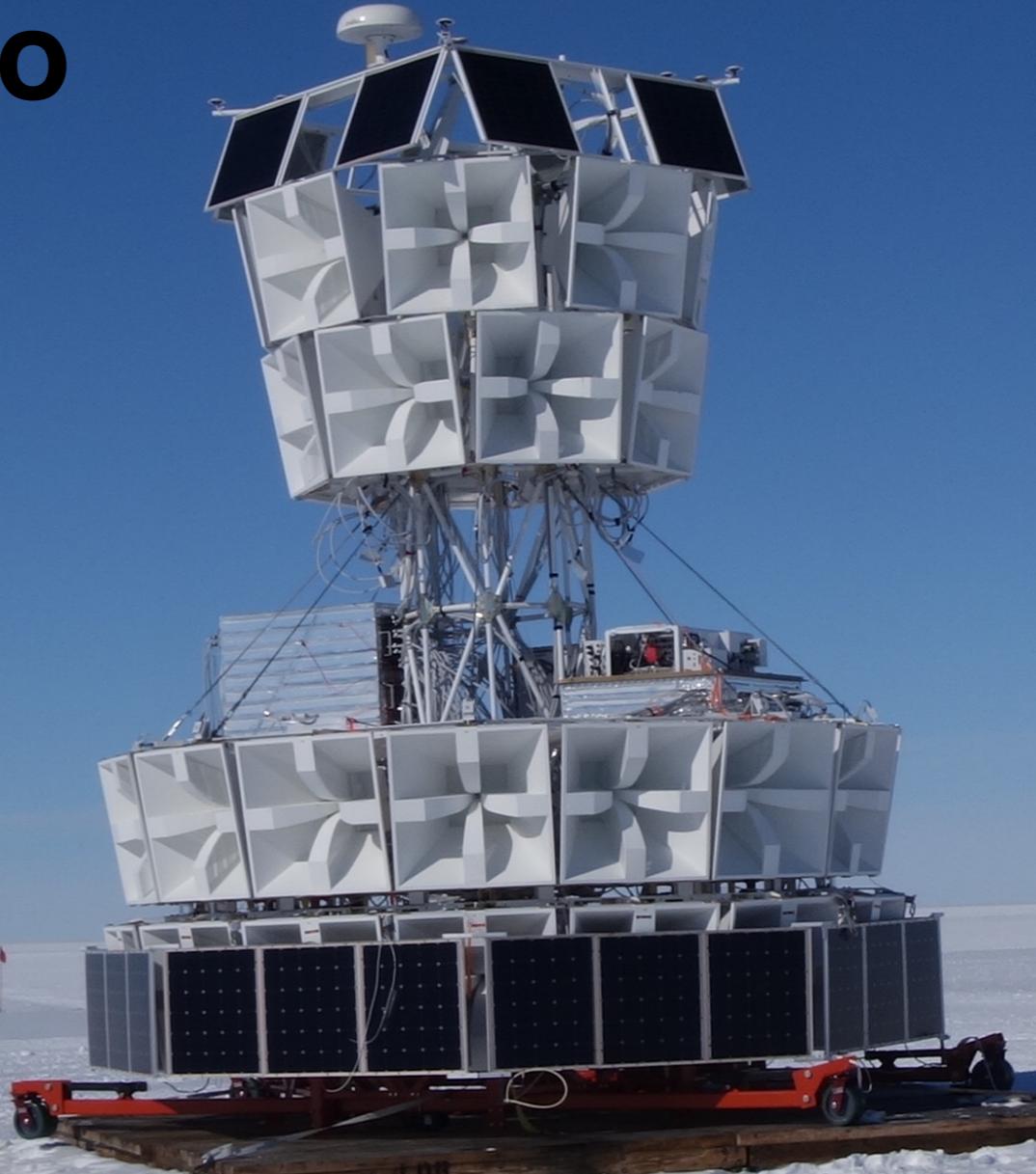




# Cosmic Ray And Neutrino Astrophysics with the ANITA-III Telescope

PhD Defense

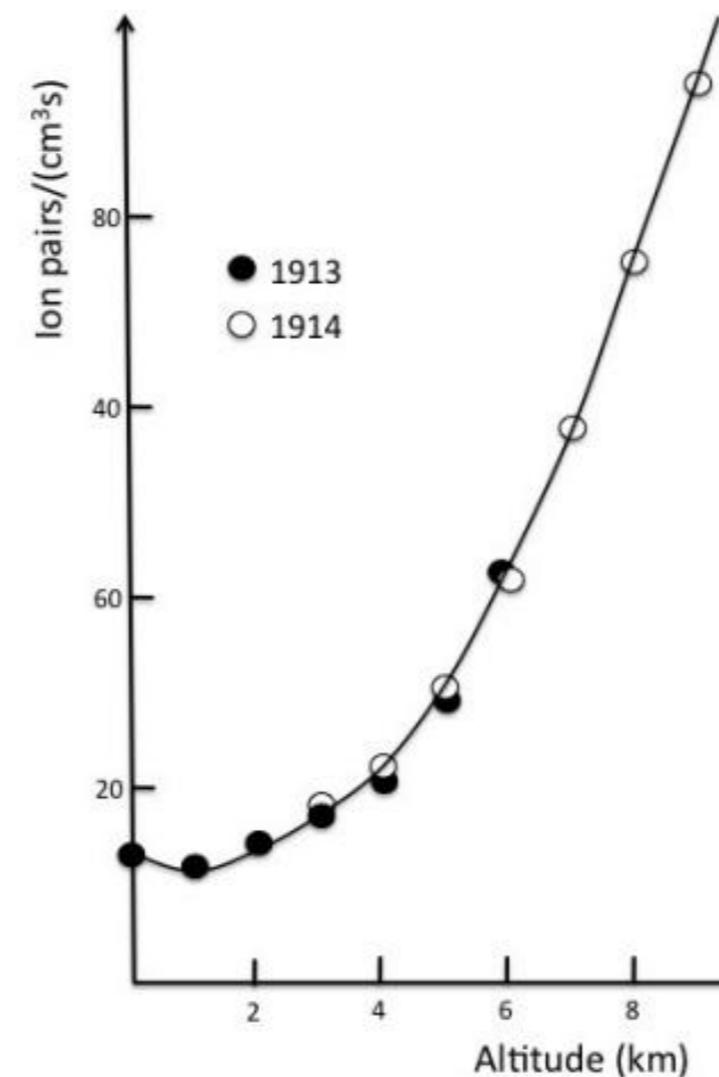
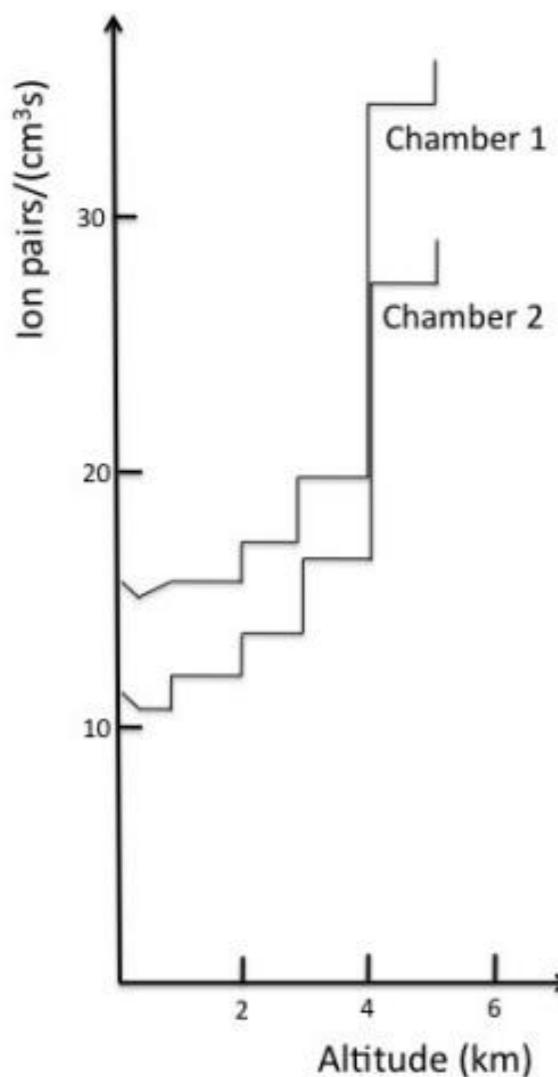
Benjamin Rotter  
October 20th 2017





# Cosmic Rays (CRs)

## Astrophysical messenger particles



Discovered over a century ago through measurements of ionizing radiation that increased with altitude

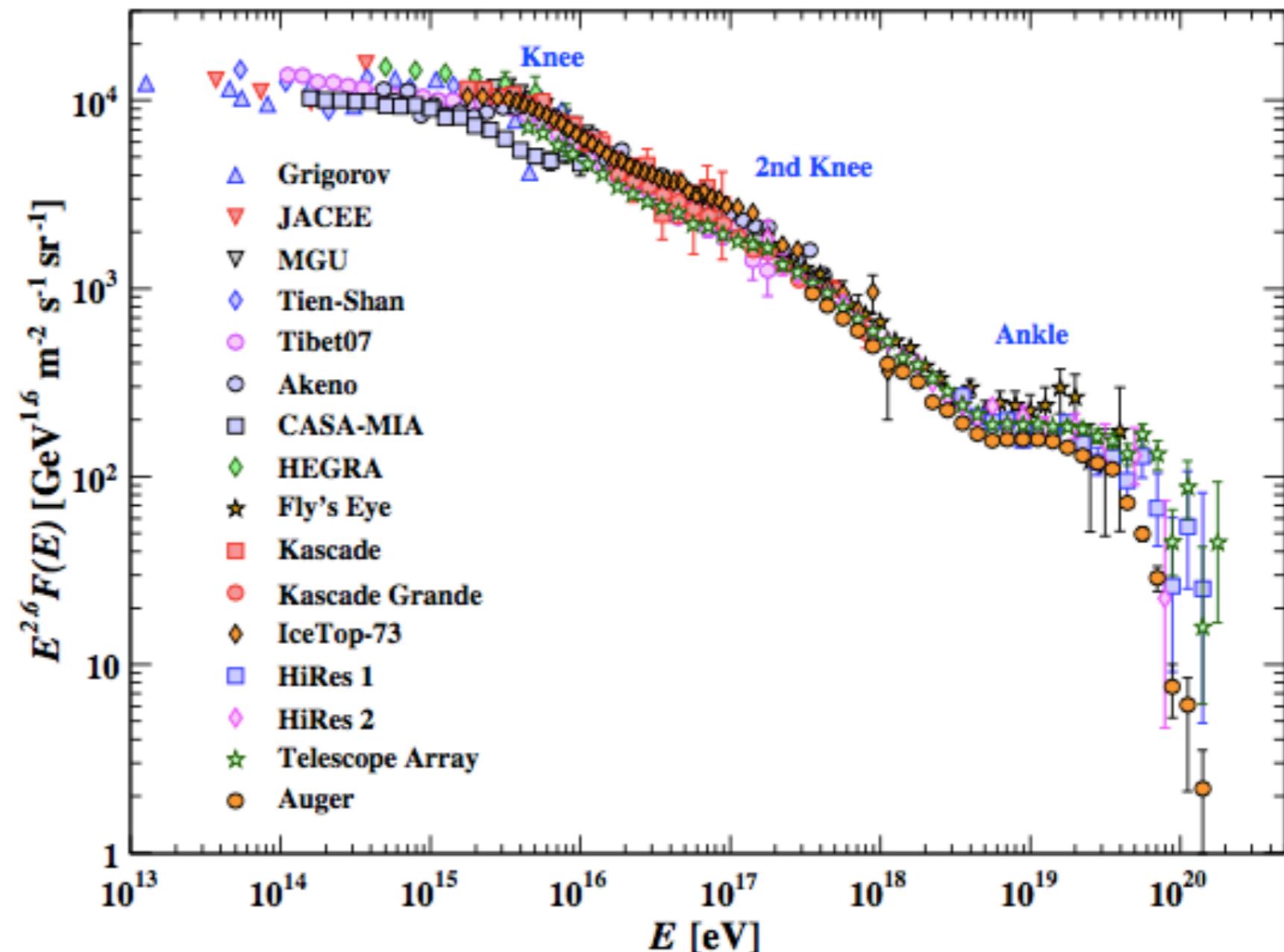
Massive particles, not photons, interacting with the Earth

Contribute additional measurable signals from astrophysical processes



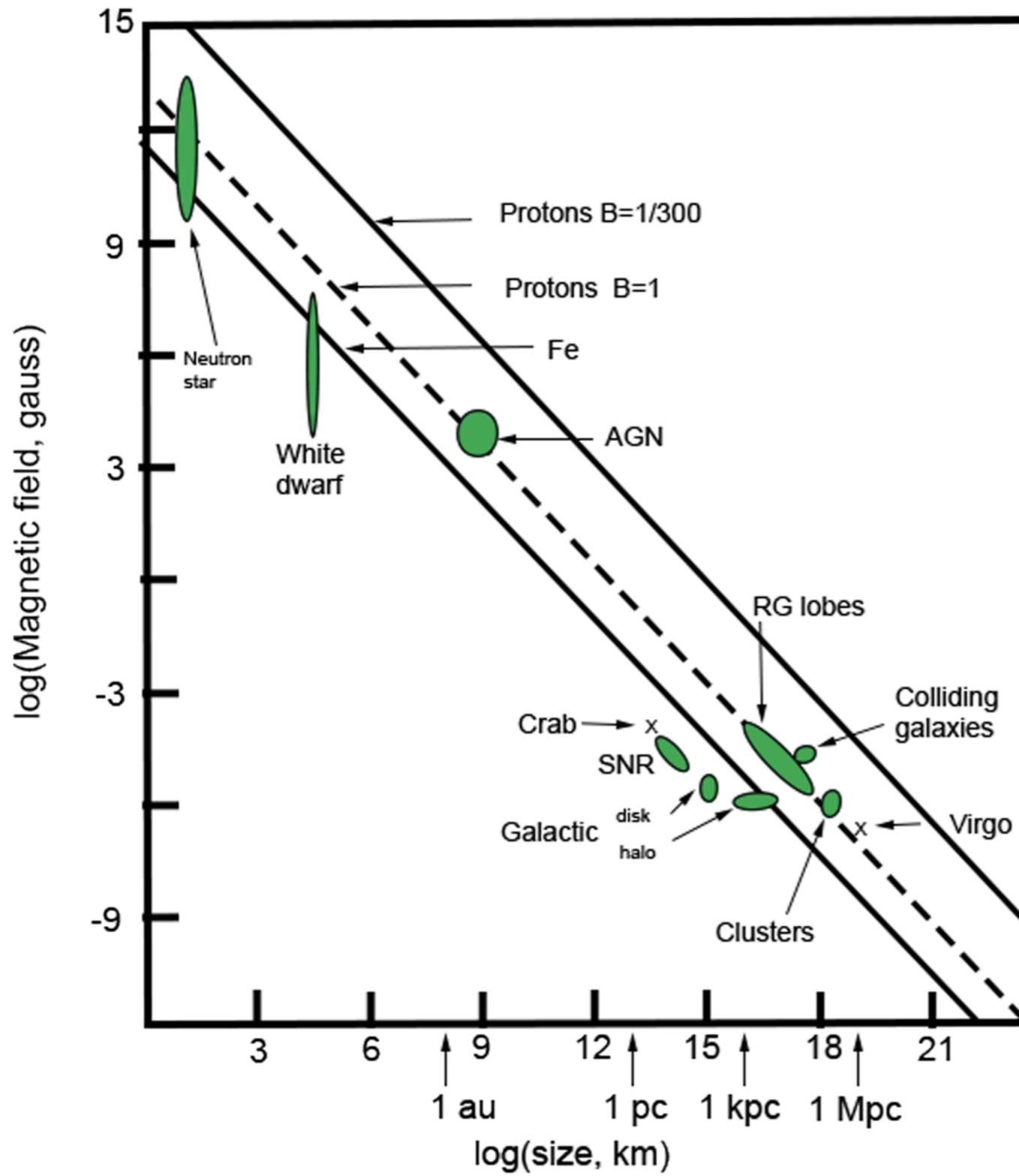
# Ultra High Energy CRs (UHECRs)

- Measured spectrum of cosmic rays extends up to Ultra High Energy (UHE) regime
- Above  $10^{19}$ eV, measured flux falls off dramatically, leading to low statistics and high uncertainty





# Possible CR source accelerators

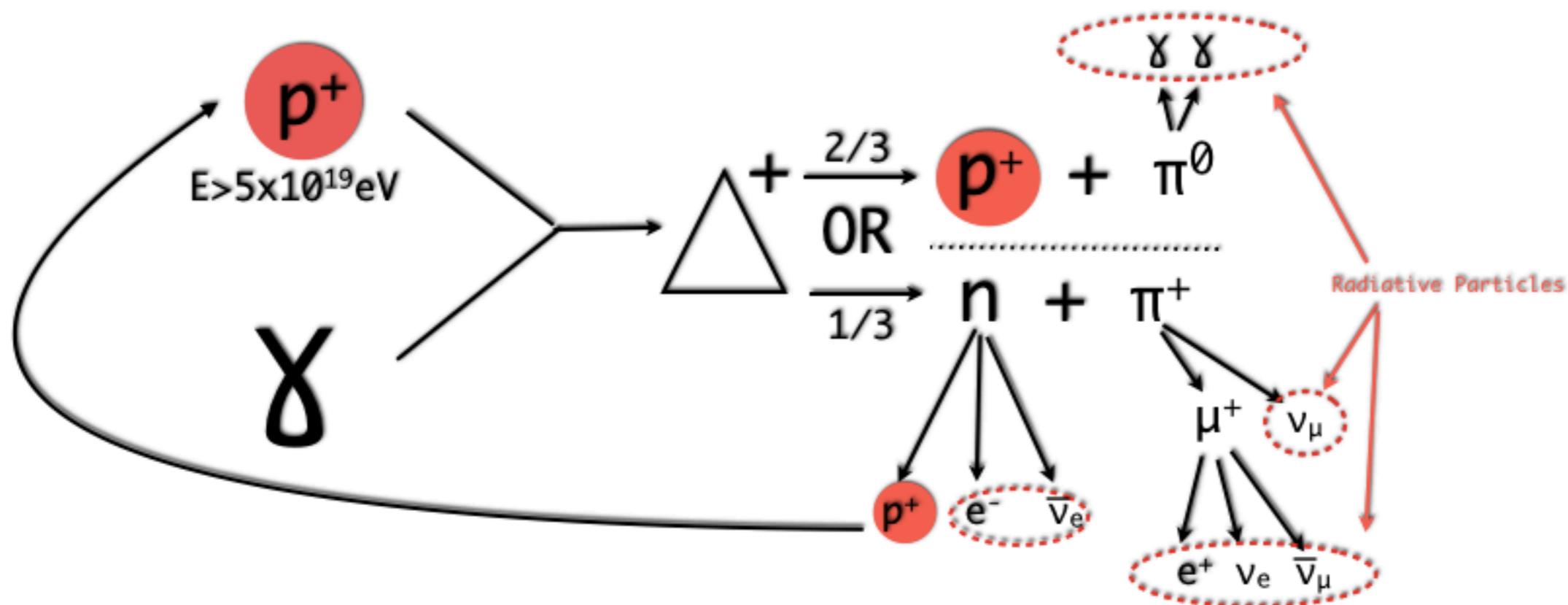


Many theories, but UHECR source accelerators are still an unsolved problem in physics.

Lack of observations above  $10^{19}$ eV suggest extra-galactic source accelerator



# UHECR limit, and UHE Neutrinos



Greisen, Zatsepin and Kuzmin (GZK) process attenuates CRs through delta resonance, suppressing their observed flux on Earth

UHE byproduct of GZK process is a neutrino, which can provide a measurable signal for unexplored regions of the universe.

$\approx 30 \text{ Mpc}$  mean interaction distance: extragalactic



# UHE Neutrino Astronomy

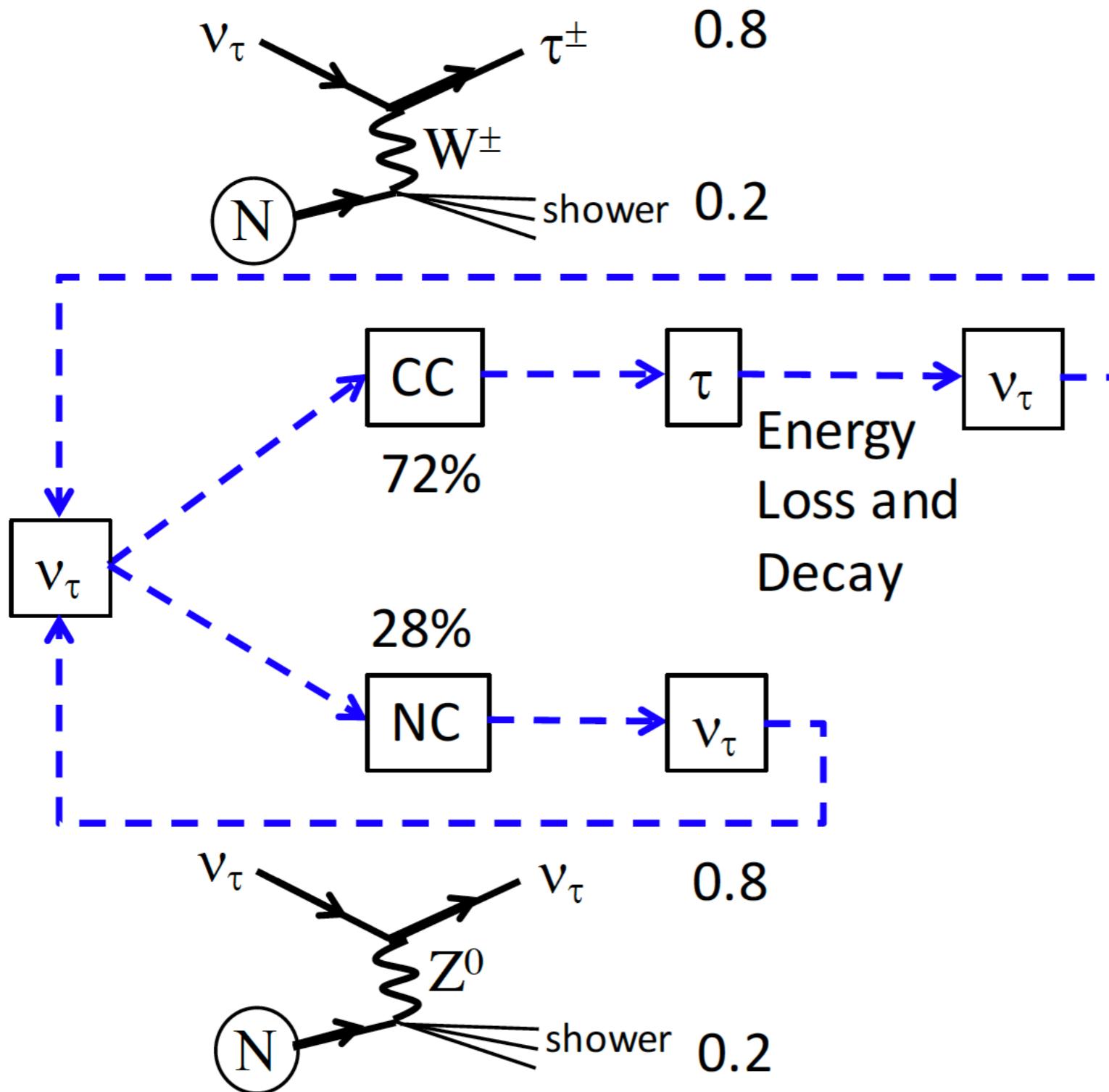
- Three types (flavors) of neutrinos that produce signals that neutrino telescopes researching for
- There have been some detections (IceCube)
- tau produces special signal that is focus
- Though only  $\nu e$  and  $\nu u$  flavors are created in GZK process, enormous universe scale baselines and flavor mixing result in expected 1:1:1  $e:\mu:\tau$  ratio for detections.

## Standard Model of Elementary Particles

three generations of matter (fermions)					
	I	II	III		
mass	$\approx 2.4 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 172.44 \text{ GeV}/c^2$	0	$\approx 125.09 \text{ GeV}/c^2$
charge	2/3	2/3	2/3	0	0
spin	1/2	1/2	1/2	1	0
QUARKS	u	c	t	g	Higgs
	up	charm	top	gluon	
mass	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	$\approx 125.09 \text{ GeV}/c^2$
charge	-1/3	-1/3	-1/3	0	0
spin	1/2	1/2	1/2	1	0
	d	s	b	$\gamma$	Photon
	down	strange	bottom	photon	
LEPTONS	e	$\mu$	$\tau$	Z	Z boson
mass	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.67 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	0	$\approx 91.19 \text{ GeV}/c^2$
charge	-1	-1	-1	1	1
spin	1/2	1/2	1/2	1	0
	electron	muon	tau	Z boson	
GAUGE BOSONS	$\nu_e$	$\nu_\mu$	$\nu_\tau$	W	W boson
mass	$< 2.2 \text{ eV}/c^2$	$< 1.7 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$\approx 80.39 \text{ GeV}/c^2$	$\approx 80.39 \text{ GeV}/c^2$
charge	0	0	0	$\pm 1$	1
spin	1/2	1/2	1/2	1	0
	electron neutrino	muon neutrino	tau neutrino	W boson	



# Tau Regeneration



Tau leptons have a very short lifetime (0.29 ps in rest frame), and will decay back to a tau neutrino

Charged current (CC) process in  $\nu e$  or  $\nu u$  results in catastrophic energy loss through extensive multi-particle shower

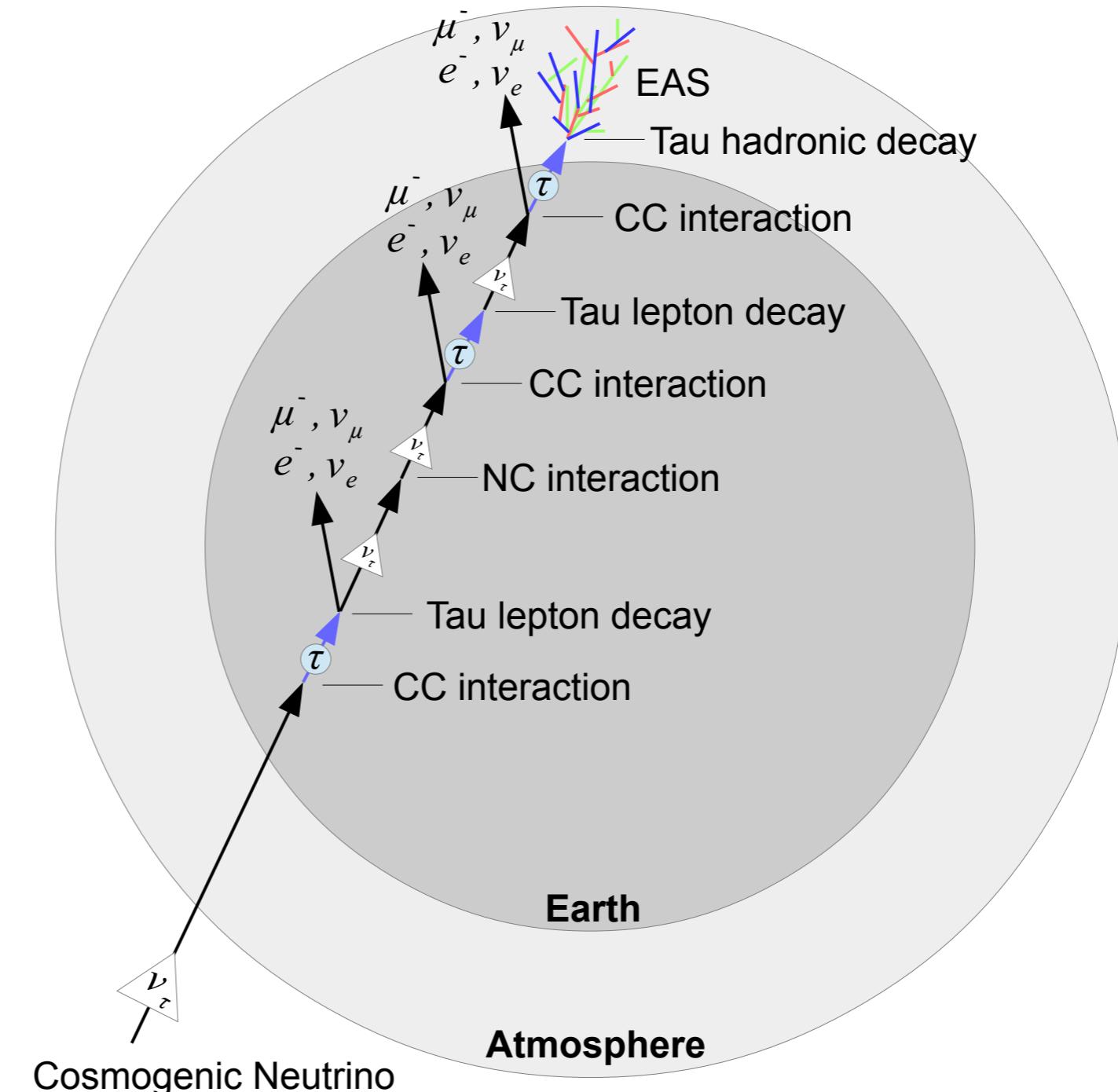
CC in  $\nu t$  however, preserves much of the energy, boosting mean path length.  
“Regenerated”

