

# Intelligent Monitoring and Maintenance Prediction System for Industrial Equipment

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## Table of contents

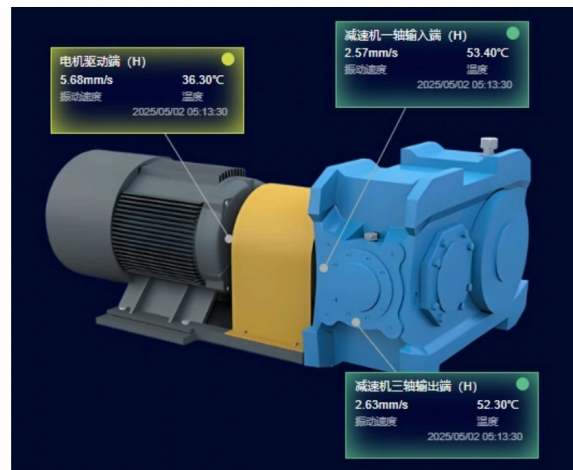
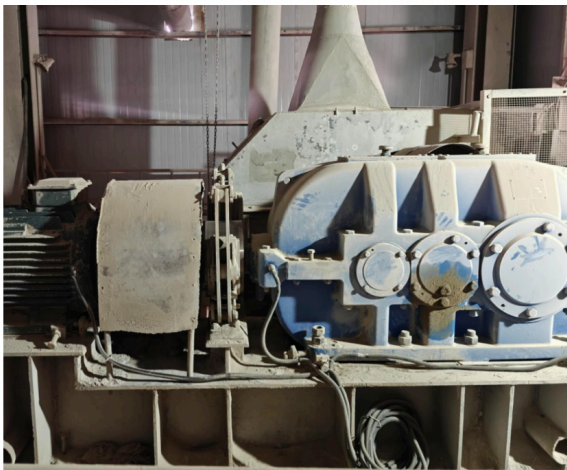
|          |                                   |           |
|----------|-----------------------------------|-----------|
| <b>1</b> | <b>Executive Summary</b>          | <b>2</b>  |
| <b>2</b> | <b>Introduction</b>               | <b>2</b>  |
| 2.1      | Context and Need . . . . .        | 3         |
| 2.2      | Core Challenges . . . . .         | 3         |
| 2.3      | Key Goals . . . . .               | 3         |
| 2.4      | Project Outputs . . . . .         | 4         |
| <b>3</b> | <b>Technical Approach</b>         | <b>4</b>  |
| 3.1      | Data Overview . . . . .           | 4         |
| 3.2      | Implementation Strategy . . . . . | 6         |
| 3.3      | EDA and Data Processing . . . . . | 7         |
| 3.4      | Model Development . . . . .       | 12        |
| 3.5      | Interactive Dashboard . . . . .   | 12        |
| <b>4</b> | <b>Project Timeline</b>           | <b>13</b> |

# 1 Executive Summary

Brilliant Automation, a leader in industrial automation solutions in Shanghai, China, has engaged our team to develop an intelligent monitoring and predictive maintenance system for industrial equipments. This project will leverage advanced data analytics and machine learning to transform sensor data into actionable insights, supporting early fault detection and optimized maintenance for key machinery.

## 2 Introduction

Brilliant Automation specializes in advanced monitoring and control systems for manufacturing and processing plants. To maximize equipment reliability and operational efficiency, the company installs high-frequency vibration and temperature sensors at critical points on key machinery, such as motors, gearboxes and bearing housings, across their clients' facilities. These sensors continuously collect data to monitor the health of essential assets including Tube Mills, Belt Conveyors, and High-Temperature Fans.



*Example of sensor placement on industrial machinery*

This project aims to enhance their predictive maintenance capabilities. By leveraging advanced data processing and machine learning, our system will enable early detection of equipment issues, reduce unplanned downtime, and optimize maintenance schedules. The focus of our analysis will be on three key pieces of industrial machinery: a Tube Mill, Belt Conveyor, and High-Temperature Fan. Our solution will integrate sensor exploratory data analysis, machine learning predictions, and an intuitive visualization platform to help maintenance teams make informed decisions about equipment upkeep and repair scheduling.

