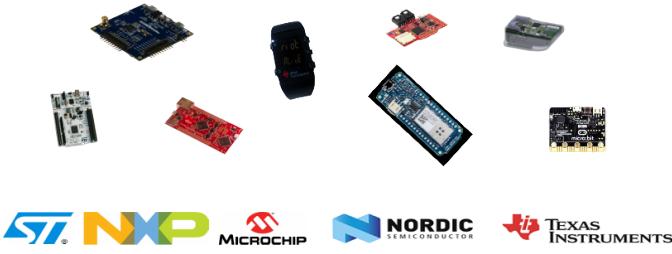


Fig. 8: .

### B. Processing Unit

Even though the hardware is in one sense the tool that the OS uses to make IoT possible, it is still very important to select a platform that is future-proof and extensible. To be regarded as an extensible platform, the hardware needs to have I/O connections that can be used by external peripherals. Amongst the candidate interfaces are Serial Peripheral Interface (SPI), Inter-Integrated Circuit (I<sup>2</sup>C), and Controller Area Network (CAN). These interfaces allow developers to attach custom-made PCBs with sensors for monitoring or actuators for controlling the environment. The best practice is to implement an extension socket with a well-known form factor. A future-proof device is specified as a device that will be as attractive in the future as it is today. For hardware, this is very hard to achieve as there is constant development that follows Moore's Law [4]; however, the most important aspects are: the age of the chip, its expected remaining lifetime, and number of current implementations i.e. its popularity. If a device is widely used by consumers, the lifetime of the product is likely to be extended. One last thing to take into consideration is the product family; if the chip belongs to a family with several members the transition to a newer chip is usually easier.

1) *OpenMote*: OpenMote is based on the Ti CC2538 System on Chip (SoC), which combines an ARM Cortex-M3 with a IEEE 802.15.4 transceiver in one chip [18, 19]. The board follows the XBee form factor for easier extensibility, which is used to connect the core board to either the OpenBattery or OpenBase extension boards [20, 21]. It originates from the CC2538DK which was used by Thingsquare to demo their Mist IoT solution [22]. Hence, the board has full support

for Contiki, which is the foundation of Thingsquare. It can run both as a battery-powered sensor board and as a border router, depending on what extension board it is attached to, e.g OpenBattery or OpenBase. Furthermore, the board has limited support but ongoing development for RIOT and also full support for freeRTOS.

2) *MSB430-H*: The Modular Sensor Board 430-H from Freie Universität Berlin was designed for their ScatterWeb project [23]. As the university also hosts the RIOT project, the decision to support RIOT was natural. The main board has a Ti MSP430F1612 MCU [24], a Ti CC1100 transceiver, and a battery slot for dual AA batteries; it also includes a SHT11 temperature and humidity sensor and a MMA7260Q accelerometer to speed up early development. All GPIO pins and buses are connected to external pins for extensibility. Other modules with new peripherals can then be added by making a PCB that matches the external pin layout.

3) *Zolertia*: As many other Wireless Sensor Network (WSN) products, the Zolertia Z1 builds upon the MSP430 MCU [25, 26]. The communication is managed by the Ti CC2420 which operates in the 2.4 GHz band. The platform includes two sensors: the SHT11 temperature and humidity sensor and the MMA7600Q accelerometer. Extensibility is ensured with: two connections designed especially for external sensors, an external connector with USB, Universal asynchronous receiver/transmitter (UART), SPI, and I<sup>2</sup>C.

### C. Radio Unit

1) *Lora Tranceiver*: To limit the complexity of the radio unit:

- limiting message size: maximum application payload size between 51 and 222 bytes, depending on the spreading factor
- using simple channel codes: Hamming code
- supporting only half-duplex operation
- using one transmit-and-receive antenna
- on-chip integrating power amplifier (since transmit power is limited)

limiting message size: maximum application payload size between 51 and 222 bytes, depending on the spreading factor  
using simple channel codes: Hamming code supporting only half-duplex operation using one transmit-and-receive antenna  
on-chip integrating power amplifier (since transmit power is limited)

### D. Sensing Unit

### E. Summary and discussion

## III. IoT COMMUNICATION PROTOCOLS

### A. Application

- 1) *LwM2M*:
- 2) *CBOR*:
- 3) *DTLS*:
- 4) *OSCOAP*:

Ref	Module	Fre-quency MHz	Tx power	Rx power	Sen-sitivity	Chan-nels	Dis-tance
[_waspmotSejtech SX1277]		863-870 (EU) 902-928 (US)	14 dBm	dBm	-134 dBm	8 13	22+ km
[_waspmotn2483]							
[_waspmotn2903]							
[_waspmotak811]							
[_waspmotSejtech sx1276]							
[_waspmotfm95]							
[_waspmotCMWX1ZZABZ-078]							
[_waspmotLoPy4]							
[_waspmotnDot]							
[_waspmotxDot]							
[_waspmotdJird RM192]							
[_waspmotdJird RM186]							
[_waspmotCMWX1ZZABZ-078]							
[_waspmoteAlso Laird RM1xx]							
[_waspmotdMST iM88x/iM98x]							
[_waspmotMic SAM RN34/35]							
[_waspmotSejtech SX1278]							

TABLE VIII

Application protocol	DDS	CoAP	AMQP	MQTT	MQTT-SN	XMPPTCPIM	Achieve, Create, Retrieve, Update and Delete
Service discovery		mDNS					
Transport Network				UDP/TCP	DNS-SD		
		IPv6 RPL			IPv4/IPv6		
		6LowPan		RFC 2464			
MAC	IEEE 802.15.4	IEEE 802.11 (Wi-Fi)	2.4GHz, 915, 868MHz	2.4, 5GHz	IEEE 802.3 (Ethernet)	REC 5072	6) MOT
	DSS, FSK, OFDM	CSMA/CA	CUTP, FO				2G, 3G, LTE

TABLE IX: Standardization efforts that support the IoT

5) *COAP (COnstrained Application Protocol)*: The Constrained Application Protocol (CoAP) is a specialized web transfer protocol for use with constrained nodes and constrained networks in the Internet of Things. More detailed information about the protocol is given in the Contiki OS CoAP section.

a) *Overview*: Like HTTP, CoAP is a document transfer protocol. Unlike HTTP, CoAP is designed for the needs of constrained devices. The packets are much smaller than HTTP TCP flows. Packets are simple to generate and can be parsed in place without consuming extra RAM in constrained devices. CoAP runs over UDP, not TCP. Clients and servers communicate through connectionless datagrams. Retries and reordering are implemented in the application stack. It follows a client/server model. Clients make requests to servers, servers send back responses. Clients may GET, PUT, POST and DELETE resources. CoAP implements the REST model from

HTTP, with the primitives GET, POST, PUT and DELETE.

b) *Coap Methods*: CoAP extends the HTTP request model with the ability to observe a resource. When the observe flag is set on a CoAP GET request, the server may continue to reply after the initial document has been transferred. This allows servers to stream state changes to clients as they occur. Either end may cancel the observation. CoAP defines a standard mechanism for resource discovery. Servers provide a list of their resources (along with metadata about them) at /.well-known/core. These links are in the application/link-format media type and allow a client to discover what resources are provided and what media types they are.

#### c) *Coap Transactions*:

d) *Coap Messages*: The CoAP message structure is designed to be simpler than HTTP, for reduced transmission data. Each field responds to a specific purpose.

- Constrained Application Protocol
- The IETF Constrained RESTful Environments
- CoAP is bound to UDP
- CoAP can be divided into two sub-layers
  - messaging sub-layer
  - request/response sub-layer
- a) Confirmable.
- b) Non-confirmable.
- c) Piggybacked responses.
- d) Separate response

- CoAP, as in HTTP, uses methods such as:

- GET, PUT, POST and DELETE to

■ Achieve, Create, Retrieve, Update and Delete

■ Ex: the GET method can be used by a server to inquire the clients temperature

6) *MOT*

■ Message Queue Telemetry Transport

■ Andy Stanford-Clark of IBM and Arlen Nipper of Arcom

■ Standardized in 2013 at OASIS

■ MQTT uses the publish/subscribe pattern to provide transition flexibility and simplicity of implementation

■ MQTT is built on top of the TCP protocol

■ MQTT delivers messages through three levels of QoS

■ Specifications

■ MQTT v3.1 and MQTT-SN (MQTT-S or V1.2)

■ MQTT v3.1 adds broker support for indexing topic names

■ The publisher acts as a generator of interesting data.

#### 7) *XMPPTCPIM*:

- Extensible Messaging and Presence Protocol
- Developed by the Jabber open source community
- An IETF instant messaging standard used for:
  - multi-party chatting, voice and telepresence
- Connects a client to a server using a XML stanzas
- An XML stanza is divided into 3 components:
  - message: fills the subject and body fields
  - presence: notifies customers of status updates

- ⇒ iq (info/query): pairs message senders and receivers
- ⇒ Message stanzas identify:
  - ⇒ the source (from) and destination (to) addresses
  - ⇒ types, and IDs of XMPP entities

#### 8) AMQP:

- ⇒ Advanced Message Queuing Protocol
- ⇒ Communications are handled by two main components
  - ⇒ exchanges: route the messages to appropriate queues.
  - ⇒ message queues: Messages can be stored in message queues and then be sent to receivers
- ⇒ It also supports the publish/subscribe communications.
- ⇒ It defines a layer of messaging on top of its transport layer.
- ⇒ AMQP defines two types of messages
  - ⇒ bare messages: supplied by the sender
  - ⇒ annotated messages: seen at the receiver
- ⇒ The header in this format conveys the delivery parameters:
  - ⇒ durability, priority, time to live, first acquirer & delivery count.
- ⇒ AMQP frame format

Size the frame size.

DOFF the position of the body inside the frame.

Type the format and purpose of the frame.

- \* Ex: 0x00 show that the frame is an AMQP frame
- \* Ex: 0x01 represents a SASL frame.

#### 9) DDS:

- ⇒ Data Distribution Service
- ⇒ Developed by Object Management Group (OMG)
- ⇒ Supports 23 QoS policies:
  - ⇒ like security, urgency, priority, durability, reliability, etc
- ⇒ Relies on a broker-less architecture
  - ⇒ uses multicasting to bring excellent Quality of Service
  - ⇒ real-time constraints
- ⇒ DDS architecture defines two layers:

DLRL Data-Local Reconstruction Layer

- \* serves as the interface to the DCPS functionalities

DCPS Data-Centric Publish/Subscribe

- \* delivering the information to the subscribers

- ⇒ 5 entities are involved with the data flow in the DCPS layer:
  - ⇒ Publisher: disseminates data
  - ⇒ DataWriter: used by app to interact with the publisher
  - ⇒ Subscriber: receives published data and delivers them to app
  - ⇒ DataReader: employed by Subscriber to access received data
  - ⇒ Topic: relate DataWriters to DataReaders
- ⇒ No need for manual reconfiguration or extra administration
- ⇒ It is able to run without infrastructure
- ⇒ It is able to continue working if failure happens.

Application protocol	Rest-Full	Transport	Publish-/Subscribe	Request/Response	Security	QoS	Header size (Byte)
COAP	✓	UDP	✓	✓	DTLS	✓	4
MQTT	✗	TCP	✓	✗	SSL	✓	2
MQTT-SN	✗	TCP	✓	✗	SSL	✓	2
XMPP	✗	TCP	✓	✓	SSL	✗	-
AMQP	✗	TCP	✓	✗	SSL	✓	8
DDS	✗	UDP TCP	✓	✗	SSL DTLS	✓	-
HTTP	✓	TCP	✗	✓	SSL	✗	-

TABLE X: Application protocols comparison

- ⇒ It inquires names by sending an IP multicast message to all the nodes in the local domain
- ⇒ Clients ask devices that have the given name to reply back
- ⇒ the target machine receives its name and multicasts its IP @
- ⇒ Devices update their cache with the given name and IP @

#### 10) mDNS:

- ⇒ Requires zero configuration aids to connect machine
- ⇒ It uses mDNS to send DNS packets to specific multicast addresses through UDP
- ⇒ There are two main steps to process Service Discovery:
  - ⇒ finding host names of required services such as printers
  - ⇒ pairing IP addresses with their host names using mDNS
- ⇒ Advantages
  - ⇒ IoT needs an architecture without dependency on a configuration mechanism
  - ⇒ smart devices can join the platform or leave it without affecting the behavior of the whole system
- ⇒ Drawbacks
  - ⇒ Need for caching DNS entries

### B. Network

#### 1) 6TiSCH:

#### 2) OLSRv2:

#### 3) AODVv2:

#### 4) LoRaWAN:

#### 5) ROHC:

#### 6) IPHC:

#### 7) SCHC:

#### 8) NHC:

#### 9) ROLL:

10) RPL: RPL is a Distance Vector IPv6 routing protocol for LLNs that specifies how to build a Destination Oriented Directed Acyclic Graph (DODAG) using an objective function and a set of metrics/constraints. The objective function operates on a combination of metrics and constraints to compute the best path.

An RPL Instance consists of multiple Destination Oriented Directed Acyclic Graphs (DODAGs). Traffic moves either up towards the DODAG root or down towards the DODAG leafs.

The graph building process starts at the root or LBR (LowPAN Border Router). There could be multiple roots configured in the system. The RPL routing protocol specifies a set of ICMPv6 control messages to exchange graph related information. These messages are called DIS (DODAG Information Solicitation), DIO (DODAG Information Object) and DAO (DODAG Destination Advertisement Object). The root starts advertising the information about the graph using the DIO message. The nodes in the listening vicinity (neighbouring nodes) of the root will receive and process DIO messages potentially from multiple nodes and makes a decision based on certain rules (according to the objective function, DAG characteristics, advertised path cost and potentially local policy) whether to join the graph or not. Once the node has joined a graph it has a route toward the graph (DODAG) root. The graph root is termed as the parent of the node. The node computes the rank of itself within the graph, which indicates the coordinates of the node in the graph hierarchy. If configured to act as a router, it starts advertising the graph information with the new information to its neighbouring peers. If the node is a leaf node, it simply joins the graph and does not send any DIO message. The neighbouring peers will repeat this process and do parent selection, route addition and graph information advertisement using DIO messages. This rippling effect builds the graph edges out from the root to the leaf nodes where the process terminates. In this formation each node of the graph has a routing entry towards its parent (or multiple parents depending on the objective function) in a hop-by-hop fashion and the leaf nodes can send a data packet all the way to root of the graph by just forwarding the packet to its immediate parent. This model represents a MP2P (Multipoint-to-point) forwarding model where each node of the graph has reachability toward the graph root. This is also referred to as UPWARD routing. Each node in the graph has a rank that is relative and represents an increasing coordinate of the relative position of the node with respect to the root in graph topology. The notion of rank is used by RPL for various purposes including loop avoidance. The MP2P flow of traffic is called the up direction in the DODAG.

The DIS message is used by the nodes to proactively solicit graph information (via DIO) from the neighbouring nodes should it become active in a stable graph environment using the poll or pull model of retrieving graph information or in other conditions. Similar to MP2P or up direction of traffic, which flows from the leaf towards the root there is a need for traffic to flow in the opposite or down direction. This traffic may originate from outside the LLN network, at the root or at any intermediate nodes and destined to a (leaf) node. This requires a routing state to be built at every node and a mechanism to populate these routes. This is accomplished by the DAO (Destination Advertisement Object) message. DAO messages are used to advertise prefix reachability towards the leaf nodes in support of the down traffic. These messages carry prefix information, valid lifetime and other information about the distance of the prefix. As each node joins the graph it will send DAO message to its parent set. Alternately, a node or root

can poll the sub-dag for DAO message through an indication in the DIO message. As each node receives the DAO message, it processes the prefix information and adds a routing entry in the routing table. It optionally aggregates the prefix information received from various nodes in the subdag and sends a DAO message to its parent set. This process continues until the prefix information reaches the root and a complete path to the prefix is setup. Note that this mode is called the storing mode of operation where intermediate nodes have available memory to store routing tables. RPL also supports another mode called non-storing mode where intermediate node do not store any routes.

*11) 6LowPAN:* 6LoWPAN is a networking technology or adaptation layer that allows IPv6 packets to be carried efficiently within a small link layer frame, over IEEE 802.15.4 based networks. As the full name implies, IPv6 over Low-Power Wireless Personal Area Networks, it is a protocol for connecting wireless low power networks using IPv6.

As the full name implies, IPv6 over Low-Power Wireless Personal Area Networks, it is a protocol for connecting wireless low power networks using IPv6.

*a) Characteristics:*

- Compression of IPv6 and UDP/ICMP headers
- Fragmentation / reassembly of IPv6 packets
- Mesh addressing
- Stateless auto configuration
- 

*b) Encapsulation Header format:* All LowPAN encapsulated datagrams are prefixed by an encapsulation header stack. Each header in the stack starts with a header type field followed by zero or more header fields.

*c) Fragment Header:* The fragment header is used when the payload is too large to fit in a single IEEE 802.15.4 frame. The Fragment header is analogous to the IEEE 1394 Fragment header and includes three fields: Datagram Size, Datagram Tag, and Datagram Offset. Datagram Size identifies the total size of the unfragmented payload and is included with every fragment to simplify buffer allocation at the receiver when fragments arrive out-of-order. Datagram Tag identifies the set of fragments that correspond to a given payload and is used to match up fragments of the same payload. Datagram Offset identifies the fragments offset within the unfragmented payload and is in units of 8-byte chunks.

*d) Mesh addressing header:* The Mesh Addressing header is used to forward 6LoWPAN payloads over multiple radio hops and support layer-two forwarding. The mesh addressing header includes three fields: Hop Limit, Source Address, and Destination Address. The Hop Limit field is analogous to the IPv6 Hop Limit and limits the number of hops for forwarding. The Hop Limit field is decremented by each forwarding node, and if decremented to zero the frame is dropped. The source and destination addresses indicate the end-points of an IP hop. Both addresses are IEEE 802.15.4 link addresses and may carry either a short or extended address.

*e) Header compression (RFC4944):* RFC 4944 defines HC1, a stateless compression scheme optimized for link-

local IPv6 communication. HC1 is identified by an encoding byte following the Compressed IPv6 dispatch header, and it operates on fields in the upper-layer headers. 6LoWPAN elides some fields by assuming commonly used values. For example, it compresses the 64-bit network prefix for both source and destination addresses to a single bit each when they carry the well-known link-local prefix. 6LoWPAN compresses the Next Header field to two bits whenever the packet uses UDP, TCP, or ICMPv6. Furthermore, 6LoWPAN compresses Traffic Class and Flow Label to a single bit when their values are both zero. Each compressed form has reserved values that indicate that the fields are carried inline for use when they don't match the elided case. 6LoWPAN elides other fields by exploiting cross-layer redundancy. It can derive Payload Length which is always elided from the 802.15.4 frame or 6LoWPAN fragmentation header. The 64-bit interface identifier (IID) for both source and destination addresses are elided if the destination can derive them from the corresponding link-layer address in the 802.15.4 or mesh addressing header. Finally, 6LoWPAN always elides Version by communicating via IPv6.

The HC1 encoding is shown in Figure 11. The first byte is the dispatch byte and indicates the use of HC1. Following the dispatch byte are 8 bits that identify how the IPv6 fields are compressed. For each address, one bit is used to indicate if the IPv6 prefix is linklocal and elided and one bit is used to indicate if the IID can be derived from the IEEE 802.15.4 link address. The TF bit indicates whether Traffic Class and Flow Label are both zero and elided. The two Next Header bits indicate if the IPv6 Next Header value is 7UDP, TCP, or ICMP and compressed or carried inline. The HC2 bit indicates if the next header is compressed using HC2. Fully compressed, the HC1 encoding reduces the IPv6 header to three bytes, including the dispatch header. Hops Left is the only field always carried inline.

RFC 4944 uses stateless compression techniques to reduce the overhead of UDP headers. When the HC2 bit is set in the HC1 encoding, an additional 8-bits is included immediately following the HC1 encoding bits that specify how the UDP header is compressed. To effectively compress UDP ports, 6LoWPAN introduces a range of well-known ports (61616–61631). When ports fall in the well-known range, the upper 12 bits may be elided. If both ports fall within range, both Source and Destination ports are compressed down to a single byte. HC2 also allows elision of the UDP Length, as it can be derived from the IPv6 Payload Length field.

The best-case compression efficiency occurs with link-local unicast communication, where HC1 and HC2 can compress a UDP/IPv6 header down to 7 bytes. The Version, Traffic Class, Flow Label, Payload Length, Next Header, and linklocal prefixes for the IPv6 Source and Destination addresses are all elided. The suffix for both IPv6 source and destination addresses are derived from the IEEE 802.15.4 header.

However, RFC 4944 does not efficiently compress headers when communicating outside of link-local scope or when using multicast. Any prefix other than the linklocal prefix must be carried inline. Any suffix must be at least 64 bits when

carried inline even if derived from a short 802.15.4 address. As shown in Figure 8, HC1/HC2 can compress a link-local multicast UDP/IPv6 header down to 23 bytes in the best case. When communicating with nodes outside the LoWPAN, the IPv6 Source Address prefix and full IPv6 Destination Address must be carried inline.

*f) Header compression Improved (draft-hui-6lowpan-hc-01):* To provide better compression over a broader range of scenarios, the 6LoWPAN working group is standardizing an improved header compression encoding format, called HC. The format defines a new encoding for compressing IPv6 header, called IPHC. The new format allows Traffic Class and Flow Label to be individually compressed, Hop Limit compression when common values (E.g., 1 or 255) are used, makes use of shared-context to elide the prefix from IPv6 addresses, and supports multicast addresses most often used for IPv6 ND and SLAAC. Contexts act as shared state for all nodes within the LoWPAN. A single context holds a single prefix. IPHC identifies the context using a 4-bit index, allowing IPHC to support up to 16 contexts simultaneously within the LoWPAN. When an IPv6 address matches a contexts stored prefix, IPHC compresses the prefix to the contexts 4-bit identifier. Note that contexts are not limited to prefixes assigned to the LoWPAN but can contain any arbitrary prefix. As a result, share contexts can be configured such that LoWPAN nodes can compress the prefix in both Source and Destination addresses even when communicating with nodes outside the LoWPAN.

The improved header compression encoding is shown in Figure 8. The first three bits (011) form the header type and indicate the use of IPHC. The TF bits indicate whether the Traffic Class and/or Flow Label fields are compressed. The HLIM bits indicate whether the Hop Limit takes the value 1 or 255 and compressed, or carried inline.

