

DRAFT

# SKS (Secure Key Store) API and Architecture

*Disclaimer:* This is a system in development. That is, the specification may change without notice. However, it should still give you a good insight in the “Cloud” Token concept. *Feedback is encouraged!*

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# Introduction

This document describes the API (Application Programming Interface) and architecture of a system called SKS (Secure Key Store). SKS is essentially an enhanced smart card that is optimized for *on-line provisioning* and *life-cycle management* of cryptographic keys and associated attributes.

In addition to PKI and symmetric keys (including OTP applications), SKS also supports recent additions to the credential family tree like [Information Cards](#).

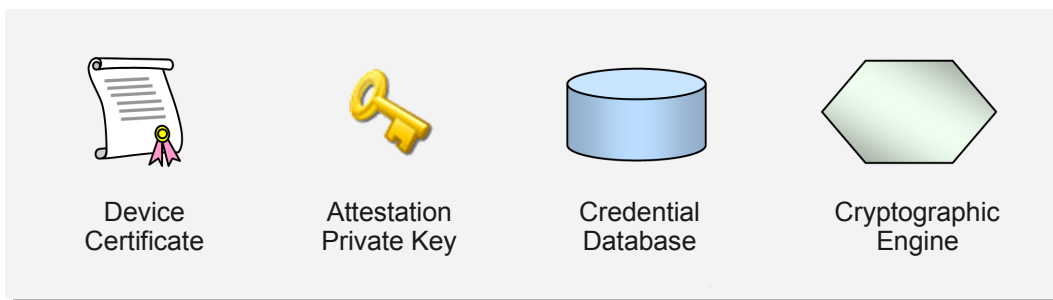
The primary objective with SKS and the related specifications is *establishing two-factor authentication as a viable alternative for any provider* by making the scheme a standard feature in the “Universal Client”, the Internet browser.

An equally important means for reaching this undeniable bold goal, is that the API and protocols mandate full “on-the-wire” compliance in order to eliminate the current “Smart Card Middleware Hell”; *a single driver per platform should suffice*.

Could *existing* smart card users also benefit from an upgraded token technology? Yes, the new ways of working, like *virtual organizations*, doesn't make the current distribution scheme “come and get your card” particularly useful.

## Architecture

Below is a picture showing the core components in the SKS architecture:



The *Device Certificate* forms together with a matching *Attestation Private Key* the foundation for the mechanism that facilitates secure provisioning of keys, also when the surrounding middleware (for *self-contained* SKSes NB) and network are unsecured.

The *Credential Database* holds keys and other data that is related to keys such as protection and extension objects. It also keeps the provisioning state.

The *Cryptographic Engine* performs in addition to standard cryptographic operations on private and secret keys, the core of the provisioning operations which from an API point-of-view are considerably more complex than the former.

A vital part of the *Cryptographic Engine* is a high quality random number generator since the integrity of the entire provisioning scheme is relying on this.

All operations inside of an SKS are supposed to be protected from tampering by malicious external entities but the degree of *internal* protection may vary depending on the environment that the SKS is running in. That is, an SKS housed in a smart card which may be inserted in an arbitrary computer must keep all data within its protected memory, while an SKS that is an integral part of a mobile phone processor *may* store credential data in the same external Flash memory where programs are stored, but sealed by a CPU-resident “Master Key”.

## Provisioning API

Although SKS may be regarded as a “component”, it actually comprises of three associated pieces: The [KeyGen2](#) protocol, the SKS architecture, and the provisioning API described in this document. These items are *tightly matched* to form a *secure* and *interoperable* ecosystem for cryptographic keys.

One of the biggest challenges with the SKS Provisioning API was enabling independent issuers to securely *share* a single “key ring”. The rationale for this was mainly to support mobile phones with built-in “trusted hardware”, but it appears that USB memory sticks augmented with SKS functionality would be a slightly more realistic product offering if they could deal with a potentially large chunk of a consumer's authentication hassles on the Internet.

## Backward Compatibility

A question that arises is of course how compatible the SKS [Provisioning API](#) is with respect to existing protocols, APIs, and smart cards. The answer is simply: NOT AT ALL due to the fact that current schemes do *generally* not support secure on-line provisioning and key life-cycle management directly towards end-users.

In fact, *smart cards are almost exclusively personalized by more or less proprietary software under the supervision of card administrators or performed in automated production facilities*. It is evident that (at least) mobile phones need a scheme that is more consistent with the on-line paradigm since SIM-cards due to operator-bindings do not scale particularly well.

*“On the Internet anybody can be an operator of something”*

Many card schemes also depend on roles like SO (Security Officer) which squarely matches scenarios with users associated with a multitude of *independent* service providers. The typical workaround, upgrading the middleware to become a surrogate SO or making users implicit SOs is a pretty nasty hack *that violates the core idea behind smart cards*. Using SKS, the *technical* part of the SO role, exclusively becomes an affair between the card and the issuers, *where each issuer is confined to their own virtual card and SO policy*.