## 2.1 Context and Need

Manufacturing facilities face constant challenges in maintaining equipment reliability while minimizing maintenance costs. Current maintenance practices, which often rely on fixed schedules or reactive approaches, can lead to either unnecessary maintenance or unexpected breakdowns. Modern sensor technology and data analytics offer an opportunity to revolutionize this approach through data-driven decision making.

## 2.2 Core Challenges

Our project addresses several key challenges in industrial maintenance:

1. Converting complex sensor readings into meaningful maintenance indicators
2. Building transparent and reliable prediction models for equipment health evaluation
3. Creating an accessible interface for maintenance personnel

## 2.3 Key Goals

We aim to achieve the following:

1. Data Analysis and Understanding:
  - Map relationships across different sensor data
  - Identify patterns in equipment behavior
  - Analyze vibration signatures and their implications
2. Predictive Modeling:
  - Develop transparent prediction systems
  - Enable early fault detection
  - Provide clear reasoning for predictions
3. User Interface Development:
  - Enable live monitoring
  - Present clear status indicators
  - Facilitate historical analysis

## 2.4 Project Outputs

Our team will deliver the following key outputs:

- **Machine Learning Model for Device Ratings:**  
A robust machine learning model will be developed to analyze sensor and operational data, enabling the prediction and generation of health ratings for each monitored device. The model will be trained and validated using historical and real-time data, with performance metrics and retraining guidelines provided.
- **Interactive Dashboard for Visualization:**  
An intuitive dashboard will be created to display device health ratings and related analytics. The dashboard will support real-time monitoring, historical trend analysis, and customizable alerts, providing maintenance teams with actionable insights and a user-friendly interface for decision-making.
- **Comprehensive Final Report:**  
A detailed final report will be prepared for the client, documenting the project methodology, data analysis, model development process, results, and recommendations. The report will include visualizations, key findings, and guidance for future system enhancements or scaling.

## 3 Technical Approach

### 3.1 Data Overview

We have both input and output data from Apr 1 to Apr 15, 2025. The data consists of 3 devices which is summarized table below.

Table 1: Measurement System Overview

| Equipment               | Sensor_Points | Sensor_Data        | Device_Ratings      |
|-------------------------|---------------|--------------------|---------------------|
| Tube Mill               | 6 locations   | 5-second intervals | 20-minute intervals |
| Belt Conveyor #8        | 4 locations   | 5-second intervals | 20-minute intervals |
| High-Temperature Fan #1 | 5 locations   | 5-second intervals | 20-minute intervals |

#### 3.1.1 Input Sensor Data

- Four key parameters are measured by the sensors at each location.
- These sensor readings are collected at 5-second intervals, providing high-resolution time series data for each piece of equipment.

Table 2: Input Data Summary

| Sensor.Data                   | What.It.Does                         | Why.It.s.Important        |
|-------------------------------|--------------------------------------|---------------------------|
| Low Frequency Acceleration    | Tracks slow vibrations               | Detects alignment issues  |
| High Frequency Acceleration   | Tracks fast vibrations               | Detect friction issues    |
| Vibration Velocity Z (z-axis) | Tracks vibration strength vertically | Detect system damage      |
| Temperature                   | Monitors component heat levels       | Helps prevent overheating |

### 3.1.2 Output Device Ratings

- The system generates 15 device health and status ratings, which serve as output parameters for each equipment unit. These include metrics such as RMS of Vibration Velocity, Crest Factor, Optimized Kurtosis, Rotor Balance Status, and others.
- Device ratings are produced every 20 minutes, summarizing the equipment's condition and performance based on the sensor data.
- These ratings are generated by a proprietary Matlab program running on the machines. The calculation process is a black box: even Brilliant Automation does not have access to the internal logic or algorithms used to derive these ratings.
- The ratings are out of 100. As for the definition of the ratings:

1. Above 80: Healthy
2. 60 to 79: Usable
3. 30 to 59: Warning
4. Below 30: Fault

Table 3: Device Output Rating Descriptions

| Device.Rating          | Description                                | Rating..0.100.                        |
|------------------------|--|---------------------------------------|
| alignment_status       | Alignment of conveyor components           | 0: Misaligned; 100: Perfectly aligned |
| bearing_lubrication    | Lubrication level in bearings              | 0: Dry; 100: Fully lubricated         |
| crest_factor           | Ratio of peak amplitude to RMS value       | 0: Low peaks; 100: Severe peaks       |
| electromagnetic_status | Condition of motor's electromagnetic field | 0: Faulty field; 100: Stable field    |
| fit_condition          | Accuracy of component fit                  | 0: Poor fit; 100: Perfect fit         |
| kurtosis_opt           | Kurtosis of optimized vibration signal     | 0: Low kurtosis; 100: High kurtosis   |
| rms_10_25khz           | Root mean square amplitude (10–25 kHz)     | 0: High amplitude; 100: Low amplitude |
| rms_1_10khz            | Root mean square amplitude (1–10 kHz)      | 0: High amplitude; 100: Low amplitude |
| rotor_balance_status   | Balance of the rotor                       | 0: Imbalanced; 100: Perfect balance   |
| rubbing_condition      | Friction between components                | 0: Severe rubbing; 100: No rubbing    |
| velocity_rms           | Overall vibration severity                 | 0: High vibrations; 100: Minimal      |
| peak_value_opt         | Optimized vibration peak value             | 0: Low peak; 100: Severe peak         |

## 3.2 Implementation Strategy

The data pipeline starts with sensor data stored in the client’s internal database. An employee accesses this data using a remote desktop and copies it to their local computer. This step ensures that the original data is retrieved securely from the client’s internal systems.

Next, the employee uploads the data to Google Drive. A student working on the project downloads the uploaded files from Google Drive to their own computer. This method allows for a smooth transfer of data between the company and the research team.

Once the student receives the data, they preprocess and transform it. This includes cleaning the data, selecting important variables, and reformatting it so that it can be used effectively by machine learning models.

After preprocessing, the sensor data is fed into a machine learning model. The model is trained to predict various device ratings, such as alignment status or vibration conditions. These predictions help assess the current state of the machines.

The model outputs are displayed in a dashboard. This dashboard provides a clear and interactive way to monitor machine performance over time. It helps both technical and non-technical users understand the system’s health.

Finally, the data shown on the dashboard is sent into a large language model (LLM). The LLM analyzes the results and generates insights. These insights are used to create summary reports for stakeholders, making the results easier to understand and act on.

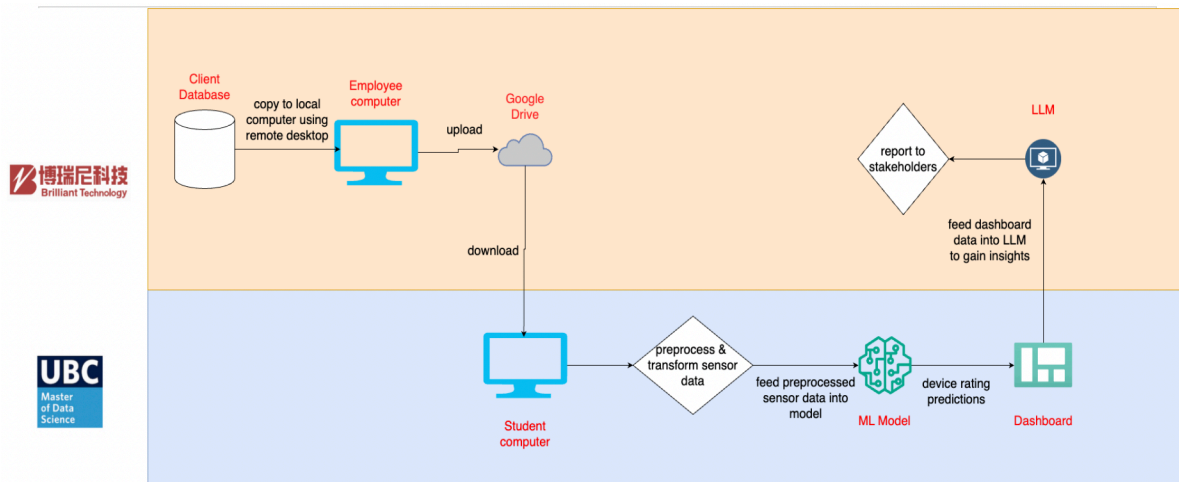


Figure 1: Overview of the end-to-end data pipeline

### 3.3 EDA and Data Processing

We began our exploratory data analysis (EDA) by focusing solely on the conveyor belt data. This allowed us to isolate and identify any issues or concerns specific to this component without the added complexity of analyzing all machines at once. By narrowing the scope, we could more clearly observe patterns, inconsistencies, and outliers in the sensor readings and device ratings.