Bits 8-15 of the IPHC encoding indicate the compression methods used for the IPv6 Source and Destination Addresses. When the Context Identifier (CID) bit is zero, the default context may be used to compress Source and/or Destination Addresses. This mode is typically when both Source and Destination Addresses are assigned to nodes in the same LoWPAN. When the CID bit is one, two additional 4-bit fields follow the IPHC encoding to indicate which one of 16 contexts is in use for the source and destination addresses. The Source Address Compression (SAC) indicates whether stateless compression is used (typically for link-local communication) or stateful context-based compression is used (typically for global communication). The Source Address Mode (SAM) indicates whether the full Source Address is carried inline, upper 16 or 64-bits are elided, or the full Source Address is elided. When SAC is set and the Source Addresses prefix is elided, the identified context is used to restore those bits. The Multicast (M) field indicates whether the Destination Address is a unicast or multicast address. When the Destination Address is a unicast address, the DAC and DAM bits are analogous to the SAC and SAM bits. When the Destination Address is a multicast address, the DAM bits indicate different forms of multicast compression. HC also defines a new framework

for compressing arbitrary next headers, called NHC. HC2 in RFC 4944 is only capable of compressing UDP, TCP, and ICMPv6 headers, the latter two are not yet defined. Instead, the NHC header defines a new variable length Next Header identifier, allowing for future definition of arbitrary next header compression encodings. HC initially defines a compression encoding for UDP headers, similar to that defined in RFC 4944. Like RFC 4944, HC utilizes the same well-known port range (61616-61631) to effectively compress UDP ports down to 4-bits each in the best case. However, HC no longer provides an option to carry the Payload Length in line, as it can always be derived from the IPv6 header. Finally, HC allows elision of the UDP Checksum whenever an upper layer message integrity check covers the same information and has at least the same strength. Such a scenario is typical when transportor application-layer security is used. As a result, the UDP header can be compressed down to two bytes in the best case.

Routing protocol	Control Cost	Link Cost	Node Cost
OSPFv2	✗	✓	✗
OLSRv2	?	✓	✓
RIP	✓	?	✗
DSR	✓	✗	✗
RPL	✓	✓	✓

TABLE XI: Routing protocols comparison [rpl2]

- Routing over low-power and lossy links (ROLL)
- Support minimal routing requirements.
  - ⇒ like multipoint-to-point, point-to-multipoint and point-to-point.
- A Destination Oriented Directed Acyclic Graph (DODAG)
  - ⇒ Directed acyclic graph with a single root.
  - ⇒ Each node is aware of its parents
  - ⇒ but not about related children
- RPL uses four types of control messages
  - ⇒ DODAG Information Object (DIO)
  - ⇒ Destination Advertisement Object (DAO)
  - ⇒ DODAG Information Solicitation (DIS)
  - ⇒ DAO Acknowledgment (DAO-ACK)
- Standard topologies to form IEEE 802.15.4e networks are
  - Star contains at least one FFD and some RFDs
  - Mesh contains a PAN coordinator and other nodes communicate with each other
  - Cluster consists of a PAN coordinator, a cluster head and normal nodes.
  - The IEEE 802.15.4e standard supports 2 types of network nodes
- FFD Full function device: serve as a coordinator
  - \* It is responsible for creation, control and maintenance of the net
  - \* It stores a routing table in their memory and implements a full MAC
- RFD Reduced function devices: simple nodes with restricted resources

- \* They can only communicate with a coordinator
- \* They are limited to a star topology

Routing protocol	Control Cost	Link Cost	Node Cost
OSPFv2	✗	✓	✗
OLSRv2	?	✓	✓
RIP	✓	?	✗
DSR	✓	✗	✗
RPL	✓	✓	✓

TABLE XII: Routing protocols comparison [rpl2]

### C. MAC

Channel based	FDMA	OFDMA WDMA SC-FDMA	
TDMA	MF-TDMA STDMA		
CDMA	W-CDMA TD-CDMA TD-SCDMA DS-CDMA FH-CDMA MC-CDMA		
SDMA	HC-SDMA		
Packet-based	Collision recovery	ALOHA Slotted ALOHA R-ALOHA AX.25 CSMA/CD	
	Collision avoidance	MACA MACAW CSMA CSMA/CA DCF PCF HCF CSMA/CARP	
	Collision-free	Token ring Token bus MS-ALOHA	
Duplexing methods	Delay and disruption tolerant	MANET VANET DTN Dynamic Source Routing	

TABLE XIII

- 1) *Sharing the channel:*
  - a) TDMA, FDMA, CDMA, TSMA:
- 2) *Transmitting information:*
  - a) TFDM, TDSSS, THSS:

### D. Radio

- 1) *Digital modulation:*
  - a) ASK, APSK, CPM, FSK, MFSK, MSK, OOK, PPM, PSK, QAM, SC-FDE, TCM WDM:
- 2) *Hierarchical modulation:*
  - a) QAM, WDM:
- 3) *Spread spectrum:*
  - a) SS, DSSS, FHSS, THSS:

### E. Summary and discussion

## IV. IoT NORMS & STANDARDS

Several different wireless communication protocols, such as Wireless LAN (WLAN), BLE, 6LoWPAN, and ZigBee may be suitable for IoT applications. They all operate in the 2.4GHz frequency band and this, together with the limited output power in this band, means that they all have a similar range. The main differences are located in the MAC, PHY, and network layer. WLAN is much too power hungry as seen in table 2.6 and is only listed as a reference for the comparisons.

### A. Divers

- 1) *IPLC:*
- 2) *BACnet:*
- 3) *Z-Wave:*

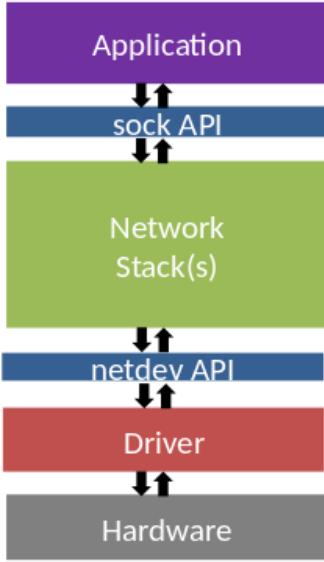


Fig. 9: LPWAN.

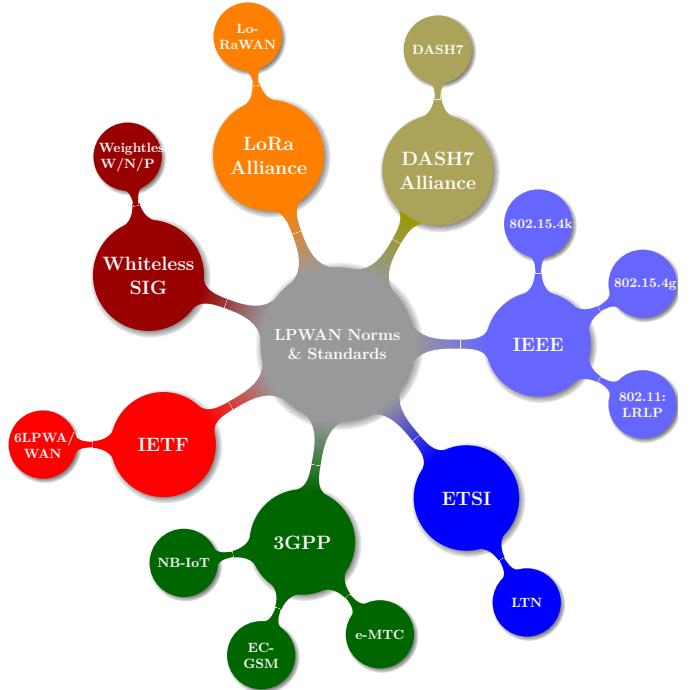


Fig. 11: LPWAN.

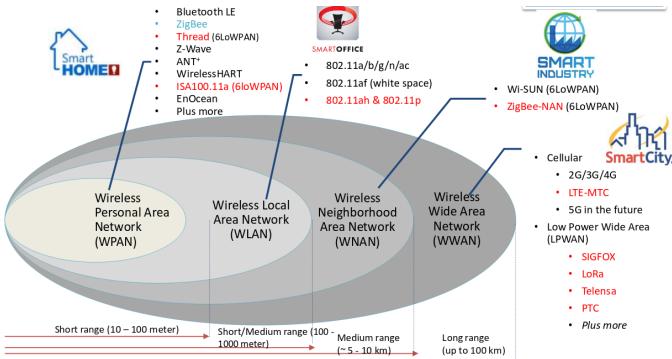


Fig. 10: LPWAN.

4) *Bluetooth LE*: BLE is developed to be backwards compatible with Bluetooth, but with lower data rate and power consumption [28]. Featuring a data rate of 1Mbit/s with a peak current consumption less than 15mA, it is a very efficient protocol for small amounts of data. Each frame can be transmitted 47bytes in 1Mbit/s = 376μs; thanks to the short transmission time, the transceivers consumes less power as the transceiver can be in receive mode or completely off most of the time. BLE uses its own addressing methods and as the MAC frame size (figure 2.6) is only 39bytes, thus IPv6 addressing is not possible.

Starting from Bluetooth version 4.2, there is support for IPv6 addressing with the Internet Protocol Support Profile; the new version allows the BLE frame to be variable between 2-257 bytes. The network set-up is controlled by the standard Bluetooth methods, whereas IPv6 addressing is handled by 6LoWPAN as specified in IPv6 over Bluetooth Low Energy [29].

#### B. SigFox

#### C. IETF

1) *6LoWPAN*: is a relatively new protocol that is maintained by the Internet Engineering Task Force (IETF) [7, 6]. The purpose of the protocol is to enable IPv6 traffic over a IEEE 802.15.4 network with as low overhead as possible; this is achieved by compressing the IPv6 and UDP header. A full size IPv6 + UDP header is 40+8 bytes which is ~38% of a IEEE 802.15.4 frame, but with the header compression this overhead can be reduced to 7 bytes, thus reducing the overhead to ~5%, as seen in figures 2.3 and 2.4.

#### D. 3GPP

- 1) *NB-IoT*:
- 2) *EC-GSM*:
- 3) *e-MTC*:

#### E. IEEE

##### 1) IEEE 802.11:

2) *IEEE 802.15.4*: At present days, there are several technology standards. Each one is designed for a specific need in the market. For the Wireless Sensor Networks, the aim is to transmit little information, in a small range, with a small power consumption and low cost. The IEEE 802.15.4 standard offers physical and media access control layers for low-cost, low-speed, low-power Wireless Personal Area Networks (WPANs)

a) *Physical Layer*: The standard operates in 3 different frequency bands: - 16 channels in the 2.4GHz ISM band - 10 channels in the 915MHz ISM band - 1 channel in the European 868MHz band

*b) Definitions:* Coordinator: A device that provides synchronization services through the transmission of beacons. PAN Coordinator: The central coordinator of the PAN. This device identifies its own network as well as its configurations. There is only one PAN Coordinator for each network. Full Function Device (FFD): A device that implements the complete protocol set, PAN coordinator capable , talks to any other device. This type of device is suitable for any topology. Reduced Function Device (RFD): A device with a reduced implementation of the protocol, cannot become a PAN Coordinator. This device is limited to leafs in some topologies.

*c) Topologies:* Star topology: All nodes communicate via the central PAN coordinator , the leafs may be any combination of FFD and RFD devices. The PAN coordinator usually uses main power.

Peer to peer topology: Nodes can communicate via the central PAN coordinator and via additional point-to-point links . All devices are FFD to be able to communicate with each other.

Combined Topology: Star topology combined with peer-to-peer topology. Leafs connect to a network via coordinators (FFDs) . One of the coordinators serves as the PAN coordinator .

*IEEE 802.15.4:* The IEEE 802.15.4 standard defines the PHY and MAC layers for wireless communication [6]. It is designed to use as little transmission time as possible but still have a decent payload, while consuming as little power as possible. Each frame starts with a preamble and a start frame delimiter; it then continues with the MAC frame length and the MAC frame itself as seen in figure 2.2. The overhead for each PHY packet is only 4+1+1 133 tild 4.5%; when using the maximum transmission speed of 250kbit/s, each frame can be sent 133byte in 250kbit/s = 4.265ms. Furthermore, it can also operate in the 868MHz and 915MHz bands, maintaining the 250kbit/s transmission rate by using Offset quadrature phase-shift keying (O-QPSK).

Several network layer protocols are implemented on top of IEEE 802.15.4. The two that will be examined are 6LoWPAN and ZigBEE.

*3) ZigBee:* is a communication standard initially developed for home automation networks; it has several different protocols designed for specific areas such as lighting, remote control, or health care [27, 6]. Each of these protocols uses their own addressing with different overhead; however, there is also the possibility of direct IPv6 addressing. Then, the overhead is the same as for uncompressed 6LoWPAN, as seen in figure 2.5.

A new standard called ZigBee 3.0 aims to bring all these standards together under one roof to simplify the integration into IoT. The release date of this standard is set to Q4 2015.

#### F. LoRaWAN

LoRa (Long Range) is a proprietary spread spectrum modulation technique by Semtech. It is a derivative of Chirp Spread Spectrum (CSS). The LoRa physical layer may be used with

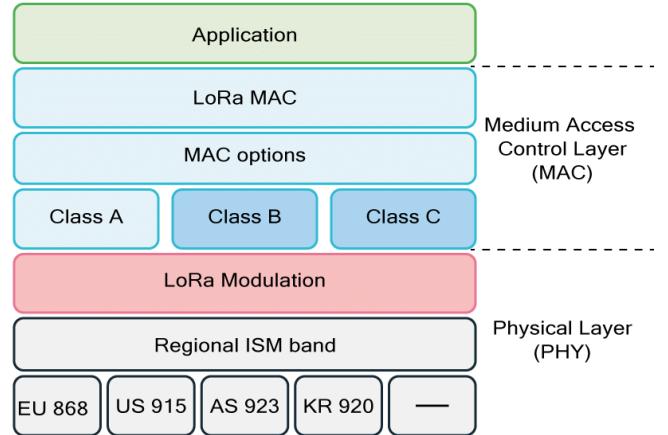


Fig. 12: uhuhuh.

any MAC layer; however, LoRaWAN is the currently proposed MAC which operates a network in a simple star topology.

As LoRa is capable to transmit over very long distances it was decided that LoRaWAN only needs to support a star topology. Nodes transmit directly to a gateway which is powered and connected to a backbone infrastructure. Gateways are powerful devices with powerful radios capable to receive and decode multiple concurrent transmissions (up to 50). Three classes of node devices are defined: (1) Class A enddevices: The node transmits to the gateway when needed. After transmission the node opens a receive window to obtain queued messages from the gateway. (2) Class B enddevices with scheduled receive slots: The node behaves like a Class A node with additional receive windows at scheduled times. Gateway beacons are used for time synchronisation of end-devices. (3) Class C end-devices with maximal receive slots: these nodes are continuous listening which makes them unsuitable for battery powered operations.

##### 1) ALIANCE:

###### a) Class-A:

**Uplink:** LoRa Server supports Class-A devices. In Class-A a device is always in sleep mode, unless it has something to transmit. Only after an uplink transmission by the device, LoRa Server is able to schedule a downlink transmission. Received frames are de-duplicated (in case it has been received by multiple gateways), after which the mac-layer is handled by LoRa Server and the encrypted application-playload is forwarded to the application server.

**Downlink:** LoRa Server persists a downlink device-queue for to which the application-server can enqueue downlink payloads. Once a receive window occurs, LoRa Server will transmit the first downlink payload to the device.

**Confirmed data:** LoRa Server sends an acknowledgement to the application-server as soon one is received from the

device. When the next uplink transmission does not contain an acknowledgement, a nACK is sent to the application-server.

**Note:** After a device (re)activation the device-queue is flushed.



Fig. 13: Class A.

b) *Class-B*: LoRa Server supports Class-B devices. A Class-B device synchronizes its internal clock using Class-B beacons emitted by the gateway, this process is also called a beacon lock. Once in the state of a beacon lock, the device negotiates its ping-interval. LoRa Server is then able to schedule downlink transmissions on each occurring ping-interval.

**Downlink:** LoRa Server persists all downlink payloads in its device-queue. When the device has acquired a beacon lock, it will schedule the payload for the next free ping-slot in the queue. When adding payloads to the queue when a beacon lock has not yet been acquired, LoRa Server will update all device-queue to be scheduled on the next free ping-slot once the device has acquired the beacon lock.

**Confirmed data:** LoRa Server sends an acknowledgement to the application-server as soon one is received from the device. Until the frame has timed out, LoRa Server will wait with the transmission of the next downlink Class-B payload.

**Note:** The timeout of a confirmed Class-B downlink can be configured through the device-profile. This should be set to a value less than the interval between two ping-slots.

### Requirements:

**Device** The device must be able to operate in Class-B mode. This feature has been tested against the develop branch of the Semtech LoRaMac-node source.

**Gateway** The gateway must have a GNSS based time-source and must use at least the Semtech packet-forwarder version 4.0.1 or higher. It also requires LoRa Gateway Bridge 2.2.0 or higher.

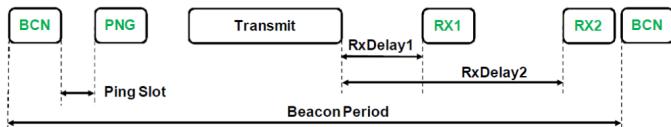


Fig. 14: Class B.

c) *Class-C*:

**Downlink:** LoRa Server supports Class-C devices and uses the same Class-A downlink device-queue for Class-C downlink transmissions. The application-server can enqueue one or multiple downlink payloads and LoRa Server will transmit these (semi) immediately to the device, making sure no overlap exists in case of multiple Class-C transmissions.

**Confirmed data:** LoRa Server sends an acknowledgement to the application-server as soon one is received from the device. Until the frame has timed out, LoRa Server will wait with the transmission of the next downlink Class-C payload.

**Note:** The timeout of a confirmed Class-C downlink can be configured through the device-profile.

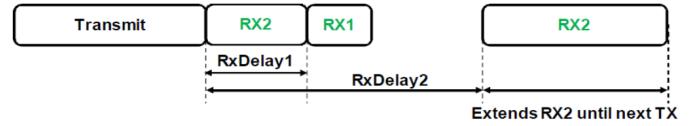


Fig. 15: Class C.

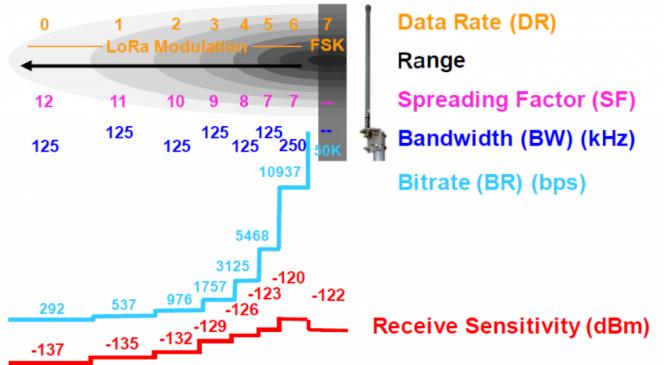


Fig. 16: LoraWan Parameters.

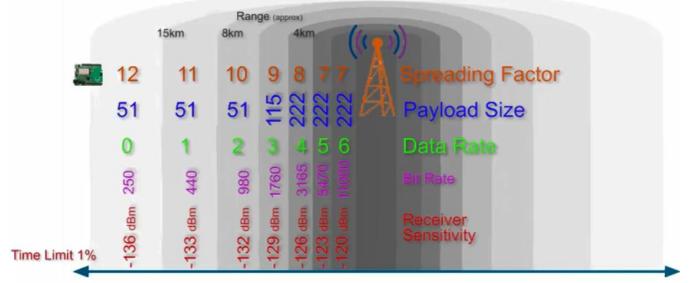


Fig. 17: .

2) **SEMTECH:** LoRa has four configurable parameters:  
**BW Bandwidth:** Bandwidth (BW) is the range of frequencies in the transmission band. Higher BW gives a higher data rate (thus shorter time on air), but a lower sensitivity (due to integration of additional noise). A lower BW gives a higher sensitivity, but a lower data rate. Lower BW also

requires more accurate crystals (less ppm). Data is send out at a chip rate equal to the bandwidth. So, a bandwidth of 125 kHz corresponds to a chip rate of 125 kcps. The SX1272 has three programmable bandwidth settings: 500 kHz, 250 kHz and 125 kHz. The Semtech SX1272 can be programmed in the range of 7.8 kHz to 500 kHz, though bandwidths lower than 62.5 kHz requires a temperature compensated crystal oscillator (TCXO).

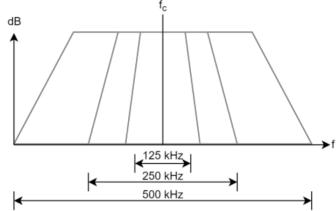


Fig. 18: .

**CF Carrier Frequency:** Carrier Frequency (CF) is the centre frequency used for the transmission band. For the SX1272 it is in the range of 860 MHz to 1020 MHz, programmable in steps of 61 Hz. The alternative radio chip Semtech SX1276 allows adjustment from 137 MHz to 1020 MHz.

**CR Coding Rate:** Coding Rate (CR) is the FEC rate used by the LoRa modem and offers protection against bursts of interference. A higher CR offers more protection, but increases time on air. Radios with different CR (and same CF/SF/BW), can still communicate with each other. CR of the payload is stored in the header of the packet, which is always encoded at 4/8.

**SF Spreading Factor:** SF is the ratio between the symbol rate and chip rate. A higher spreading factor increases the Signal to Noise Ratio (SNR), and thus sensitivity and range, but also increases the air time of the packet. The number of chips per symbol is calculated as  $2^{SF}$ . For example, with an SF of 12 (SF12) 4096 chips/symbol are used. Each increase in SF halves the transmission rate and, hence, doubles transmission duration and ultimately energy consumption. Spreading factor can be selected from 6 to 12. SF6, with the highest rate transmission, is a special case and requires special operations. For example, implicit headers are required. Radio communications with different SF are orthogonal to each other and network separation using different SF is possible.