Although the lack of compatibility with the current state-of-the-art (“nothing”), may be regarded as a major short-coming, the good news is that SKS by separating key provisioning from actual usage, *does neither require applications nor cryptographic APIs to be rewritten*. See next section.

## User API

In this document “User API” refers to operations that are required by security applications like TLS client-certificate authentication, S/MIME, and Kerberos (PKINIT).

The User API is not a core SKS facility but its implementation is anyway RECOMMENDED, particularly for SKSes that are featured in “connected” containers such as smart cards since card middleware have proved to be a major stumbling block for wide-spread adoption of PKI cards for consumers.

The described User API is fully mappable to the subset of [CryptoAPI](#), [PKCS #11](#), and [JCE](#) that the majority of current PKI-using applications rely on.

The standard User API does not utilize authenticated sessions like featured in [TPM 1.2](#) because this is a *local security option*, which is independent of the *network centric* [Provisioning API](#).

If another User API is used the only requirement is that the key objects created by the provisioning API, are compatible with the former.

## Security Model

Since the primary target for SKS is authentication to arbitrary service providers on the Internet, the security model is quite different to for example that of [Global Platform](#). In practical terms this means that it is the *user* who grants an issuer the right to create keys in the SKS.

When using [KeyGen2](#) the grant operation is performed through a GUI dialog triggered by an issuer request, which in turn is the result of the user browsing to an issuer-related web address.

That is, there are no predefined “Security Domains”.

However, after each successful provisioning session, an *implicit* Security Domain is created which shelters different issuers from each other both from a security and privacy point of view.

The SKS itself only trusts inbound data that is securely derivable from the applicable session key created in the initial phase of a provisioning session. See [createProvisioningSession](#).

The session key scheme is conceptually similar to [Global Platform](#)'s SCP (Secure Channel Protocol) but details differ because [KeyGen2](#) uses an on-the-wire XML format requiring encoding/decoding by the middleware, rather than raw APDUs.

Regarding who trusts an SKS, this is effectively up to each issuer to decide, and may be established anytime during an enrollment procedure. Trust in an SKS can be very granular like only accepting requests from preregistered units or be fully open ended where any SKS compliant device is accepted. A potentially useful issuer policy would be specifying a set of endorsed SKS brands, presumably meeting some generally recognized certification level like EAL5.

Also see [Security Considerations](#).

# Objects

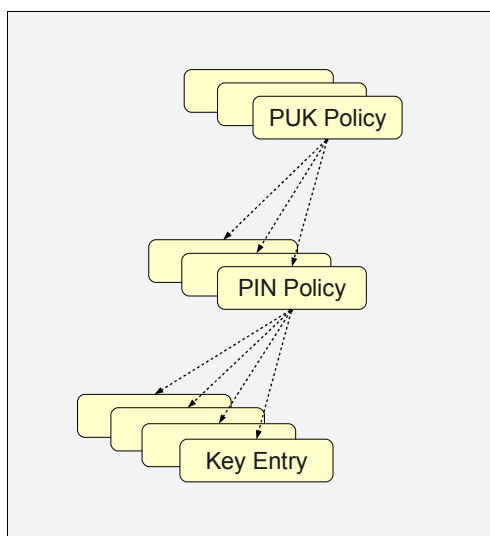
The SKS API (as well as its companion protocol [KeyGen2](#)), assumes that objects are arranged in a specific fashion in order to work. At the heart of the system there are the typical cryptographic keys intended for user authentication, signing etc., but also dedicated keys supporting life-cycle management and of user keys and attributes.

All provisioned user keys, included symmetric dittos (see [setSymmetricKey](#)), are identified and managed through an [X.509](#) certificate. The reason for this somewhat unusual arrangement is that this enables *universal key IDs* as well as *secure remote object management by independent issuers*. See [Remote Key Lookup](#).

Note: unlike 7816-compatible smart cards, an SKS exposes no visible file system, only objects.

## Key Protection Objects

Keys may optionally be protected by PIN-codes (aka “passphrases”). Each PIN-protected key maintains a separate PIN error counter, but a single PIN policy object may govern multiple keys. A PIN policy and its associated keys may in turn be supplemented by a PUK (Personal Unlock Key) policy object that can be used to reset error-counters that have passed the limit as defined by the PIN policy. Below is an illustration of the SKS protection object hierarchy:



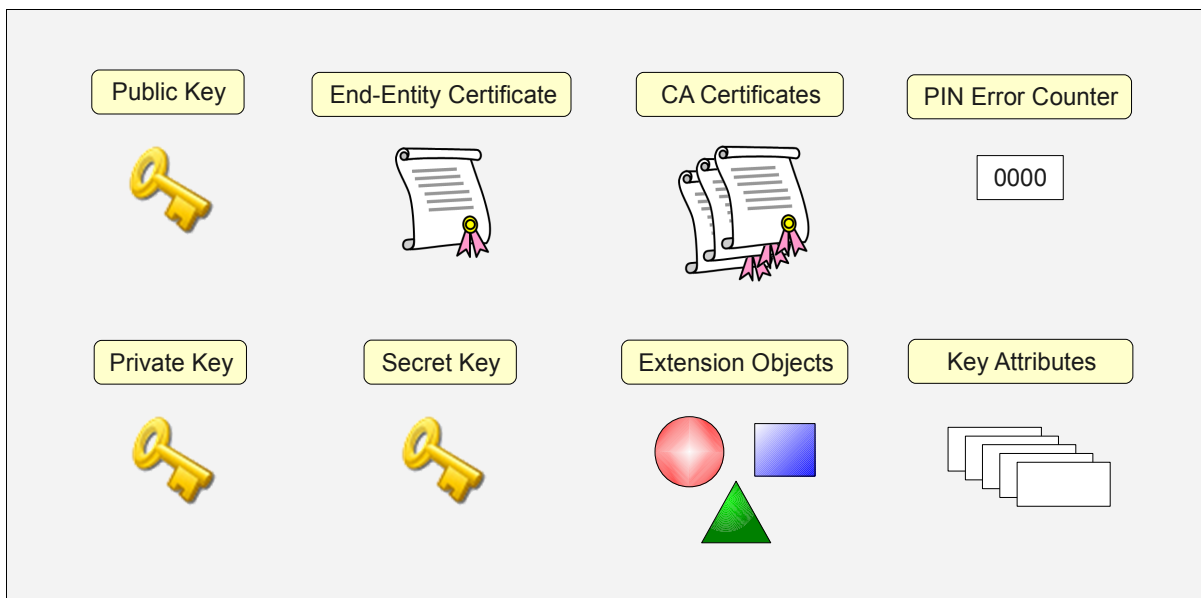
An SKS MAY also support a device (*system-wide*) PIN and PUK. See [getDeviceInfo](#).

For the creation of protection objects, see [createPUKPolicy](#), [createPINPolicy](#) and [createKeyPair](#).

For an example how [KeyGen2](#) deals with this structure, see [KeyInitializationRequest](#).

## Key Entries

The following picture shows the components forming an SKS key entry:



*Public Key* denotes the public part of the key-pair created by [createKeyPair](#).

*Private Key* denotes the private part of the key-pair created by [createKeyPair](#).

*End-Entity Certificate* denotes the [X.509](#) certificate set by the *mandatory* call to [setCertificatePath](#).

*Secret Key* denotes an *optional* secret key defined by calling [setSymmetricKey](#).

*CA Certificates* denote *optional* [X.509](#) CA certificates defined during the call to [setCertificatePath](#).

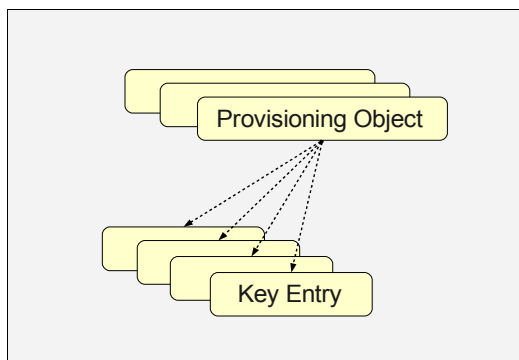
*Extension Objects* denote *optional* extension objects defined by calling [addExtensionData](#).

*PIN Error Counter* denotes a counter associated by keys protected by a local PIN policy object. See [createPINPolicy](#).

*Key Attributes* denote the attributes defined during the call to [createKeyPair](#) and the *optional* call to [setSymmetricKey](#).

## Provisioning Objects

The following picture shows how provisioning objects “own” the keys they have provisioned:



For detailed information concerning the contents of a provisioning object see [createProvisioningSession](#).

# Algorithm Support

Algorithm support in SKS MUST as a *minimum* include the following items:

| URI   | Comment  |
|---|--|
| Symmetric Key Encryption  |  |
| <a href="http://www.w3.org/2001/04/xmlenc#aes128-cbc">http://www.w3.org/2001/04/xmlenc#aes128-cbc</a>                                 | See <a href="#">XML Encryption</a>   |
| <a href="http://www.w3.org/2001/04/xmlenc#aes192-cbc">http://www.w3.org/2001/04/xmlenc#aes192-cbc</a>                                 |  |
| <a href="http://www.w3.org/2001/04/xmlenc#aes256-cbc">http://www.w3.org/2001/04/xmlenc#aes256-cbc</a>                                 |  |
| <a href="http://xmlns.webpki.org/keygen2/1.0#algorithm.aes.ecb.nopad">http://xmlns.webpki.org/keygen2/1.0#algorithm.aes.ecb.nopad</a> | See <a href="#">FIPS 197</a> . Support for 128, 192, and 256-bit keys      |
| <a href="http://xmlns.webpki.org/keygen2/1.0#algorithm.aes.ecb.pkcs5">http://xmlns.webpki.org/keygen2/1.0#algorithm.aes.ecb.pkcs5</a> |  |
| HMAC Operations   |  |
| <a href="http://www.w3.org/2000/09/xmlsig#hmac-sha1">http://www.w3.org/2000/09/xmlsig#hmac-sha1</a>                                   | See <a href="#">XML Signature</a>  |
| <a href="http://www.w3.org/2001/04/xmlsig-more#hmac-sha256">http://www.w3.org/2001/04/xmlsig-more#hmac-sha256</a>                     |  |
| Asymmetric Key Encryption   |  |
| <a href="http://www.w3.org/2001/04/xmlenc#rsa-1_5">http://www.w3.org/2001/04/xmlenc#rsa-1_5</a>                                       | See <a href="#">XML Encryption</a>   |
| Asymmetric Key Signatures   |  |
| <a href="http://www.w3.org/2000/09/xmlsig#rsa-sha1">http://www.w3.org/2000/09/xmlsig#rsa-sha1</a>                                     | See <a href="#">XML Signature</a>  |
| <a href="http://www.w3.org/2001/04/xmlsig-more#rsa-sha256">http://www.w3.org/2001/04/xmlsig-more#rsa-sha256</a>                       |  |
| <a href="http://www.w3.org/2001/04/xmlsig-more#ecdsa-sha256">http://www.w3.org/2001/04/xmlsig-more#ecdsa-sha256</a>                   |  |
| Session Keys  |  |
| <a href="http://xmlns.webpki.org/keygen2/1.0#algorithm.sk1">http://xmlns.webpki.org/keygen2/1.0#algorithm.sk1</a>                     | See <a href="#">createProvisioningSession</a>                              |
| Key Attestations  |  |
| <a href="http://xmlns.webpki.org/keygen2/1.0#algorithm.ka1">http://xmlns.webpki.org/keygen2/1.0#algorithm.ka1</a>                     | See <a href="#">Key Attestation Data</a> and <a href="#">createKeyPair</a> |
| Elliptic Curves   |  |
| <a href="urn:oid:1.2.840.10045.3.1.7">urn:oid:1.2.840.10045.3.1.7</a>   | Also known as “P-256”. See <a href="#">FIPS 186-3</a>                      |



## Data Types

The table below shows the data types used by the SKS API. Note that multi-byte integers are stored in big-endian fashion.

| Type   | Length     | Comment  |
|--------|------------|--|
| byte   | 1          | Unsigned byte (0 - 0xFF)   |
| bool   | 1          | Byte containing 0x01 (true) or 0x00 (false)  |
| short  | 2          | Unsigned two-byte integer (0 - 0xFFFF)   |
| int    | 4          | Unsigned four-byte integer (0 - 0xFFFFFFFF)  |
| byte[] | 2 + length | Array of bytes with a leading "short" holding the length of the data   |
| blob   | 4 + length | Long array of bytes with a leading "int" holding the length of the data  |
| id     | 2 + length | Special form of byte[] which MUST contain a 1-32 byte string according to the <a href="#">XML Schema</a> data type <i>NCName</i> |

If an array is followed by a number in brackets (byte[32]) it means that the array MUST be exactly of that length.

Variables and literals that represent textual data MUST be [UTF-8](#) encoded and *not* include a terminating null.

## Return Values

All methods return a single-byte status code. In case the status is  $\neq 0$  there is an error and any expected succeeding values MUST NOT be read as they are not supposed to be available. Instead there is a second return value containing a [UTF-8](#) encoded description in English to be used for logging and debugging purposes as shown below:

| Name                | Type   | Comment                            |
|---------------------|--------|------------------------------------|
| <b>Status</b>       | byte   | Non-zero (error) value             |
| <b>ErrorMessage</b> | byte[] | A human-readable error description |

## Error Codes

The following table shows the standard SKS error-codes:

| Name                        | Value | Comment  |
|-----------------------------|-------|--|
| <b>ERROR_AUTHORIZATION</b>  | 0x01  | Non-fatal error returned when there is something wrong with a supplied PIN or PUK code. See <a href="#">getKeyProtectionInfo</a> |
| <b>ERROR_NOT_ALLOWED</b>    | 0x02  | Operation is not allowed   |
| <b>ERROR_STORAGE</b>        | 0x03  | No persistent storage available for the operation  |
| <b>ERROR_MAC</b>            | 0x04  | MAC does not match supplied data   |
| <b>ERROR_CRYPT</b>          | 0x05  | Various cryptographic errors   |
| <b>ERROR_NO_SESSION</b>     | 0x06  | Provisioning session not found   |
| <b>ERROR_SESSION_VERIFY</b> | 0x07  | <a href="#">closeProvisioningSession</a> failed to verify  |
| <b>ERROR_NO_KEY</b>         | 0x08  | Key not found  |
| <b>ERROR_ALGORITHM</b>      | 0x09  | Unknown or not fitting algorithm   |
| <b>ERROR_OPTION</b>         | 0x0A  | Invalid or unsupported option  |
| <b>ERROR_INTERNAL</b>       | 0x0B  | Internal error   |

## Key Policy Attributes

The following section describes the attributes issuers need to set for defining suitable protection policies for keys. Also see [getKeyProtectionInfo](#), [PrivateKeyBackup](#), [Updatable](#), and [EnablePINCaching](#).