#### 3.3.1 Input Features EDA

##### 1. Feature Distributions:

The histograms show how each feature varies across the three sensor locations: Gear Reducer, Gearbox First Shaft Input End, and Motor Drive End. Features like High-Frequency Acceleration and Low-Frequency Acceleration Z follow approximately normal distributions, but their centers shift depending on location. Temperature varies widely at the Motor Drive End and shows a bimodal pattern, suggesting two different operating states. Vibration Velocity Z is much higher at the Motor Drive End, possibly indicating wear or imbalance.

To reduce the visual impact of extreme values, we removed the maximum value for each feature before re-plotting the distributions. This helped clarify the overall patterns by minimizing the distortion caused by rare but extreme outliers. The resulting plots better reflect the general distribution across sensor locations.

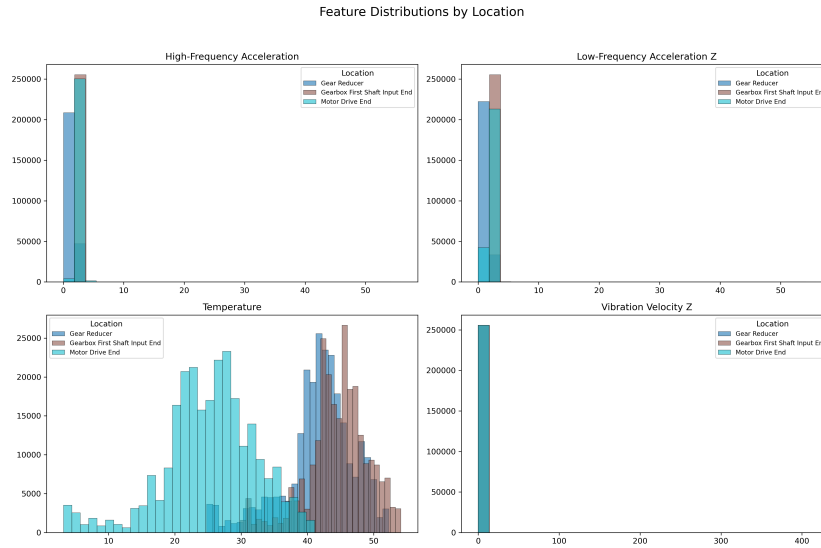


Figure 2: Feature Distributions by Location

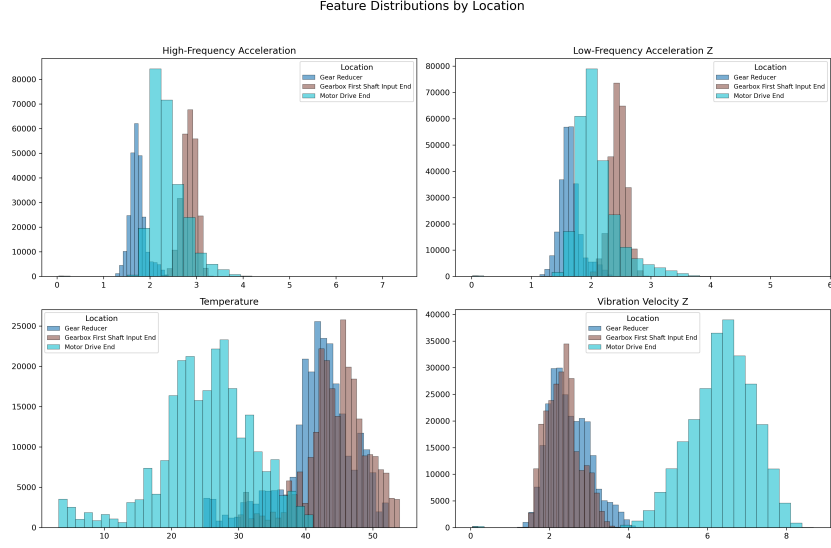


Figure 3: Feature Distributions by Location (Max Value Removed)

## 2. Boxplots for Sensor Parameters:

The boxplots reveal the spread and outliers of each feature for different sensor locations. For High-Frequency and Low-Frequency Acceleration, the Motor Drive End tends to show more outliers and wider spread. Temperature is generally higher and more stable in the Gear Reducer and Gearbox locations, while the Motor Drive End has lower and more variable temperatures. Vibration Velocity Z is noticeably higher at the Motor Drive End, reinforcing the histogram's insights.