Neutral current (NC) process also has small energy losses



# Tau neutrino detection prospects

Extended mean free path allows for increase in detectable incidence angles





# Tau signal polarity, observing from high in atmosphere

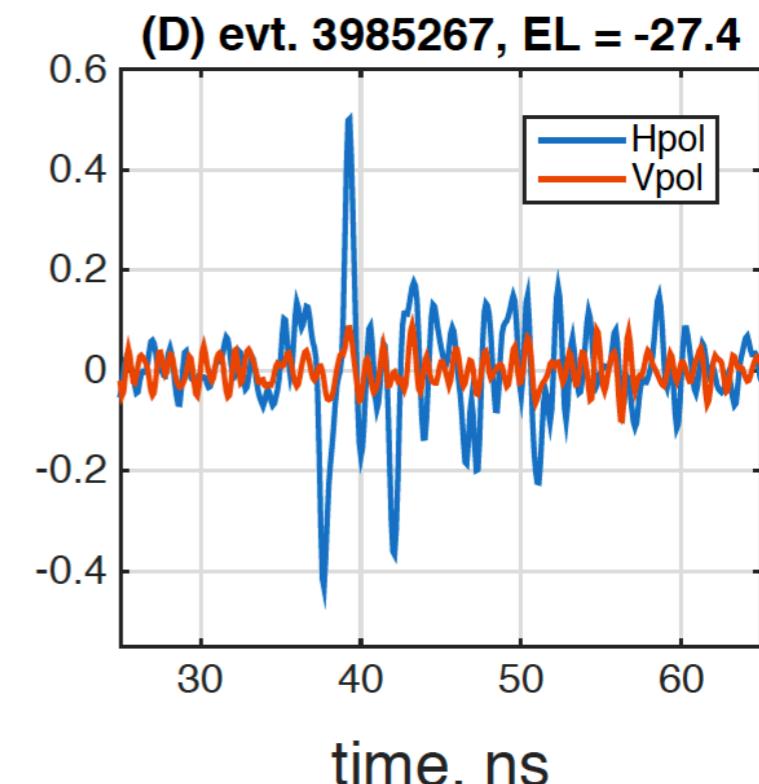
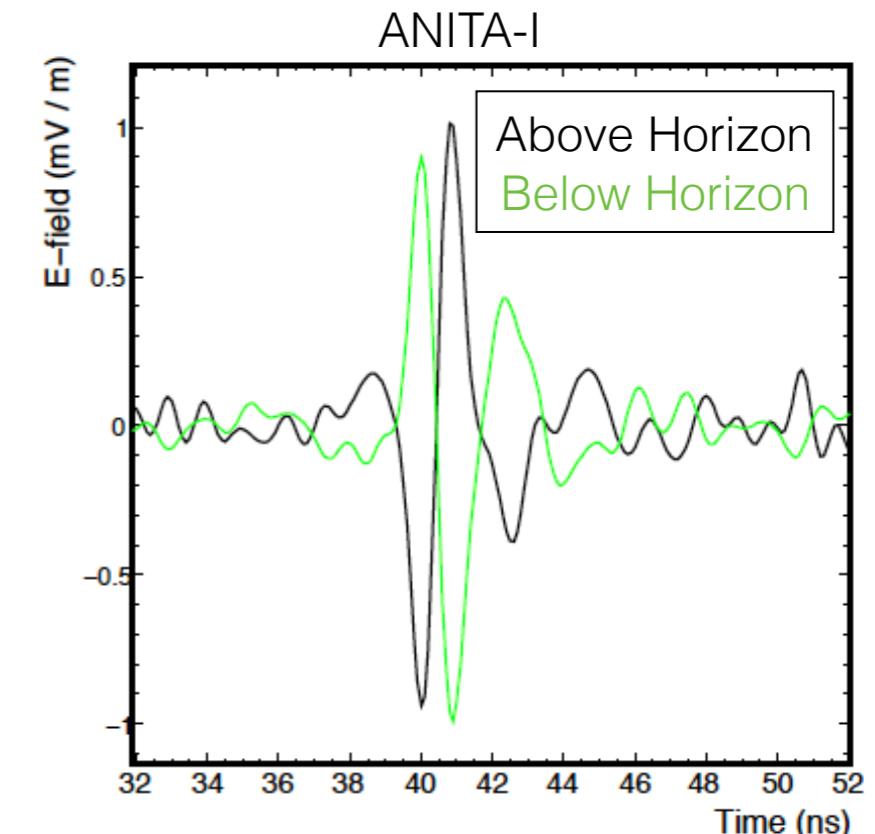
Upgoing Tau initiated shower would have directly viewed radiation

Above horizon CR initiated showers would also be viewed directly

Below horizon reflected CR radiation would have polarity inverted

ANITA-I detected 16 below horizon showers with matching polarity, and 2 above horizon with matching inverted polarity

Also observed one below horizon event matching a “directly” observed shower



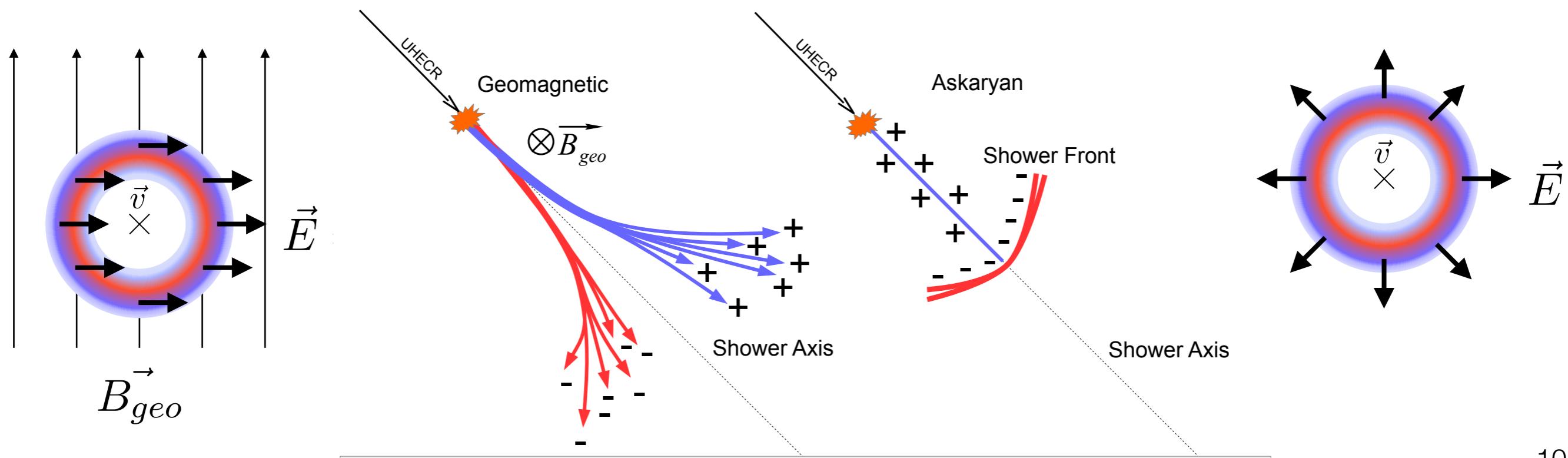


# Electromagnetic Radiation From UHECRs

Two major contributors to emitted radiation from EAS interactions:

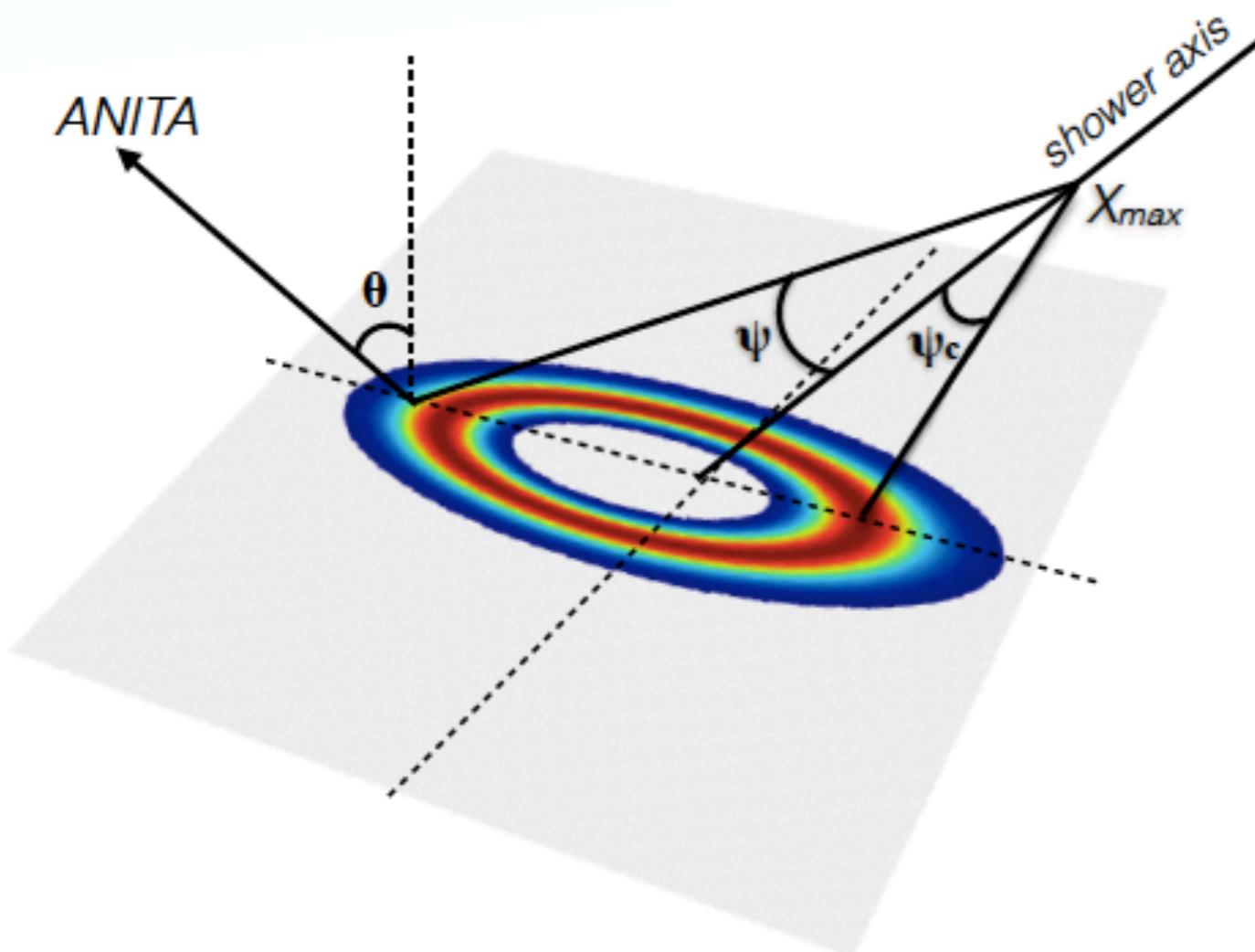
Geomagnetic: Dominant radiative component  $E_{induced} = q\vec{v} \times \vec{B}_{geo}$  induced by Earth's magnetic field. Electric field is given by:

Askaryan: Charge separation induced by positron annihilation in shower core. Polarization points radially outwards from shower axis





# Cherenkov-like Coherence Angle



Superluminal particle effect that creates signal boost at critical off angle

Not Cherenkov light, but at the same angle

Waveforms “stack up”, creating detectable coherent signal

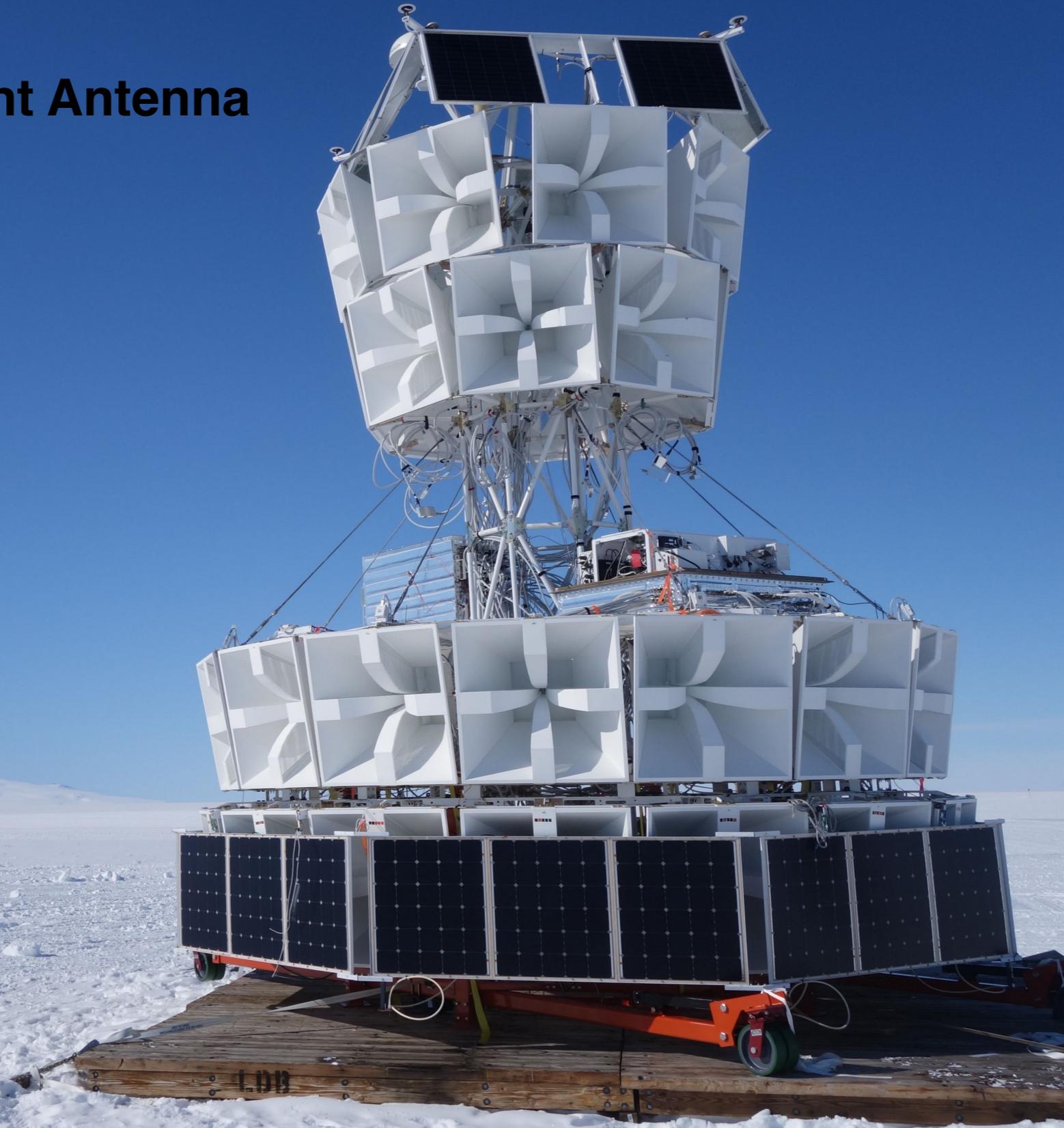
Creates cone where signal strength is highest, and observation most likely.



# ANITA

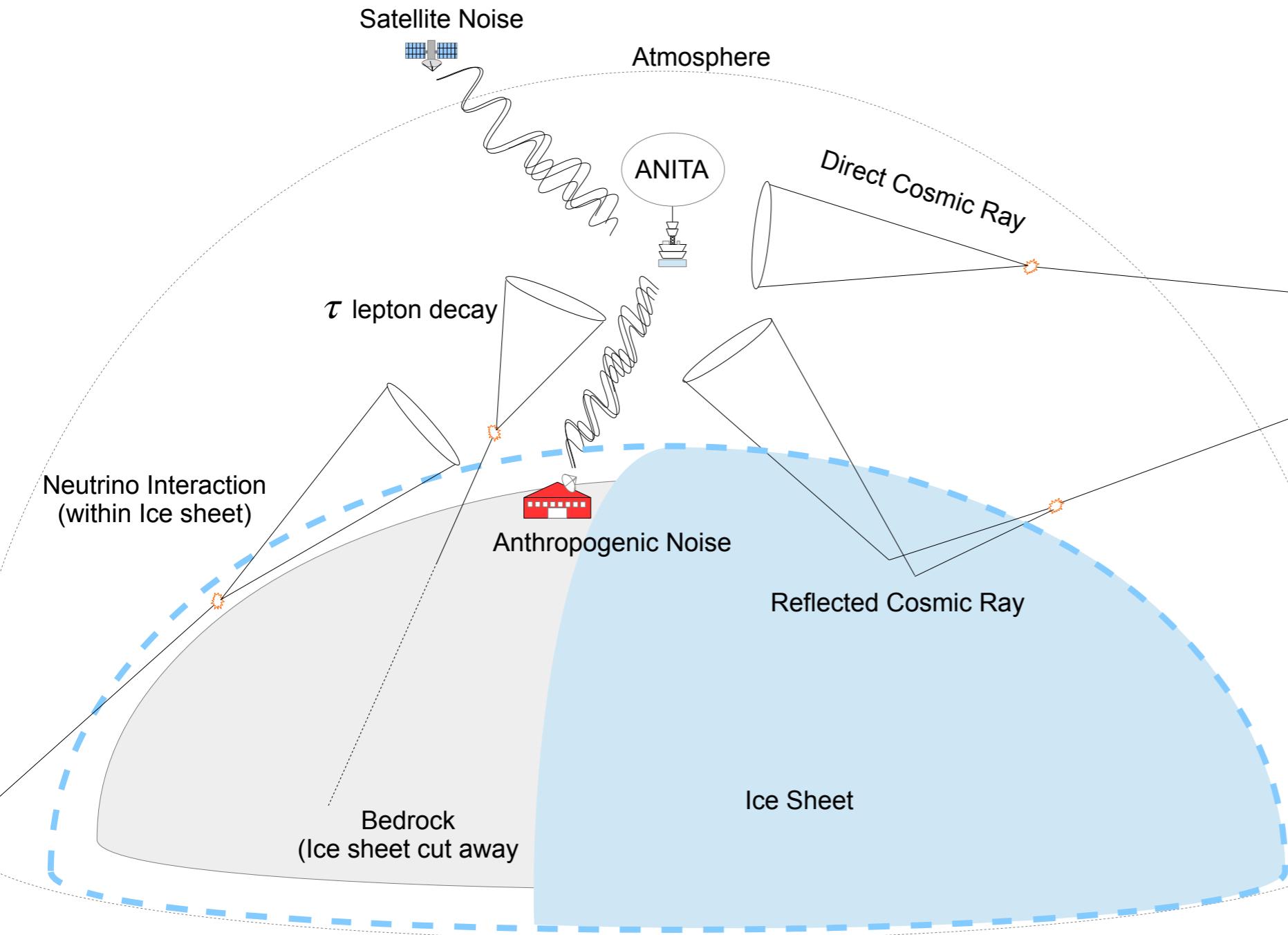
## ANtarctic Impulsive Transient Antenna

Mt. Terror





# UHE Detection at earth



Many different UHE messenger particles that can be measured on Earth with detector positioned in atmosphere over Antarctica

Large field of view from a high altitude would aid in measurements of low flux astrophysical particles



# ANITA Past and Present



ANITA-LITE ('03-'04)



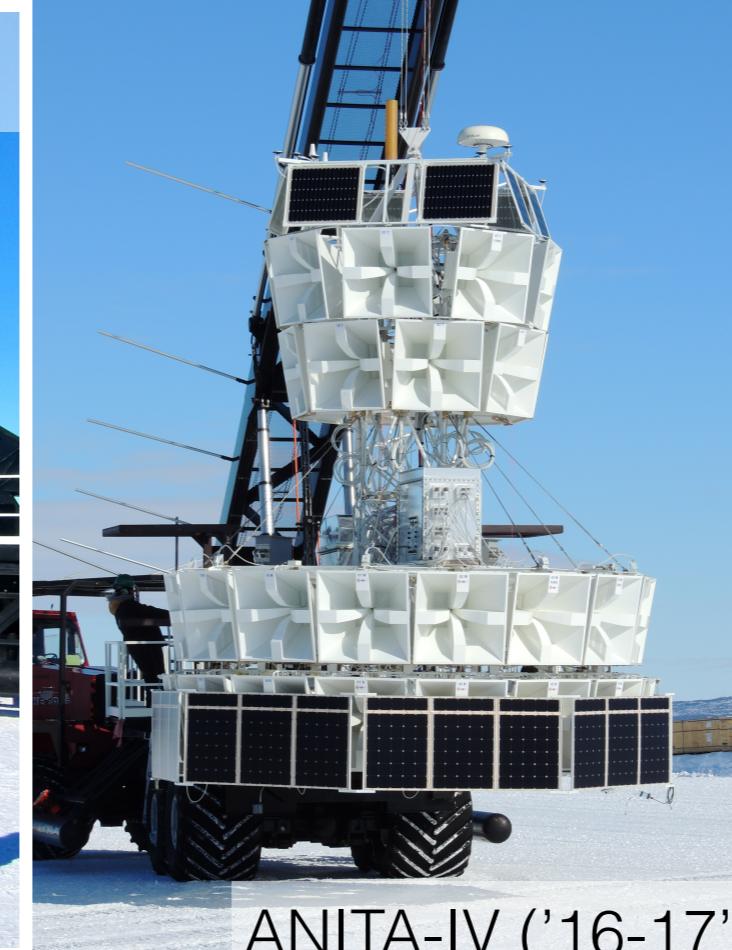
ANITA-I ('06-'07)



ANITA-II ('08-'09)



ANITA-III ('14-'15)



ANITA-IV ('16-17')

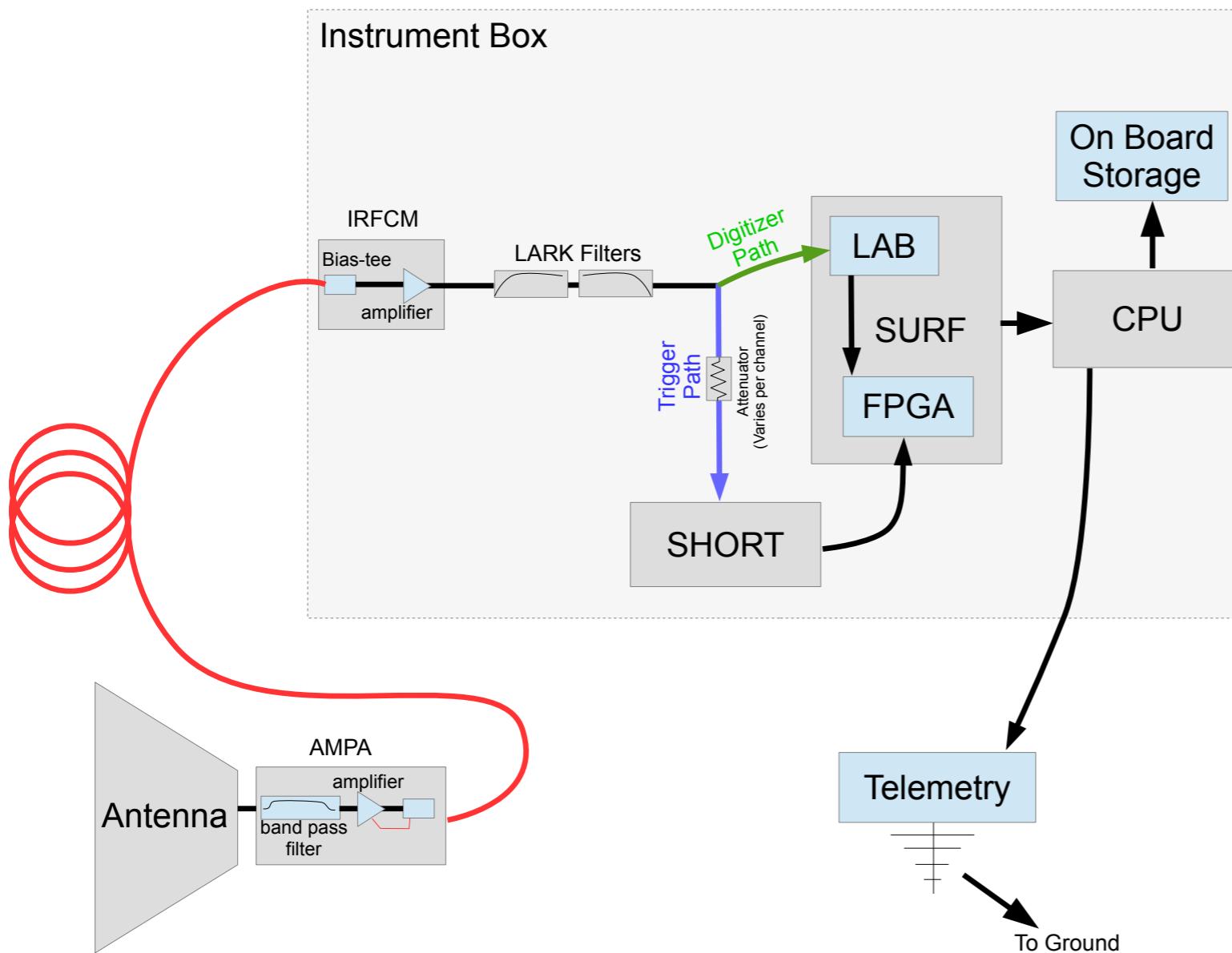
Five total flights over  
13 years

ANITA-V (??)



# ANITA-III Instrument

In essence: a 96 channel, high gain self-triggering oscilloscope

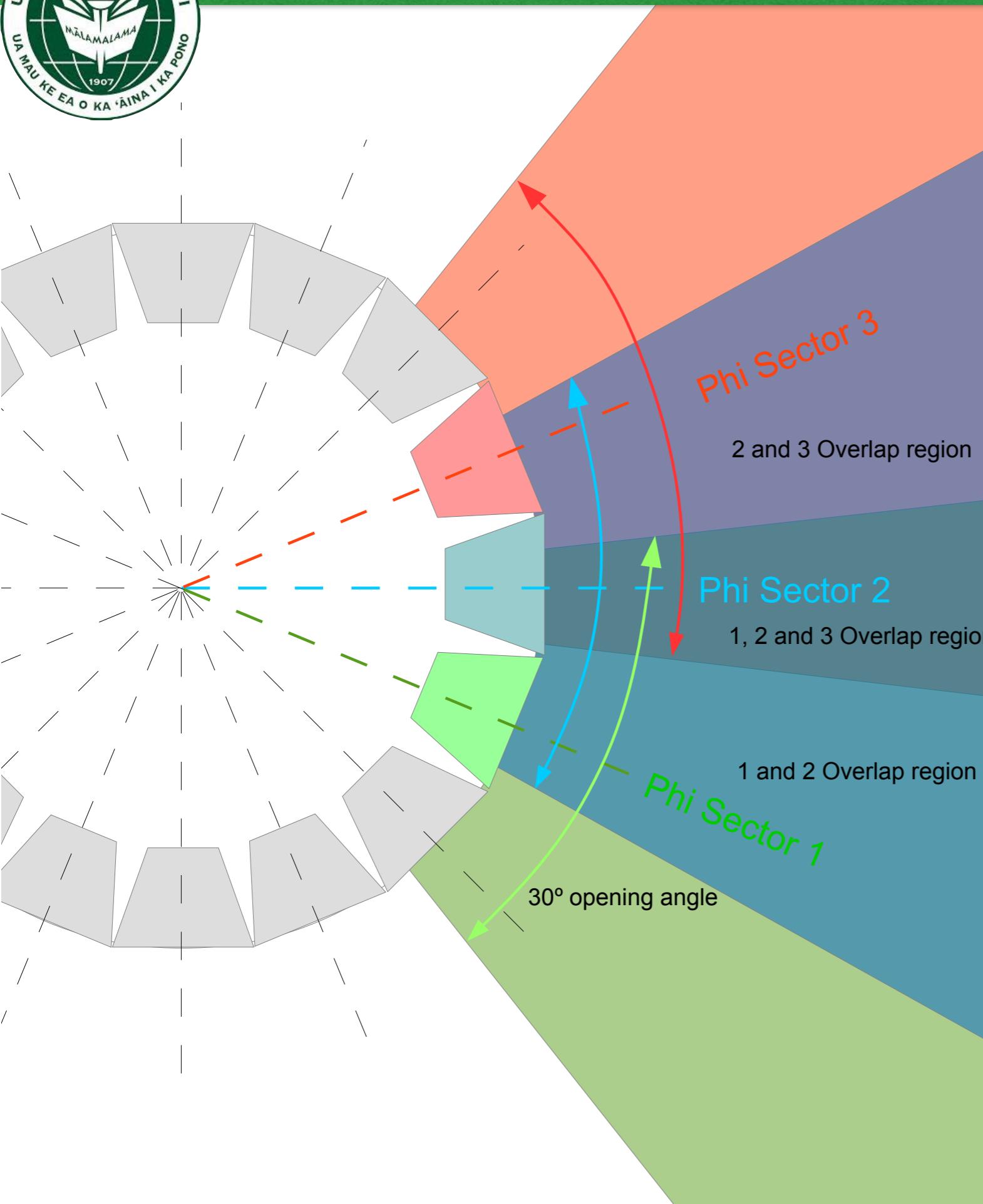


Incident E-field is coupled to antennas, filtered, and amplified in multiple stages

Digitization is performed by LABRADOR3 ASIC developed by the UH IDL

Data is stored on redundant storage devices for recovery

Control and command is done via a telemetry downlink



## ANITA-III Instrument Layout

48 antennas grouped into  
16 “phi sectors”

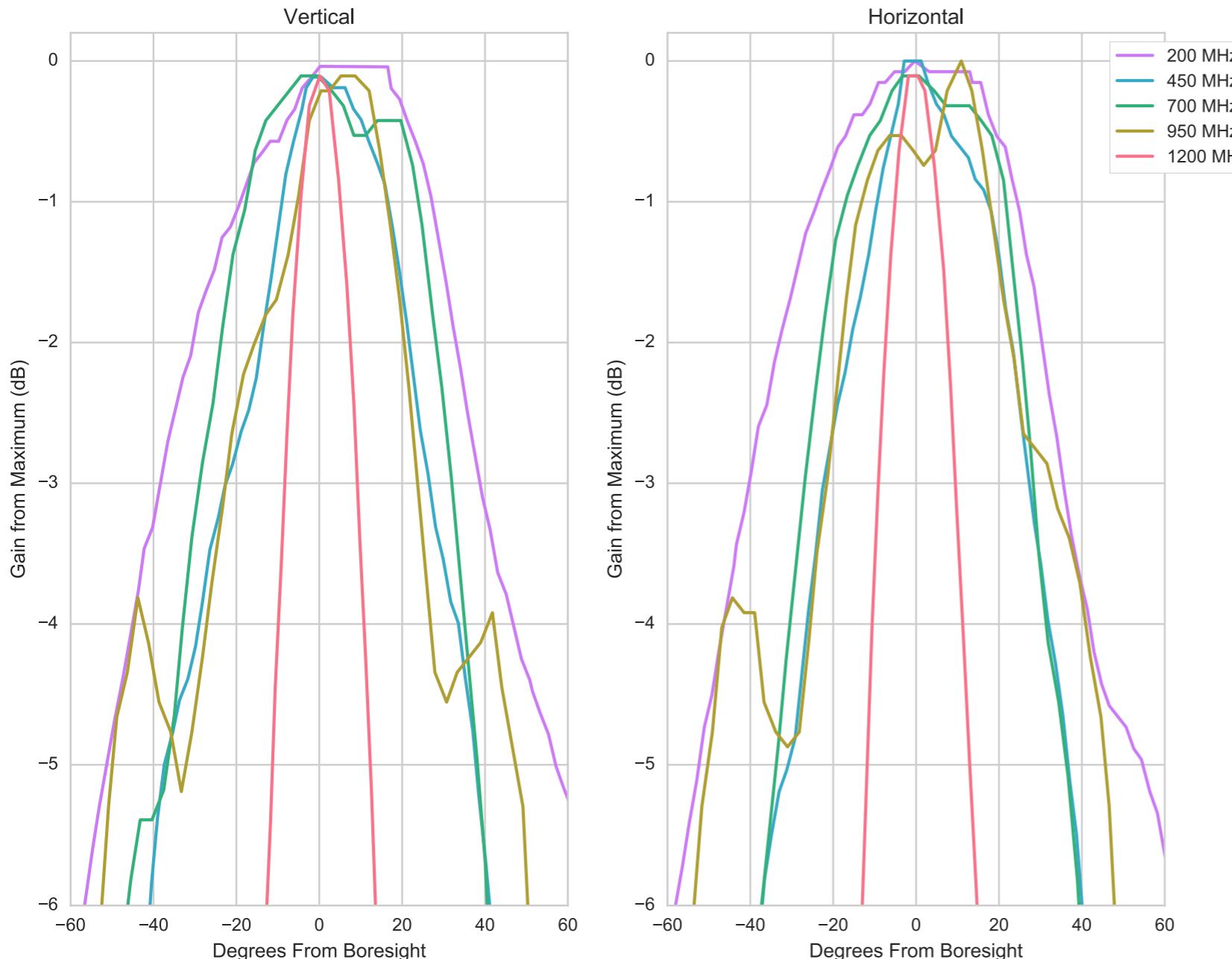
Each phi sector has three  
antennas, each in a  
different ring