#### Tx Transmition power:

Payload Payload length:

LoRa has four metrics performance:

#### ► Radio

**SINR signal-to-interference-plus-noise ratio:**

**SIR Signal-to-Interference Ratio:**

**SNR Signal-to-noise ratio:**

**ToA Time on Air:**

**PL Path Loss:**

#### ► Network

**PDR Packet delivery ratio:**

**BER Bit error rate:**

**PRR Packet Reception Ratio:**

$$SF = \log_2 \frac{R_c}{SR} \quad (1)$$

$$T_s = \frac{2^{SF}}{BW_{[Hz]}} \quad (2)$$

$$SR_{[sps]} = \frac{BW}{2^{SF}} \quad (3)$$

$$DR_{[bps]} = SF * \frac{BW_{[Hz]}}{2^{SF}} * CR \quad (4)$$

$$BR_{[bps]} = SF * \frac{\frac{4}{2^{SF}}}{\frac{4+CR}{BW}} \quad (5)$$

$$Sen_{[dBm]} = -174 + 10 \log_{10} BW + NF + SNR \quad (6)$$

$$SNR_{[dB]} = 20 \log(\frac{S}{N}) \quad (7)$$

$$SNR_{[dB]} = 20 \log(\frac{S}{N}) \quad (8)$$

$$BER_{[bps]} = \frac{8}{15} \cdot \frac{1}{16} \cdot \sum k = 216 - 1^k (\frac{16}{k}) e^{20 \cdot SINR(\frac{1}{k} - 1)} \quad (9)$$

$$BER_{[bps]} = 10^{\alpha \cdot e^{\beta SNR}} \quad (10)$$

$$PER_{[pps]} = 1 - (1 - BER)^{n_{bits}} \quad (11)$$

$$PRR = (1 - BER)^L \quad (12)$$

$$(13)$$

$$RSSI = Tx_{power} \cdot \frac{Rayleigh_{power}}{PL} \quad (14)$$

$$LoRa = \frac{2^{SF}}{BW} \left( (NP + 4.25) + \left( SW + \max \left( \left[ \frac{8PL - 4SF + 28 + 16CRC}{4(SF - 2DE)} \right] \right) \right) \right) \quad (15)$$

$$Lora = n_s = 8 + \max \left( \left[ \frac{8PL - 4SF + 8 + CRC + H}{4 * (SF - DE)} \right] * \frac{4}{CR} \right) \quad (16)$$

$$Lora = \frac{1}{R_s} \left( n_{preamble} + \left( SW + \max \left( \left[ \frac{8PL - 4SF + 28 + 16CRC}{4(SF - 2DE)} \right] \right) \right) \right) \quad (17)$$

$$GFSK = \frac{8}{R_{GFSK}} \left( L_{preamble} + SW + PL + 2CRC \right) \quad (18)$$

$$GFSK = \frac{8}{DR} (NP + SW + PL + 2CRC) \quad (19)$$

$$(20)$$

SF	07	08	09	10	11	12	07	08	09	10	11	12	07	08	09	10	11	12
BW	125						250						500					
07		x						x	x					x	x			
08		x																
09	125		x						x									
10			x							x								
11				x														
12				x														
07	250			x						x			x	x				
08					x									x				
09		x				x												
10			x				x								x			
11			x					x								x		
12				x				x								x		
07	500					x			x			x		x				
08					x								x					
09				x														
10				x			x						x					
11		x				x								x				
12		x					x							x				

TABLE XIV: uuyuyu

	LoRa[5]	SigFox[6]	NB-IoT [7]	Z-Wave[8]	Wi-Fi[9]
Cost	35e	25e	1020e	812e	< 2e
DR	<50 kbps	<100 bps	<200 kbps	<40 Mbps	<300
Autonomy	<10 years	<10 years	<10 years	<2 years	<10 days
Range (urban)	<5 km	<10 km	<1 km	<100 m	<40 m
Modulation	CSS	BPSK	QPSK	FSK	BP-SK/QAM
BW	125/250 kHz	100 Hz	200 kHz	300 kHz	20/40 MHz
Frequency (EU)	868 MHz	868 MHz	LTE bands	868 MHz	2.4/5.0 GHz
Spectrum Cost	Free	Free	Very High	Free	Free
Max. msg/day	Unlimited	140(), 4()	Unlim-ited	Unlim-ited	Unlimited
Max. payload	243 bytes	12(), 8()	1600 bytes	64 bytes	64 KB

TABLE XV: Wireless technologies commonly used in smart buildings [15]

Base Station (*BS*) Link Symmetry (*LS*) Forward error correction (*FEC*) Chirp Spread Spectrum (Proprietary) (*CSS*)

	LTE Cat.1	LTE Cat.0	LTE Cat.M	EC-GSM	NB-LTE*	NB-CIoT
Spectrum			LTE In-Band, Greenfield	GSM In-Band, Greenfield	Greenfield	
Release Date/Commercialization			2009 2014 2015/2016	2016/2017 2016/2017 2016/2017		
3GPP Release	Rel-8	Rel-12	Rel-13	Rel-13 Rel-13/14 Rel-13/14		
Peak Data Rate	DL: 10Mbps UL: 5Mbps	DL: 1Mbps UL: 1Mbps	DL: 1Mbps UL: 1Mbps	DL: 74kbps UL: 74kbps	DL: 128kbps? UL: 64kbps?	UL: 48/14.7kbps
System Bandwidth	20MHz	20MHz	1.4MHz	200kHz	200kHz	200kHz
LPWA Network	No	No	No	Yes	Yes	
Link Budget Target	140dB	140dB	155dB	164dB	164dB	164dB
Network Upgrade	No Need	SW Upgrade	To be determined	Yes (HW/SW?)	Yes (HW/SW?)	New Network Clean Slate overlaid with GSM network

Fig. 19: .

[18] Nous avons vu en effet plus haut qu'il a été démontré que la méthode CSMA est plus efficace pour le traitement des faibles trafics, tandis que TDMA est nettement plus appropriée pour supporter les trafics intensesj.

SIGFOX	LORAWAN	INGENU	TELENSA
UNB DBPSK(UL), GFSK( DL )	CSS	RPMA-DSSS( UL ), CDMA( DL )	UNB 2-FSK
S UB -GH Z ISM:EU (433MHz (868MHz), US (915MHz), Asia (430MHz)	ISM 2.4GHz	S UB -GH Z bands including ISM:EU (868MHz), US (915MHz), Asia (430MHz)	
100 bps( UL ), 600 bps( DL )	0.3-37.5 kbps ( L O Ra), 50 kbps ( FSK )	78kbps ( UL ), 50 kbps( DL )	62.5 bps( UL ), 500 bps( DL )
10 km ( URBAN ), 50 km ( RURAL )	5 km ( URBAN ), 15 km ( RURAL )	15 km ( URBAN )	1 km ( URBAN )
360 channels	10 in EU, 64+8( UL ) and 8( DL ) in US plus multiple SFs	40 1MHz channels, up to 1200signals per channel	multiple channels

TABLE XVI: [16]

Standard	802.15.4k	802.15.4g	Weightless-W	Weightless-N	Weightless-P	DASH 7 Alliance
Modulation	DSSS, FSK	MR-[FSK, 16-QAM, OFDMA, OQPSK]	DBPSK	UNB DBPSK	GMSK, offset-QPSK	GFSK
BW	ISM S UB -GH Z, 2.4GHz	ISM S UB -GH Z, 2.4GHz	TV white spaces	ISM S UB -GH Z EU (868MHz), US (915MHz)	S UB -GH Z ISM or licensed	UB -GH Z 433MHz, 868MHz, 915MHz
DR	1.5 bps-128 kbps	4.8 kbps-800 kbps	1 kbps-10 Mbps	30 kbps-100 kbps	200 kbps-100 kbps	9.6,55.6,166.7
Range	5 km ( URBAN )	up to several kms	5 km ( URBAN )	3 km ( URBAN )	2 km ( URBAN )	0-5 km ( URBAN )
MAC	CS-MA/CA, CSMA/CA or A LOHA with PCA	CS-MA/CA	TD-MA/FDMA	slotted ALOHA	TD-MA/FDMA	CS-MA/CA
Topology	star	tar, mesh, peer-to-peer	star	star	star	tree, star
PL	2047B	2047B	>10B	20B	>10B	256B
Security	AES 128b	AES 128b	AES 128b	AES 128b	AES 128/256b	AES 128b
Forward error correction	✓	✓	✓	✗	✓	✓

TABLE XVII: [16]

## G. Summary and discussion

### V. IoT SDN

SDN is the equipment virtualisation, NFV is the function virtualisation

Phy protocol	IEEE 802.15.4	BLE	EPC-global	Z-Wave	LTE-M	ZigBee
Standard		IEEE 802.15.1				IEEE 802.15.4, ZigBee Alliance
BW (MHz)	868/915/2400	860-960	868/908/2400-900			
MAC	TDMA, CS-MA/CA	TDMA	ALOHA	CS-MA/CA	OFDMA	
DR (bps)	20/40/250 K	1024K	varies 5-640K	40K	1G (up), 500M (down)	
Throughput			9.6, 40, 200kbps			
Scalability	65K nodes	5917 slaves	-	232 nodes	-	
Range	10-20m	10-100m				
Addressing	8 16bit	16bit				

TABLE XVIII: IoT cloud platforms and their characteristics [7]

	802.15.4	802.15.4e	802.15.4g	802.15.4f
CF	2.4Ghz (DSSS + oQPSK, CSS+DQPSK )	2.4Ghz (DSSS + oQPSK, CSS+DQPSK )	2.4Ghz (DSSS + oQPSK, CSS+DQPSK )	2.4Ghz (DSSS + oQPSK, CSS+DQPSK )
DR Differences	868Mhz (DSSS + BPSK) 915Mhz (DSSS + BPSK) Upto 250kbps -	868Mhz (DSSS + BPSK) 915Mhz (DSSS + BPSK) Upto 800kbps Time sync and channel hopping N/A	868Mhz (DSSS + BPSK) 915Mhz (DSSS + BPSK) Up to 800kbps Phy Enhancements	868Mhz (DSSS + BPSK) 915Mhz (DSSS + BPSK) ~3-10Ghz (BPM+BPSK ) Mac and Phy Enhancements
PL	127 bytes	1 75+ m General Low-power Sensing/Actuating	Up to 2047 bytes Upto 1km Smart utilities	N/A Active RFID
Range Goals	Many	Industrial segments	Connode (6LoWPAN)	LeanTegra PowerMote
Products				

TABLE XIX: IEEE 802.15.4 standards [17]

SF	Sensitivity[dBm]	DR[kb/s]		
6	-118	9.38		
7	-123			
8	-126			
9	-129			
10	-132			
11	-134.5			
12	-137			

TABLE XX: hg hg

SF/BW	125kHz		250kHz		500kHz	
	Sensitivity [dBm]	Bit Rate [kb/s]	Sensitivity	Data Rate	Sensitivity	Data Rate
6	-118	5.468	-115	-111	-116	-119
7	-123	3.125	-120	-122	-125	-128
8	-126	1.757	-123	-128	-129	-130
9	-129	0.976	-125	-128	-129	-130
10	-132	0.537	-130	-133	-130	-133
11	-133	0.293	-133	-133	-130	-130
12	-136					

TABLE XXI: Receiver sensitivity [dBm]

DR	Modulation			Max transmission unit		BR
	SF	BW [kHz]	Coding Rate (CR)	Total [B]	Payload [B]	
0	12	125	4/6	64	51	0.25
1	11	125	4/6	64	51	0.44
2	10	125	4/5	64	51	0.98
3	9	125	4/5	128	115	1.76
4	8	125	4/5	255	242	3.125
5	7	125	4/5	255	242	5.47
6	7	125	4/5	255	242	11
7	125	4/5		255	242	50

TABLE XXII: oioioi

Feature	Wi-Fi	802.11p	UMTS	LTE	LTE-A
Channel MHz	20	10	5	1.4, 3, 5, 10, 15, 20	<100
Frequency band(s) GHz	2.4 , 5.2	5.86-5.92	0.7-2.6	0.7-2.69	0.45-4.99
Range	<0.1	<1	<10	<30	<30
Capacity	Medium	Medium	X	✓	✓
Coverage	Intermittent	Intermittent	Ubiquitous	Ubiquitous	Ubiquitous
Mobility support km/h	X	Medium	✓	<350	<350
QoS support	EDCA Enhanced Distributed Channel Access	EDCA Enhanced Distributed Channel Access	QoS classes and bearer selection	QCI and bearer selection	QCI and bearer selection
Broadcast/multicast support	Native broadcast	Native broadcast	Through MBMS	Through eMBMS	Through eMBMS
V2I support	✓	✓	✓	✓	✓
V2V support	Native (ad hoc)	Native (ad hoc)	X	X	Through D2D
Market penetration	✓	X	✓	✓	✓
DR	<640 kbps	250 kbps	106424 kbps	✓	✓

TABLE XXIII: An example table.

A. Application

B. Control

C. Data

D. Summary and discussion

## VI. IoT SECURITY

A. Application

1) MQTT:

## 2) Blockchain: Blockchain Layers

- Transaction & contract layer
- Validation layer (forward validation request)
- Block Generation Layer (PoW,PoC, PoA PoS, PBFT)
- Distribution Layer

## Consensus algorithms

- Proof of Work (PoW)
- Proof of Capacity (PoC)
- Proof of Authority (PoA)
- Proof of Stake (PoS)
- Proof of Byzantine Fault Tolerant (PBFT)

## B. Network

### C. MAC/Radio

- 1) LoraWan:
- 2) Zigbee:
- 3) 802.15.4:

### D. Summary and discussion

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# Testbed

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## **Abstract—**

## I. INTRODUCTION [1]

### A. problem statement

### B. Background

Internet of Things (IoT) is a concept aiming at connecting all things to the Internet [1]. The different kinds of devices range from simple sensor devices to complex machines such as industry robots. Home automation has been available for a few years in the forms of timers and remotely controlled devices, such as lights, garage door, and climate control equipment. Also in the industry and workplace, there are current systems that have some of the functionality of IoT, e.g., sensors in robots and machines which keep track of the system status so that maintenance can be scheduled at the right time. However, these systems or sensors rarely communicate with each other or make decisions based on other sensor values; instead they depend on input from a user. In the same way cellphones connected people and made them constantly connected to the Internet, IoT will connect devices and make them constantly connected to the Internet [2]. In theory, this could lead to a future with autonomous technology all around us. The benefits could be huge as it would save time and energy for both the individual at home and for the industry [3]. IoT could be used in industry to automate power-heavy tasks to run when the electricity price is low. This principle can also be applied for the home user with laundry machines and charging of e.g. electric cars. This practice would lead to reduced energy consumption and thus a reduced environmental footprint. i3tex AB wants to investigate potential fields of applicability of this upcoming technology. i3tex AB has customers in the automotive, communication, and pulp industries; those customers have made inquiries on how to integrate IoT and sensor networks into production. As technology evolves, size and energy consumption of the IoT devices will decrease and computation power will increase [4]. This reduction in size and energy consumption, together with the increased computing power, will open up new fields for IoT. Thus, i3tex AB want to have an IoT platform to present to their current and potential customers. The interest in IoT is rapidly increasing, and thus, in the near future, the number of devices connected to the Internet is expected to increase rapidly. To support this huge

increase in both number of connected devices and the sheer amount of data that will be sent over both wired and wireless networks, the communication technology must be ready [5].

### C. Purpose (Goal)

The purpose of this project is to find and examine a communication method for devices that are made to be a part of IoT. This will be done by examining the available technologies and then developing a prototype based on the findings, which will be used for examining the communication method. This project will examine the physical, link, and network layers [6, 7] of the Open Systems Interconnection model (OSI model) [8], in order to find suitable technologies on the market. As IoT is still only defined as a concept, there are several technologies to take into consideration and examine in further detail. The prototype will be delivered to i3tex AB together with appropriate documentation, e.g. technical specification, hardware manual, software manual, and API specification.

### D. Limitations

To be able to achieve the project goal within the available time, limitations need to be defined in the three main areas of: Operating System (OS), hardware, and communication method. The OS will not be custom-made, but rather selected amongst those already on the market. Thus, to simplify the hardware selection, only those OSs which already have hardware support that meets the requirements will be taken into consideration. Furthermore, support for either 6LoWPAN, ZigBee, or Bluetooth Low Energy (BLE) as communication method is required, since development to make those standards available is outside the scope of the project. On the hardware side, the limitations will be to only use existing devices and parts as there will be no time for developing hardware or Printed Circuit Boards (PCBs). However, the hardware does not need to have an integrated radio transceiver, but needs to support at least one transceiver supporting IEEE802.15.4 [6]. Thus, communication methods will be primarily selected from specifications building on the IEEE 802.15.4.

### E. Method

To ensure that the right technologies were selected and investigated, the first phase of the project was a literature

study. The study served as a foundation when developing and performing the evaluation of the communication methods. At the end of the phase, a requirements specification was formulated to serve as a platform for the next phase. After the literature study, a selection process was performed, where the most promising technologies that met the requirements were examined in further detail and brought into the development phase. This process included the selection of development tools and other decisions bound to the product development. In the development phase, the chosen set-up was configured and assembled to prepare for testing; it was then tested according to throughput, range, latency, and energy consumption. Throughput was measured in kilobyte per second (KB/s) and tested by transferring data of different sizes in both congested and uncongested network set-ups to simulate real world and lab environments. The same set-up was used to measure the latency of a transmission, which was measured in microseconds (ms). Range was calculated instead of measured, with meters (m) as the unit. The power consumption was measured in watts (W). Each week a meeting with the company supervisors was performed, to keep the work on the right track. Here, feedback was given and other issues and questions handled.

## II. RELATED WORK

## III. BACKGROUND

The goal of the implementation phase is to have a working prototype for future assessment. To make the process of implementing the prototype possible, the first part of the implementation process will be to create a set of requirements. When these are set, the process will continue by comparing the data from chapter 2 to find the candidates that fulfil the requirements. After the technologies are selected, the process will continue with setting up the workspace, which includes the platform for development and the required tools to build, debug and test the prototype. Finally, when these three steps have been performed, the next step will be to start with the actual prototype development.

### *Requirements*

As the time dedicated for development is limited, the requirements have to make sure that the development process does not run into any major obstacles. All parts of the total prototype need to fit together seamlessly. However, the hardware platform and the operating system are tied most closely together. Therefore, they need requirements that complement each other, so that they can act as a platform for software development. Naturally, both the hardware and the operating system requirements might have to be altered slightly to enable the best match.

### *A. Hardware*

Each platform examined in chapter 2 has different strengths and weaknesses. When looking at the MCU, OpenMote has a ARM Cortex-M3 which is more powerful compared to the other two alternatives: it features a 32bit 32MHz core with 32KB RAM and 512KB flash memory compared to

the MSP430 16bit 25MHz core with 10KB/100KB memory configuration. In terms of peripherals all three platforms are comparable, with similar amount of DAC, GPIO pins, and external busses. All of the platforms have a temperature sensor and an accelerometer, but OpenMote also features an light/uv-light sensor and a voltage sensor built into the MCUs ADC. The MSB430 platform has a somewhat lower power consumption in active RF mode thanks to the less power-hungry sub-GHz transceiver. On the other hand, the less powerful MSP430 MCU has a better deep sleep power consumption, but as the radio is not integrated in the chip as it is in the CC2538 SoC, that advantage is offset by the external transceiver. Comparing the transceivers, there are two 2.4GHz models and one subGHz model; the sub-GHz CC1100 has a higher transmit power of 10dBm compared to 0dBm for CC2420 and 7dBm for CC2538. Also the sensitivity is similar for all the alternatives but gives a slight advantage to CC2538 with -97dBm compared to -95dBm and -93dBm for CC2420 and CC1100. Using these numbers and Friis range equation (equation 4.1) the range of each transceiver with a Fade margin (FM) of 20dB can be seen in figure 3.1. The benefits of working with lower frequencies can clearly be seen as the theoretical range of CC1100 is almost 3 times longer than the 2.4GHz transceivers.

Adding all this information together, the choice of platform will land on OpenMote with the CC2538SoC. It both has a MCU with more memory and better performance, and a transceiver with really good characteristics both in terms of energy consumption and range. Also OpenMote is the only option that can act as a border router using OpenBase; it lets the SoC interface with USB, UART, JTAG, and Ethernet, which enables the standalone border router mode without the need to be connected to a computer or other hardware. The OpenBattery extension lets the SoC operate as a node in a mesh network and provides a dual AAA battery slot connected to the PCB.

*Resume:* Transceiver with IEEE 802.15.4 or IEEE 802.15.1 Integrated sensor/sensors MCU with low power mode under 5MicroA Wakeup from low power mode with timer Border router ability Joint Test Action Group (JTAG) support

### *B. Operating system*

As a modern operating system can be compiled to match almost any hardware, the most important thing to have in mind is the out-of-the-box hardware support. Only RIOT and Contiki have full support for the ARM Cortex-M3 of the considered operating systems and thus both TinyOS and freeRTOS are directly eliminated as developing the support would take too much time. Compared to RIOT, Contiki also has full driver support for the sensors and transceiver, which should decrease the implementation time significantly. When compiled into binary form, RIOT uses less RAM and ROM and thus probably is a bit faster compared to Contiki, which could be important if the application consumes much resources. The lower memory usage might also give RIOT an advantage in being future-proof. Contiki also has support for soft real-time scheduling

compared to the hard real-time scheduling of RIOT; this is however not crucial, as the software that will be running on the OS does not have any hard real-time constraints. Both RIOT and Contiki have support for 6LoWPAN but no support for either ZigBee or BLE; this is due to the fact that these are proprietary stacks. Support could be added but would take some time to customize for the given OS. What gives Contiki the largest advantage is that it also have border router software ready for deployment, which in the RIOT case would have to be developed. All in all, as the project has such a limited time frame, Contiki will be selected as the OS; this, mainly because Contiki comes with most advantages time-wise; this choice means that the focus of the software development will be the creation of a test and evaluation system.

*Resume:* Support for the SoC/MCU and transceiver 6LoWPAN, ZigBee or BLE stack Soft real-time RAM and ROM footprint matching the hardware

### C. Communication protocol

The OpenMote platform has a IEEE 802.15.4 transceiver and thus supports both ZigBee and 6LoWPAN; this means that BLE is not an option. As ZigBee does not have full IPv6 support yet and is not integrated into Contiki, the natural choice is 6LoWPAN. This choice will not only save some development time but also enables evaluation of the header compression. As seen in figure 3.2, the 6LoWPAN stack in Contiki will replace the IP stack while maintaining the same functionality. As the functionality is the same, TCP and HTTP will work with 6LoWPAN, but including them in the source increases the OS build size considerably. On top of the UDP layer, Contiki also has a working implementation of CoAP that can be used for retrieving data from the nodes in a power efficient manner. CoAP is a stateless protocol that uses the HTTP response headers to achieve a very low overhead in transmissions while using application level reliability methods to ensure packet delivery.

