



1



## Learning objectives

- Discuss the four general means of authenticating a user's identity.
- Explain the mechanism by which hashed passwords are used for user authentication.
- Present an overview of token-based user authentication.
- Introduce the basics of biometric authentication.
- Discuss the issues involved and the approaches for remote user authentication.
- Summarize some of the key security issues for user authentication.

<sup>2</sup> We can deal with direct authentication without a medium scale. With remote w.a. we introduce the problem of networking that can be compromised.



## Preliminary question



WHEN DO YOU THINK YOU HAVE BEEN SUBJECT TO USER AUTHENTICATION IN YOUR EXPERIENCE?

CAN YOU IDENTIFY THE TWO PHASES OF IDENTIFICATION AND VERIFICATION?

3

Two functions  
for user  
authentication

1. User identification
    - by means of a credential or an ID provided by the user to the system
  2. User verification
    - by the exchange of authentication information
    - establishes the validity of the claim
- Note: user authentication is distinct from message authentication!

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## Digital Authentication Guideline

NIST SP 800-63-3 defines digital user authentication as (October 2016) :

“The process of establishing confidence in user identities that are presented electronically to an information system.”

*almost like we can make mistakes*

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## Identification and authentication security requirements

### Basic Security Requirements (NIST SP 800-171):

1. Identify users, processes acting on behalf of users, or devices.
2. Authenticate (or verify) the identities of those users, processes, or devices
  - prerequisite to allowing access to organizational information systems.

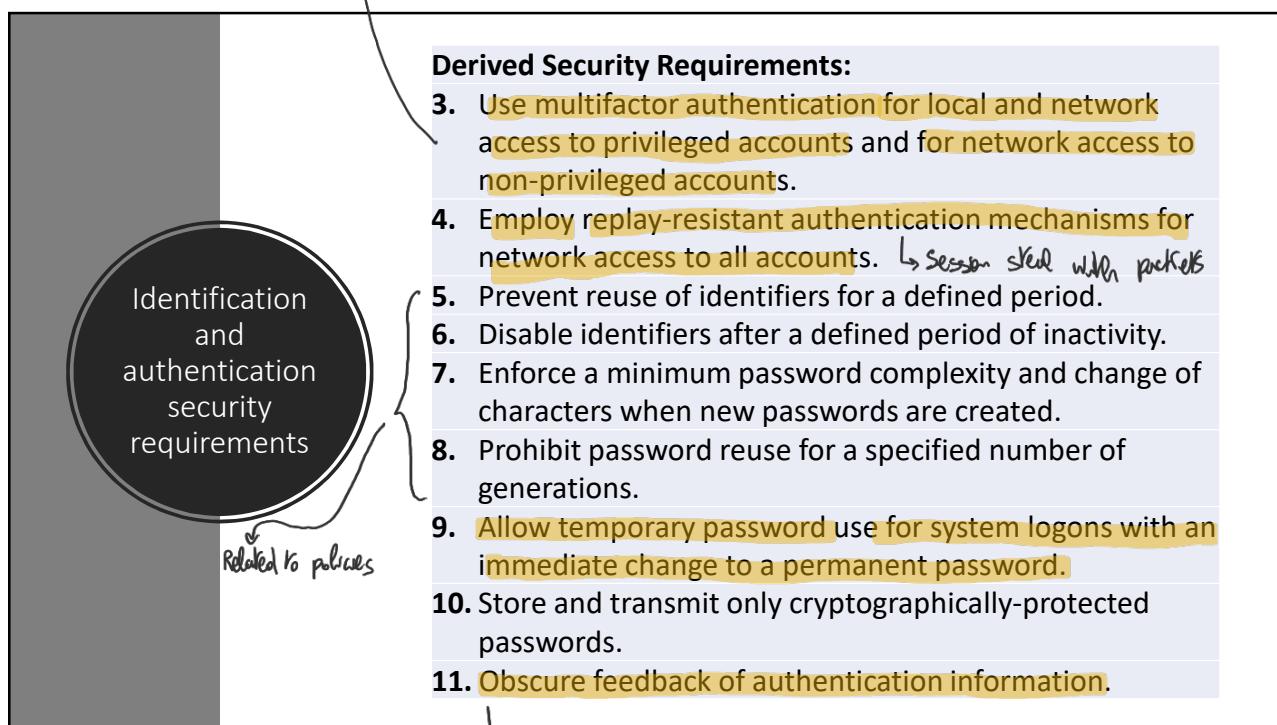
*There are digital proxies that act on behalf of the user. For example, often a login, you have processing acting on your behalf.*

*↳ This doc. refers to security issues at any level.*

6

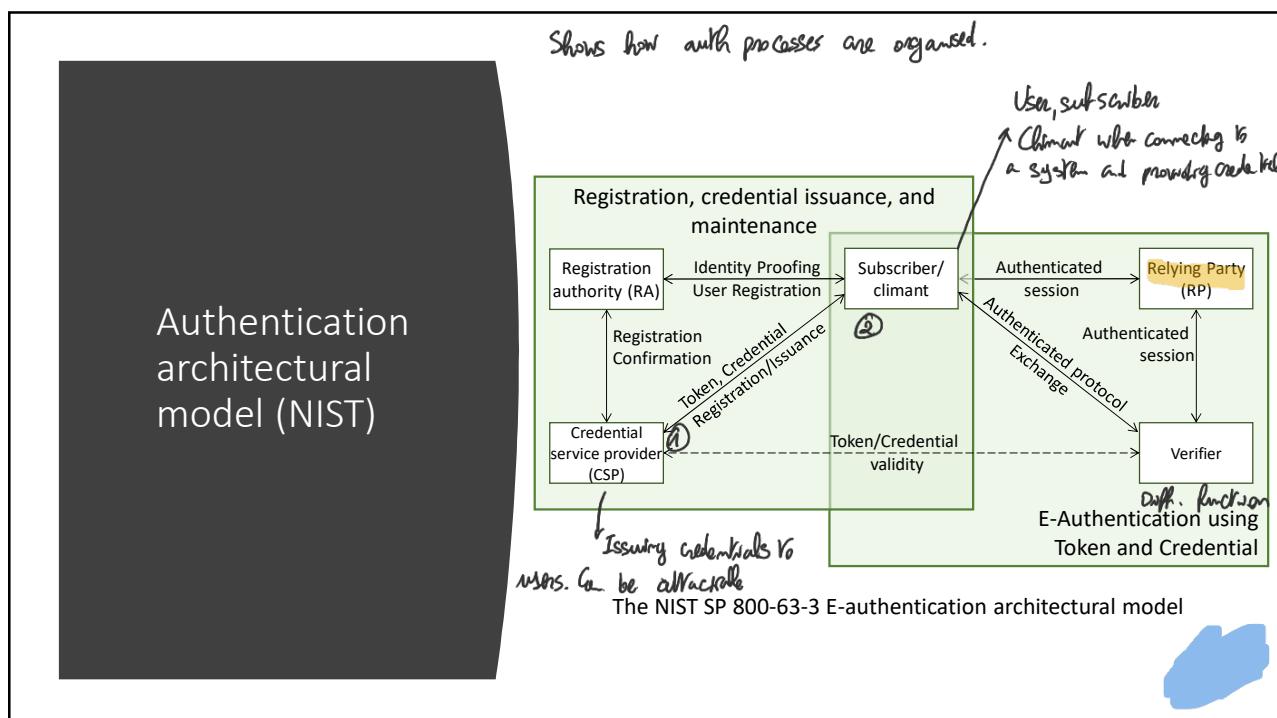
If user authentication is associated to privileged accounts we need multifactor auth. If the privileges are lower such for the network.

This document is about authentication at all levels, give the proper flexibility for that



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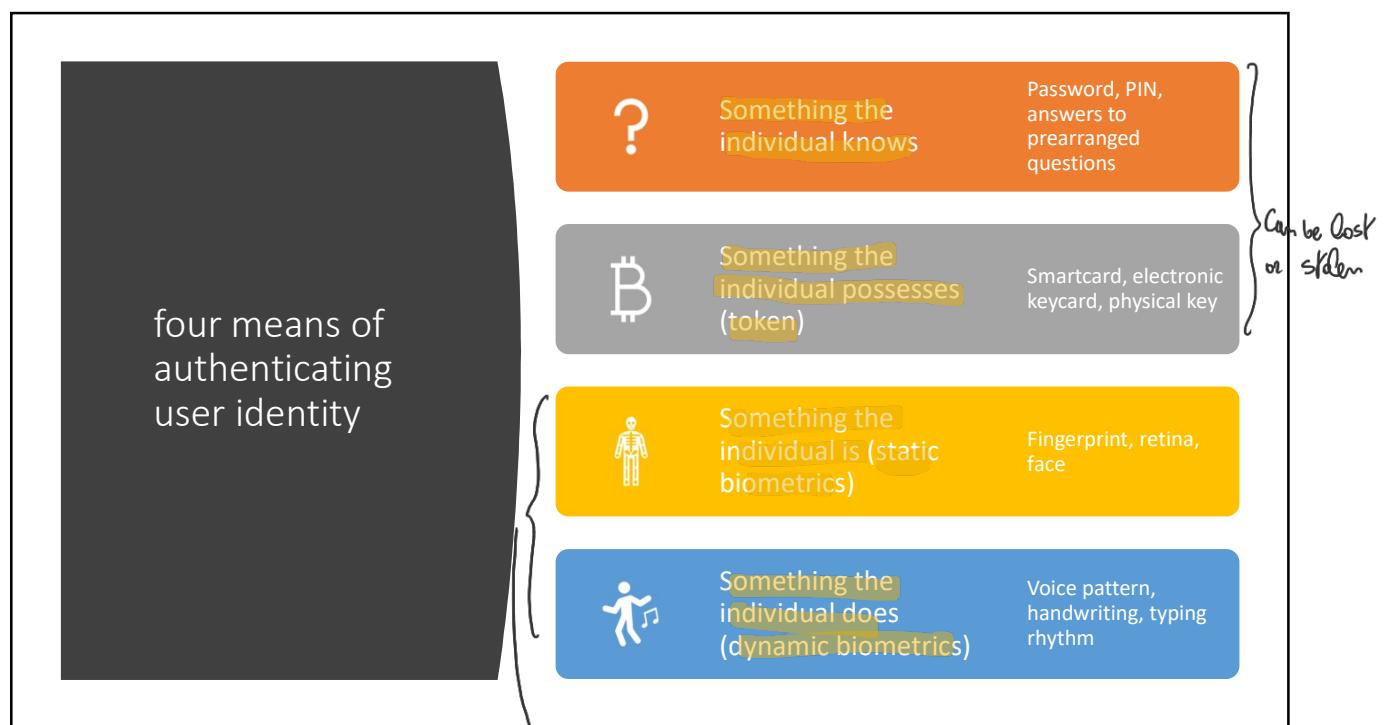
A detailed feedback can be explained by an addition.



