

# Lecture 2

## Entity-Relationship Model

Eugene Wu

# Steps for a New Application

## Requirements

what are you going to build?

## Conceptual Database Design

pen-and-pencil description

## Logical Design

formal database schema

## Schema Refinement:

fix potential problems, normalization

## Physical Database Design

use sample of queries to optimize for speed/storage

## App/Security Design

prevent security problems

# Steps for a New Application

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ER Modeling

## Logical Design

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prevent security problems

# Database Apps Are Complicated

Typical Fortune 100 Company

- ~10k different information (data) systems

- 90% relational databases (DBMSes)

- Typical database has >100 tables

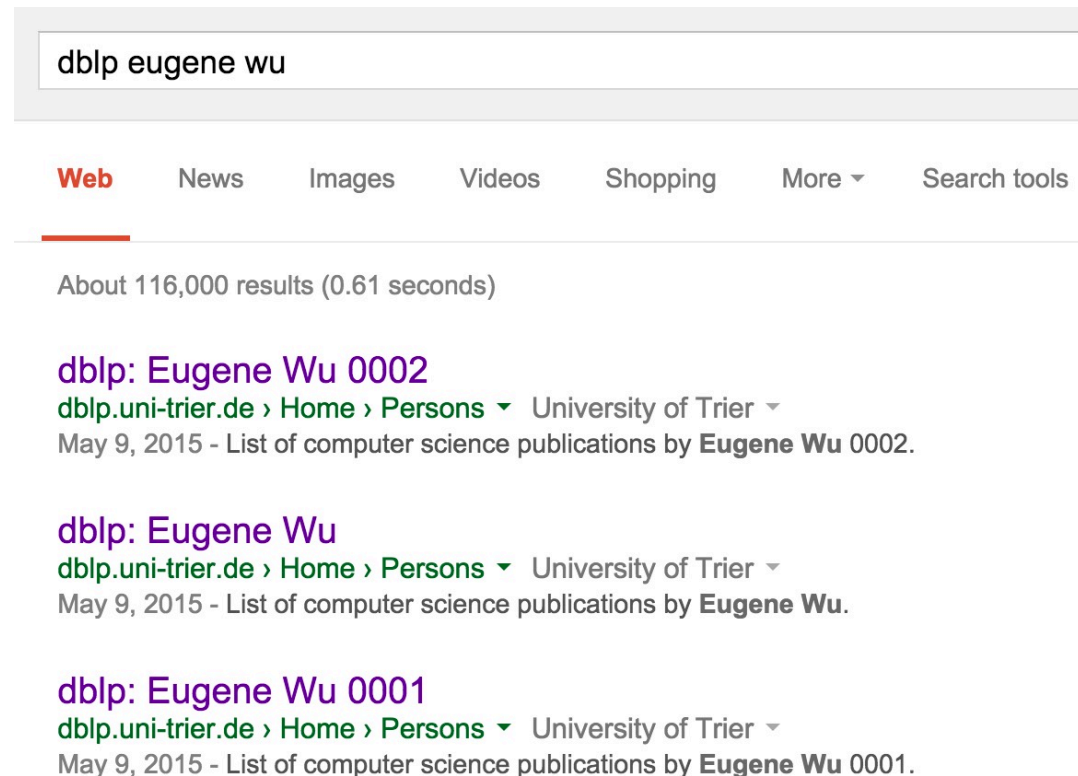
- Typical table has 50 – 200 attributes

# Inconsistencies/Constraint Violations

*Huge* amount of effort to avoid inconsistencies

Can data model help us avoid automatically?

DBLP is *the* site for  
computer science  
publications



The screenshot shows a search interface with a search bar containing 'dblp eugene wu'. Below the search bar are navigation links: 'Web' (highlighted with a red underline), 'News', 'Images', 'Videos', 'Shopping', 'More', and 'Search tools'. The search results indicate 'About 116,000 results (0.61 seconds)'. Three results are listed, each starting with a purple link to a specific DBLP profile (e.g., 'dblp: Eugene Wu 0002'), followed by a green breadcrumb trail ('dblp.uni-trier.de > Home > Persons'), the text 'University of Trier', and a date with a description of the results (e.g., 'May 9, 2015 - List of computer science publications by Eugene Wu 0002.').

dblp eugene wu

**Web** News Images Videos Shopping More Search tools

About 116,000 results (0.61 seconds)

[dblp: Eugene Wu 0002](#)  
[dblp.uni-trier.de](#) > [Home](#) > [Persons](#) University of Trier  
May 9, 2015 - List of computer science publications by **Eugene Wu 0002**.

[dblp: Eugene Wu](#)  
[dblp.uni-trier.de](#) > [Home](#) > [Persons](#) University of Trier  
May 9, 2015 - List of computer science publications by **Eugene Wu**.

[dblp: Eugene Wu 0001](#)  
[dblp.uni-trier.de](#) > [Home](#) > [Persons](#) University of Trier  
May 9, 2015 - List of computer science publications by **Eugene Wu 0001**.

# Inconsistencies/Constraint Violations

[\[-\] 2010 – today](#) ⓘ

[\[+\] Refine list](#)

2014

- [j8]    Eugene Wu, Leilani Battle, Samuel R. Madden:  
**The Case for Data Visualization Management Systems.** PVLDB 7(10): 903-906 (2014)
  
- [j7]    Alekh Jindal, Praynaa Rawlani, Eugene Wu, Samuel Madden, Amol Deshpande, Mike Stonebraker:  
**VERTEXICA: Your Relational Friend for Graph Analytics!** PVLDB 7(13): 1669-1672 (2014)



[\[-\] 1990 – 1999](#) ⓘ

[\[+\] Refine list](#)

1994

- [c2]    James Hwang, Eugene Wu, Alan Bell, Andy Cordell, LeBarian Stokes, Scott Hankins:  
**Design of a SPDM-Like Robotic Manipulator System for Space Station on Orbit Replaceable Unit Ground Testing - An Overview of the System Architecture.** ICRA 1994: 1286-1291
  
- [c1]    Eugene Wu, James Hwang, Scott Hankins:  
**Design of the Control System for a Robotic Manipulator for Space Station On-Orbit Replaceable Unit Ground Testing.** ICRA 1994: 1415-1420



www.cs.columbia.edu > ~ewu

## Eugene Wu - Columbia University

**Eugene Wu** received his Ph.D. from MIT, B.S. from Cal, and was a postdoc in the AMPLab. A profile, an obit. **Eugene Wu** has received the VLDB 2018 10-year test of time award, best-of-conference citations at ICDE and VLDB, the SIGMOD 2016 best demo award, the NSF CAREER, and the Google and Amazon faculty awards.

**F** <https://www.forbes.com > profile > eugene-wu>

## Eugene Wu - Forbes

#39 **Eugene Wu** on the 2021 Taiwan's 50 Richest - **Wu** is the founder of Shin Kong Financial, one of Taiwan's largest private-sector financial companies. **Wu** stepped down as the firm's chairman in June ...

**F** PROFILE

Finance & Investments

## #39 Eugene Wu

\$1.4B

REAL TIME NET WORTH  
as of 1/27/22

▲ \$11 M | 0.77%


Reflects change since 5 PM ET of  
prior trading day

Not Teaching 4111!!!



# Inconsistencies/Constraint Violations

Check in application code!



**Name**

First  Last

**Choose your username**

@gmail.com

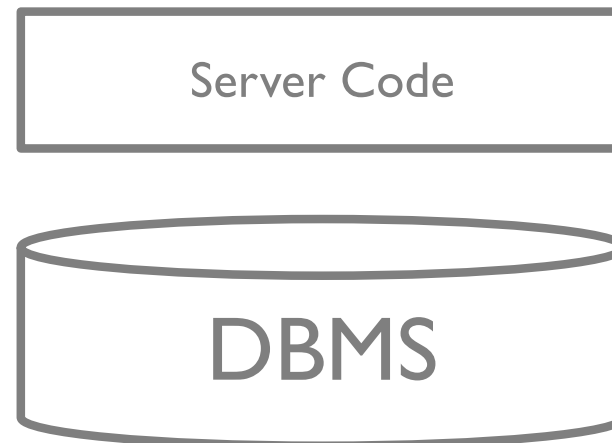
Someone already has that username. Try another?

Available: [eugenewu861](#)

