

Relational Data Model and ER/EER-to-Relational Mapping

Chapter 3

Contents

- 1 Relational Data Model
- 2 Main Phases of Database Design
- 3 ER-/EER-to-Relational Mapping

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Relational Data Model

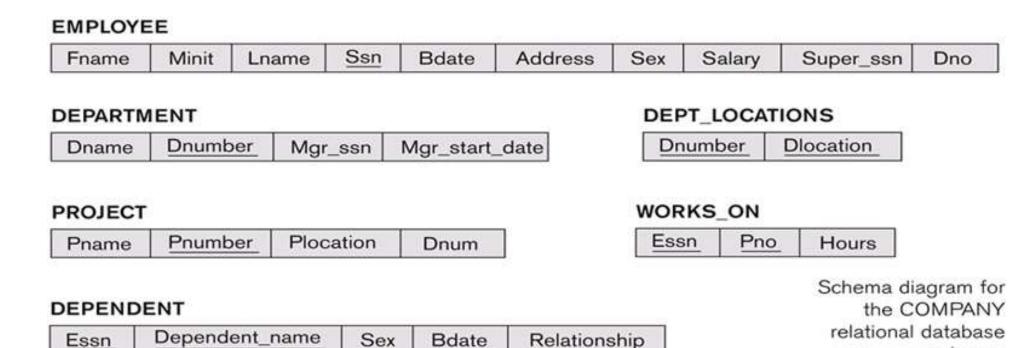
- Basic Concepts: relational data model, relation schema, domain, tuple, cardinality & degree, database schema, etc.
- Relational Integrity Constraints
 - key, primary key & foreign key
 - entity integrity constraint
 - referential integrity
- Update Operations on Relations

- ▶ The relational model of data is based on the concept of a relation
- A relation is a mathematical concept based on the ideas of sets
- ▶ The model was first proposed by Dr. E.F. Codd of IBM in 1970 in the following paper:
 - "A Relational Model for Large Shared Data Banks," Communications of the ACM, June 1970

- Relational data model: represents a database in the form of relations - 2-dimensional table with rows and columns of data. A database may contain one or more such tables. A relation schema is used to describe a relation
- ▶ **Relation schema:** R(A1, A2,..., An) is made up of a relation name R and a list of **attributes** A1, A2,..., An. Each attribute Ai is the name of a role played by some domain D in the relation schema R. R is called the **name** of this relation

- ▶ The degree of a relation is the number of attributes n of its relation schema.
- ▶ Domain D: D is called the domain of Ai and is denoted by dom(Ai). It is a set of atomic values and a set of integrity constraints
 - STUDENT(Name, SSN, HomePhone, Address, OfficePhone, Age, GPA)
 - Degree = ??
 - dom(GPA) = ??

- ▶ **Tuple**: row/record in table
- ▶ Cardinality: number of tuples in a table
- **▶ Database schema** S = {R1, R2,..., Rm}

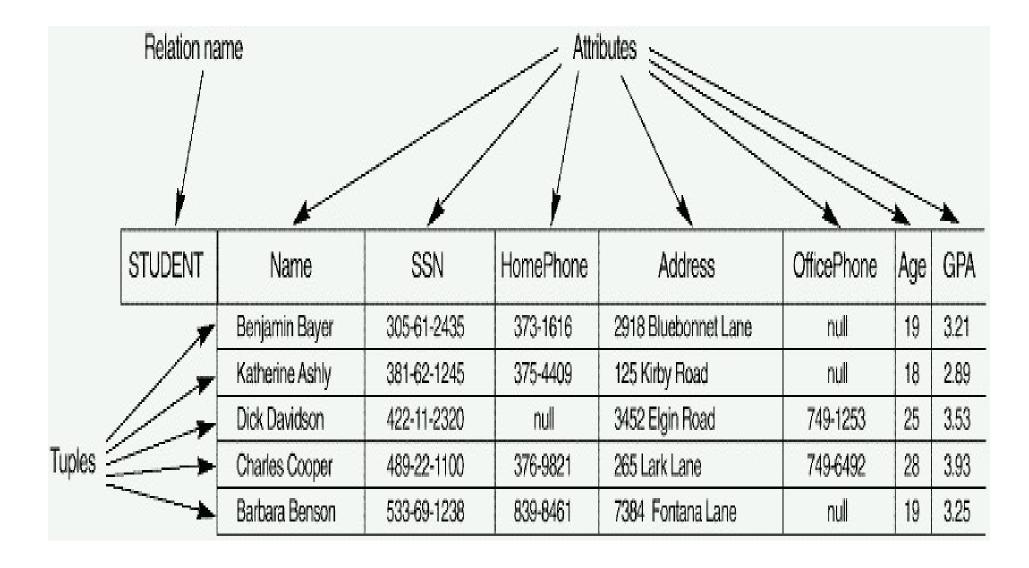


schema

- ▶ A relation r (or relation state, relation instance) of the relation schema R(A1, A2, ..., An), also denoted by r(R), is a set of **n-tuples** $r = \{t1, t2, ..., tm\}$.
 - ▶ Each n-tuple t is an ordered list of n values t = <v1, v2, . . ., vn>, where each value vi, i=1..n, is an element of dom(Ai) or is a special **null** value. The ith value in tuple t, which corresponds to the attribute Ai, is referred to as t[Ai]

Relational data model
Database schema
Relation schema
Relation
Tuple
Attribute

- A relation can be conveniently represented by a table, as the example shows
- The columns of the tabular relation represent attributes
- Each attribute has a distinct name, and is always referenced by that name, never by its position
- Each row of the table represents a tuple. The ordering of the tuples is immaterial and all tuples must be distinct



Alternative Terminology for Relational Model

Formal Terms	Informal Terms				
Relation	Table				
Attribute	Column Header				
Domain	All possible Column Values				
Tuple	Row				
Schema of a Relation	Table Definition				
State of the Relation	Populated Table				

Relational Integrity Constraints

- Constraints are conditions that must hold on all valid relation instances. There are three main types of constraints:
 - Key constraints
 - Entity integrity constraints
 - Referential integrity constraints
- But ...

Relational Integrity Constraints

Null value

- Represents value for an attribute that is currently unknown or inapplicable for tuple
- Deals with incomplete or exceptional data
- Represents the absence of a value and is not the same as zero or spaces, which are values

Relational Integrity Constraints - Key Constraints

- ▶ **Superkey** of R: A set of attributes SK of R such that no two tuples in any valid relation instance r(R) will have the same value for SK. That is, for any distinct tuples t1 and t2 in r(R), t1[SK] \neq t2[SK]
- ▶ **Key** of R: A "minimal" superkey; that is, a superkey K such that removal of any attribute from K results in a set of attributes that is not a superkey

Relational Integrity Constraints - Key Constraints

Example: The CAR relation schema:

CAR(State, Reg#, SerialNo, Make, Model, Year) has two keys

Key1 = {State, Reg#}

Key2 = {SerialNo}, which are also superkeys. {SerialNo, Make}
is a superkey but not a key

- If a relation has several **candidate** keys, one is chosen arbitrarily to be the **primary** key. The primary key attributes are **underlined**.
- Secondary key

Relational Integrity Constraints -Key Constraints

▶ The CAR relation, with two candidate keys: License_Number and Engine_Serial_Number

CAR

<u>License_number</u>	Engine_serial_number	Make	Model	Year
Texas ABC-739	A69352	Ford	Mustang	02
Florida TVP-347	B43696	Oldsmobile	Cutlass	05
New York MPO-22	X83554	Oldsmobile	Delta	01
California 432-TFY	C43742	Mercedes	190-D	99
California RSK-629	Y82935	Toyota	Camry	04
Texas RSK-629	U028365	Jaguar	XJS	04

Relational Integrity Constraints - Entity Integrity

- ▶ **Relational Database Schema**: A set S of relation schemas that belong to the same database. S is the name of the database: $S = \{R_1, R_2, ..., R_n\}$
- Entity Integrity: primary key attributes PK of each relation schema R in S cannot have null values in any tuple of r(R) because primary key values are used to identify the individual tuples: t[PK] ≠ null for any tuple t in r(R)
 - Note: Other attributes of R may be similarly constrained to disallow null values, even though they are not members of the primary key

Relational Integrity Constraints - Referential Integrity

- A constraint involving two relations (the previous constraints involve a single relation)
- Used to specify a relationship among tuples in two relations: the referencing relation and the referenced relation
- ▶ Tuples in the referencing relation R₁ have attributes FK (called foreign key attributes) that reference the primary key attributes PK of the referenced relation R₂. A tuple t₁ in R1 is said to reference a tuple t₂ in R₂ if t₁[FK] = t₂[PK]
- ▶ A referential integrity constraint can be displayed in a relational database schema as a directed arc from R₁.FK to R₂

Relational Integrity Constraints - Referential Integrity

DEPARTMENT			
DNAME	DNUMBER	MGRSSN	MGRSTARTDATE
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1_	888665555	1981-06-19

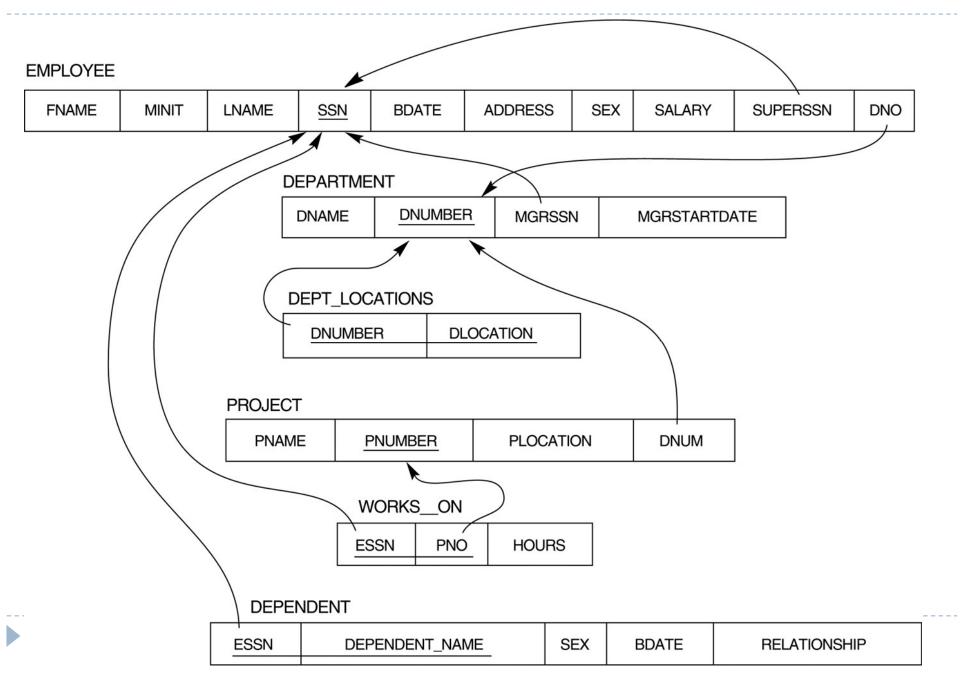
EMPLOYEE

FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY	SUPERSSN	DNO
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	٧	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	E	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	null	1