8

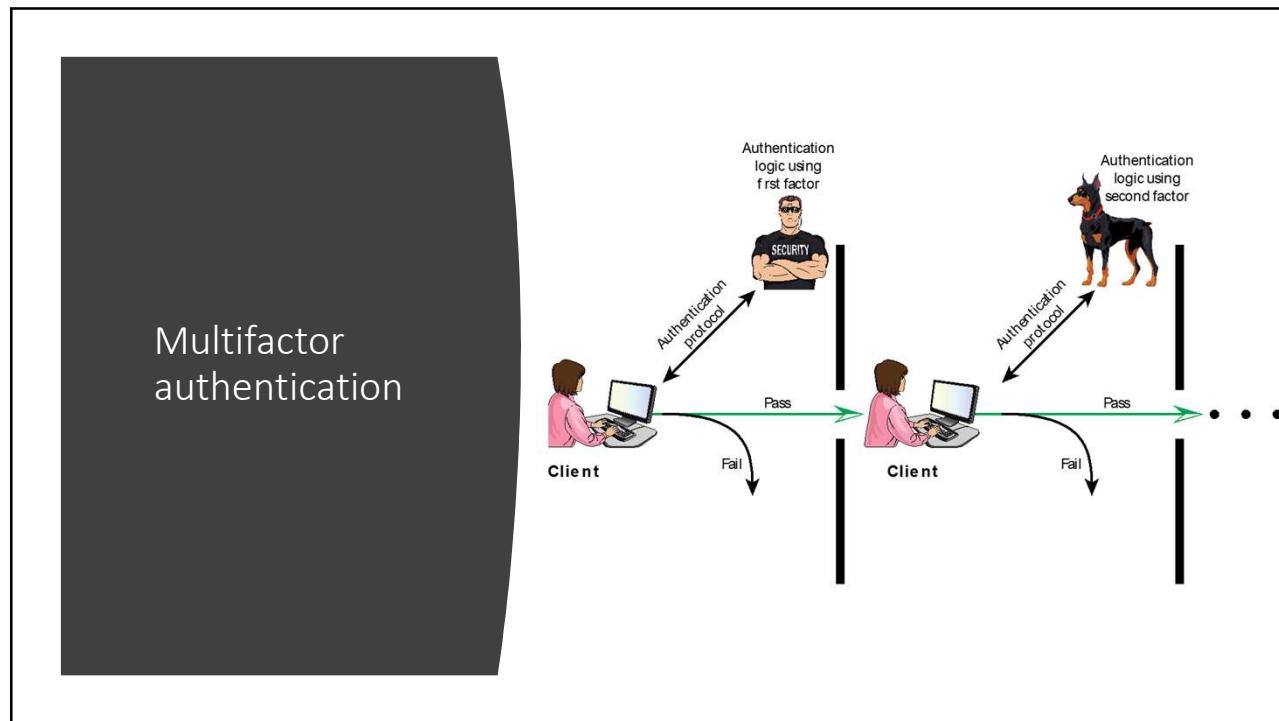
The subscriber has to connect to a Reg Authority which identifies the identity of the subscriber. Can happen IRL by showing a document for ex. (step 1). Step 2: The Authority requests for credential to ②. Credentials can be PW, biometrics etc. To do that we need an extra step for issuing credentials (like meeting for taking biometrics or just giving credentials). It can be done physically, digitally or mixed.

Once this is done, the subscriber can use services. We connect to the VENIKA to provide the credentials (the process may require interaction with @, like getting redirected to SPID website, I provide the credentials to the CSP (i) if they have an agreement) and then we have access to an authenticated session.



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Problems with false negatives and false positives, depend on the methods.  
Problem to share biometrics, you can change password but not biometry



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Risk assessment for user authentication

The inherent risk needs to be assessed. In 3 steps

Three separate concepts:

```

graph LR
    A[Assurance Level] --> B[Potential impact]
    B --> C[Areas of risk]
  
```

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Assurance level

The confidence that can be given to an auth system.

This degree is defined as:

- The degree of confidence in the vetting process used to establish the identity of the individual to whom the credential was issued
- The degree of confidence that the individual who uses the credential is the individual to whom the credential was issued

Four levels of assurance

Level 1	Level 2	Level 3	Level 4
•Little or no confidence in the asserted identity's validity	•Some confidence in the asserted identity's validity	•High confidence in the asserted identity's validity	•Very high confidence in the asserted identity's validity

→ Case of supermarket card

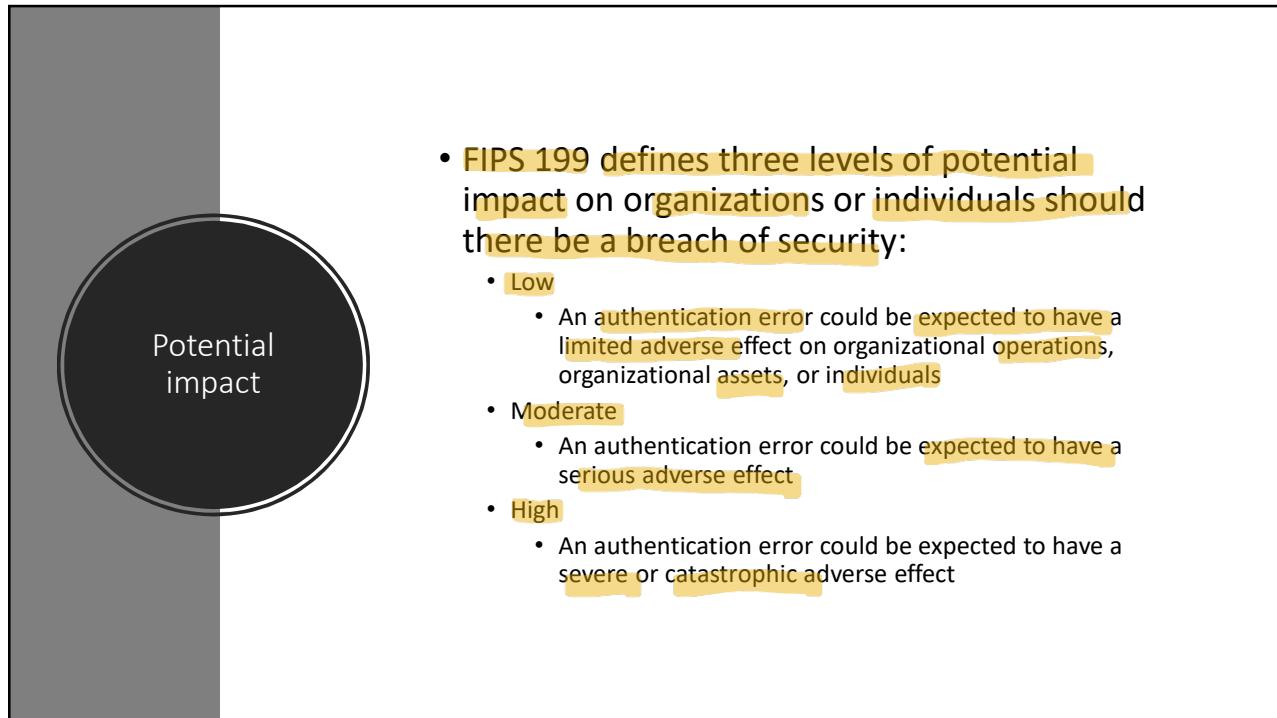
→ We don't have very stringent requirements (1 factor to be used)

→ If I have higher requirements (double factor) (NO HIGH IMPACT BUT HIGH)

→ We need very high confidence, strong credentials and 2+ factors.

The process of giving credentials to a user  
Evaluation is complicated. Not done at once  
why but in a quantitating way with the four levels.

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Areas of risk: Maximum potential impact for each assurance level

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Potential Impact Categories for Authentication Errors	Assurance Level Impact Profiles			
	1	2	3	4
Inconvenience, distress, or damage to standing or reputation	Low	Mod	Mod	High
Financial loss or organization liability	Low	Mod	Mod	High
Harm to organization programs or interests	None	Low	Mod	High
Unauthorized release of sensitive information	None	Low	Mod	High
Personal safety	None	None	Low	Mod/High
Civil or criminal violations	None	Low	Mod	High

*Based on it we can adopt a certain assurance level.*

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## Review question



REFERRING TO THE NIST SP 800-63-3 MODEL, DID YOU EVER EXPERIENCE A CASE OF AUTHENTICATION THAT FOLLOWS THAT MODEL?

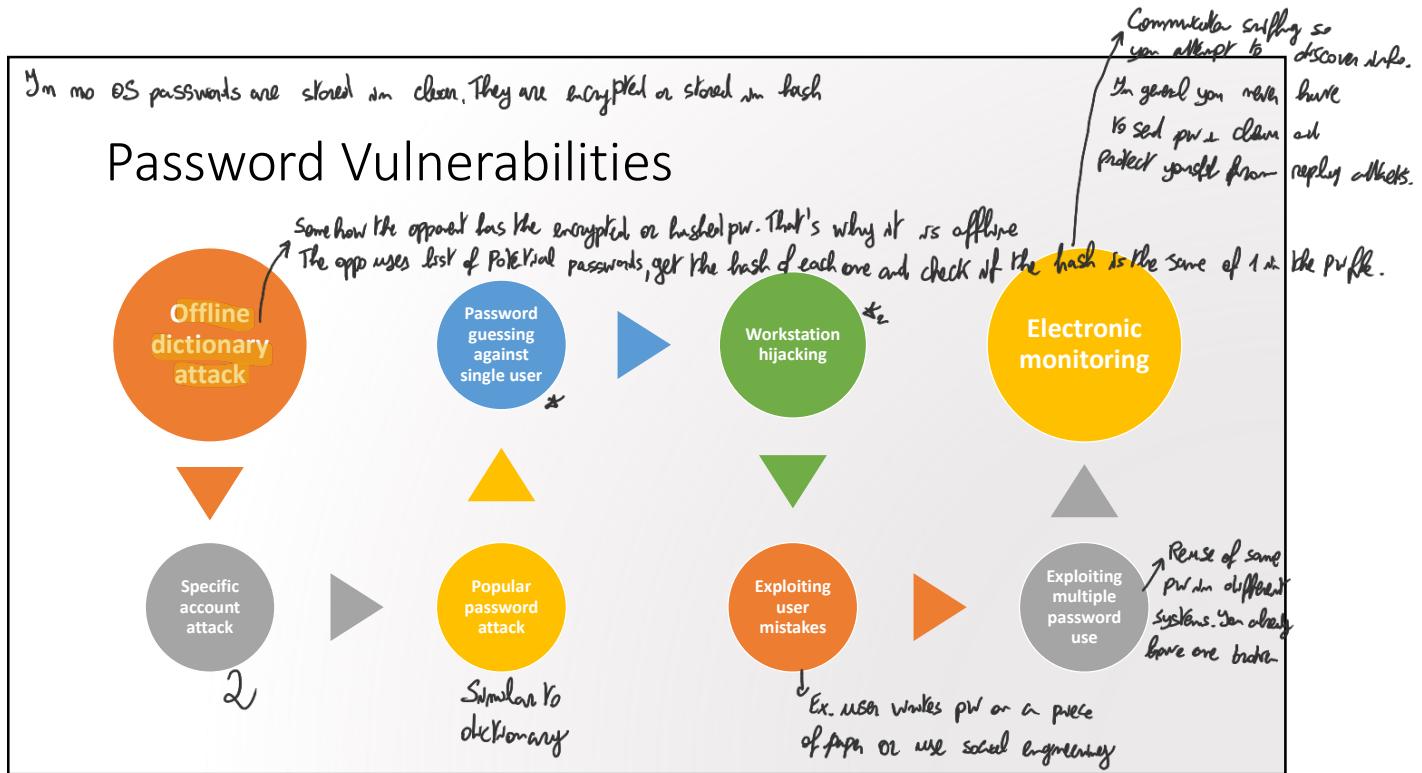
DESCRIBE THAT AUTHENTICATION SYSTEM FROM THE USER PERSPECTIVE.

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Very simple! That's why it was so successful.

- Widely used line of defense against intruders
  - User provides name/login and password
  - System compares password with the one stored for that specified login
- The user ID: is associated to identity but also the privileges.
  - Determines that the user is authorized to access the system
  - Determines the user's privileges
  - Is used in discretionary access control
    - ↳ method of access control in OS.