360° azimuthal field of  
view with azimuthal  
symmetry



# Antennas

ANITA3/4 Seavey Factory Antenna Data



Quad ridge horn  
antennas

Two orthogonal  
polarizations, providing  
2 channels per  
polarization

Flat gain from 180MHz  
to 1.2GHz and minimal  
dispersion

10dBi of gain, with a  
~30° opening angle in  
both E-plane and H-  
plane



# First Stage Amplification: AMPA and DDAMPA

Antenna Mounted Pre-Amplifier (AMPA) and Drop-Down AMPA (DDAMPA)

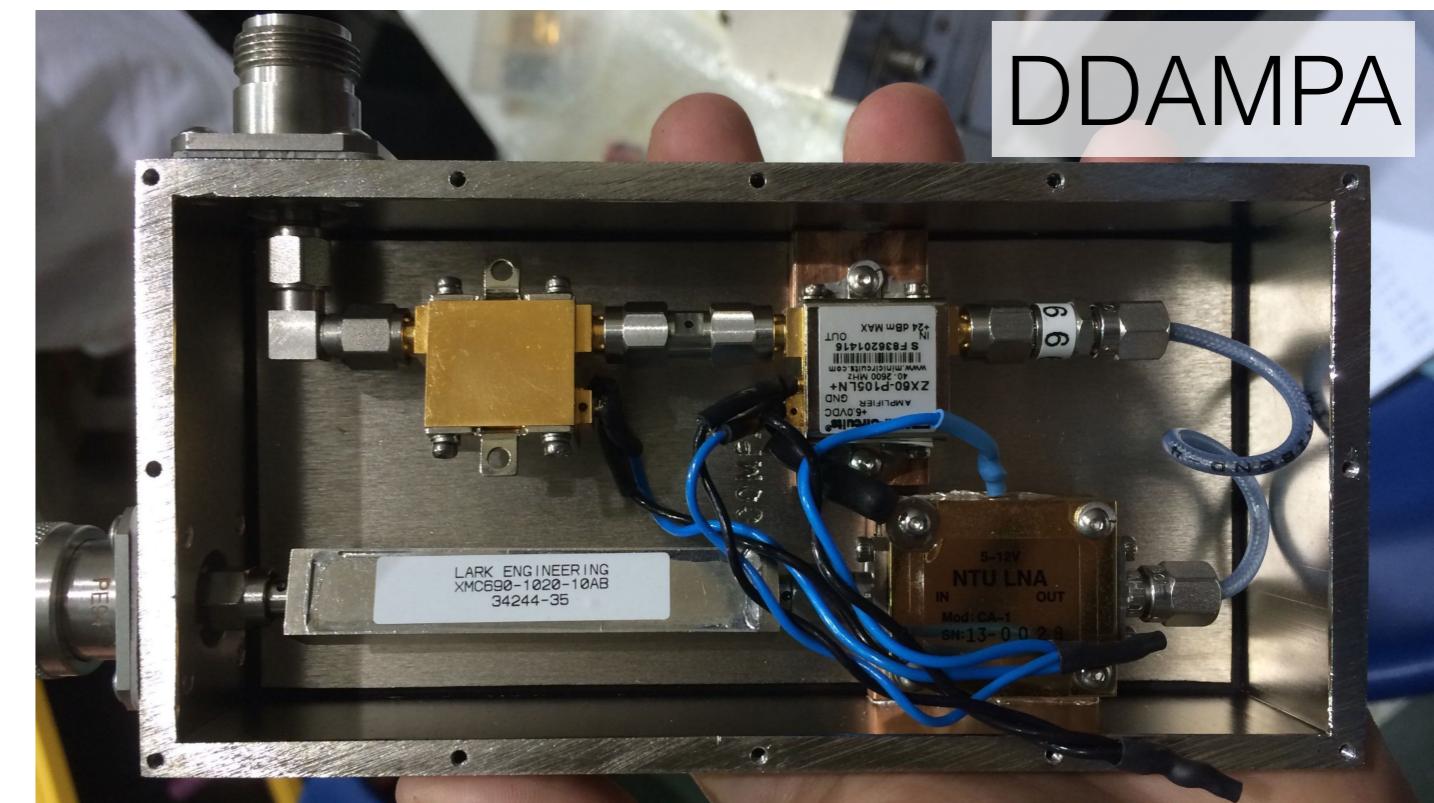
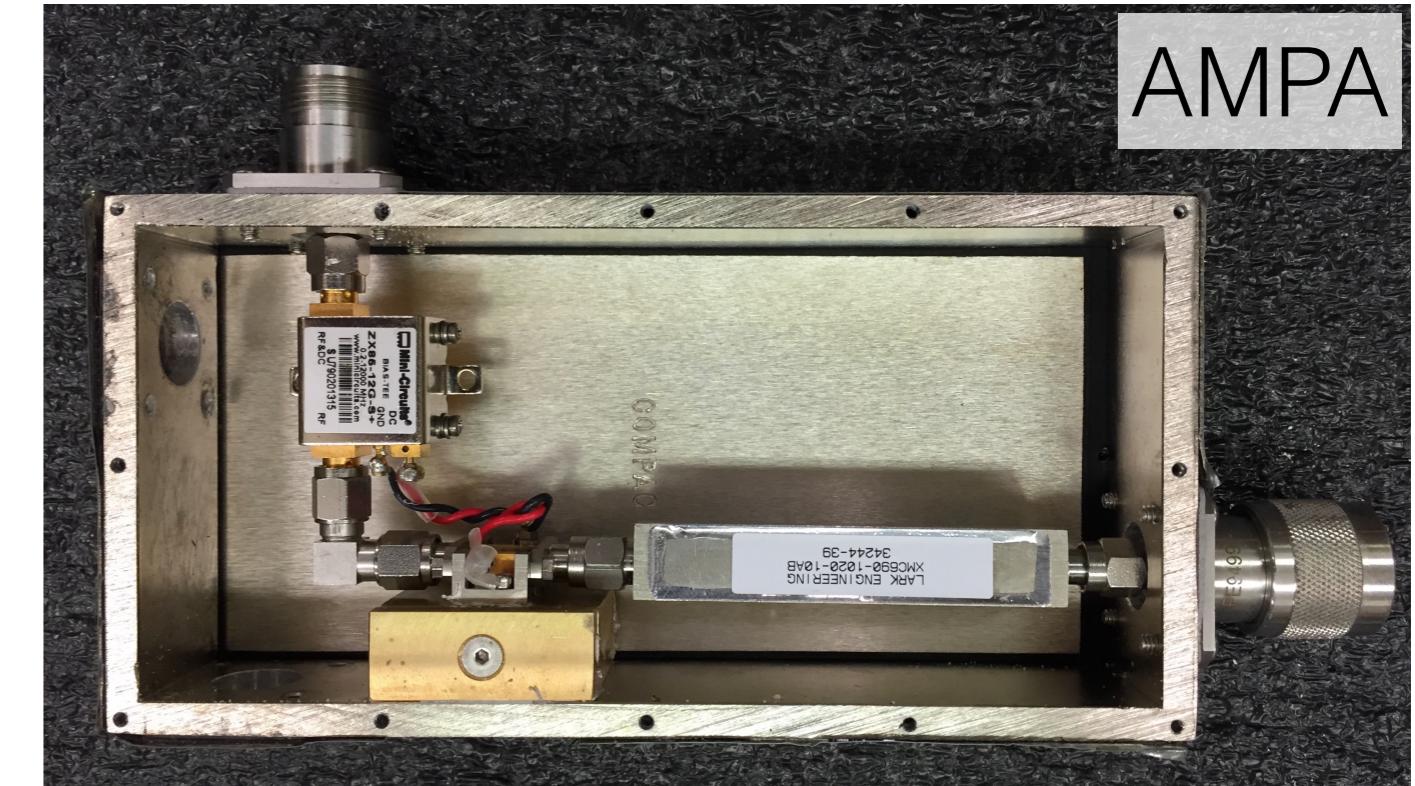
Pre-amplifies and filters signal, immediately after coupling to antenna

First stage band-limiting filter from 180MHz to 1.2GHz

Provide 40dB of flat spectrum gain

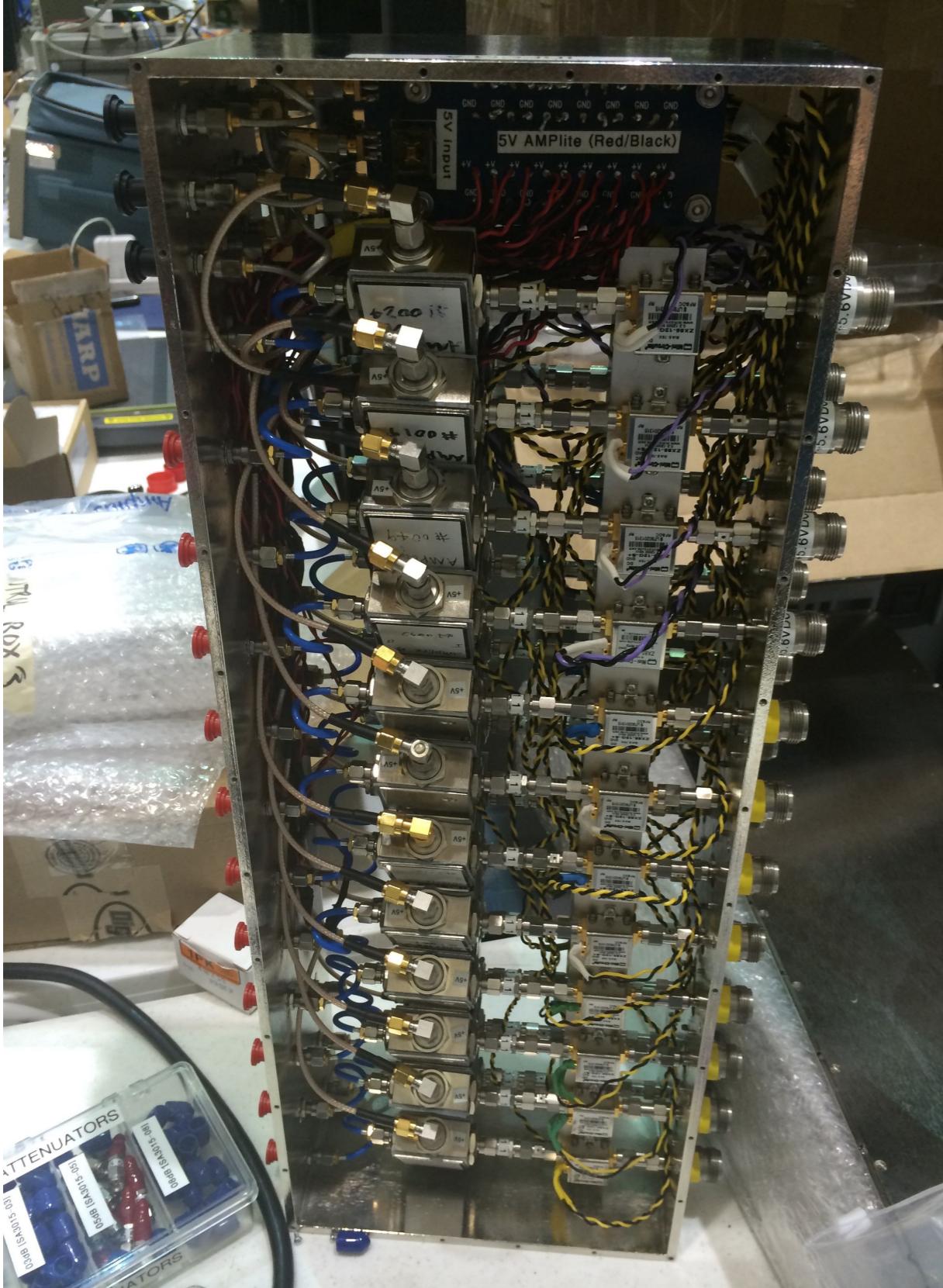
Noise temperature of ~100K

Powered via bias network on coaxial signal cable





# IRFCM



Internal Radio Frequency Conditioning Module (IRFCM)

2nd Amplifier stage after pre-amplifier, providing an additional 38dB of gain

Internal to instrument box, and supplies bias network that powers pre-amplifiers



# SURF board, TURF board, and CPCl crate

(Signaling Unit for Radio Frequency)

(Triggering Unit for Radio Frequency)

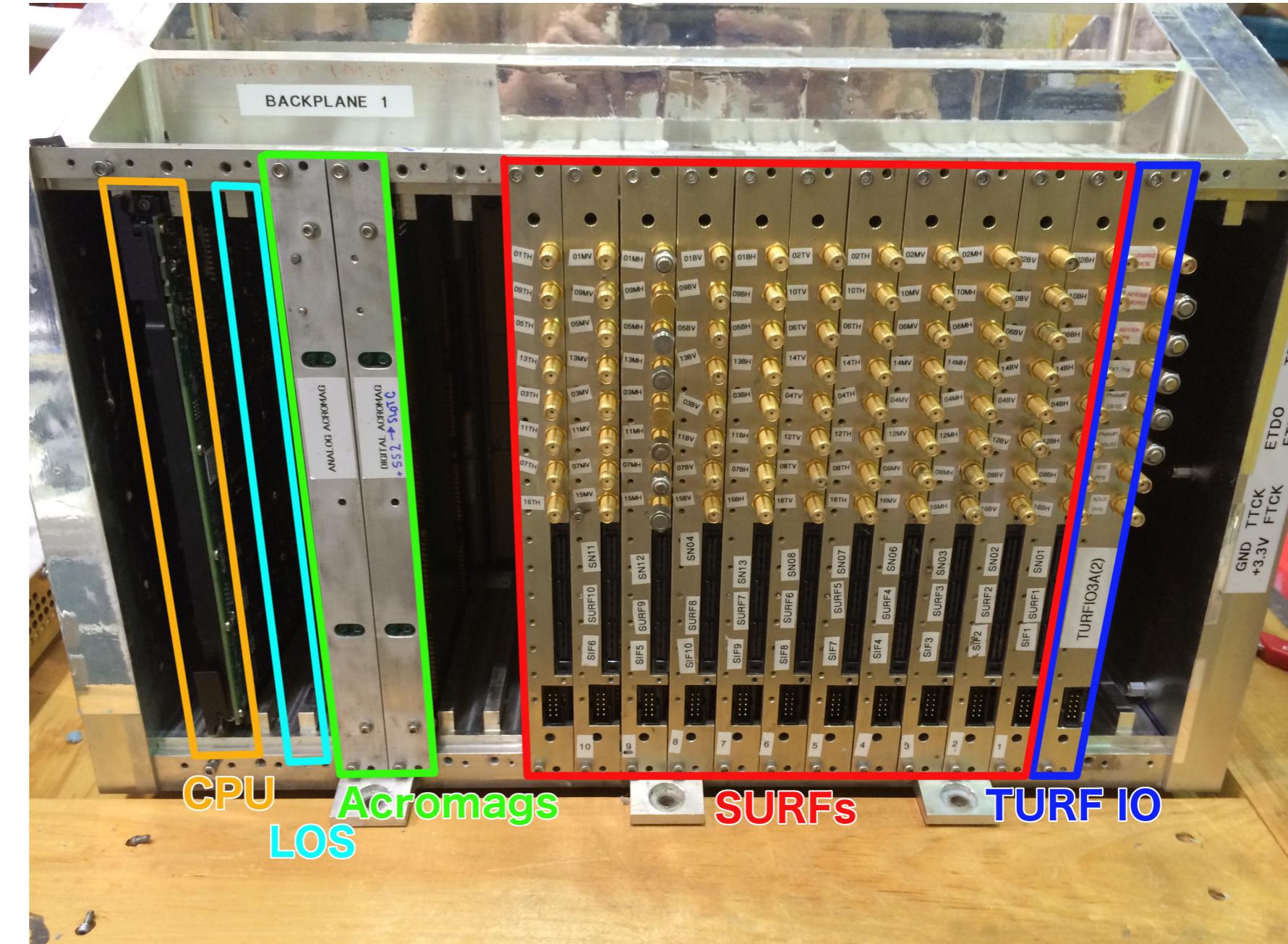
(Compact Peripheral Component Interconnect)

Houses flight CPU  
(Central Processing Unit),  
which reads out digitized  
signals from SURF  
boards and stores them  
to hard disks

FPGA (Field  
Programmable Gate  
Array) on backplane  
generates trigger and  
controls readout

Additional subsystems for  
instrument

ANITA's "brain"





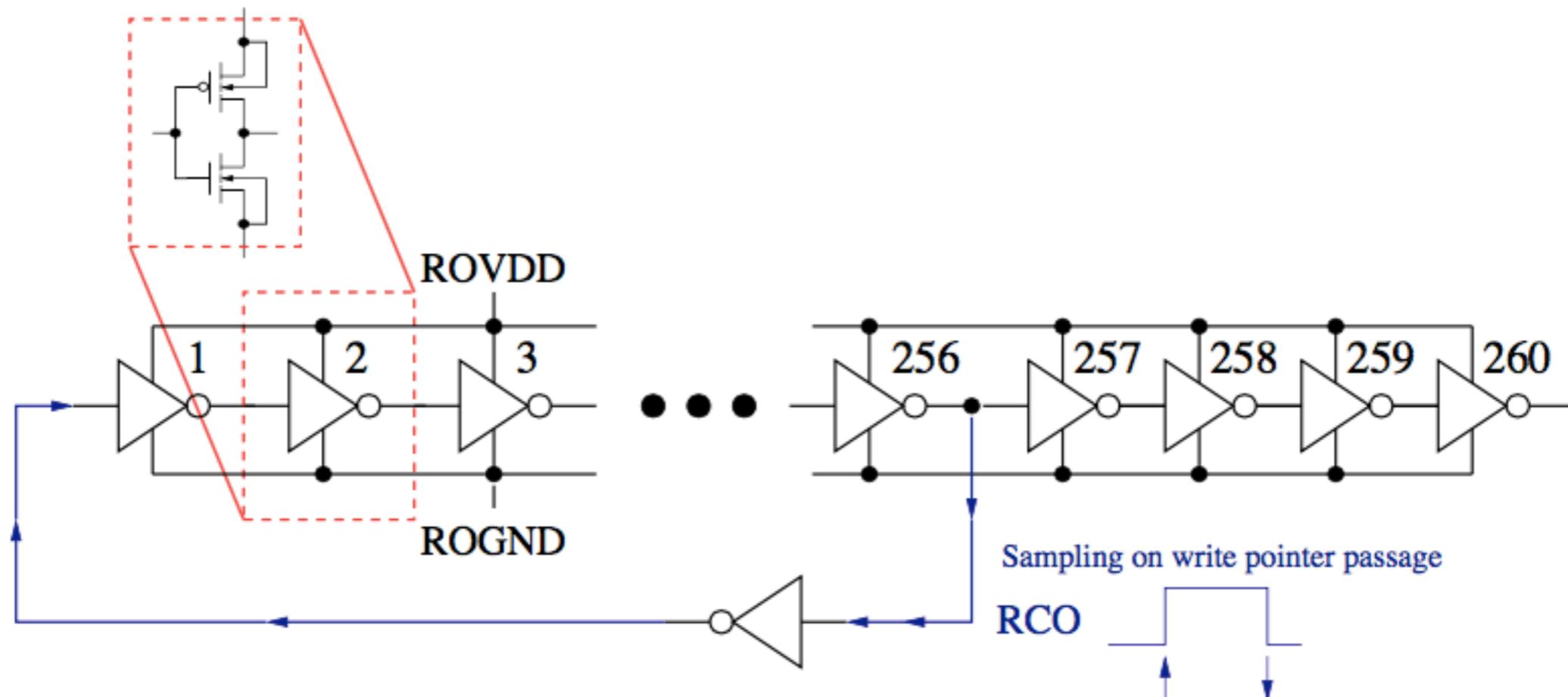
# Digitizer: LABRADOR3

Large Analog Bandwidth Recorder And Digitizer with Ordered Readout version 3 (LABRADOR3)

Ring buffer allows constant sampling until digitization

Measures voltage per time. 12-bit (10 effective) readout with 2.6GS/s sampling rate.

One per channel, 96 total. 800MHz analog bandwidth, ~100ns record length





# Analog Triggering: SHORT board

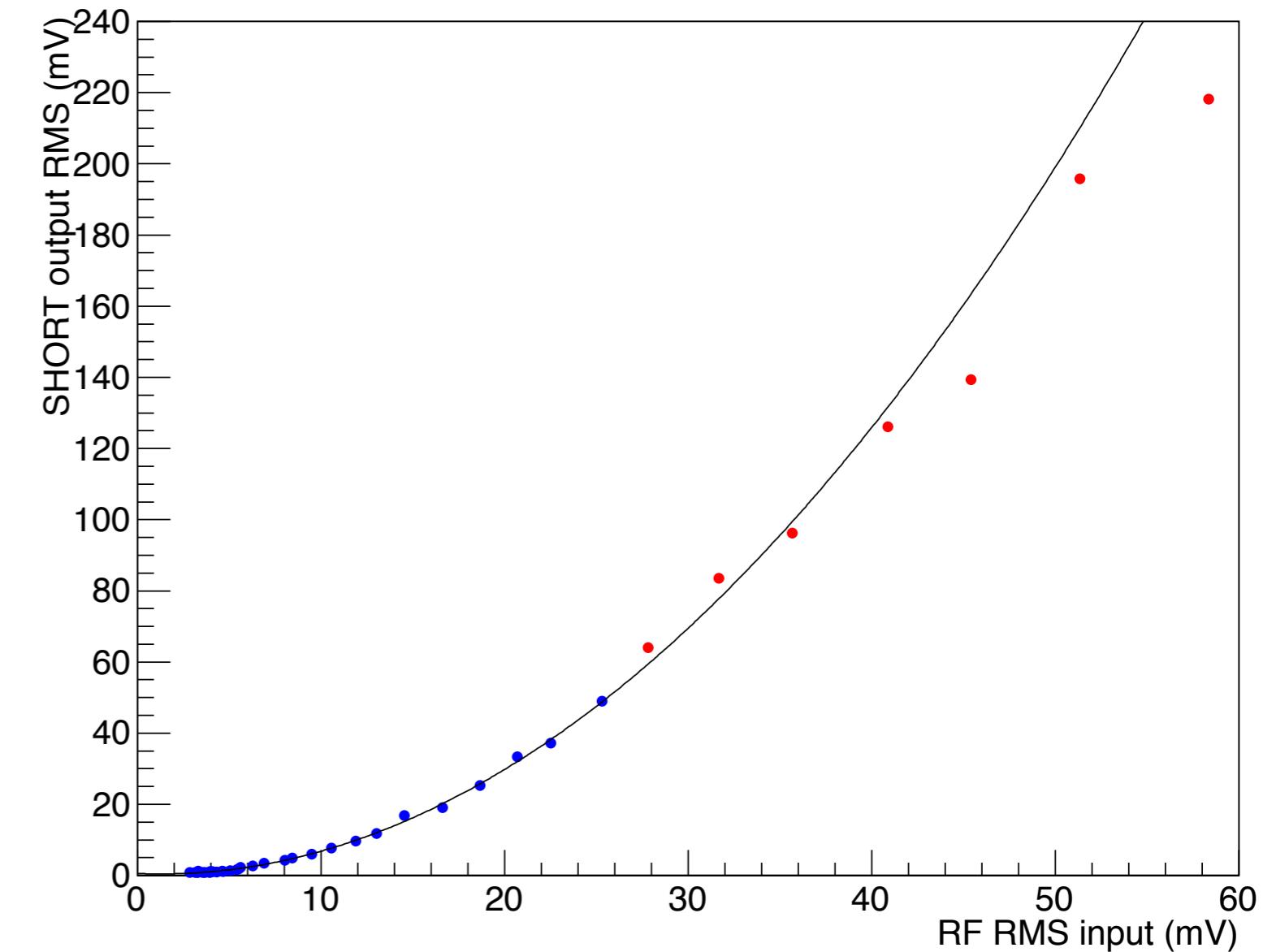
SURF High Occupancy RF Trigger (SHORT)

8 channels per SURF, 12 SURFS

Ezaki (tunnel) diode: rectifies and integrates incident RF power.

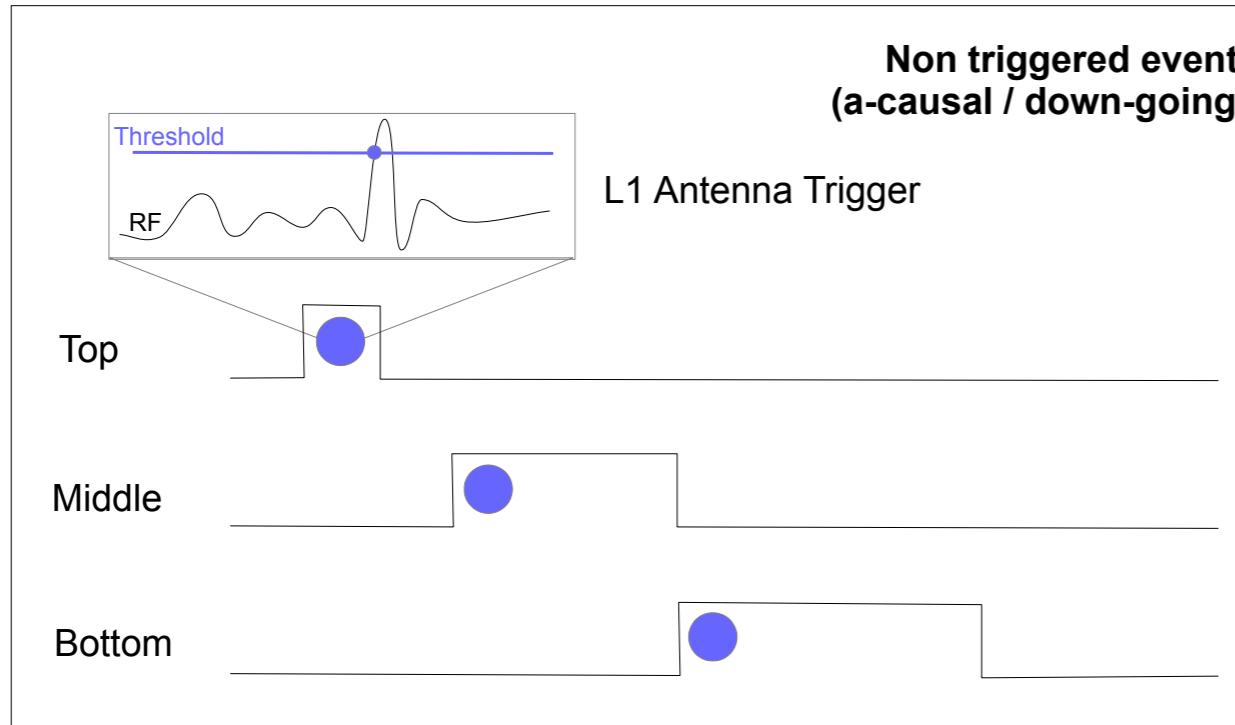
On-board amplifier increases signal strength, then signal is fed into Field Programmable Gate Array comparator which propagates digital signal to trigger logic

SHORT RMS vs RF power





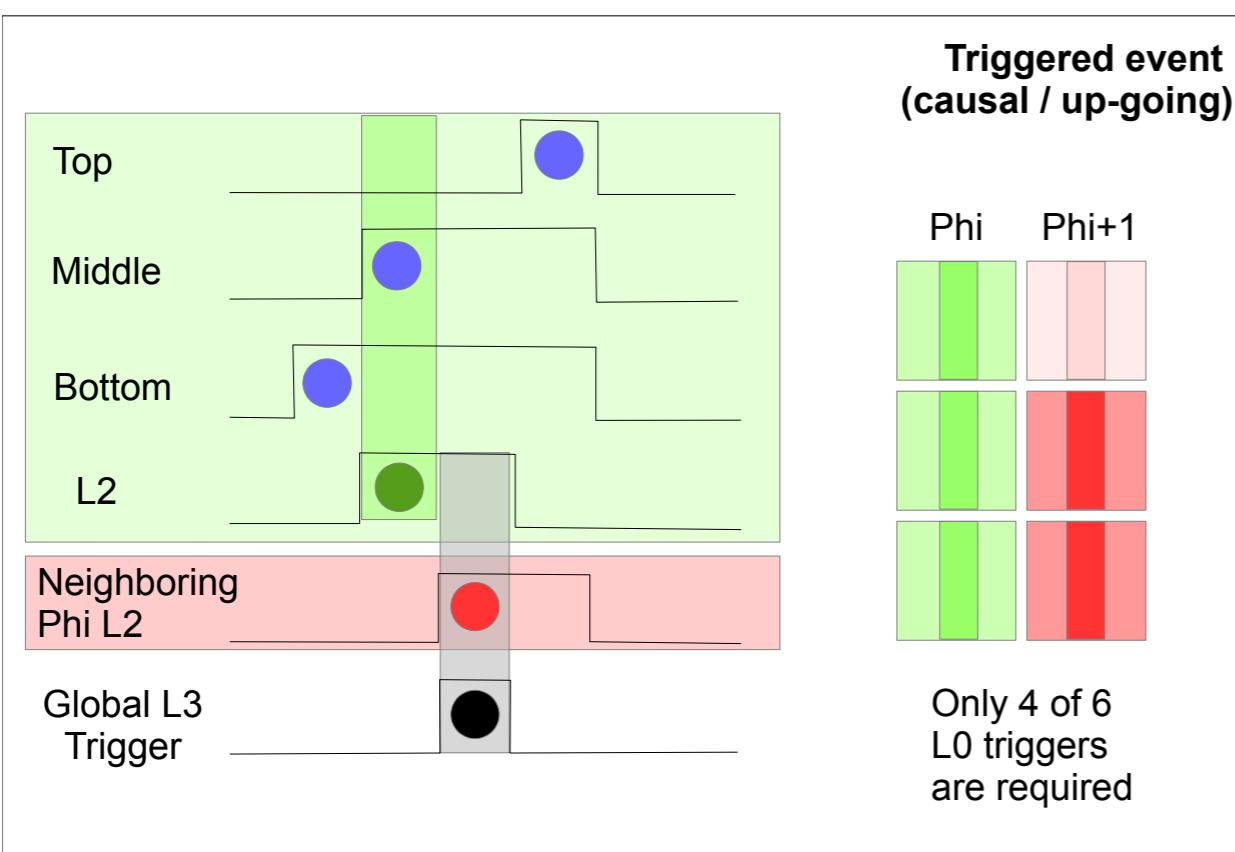
# Trigger Hierarchy



Logic signal from SHORT input generates L1 trigger.

