

**University of Minho**School of Engineering

Bruna Filipa Martins Salgado

A Metric Equational System for Quantum Computation





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A Metric Equational System for Quantum Computation

Master's Dissertation Master in Physics Engineering

Work carried out under the supervision of **Renato Jorge Araújo Neves** 

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## **Abstract**

Noisy intermediate-scale quantum (NISQ) computers are expected to operate with severely limited hardware resources. Precisely controlling qubits in these systems comes at a high cost, is susceptible to errors, and faces scarcity challenges. Therefore, error analysis is indispensable for the design, optimization, and assessment of NISQ computing. Nevertheless, the analysis of errors in quantum programs poses a significant challenge. The overarching goal of the M.Sc. project is to provide a fully-fledged quantum programming language on which to study metric program equivalence in various scenarios, such as in quantum algorithmics and quantum information theory.

**Keywords** approximate equivalence,  $\lambda$ -calculus, metric equations



## Resumo

Escrever aqui o resumo (pt)

**Palavras-chave** palavras, chave, aqui, separadas, por, vírgulas



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## **Acronyms**

```
NISQ Noisy Intermediate-Scale Quantum 1, 2, 3

CPTP Completely Positive Trace-Preserving 23, 24, 27

OSR Operator Sum Representation 24

BNF Backus-Naur Form 61, 62
```



## **Notation**

```
\mathbb{R} Space of real numbers 5
\mathbb{C}^n n-dimensional complex space. 5
\mathbb{C}^{n\times m} Space of complex matrices of dimension n\times m. 5
R, V, W Typical names for vector spaces. 6
dim(V) Dimension of vector space V. 6
\langle \cdot, \cdot \rangle Inner product. 8
\overline{(-)} Complex conjugate operation. 8
\|\cdot\| Norm of an arbitrary vector. 9
d(x,y) distance between vectors x and y. 9
id Identity operator 10
(-)^{\dagger} Adjoint operation. 10
U Typical name for a unitary operator. 11
\rho Typical designation for a density matrix. 11
\|.\|_2 Euclidean norm. 12
\|.\|_1 Trace norm. 12
\|\cdot\|_{L^1} \ L^1 norm. 12
\|\cdot\|_{L^{\infty}} L^{\infty} norm. 12
(-)^{\otimes n} N-fold tensor product. 13
```

- $|\psi
  angle$  Quantum state. Also known as ket. 14
- $\langle \psi | | \psi \rangle^{\dagger}$ . Also known as bra. 14
- $\langle \psi | \phi \rangle$  Inner product between states  $| \psi \rangle$  and  $| \phi \rangle$ . 14
- $|\psi\rangle\otimes|\phi\rangle$  Tensor product of states  $|\psi\rangle$  and  $|\phi\rangle$ . 16
- $|\psi\rangle|\phi\rangle$  Tensor product of states  $|\psi\rangle$  and  $|\phi\rangle$ . 16
- $|\psi\phi\rangle$  Tensor product of states  $|\psi\rangle$  and  $|\phi\rangle$ . 16
- X Pauli operator  $\sigma_x$ . 18
- Z Pauli operator  $\sigma_z$ . 18
- H Hadamard gate 18
- P Phase-shift gate 19

#### **CNOT** Controlled Not gate 19

- $\|\cdot\|_{\diamondsuit}$  Diamond norm. 25
- $\|.\|_{op}$  Operator norm. 28
- $\|\cdot\|_{\pi}$  Projective norm. 29
- $V \widehat{\otimes}_{\pi} W$  Projective tensor product of V and W. 29
- $\mathcal{H}, \mathcal{K}$  Typical names for Hilbert spaces. 30
- $\mathcal{H} \otimes_2 \mathcal{K}$  Hilbert space tensor product of  $\mathcal{H}$  and  $\mathcal{K}$ . 30
- ⊗<sub>meas</sub> Product measure. 37
- $\mathcal{M}\mathbb{R}$  Banach space of finite Borel measures on  $\mathbb{R}$ . 37
- FV(v) Set of free variables of a term v. 63
- $v: \mathbb{A}$  Typed term. 63
- $\Gamma, \Delta, E$  Typical names for typing contexts. 63
- $\Gamma \triangleright v : \mathbb{A}$  Typing judgement. 63

 $v[w/x]\;$  Substitution of a variable x for a term w in a term v. 66

 $\Gamma \triangleright v = w : \mathbb{A} \ \ \text{Equation-in-context. 68}$ 

 $t =_{\epsilon} s \;\; \mathrm{Metric} \; \mathrm{equation.} \; \mathbf{70}$ 



### **Chapter 1**

## Introduction

#### 1.1 Motivation and Context

Quantum computing dates back to 1982 when Nobel laureate Richard Feynman proposed the idea of constructing computers based on quantum mechanics principles to efficiently simulate quantum phenomena [1].

The field has since evolved into a multidisciplinary research area that combines quantum mechanics, computer science, and information theory. Quantum information theory, in particular, is based on the idea that if there are new physics laws, there should be new ways to process and transmit information. In classical information theory, all systems (computers, communication channels, etc.) are fundamentally equivalent, meaning they adhere to consistent scaling laws. These laws, therefore, govern the ultimate limits of such systems. For instance, if the time required to solve a particular problem, such as the factorization of a large number, increases exponentially with the size of the problem, this scaling behavior remains true irrespective of the computational power available. Such a problem, growing exponentially with the size of the object, is known as a "difficult problem". However, as demonstrated by Peter Shor, the use of a quantum computer with a sufficient number of quantum bits (qubits) could significantly accelerate the factorization of large numbers [2]. This advancement poses a significant threat to the security of confidential data transmitted over the Internet, as the RSA algorithm is based on the computational difficulty of factorizing large numbers.

The quantum computing paradigm holds immense promise, as evidenced by this compelling result in computational complexity theory. While hardware advancements have brought the scientific community closer to realizing this potential, the ultimate goal is yet to be accomplished. A **Noisy Intermediate-Scale Quantum** (**NISQ**) computer equipped with 50-100

qubits may surpass the capabilities of current classical computers, yet the impact of quantum noise, such as decoherence in entangled states, imposes limitations on the size of quantum circuits that can be executed reliably [3]. Unfortunately, general-purpose error correction techniques [4–6] consume a substantial number of qubits, making it difficult for NISQ devices to make use of them in the near term. For instance, the implementation of a single logical qubit may require between  $10^3$  and  $10^4$  physical qubits [7]. As a result, it is unreasonable to expect that the idealized quantum algorithm will run perfectly on a quantum device, instead only a mere approximation will be observed.

To reconcile quantum computation with **NISQ** computers, quantum compilers perform transformations for error mitigation [8] and noise-adaptive optimization [9]. Additionally, current quantum computers only support a restricted, albeit universal, set of quantum operations. As a result, nonnative operations must be decomposed into sequences of native operations before execution [10], [11]. In general, perfect computational universality is not sought, but only the ability to approximate any quantum algorithm, with a preference for minimizing the use of additional gates beyond the original requirements. The assessment of these compiler transformations necessitates a comparison of the error bounds between the source and compiled quantum programs. Additionally, in quantum information theory, it is essential to account for errors arising from malicious attacks or noisy channels [12].

This suggests the development of appropriate notions of approximate program equivalence, *in lieu* of the classical program equivalence and underlying theories that typically hinge on the idea that equivalence is binary, *i.e.* two programs are either equivalent or they are not [13].

As previously noted, Shor's algorithm has played a pivotal role in sparking heightened interest within the scientific community toward quantum computing research. Several quantum programming languages have surfaced over the past 25 years [14, 15]. These include imperative languages such as Qiskit [16] and Silq [17], as well as functional languages such as Quipper [18] and Q# [19]. On one hand, the design of quantum programming languages is strongly oriented towards implementing quantum algorithms. On the other hand, the definition of functional paradigmatic languages or functional calculi serves as a valuable tool for delving into theoretical aspects of quantum computing, particularly exploring the foundational basis of quantum computation [20].

Most of the current research on algorithms and programming languages assumes that ad-

dressing the challenge of noise during program execution will be resolved either by the hard-ware or through the implementation of fault-tolerant protocols designed independently of any specific application [21]. As previously stated, this assumption is not realistic in the NISQ era. Nonetheless, there have been efforts to address the challenge of approximate program equivalence in the quantum setting.

[22] and [23] reason about the issue of noise in a quantum while-language by developing a deductive system to determine how similar a quantum program is from its idealised, noise-free version. The former introduces the  $(Q,\lambda)$ -diamond norm which analyzes the output error given that the input quantum state satisfies some quantum predicate Q to degree  $\lambda$ . However, it does not specify any practical method for obtaining non-trivial quantum predicates. In fact, the methods used in [22] cannot produce any post conditions other than (I,0) (i.e., the identity matrix I to degree 0, analogous to a "true" predicate) for large quantum programs. The latter specifically addresses and delves into this aspect.

An alternative approach was explored in [24], using linear  $\lambda$ -calculus as basis – *i.e* programs are written as linear  $\lambda$ -terms – which has deep connections to both logic and category theory [25], [26]. A notion of approximate equivalence is then integrated in the calculus via the so-called diamond norm, which induces a metric (roughly, a distance function) on the space of quantum programs (seen semantically as completely positive trace-preserving super-operators) [12]]. The authors argue that their deductive system allows to compute an approximate distance between two quantum programs easily as opposed to computing an exact distance "semantically" which tends to involve quite complex operators. Some positive results were achieved in this setting, but much remains to be done.

Dar mais ênfase ao lambda calculus -> Procurar livros para inspiração

Colocar o que fiz em termos mais leigos

Maybe posso na mesma discorrer um pouco sobre a parte quantica, fazendo o parentesis que é a área em que tenho mais experiencia

### 1.2 Goals

#### 1.3 Document Structure

Nota sobre conhecimentos q assumo

Nota sobre conhecimentos q tenho -> conhecimento superficial o qual apresento, não mais de que isso

## **Chapter 2**

## **Preliminaries**

#### Mudar esta descrição, faltam as c and w algebras.

The first two sections of this chapter present the mathematical and quantum computing preliminaries necessary for understanding the theory of quantum computation. The introduction to quantum computing is primarily based on [12, 27], while the mathematical foundations are also based on [28] and [29].

The following section introduces core concepts from functional analysis, which are essential in measure theory and C\*- and W\*-algebras. This part is based on [28–32].

The section on measure theory introduces the fundamentals of measure theory, a key component in defining the semantics of probabilistic programs, drawing inspiration primarily from [33] and [34]. For a more in-depth exploration of measure theory, see reference [35]. Finally, we present an exposition of the definitions and results from category theory used throughout the remainder of the thesis, drawing from [36–38].

## 2.1 Linear Algebra

It is impossible to present the theory of quantum computation without introducing some concepts of linear algebra within finite-dimensional spaces. This section provides a brief overview of the aspects of linear algebra that are most pertinent to the study of quantum computation.

#### 2.1.1 Vector spaces

The basic objects of linear algebra are vector spaces. The vector spaces of interest in this work are the real and complex vector spaces, such as  $\mathbb{R}$  and  $\mathbb{C}^n$  and  $\mathbb{C}^{n \times m}$ , respectively.

**Definition 2.1.1.** A *vector space* (over a field  $\mathcal{F}$ ) consists of a set V whose elements are called vectors, together with two operations:

- An operation called vector addition that takes two vectors  $v,w\in V$  , and results in a third vector, written  $v+w\in V$ ;
- An operation called scalar multiplication that takes a scalar  $a \in \mathcal{F}$  and a vector  $v \in V$ , and results in a new vector, written  $a \cdot v \in V$

which satisfy the following axioms, for all  $u, v, w \in V$  and  $a_1, a_2 \in \mathcal{F}$ :

- 1. Vector addition is commutative: u + v = v + u;
- 2. Vector addition is associative: (u+v)+w=u+(v+w);
- 3. There is a zero vector  $0 \in V$  such that v + 0 = v for all  $v \in V$ ;
- 4. Each  $v \in V$  has an additive inverse  $w \in V$  such that w + v = 0;
- 5. Scalar multiplication distributes over scalar addition,  $(a_1 + a_2) \cdot v = a_1 \cdot v + a_2 \cdot v$ ;
- 6. Scalar multiplication distributes over vector addition,  $a_1 \cdot (v + w) = a_1 \cdot v + a_1 \cdot w$ ;
- 7. Ordinary multiplication of scalars associates with scalar multiplication,  $(a_1a_2) \cdot v = a_1 \cdot (a_2 \cdot v)$ ;
- 8. Multiplication by the scalar 1 is the identity operation,  $1 \cdot v = v$ .

The letters R, V, W will often be used to refer to vector spaces. In this section, all vector spaces are assumed to be finite dimensional, unless otherwise stated.

**Definition 2.1.2.** A set of non-zero vectors  $v_1, \ldots, v_n$  are *linearly dependent* if there exists a set of complex numbers  $a_1, \ldots, a_n$  with  $a_i \neq 0$  for at least one value of i, such that

$$a_1v_1 + a_2v_2 + \dots a_nv_n = 0.$$

**Definition 2.1.3.** A set of vectors  $v_1, \ldots, v_n$  are *linearly independent* if they are not linearly dependent.

**Definition 2.1.4.** A *basis* for a vector space is a sequence of vectors that is linearly independent and that spans the space.

**Definition 2.1.5.** The number of elements in the basis is defined to be the *dimension* of V, denoted  $\dim(V)$ .

#### 2.1.2 Linear operators

**Definition 2.1.6.** A *linear operator* between vector spaces V and W is defined to be any function  $A:V\to W$  which is linear in its inputs, *i.e.* 

$$A\left(\sum_{i} a_{i} v_{i}\right) = \sum_{i} a_{i} A(v_{i})$$

Usually A(v) is just denoted Av.

Suppose V,W, and R are vector spaces, and  $A:V\to R$  and  $B:W\to R$  are linear operators. Then the notation BA is used to denote the *composition* of B with A, defined by  $(BA)(v)\equiv B(A(v))$ . Once again, (BA)(v) is abbreviated as BAv.

For any choice of complex spaces  $V\in\mathbb{C}^n$  and  $W\in\mathbb{C}^m$ , there is a bijective linear correspondence between the set of operators from V to W and the set of  $n\times m$  matrices. The claim that the matrix  $A\in\mathbb{C}^{m\times n}$  is a linear operator just means that

$$A\left(\sum_{i} a_{i} v_{i}\right) = \sum_{i} a_{i} A(v_{i})$$

is true as an equation where the operation is matrix multiplication of A by a collumn vector in  $\mathbb{C}^n$ . Clearly, this is true! On the other hand, suppose  $A:V\to W$  is a linear operator between vector spaces V and W, such that  $V\in\mathbb{C}^n$  and  $W\in\mathbb{C}^m$ . Suppose  $v_1,\ldots,v_n$  is a basis for V and  $w_1,\ldots,w_n$  is a basis for W. Then for each j in the range  $1,\ldots,m$ , there exist complex numbers  $A_{1j}$  through  $A_{nj}$  such that

$$Av_j = \sum_i A_{ij} w_i.$$

The matrix whose entries are the values  $A_{ij}$  is said to form a matrix representation of the operator A. This matrix representation of A is completely equivalent to the operator A. As a result, when considering operators on vector spaces of the form  $\mathbb{C}^n$  it is common to refer to the operator A and its matrix representation interchangeably.

### 2.1.3 Inner product

A linearidade é no segundo ou no primeiro argumentos, é que uns livros usam no primeiro e outros no segundo?

**Definition 2.1.7.** The *inner product*  $\langle \cdot, \cdot \rangle$  is a function from a vector space V to the field of scalars,  $\langle \cdot, \cdot \rangle : V \times V \to \mathcal{F}$ , that satisfies the following properties for all  $v, w, w_1, \dots, w_n \in V$  and  $a_1, \dots, a_n \in \mathcal{F}$ 

1. Linearity in the second argument,

$$\left\langle v, \sum_{i=1}^{n} a_i w_i \right\rangle = \sum_{i=1}^{n} a_i \langle v, w_i \rangle.$$

- 2.  $\langle v, w \rangle = \overline{\langle w, v \rangle}$ , where  $\overline{(-)}$  is the complex conjugate operation.
- 3.  $\langle v, w \rangle \geq 0$  with equality if and only if v = 0.

The inner product  $\langle v,w\rangle$  of two vectors  $v=(a_1,\ldots,a_n), w=(b_1,\ldots,b_n)\in\mathbb{C}^n$  is defined as

$$\langle v, w \rangle = \sum_{i} \overline{a}_{i} b_{i}.$$

#### **Trace**

In order to define inner product of a matrix, it is necessary to first define the trace of a matrix.

**Definition 2.1.8.** The trace of a square matrix  $A \in \mathbb{C}^{n \times n}$  defined to be the sum of its diagonal elements,

$$\operatorname{tr}(A) = \sum_{i} A_{ii}.$$

Alternatively, the trace can also be expressed as:

$$\operatorname{tr}(A) := \sum_{i=1}^{n} \langle Av_i, v_i \rangle,$$

where  $\{v_i\}_{i=1}^n$  is any orthonormal basis of  $\mathbb{C}^n$ . where  $\{v_i\}$  is an orthonormal basis for  $\mathbb{C}^n$ .

The trace is *cyclic*, that is,  $\operatorname{tr}(AB) = \operatorname{tr}(BA)$ , and *linear*,  $\operatorname{tr}(A+B) = \operatorname{tr}(A) + \operatorname{tr}(B)$ ,  $\operatorname{tr}(a \cdot A) = a \cdot \operatorname{tr}(A)$ , where matrices  $A, B \in \mathbb{C}^{n \times n}$ , and a is a complex number.

By means of the trace, one defines the inner product of two operators  $A, B \in \mathbb{C}^{m \times n}$  as follows

$$\langle A, B \rangle = \operatorname{tr}(A^{\dagger}B).$$

In the *finite* dimensional complex vector spaces relevant to quantum computation and quantum information, a *Hilbert space* is is equivalent to an inner product space. As a result, both  $\mathbb{C}^n$  and  $\mathbb{C}^{n\times m}$  are Hilbert spaces.

#### 2.1.4 Norm and normed spaces

**Definition 2.1.9.** A *norm*  $\|\cdot\|$  is a function that associates an element of a vector space V with a non-negative real number, such that the following properties hold:

- 1. Positive definiteness:  $||v|| \ge 0$  for all  $v \in V$ , with ||v|| = 0 if and only if v = 0;
- 2. Positive scalability: ||av|| = |a|||v|| for all  $v \in V$  scalar a;
- 3. The triangle inequality:  $||v + w|| \le ||v|| + ||w||$  for all  $v, w \in V$ .

**Definition 2.1.10.** A vector space together with a norm is called a *normed vector space*.

Every normed space may be regarded as a metric space, in which the distance d(x,y) between vectors x and y is ||x-y||. The relevant properties of d(x,y) are

- 1.  $0 < d(x, y) < \infty$  for all x and y,
- 2. d(x,y) = 0 if and only if x = y,
- 3. d(x,y) = d(y,x) for all x and y,
- **4.** d(x, z) < d(x, y) + d(y, z) for all x, y, z.

Every inner product space is a normed space, where the norm of a vector v is defined as  $||v|| = \sqrt{\langle v, v \rangle}$ .

**Definition 2.1.11.** Two vector u, v are said to be *orthogona*l if  $\langle v, u \rangle$ . An *orthogonal set* is a set of orthogonal vectors of the same vector space.

**Definition 2.1.12.** A *unit vector* is a vector v such that ||v|| = 1. It is also said that v is *normalized* if ||v|| = 1.

**Definition 2.1.13.** An orthogonal set of unit vectors is called an *orthonormal set*, and when such a set forms a basis it is called an *orthonormal basis*.

## 2.1.5 Eigenvectors and eigenvalues

**Definition 2.1.14.** An *n-permutation* is a function on the first n positive integers  $\pi = \{1, \ldots, n\} \to \{1, \ldots, n\}$  that is one-to-one and onto. In a permutation each number  $1, \ldots, n$  appears as output for one and only one input. The sign of a permutation  $sgn(\pi)$  is -1 if the number of inversions in  $\pi$  is odd and is +1 if the number of inversions is even.

**Definition 2.1.15.** The *determinant* of a square matrix  $A \in \mathbb{C}^{n \times n}$  is defined as

$$\det(A) = \sum_{\pi \in S_n} \operatorname{sgn}(\pi) \prod_{i=1}^n A_{i\sigma(i)},$$

Here  $S_n$  is the set of all n-permutations  $\pi = \{1, \dots, n\} \to \{1, \dots, n\}$ , and  $\operatorname{sgn}(\pi)$  denotes the sign of the permutation  $\pi$ .

**Definition 2.1.16.** An *eigenvector* of a linear operator A on a vector space is a non-zero vector v such that  $Av = \lambda v$ , where  $\lambda$  is a complex number known as the *eigenvalue* of A corresponding to v.

The characteristic polynomial of a square operator A is the polynomial  $p(\lambda)=\det(A-\lambda \mathrm{id})$ , where id is the identity operator  $id_V:V\to V,v\mapsto v$ , where V is a vector space. The subscript will be omitted unless ambiguity arises. It can be shown that the characteristic function depends only upon the operator A, and not on the specific matrix representation used for A. By the fundamental theorem of algebra, every polynomial has at least one complex root, so every operator A has at least one eigenvalue, and a corresponding eigenvector. The solutions of the characteristic equation  $c(\lambda)=0$  are the eigenvalues of the operator A. A diagonal representation of an operator A on a vector space V is an expression of the form  $A=\sum_i \lambda_i v_i v_i^\dagger$ , where the vectors  $v_i$  form an orthonormal set of eigenvectors for A, with corresponding eigenvalues  $\lambda_i$ , and  $(-)^\dagger$  is the adjoint operation.

#### 2.1.6 Spectral theorem

**Theorem 2.1.17.** [27] Every normal operator  $A \in \mathbb{C}^{n \times n}$  can be expressed as a linear combination  $\sum_i \lambda_i b_i b_i^{\dagger}$  where the set  $\{b_i, \dots, bn\}$  is an orthonormal basis on  $\mathbb{C}^n$ .

Using this last result any function  $f: \mathbb{C} \to \mathbb{C}$ , can be extended to normal matrices via,

$$f(A) = \sum_{i} f(\lambda_i) b_i b_i^{\dagger} \tag{2.1}$$

where  $A=\sum_i \lambda_i b_i b_i^\dagger$  is the spectral decomposition of A .

### 2.1.7 Important classes of operators/matrices

Linear operators mapping a complex space  $\mathbb{C}^n$  or  $\mathbb{C}^{n\times n}$  to itself will be called *square operators* due to the fact that their matrix representations are square matrices. Therefore, those definitions given in the context of square operators are also valid for square matrices.

The following classes of operators are of particular interest in quantum information theory.

**Definition 2.1.18.** *Normal operators.* A square operator A is *normal* if  $AA^{\dagger} = A^{\dagger}A$ .

**Definition 2.1.19.** Hermitian operators. A square operator A is hermitian if  $A = A^{\dagger}$ . Every Hermitian operator is a normal operator.

**Definition 2.1.20.** Positive (semidefinite) operators. A square operator  $A:\mathbb{C}^n\to\mathbb{C}^{\ltimes}$  is positive, denoted  $A\geq 0$ , if  $\langle v,Av\rangle\geq 0$  for all  $v\in\mathbb{C}^n$ . The sum of two positive semidefinite operators is positive semidefinite. Positive matrices are hermitian, and consequently, by the spectral decomposition, have diagonal representation  $A=\sum_i \lambda_i v_i v_i^{\dagger}$ , with non-negative eigenvalues  $\lambda_i$  [27].

**Definition 2.1.21.** Unitary operators. A square operator U is unitary if  $U^{\dagger}U = UU^{\dagger} = \mathrm{id}$ . The letter U will often be used to refer to unitary operators.

Geometrically, unitary operators are important because they preserve inner products between vectors,  $\langle Uv,Uw\rangle=\langle v,w\rangle$  for any two vectors v and w. Let  $S_1=\{v_i\}$  be any orthonormal basis set. Define  $s_2=\{w_i\}=\{Uv_i|v_i\in S\}$ , so  $S_2$  is also an orthonormal basis set, since unitary operators preserve inner products. Note that  $U=\sum_i w_i v_i^\dagger$ . Conversely, if  $\{v_i\}$  are any two orthonormal bases, then it is easily checked that the operator U defined by  $U=\sum_i w_i^\dagger v_i$  is unitary.

**Definition 2.1.22.** *Density operator.* Positive semidefinite operators that have trace equal to 1 are called *density operators*. By convention, such operators are denoted by the lowercase Greek letter  $\rho$ , often accompanied by subscripts.

**Definition 2.1.23.** *Isometries.* An operator  $A: \mathbb{C}^n \to \mathbb{C}^m$  is as isometry if ||Av|| = ||v|| for all elements all elements  $v \in \mathbb{C}^n$ .

**Definition 2.1.24.** Projectors. A positive semidefinite operator P is a projector if  $P^2 = P$ . Equivalently, a projection operator is a Hermitian operator whose only eigenvalues are 0 and 1. The *orthogonal complement* of P is the operator  $Q = \mathrm{id} - P$ .