Relational Integrity Constraints - Referential Integrity

- The value in the foreign key column (or columns) FK of the referencing relation R_1 can be either:
 - (1) a value of an existing primary key value of the corresponding primary key PK in the referenced relation R₂, or
 - ▶ (2) a NULL
- ▶ In case (2), the FK in R₁ should not be a part of its own primary key

Referential integrity constraints displayed on the COMPANY relational database schema



Relational Integrity Constraints - Other Types of Constraints

Semantic Integrity Constraints:

- based on application semantics and cannot be expressed by the model per se
- E.g., "the max. no. of hours per employee for all projects he or she works on is 56 hrs per week"
- A constraint specification language may have to be used to express these
- SQL-99 allows triggers and ASSERTIONS to allow for some of these
- State/static constraints (so far)
- Transition/dynamic constraints: e.g., "the salary of an employee can only increase"

- ▶ INSERT a tuple
- DELETE a tuple
- MODIFY a tuple

Integrity constraints should not be violated by the update operations

- ▶ **Insertion**: to insert a new tuple t into a relation R. When inserting a new tuple, it should make sure that the database constraints are not violated:
 - The value of an attribute should be of the correct data type (i.e. from the appropriate domain).
 - The value of a prime attribute (i.e. the key attribute) must not be null
 - The key value(s) must not be the same as that of an existing tuple in the same relation
 - The value of a foreign key (if any) must refer to an existing tuple in the corresponding relation
- Options if the constraints are violated: ??? !!

- Deletion: to remove an existing tuple t from a relation R. When deleting a tuple, the following constraints must not be violated:
 - The tuple must already exist in the database
 - The referential integrity constraint is not violated
- ▶ **Modification**: to change values of some attributes of an existing tuple t in a relation R

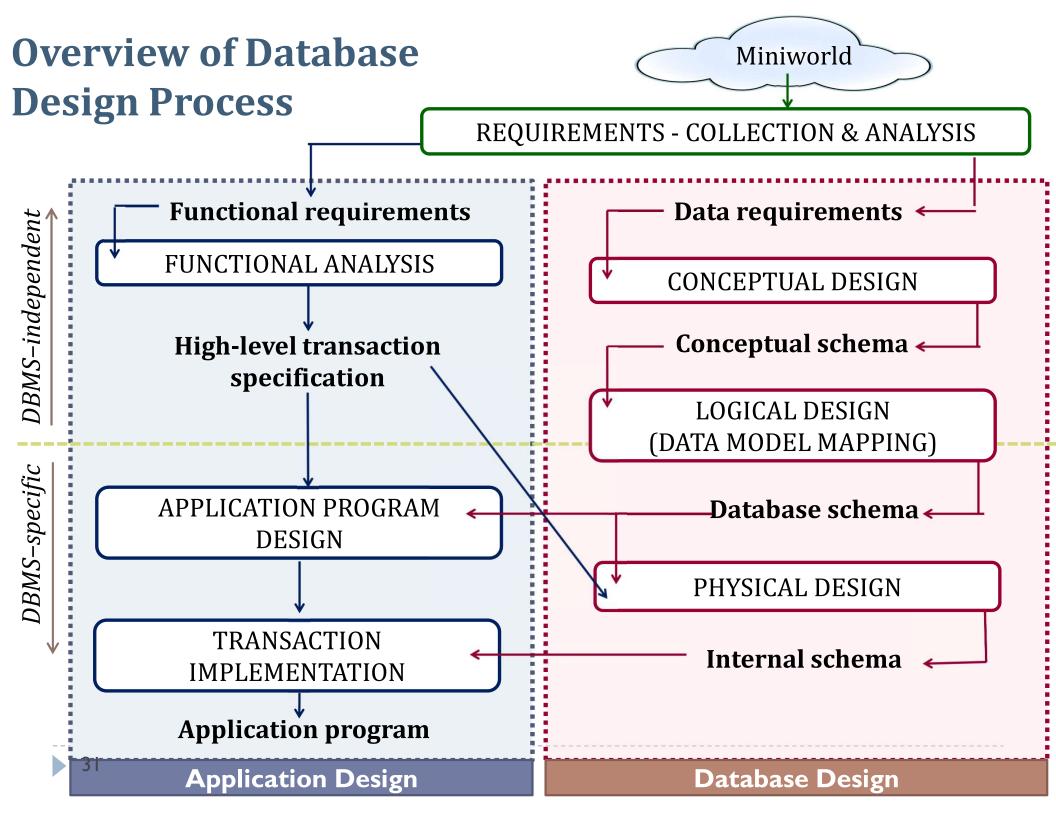
- In case of integrity violation, several actions can be taken:
 - Cancel the operation that causes the violation (REJECT option)
 - Perform the operation but inform the user of the violation
 - Trigger additional updates so the violation is corrected (CASCADE option, SET NULL option)
 - Execute a user-specified error-correction routine

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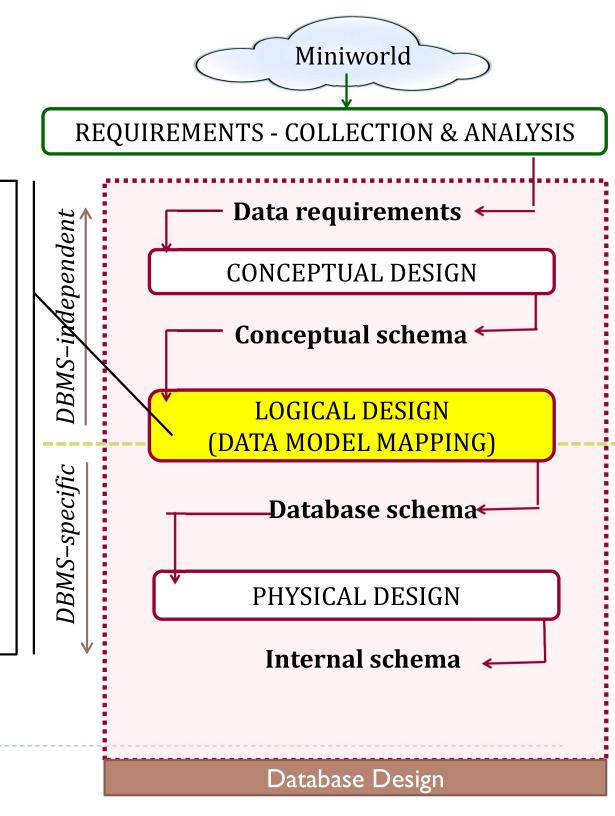
Main Phases of Database Design

- Three main phases
 - Conceptual database design
 - Logical database design
 - Physical database design



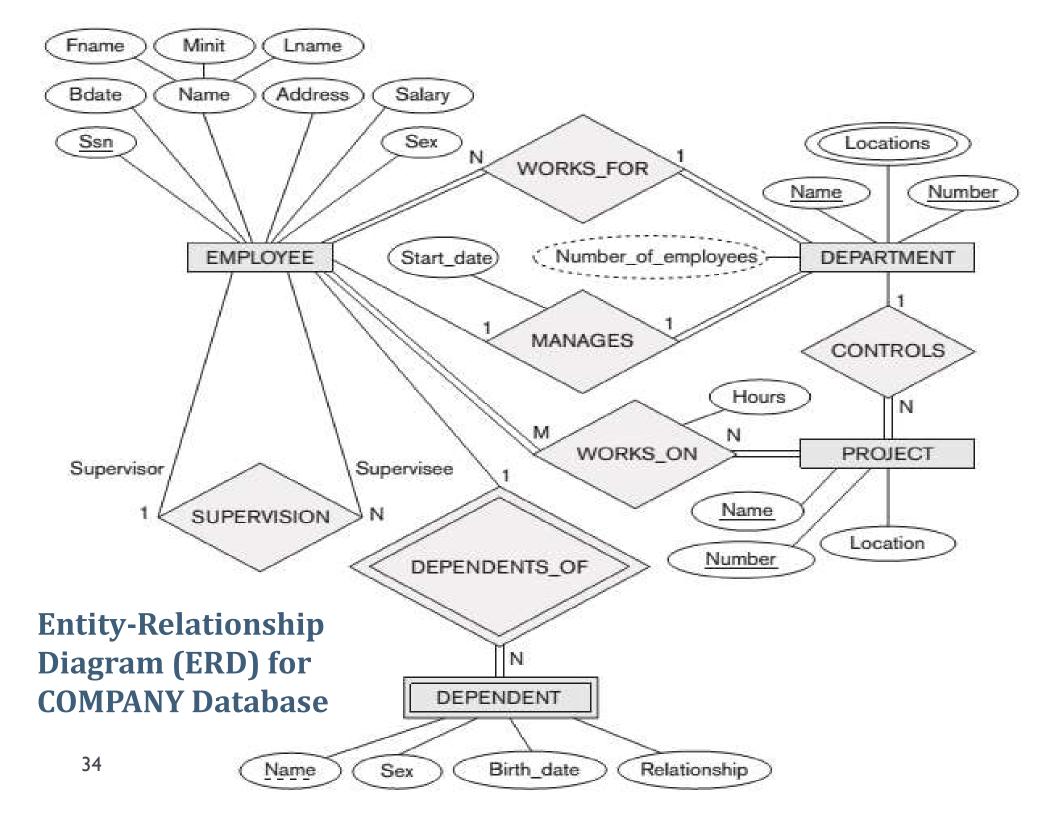
Overview of Database Design Process

- Create a database schema in implementation data model of a commercial DBMS
- Data model mapping
 is often automated or
 semi-automated within
 the database design
 tool.