**Create a password**



# It is Hard to Design Applications



# It is Hard to Design Applications

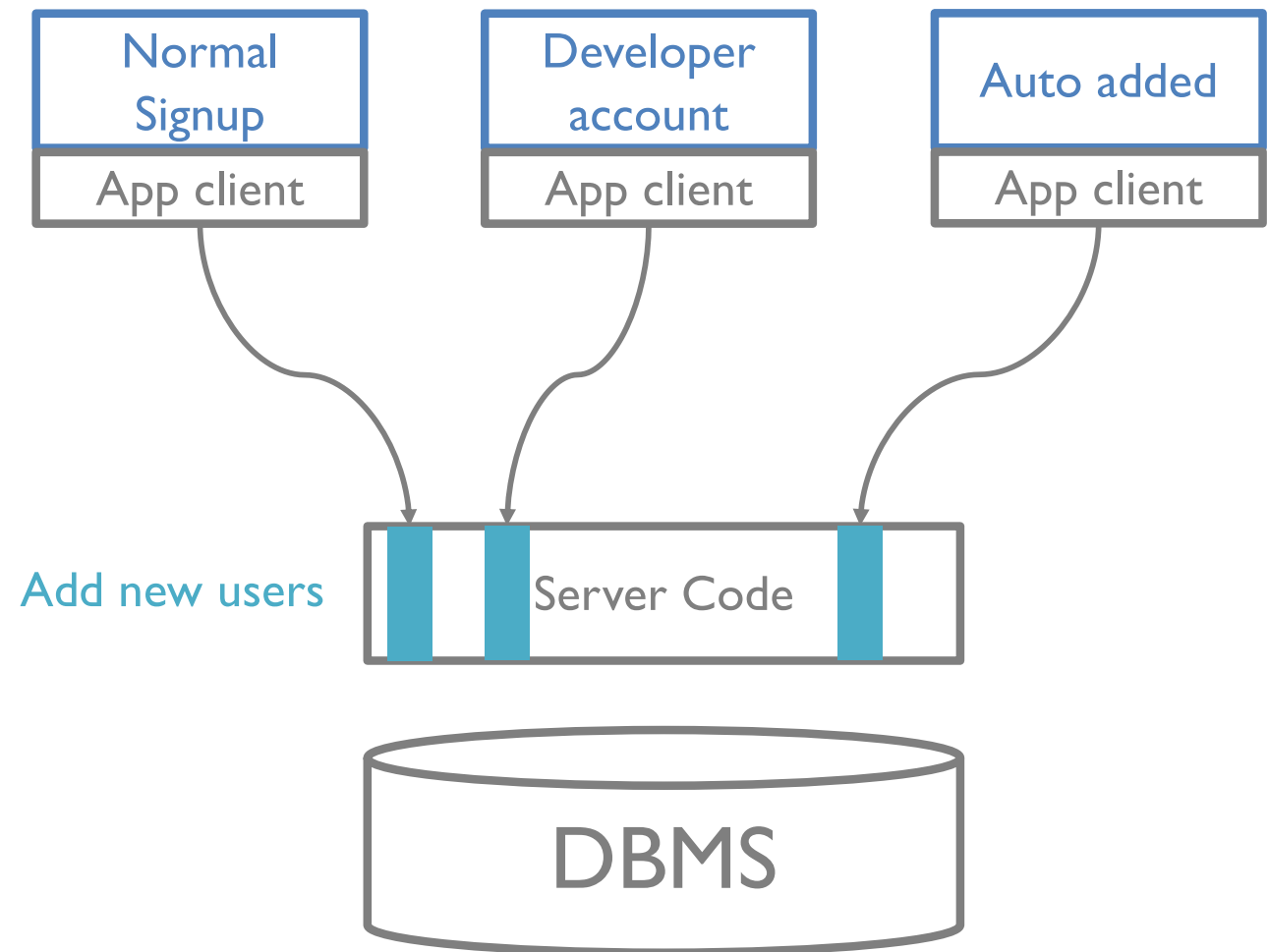
Add new users



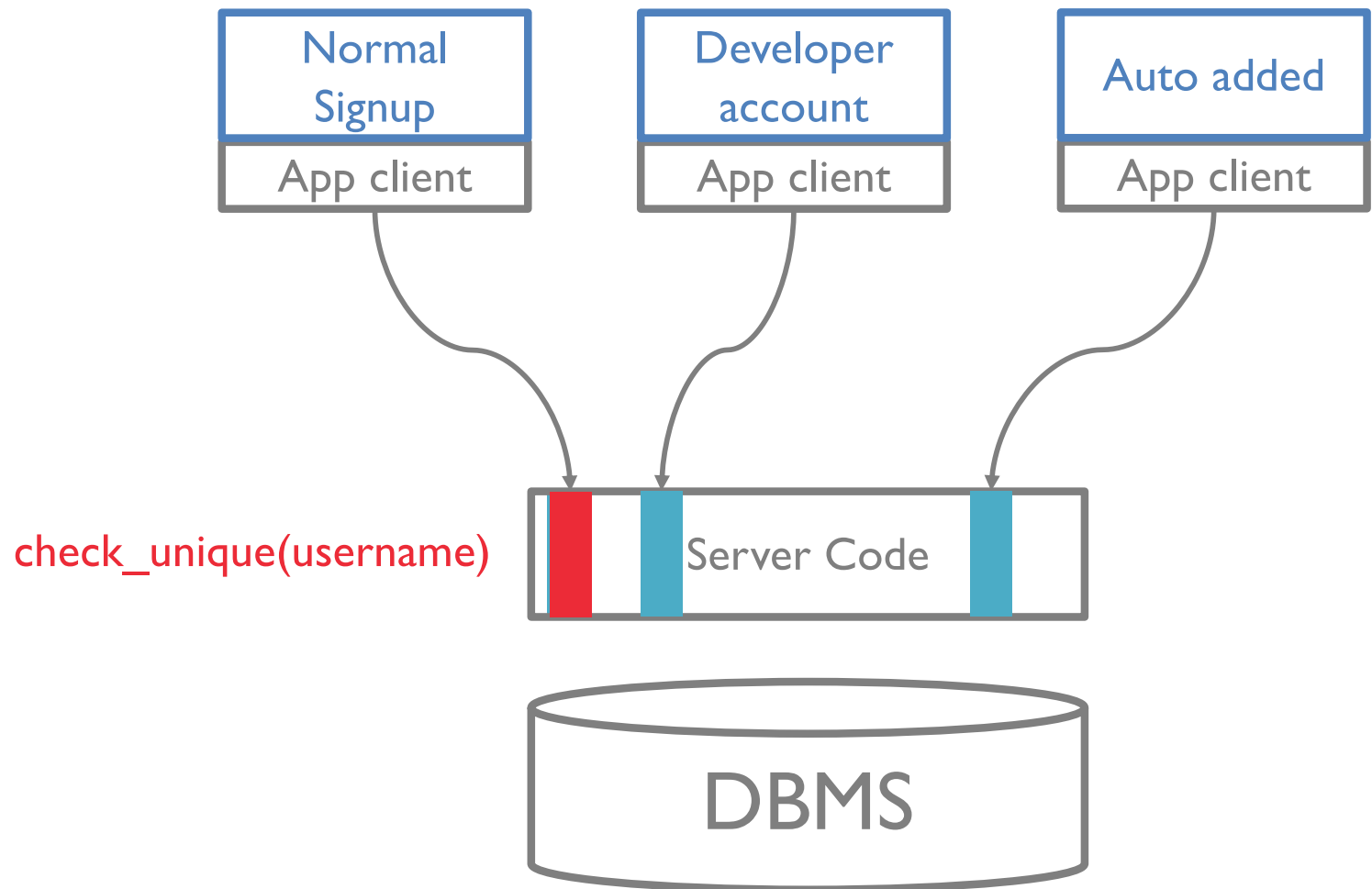
DBMS



# It is Hard to Design Applications



# It is Hard to Design Applications



# ER Diagrams

What is it?

- A way to sketch the core information that your database will eventually store.
- Visually encodes important constraint information

Who cares?

- Good for “white boarding” together
- Good way to share the “gist” of your DB’s structure

```
test=# \d election
```

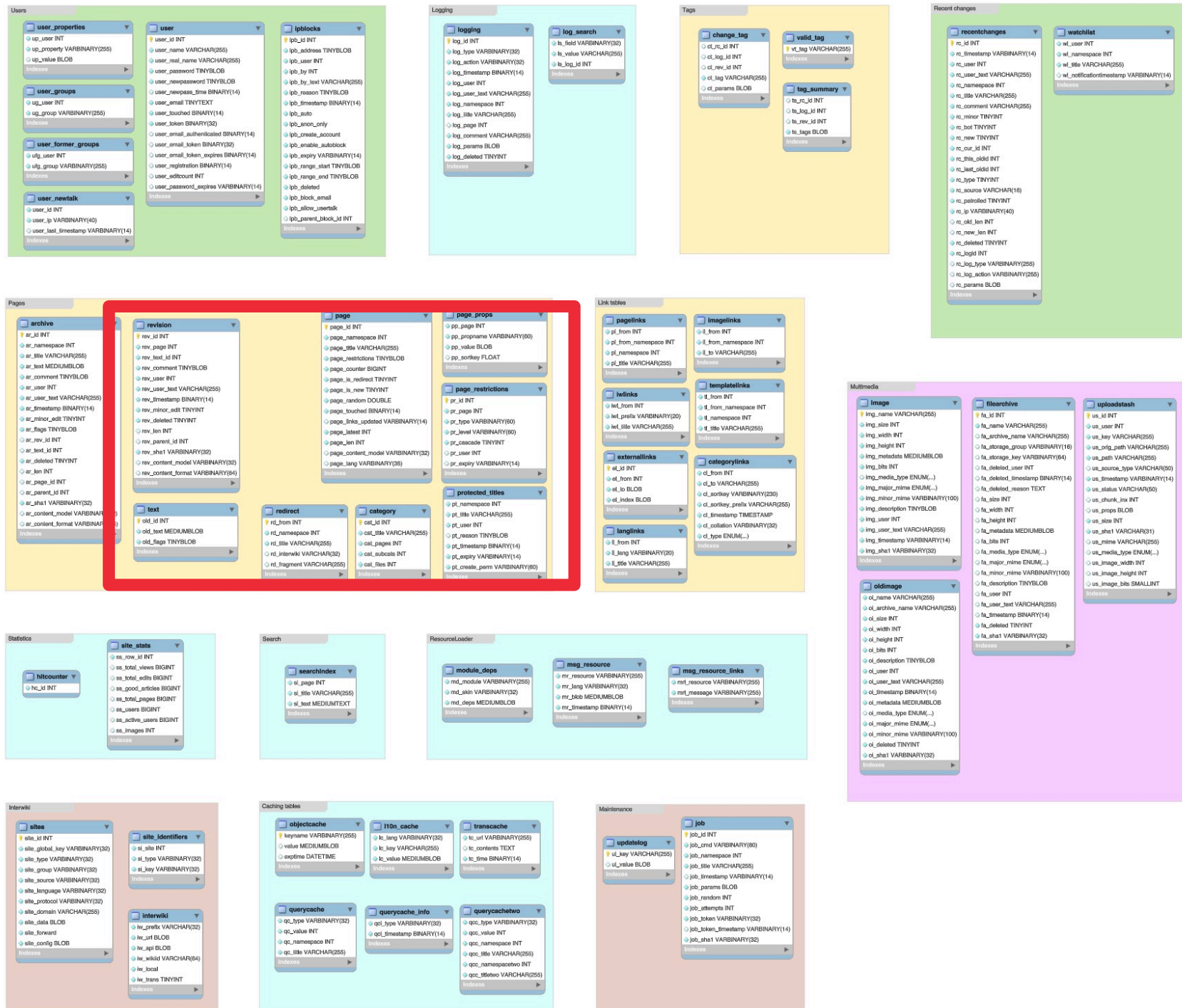
Table "public.election"				
Column	Type	Collation	Nullable	Default
year	integer			
state	text			
state_po	text			
state_fips	integer			
state_cen	integer			
state_ic	integer			
office	text			
candidate	text			
party_detailed	text			
writein	text			
candidatevotes	integer			
totalvotes	integer			
version	integer			
notes	text			
party_simplified	text			
id	integer		not null	nextval('election_id_seq'::

```
Indexes:
```

```
    "election_id_key" UNIQUE CONSTRAINT, btree (id)
```

```
test=# \d food
```

Table "public.food"				
Column	Type	Collation	Nullable	Default
camis	integer			
dba	text			
boro	text			
building	integer			
street	text			
zipcode	integer			
phone	bigint			
inspection_date	date			
action	text			
score	integer			
grade	text			
inspection_type	text			
census_tract	integer			
year	integer			
month	integer			
day	integer			



revision
rev_id INT
rev_page INT
rev_text_id INT
rev_comment TINYBLOB
rev_user INT
rev_user_text VARCHAR(255)
rev_timestamp BINARY(14)
rev_minor_edit TINYINT
rev_deleted TINYINT
rev_len INT
rev_parent_id INT
rev_sha1 VARBINARY(32)
rev_content_model VARBINARY(32)
rev_content_format VARBINARY(64)
Indexes

text
old_id INT
old_text MEDIUMBLOB
old_flags TINYBLOB
Indexes

redirect
rd_from INT
rd_namespace INT
rd_title VARCHAR(255)
rd_interwiki VARCHAR(32)
rd_fragment VARCHAR(255)
Indexes

page
page_id INT
page_namespace INT
page_title VARCHAR(255)
page_restrictions TINYBLOB
page_counter BIGINT
page_is_redirect TINYINT
page_is_new TINYINT
page_random DOUBLE
page_touched BINARY(14)
page_links_updated VARBINARY(14)
page_latest INT
page_len INT
page_content_model VARBINARY(32)
page_lang VARBINARY(35)
Indexes