Trigger requires upward propagating plane wave signatures with coarse pattern matching

L1 triggers are required to be causal between antennas within single phi sector

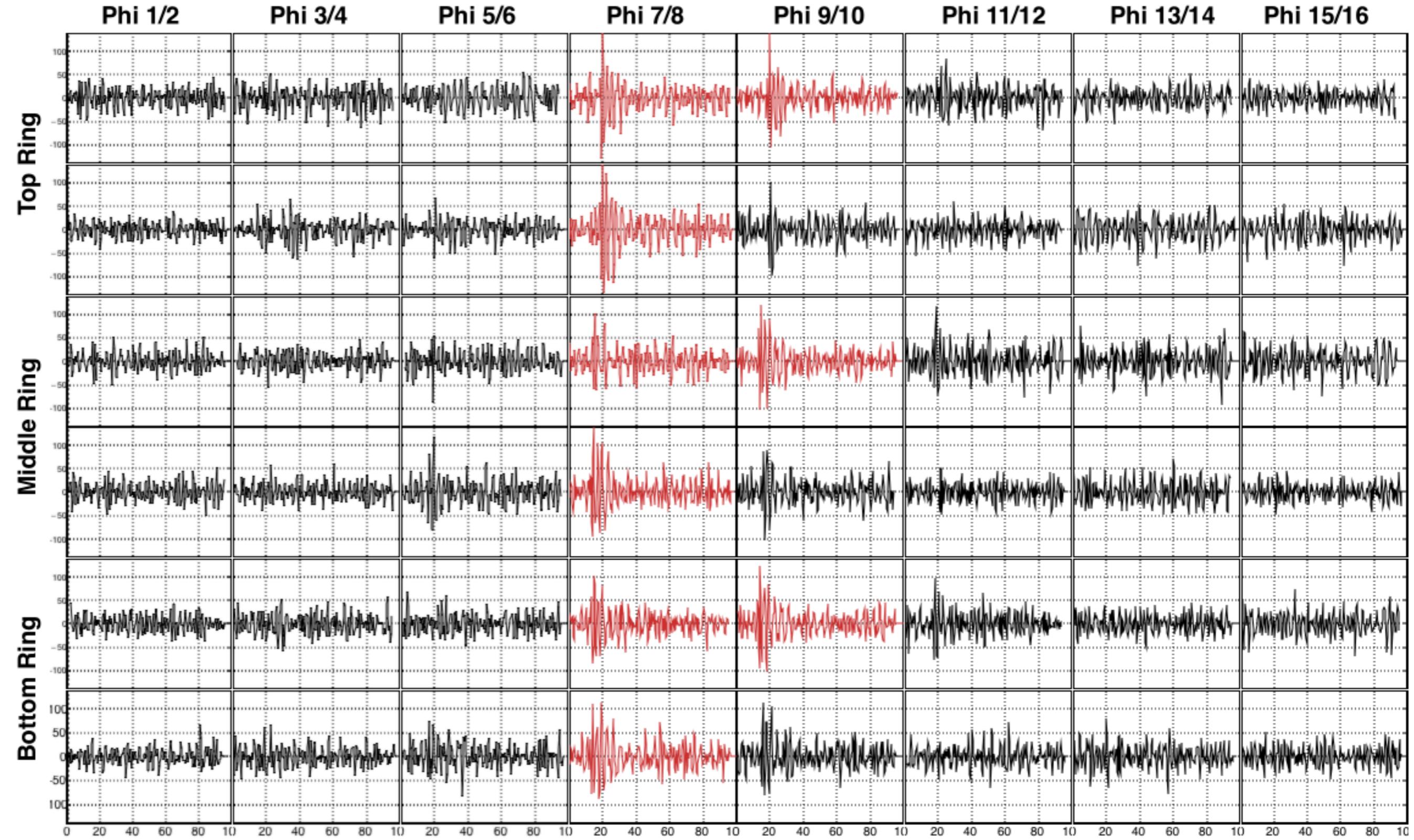


L2 trigger fires if 2/3 L1s are within causal window

L3 (global) trigger fires if two neighboring phi sectors report an L2 trigger. Global trigger which initiates digitization.



# Example recorded signal event

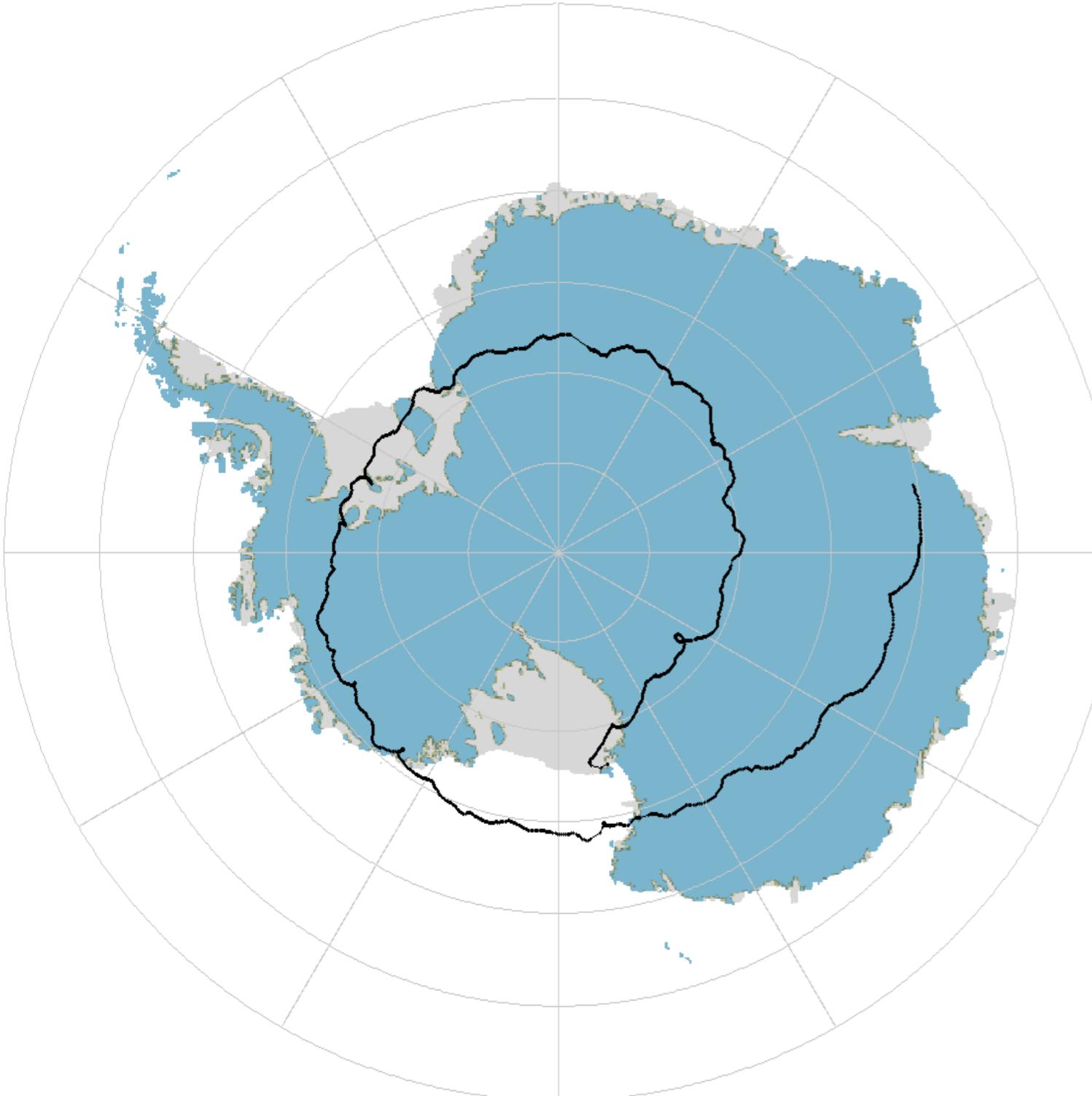


WAIS pulser signal

Red denotes triggered phi sector



# ANITA-III flight



Launched on  
December 17th 2014

Flew for 22 days before  
landing near Davis  
station

Captured ~84,000,000  
events



# ANITA-III Launch



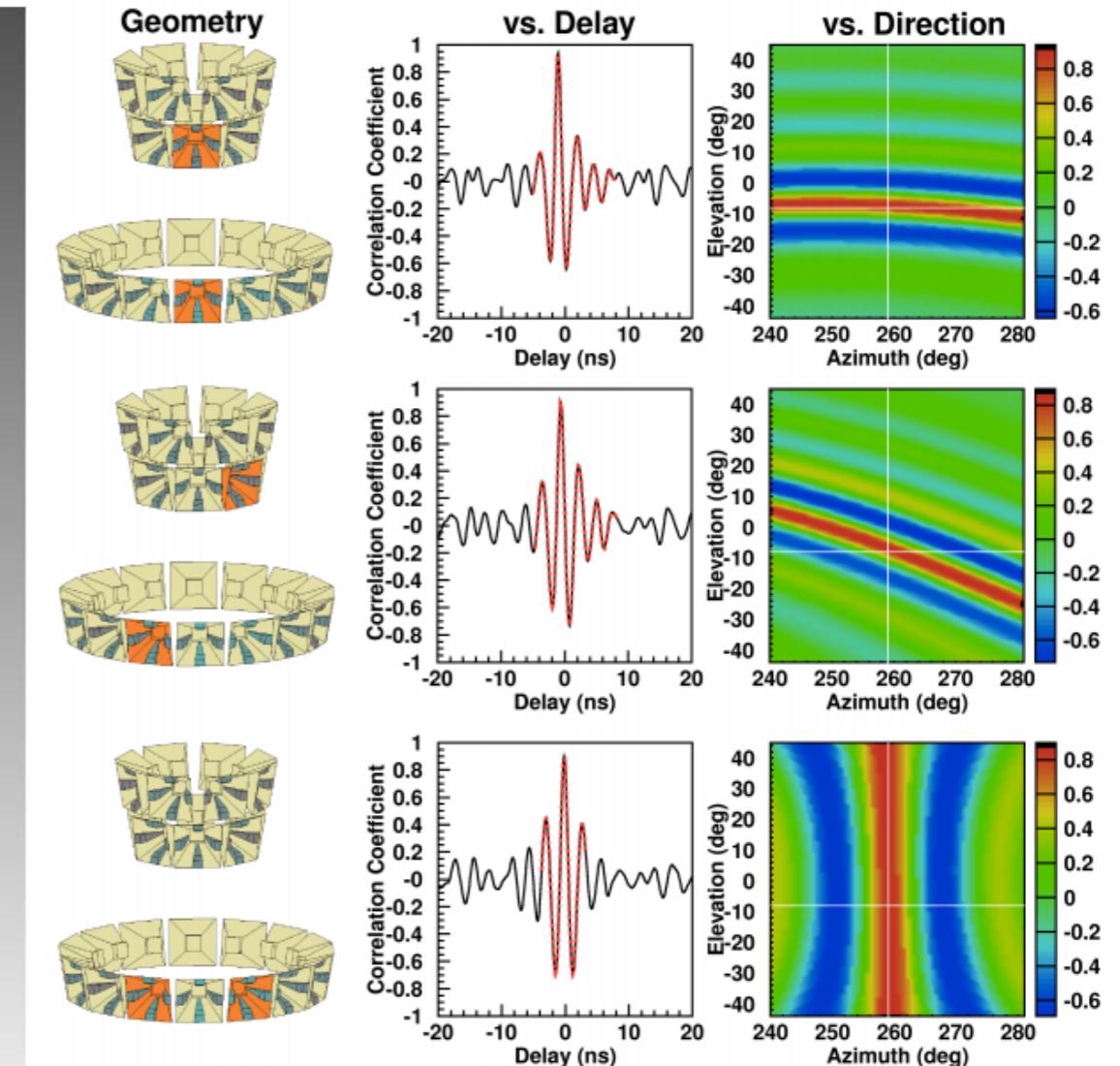
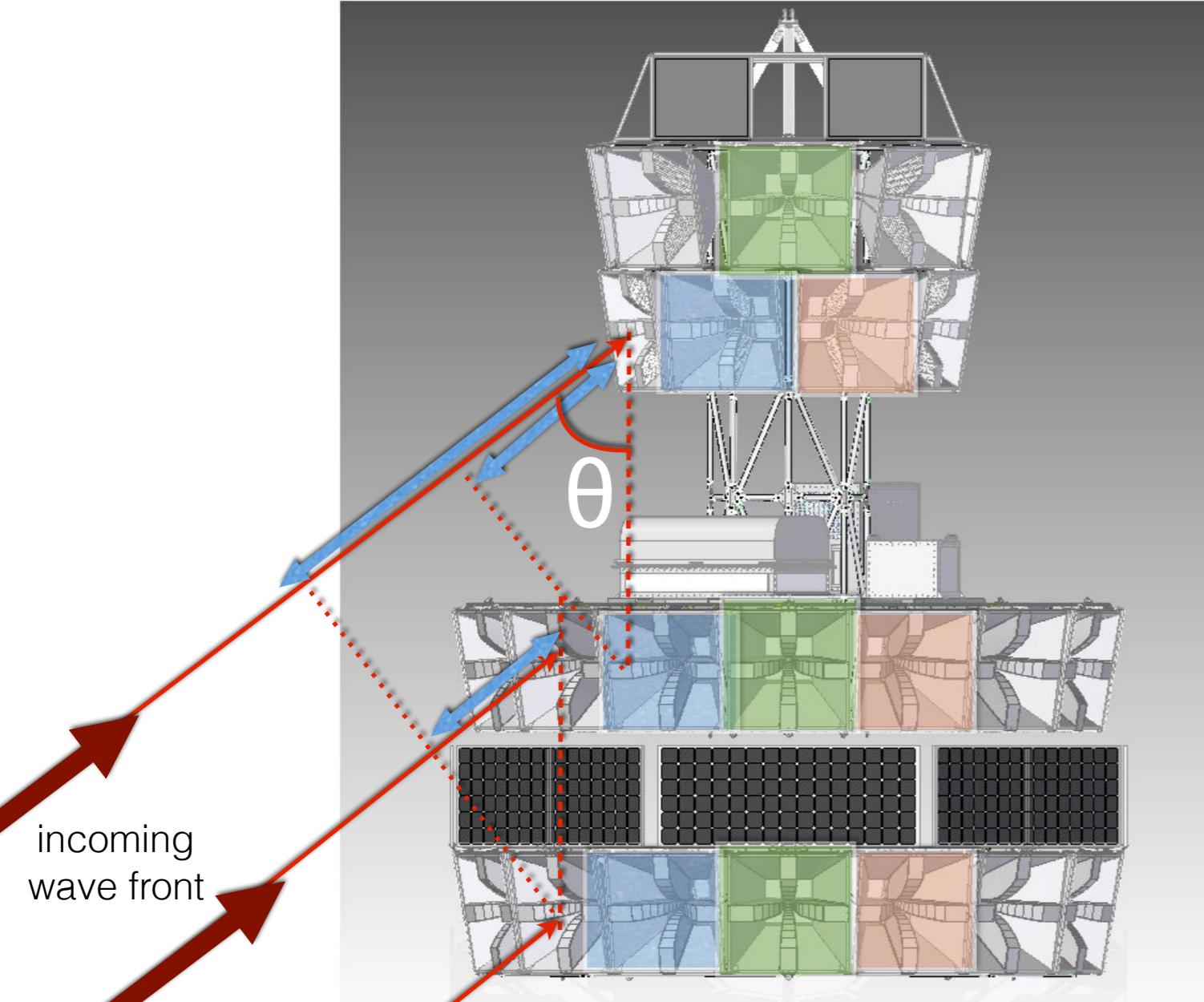


# ANITA-III Landing





# Radio Interferometry



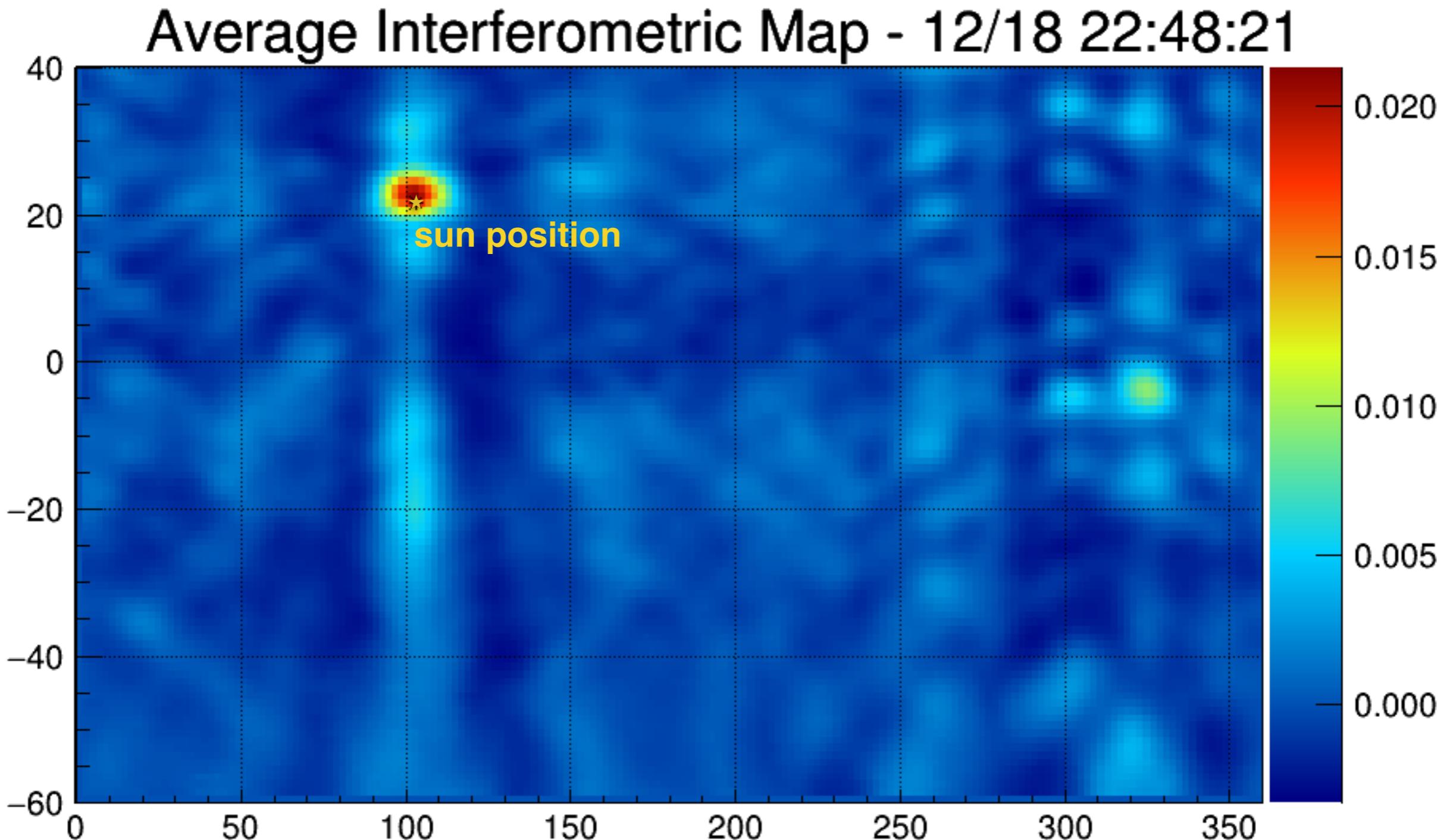
Physical baseline offsets between antenna pairs create incident angle likelihood interferograms

ANITA has better elevation angle uncertainty due to the longer baselines



# Radio Interferometry

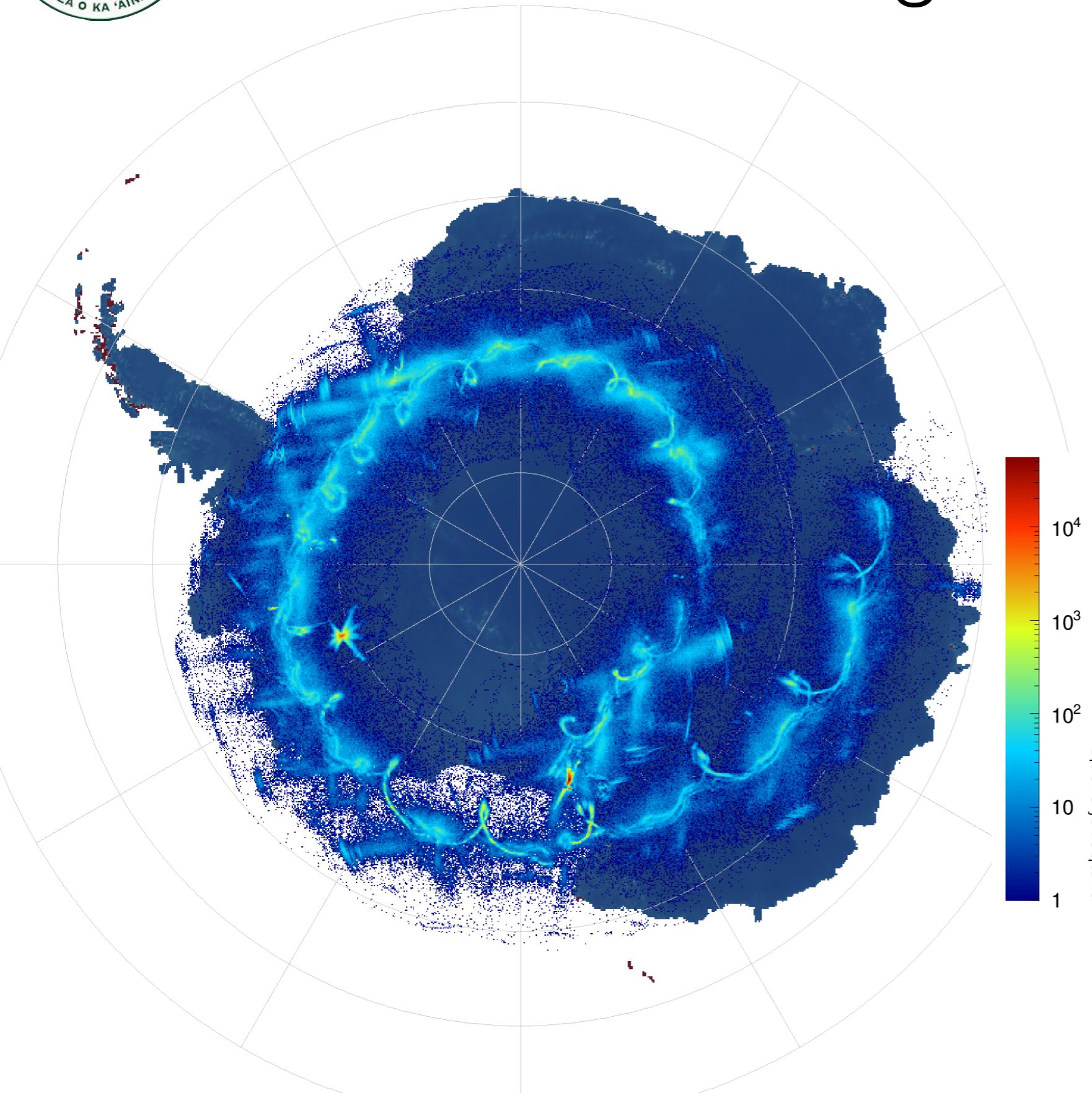
Overlaying multiple baseline pairs can be used to create an "image" of the incident signal



Stack enough maps and you can see the sun



# Pointing event to continent



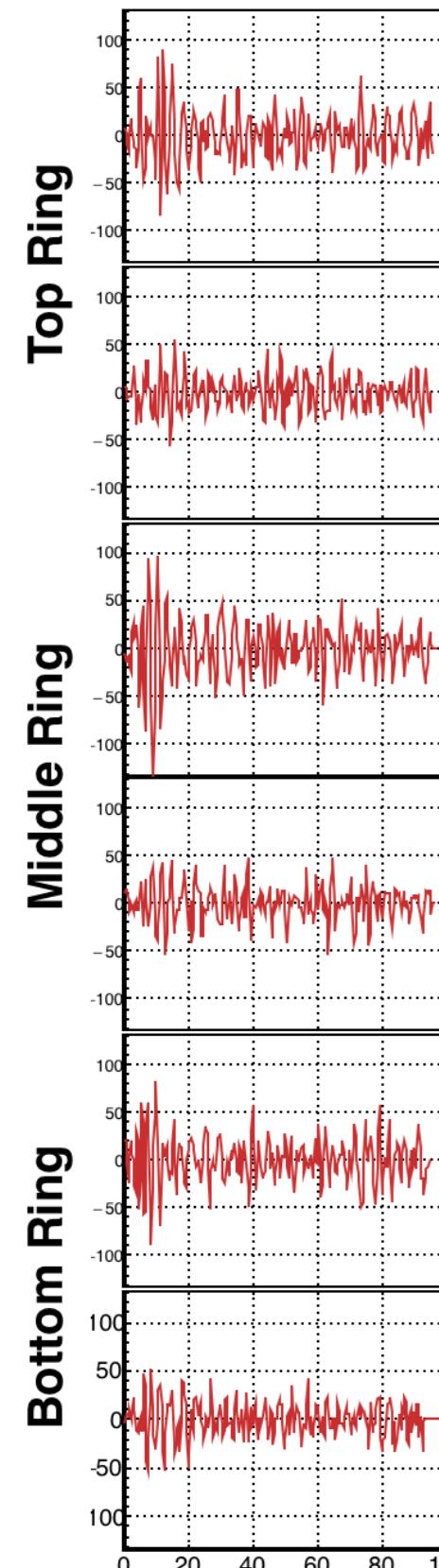
Once incident signal direction is determined, geolocate it to the continent.

This gives you an idea of where sources are on the continent

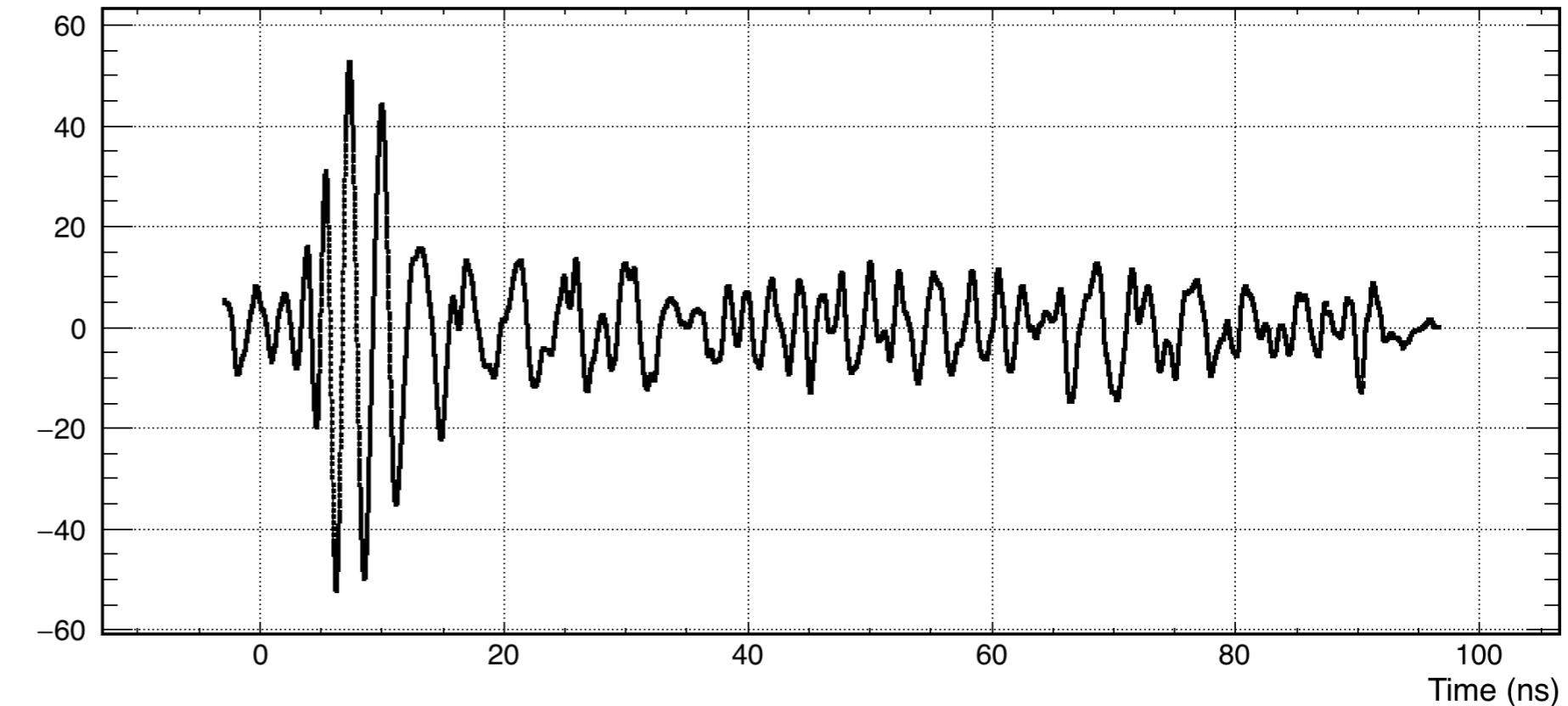


# Coherently summing waveforms

Phi 1/2



Coherent Filtered



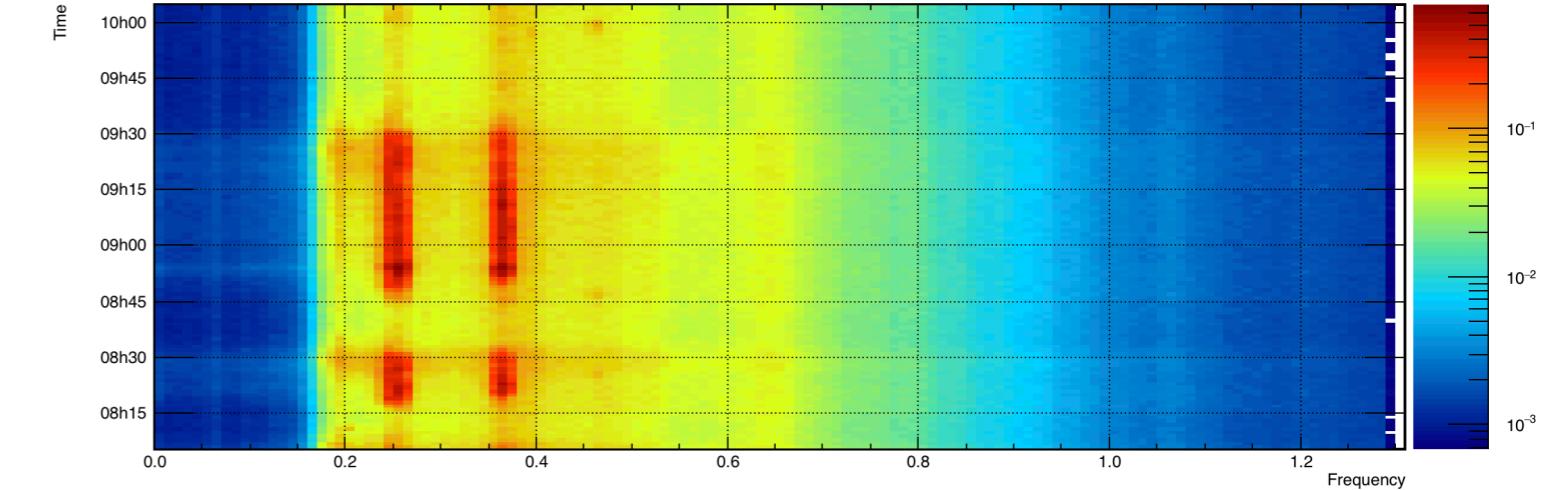
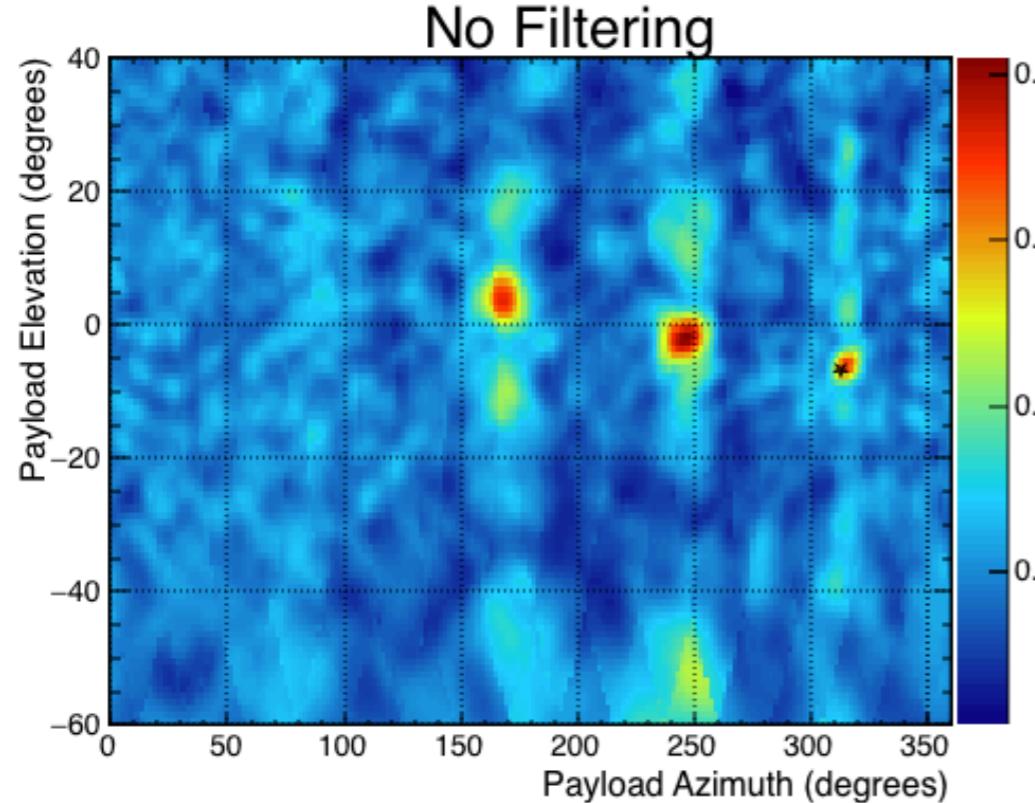
Also known as beam forming

Adding waveforms with timing offsets determined by using the peak of the interferometric map allows signals with low SNR to be found.