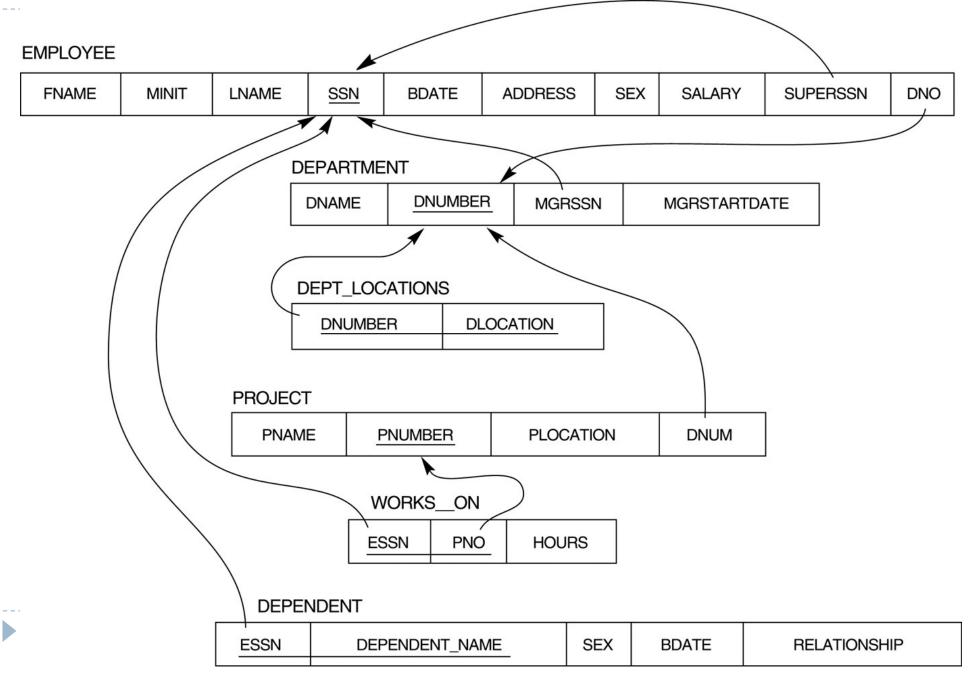


Main Phases of Database Design

- Logical database design
 - The process of constructing a model of the data used in an enterprise based on a specific data model (e.g. relational), but independent of a particular DBMS and other physical considerations
 - ER- & EER-to-Relational Mapping
 - Normalization



Result of mapping the ERD into a relational schema



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ER- & EER-to-Relational Mapping

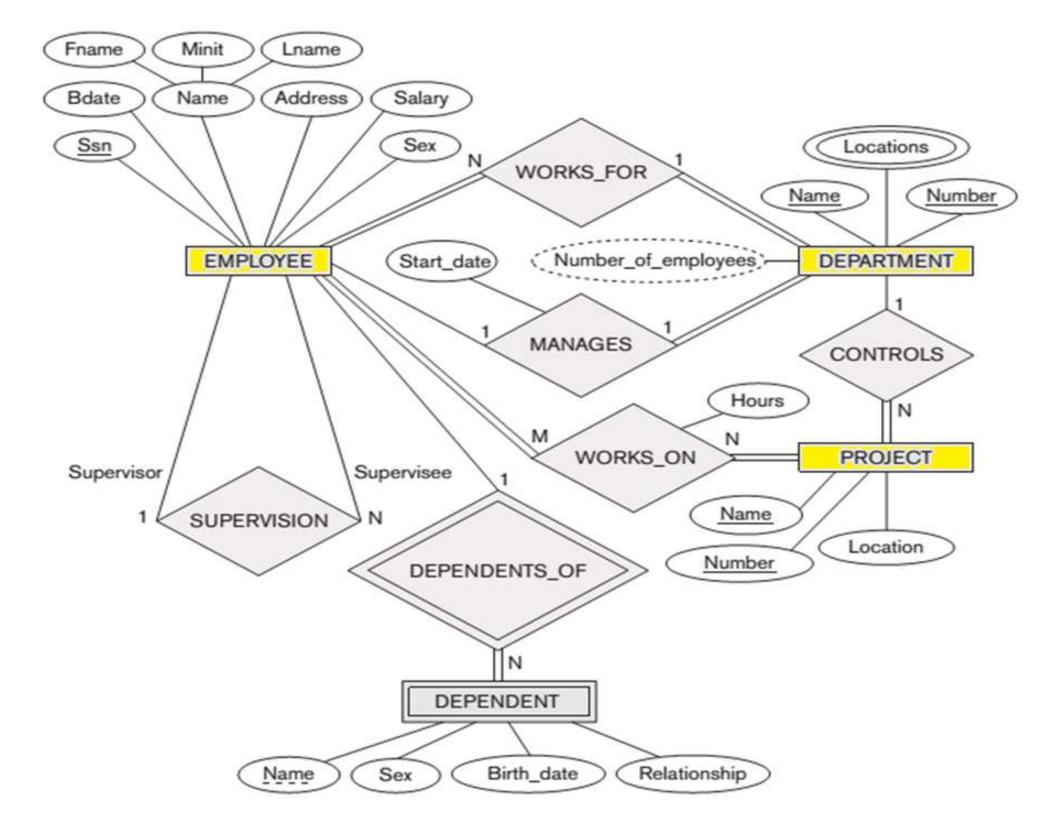
▶ ER-

- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relationship Types
- Step 4: Mapping of Binary 1:N Relationship Types
- Step 5: Mapping of Binary M:N Relationship Types
- Step 6: Mapping of Multivalued attributes
- Step 7: Mapping of N-ary Relationship Types

▶ EER-

- Step 8: Options for Mapping Specialization or Generalization.
- Step 9: Mapping of Union Types (Categories)

- Step 1: Mapping of Regular (strong) Entity Types
 - Entity --> Relation
 - Attribute of entity --> Attribute of relation
 - Primary key of entity --> Primary key of relation
 - ▶ Example: We create the relations EMPLOYEE, DEPARTMENT, and PROJECT in the relational schema corresponding to the regular entities in the ER diagram. SSN, DNUMBER, and PNUMBER are the primary keys for the relations EMPLOYEE, DEPARTMENT, and PROJECT as shown



