



# **Chapter 4: Threads**

- Overview
- Multithreading Models
- Threading Issues
- Pthreads
- Windows XP Threads
- Linux Threads
- Java Threads





## Suppose you are developing a Web browser

```
Main(){
   While(1){
         RetrieveData();
                           // Block for 1 second
         DisplayData();
                           // Block for 1 second
         GetInputEvents();
                           // Block for 1 second
   }
Now what if you want the program to be more responsive?
Main(){
   While(1){
         RetrieveALittleData();
                                     // Block for 0.1 second
         DisplayALittleData();
                                     // Block for 0.1 second
         GetAFewInputEvents();
                                     // Block for 0.1 second
```



# What if you want it to be even more responsive?

```
Main(){
   While(1){
        if(CheckData()==True){
                 RetrieveALittleData();
                                           // 0.1 second
                 DisplayALittleData();
                                           // 0.1 second
        if(CheckInputEvents()==True){
                 GetAFewInputEvents();
                                          // 0.1 second
```

Problem: A lot Checks, not efficient. And still not responsive!



# To make it responsive enough, we need to

- Break the operations into very very small pieces;
- However, to be efficient enough, we want to execute large pieces.
- More precisely, we want to SCHEDULE these operations in our own program code.
- Leave the tedious work to the OS which schedules them in Threads!



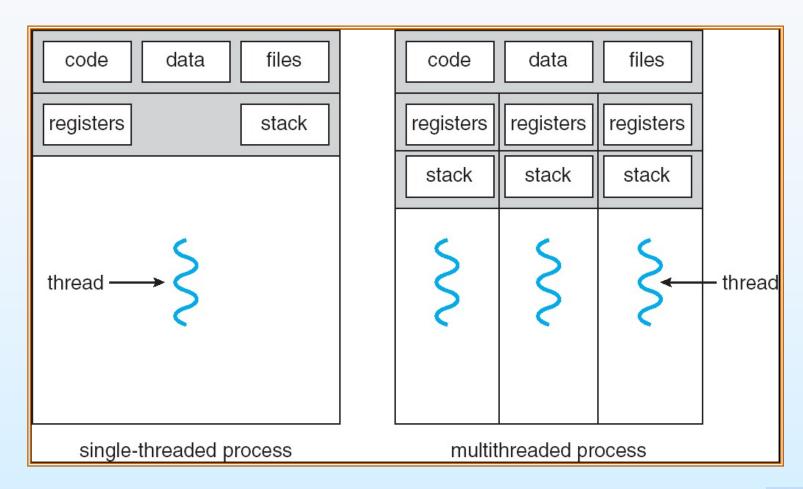


# Multi-thread version of the program

```
Main(){
         CreateThread (RetrieveData());
         CreateThread (DisplayData());
         CreateThread (GetInputEvents());
         WaitForThreads();
// Each thread routine enters a loop.
Void RetrieveData(){
                               Void DisplayData(){
                                   while(1){
   while(1){
         retrieveData();
                                        displayData();
```



## Single and Multithreaded Processes





#### **Benefits**

- Responsiveness interactive applications
- Resource Sharing memory for code and data can be shared.
- Economy creating processes are more expensive.
- Utilization of MP Architectures multi-threading increases concurrency.





#### **Thread VS Process**

- processes are typically independent, while threads exist as subsets of a process
- processes carry considerably more state information than threads, whereas multiple threads within a process share process state as well as memory and other resources
- processes have separate address spaces, whereas threads share their address space
- processes interact only through system-provided inter-process communication mechanisms
- context switching between threads in the same process is typically faster than context switching between processes.





## **User Threads**

- Thread management done by user-level threads library
- Three primary thread libraries:
  - POSIX Pthreads (can also be provided as system library)
  - Win32 threads
  - Java threads





## **Kernel Threads**

- Supported by the Kernel
- Almost all contemporary OS implements kernel threads. Examples
  - Windows XP/2000
  - Solaris
  - Linux
  - Tru64 UNIX
  - Mac OS X





## **Multithreading Models**

- Many-to-One thread mgmt is efficient, but will block if making system call, kernel can schedule only one thread at a time
- One-to-One more concurrency, but creating thread is expensive
- Many-to-Many flexible





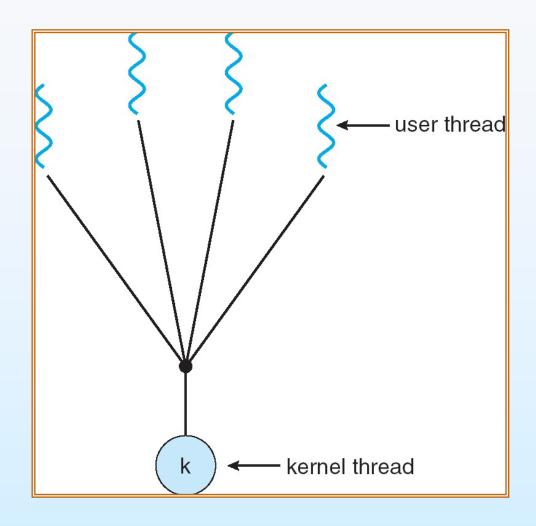
## Many-to-One

- Many user-level threads mapped to single kernel thread
- Examples:
  - Solaris Green Threads
  - GNU Portable Threads