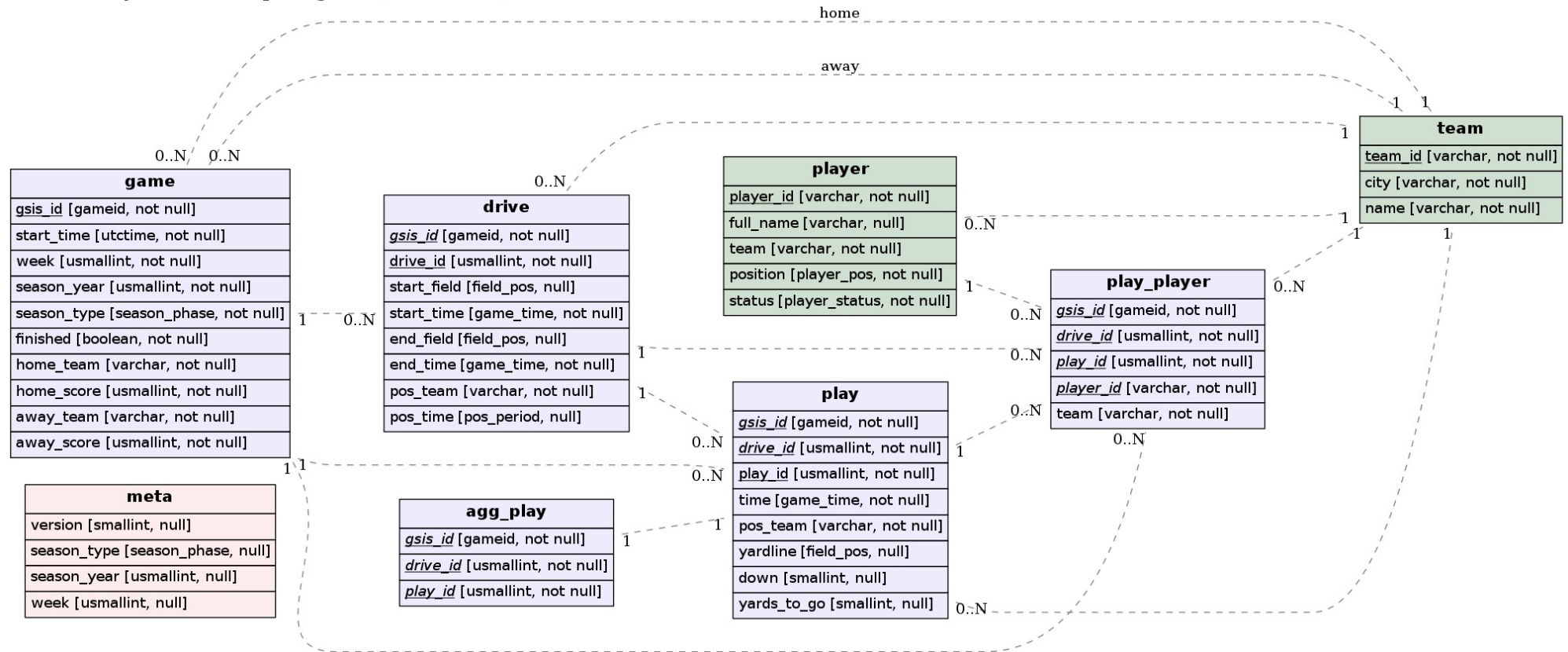
page_props
pp_page INT
pp_propname VARBINARY(60)
pp_value BLOB
pp_sortkey FLOAT
Indexes

page_restrictions
pr_id INT
pr_page INT
pr_type VARBINARY(60)
pr_level VARBINARY(60)
pr_cascade TINYINT
pr_user INT
pr_expiry VARBINARY(14)
Indexes

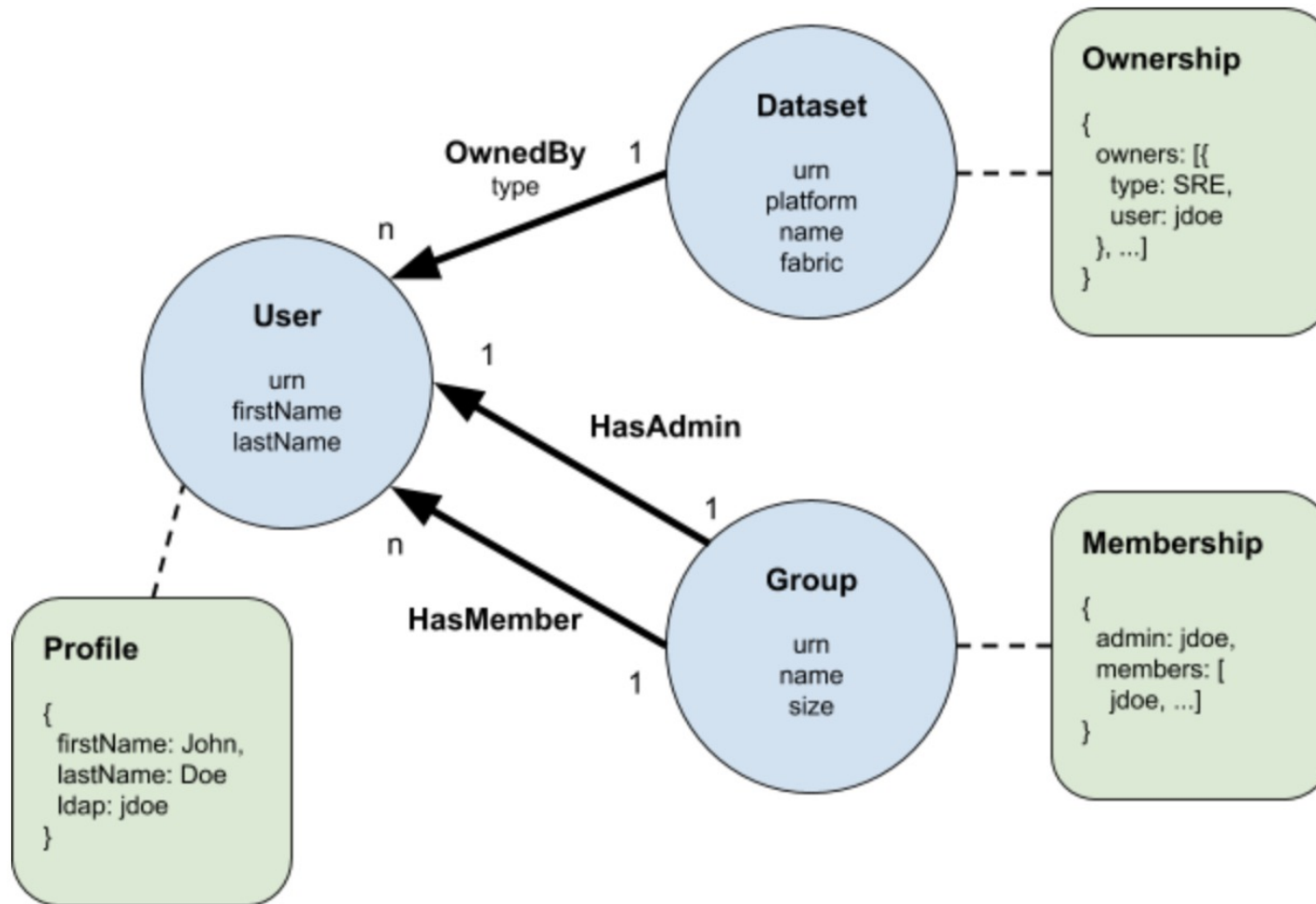
protected_titles
pt_namespace INT
pt_title VARCHAR(255)
pt_user INT
pt_reason TINYBLOB
pt_timestamp BINARY(14)
pt_expiry VARBINARY(14)
pt_create_perm VARBINARY(60)



## nflldb Entity-Relationship diagram (condensed)



<https://github.com/BurntSushi/nflldb/wiki/The-data-model#er-diagrams>



<https://engineering.linkedin.com/blog/2019/data-hub>

# All Variations of ER diagrams

In practice, everyone uses different notations.

What matters are the core *concepts*

(in this class, we will learn a specific notation)



COMSW4111\_001\_2015\_3: INTRODUCTION TO DATABASES (Fall 2015)

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## INTRODUCTION TO DATABASES

Files & Resources 

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Help 

**CourseNo:** COMSW4111\_001\_2015\_3

**Meeting Time:** MW 02:40P-03:55P **Meeting Location:** [SEELEY W. MU 833](#)

**Instructor Information:**

[Eugene Wu](#)

COMSW4111\_001\_2015\_3

# Entity-Relationship Modeling

Entities (objects) to store and their attributes

Relationships between entities and their attrs.

Integrity constraints & business rules

## NEXT SEMESTER COURSES

Fall 2015 – Spring 2016 Courses

Course Number	Course Title
COMSE6910_024_2015_3	FIELDWORK
COMSW4111_001_2015_3	INTRODUCTION TO DATABASES

Reflects Registrar changes through Mar-06-2015 2:02:13AM

## Courses

Course Number

Course Title

Year

Semester

**Eugene Wu** test test again just then [Clear](#)

Say something

Say it

Profile


Wall

### Basic Information

Nickname

Birthday

Personal summary

**B** *I* U ABC |  $x_2$   $x^2$  |   |   | [HTML](#)

Save changes

Cancel

### Contact Information

Email

ew2493@columbia.edu

Home page

Work phone

Home phone

Mobile phone

Facsimile

Save changes

Cancel

## Users

Nickname

Name

Birthday

Summary

Email

...

# Basics: Entities

Entity e.g., intro to databases

real-world object distinguishable from other objects  
described as set of attributes & the values  
(think one record)

Entity Set e.g., all courses

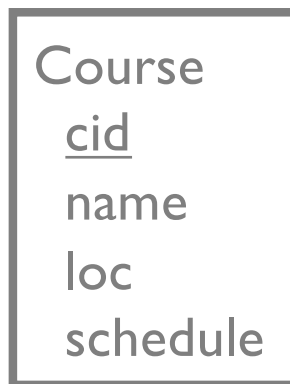
collection of similar entities  
all entities have same attributes (unless Is-A)  
must have one or more keys  
attributes have domains  
≈ table

# Example: Entity

Keys (cid, uid) are underlined

Values must be unique

(can use as hashtable key to lookup in table)





# Basics: Relationships

Relationship: association between 2 or more entities

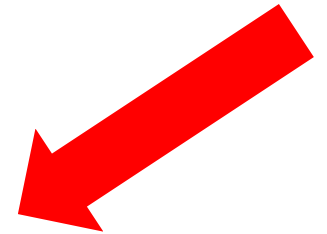
e.g., alice **is taking** Introduction to DBs

Relationship Set: collection of similar relationships

N-ary relationship set  $R$  relates  $N$  entity sets  $E_1 \dots E_n$

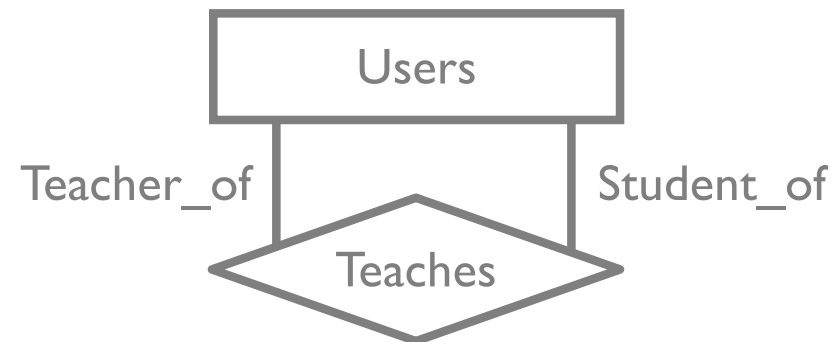
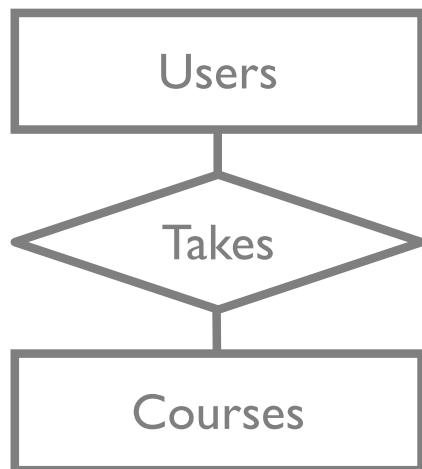
Each  $r \in R$  involves entities  $e_1 \dots e_n$