**Definition 2.1.25.** Diagonal operators. A square operator A is diagonal if  $A_{ij}=0$  for all  $i\neq j$ .

#### 2.1.8 Useful norms

**Definition 2.1.26.** The *euclidean norm*,  $\|.\|_2$ , of a vector  $v \in \mathbb{C}^n$  is defined as:

$$||v||_2 = \sqrt{\langle v, v \rangle}.$$

**Definition 2.1.27.** The *trace norm*,  $\|.\|_1$ , of a matrix A is defined as:

$$||A||_1 = \operatorname{tr}\sqrt{A^{\dagger}A}$$

This norm is also known as the Schatten 1-norm. The trace norm induces a metric on the set of density matrices which is defined by  $d(\rho, \sigma) = \|\rho - \sigma\|_1$ .

### 2.1.9 Tensor Products and Direct Sums of Complex spaces

**Definition 2.1.28.** The *direct sum* of two vector spaces V and W, denoted  $V \oplus W$ , is the space of all pairs (v, w) where  $v \in V$  and  $w \in W$ .

The inner product in  $V \oplus W$  is defined as follows:

$$\langle (v_1, w_1), (v_2, w_2) \rangle = \langle v_1, v_2 \rangle + \langle w_1, w_2 \rangle.$$

In this context, we introduce two commonly used norms, defined below.

**Definition 2.1.29.** Let  $x=(x_1,x_2,\ldots,x_n)\in\mathbb{C}^n$ . The  $L^1$  norm,  $\|\cdot\|_{L^1}$ , is defined by

$$||x||_{L^1} = \sum_{i=1}^n |x_i|.$$

**Definition 2.1.30.** Let  $x=(x_1,x_2,\ldots,x_n)\in\mathbb{C}^n$  . The  $L^\infty$ ,  $\|\cdot\|_{L^\infty}$ , is defined by

$$||x||_{L^{\infty}} = \max_{1 \le i \le n} |x_i|.$$

**Definition 2.1.31.** Consider two finite complex spaces spaces V and W with respective bases  $(e_1, \ldots, e_n)$  and  $(f_1, \ldots, f_k)$ . The tensor product of V and W, denoted  $V \otimes W$ , is defined as the space generated by the basis of syntactic symbols:

$$(e_1 \otimes f_1, \ldots, e_1 \otimes f_k, \ldots, e_n \otimes f_1, \ldots, e_n \otimes f_k).$$

The tensor product of two elements  $v = \sum_i \lambda_i \cdot e_i$  and w =  $\sum_j \mu_j \cdot f_j$  is:

$$v \otimes w = \sum_{i,j} \lambda_i \mu_j \cdot e_i \otimes f_j.$$

The inner product in  $V \otimes W$  is defined as follows

$$\langle e_i \otimes f_i, e_k \otimes f_l \rangle = \langle e_i, e_k \rangle \langle f_i, f_l \rangle.$$

The tensor product of two vectors  $v=(v_1,\ldots,v_n)\in\mathbb{C}^n$  and  $w=(w_1,\ldots,w_m)\in\mathbb{C}^m$  is defined as the vector  $v\otimes w\in\mathbb{C}^{nm}$  such that

$$v\otimes w=egin{pmatrix} v_1w_1\ dots\ v_1w_m\ dots\ v_nw_1\ dots\ v_nw_m \end{pmatrix}.$$
 eltensor product of two op

The tensor product of two operators  $A \in \mathbb{C}^{n \times m}$  and  $B \in \mathbb{C}^{p \times q}$  is defined as the operator  $A \otimes B \in \mathbb{C}^{np \times mq}$  such that

$$(A \otimes B)(v \otimes w) = Av \otimes Bw.$$

The tensor product of two operators  $P: \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$  and  $Q \in \mathbb{C}^{o \times o} \to \mathbb{C}^{p \times p}$  is defined as the operator  $P \otimes Q \in \mathbb{C}^{n \times n} \otimes \mathbb{C}^{o \times o} \to \mathbb{C}^{m \times m} \otimes \mathbb{C}^{p \times p}$  such that

$$(P \otimes Q)(A \otimes B) = P(A) \otimes Q(B).$$

The tensor product of two vector spaces corresponding to the direct sums of other vector spaces  $V = V_1 \oplus \ldots \oplus V_n$  and  $W = W_1 \oplus \ldots \oplus W_n$  is defined as

$$V \otimes W = V_1 \otimes W_1 \oplus \ldots \oplus V_1 \otimes W_n \oplus \ldots \oplus V_n \otimes W_1 \oplus \ldots \oplus \ldots \oplus W_n \otimes V_n.$$

The notation  $(-)^{\otimes n}$  will be used to denote the tensor product of a vector space, vector, or operator with itself n times.

Considering vector spaces V, W, R, the following equalities hold:

$$v\otimes (w+r)=v\otimes w+v\otimes r, \ \text{for all}\ v,w,r\in V,W,R;$$
  $(v+w)\otimes r=v\otimes r+w\otimes u, \ \text{for all}\ v,w,r\in V,W,R;$   $(av)\otimes (bw)=ab(v\otimes w), \ \text{for all}\ v,w\in V,W \ \text{and scalars}\ a,b.$ 

## 2.2 Quantum Computing Preliminaries

The basic unit of information in quantum computation is a *quantum bit* or *qubit* [39]. Just as classical bit, which can be in one of two states, 0 or 1, a qubit also has a state. Qubits are represented using *Dirac notation*, where the ket symbol  $|\psi\rangle$  is used to denote a quantum state  $\psi$ . The corresponding bra symbol  $\langle\psi|$  is used to denote the conjugate transpose of the state  $\psi$ . In this setting, the inner product of two states  $|\psi\rangle$  and  $|\phi\rangle$  is denoted  $\langle\psi|\phi\rangle$  and is the same as  $\langle\psi|$   $|\phi\rangle$ .

**Definition 2.2.1.** Each isolated physical system is associated with a Hilbert space, known as the system's *state space*. The system's state is fully characterized by a *state vector*, which is a unit vector within this state space.

### 2.2.1 The 2-Dimensional Hilbert Space

**Definition 2.2.2.** The *state* of a single qubit is described by a normalized vector in the 2-dimensional Hilbert space  $\mathbb{C}^2$ . The states

$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

correspond to the classical states 0 and 1, respectively. These states, known as the *computational basis* states, form an orthonormal basis for this vector space.

**Definition 2.2.3.** Unlike classical bits, a qubit is not restricted to the basis states  $|0\rangle$  and  $|1\rangle$ . It can exist in a linear combination of these states, known as a *superposition*. A general qubit state can be written as

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$$
,

where  $\alpha, \beta \in \mathbb{C}$  are called *amplitudes*, and must satisfy the normalization condition  $|\alpha|^2 + |\beta|^2 = 1$ . The values  $|\alpha|^2$  and  $|\beta|^2$  represent the probabilities of measuring the qubit in the states  $|0\rangle$  and  $|1\rangle$ , respectively.

**Definition 2.2.4.** Any normalized qubit state  $|\psi\rangle$  can be written (up to a global phase) as

$$|\psi\rangle = e^{i\gamma} \left(\cos\left(\frac{\theta}{2}\right)|0\rangle + e^{i\phi}\sin\left(\frac{\theta}{2}\right)|1\rangle\right),$$

where  $\theta, \phi, \gamma \in \mathbb{R}$ . The global phase factor  $e^{i\gamma}$  has no observable effect on the outcome of measurements and is often disregarded. Thus, the state is usually represented as:

$$|\psi\rangle = \cos\left(\frac{\theta}{2}\right)|0\rangle + e^{i\phi}\sin\left(\frac{\theta}{2}\right)|1\rangle$$
 (2.2)

**Definition 2.2.5.** The above parametrization defines a point on the unit sphere in  $\mathbb{R}^3$ , known as the *Bloch sphere*. The angles  $\theta$  and  $\phi$  represent the polar and azimuthal angles, respectively. Each pure qubit state corresponds to a point on this sphere, with associated *Bloch vector* given by  $(\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta)$ .

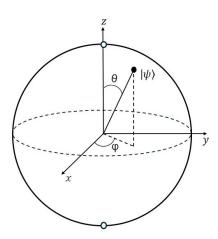


Figure 1: Bloch sphere representation of a qubit

The distance between two quantum states  $|\psi\rangle$  and  $|\psi'\rangle$  is their Euclidean distance in the Bloch sphere [8, 27].

There are infinite points in the Bloch sphere, which might suggest the possibility of encoding an infinite amount of information in the infinite binary expansion of the angle  $\theta$ . However, when a qubit is measured, it collapses to one of the basis states, so only one bit of information can be extracted from a qubit. To accurately determine the amplitudes  $\alpha$  and  $\beta$ , an infinite number of identical qubit copies would need to be measured. Nevertheless, it is still conceptually valid to think of these amplitudes as "hidden information". One could say that quantum computation is the art of manipulating this hidden information using phenomena such as interference and superposition to perform tasks that would be impossible or inefficient with classical computers.

### 2.2.2 Multi-qubit States

**Definition 2.2.6.** The state space of a composite physical system is the tensor product of the state spaces of the component physical systems. As a result, an n-qubit state can be represented by a unit vector in  $2^n$ -dimensional Hilbert space,  $\mathbb{C}^{2^n}$ . The notations  $|\psi\rangle\otimes|\phi\rangle$ ,  $|\psi\rangle|\phi\rangle$ , and  $|\psi\phi\rangle$  are used to denote the tensor product of two states  $|\psi\rangle$  and  $|\phi\rangle$ . As for any complex vector,  $|\psi\rangle^{\otimes n}$  denotes the n-fold tensor product of state  $|\psi\rangle$  with itself. The computational basis states of an n-qubit system are of the form  $|x_1 \dots x_n\rangle$  and so a quantum state of such a system is specified by  $2^n$  amplitudes. For instance, a two-qubit state can be written as

$$|\psi\rangle = \alpha_{00} |00\rangle + \alpha_{01} |01\rangle + \alpha_{10} |10\rangle + \alpha_{11} |11\rangle.$$

It should be noted that unfortunately, no simple generalization of the Bloch sphere known for multiple qubits.

#### **Entanglement**

**Definition 2.2.7.** An interesting aspect of multi-qubit states is the phenomenon of *entangle-ment*. This term means strong intrinsic correlations between two (or more) particles when the quantum state of each of them cannot be described independently of the state of the other, i.e. cannot be written as a product of states of the individual qubits. Measuring one qubit of the entangled pair affects the state of the other qubit. This must happen even if the particles are far apart.

In order to better understand this concept, consider the follow *Bell state* or *EPR pair*:

$$\left|\Phi^{+}\right\rangle = \frac{1}{\sqrt{2}}(\left|00\right\rangle + \left|11\right\rangle).$$

Upon measuring the first qubit, there are two possible outcomes: 0 with probability 1/2 and 1 with probability 1/2. If the first qubit is measured to be 0, the second qubit will also be 0 with probability 1. If the first qubit is measured to be 1, the second qubit will also be 1 with probability 1. Therefore, the measurement outcomes are correlated.

These correlations prompted Einstein, Podolsky, and Rosen to publish a paper [40] questioning the completeness of quantum mechanics in 1935. The EPR paradox presented a dilemma: the existence of entanglement (i.e., correlations that persist regardless of distance) versus local realism and hidden variables. Einstein argued that if two objects, which have interacted in

the past but are now separated, exhibit perfect correlation, they must possess a set of properties determined before their separation. These properties would persist in each object, dictating the outcomes of measurements on both sides. Einstein believed that the strong correlations predicted by quantum mechanics necessitate the existence of additional properties not accounted for by the quantum formalism that determine the measurement results. Therefore, he argued that quantum mechanics might require supplementation, as it may not represent a complete or ultimate description of reality.

In 1964, John Bell made a remarkable discovery: the measurement correlations in the Bell state are stronger than those that could ever occur between classical systems [41]. He explored the idea that each entangled particle might possess hidden properties — unaccounted for by quantum mechanics—that determine the measurement outcomes. Then, through mathematical reasoning, Bell demonstrated that the correlations predicted by any local hidden variable theory cannot exceed a specific level. There is an upper limit of correlations fixed by what today is called the "Bell inequalities". He found that quantum theory sometimes predicts correlations that exceed this limit. Consequently, an experiment could settle the debate by testing whether or not correlations surpass the bounds he had found following Einstein's position.

In 1982, Alain Aspect conducted an experiment that confirmed the violation of the Bell inequalities [42]. In this experiment, polarizers were placed more than twelve meters apart. This meant that the correlation obtained could not be explained by the fact that the particles carry within them unmeasured properties. Moreover, it proved that the outcome of the measurement is not determined until the moment of measurement. There seemed to be an instantaneous exchange between two particles at the time of measurement when they were twelve meters apart.

Sixteen years later, Nicolas Gisin [43] and Anton Zeilinger [44] conducted similar experiments, demonstrating that entanglement persists over distances of several kilometers. More recently, [45] extended these tests using entangled photon pairs sent from a satellite to verify Bell's inequalities over a distance of one thousand kilometers, further confirming that, regardless of the distance, entangled particles behave as an indivisible, inseparable whole. The connection between them is so profound that it appears to challenge the principles of relativity. This phenomenon is known as *quantum nonlocality*.

### 2.2.3 Unitary operators and Measurements

#### **Pauli Matrices**

**Definition 2.2.8.** The Pauli matrices are a set of three  $2 \times 2$  hermitian matrices that are defined as follows:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \qquad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \qquad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

The eigenvectors and eigenvalues of the Pauli matrices are as follows:

$$\sigma_{x} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \qquad \sigma_{y} \begin{pmatrix} 1 \\ i \end{pmatrix} = \begin{pmatrix} 1 \\ i \end{pmatrix}, \qquad \sigma_{z} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\sigma_{x} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = -\begin{pmatrix} 1 \\ -1 \end{pmatrix}, \qquad \sigma_{y} \begin{pmatrix} 1 \\ -i \end{pmatrix} = -\begin{pmatrix} 1 \\ -i \end{pmatrix}, \qquad \sigma_{z} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

The normalized eigenvectors of  $\sigma_x$  are  $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  and  $|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ , and normalized eigenvectors of  $\sigma_y$  are  $|+i\rangle = \frac{1}{\sqrt{2}}(|0\rangle + i\,|1\rangle)$  and  $|-i\rangle = \frac{1}{\sqrt{2}}(|0\rangle - i\,|1\rangle)$ . The eigenvectors of  $\sigma_z$  are  $|0\rangle$  and  $|1\rangle$ . These eigenvectors correspond to the  $\hat{x}, \hat{y}$  and  $\hat{z}$  axes of the Bloch sphere in Figure 1, respectively.

When matrices  $\sigma_x,\sigma_y$  or  $\sigma_z$  are applied to a state on the Bloch sphere, they rotate the state by  $\pi$  radians around the  $\hat{x},\hat{y}$  or  $\hat{z}$  axis, respectively. For example, the action of  $\sigma_x$  on the state  $|0\rangle$  is to rotate it to  $|1\rangle$ , and vice versa. Note that for the eigenstates of these matrices with eigenvalue -1, this still applies if considering a global phase of  $-1=e^{i\pi}$ , given that two quantum states  $|\psi\rangle$  and  $e^{i\phi}\,|\psi\rangle$  are indistinguishable by any quantum measurement.

Matrices  $\sigma_x$  and  $\sigma_z$  will also be referred to as X and Z, respectively.

#### **Unitary operators**

**Definition 2.2.9.** Closed systems, i.e., systems that do not interact with other systems evolve according to unitary operators. In quantum computation, these unitary operators are also known as gates. For a state  $|\psi\rangle$ , a unitary operator U describes an evolution from  $|\psi\rangle$  to  $U|\psi\rangle$ .

**Example 2.2.10.** Pauli matrices are examples of unitary operators. The X and Z gates are often referred to as the *not* and *phase flip* gates, respectively. Other important unitary operators include *Hadamard gate*, denoted H, which maps  $|0\rangle$  to  $|+\rangle$  and  $|1\rangle$  to  $|-\rangle$ , and the

phase-shift gate, denoted P, which leaves  $|0\rangle$  unaltered applies a phase shift of  $\theta$  to the state  $|1\rangle$ :

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \qquad P = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix}.$$

When the Pauli matrices are exponentiated, they result in three valuable classes of unitary matrices, corresponding to the rotation operators around the  $\hat{x}$ ,  $\hat{y}$ , and  $\hat{z}$  axes, which are defined as follows:

$$\begin{split} R_x(\theta) &= e^{-i\theta\sigma_x/2} = \cos\left(\frac{\theta}{2}\right) \operatorname{id} - i \sin\left(\frac{\theta}{2}\right) \sigma_x = \begin{pmatrix} \cos(\frac{\theta}{2}) & -i \sin(\frac{\theta}{2}) \\ -i \sin(\frac{\theta}{2}) & \cos(\frac{\theta}{2}) \end{pmatrix}, \\ R_y(\theta) &= e^{-i\theta\sigma_y/2} = \cos\left(\frac{\theta}{2}\right) \operatorname{id} - i \sin\left(\frac{\theta}{2}\right) \sigma_y = \begin{pmatrix} \cos(\frac{\theta}{2}) & -\sin(\frac{\theta}{2}) \\ \sin(\frac{\theta}{2}) & \cos(\frac{\theta}{2}) \end{pmatrix}, \\ R_z(\theta) &= e^{-i\theta\sigma_z/2} = \cos\left(\frac{\theta}{2}\right) \operatorname{id} - i \sin\left(\frac{\theta}{2}\right) \sigma_z = \begin{pmatrix} e^{-i\theta/2} & 0 \\ 0 & e^{i\theta/2} \end{pmatrix}. \end{split}$$

**Theorem 2.2.11.** [27] Suppose U is a unitary operation on a single qubit. Then there exist real numbers  $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\delta$  such that

$$U = e^{i\alpha} R_z(\beta) R_y(\gamma) R_z(\delta).$$

**Example 2.2.12.** There are also multi-qubit gates, such as the *controlled-not* gate, denoted **CNOT**, which leaves the states  $|00\rangle$  and  $|01\rangle$  unchanged, and maps  $|10\rangle$  and  $|11\rangle$  to each other:

$$\textit{CNOT} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

In this case, as the state of the first qubit determines if the X gate is applied to the second qubit, the first qubit is called the *control qubit* and the second qubit the *target qubit*.

There is an "extension" of the controlled-not gate, the controlled-U gate, where U is a unitary gate acting on a single qubit. This gate applies the gate U to the target qubit if the control qubit is in state  $|1\rangle$  and does nothing otherwise. It is defined as:

$$CU(|0\rangle \otimes |\psi\rangle) = |0\rangle \otimes |\psi\rangle$$

$$CU(|1\rangle \otimes |\psi\rangle) = |1\rangle \otimes U |\psi\rangle.$$

It should be noted that no completely closed systems exist in the universe. Nevertheless, for many systems, the approximation of a closed system is valid.

#### Measurements

There are times when it necessary to observe the system to extract information. This interection leaves the system no longer closed and, consequently, the evolution of the system is no longer unitary.

**Definition 2.2.13.** The act of measuring a qubit is represented by a set of operators called *measurement operators*, denoted  $\{M_m\}$ . These operators act on the state space of the system being measured. The index m refers possible measurement outcomes. These measurement operators must satisfy the completeness equation  $\sum_m M_m^\dagger M_m = \mathrm{id}$ , which ensures that the probabilities of all possible outcomes sum to 1. If a measurement  $M_m$  is performed on a state  $|\psi\rangle$  the outcome m is observed with probability  $p_m = \langle \psi | M_m^\dagger M_m | \psi \rangle$  for each m. Moreover, after a measurement yielding outcome m, the state collapses to

$$|\psi'\rangle = \frac{M_m |\psi\rangle}{\sqrt{p_m}}.$$

**Example 2.2.14.** In the case of the computational basis, the measurement operators are the projectors onto the basis states  $|0\rangle$  and  $|1\rangle$  denoted by  $M_0 = |0\rangle \langle 0|$  and  $M_1 = |1\rangle \langle 1|$ , respectively. Considering an arbitrary state  $|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$ , the probabilities of measuring 0 and 1 are  $p_0 = \langle \psi | M_0 M_0^{\dagger} | \psi \rangle = \langle \psi | M_0 | \psi \rangle = |\alpha|^2$ , and  $p_1 = \langle \psi | M_1 M_1^{\dagger} | \psi \rangle = \langle \psi | M_1 | \psi \rangle = |\beta|^2$ , respectively. Consequently the states after measurement are

$$\begin{split} |\psi'\rangle &= \frac{M_0 \, |\psi\rangle}{|\alpha|} = \frac{\alpha}{|\alpha|} \, |0\rangle = |0\rangle \, (\text{with} \, p = p_0) \quad \text{and} \\ |\psi''\rangle &= \frac{M_1 \, |\psi\rangle}{|\beta|} = \frac{\beta}{|\beta|} \, |1\rangle = |1\rangle \, (\text{with} \, p = p_1) \end{split}$$

From now on, unless stated otherwise, any reference to measurement should be understood as pertaining to the computational basis.

As previously mentioned, any states  $|\psi\rangle$  and  $e^{i\phi}\,|\psi\rangle$  are indistinguishable by any quantum measurement. Consider a measurement operator  $M_m$ , the probabilities of obtaining outcome m are  $\langle\psi|\,M_m^\dagger M_m\,|\psi\rangle$  and  $\langle\psi|\,e^{-i\theta}M_m^\dagger M_m e^{i\theta}\,|\psi\rangle = \langle\psi|\,M_m^\dagger M_m\,|\psi\rangle$ . For this reason, it is said that these states are equal from an observational point of view.

### 2.2.4 Density operators

Until now the state vector formalism was used. However there is an alternative formulation using density operators. The density operator is often known as the *density matrix*, the two terms will be used interchangeably.

**Definition 2.2.15.** A quantum state  $|\psi\rangle$  is said to be a *pure state* if it is completely known, *i.e.* if it can be written as a ket. In this case, the state can be written in the density operator formalism as  $\rho = |\psi\rangle \langle \psi|$ .

**Definition 2.2.16.** A state that is a probabilistic mixture of pure states is designated a *mixed* state. A mixed state  $\sum_i \alpha_i |\psi_i\rangle$  can be represented by a density operator  $\rho = \sum_i |\alpha_i|^2 |\psi_i\rangle \langle \psi_i|$ . Note that  $|\alpha_i|^2$  is the probability of the system being in state  $|\psi_i\rangle$ .

**Definition 2.2.17** (Unitary Evolution of a Density Operator). When a unitary operator U is applied to a quantum state described by a density matrix  $\rho$ , the resulting state is given by  $\rho' = U \rho U^{\dagger}$ .

**Definition 2.2.18** (Measurement of a Density Operator). Given a collection of measurement operators  $\{M_m\}$ , the probability of obtaining outcome m when measuring a state  $\rho$  is  $p_m={\rm Tr}(M_m\rho M_m^\dagger)$ . After observing outcome m, the post-measurement state collapses to:

$$\rho' = \frac{M_m \rho M_m^{\dagger}}{\text{Tr}(M_m \rho M_m^{\dagger})}.$$

**Definition 2.2.19.** In subsection 2.2.1 it was shown how to determine the cartesian coordenates of a pure state in the Bloch sphere from the state vector. For an arbitrary  $2 \times 2$  density matrix, the following holds

$$\rho = \frac{1}{2}(\mathrm{id} + r_x \sigma_x + r_y \sigma_y + r_z \sigma_z),\tag{2.3}$$

where  $r=(r_x,r_y,r_z)$  is a real three-dimensional vector such that  $\|r\|_2 \leq 1$ . This vector is known as the *Bloch vector for the state*  $\rho$ . Since  $\rho$  is Hermitian.  $r_x,r_y$  and  $r_z$  are always real. The inverse map of Equation 2.3 is

$$r_{\mu} = \mathsf{Tr}(\rho \sigma_{\mu}) \tag{2.4}$$

Note that given that the trace is linear and matrix multiplication distributes over matrix addition, the cartesian coordinates of an operator consisting of the sum or subtraction of density operators can also be determined by Equation 2.4.

#### **Reduced density operator**

Density operators are particularly well-suited for describing individual subsystems of a composite quantum system. This type of description is provided by the *reduced density operator* 

**Definition 2.2.20.** Let  $|a_1\rangle$ ,  $|a_2\rangle$  be vectors in the Hilbert space of system A, and  $|b_1\rangle$ ,  $|b_2\rangle$  vectors in the Hilbert space of system B. The *partial trace over* B is defined by:

$$\operatorname{Tr}_{B}(|a_{1}\rangle\langle a_{2}|\otimes|b_{1}\rangle\langle b_{2}|) = |a_{1}\rangle\langle a_{2}|\operatorname{Tr}(|b_{1}\rangle\langle b_{2}|)$$

$$= |a_{1}\rangle\langle a_{2}|\sum_{\mu}\langle\mu||b_{1}\rangle\langle b_{2}||\mu\rangle$$

$$= |a_{1}\rangle\langle a_{2}|\sum_{\mu}\langle\mu|b_{1}\rangle\langle b_{2}|\mu\rangle$$

$$= |a_{1}\rangle\langle a_{2}|\sum_{\mu}\langle b_{2}|\mu\rangle\langle\mu|b_{1}\rangle$$

$$= |a_{1}\rangle\langle a_{2}|\langle b_{2}|b_{1}\rangle$$

where  $\{|\mu\rangle\}$  is an orthonormal basis spanning the state space of B. In certain situations it is more advantageous to consider the reduced density operator for subsystem A defined as:

$$ho_A = \operatorname{Tr}_B(
ho_{AB}) = \sum_{\mu} \langle \mu | \, \rho_{AB} \, | \mu \rangle \,,$$

where  $\{|\mu\rangle\}$ , span the state space of B and act only in the state space of B.