# **Many-to-One Model**







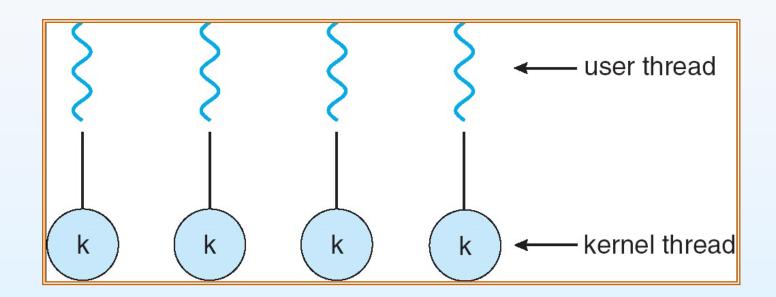
## **One-to-One**

- Each user-level thread maps to kernel thread
- Examples
  - Windows NT/XP/2000
  - Linux
  - Solaris 9 and later





## **One-to-one Model**





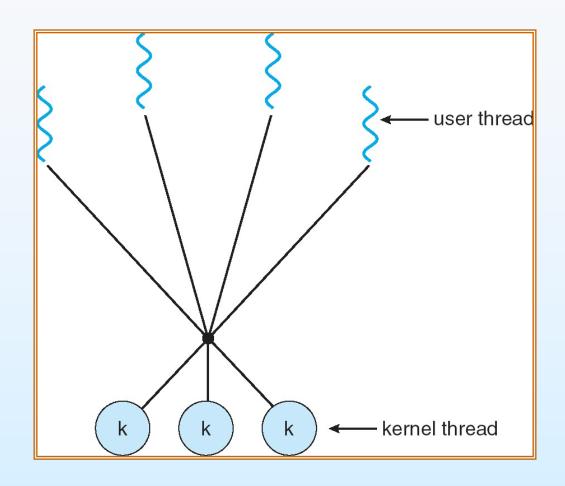
# **Many-to-Many Model**

- Allows many user level threads to be mapped to many kernel threads
- Allows the operating system to create a sufficient number of kernel threads
- Solaris prior to version 9
- Windows NT/2000 with the ThreadFiber package





# **Many-to-Many Model**







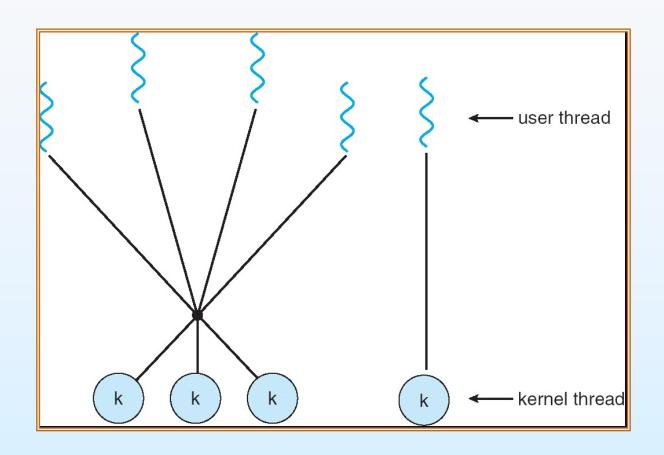
## **Two-level Model**

- Similar to M:M, except that it allows a user thread to be bound to kernel thread
- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier





## **Two-level Model**







# Threading Issues

- Semantics of fork() and exec() system calls
- Thread cancellation
- Signal handling
- Thread pools
- Thread-specific data
- Scheduler activations





# Semantics of fork() and exec()

- Does fork() duplicate only the calling thread or all threads?
- Some unix systems have two versions of fork(), one that duplicates all threads and another that duplicates the thread that invokes fork().
- Exec() will replace the entire process.