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<sup>17</sup>\* Exploit info of a specific user to guess (the column of)

Countermeasures are user monitoring.

\*<sub>2</sub> Physical access to an open connection. Countermeasures are auto log off etc.



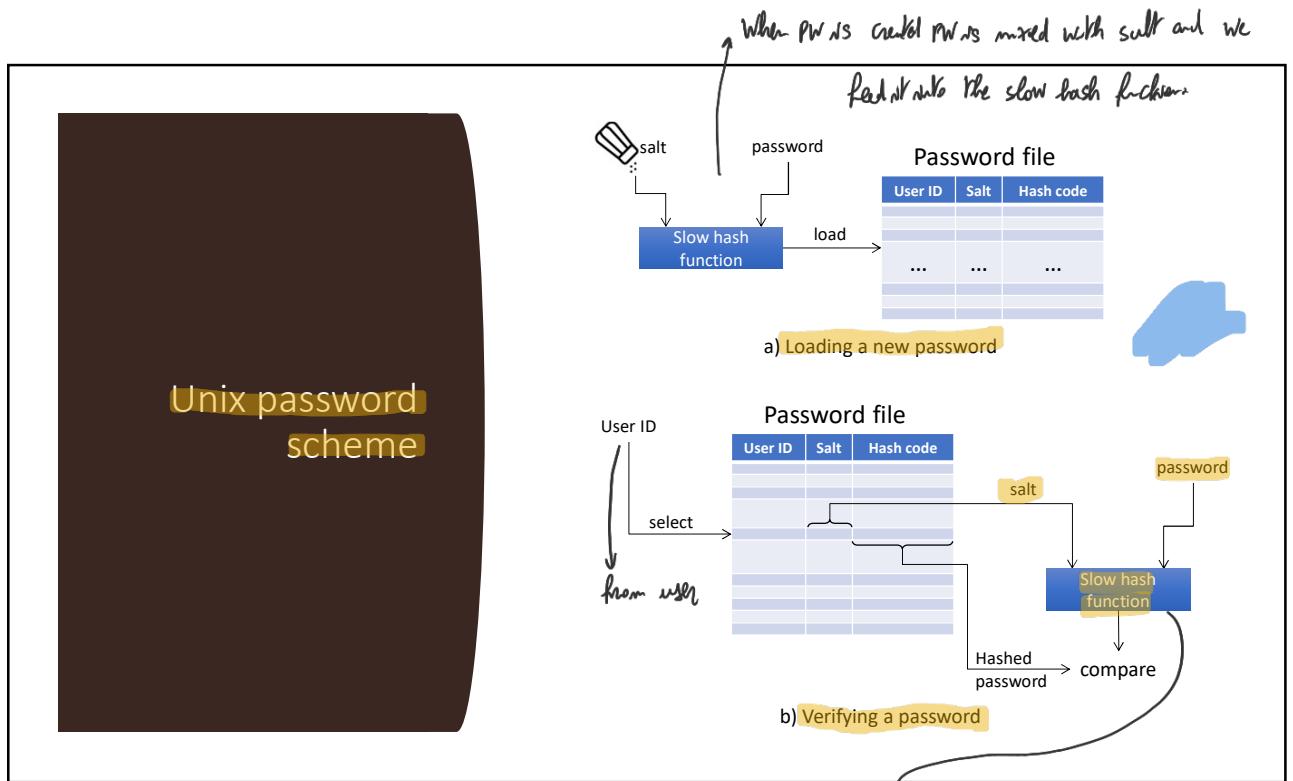
## Question



AN ADVERSARY PERFORMS A BRUTE FORCE ATTACK AND IN 1 YEAR TESTS EXHAUSTIVELY ALL THE POTENTIAL PINS OF A USER.

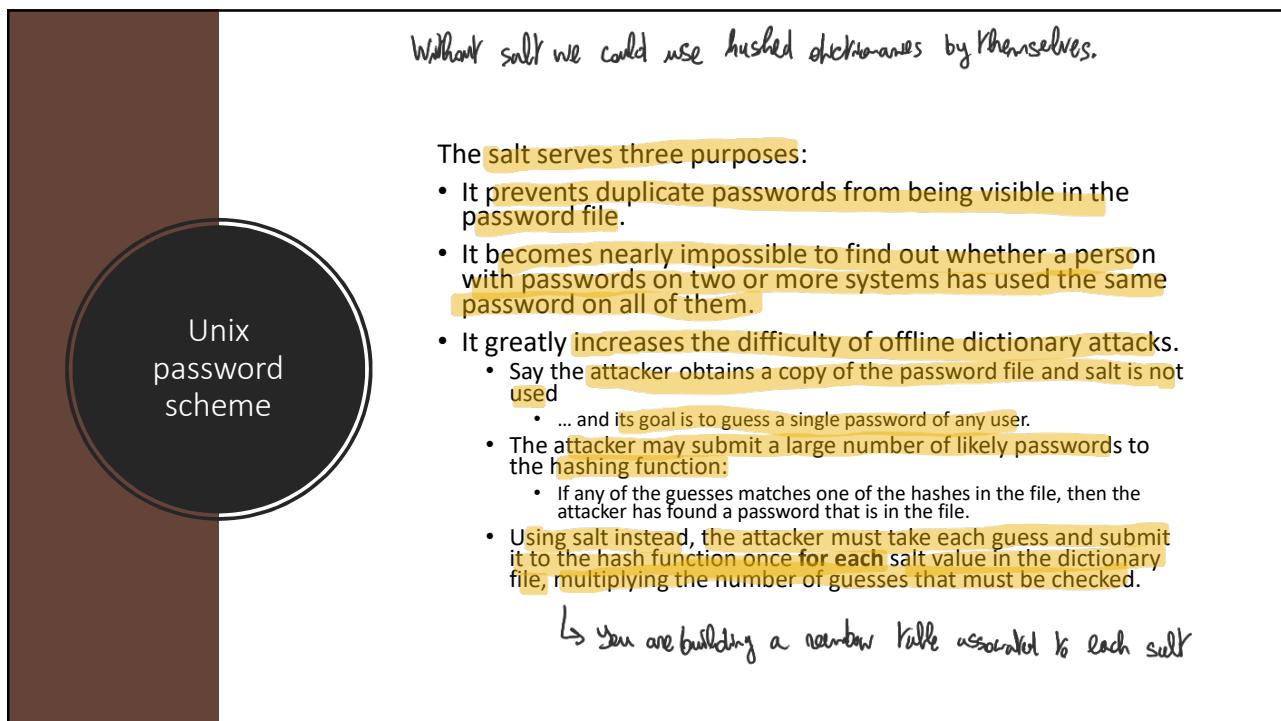
IF THE PINS ARE OF 6 DIGITS, EACH IN AN ALPHABET OF 10 SYMBOLS, HOW LONG IT TAKES TO TEST ONE PIN?

1. Countermeasures: keep pw on a protected space and use a good hash function.
2. We could attempt an attack to a casual user or target a specific user. Mitigate online attacks:  
introduce delays and block.

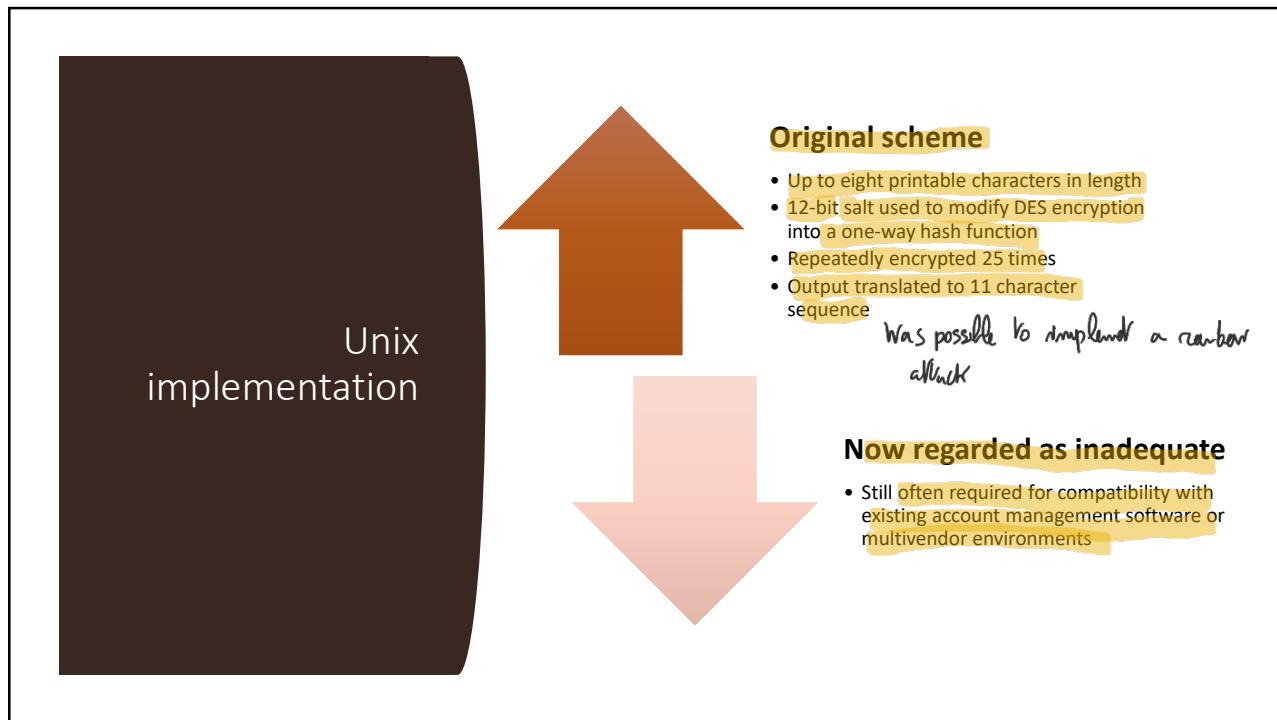


19

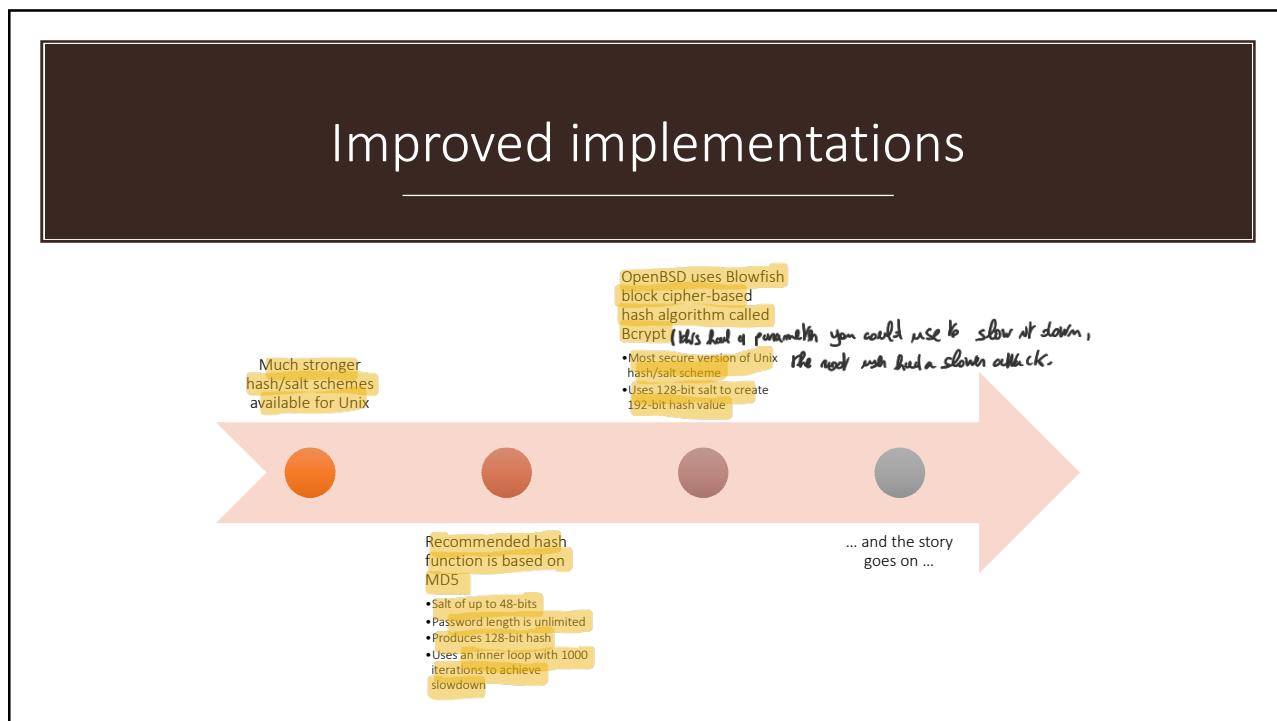
To mitigate dictionary attacks: it will take a lot to check a lot of passwords.