**Definition 2.2.21.** Given physical systems A and B whose composite system is given by the density operator  $\rho_{AB}$ , the *reduced density operator* for subsystem A is

$$\rho_A = \mathsf{Tr}_B(\rho_{AB}).$$

Note that, by linearly, the partial trace of  $ho_{AB}=\sum_{ijkl}p_{ijkl}\ket{a_i}ra{a_j}\otimes\ket{b_k}ra{b_l}$  is

$$\begin{split} \operatorname{Tr}_{B}(\rho_{AB}) &= \sum_{ijkl} p_{ijkl} \operatorname{Tr}_{B} \left( |a_{i}\rangle \left\langle a_{j} | \otimes |b_{k}\rangle \left\langle b_{l} | \right) \right. \\ &= \sum_{ijkl} p_{ijkl} \left| a_{i} \right\rangle \left\langle a_{j} | \sum_{\mu} \left\langle b_{l} | \mu \right\rangle \left\langle \mu | b_{k} \right\rangle = \sum_{ijkl} p_{ijkl} \left| a_{i} \right\rangle \left\langle a_{j} | \left\langle b_{l} | b_{k} \right\rangle \end{split}$$

Similarly, the reduced density operator for subsystem B is  $\rho_B = \text{Tr}_A(\rho_{AB})$ .

Decidir onde colocar a def de trace-nonincreasing op e quantum operation: aqui ou na parte das contribuições qd falar da categoria do selinger

### 2.2.5 Quantum Channels

Thus far, only two types of quantum operations have been discussed: unitary operators, which describe the evolution of a closed quantum system, and measurements, which describe the act of observing a quantum system. Now, a new type of quantum operation that

accounts for the more realistic notion of interaction between a quantum system and an environment will be introduced. Nonetheless, it is necessary to first introduce a few key concepts.

**Definition 2.2.22.** A super-operator Q is a linear map between the space of operators on a Hilbert space.

**Definition 2.2.23.** A super-operator Q is called *positive* if it sends positive matrices to positive matrices, *i.e.*  $A \ge 0 \Rightarrow QA \ge 0$ .

**Definition 2.2.24.** A super-operator Q is said to be *completely positive* if for all k,

$$Q \otimes I_{\mathbb{C}^{k \times k}} : \mathbb{C}^{n \times n} \otimes \mathbb{C}^{k \times k} \to \mathbb{C}^{m \times m} \otimes \mathbb{C}^{k \times k}$$

is positive.

**Definition 2.2.25.** A super-operator Q is called *trace-preserving* if Tr(QA) = Tr(A).

Since density matrices are positive, any physically allowed transformation must be represented by a positive operator. Nonetheless, this is not sufficient on its own: since one can always extend the space  $\mathbb{C}^{n\times n}$  to  $\mathbb{C}^{n\times n}\otimes \mathbb{C}^{m\times m}$  by adjoining a new quantum system, any physically allowed transformation must be completely positive. Finally, since the trace of a density matrix is always 1, any physically allowed transformation must be trace-preserving.

**Definition 2.2.26.** A **Completely Positive Trace-Preserving (CPTP)** operator is traditionally called a *quantum channel*.

#### Kraus operator sum representation

Assume that there is a quantum system S of interest which is a subsystem of a larger system which also includes an environment E. These systems have a joint unitary evolution described by a unitary operator U acting on the composite system,  $U(\rho_{SE}) = U\rho_{SE}U^{\dagger}$ . Given that density matrices are positive operators, by (Definition 2.1.20), the density operator of the environment  $\rho_E$  initially can be written as

$$\rho_E = \sum_i p_i \ket{i} \bra{i}$$

where  $|i\rangle$  form an orthonormal basis for the state space of E and  $p_i$  are positive.

The state of the subsystem S after the unitary evolution corresponds to the partial trace of the joint state over the environment,

$$\begin{split} \rho_S' = & \operatorname{Tr}_E(U\rho_{SE}U^\dagger) \\ = & \sum_{\mu} \left\langle \mu \right| U\rho_{SE}U^\dagger \left| \mu \right\rangle \end{split}$$

where  $\{|\mu\rangle\}$  span the state space of E.

Considering that initially both systems are completely decoupled, the initial state of the system can be written as  $\rho_{SE}=\rho_S\otimes\rho_E$ . Thus,

$$\begin{split} \rho_S' &= \sum_{\mu} \left\langle \mu \right| U \rho_S \otimes \sum_{i} p_i \left| i \right\rangle \left\langle i \right| U^{\dagger} \left| \mu \right\rangle \\ &= \sum_{\mu i} \sqrt{p_i} \left\langle \mu \right| U \left| i \right\rangle \rho_S \sqrt{p_i} \left\langle i \right| U^{\dagger} \left| \mu \right\rangle \\ &= \sum_{\mu i} \mathsf{K}_{\mu i} \rho_S \mathsf{K}_{\mu i}^{\dagger} \end{split}$$

where the set of operators  $\{K_{\mu i}\}$  is designated *Kraus operators* and  $K_{\mu i} = \sqrt{p_i} \langle \mu | U | i \rangle$ . Note that  $\{|\mu\rangle\}$  and  $\{|i\rangle\}$ , act only in the state space of E.

**Definition 2.2.27.** The equation  $\rho_S' = \sum_{\mu i} \mathsf{K}_{\mu i} \rho_S \mathsf{K}_{\mu i}^{\dagger}$  is called an *Operator Sum Representation (OSR)*. An **OSR** can be thought of as a quantum channel that maps  $\rho_S$  to  $\sum_{\mu i} \mathsf{K}_{\mu i} \rho_S \mathsf{K}_{\mu i}^{\dagger}$ , given this map is **CPTP** [12, 46].

#### Non-selective measurements

In the previously presented formalism to represent all the possible outcomes of a measurement, described by a set of operators  $\{M_m\}$ , on a state  $\rho$ , it would be necessary to write that state  $\rho$  collapse to state  $\rho_m = \frac{M_m^\dagger \rho M_m}{\text{Tr}(M_m \rho M_m^\dagger)}$  with probability  $p_m = \text{Tr}(M_m \rho M_m^\dagger)$ , for each possible outcome m. Although the selective description above is useful conceptually, it is often impractical for calculations. Instead, one uses *non-selective measurements*, in which the possible outcomes are not explicitly stated.

**Definition 2.2.28.** A *non-selective measurement* is a quantum measurement in which the post-measurement state of the system is then given by the weighted sum over all possible outcomes:

$$\rho = \sum_{m} p(m)\rho_{m} = \sum_{m} M_{m}\rho M_{m}^{\dagger}.$$

This last equality corresponds to an Kraus operator sum representation, where the set of Kraus operators is  $\{M_m\}$ .

#### Norms on quantum channels

**Definition 2.2.29.** The norm of a super-operator  $Q: \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$  is defined:

$$||Q||_1 = \max\{||QA||_1 \mid ||A||_1 = 1\},\$$

where  $A \in \mathbb{C}^{n \times n}$ 

Unfortunately, this norm is not stable under tensoring, given that the inequation  $\|Q \otimes I_{\mathbb{C}^{n \times n}}\|_1 \le \|Q\|_1$  does not hold [12]. As a result, the diamond norm, which is based on the trace norm, is used instead in the context of quantum channels.

**Definition 2.2.30.** Given a super-operator  $Q: \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$ , the diamond norm,  $\|\cdot\|_{\diamondsuit}$ , is defined as:

$$||Q||_{\diamondsuit} = ||Q \otimes \mathrm{id}_{\mathbb{C}^{n \times n}}||_{1}$$

For this norm it holds that for all super-operators  $Q:\mathbb{C}^{n\times n}\to\mathbb{C}^{m\times m}$  and  $S:\mathbb{C}^{m\times m}\to\mathbb{C}^{o\times o}$ , if Q is a quantum channel then  $\|SQ\|_{\diamondsuit}\leq \|S\|_{\diamondsuit}$  [12, Proposition 3.44 and Proposition 3.48], and if S is a quantum channel, then  $\|SQ\|_{\diamondsuit}\leq \|Q\|_{\diamondsuit}$ . This is a desireble property, as is guarantees that quantum operations do not increase the distance between states, and as a consequence, composition of programs is valid.

Since the diamond norm is generally difficult to compute, we will rely on the following properties, as given by the theorems below:

**Theorem 2.2.31.** [12, Theorem 3.55] Let  $n \leq m$ , let  $V_0, V_1 : \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$  be isometries, and define CPTP operators  $\phi_0, \phi_1 : \mathbb{C}^{n \times n} \to \mathbb{C}^{m \times m}$  as

$$\phi_0(\rho) = V_0 \rho V_0^\dagger \quad \textit{and} \quad \phi_1(\rho) = V_1 \rho V_1^\dagger$$

for all  $\rho \in \mathbb{C}^{n \times n}$ . There exists a unit vector  $u \in \mathbb{C}^{n \times n}$  such that

$$\|\phi_0(uu^{\dagger}) - \phi_1(uu^{\dagger})\|_1 = \|\phi_0 - \phi_1\|_{\diamondsuit}.$$

**Theorem 2.2.32.** [12, Theorem 3.56] Let  $\phi : \mathbb{C}^{n \times n} \to \mathbb{C}^{n \times n}$  be a quantum channel, let  $\varepsilon \in [0,2]$ , and suppose that

$$\|\phi(\rho) - \rho\|_1 \le \varepsilon$$

for every density operator  $\rho \in \mathbb{C}^{n \times n}$ . It holds that

$$\|\phi - \mathrm{id}_{\sigma}\|_1 \le \sqrt{2\varepsilon}.$$

### 2.2.6 Quantum circuits

As quantum computation remains in its early stages of development, programming is primarily based on the use of *quantum circuits*.

**Definition 2.2.33.** A *quantum circuit* consists of wires and quantum gates, which serve to transmit and manipulate quantum information. Each wire corresponds to a qubit, while the gates represent operations that can be applied to these qubits.

In this subsection the notation for the quantum gates used in this work will be introduced. Wires in parallel represent the tensor product of the respective qubits. For instance,  $\psi_0 \otimes \psi_1$  corresponds to

The single bit gates presented in Section 2.2.3 are represented as a box with the symbom of the gate inside. For example, the Hadamard gate is represented as

$$-H$$

The controlled-not gate, which is a two-qubit gate, is represented as



Similarly, the controlled-U gate, where U is an unitary single-qubit gate, is represented as



An arbitrary unitary operator acting on n qubits is represented as a box acting on n wires. For instance, the operator U acting on two qubits is represented as

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**CPTP** maps are depicted as boxes containing the corresponding map symbols. The relevant **CPTP** operators will be introduced in **??**.

The measurement operation is representes by a "meter" symbol. Given that output of a measurement is a classical bit, the wire representing the output of a measurement is a classical wire, representes by a double line.



### 2.2.7 No-cloning theorem

The no-cloning theorem states that it is impossible to duplicate an unknown quantum bit [47]. In this subsection, an elementary proof of this theorem will be presented.

Suppose that there exists a unitary operator U that recieves a qubit  $|\psi\rangle$  and some standard pure state  $|s\rangle$  as input and oututs the state  $|\psi\rangle\otimes|\psi\rangle$ . The action of U can be written as

$$U(|\psi\rangle \otimes |s\rangle) = |\psi\rangle \otimes |\psi\rangle$$

Consider the aplication of U to two pure states  $|\psi_0\rangle$  and  $|\psi_1\rangle$ ,

$$U(|\psi_0\rangle \otimes |s\rangle) = |\psi_0\rangle \otimes |\psi_0\rangle$$

$$U(|\psi_1\rangle\otimes|s\rangle)=|\psi_1\rangle\otimes|\psi_1\rangle.$$

Given that unitary operators preserve inner products, the following equality should hold:

$$\langle \psi_0 | \psi_1 \rangle = (\langle \psi_0 | \psi_1 \rangle)^2$$

This equation is only satisfied if  $\langle \psi_0 | \psi_1 \rangle = 0$  or  $\langle \psi_0 | \psi_1 \rangle = 1$ . The first case implies that  $|\psi_0 \rangle$  and  $|\psi_1 \rangle$  are orthogonal, and the second case implies that they are in the same state. Therefore, it is only possible to clone orthogonal states. These are the states perfectly distinguishable by measurement and thus are equivalent to copying classical information. For instance, it is impossible to the clone qubits  $\psi_0 = |0\rangle$  and  $\psi_1 = |-\rangle$ , since they are not orthogonal. It should be noted that this principle is upheld by the type system outlined in Figure 2, which does not allow the repeated use of a variable (seen as a quantum resource).

## 2.3 Functional Analysis

Functional analysis can be viewed as the branch of mathematics that studies how the properties of finite-dimensional vector spaces generalize in infinite dimensional spaces.

As a result, in this section, we are no longer restricted to finite dimensional vector spaces; the term "vector space" now also encompasses infinite-dimensional ones.

### 2.3.1 Normed spaces

The definition of normed spaces given in Definition 2.1.10 extends to infinite-dimensional vector spaces.

**Definition 2.3.1.** Let V and W be normed vector spaces, and let  $T:V\to W$  be a linear operator. The *operator norm*, denoted by  $\|.\|_{op}$ , is defined as

$$||T||_{op} = \sup\{||T(v)|| : v \in V, ||v|| = 1\}.$$

If  $||T||_{op} < \infty$ , we say that T is a bounded operator; otherwise, if  $||T||_{op} = \infty$ , we say that T is unbounded. We denote by  $\mathcal{B}(V,W)$  the vector space of all bounded linear operators from V to W, and we write  $\mathcal{B}(V)$  for  $\mathcal{B}(V,V)$ .

**Lemma 2.3.2.** Let  $T:V\to W$  be a bounded linear operator between normed spaces. Then the following statements hold:

- 1. For every  $v \in V$ , we have  $||T(v)|| \le ||T||_{op} \cdot ||x||$ .
- 2. The operator T is continuous if and only if it is bounded.

**Definition 2.3.3.** Let V and W be normed vector spaces, and let  $T:V\to W$  be a linear operator. T is called a *short map* if  $\|T\|_{\mathsf{op}}\leq 1$ .

### 2.3.2 Banach spaces

**Definition 2.3.4.** (Cauchy sequence) Suppose d is a metric on a set X. A sequence  $\{x_n\} \subset X$  is called a Cauchy sequence if, for every  $\varepsilon > 0$ , there exists an integer  $N \in \mathbb{N}$  such that  $d(x_m, x_n) < \varepsilon$  for all m, n > N. The metric d is said to be complete if every Cauchy sequence in X converges to a point in X

**Definition 2.3.5.** A *Banach space* is a normed vector space that is complete with respect to the metric induced by its norm. In other words, every Cauchy sequence in the space must converge to a limit within the space.

**Definition 2.3.6** (Algebraic Tensor Product). Let  $V_1, \ldots, V_n$  and W be vector spaces, and let

$$\varphi: V_1 \times \cdots \times V_n \to W$$

be a multilinear function, meaning a function for which the mapping

$$u_k \mapsto \varphi(u_1, \dots, u_n)$$

is linear for each  $k \in \{1, ..., n\}$  and every fixed choice of vectors  $u_1, ..., u_{k-1}, u_{k+1}, ..., u_n$ . Then there exists a unique linear mapping

$$A: V_1 \otimes \cdots \otimes V_n \to W$$

such that

$$\varphi(u_1,\ldots,u_n)=A(u_1\otimes\cdots\otimes u_n)$$

for all choices of  $u_1 \in V_1, \ldots, u_n \in V_n$ .

**Definition 2.3.7.** Let V and W be Banach spaces. Let u be any element of  $V \otimes W$ . The *projective norm*, denoted  $\|\cdot\|_{\pi}$ , is defined by:

$$||u||_{\pi} = \inf \left\{ \sum_{i=1}^{n} ||v_i|| \, ||w_i|| \, \middle| \, u = \sum_{i=1}^{n} v_i \otimes w_i \right\}.$$

**Proposition 2.3.8.** Let V and W be Banach spaces. Then, for every  $v \in V$  and  $w \in W$ , it holds that  $\|v \otimes w\|_{\pi} = \|v\| \otimes \|w\|$ .

**Definition 2.3.9.** Let V and W be Banach spaces. The *projective tensor product* of V and W, denoted  $V \widehat{\otimes}_{\pi} W$ , is the completion of the algebraic tensor product  $V \otimes W$  with respect to the projective norm  $\|\cdot\|_{\pi}$ .

Resolver questões de notação, espaços e tensores

### 2.3.3 Hilbert Spaces

Agui  $\mathcal{H}$  and  $\mathcal{K}$  are typical designations for Hilber Spaces

**Definition 2.3.10.** A *Hilbert space*  $\mathcal{H}$  is an inner product space that is complete with respect to the norm induced by the inner product (*i.e.*  $||v|| = \sqrt{\langle v, v \rangle}$  for all  $v \in \mathcal{H}$ ).

The letters  $\mathcal{H}, \mathcal{K}$  will often be used to refer to Hilbert spaces.

The definition of trace is extended in this setting as follows:

**Definition 2.3.11.** Let  $\mathcal{H}$  be an Hilbert space and  $0 \leq T \in \mathcal{B}(\mathcal{H})$ . The trace is defined as

$$\operatorname{Tr}(T) := \sum_{i} \langle Tv_i, v_i \rangle \in [0, \infty],$$

where  $\{v_i\}$  is an orthonormal basis for  $\mathcal{H}$ .

**Definition 2.3.12.** Let  $\mathcal H$  be an Hilbert space. An operator T is *trace class* if  $\text{Tr}(|T|)<\infty$ , where  $|T|=\left(\overline{T}T\right)^{1/2}$ .

**Definition 2.3.13.** Let  $\mathcal{H}$  and  $\mathcal{K}$  be Hilbert spaces. We denote by  $\mathcal{H} \otimes_2 \mathcal{K}$  the Hilbert space tensor product, obtained by completing  $\mathcal{H} \otimes \mathcal{K}$  equipped with the standard inner product characterized by

$$\langle k_1 \otimes h_1, k_2 \otimes h_2 \rangle = \langle k_1, k_2 \rangle \cdot \langle h_1, h_2 \rangle.$$

### 2.3.4 Topology

Topology is the abstract mathematical study of concepts like convergence and approximation, generalizing familiar notions from calculus and analysis. Note that, for instance, in a metric space, a sequence  $\{x_n\}$  with  $n\in\mathbb{N}$  converges to a point x if the distance  $d(x_n,x)$  tends to zero; that is, for every  $\varepsilon>0$ , there exists  $n_0$  such that  $d(x_n,x)<\varepsilon$  for all  $n\geq n_0$ . However, metric spaces are not sufficient to describe all types of convergence. An example is the pointwise convergence of all real-valued functions on the interval [0,1]. In fact, there is no metric on the space of all real functions on the interval [0,1] for which one can define a distance function  $d(f_n,f)$  such that  $d(f_n,f)\to 0$  if and only if  $f_n(x)\to f(x)$  for every  $x\in[0,1]$ . A foundational idea in topology is that of a neighborhood—a collection of points considered "sufficiently close" to a given point. From this arises the concept of open sets, which are sets that serve as neighborhoods for all their points. The collection of all such open sets defines a topology, and a set equipped with a topology becomes a topological t0 space. This framework introduces some subtleties: for example, traditional sequences are often inadequate for capturing convergence, requiring the more general notion of t0 such that the natural numbers.

**Definition 2.3.14.** A *topology*  $\tau$  on a set X is a collection of subsets of X satisfying the following properties:

- 1.  $\emptyset \in \tau$  and  $X \in \tau$ .
- 2.  $\tau$  is closed under finite intersections: if  $U_1, U_2, \dots, U_n \in \tau$ , then  $\bigcap_{i=1}^n U_i \in \tau$ .
- 3. au is closed under arbitrary unions: if  $\{U_{\alpha}\}_{\alpha\in A}\subseteq \tau$ , then  $\bigcup_{\alpha\in A}U_{\alpha}\in \tau$ .

A nonempty set S equipped with a topology  $\tau$  is called a *topological space*, and is denoted by  $(S,\tau)$  (or simply X when no ambiguity arises). A member of  $\tau$  is called an *open set* in S. The complement of an open set is a *closed set*.

A set S can have many different topologies. The family of all topologies on S is partially ordered by set inclusion. If  $\tau_1 \subset \tau_2$ , that is, if every  $\tau_1$ -open set is also  $\tau_2$ -open, then we say that  $\tau_1$  is weaker or coarser than  $\tau_2$ , and that  $\tau_2$  is stronger or finer than  $\tau_1$ .

#### **Example 2.3.15.** Standard examples of topologies are presented below:

- 1. Trivial (or indiscrete) topology: On a set S, the trivial topology consists only of the sets  $\varnothing$  and X. These are also the only closed sets.
- 2. Discrete topology: The discrete topology on a set S consists of all possible subsets of X. In this topology, every set is both open and closed.
- 3. Standard topology on  $\mathbb{R}$ : The metric d(x,y)=|x-y| on  $\mathbb{R}$  induces a topology where open sets are unions of open intervals. This is known as the standard topology on  $\mathbb{R}$ .

**Definition 2.3.16.** The *norm topology* induced by a norm  $\|\cdot\|$  is the metrizable topology generated by the metric  $d(x,y) = \|x-y\|$ .

**Definition 2.3.17.** A topological vector space is a vector space V equipped with a linear  $\tau$  such that:

- 1. every singleton  $\{v\} \subset V$  is a closed set, and
- 2. the vector space operations (addition and scalar multiplication) are continuous with respect to  $\tau$ . That is, the addition map  $(x,y)\mapsto x+y$ , from the Cartesian product  $V\times V$  into V, is continuous, and the scalar multiplication map  $(r,x)\mapsto rx$ , from  $\mathcal{F}\times V$  into V, is also continuous.

**Definition 2.3.18.** Let V be a vector space. Linear maps from V to its scalar field are called *linear functionals*. The set of all continuous linear functionals on V forms a vector space, called the *(topological) dual space* of V, and is denoted by  $V^*$ . It is common to designate elements of the dual space  $V^*$  by  $v^*$ .

**Theorem 2.3.19.** Let V be a normed vector space. For each  $v^* \in V^*$ , define its norm by

$$||v^*|| := \sup \{|v^*(v)| : ||v|| = 1\}.$$

This defines a norm on  $V^*$  under which  $V^*$  is a Banach space. Moreover, for every  $v \in V$ , we have

$$||v|| = \sup\{|v^*(v)| : ||v^*|| = 1\}.$$

As a consequence, the map  $v^* \mapsto v^*(v)$  defines a bounded linear functional on  $V^*$ , and its norm equals ||v||.

**Definition 2.3.20.** Let V be a vector space. The  $weak^*$ -topology on the dual space  $V^*$  is the coarsest topology that makes all evaluation maps

$$v^* \mapsto v^*(v)$$

continuous for every  $v \in V$ .

Dual de um mapa

## 2.4 Probabilistic Programming/Measure theory

In this work, we only consider finite (positive) measures; hence, the term "measure" implicitly refers to a finite measure unless stated otherwise.

## 2.4.1 Probabilistic Programming and Measure Theory

Probabilistic programs offer a structured approach to drawing statistical conclusions from uncertain data or real-world observations. They generalize probabilistic graphical models beyond the capabilities of Bayesian networks and are expected to have broader applications in machine intelligence. These programs are are employed across various domains. They control autonomous systems, verify security protocols, and implement randomized algorithms for solving computationally intractable problems. As a result, they are becoming

increasingly central to AI development. At their core, they aim to democratize probabilistic modeling by providing programmers with expressive, high-level abstractions for machine learning and statistical reasoning [48].

Probabilistic computation involves running programs incorporating randomness, leading to output behaviors characterized by probability distributions rather than deterministic outcomes. To effectively understand and analyze these programs, it is essential to have a solid foundation in reasoning about probability distributions. That is where measure theory comes into play.

### 2.4.2 What is measure theory?

Throughout history, mathematicians sought to extend the ideas of length, area, and volume. The most effective way to generalize these concepts is through the idea of a measure. Abstractly, a measure is a function defined on sets with additive properties mirroring length, area, and volume.

We begin with a simple example inspired by [34] to develop an intuition for the concepts of measure and measure space. Imagine an open field S covered in snow after a storm. Suppose we wish to measure the amount of snow accumulated in as many field regions as possible. Assume we have accurate tools for measuring snow over standard geometric shapes like triangles, rectangles, and circles. We can approximate irregularly shaped regions using combinations of these standard shapes and then apply a limiting process to assign a consistent measure to such regions. Let  $\mathcal B$  denote the collection of subsets of S that are deemed measurable, let  $\lambda(A)$  represent the amount of snow in each  $A \in \mathcal B$ , and let  $A^c$  denote the complement of a set A.

For this framework to make sense, it is reasonable to require that  $\mathcal{B}$  and  $\lambda(\cdot)$  satisfy the following properties:

#### **Properties of** B:

- 1. If  $A \in B$ , then the complement  $A^c \in \mathcal{B}$ . (i.e., if we can measure the snow on a set A, and we know the total amount on S, then we can determine the snow on the remaining part  $A^c$ .)
- 2. If  $A_1, A_2 \in \mathcal{B}$ , then  $A_1 \cup A_2 \in \mathcal{B}$ . (i.e., if we can measure the snow on two regions  $A_1$

and  $A_2$ , we should also be able to measure it on their union.)