*Resume:* IPv6 addressing support Existing OS support Network type is mesh UDP

### D. Workspace and tools

The ARM Cortex-M3 chip that OpenMote and CC2538 is built upon requires the GCC ARM Embedded compiler. This tool-chain is free and runs on both Linux, OSX, and Windows; however, there is no bundled development application so a secondary application for programming is needed. In Windows, there are several Integrated Development Environments (IDEs) such as IAR Workbench ARM [30], Code Composer Studio [31] and the Eclipse plug-in ARM DS-5 [32, 33]; these IDEs use various proprietary toolchains and have a price tag ranging from free to several thousand SEK. Most of the IDEs also have a code size restriction for the free versions. To minimize the costs, the development machine development machine used in this project will run Ubuntu 14.04 LTS, the used tool-chain is GCC ARM Embedded, and Geany is used as the code development application. To analyse the network traffic in real-time, the open source tool Wireshark is used together

with a IEEE 802.15.4 packet sniffer. Together with a laptop, the packet sniffer will grant the ability to traverse the mesh network and analyse the network in real-time as it is seen by the nodes.

## IV. PROPOSED ...

The goal of the development process is to have a functional border router and at least two nodes to be able to test how response time and throughput differs with each hop in a mesh network. To be able to measure response time and throughput, each node needs to have a CoAP server which can respond to ping and also receive an arbitrary amount of data for throughput measurement. It is desirable for each node to be able to send information about each sensor so the project can be used as a tech-demo. The first part of the development was to set-up of the workspace and tools mentioned in section 3.1.5. Ubuntu OS was installed in a VirtualBox Virtual Machine to make it easier to duplicate and backup; this procedure gave a noticeable decrease in performance and it is recommended to have a dedicated native Ubuntu machine for this type of development. Even though Ubuntu uses an easy-to-use package system, there were some problems in finding a version of GCC ARM Embedded tool-chain that was compatible with Contikis built-in simulator Cooja [34, 35]; eventually, version 4.82 was used to successfully build Contiki. Cooja is a useful tool for testing and debugging network configurations but does not have support for the CC2538 MCU; instead, nodes called Cooja Motes are simulated with generic hardware. As Cooja is written in Java and runs in a JVM, Oracle Java 1.8 was also installed.

### A. Drivers and firmware

Figure 3.3 shows an overview of the full system. The foundation is the SoC with the MCU, transceiver, and sensors. The Contiki operating system implements the soft real-time kernel together with the firmware for the SoC/MCU and the drivers for the peripherals and sensors. The last part is the communication stack, which provides TCP and UDP connectivity over 6LoWPAN. On top of the TCP and UDP protocols, HTTP and/or CoAP can be implemented. The firmware required for the OS to work properly on the hardware platform was already implemented. However, the drivers for the I<sup>2</sup>C bus and the sensors were not implemented. The I<sup>2</sup>C driver is required for the sensor drivers which in turn enables the MCU to communicate with the sensors on the OpenBattery platform.

### B. CoAP server

In order to make each nodes sensor data accessible, a CoAP sever was implemented as an application running on top of Contiki. A CoAP server in general can handle any number of resources; in this implementation, one resource was made for each sensor value i.e. temperature, light, humidity, and core voltage. The temperature, light, and humidity sensors all work in a similar fashion. When their value is requested, the I<sup>2</sup>C bus is initialized and then a request is sent over the bus. When the response with the value arrives, that data is put

into either a plain-text or JavaScript Object Notation (JSON) formatted message depending on the request and then sent back to the requester. As the core voltage sensor is part of the MCUs ADC, that value is retrieved by simply getting data from a register (a somewhat faster operation). As a buffer for testing throughput speed was also needed, a resource with a circular buffer was implemented. This resource is configured with CoAPs block-wise transfer functionality for arbitrary data size; however, the buffer in itself is only 1024Kb to allow the program variables to fit into the ultra low leakage SRAM. For testing purposes, the data could have been discarded instead of actually saved into the buffer, but then the transfer can not be verified. Resources are defined by paths as CoAP works in a very similar way as HTTP.

Each resource is registered in the server with its path, media type, and content type. When a package arrives on the CoAP port, the server starts to break down the package to be able to direct it to the right resource. It starts with verifying that the package is actually a CoAP package, and then it checks the path and sends it to the correct resource. The resource then inspects the method field in the package header to direct the incoming data to the right function. CoAP package method can be either GET, PUT, POST or DELETE. This function then inspects the request media type and answer content type so that the function can parse the request and send a correctly formatted answer. If the resource does not implement the received method, the server responds with 405 Method not allowed and if the content/media type is not supported the answer is 415 Unsupported Media Type. The content/media types are text/plain, application/json, application/exi, and application/xml.

*1) Testing:* Contiki is shipped with a simulation tool called Cooja which is written in Java; it can simulate an arbitrary number of nodes with different roles and configurations. All simulation data, such as radio packages and node serial output may be viewed through different windows and exported to various formats. Unfortunately Cooja did not have support for ARM Cortex-M3, but the general set-up was still tested by using Cooja Motes, which are nodes without specified hardware, and MSP430 nodes such as Wismote or Skymotes. With this simulator the basic understanding of the communication between nodes was gained; also, before the hardware arrived, early testing was performed to test the OS and application software.

*2) Final prototype:* The final prototype consists of four OpenBattery nodes and one OpenBase border router. Both the nodes and the border router are deployed with Contiki. Each node runs a CoAP server, described in section 3.2.2, on top of the OS in its own thread. The border router runs a router software called 6lbr that acts as translator between Ethernet and IEEE 802.15.4 [36]. Both types of hardware are configured with a 8Hz Radio Duty Cycle (RDC) driver to keep the power consumption to a minimum. RDC is a OS driver that cycles the listening mode of the transceiver to reduce power consumption. As Contiki puts the MCU into Low Power Mode (LPM) when no function is running and

the transceiver is off, the RDC driver indirectly controls when the MCU is in LPM. When using the RDC protocol, the nodes repeatedly send messages until the target node wakes up and sends an Acknowledge packet (ACK); this makes communication seamless, even though most of the time the nodes transceivers are not active. Also, an always-on RDC driver, where the transceiver is constantly listening, will be used to be able to look at the performance impact of the 8Hz RDC.

## V. EXPERIMENTATION

In this chapter the results from each type of assessment are presented. The first assessment is range, followed by response time, after that connection speed, and finally the power consumption. The only assessment that is not performed on the prototype is the range assessment.

### A. Range

Range is very hard to measure without advanced equipment and isolated rooms but can be roughly estimated with equation 4.1 called Friis range equation [37].  $P_t$  is the sender transmit power,  $P_r$  the receiver sensitivity,  $d$  is the distance between the antennas in meters,  $f$  is the signal frequency in hertz, and  $\lambda$  is the wavelength.  $G_t$  and  $G_r$  is the antenna gain for the transmitter and the receiver. The last term in equation 4.1, when inverted, is the Free-space path loss (FSPL) and can be expanded as shown in equation 4.3.  $P_r(\text{dB}) = P_t + G_t + G_r + 20 \log_{10}(\lambda) - 4d \cdot c \cdot f / \text{FSPL}(\text{dB}) = 20 \log_{10}(d) + 20 \log_{10}(\lambda) + 147.56$  = (4.1) (4.2) (4.3) Unlike Friis range equation, the Link budget equation 4.4 also takes external loss like FM into account [38]. This is needed to make a correct estimation of the actual range as there are several things in the environment that obstructs and distorts the signal.  $P_r = P_t + G_t + G_r - \text{FM} - \text{FSPL}$  (4.4) Combining equation 4.1, 4.3 and 4.4 gives us the equation for the estimated distance as seen in equation 4.5.  $d = 10 \times P_t + G_t + G_r - P_r - \text{FM} - 147.56 - 20 \log_{10}(\lambda)$

With this equation an estimation of the transceiver range can be made for different FMs and transmit powers. When deployed, the transceiver is configured to only accept packages with a signal strength of -70dBm and above to minimize packet loss and corruption. The antenna gain for OpenMote is 0dBi and can thus be omitted. Figure 4.1 shows a comparison between three different levels of FM: 0dB, 10dB, and 20dB. A FM of 0dB means that there is no signal loss except the FSPL and this is very hard to achieve outside of a lab environment. When increasing the FM to 10dB, which corresponds to a normal home environment, the maximum range drops to 22m. However, in these kind of environments the desired range is usually around 10m which would let the device reduce the transmit power to around 0dBm. Finally, the FM is increased to 20dB which is roughly what it would be in a office or industrial environment. The maximum range in this environment is now reduced to only 7m when transmitting at maximum power.

**Response time** Before measuring the response time, some theoretical estimations are needed to be able to evaluate the real values. The theoretical values are based upon the radio duty cycle (RDC) and the average response time to reach a node can thus be derived from equation 4.6, 4.8, 4.9 and 4.10. As each node only

### B. Response time

Before measuring the response time, some theoretical estimations are needed to be able to evaluate the real values. The theoretical values are based upon the radio duty cycle (RDC) and the average response time to reach a node can thus be derived from equation 4.6, 4.8, 4.9 and 4.10. As each node only checks the radio every 125ms, this duration combined with the data packet send time of 4ms (equation 4.7.) and ACK send time corresponds to the worst case delivery, as the node needs to wait a whole cycle before being able to send the package to the desired node. When the target node is already listening, the best case delivery time is 5ms. Thus, the average theoretical delivery time to reach any adjacent node is 67.5ms. Radio duty cycle: Transfer time:  $1s = 0.125s = 125ms$  8 (4.6)  $133B + 4B = 4ms$   $31.25KB/s$  (4.7) Worst case delivery:  $125ms + 4ms + 1ms$  (4.8) Best case delivery:  $4ms + 1ms$  (4.9)  $130ms + 5ms = 67.5ms$  (4.10) 2 The delivery time is only calculating the time to send a packet over a link, but when calculating the response time, the acknowledge (ACK) response has to be included in the calculation. Each ACK also needs to wait for the target node to be awake, adding one more instance of average delivery time, resulting in 125ms in average response time. This time will multiply with each hop, resulting in equation 4.11, 4.12 and 4.13. Avg. delivery: Avg. response time:  $(2 \times 67.5ms) \times \text{hops}$  (4.11) Best case response time:  $(2 \times 5ms) \times \text{hops}$  (4.12) Worst case response time:  $(2 \times 130ms) \times \text{hops}$  (4.13) After doing a test with real nodes set-up with a 8Hz RDC with three hops, as seen in figure 4.2, the values in table 4.1 were obtained. Each node was pinged 200 times at a one minute interval to simulate some traffic on the network. What can clearly be seen in the average field of the table is that the average of 765ms is much higher than the expected average of 135ms; the difference is mainly due to the worst-case pings that in some cases had response times up to 30 seconds. However, when looking at the geometrical mean which is better at smoothing out big spikes seen in figure 4.3, the observed response time is still 265ms which is a bit longer than the expected worst case response time for one hop. Also, for two and three hops the observed average is high, but the geometrical mean shows that this is due to the spikes. The estimated response time for two hops is 270ms which as seen in the geometrical mean table 4.1 is way off by 500ms. The same observation goes for three hops where the observed geometrical mean response time is 1181ms which compared to the estimated response time of 405ms is significantly higher.

With these observations in mind, the estimation could be described much better with equation 4.14. which would result in an average response time of 266, 532 and 1064 ms for one, two and tree hops. However, this would mean that the

response time is doubled for one hop and then doubled for each consequent hop making the response time exponential which should not be the case.

With the RDC disabled, i.e. the transceiver is always listening and the MCU does not go into sleep mode, the response time is completely different. As seen in table 4.2 the average response time is around 12ms per hop and the spikes seen in the response time for 8Hz RDC is gone. Furthermore, the estimated best case response time of 10ms is very close to the observed average response time. The response time also scales to the number of hops as expected and is roughly 12ms per hop. It appears there might be a problem in

### C. Connection speed

Connection speeds can be measured in several ways each with their own different pros and cons. One of the most popular ways is throughput, i.e. the amount of data over the link is divided by the time it took to reach the target. However, this gives a false picture of how fast the connection actually is from the developers point of view, as the measured data does not only contain application data but also headers and checksums. IEEE 802.15.4 has a theoretical data rate of 250kb/s as seen in equation 4.15. but this is only a measure of how many bits per second the transceiver is able to output. The application data part, when using no header compression, is only 41% of the total transfer. Thus, resulting in a theoretical application data rate, also called goodput, of only 12.81KB/s. Data rate:  $250kb/s = 31.25KB/s$   $133B - 54B = 0.59 \times 133B$  Theoretical goodput:  $31.25KB/s \times (1 - 0.59) = 12.81KB/s$  Overhead: (4.15) (4.16) (4.17) When using CoAP as the application level protocol, each package can carry either 32 or 64 bytes of application data. In practice, the 64B mode is only applicable when sending packages between nodes on the same mesh network, as the addressing fields then can be fully compressed. When using applications outside the mesh network, each package can only carry 32B of data, resulting in a packet size of 111B as shown in equation 4.18; this does not affect the theoretical data rate but has a noticeable impact on the goodput due to the large overhead of 71as shown in equation 4.19. To be able to use the full data rate, the application needs to use a protocol without handshakes, i.e. UDP, as the transceiver then can send the packets as fast as physically possible. CoAP is implemented on top of UDP and thus has a low transport layer overhead, but uses its own mechanism for handshaking, delivery and ordering. The theoretical CoAP application throughput can be estimated by looking at the average response time of the node and then add that time to the the data delivery time. Each package needs to be acknowledged before the next package is sent, and thus the node response time needs to be taken into consideration. When doing so, the throughput as calculated in equation 4.20. is only 1.64KB/s and thus the theoretical goodput is reduced from 12.81KB/s down to 0.48KB/s as shown in equation 4.21. Packet size:  $133B - 54B + 32B = 111B$  Actual overhead:  $79B = 0.71 \times 111B$  (4.18) (4.19)

Using the packet size of 111B together with the theoretical response time from equation 4.11 would give the results shown in figure 4.4. To verify these calculations, the same test set-up as shown in figure 4.2, which also was used in section 4.2, was used to test throughput and goodput at different number of hops. Each node was sent 1KB data each minute for 200 minutes; the time from the first package sent to the final acknowledge packet received was measured for each 1KB transmission. The first test was performed with an RDC of 8Hz and resulted in the values shown in figure 4.5. As the chart shows, the theoretical throughput and goodput is much higher than the observed values, but this is due to the fact that the actual average response time is higher than the theoretical one. With some calculations made the observed throughput and goodput are within range of what is expected, given the observed response times in table 4.1. Equation 4.22 uses the observed values to calculate the average response time, given the values in figure 4.5.

#### D. Power consumption

To measure power on devices that use very low power and also changes the power consumption very rapidly and frequently is not an easy task. According to the currency specification from the CC2538, the different power modes have the consumption seen in table 4.3 using the built in voltage regulator TSP6750 that switches the input voltage down from the 3V to 2.2V. The components on the OpenBattery supplied directly by the 3V batteries have the current and power consumption specifications as seen in table 4.4.

Given these power profiles, combined with the time it takes to receive and transmit packages, and retrieve a measurement, the theoretical power for one RDC cycle results in the chart seen in figure 4.7. The node starts in sleep mode using 112.81W and after 109ms wakes up and goes into RX mode where a request for a sensor value is received. The node then switches off the radio and fetches the sensor value. After the value is retrieved from the sensor, the radio is once again put in to RX mode for a Channel Clear Assessment (CCA) before entering TX mode and sending the payload. The transmission is successful and the node goes into RX mode to listen for the ACK, when it is received the node enters sleep mode again. For this cycle the average power consumption is 4.8mW which would drain the 2250mWh batteries in 19 days.

However, as the nodes have a RDC running at 8Mz, most of the time there will be no package for the node to receive and thus no measuring and transmitting, as seen in figure 4.8. This cycling reduces the average power consumption to 0.47mW, which would make the batteries last for ca 200 days. The goal is to have a node that can run for one year without having to change the batteries and to be able to do this on 2xAAA batteries with 750mAh the average consumption has to be under 257W as calculated in

To verify these assumptions, we used a Keithley 2280S power supply [39] to measure the total current draw of the prototype. The node was connected to the power supply, which was set up to make 277 measures each second with a supply

voltage of 3V. Several measurements were performed. One of the most interesting ones can be seen in figure 4.9. In this picture, we can clearly see the different operating modes, as the node performs 3 transmissions during the interval. In the first transmission at the 1.6s mark, the strobing feature of the RDC protocol is seen as the package is sent 5 times before the receiving node is awake and can receive the package. In the two following transmissions, the package is delivered on the first try. As our measurement is limited to 277Hz, the current peaks when only waking up to listen for traffic are sometimes missed, and the peak value is hard to extract; but the 8Hz RDC cycle is still visible. The average power consumption for these cycles is 8mW, which would make the batteries only last for 11 days. However, when taking the average of a measuring series without any transmissions, the average goes down to 4mW, which increases the battery time to 23 days. The theoretical sleep power of 0.11mW compared to the measured of 3mW is what makes the average power consumption that high.

Reducing this power consumption by a tenfold would result in an average consumption of 0.39mW, which is closer to the theoretical average power consumption. A discovery made when measuring the power was that the nodes consumed less power when supplied with a lower input voltage. Simply by reducing the voltage from 3V to 2.6V reduced the power consumption in LPM by 15%. However, this reduction could affect the range of the nodes.

Internet of Things can be realised in several ways as there are still many viable options on the market, mainly in terms of hardware, operating systems, and communication standards. Given the recent development in the field, Thingsquare recently released a technology demo using the same practices as used in this thesis; the choices taken are on track with the latest development [40]. Also, both Google and Microsoft have announced that they are developing IoT OSs. When these products are released, it would be very interesting to compare them with Contiki. It would be exciting to see if an open-source project can surpass the commercial offerings in terms of speed, RAM and ROM footprint, and device support. Furthermore, an in-depth comparison between RIOT and Contiki would give much insight into the kind of OS practices that benefit IoT development the most. Google have also started to develop a substitute for 6LoWPAN and UDP that they have named Thread [41]. As 6LoWPAN and ZigBee, it runs on top of IEEE 802.15.4 and thus might be able to out-compete the existing implementations. Google promises lower latencies and power consumption compared to the existing technologies.

#### The prototype

The prototype development took more time than initially planned; mostly because of the complexity of the OS, but also due to bugs in the untested drivers. The prototype combines the technology from each field, i.e. hardware, OS, and communication protocol, and fulfils the requirements set in section 3.1.1. Even though the OS is relatively simple, compared to Linux, Windows, and OS X, understanding the mechanics

of the RDC driver and the LPM driver was difficult, but necessary to be able to interpret the test results. The prototype worked very well during most of the testing, with only a few unforeseen deviations. One occurred during the power measurement, where the power consumption in low power mode tripled in one of the test series; this behaviour could not be reproduced and is therefore not included in the results. Also, in the early stages when working with the 8Hz RDC driver, packet losses over 50% were recorded for packets with more than one hop; this problem was solved, when a new version of radio driver was released by the OS development team. Selecting OpenMote to be the hardware platform together with Contiki as the OS, was a very good choice as companies are starting to build their IoT solutions around Contiki and similar hardware platforms [42, 43]. Already in the beginning of the development, several benefits were noticed; new drivers and bug-fixes were released increasing the stability and functionality of the OS. The active community around the combination of OpenMote and Contiki was really helpful when developing the drivers for the I<sup>2</sup>C and sensor drivers. Example projects for other platforms could be used as references, giving much insight to how the programming for this type of OS worked. It would have been interesting to examine the differences between two operating systems; not only to test which one has the better performance, but also to compare which one that has the more favourable code structure and development procedure.

## VI. RESULTS

Collecting the data went well and were reasonably straight forward; it was easy to transition between the two different test set-ups and thus making several test scenarios. Assessments were made in the areas of range, response time, connection speed, and power consumption. In each area, the theoretical values were first calculated and then compared to the retrieved measurements; except in the range case, as the required equipment for measuring was not economically justifiable to purchase.

### A. Range

The theoretical range for OpenMote when transmitting at full power in an office environment is only 7m. As measuring the range was not a viable option due to the cost of measuring equipment, only distance estimations from the placement of the nodes when maintaining a stable connection can be used as a reference. Using a map of the office and the position of the nodes the range seems to be around 10m, which would mean that the effective FM of the office is around 16dB using the always-on RDC. The FM changed a bit when using the 8Hz RDC as more packages congested the air and the range dropped to somewhere around 5m; resulting in an effective FM of 23dB. To increase the range of the transceiver, a switch to the 860MHz frequency band would be the most effective solution; with a FM of 23dB, the theoretical range would increase to 14m with the same transceiver properties, and with a FM of 16dB the range would be 31m. Usually,

transceivers with a lower frequency output also have a lower power consumption while transmitting. Working in sub-GHz also gives the benefit of less interference as fewer other devices uses those frequencies. Changing to a sub-GHz band would thus decrease the power consumption and increase the range, without changing the functionality of the nodes.

### B. Response time

Initially when measuring the response time the always-on RDC was used and the measured response time was very close to the theoretical value. However, when using the 8Hz RDC protocol the values started to drastically differ from the theory. This behaviour is likely to originate from the way the RDC driver predicts the next time when the target node should be awake. The procedure is called phase optimization; when enabled, the node saves the time when the node was last seen, it then uses this value to predict the next time the node should be awake based on the RDC cycle. However, this prediction is based on the nodes internal clock. As the clock can differ from those of the other nodes, misalignments seem to occur, resulting in misses when trying to reach the target node. Each misalignment increases the time it takes to reach the target node as the node then needs to strobe the package until the target nodes wakes up again. In theory, when sending strobos the target node should wake up and receive the package within one cycle (125ms); however, this is not guaranteed as other transmissions might occupy the air, further increasing the response time. If the phase optimization could be improved to guarantee the alignment between the nodes, the response time should get much closer to the theoretical value; as the time to reach the node would be maximum one cycle and the air would not be as congested by nodes sending strobos.

### C. Connection speed

The connection speed, when using CoAP or any other protocol with perpacket ACK, is directly bound to the response time. IEEE 802.15.4 has a relatively low data-rate, only 250kbps, compared to other solutions, e.g. BLE (1Mbps) and WLAN (>54Mbps). As throughput is based on datarate over a longer period of time, both the overhead and the response time is needed to make a good estimation. CoAP has a very low header size compared to many other communication protocols, but due to the very small frame size, the overhead is still relatively high. As of now, the results clearly show that when a reliable transfer is desired the connection speed of IEEE 802.15.4 and CoAP is only sufficient for data exchanges around 32 bytes. When the nodes use the always-on RDC, the goodput is less than 3KB/s for one hop and is halved for every hop; however, when the 8Hz RDC is enabled, the goodput is reduced to under 0.1KB/s. Using messages without per-packet ACK, thus removing the response time from the equation, would let the nodes transfer real-time audio and maybe even highly compressed video. However, using messages without the per-packet ACK disables the reliable transmission guarantee, and thus it can only be used with data streams where packet loss is acceptable.