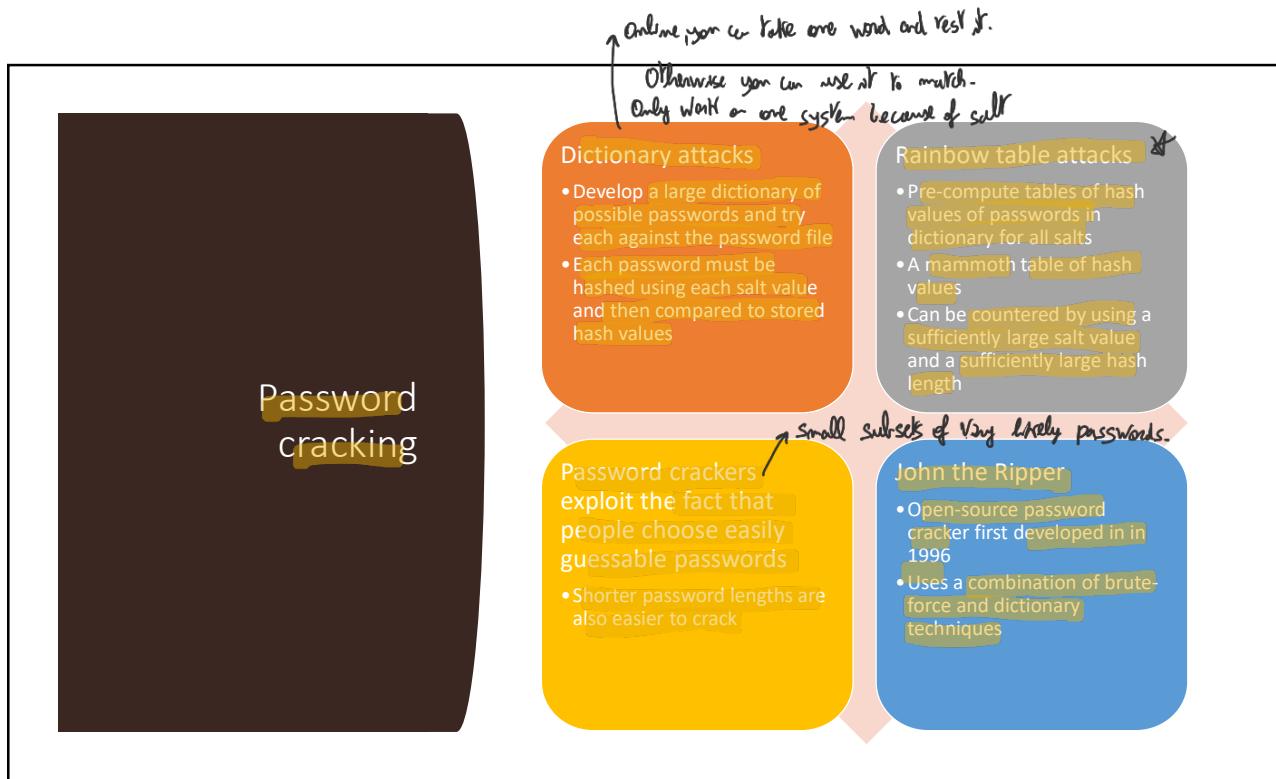
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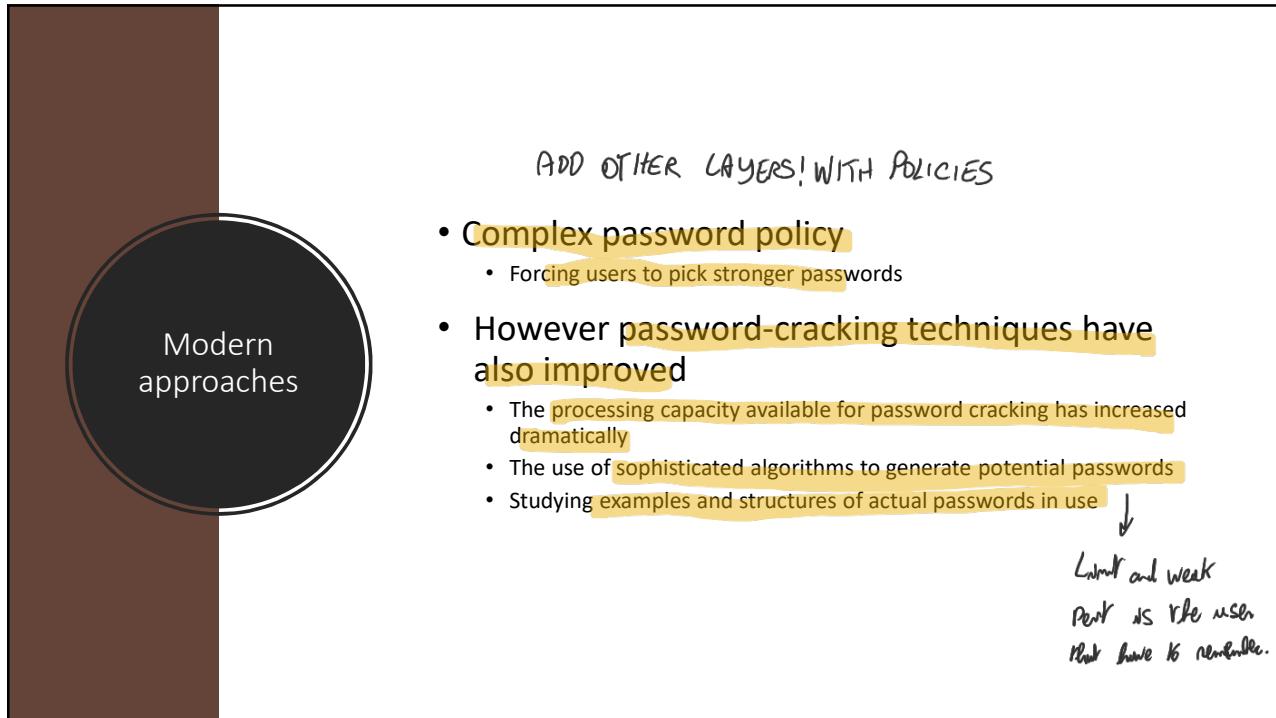
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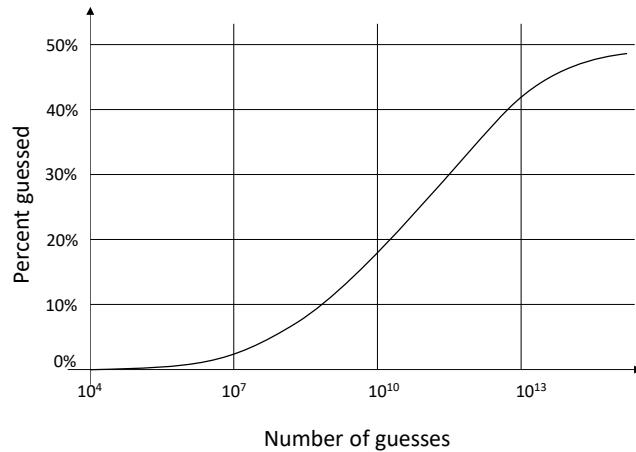


23 \* Table can be used on any system!



24

Percentage of passwords guess after a given number of guesses



Expln: trying PW in a system with pw in a dictionary.  
On an average system half of the pw can be guessed

25

In a dictinary-

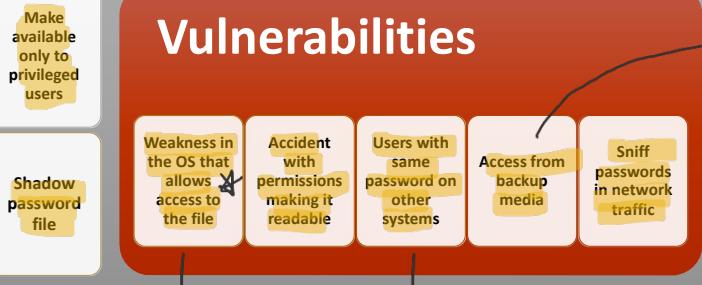
If user chooses pw in a simple way, it reduces the number of trials needed to guess (the column of)

Password file access control

↑ for online attack's strategies are delay and preventing  
to many trials.

Can block offline guessing attacks by denying access to encrypted passwords (Protecting the pw file).

## Vulnerabilities



→ Attempt to use same pw in another system  
could be in the way the OS is programmed or an error  
with the admin with wrong permissions increasing attack surfaces.

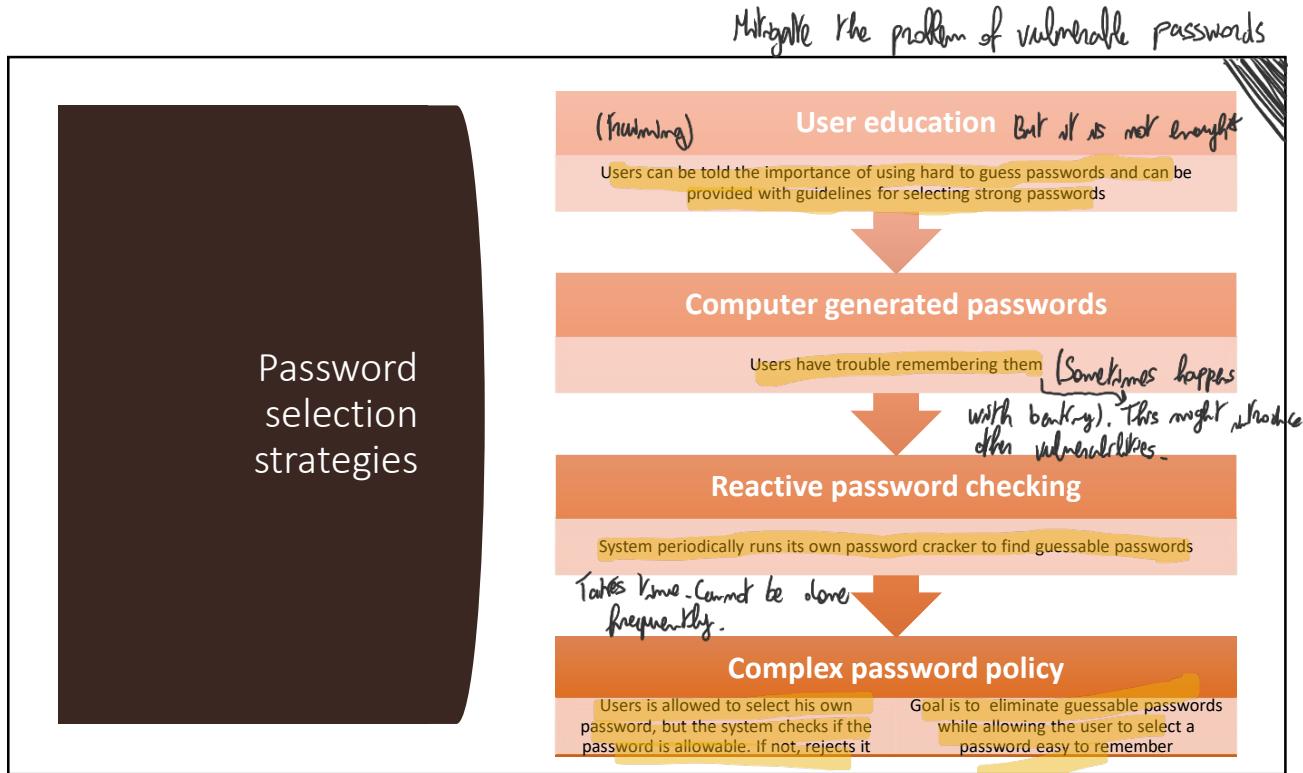
26 Now split into two: shadow file only stores the hashes, the accessible file has user id, salt etc.