- 3. If  $\{A_n\}_{n\geq 1}\subset \mathcal{B}$  is an increasing sequence, *i.e.*,  $A_n\subset A_{n+1}$  for all n, then  $\bigcup_{n=1}^{\infty}A_n\in \mathcal{B}$ . (*i.e.*, if each set in a increasing sequence of regions is measurable, then their limit—the union—should also be measurable.)
- 4. The collection  $\mathcal{B}$  contains a base class C of simple, well-behaved sets (e.g., triangles, rectangles, circles) for which measurement is initially defined.

### **Properties of** $\lambda(\cdot)$ :

- 1.  $\lambda(A) \geq 0$  for all  $A \in \mathcal{B}$  (i.e., the amount of snow on any set must be nonnegative).
- 2. If  $A_1, A_2 \in \mathcal{B}$  and  $A_1 \cap A_2 = \emptyset$ , then  $\lambda(A_1 \cup A_2) = \lambda(A_1) + \lambda(A_2)$  (i.e., The total amount of snow over two non-overlapping regions is just the sum of the snow in each region. This characteristic of  $\lambda$  is known as *finite additivity*.)
- 3. If  $\{A_n\}_{n\geq 1}\subset \mathcal{B}$  is an increasing sequence, *i.e.*,  $A_n\subset A_{n+1}$  then  $\lambda(\lim_{n\to\infty}A_n)=\lambda\left(\bigcup_{n=1}^\infty A_n\right)=\lim_{n\to\infty}\lambda(A_n)$ . (*i.e.*, if a set can be approximated by an increasing sequence of measurable sets  $\{A_n\}_{n\geq 1}$ , then  $\lambda(A)=\lim_{n\to\infty}\lambda(A_n)$ . This is known as monotone continuity from below.)

### 2.4.3 Measurable spaces and measures

Remarkably, these intuitive conditions give rise to a profoundly versatile and far-reaching theoretical framework. The requirements imposed on  $\mathcal B$  and  $\lambda$  can equivalently be stated as follows:

#### **Properties of** $\mathcal{B}$ :

- 1.  $\emptyset \in \mathcal{B}$ .
- 2.  $A \in \mathcal{B} \implies A^c \in \mathcal{B}$ .
- 3.  $A_1, A_2, \dots \in \mathcal{B} \implies \bigcup_i A_i \in \mathcal{B}$  (this is known as closure under countable unions).

#### Properties of $\lambda$ :

1.  $\lambda(\cdot) \geq 0$  and  $\lambda(\emptyset) = 0$ .

2. If  $\{A_n\}_{n\geq 1}\subset \mathcal{B}$  is a sequence of pairwise disjoint sets (i.e.,  $A_i\cap A_j=\emptyset$  for  $i\neq j$ ), then  $\lambda\left(\bigcup_{n=1}^{\infty}A_n\right)=\sum_{n=1}^{\infty}\lambda(A_n)$  (this is known as *countable additivity*).

A collection  $\mathcal B$  of subsets of S satisfying the above conditions for B is designated a  $\sigma$ -algebra. Similarly, a set function  $\lambda$  defined on a  $\sigma$ -algebra  $\mathcal B$  that fulfills the above properties for  $\lambda$  qualifies as a *measure*.

**Definition 2.4.1.** A  $\sigma$ -algebra  $\mathcal{B}$  on a set S is a collection of subsets of S that includes the empty set, is closed under complementation with respect to S, and is closed under countable unions.

**Definition 2.4.2.** The pair  $(S, \mathcal{B})$  where  $\mathcal{B}$  is a  $\sigma$ -algebra constitutes a *measurable space*. The elements of  $\mathcal{B}$  are called the *measurable sets* of the space.

**Definition 2.4.3.** The Borel  $\sigma$ -algebra of  $\mathbb{R}^n$  is the  $\sigma$ -algebra  $\mathcal{B}(\mathbb{R}^n)$  generated by all open sets. The sets in  $\mathcal{B}(\mathbb{R}^n)$  are called Borel sets.

**Definition 2.4.4.** A measure on the measurable space  $(S,\mathcal{B})$  is a function  $\mu:\mathcal{B}\to[0,\infty]$  that is countably additive and satisfies  $\mu(\emptyset)=0$ . A measure on  $(S,\mathcal{B})$  is called a *probability* measure if it assigns total measure 1 to the entire space, that is,  $\mu(S)=1$ .

In the context of probability theory, such measures are often referred to as *distributions*. In what follows, we will use the terms measure and distribution interchangeably.

One of the most important measures is the Lebesgue measure on the real line (i.e., the length in  $\mathbb{R}$ ), and its generalizations to  $\mathbb{R}^n$ . It is characterized as the unique measure on the Borel sets, whose value on every interval is its length. That is, for any interval  $(a,b) \subset \mathbb{R}$ ,  $\lambda((a,b)) = b-a$ .

The Lebesgue measure is *translation-invariant*, meaning that shifting a set does not change its measure, and it is also  $\sigma$ -finite.

For any  $s \in S$ , the *Dirac measure* (also known as the *Dirac delta* or *point mass* at s) is the probability measure defined by

$$\delta_s(B) = \begin{cases} 1, & \text{if } s \in B, \\ 0, & \text{if } s \notin B. \end{cases}$$

A measure is called *discrete* if represented as a countable weighted sum of Dirac measures. In particular, a *convex combination* of Dirac measures yields a discrete probability measure.

These are of the form  $\sum_{s \in C} a_s \delta_s$ , where  $a_s \ge 0$  for all  $s \in C$ , and the weights satisfy  $\sum_{s \in C} a_s = 1$ .

On the other hand, a measure  $\mu$  on a measurable space  $(S, \mathcal{B})$  is called *continuous* if it assigns zero measure to all singleton sets, i.e.,  $\mu(\{s\}) = 0$  for every  $s \in S$ . An example of a continuous measure is the *Lebesgue measure* on  $\mathbb{R}^n$  (for  $n \in \mathbb{N}$ ).

**Definition 2.4.5.** Let  $(S, \mathcal{B}_S)$  and  $(T, \mathcal{B}_T)$  be measurable spaces. Given a function  $f:(S, \mathcal{B}_S) \to (T, \mathcal{B}_T)$  and a measure  $\mu$  on  $\mathcal{B}_S$ , the *pushforward measure*  $f_*(\mu)$  on  $\mathcal{B}_T$  is defined by:

$$f_*(\mu)(B) = \mu(f^{-1}(B)), \quad B \in \mathcal{B}_T.$$

**Definition 2.4.6.** Let  $(S, \mathcal{B}_S)$  and  $(T, \mathcal{B}_T)$  be measurable spaces. A function  $f: S \to T$  is said to be *measurable* if for every measurable subset  $B \in \mathcal{B}_T$ , the preimage  $f^{-1}(B) \in \mathcal{B}_S$ .

**Definition 2.4.7.** The *Lebesgue integral* generalizes the familiar Riemann integral. Consider a measurable space  $(S, \mathcal{B})$  and a bounded measurable function  $f: S \to \mathbb{R}^+_0$ , with upper and lower bounds M and m, respectively. The *Lebesgue integral* of f with respect to a measure  $\mu \colon \mathcal{B} \to \mathbb{R}^+_0$ , denoted  $\int f \, d\mu$ , is defined as the limit of finite weighted sums of the form:

$$\sum_{i=0}^{n} f(s_i)\mu(B_i),$$

where  $\{B_0, \ldots, B_n\}$  forms a measurable partition of S, and within each  $B_i$ , the variation of f does not exceed (M-m)/n. Here,  $s_i \in B_i$  for each i, and the limit is taken over increasingly refined partitions.

In the case of a finite discrete space  $n = \{1, 2, \dots, n\}$ , the Lebesgue integral simplifies to a weighted sum:

$$\int f \, d\mu = \sum_{i=1}^{n} f(i)\mu(i).$$

Given two measurable spaces  $(S_1, \mathcal{B}_1)$  and  $(S_2, \mathcal{B}_2)$ , their product is the measurable space  $(S_1 \times S_2, \mathcal{B}_1 \otimes \mathcal{B}_2)$ , where  $S_1 \times S_2$  is the cartesian product and  $\mathcal{B}_1 \otimes \mathcal{B}_2$  is the  $\sigma$ -algebra generated by all measurable rectangles  $B_1 \times B_2$  with  $B_1 \in \mathcal{B}_1$  and  $B_2 \in \mathcal{B}_2$ :

$$\mathcal{B}_1 \otimes_{\mathsf{meas}} \mathcal{B}_2 \coloneqq \sigma \left( \left\{ B_1 \times B_2 \mid B_1 \in \mathcal{B}_1, \, B_2 \in \mathcal{B}_2 \right\} \right).$$

Measures on this product space are called *joint distributions*, and are uniquely determined by their values on measurable rectangles due to the inductive structure of the product  $\sigma$ -algebra. *Product measures* are a significant class of joint distributions and are defined from measures

**Definition 2.4.8.** Let  $(S_1, \mathcal{B}_1)$  and  $(S_2, \mathcal{B}_2)$  be measurable spaces, and let  $\mu_1$  and  $\mu_2$  be measures on these spaces, respectively. The *product measure*  $\mu_1 \otimes_{\mathsf{meas}} \mu_2$  is defined on measurable rectangles by

$$(\mu_1 \otimes_{\mathsf{meas}} \mu_2)(B_1 \times B_2) = \mu_1(B_1)\mu_2(B_2).$$

This definition extends uniquely to a joint distribution  $\mu_1 \otimes \mu_2 : \mathcal{B}_1 \otimes \mathcal{B}_2 \to \mathbb{R}_0^+$ , and reflects the notion of probabilistic independence: sampling from  $\mu_1 \otimes \mu_2$  is equivalent to independently sampling from  $\mu_1$  and  $\mu_2$ .

**Definition 2.4.9.** Let  $(S, \mathcal{B}_S)$  and  $(T, \mathcal{B}_T)$  be measurable spaces. A *Markov kernel* is a mapping  $P: S \times \mathcal{B}_T \to [0, 1]$  satisfying the following two properties:

- 1. For each fixed  $s \in S$ , the function  $P(s, \cdot) : \mathcal{B}_T \to [0, 1]$  is a probability measure on  $(T, \mathcal{B}_T)$ .
- 2. For each fixed  $A \in \mathcal{B}_T$ , the function  $P(\cdot, A) : S \to [0, 1]$  is a measurable function on  $(S, \mathcal{B}_S)$ .

By a slight abuse of terminology, we will also use the term *Markov kernel* to refer to a mapping  $P': S \to (\mathcal{B}_T \to [0,1]) \, P'(s) = \mu$ , when  $P: S \times \mathcal{B}_T \to [0,1], \, P(s,A) = \mu(A)$  is a Markov kernel in the definition above.

By a slight abuse of terminology, we will also refer to a mapping  $P': S \to (\mathcal{B}_T \to [0,1])$   $P'(s) = \mu$ , as a Markov kernel, when there exists a Markov kernel  $P: S \times \mathcal{B}_T \to [0,1]$   $P(s,A) = \mu(A)$  for all  $s \in S$  and  $A \in \mathcal{B}_T$ .

**Definition 2.4.10.** Given a measure  $\mu$  on S and a Markov kernel  $P: S \times \mathcal{B}_T \to [0,1]$ , we can define the *pushforward* of  $\mu$  under the Markov kernel P as:

$$P_*(\mu)(B) = \int_S P(s,B) \, \mu(ds), \quad \forall B \in \mathcal{B}_T.$$

Any measurable function  $f:(S,\mathcal{B}_S)\to (T,\mathcal{B}_T)$  induces a simple Markov kernel  $s\mapsto \delta_{f(s)}$ . As a result, the usual definition of the pushforward measure (Definition 2.4.5) becomes a special case of the general formulation defined above.

### 2.4.4 Spaces of Measures

The set of all finite measures on a measurable space  $(S, \mathcal{B})$  will be denoted by  $\mathcal{M}(S, \mathcal{B})$ , or simply  $\mathcal{M}S$  when the  $\sigma$ -algebra  $\mathcal{B}$  is clear from context. In particular,  $\mathcal{M}\mathbb{R}$  denotes the Banach space of finite Borel measures on  $\mathbb{R}$ .

 $\mathcal{M}S$  forms a real vector space, where addition and scalar multiplication are defined pointwise. Specifically, for  $B \in \mathcal{B}$ ,  $\mu, \nu \in \mathcal{M}S$ , and  $\alpha \in \mathbb{R}$ , the operations are given by

$$(\mu + \nu)(B) = \mu(B) + \nu(B), \quad (a\mu)(B) = \alpha\mu(B).$$

 $\mathcal{M}S$  is also a normed space equipped with the total variation norm.

**Definition 2.4.11.** For a measure  $\mu$ , the total variation norm is defined as

$$\|\mu\|_{TV} := \sup \left\{ \sum_{i=1}^n |\mu(B_i)| : \{B_1, \dots, B_n\} \text{ is a finite measurable partition of } S \right\}.$$

For positive measures, this reduces to  $\mu(S)$ , and for probability measures, the norm is 1. The total variation norm turns  $\mathcal{M}_S$  into a Banach space, meaning it is complete under this norm. The following alternative definition is useful to compute the total variation norm between measures.

**Definition 2.4.12.** [49] Let let P and Q be two probability measures on  $\mathcal{M}\mathbb{R}$ . Define

$$\nu = P + Q, \quad p = \frac{dP}{d\nu}, \quad q = \frac{dQ}{d\nu},$$

where  $\frac{dP}{d\nu}$  denotes the Radon–Nikodym derivative of the measure P with respect to the measure  $\nu$ . It holds that:

$$||P - Q|| = \sup_{A \in \mathcal{B}} \left\{ \left| \int_A (p - q) d\nu \right| \right\}.$$

# 2.5 C\* and W\*-Algebras

## 2.6 Category theory

Cenas para a descrição da secção: ref awodeyCategoryTheory2010 e nota sobre a maioria dos exemplos serem retirados do noson

Category theory originated as an effort to connect and unify two distinct areas of mathematics. The goal was to study and classify specific geometric structures—such as topological spaces, manifolds, and bundles—by associating them with corresponding algebraic structures like groups, rings, and abelian groups. It became clear that a language was needed to connect geometric and algebraic objects—one not explicitly tailored to geometry or algebra.

Only a language of such generality could allow meaningful discussion across both fields. This is the birth of category theory. Described as "a language about nothing, and therefore about everything," category theory provides a highly general way of discussing mathematical concepts. It was invented by Samuel Eilenberg and Saunders MacLane [50]. They organized various mathematical structures into categories called geometric others algebraic. To connect these categories, they defined functors, which map objects and morphisms from one category to another, much like functions do. They further introduced natural transformations, which provide a way to compare functors, translating the results of one functor into those of another. [36]

### 2.6.1 Categories

**Definition 2.6.1.** A category C consists of

- a collection of objects  $A, B, C, \ldots$ , denoted Obj(|C|) or Obj(C);
- a collection of morphisms  $f, g, \ldots$ , usually denoted C(A, B),  $Hom_C(A, B)$ , or Hom(A, B) if there is no ambiguity.

The collection for morphisms has the following structure:

- Each morphism has a specified domain and codomain; the notation  $f:A\to B$  indicates that f is a morphism from object A to object B.
- Every object A has an identity morphism  $id_A : A \to A$ .
- For any pair of morphisms  $f:A\to B$  and  $g:B\to C$ , where the codomain of f matches the domain of g, there exists a composite morphism  $g\circ f:X\to Z$ . We will also write  $g\circ f$  as  $f\cdot g$  or simply fg.

The composition is required to satisfy the two following laws: if  $f:A\to B, g:B\to C$ , and  $h:C\to D$  are morphisms, then

- $f \circ id_a = f = id_b \circ f$ ;
- $(f \circ g) \circ h = f \circ (g \circ h)$ .

**Example 2.6.2.** Set is the category whose objects are sets and whose morphisms are functions between them. Given a function  $f: A \to B$ , it assigns to each element  $a \in A$  a unique

image  $f(a) \in B$ . For any two functions  $f: A \to B$  and  $g: B \to C$ , their composition is defined by

$$(g \circ f)(a) = g(f(a))$$
 for all  $a \in A$ .

This composition is associative. That is, for any further function  $h: C \to D$ , we have

$$(h \circ q) \circ f = h \circ (q \circ f),$$

since for every  $a \in A$ ,

$$((h \circ q) \circ f)(a) = h(q(f(a))) = (h \circ (q \circ f))(a).$$

Moreover, for every set A, there exists an *identity function* 

$$id_A: A \to A$$
, defined by  $id_A(a) = a$ ,

which satisfies the unit laws for composition:

$$f \circ id_A = f$$
 and  $id_B \circ f = f$ 

for any function  $f: A \to B$ .

Therefore, Set, with sets as objects and functions as morphisms, satisfies the axioms of a category.

Another common type of example consists of categories of sets equipped with additional structure, along with functions that preserve that structure.

**Definition 2.6.3.** A partially ordered set or partial order is a set A equipped with a binary relation  $\leq_A$  satisfying the following properties for all  $a,b,c\in A$ :

- Reflexivity:  $a \leq_A a$ ;
- Transitivity: If  $a \leq_A b$  and  $b \leq_A c$ , then  $a \leq_A c$ ;
- Antisymmetry: If  $a \leq_A b$  and  $b \leq_A a$ , then a = b.

**Example 2.6.4.** The set of real numbers  $\mathbb{R}$ , equipped with the usual ordering  $\leq$ , forms a poset. Moreover, it is *linearly ordered* (or *totally ordered*), since for any  $x, y \in \mathbb{R}$ , either  $x \leq y$  or  $y \leq x$  holds.

**Definition 2.6.5.** Given two partial orders  $(A, \leq_A)$  and  $(B, \leq_B)$ , a function  $m: A \to B$  is called a *monotone map* (or *order-preserving map*) if for all  $a, a' \in A$ ,

$$a \leq_A a' \implies m(a) \leq_B m(a').$$

**Example 2.6.6.** PO is the category of all partial orders and all monotone maps. First, for any poset A, the identity function  $id_A : A \to A$  is monotone. Indeed, for all  $a \in A$ ,

$$a \leq_A a \implies \operatorname{id}_A(a) \leq_A \operatorname{id}_A(a).$$

Next, given monotone maps  $f:A\to B$  and  $g:B\to C$ , their composition  $g\circ f:A\to C$  is also monotone. For all  $a,a'\in A$ , if  $a\leq_A a'$ , then

$$f(a) \leq_B f(a')$$
 and  $g(f(a)) \leq_C g(f(a'))$ ,

so it follows that

$$(g \circ f)(a) \leq_C (g \circ f)(a').$$

**Example 2.6.7.** Each partially ordered set naturally defines a category. Let  $(P, \leq)$  be a poset. We define a category  $B(P, \leq)$ , often denoted simply by B(P) or even P, where the objects are the elements of P, and there is a unique morphism  $p \to q$  if and only if  $p \leq q$ . The reflexivity of the order  $\leq$  ensures the existence of identity morphisms, while transitivity guarantees that morphisms compose appropriately. Moreover, since there is at most one morphism between any two objects, composition is trivially associative.

**Example 2.6.8.** CVect is the category of finite complex vector spaces and linear mappings.

**Example 2.6.9.** Given a functional programming language L, we can define a category CompFunc. In this category, the objects represent the data types of the language L, and the morphisms correspond to computable functions or programs. A function is considered *computable* if a computer program is capable of executing that function.

The composition of morphisms is defined as follows: given two morphisms  $f\colon X\to Y$  and  $g\colon Y\to Z$ , the composition  $g\circ f\colon X\to Z$  is defined by applying g to the output of f. This composition is often written as f;g.

Additionally, the identity morphism  $id_X \colon X \to X$  represents the "identity program," which returns its input without making any changes (i.e., it "does nothing").

**Example 2.6.10.** The category CPTP is the category whose objects are natural numbers  $n \ge 1$  and whose morphisms  $n \to m$  are quantum channels  $C^{n \times n} \to C^{m \times m}$ .

**Example 2.6.11.** The category CPS is the category whose objects are natural numbers  $n \geq 1$  and whose morphisms  $n \to m$  are completely positive trace-nonincreasing maps  $C^{n \times n} \to C^{m \times m}$ .

**Example 2.6.12.** The category Ban is the category of Banach spaces and short maps.

**Definition 2.6.13.** A morphism  $f:A\to B$  in a category C be a category is called an *isomorphism* if there exists a morphism  $f^{-1}:B\to A$  such that

$$f^{-1} \circ f = \mathrm{id}_A$$
 and  $f \circ g = \mathrm{id}_B$ .

In this case,  $f^{-1}$  is called the *inverse* of f, and it is unique. If such an isomorphism exists, we say that A and B are *isomorphic*, written  $A \cong B$ .

One of the central ideas in category theory is *duality*. Simply put, for a given definition of a structure, there is often a corresponding dual concept obtained by reversing the directions of all the arrows.

**Definition 2.6.14.** Let C be a category. The *opposite category*, denoted C<sup>op</sup>, is defined as follows:

- The objects of  $\mathsf{C}^\mathrm{op}$  are the same as those of  $\mathsf{C}$ .
- For any pair of objects A, B, the hom-set in  $C^{op}$  is defined by

$$Hom_{\mathsf{C}^{\mathrm{op}}}(A,B) = Hom_{\mathsf{C}}(B,A),$$

that is, each morphism  $f:A\to B$  in  $C^{op}$  corresponds to a morphism  $f:B\to A$  in C.

- Composition in  $C^{\mathrm{op}}$  is defined using the composition in C, but in reverse order. That is, if

$$A \xrightarrow{f} B \xrightarrow{g} C$$

are morphisms in Cop, corresponding to morphisms

$$C \xrightarrow{g} B \xrightarrow{f} A$$

in C, then the composition in  $C^{\mathrm{op}}$  is defined by

$$g\circ f:=f\circ_{\mathbf{C}}g.$$

Thus, C<sup>op</sup> reverses the direction of morphisms and composition while retaining the same collection of objects.

#### **Definition 2.6.15.** A subcategory D of a category C is a category such that

- All the objects of D are objects of C, and all the morphisms of D are morphisms of C (that is,  $D_0 \subseteq C_0$  and  $D_1 \subseteq C_1$ ).
- The domain and codomain of any morphism in D are the same as in C (in other words, the domain and codomain maps for D are the restrictions of those for C).
- It follows that for any objects A and B in D, we have  $\operatorname{Hom}_{\mathsf{D}}(A,B) \subseteq \operatorname{Hom}_{\mathsf{C}}(A,B)$ . If A is an object of D, then its identity morphism  $\operatorname{id}_A$  in C also belongs to D.
- If  $f:A\to B$  and  $g:B\to C$  are morphisms in D, then the composite  $g\circ f$ , as defined in C, is also in D, and coincides with the composite in D.

**Example 2.6.16.** The category FinSet, whose objects are finite sets and whose morphisms are functions between them, forms a subcategory of the category Set.

**Example 2.6.17.** The category CPTP is a subcategory of CPS.

**Definition 2.6.18.** A category is called *small* if both its collection of objects and its collection of morphisms form sets. A category is called *locally small* if, for every pair of objects, the corresponding hom-set is a set.

### 2.6.2 Produts and coproducts

A category frequently possesses more intricate structure than a mere collection of objects and their morphisms. The existence of particular relationships among certain objects and morphisms can can make some objects have important properties.

It should be noted that a diagram is said to commute if, for every pair of objects X and Y in the diagram, all directed paths from X to Y yield equal morphisms.

**Definition 2.6.19.** An object 0 in a category C is called an *initial object* if for every object  $A \in C$ , there exists a unique morphism  $f: 0 \to A$ .

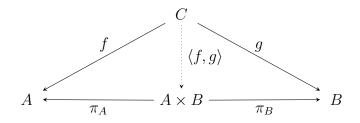
**Definition 2.6.20.** An object T in a category C is called a *terminal object* if for every object  $A \in C$ , there exists a unique morphism  $f: A \to T$ .

**Example 2.6.21.** In the category Set, the empty set  $\emptyset$  is an initial object, since for any set S, there exists a unique function  $f:\emptyset\to S$ . This function is unique because there are no elements in  $\emptyset$  to map.

Any singleton set, such as  $\{*\}$  or  $\{a\}$ , is a terminal object in this category. For any set S, there exists a unique function  $f:S\to \{*\}$ , which maps every element of S to the sole element of the singleton set

**Example 2.6.22.** Let  $(P, \leq)$  be a partial order and P be its associated category. Here, the initial object is the *bottom element*—an element that is less than or equal to every other element in P. The terminal object in P is the *top element*—an element that is greater than or equal to every other element in P.

**Definition 2.6.23** (*Product*). Consider a category C. We say that it has (binary) products if for any objects A and B in C there also exists an object  $A \times B$  C with morphisms  $\pi_A : A \times B \to A$  and  $\pi_B : A \times B \to B$  that satisfy a certain universal property: specifically for every two morphisms  $f: C \to A$  and  $g: C \to B$  there exists a *unique* morphism  $\langle f, g \rangle : C \to A \times B$  called *pairing* that makes the diagram below commute.