An  $E_i$  can be part of diff. relationship sets or diff. roles in same set



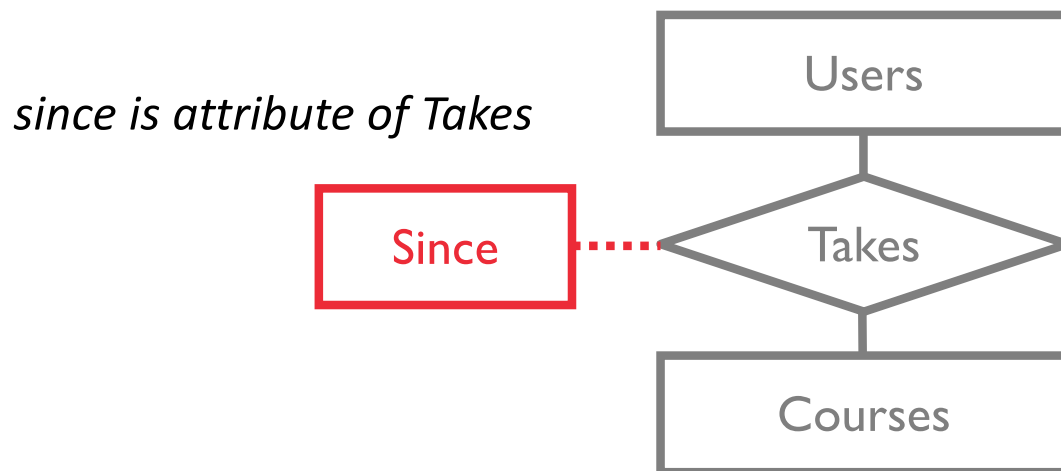
# Basics: Relationships

Users can have different roles  
in same relationship set



# Basics: Relationships

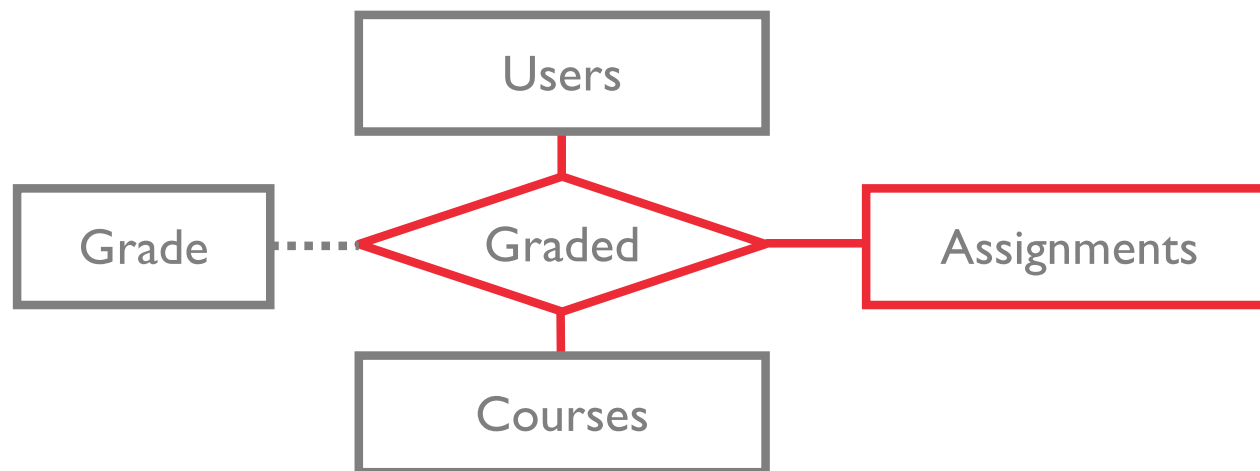
Relationships sets can have descriptive attributes  
Denoted with dotted line from diamond to box



# Basics: Ternary Relationships

Connects three entities

N-ary relationships possible too.



*Assignments, Courses, and Users participate in the Graded relationship set*

# Constraints

Help avoid corruption, inconsistencies

Key constraints

Participation constraints

Weak entities

Overlap and covering constraints

# Key Constraints

Defines cardinality requirements on relationships

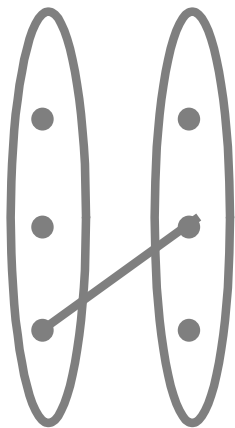
**Many to many** e.g., *Takes*

a user can take many courses

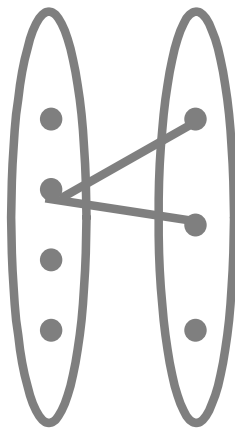
a course can have many users that take the course

**One to Many** e.g., *Instructs*

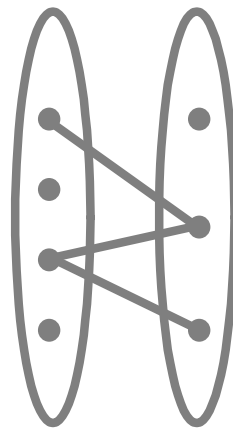
a course has at most one instructor



1-to-1

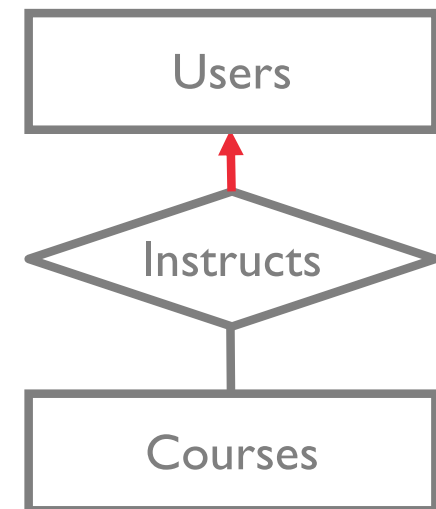


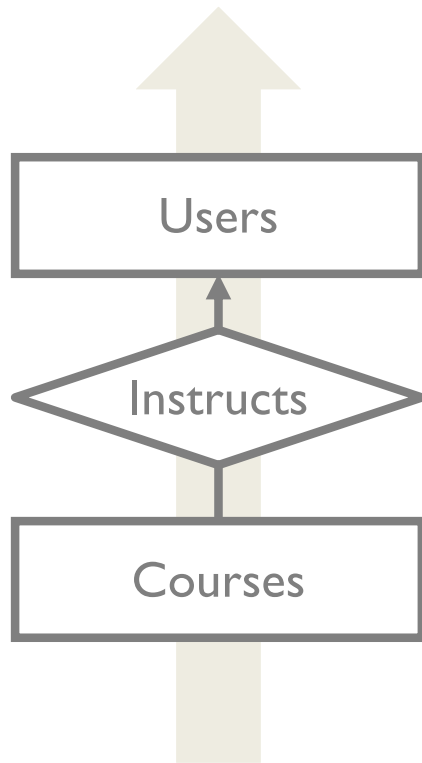
1-to Many



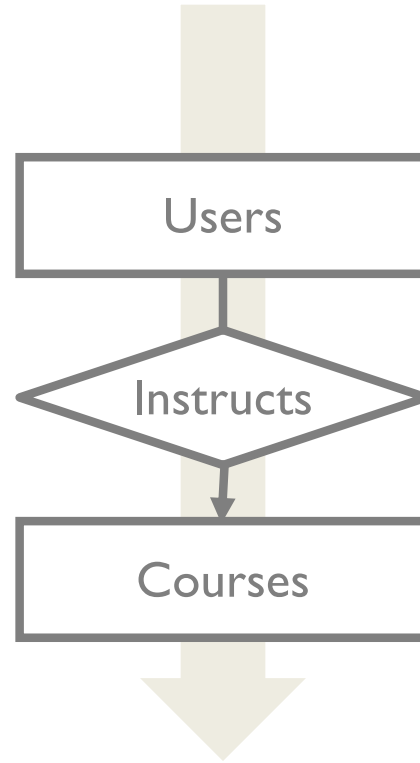
Many-to-Many

*Draw arrow from diamond to box*

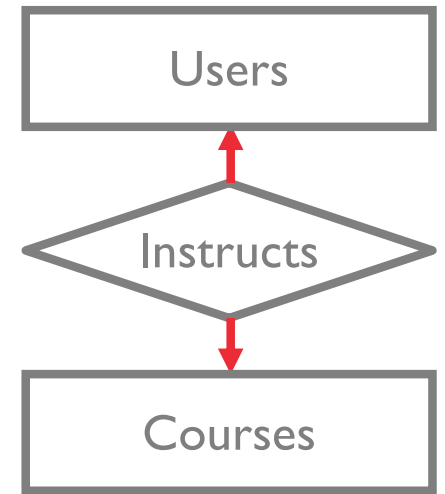




*A course is instructed by  $\leq l$  user  
(read along the beige arrow)*



*A user instructs  $\leq l$  course*



*A course is instructed by  $\leq l$  user  
AND  
A user instructs  $\leq l$  course*

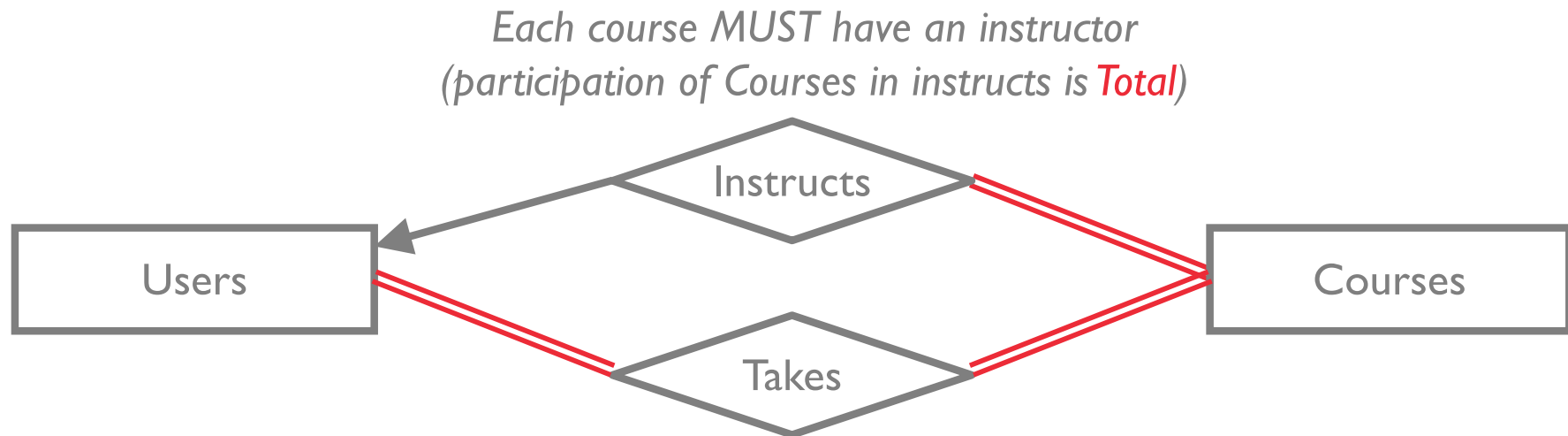
# Participation Constraints

Does every course need an instructor?