(Dual boot can bypass the security schemes.)

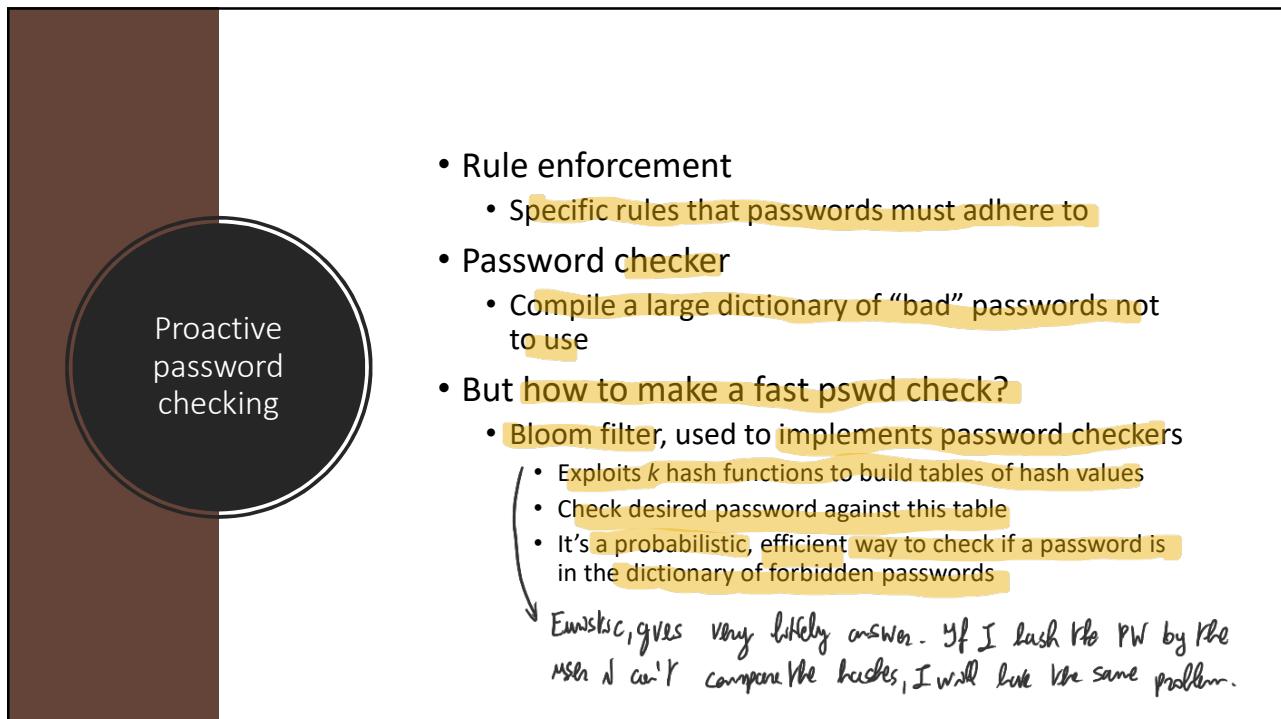
Because pw file contains many other info required for many other things, so accessed by many pieces of SW that access them.

	qwe123	password1	password2	pw123	...
0000	mm	mm	mm	mm	mm
0001					
0002					
:					
1024					

\* There is the procedure to change your PW!



- 27 ↗ User may get overwhelmed and user doesn't have an idea of what could be important or not.  
Difficult: before the user has some constraint. Here the check is done immediately.



**Bloom filter constructed over a dictionary  $D$  of passwords... say that:**

- $|D| = d$  words
- $h_1, \dots, h_k$  are hash functions, with  $h_i(x) \in [0, n]$
- Bloom filter  $B$  is an array of  $n$  bits

*K hash functions, each outputs a number in that range.*

*d huge, K small, n large.*

*Let  $B[i] = 0$  for each  $i \in [0, n]$*

*for each  $x \in D$ :*

*initialised w/o for each  $j \in [1, k] : B[h_j(x)] = 1$*

*We set some of the bits:*

*Compute all the  $K$  hashes that return  $K$  numbers set 1 the bits corresponding to those  $K$  numbers.*

**Bloom filter constructed as:**

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To check a password  $y$  with the bloom filter:

```

if  $B[h_j(y)] = 0$  for some  $j \in [1, k]$  :
    return(valid) // password  $y$  is not in  $D$ 
else
    return(rejected) // password  $y$  may be in  $D$ 

```

The bloom filter does not have false negatives:

- if valid then  $y$  not present in  $D$

The bloom filter may have false positives:

- if rejected then  $y$  may still not in  $D$  (There could be collisions)

*If it was in the dictionary what bit should have been 1 but is 0. There should be a collision for all hashes.*

30

*We want infrequent false positives.*

Bloom filter password checker

Example:  $D = \{\text{Stefano}, \text{Paolo}\}$

Say that:

$$\begin{aligned} h_1(\text{Stefano}) &= 10; h_2(\text{Stefano}) = 7; h_3(\text{Stefano}) = 3 \\ h_1(\text{Paolo}) &= 7; h_2(\text{Paolo}) = 12; h_3(\text{Paolo}) = 1 \end{aligned}$$

Hence  $B =$

0	1	2	3	4	5	6	7	8	9	10	11	12
0	1	0	1	0	0	0	1	0	0	1	0	1

Now, consider passwords *Rita* and *Sofia*, and that:

$$\begin{aligned} h_1(\text{Rita}) &= 3; h_2(\text{Rita}) = 12; h_3(\text{Rita}) = 1 \\ h_1(\text{Sofia}) &= 2; h_2(\text{Sofia}) = 9; h_3(\text{Sofia}) = 7 \end{aligned}$$

Then:

- *Rita* is rejected
- *Sofia* is valid

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Bloom filter password checker

Need to find a proper configuration of the parameters (values of  $k, m, n$ ), else the mechanism may be unusable

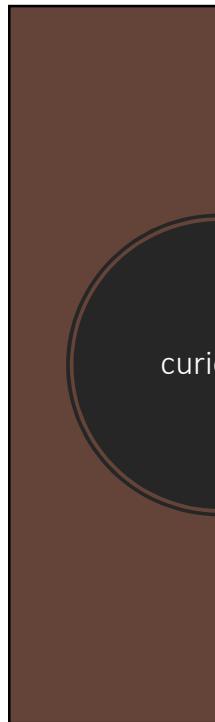
- it may give too many false positives

Example:  
 $d = 10^6$   
 $k = 6$   
 $n = 10 \cdot 10^6$  (from 0 to 20 · d)  
 Filter takes about 1.2MBytes

With less hash functions you have to higher the ratio of hash table size.

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You reduce faster the probability of false pos.



- Several account (and passwords) breaches, even recently
- Want to know whether your account had been broken?  
<https://haveibeenpwned.com/>
- contains a DB of many breaches

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## Question

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COMMENT ABOUT THE SUITABILITY OF THE  
PASSWORDS:

**a.** Back#To#Black#      **d.** ketchup  
**b.** 09876      **e.** onafetS  
**c.** viaFermi3      **f.** S0L13van7e

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## Question



ASSUME A SYSTEM THAT USE RANDOMLY-GENERATED PASSWORDS. PASSWORDS ARE 8 CHARACTERS LONG IN THE ALPHABET OF THE CAPITAL LETTERS.

WHAT SHOULD BE THE APPROPRIATE RANGE FOR THE PSEUDO-RANDOM NUMBER GENERATOR?

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A vertical green sidebar on the left side of the slide. Inside the sidebar is a dark grey circle with the text "Token-based authentication" written in white.

- Objects that a user possesses for the purpose of user authentication are called tokens:
  - Memory cards
  - Smart tokens/cards

↳ Must be in posses of the user.
- Applications / Features:
  - Electronic identity cards
  - Eid functions
  - Passwords authenticated connection establishment (PACE)

37

Completely analogy. Just press and reprint on paper the code of the card and apply signature

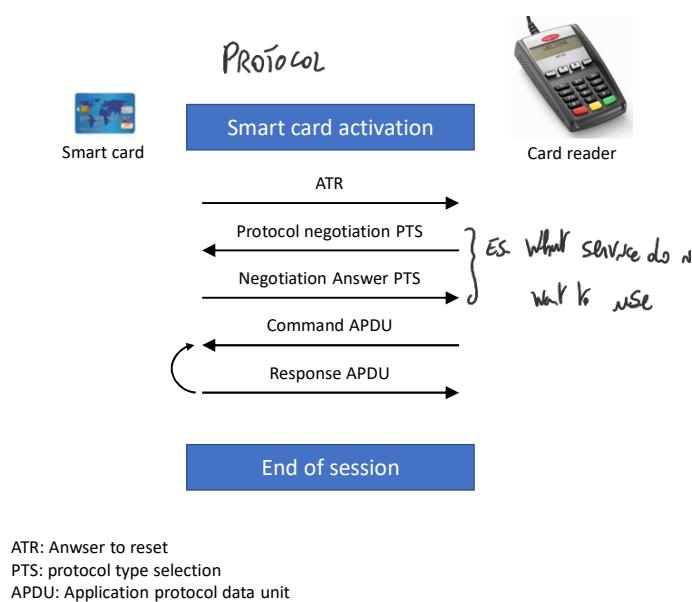
## Types of cards used as tokens

Card Type	Defining Feature	Example
Embossed	Raised characters only, on front	Old credit card
Magnetic stripe	Magnetic bar on back, characters on front	Old bank/telephone card
Memory	Electronic memory inside	Prepaid phone card
Smart tokens	Electronic memory and processor inside	
Contact	Electrical contacts exposed on surface	
Contactless	Radio antenna embedded inside	Biometric ID card

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Can implement encryption algorithm

Smart card / reader exchange



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# Electronic identity cards (eID)

Use of a smart card as a national identity card for citizens

Can serve the same purposes as other national ID cards, and similar cards such as a driver's license, for access to government and commercial services

Can provide stronger proof of identity and can be used in a wider variety of applications

In effect, is a smart card that has been verified by the national government as valid and authentic

An example is the German card *neuer Personalausweis*

Has human-readable data printed on its surface

- Personal data
- Document number
- Card access number (CAN)
- Machine readable zone (MRZ)

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Basic functionality = user authentication. They can store info and can authenticate you and online or provide the digital signature of the document.

