

ANTI-MONEY LAUNDERING QUESTIONNAIRE

SECTION 1. USA PATRIOT ACT COMPLIANCE

1. Name of the bank from which the Subscriber's payment to the Partnership is being wired (the "**Wiring Bank**"): _____

2. Is the Wiring Bank located in the United States or another "FATF Country"¹?

☐ Yes

☐ No

3. If the Subscriber answered "Yes," is the Subscriber a customer of the Wiring Bank?

☐ Yes

☐ No

4. Name of the Wiring Bank Account Holder: _____

5. Wiring Bank Account Number: _____

If the Subscriber answered "No" to questions 2 or 3 above, the Subscriber may be required, if the Subscriber is an individual, to produce a copy of a passport or identification card, together with any evidence of the Subscriber's address, such as a utility bill or bank statement, and date of birth. If the Subscriber is an entity, the Subscriber may be required to produce a certified copy of the Subscriber's certificate of incorporation, articles of association (or the equivalent) or certificate of formation or limited partnership (or the equivalent), and the names, occupations, dates of birth and residential and business addresses of all directors.

SECTION 2. FATF COMPLIANCE AND NOT A PROHIBITED INVESTOR REPRESENTATIONS

PROSPECTIVE INVESTORS: Please read all of the representations and acknowledgements in the following Section and indicate your agreement and acknowledgement of the same by your signature at the bottom of this Section. These boxes are representations made by **you** for the benefit of the Series and the Managing Member, as an inducement to allow your subscription into the Series.

Reason For This Section. To avoid assisting terrorists and certain other persons designated by the U.S. government as wrong-doers, the Series takes steps to comply with applicable anti-money laundering laws. Those steps include (among others) obtaining certain representations and warranties from

¹ Please consult the FATF's website for a current list of countries that are members of the Financial Action Task Force on Money Laundering (each an "FATF Country"): <https://www.fatf-gafi.org/countries/>. The list of FATF Countries may be expanded to include future FATF members and FATF compliant countries, as appropriate.

prospective investors, and taking reasonable steps to verify the identity of prospective investors. Without limiting the foregoing, the prospective investor agrees to provide any information and execute and deliver such documents as deemed necessary by the Managing Member, in its sole discretion, to verify the accuracy of prospective investor's representations, warranties, and covenants herein or to comply with any law or regulation to which the Series, the Managing Member or the Investment Manager may be subject, including but not limited to the Series' anti-money laundering and anti-terrorist financing program and related responsibilities. The capitalized terms used below in this section are defined where used, or separately at the end of the section.

A. Prospective investor understands that the Series prohibits the investment of funds by any persons or entities that are acting, directly or indirectly, (i) in contravention of any U.S. or international laws and regulations, including anti-money laundering regulations or conventions, (ii) on behalf of terrorists or terrorist organizations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury Department's Office of Foreign Assets Control ("OFAC"), as the list may be amended from time to time, (iii) for a Senior Foreign Political Figure, any Immediate Family member of a Senior Foreign Political Figure or any Close Associate of a Senior Foreign Political Figure, unless the Managing Member, after being specifically notified by prospective investor in writing that it is such a person, conducts further due diligence, and determines that such investment shall be permitted, or (iv) for a Foreign Shell Bank (such persons or entities in (i) – (iv) being collectively referred to below as "Prohibited Investors").

B. Prospective investor represents, warrants and agrees that: (i) prospective investor is not a Prohibited Investor, nor is any person or entity controlling, controlled by or under common control with prospective investor a Prohibited Investor, and (ii) to the extent prospective investor has any Beneficial Owners, (a) prospective investor has carried out thorough due diligence to establish the identities of such Beneficial Owners, (b) based on such due diligence, prospective investor reasonably believes that no such Beneficial Owner is a Prohibited Investor, (c) prospective investor holds the evidence of such identities and status and will maintain all such evidence for at least five years from the date of prospective investor's complete withdrawal from the Series, and (d) prospective investor will make available such information and evidence, and any related additional information that the Series may request, in accordance with applicable regulations.

C. Prospective investor understands that, if any of the foregoing representations, warranties or covenants ceases to be true or if the Series no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Series may, in accordance with applicable regulations, be obligated to freeze prospective investor's investment, either by prohibiting additional investments, declining or suspending any withdrawal requests and/or segregating the assets constituting the investment, or prospective investor's investment may immediately be involuntarily withdrawn from the Series, and the Series may also be required to report such action and to disclose prospective investor's identity to OFAC or other authority. If the Series is required to take any of the foregoing actions, prospective investor agrees that prospective investor shall have no claim against the Series or the Managing Member or their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any damages as a result of any of the aforementioned actions, and prospective investor further agrees that it shall indemnify and hold harmless all of such persons from any such claim that may be asserted against them by any person.