If yes, it's a **participation constraint**

Otherwise, **partial** participation constraint

Denoted by double line between entity set and relationship set



*Each user must take at least one course and  
Each course must have at least one user (student)*



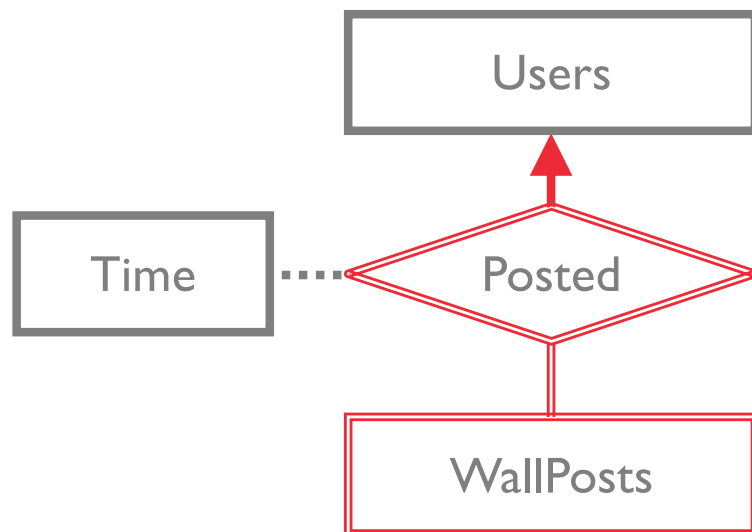
# Weak Entities

A *weak entity* can only be uniquely identified by using the primary key of its owner entity

Owner and weak entity sets must have 1-to-N relationship

Weak entity set must have total participation in this *identifying* relationships set

Denoted as double line around weak entity, set relationship set, and the edge between them; an arrow to owner entity



**Eugene Wu** test test again just then [C](#)

Profile

Wall

**B**

*I*

U

ABC

$\times_2$

$\times^2$

Post to wall



[Eugene Wu](#)  
test test again  
11 August, 10:30



[Eugene Wu](#)  
test again  
11 August, 10:30



[Eugene Wu](#)  
test  
11 August, 10:30

# General Cardinality Constraints

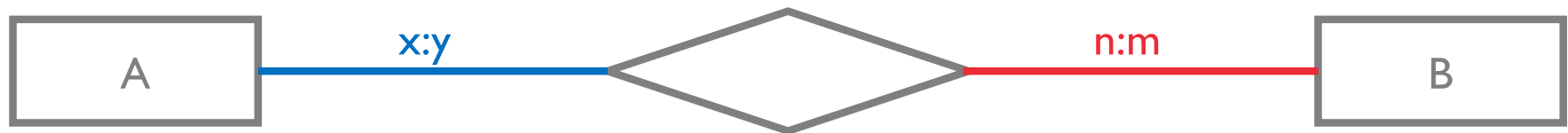


same as



A user instructs 0 to  $\infty$  courses

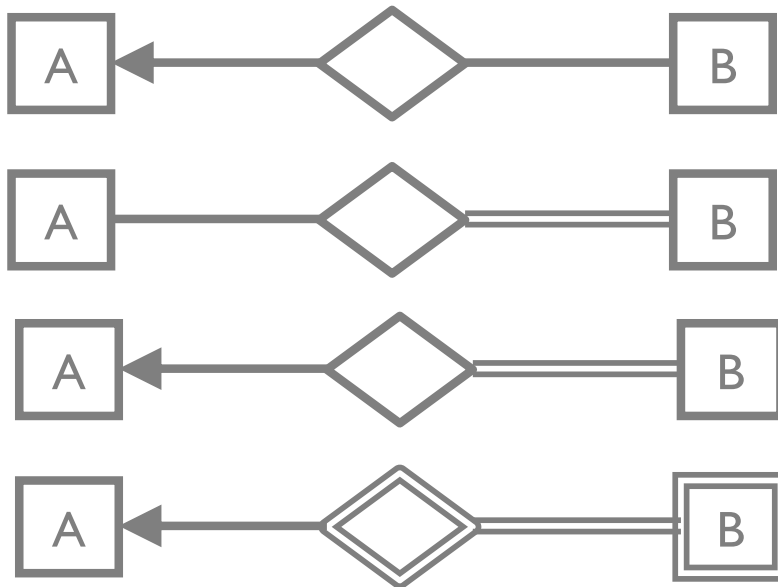
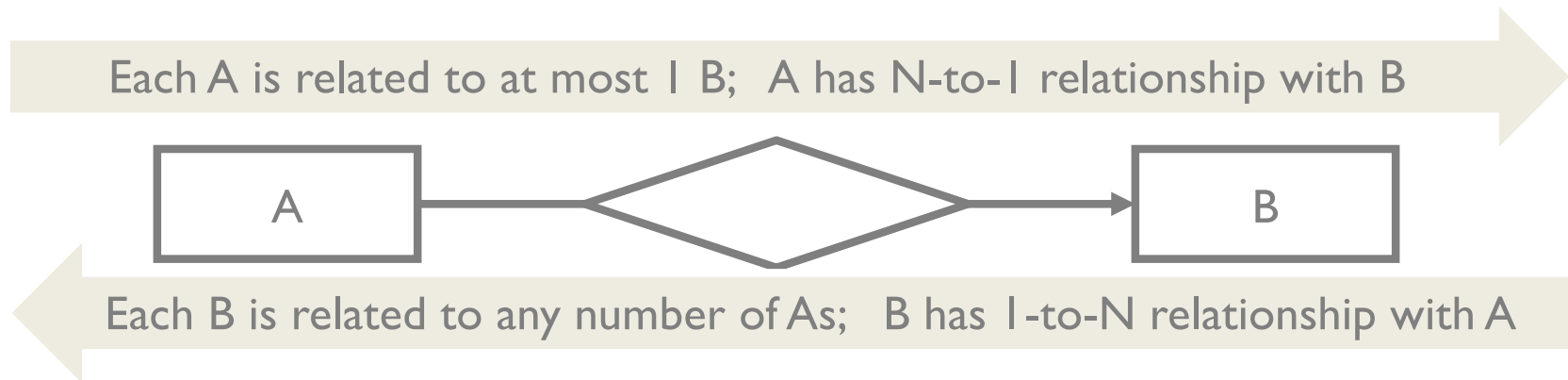
A course has 0 to 1 instructors



Each A entity has a relationship with between x to y different B entities

Each B entity has a relationship with between n to m different A entities

Read arrows pointing in the direction from start to end



B has at most one A

B has at least one A

B has exactly one A

B is a weak entity

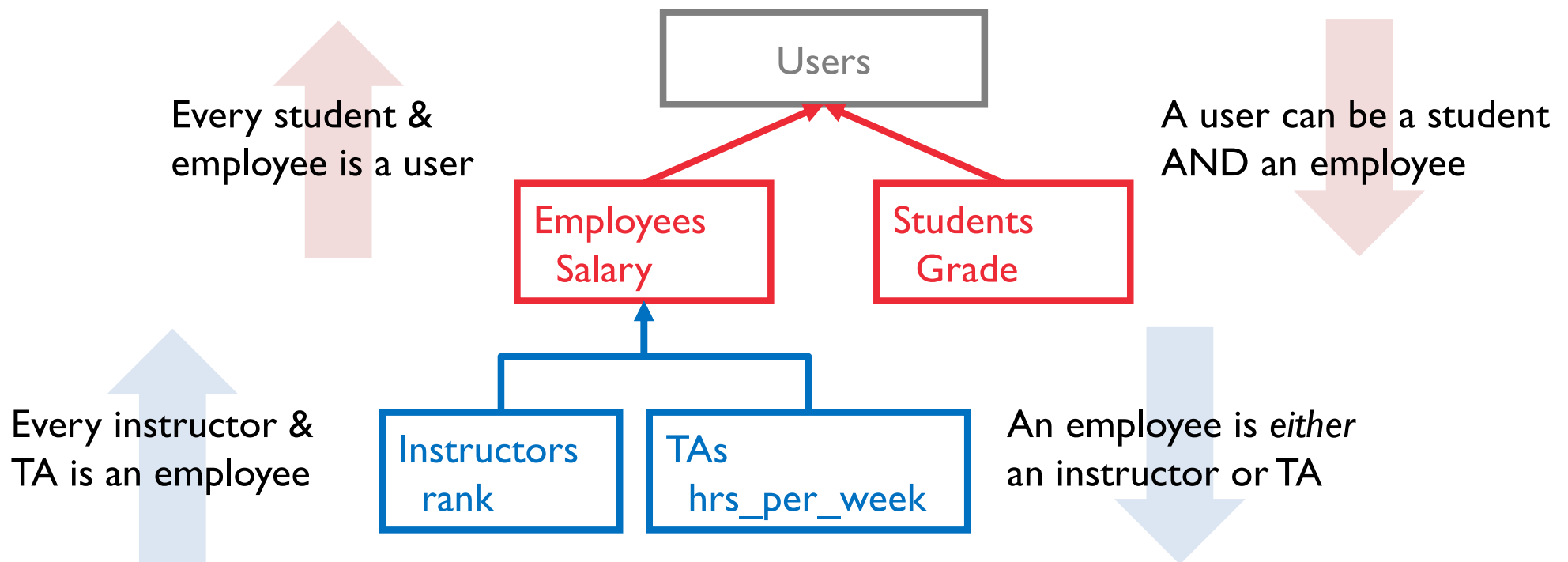
# Specialization Hierarchies

Inheritance rules similar to programming languages

add descriptive attributes specific to a subclass e.g., grade

identify entity set that participate in a relationship

Denoted with arrow from subclass to superclass without a diamond

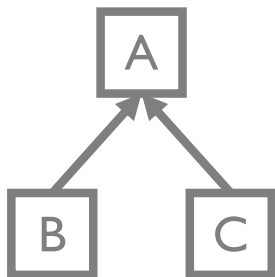


# Specialization Hierarchies

# Overlap Constraint

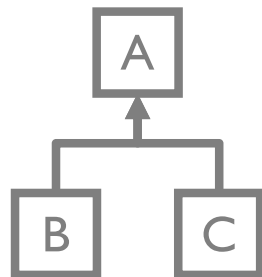
## can A be a B *and* a C?