Similar to the histograms, we removed the maximum value from each feature before generating the boxplots. This adjustment reduced the influence of extreme outliers, allowing the interquartile range and general spread to be more clearly observed.



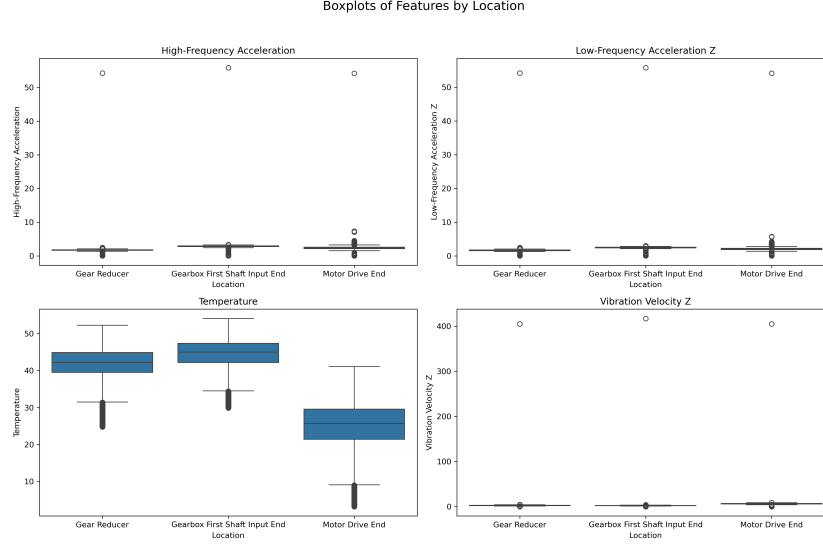


Figure 4: Feature Boxplots by Location

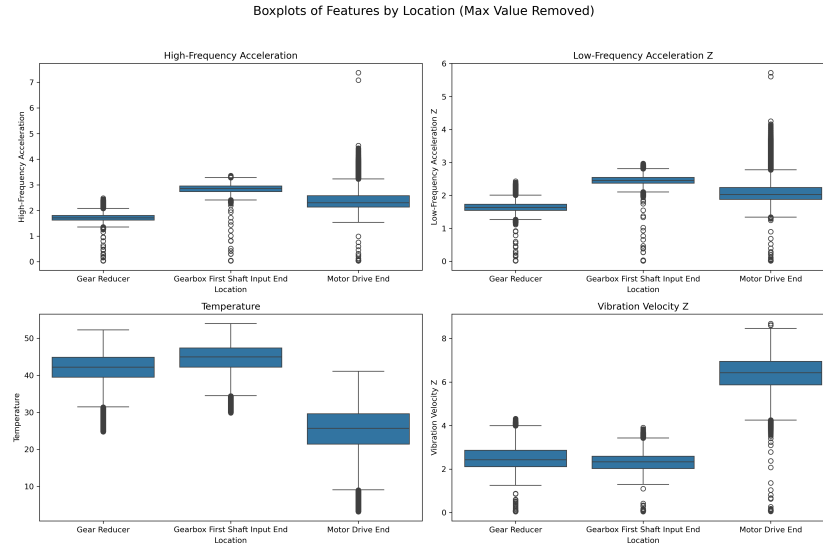


Figure 5: Feature Boxplots by Location (Max Value Removed)

### 3. Feature Correlation Matrix:

The heatmap shows strong positive correlation between High-Frequency and Low-Frequency Acceleration ( $r = 0.97$ ), suggesting they measure similar physical behavior. Temperature is negatively correlated with Vibration Velocity Z ( $r = -0.71$ ), which might point to a trade-off between thermal and mechanical stress. The rest of the features

show weak or no meaningful correlation, indicating they capture different aspects of the machine's operation.