Step 1: Mapping of Regular (strong) Entity Types

EMPLOYEE

	FNAME	MINIT	LNAME	SSN	BDATE	ADDRESS	SEX	SALARY
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DEPARTMENT

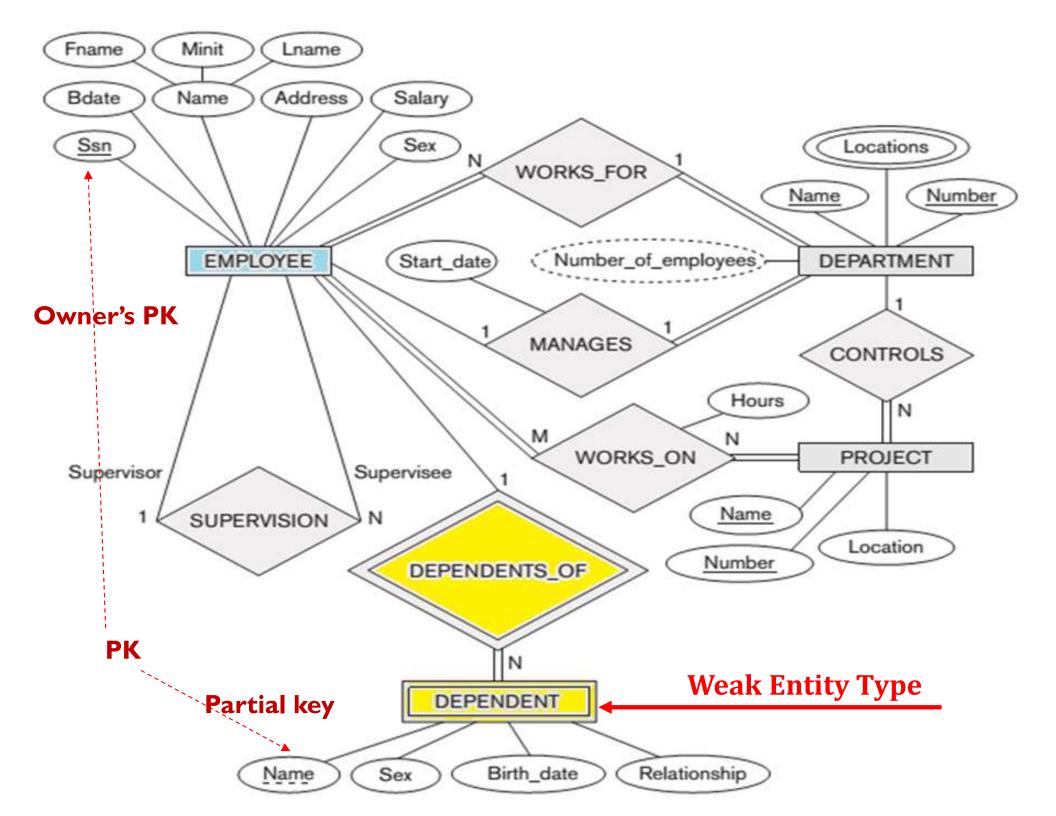
DNAME	DNUMBER

PROJECT

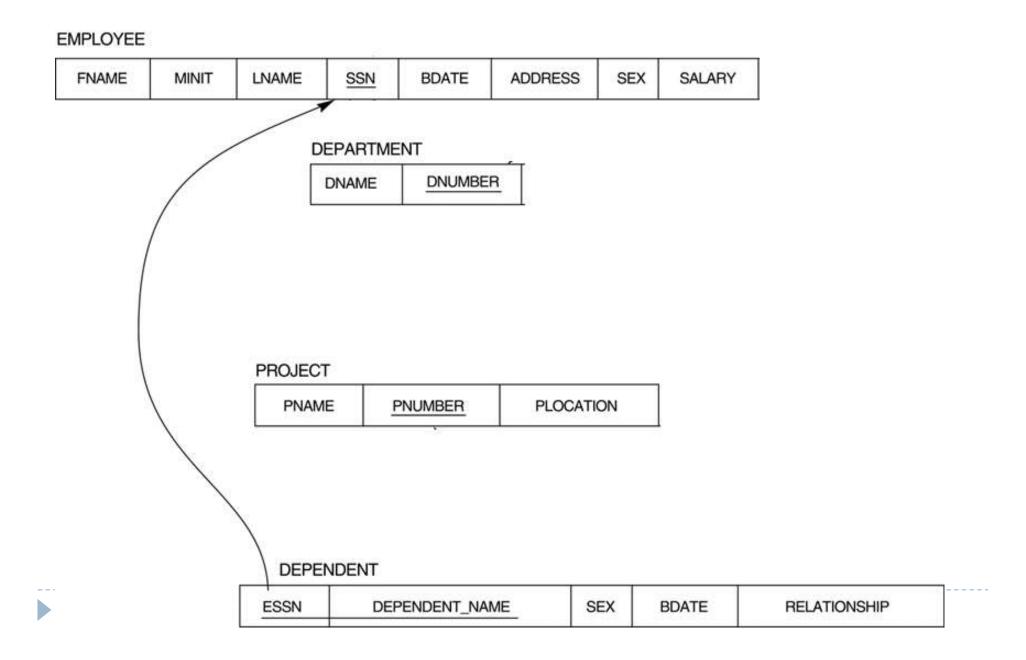
PNAME	PNUMBER	PLOCATION	
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Step 2: Mapping of Weak Entity Types

- For each weak entity type W in the ER schema with owner entity type E, create a relation R and include all simple attributes (or simple components of composite attributes) of W as attributes of R
- In addition, include as foreign key attributes of R the primary key attribute(s) of the relation(s) that correspond to the owner entity type(s)
- The primary key of R is the *combination of* the primary key(s) of the owner(s) and the partial key of the weak entity type W, if any
- **Example:** Create the relation DEPENDENT in this step to correspond to the weak entity type DEPENDENT. Include the primary key SSN of the EMPLOYEE relation as a foreign key attribute of DEPENDENT (renamed to ESSN)
 - The primary key of the DEPENDENT relation is the combination {ESSN, DEPENDENT_NAME} because DEPENDENT_NAME is the partial key of DEPENDENT
- Note: CASCADE option as implemented



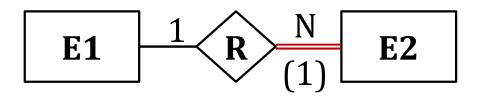
Step 2: Mapping of Weak Entity Types



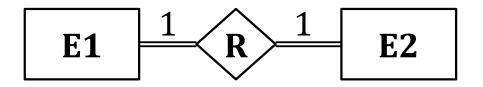
- ER-

 - Step 3: Mapping of Binary 1:1 Relationship Types
 - Step 4: Mapping of Binary 1:N Relationship Types
 - Step 5: Mapping of Binary M:N Relationship Types
 - Step 6: Mapping of Multivalued attributes
 - Step 7: Mapping of N-ary Relationship Types
- Transformation of binary relationships depends on functionality of relationship and membership class of participating entity types

- Mandatory membership class
 - For two entity types E1 and E2: If E2 is a mandatory member of an N:1 (or 1:1) relationship with E1, then the relation for E2 will include the prime attributes of E1 as a foreign key to represent the relationship
 - ▶ 1:1 relationship: If the membership class for E1 and E2 are both mandatory, a foreign key can be used in either relation
 - N:1 relationship: If the membership class of E2, which is at the N-side of the relationship, is *optional* (i.e. partial), then the above guideline is not applicable



E1(<u>K1</u>) E2(<u>K2</u>, K1)



E1(<u>K1</u>)

E2(<u>K2</u>, K1)

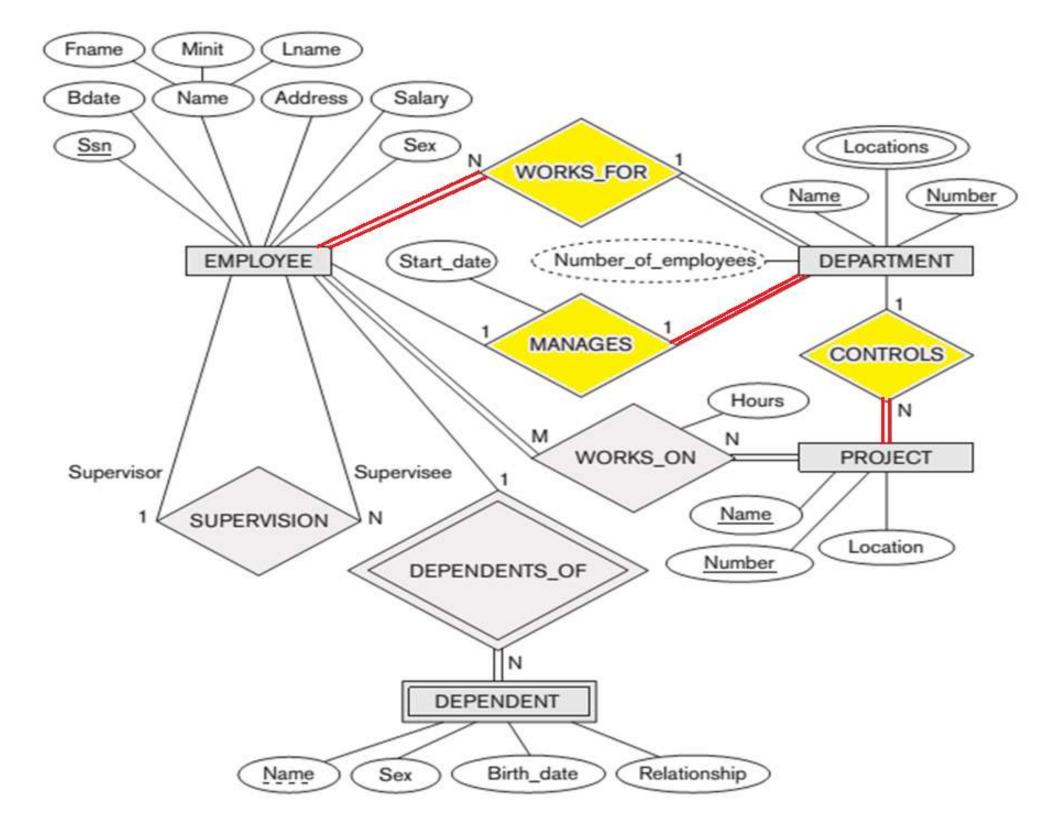
E1(<u>K1,</u> K2)

E2(<u>K2</u>)

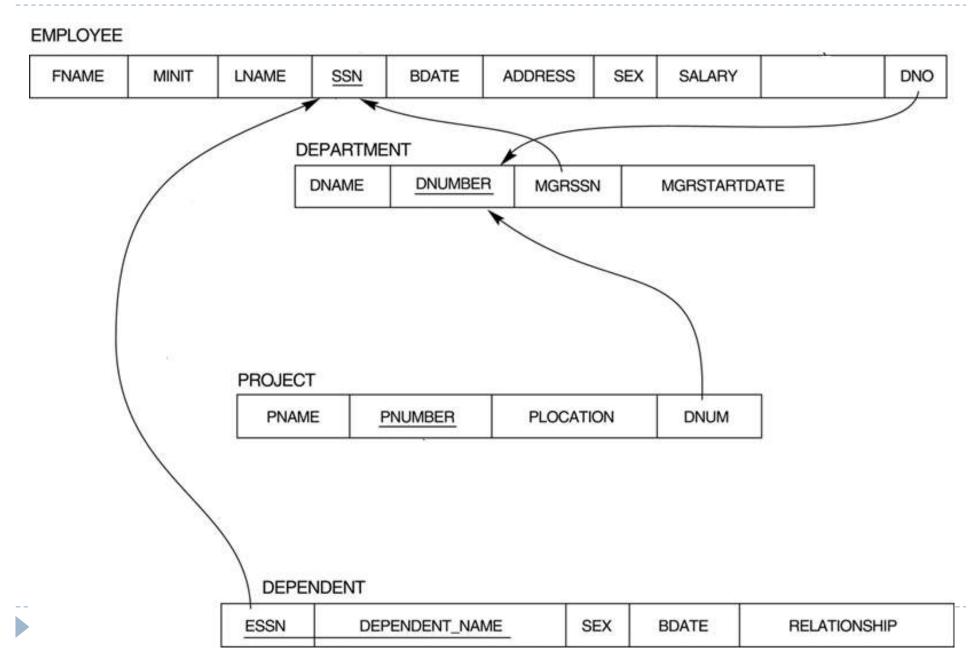


Assume every module must be offered by a department, then the entity type MODULE is a mandatory member of the relationship OFFER. The relation for MODULE is:

MODULE(MDL-NUMBER, TITLE, TERM, ..., DNAME)



Step 3-4: Mapping of Relationship Types (Mandatory)



- Optional membership classes
 - If entity type E2 is an optional member of the N:1 relationship with entity type E1 (i.e. E2 is at the N-side of the relationship), then the relationship is **usually** represented by a new relation containing the prime attributes of E1 and E2, together with any attributes of the relationship. The key of the entity type at the N-side (i.e. E2) will become the key of the new relation
 - If both entity types in a 1:1 relationship have the optional membership, a new relation is created which contains the prime attributes of both entity types, together with any attributes of the relationship. The prime attribute(s) of either entity type will be the key of the new relation



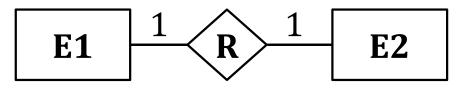
E1 (<u>K1</u>)

E2 (<u>K2</u>, K1)

E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (K2, K1)



E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (<u>K1</u>,K2)

E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (K1,<u>K2</u>)

E1 (<u>K1</u>)

E2 (<u>K2</u>, K1)

E1 (K1, K2)

E2 (<u>K2</u>)