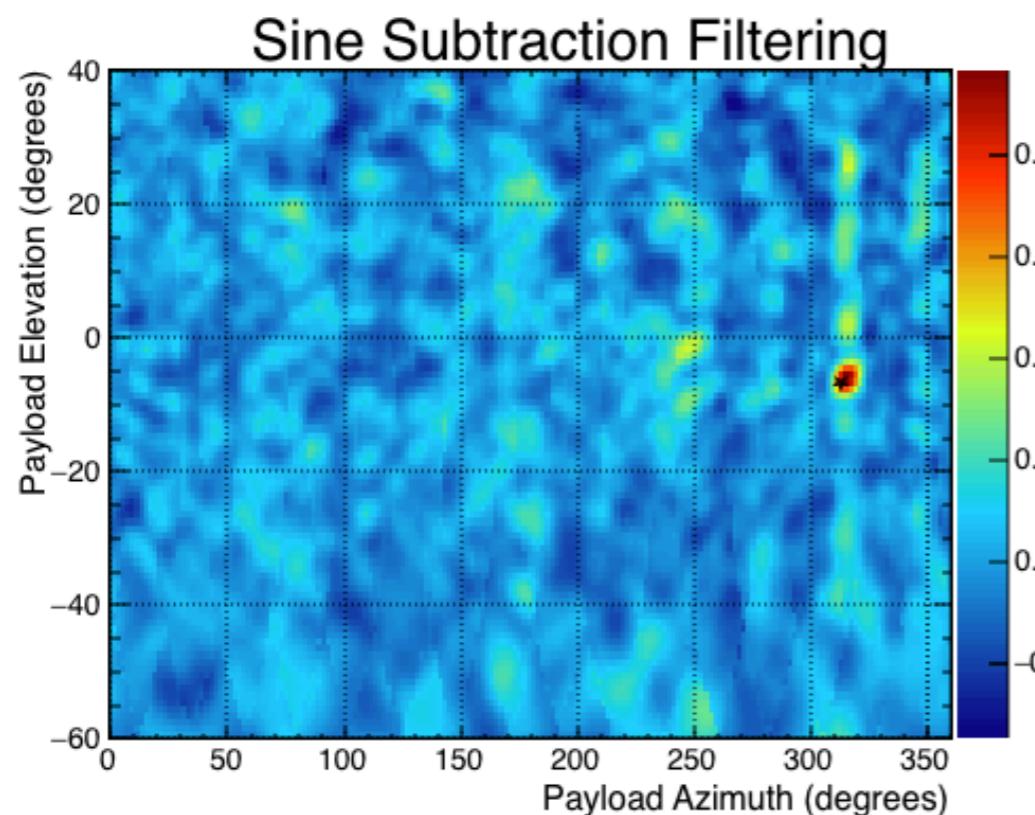
15 antennas, 5 phi sectors, are combined in this manner to form each final waveform



# Sine subtract filtering



ANITA-III was plagued by carrier wave (CW) satellite noise at 220MHz and 380MHz



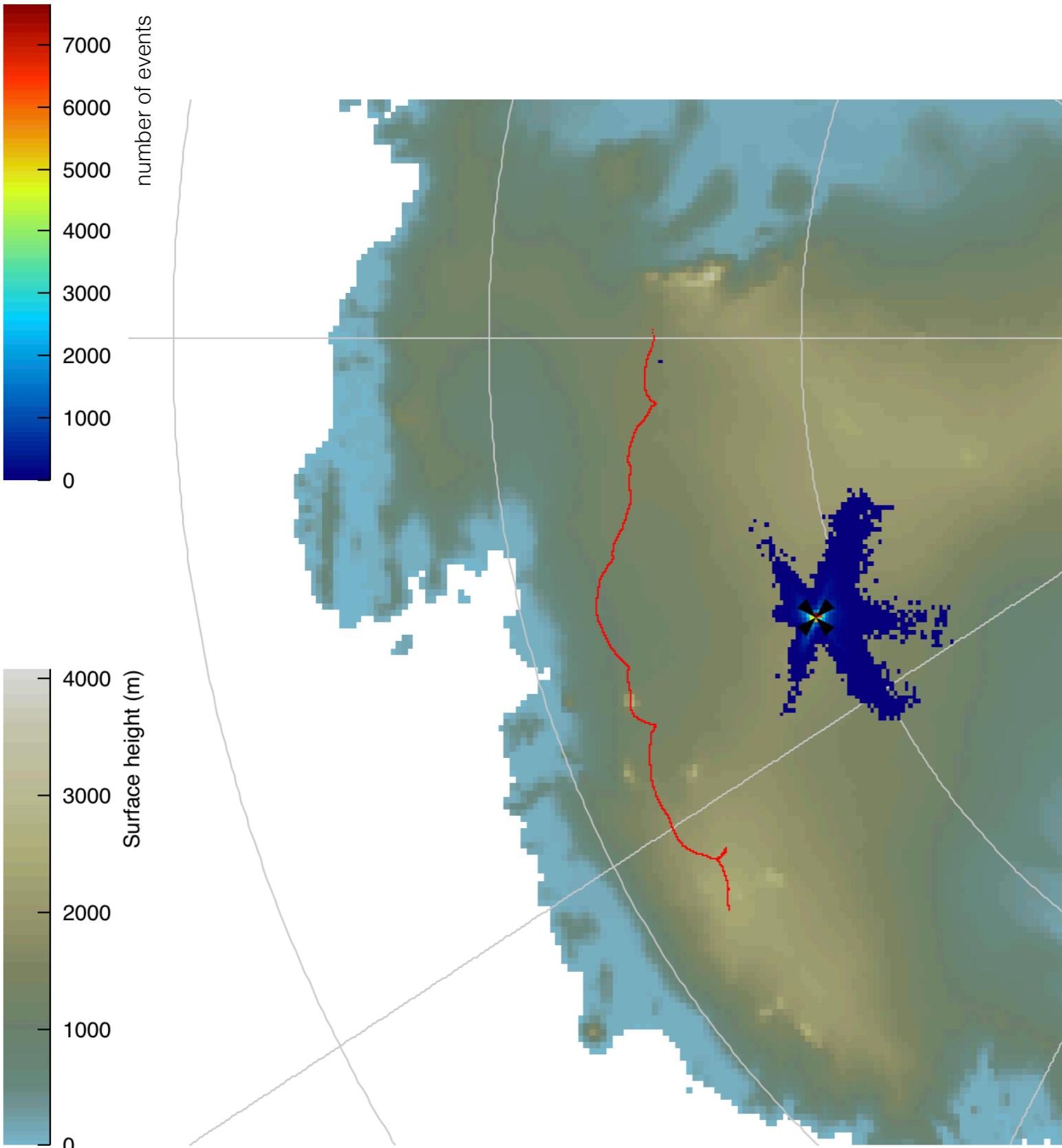
These sources reconstruct, and often are the brightest point in interferometric maps

In place of dispersive digital finite impulse response (FIR) filtering, a novel method of iteratively subtracting sine waves fit to waveforms was developed

Sine wave subtract filtering succeeds at eliminating CW interference while minimally distorting impulsive signals



# WAIS calibration pulser



West Antarctic Ice Sheet (WAIS) Divide automated impulsive signal generator.

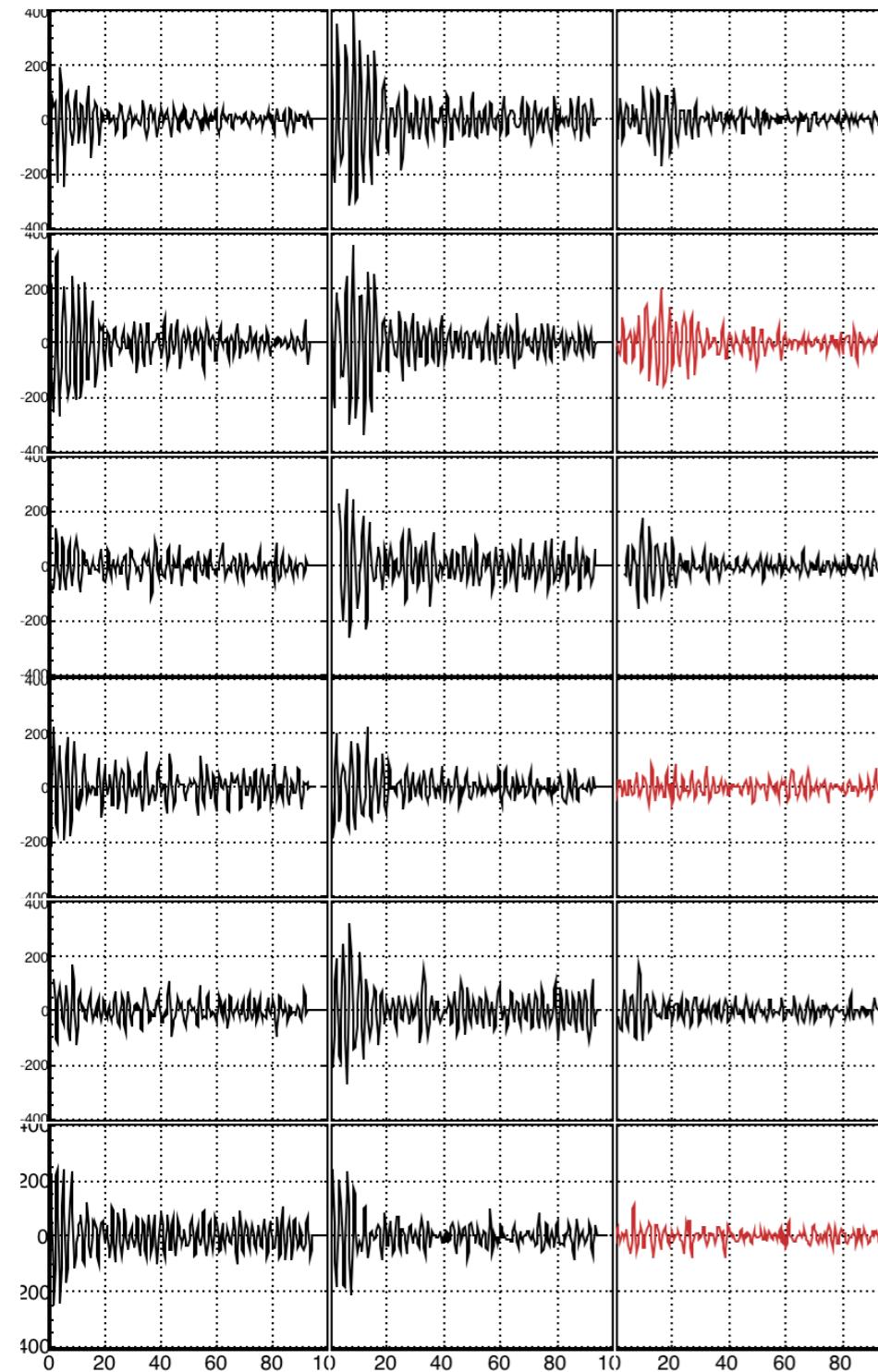
Synced to pulse on the GPS second for easy identification

These events serve as our primary calibration source for the instrument

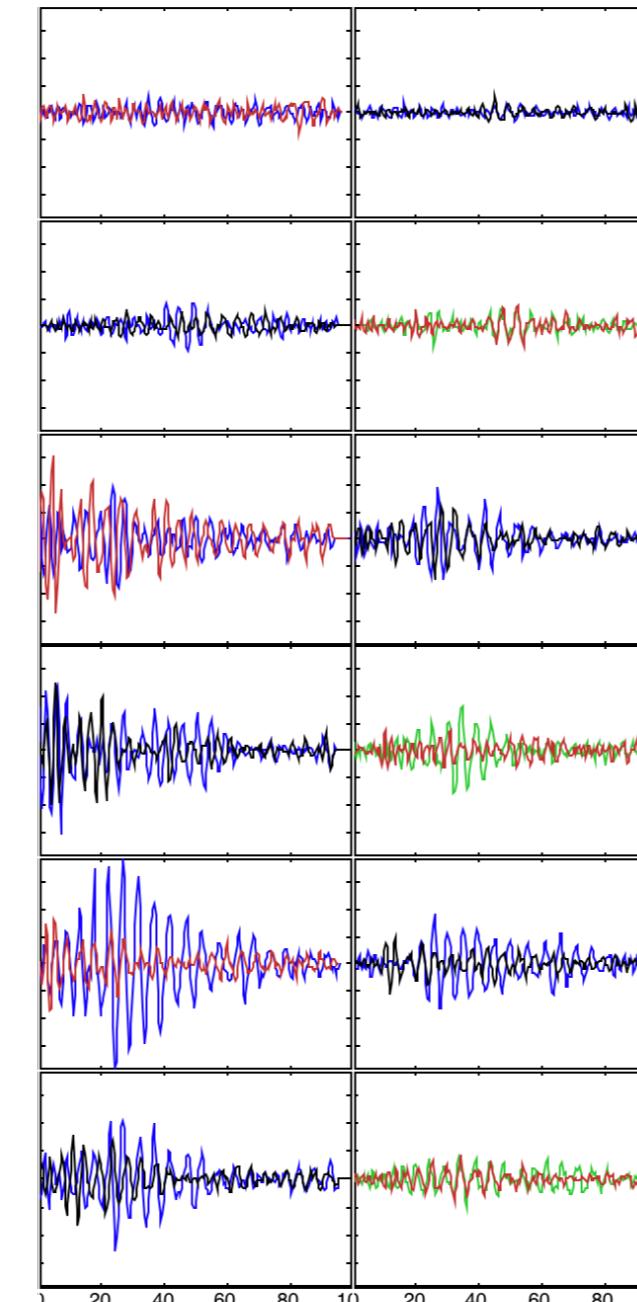


# Bad quality events

Early Waveform



Payload Blast



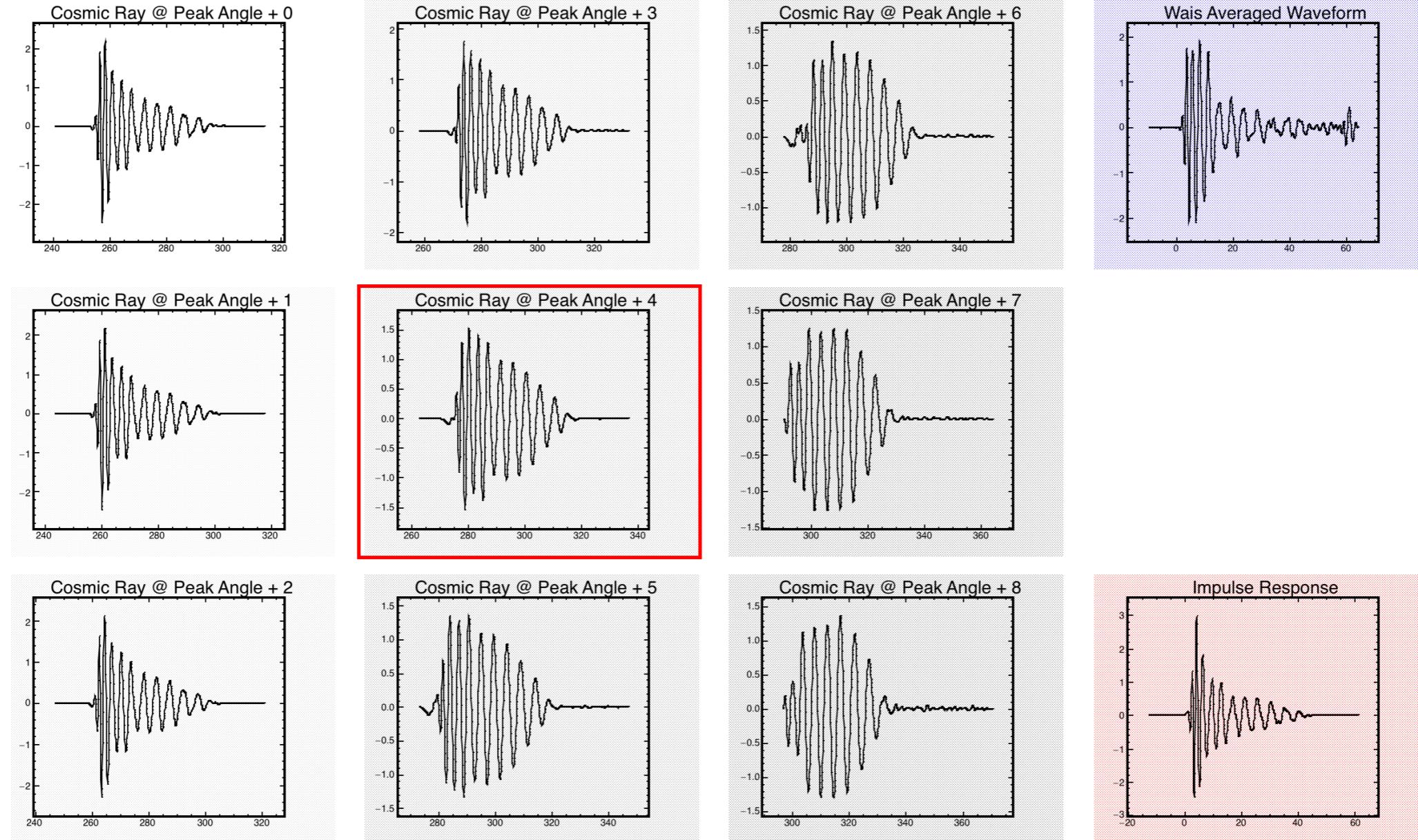
Several signal types were discovered while working through the data cuts that need to be removed.

Early Waveform events come from masked phi-sectors and “wrap” around the payload. Increased trigger latency from physical baseline causes them to be overwritten in the record

Payload Blasts are suspected on-payload transients near the bottom of the payload



# Cosmic Ray Template Search



Cosmic ray impulsive signals have been measured extensively and can be reliably simulated

For a CR search, a requirement that signals have high normalized correlations with simulated impulses convolved with the instrument impulse response will eliminate most thermal and anthropogenic events, while being highly efficient on real CR signals



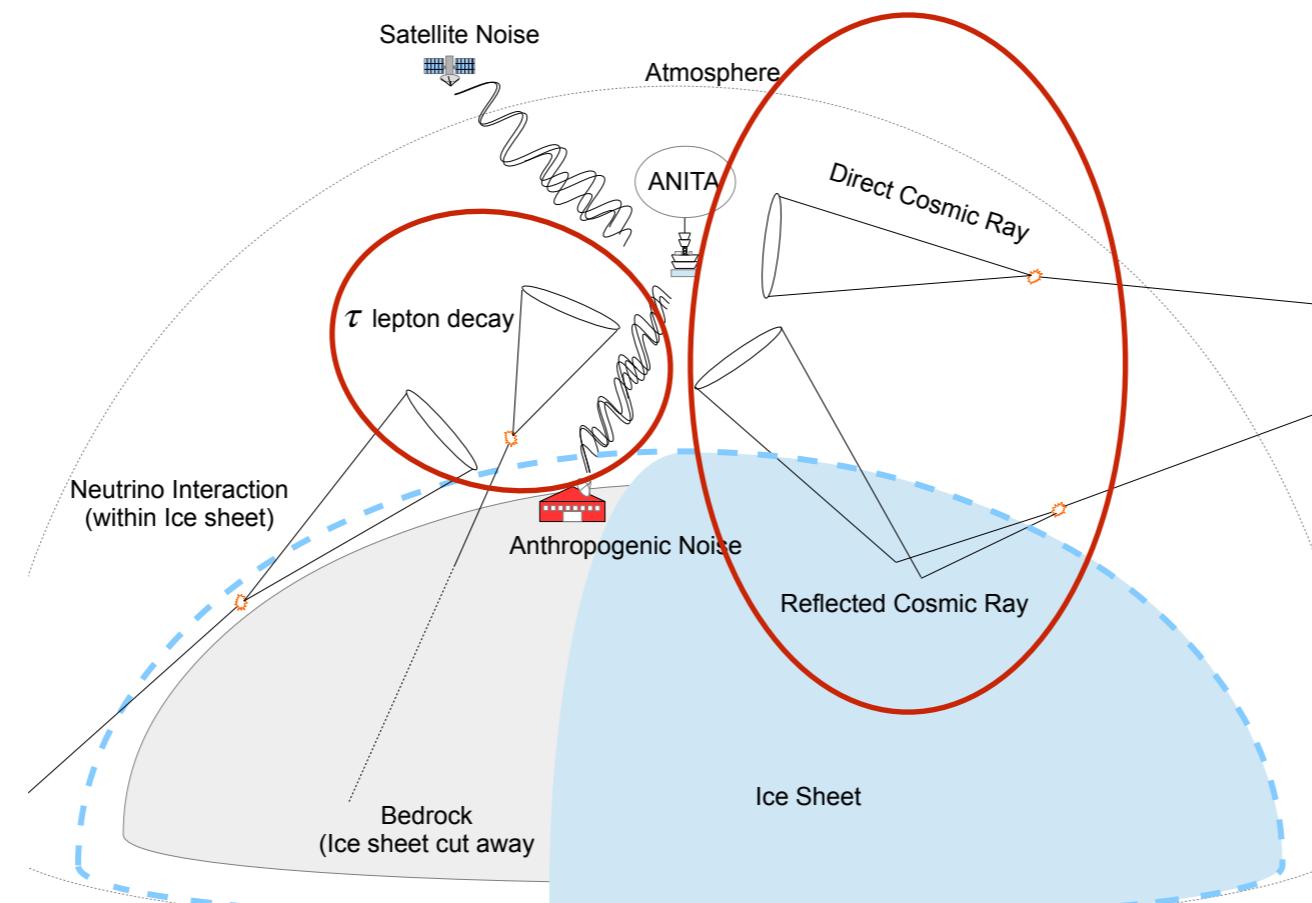
# Blind search for taus

Don't want to bias analysis in search for taus

Only absolute peak template, regardless of max or min, is saved

Additional polarity blinding: Signals are inverted randomly by opaque software routine

Do not look in ve or vu channel, which has a dominant vertical polarization



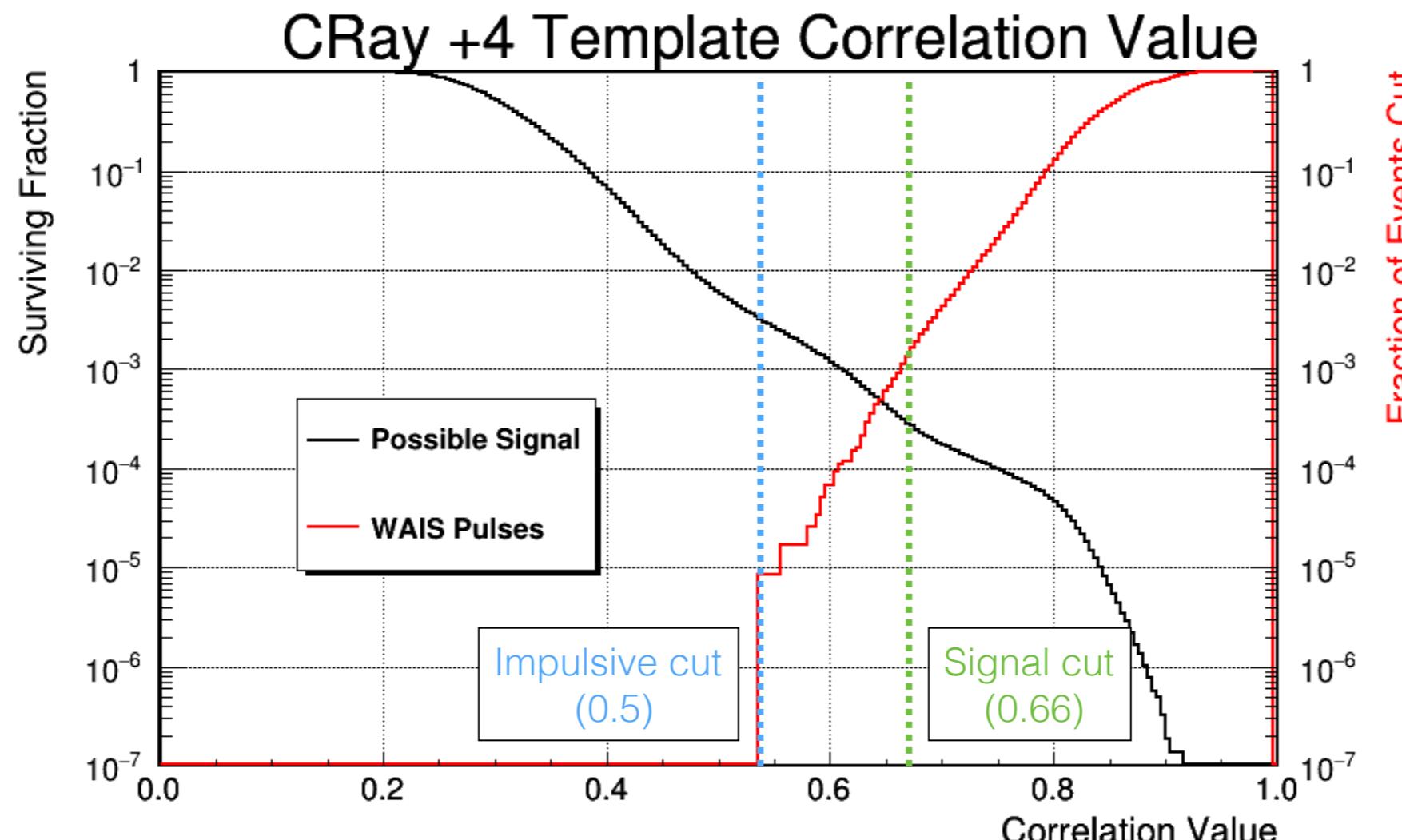


# Cut Values

Template correlation value is *extremely* efficient on signal, while anthropogenic and thermal backgrounds are significantly reduced

Cut is so good, a second, lower cut must be established to allow through weak anthropogenic signals for clustering

Additional cuts on signal impulsivity are made to allow through >99.9% of WAIS pulser events





# Additional cut parameters

Start with 41,566,966 H-Pol triggered events

Quality Cuts: 2,670,191 remain ( $6.42 \times 10^{-2}$ )

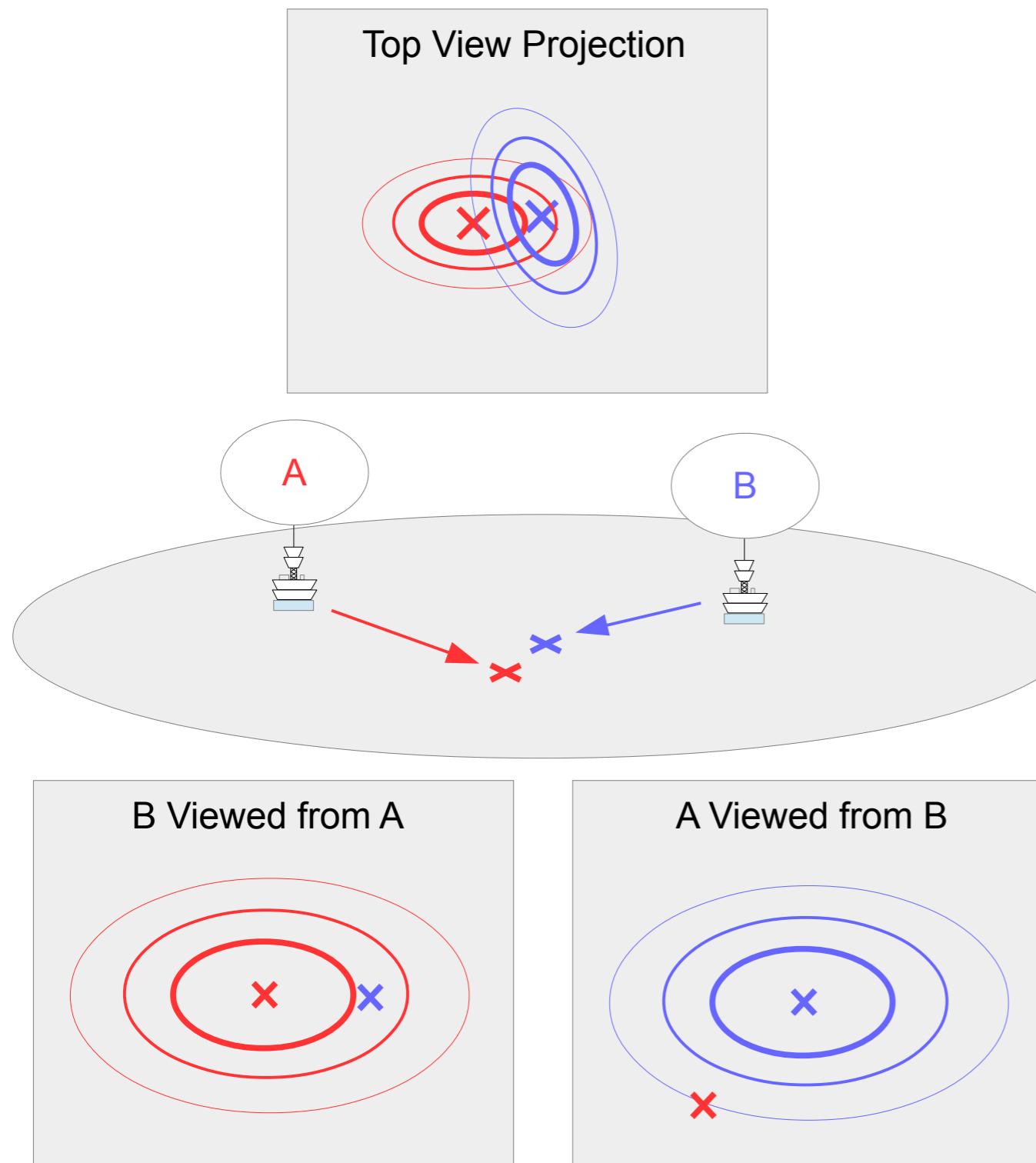
Impulsivity Cuts: 5997 remain ( $1.44 \times 10^{-4}$ )

Signal Cuts: 882 events( $2.12 \times 10^{-5}$ )



# Event Clustering

**Candidate physics events will be isolated in time and location**



Clustered signals are characteristic of anthropogenic background

Log Likelihood event clustering metric

Determines “closeness” of two events by comparing the angular difference from one to the other, and vice versa.