## Export Control

The following table illustrates the use of the [ExportPolicy](#) attribute:

| KeyGen2 Name          | Value | Comment                                       |
|-----------------------|-------|---|
| <b>non-exportable</b> | 0x00  | The key MUST NOT be exported                  |
| <b>pin</b>            | 0x01  | Correct PIN is required                       |
| <b>puk</b>            | 0x02  | Correct PUK is required                       |
| <b>none</b>           | 0x03  | No authorization needed for exporting the key |

Also see [exportKey](#).

## PIN Input Control

The [InputMethod](#) policy attribute tells how PIN codes SHOULD be inputted to the SKS according to the following table:

| KeyGen2 Name        | Value | Comment  |
|---------------------|-------|--|
| <b>any</b>          | 0x00  | No restrictions  |
| <b>programmatic</b> | 0x01  | PINs SHOULD only be issued through the SKS User API  |
| <b>trusted-gui</b>  | 0x02  | Keys SHOULD only be used through a trusted GUI that does the actual PIN request and API invocation |

Note that this policy attribute requires that the middleware is “cooperative” to be enforced.

## PIN Grouping Control

A PIN policy object may govern multiple keys. The [Grouping](#) policy attribute controls how PIN codes to the different keys may relate to each other according to the following table:

| KeyGen2 Name              | Value | Comment  |
|---------------------------|-------|--|
| <b>none</b>               | 0x00  | No restrictions  |
| <b>shared</b>             | 0x01  | All keys share the <i>same</i> PIN (synchronized)  |
| <b>signature+standard</b> | 0x02  | Keys with <a href="#">Key Usage</a> = <b>signature</b> share one PIN while all other keys share <i>another</i> PIN |
| <b>unique</b>             | 0x03  | All keys must have <i>different</i> PIN codes  |

During provisioning the middleware MUST maintain the PIN policy and optionally ask the user to create another PIN if there is a policy mismatch because [createKeyPair](#) will return an error if it fed with inappropriate arguments.

Keys protected by a **shared** PIN MUST be treated as having a single PIN error counter.

*Continued on the next page...*

## PIN Pattern Control

The [PatternRestrictions](#) policy attribute specifies how PIN codes MUST NOT be designed according to the following table:

| KeyGen2 Name          | Mask | Comment   |
|-----------------------|------|---|
| <b>two-in-a-row</b>   | 0x01 | Flags 1124  |
| <b>three-in-a-row</b> | 0x02 | Flags 1114  |
| <b>sequence</b>       | 0x04 | Flags 1234, 9876, etc   |
| <b>repeated</b>       | 0x08 | All PIN bytes MUST be <i>unique</i>   |
| <b>missing-group</b>  | 0x10 | Flags 135674 for an alphanumeric PIN. See <a href="#">PIN and PUK Formats</a> |

Note that the [PatternRestrictions](#) policy attribute is a byte holding a *set of bits*. That is, 0x00 means that there are no pattern restrictions, while 0x06 imposes two constraints. Also note that pattern policy checking is supposed to be applied at the *binary* level which has implications for the binary PIN format (see [PIN and PUK Formats](#)).

For organizations having very strict or unusual requirements on PIN patterns, it is RECOMMENDED letting the user define PINs during enrollment in a web application and then deploy issuer-set PIN codes during provisioning.

## PIN and PUK Formats

PIN and PUK codes MUST adhere to one of formats described in the following table:

| KeyGen2 Name        | Value | Comment  |
|---------------------|-------|--|
| <b>numeric</b>      | 0x00  | 0 - 9  |
| <b>alphanumeric</b> | 0x01  | 0 - 9, A - Z                                       |
| <b>string</b>       | 0x02  | Any valid <a href="#">UTF-8</a> string             |
| <b>binary</b>       | 0x03  | Binary value, typically issued as hexadecimal data |

*Note that format specifiers only deal with how PINs and PUKs are treated in GUIs; internally key protection data is always stored as strings of bytes.*

Length of the clear-text binary value MUST NOT exceed 100 bytes.

See **Format** attribute in [createPINPolicy](#) and [createPUKPolicy](#).

## Delete Control

The following table illustrates the use of the [DeletePolicy](#) attribute:

| KeyGen2 Name | Value | Comment                      |
|--------------|-------|------------------------------|
| <b>none</b>  | 0x00  | No delete restrictions apply |
| <b>pin</b>   | 0x01  | Correct PIN is required      |
| <b>puk</b>   | 0x02  | Correct PUK is required      |

Also see [deleteKey](#).

*Continued on the next page...*

## Biometric Protection

SKS also supports options for using biometric data as an alternative to PINs. See [getDeviceInfo](#). The following table shows the biometric protection options as defined by the [BiometricProtection](#) policy attribute:

| KeyGen2 Name       | Value | Comment  |
|--------------------|-------|--|
| <b>none</b>        | 0x00  | No biometric protection                                      |
| <b>alternative</b> | 0x01  | The key may be authorized with a PIN <i>or</i> by biometrics |
| <b>combined</b>    | 0x02  | The key is protected by a PIN <i>and</i> by biometrics       |
| <b>exclusive</b>   | 0x03  | The key is <i>only</i> protected by biometrics               |

Note that there is no API support for biometric authentication, such information is typically provided through GPIO (General Purpose Input Output) ports between the biometric sensor and the SKS. The type of biometrics used is outside the scope of SKS and is usually established during enrollment.