## Electronic functions for eID cards

Function	Purpose	PACE Password	Data	Users
ePass (mandatory)	Authorized offline inspection systems read the data.	CAN or MRZ	Face image; two fingerprint images (optional); MRZ data	Offline biometric identity verification reserved for government access
eID (activation optional)	Online applications read the data or access functions as authorized.	eID PIN	Family and given names; artistic name and doctoral degree; date and place of birth; address and community ID; expiration date	Identification; age verification; community ID verification; restricted identification (pseudonym); revocation query Offline inspection systems read the
	Offline inspection systems read the data and update the address and community ID.			
eSign (certificate optional)	A certification authority installs the signature certificate online. Citizens make signature creation electronic signature with eSign PIN.	eID PIN CAN	Signature key; X.509 certificate	Electronic signature creation

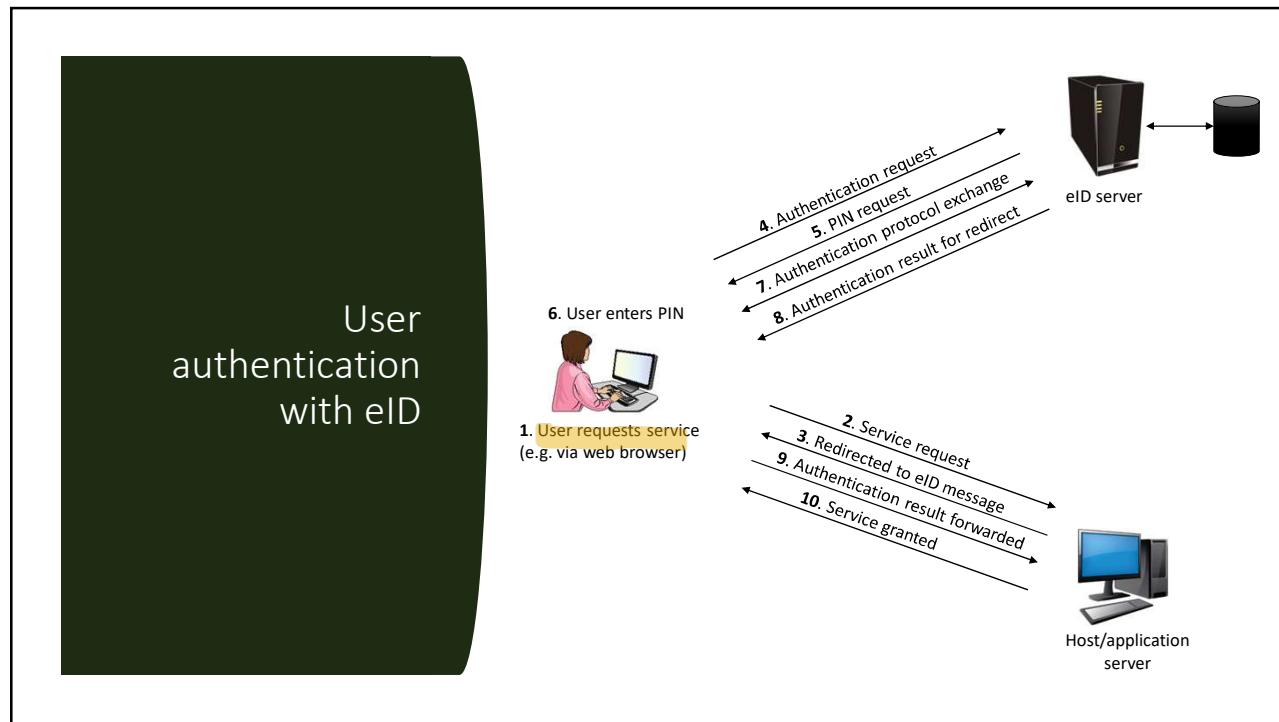
CAN = card access number

MRZ = machine readable zone

PACE = password authenticated connection establishment

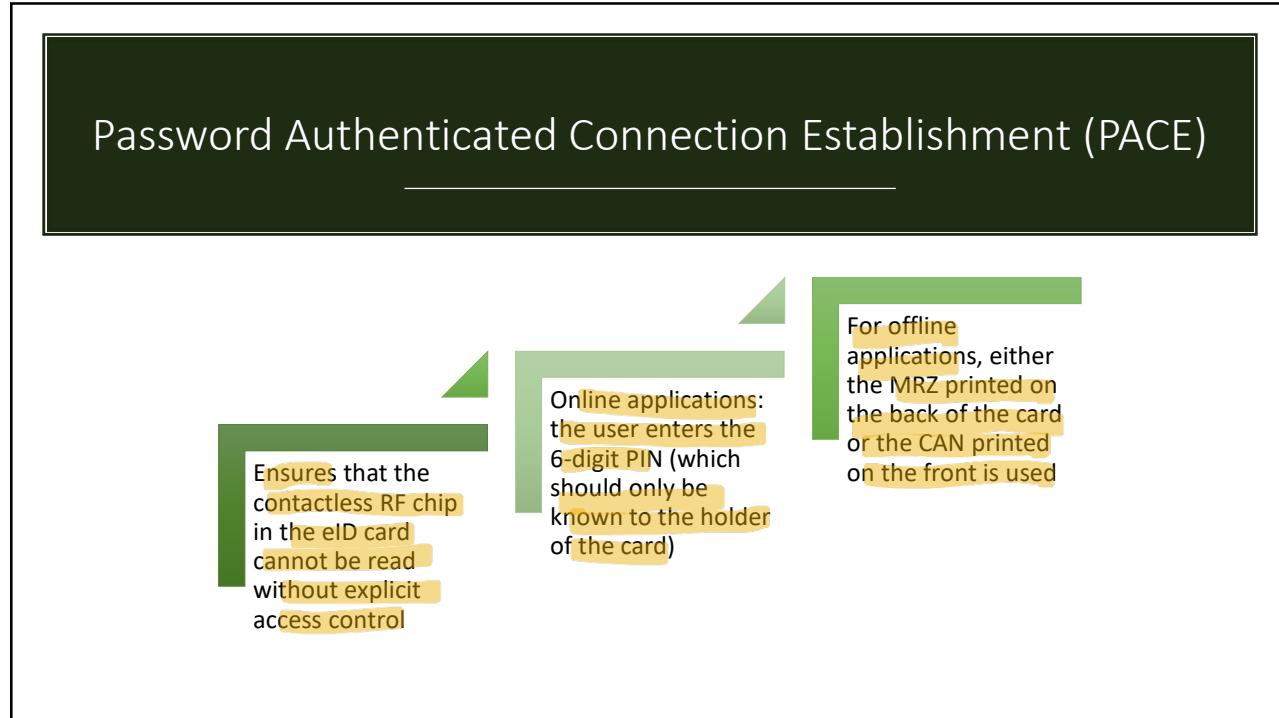
PIN = personal identification number

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## Password Authenticated Connection Establishment (PACE)



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**Biometric authentication**

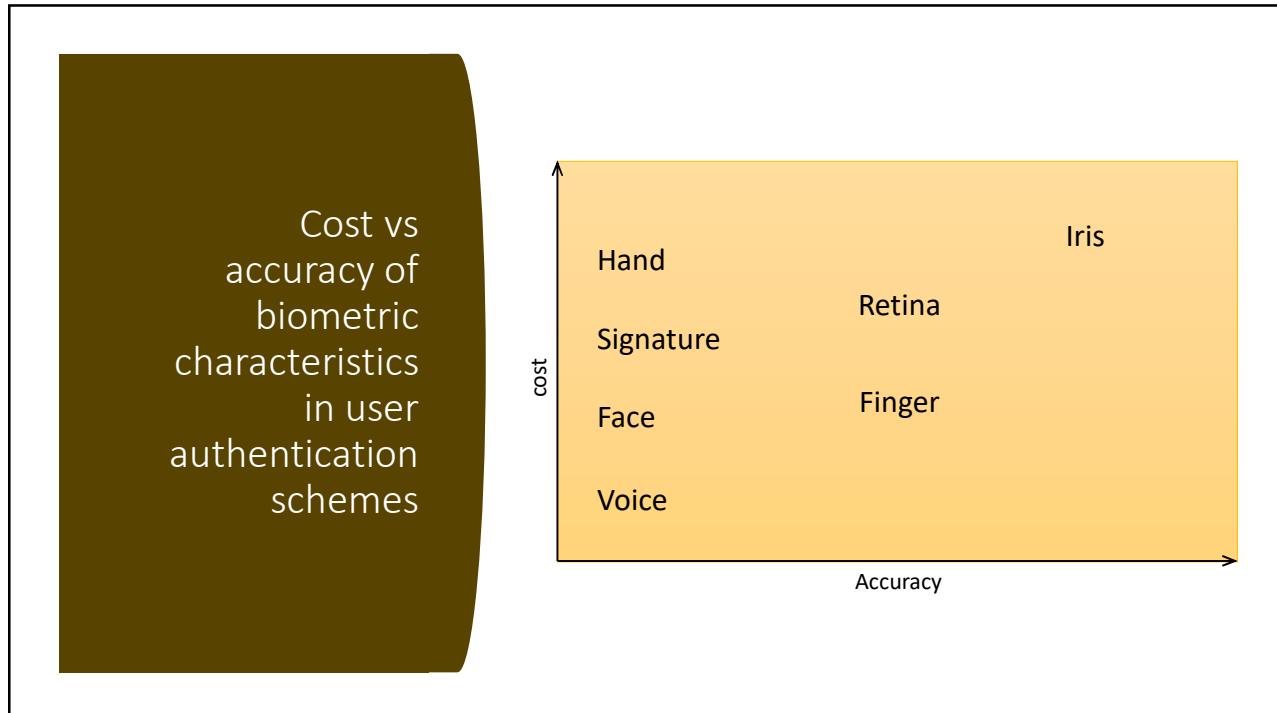
*Big difference: can't replace physical characteristics. Passwords, smartcards, you often have it or not. Biometrics are subject to errors.*

- Attempts to **authenticate an individual based on unique physical characteristics**
- Based on **pattern recognition**
- Is **technically complex and expensive when compared to passwords and tokens**
- Physical characteristics used include:
  - Facial characteristics
  - Fingertips
  - Hand geometry
  - Retinal pattern
  - Iris
  - Signature
  - Voice

*Can get very expensive.*

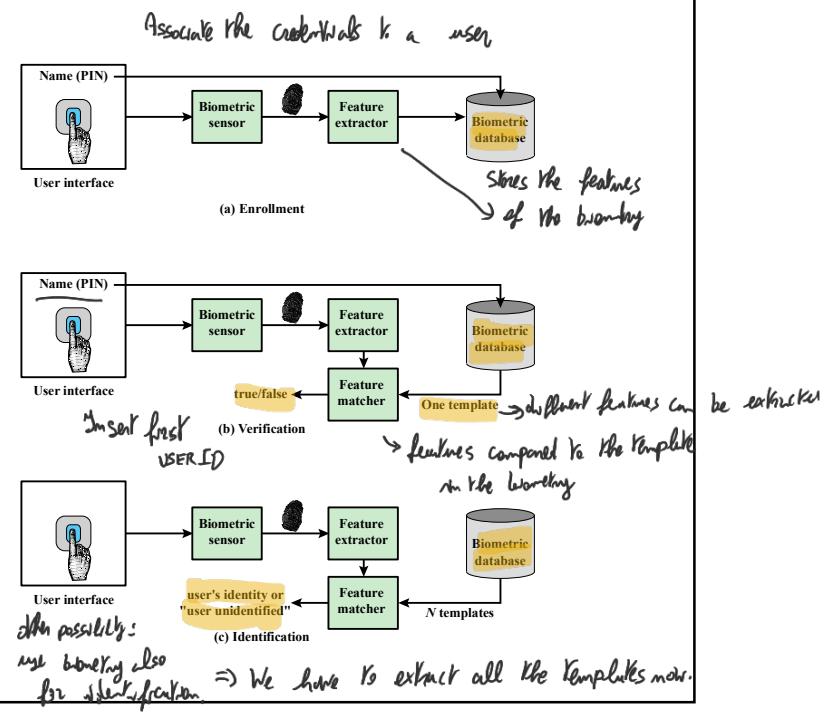
*Problem: we need to store the biometric. And the comparisons can be imprecise.*