**Definition 2.6.24.** Let  $A \times B$  be a product of objects A and B, and let  $A' \times B'$  be a product of objects A' and A' in a category C. Suppose we are given morphisms  $f:A \to A'$  and  $g:B \to B'$ .

Then there exists a unique morphism

$$f \times g : a \times b \to a' \times b'$$

such that the following diagram commutes.

$$A \longleftarrow \pi_{A} \qquad A \times B \longrightarrow \pi_{B} \qquad B$$

$$\downarrow f \times g \qquad \downarrow g$$

$$A' \longleftarrow \pi'_{A} \qquad A' \times B' \longrightarrow \pi'_{B} \qquad B'$$

This induced morphism  $f \times g$  is called the *product of the morphisms* f and g, and it is given explicitly by

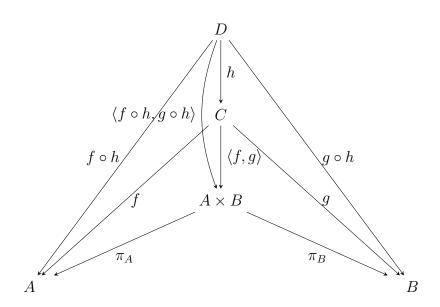
$$f \times g = \langle f \circ \pi_A, g \circ \pi_B \rangle.$$

**Theorem 2.6.25.** Let  $A \times B$  be the product of objects A and B in a category C. For any object C and morphisms  $f: C \to A$  and  $g: C \to B$  are morphisms, it holds that:

$$\langle f \circ h, g \circ h \rangle = \langle f, g \rangle \circ h.$$

*Proof.* The universal property of the product induces a unique morphism  $\langle f, g \rangle : C \to A \times B$  such that  $\pi_A \circ \langle f, g \rangle = f$  and  $\pi_B \circ \langle f, g \rangle = g$ .

Now, let  $h:D\to C$  be another morphism. Then the compositions  $f\circ h:D\to A$  and  $g\circ h:D\to B$  also induce a unique morphism  $\langle f\circ h,\,g\circ h\rangle:D\to A\times B$  by the universal property of the product. As a result, by the universal property of the product the following diagram commutes.



**Example 2.6.26.** In the category Set, the product of two sets A and B is given by their Cartesian product, denoted

$$A \times B = \{(a, b) \mid a \in A, b \in B\}.$$

The projection maps are defined by

$$\pi_A(a,b) = a$$
 and  $\pi_B(a,b) = b$ .

Given a set C and morphisms  $f:C\to A$  and  $g:C\to B$ , their pairing is the map

$$\langle f, g \rangle(c) = (f(c), g(c)).$$

**Example 2.6.27.** Let  $(P, \leq)$  be a partial order and P be its associated category. Consider a product of elements  $p \times q \in P$ . Then, by definition, there must exist projections satisfying

$$p \times q \le p$$
 and  $p \times q \le q$ .

Furthermore, for any element  $x \in P$ , if

$$x \le p$$
 and  $x \le q$ ,

then it follows that

$$x \leq p \times q$$
.

This operation  $p \times q$  corresponds to what is commonly known as the *greatest lower bound* or *meet*, and is typically denoted by  $p \wedge q$ .

**Example 2.6.28.** In the category CVect, the product of two vector spaces V and W corresponds to their direct sum, denoted by

$$V \oplus W$$
.

The projection maps are the linear maps

$$\pi_V: V \oplus W \to V, \quad \pi_V(v, w) = v,$$

$$\pi_W: V \oplus W \to W, \quad \pi_W(v, w) = w.$$

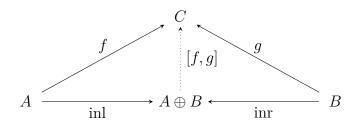
Given any vector space U and linear maps  $f:U\to V$  and  $g:U\to W$ , the unique map  $\langle f,g\rangle:U\to V\oplus W$  is defined by

$$\langle f, g \rangle(u) = (f(u), g(u)).$$

Similarly, in CPS the product corresponds to the sum and the projection and paring maps are defined in a analogous way.

The *coproduct* is the dual of the *product*—it is obtained by reversing all the morphisms in the definition of a product. Consequently, a product in a category C corresponds to a coproduct in the opposite category C<sup>op</sup>.

**Definition 2.6.29.** Consider a category C. We say that it has (binary) coproducts if for any objects A and B in C there also exists an object  $A \oplus B$  in C with morphisms  $\mathrm{inl}: A \to A \oplus B$  and  $\mathrm{inr}: B \to A \oplus B$  that satisfy a certain universal property: specifically for every two morphisms  $f: A \to C$  and  $g: B \to C$  there exists a *unique* morphism  $[f,g]: A \oplus B \to C$  known as *co-pairing* that makes the diagram below commute.

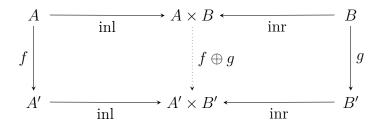


**Definition 2.6.30.** Let  $A \oplus B$  be a coproduct of objects A and B, and let  $A' \times B'$  be a coproduct of objects A' and A' in a category C. Suppose we are given morphisms  $f: A \to A'$  and  $g: B \to B'$ .

Then there exists a unique morphism

$$f \times g : a \times b \to a' \times b'$$

such that the following diagram commutes.



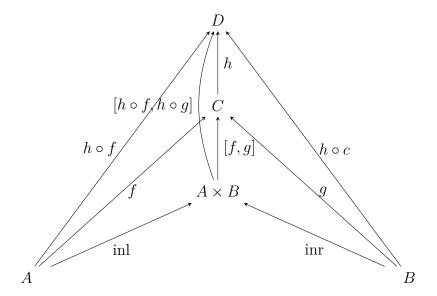
This induced morphism  $f \oplus g$  is called the *coproduct of the morphisms* f and g, and it is given explicitly by

$$f \oplus q = [\operatorname{inl} \circ f, \operatorname{inr} \circ q].$$

**Theorem 2.6.31.** Let  $A \oplus B$  be the product of objects A and B in a category C. For any object C and morphisms  $f: A \to C$  and  $g: B \to C$  are morphisms, it holds that:

$$[h \circ f, h \circ g] = h \circ [f, g].$$

*Proof.* By the universal property of the coprodut the following diagram commutes.



**Example 2.6.32.** In the category Set, the coproduct  $A \oplus B$  of two sets is their disjoint union, which can be constructed as

$$A \oplus B = \{(a, 1) \mid a \in A\} \cup \{(b, 2) \mid b \in B\}.$$

The canonical coproduct injections are defined by

$$i_1(a) = (a, 1), \quad i_2(b) = (b, 2).$$

Given any set C and functions  $f:A\to C$  and  $g:B\to C$ , the copairing  $[f,g]:A\oplus B\to C$  is defined by

$$[f,g](x,\delta) = \begin{cases} f(x) & \text{if } \delta = 1, \\ g(x) & \text{if } \delta = 2. \end{cases}$$

**Example 2.6.33.** Let  $(P, \leq)$  be a partial order and P be its associated category. Consider a coproduct of elements  $p \oplus q \in P$ . Then, by definition, there must exist injections satisfying

$$p \le p + q$$
 and  $q \le p + q$ .

Furthermore, for any element  $z \in P$ , if

$$p \le z$$
 and  $q \le z$ ,

then it follows that

$$p + q \le z$$
.

This operation p+q corresponds to what is commonly known as the *least upper bound* or *join*, and is typically denoted by  $p \lor q$ .

**Example 2.6.34.** In both CVect and CPS, the coproduct coincides with the product. In such cases, this structure is called a *biproduct*. In both CVect. the injection maps are the linear maps

$$inl: V \to V \oplus W, \quad inl(v) = (v, 0),$$

$$\operatorname{inr}: W \to V \oplus W, \quad \operatorname{inr}(w) = (0, w).$$

Given any vector space U and linear maps  $f:V\to U$  and  $g:W\to U$ , the unique map  $\langle f,g\rangle:V\oplus W\to U$  is defined by

$$[f,g](v,w) = f(v) + g(w).$$

In CPTP the coproduct is also given by the direct sum. In both CPTP and CPS injections and copairing map are defined analogously to those in CVect.

**Example 2.6.35.** In the category Ban, the coproduct of Banach spaces is given by their direct sum equipped with the  $L_1$ -norm. The injections and copairing map are defined analogously to those in CVect.

#### 2.6.3 Functors

Although categories are interesting on their own, the real strength of category theory lies in understanding how categories relate to one another. Just as functions express relationships between sets, functors play a similar role for categories. A functor maps each object in one category to an object in another category, and it does the same for morphisms, preserving the structure of the relationships.

**Definition 2.6.36.** Let C and D be two categories. A *functor*  $F: C \to D$  consists of a mapping that assigns to each object A in C an object FA in D, and to each morphism  $f \in \operatorname{Hom}_{\mathsf{C}}(A,B)$  a morphism  $Ff \in \operatorname{Hom}_{\mathsf{D}}(FA,FB)$ , in such a way that the following two conditions are satisfied for all objects A,B,C in C and all morphisms  $f \in \operatorname{Hom}_{\mathsf{C}}(A,B)$  and  $g \in \operatorname{Hom}_{\mathsf{C}}(B,C)$ :

$$F(\mathrm{id}_A) = \mathrm{id}_{FA}, \qquad F(g \circ f) = F(g) \circ F(f).$$

A functor  $F: C \to D$  is said to be *full* if, for all objects A and B in C, the induced map

$$F_{A,B}: \operatorname{Hom}_{\mathsf{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathsf{D}}(FA,FB), \quad f \mapsto Ff,$$

is surjective. The functor is called *faithful* if each  $F_{A,B}$  is injective, and *fully faithful* if each  $F_{A,B}$  is bijective.

A full embedding is a functor that is fully faithful and, in addition, injective on objects.

**Example 2.6.37.** Let C be a category. Then there exists an *identity functor*  $id_C : C \to C$ , which is defined on objects by  $id_C(A) = A$  for every object A in C, and analogously on morphisms, that is,  $id_C(f) = f$  for every morphism f in C.

**Example 2.6.38.** Consider the natural numbers  $\mathbb N$  as partial order category. There is a functor  $(-)+5:\mathbb N\to\mathbb N$  that maps each object  $m\in\mathbb N$  to m+5. This defines a functor because it preserves morphisms: if  $m\le m'$ , then  $m+5\le m'+5$ . Moreover, the identity morphisms are preserved, since  $(\mathrm{id}_m)+5=\mathrm{id}_{m+5}$ .

**Example 2.6.39.** Consider the set of real numbers  $\mathbb R$  and the set of integers  $\mathbb Z$ , each regarded as a partial order category. In this context, there exists a functor  $\operatorname{Floor}:\mathbb R\to\mathbb Z$  that assigns to each real number  $r\in\mathbb R$  the greatest integer less than or equal to r, denoted  $\lfloor r\rfloor$ . For instance,  $\lfloor 6.2 \rfloor = 6$  and  $\lfloor -1.66 \rfloor = -2$ .

Similarly, there exists a *ceiling functor*  $Ceil : \mathbb{R} \to \mathbb{Z}$  that maps each real number r to the least integer greater than or equal to r, denoted  $\lceil r \rceil$ .

**Definition 2.6.40.** Given categories C, D, and E, a *bifunctor*  $F : C \times D \to E$  is simply a functor from the product category  $C \times D$  to E. In particular, F is a rule that assigns:

- to every object  $A \in C$  and  $B \in D$ , an object  $F(A, B) \in E$ ;
- to every morphism  $f:A\to A'$  in C and  $g:B\to B'$  in D, a morphism  $F(f,g):F(A,B)\to F(A',B')\in \mathsf{E}.$

These assignments must satisfy the following two requirements:

• Respect for composition: For morphisms  $f:A\to A'$ ,  $f':A'\to A''$  in C and  $g:B\to B'$ ,  $g':B'\to B''$  in D, it should hold that

$$F(f' \circ f, g' \circ g) = F(f', g') \circ F(f, g),$$

where the  $\circ$  on the right-hand side is composition in E.

• Respect for identities: For all objects  $A \in C$  and  $B \in D$ , it should hold that

$$F(\mathrm{id}_A,\mathrm{id}_B)=\mathrm{id}_{F(A,B)},$$

where  $id_A$  and  $id_B$  are the identity morphisms in C and D, respectively, and  $id_{F(A,B)}$  is the identity morphism in E.

Many times, rather than writing the name of the bifunctor before the input, like F(A,B), we write the bifunctor as an operation between the inputs, for example,  $a \square b$ . If we use this notation, the condition

$$F(f' \circ f, g' \circ g) = F(f', g') \circ F(f, g)$$

becomes

$$(f' \circ f) \square (g' \circ g) = (f' \square g') \circ (f \square g).$$

#### 2.6.4 Natural Tranformations

A natural transformation is a morphism between functors. It provides a way of relating two functors that have the same domain and codomain. Intuitively, if we consider two functors  $F,G:\mathsf{C}\to\mathsf{D}$  as different ways of assigning images of the category  $\mathsf{C}$  into the category  $\mathsf{D}$ , then a natural transformation  $\eta:F\Rightarrow G$  is a coherent way of transforming the image of F into the image of G.

**Definition 2.6.41.** Let C and D be categories, and let  $F,G:C\to D$  be functors. A *natural* transformation  $\eta:F\Rightarrow G$  is a family of morphisms in D,

$$(\eta_A: FA \to GA)_{A \in Ob(C)},$$

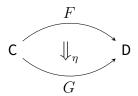
indexed by the objects of C, such that for every morphism  $f:A\to A'$  in C, the following diagram commutes.

$$FA \xrightarrow{\eta_A} GA$$

$$Ff \downarrow \qquad \qquad \downarrow Gf$$

$$FA' \xrightarrow{\eta_{A'}} GA' \times B'$$

Given a natural transformation  $\eta: F\Rightarrow G$ , the morphism  $\eta_A: F(A)\to G(A)$  in D is called the *component* of  $\eta$  at A. A natural transformation  $\eta: F\Rightarrow G$  is represented diagrammatically as



**Example 2.6.42.** For every functor  $F: C \to D$ , there exists a natural transformation

$$\iota_F: F \Rightarrow F$$

known as *identity natural transformation*, such that for each object  $A \in C$ , each component of  $\iota_F$  is the identity morphism:

$$(\iota_F)_A = \mathrm{id}_{F(A)} : F(A) \to F(A).$$

**Example 2.6.43.** The list functor

 $\operatorname{List}:\operatorname{Set}\to\operatorname{Set}$ 

assigns to each set S the set of all finite sequences (or lists) of its elements.

For instance, if  $S = \{a, b, c\}$ , then

$$List(S) = \{ \varepsilon, a, b, c, aa, ab, ac, ba, \dots, abc, cba, \dots \},\$$

where  $\varepsilon$  denotes the empty list.

Given a function  $f: S \to T$ , where  $T = \{1, 2\}$ , the functor maps it to  $\operatorname{List}(f): \operatorname{List}(S) \to \operatorname{List}(T)$ , which applies f to each element of a list. For example, if

$$f(a) = 2$$
,  $f(b) = 1$ ,  $f(c) = 2$ ,

then List(f)(aabccba) = 2212212.

There exists a natural transformation

Reverse : List  $\Rightarrow$  List,

whose component at a set S, Reverse<sub>S</sub>, maps each list to its reversal. For example:

 $Reverse_S(accbab) = babcca.$ 

**Definition 2.6.44.** A natural transformation  $\eta: F \Rightarrow G$  between functors  $F, G: \mathsf{C} \to \mathsf{D}$  is called a *natural isomorphism* if, for every object  $A \in \mathsf{C}$ ,  $\eta_A: F(A) \to G(A)$  is an isomorphism in D.

## 2.6.5 Equivalence of Categories

In category theory, the concept of isomorphism between categories can be quite strict - is is not often is arises in a non-trivial manner. So, we weaken the notion of isomorphism and come to the concept of an *equivalence of categories*.

If  $F: C \to D$  is an isomorphism of categories, then for every object  $B \in D$ , there exists a unique object  $A \in C$  such that F(A) = B. This expresses the idea that C and D are structurally identical.

An equivalence of categories relaxes this requirement. For every object  $B \in D$ , there exists an object  $A \in C$  such that F(A) is not necessarily equal to B, but is isomorphic to B.

**Definition 2.6.45.** Categories C and D are said to be *equivalent* if there exist functors  $F: C \to D$  and  $G: D \to C$  such that  $G \circ F \cong \mathrm{id}_{C}$  and  $F \circ G \cong \mathrm{id}_{D}$ . The functors F and G are called *quasi-inverses*, and we write  $C \simeq D$ . This means that for every  $A \in C$ , there is a  $B \in D$  with  $G(B) \cong A$ , and for every  $B \in D$ , there is an  $A \in C$  with  $F(A) \cong B$ .

**Example 2.6.46.** One of the simplest examples of an equivalence of categories is the relationship between the one-object category  $\mathbf{1}$  and the category  $\mathbf{2}_I$ , which has two objects and a single isomorphism between them. We can visualize this as:

$$*$$
  $\simeq$   $a \xrightarrow{\cong} b$ 

More precisely, there is a unique functor  $!: \mathbf{2}_I \to \mathbf{1}$ , and a functor  $L: \mathbf{1} \to \mathbf{2}_I$  defined by L(\*) = a. Clearly, the composition  $! \circ L$  is equal to  $\mathrm{id}_{\mathbf{1}}$ , and  $L \circ ! \cong \mathrm{id}_{\mathbf{2}_I}$ , since both objects a and b in  $\mathbf{2}_I$  are isomorphic. Thus,  $\mathbf{1} \simeq \mathbf{2}_I$ .

## 2.6.6 Adjoints

If we further weaken the notion of an equivalence of categories, we we arrive at the concept of an *adjunction*. A central idea in category theory is that *the weaker the assumptions we impose, the more mathematical phenomena we can capture*. This principle explains why adjunctions are among category theory's most important structures.

**Definition 2.6.47.** An adjunction between categories C and D is given by a quadruple  $(L, R, \eta, \epsilon)$ , where  $L: C \to D$  and  $R: D \to C$  are functors, and  $\eta: \mathrm{id}_C \Rightarrow RL$  and  $\epsilon: LR \Rightarrow \mathrm{id}_D$  are natural transformations such that

$$(\eta R) \circ (R\epsilon) = \mathrm{id}_R$$
 and  $(L\eta) \circ (\epsilon L) = \mathrm{id}_L$ .

One says that R is right adjoint to L, or that L is left adjoint to R, and one calls  $\eta$  the *unit* and  $\epsilon$  the *counit* of the adjunction. Such an adjunction is denoted by  $L\dashv R$ , where the turn of the symbol  $\dashv$  always points to the left adjoint.

An adjunction between categories can be characterized in multiple equivalent ways. It follows one of the alternative formulations.

Given categories C and D, a pair of functors  $L: C \to D$  and  $R: D \to C$  form an *adjunction*  $L \dashv R$  if there exists a natural isomorphism:

$$\operatorname{Hom}_{\mathsf{D}}(L(A), B) \xrightarrow{\Phi_{A,B}} \operatorname{Hom}_{\mathsf{C}}(A, R(B)).$$

**Example 2.6.48.** Consider the set of real numbers  $\mathbb R$  and the set of integers  $\mathbb Z$ , each viewed as partial order categories. There is an inclusion functor  $\operatorname{inc}:\mathbb Z\hookrightarrow\mathbb R$  which simply maps each integer to itself. This inclusion has a left adjoint  $L:\mathbb R\to\mathbb Z$ .

To determine this left adjoint L, we use the definition of an adjunction: for all  $N \in \mathbb{Z}$  and  $R \in \mathbb{R}$ , we have a natural isomorphism:

$$\operatorname{Hom}_{\mathbb{Z}}(L(R), N) \cong \operatorname{Hom}_{\mathbb{R}}(R, \operatorname{inc}(N)).$$

Since both  $\mathbb Z$  and  $\mathbb R$  are partial orders, the hom-sets contain at most one morphism. Hence, this isomorphism reduces to the logical equivalence:

$$L(R) \leq N$$
 if and only if  $R \leq \operatorname{inc}(N) = N$ .

Take R=7.27 as an example. Then the inequality  $R\leq N$  holds precisely when N is an integer greater than or equal to 7.27. That is:

$$7.27 \nleq 5$$
,  $7.27 \nleq 6$ ,  $7.27 \nleq 7$ ,  $7.27 \leq 8$ ,  $7.27 \leq 9$ , ...

By the condition above, we must then have:

$$L(7.27) \le 5$$
,  $L(7.27) \le 6$ ,  $L(7.27) \le 7$ ,  $L(7.27) \le 8$ ,  $L(7.27) \le 9$ , ...

From this, we conclude that L(7.27) = 8. In general, L(R) is the least integer greater than or equal to R, *i.e.*, the ceiling function:

$$L(r) = \lceil r \rceil$$
.

Thus, the inclusion functor  $\operatorname{inc}$  has  $\lceil \ \rceil$  as a left adjoint, *i.e.*,  $\lceil \ \rceil \dashv \operatorname{inc}$ . The unit of this adjunction is the natural transformation  $\eta:\operatorname{id}_{\mathbb R}\Rightarrow\operatorname{inc}\circ\lceil\ \rceil$ , which expresses the inequality  $r\leq\lceil r\rceil$  for all  $r\in\mathbb R$ . The counit of the adjunction is the identity, since for any integer n, it holds that  $\lceil N\rceil=N$ .

**Definition 2.6.49.** Let  $F: C \to D$  and  $G: D \to E$  be functors. It is said that G preserves coproducts if whenever L is a coproduct of F, then G(L) is a coproduct of  $G \circ F$ .

**Theorem 2.6.50.** *Left adjoints preserve coproducts.* 

## 2.6.7 Monoidal categories

**Definition 2.6.51.** A **monoid** is a triple  $(M, \cdot, u)$ , where M is a set equipped with a binary operation  $\cdot : M \times M \to M$  and a distinguished element  $u \in M$  called the *unit*, satisfying the following axioms for all  $x, y, z \in M$ :

(Associativity) 
$$x\cdot (y\cdot z) = (x\cdot y)\cdot z,$$
 (Unit laws) 
$$u\cdot x = x = x\cdot u.$$

Monoidal categories are named so because they are categories equipped with an additional structure that resembles the structure of monoids.

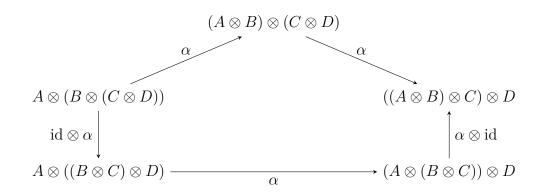
**Definition 2.6.52.** A symmetric monoidal category consists of a category C equipped with a bifunctor  $\otimes: C \times C \to C$  called *tensor product* and a distinguished object  $I \in C$ , called *unit* together with natural isomorphisms

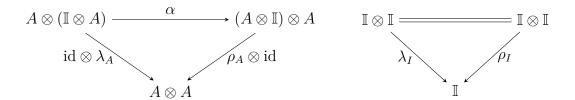
$$\alpha_{A,B,C}: A \otimes (B \otimes C) \to (A \otimes B) \otimes C,$$

$$\lambda_A: \mathbb{I}\otimes A\to A, \quad \rho_A: A\otimes \mathbb{I}\to A,$$

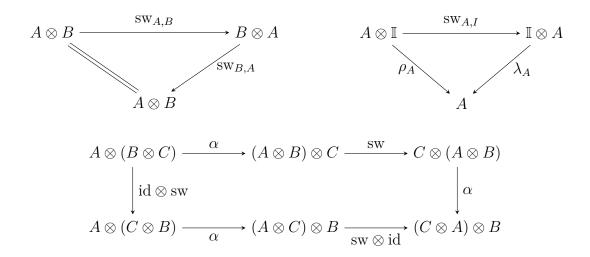
known as reassociator, left unitor, and right unitor, respectively.

Moreover, these natural isomorphisms are required to make the following coherence diagrams commute.





**Definition 2.6.53.** A monoidal category is said to be *symmetric* when it is equipped with a natural isomorphism  $sw:A\otimes B\to B\otimes A$  known as *braiding* such that the following diagrams commute.



**Definition 2.6.54.** A monoidal category C is said to be *closed* if for each object A in C the functor  $-\otimes A$  has a right adjoint, denoted by  $A\multimap -$ .

**Definition 2.6.55.** A monoidal category C with coproducts is called *distributive* if for every object A in C the functor  $-\otimes A$  preserves coproducts. Explicitly this means that the morphism,

$$[\operatorname{inl} \otimes \operatorname{id}, \operatorname{inr} \otimes \operatorname{id}] : B \otimes A \oplus C \otimes A \to (B \oplus C) \otimes A$$

is actually an isomorphism. We will denote the respective inverse by  ${
m dist.}$  Note that if C is monoidal closed then it is automatically distributive as left adjoints preserve all colimits.

**Example 2.6.56.** Examples of monoidal closed categories with coproducts include Set, the CVect, and the Ban. In Set, the tensor product is the cartesian product, the monoidal unit is the singleton set, the coproduct is the disjoint union, and the internal hom consists of all functions between sets. For CVect, the tensor product is the standard tensor product of complex vector spaces, the field of complex number  $\mathbb{C}$ , the coproduct is the direct sum, and the

internal hom is the space of linear maps. Similarly, in Ban the tensor product is the projective tensor product, the monoidal unit is  $\mathbb{R}$ , the coproduct is the direct sum equipped with the  $L_1$ -norm, and the internal hom consists of all functions between sets corresponds to space of short (linear) maps equiped with the operator norm.