The biometric protection option is only intended to be applied to [User API](#) methods like [signHashedData](#).

## Key Usage

The [KeyUsage](#) policy attribute specifies how *asymmetric keys* may be used according to the following table:

| KeyGen2 Name          | Value | Notes   | Comment   |
|-----------------------|-------|---------|---|
| <b>signature</b>      | 0x00  | 1       | The key MUST only be used in signature applications like <a href="#">S/MIME</a>   |
| <b>authentication</b> | 0x01  | 1       | The key MUST only be used in authentication applications  |
| <b>encryption</b>     | 0x02  | 1       | The key MUST only be used for <a href="#">PKCS #1</a> or <a href="#">Diffie-Hellman</a> encryption operations for RSA and ECC respectively            |
| <b>universal</b>      | 0x03  | 1       | There are no restrictions on key usage  |
| <b>transport</b>      | 0x04  | 1, 2, 3 | The private key MUST NOT be available for the <a href="#">User API</a>  |
| <b>symmetric-key</b>  | 0x05  | 3       | The key MUST include a “piggybacked” symmetric key during provisioning. The private key MUST be <i>disabled</i> . See <a href="#">setSymmetricKey</a> |

The purpose of the **signature** and **authentication** attributes is aiding the GUI middleware to request the proper PIN for the user. In most real-world deployments they will coincide with the [X.509 nonRepudiation](#) and **digitalSignature** bits respectively. Also see [PIN Grouping Control](#).

### Notes

1. The key MUST NOT be subject to a [setSymmetricKey](#) operation.
2. The key MUST NOT be exportable. See [Export Control](#).
3. The key MUST NOT have the [ImportPrivateKey](#) or [PrivateKeyBackup](#) attributes set to true.

## Methods

This section provides a (*not yet complete...*) list of the SKS methods. The number in parenthesis holds the *decimal* value used to identify the method in a call. Method calls are formatted as strings of bytes where the first byte is the method ID and the succeeding bytes the applicable argument data. [User API](#) methods have method IDs  $\geq 100$ .

## createProvisioningSession (1)

### Input

| Name                       | Type    | Comment  |
|----------------------------|---------|--|
| <b>SessionKeyAlgorithm</b> | byte[]  | Session creation algorithm. See next page and <a href="#">Session Keys</a>       |
| <b>ServerSessionID</b>     | id      | Server-created provisioning ID which SHOULD be unique for the server             |
| <b>ServerEphemeralKey</b>  | byte[]  | Server-created ephemeral ECDH key. See <a href="#">ServerEphemeralKey</a>        |
| <b>IssuerURI</b>           | byte[]  | URI associated with the issuer. See <a href="#">IssuerURI</a>                    |
| <b>Updatable</b>           | bool    | True if objects created in the session should support post provisioning updates  |
| <b>ClientTime</b>          | byte[8] | Locally acquired time in UNIX “epoch” format including milliseconds              |
| <b>SessionLifeTime</b>     | int     | Validity of the provisioning session in seconds                                  |
| <b>SessionKeyLimit</b>     | short   | Upper limit of <b>SessionKey</b> operations. See <a href="#">SessionKeyLimit</a> |

### Output

| Name                      | Type   | Comment  |
|---------------------------|--------|--|
| <b>Status</b>             | byte   | See <a href="#">Return Values</a>  |
| <b>ClientSessionID</b>    | id     | SKS-created provisioning ID which MUST be unique                                 |
| <b>ClientEphemeralKey</b> | byte[] | SKS-created ephemeral ECDH key which MUST be in <a href="#">X.509</a> DER format |
| <b>SessionAttestation</b> | byte[] | Session attestation signature  |
| <b>ProvisioningHandle</b> | int    | Local handle to created provisioning session                                     |

**createProvisioningSession** establishes a *persistent session key* that is only known by the issuer and the SKS for usage in subsequent provisioning steps. In addition, the SKS is *optionally* authenticated by the issuer.

*Continued on the next page...*

Shown below is the mandatory to support SKS session key creation algorithm:

<http://xmlns.webpki.org/keygen2/1.0#algorithm.sk1>

- Generate a for this SKS *unique* **ClientSessionID**
- Output **ClientSessionID**
- Generate an *ephemeral* ECDH key-pair **EKP** using *the same named curve* as **ServerEphemeralKey**
- Output **ClientEphemeralKey** = **EKP.PublicKey**
- Apply the [SP800-56A](#) C(2, 0, ECC CDH) algorithm on **EKP.PrivateKey** and **ServerEphemeralKey** creating a shared secret **z**
- Apply the [SP800-56A](#) Concatenation KDF alternative 1 on **z** with the following parameters:
  - Hash function (H): [SHA256](#)
  - keydatalen: 256
  - OtherInfo: BitString ("0000") ||  
                  BitString (**IssuerURI**) ||  
                  BitString ([Device Certificate](#)) ||  
                  BitString (**ClientSessionID** || **ServerSessionID**)  