47



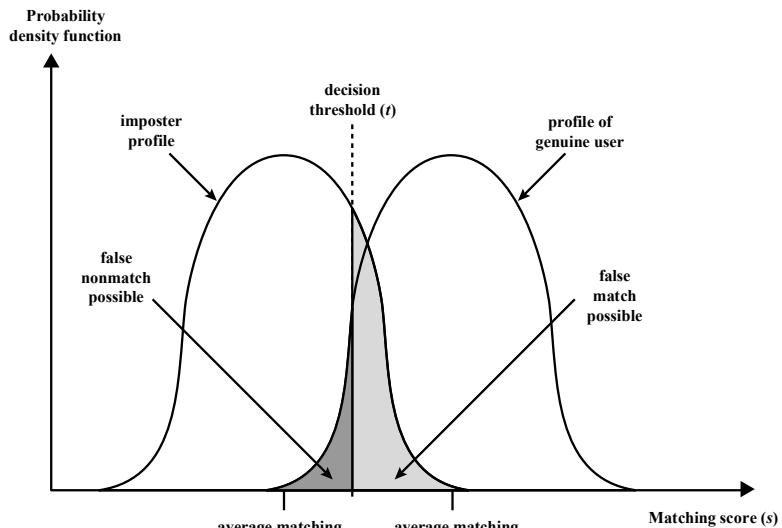
48

## A generic biometric system



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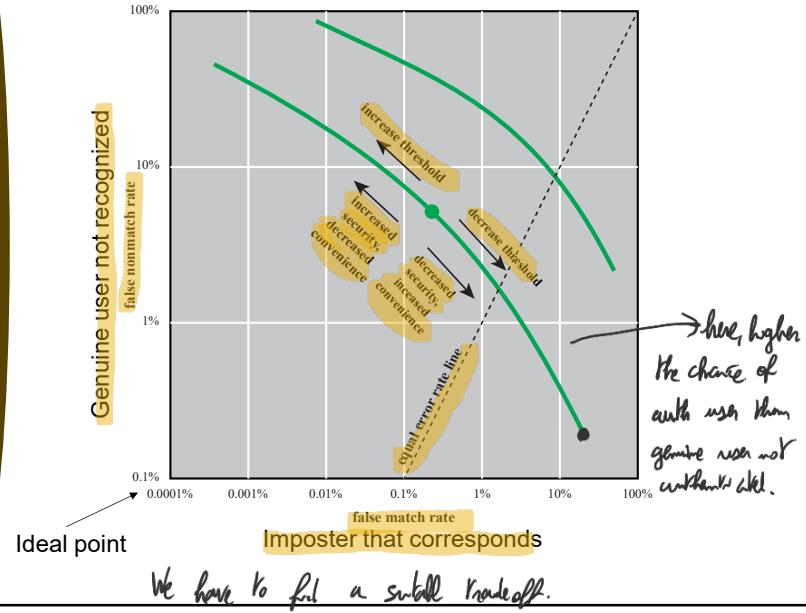
## Profiles of biometric characteristics



Problem is when imposter has the same percent of biometry.

50 We need a threshold. If the biometry of another person pass the threshold, we have a false positive.  
To reduce the amount of false positives we need to push the threshold further.

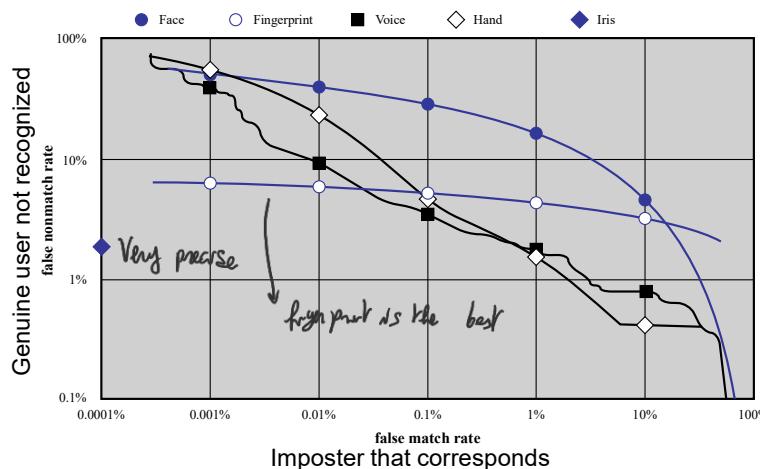
Idealized biometric measurement operating characteristic curves



51

- This one works better than the upper one.

## Actual biometric measurement operating characteristic curves (log-scale)



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If we take fingerprint, we can get a false match at 0.001% with false negatives at 9%.



## Review question



"JANE SPLIT A STONE INTO TWO PIECES, KEPT ONE FOR HERSELF, AND GAVE THE OTHER TO JASON. SO SHE SAID - WHEN YOU WILL SEND YOUR EMISSARY GIVE HIM THIS HALF OF THE STONE AND I WILL RECOGNIZE HIM."

WHAT KIND OF AUTHENTICATION IS THIS?

*Stones token authentication*

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A diagram consisting of a dark grey circle with the text "Remote user authentication" inside it. This circle is positioned on the left side of a vertical blue bar, which is itself set against a white background.

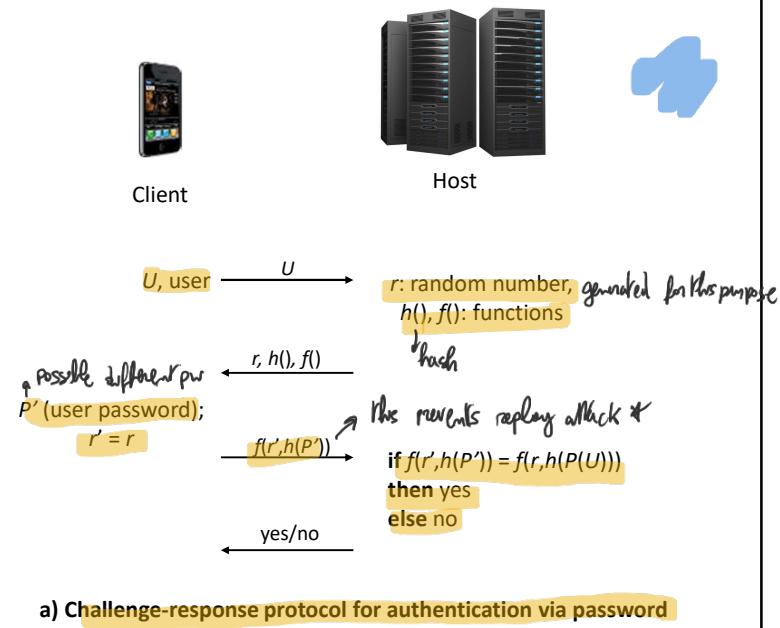
- Authentication over a network, the Internet, or a communications link is more complex
- Additional security threats such as:
  - Eavesdropping, capturing a password, replaying an authentication sequence that has been observed
- Generally rely on some form of a challenge-response protocol to counter threats

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## Basic challenge-response protocol for remote user authentication

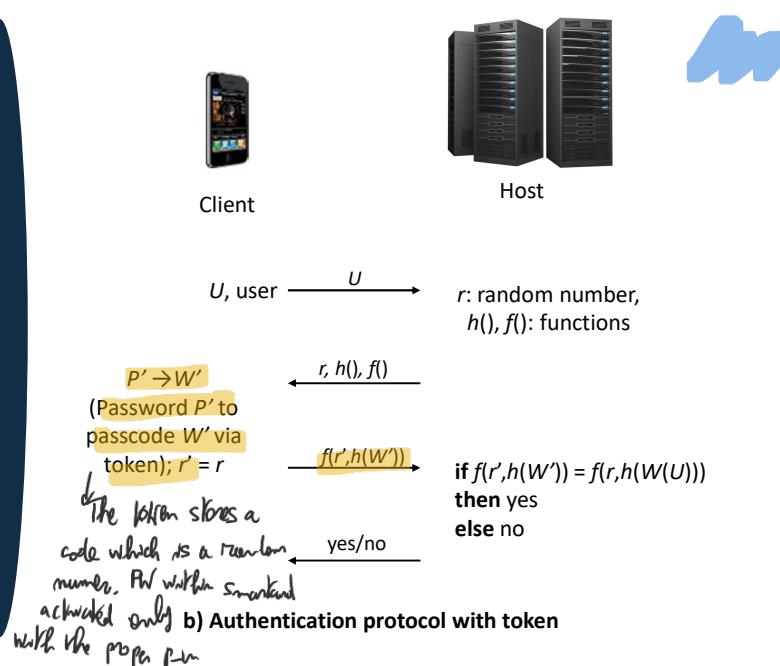
(I)



55 \*NOTE:  $f$  should not be invertible, otherwise attacker can get the hash of the PW.  $f$  should be computationally infeasible to invert.

## Basic challenge-response protocol for remote user authentication

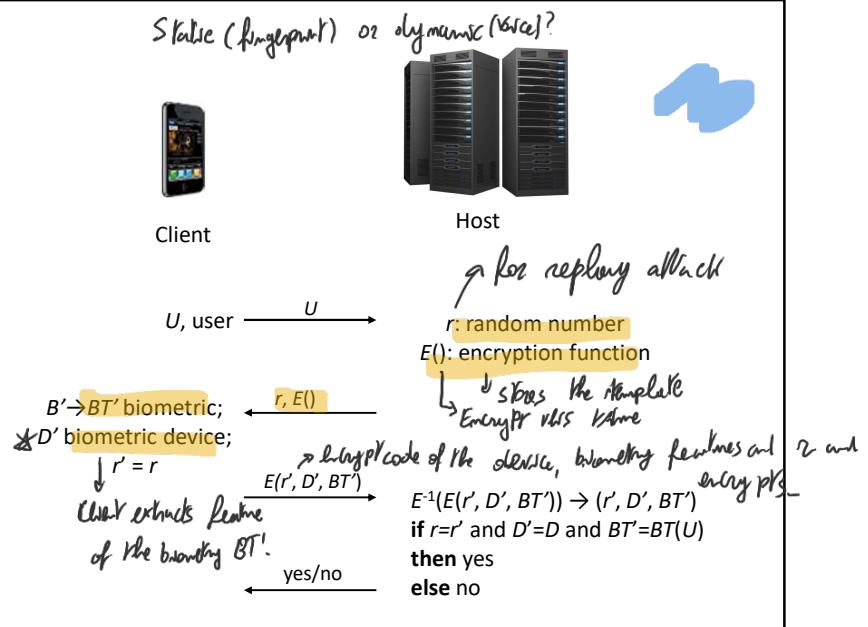
(II)



56

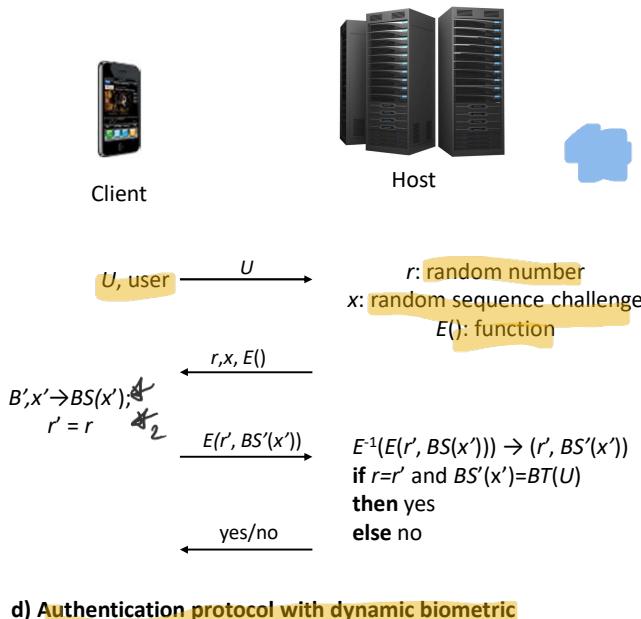
User uses the passcode to activate the card. If very smart, the card computes  $f(r', h(W'))$ . Else this computation must be computed by the reader that can be forged. If smart, the opponent might get your pw but still needs your card physically.