**YES**



*separate arrows*

NO

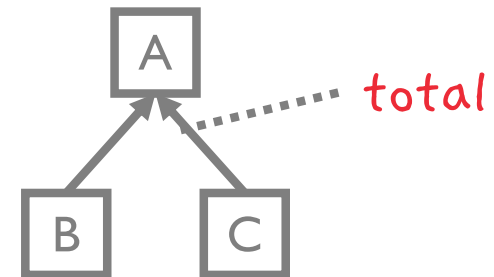


*merged into 1 arrow*

## Total Specialization Constraint

*must* A be a B or C?

specify as the comment “total”  
with dashed link to arrows

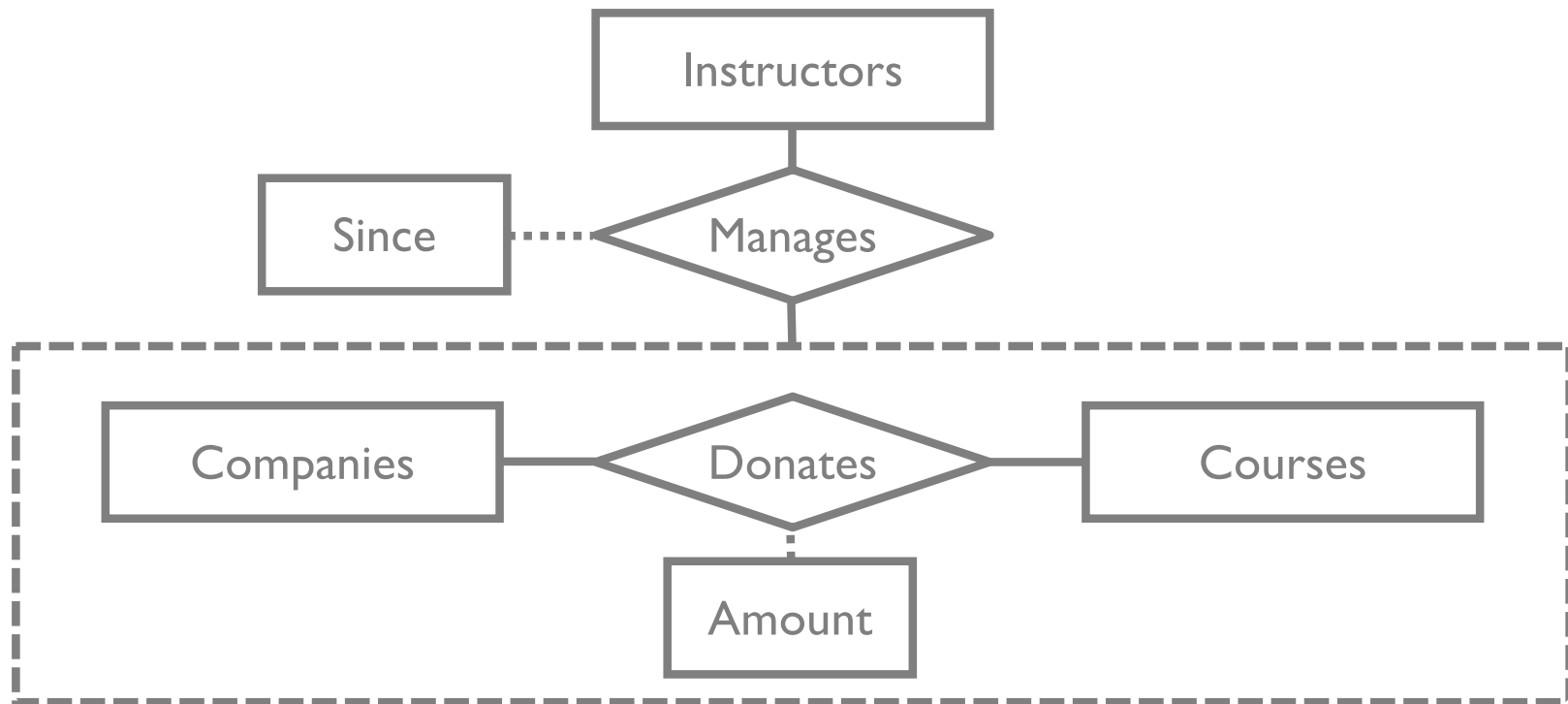


# Aggregation

Relationships between (entities – relationships)

Treat Relationship Set like an Entity Set to participate in other relationships

Denoted as dashed line around the relationship set

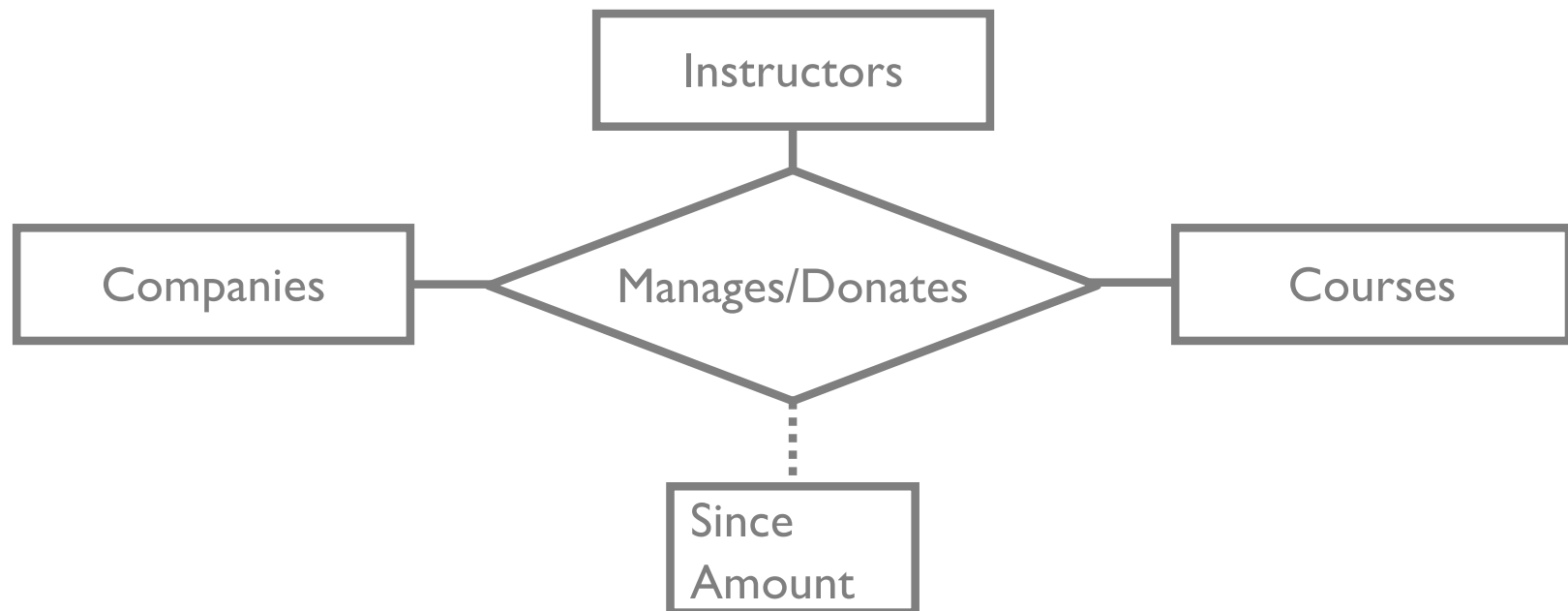


# Aggregation vs Ternary Relationships

Why use aggregation?

Manages and Donates are distinct relationships with own attrs

Can define constraints on relationship sets

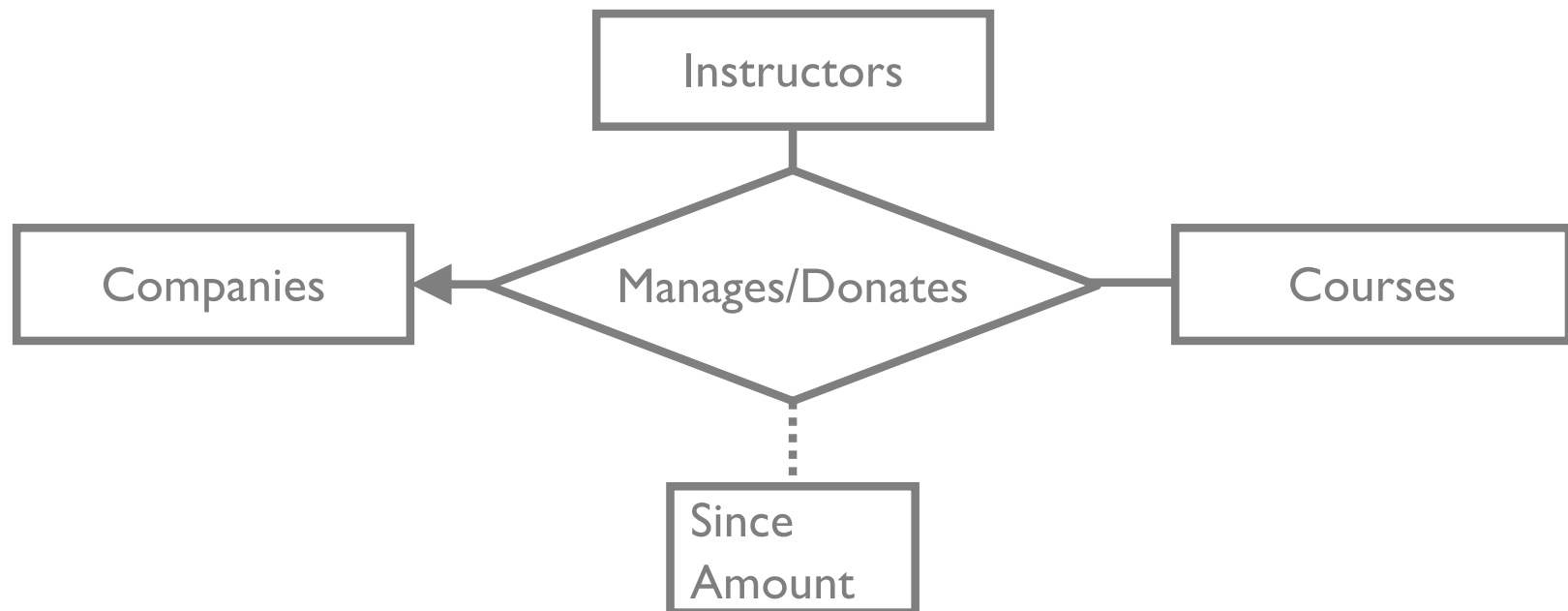


# Aggregation vs Ternary Relationships

Constraints apply to all connected entity sets

*A donation can be managed by at most one instructor*

But also enforces: *A course can have at most one donation*





# Using the ER Model

OK, we've seen the *syntax*.

How to use it involves design choices

Design Choices for a concept

- Entity or Attribute?

- Entity or Relationship?

- Binary or Ternary relationship?

- Aggregation or Ternary relationship?

# Entity or Attribute?

Is **users.address** an attribute of Users or an entity connected to Users by a relationship?

Depends (and may change over time!)