#### D. Power consumption

Making a rough estimation of the power consumption of the platform was straight forward task and so was measuring the actual consumption. When comparing, the two the values differed by a factor of 30, which was not expected. The reason probably originates from the clock interrupt which is triggered every 8ms. Initially, this interrupt was assumed to be disabled when the system entered the lower power modes, but this was not the case. As the interrupt fires at 125Hz and the time to wake up and go back to LPM is only 272s, the power spikes from these interrupts were not seen on the measuring instruments. As seen in figure 4.9, even the peaks from the listening cycles were hard to record and those lasted for at least 4ms; instead, the power consumption from the clock timer looks like an increased LPM power consumption. At the time this was discovered there was no time to fix it, but doing so should decrease the average power consumption to within the limits, granting the nodes the ability to run on battery power for a year. As no delays from calculation could be observed, the clock speed on MCU could, in all probability, have been reduced to save power on the nodes. However, this reduction would only have affected the consumption when the node was in active mode, which is only a few percent of the total cycle time. The OpenMote chip has a step-down DC-DC converter for this purpose which is switched off in LPM mode to reduce quiescent currents; however, as most of the time is spent in LPM, reducing the input voltage to 2.1V by changing battery type and removing the step-down converter would be preferable as it would reduce the power consumption. These changes could affect the range of the device, but this has to be assessed.

#### E. Project execution

Looking at the time plan and the milestones, as seen in Appendix A and B, each milestone matches a task or transition in the time plan. The planning report was not submitted to the examiner until the 6/2-15, which is two weeks behind schedule, exceeding the time planned for milestone M1. The first draft was submitted before deadline, but several revisions were necessary. In retrospect, the litterature study should probably have been planned in parallel with the planning report, as the information from the study helped with the report. Milestone M2 marks the switch from the literature study and selection of technology to the development phase. This milestone was met and development could begin in the following week. As seen in Appendix C, the development phase have several risks to consider. The only risk encountered in this phase was R4, as one of the hardware platforms was delivered with a broken sensor. However, this malfunction did not affect the time plan as the development could continue regardless of the malfunction. The end of the development phase was defined by milestone M3, approval of prototype, which was completed ahead of schedule granting an early transition into the assessment phase. In the assessment phase, it could be argued that risk R9 was encountered when measuring the power consumption, as the results from those measurements

did not properly show the wake-ups from the clock timer. This phase contained milestone M4 and M5, of which of only M5 was done in time. The Half-time presentation, milestone M4, was performed on the 8/4-15 in the form of a meeting, where the progress, results and continuation plan were discussed. Also, a half-time version of the report was sent the 17/4-15 and approved by the examiner. Milestone M6, deliver the final prototype, was completed a few days before the set deadline which eliminated risk R11 and gave more time to work on the writing and the presentation. Both of the oral presentations were attended on the 1/6-15 to grant some experience in how the presentation and opposition are carried out, thus now following the time plan. However, there were not many presentations to watch during the planned weeks, as the presentation schedule follow the academic semesters. The presentation for this thesis was not performed until the 3/6-15, thus being two weeks behind schedule. However, it was scheduled on the first available date suggested by the institution. The final version of the report will be submitted to the examiner before the 19/6-15, thus successfully completing milestone M7.

## VII. DISCUSSION

The purpose of the project was to find and examine a communication protocol that could be suitable for IoT applications, by investigating the current hardware, OS, and communication protocols and building a prototype from the selected choices. What can be said about the investigation is that it is difficult to examine all candidates in detail; this means that a rough selection has to be made based on initial knowledge potentially discarding good options. The general feeling is, however, that all of the examined candidates in this project were relevant and added valuable insights to the current technology status. The assessment gave relevant and interesting results that improved the understanding in what IoT can be used for, and what further areas of investigation could be. One of the most interesting areas of further investigation would be the RDC driver, as it directly affects the response time and thus also the connection speed. Even though the power consumption was not in line with the expectations, the reason has been found and can be resolved. Another conclusion is that IoT is not ready for real-time applications as the latency is much higher than expected, for the technologies assessed in this thesis, and also has a high spread. As the latency increases for each subsequent network hop and the minimum observed latency per hop is 11ms, when using the always-on RDC, this type of communication will probably only be used for applications where response time can vary greatly, without affecting the functionality. CoAP as a communication protocol shows a lot of promise when combined with 6LoWPAN and IEEE 802.15.4. It performs well given its simplicity but has one disadvantage: the large overhead which comes from the MAC addressing fields in the IEEE 802.15.4 frame. If this overhead could be reduced from the current 71% to only 30% the goodput would double. A solution would be to use a similar mechanism as BLE where the packet size varies depending

on application. Each node also has computing time left as the MCU is more powerful than needed for the given application; an improvement would be to use a less powerful MCU, like the ARM Cortex-M0+, to reduce the clock speed as suggested in the discussion. When looking at the future-proof aspect the later suggestion is probably the better, as the clock then could be increased if more computing power is needed. In the future, batteries will hopefully be able to store more energy, thus increasing the time between battery changes or reducing the battery size.

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# Sentilo

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**Abstract—**

## I. INTRODUCTION [1]

### A. Problem Statement

From the start of the computer networks, to the mobile applications nowadays, the amount of information shared has been constantly increasing. We have all kind of devices, from the big servers in datacenters, the TVs at home, mobile phones, car sensors, ... The Internet Of Things (IOT) refers to the idea of connecting all the things to the Internet. By things, it refers to any ordinary object that can be useful getting information. These things should be connected by an embedded device, capable to connect to the Internet in one side, and get information from the thing on the other.

### B. Background

### C. Purpose (Goal)

The first objective of this thesis is to document the capabilities of the Z1 motes and the Contiki OS for the IOT, by building applications to gather data from sensors and the network capabilities both from the motes and the OS. Secondly, to build an application using the Z1 motes, and the Contiki OS, using COAP(Constrained Application Protocol) and 6LoWPan(IPv6 over Low power Wireless Personal Area Networks) to retrieve information from the motes, and connect them to Sentilo, an open source sensor and actuator platform.

### D. Limitations

There has been an increased research and development for the Smart Cities. The smart cities objective is to gather information from the city, to enhance quality and performance of urban services, to reduce costs and resource consumption, and to engage more effectively and actively with its citizens. This project is intended to approach two goals, to be used as a starting point for anyone who wants to use the Contiki OS with the Z1 motes, and to build a simple application for the Smart Cities, to collect data from sensors, and sending it to an information center.

### E. Method

This document is divided in two parts. First, the description of the main tools used to create the experimental environments. A description of the Contiki OS, the Z1 motes, and the protocols used to communicate, IEEE 802.14.5, 6LowPAN and CoAP. The a brief description of the sensor data collector Sentilo Secondly, a description of the environment setup, and an explanation of how it works. Finally, conclusions and future work is presented.

This paper is organized as follows. Section II elucidates summary of related works. In section IV, we propose our ... to .... Section V evaluates the performance of our ... in terms of packet delivery ratio, throughput, and power consumption. Section VII concludes the article and gives some ideas for future work.

## II. RELATED WORK

## III. BACKGROUND

## IV. PROPOSED

Sentilo is an open source platform to store sensor and actuators information. This platform is designed for the smart cities environment, to be used as a sensor data server that stores the data from different providers and different components within the providers.

### A. Definitions

**Provider:** A Sentilo account in the server. It stores the published data, and sends the data to his subscribers.

**Publisher:** A device that sends data to the server. It publish the data into a provider account.

**Subscriber:** A device that receives data. It is subscribed to a certain data from a provider

**Worker:** A threat in the server that executes a programed task

**Redis:** A in-memory data structure store. It is used as a Publisher/Subscriber implementation to store the data in the memory of the server.

**MongoDB:** A database that stores the data as 'documents'. A 'document' is a JSON object.

## B. Sentilo Architecture

The platform has 3 distinct parts:

PubSub Server (Core)

Web Catalog Application (A web interface to check the information of the PubSub Server)

Extensions (Also called Agents, they extend the capabilities of the PubSub Server)

The core platform, listens and responds to requests specified in the API. By default, it listens the TCP port 8081

- For a publisher, it registers the data sent, in one of the platform items.

- For subscribers, it responds with a JSON with the requested data of an item.

The web catalog, is a web interface to manage and see the information on the PubSub Server. It listens the TCP port 8080. The platform supports some extensions in order to extend the base functionalities such as alerts or data storage.

1) *PubSub Server*: The Core of the platform is a running process, that listens to the requests and creates workers (Threads) to do the tasks. There are 2 requesters:

Publishers: Send data from sensors, and alerts.

Subscribers: First, they request a subscription. Then waits for the data they are subscribed is sent. The platform is separated in 2 different layers: Transport and Service. The transport layer manages the incoming requests (as published data, data requests or subscription requests) and generates a queue with tasks containing the information of the request. Then, a limited pool of workers handles the requests, every time each finishes the previous task.

When a client sends an Http request to the platform, the process is: (Fig. 76). 1.The server accepts the request. 2.Queues the request on the list of pending requests. 3.When a Worker is available, a pending task is assigned to it for processing(removeing it from the queue) (a) delegates the request to an element of the service layer (b) constructs the HTTP response from the information received. 4.Sends the response to the client's request

The service layer manages the workers information and processes it and registers the data or delivers the data depending on the request. (Fig. 77)

1.The Worker delegates the request to the associated handler depending on the type of request (data, order, alarm, ...)

2.The following validations are performed on each request:

(a) Integrity of credential: checks the received token sent in the header using the internal database in memory containing all active credentials in the system. (b) Authorization to carry out the request: validate that the requested action can be done according to the permission database.

3.Stores the data in Redis (in memory), and depending on the type of data (a) Publish the data through publish mechanism (b) Register of the subscription in the Listener-MessageContainer (A list of all subscribers) and into Redis as a subscriber.

4. If any new data is received, Redis publish the data to the subscribers, otherwise this step is skipped.

5. The container notifies the event to each subscriber associated with it by sending an HTTP Request to them.

2) *Web Catalog Application*: The catalog application platform is a web application that uses MongoDB as data storage database. The Web App has 2 parts:

- A public console for displaying public data of components and sensors and their data

- A secured part for resources administration: providers, client apps, sensors, components, alerts, permissions, ...

It is fully integrated with the Publish/Subscribe platform for data synchronization:

- Permission and authentication data

- Register statistical data and the latest data received for showing it in different graphs of the Web application.

3) *Extensions (Agents)*: The extensions of Sentilo add functionalities to the Core application. The extensions are subscribed to the Redis module for all the incoming notifications.

When Redis receives a publication of data, sends a message to all subscribers, including all the agents. The agent gets the data, and carries out his task. Currently there are 3 Sentilo agents:

- Relational database agent

- Stores all the incoming data in a external database Alarm agent

- Manages the internal alerts defined into the Web Catalog and published an alert if the condition is met.

- Location updater agent

Is responsible of updating automatically the component location according to the location of the published observations.

## C. Sentilo structure

The platform has 5 main items: - Component - Sensor - Alert - Alarm - Order A component is the item where a set of sensors is attached. A sensor is a representation of a physical sensor, it is attached to a component. The data published is sent for a specific sensor. An alert is a trigger registered in Sentilo when an event happens. There are 2 types of alerts: internal and external. The internal alerts are related to specific sensors and its logic is defined using basic math rules or configuring an inactivity time. The external alerts are defined by third party entities, which will be the responsible of calculating their logic and throw the related alarms when applies. An alarm is the message sent to the subscribers of an alert when it is triggered. Must be attached to an alert. An order is a message registered for a specific sensor or component. It is received by the subscribers of the sensor or component orders.

## D. Sentilo API

The Application Programming Interface (API) define a set of commands, functions and protocols that must be followed by who wants to interact with the platform from external systems, like sensors/actuators or applications. The requests are HTTP requests with 3 fields in the header:

- The Request Method: GET, POST, PUT

- IDENTITYKEY: The authentication token

- Content-Type: application/json

The platform has 3 operations for publishers:

-Retrieve data: Using the GET method, any kind of data can be consulted, the response is in JSON format

-Register data: Using the POST method, can be registered components, sensors alerts, alarms or orders.

-Update data: Using the PUT method, components, sensors alerts, alarms and orders data can be updated. Also sensor data can be published. It also has 3 kind of subscriptions:

- To sensor data - To orders - To alerts

All the documentation of the Application Programming Interface can be found in:

- <http://www.sentilo.io/xwiki/bin/view/APIDocs/WebHome>

## V. EXPERIMENTATION

The objective of this scenario is to connect a Wireless Sensor Network to a running Sentilo server. There are 2 sides of the network, with the border router in the middle of both. The WSN uses CoAP to extract the sensors information, and the sensor data. The Sentilo server uses HTTP requests, with JSON objects. The JSON (JavaScript Object Notation) is a text format transmit data objects consisting of attributevalue pairs. It is one of most widely used by programming languages to send data over HTTP.

### A. Sensor Network

The wireless sensor network is composed by Z1 motes connected by a border-router.

1) *Border Router*: The Border Router manages the RPL (Routing Protocol for Low-Power and Lossy Networks), and is connected to a computer using Tunslip, a tool used to bridge IP traffic between 2 devices, over the serial line. Tunslip creates a virtual network interface (tun) on the host side and uses SLIP (serial line internet protocol) to encapsulate and pass IP traffic to and from the other side of the serial line.

2) *Nodes*: Each of the motes has a CoAP server running, and has a resource for each sensor attached to the mote. In this environment 2 Sentilo items will be used:

Component: The hardware where a sensor is attached.

Sensor: A physical sensor. It must be attached to a component. For the Sentilo server, each component, sensor, and alert must have a unique id. In this setup, each mote is a component in the server, the mote id is used for the unique id in sentilo. For this example, the mote 3 will have the id MOTE03. Each sensor has his unique id too, using the component id and the type of sensor. In this setup the temperature sensor of the mote 3 will have the id MOTE03TMP. Every sensor has a CoAP resource defined in the mote. A location resource is defined to set the mote location

### B. Network connector

In the computer connected with the border-router, there's a Java application that pulls the information in the WSN using CoAP, and communicates with the Sentilo server to register the sensor and send the data. A provider must be registered manually in Sentilo in order to get the authentication token. For every request sent, the authorization token is checked.

1) *Application workflow*: The Java application that connects the 2 networks, follows 5 steps: 1. Searches for all the Motes of the specified network in the border router, by sending an HTTP GET to the border router. It responds with an XML with the information of all the motes.

Discovers all the sensors in each Mote, by sending a CoAP discover to each mote.

Gets the information of each sensor, by sending a CoAP GET to the resources on the mote.

Registers each sensor in Sentilo, by sending a HTTP POST to the server with the information of the sensor.

Starts collecting data from the sensors, and registers it in Sentilo, by sending a CoAP observe to each Mote resource, and for each observation, sends a HTTP PUT with the data to Sentilo.

2) *Sensor registration*: Once the application has a list of all the motes and the sensors of each one, sends a GET request every mote for each one of the sensors resources, to get the information of the resource. The sensor resource has defined the information needed to register.

Once the information of the sensor is gathered, it creates a JSON Object to register the sensor into the Sentilo server via the API.

3) *Sensor data publish*: The application starts an OBSERVE on the mote for each sensor resource. At this point, the application starts to listen for messages from the CoAP resource. The sensor periodic resource sends information of the sensor data periodically. The period of observation is defined in the mote. In every observation, the data is sent to Sentilo in a JSON Object via the API.

The parameters sent in the JSON to the server are:

## VI. RESULTS

A. Range

B. Response time

C. Connection speed

D. Power consumption

## VII. DISCUSSION

The Contiki OS, collects all the technologies needed for the development of centralized data collectors, for the sensors. This platform combined with Sentilo, creates a real application platform, to be able to deploy in several possible real environments. The main advantages of Contiki, are how easy is to create code, and generate concurrent scenarios inside the same mote, being able to have a web server at the same time a root node of a WSN is running, without complexity. At the same time, the application level library as COAP, with the complete examples of this libraries, makes this system a powerful and versatile tool. A disadvantage of this platform, is the lack of documentation and examples, outside the inner code. There's a lot of time and test to make, for a more complex application. Secondly, the Sentilo platform, is an easy to install, use and program applications with. It has a wide set of options and tools, that need to be understand carefully for a rich application that uses all the functionalities properly. The

combinations of both, makes a good, simple and potentially improvable scenario, for centralize data collection.

#### A. Future lines of work

There are some future lines of work in this experimental environment:

1. Test the CoAP server in the new release of Contiki. Contiki 3.0 A new release of Contiki was released in September 2015, with some changes and improvements overall, specially with CoAP. The new release supports CoAP 18.

2. A Java connector with a dynamic network. The Java connector finds the motes in a stable WSN, if a node is missing or replaced, it needs a manual interaction to find all the motes again, by erasing all the network, and start to find all the motes again. Besides, the protocol handling the routes, is IP and the protocol handling the links is RPL. The IP routes in the border router expire every certain time, that means that if a mote is missing, a route is still present for a certain time, even if the RPL is aware of the missing mote. As a possible solution, there are repairing route methods in CoAP that are used to repair the broken links between nodes.

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# Genetic Algorithm For LoRa Transmission Parameter Selection

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**Abstract**—The exponential grow of IoT application in both industry and academic research raises many questions in wireless sensor network. Heterogeneous networks of IoT devices strongly depend on the ability of IoT devices to adapt their data transmission parameters to the application requirement running at each time. One of the most important problem of the emerging IoT networks is that IoT devices are limited in terms of energy consumption and computation capability. Our work is motivated by the idea of matching each transmission configuration with a reward and cost values to satisfy applications requirements. Our goal is to make IoT devices able to select the optimal transmission configuration and send their data to the gateway with the QoS required by the application running on the device. Determining the best configuration among 6720 transmission configurations is difficult, this is mainly due to the lack of tools that could take both applications requirements and external environment in consideration to select the best transmission parameters. To address this problem, we use a genetic algorithm in an edge computing to select the transmission parameters needed by the application. Genetic algorithms are used in selection and ranking problems to model the natural selection of chromosomes in human generation. In our case, each configuration represents a chromosome that needs to be selected to match better the QoS criteria. Particularly, we analyze the impact of selecting one configuration in 3 kinds of applications: text, voice and image transmission by modeling a new adaptive configuration selection process. We validate our approach by using both simulation and a real environment testbed.

## I. INTRODUCTION

The need of Low power wide area networks (LPWAN) increased significantly these five last years. The main factor is that IoT devices require low power consumption to transmit data in a wide area. Lora, Sigfox and NB-IoT are the most known technologies that satisfy these requirements. Applications like smart building and smart environment are one of hundreds use cases that need to be deployed with such technologies. Unlike Sigfox and NB-IoT, Lora is more open for academic research because the specification that governs how the network is managed is relatively open. LoRa is a wireless modulation technique that uses Chirp Spread Spectrum (CSS) in combination with Pulse-Position Modulation (PPM). The transmission could be configured with 4 parameters: *SF*, Transmission Power (*Tx*), *CR* and *BW*, to achieve better performance. The main LPWAN research directions are about link optimization, adaptability and large scale networks to support massive number of devices. The selection of an

appropriate transmission parameter for IoT networks typically depends on the nature of the application. Thus heterogeneous transmission configuration and *SF* allocation strategies need to be studied. In this paper, we investigate the performance of heterogeneous networks (i.e. when each IoT device selects its LoRa transmission parameters according to its link budget and the application requirements). For that purpose we have developed a LoRa transmission adaptation mechanism, based on both ns-3 simulator and the Low cost Lora Gateway [1]. The computation tasks of the selection process will run on the Gateway device (Raspberry-pi) and the required settings will be sent to nodes for the next transmission.

This paper is organized as follows. Section II elucidates summary of related works. In section III, we propose our approach to solve LoRa parameter selection problem. Our experimentation in a real environment is presented in section IV. Section V concludes this paper.

## II. RELATED WORK

Transmission parameter configuration mechanisms such as Adaptive Data Rate (*ADR*) scheme need to be developed to fit each application requirement in terms of power consumption, delay and packet delivery ratio. Solutions running on LoRa node should be as simple as possible as required in LoRaWAN specification. However, LoRa network server could run complex management mechanism, which can be developed to improve network performance. Therefore, the related works discussed in this paper focus on server-side mechanism. The basic *ADR* scheme [2] provided by LoRaWAN predicts channel conditions using the maximum received *SNR* in the last 20 packets. The basic *ADR* scheme is sufficient when the variance of the channel is low, it reduces the interference compared with using the static data rate [3], [4]. However, their simplicity causes many potential drawbacks. First, the diversity of LoRa Gateway models that measures *SNR* make the measurement inaccurate as a result of hardware calibration and interfering transmissions. Second, selecting the maximum *SNR* each 20 packets received could be a very long period in many IoT applications that require less uplink transmission. Third, transmission parameters adjustment considers only the link of a single node. If many LoRa nodes are connected to the near gateway, all nodes connected to this gateway will use the fastest data rate. In this case, the number of LoRa

nodes using the same data rate will increase and the probability of collisions also increases dramatically. Most researchers in the literature use *SNR* or *RSSI* information to control *Tx* and *SF*. For example, the authors in [4] slightly modify the basic *ADR* scheme by replacing the maximum *SNR* with the average function. In this paper, we focus on building a framework that help IoT devices to adapt their transmission parameters to the application requirements in a server side.

### III. METHODOLOGY

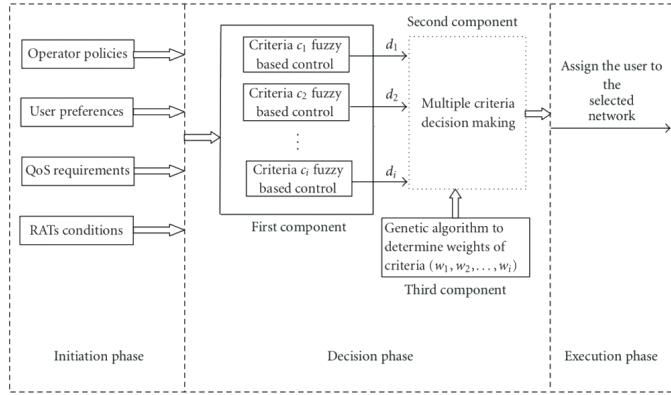


Fig. 1: The proposed scheme for LoRa transmission parameters selection based on *GA*, *FL* and *MCDM*.