### Basic challenge-response protocol for remote user authentication (III)

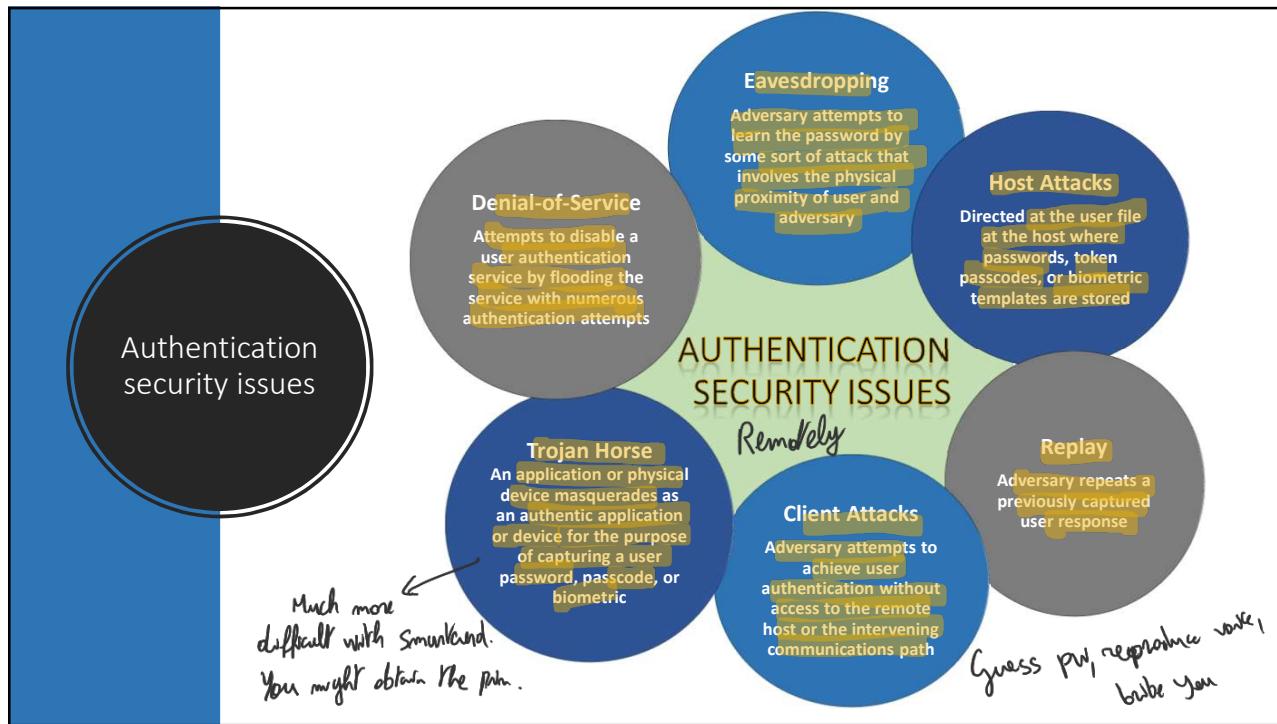


- 57 We use encryption because the matches might not be exact. If we used hash we will never have perfect matches.  
\* Some users will might be auth using different biometrics and you need to know what the device is.

### Basic challenge-response protocol for remote user authentication (IV)



- 58 \* The user is expected to do something:  $x$  could be "say the 3 words in sequence".  $x=$  the three words.  
\* We produce a biometric signal and send it to the server. Compares the signal to the template signals stored.



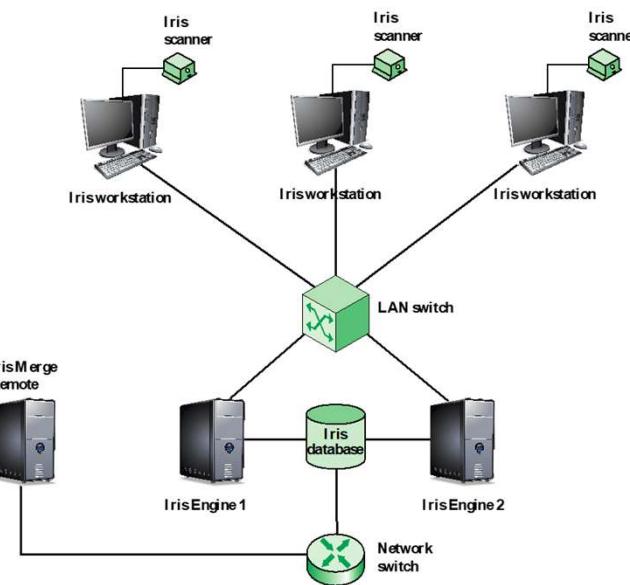
59

Some potential attacks,  
susceptible  
authenticators  
and typical  
defenses

Attacks	Authenticators	Examples	Typical Defenses
Client attack	Password	Guessing, exhaustive search	Large entropy; limited attempts
"	Token	Exhaustive search	Large entropy; limited attempts; theft of object requires presence
"	Biometric	False match	Large entropy; limited attempts
Host attack	Password	Plaintext theft, dictionary/exhaustive search	Hashing; large entropy; protection of password database
"	Token	Passcode theft	Same as password; 1-time passcode
"	Biometric	Template theft	Capture device authentication; challenge response
Eavesdropping, theft, and copying	Password	"Shoulder surfing"	User diligence to keep secret; administrator diligence to quickly revoke compromised passwords; multifactor authentication
"	Token	Theft, counterfeiting hardware	Multifactor authentication; tamper resistant/evident token
"	Biometric	Copying (spoofing) biometric	Copy detection at capture device and capture device authentication
Replay	Password	Replay stolen password response	Challenge-response protocol
"	Token	Replay stolen passcode response	Challenge-response protocol; 1-time passcode
"	Biometric	Replay stolen biometric template response	Copy detection at capture device and capture device authentication via challenge-response protocol
Trojan horse	Password, token, biometric	Installation of rogue client or capture device	Authentication of client or capture device within trusted security perimeter
Denial of service	Password, token, biometric	Lockout by multiple failed authentications	Multifactor with token

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## General iris scan site architecture for UAE system



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## Summary

- Digital user authentication principles
  - A model for digital user authentication
  - Means of authentication
  - Risk assessment for user authentication
- Password-based authentication
  - The vulnerability of passwords
  - The use of hashed passwords
  - Password cracking of user-chosen passwords
  - Password file access control
  - Password selection strategies
- Token-based authentication
  - Memory cards
  - Smart cards
  - Electronic identity cards
- Biometric authentication
  - Physical characteristics used in biometric applications
  - Operation of a biometric authentication system
  - Biometric accuracy
- Remote user authentication
  - Password protocol
  - Token protocol
  - Static biometric protocol
  - Dynamic biometric protocol
- Security issues for user authentication

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## Question

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THE SALT IN THE UNIX PASSWORD SCHEME INCREASES THE DIFFICULTY OF GUESSING BY A FACTOR OF 4096.

1. HOW MANY BITS IS THE SALT?
2. THE SALT IS STORED IN PLAINTEXT IN THE SAME ENTRY AS THE CORRESPONDING CIPHERTEXT PASSWORD. THEREFORE, IT IS KNOWN TO THE ATTACKER AND NEED NOT BE GUESSED. WHY IS IT ASSERTED THAT THE SALT INCREASES SECURITY?

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## Question

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STILL ABOUT SALT:  
WOULDN'T IT BE POSSIBLE TO THWART COMPLETELY ALL  
PASSWORD CRACKERS BY DRAMATICALLY INCREASING THE SALT  
SIZE TO, SAY, 24 OR 48 BITS?

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## Exercise 1

A system requests the users to choose passwords at least 8 and at most 10 characters long and that are chosen within an alphabet of 40 symbols. The system combines the passwords with a 10 bits salt to produce a hash code for each password that is stored, along with the salt, in the password file. The hash is 128 bits long.

Assume also that testing a password takes 0.1 milliseconds.

1. An adversary that got access to the password file performs a brute force attack to crack the password of a specific user. How long it will take in the worst case and on average?
2. Assume the system has 10,000 users, and the adversary is interested in breaking the password of one arbitrary user (any user would be OK to get in). How long it will take on average? How long it would take if no salt was used?

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## Solution 1.1

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 128 bits.
- Testing a password takes 0,1 milliseconds.
- 10,000 users in the system

1) Brute force attack to crack the password of a specific user.

How long it will take in the worst case and on average?

The number of different passwords are: \_\_\_\_\_

Each password is combined with a salt randomly chosen in \_\_\_\_\_ combinations

Thus the number of combinations to be generated is: \_\_\_\_\_

In the worst case it will take: \_\_\_\_\_

On average it will take: \_\_\_\_\_

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## Solution 1.1

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 128 bits.
- Testing a password takes 0,1 milliseconds.
- 10,000 users in the system

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## Solution 1.2

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 128 bits.
- Testing a password takes 0,1 milliseconds.
- 10,000 users in the system

2) breaking the password of an arbitrary user

How long it will take in the worst case and on average?

How long it would take if no salt was used?

The number of different passwords are : \_\_\_\_\_

Each password is combined with a salt randomly chosen in \_\_\_\_\_ combinations.

On average \_\_\_\_\_

Thus it will take on average: \_\_\_\_\_

If no salt was used it will take on average: \_\_\_\_\_

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## Solution 1.2

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 128 bits.
- Testing a password takes 0,1 milliseconds.
- 10,000 users in the system

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## Exercise 2

A system requests the users to choose passwords at least 8 and at most 10 characters long and that are chosen within an alphabet of 40 symbols. The system combines the passwords with a 10 bits salt to produce a hash code for each password that is stores, along with the salt, in the password file. The hash is **32 bits long**.

Assume also that testing a password takes 0.1 milliseconds.

An adversary that got access to the password file performs a brute force attack to crack the password of a specific user. How long it will take in the worst case and on average?

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## Solution 2

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 32 bits.
- Testing a password takes 0,1 milliseconds.

Brute force attack to crack the password of a specific user.

How long it will take on average?

The number of different passwords are: \_\_\_\_\_

Each password is combined with a salt randomly chosen in \_\_\_\_\_ combinations

The number of different hashes in which the password is encoded is: \_\_\_\_\_

On average, the number of combinations to be generated is: \_\_\_\_\_

On average it will take: \_\_\_\_\_

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## Solution 2

- Passwords from 8 to 10 chars
- Alphabet of 40 symbols.
- Salt of 10 bits and hash of 32 bits.
- Testing a password takes 0,1 milliseconds.

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