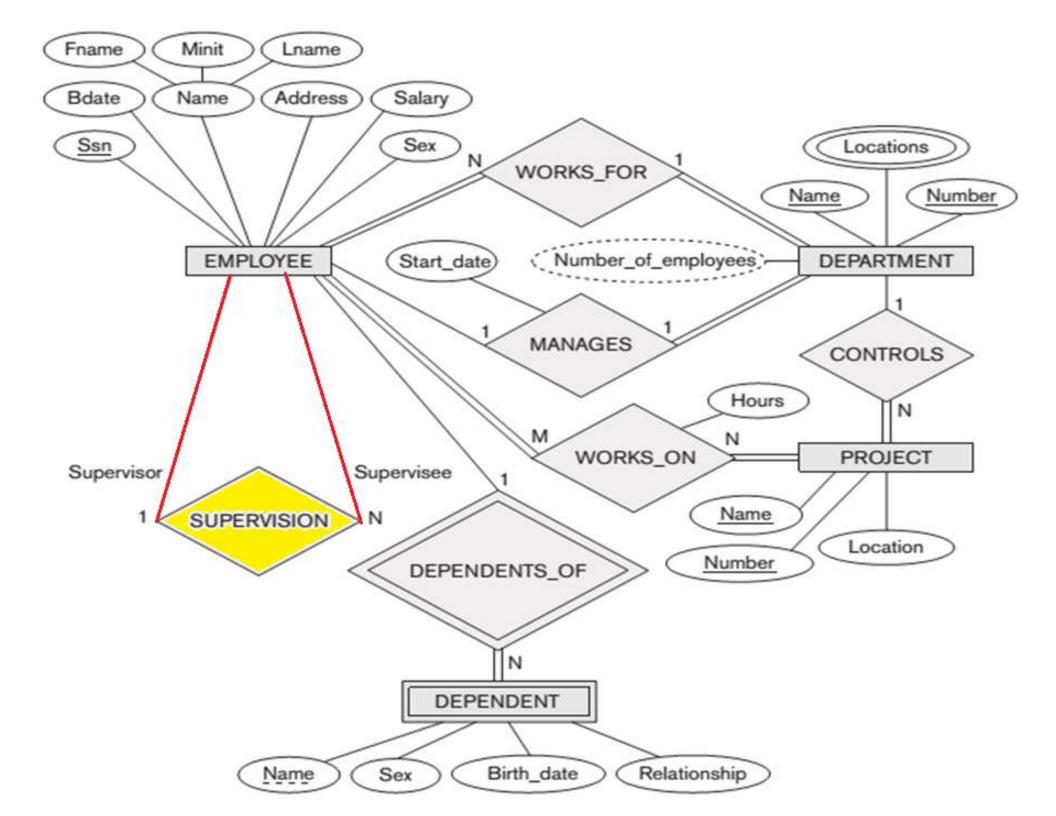


One possible representation of the relationship:

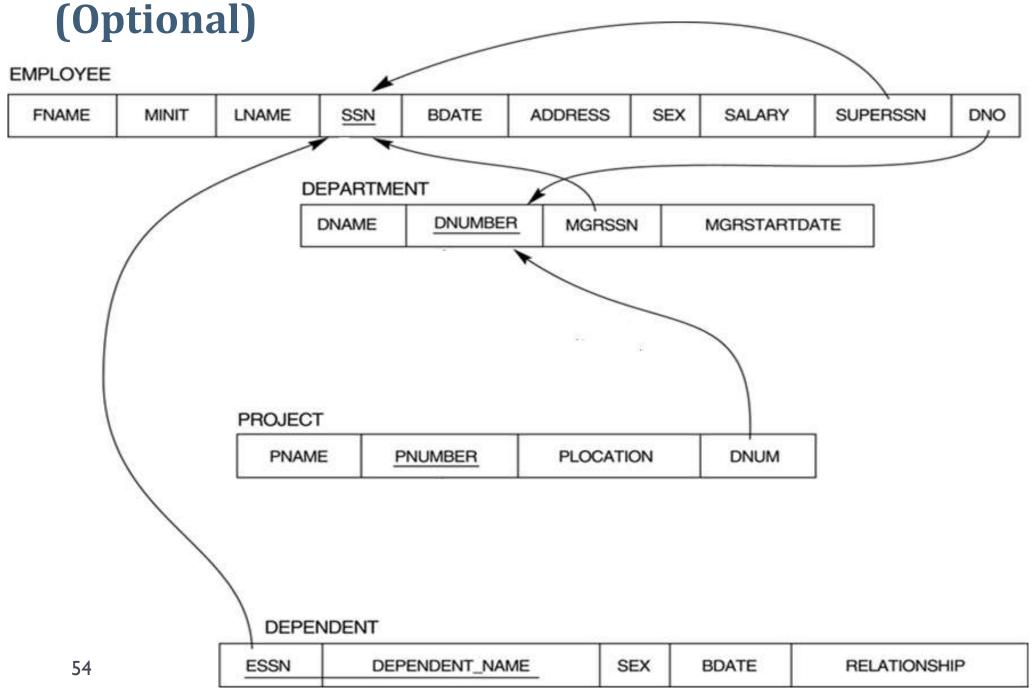
BORROWER(<u>BNUMBER</u>, NAME, ADDRESS, ...) BOOK(<u>ISBN</u>, TITLE, ..., **BNUMBER**)

A better alternative:

BORROWER(<u>BNUMBER</u>, NAME, ADDRESS, ...) BOOK(<u>ISBN</u>, TITLE, ...) ON_LOAN(<u>ISBN</u>, BNUMBER)

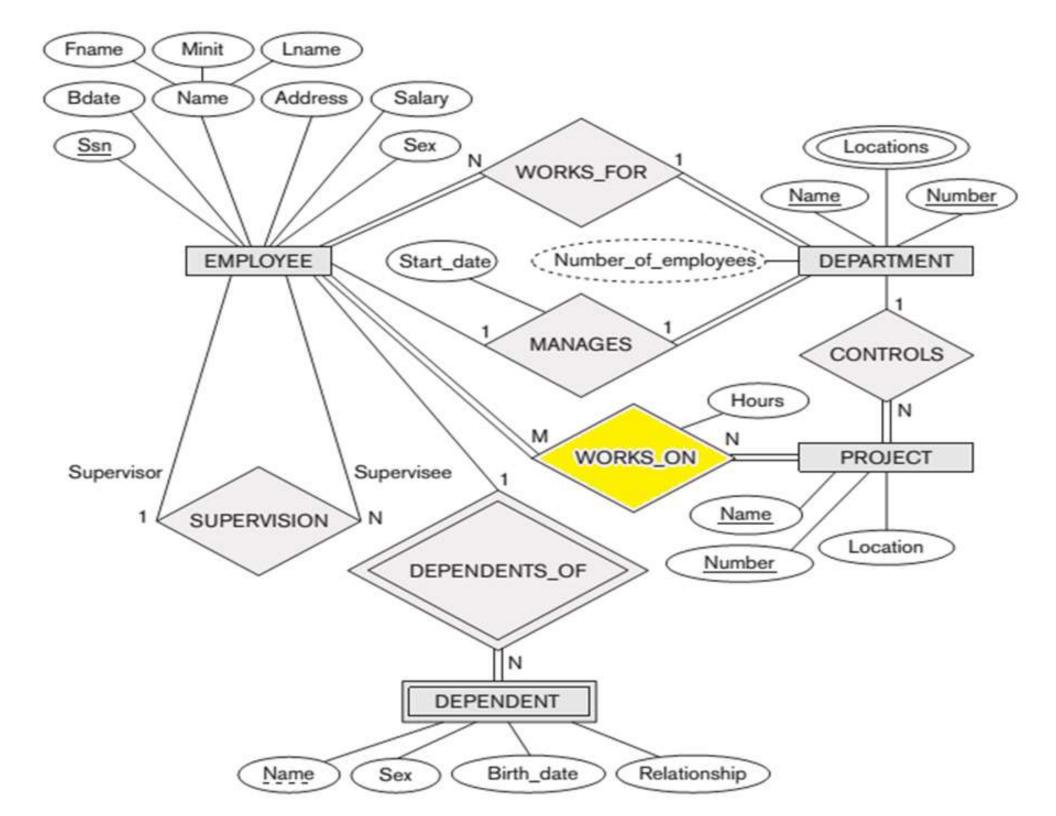


Step 3-4: Mapping of Relationship Types
(Optional)

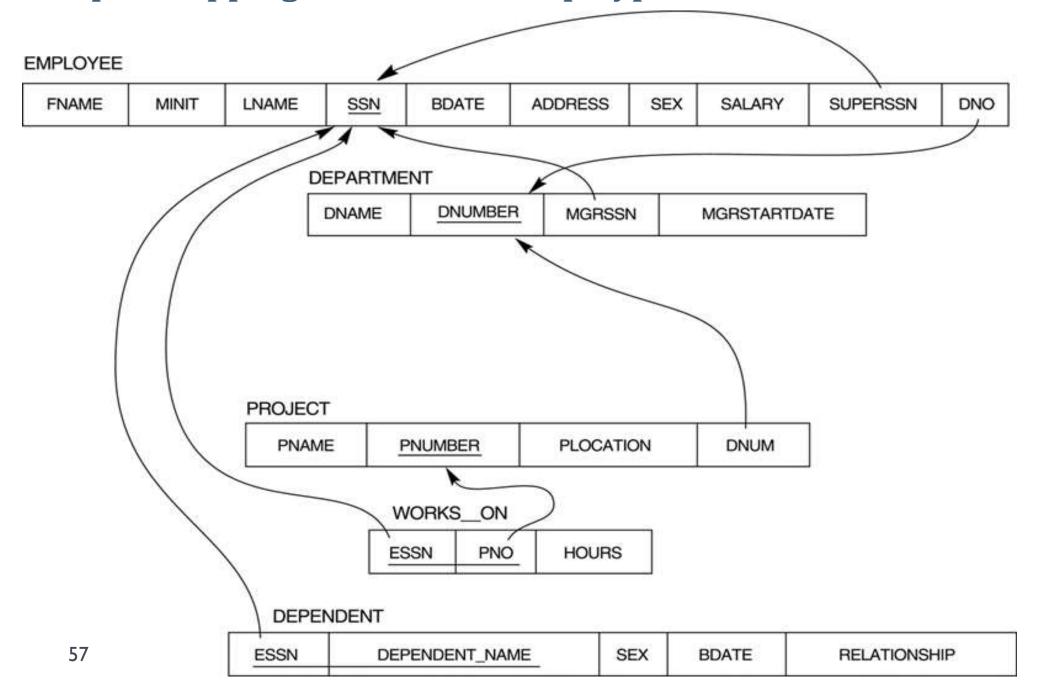


- N:M binary relationships:
 - An N:M relationship is always represented by a new relation which consists of the prime attributes of both participating entity types together with any attributes of the relationship
 - The combination of the prime attributes will form the primary key of the new relation
- ▶ **Example:** ENROL is an M:N relationship between STUDENT and MODULE. To represent the relationship, we have a new relation:

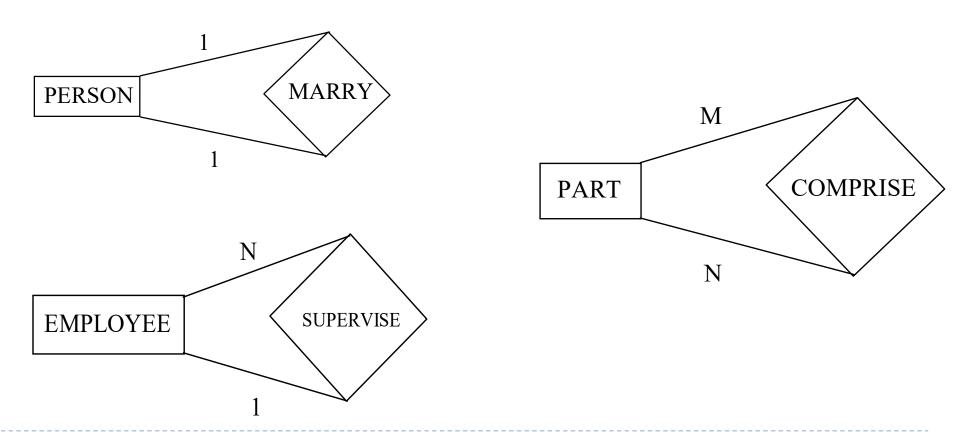
ENROL(SNUMBER, MDL-NUMBER, DATE)



Step 5: Mapping M:N Relationship Types



- Transformation of recursive/involuted relationships
 - Relationship among different instances of the same entity
 - The name(s) of the prime attribute(s) needs to be changed to reflect the role each entity plays in the relationship



Example 1: 1:1 involuted relationship, in which the memberships for both entities are optional

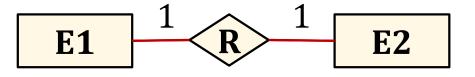
PERSON(<u>ID</u>, NAME, ADDRESS, ...)

MARRY(<u>HUSBAND-ID</u>, WIFE_ID, DATE_OF_MARRIAGE)

- **Example 2:** 1:M involuted relationship
 - ► If the relationship is mandatory or almost mandatory: EMPLOYEE(<u>ID</u>, ENAME, ..., **SUPERVISOR_ID**)
 - If the relationship is optional: EMPLOYEE(<u>ID</u>, ENAME, ...) SUPERVISE(<u>ID</u>, START_DATE, ..., **SUPERVISOR_ID**)
- **Example 3:** N:M involuted relationship

PART(<u>PNUMBER</u>, DESCRIPTION, ...)
COMPRISE(<u>MAJOR-PNUMBER</u>, MINOR-PNUMBER, QUANTITY)

ER-to-Relational Mapping - Step 3: 1:1



E1 (<u>K1</u>)

E2 (<u>K2</u>, K1)

E1 (<u>K1</u>, K2)

E2 (<u>K2</u>)

E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (<u>K1</u>,K2)

E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (K1,<u>K2</u>)



E1 (<u>K1</u>)

E2 (<u>K2</u>, K1)

E1 (<u>K1</u>)

E2 (K2, K1)

E1 (<u>K1</u>, K2)

E2 (<u>K2</u>)

ER-to-Relational Mapping - Step 4: 1:N



E1 (<u>K1</u>)

E2 (<u>K2</u>, K1)

E1 (<u>K1</u>)

E2 (<u>K2</u>)

R (K1,<u>K2</u>)

$$\begin{array}{c|c} \mathbf{E1} & \mathbf{1} & \mathbf{R} & \mathbf{K} & \mathbf{E2} \\ \hline \end{array}$$

E1 (<u>K1</u>)

E2 (K2, K1)

ER-to-Relational Mapping - Step 5: M:N



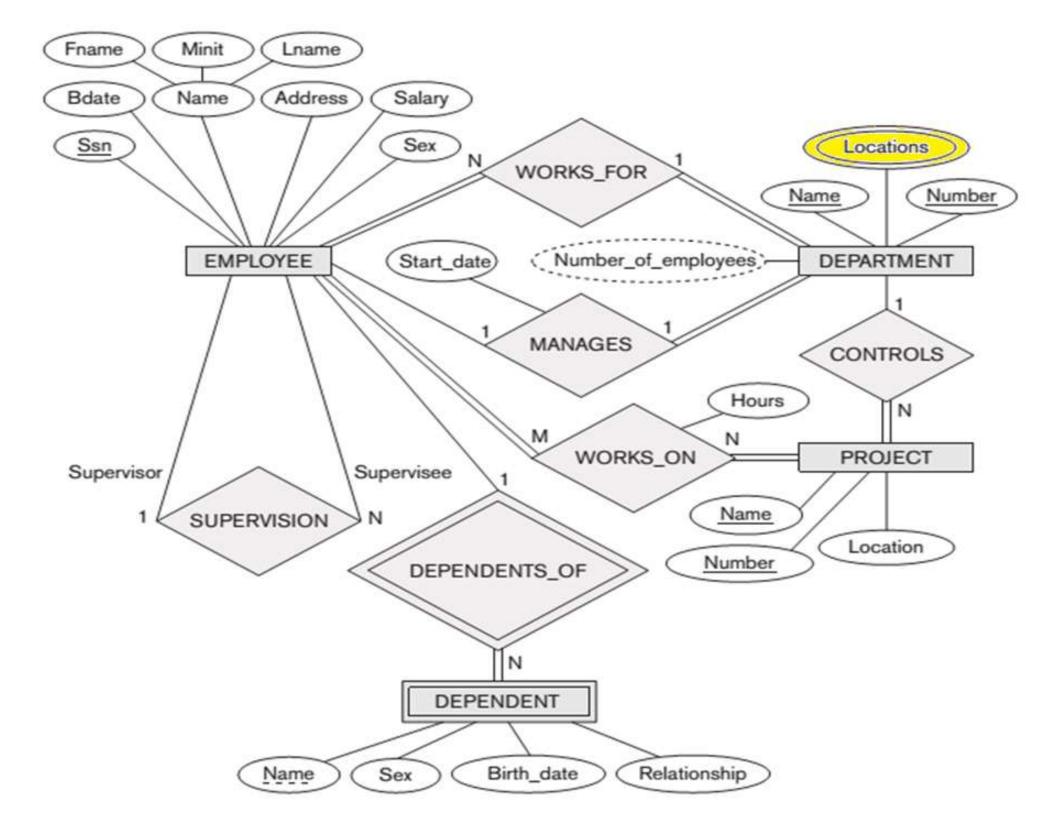
```
E1 (<u>K1</u>)
E2 (<u>K2</u>)
R (<u>K1, K2</u>)
```

- ER-

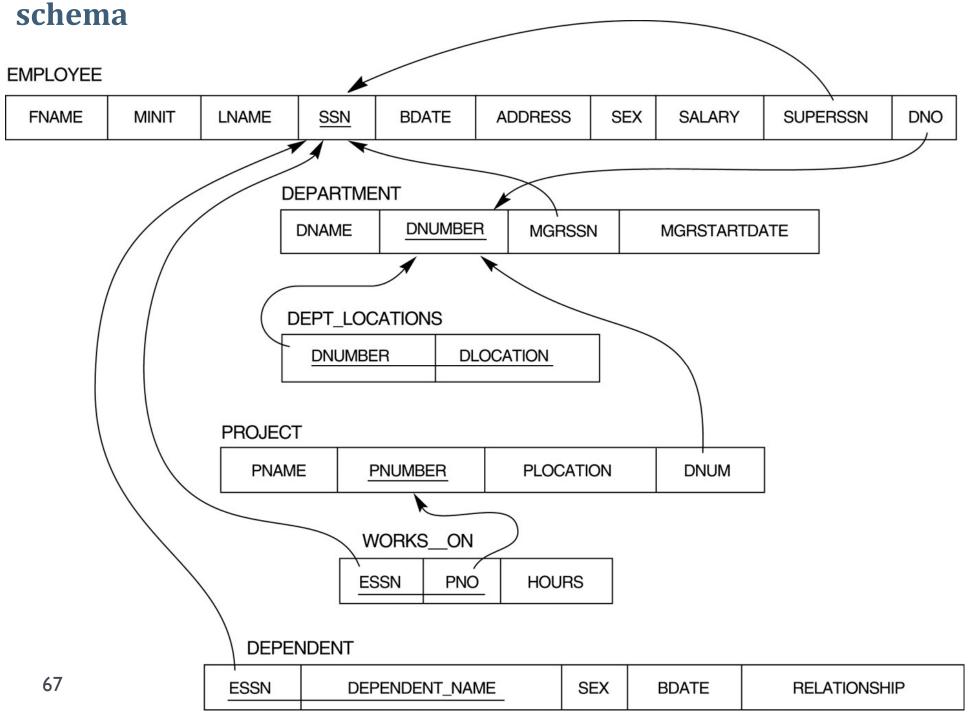
 - Step 6: Mapping of Multivalued attributes
 - Step 7: Mapping of N-ary Relationship Types

- Step 6: Mapping of Multivalued attributes
 - For each multivalued attribute A, create a new relation R. This relation R will include an attribute corresponding to A, plus the primary key attribute K-as a foreign key in R-of the relation that represents the entity type or relationship type that has A as an attribute
 - ▶ The primary key of R is the combination of A and K. If the multivalued attribute is composite, we include its simple components