The scheme selection process can be described following these five steps:

- According to the Semtech SX1276 specification, there is 6720 possible settings ( $s_1, \dots, s_{6720}$ ) and the framework has to select the most optimal one or to rank them according to their relevance.
- The selection process depends on multiple criteria up to  $i$  ( $c_1, \dots, c_i$ ). Different type of criteria can be measured from different sources to cover the maximum point of views, as example, the network server requirements, the applications requirements and the devices conditions.
- The Fuzzy Logic (FL) based subsystem gives an initial score for each configuration that reflects its relevance. The different sets of scores ( $d_1, \dots, d_i$ ) are sent to the *MCDM* in the 5<sup>th</sup> step.
- At the same time, the genetic algorithm (GA) assigns a suitable weight ( $w_1, \dots, w_i$ ) for each initial selection decision according to the objective function that is specified by the application.
- Using the initial scores coming from the 3<sup>rd</sup> step and the weights that are assigned using the 4<sup>th</sup> step, the multi criteria decision making *MCDM* will select the most relevant settings and rank them according to their reward.

### IV. EXPERIMENTATION

For our experimentation we use both real environment (Figure 2) and ns-3 simulator with SX1276 Lora module. However, to test the scalability of genetic algorithm with



(a) Gateway (Raspberry-pi). (b) Sensor node (Arduino).

Fig. 2: Gateway & Sensor node.

numerous IoT devices in a real environment, we use FIT IoT-LAB platform among other platforms presented in [5]. This choice is motivated by the number of devices supported by this platform (up to 2000 nodes). Figure 2a presents the LoRa gateway that we build using a low cost LoRa gateway [1] on a Raspberry-pi. Figure 2b presents one of the two Arduino boards equipped with an antenna that cover the 868 MHz band and with a SX1276 LoRa Transceiver.

### V. DISCUSSION

The main challenge of this work was to explore the application of genetic algorithm in LoRa transmission parameter selection. The efficiency of such algorithms is measured by the ability to satisfy each application requirement. Our main contribution was to build 3 applications that requires 3 different levels of QoS, such as text, sound and image transmission. We used a low cost LoRa gateway on a Raspberry-pi with 2 Arduino boards equipped with 2 LoRa Transceivers based on the Semtech SX1276 specification. To measure the accuracy of applying genetic algorithm in an edge computing we expect to compare our approach with the solutions provided in the literature.

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# Long paper

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## Abstract—

### I. INTRODUCTION

Over the past few years, new approaches called Low Power Wide Area (LPWA) networking technologies have emerged.

These technologies became a new alternative to current generations of cellular networks (2G, 3G, and 4G), while covering large areas (typical range of 10 km).

Several papers have reviewed the different LPWA technologies. For example, Raza et al. [1] surveyed standardization activities (IEEE, IETF, 3GPP, ETSI), as well as industrial ones around LPWA technologies (LoRa alliance, Weightless-SIG, Dash7 alliance). They identified potential research directions to address limitations and challenges of LPWA technologies such as a massive number of devices, link optimization, and adaptability.

After giving an overview of LPWA and cellular technologies for IoT [2], the authors discussed the capabilities and limitations of LoRaWAN. Nevertheless, the potential of an adaptive LoRa solution in terms of spreading factor, bandwidth, transmission power, and topology is still not well studied or exploited. Thus, new protocols and strategies are required to improve LoRa scalability.

The first step is to understand heterogeneous network deployments when devices use different spreading factor. In this paper, we investigate homogeneous (i.e. when all the nodes select the same LoRa configuration) and heterogeneous deployments (i.e. when each node selects its LoRa configuration according to its link budget or their needs) for a large number of devices (up to 10000 nodes per gateway). In order to evaluate performance, we have developed an accurate model of the PHY/MAC LoRa based on the extended WSNet simulator.

The LoRa model takes into account spectrum usage, co-channel rejection due to quasi-orthogonality of the LoRa spread spectrum modulation, and the gateway capture effect. The simulation results give an insight on reliability, network capacity, and energy consumption for homogeneous and heterogeneous deployments as a function of the number of nodes and traffic intensity.

The article is organized as follows. Section II gives a short overview of the LoRa technology and provides the state of the art on LoRa experimental measurements and simulations, LoRa limitations, and network deployment strategies as well

as motivations. Section III presents the developed LoRa WSNet based simulator and the deployment scenarios. Section IV analyzes performance in terms of packet delivery ratio, throughput, and power consumption. Section V concludes the article and gives some ideas for future work.

### II. RELATED WORK

#### A. LoRa Overview

In this section, we give a short overview of the LoRa physical layer parameters. LoRa specification [3], [4] and technical documents [5], [6], [7], [8] contain more detailed information.

1) *Bandwidth (BW)*:: is the range of frequencies available for transmission. Larger BW increases the data rate but decreases sensitivity. Typically, BWs are 125 kHz, 250 kHz, and 500 kHz. However, Semtech SX1276 offers BW configurations from 7.8 kHz to 500 kHz.

2) *Carrier Frequency (CF)*:: is the central frequency in a band. For our study, we consider the 868 MHz band.

3) *Coding Rate (CR)*:: characterizes resilience to transmission errors. Higher CRs result in better robustness, but increases the air time. LoRa supports CR of 4/5, 4/6, 4/7, and 4/8.

4) *Spreading Factor (SF)*:: is the ratio between the chip rate and the symbol rate. For a given SF, there are 2 SF chips per symbol. SF can be selected between 6 and 12, where SF12 presents the highest sensitivity and the longest range, but the lowest data rate.

#### B. From Experimental Measurements to Simulations

Some authors deployed LoRa networks and experimentally studied its performance [9] [10] [11] [12] [13]. The measurements were done in city centers, tactical troop tracking, and sailing monitoring systems. Nevertheless, experimental results in real life networks are not reproducible and MAC layer optimization is difficult. Blenn et al. [14] performed simulations based on traces from experiments and analyzed results based on real life and large scale measurements from The Things Network but their simulations are limited to the deployed scenario. To and Duda [15] presented LoRa simulations in NS-3 validated in testbed experiments. They considered the capture effect and showed the reduction of the packet drop rate due to collisions with a CSMA approach.

In a system level simulator, Haxhibeqiri et al. [16] studied the scalability for LoRaWAN deployments in terms of the number of nodes per gateway. Simulations are performed for a duty cycle of 1% but they are limited to 1000 nodes. We developed a LoRa simulator to compare the performance in different deployment scenarios for large scale networks based on an accurate model of the LoRa PHY/MAC layers. We simulate several deployment scenarios varying traffic intensity and the number of nodes.

### C. LoRa Evaluation and Limits

Several authors evaluated performance and limits of LoRa networks. Reynders et al. [17] evaluated Chirp Spread Spectrum (CSS) and ultra-narrow-band networks. They proposed a heuristic equation that gives Bit Error Rate (BER) for a CSS modulation as a function of SF and Signal to Noise Ratio (SNR). Cattani et al. [18] evaluated the impact of the LoRa physical layer settings on the data rate and energy efficiency. They evaluated the impact of environmental factors such as temperature on the LoRa network performance and showed that high temperatures degrade the Packet Delivery Ratio (PDR) and Received Signal Strength (RSS). Goursaud et al. [19] studied the performance of the CSS modulation. They showed the possibility of interference between different SFs and evaluated co-channel rejection for all combinations of SFs. Feltrin et al. [20] discussed the role of LoRaWAN for IoT and showed its application to many use cases. They considered the effect of non perfect orthogonality of SFs for a link level analysis. Petajajarvi et al. [21] analyzed the scalability of a LoRaWAN wide area network and showed its good coverage (e.g. until 30km on water for SF12 and transmit power of 14 dBm). They also showed the maximum throughput for different duty cycles per node per channel. Mikhaylov et al. [22] discussed LoRa performance under European frequency regulations. They studied the performance metrics of a single end device, then the spatial distribution of several end devices. They showed LoRa strengths (large coverage and good scalability for low uplink traffic) and weaknesses (low reliability, delays, and poor performance of downlink traffic). Bor et al. [23] presented a capability and performance analysis of a LoRa transceiver and proposed LoRaBlink protocol for link-level parameter adaptation. Nunez et al. [24] analytically showed the potential gain of adaptive LoRa solutions that choose suitable radio parameters (i.e., spreading factor, bandwidth, and transmission power) to different deployment topologies (i.e., star and mesh). These studies provide a first view of LoRa performance and its limitations. As a conclusion we need to take into account the capture effect and imperfect orthogonality of SFs. We contribute with an accurate LoRa simulation model considering the co-channel SF interference and the gateway capture effect, allowing accurate performance analysis in large scale simulations for different deployment scenarios. Our study extends the previous evaluations of LoRa limits with the evaluation of reliability, network throughput, and power consumption from sparse to massive access deployment scenarios.

### D. LoRa Network Deployment Strategies

Some authors studied LoRa network deployments and SF allocation strategies. Bor et al. [25] studied LoRa transceiver capabilities and the limit supported by LoRa system. They showed that LoRa networks can scale if they use dynamic selection of transmission parameters. Georgiou et al. [26] investigated the effects of interference in a network with a single gateway. They studied two link-outage conditions, one based on SNR and the other one based on co-SF interference. They showed, as expected, that performance decreases when the number of nodes increases and highlighted the interest of studying spatially heterogeneous deployments. Croce et al. [27] showed the effect of the quasi-orthogonality of SFs and found that overlapped packet transmissions with different SFs may suffer from losses. They validated the findings by experiments and proposed SIR thresholds for all combinations of SFs. They remarked that LoRa networks cannot be studied as a superposition of independent networks because of imperfect SF orthogonality. Abeele et al. [28] studied the capacity and scalability of LoRaWAN for thousands of nodes per gateway. They showed the importance of considering the capture effect and interference models. They proposed an error model from BER simulations to determine communication ranges and interference. They also analyzed three strategies of network deployments (random SF allocation, a fixed one, and according to related PDR), the last one presenting the best performance. Lim et al. [29] analyzed the LoRa technology to increase packet success probability and proposed three SF allocation schemes (equal interval based, equal area based, and random based). They found that the equal area scheme results in better performance compared with other schemes because of the reduced influence of SFs. The state of the art indicates the interest in heterogeneous deployments and SF allocation strategies. Thus, we analyze homogeneous and heterogeneous deployments with different SF allocations as a function of the number of nodes and traffic intensity in order to show network performance and the benefits of heterogeneity for large scale networks.

## III. BACKGROUND

### IV. APPROACH

We use an accurate and realistic WSNet-based simulator [30] written in C/Modern C++ under CeCILL free license. WSNet is a modular event-driven wireless network simulator that implements the required communication protocol layers and simulates the network behavior with a high level of accuracy. We have extended the simulator in several aspects (e.g., spectrum use, interference, capture effect) to take into account flexibility and specificity of the LoRa PHY/MAC layers.

#### A. Spectrum

To support PHY layer heterogeneity and flexibility, we have modified the core of the WSNet simulator by including a spectrum model. This new core is inspired by Baldo et

al. [31] to provide a support for modeling the frequency-dependent aspects of communications. Moreover, it exploits the spectrum in terms of spectral resources instead of logical channels. It provides more accurate PHY models (several waveforms with different configurations) and a more accurate interference model for heterogeneous simulations. Thus, we can evaluate different configurations of LoRa network (e.g., SF, BW, channel) for different homogeneous and heterogeneous deployments. Furthermore, it permits the evaluation of inter-technology interference (from a non LoRa technology), not presented in this article for space reasons.

### B. LoRa Modulation

The LoRa modulation is an adaptation of the CSS modulation. Its advantages are low power transmissions and channel robustness. LoRa features seven orthogonal SFs from SF6 to SF12. Symbol duration  $T_s$  and bit rate  $R_b$  are defined as follows:

We have developed a LoRa modulation module inspired by the Equation 3 presented in [17]. This equation shows BER as a function of SF and the energy per bit to noise ratio  $N$  where  $Q(x)$  is the Q-function.

### C. Spreading Factors Orthogonality

We have developed an interference module taking into account the effect of the quasi-orthogonality of SFs. It uses the values for co-channel rejection for all combinations of the desired signal SF  $d$  and the interferer signal SF  $i$  [19] presented in Table I.


TABLE I

### D. LoRa SX1276 and SX1301 Transceivers

The Semtech SX1276 transceiver provides high interference immunity while minimizing energy consumption [7]. Sensitivity  $dBm$  is defined according to the Semtech designer guide [5] as:

where 174 accounts for the thermal noise effect,  $BW$  is the receiver bandwidth,  $N_F$  is the receiver noise factor for a given hardware implementation, and  $SNR$  is the minimum ratio of the desired signal power to noise that can be demodulated. Table II shows sensitivity and the data rate for the SX1276 transceiver.


TABLE II

The Semtech SX1301 offers breakthrough gateway capabilities with a multi-channel high performance transmitter/receiver

designed to simultaneously receive several LoRa packets with different SFs and up to 8 channels [8]. It enables robust communications for a large number of nodes spread over a wide range. In our simulator, we consider the gateway capture effect based on SX1301. The gateway may receive, depending on the reception power, several packets with different SFs because they are quasi-orthogonal with respect to each other. If the gateway receives two packets overlapped with the same SF, the gateway will rec

### E. MAC and Application Layers

Our simulator considers a LoRa random access method that basically behaves like ALOHA. The application layer manages the data traffic generation at each node inside a time window Application Period (AP). At every AP occurrence, each node picks randomly a time instant inside the current window, and a data packet is generated and sent to the lower layer (network or MAC). Hence, each node can generate only one data packet per AP. We vary AP between 60 s and 40 min to evaluate performance for different application use cases.

### F. Deployment Scenarios

In this subsection, we present the network deployment scenarios and the SF allocation strategy in each scenario.

- In SF  $i$  Homogeneous, nodes are uniformly deployed in a disk of radius equal to  $D_{max}(SF_i)$ , the maximum transmission range of SF  $i$  (Figure 1(a)), and select the same LoRa configuration SF  $i$ .
- Multi-Homogeneous is the superposition of independent SF  $i$  homogeneous deployments ( $i$  from 6 to 12 and the corresponding radius  $D_{max}(SF_i)$ ) with a single gateway at the center. The number of nodes are equally distributed between homogeneous networks. As each SF  $i$  homogeneous deployment is independent and uniform, we can see in Figure 1(b) higher density close to the gateway.
- In Heterogeneous f(Dmax), nodes are uniformly deployed in a disk of radius equal to  $D_{max}(SF_{12})$ , the maximum transmission range of SF12 and then, each node selects its configuration according to its link budget (i.e., with the maximum data rate or the minimum SF). As shown in Figure 1(d), some rings naturally appear. Nodes in the farthest ring are configured with SF12, in the next ring with SF11, and so on until the last disk with SF6.
- In Heterogeneous Random, nodes are still uniformly deployed in a disk of radius equal to  $D_{max}(SF_{12})$  but they randomly select their configuration among the ones available according to their link budget. Hence, each node selects its LoRa configuration according to its budget link and its needs. For example, nodes in the third ring can randomly select their SF between SF10 and SF12 depending on their optimization criteria (e.g., energy consumption, data rate, reliability).

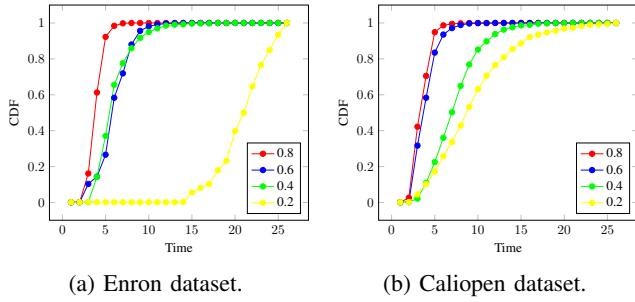


Fig. 1: Cumulative distribution function of infected users.

## V. EXPERIMENTATION

To evaluate our approach, we used Enron dataset in our experimentation. There are many reasons for using Enron dataset to evaluate our vulnerability measure techniques. First of all, it is probably the only actual corporate messaging service dataset available to the public. Second, it contains all kind of emails "personal and official", so email logs can reveal the level of trust between users by studying the information flow in the network. Finally, this dataset is similar to the kind of data collected for fraud detection or counter-terrorism, hence, it is a perfect test bed for testing the effectiveness of new vulnerability measure techniques.

The properties of the Enron dataset used for our experimentation are presented in table III.

Parameter	Enron dataset	Caliopen dataset
Nodes	958	5885
Edges	6966	26547
Diameter	958	2096
Mean degree	2.413361	9.02192
Edge density	0.00252	0.001533
Modularity	0.654600	0.86526
Mean distance	3.042114	3.914097

TABLE III: Datasets properties.

Due to visibility issues, we extract only important nodes given in [1]. Our substantive interest in this experimentation is in how vulnerability moves through the network. The inputs of our experimentation consist of a set of individual vulnerabilities of users in the network. This set is generated randomly and is represented in the graph of Figure ??, values of this set are between 0 and 1 and represent the extent to which users are exposed to different kind of attacks such as (phishing, spam, etc). Unlike social vulnerability values, these values didn't take into account the vulnerability contagion process between users. To evaluate how trust coefficient affects our outputs i.e., social privacy vulnerabilities, we variate the trust coefficient through 4 symmetric values 0.2, 0.4, 0.6 and 0.8.

## VI. RESULTS EXPLOITATION

### A. Deployment Scenarios and Assumptions

In this study, we have simulated the deployment scenarios described in Section III.F with a SX1301 gateway, at the cen-

ter, configured with one single channel of 125 kHz and up to 10000 SX1276 nodes. Hence, the gateway can simultaneously receive up to 7 packets configured with different SF each (SF6 to SF12). Packets are dropped according to the modulation and interference models. Table III presents the simulation parameters. For sake of simplicity, we have considered the 868 MHz band and the Okumura Hata pathloss model.

### B. Homogeneous Deployments Scenarios

In this subsection, we present the results of simulations for homogeneous deployments with different SFs as a function of the number of nodes (up to 10000) with AP set to 60 s (i.e., each node transmits a packet every minute). We have to emphasize that nodes are deployed in a disk of radius equal to the maximum transmission range of SF i for each scenario, i.e. the Homogeneous SF6 in a disk of radius D max (SF 6 ) and so on. Figure 2 shows PDR for homogeneous deployments with different SFs from 6 to 12. We can see that PDR decreases when the number of nodes increases. The SF6 Homogeneous deployment has better PDR compared with the others due to its short air time but its range is reduced

Figure 3 shows the throughput in packets per second for homogeneous deployments with different SFs. We can see that when the number of nodes increases, the throughput becomes saturated. The SF12 Homogeneous deployment converges faster and presents the lowest throughput due to its low data rate. Nevertheless, it presents the longest range. We have extended the previous analysis by varying AP up to 40 min and we set the number of nodes to 10000. As shown in Figure 4, increasing the Application Period reduces the probability of collision, then PDR increases. Figure 5 shows the throughput as a function of the Application Period. As we can observe, the throughput is higher when nodes use lower SF. Thus, in dense networks with high intensity traffic, we have to prioritize the use of the SF6 configuration. Nevertheless, it reduces the range and therefore, multi-hop communications or denser deployment with several gateways may be necessary to cover the same area. Figure 6 compares the average power consumption per node for the homogeneous deployments as a function of the Application Period for a simulation time offers 100\*AP. Increasing traffic intensity (e.g. AP from 40 min to 1 min) results in increased power consumption. SF6 configuration presents low consumption due to its shorter time on air. Higher consumption for the SF12 configuration due to its longer time on air.

### C. Heterogeneous vs. Homogeneous Deployment Scenarios

In this subsection, we compare the simulation results of the heterogeneous strategies with Homogeneous SF12 and Multi-Homogeneous deployments. Each deployment covers the same area (disk of radius D max (SF 12 )). For homogeneous deployments, only Homogeneous SF12 is used for comparisons in order to keep the same cell coverage. We simulate the heterogeneous deployments with up to 10000 nodes and AP is fixed to 60 s. Figure 7 compares PDR of homogeneous and heterogeneous deployments. The Heterogeneous f(Dmax)

deployment presents the best performance. This is because in heterogeneous deployments we reduce packetloss taking advantage of the quasi-orthogonality of SFs and the deployment strategy. For 100 nodes, the gain in terms of PDR for the Heterogeneous f(Dmax) deployment is 300% compared to the Homogeneous SF12 deployment. For the Multi-Homogeneous and Heterogeneous Random deployments, the gains are respectively 214% and 200%. A reliability of 20% is reached at 170, 360, 400 and 2600 nodes for the Homogeneous SF12, Heterogeneous Random, Multi-Homogeneous and Heterogeneous f(Dmax), respectively. Figure 8 compares the network throughput for the homogeneous and heterogeneous deployments in received packets per second. When the number of nodes increases, the throughput saturates. The Heterogeneous f(Dmax) deployment presents better performance compared with others deployments up to 10000 nodes. Figure 9 compares PDR as a function of traffic intensity (i.e. with AP from 1 min to 40 min). Decreasing traffic intensity reduces the packet loss, then PDR increases. The Heterogeneous f(Dmax) deployment presents better PDR because it takes advantage of the orthogonality of SFs reducing the interference, e.g., all nodes set up to SF12 are far from the gateway, then the interference to nodes close to the gateway set up to SF6 are reduced. The Homogeneous SF12 deployment presents lower PDR due to its long packet duration and the low spectral efficiency. Figure 10 compares the throughput for homogeneous and heterogeneous deployments. When traffic intensity decreases, the throughput decreases. For high traffic intensity (e.g., 1 min Application Period), the Heterogeneous f(Dmax) deployment presents a throughput of 10 packets per second whereas other strategies have a throughput less than 2 packets per second. Figure 11 compares average power consumption for the homogeneous and heterogeneous deployments for a simulation time of 100\*AP. When increasing traffic intensity, power consumption increases. Taking the Homogeneous SF12 deployment as reference, the comparison shows that power consumption for the Heterogeneous f(Dmax) deployment increases smoothly compared with the exponential increasing of the Homogeneous SF12 deployment.

#### *D. Application Period and Packet Duration vs. ERC Regulations*

In Europe, ERC regulates access to radio frequency bands. For most of the sub-bands in the 868 MHz band, the duty cycle must be lower than 1%. We analyze this constraint for a 50 Bytes packet configured with different SFs. Table IV shows the packet duration and the Application Period required to respect the regulation for different values of SF. For an AP of 1 min, the packet duration must be lower than 600 ms to respect the duty cycle of 1%. Table IV shows that the constraint can be satisfied with all SFs except SF11 and SF12. APs for SF12 and SF11 must be longer than 2.6 min and 1.4 min, respectively, in order to respect the regulations.