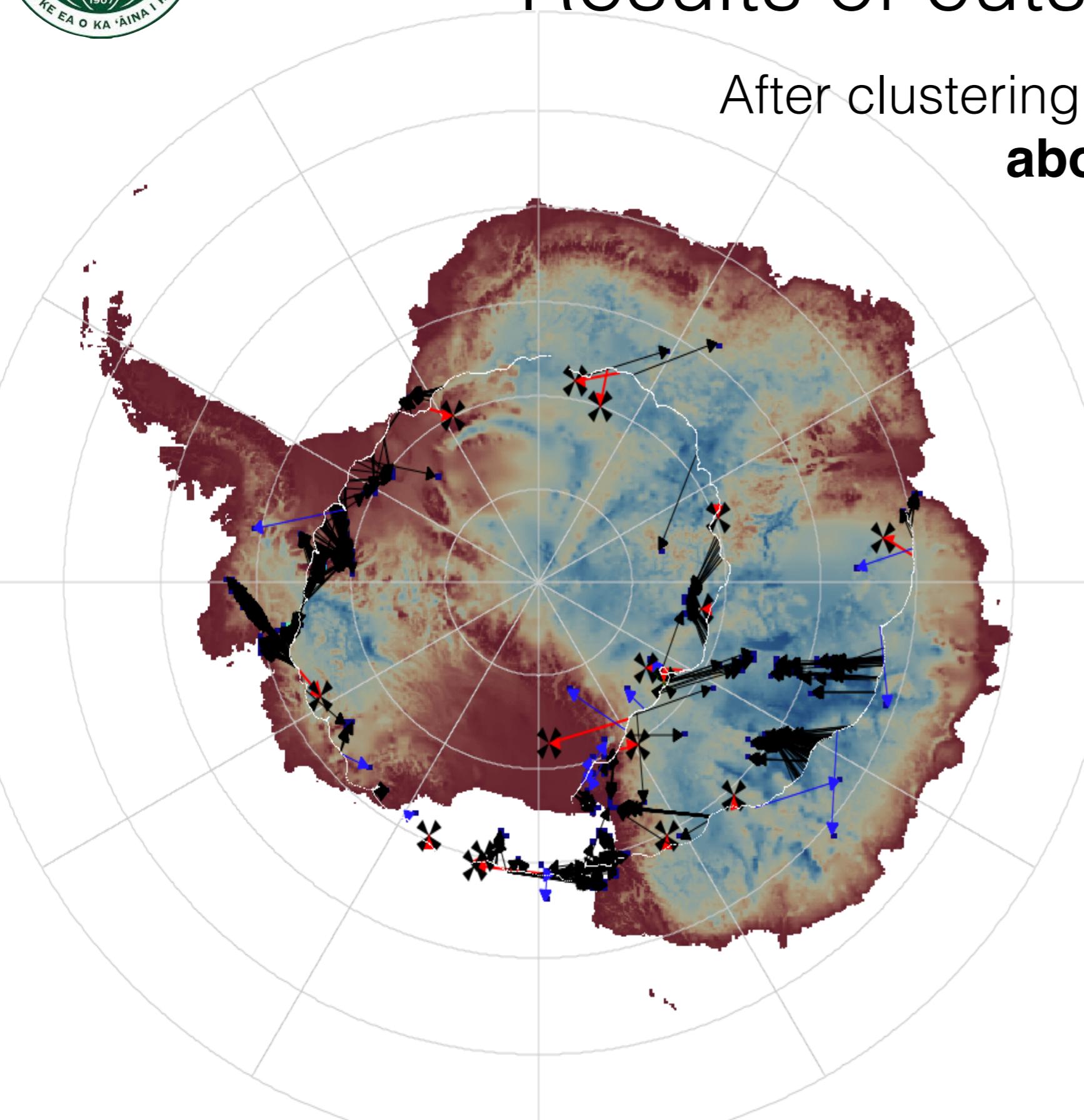
Events that point to the continent with a value  $L < 40$  are considered to have clustered, and removed from the final signal set

$$L = \sqrt{\frac{\Delta\phi_{AB}^2 + \Delta\phi_{BA}^2}{\sigma_\phi(SNR)} + \frac{\Delta\theta_{AB}^2 + \Delta\theta_{BA}^2}{\sigma_\theta(SNR)}}$$



# Results of cuts and clustering

After clustering: **18 CR Candidates + 2 above horizon**



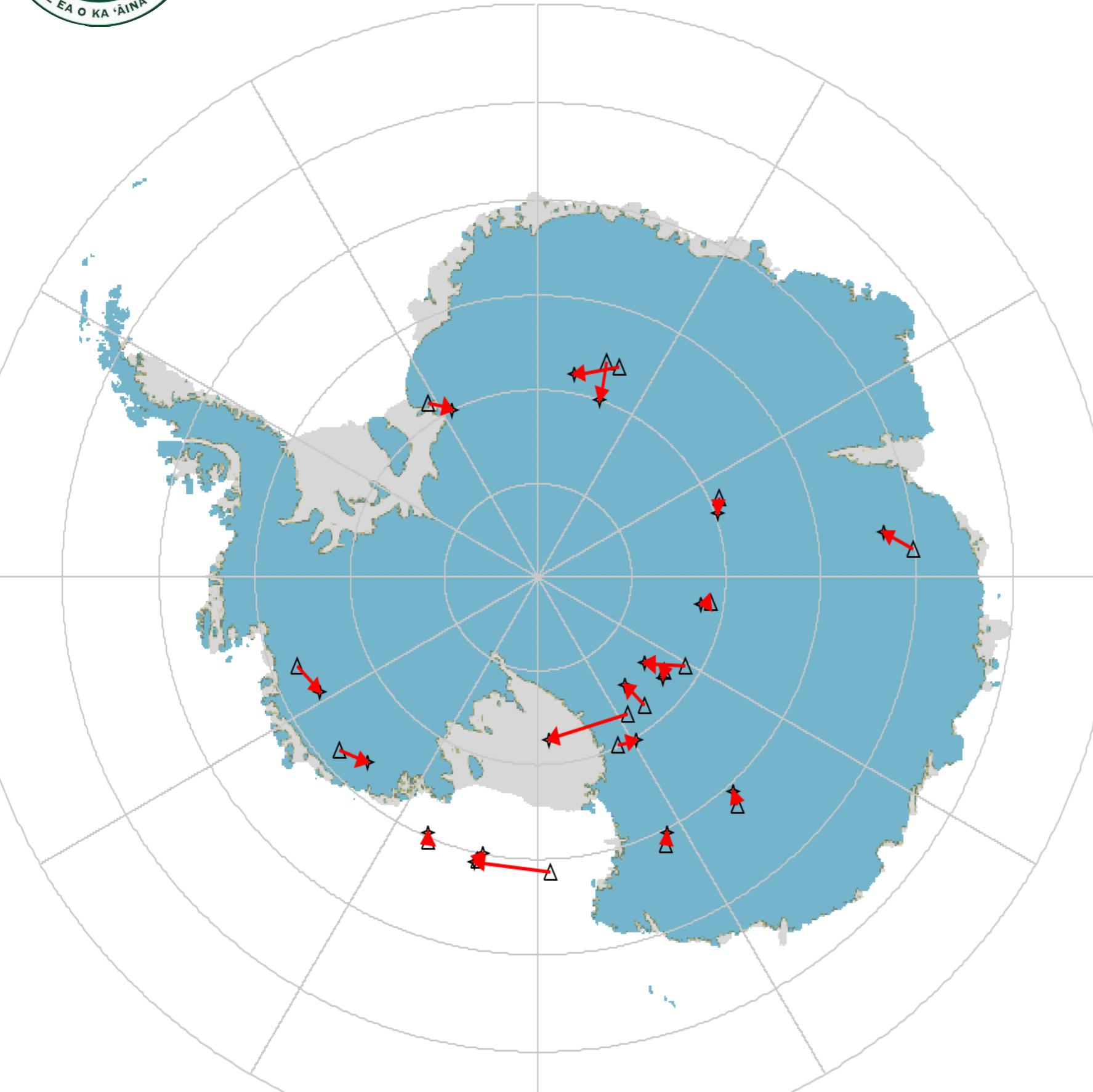
Red arrows to black **X**s  
denote candidate events that  
project to the continent

Blue arrows pass impulsivity  
cuts, but fail signal cuts

Black arrows are events that  
cluster with at least one  
additional event



# 20 Isolated events that pass all signal cuts



With this search, I found 18 CR-like signals that pointed below the horizon onto the continent

I also found 2 CR-like signals that pointed below the horizontal, but above the horizon

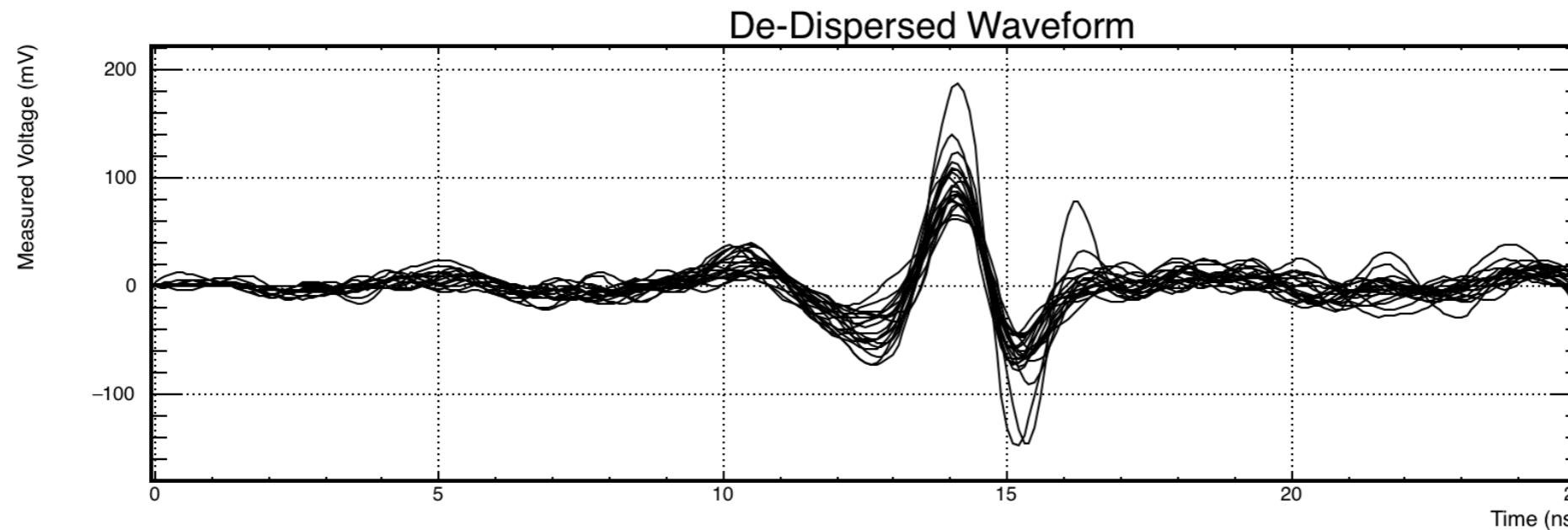
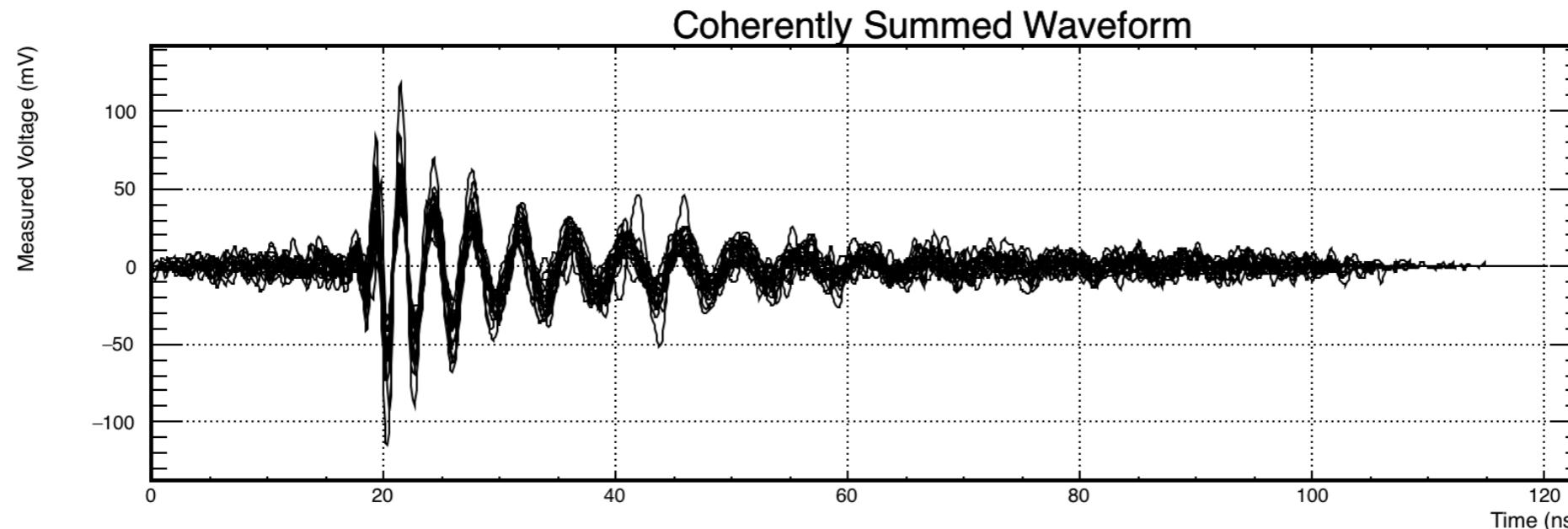
These are candidates for CRs interacting in the atmosphere



# Resulting CR Candidates

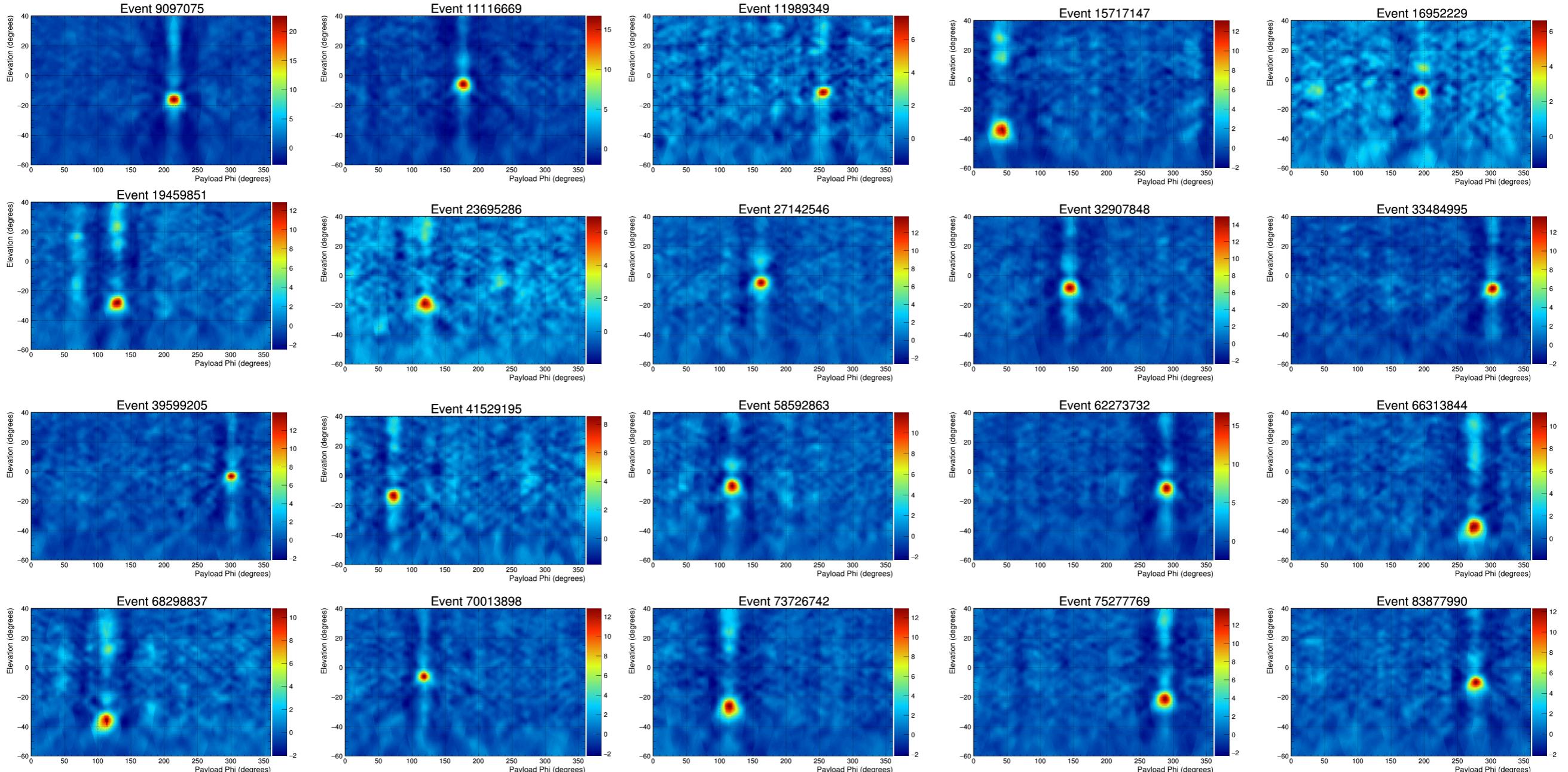
Polarity was blinded for this analysis, to ensure no bias in selecting for signals that matched UHEv

Polarity corrected for this plot according to results of polarization estimator





# H-Pol Candidate Maps





# Whats next?

- Polarity unblinding for UHE
- ANITA-IV data is still being analyzed
- A ve and vu blind search
- Graduating!



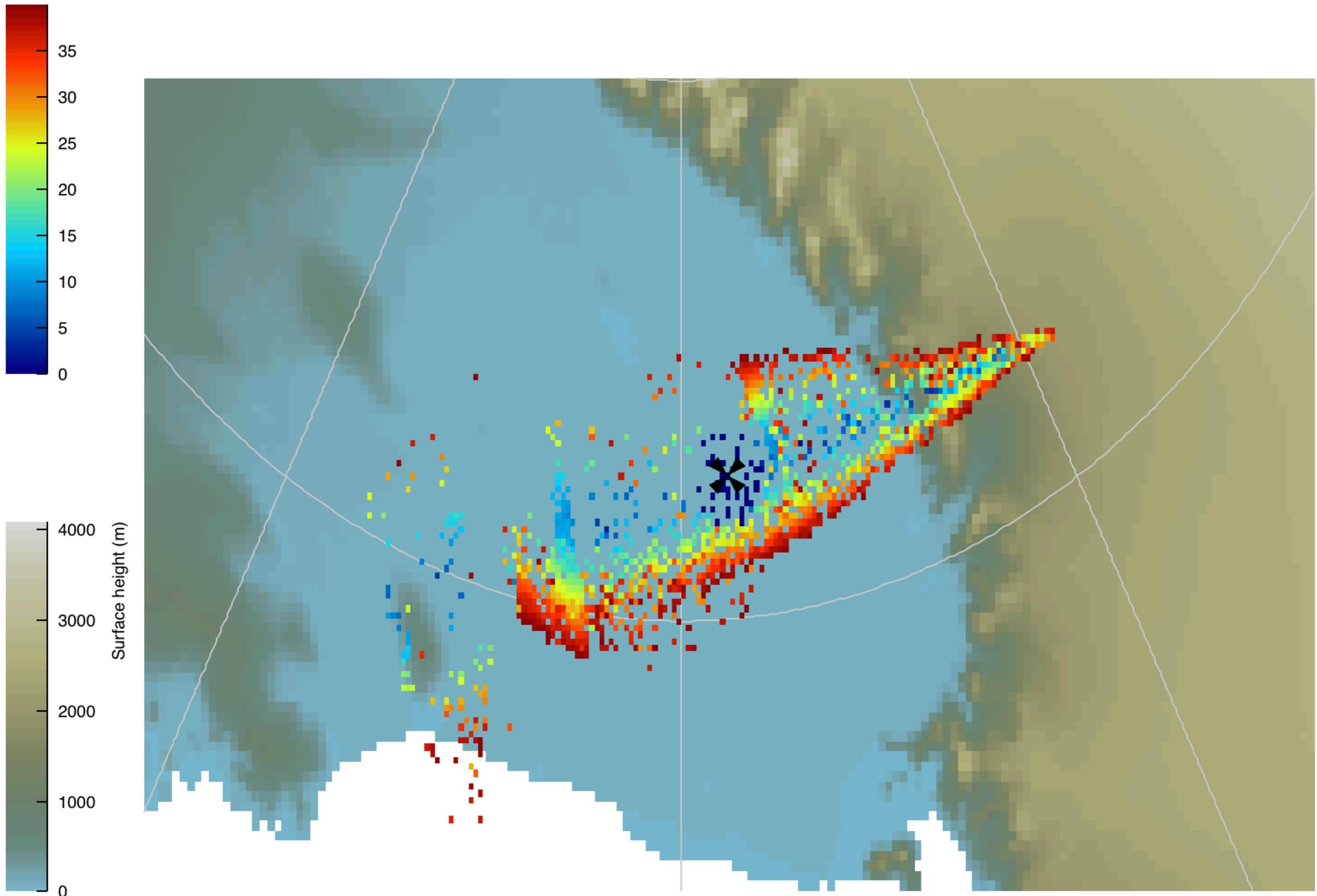
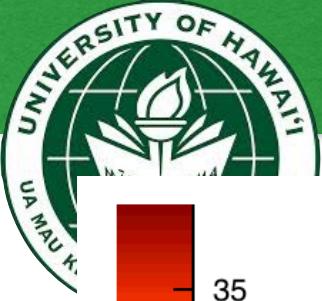


# ANITA-III Launch



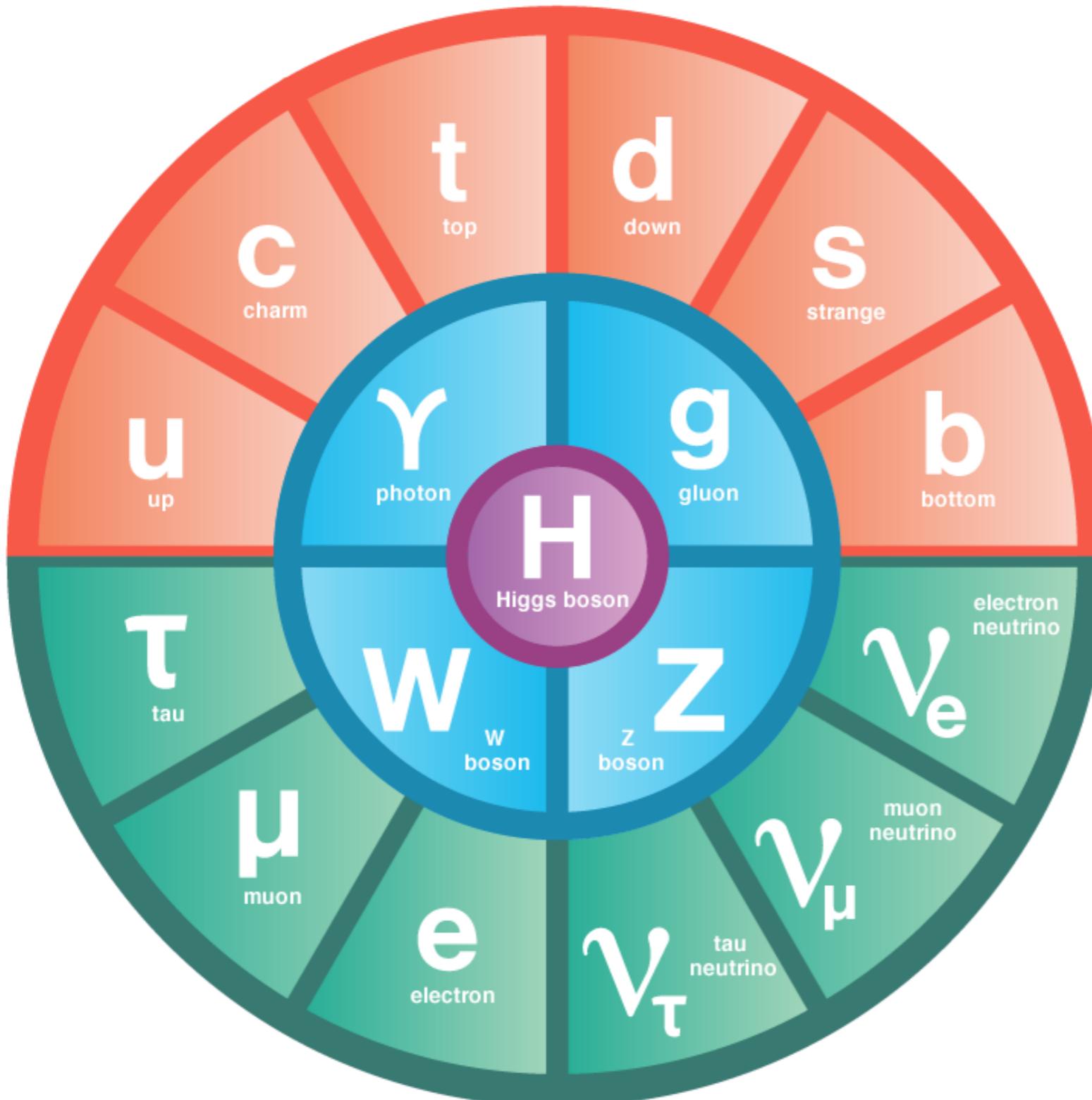


# Extra Slides





# The Standard Model



- Foundation of particle physics
- CRs are baryons, combinations of three quarks, found in the red section
- Neutrinos are leptons, which are the bottom green section
- This figure is in every talk, so it should at least be a backup slide

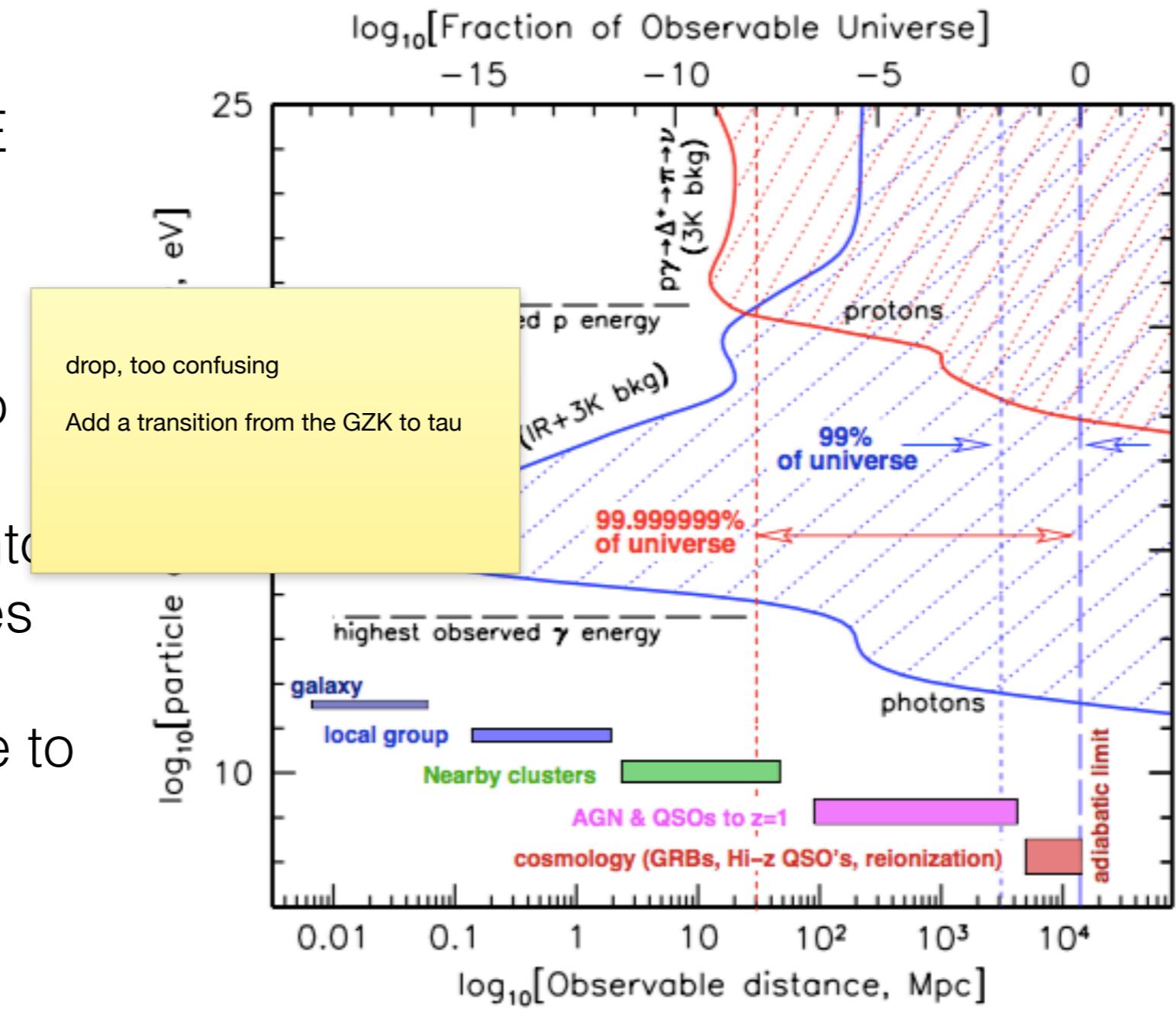


# Unique UHE Messenger Particles

Photons are attenuated through pair production, obscuring most of the UHE universe from traditional astronomy