**Example 2.6.57.** The (biproduct completion of ) category CPS and, consequently, (the coproduct completion of) CPTP provide examples of distributive monoidal categories with coproducts that are not closed [51]. Their monoidal structure resembles that of CVect. In this case, dist = id. We will explore this category in more detail in chapter 4.

**Theorem 2.6.58** (Coherence Theorem for Symmetric Monoidal Categories). Any diagram in a symmetric monoidal category constructed only from associators  $\alpha$ , unitors  $\lambda$ ,  $\rho$ , the symmetry sw, and inverses and their composition and tensor product necessarily commutes.

Esclarecer questão sobre o que é um terminal map to the unit object (of the monoidal category)

Colocar um frase bonitinha sobre como isto acaba por ser tb um resumo do que eu aprendi e portanto são apresentados os conceitos de raiz

# **Chapter 3**

## **Metric Lambda Calculus**

Ver questão da interpretação -> que categorias usar, maybe não vamos ver a parte metrica misturada com a interpretação, porque as provas dão trabalho q quero ter não. Cenas simples ver a interpretação nessas cats dos programas exemplo da sintaxe

#### Mudar a descrição co capítulo

The Lambda Calculus, developed by Church and Curry in the 1930s, serves as a formal language capturing the key attribute of higher-order functional languages, treating functions as first-class citizens, allowing them to be passed as arguments [52]. Moreover, lambda calculus has been proven to be universal in the sense that any computable function can be represented as an expression within the language [53]. Beyond its foundational aspects, this calculus incorporates extensions for modeling side effects, including probabilistic or non-deterministic behaviors and shared memory. Higher-order functions form a pivotal abstraction in practical programming languages such as LISP, Scheme, ML, and Haskell.

This chapter introduces the metric lambda calculus as presented in [24]. The metric lambda calculus integrates notions of approximation into the equational system of affine lambda calculus, a variant of lambda calculus that restricts each variable to being used at most once. The metric lambda calculus incorporates a metric equational system, enabling reasoning about approximate program equivalence. This chapter offers a brief insight into lambda calculus and an overview of the syntax, metric equational system and interpretation of the metric lambda calculus. We then illustrate the use of the language for describing quantum and probabilistic programs. For a more detailed study of lambda calculus theory, the reader is referred to [52].

## 3.1 The Lambda Calculus

The concept of a function takes a central role in the lambda calculus. But what exactly is a function? In most mathematics, the "functions as graphs" paradigm the "functions as graphs" paradigm is the most elegant and appropriate framework for understanding functions. Within this paradigm, each function f has a fixed domain X and a fixed codomain Y. The function f is then a subset of  $X \times Y$  that satisfies the property that for each  $x \in X$  there is a unique  $y \in Y$  such that  $(x,y) \in f$ . Two functions f and g are equal if they yield the same output on each input, that is if f(x) = g(x) for all  $x \in X$ . This perspective is known as the extensional view of functions, as it emphasizes that the only observable property of a function is how it maps inputs to outputs.

On the other hand, the "functions as rules" paradigm is more appropriate within computer science. In this context, defining a function involves specifying a rule or procedure for computing the function. Such a rule is often expressed in the form of a formula, for example,  $f(x)=x^2$ . As with the mathematical paradigm, two functions are considered extensionally equal if they exhibit the same input-output behavior. However, this view also introduces the notion of intensional equality: two functions are intensionally equal if they are defined by (essentially) the same formula.

In the lambda calculus, functions are described explicitly as formulae. The function  $f:x\mapsto f(x)$  is represented as  $\lambda x. f(x)$ . Applying a function to an argument is done by juxtaposing the two expressions. For instance consider the function  $f:x\mapsto x+1$ , to compute f(2) one writes  $(\lambda x.x+1)(2)$ .

The expression of higher-order functions - functions whose inputs and/or outputs are themselves functions- in a simple manner is an essential feature of lambda calculus. For example, the composition operator  $f,g\mapsto f\circ g$  is written as  $\lambda f.\lambda g.\lambda x.f(g(x))$ . Considering the functions  $f:x\mapsto x^2$  and  $g:x\mapsto x+1$ , to compute  $(f\circ g)(2)$  one writes

$$(\lambda f.\lambda g.\lambda x. f(g(x)))(\lambda x. x^2)(\lambda x. x + 1)(2).$$

As mentioned above, within the "functions as rules" paradigm, is not always necessary to specify the domain and codomain of a function in advance. For instance, the identity function  $f: x \mapsto x$ , can have any set X as its domain and codomain, provided that the domain and codomain are the same. In this case, one says that f has type  $X \to X$ . In the case of the composition operator,  $h = \lambda f.\lambda g.\lambda x. f(g(x))$ , the domain and codomain of the func-

tions f and g must match. Specifically, f can have any set X as its domain and any set Y as its codomain, provided that Y is the domain of g. Similarly, g can have any set Z as its codomain. Thus, h has type

$$(X \to Y) \to (Y \to Z) \to (X \to Z).$$

This flexibility regarding domains and codomains enables operations on functions that are not possible in ordinary mathematics. For instance, if  $f=\lambda x.x$  is the identity function, then one has that f(x)=x for any x. In particular, by substituting f for x, one obtains  $f(f)=(\lambda x.x)(f)=f$ . Note that the equation f(f)=f is not valid in conventional mathematics, as it is not permissible, due to set-theoretic constraints, for a function to belong to its own domain.

Nevertheless, this remarkable aspect of lambda calculus, this work focuses on a more restricted version of the lambda calculus, known as the simply-typed lambda calculus. Here, each expression is always assigned a type, which is very similar to the situation in mathematics. A function may only be applied to an argument if the argument's type aligns with the function's expected domain. Consequently, terms such as f(f) are not allowed, even if f represents the identity function.

# 3.2 Syntax

The grammar and term formation rules of the affine lambda calculus, discussed in [24], are presented in this subsection.

### 3.2.1 Type system

As previously mentioned, this work focuses on the simply-typed lambda calculus, this work focuses on the simply-typed lambda calculus, where each lambda term is assigned a *type*. Unlike sets, types are *syntactic* objects, meaning they can be discussed independently of their elements. One can conceptualize types as names or labels for set. The definition of the grammar of types for affine lambda calculus is as follows, where G represents a set of ground types, is given by the following **Backus-Naur Form** (BNF) [54].

$$\mathbb{A} ::= X \in G \mid \mathbb{I} \mid \mathbb{A} \otimes \mathbb{A} \mid \mathbb{A} \multimap \mathbb{A} \tag{3.1}$$

Note that this is an inductive definition. Ground types are things such as booleans, integers, and so forth. The type  $\mathbb{I}$  is the unit/empty type, which has only one element. The type  $\mathbb{A} \otimes \mathbb{A}$  correponds to the tensor of two types, while the type  $\mathbb{A} \multimap \mathbb{B}$  is the type of linear maps one type to another.

### **3.2.2** (Raw)Terms

The expressions of the lambda calculus are called lambda terms. In the simply-typed lambda calculus, each lambda term is assigned a type. The terms without the specification of a type are called *raw typed lambda terms*. The grammar of *raw typed lambda terms* is given by the **BNF** below.

$$v, v_1, \dots, v_n, w ::= x \mid f(v_1, \dots, v_n) \mid * \mid (\lambda x : \mathbb{A}.v) \mid (vw) \mid v \otimes w \mid$$

$$\mathsf{pm} \ v \ \mathsf{to} \ x \otimes y.w \mid v \ \mathsf{to} \ *.w \mid \mathsf{dis}(v)$$

Here x ranges over an infinite set of variables.  $f \in \Sigma$ , where  $\Sigma$  corresponds to a class of sorted operation symbols, and  $f(v_1,\ldots,v_n)$  corresponds to the aplication of the function f to the arguments  $v_1,\ldots,v_n$ . The symbol \* is the unit element of the type  $\mathbb{I}$ . The term  $(\lambda x:\mathbb{A}.v)$  is the lambda abstraction term, which represents a function that takes an argument of type  $\mathbb{A}$  and returns the value of v. The term (vw) is the application term, which applies the function v to the argument w. The term  $v \otimes w$  is the tensor product of v and v. The term v to v is the pattern-matching construct, which is used to deconstruct a tensor product into components v and v. The term v to v is used to discard a variable v of the unit type. The term v is the discard term, which is used to discard a term v.

Ver o que por antes do ::= porque v1,..., vn tb são termos

#### 3.2.3 Free and Bound Variables

An occurrence of a variable x within a term of the form  $\lambda x.v$  is referred to as *bound*. Similarly, the variables x and y in the term pm v to  $x \otimes y.w$  are also bound. A variable occurrence that is not bound is said to be *free*. For example, in the term  $\lambda x.xy$ , the variable y is free, whereas the variable x is bound.

The set of free variables of a term v is denoted by FV(v), and is defined inductively as follows:

$$FV(x) = \{x\}, \qquad FV(*) = \emptyset,$$
 
$$FV(f(v_1, \dots, v_n)), = FV(v_1) \cup \dots \cup FV(v_n) \qquad FV(\lambda x : \mathbb{A}.v) = FV(v) \setminus \{x\},$$
 
$$FV(vw) = FV(v) \cup FV(w), \qquad FV(v \otimes w) = FV(v) \cup FV(w),$$
 
$$FV(\mathsf{pm}\ v\ \mathsf{to}\ x \otimes y.w), = FV(v) \cup (FV(w) \setminus \{x,y\}) \qquad FV(\mathsf{dis}(v)) = FV(v),$$
 
$$FV(v\ \mathsf{to}\ *.w) = FV(v) \cup FV(w).$$

#### 3.2.4 Term formation rules

To prevent the formation of nonsensical terms within the context of lambda calculus, such as  $(v \otimes w)(u)$ , the *typing rules* are imposed.

A typed term is a pair consisting of a term and its corresponding type. The notation  $v:\mathbb{A}$  denotes that the term v has type  $\mathbb{A}$ . Typing rules are formulated using typing judgments. A typing judgment is an expression of the form  $x_1:\mathbb{A}_1,\dots,x_n:\mathbb{A}_n \rhd v:\mathbb{A}$  (where  $n\geq 1$ ), which asserts that the term v is a well-typed term of type  $\mathbb{A}$  under the assumption that each variable variable  $x_i$  has type  $\mathbb{A}_i$ , for  $1\leq i\leq n$ . The list  $x_1:\mathbb{A}_1,\dots,x_n:\mathbb{A}_n$  of typed variables is called the typing context of the judgment, and it might be empty. Each variable  $x_i$  (where  $1\leq i\leq n$ ) must occur at most once in  $x_1,\dots,x_n$ . The typing contexts are denoted by Greek letters  $\Gamma, \Delta, E$ , and from now on, when referring to an abstract judgment, the notation  $\Gamma \rhd v:\mathbb{A}$  will be employed. The empty context is denoted by -. Note that in the affine lambda calculus, different contexts do not share variables. For example, if  $\Gamma=x:\mathbb{A},y:\mathbb{B}$  none of these variables can appear in any other context.

The concept of *shuffling* is employed to construct a linear typing system that ensures the admissibility of the exchange rule and enables unambiguous reference to judgment's denotation  $\llbracket\Gamma \rhd v : \mathbb{A}\rrbracket$ . An admissible rule is not explicitly included in the formal definition of type theory, but its validity can be proven by demonstrating that whenever the premises can be derived, it is possible to construct a derivation of its conclusion. Shuffling is defined as a permutation of typed variables in a sequence of contexts,  $\Gamma_1, \ldots, \Gamma_n$ , preserving the relative order of variables within each  $\Gamma_i$  [55]. For instance, if  $\Gamma_1 = x : \mathbb{A}, y : \mathbb{B}$  and  $\Gamma_2 = z : \mathbb{D}$ , then  $z : \mathbb{D}, x : \mathbb{A}, y : \mathbb{B}$  is a valid shuffle of  $\Gamma_1, \Gamma_2$ . On the other hand,  $y : \mathbb{B}, x : \mathbb{A}, z : \mathbb{D}$  is not a shuffle because it alters the occurrence order of x and y in  $\Gamma_1$ . The set of shuffles in  $\Gamma_1, \ldots, \Gamma_n$  is denoted as  $\mathsf{Sf}(\Gamma_1, \ldots, \Gamma_n)$ . A valid typing derivation is constructed using the

inductive rules shown in Figure 2.

$$\frac{\Gamma_{i} \triangleright v_{i} : \mathbb{A}_{i} \quad f : \mathbb{A}_{1}, \dots, \mathbb{A}_{n} \to \mathbb{A} \in \Sigma \quad E \in \mathsf{Sf}(\Gamma_{1}; \dots; \Gamma_{n})}{E \triangleright f(v_{1}, \dots, v_{n}) : \mathbb{A}} \quad (\mathsf{hyp})$$

$$\frac{E \triangleright f(v_{1}, \dots, v_{n}) : \mathbb{A}}{E \triangleright \mathsf{pm} \, v \, \mathsf{to} \, x \otimes y . w : \mathbb{D}} \quad E \in \mathsf{Sf}(\Gamma; \Delta) \quad (\otimes_{e}) \quad \frac{\Gamma \triangleright v : \mathbb{A}}{\Gamma \triangleright \mathsf{dis}(v) : \mathbb{I}} \quad (\mathsf{dis})$$

$$\frac{\Gamma \triangleright v : \mathbb{A} \quad \Delta \triangleright w : \mathbb{B} \quad E \in \mathsf{Sf}(\Gamma; \Delta)}{E \triangleright v \otimes w : \mathbb{A} \otimes \mathbb{B}} \quad (\otimes_{i}) \quad \frac{\Gamma \triangleright v : \mathbb{I} \quad \Delta \triangleright w : \mathbb{A} \quad E \in \mathsf{Sf}(\Gamma; \Delta)}{E \triangleright v \, \mathsf{to} \, * . w : \mathbb{A}} \quad (\mathbb{I}_{e})$$

$$\frac{\Gamma, x : \mathbb{A} \triangleright v : \mathbb{B}}{\Gamma \triangleright \lambda x : \mathbb{A} . v : \mathbb{A} \longrightarrow \mathbb{B}} \quad (-\circ_{i}) \quad \frac{\Gamma \triangleright v : \mathbb{A} \longrightarrow \mathbb{B} \quad \Delta \triangleright w : \mathbb{A} \quad E \in \mathsf{Sf}(\Gamma; \Delta)}{E \triangleright v w : \mathbb{B}} \quad (-\circ_{e})$$

Figure 2: Term formation rules of affine lambda calculus.

The rule (ax) states that if there is a function  $f \in \Sigma$  that has type  $\mathbb{A}_1, \dots, \mathbb{A}_n \to \mathbb{A}$  and a set of variables  $v_1, \dots, v_n$  whose types match the type of the arguments of f, then if that function is applied to  $v_1, \dots, v_n$  the respective result is of type  $\mathbb{A}$ . The rule (hyp) is a tautology: under the assumption that x has type  $\mathbb{A}$ , x has type  $\mathbb{A}$ . The rule ( $\mathbb{I}_i$ ) asserts that the unit element  $\mathbb{A}_i$  always has type  $\mathbb{I}$ . The rule ( $-\mathbb{I}_i$ ) expresses that if v is a term of type  $\mathbb{B}$  with a variable x of type  $\mathbb{A}$ , then  $\mathbb{A}_i$  is a function of type  $\mathbb{A}_i$  of  $\mathbb{B}_i$ . The rule ( $-\mathbb{I}_i$ ) states that a function of type  $\mathbb{A}_i$  of type  $\mathbb{A}_i$  can be applied to an argument of type  $\mathbb{A}_i$  to produce a result of type  $\mathbb{B}_i$ . The rule ( $\mathbb{A}_i$ ) asserts that if there is a term v of type  $\mathbb{A}_i$  and a term v of type  $\mathbb{B}_i$ , then the tensor of these terms is of type  $\mathbb{A}_i$  and  $\mathbb{B}_i$ , respectively, and a term v of type  $\mathbb{A}_i$  and a term v of type  $\mathbb{A}_i$  and a term v can be deconstructed into v of type  $\mathbb{A}_i$  and only the term v remains. Finally, the rule (dis) asserts that a term v of type  $\mathbb{A}_i$  can be discarded, resulting in a term of type  $\mathbb{I}_i$ .

For a better understanding of the rules, a few straightforward programming examples are provided.

**Example 3.2.1.** For instance, the program that swaps the elements of a tensor product can be written as follows:

$$x : \mathbb{A}, y : \mathbb{B} \triangleright \mathsf{pm} \ x \otimes y \ \mathsf{to} \ a \otimes b.b \otimes a : \mathbb{B} \otimes \mathbb{A}$$

Now, to prove that this program is well-typed one can write the following typing derivation:

Observe that in the notation of the third column, the numbers correspond to the premises utilized in the application of the rule.

**Example 3.2.2.** Another example is the function that recieves a tensor product and returns first element and discards the second:

$$-\triangleright \lambda x: \mathbb{A}\otimes \mathbb{B}.\mathsf{pm}\; x \;\mathsf{to}\; a\otimes b.\,\mathsf{dis}(b) \;\;\mathsf{to}\; *.a: \mathbb{A}$$

To prove that this program is well-typed one can write the following typing derivation:

## 3.2.5 $\alpha$ -equivalence

A natural notion of equivalence definition stems from the fact that terms that differ only in the names of their bound variables represent the same program. For instance, the functions  $\lambda x: \mathbb{A}.x$  and  $\lambda y: \mathbb{A}.y$  have the same input-output behavior, despite being represented by different lambda terms. This equivalence is called  $\alpha$ -equivalence.

**Definition 3.2.3.** The  $\alpha$ -equivalence is an equivalence relation on lambda terms that is used to rename bound variables. To rename a variable x as y in a term v, denoted by  $v\{y/x\}$ , is to

replace all occurrences of x in v by y. Two terms v and w are  $\alpha$ -equivalent, written  $=_{\alpha}$ , if one can be derived from the other by a series of changes of bound variables

**Convention 3.2.4.** Terms are considered up to  $\alpha$ -equivalence from now on.

#### 3.2.6 Substitution

The substitution of a variable x for a term w in a term v is denoted by v[w/x]. It is only permitted to replace free variables. For instance,  $\lambda x.x[v/x]$  is  $\lambda x.x$  and not  $\lambda x.v$ . Moreover, it is necessary to avoid the unintended binding of free variables. For example,

$$(\mathsf{pm}\ x \otimes y \ \mathsf{to}\ a \otimes b.b \otimes a \otimes z) \, [z/\mathsf{pm}\ c \otimes d \ \mathsf{to}\ e \otimes f.f \otimes e \otimes a]$$

is not the same as

$$\mathsf{pm}\ x \otimes y \ \mathsf{to}\ a \otimes b.b \otimes a \otimes (\mathsf{pm}\ c \otimes d \ \mathsf{to}\ e \otimes f.f \otimes e \otimes a).$$

Instead, the bounded variable a must be renamed before the substitution, and in this case, the proper substitution is

$$(\mathsf{pm}\ x \otimes y \ \mathsf{to}\ t \otimes b.b \otimes t \otimes z) [z/\mathsf{pm}\ c \otimes d \ \mathsf{to}\ e \otimes f.f \otimes e \otimes a]$$

which is equal to

$$\mathsf{pm}\ x \otimes y \ \mathsf{to}\ t \otimes b.b \otimes t \otimes (\mathsf{pm}\ c \otimes d \ \mathsf{to}\ e \otimes f.f \otimes e \otimes a).$$

Note that a simple way of ensuring these restrictions are satisfied is not allowing the variable x to occur in the context of w in v[w/x]. Since x is in the context of v, this is always the case in the affine lambda calculus.

**Definition 3.2.5.** Given the typings judgments  $\Gamma, x : \mathbb{A} \triangleright v : \mathbb{B}$  and  $\Delta \triangleright w : \mathbb{A}$ , the substitution

 $\Gamma, \Delta \triangleright v[w/x] : \mathbb{B}$  is defined below. The types of judgments are omitted as no ambiguity arises.

$$\begin{split} \Gamma, \Delta \rhd y[w/x] &= \Gamma, \Delta \rhd y, \\ \Delta \rhd *[w/x] &= \Delta \rhd *, \\ \Gamma, \Delta \rhd (\lambda y : \mathbb{B}.v)[w/x] &= \Gamma, \Delta \rhd \lambda y : \mathbb{B}.v[w/x], \\ (\mathsf{dis}(v))[w/x] &= \mathsf{dis}(v[w/x]), \end{split}$$

In the next three cases,  $\Gamma, x : \mathbb{A} \in \mathsf{Sf}(\Gamma_1, \dots, \Gamma_i, \dots, \Gamma_n)$  and  $\Gamma_i \triangleright v_i$ 

$$\Gamma, \Delta \triangleright (f(v_1, \dots, v_n))[w/x] = \Gamma, \Delta \triangleright f(v_1[w/x], \dots, v_n), \qquad (\text{if } x : A \in \Gamma_1)$$

$$\Gamma, \Delta \triangleright (f(v_1, \dots, v_i, \dots, v_n))[w/x] = \Gamma, \Delta \triangleright f(v_1, \dots, v_i[w/x], \dots, v_n), \quad (\text{if } x : A \in T_i)$$

$$\Gamma, \Delta \triangleright (f(v_1, \dots, v_n))[w/x] = \Gamma, \Delta \triangleright f(v_1, \dots, v_n[w/x]), \qquad (\text{if } x : A \in \Gamma_n)$$

In the next two cases,  $\Gamma, x : \mathbb{A} \in \mathsf{Sf}(\Gamma_1, \Gamma_2), \Gamma_1 \triangleright v$ , and  $\Gamma_2 \triangleright u$ 

$$\Gamma, \Delta \triangleright (vu)[w/x] = \Gamma, \Delta \triangleright (v[w/x]u), \qquad (\text{if } x : A \in \Gamma_1)$$

$$\Gamma, \Delta \triangleright (vu)[w/x] = \Gamma, \Delta \triangleright (vu[w/x]), \qquad \qquad (\text{ if } x : \mathbb{A} \in \Gamma_2)$$

In the next two cases,  $\Gamma, x : \mathbb{A} \in \mathsf{Sf}(\Gamma_1, \Gamma_2), \Gamma_1 \triangleright v$ , and  $\Gamma_2 \triangleright u$ 

$$\Gamma, \Delta \triangleright (v \otimes u)[w/x] = \Gamma, \Delta \triangleright v[w/x] \otimes u, \qquad (if x : A \in \Gamma_1)$$

$$\Gamma, \Delta \triangleright (v \otimes u)[w/x] = \Gamma, \Delta \triangleright v \otimes u[w/x], \qquad (\text{if } x : \mathbb{A} \in \Gamma_2)$$

In the next two cases,  $\Gamma, x: \mathbb{A} \in \mathsf{Sf}(\Gamma_1, \Gamma_2), \Gamma_1 \triangleright v$ , and  $\Gamma_2, y: \mathbb{D}, z: \mathbb{E} \triangleright u$ 

$$\Gamma, \Delta \triangleright (\mathsf{pm} \ v \ \mathsf{to} \ y \otimes z.u)[w/x] = \Gamma, \Delta \triangleright \mathsf{pm} \ v[w/x] \ \mathsf{to} \ y \otimes z.u,$$
 (if  $x : A \in \Gamma_1$ )

$$\Gamma, \Delta \triangleright (\mathsf{pm}\ v\ \mathsf{to}\ y \otimes z.u)[w/x] = \Gamma, \Delta \triangleright \mathsf{pm}\ v\ \mathsf{to}\ y \otimes z.u[w/x],$$
 (if  $x : \mathbb{A} \in \Gamma_2$ )

In the next two cases,  $\Gamma, x : \mathbb{A} \in \mathsf{Sf}(\Gamma_1, \Gamma_2), \Gamma_1 \triangleright v$ , and  $\Gamma_2 \triangleright u$ 

$$\Gamma, \Delta \triangleright (v \text{ to } *.u)[w/x] = \Gamma, \Delta \triangleright v[w/x] \text{ to } *.u$$
 (if  $x : \mathbb{A} \in \Gamma_1$ ),

$$\Gamma, \Delta \triangleright (v \text{ to } *.u)[w/x] = \Gamma, \Delta \triangleright v \text{ to } *.u[w/x]$$
 (if  $x : \mathbb{A} \in \Gamma_2$ ).

The sequential substitutions  $M[M_i/x_i] \dots [M_n/x_n]$  are writen as  $M[M_i/x_i, \dots, M_n/x_n]$ .

## 3.2.7 Properties

The calculus defined in Figure 2 possesses several desirable properties, which are listed below. Before proceeding, it is necessary to introduce some auxiliary notation. Given a context  $\Gamma$ ,  $te(\Gamma)$  denotes context  $\Gamma$  with all types erased. The expression  $\Gamma \simeq_{\pi} \Gamma'$  denotes that the contexts  $\Gamma$  is a permutation of context  $\Gamma'$ . This notation also applies to non-repetitive lists of

untyped variables  $te(\Gamma)$ . Additionally, a judgment  $\Gamma \triangleright v : \mathbb{A}$  will often be abbreviated into  $\Gamma \triangleright v$  or even just v when no ambiguities arise.