*// AlgorithmID*  
*// PartyUInfo*  
*// PartyVInfo*  
*// SuppPrivInfo*

*Note: the BitString function prepends a two-byte length indicator to the byte array argument*

Collect result in *DerivedKeyingMaterial*
- Define a variable byte[32] **SessionKey** and assign it the value of *DerivedKeyingMaterial*
- Output **SessionAttestation** = [Sign](#) (*Attestation Private Key*, *// See Architecture*  
[HMAC-SHA256](#) (**SessionKey**, **ClientSessionID** ||  
**ServerSessionID** ||  
**IssuerURI** ||  
**ServerEphemeralKey** ||  
**EKP.PublicKey** ||  
**Updatable** ||  
**ClientTime** ||  
**SessionLifeTime** ||  
**SessionKeyLimit**))
- Define a variable short **MACSequenceCounter** and set it to zero
- Store **SessionKey**, **MACSequenceCounter**, **ClientSessionID**, **ServerSessionID**, **IssuerURI**, **Updatable**, **ClientTime**, **SessionLifeTime** and **SessionKeyLimit** in the [Credential Database](#) and return a handle to the database entry in **ProvisioningHandle**
- Output **ProvisioningHandle**

Creation of a session key is an *atomic* operation.

Continued on the next page...

## Remarks

If any succeeding operation in the same provisioning session, is regarded as incorrect by the SKS, *the session MUST be terminated and removed from internal storage including all associated data created in the session.*

An SKS SHOULD only constrain the number of simultaneous sessions due to lack of storage.

A provisioning session **SHOULD NOT** be terminated due to power down of an SKS.

Using [KeyGen2](#) **IssuerURI** is the URL to which the result of this method is POSTed. The string MUST NOT exceed 1024 bytes.

**ServerEphemeralKey** MUST be in [X.509](#) DER format and MUST match the [Elliptic Curves](#) capabilities given by [getDeviceInfo](#).

The *Sign* function MUST use [DIAS](#) or [PKCS #1](#) RSASSA signatures for RSA keys and [ECDSA](#) for ECC keys with [SHA256](#) as the hash function. The distinction between RSA and ECDSA keys is performed through the [Device Certificate](#) (see [getDeviceInfo](#)) which in [KeyGen2](#) is supplied as well as a part of the response to the issuer, while a [DIAS](#) signature also requires the DIAS policy OID to be present in the [Device Certificate](#).

**ProvisioningHandle** MUST be *static, unique* and never be reused.

The **SessionKeyAlgorithm** does not only define the creation of **SessionKey**, but also the integrity, confidentiality, and attestation mechanisms used during the provisioning session. See [MAC Operations](#), [Encrypted Data](#), and [Attestations](#).

The `SessionKeyLimit` attribute MUST be large enough to handle all `SessionKey` related operations required during the rest of the provisioning session, otherwise the session MUST be terminated. See [Session Security Mechanisms](#). Note that `setSymmetricKey` actually uses *two* `SessionKey` operations, while `postProvisioningDeleteKey` also depends on the `SessionKeyLimit` of a *previous* session.

On the server side the following SHOULD be performed:

## Server Response Validation

- Decide if **DeviceCertificate** is to be accepted/trusted. See [ProvisioningSessionResponse](#)
- Run the the same [SP800-56A](#) procedures as for the SKS but now using **ClientEphemeralKey** and the saved private key of **ServerEphemeralKey** to obtain **SessionKey**
- VerifySignature (**DeviceCertificate.PublicKey**,  
**SessionAttestation**,  
[HMAC-SHA256](#) (**SessionKey**, **ClientSessionID** ||  
**ServerSessionID** ||  
**IssuerURI** ||  
**ServerEphemeralKey** ||  
**ClientEphemeralKey** ||  
**Updatable** ||  
**ClientTime** ||  
**SessionLifeTime** ||  
**SessionKeyLimit**))

// Received

// Received

// Received

// Saved

// Saved

// Saved

// Received

// Saved

// Received

// Saved

If all tests above succeed the issuer server MAY continue with the actual provisioning process.

*Continued on the next page...*

When using KeyGen2 the *input* to `createProvisioningSession` is expressed as shown in the fragment below:

```
<ProvisioningSessionRequest ID="_0fa47ab3c00c ... a67992b6ac61c"
    SubmitURL="https://ca.example.com/enroll"
    Updatable="true"
    SessionLifeTime="50000"
    SessionKeyLimit="25"
    SessionKeyAlgorithm="http://xmlns.webpki.org/keygen2/1.0#algorithm.sk1" ... >

  <ServerEphemeralKey>
    <ds11:ECKeyValue>
      <ds11:NamedCurve URI="urn:oid:1.2.840.10045.3.1.7"/>
      <ds11:PublicKey>JK/mSALhBpUjjPAe/ ... fXG8z17eZV3mVDZTBM</ds11:PublicKey>
    </ds11:ECKeyValue>
  </ServerEphemeralKey>

</ProvisioningSessionRequest>
```