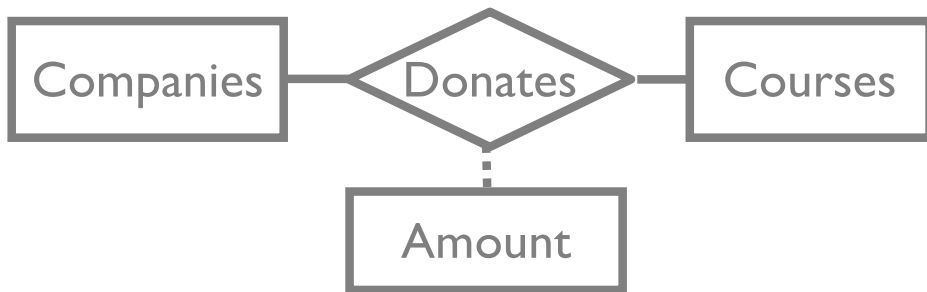
If a user has  $>1$  addresses, must be an entity

If an address has attrs (structure), must be entity

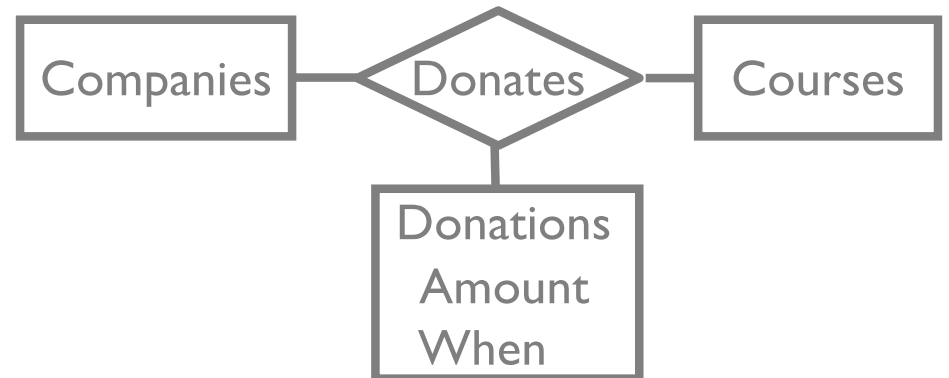
e.g., want to search for users by city, state, or zip

# Entity or Attribute?

A company can't donate  
multiple amounts



Company can make multiple  
donations

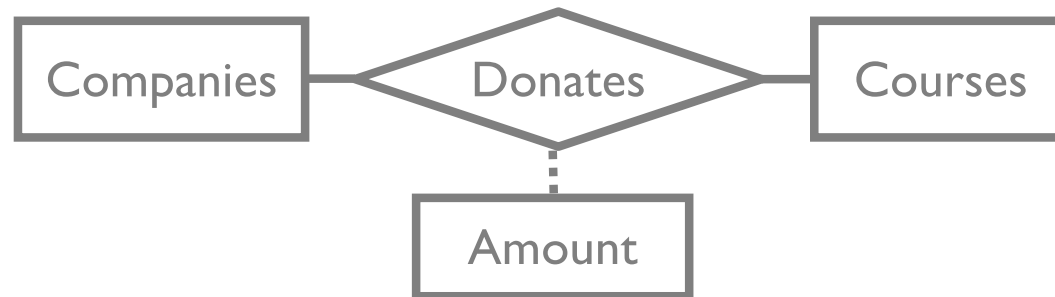


# Entity or Relationship?

But what if company donates to school for all data-related courses?

**Redundancy** of *amount*, need to remember to update every one

**Misleading** implies *amount* tied to *each* donation individually



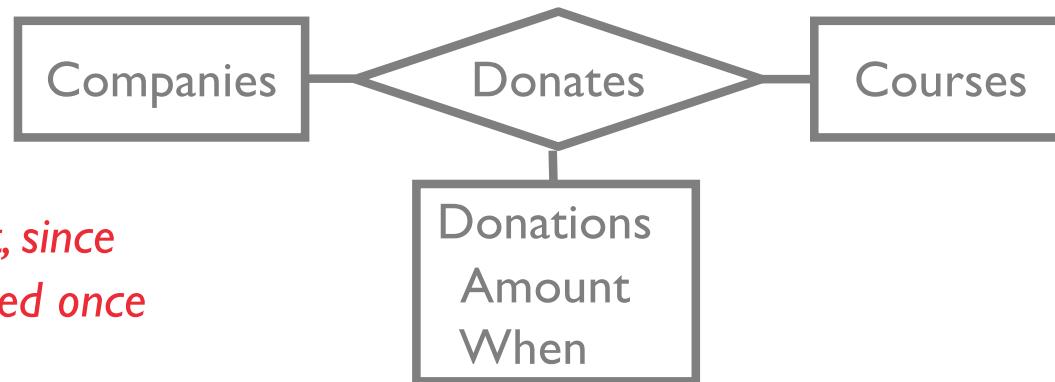
Company	Course	Amount
Amazon	4111	2000
Amazon	4112	2000
Amazon	5111	2000

*These amounts are logically the same (redundant)!*

# Entity or Relationship?

If company donates once to school for data related courses.

Refactor amount into an entity



*Company redundant, since  
company only donated once*



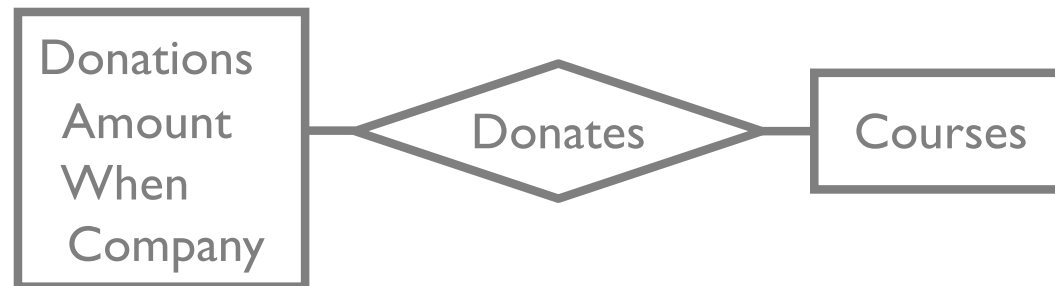
Company	Course	Donation
Amazon	4111	1
Amazon	4112	1
Amazon	5111	1

Donation	When	Amount
1	Today	2000

# Entity or Relationship?

If company donates once to school for data related courses.

Refactor amount into an entity

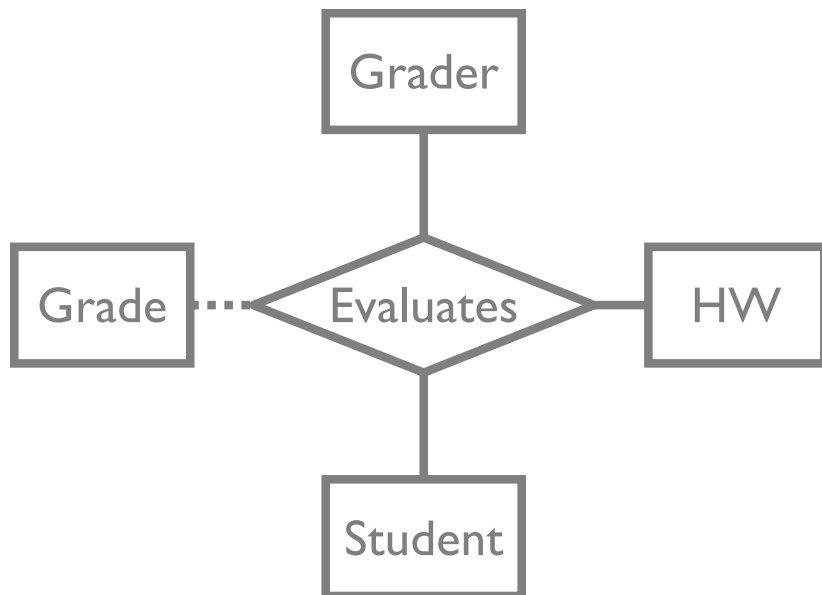


Course	Donation
4111	1
4112	1
5111	1

Donation	When	Amount	Company
1	Today	2000	Amazon

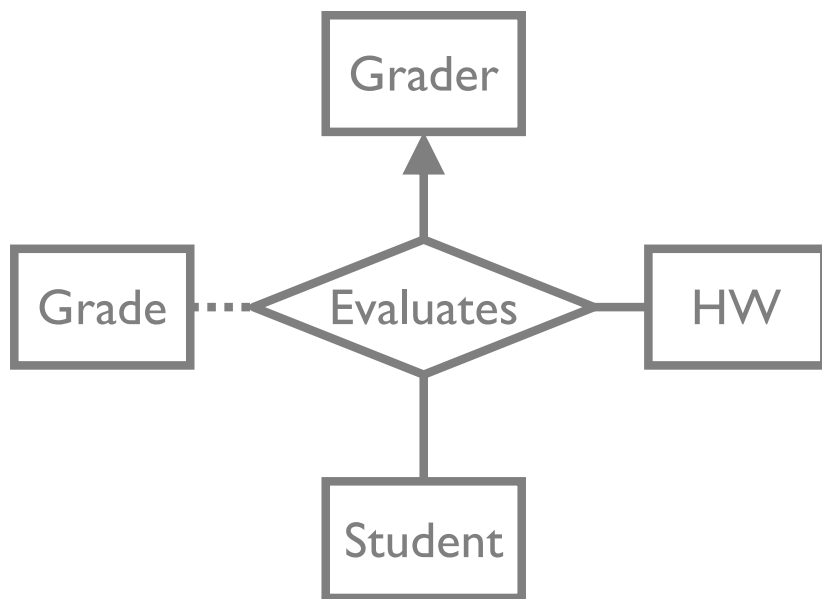
# Binary or Ternary Relationship?

What if each HW has at most one grader?

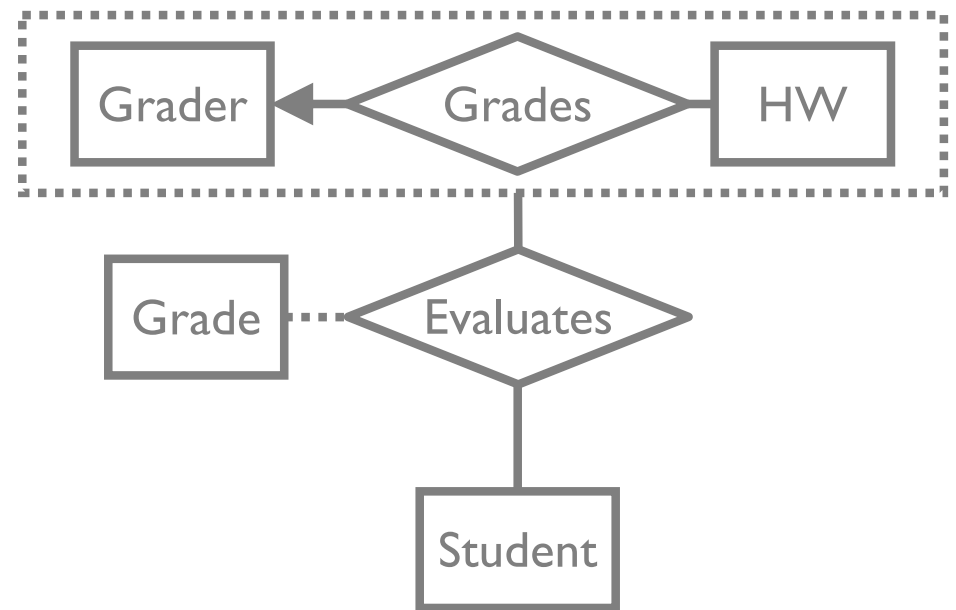


# Binary or Ternary Relationship?

What if each HW has at most one grader?