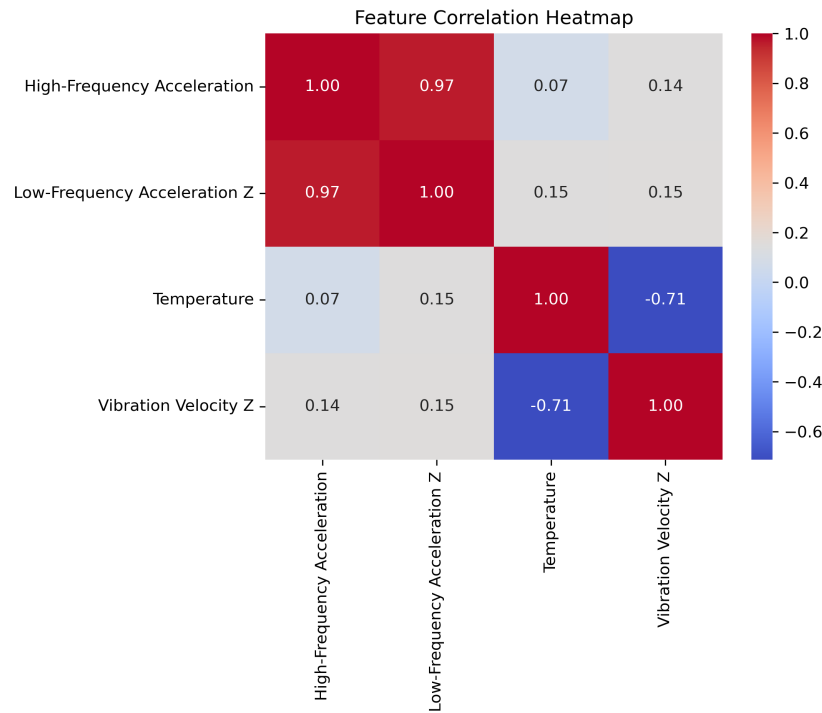


Figure 6: Feature Correlation Heatmap

### 3.3.2 Target Features EDA

#### 1. Target Rating Distributions:

The histograms of the target ratings show how each variable is distributed across the dataset. Most targets are skewed toward higher values, suggesting that the equipment is generally operating in good condition. A few targets, such as rubbing condition and rotor balance status, show broader distributions, indicating more variability or potential degradation in those areas. Some ratings also show clustering near specific values, which could reflect consistent patterns in operating conditions or thresholds used in the rating system.

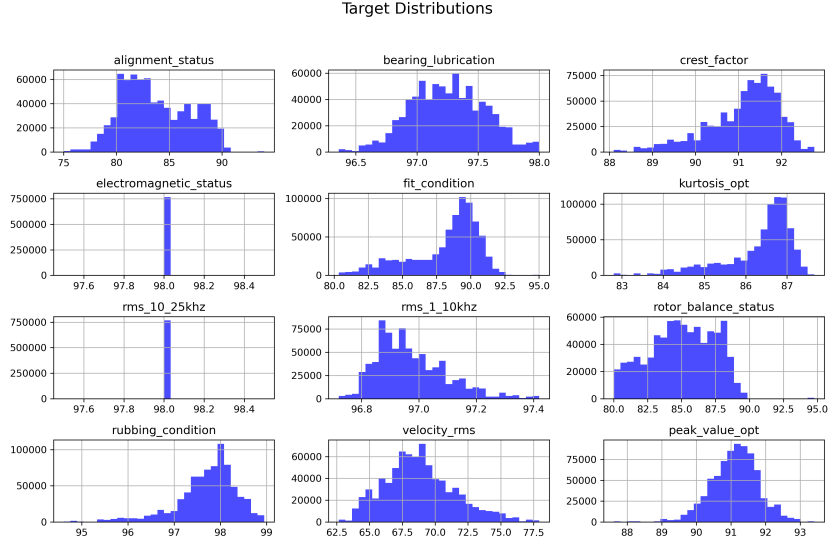


Figure 7: Target Distributions (Histogram)

## 2. Boxplots for Target Ratings:

The boxplots provide a more visual summary of each target's range, spread, and presence of outliers. Most targets have a compressed interquartile range near the top of the scale, reinforcing the idea that the machines are typically rated well. However, some targets exhibit longer whiskers and outliers, especially for those measuring physical stress or balance conditions. These variations can highlight which conditions are more prone to fluctuations and may require closer monitoring or more robust prediction models.