Cosmic rays can survive to much higher energies, allowing a deeper probe into the universe at low energies

Universe becomes opaque to even CRs at EeV ( $10^{19}$ eV) energy scales, once again obscuring much of the universe



Courtesy of Peter Gorham

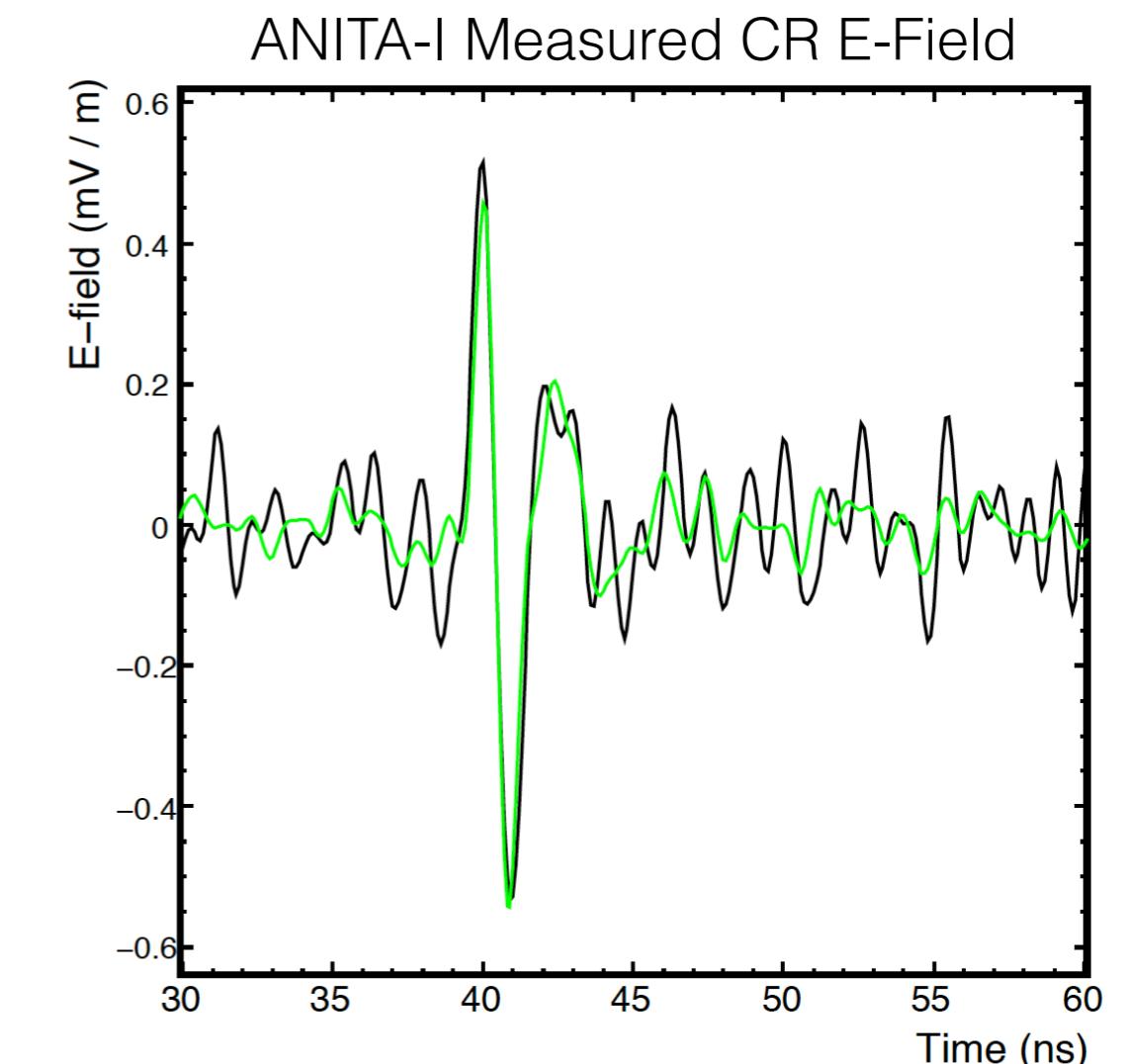
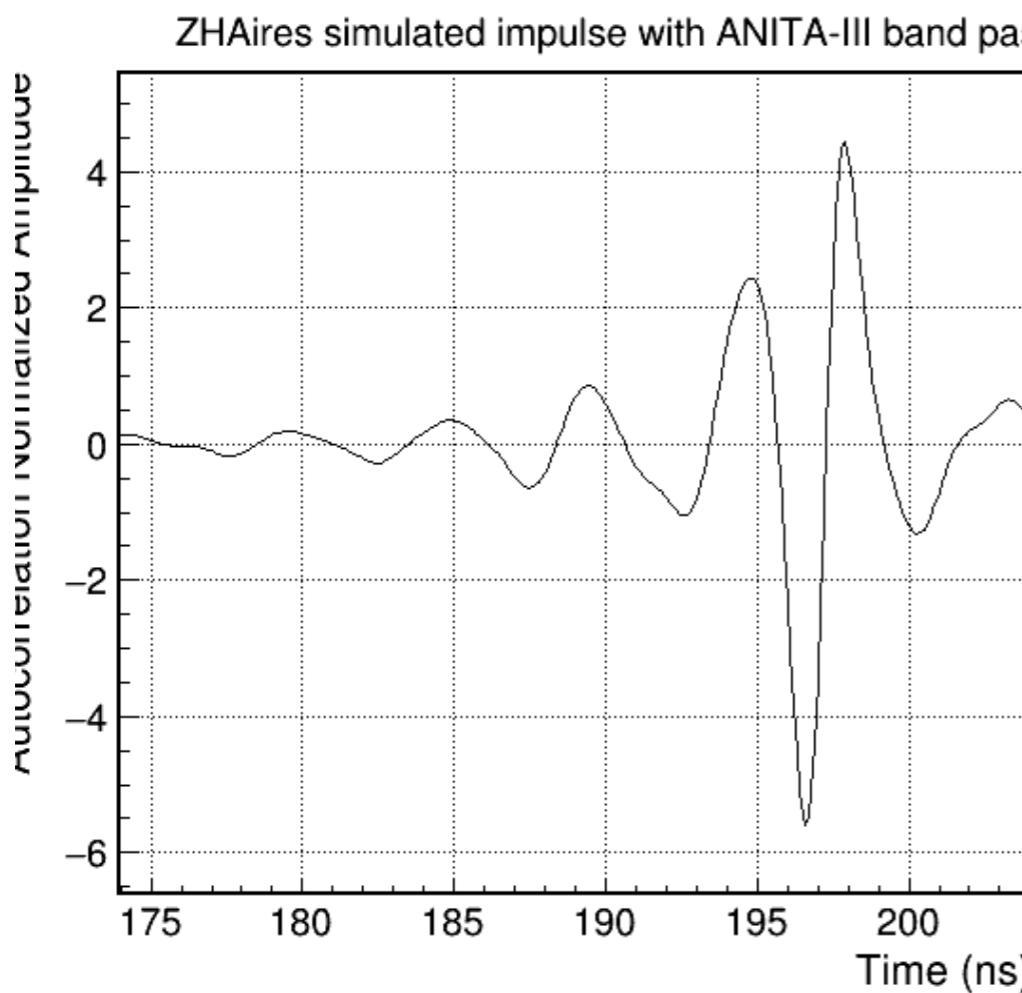


# Simulated and Measured Electromagnetic Field Impulse

CR extended air showers (EAS) are expected to produce impulsive radio frequency signals

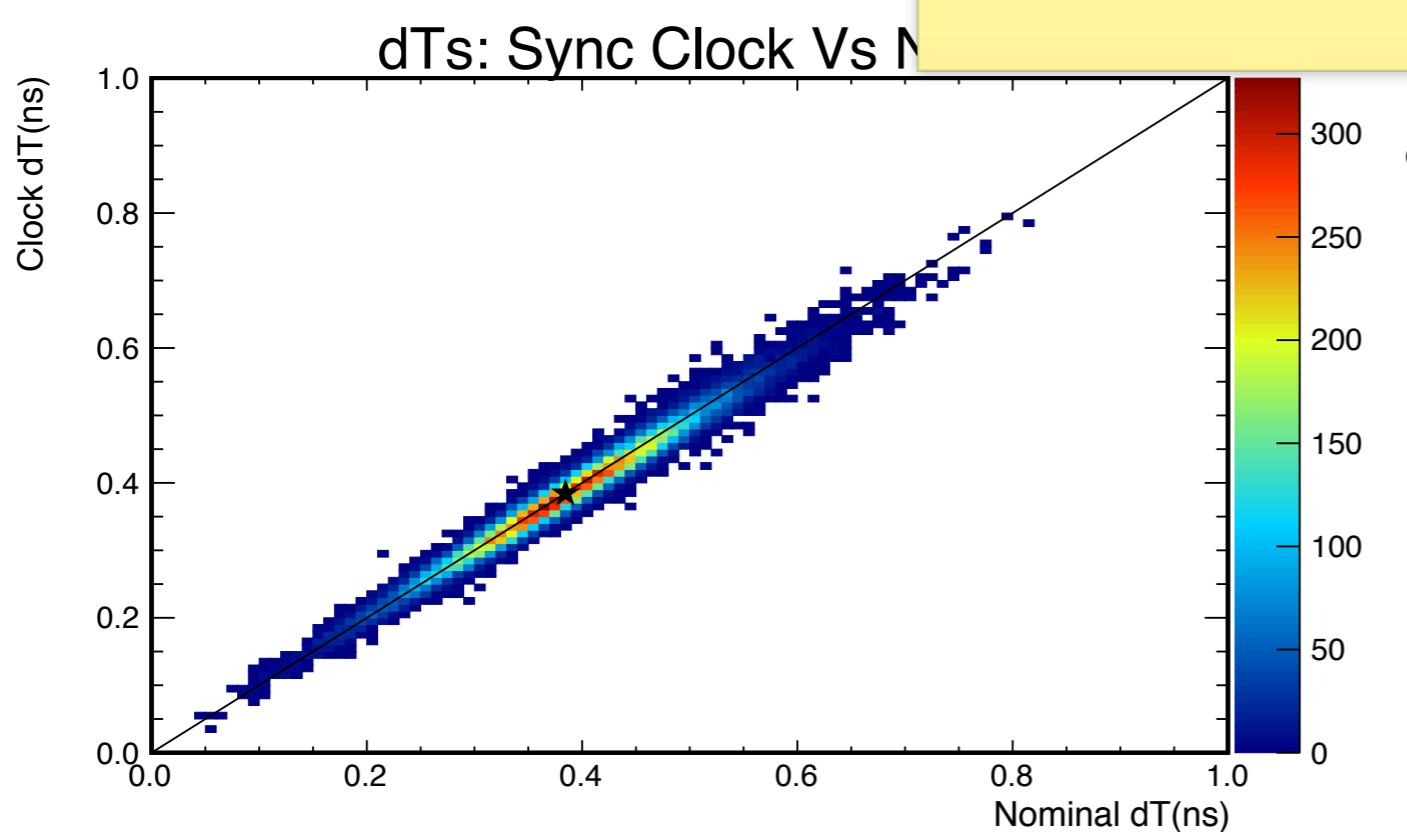
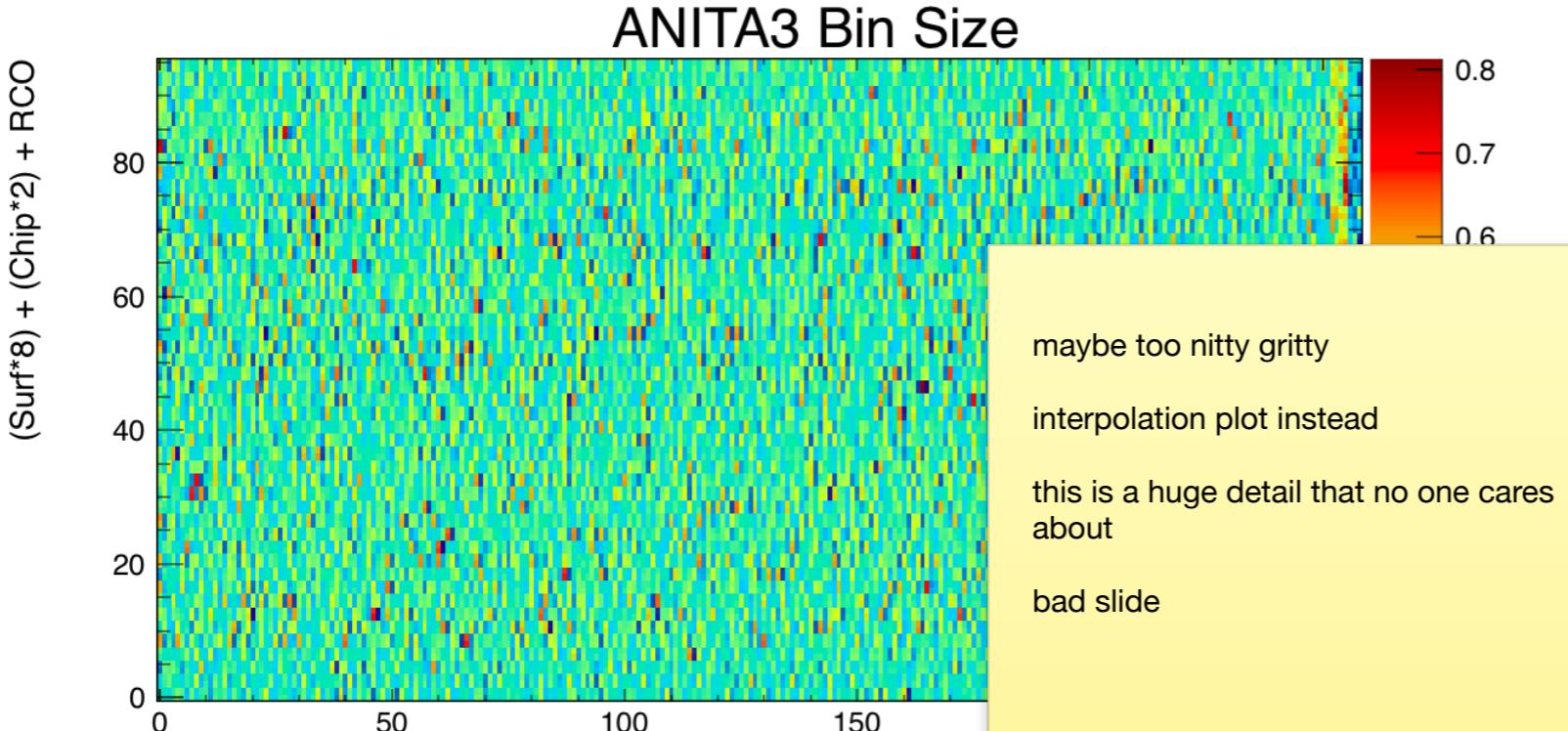
Physics simulation packages exist, such as ZHAires, that model the full shower profile development, and calculate the resulting electric fields

Measurements done by several experiments have validated these simulations





# LAB timing calibration



Each time bin must be individually calibrated, as process parameter spread from the ASIC manufacturing creates a variation bin to bin.

Resulting digitized waveforms are non-uniformly sampled, requiring interpolation

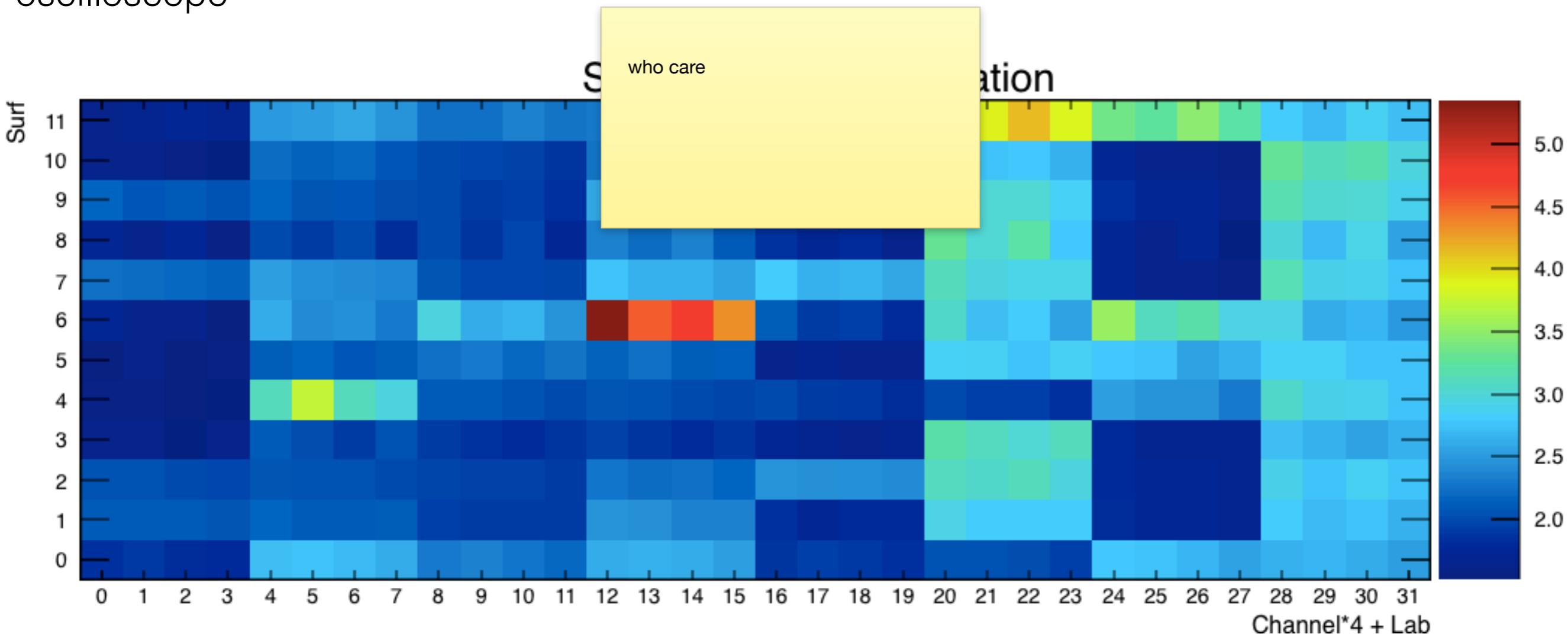
Calibration took place in Antarctica before flight, and was re-done several times with different methods to check for consistency



# LAB voltage calibration

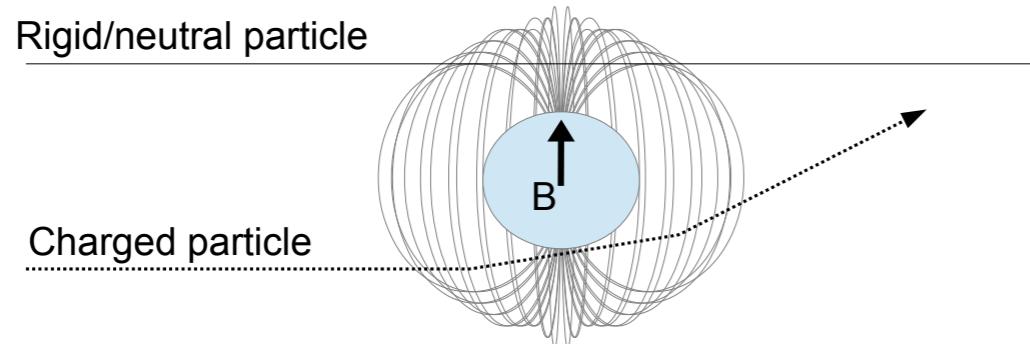
Recorded digital values must be related back to voltage in order to determine absolute signal strength.

Calibration was done by comparing an impulsive signal input into SURF board and concurrently measured with ANITA DAQ (Digital AcQuisition) and calibrated Tektronix oscilloscope

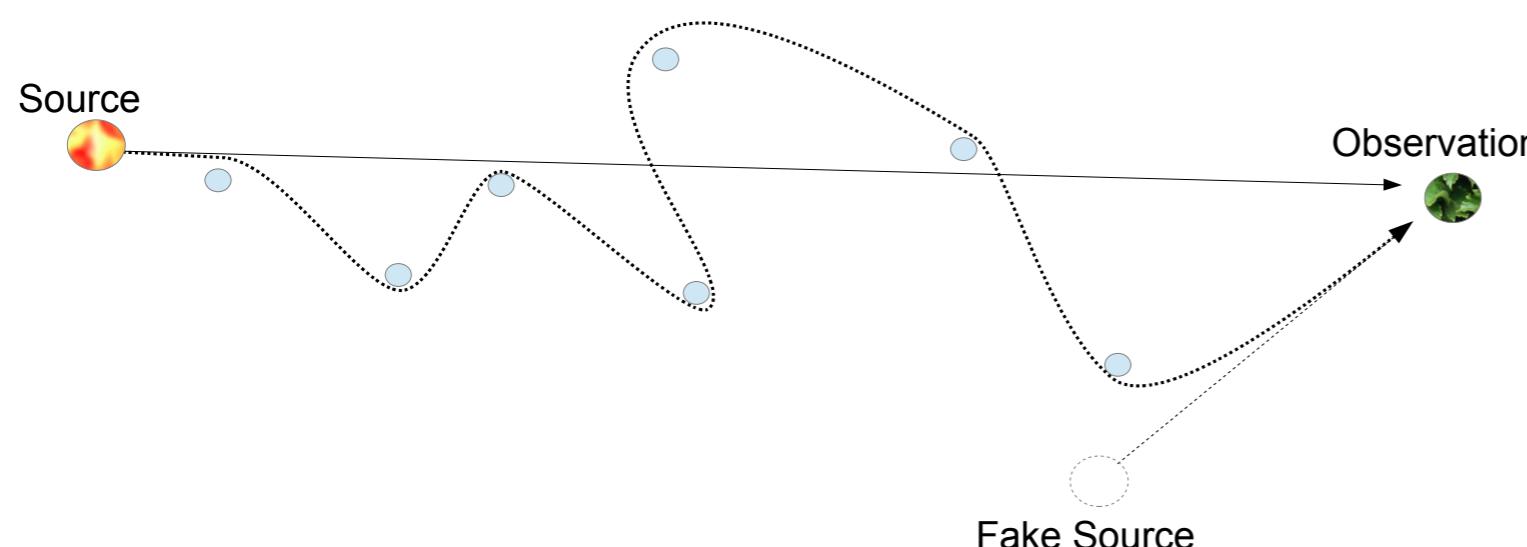




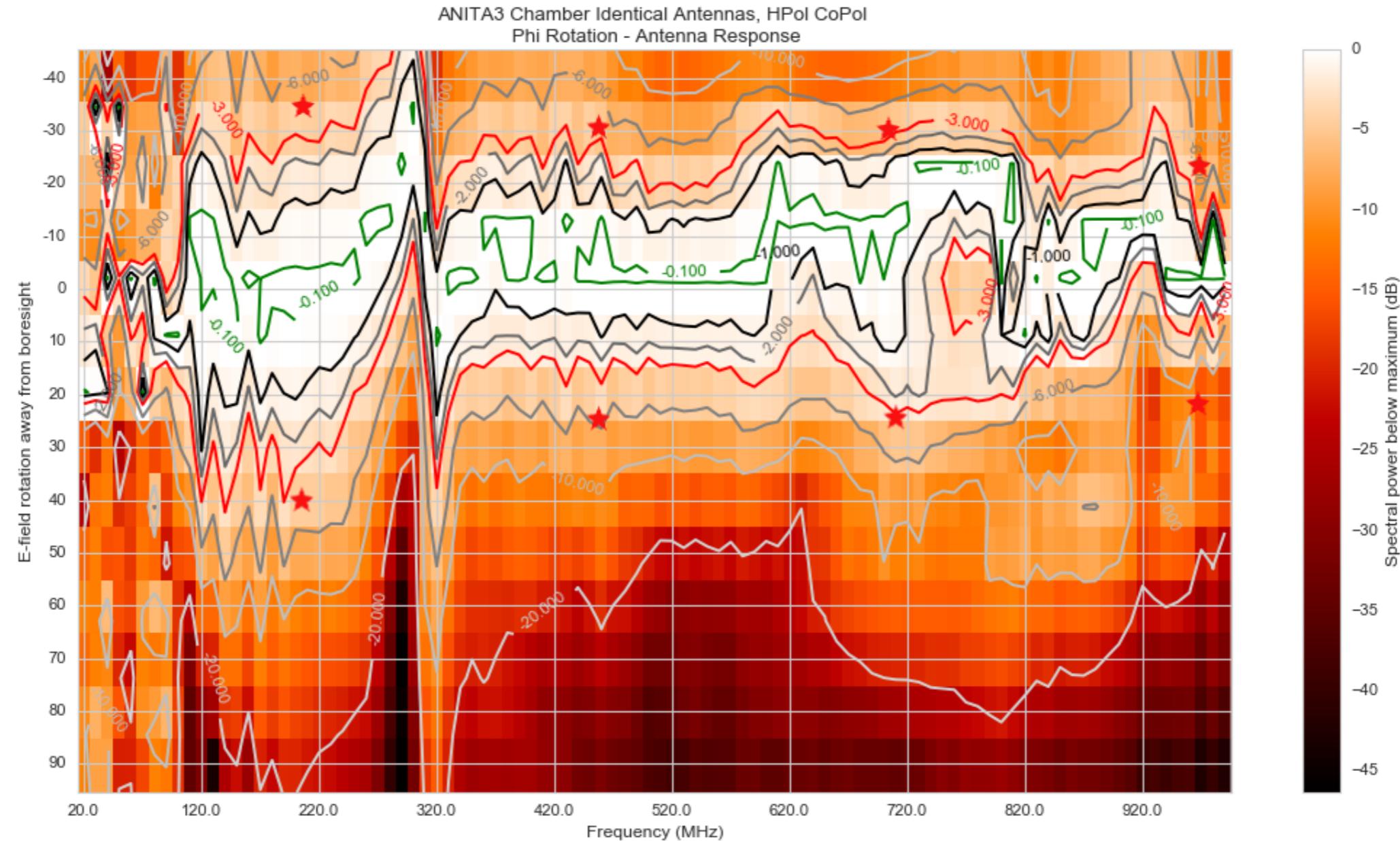
# Pointing to UHECR sources

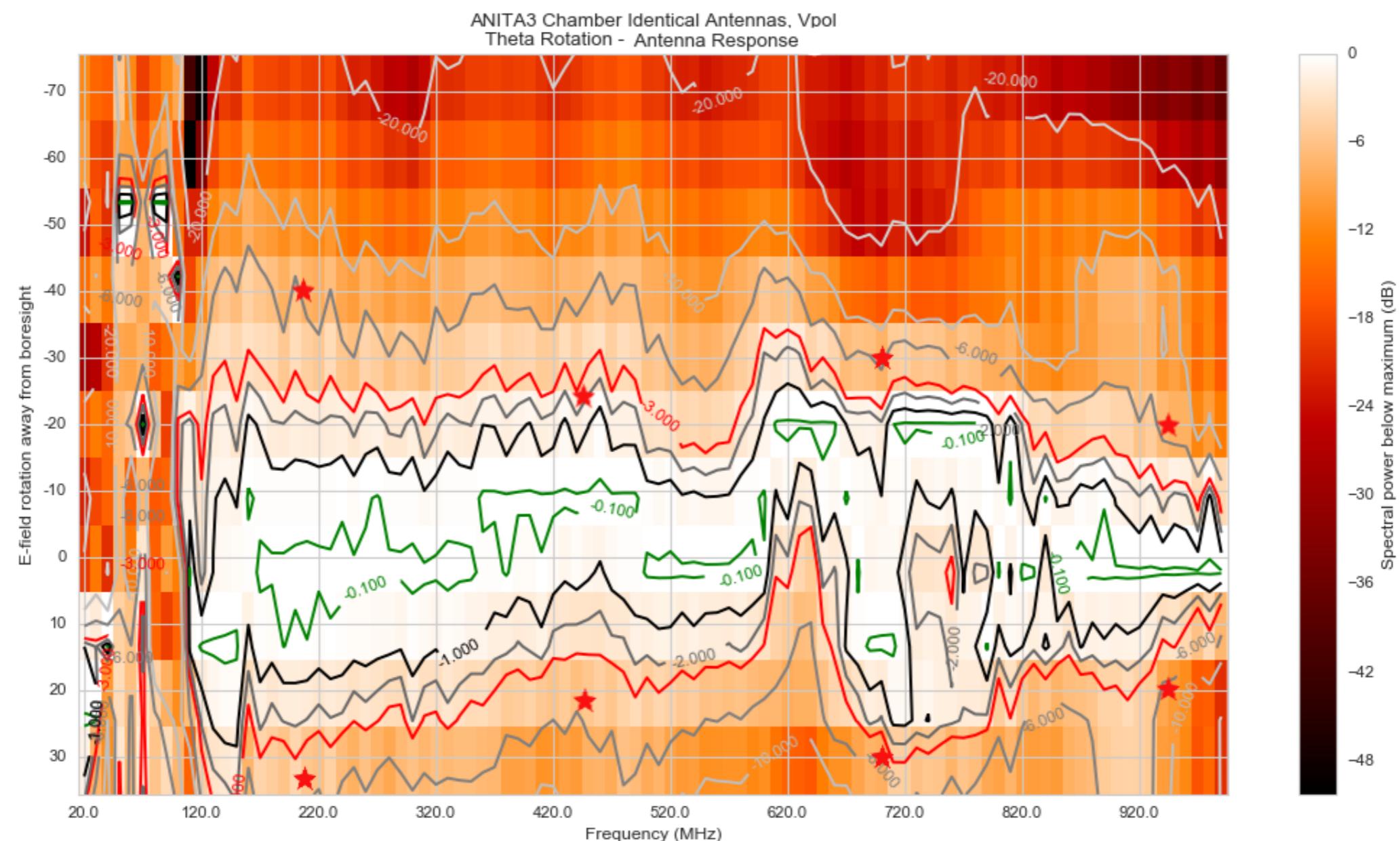


Low energy CRs are bent via the Lorentz force as they traverse the universe



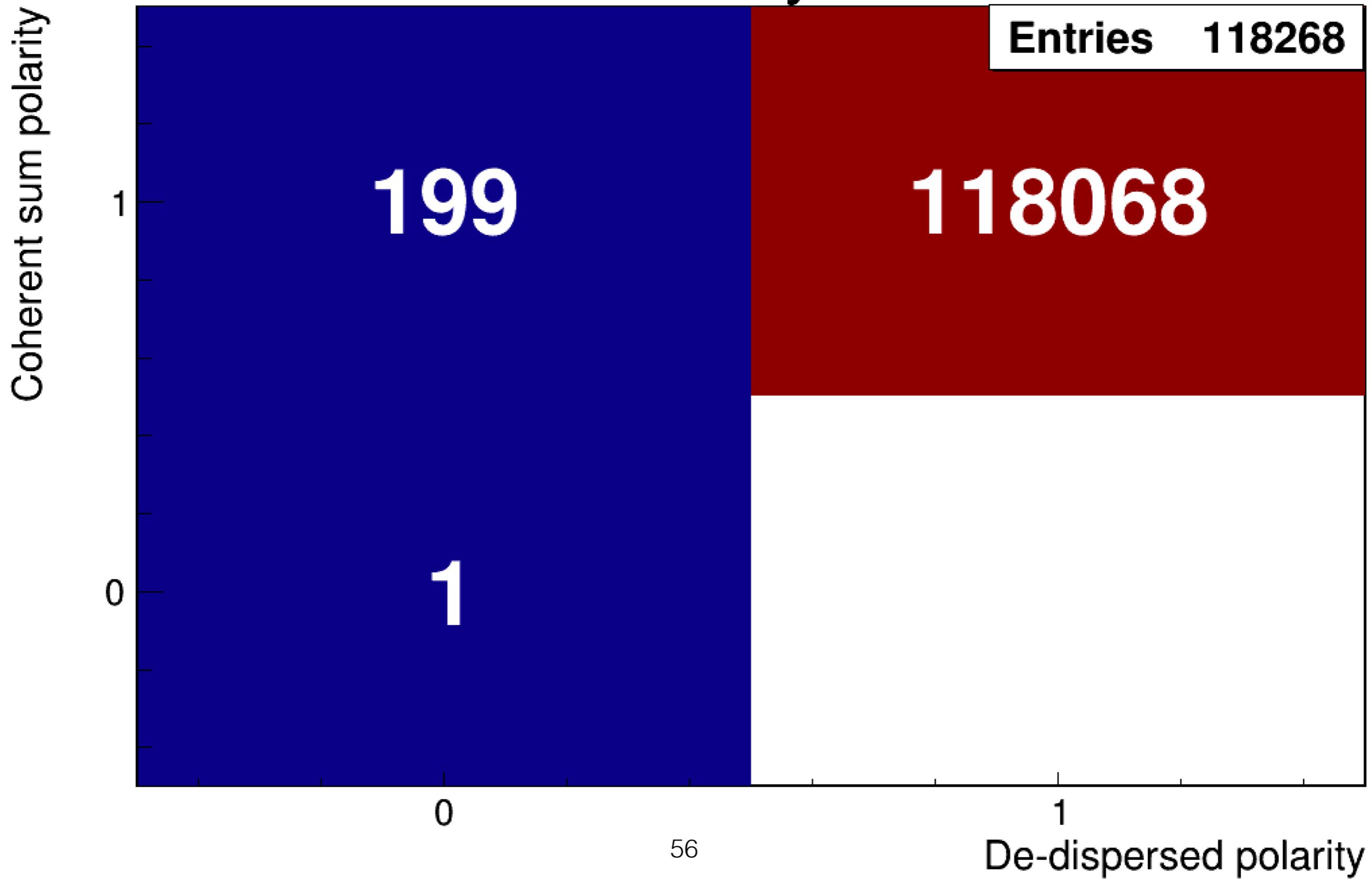
UHECRs, with their high rigidity, would not be effected by this, but their low flux prevents source identification