Example: The relation DEPT_LOCATIONS is created. The attribute DLOCATION represents the multivalued attribute LOCATIONS of DEPARTMENT, while DNUMBER-as foreign key-represents the primary key of the DEPARTMENT relation. The primary key of R is the combination of {DNUMBER, DLOCATION}



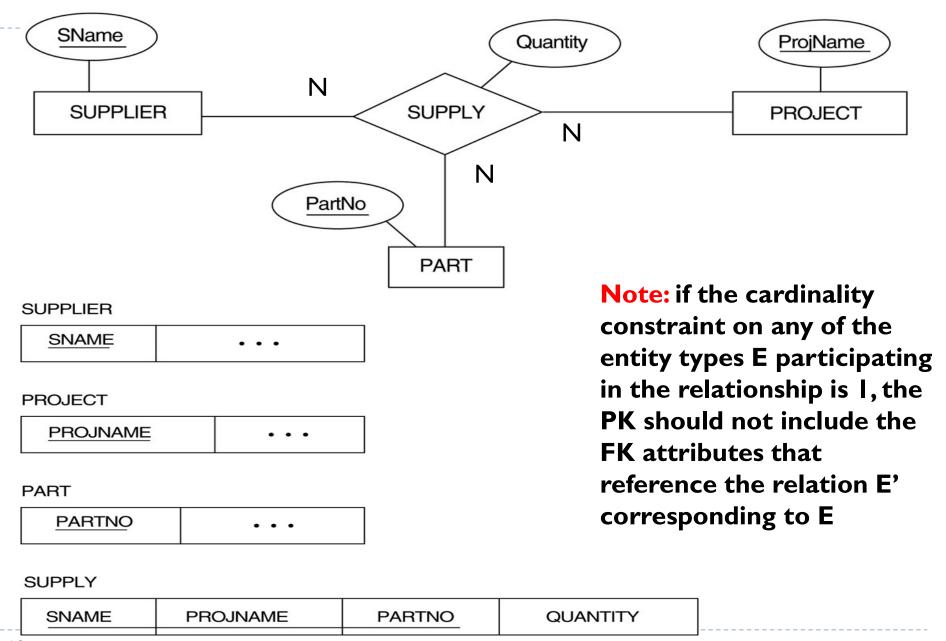
Result of mapping the COMPANY ER schema into a relational



- Step 7: Mapping of N-ary Relationship Types
 - For each n-ary relationship type R, where n>2, create a new relationship S to represent R
 - Include as foreign key attributes in S the primary keys of the relations that represent the participating entity types
 - Also include any simple attributes of the n-ary relationship type (or simple components of composite attributes) as attributes of S

Example: The relationship type SUPPY in the ER below. This can be mapped to the relation SUPPLY shown in the relational schema, whose primary key is the combination of the three foreign keys {SNAME, PARTNO, PROJNAME}

Ternary relationship types: The SUPPLY relationship



Correspondence between ER and Relational Models

ER Model

Entity type

1:1 or 1:N relationship type

M:N relationship type

n-ary relationship type

Simple attribute

Composite attribute

Multivalued attribute

Value set

Key attribute

Relational Model

"Entity" relation

Foreign key (or "relationship" relation)

"Relationship" relation & 2 foreign keys

"Relationship" relation & n foreign keys

Attribute

Set of simple component attributes

Relation and foreign key

Domain

Primary (or secondary) key

ER- & EER-to-Relational Mapping

- ▶ ER-
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- ▶ EER-
 - Step 8: Options for Mapping Specialization or Generalization.
 - Step 9: Mapping of Union Types (Categories)

Step8: Options for Mapping Specialization or Generalization.

Convert each specialization with m subclasses {S1, S2,...,Sm} and generalized superclass C, where the attributes of C are {k,a1,...an} and k is the (primary) key, into relational schemas using one of the four following options:

- Option 8A: Multiple relations-Superclass and subclasses
- Option 8B: Multiple relations-Subclass relations only
- Option 8C: Single relation with one type attribute
- Option 8D: Single relation with multiple type attributes

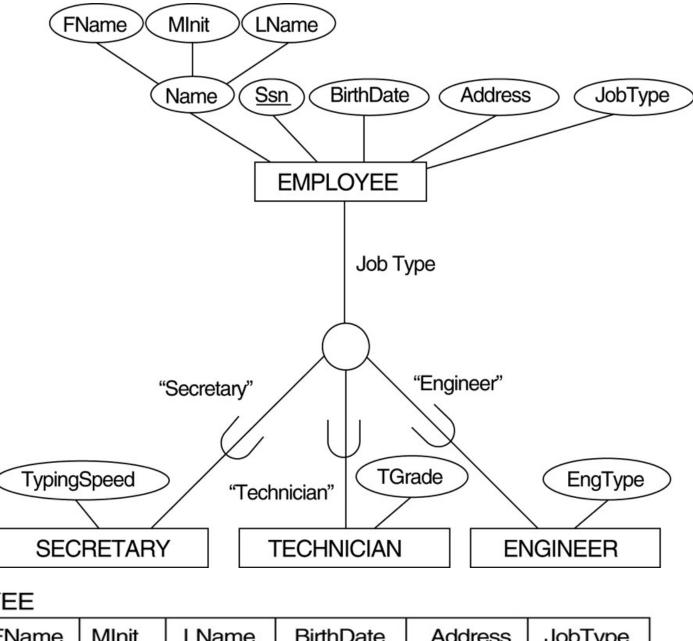
Option 8A: Multiple relations-Superclass and subclasses

Create a relation L for C with attributes Attrs(L) = $\{k,a1,...an\}$ and PK(L) = k. Create a relation Li for each subclass Si, 1 < i < m, with the attributesAttrs(Li) = $\{k\}$ U $\{attributes of Si\}$ and PK(Li)=k. This option works for any specialization (total or partial, disjoint or over-lapping).

Option 8B: Multiple relations-Subclass relations only

Create a relation Li for each subclass Si, 1 < i < m, with the attributes Attr(Li) = {attributes of Si} U {k,a1...,an} and PK(Li) = k. This option only works for a specialization whose subclasses are total (every entity in the superclass must belong to (at least) one of the subclasses).

Example: Option 8A



EMPLOYEE

SSN	FName	MInit	LName	BirthDate	Address	JobType	
-----	-------	-------	-------	-----------	---------	---------	--

SECRETARY

SSN TypingSpeed

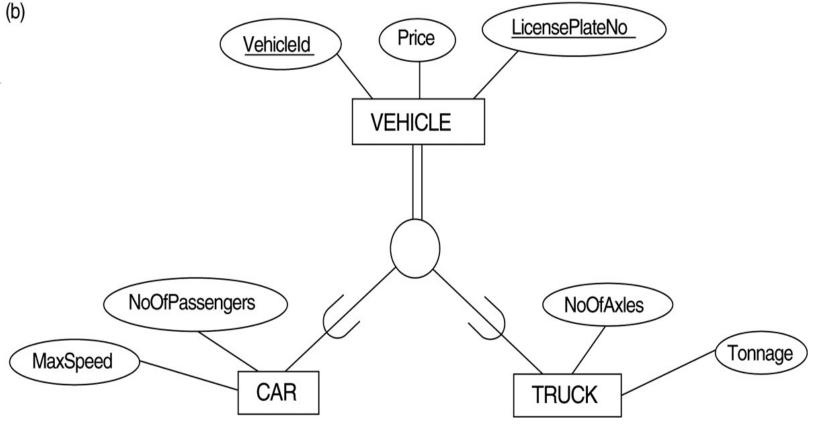
TECHNICIAN
SSN TGrade

ENGINEER

SSN EngType







CAR

VehicleId LicensePlateNo Price MaxSpeed NoOfPassengers
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TRUCK

VehicleId	LicensePlateNo	Price	NoOfAxles	Tonnage
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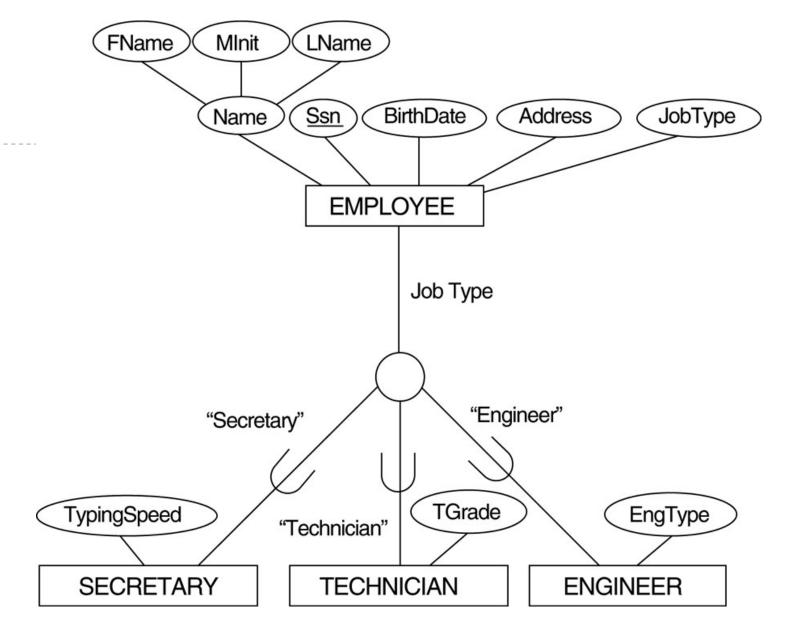
Option 8C: Single relation with one type attribute

Create a single relation L with attributes Attrs(L) = $\{k,a_1,...a_n\}$ U $\{attributes of S_n\}$ U $\{t\}$ and PK(L) = k. The attribute t is called a type (or **discriminating**) attribute that indicates the subclass to which each tuple belongs. This option works only for a specialization whose subclasses are *disjoint*,