## VII. CONCLUSION

### REFERENCES

#### *Others*

- [1] Jitesh Shetty and Jafar Adibi. “ [Discovering Important Nodes through Graph Entropy the Case of Enron Email Database](#) ”. In: 00257. ACM Press, 2005, pp. 74–81.

# Template

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**Abstract—**

## I. INTRODUCTION

*A. Problem Statement*

*B. Background*

*C. Purpose (Goal)*

*D. Limitations*

*E. Method*

This paper is organized as follows. Section II elucidates summary of related works. In section III, we propose our ... to .... Section IV evaluates the performance of our ... in terms of packet delivery ratio, throughput, and power consumption. Section VI concludes the article and gives some ideas for future work.

The difficulty to build such system is

In this work we

The article is organized as follows. Section II elucidates summary of related works, In section III, we propose our ... to .... Section IV evaluate the performance of our ... in terms of packet delivery ratio, throughput, and power consumption. Section ?? concludes the article and gives some ideas for future work.

## II. RELATED WORK

## III. APPROACH

## IV. EXPERIMENTATION

## V. RESULTS EXPLOITATION

*A. Range*

*B. Response time*

*C. Connection speed*

*D. Power consumption*

## VI. CONCLUSION

## REFERENCES

# Conclusion

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I. CONCLUSION

II. PERSPECTIVES

REFERENCES

# Survey: Per Layer

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## I. LORA

Preamble		Sync msg		PHY Header		PHDR-CRC		PHY Payload												CRC		
Modulation	length	Sync msg	PHY Header	PHDR-CRC		MAC Header		MAC Payload												MIC	CRC Type	Polynomial
Modulation	length	Sync msg	PHY Header	PHDR-CRC	MType	RFU	Major	Frame Header												FPort	Frame Payload	MIC
Modulation	length	Sync msg	PHY Header	PHDR-CRC	MType	RFU	Major	FCtrl												FOps	Frame Payload	MIC
Modulation	length	Sync msg	PHY Header	PHDR-CRC	MType	RFU	Major	NwkID	NwkAddr	ADR	ADRACKReq	ACK	FPending_RFU	FOptsLen	FCnt	FOps	FPort	Frame Payload	MIC	CRC Type	Polynomial	
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	

- 1) **Modulation :**
- ↳ Lora: 8 Symbols, 0x34 (Sync Word)
  - ↳ FSK: 5 Bytes, 0xC194C1 (Sync Word)
- 2) **Length :**
- 3) **Sync msg :**
- 4) **PHY Header :** It contains:
- ↳ The Payload length (Bytes)
  - ↳ The Code rate
  - ↳ Optional 16bit CRC for payload
- 5) **Phy Header :** CRC It contains CRC of Physical Layer Header
- 6) **MType :** is the message type (uplink or a downlink)
- ↳ whether or not it is a confirmed message (req/ack)
  - ↳ 000 Join Request
  - ↳ 001 Join Accept
  - ↳ 010 Unconfirmed Data Up
  - ↳ 011 Unconfirmed Data Down
  - ↳ 100 Confirmed Data Up
  - ↳ 101 Confirmed Data Down
  - ↳ 110 RFU
  - ↳ 111 Proprietary
- 7) **RFU :** Reserved for Future Use
- 8) **Major :** is the LoRaWAN version; currently, only a value of zero is valid
- ↳ 00 LoRaWAN R1
  - ↳ 01-11 RFU
- 9) **NwkID :** the short address of the device (Network ID): 31th to 25th
- 10) **NwkAddr :** the short address of the device (Network Address): 24th to 0th
- 11) **ADR :** Network server will change the data rate through appropriate MAC commands
- ↳ 1 To change the data rate
  - ↳ 0 No change
- 12) **ADRACKReq :** (Adaptive Data Rate ACK Request): if network doesn't respond in 'ADR-ACK-DELAY' time, end-device switch to next lower data rate.
  - ↳ 1 if (ADR-ACK-CNT)  $\geq$  (ADR-ACK-Limit)
  - ↳ 0 otherwise
- 13) **ACK :** (Message Acknowledgement): If end-device is the sender then gateway will send the ACK in next receive window else if gateway is the sender then end-device will send the ACK in next transmission.
  - ↳ 1 if confirmed data message
  - ↳ 0 otherwise
- 14) **FPending↓/RFU↑ :** (Only in downlink), if gateway has more data pending to be send then it asks end-device to open another receive window ASAP
  - ↳ 1 to ask for more receive windows
  - ↳ 0 otherwise
- 15) **FOptsLen :** is the length of the FOpts field in bytes à 0000 to 1111
- 16) **FCnt :** 2 type of frame counters
  - ↳ FCntUp: counter for uplink data frame, MAX-FCNT-GAP
  - ↳ FCntDown: counter for downlink data frame, MAX-FCNY-GAP
- 17) **FOpts :** is used to piggyback MAC commands on a data message
- 18) **FPort :** a multiplexing port field
  - ↳ 0 the payload contains only MAC commands
  - ↳ 1 to 223 Application Specific
  - ↳ 224 & 225 RFU
- 19) **FRMPayload :** (Frame Payload) Encrypted (AES, 128 key length) Data
- 20) **MIC :** is a cryptographic message integrity code
  - ↳ computed over the fields MHDR, FHDR, FPort and the encrypted FRMPayload.
- 21) **CRC :** (only in uplink),
  - ↳ CCITT  $x^{16} + x^{12} + x^5 + 1$
  - ↳ IBM  $x^{16} + x^{15} + x^5 + 1$
  - ↳ 0 No change

## II. APPLICATION PROTOCOLS

### A. CoAP

Ver	T	TKL	Code	Message ID
Token				
Options				
11111111		Payload		

Fig. 1: CoAP Header.

- **Ver:** is the version of CoAP
- **T:** is the type of Transaction
- **TKL:** Token length
- **Code:** represents the request method (1-10) or response code (40-255).
  - ⇒ Ex: the code for GET, POST, PUT, and DELETE is 1, 2, 3, and 4, respectively.
- **Message ID:** is a unique identifier for matching the response.
- **Token:** Optional response matching token.

### B. MQTT

0	1	2	3	4	5	6	7						
Message Type		UDP		QoS Level		Retain							
Remaining length													
Variable length header													
Variable length message payload													

Fig. 2: CoAP Header.

- **Message type:** CONNECT (1), CONNACK (2), PUBLISH (3), SUBSCRIBE (8) and so on
- **DUP flag:** indicates that the message is duplicated
- **QoS Level:** identify the three levels of QoS for delivery assurance of Publish messages
- **Retain field:** retain the last received Publish message and submit it to new subscribers as a first message

### C. XMPP

- Extensible Messaging and Presence Protocol
- Developed by the Jabber open source community
- An IETF instant messaging standard used for:
  - ⇒ multi-party chatting, voice and telepresence
- Connects a client to a server using a XML stanzas
- An XML stanza is divided into 3 components:
  - ⇒ message: fills the subject and body fields
  - ⇒ presence: notifies customers of status updates
  - ⇒ iq (info/query): pairs message senders and receivers
- Message stanzas identify:
  - ⇒ the source (from) and destination (to) addresses
  - ⇒ types, and IDs of XMPP entities

### D. AMQP

- Size the frame size.
- DOFF the position of the body inside the frame.
- Type the format and purpose of the frame.
  - ⇒ Ex: 0x00 show that the frame is an AMQP frame
  - ⇒ Ex: 0x01 represents a SASL frame.

### E. DDS

- Data Distribution Service
- Developed by Object Management Group (OMG)
- Supports 23 QoS policies:
  - ⇒ like security, urgency, priority, durability, reliability, etc
- Relies on a broker-less architecture
  - ⇒ uses multicasting to bring excellent Quality of Service
  - ⇒ real-time constraints
- DDS architecture defines two layers:
  - DLRL Data-Local Reconstruction Layer
    - \* serves as the interface to the DCPS functionalities
  - DCPS Data-Centric Publish/Subscribe
    - \* delivering the information to the subscribers
- 5 entities are involved with the data flow in the DCPS layer:
  - ⇒ Publisher: disseminates data
  - ⇒ DataWriter: used by app to interact with the publisher
  - ⇒ Subscriber: receives published data and delivers them to app
  - ⇒ DataReader: employed by Subscriber to access received data
  - ⇒ Topic: relate DataWriters to DataReaders
- No need for manual reconfiguration or extra administration
- It is able to run without infrastructure
- It is able to continue working if failure happens.
- It inquires names by sending an IP multicast message to all the nodes in the local domain
  - ⇒ Clients ask devices that have the given name to reply back
  - ⇒ the target machine receives its name and multicasts its IP @
  - ⇒ Devices update their cache with the given name and IP @

### F. mDNS

- Requires zero configuration aids to connect machine
- It uses mDNS to send DNS packets to specific multicast addresses through UDP
- There are two main steps to process Service Discovery:
  - ⇒ finding host names of required services such as printers
  - ⇒ pairing IP addresses with their host names using mDNS
- Advantages
  - ⇒ IoT needs an architecture without dependency on a configuration mechanism

- smart devices can join the platform or leave it without affecting the behavior of the whole system
- Drawbacks
  - Need for caching DNS entries

### III. DIVERS

Layer	Maximize (Reward)	Minimize (Cost)
Application	$\text{Sec}$ security Ergonomic	$\text{SC}$ Service Cost
Network	$\text{BW}$ Bandwidth available $\text{PRR}$ Packet Reception Ratio $\text{Th}$ Throughput $\text{Range}$ Network coverage $\text{Availability}$ Availability $\text{DR}$ Data rate $\text{PDR}$ Packet delivery ratio	$\text{PS}$ Payload size $\text{Jit}$ Jitter $\text{TC}$ Traffic congestion $\text{PLR}$ Packet loss rate $\text{RTT}$ Round time trip $\mathcal{O}_{time}$ Time Complexity $\mathcal{O}_{space}$ Space Complexity
Radio	$\text{SNR}$ Signal-to-noise ratio $\text{DC}$ Duty cycle $\text{SR}$ Symbol rate $\text{Mob}$ Mobility $\text{BR}$ Bit rate $\text{SINR}$ signal-to-interference & noise ratio $\text{SIR}$ Signal-to-Interference Ratio	$\text{BER}$ Bit error rate $\text{SL}$ Sleep time $\text{Tx}$ Transmission Energy $\text{CCI}$ Co-channel Interference $\text{PL}$ Path loss $\text{ToA}$ Time on Air

TABLE II: Network selection inputs and classification of parameters [1] + QoS parameters [2] [3]

Naïve modes	Instantaneous Hist. average Clustering
Parametric models	Rarely used Traffic Models Time Series Linear regression ARIMA Kalman filtering ATHENA SETAR Gaussian Maximum Likelihood
Non-Parametric models	k-Nearest Neighbor Locally Weighted Regression Fuzzy Logic Bayes Network Neural Network Include temporal/spatial patterns

TABLE I: Taxonomy of prediction models [\_short\_2007]

- Network selection
- MADM
  - Ranking methods
  - Ranking & weighted methods
- Game theory
  - Users vs users
  - Users vs networks
  - Networks vs network
- Fuzzy logic
  - as a score method
  - another theory
- Utility function
  - 1
  - 2

Signal-to-interference & noise ratio ( $\text{SINR}$ ) Signal-to-Interference Ratio ( $\text{SIR}$ )  $\text{BR}$   $\text{DR}$   $\text{BW}$   $\text{BER}$   $\text{PRR}$  Packet delivery ratio ( $\text{PDR}$ )  $\text{SNR}$  Packet loss rate ( $\text{PLR}$ ) Round time trip ( $\text{RTT}$ )  $\text{Tx}$  Payload size ( $\text{PS}$ ) Traffic congestion ( $\text{TC}$ ) Duty cycle ( $\text{DC}$ )  $\text{SR}$  Sleep time ( $\text{SL}$ ) Jitter ( $\text{Jit}$ ) Co-channel Interference ( $\text{CCI}$ ) Time on Air ( $\text{ToA}$ )  $\text{PL}$  Mobility ( $\text{Mob}$ ) Throughput ( $\text{Th}$ ) Service Cost ( $\text{SC}$ )

[4]

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (p_i - r_i)^2 \quad (21)$$

$$\text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (p_i - r_i)^2} \quad (22)$$

$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |p_i - r_i| \quad (23)$$

$$\text{Recall} = \frac{TP}{TP + FN} \quad (24)$$

$$\text{Precision} = \frac{TP}{TP + FP} \quad (25)$$

$$F1\_Score = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{precision} + \text{recall}} \quad (26)$$

$$\text{TPR} = \frac{TP}{TP + FN} \quad (27)$$

$$\text{FPR} = \frac{FP}{FP + TN} \quad (28)$$

$$\text{ROC} = (\text{TPR}, \text{FPR}) \quad (29)$$

$$\text{Novelty} = \sum_{i \in L} \frac{\log_2 P_i}{n} \text{ where } P_i = \frac{n - \text{rank}_i}{n - 1} \quad (30)$$

$$\text{Serendipity} = \frac{1}{n} \sum_{i \in n} \max(P_{\text{user}} - P_U, 0) \times \text{rel}_i \quad (31)$$

$$\text{diversity} = \frac{a}{c} \sum_{i=1}^c \frac{1}{n} \sum_{j=1}^n i_j \quad (32)$$

$$\text{Coverage} = 100 \times \frac{u}{U} \quad (33)$$

$$\text{Stability} = \frac{1}{n} \sum |P_{2,i} - P_{1,i}|$$

#### IV. PAPERS

Year	Factors	Computation Model	Results interpretation
2018	EXPLoRa-SF [5] connected nodes time-on-air	Estimation	<b>Closeness</b> have a high degree of correlation with <b>privacy score</b>

TABLE III: Social metrics

## V. PAPERS

Characteristics	6LoWPAN	LoRaWAN	SigFox	NB-IoT	INGENU	TELENSA
<b>Proprietary</b>			✓			
<b>Standar</b>	IETF	LoRa Alliance		3GPP		
$CF [MHz]$	902-929 868-868.6	902-928 863-870 and 434	902 868			
<b>Channels</b>	0016 for 2400 0010 for 915 0001 for 868.3	80 for 915 10 for 868 and 780	25			
$BW [MHz]$	0005 for 2400 0002 for 915 0600 for 868.3	0.125 and 0.50 for 915 0.125 and 0.25 for 868 and 780	0.0001-0.0012			
$DR [kbps]$	0250 for 2400 0040 for 915 0020 for 868.3	0.00098-0.0219 for 915 0.250-0.05 for 868 and 780	0.1-0.6			
<b>Modulation</b>	QPSK for 2400 BPSK for 915 BPSK for 868.3	LoRa for 915 LoRa and GFSK for 868 and 780 <b>CSS</b> unslotted ALOHA	BPSK and GFSK QSPSK unslotted ALOHA			
$CR [dBm]$	-085 for 2400 -092 for 915 -092 for 868.3	-137	-137			
<b>Topology</b>	Star, Stars	Star	Star	Star, Tree	Star	
$ADR$	✓		✗	✓		✗
$PL$	<250B (depends on SF)		12B(UL),8B(DL)	10KB		
<b>Handover</b>	Multi $BS$	Multi $BS$				
<b>Security</b>	AES 128b		✗	16B hash, AES 256b		
$LS$	✓		✗	✗		✗
$FEC$	AES 128b		✗	✓		✓
<b>Range</b>	10-100 m	5-15 km	10-50 km	1Km		
<b>Battery lifetime</b>	1-2 years	<10 years	<10 years	<10 years		
<b>Uplink</b>		100bps				
<b>Downlink</b>		8 bytes/msg				
<b>Cost</b>	35e	25e	1020e			
<b>max msg/day</b>	Unlimited		140(UL),4(DL)	Unlimited		
<b>max Payload</b>	243B		12(UL),8(DL)	1600B		

TABLE IV: LPWan Characteristics [6]

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# Survey: Privacy Frameworks

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**Abstract—TODO**

## I. INTRODUCTION

TODD aghiles

## II. APPROACHES

Quantifying and measuring privacy is very challenging, mainly because the definition of privacy is very subjective, each individual might have a different opinion about this concept. In our work, we present privacy challenges through three points of view: behavioral, social and technical.

### A. Behavioral

We consider two types of behavior models in this work, one based on typical behavior from a user perspective and one on typical behavior of a users' messages. Both of these models can be quantified into a probability score.

1) *User behavior*: The user's behavior is modeled with respect to their typical service messaging usage, the frequency and type of messages received and sent, and the typical recipients with whom they exchange messages. Known as a behavior profile, this type of model is computed over some training period to learn how the user behaves within the messaging account.

The measurements of the user's message behavior include the frequency of inbound/outbound message traffic, the specific times messages arrive and are sent, the "social cliques" of a user, and the user's response rates when replying to specific senders.

Vidyalakshmi et al. [1] proposed a privacy scoring using bezier curve. They present a framework for calculating a privacy score metric considering **users personal attitude towards privacy and communication information**. They focus on the rating of the users OSN friends based on their attitudes towards privacy, helping him to make an informed decision of sharing information with them. Bezier curve in its cubic form is used as it has to account for both privacy orientation and communication orientation of the user.

[2]

[3]

[liu\_framework\_2010] propose a model to compute a privacy score of a user. The privacy score increases based on how sensitive and visible a profile item is and can be used to adjust

the privacy settings of friends. Their solution also focused on the privacy settings of users with respect to their profile items. They use Item Response Theory (IRT) to evaluate **sensitivity and visibility of attributes** when evaluating privacy scores. The authors definition of privacy score satisfies the following intuitive properties: the more sensitive information a user discloses, the higher his or her privacy risk. However, their approach do not support personalized privacy view over profile content for each individual in the social network.

2) *Message behavior*: The second type of behavior is specific to how spam behaves and how it appears in the message folder among normal messages. In general, spam messages can be easily detected because they appear anomalous with respect to the normal set of messages received and opened by the user. However, ... TODO

### B. Social

In online social networks, users are sometimes either oblivious about their privacy, or concerned but underestimate the privacy risks. OSN service providers allow users to manage who can access which information and communication (e.g. Facebook and Google+). Researcher studied privacy protection from two directions:

Along the first direction, fundamental changes to the current design of OSN were suggested to enhance users' privacy. Within this direction, Privacy by Design (PbD) is an important approach. For example, in [4], Baden et al. proposed a new type of OSNs by using **attribute-based encryption** to hide user data, in which symmetric keys are used to encrypt messages and only the designated friend groups can decrypt the messages. In [5], Erkin et al. proposed to use homomorphic encryption and **multi-party encryption techniques** to hide privacy-sensitive data from the service provider in a recommender system.

The second direction is developing privacy protection tools based on existing OSNs. In our work, we focus on both direction to deal with current and future messaging services.

In [6], the authors propose to use the amount of information that can be inferred from social networks to quantify the privacy risks. PrivAware detect and report unintended information disclosures through quantifying privacy risk associated with friend relationship in OSNs. PrivAware employs inference model which is based on the fact that information about

users can be inferred from their social graph. Privacy score is calculated as total **number of attributes visible to the third party applications** divided by total number of attributes per participant. The measured percentage is then mapped to a letter grade, where A score represents very few attributes being revealed and F score indicates that privacy risk to the threat of a malicious third party application is high.

The authors in [7] develop a tool, Privometer, to measure information leakage based on user profiles and their social graph. The leakage is indicated by a probability numerical value. Privometer is based on an augmented inference model where a potentially malicious application installed in the users friend profiles can access substantially more information. It operates in two modes. In online mode, inference is performed based on the friends profile where most frequently value is selected. In offline mode, it uses only immediate friends and "network-only Bayes classifier" to measure the **probability of inference**. The tool can suggest self sanitization actions based on the numerical value.

[8] proposed a privacy control framework for information dispersal on social network, they use the quadratic form of bezier curve to arrive at privacy scores for friends, they use the **communication information** for pre-sorting of friends which is lacking in [1].

**Privacy Index (PIDX)** proposed in [9] is a measure of a users privacy exposure in a social network. PIDX is a numerical value between 0 and 100 with high value indicating high privacy risk in social networks. An **attributes privacy impact factor** is a ratio of its privacy impact to full privacy disclosure. Thus, an attributes privacy impact has a value between 0 and 1. They consider privacy impact factor for full privacy disclosure is 1.

[10] develop a graph-based approach and a risk model to learn **risk labels of strangers**, the intuition of such an approach is that risky strangers are more likely to violate privacy constraints.

Fang and Le Fevre [11] proposed a Privacy Wizard to help users grant privileges to their friends. the goal of this tool is to automatically configure a users privacy settings with minimal effort and interaction from the user. The wizard asks users to first assign **privacy labels to selected friends**, and then uses this as input to construct a classifier which classifies friends based on their profiles and automatically assign privacy labels to the unlabeled friends.

In a similar vein, some studies [12] propose a methodology for quantifying the risk posed by a users privacy settings. A risk score reveals to the user **how far his/her privacy settings are from those of other users**. It provides feedback regarding the state of his/her existing settings. However, it does not help the user refine his/her settings in order to achieve a more acceptable configuration.

Trust metrics can be classified to two main categories: global and local trust metrics. **Local trust metrics**, compute trust values that are dependent on the target user, Local trust metrics take into account the very personal and subjective views of the users, they predict different values of trust for

every single user based on their own experience. **Global trust metrics (reputation)**, on the other hand, predict a global reputation value for each node.

1) *Concept of trust:* In trust networks users can ask to rate other users, this means that, a user can express her level of trust in another user she has interacted with, i.e. express a trust statement such as "Alice, trust Bob as 0.8 in [0,1]". The system can then aggregate all the trust statements in a single trust networks representing the relationships between users. Trust metrics are algorithms whose goal is to predict, based on the trust network, the trustworthiness of "unknown" users, i.e. users in which a certain user didnt express a trust statement. Their aim is to reduce social complexity by suggesting how much an unknown user is trustworthy. Due to the increased use of OSNs, there is a growing number of studies that focus on using social network data for scoring messages in order to filter unwanted messages in messaging systems. The difference between each study has to do with the way the concept of trust is represented, computed and used.

The concept of trust is used to indicate the relationship between two entities. Trust in an entity is a commitment to an action based on a belief that the future actions of that entity will lead to a good outcome. There are three main properties of trust that are relevant to the development of algorithms for computing it [wang\_trustinvolved\_2010], namely, transitivity, asymmetry, and personalization. The primary property of trust that is used in our work is transitivity. if Alice highly trusts Bob, and Bob highly trusts Chuck, it does not always and exactly follow that Alice will highly trust Chuck. It is also important to note the asymmetry of trust, for two people involved in a relationship, trust is not necessarily identical in both directions. The third property of trust that is important in social networks is the personalization of trust, trust is inherently a personal opinion, two people often have very different opinions about the trustworthiness of the same person.