### **Thread Cancellation**

- Terminating a thread before it has finished
- Two general approaches:
  - Asynchronous cancellation terminates the target thread immediately
  - Deferred cancellation allows the target thread to periodically check via a flag if it should be cancelled





# Signal Handling

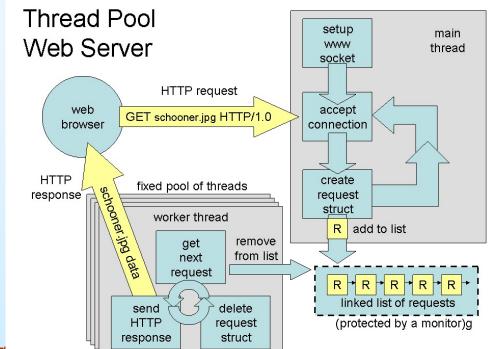
- Signals are used in UNIX systems to notify a process that a particular event has occurred
- A signal handler is used to process signals
  - 1. Signal is generated by particular event
  - 2. Signal is delivered to a process
  - 3. Signal is handled
- Options: (method of delivery depends on the type of signal)
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals for the process





#### **Thread Pools**

- Create a number of threads in a pool where they await work
- Advantages:
  - Usually slightly faster to service a request with an existing thread than create a new thread
  - Allows the number of threads in the application(s) to be bound to the size of the pool







# **Thread Specific Data**

- Allows each thread to have its own copy of data
- Useful when you do not have control over the thread creation process (i.e., when using a thread pool)
  - Unlike processes, all threads in a single program share the same address space. This means that if one thread modifies a location in memory (for instance, a global variable), the change is visible to all other threads.
  - thread-specific data area: The variables stored in this area are duplicated for each thread, and each thread may modify its copy of a variable without affecting other threads.





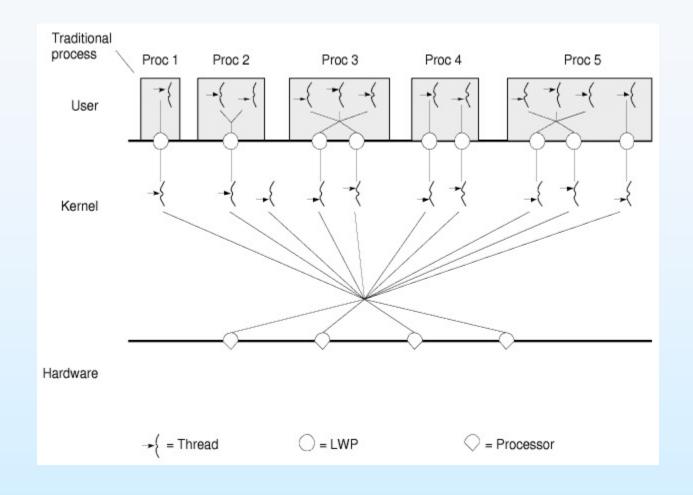
#### **Scheduler Activations**

- Both M:M and Two-level models require communication to maintain the appropriate number of kernel threads allocated to the application
- LWP (Light-weight process) is a virtual processor attached to kernel thread
- Scheduler activations provide upcalls a communication mechanism from the kernel to the thread library
- Upcalls are handled by the thread library with an upcall handler
- This communication allows an application to maintain the correct number of kernel threads when an application thread is about to block, an upcall is triggered.





# **LWP: Light-weight Process**







### **Pthreads**

Q: Is it a user- or kernel-level library?

- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX operating systems (Solaris, Linux, Mac OS X)

Can be either user- or kernel-level.





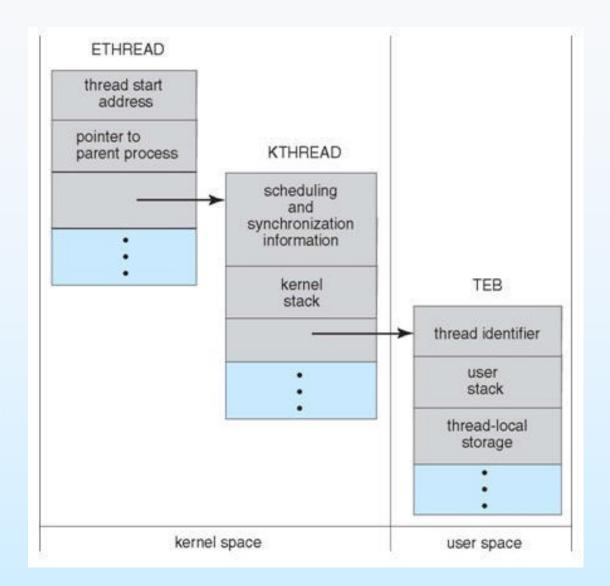
## Windows XP Threads

- Implements the one-to-one mapping
- Each thread contains
  - A thread id
  - Register set
  - Separate user and kernel stacks
  - Private data storage area
- The register set, stacks, and private storage area are known as the context of the threads
- The primary data structures of a thread include:
  - ETHREAD (executive thread block)
  - KTHREAD (kernel thread block)
  - TEB (thread environment block)





## **Structures of Thread**







## **Linux Threads**

- Linux refers to them as *tasks* rather than *threads*
- Thread creation is done through clone() system call
- clone() allows a child task to share the address space of the parent task (process)





## **Java Threads**

- Java threads are managed by the JVM
- Java threads may be created by:
  - Extending Thread class
  - Implementing the Runnable interface



## **Java Thread States**