D. Prospective investor agrees that all subscription payments transferred to the Series on behalf of prospective investor shall originate directly from a bank or brokerage account in the name of prospective investor. Prospective investor agrees further that any withdrawal proceeds paid to

prospective investor will be paid to the account from which prospective investor's investment in the Series was originally received, unless the Managing Member, in its sole discretion, agrees otherwise with prospective investor.

By signing below, the undersigned certifies that the above representations contained in Section 1-3 are true in all respects and further, the undersigned agrees to notify the Managing Member promptly in writing should there be any change in any of the foregoing information.

Dated: _____, __ 20__

[Subscriber Signature]

[Subscriber Name]

Definitions of Capitalized Terms in Anti-Money Laundering Questionnaire

“Beneficial Owner” means any individual or entity that will have a beneficial ownership interest in prospective investor's Interest in the Series, including but not limited to: (i) shareholders of a corporation; (ii) partners of a partnership; (iii) members of a limited liability company; (iv) investors in a fund-of-funds; (v) the grantor of a revocable or grantor trust; (vi) the beneficiaries of an irrevocable trust; (vii) the individual who established an IRA; (viii) the participant in a self-directed pension plan; (ix) the sponsor of any other pension plan; and (x) any person being represented by Investor in an agent, representative, intermediary, nominee or similar capacity. If the Beneficial Owner is itself an entity, the information and representations set forth herein must also be given with respect to its individual beneficial owners. If prospective investor is a publicly-traded company, it need not conduct due diligence as to its beneficial owners.

“Close Associate of a Senior Foreign Political Figure” means a person who is widely and publicly known internationally to maintain an unusually close relationship with the Senior Foreign Political Figure, and includes a person who is in a position to conduct substantial domestic and international financial transactions on behalf of the Senior Foreign Political Figure.

“FATF” means the Financial Action Task Force on Money Laundering.

“FATF-Compliant Jurisdiction” means a jurisdiction that (1) is a member in good standing of FATF and (2) has undergone two rounds of FATF mutual evaluations.

“Foreign Bank” means an organization that (1) is organized under the laws of a non-U.S. country (2) engages in the business of banking, (3) is recognized as a bank by the bank supervisory or monetary authority of the country of its organization or principal banking operations, (4) receives deposits to a substantial extent in the regular course of its business, and (5) has the power to accept demand deposits, but does not include the U.S. branches or agencies of a non-U.S. bank.

“Foreign Shell Bank” means a Foreign Bank without a Physical Presence in any country, but does not include a Regulated Affiliate.

“Immediate Family” of a Senior Foreign Political Figure typically includes such person's parents, siblings, spouse, children and in-laws.

“Non-Cooperative Jurisdiction” means any non-U.S. country that has been designated as non-cooperative with international anti-money laundering principles or procedures by an intergovernmental group or organization, such as the FATF, of which the United States is a member and with which designation the United States representative to the group or organization continues to concur.

“Physical Presence” means a place of business that is maintained by a Foreign Bank and is located at a fixed address, other than solely a post office box or an electronic address, in a country in which the Foreign Bank is authorized to conduct banking activities, at which location the Foreign Bank (1) employs one or more individuals on a full-time basis, (2) maintains operating records related to its banking activities, and (3) is subject to inspection by the banking authority that licensed the Foreign Bank to conduct banking activities.

“Prohibited Investor” means (1) a person or entity whose name appears on one or more of the various lists issued and maintained by the U.S. Office of Foreign Assets Control (“OFAC”), including the List of Specially Designated Nationals and Blocked Persons, the Specially Designated Terrorists List and the Specially Designated Narcotics Traffickers List; (2) a Foreign Shell Bank; or (3) a person or entity who is a citizen or resident of, or which is located in, or whose subscription funds are transferred from or through, a Foreign Bank in a Non-Cooperative Jurisdiction or Sanctioned Regime.

“Regulated Affiliate” means a Foreign Shell Bank that (1) is an affiliate of a depository institution, credit union or Foreign Bank that maintains a Physical Presence in the United States or a non-U.S. country, as applicable, and (2) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union or Foreign Bank.

“Sanctioned Regimes” means targeted foreign countries, terrorism sponsoring organizations and international narcotics traffickers in respect of which OFAC administers and enforces economic and trade sanctions based on U.S. foreign policy and national security goals.

“Senior Foreign Political Figure” means a senior official in the executive, legislative, administrative, military or judicial branch of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior executive of a foreign government-owned corporation. In addition, a Senior Foreign Political Figure includes any corporation, business or other entity that has been formed by, or for the benefit of, a Senior Foreign Political Figure.

“USA Patriot Act” means the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT) Act of 2001 (Pub. L. No. 107-56).