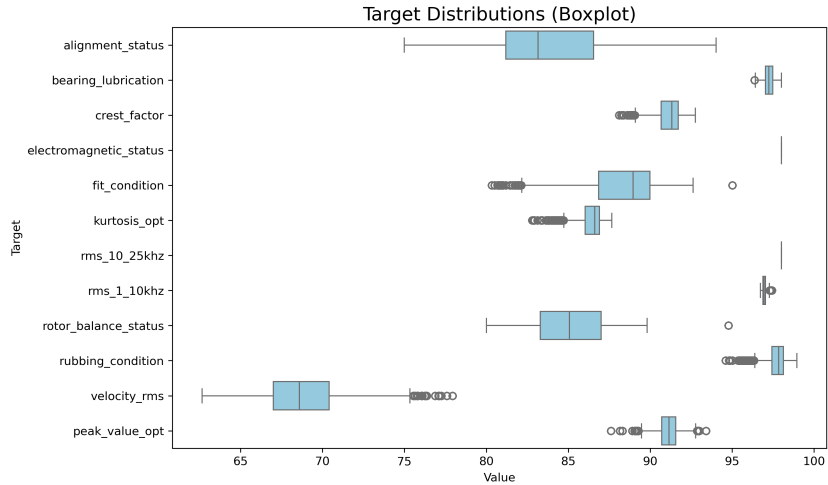


Figure 8: Target Distributions (Boxplot)

### 3.3.3 Data Preprocessing:

We begin by combining the separate date and time columns into a single timestamp to simplify temporal analysis. The data is then pivoted so that each sensor measurement and device rating has its own column, creating a tidy format suitable for machine learning. Because the ratings are recorded every 20 minutes and sensor data every 5 seconds, each rating is duplicated across the corresponding 5-second intervals to align the data. For temperature values, which do not change significantly within short time spans, we use forward fill to handle missing values. This preserves data continuity without introducing significant bias. Sensor location and timestamp are included as features to capture spatial and temporal context. Lastly, the device column is dropped since we train a separate model for each device, making that column redundant.

### 3.4 Model Development

The model aims to predict device ratings for each equipment unit, using sensor data as input. Our modeling strategy progresses from simple to complex.

Table 4: Model Comparison Overview

| Model             | Complexity  | Interpretability | Flexibility            | Overfit_Risk | Compute_Cost  |
|-------------------|-------------|------------------|------------------------|--------------|---------------|
| Baseline          | Very Low    | Perfectly clear  | None                   | None         | Minimal       |
| Ridge             | Low         | High             | Only linear fits       | Low–Medium   | Fast          |
| PolyRidge (deg 2) | Medium      | Medium           | Simple non-linearities | Medium       | Moderate      |
| PolyRidge (deg 5) | High        | Low              | Highly flexible curves | High         | Heavy         |
| Random Forest     | Medium–High | Low–Medium       | Arbitrary non-linear   | Medium       | Moderate–High |
| Neural Network    | High        | Low              | Very high              | High         | Heavy         |

### 3.5 Interactive Dashboard

The interactive dashboard serves as the central interface for maintenance teams and stakeholders to monitor machine health and understand sensor behavior in real time. It combines predictive model outputs and raw sensor readings in a clear, user-friendly layout. At the top of the dashboard, dropdown filters allow users to select a specific device and sensor, enabling targeted exploration. The radar charts visualize device health ratings across multiple metrics, helping teams quickly assess overall performance. Below and to the right, time-series and frequency plots show raw sensor data to help identify patterns, anomalies, or failure signals. This layered design allows users to connect machine learning predictions with actual sensor behavior.

The dashboard is built to meet industrial standards, as defined by client specifications. Its layout and visualization types are aligned with existing operational workflows, making it easy for technicians and analysts to interpret results. The responsiveness and modularity of the dashboard ensure it remains scalable for additional sensors or machines in the future.

## 4 Project Timeline

Table 5: Project Timeline and Outputs

| Week | Stage                           | Outputs  |
|------|---------------------------------|--|
| 1    | Project launch, data processing | Wrangled dataset, toy dataset, MDS Proposal presentation |
| 2    | Data product MVPs               | MVP dashboard, MVP models, MDS Proposal report           |
| 3    | Full data test                  | Cloud computing pipeline, initial results                |
| 4    | Model revision                  | Engineered features                                      |
| 5    | Model revision                  | Engineered features                                      |
| 6    | Output refinement               | Final dashboard, final models, MDS draft data product    |
| 7    | Output refinement               | Final dashboard, final models, MDS presentation          |
| 8    | Final checks                    | Final report, MDS final data product                     |