**Theorem 3.2.6.** ([56]) The calculus defined by the rules of Figure 2 enjoys the following properties:

- 1. for all judgements  $\Gamma \triangleright v$  and  $\Gamma' \triangleright v$ ,  $\mathsf{te}(\Gamma) \simeq_{\pi} \mathsf{te}(\Gamma')$ ;
- 2. additionally if  $\Gamma \triangleright v : \mathbb{A}, \Gamma' \triangleright v : \mathbb{A}'$ , and  $\Gamma \simeq_{\pi} \Gamma'$ , then  $\mathbb{A}$  must be equal to  $\mathbb{A}'$ ;
- 3. all judgements  $\Gamma \triangleright v : \mathbb{A}$  have a unique derivation.
- 4. (exchange) For every judgement  $\Gamma, x: \mathbb{A}, y: \mathbb{B}, \Delta \triangleright v: \mathbb{D}$  it is possible to derive  $\Gamma, y: \mathbb{B}, x: \mathbb{A}, \Delta \triangleright v: \mathbb{D}$ .
- 5. (substitution) For all judgements  $\Gamma, x: \mathbb{A} \triangleright v: \mathbb{B}$  and  $\Delta \triangleright w: \mathbb{A}$  it is possible to derive  $\Gamma, \Delta \triangleright v[w/x]: \mathbb{B}$ .

## 3.2.8 Equations-in-context

The simply typed lambda calculus is a formal language that captures operations like the application of a function to an argument and the elimination of variables. To express these operations there is a set of rules known as reduction rules. These rules fall into two primary categories: the  $\beta$ -reductions, which perform operations and enforce the implicit meaning of the term, and  $\eta$ -reductions, which simplify terms by exploiting the extensionality of functions. There is also a secondary class of reductions known as *commuting conversions*, which serve to disambiguate terms that, while equivalent, have different representations. As a result, affine  $\lambda$ -calculus comes equipped with the so-called equations-in-context  $\Gamma \triangleright v = w : \mathbb{A}$ , depicted in Figure 3.

```
(\beta)
                  \Gamma, \Delta \triangleright (\lambda x : \mathbb{A}. v) w = v[w/x] : \mathbb{B}
                                                                                                                (\eta)
                                                                                                                                        \Gamma \triangleright \lambda x : \mathbb{A}.(vx) = v : \mathbb{A} \multimap \mathbb{B}
(\beta_{\mathbb{L}_a})
                                                                                                                (\eta_{\mathbb{T}_a}) \Delta, \Gamma \triangleright v \text{ to } *. w[*/z] = w[v/z] : \mathbb{A}
                                 \Gamma \triangleright * \mathsf{to} \, * . \, v = v : \mathbb{A}
(\beta_{\otimes_e})
                                                     E, \Gamma, \Delta \triangleright \mathsf{pm} \ v \otimes w \ \mathsf{to} \ x \otimes y. \ u = u[v/x, w/y] : \mathbb{A}
                                                             \Delta, \Gamma \triangleright \mathsf{pm} \ v \ \mathsf{to} \ x \otimes y. \ u[x \otimes y/z] = u[v/z] : \mathbb{A}
(\eta_{\otimes_e})
(c_{\mathbb{I}_e})
                                                             \Delta, \Gamma, E \triangleright u[v \text{ to } *.w/z] = v \text{ to } *.u[w/z] : \mathbb{A}
(c_{\otimes_e})
                                           \Delta, \Gamma, E \triangleright u[\mathsf{pm}\ v \ \mathsf{to}\ x \otimes y.\ w/z] = \mathsf{pm}\ v \ \mathsf{to}\ x \otimes y.\ u[w/z] : \mathbb{A}
                               x_1:\mathbb{A}_1,\ldots,x_n:\mathbb{A}_n \triangleright v = \mathsf{dis}(x_1) \ \mathsf{to} \ *\ldots. \ \mathsf{dis}(x_{n-1}) \ \mathsf{to} \ * \ \mathsf{dis}(x_n):\mathbb{I}
(\eta_{\sf dis})
```

Figure 3: Equations-in-context for affine lambda calculus

It is evident that, for example, equation  $(\beta)$  enforces the meaning of  $(\lambda x: \mathbb{A}. v)w$ , which is interpreted as "v with w in place of x". The equation  $(\eta)$ , on the other hand, is a simplification rule that states that a function that applies another function v to an argument x can be simplified to the function v itself. The remaining  $\beta$  e  $\eta$  equations follow similar reasoning. The commuting conversion  $(c_{\mathbb{I}_e})$  expresses that substituting a variable z by a term that maps a term v to the unit element v in a term v is equivalent to mapping a term v to the unit element v and then replacing v by v. The other commuting conversion has a similar interpretation.

#### **Example 3.2.7.** For instance, consider the $\lambda$ -term

$$- \triangleright (\lambda z : \mathbb{I} \otimes \mathbb{A}. \, \mathsf{pm} \, z \, \mathsf{to} * \otimes y. \, y) \, (v \otimes w) : \mathbb{A}$$

Applying the  $\beta$  reduction, we have:

```
- \triangleright (\lambda z : \mathbb{I} \otimes \mathbb{A}. \, \mathsf{pm} \, z \, \mathsf{to} \, * \otimes y. \, y) \, (v \otimes w) = \mathsf{pm} \, v \otimes w \, \mathsf{to} \, * \otimes y. \, y : \mathbb{A}.
```

Next, applying the  $\beta_{\otimes e}$ -reduction, it follows:

$$pm \ v \otimes w \ to * \otimes y . \ y : \mathbb{A} = w : \mathbb{A}.$$

Não sei se este é o lugar mais indicado para estas definições

#### **Equivalence**

**Definition 3.2.8.** Let S be a set. A *relation* on S is a subset  $R \subseteq S \times S$ . An ordered pair  $(s_1, s_2) \in R$  means that  $s_1$  is related to  $s_2$ .

**Definition 3.2.9.** A relation on a set is an *equivalence relation* if it is reflexive, symmetric, and transitive. We denote such a relation by  $\sim \subseteq S \times S$ , and write  $r \sim s$  to mean that  $(r, s) \in \sim$ .

**Definition 3.2.10.** Given an equivalence relation on a set S, we can describe disjoint subsets of S called *equivalence classes*. If  $s \in S$ , then the *equivalence class* of s is the set of all elements related to it:

$$[s] = \{ r \in S \mid r \sim s \}.$$

That is, [s] is the set of all elements that are considered "the same" as s under the relation  $\sim$ . For a given set S and an equivalence relation  $\sim$  on S, we define the *quotient set*, denoted  $S/\sim$ , whose elements are all the equivalence classes of elements in S. There is an obvious *quotient function* from S to  $S/\sim$  that maps each element S to its equivalence class S.

For instance, consider a set of cars S. We can define an equivalence relation on S by grouping cars according to their colour. This results in subsets such as the set of blue cars, the set of red cars, the set of green cars, and so on — these subsets are the *equivalence classes*. Moreover, the collection of all such equivalence classes forms a new set, called the *quotient set*.

Def congruence

**Definition 3.2.11.** In this seting a *congruence relation* or *congruence* is an equivalence relation that respects the term formation rules, exchange and substitution.

**Definition 3.2.12.** Consider a pair  $(G, \Sigma)$ , where G is a class of ground types and  $\Sigma$  is a class of sorted operation symbols. A *linear*  $\lambda$ -theory is a triple  $((G, \Sigma), Ax)$ , where Ax is a class of equations-in-context over linear  $\lambda$ -terms constructed from  $(G, \Sigma)$ . The elements of Ax are called the *axioms* of the theory.

Let Th(Ax) denote the smallest congruence containing Ax, the equations presented in Figure 3, and closed under exchange and substitution (Theorem 3.2.6). The elements of Th(Ax) are called the *theorems* of the theory.

For instance recall Example 3.2.7:

$$-\triangleright (\lambda z: \mathbb{I}\otimes \mathbb{A}. \, \mathsf{pm} \, z \, \mathsf{to} * \otimes y. \, y) \, (v\otimes w): \mathbb{A} = w: \mathbb{A}$$

is a theorem.

# 3.3 Metric equational system

*Metric equations* [57], [58] are a strong candidate for reasoning about approximate program equivalence. These equations take the form of t = s, where  $\epsilon$  is a non-negative rational

representing the "maximum distance" between the two terms t and s. The metric equational system for linear lambda calculus is depicted in Figure 4.

$$\frac{v =_q w \quad w =_r u}{v =_{q+r} u} \text{ (trans)} \qquad \frac{v =_q w \quad r \geq q}{v =_{r} w} \text{ (weak)}$$
 
$$\frac{\forall r > q. \ v =_r w}{v =_q w} \text{ (arch)} \qquad \frac{\forall i \leq n. \ v =_{q_i} w}{v =_{h_i} w} \text{ (join)} \qquad \frac{v =_q w}{w =_q v} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{v =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{v =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{v =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_q w}{w =_q w} \text{ (sym)}$$
 
$$\frac{v =_q w}{w =_q w} \text{ (sym)} \qquad \frac{v =_$$

Figure 4: Metric equational system

Here,  $\operatorname{perm}(\Gamma)$  denotes the set of possible permutions of context  $\Gamma$ . The rules (refl), (trans), and (sym) generalize the properties of reflexivity, transitivity, and symmetry of equality. Rule (weak) asserts that if two terms are at a maximum distance q from each other, then they are also separated by any  $r \geq q$ . Rule (arch) states that if  $v =_r w$  for all approximations r of q, then it necessarily follows that  $v =_q w$ . The rule (join) expresses that if several maximum distances between two terms are known, the actual maximum distance between them is the minimum of these distances. The rule that follows conveys that if the maximum distance between two terms v and v is v, and the maximum distance between terms v and v is v, then the maximum distance between the tensor products  $v \otimes v$  and v is v is v and v is v is v is v and v is v is v is v is v is v is

**Example 3.3.1.** To illustrate the usefulness of these equations, consider the program P that recieves a tensor product, swaps its elements and then applies a function f to to the new second element of the tensor pair:

$$P = x : \mathbb{A}, y : \mathbb{B} \triangleright \mathsf{pm} \ x \otimes y \ \mathsf{to} \ a \otimes b.b \otimes f(a) : \mathbb{D} \otimes \mathbb{A}$$

Now, consider the case where f is an idealized version of function  $f^{\epsilon}$  mapping a to  $f(a)^{\epsilon}$ . The program that applies the "real" function f to the first element of the tensor pair is  $P^{\epsilon}$ :

$$P^{\epsilon} = x : \mathbb{A}, y : \mathbb{B} \triangleright \mathsf{pm} \ x \otimes y \ \mathsf{to} \ a \otimes b.b \otimes f(a)^{\epsilon} : \mathbb{D} \otimes \mathbb{A}$$

Knowing that  $f(a)^{\epsilon} =_{\epsilon} f(a)$ , it is possible to show that  $P^{\epsilon} =_{\epsilon} P$  using the metric equational system. The prove is as follows. The types and contexts are omitted for brevity as no ambiguity arises.

$$\begin{array}{lll} 1 & f(a)^{\epsilon} =_{\epsilon} f(a) \\ \\ 2 & b =_{0} b & \text{(refl)} \\ \\ 3 & b \otimes f(a)^{\epsilon} =_{\epsilon} b \otimes f(a) & \text{($1,2,\otimes_{i}$)} \\ \\ 4 & x \otimes y =_{0} x \otimes y & \text{(refl)} \\ \\ 5 & \mathsf{pm} \, x \otimes y \, \mathsf{to} \, a \otimes b.b \otimes f(a)^{\epsilon} =_{\epsilon} \mathsf{pm} \, x \otimes y \, \mathsf{to} \, a \otimes b.b \otimes f(a) & \text{($3,4,\otimes_{e}$)} \end{array}$$

**Definition 3.3.2.** Consider a tuple  $(G, \Sigma)$ , where G is a class of ground types and  $\Sigma$  is a class of sorted operation symbols of the form  $f:A_1,\ldots,A_n\to A$  with  $n\geq 1$ . A linear metric  $\lambda$ -theory is a tuple  $((G,\Sigma),Ax)$ , where Ax is a class of metric equations-in-context over linear  $\lambda$ -terms constructed from  $(G,\Sigma)$ .

The elements of Ax are called the *axioms* of the theory. Let Th(Ax) denote the smallest class that contains Ax and is closed under the rules presented in Figure 3 (i.e., the classical equational system) and Figure 4. The elements of Th(Ax) are called the *theorems* of the theory.

# 3.4 Interpretation

Interpretação geral com categorias

Não esquecer cena de lambda theories a afins, incluir def de equivalence classes -> slided categorias

In order to define the interpretation of judgments  $\Gamma \triangleright v: \mathbb{A}$ , it is necessary to establish some notation first. Let C be a symmetric monoidal category and A, B and C be objects of this category. For all morphisms  $f:A\otimes B\to C$ , the morphism  $\overline{f}:A\to (B\multimap C)$  denotes the corresponding curried version. Moreover, there is the application morphism, app  $:(A\multimap B)\otimes A\to B.$ 

For all ground types  $X\in G$  the interpretation of  $[\![X]\!]$  is postulated to be an object of C. Types are interpreted inductively using the unit  $\mathbb{I}$ , the tensor  $\otimes$ , and the linear map  $\multimap$ . Given a nonempty context  $\Gamma=\Gamma',x:\mathbb{A}$ , its interpretation is defined by  $[\![\Gamma',x:\mathbb{A}]\!]=[\![\Gamma']\!]\otimes[\![\mathbb{A}]\!]$  if  $\Gamma'$  is non-empty and  $[\![\Gamma',x:\mathbb{A}]\!]=[\![\mathbb{A}]\!]$  otherwise. The empty context - is interpreted as  $[\![-]\!]=\mathbb{I}$ . Given  $X_1,...,X_n\in V$ , the n-tensor  $(\ldots(X_1\otimes X_2)\otimes\ldots)\otimes X_n$  is denoted as  $X_1\otimes\ldots\otimes X_n$ , and similarly for morphisms.

"Housekeeping" morphisms are employed to handle interactions between context interpretation and the vectorial model. Given  $\Gamma_1,\ldots,\Gamma_n$ , the morphism that splits  $[\![\Gamma_1,\ldots,\Gamma_n]\!]$  into  $[\![\Gamma_1]\!]\otimes\ldots\otimes[\![\Gamma_n]\!]$  is denoted by  $\mathsf{sp}_{\Gamma_1;\ldots;\Gamma_n}:[\![\Gamma_1,\ldots,\Gamma_n]\!]\to[\![\Gamma_1]\!]\otimes\ldots\otimes[\![\Gamma_n]\!]$ . For n=1,  $\mathsf{sp}_{\Gamma_1}=\mathsf{id}$ . Let  $\Gamma_1$  and  $\Gamma_2$  be two contexts,  $\mathsf{sp}_{\Gamma_1,\Gamma_2}\to\Gamma_1\otimes\Gamma_2$  is defined as:

$$\mathsf{sp}_{-;\Gamma_2} = \lambda^{-1} \qquad \mathsf{sp}_{\Gamma_1;-} = \rho^{-1} \qquad \mathsf{sp}_{\Gamma_1;x:\mathbb{A}} = \mathrm{id} \qquad \mathsf{sp}_{\Gamma_1;\Delta,x:\mathbb{A}} = \alpha \cdot (\mathsf{sp}_{\Gamma_1;\Delta} \otimes \mathrm{id})$$

For n>2,  $\operatorname{sp}_{\Gamma_1;\ldots;\Gamma_n}$  is is defined recursively based on the previous definition, using induction on n:

$$\mathsf{sp}_{\Gamma_1,\ldots,\Gamma_n} = (\mathsf{sp}_{\Gamma_1,\ldots,\Gamma_{n-1}} \otimes \mathrm{id}) \cdot \mathsf{sp}_{\Gamma_1,\ldots,\Gamma_{n-1},\Gamma_n}$$

On the other hand,  $\operatorname{jn}_{\Gamma_1;\ldots;\Gamma_n}$  denotes the inverse of  $\operatorname{sp}_{\Gamma_1;\ldots;\Gamma_n}$ . Next, given  $\Gamma,x:\mathbb{A},y:\mathbb{B},\Delta$ , the morphism permuting x and y is denoted by  $\operatorname{exch}_{\Gamma,x:\mathbb{A},y:\mathbb{B},\Delta}: \llbracket\Gamma,\underline{x:\mathbb{A},y:\mathbb{B}},\Delta\rrbracket \to \llbracket\Gamma,y:\mathbb{B},\chi:\mathbb{A},\chi:\mathbb{A},\chi:\mathbb{B},\chi:\mathbb{A},\chi:\mathbb{B},\chi:\mathbb{A},\chi:\mathbb{B},\chi:\mathbb{A},\chi:\mathbb{A},\chi:\mathbb{B},\chi:\mathbb{A},\chi:\mathbb{A},\chi:\mathbb{B},\chi:\mathbb{A},\chi:$ 

$$\mathsf{exch}_{\Gamma,x:\mathbb{A},y:\mathbb{B},\Delta} = \mathsf{jn}_{\Gamma;y:\mathbb{B},x:\mathbb{A};\Delta} \cdot (\mathrm{id} \otimes \mathsf{sw} \otimes \mathrm{id}) \cdot \mathsf{sp}_{\Gamma;x:\mathbb{A},y:\mathbb{B};\Delta}$$

The shuffling morphism  $\operatorname{sh}_E: \llbracket E \rrbracket \to \llbracket \Gamma_1, \dots, \Gamma_n \rrbracket$  is defined as a suitable composition of exchange morphisms.

For every operation symbol  $f: \mathbb{A}_1, \dots, \mathbb{A}_n \to \mathbb{A}$  it is assumed the existence of an morphism  $\llbracket f \rrbracket : \llbracket \mathbb{A}_1 \rrbracket \otimes \dots \otimes \llbracket \mathbb{A}_n \rrbracket \to \llbracket \mathbb{A} \rrbracket$ . The interpretation of judgments is defined by induction over derivations according to the rules in Figure 5 [24].

Figure 5: Judgment interpretation

The following diagrams are useful to better understand the interpretation of judgements given in Figure 5.

Regarding the interpretation of the exhange and substitution properties, we have the following lemma.

**Lemma 3.4.1.** For any judgements  $\Gamma, x : \mathbb{A}, y : \mathbb{B}, \Delta \triangleright v : \mathbb{D}$ ,  $\Gamma, x : \mathbb{A} \triangleright v : \mathbb{B}$ , and  $\Delta \triangleright w : \mathbb{A}$ , the following holds:

$$\begin{split} \llbracket \Gamma, x : \mathbb{A}, y : \mathbb{B}, \Delta \rhd v : \mathbb{D} \rrbracket &= \llbracket \Gamma, y : \mathbb{B}, x : \mathbb{A}, \Delta \rhd v : \mathbb{D} \rrbracket \cdot \textit{exch}_{\Gamma, \underline{x} : \mathbb{A}, \underline{y} : \mathbb{B}, \Delta} \\ & \llbracket \Gamma, \Delta \rhd v [w/x] : \mathbb{B} \rrbracket &= \llbracket \Gamma, x : \mathbb{A} \rhd v : \mathbb{B} \rrbracket \cdot \textit{jn}_{\Gamma; \mathbb{A}} \cdot (\textit{I} \otimes \llbracket \Delta \rhd w : \mathbb{A} \rrbracket) \cdot \textit{sp}_{\Gamma; \Delta} \end{split}$$

**Theorem 3.4.2.** The equations presented in Figure 3 are sound with respect to judgement interpretation. More specifically, if  $\Gamma \triangleright v = w : \mathbb{A}$  is one of the equations in Figure 3, then  $\llbracket \Gamma \triangleright v : A \rrbracket = \llbracket \Gamma \triangleright w : \mathbb{A} \rrbracket.$ 

**Definition 3.4.3** (Models of linear  $\lambda$ -theories). Consider a linear  $\lambda$ -theory  $((G,\Sigma),Ax)$  and a symmetric monoidal closed category C. Suppose that for each  $X\in G$ , we have an interpretation  $[\![X]\!]$ , which is an object of C, and analogously for the operation symbols in  $\Sigma$ . This interpretation structure is a *model* of the theory if all axioms in Ax are satisfied by the interpretation.

**Theorem 3.4.4** (Soundness and Completeness). Consider a linear  $\lambda$ -theory  $\mathscr{T}$ . Then an equation  $\Gamma \triangleright v = w : A$  is a theorem of  $\mathscr{T}$  if and only if it is satisfied by all models of the theory.

**Definition 3.4.5.** A metric space a pair (X, d) where X is a set and  $d: X \times X \to \mathbb{R}_0^+$  is a function known as distance satisfying:

- 1. 0 < d(x, y), with equality if and only if x = y,
- 2. d(x, y) = d(y, x),
- 3.  $d(x,z) \leq d(x,y) + d(y,z)$  for all  $x, y, z \in X$ .

**Definition 3.4.6.** Met denotes the category whose objects are metric spaces and whose morphisms are non-expansive maps, *i.e.*, functions that do not increase the distance between points. More precisely, for two metric spaces  $(X,d_X)$  and  $(Y,d_Y)$ , a morphism  $f:(X,d_X)\to (Y,d_Y)$  is a function  $f:X\to Y$  such that

$$d_Y(f(x), f(y)) \le d_X(x, y)$$
 for all  $x, y \in X$ .

**Definition 3.4.7.** A category C is Met-*enriched* (or simply a Met-category) if for each pair of objects A and B in C, the hom-set C(A,B) is a metric space and if the composition of C-morphisms,

$$(\cdot): \mathsf{C}(A,B) \otimes \mathsf{C}(B,C) \to \mathsf{C}(A,C)$$

is a functor in the category of metric spaces. Given two Met-enriched categories C and D and a functor  $F: C \to D$ , we call F a Met-enriched functor (or simply, a Met-functor) if for all objects A,B in C, the map  $F_{A,B}: C(A,B) \to C(FA,FB)$  is a Met-functor. An adjunction  $C: F \dashv G: D$  is called Met-enriched if for all objects  $A \in |C|$  and  $B \in |D|$  there exists a Met-isomorphism

$$\mathcal{D}(FA, B) \cong \mathsf{C}(A, GB)$$

natural in A and B.

**Definition 3.4.8.** A Met-enriched symmetric monoidal category C is a category that is both symmetric monoidal and Met-enriched, such that the bifunctor

$$\otimes : C \times C \rightarrow C$$

is a Met-functor.

**Definition 3.4.9.** A Met-enriched symmetric monoidal closed category C is a category that is both symmetric monoidal closed and a Met-enriched monoidal category, such that the adjunction

$$(-\otimes A)\dashv (A\multimap -)$$

is a Met-adjunction.

**Definition 3.4.10.** It is said that a metric equation  $\Gamma \triangleright v =_q w : A$  is *satisfied* by the interpretation presented in Figure 5 if

$$q < d(\llbracket \Gamma \triangleright v : \mathbb{A} \rrbracket, \llbracket \Gamma \triangleright w : \mathbb{A} \rrbracket).$$

**Theorem 3.4.11.** The rules listed in Figure 3 and Figure 4 are sound for Met-enriched monoidal closed categories C. Specifically, if  $\Gamma \triangleright v =_q w : \mathbb{A}$  results from the rules in Figure 3 and Figure 4, then  $q \leq d(\llbracket \Gamma \triangleright v : \mathbb{A} \rrbracket, \llbracket \Gamma \triangleright w : \mathbb{A} \rrbracket)$ .

**Definition 3.4.12** (Models of linear metric  $\lambda$ -theories). Consider a linear metric  $\lambda$ -theory  $((G,\Sigma),Ax)$  and a Met-enriched autonomous category C. Suppose that for each  $X\in G$ , we are given an interpretation  $[\![X]\!]$  as an object of C, and analogously for the operation symbols in  $\Sigma$ . This interpretation structure is a *model* of the theory if all axioms in Ax are satisfied by the interpretation.

**Definition 3.4.13.** For two types  $\mathbb{A}$  and  $\mathbb{B}$  of a metric  $\lambda$ -theory  $\mathscr{T}$ , consider the class Values  $(\mathbb{A}, \mathbb{B})$  of values v such that  $v : \mathbb{A} \triangleright v : \mathbb{B}$ . We equip Values  $(\mathbb{A}, \mathbb{B})$  with the function v is Values  $(\mathbb{A}, \mathbb{B}) \to \mathbb{R}_0^+$  defined by,

$$d(v, w) = \inf \{ q \mid v =_q w \text{ is a theorem } \mathscr{T} \}$$

We then consider the equivalence relation  $\sim$  on Values( $\mathbb{A}, \mathbb{B}$ ) induced by d:

$$v \sim w$$
 if and only if  $d(v, w) = 0$ .

The resulting quotient Values( $\mathbb{A}, \mathbb{B}$ )/ $\sim$  forms a separated Met-enriched category. We call  $\mathscr{T}$ varietal if (Values( $\mathbb{A}, \mathbb{B}$ ), d)/ $\sim$  is a small Met-enriched category for all types  $\mathbb{A}$  and  $\mathbb{B}$ .

**Theorem 3.4.14** (Soundness and Completeness). Consider a varietal metric  $\lambda$ -theory. A metric equation in context  $\Gamma \triangleright v =_q w : \mathbb{A}$  is a theorem if and only if it holds in all models of the theory.