# WAIS Polarity Identification





# Tau branching ratio

## Hadronic Decays:

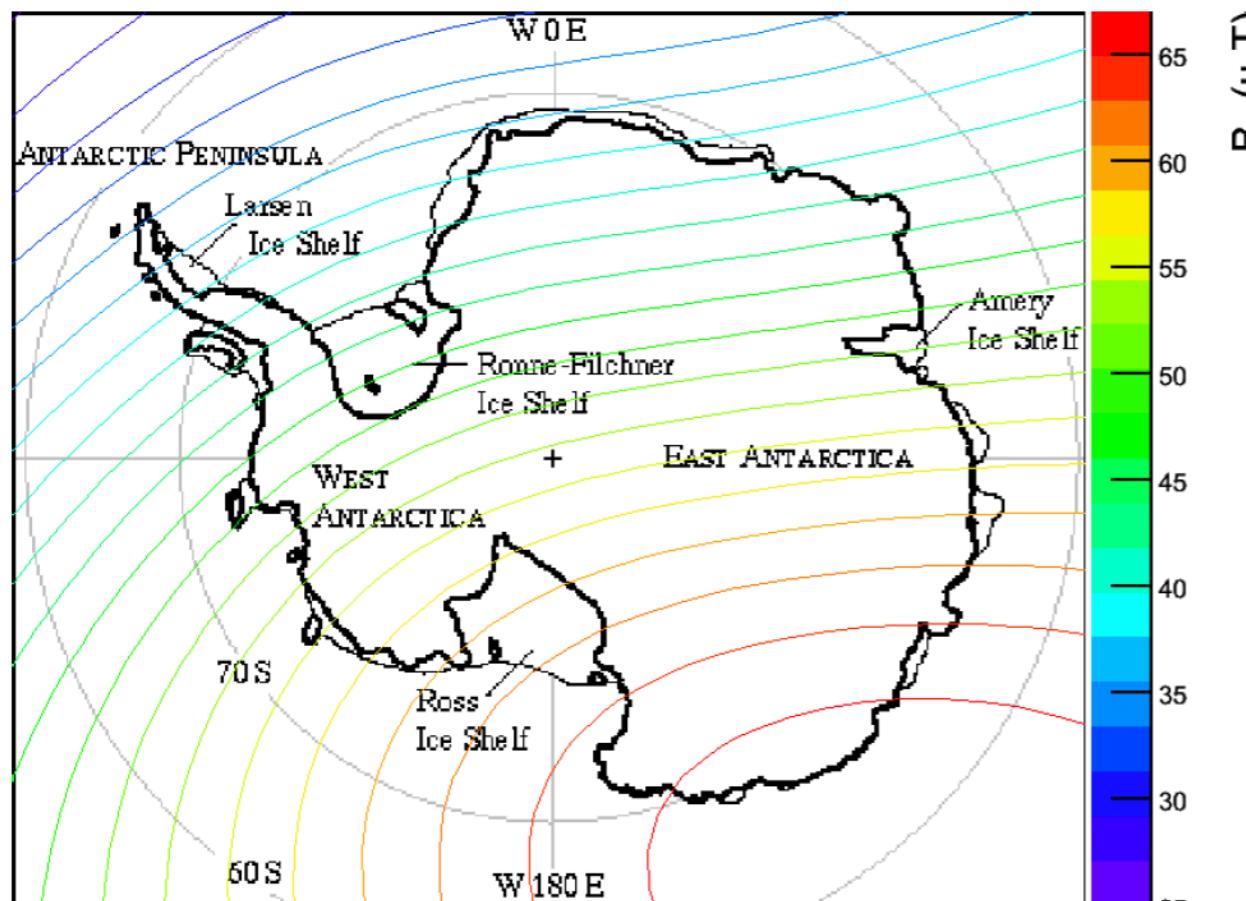
- 25.52% for decay into a charged pion, a neutral pion, and a tau neutrino;
- 10.83% for decay into a charged pion and a tau neutrino;
- 9.30% for decay into a charged pion, two neutral pions, and a tau neutrino;
- 8.99% for decay into three charged pions (of which two have the same electrical charge) and a tau neutrino;
- 2.70% for decay into three charged pions (of which two have the same electrical charge), a neutral pion, and a tau neutrino;
- 1.05% for decay into three neutral pions, a charged pion, and a tau neutrino.

## Leptonic Decay:

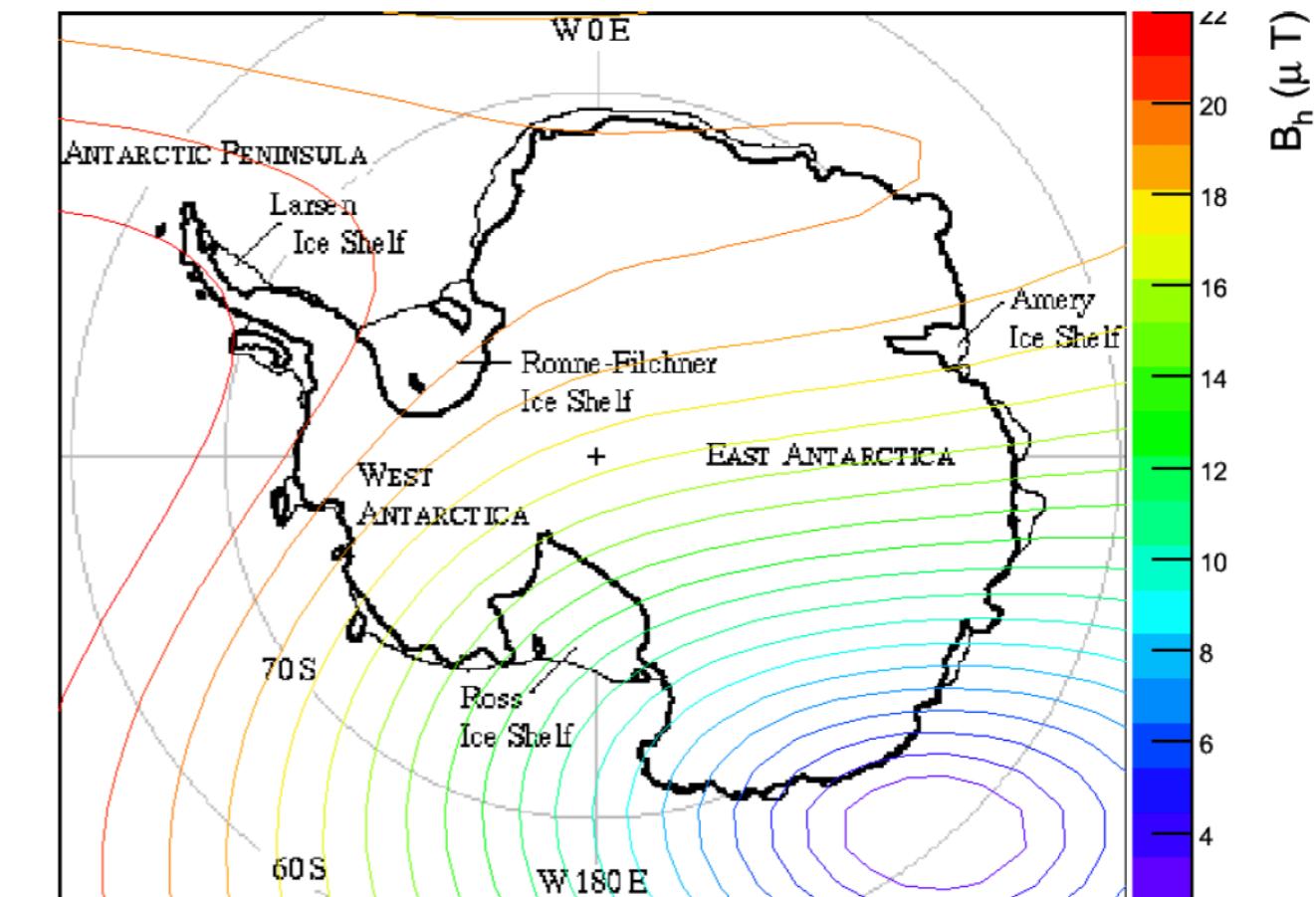
- 17.82% for decay into a tau neutrino, electron and electron antineutrino;
- 17.39% for decay into a tau neutrino, muon and muon antineutrino.



# Geomagnetic field over Antarctica



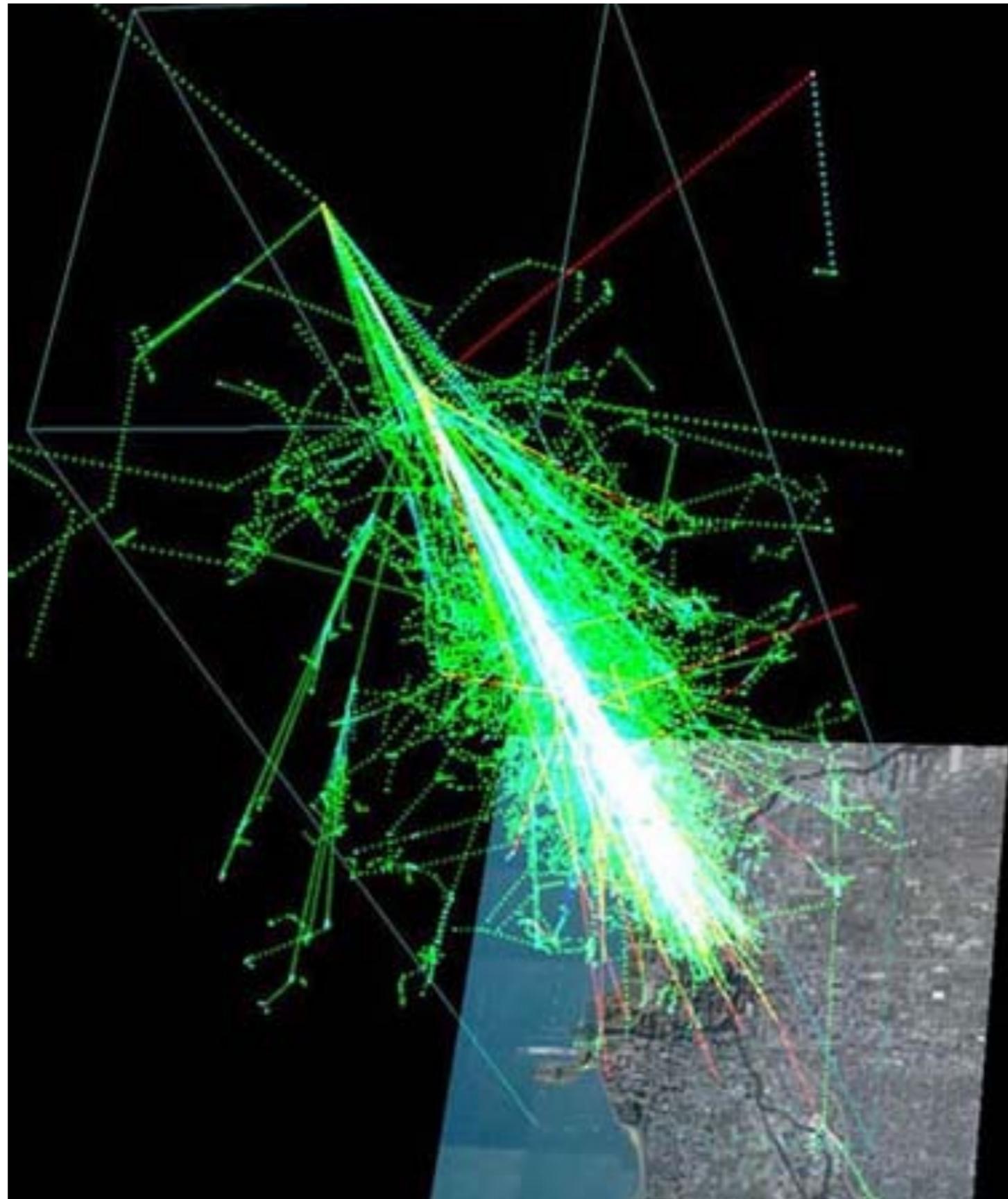
Vertical



Horizontal



# Cool shower visualization





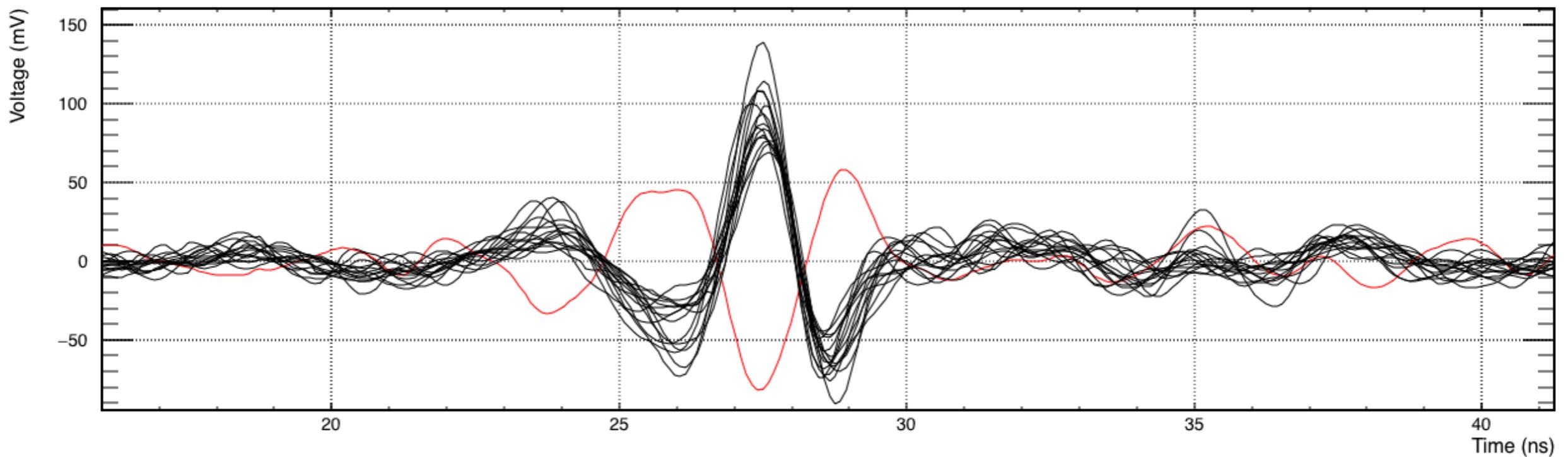
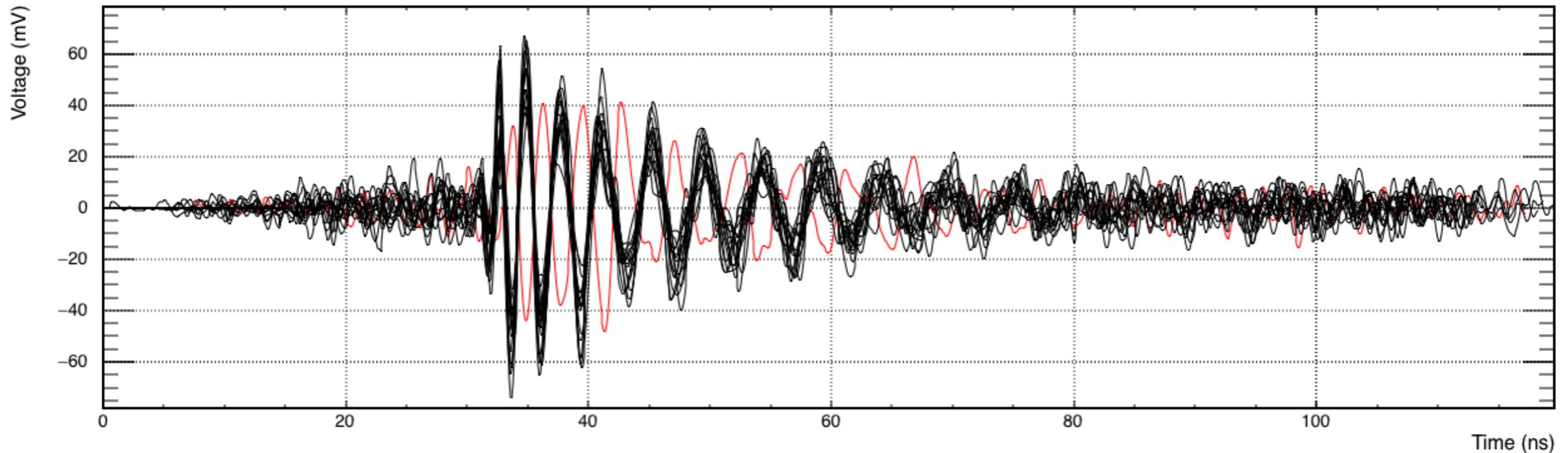
Only the committee allowed past this point



Event Number	Above/Below Horizon	Coherent Polarity	Deconvolved Polarity
9097075	Below	0	0
11116669	Below	0	0
11989349	Below	0	0
15717147	Below	1	1
16952229	Below	0	0
19459851	Below	0	0
23695286	Below	0	0
27142546	Above	1	1
32907848	Below	0	0
33484995	Below	0	0
39599205	Above	1	1
41529195	Below	0	0
58592863	Below	0	0
62273732	Below	0	0
66313844	Below	0	0
68298837	Below	0	0
70013898	Below	0	0
73726742	Below	0	0
75277769	Below	0	0
83877990	Below	0	0

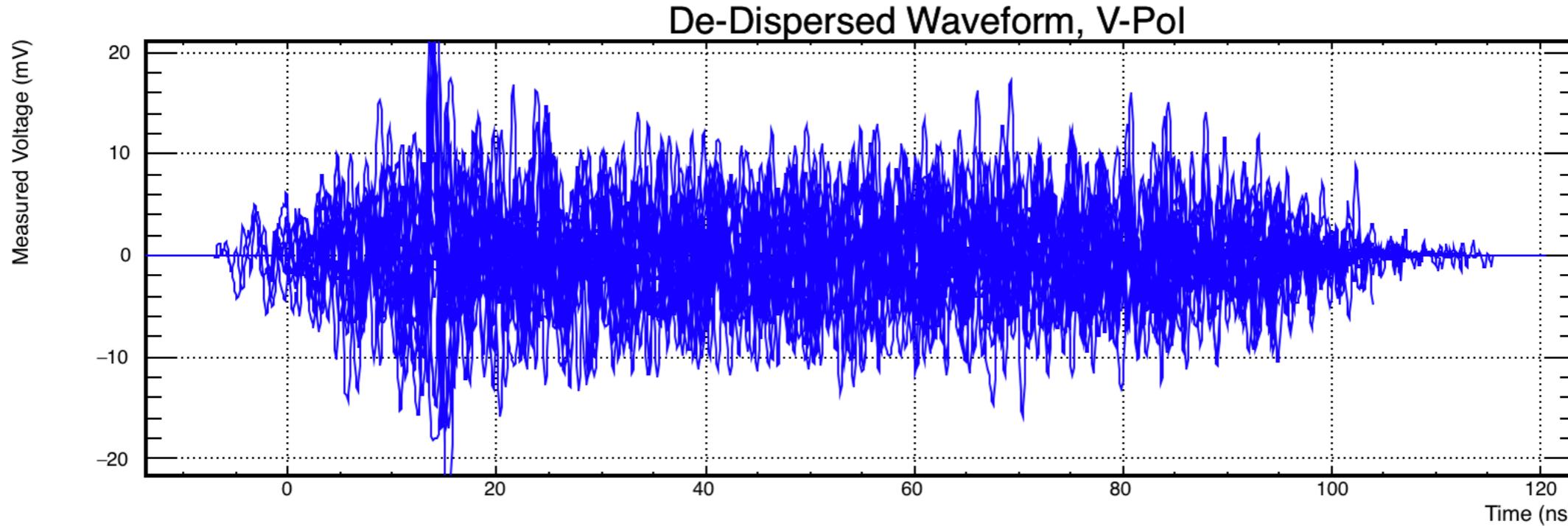
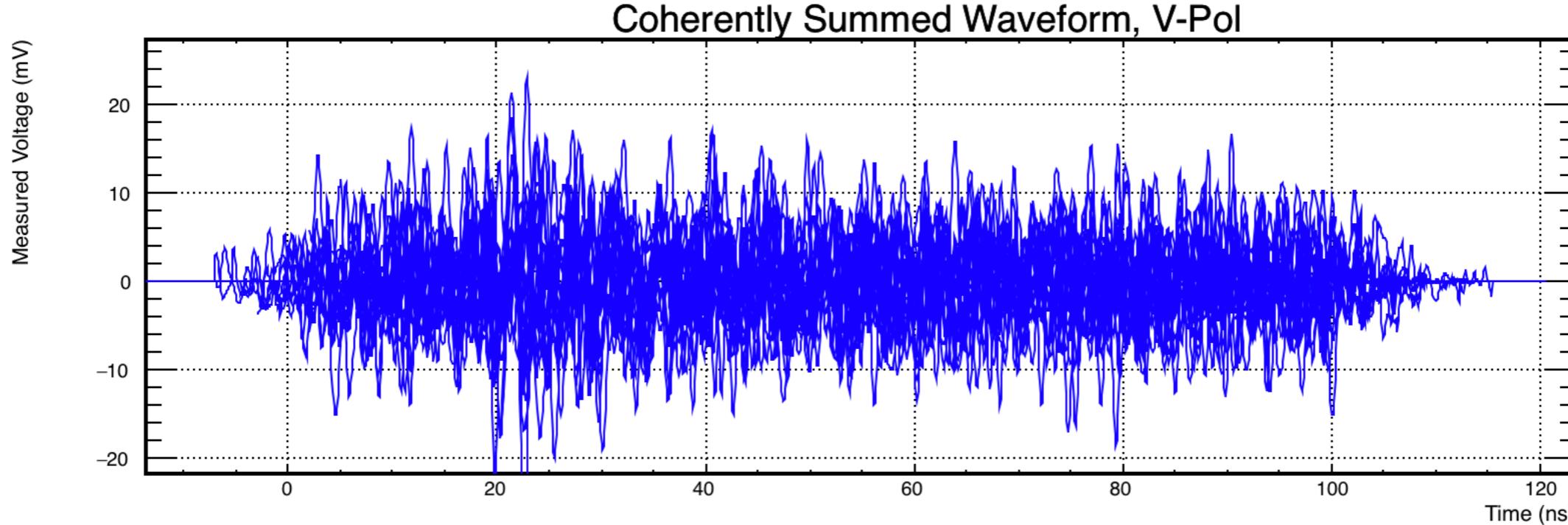


# Below Horizon H-Pol



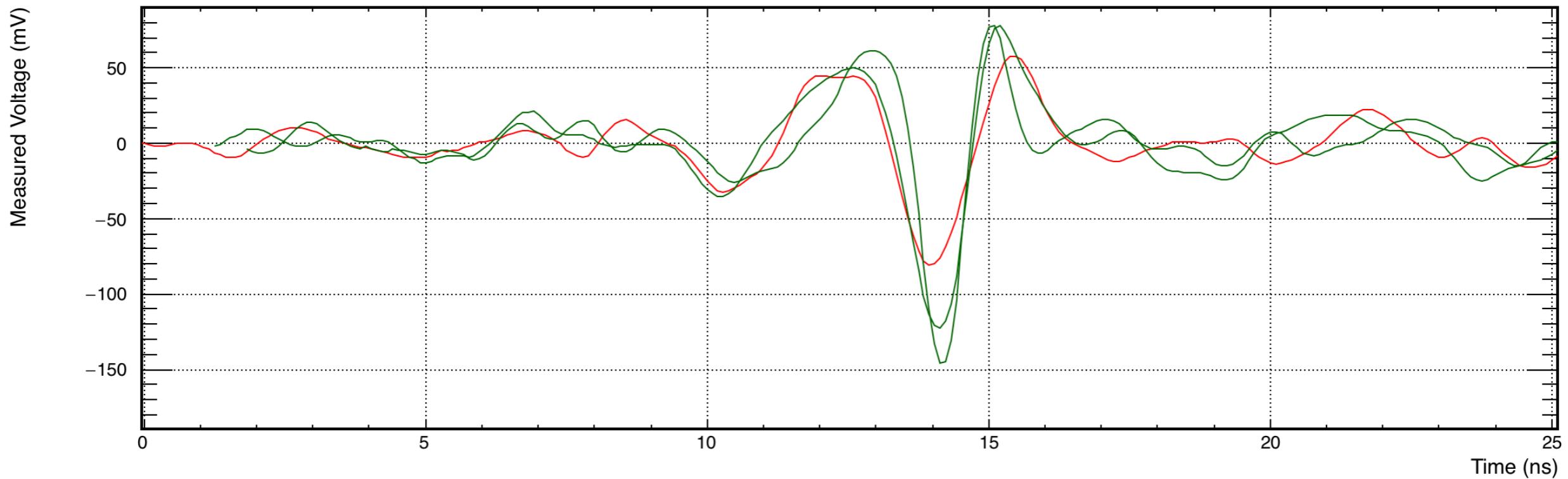
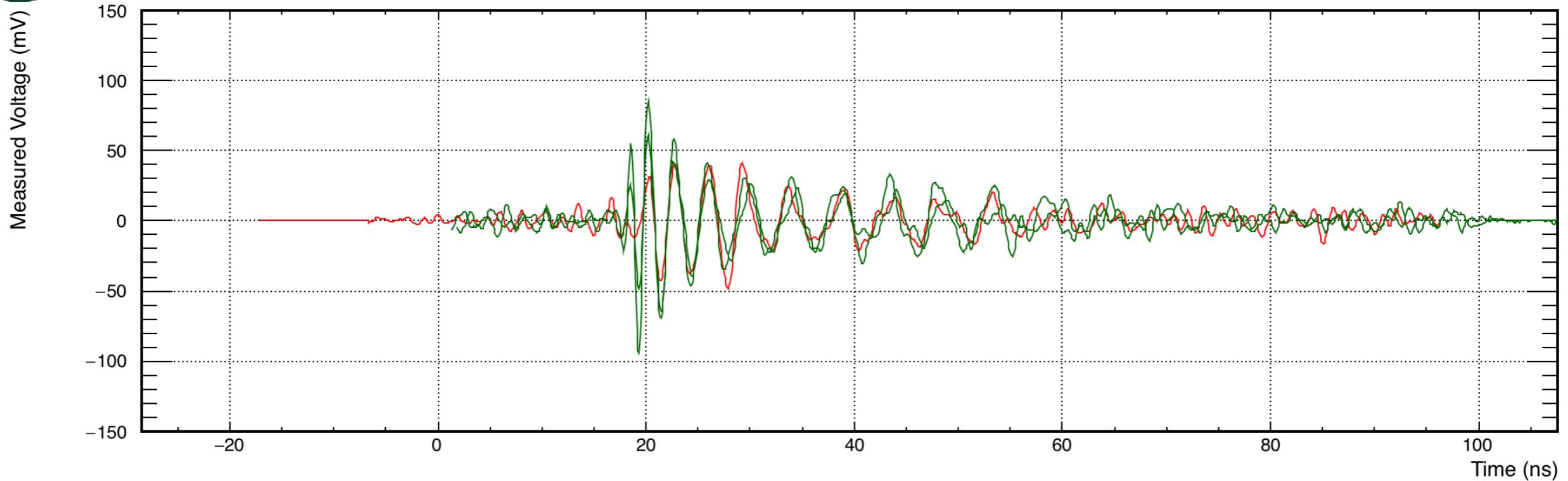


# Below Horizon V-Pol





# Above horizon (green) and inverted (red)





# All coherently summed waveform