Option 8D: Single relation with multiple type attributes

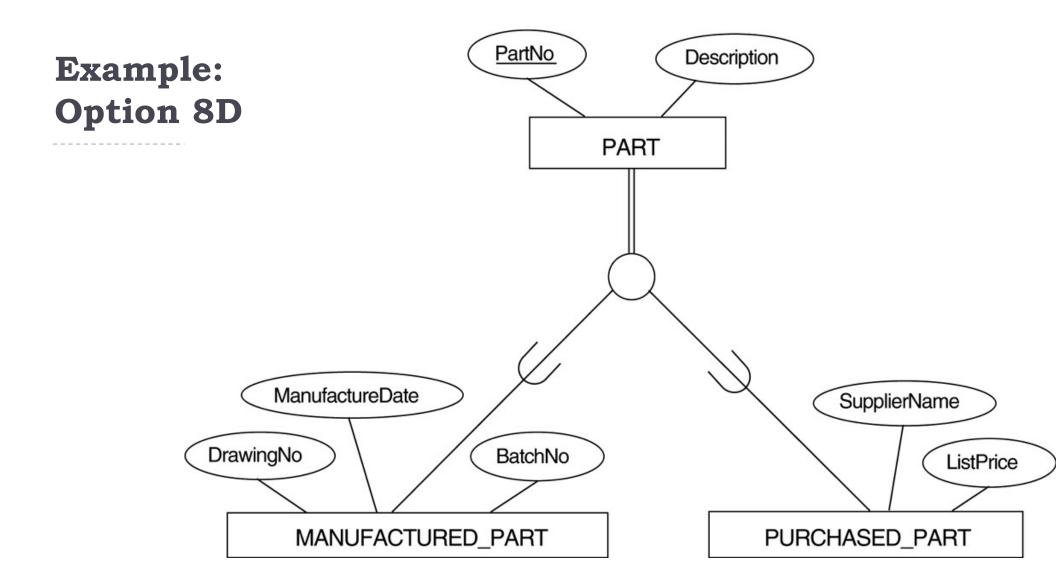
Create a single relation schema L with attributes Attrs(L) = $\{k,a_1,...a_n\}$ U $\{attributes of S_1\}$ U...U $\{attributes of S_m\}$ U $\{t_1,t_2,...,t_m\}$ and PK(L) = k. Each t_i , 1 < I < m, is a Boolean type attribute indicating whether a tuple belongs to the subclass S_i .

Example: Option 8C



EMPLOYEE

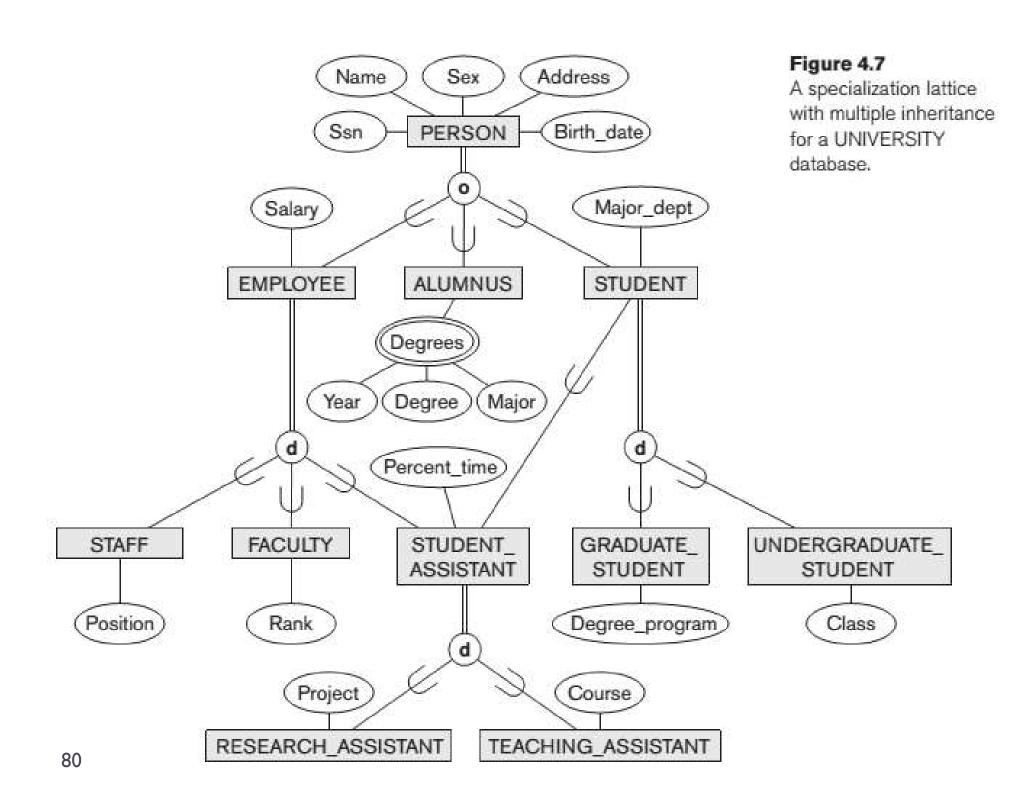
SSN	FName	MInit	LName	BirthDate	Address	JobType	TypingSpeed	TGrade	EngType
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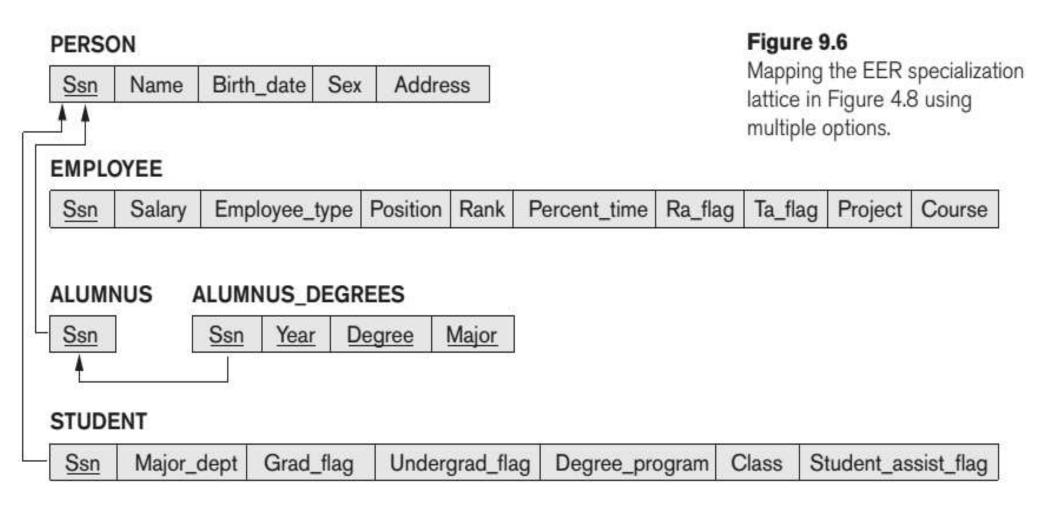
PART

<u>PartNo</u>	Description	MFlag	DrawingNo	ManufactureDate	BatchNo	PFlag	SupplierName	ListPrice
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- Mapping of Shared Subclasses (Multiple Inheritance)
 - A shared subclass, such as STUDENT_ASSISTANT, is a subclass of several classes, indicating multiple inheritance. These classes must all have the same key attribute; otherwise, the shared subclass would be modeled as a category.
 - We can apply any of the options discussed in Step 8 to a shared subclass, subject to the restriction discussed in Step 8 of the mapping algorithm. Below both 8C and 8D are used for the shared class STUDENT_ASSISTANT.

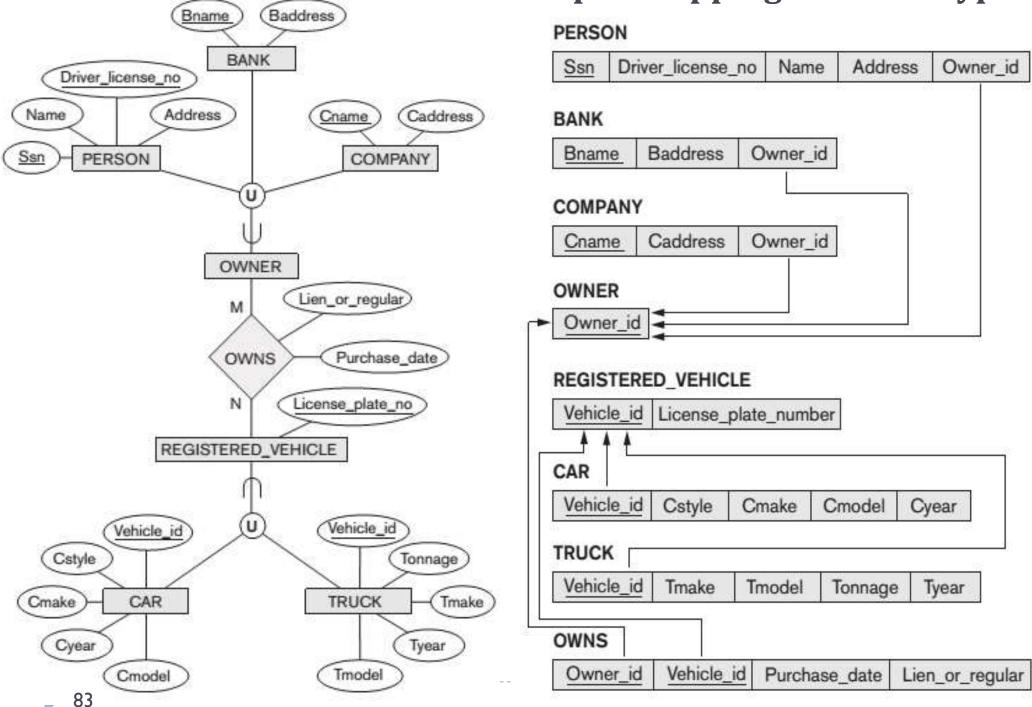


Example: Mapping of Shared Subclasses



- Step 9: Mapping of Union Types (Categories).
 - For mapping a category whose defining superclass have different keys, it is customary to specify a new key attribute, called a **surrogate** key, when creating a relation to correspond to the category.
 - In the example below we can create a relation OWNER to correspond to the OWNER category and include any attributes of the category in this relation. The primary key of the OWNER relation is the surrogate key, which we called OwnerId.

Example: Mapping of Union Types



Contents

- 1 Relational Data Model
- 2 Main Phases of Database Design
- 3 ER-/EER-to-Relational Mapping