## 3.4.1 Quantum Lambda Calculus

Quantum lambda calculus integrates quantum computation with higher-order functions, thereby emerging as a powerful tool for formal reasoning about quantum programs within a functional programming framework. This functional paradigm, with a static type system, offers the significant advantage of ensuring the absence of run-time errors, *i.e.*, potential errors can be detected at compile-time, when the program is written, rather than during execution [51]. The principal distinction between the quantum lambda calculus introduced in this section and the formulation proposed by Selinger [59, 60] lies in the handling of data duplication. In this aproach, as dictated by the type system in Figure 2, duplication of any data is strictly prohibited. In contrast, Selinger's approach permits the duplication of classical data while forbidding the duplication of quantum data.

#### **Syntax**

We first consider a type qbit of qubits, the basic unit of information in quantum computation. Next we propound the following basic quantum operations: the measurement of a qubit,  $meas: qbit \rightarrow qbit$ , and two pre-determined sets of operations on n-qubits:  $U: qbit, \ldots, qbit \rightarrow qbit^{\otimes n}$  and  $CPTP: qbit, \ldots, qbit \rightarrow qbit^{\otimes n}$ . The former set consists of unitary operations, as the Hadamard gate  $H: qbit \rightarrow qbit$ , the not-gate  $X: qbit \rightarrow qbit$ , or

the cnot-gate  $CNOT: qbit, qbit \to qbit^{\otimes 2}$ . The latter set includes all CPTP operations, such as the dephasing with probability p, represented by  $D_p: qbit, qbit \to qbit^{\otimes 2}$ . We consider as well a pre-determined set of quantum states  $|\psi\rangle: \mathbb{I} \to qbit$ . We will often abbreviate the constants  $|\psi\rangle$  (\*) to  $|\psi\rangle$ .

#### Interpretation

The resulting metric  $\lambda$ -theory is interpreted in the category CPTP (Example 2.6.10) of completely positive trace-preserving maps, which is a symmetric monoidal category enriched over metric spaces [24]. However, since this category is not monoidal closed [61], we are unable to take advantage of higher-order structure.

We consider the following interpretation for types  $[\![\mathbb{I}]\!] = \mathbb{C}$ ,  $[\![qbit]\!] = \mathbb{C}^{2\times 2}$ . The interpretation of operations is presented in Figure 6.

Figure 6: Interpretation of the operations in quantum lambda calculus.

#### **Example: Deutsch's Algorithm**

In 1985, David Deutsch presented an algorithm that determines whether a function f is constant for a single-bit input (i.e., either equal to 1 for all x or equal to 0 for all x) or balanced (i.e., equal to 1 for half of the values of x and equal to 0 for the other half) [62]. Classically, to determine which case holds requires running f twice. Quantumly, it suffices to run f once. The Deutsch-Jozsa Algorithm is a simple example of a quantum algorithm that outperforms its classical counterpart. The algorithm is based on the concept of a quantum oracle, which is a black box that implements a unitary transformation  $U_f$  such that  $U_f |x\rangle |y\rangle = |x\rangle |y \oplus f(x)\rangle$ , where  $\oplus$  denotes addition modulo 2. The quantum circuit implementing Deutsch's algorithm is presented in Figure 7.

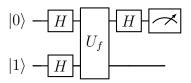


Figure 7: Quantum circuit implementing Deutsch's algorithm

Using lambda calculus, the Deutsch-Jozsa Algorithm can be expressed as:

$$\mathsf{Deutsch} = - \mathsf{pm} \ U_f(H \ |0\rangle \otimes H \ |1\rangle) \ \textit{to} \ q_1 \otimes q_2 \,.$$
 
$$\textit{meas}(H(q_1)) \otimes q_2 : \textit{qbit} \otimes \textit{qbit}$$

We begin by presenting a simplified version of the interpretation based on the quantum circuit shown in Figure 7, followed by a detailed step-by-step interpretation.

Attending to the circuit in Figure 7, one has that

$$\stackrel{|0\rangle \otimes |1\rangle}{\longmapsto} \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |-\rangle$$
(3.2)

With respecto to quantum oracle  $U_f$ , it is possible to show that:

$$|x\rangle \otimes |-\rangle = |x\rangle \otimes \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle) = \frac{1}{\sqrt{2}} (|x\rangle \otimes |0\rangle - |x\rangle \otimes |1\rangle))$$

$$\stackrel{U_f}{\longmapsto} \frac{1}{\sqrt{2}} (|x\rangle \otimes |0 \oplus f(x)\rangle - |x\rangle \otimes |1 \oplus f(x)\rangle)$$

$$= \frac{1}{\sqrt{2}} (|x\rangle |f(x)\rangle - |x\rangle |\neg f(x)\rangle)$$

$$= \frac{1}{\sqrt{2}} (|x\rangle \otimes (|f(x)\rangle - |\neg f(x)\rangle))$$

$$(3.3)$$

Proceding by case distinction:

$$\frac{1}{\sqrt{2}}(|x\rangle \otimes (|f(x)\rangle - |\neg f(x)\rangle) = \begin{cases} |x\rangle \otimes \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) & \text{if } f(x) = 0\\ |x\rangle \otimes \frac{1}{\sqrt{2}}(|1\rangle - |0\rangle) & \text{if } f(x) = 1 \end{cases}$$
(3.4)

It follows that:

$$|x\rangle \otimes \frac{1}{\sqrt{2}}(|f(x)\rangle - |\neg f(x)\rangle) = (-1)^{f(x)}|x\rangle \otimes \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = (-1)^{f(x)}|x\rangle \otimes |-\rangle$$
 (3.5)

Considering the entire circuit, one has that:

$$\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |-\rangle \tag{3.6}$$

$$\stackrel{U_f}{\longmapsto} \quad \frac{1}{\sqrt{2}} (U_f |0\rangle \otimes |-\rangle + U_f |1\rangle \otimes |-\rangle)) \tag{3.7}$$

$$= \frac{1}{\sqrt{2}} ((-1)^{f(0)} |0\rangle \otimes |-\rangle + (-1)^{f(1)} |1\rangle \otimes |-\rangle)$$
 (3.8)

$$=\begin{cases} (\pm 1) |+\rangle \otimes |-\rangle & \text{if } f(0) = f(1) \\ (\pm 1) |-\rangle \otimes |-\rangle & \text{if } f(0) \neq f(1) \end{cases}$$

$$(3.9)$$

$$\xrightarrow{H\otimes I} \begin{cases}
(\pm 1) |0\rangle \otimes |-\rangle & \text{if } f(0) = f(1) \\
(\pm 1) |1\rangle \otimes |-\rangle & \text{if } f(0) \neq f(1)
\end{cases}$$
(3.10)

Ignoring the global phase, the final state of the system is:

$$\xrightarrow{\text{meas}\otimes I} \begin{cases} |0\rangle \otimes |-\rangle & \text{if } f(0) = f(1) \\ |1\rangle \otimes |-\rangle & \text{if } f(0) \neq f(1) \end{cases}$$
(3.11)

Now, regarding the interpretation of the lambda term Deutch,

[Deutch]

$$= \llbracket - \rhd \operatorname{pm} U_f(H \mid 0) \otimes H \mid 1 \rangle) \operatorname{to} q_1 \otimes q_2 .\operatorname{meas}(H(q_1)) \otimes q_2 \rrbracket$$

$$= \llbracket q_1 \otimes q_2 : \operatorname{qbit} \otimes \operatorname{qbit} \rhd \operatorname{meas}(H(q_1)) \otimes q_2 \rrbracket \cdot \operatorname{jn}_{:;\operatorname{qbit};\operatorname{qbit}} \qquad \qquad \{ \llbracket \otimes_{\varepsilon} \rrbracket \}$$

$$\cdot \alpha \cdot \operatorname{sw} \cdot (\llbracket - \rhd U_f(H (\operatorname{new} 0 (*)) \otimes H (\operatorname{new} 1 (*))) \rrbracket$$

$$\otimes \operatorname{id} \cdot \operatorname{sp}_{-;-} \cdot \operatorname{sh}_{-;-}$$

$$= (\llbracket q_1 : \operatorname{qbit} \rhd \operatorname{meas}(H(q_1)) : \operatorname{qbit} \rrbracket \otimes \llbracket q_2 : \operatorname{qbit} \rhd q_2 : \operatorname{qbit} \rrbracket) \qquad \{ \llbracket \otimes_{\varepsilon} \rrbracket \}$$

$$\cdot \operatorname{sp}_{\operatorname{qbit};\operatorname{qbit}} \cdot \operatorname{sh}_{\operatorname{qbit};\operatorname{qbit}} \cdot \operatorname{jn}_{-;\operatorname{qbit};\operatorname{qbit}} \cdot \alpha \cdot \operatorname{sw} \cdot (\llbracket - \rhd U_f(H (\operatorname{new} 0 (*))) \qquad \operatorname{Def. sp and sh} \}$$

$$\otimes H (\operatorname{new} 1 (*))) \rrbracket \otimes \operatorname{id}) \cdot \operatorname{id} \otimes \operatorname{id} \cdot \operatorname{id} \otimes \operatorname{id}$$

$$= (\llbracket \operatorname{meas} \rrbracket \cdot \llbracket H \rrbracket \cdot \llbracket q_1 : \operatorname{qbit} \rhd q_1 : \operatorname{qbit} \rrbracket \cdot \operatorname{sp}_{\operatorname{qbit}} \cdot \operatorname{sh}_{\operatorname{qbit}} \otimes \operatorname{id} \qquad \{ \llbracket \operatorname{ax} \rrbracket, \llbracket \operatorname{hyp} \rrbracket \}$$

$$\cdot \llbracket \operatorname{new} 0 \rrbracket \cdot \llbracket - \rhd * : \rrbracket \rrbracket \cdot \llbracket \operatorname{new} 1 \rrbracket \cdot \llbracket - \rhd * : \rrbracket \rrbracket) \cdot \operatorname{sp}_{-;-} \cdot \operatorname{sh}_{-} \otimes \operatorname{id} )$$

$$= (\llbracket \operatorname{meas} \rrbracket \cdot \llbracket H \rrbracket \cdot \operatorname{id} \cdot \operatorname{id} \otimes \operatorname{id}) \cdot \operatorname{sp}_{\operatorname{qbit};\operatorname{qbit}} \cdot \operatorname{sh}_{\operatorname{qbit};\operatorname{qbit}} \qquad \{ \llbracket \operatorname{hyp} \rrbracket, \llbracket \mathbb{I}_i \rrbracket, \\ \cdot \operatorname{jn}_{-;\operatorname{qbit};\operatorname{qbit}} \cdot \alpha \cdot \operatorname{sw} \cdot ((\llbracket U_f \rrbracket \cdot (\llbracket H \rrbracket \cdot \llbracket \operatorname{new} 0 \rrbracket \cdot \operatorname{id} \otimes \llbracket H \rrbracket \cdot \llbracket \operatorname{new} 1 \rrbracket) \qquad \operatorname{Def. sp and sh} \}$$

$$\cdot \operatorname{id}) \cdot \operatorname{id} \otimes \operatorname{id} \cdot \operatorname{id} \otimes \operatorname{id} \otimes \operatorname{id} \otimes \operatorname{id} )$$

$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id} \cdot \text{sp}_{qbit;qbit} \cdot \text{sh}_{qbit;qbit} \cdot \text{in}_{-;qbit;qbit} \cdot \alpha \cdot \text{sw}$$
 
$$\{ \text{Figure 6} \} \cdot (U_f(H \mid 0) \langle 0 \mid H^{\dagger} \otimes H \mid 1) \langle 1 \mid H^{\dagger}) U_f^{\dagger} \otimes \text{id} )$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id} \cdot \text{sp}_{qbit;qbit} \cdot \text{sh}_{qbit;qbit} \cdot \text{jn}_{-;qbit;qbit} \cdot \alpha \cdot \text{sw}$$
 
$$\{ \text{Equation 3.2,} \cdot \left( \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0) \langle 0 \mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$\otimes \text{id} \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id} \cdot \text{sp}_{qbit;qbit} \cdot \text{sh}_{qbit;qbit} \cdot \lambda \otimes \text{id} \cdot \alpha$$
 
$$\{ \text{Def. jn} \}$$
 
$$\cdot \left( \text{id} \otimes \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid + (-1)^{f(1)} \mid 1\rangle \langle 1\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas} \rrbracket \cdot \llbracket H \rrbracket \otimes \text{id}) \cdot \left( \frac{1}{\sqrt{2}} ((-1)^{f(0)} \mid 0\rangle \langle 0\mid \otimes \mid -\rangle \langle -\mid ) \right)$$
 
$$= (\llbracket \text{meas}$$

#### **Deutsch's Algorithm with Measurement Errors**

A measurement error is characterized by reading a "1" as a "0" or vice versa. Measurement errors do not impact all states uniformly [63]. Consequently, there is a discrepancy in how frequently the state "1" is incorrectly read as "0" compared to how often the state "0" is measured as "1" or vice versa.

Given probabilities  $p_1$  and  $p_2$  of measuring a "0" as a "1" and a "1" as a "0", respectively, a

measurement featuring this type of error, denoted meas $^{\epsilon}$ , is defined as follows:

$$\text{meas}^{\epsilon} : [\![qbit]\!] \to [\![qbit]\!]$$

$$\rho \mapsto (1 - p_1) M_0 \rho M_0^{\dagger} + (1 - p_2) M_1 \rho M_1^{\dagger} + p_1 M_1 X \rho X^{\dagger} M_1^{\dagger} + p_2 M_0 X \rho X^{\dagger} M_0^{\dagger}$$
(3.12)

If both  $p_1=p_2=1/2$  this operator corresponds to applying an X gate before measurement. Therefore, in this case, we can write  $meas^\epsilon$  as meas(X), in  $Deutsch^\epsilon$ , where  $Deutsch^\epsilon$  is the the judgement that results from replacing meas by  $meas^\epsilon$  in Deutsch.

Consider an arbitrary quantum state  $|\psi\rangle\langle\psi|$ , where  $|\psi\rangle$  is given in Equation 2.2. Attending to Definition 2.2.5, the Bloch vectors of the states  $\mathrm{id}(|\psi\rangle)$  and  $X(|\psi\rangle)$  are given by

$$(\cos\phi\sin\theta,\sin\phi\sin\theta,\cos\theta)$$
 and  $(\cos\phi\sin\theta,-\sin\phi\sin\theta,-\cos\theta)$ ,

respectively.

As a result, we have:

$$\begin{split} \|I - X\|_1 &= \max_{\phi, \theta} \left\| \left(\cos \phi \sin \theta, \sin \phi \sin \theta, \cos \theta\right) - \left(\cos \phi \sin \theta, -\sin \phi \sin \theta, -\cos \theta\right) \right\|_2 \\ &= \max_{\phi, \theta} \left\| \left(0, 2 \sin \phi \sin \theta, 2 \cos \theta\right) \right\|_2 = 2 \cdot \max_{\phi, \theta} \left\| \left(\sin^2(\phi) \sin^2(\theta) + \cos^2 \theta\right)^{1/2} \right\|_2 \\ &= 2. \end{split}$$

From Theorem 2.2.31, it follows that  $\|id - X\|_{\diamondsuit} \le 2$ . Consequently, we can postulate the following axiom:

$$id =_2 X$$

Finally, using the metric deductive system in Figure 4, we deduce

$$Deutsh =_2 Deutsh^{\epsilon}$$

#### Example: Proving an equivalence using equations-in-context

This subsection aims to illustrate how to prove that creating a new qubit, discarding it, and then creating a new qubit is equivalent to just creating a new qubit, *i.e.*,

$$-\triangleright \mathsf{disc} \ket{0} \mathsf{to} *. \ket{0} : qbit = -\triangleright \ket{0} : qbit$$

syntactically, using equations-in-context.

The discard equation in the bottom line in Figure 3 states that all judgements  $\Gamma \triangleright v: \mathbb{I}$  (with  $\Gamma = x_1: \mathbb{A}_1, ..., x_n: \mathbb{A}_n$ ) carry no different information than that of just discarding all variables available in context  $\Gamma$ . Therefore,

$$- \triangleright * = \operatorname{\mathsf{disc}} |0\rangle \operatorname{\mathsf{to}} * : \mathbb{I}$$

Consequently,

$$- \triangleright \operatorname{disc} |0\rangle \operatorname{to} *. |0\rangle : \operatorname{qbit} = - \triangleright *\operatorname{to} *. |0\rangle : \operatorname{qbit}$$

Subsequently applying the rule  $\beta_{\mathbb{L}_p}$  in Figure 3, it holds that

$$- \triangleright \operatorname{disc} |0\rangle \operatorname{to} *. |0\rangle : \operatorname{qbit} = - \triangleright * \operatorname{to} *. |0\rangle : \operatorname{qbit}$$
  
=  $- \triangleright |0\rangle : \operatorname{qbit}$ 

#### 3.4.2 Linear $\lambda$ -calculus meets probabilistic programming

This example is adapted from [24].

#### **Syntax**

Here we first consider a type real for measurements, a set of predetermined functions

$$\begin{split} & \{ \textit{delta}_r : \mathbb{I} \rightarrow \textit{real} \, | \, r \in \mathbb{Q} \} \bigcup \{ \textit{u}_{r,s} : \mathbb{I}, \mathbb{I} \rightarrow \textit{real} \, | \, r, s \in \mathbb{Q} \} \\ & \bigcup \{ \textit{delta}_{p_1, \dots, p_n} : \mathbb{I} \rightarrow \textit{real} \, | \, p_1, \dots, p_n \in [0, 1] \}, \end{split}$$

and a set predetermined of sampling functiona

$$\{\mathit{Jump}_{\mu,p}: \mathit{real} \to \mathit{real} \,|\, \mu \in \mathcal{M}\mathbb{R}, p \in [0,1]\}.$$

Operationally,  $\mathit{Jump}_{\mu,p}(\nu)$  draws samples u and v from  $\mu$  and  $\nu$ , respectively, and jumps to v+u, with probability (1-p), or is forced to return to the origin with probability p.

#### Interpretation

The category Ban of Banach spaces and and short maps is a suitable model for the interpretation of metric  $\lambda$ -theories concerning probabilistic computation, as shown in [24].

We establish the following interpretation:  $[\![real]\!] = \mathcal{M}\mathbb{R}$ ,  $I = 1 \in \mathbb{R}$ . Let U(r,s) denote the continous uniform distibution, with r and s as the minimum and maximum values, respectively. For every  $r, s, x_1, \ldots, x_n \in \mathbb{Q}$  and  $p_1, \ldots, p_n \in [0,1]$ , we have the interpretation for the set of predetermined functions is presented bellow.

Figure 8: Interpretation of predetermined functions in probabilistic programming

Finally for every  $\mu \in \mathcal{M}\mathbb{R}$ ,  $\nu \in \mathcal{M}\mathbb{R}$ , and  $p \in [0,1]$  we define

$$\llbracket Jump_{\mu,n} \rrbracket (\nu) = \mathsf{bern}_*(delta_0 \otimes +_*(\mu \otimes \nu) \otimes delta_p),$$

where  $\mathsf{bern}_*(\mu, \nu, \xi)$  is the pushforward of the product measure  $\mu \otimes \nu \otimes \xi$  under the Markov kernel bern :  $\mathbb{R}^3 \to \mathcal{M}\mathbb{R}, \ (u, v, p) \mapsto p\delta_u + (1-p)\delta_v$  and  $+_*(\mu \otimes \nu)$  corresponds to the pushforward under + of the product measure  $\mu \otimes \nu$ .

#### Random walk on $\mathbb R$

Now, we will use the aforementioned language to describe n steps of a random walk on  $\mathbb{R}$ .

$$\begin{aligned} &\mathsf{step} = \lambda r : \mathit{real} \ . \ \mathit{Jump}_{\mu,p}(r) : \mathit{real} \multimap \mathit{real} \\ &\mathsf{apply-n} = \lambda f_1, \ldots, f_n, x. \ f_1(f_2(\ldots(f_n\,r))) : (\mathit{real} \multimap \mathit{real}) \multimap (\ldots) \multimap (\mathit{real} \multimap \mathit{real}) \multimap \mathit{real} \multimap \mathit{real} \\ &\mathsf{rwalk-n} = \mathsf{apply-n} \ \mathsf{step} \ldots \mathsf{step} \ (\mathit{delta}_0) : \mathit{real} \end{aligned}$$

Given a current position r, the function step with probability 1-p performs a jump drawn randomly from the distribution  $\mu$ , and with probability p, it is forced to return to the origin. The higher-order function apply-n takes n functions of type  $real \multimap real$  and a measure  $r \in real$ , and applies the functions in sequence from last to first. The term rwalk-n represents a n-step walk starting from the origin.

Due to the complexity of the operators, we refrain from exemplifying the interpretation of  $\lambda$ -terms in this case.

We now analyse he impact of varying the parameter  $\mu$  on the program using our deductive system. Let us consider that in operation  $Jump_{\mu,p}$ , the parameter  $\mu$  is affected by an error and in actuality we have operation  $Jump_{\mu',p}$ . If we postulate axiom

$$\mathit{Jump}_{\mu,p} =_{\epsilon} \mathit{Jump}_{\mu',p}$$

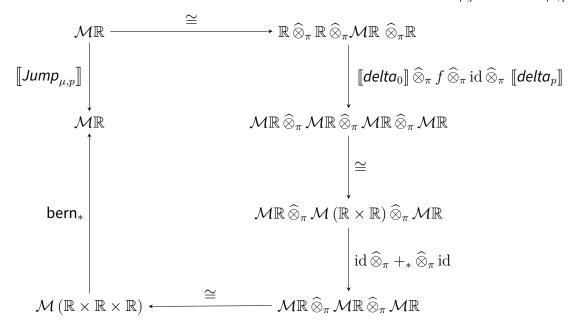
using using our metric deductive system it follows that

$$step =_{\epsilon} step^{\epsilon}$$
,

where step $^\epsilon$  corresponds to the  $\lambda$ -term resulting from the substitution of the operation  $Jump_{\mu,p}$  by their erroneous versions. Finally, designating rwalk-n $^\epsilon$  as the judgement that results from replacing step by step $^\epsilon$ , we can infer,

Consider the isomorphism  $i:\mathcal{M}\mathbb{R}\ \widehat{\otimes}_\pi\ \mathcal{M}\mathbb{R} \to \mathcal{M}\ (\mathbb{R}\times\mathbb{R})\ , \ \mu\otimes\nu\to\mu\otimes_{\mathsf{meas}}\nu.$  Recall that the product measure  $\mu\otimes_{\mathsf{meas}}\nu$  is defined as  $\mu\otimes_{\mathsf{meas}}\nu(A\times B)=\mu(A)\cdot\nu(B)$ . Given that  $\|\mu\otimes_{\mathsf{meas}}\nu\|=|\mu(\mathbb{R})|\cdot|\nu(\mathbb{R})|=\|\mu\|\cdot\|\nu\|$ , and  $\|\mu\otimes\nu\|=\|\mu\|\cdot\|\nu\|$  (Proposition 2.3.8) it follows that i is a short map.

Since the diagram below commutes and all operations involved are short maps (considering f as either  $\llbracket delta_r \rrbracket$  or  $\llbracket u_{r,s} \rrbracket$ , and noting that Ban is a Met-enriched symmetric monoidal category), it follows that if  $d(\mu,\mu')=\epsilon$ , then we may postulate  $Jump_{\mu,p}=_{\epsilon} JumpL_{\mu',q}$ .



For instance, consider  $\mu = \delta_x$  and  $\mu' = \delta_y$ . We calculate

$$\|\delta_x - \delta_y\| = \sup \left\{ \sum_{i=1}^n |\delta_x - \delta_y(A_i)| \mid A_i \in \mathcal{B}(\mathbb{R}), A_i \cap A_j = \emptyset, \ i, \neq j, n \in \mathbb{N} \right\} = 2$$

Note that there are only two possibilities: either  $\delta_x$  and  $\delta_y$  belong to the same partition, or they belong to different ones. In the first case, we have

$$\sum_{i=1}^{n} |\delta_x(A_i) - \delta_y(A_i)| = |1 - 1| = 0,$$

while in the second case, we obtain

$$\sum_{i=1}^{n} |(\delta_x(A_i) - \delta_y(A_i))| = |1| + |-1| = 2.$$

As a result, we can postulate the axiom  $\mathit{Jump}_{\delta_x,p} =_2 \mathit{Jump}_{\delta_y,p}$ .

### **Chapter 4**

### **Conditionals**

Adicionar as coisas das notas dq elas estiverem corrigidas + doc booleanos + intro às coisas

Na parte quantica mencionar o artigo do selinger de 2009, questão da linearidade

Por isto no sitio certo -> modelo quantico aqui mudamos a op de meas e de conversão em bit em qb

Within the model of quantum lambda calculus, introduced in  $\ref{eq:condition}$ , the measurement operation is defined in accordance with the standard approach in physics [12]. However, within this definiton the distinction between classical and quantum states is made through the elements of the spaces and not the spaces themselves, in the sense that both the result of measuring a quantum state, which is a classical bit, and the quantum state itself are elements of the same space,  $\mathbb{C}^{2\times 2}$ .

## 4.1 Syntax

## 4.2 Interpretation

### 4.3 Metric Equations

#### 4.4 Examples

# **Chapter 5**

# **Conclusions and future work**

Conclusions and future work.

## 5.1 Conclusions

# **5.2** Prospect for future work

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