*Actually says that each student's HW submission has at most one grader*



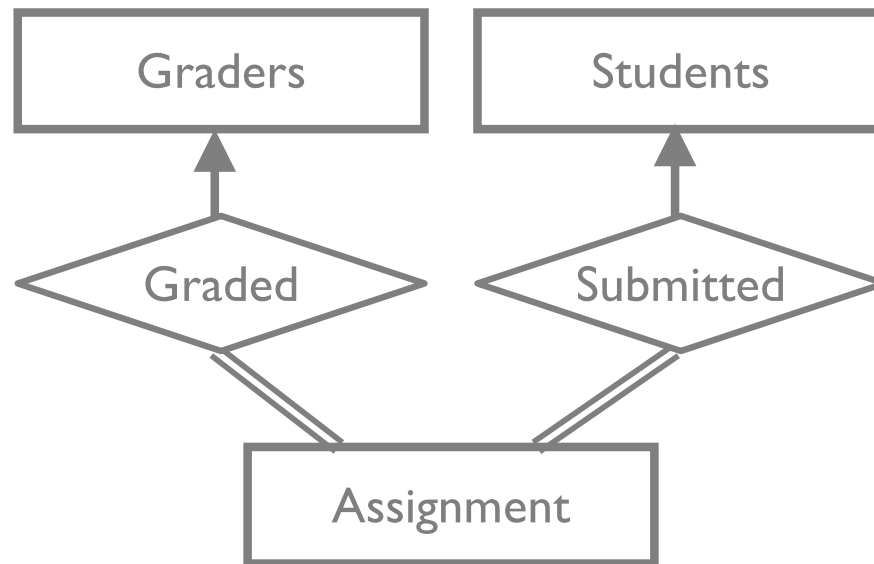
*Each HW has at most 1 grader and the grader evaluates student submissions*



# Binary or Ternary Relationship?

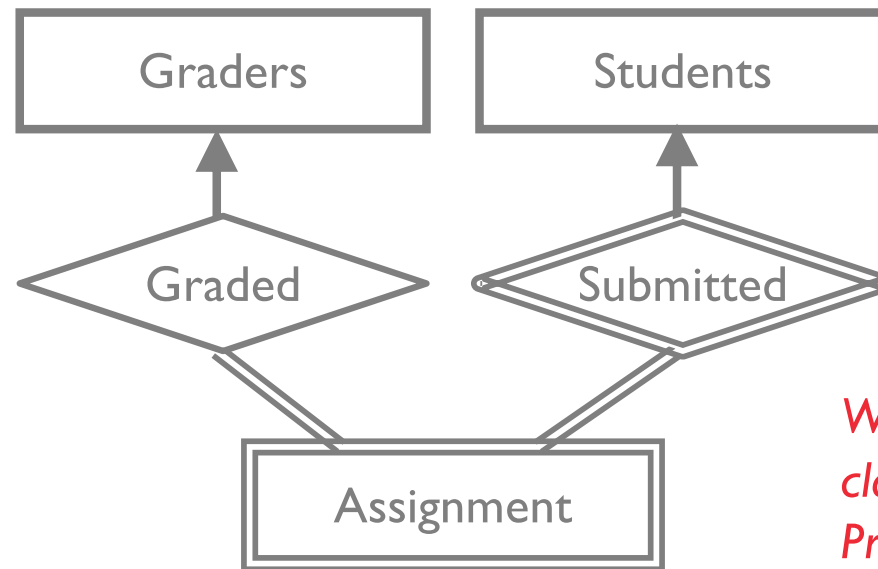
Binary relationships allows additional constraints

What should happen if a student drops the class? (see next slide)



# Binary or Ternary Relationship?

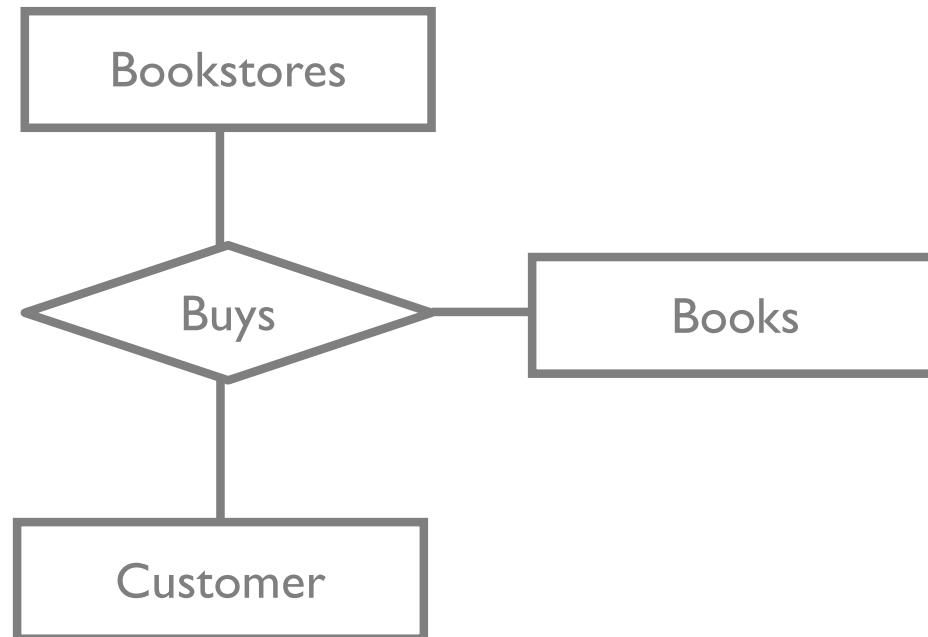
Binary relationships allows additional constraints



*When student drops the class, HW0 also disappears!  
Previous slide was correct*

# Binary or Ternary Relationship?

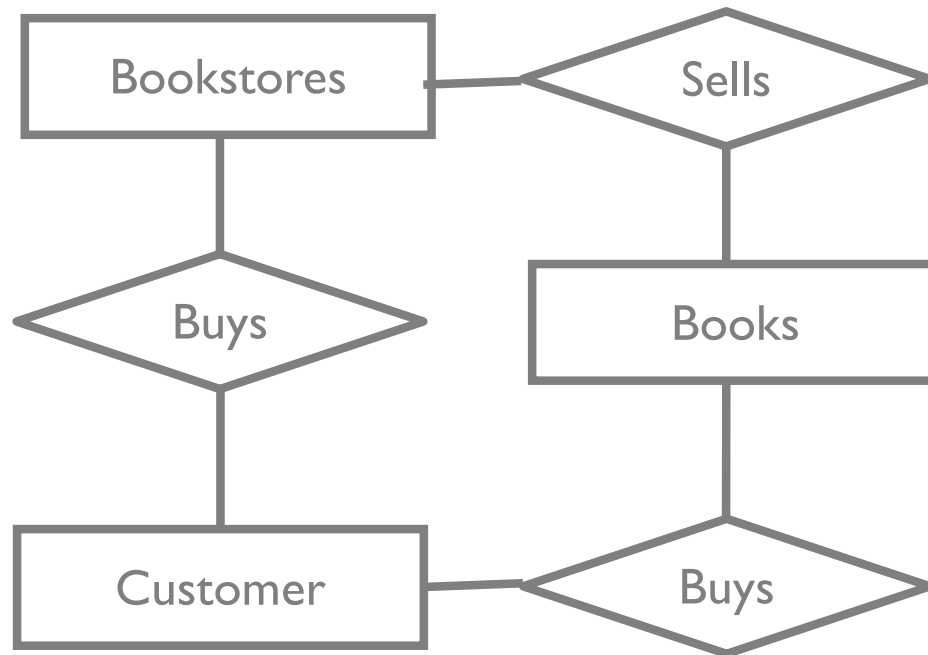
Sometimes have true ternary relationship that is defined by all three entities.



# Binary or Ternary Relationship?

Sometimes have true ternary relationship that is defined by all three entities.

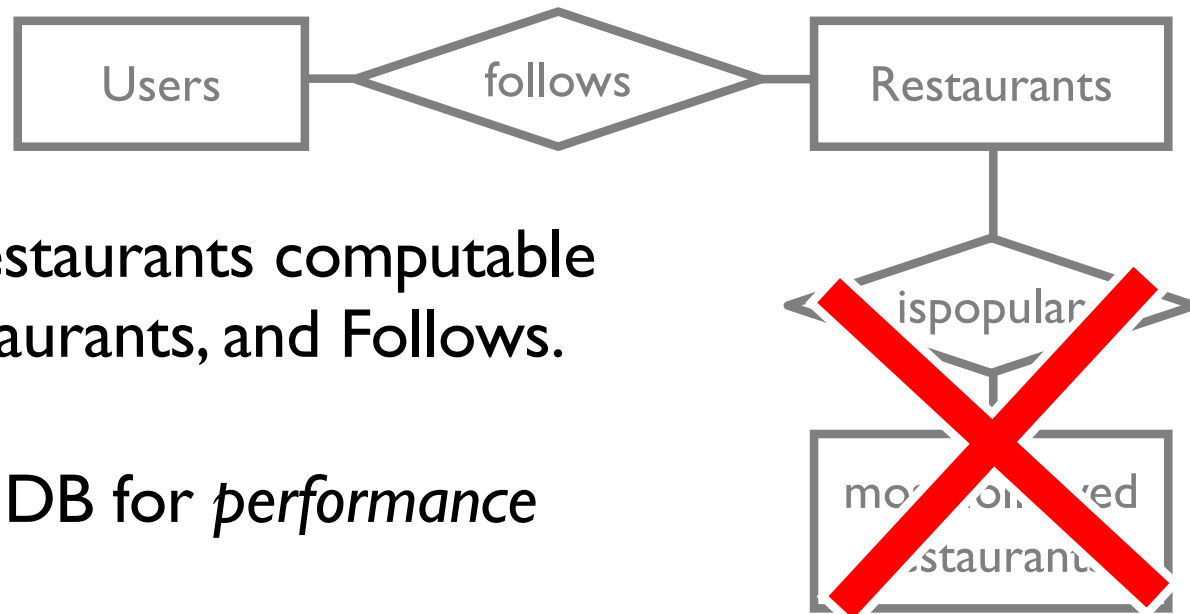
*Doesn't  
Really  
Work*



# Advice

The ER diagram (and database) stores the *minimal information* needed for your application.

Everything else (e.g., stats) can be computed



Most followed restaurants computable from Users, Restaurants, and Follows.

May still store in DB for *performance* reasons

# Summary

## Requirements

what are you going to build?

## Conceptual Database Design

pen-and-pencil description

(Today) ER Modeling

## Logical Design

formal database schema

## Schema Refinement:

fix potential problems, normalization

## Physical Database Design

use sample of queries to optimize for speed/storage

## App/Security Design

prevent security problems

# Summary

Conceptual design follows *requirements analysis*

ER model helpful for conceptual design

- constraints are expressive

- matches how we often think about applications

Core constructs

- entity, relationship, attribute

- weak entities, ISA, aggregation

Many variations beyond today's discussion

# Summary

ER design is subjective based on usage+needs

Today we saw multiple ways to model same idea

ER design is not complete/perfect

Developed in an enterprise-oriented world (ER First)

Doesn't capture semantics (what does “instructor” *mean*?)

Doesn't capture e.g., processes/state machines

How to combine multiple ER models automatically?

Limitation of imagination when designing application

Still needs further refinement

Open problems!

ER design is a useful way to think



# Next Time

Relational Model: de-facto DBMS standard

Set up for ER diagrams → Relational models