While much work has focused on tools for understanding and adjusting existing privacy settings, **Protect\_U** [13] uses machine learning techniques to recommend privacy settings based on a users personal data and trustworthy friends. Protect\_U analyzes user profile contents and ranks them according to four risk levels: Low Risk, Medium Risk, Risky and Critical. The system then suggests personalized recommendations to allow users to make their accounts safer. In order to achieve this, it draws upon two protection models: local and community-based. The first model uses the **users personal data** in order to suggest recommendations, The second model seeks the **users trustworthy friends** to encourage them to help improve the safety of their counter parts account.

Despite the mole of work on social trust, Social Market is the first system to propose the use of **trust relationships** to build a decentralized interest-based marketplace.

Similarly, TAPE [14] is the first attempt to combine explicit and implicit social networks into a single gossip protocol. Zeng et al. [14] approaches the privacy quantification problem from a different angle. First, they consider **how likely a friend reveals others personal information**, by computing

the privacy trust score, which is a widely studied research problem [15]. Furthermore, the proposed work is related to **information diffusion in OSNs** such as [11]. Finally, TAPE framework differs from other work, in considering information diffusion in the context of privacy protection, which requires different sets of features and considerations.

**Ostra** [16] utilizes trust relationship to thwart unwanted communication, where the number of a users trust relationships is used to limit the amount of unwanted communications he can produce. Ostra utilizes the existing trust relationship among users to charge the senders of unwanted messages and thus block spam. It relies on existing trust networks to connect senders and receivers via **chains of pair-wise trust relationship**, they use a pair-wise link-based credit scheme to impose a cost on originator of unwanted communication. Unfortunately, the scalability of this system stays uncertain as it employs a per-link credit scheme.

Gundecha et al. [15] propose a feasible approach to the problem of identifying a users vulnerable friends on a social networking site. Vulnerability is somewhat contagious in this context. Their work differs from existing work addressing social networking privacy by introducing a **vulnerability-centered approach to a user** security on a social networking site. On most social networking sites, privacy related efforts have been concentrated on protecting individual attributes only. However, users are often vulnerable through community attributes. Unfriending vulnerable friends can help protect users against the security risks.

In [17], Sun et al proposed a **probability trust model** that uses Beta function to address concatenation propagation and multi-path propagation of trust.

**SOAP** [18] presents a social network based personalized spam filter that integrates **social closeness**, **user (dis)interest** and adaptive **trust** management into a Bayesian filter. SOAP proposed an email scoring mechanism based on an email network augmented with reputation ratings. An email is considered spam if the **reputation score of the email sender** is very low. Different from these social network based methods, SOAP focuses on personal interests in conjunction with social relationship closeness for spam detection. However, several issues with SOAP, including the intrinsic cost of initialization and continuous adaptation of social closeness (between sender and recipient), and social interests (of an individual) in the Bayesian filter, limit its usage.

Relationship between **users trustworthiness** and privacy risk is presented in [19].

Hameed [20] proposed LENS, which extends the FoF network by adding trusted users from outside of the FoF networks to mitigate spam beyond social circles. Only emails to a recipient that have been **vouched by the trusted nodes** can be sent into the network. The authors proposed using social networks and trust and reputation systems to combat spam. In contrast, LENS can reject unwanted email traffic during the SMTP time.

SocialEmail [21] considers the trust as an integral part of networking rather than working alongside of an existing

communication system. SocialEmail leverages **social network trust paths** to rate the messages. The key feature of SocialEmail is that instead of directly connecting the sender and the recipient, messages are routed through existing friendship links. This gives each email recipient control over who can message him/her. In contrast, such social interaction-based methods are not sufficiently effective in dealing with legitimate emails from senders outside of the social network of the receiver.

Social interactions (e.g., **the exchange of messages between users**) have been suggested as an indicator of interpersonal tie strength [22]. As a consequence, an unsupervised model has been developed to estimate the **relationship strength** from the interaction activity and the user similarity in the OSN [22]. Although interaction-based methods leverage **social relationships** for extracting trust, the applications are not designed to be automated in the sense that the user must explicitly score other users, score messages, create whitelists or adjust the credits.

Fong [23] formulated this paradigm called a Relationship-Based Access Control (ReBAC) model, it bases authorization decisions on the **relationships between the resource owner and the resource accessor** in an OSN. However, most of these existing work could not model and analyze access control requirements with respect to collaborative authorization management of shared data in OSNs.

2) *Concept of reputation:* Trust and reputation concepts are used in order to preserve users privacy while increasing their social capital in OSNs. Reputation concept is used to refer to a more general sense of trust towards a particular entity based on opinions of multiple entities.

A reputation system collects, distributes and aggregates feedback about participants past behavior. Such systems help people decide whom to trust, encourage trustworthy behavior and deter participation by those who are unskilled or dishonest. Various applications use real-time reputation-based systems, including online markets and anti-spam solutions. Anti-spam reputation systems generate a score, or rating, for each incoming message or IP, based on analysis of various parameters: message volume, type of traffic (e.g. sporadic vs continuous), rate of user complaint reports, feedback from spam traps, compliance with regulations, etc. This aggregated information, collected over time, forms the reputation of the sender.

SNARE [24] infers the reputation of a message sender based on **network-level features**, (e.g. **geodesic distance between sender and recipient, number of recipients**). The most influential feature in the system was the AS number of the sender. Using an automated reputation engine, SNARE classifies message senders as spammers or legitimate with about a 70% detection rate for less than a 0.3% false positive rate, without looking at the contents of a message. However, lacking authentication and non-repudiation in standard trust and reputation solution make these solutions be subject to identity spoofing, false accusation and collusion attacks. Further, these solutions consume extra valuable resources of messaging servers on message reception and filtering.

In TrustMail, which is a prototype E-mail client, an approach is proposed that makes use of OSN reputation ratings to attribute different scores to E-mails [25]. The actual benefit of this system is that, by using **social network data**, it identifies potentially important and relevant messages even if the recipient does not know the sender [25].

Qian et al. [26] addressed this issue by presenting a clustering technique that refines **AS-based and BGP prefix-based clusters**. The authors combined **BGP and DNS information** to identify a cluster of IP addresses within the same administrative boundary, and thus constructed the reputation for an entire cluster. This cluster-based reputation system allowed more accurate identification of the reputation of previously unknown IP addresses, and reduced the false negative rate by 50 percent compared to blacklists, without increasing the false-positive rate [26].

Paradesi et al. [27] adopted a multi-agent based reputation model to define **trustworthiness of services**. Moreover, they developed a trust framework to derive trust for a composite service from trust model of component services.

3) *Collaborative management:* The trust value assigned to a person in previous work is estimated on the basis of his/her reputation, which can be assessed taking into account the person behaviour. Indeed, it is a matter of fact that people assign to a person with unfair behaviour a bad reputation and, as a consequence, a low level of trust. A possible solution is to estimate the trust level to be assigned to a user in a collaborative community on the basis of his/her reputation, given by his/her behavior with regards to all the other users in the community.

Hu et al. [28] propose an approach to enable **collaborative privacy management** of shared data in OSNs. In particular, they provide a systematic mechanism to identify and resolve privacy conflicts for collaborative data sharing. their conflict resolution indicates a trade-off between privacy protection and data sharing by quantifying privacy risk and sharing loss

Dealing with **collaborative information sharing**, Hu et al. [29] proposed a method to detect and resolve privacy conflicts.

The collaborative systems, called **COAT** [30], do not rely upon semantic analysis but on the community to identify spam messages. Once a message is tagged as spam by one SMTP server, the signature of that message is transmitted to all other SMTP servers. This class requires the collaboration of multiple SMTP servers to implement the system.

**SocialFilter** [31] proposes a collaborative spam mitigation system that uses social trust embedded in OSN to asses the trustworthiness of Spam reporter. The spammer reports from the SocialFilter nodes are stored at a centralized repository that computes the trust values of the reports and identifies spammers based on IP addresses. However, the SocialFilters effectiveness is doubtful as spammers may use dynamic IPs.

Squicciarini et al. [28] proposed a solution for collective privacy management for photo sharing in OSNs. This work considered the privacy control of a content that is co-owned by multiple users in an OSN, such that each co-owner may separately specify her/his own privacy preference for the

shared content. The Clarke-Tax mechanism was adopted to enable the collective enforcement for shared content. Game theory was applied to evaluate the scheme. However, a general drawback of this solution is the usability issue, as it could be very hard for ordinary OSN users to comprehend the Clarke-Tax mechanism and specify appropriate bid values for auctions. In addition, the auction process adopted in their approach indicates only the winning bids could determine who was able to access the data, instead of accommodating all stakeholders privacy preferences.

### C. Technical

Nowadays, the Web converged over two main protocols, namely HTTP/HTTPS and SMTP/SMTPS. Beside these, DNS still has a central role for reaching almost any Web server. In this section we focus on SMTP privacy, the next section relies on HTTP privacy.

Many anti-spam techniques have been proposed and deployed to counter email Spam from different perspectives. Based on the placement of anti-Spam mechanisms, these techniques can be divided into two main categories: recipient-oriented and sender-oriented.

1) *Recipient-oriented Techniques:* This class of techniques either (1) block/delay email Spam from reaching the recipients mailbox or (2) remove/mark Spam in the recipients mailbox. Due to the flourish of techniques in this category, we further divide them into content-based and non-content-based sub-categories.

a) *Content-based Techniques:* The techniques in this sub-category detect and filter spam by analyzing the content of received messages, including both message header and message body.

**Email address filters:** Email address filters are simply whitelists or blacklists. Whitelists consist of all acceptable email addresses and blacklists are the opposite. Blacklists can be easily broken when spammers forge new email addresses, but using whitelists alone makes the world enclosed.

**Heuristic filters:** The features that are rare in normal messages but appear frequently in spam, such as nonexistent domain names and spam-related keywords, can be used to distinguish spam from normal email.

**Machine learning based filters:** Since spam detection can be converted into the problem of text classification, many content-based filters utilize machine-learning algorithms for filtering spam. As these filters can adapt their classification engines with the change of message content, they outperform heuristic filters.

b) *Non-content-based Techniques:* The techniques in this sub-category use non-content spam characteristics, such as source IP address, message sending rate, and violation of SMTP standards, to detect email spam.

**DNSBLs:** DNSBLs are distributed blacklists, which record IP addresses of spam sources and are accessed via DNS queries. When an SMTP connection is being established, the receiving MTA (Mail Transfer Agent) can verify the sending machines IP address by querying the subscribed

DNSBL. Mail server records the number and frequency of the same email sent to multiple destinations from specific IP addresses. If the number and frequency exceed thresholds, the node with the specific IP address is blocked. Even DNSBLs have been widely used, their effectiveness and responsiveness [[jung\\_empirical\\_2004](#), [ramachandran\\_can\\_2006](#)] are still under study.

**MARID:** MARID (MTA Authorization Records In DNS) is a class of techniques to counter forged email addresses by enforcing sender authentication. MARID is also based on DNS and can be seen as a distributed whitelist of authorized MTAs. Multiple MARID drafts have been proposed, some of them (SPF and DKIM) are deployed in real world [[spf:\\_2018](#), [BibEntry2014Dec](#)]. PGP and S/MIME are also

**Challenge-Response (CR):** CR is used to keep the merit of whitelist without losing important messages. To add a sender email address in the whitelist, senders are requested a challenge that needs to be solved by a human being. After a proper response is received, the senders address can be added into the whitelist.

**Cryptographic:** Pretty Good Privacy (PGP) [[pgpaghiles2007](#)] and S/MIME are both cryptographic approaches that sign the message body using public-key cryptography and append the signature in the body. In PGP, Keys are stored in end-user key rings or in public key-servers. Key management uses a peer-to-peer web of trust architecture. Whereas in S/MIME, management follows a hierarchical model similar to SSL and keys are signed by a certificate authority.

**Delaying:** As a variation of rate limiting, delaying is triggered by an unusually high sending rate. Most delaying mechanisms are applied at receiving MTAs.

2) *Sender-oriented techniques:* To effectively deny spam at the source, ISPs and ESPs (Email Service Providers) have taken various measures to manage the usage of email services. For example, message submission protocol [[BibEntry1998Dec](#)] has been proposed to replace SMTP, when a message is submitted from an MUA (Mail User Agent) to its MTA.

The proposed work in [30] differs from the other techniques in a way that all of them categorize mail messages at receiver side, whereas COAT works at the sender side and reduces outgoing spam rather than inbox spam.

**Cost-based approaches:** Borrowing the idea of postage from regular mail systems, many cost-based techniques attempt to shift the cost of thwarting spam from receiver side to sender side.

All these techniques assume that the average email cost for a normal user is trivial and negligible, but the accumulative charge for a spammer will be high enough to drive them out of business.

Cost concept may have different forms in different proposals. Bonded Sender [[BibEntry2018May](#)] advocates associating email with real money.

#### D. Technical HTTP

In this section we enumerate existing privacy protection measures available to users and one new protection proposal [[mayer-do-not-track-00](#)].

**Blocking requests to targeted third parties:** This block measure includes using an advertisement blocking tool (AdBlock Plus [[adblockplus](#)]) to syntactically block selected third parties via server/domain name. Another measure blockhidden [[krishnamurthy\\_privacy\\_2009](#)] determines the true source of hidden third-parties by examining their authoritative DNS servers.

**Refusing cookies to prevent tracking:** Browsers can be set to refuse all cookies (nocook) or just third-party cookies (no3rdcook).

**Disabling script execution:** JavaScript execution can be disabled (nojs) either permanently via the browser or selectively via a tool such as NoScript [[NoScript](#)].

**Filtering protocol headers:** This is done via extensions or at an intermediary and includes the referrer measure available in some browsers to modify or remove the Referer header in an HTTP request.

**Anonymizing the user and user actions:** One such anon measure is anonymizing users IP address via an anonymizing proxy or by using Tor.

**Do-Not-Track HTTP header proposal:** Researchers proposed, in early 2010, that browsers add a HTTP DoNot-Track-Header (DNT-Header) [[mayer-do-not-track-00](#)] to allow users to express their interest in not being tracked by any aggregator or ad network. However, the extent to which third parties would honor such a header is unknown.

### III. BACKGROUND

The study of privacy measurement applied to information and communication technology (ICT) is very wide and embraces many fields of knowledge from Sociology and Statistics to Cryptography and Artificial Intelligence. To better understand the privacy issues in ICT, we describe different types of privacy threats used by attackers to access users' private information through messaging services. Next, we present four options available to the end users to deal with ICT privacy issues.

#### A. Privacy threats

Four types of privacy threats have been discovered in the literature:

1) *Private information disclosure:* When users share information with trusted social network community, they implicitly assume that their information shared through messages would stay within the community destination. However, this assumption is not always valid, an individual messages may be accessed by adversaries. For instance, messages sent to an email-based social network may be stored at a repository and consequently visible to the public, malicious users and applications may follow people through social networks, add-ons and third parties may access users private information, etc.

[krishnamurthy\_leakage\_2010] shows that online social networks and applications leak users personally identifiable information to third parties. The results of their study clearly show that the indirect leakage of PII via OSN identifiers to third-party aggregation servers is happening. OSNs in our study consistently demonstrate leakage of user identifier information to one or more third-parties via Request-URIs, Referer headers and cookies. In addition, two of the OSNs directly leak pieces of PII to third parties with one of the OSNs leaking zip code and email information about users that may not be even publicly available within the OSN itself.

2) *Information aggregation:* When users authenticate to their favorite service messaging; generally, online social networks, they voluntarily release different types of personal information: name, screen name, telephone numbers, email addresses, locations, etc. Moreover, when users post messages in forums, blogs and webmails, they also disclose small pieces of private information. However, with the development of information retrieval techniques, private information of the same user may be collected from different sources and aggregated to reveal user privacy [32].

3) *Inference attacks:* Aside from voluntary disclosure of explicit personal information, users information could be inferred from public information items. The privacy literature recognizes two types of private information leakage: identity leakage and attribute leakage, and identity leakage often leads to attribute leakage. Identity disclosure occurs when the adversary is able to determine the mapping from a record to a specific real-world entity (e.g. an individual). Attribute disclosure occurs when an adversary is able to determine the value of a user attribute that the user intended to stay private.

4) *Re-identification and De-anonymization attacks:* When social network data sets are published for various legitimate reasons, user identity and some profile information are often removed to protect the user privacy. The privacy literature recognizes two types of privacy mechanisms: interactive and non-interactive. In the interactive mechanism, an adversary poses queries to a data-base and the database provider gives noisy answers. In the non-interactive setting, a data provider releases an anonymized version of the database to meet privacy concerns. Some of the well-known techniques for this purpose includes k-anonymity, l-diversity and t-closeness [li\_tcloseness\_2017]. For instance, in a k-anonymized data set, an individual cannot be distinguished by attributes from other k-1 records. However, possibilities of **attribute re-identification attacks** on publicly available data sets have been studied in [33]. They show that user identities could be recovered from anonymized data sets.

On the other hand, due to the nature of social network data, just anonymizing node attributes is not enough. Graph structure contains significant amount of information which could be utilized to hurt user privacy, i.e. **structural reidentification attacks**. A good survey on structural anonymization and re-identification attacks could be found at [zhou\_brief\_2008]. most works show that node identities could be inferred through graph structure.

## B. Privacy solutions

When an ICT user accesses a service, in particular, a messaging service, she has to share some information with the service provider, namely identity, type of service required, location, etc. Clearly, the shared information depends on the service but regardless of the exchanged information, to deal with the existing privacy issues, users have to choose between four main options:

1) *Privacy based on trust:* This is probably the most common situation. Users tend to trust service providers because, they do not really have alternatives in many cases. Due to the fact that privacy is considered a right, most countries have regulations that oblige companies to guarantee the privacy of their users. Among this regulation, when users data are released to third parties they should be sanitized so as to guarantee users privacy [chen\_privacypreserving\_2009].

2) *Privacy based on Individual User Actions:* Despite the legislation, users might prefer to keep some of their private information away from the service provider. In this case, we assume that the user cannot collaborate with the service provider. For example, in the case of sending a query to an Internet search engine such as Google or Yahoo. The user cannot initiate a **collaborative protocol** with the search engine, because the search engine is only able to receive and answer queries.

3) *Privacy based on Collaboration with the Provider:* There are situations in which the service provider might collaborate with the user to protect her privacy by running **privacy-aware protocols**. TODO

4) *Privacy based on Collaboration with other Users:* This is an evolution of the proposals described in III-B2 in which users collaborate to protect their privacy. In this case, users do not want to trust the provider nor other third parties. TODO

## IV. CONCLUSIONS

TODO

## V. APPENDIX

Year	Factors	Computation Model	Results interpretation
2018 [2]	-Closeness Centrality -Degree Centrality	Estimation	<b>Closeness</b> have a high degree of correlation with <b>privacy score</b>
2017 [3]	-Users Credibility	Privacy-preserving	Correlation between identifying <b>malicious users</b> and <b>user's credibility</b> is significantly higher
2016 [34]	-Influence probability -Degree Centrality -Number of seeds neighbors -Number of experts neighbors	Utility degree and Utility privacy cost ratio discount algorithms	Methods evaluations: -Number of seeds activated (False positive) -Number of expert activated (True Positive)
2015 [19]	-Attitude Information -Popularity (Page rank) -Privacy Settings: visibility	Users trustworthiness	Relationship between <b>users trustworthiness</b> and <b>privacy risk</b>
2015 [14]	-Node information diffusion -Link information diffusion -Undesirable Destination	Birnbaum's measure (BM)	<b>Users trustworthiness</b>
2015 [8]	-Tie-strength -Communication information -Number of mutual friends	Bezier curve	Sorting user's <b>Friends Privacy</b>
2015 [1]	-Communication frequency -Privacy setup	Cubic bezier curve	Estimating friends privacy scores with various <b>ego users dispositions</b> to privacy and communication
2014 [35]		3-class supervised learning	Correlation between <b>Users Privacy</b> and Users <b>Friends Privacy Score</b>
2014 [17]	-Undesirable Destination -Closeness centrality -Diffusion centrality -User's behavior	Probability trust model	-The <b>information diffusion</b> through nodes mainly depends on the <b>users behavior</b> -The <b>information diffusion</b> through links mainly depends on the <b>closeness</b> between friends
2013 [9]	-Sensitivity, Visibility	Linear model	<b>Users privacy</b> exposure
2013 [29]	-User valuation on app -Network valuation on app	Collaborative Interdependent Privacy Game, Nash equilibrium Sub-optimal Equilibrium	Show how network and personal effects can shape the behavior of social network users with regard to app usage
2012 [10]	-Attitude similarity	Baseline estimation Learning Friend Impacts	Risks of friendships can be mined by analyzing users attitude towards friends of friends
2012 [30]	-Sender address -Sender password -Message body	Collaborative outgoing anti-spam technique	Once a message is tagged as spam by one SMTP server, the signature of that message is transmitted to all other SMTP server
2011 [15]	-Individual index -Community index	Unfriending vulnerable friends	Correlation between unfriending vulnerable friends and security improvement is significantly higher
2011 [12]	-User behavior -Sensitivity and visibility	Item response theory	Behavioral, Quantitative and Qualitative privacy evaluation
2011 [28]	-Number of privacy conflicts -Trust of an accessor -Sensitivity and Visibility	Collaborative privacy	Quantify <b>multiparty privacy risk</b> and sharing loss. Evaluate multiparty privacy conflicts by generating a resolving score
2010 [22]	-Interaction level -User similarity	Unsupervised linear model Link-based latent variable model	The estimated link weights result in higher correlation and lead to improved classification accuracy
2010 [21]	-Trust path	Trust path probability	Message paths trustworthiness
2010 [7]			
2010 [11]	-Community membership -Online activity	Privacy-Preference Model as a classifier	<b>Community structure</b> of a users is a valuable resource when modeling the users privacy preferences
2010 [26]		Cluster-based reputation	
2009 [31]	-Nodes IP -Reporter Trust -Identity uniqueness	Distributed SocialFilter-repository	Node reputation
2009 [24]	-Geodesic distance	Classifier: Supervised Learning	Sender reputation system that can accurately classify

-Number of recipients		email senders based on several factors
2009	[4]	Attribute-based encryption
2009	[27]	Multi-agent reputation
2008	[16]	
2004	[36]	-Community settings -Number of malicious peers Trust computation

TABLE I: Social metrics

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