The table below illustrates argument mapping:

| KeyGen2 Element                                | SKS Counterpart     |
|--|---------------------|
| ProvisioningSessionRequest@ID                  | ServerSessionID     |
| ProvisioningSessionRequest@SubmitURL           | IssuerURI           |
| ProvisioningSessionRequest@Updatable           | Updatable           |
| ProvisioningSessionRequest@SessionLifeTime     | SessionLifeTime     |
| ProvisioningSessionRequest@SessionKeyLimit     | SessionKeyLimit     |
| ProvisioningSessionRequest@SessionKeyAlgorithm | SessionKeyAlgorithm |
| ServerEphemeralKey/ECKeyValue                  | ServerEphemeralKey  |
| Gathered by the local provisioning middleware  | ClientTime          |

Note: A few non-SKS-related KeyGen2 elements were omitted for brevity.

Continued on the next page...



When using [KeyGen2](#) the *output* from `createProvisioningSession` is translated as shown in the fragment below:

```
<ProvisioningSessionResponse ID="_126992b6 ... a8a6b484db8f"
    ServerSessionID="_0fa47ab3c00c ... a67992b6ac61c"
    ClientTime="2010-03-18T11:23:29Z"
    SessionAttestation="Ob7MvaXC/rNx/rkNZJEo ... 8lch/6snglszfpElrggQfl" ... >

  <ClientEphemeralKey>
    <ds11:ECKeyValue>
      <ds11:NamedCurve URI="urn:oid:1.2.840.10045.3.1.7"/>
      <ds11:PublicKey>PRZre90SQLp ... 16m9FokKxV3F40Y=</ds11:PublicKey>
    </ds11:ECKeyValue>
  </ClientEphemeralKey>
  <DeviceCertificate>
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAg ... hugc53W4nNzgg2w==</ds:X509Certificate>
    </ds:X509Data>
  </DeviceCertificate>
  <ds:Signature>
    <ds:SignedInfo>
      <ds:CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
      <ds:SignatureMethod Algorithm="http://www.w3.org/2001/04/xmldsig-more#hmac-sha256" />
      <ds:Reference URI="#_126992b6 ... a8a6b484db8f">
        <ds:Transforms>
          <ds:Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
          <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        </ds:Transforms>
        <ds:DigestMethod Algorithm="http://www.w3.org/2001/04/xmlenc#sha256" />
        <ds:DigestValue>yLD0zNA48Xt9xXNHuBUIK0hL51zn0SYj2lfDXm42PLc=</ds:DigestValue>
      </ds:Reference>
    </ds:SignedInfo>
    <ds:SignatureValue>aRiSdmrn/KgtjqtTReF+6DOulemRuw2xV9yuOPAlMj8=</ds:SignatureValue>
    <ds:KeyInfo>
      <ds:KeyName>derived-session-key</ds:KeyName>
    </ds:KeyInfo>
  </ds:Signature>

</ProvisioningSessionResponse>
```

The table below illustrates mapping:

| KeyGen2 Element   | SKS Counterpart  |
|---|--|
| <code>ProvisioningSessionResponse@ID</code>                 | <code>ClientSessionID</code>                             |
| <code>ProvisioningSessionResponse@ServerSessionID</code>    | <code>Input.ServerSessionID</code>                       |
| <code>ProvisioningSessionResponse@ClientTime</code>         | <code>Input.ClientTime</code>                            |
| <code>ProvisioningSessionResponse@SessionAttestation</code> | <code>SessionAttestation</code>                          |
| <code>ClientEphemeralKey/ECKeyValue</code>                  | <code>ClientEphemeralKey</code>                          |
| <code>DeviceCertificate/X509Data/X509Certificate</code>     | Acquired through <a href="#">getDeviceInfo</a>           |
| <code>Signature/SignatureValue</code>                       | Created with <a href="#">signProvisioningSessionData</a> |

Note: A few non-SKS-related [KeyGen2](#) elements were omitted for brevity.

Apart from needed for verifying the `SessionAttestation` signature the [Device Certificate](#) identifies the SKS. See [Security Considerations](#).

## closeProvisioningSession (2)

### Input

| Name                      | Type     | Comment   |
|---------------------------|----------|---|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session         |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation |

### Output

| Name                    | Type     | Comment  |
|-------------------------|----------|--|
| <b>Status</b>           | byte     | See <a href="#">Return Values</a>  |
| <b>AttestedResponse</b> | byte[32] | Attestation of the provisioning result. See <a href="#">Attestations</a> |

**closeProvisioningSession** terminates a provisioning session and returns a proof of successful operation to the issuer. However, success status **MUST** only be returned if *all* of the following conditions are valid:

- There is an open provisioning session associated with **ProvisioningHandle**
- The **MAC** computes correctly using the method described in [MAC Operations](#) where *Data* is arranged as follows:  
$$Data = ClientSessionID \parallel ServerSessionID \parallel IssuerURI$$
- All generated keys are fully provisioned which means that matching public key certificates have been deployed. See [setCertificatePath](#)
- There are no unreferenced PIN or PUK policy objects. See [createPUKPolicy](#) and [createPINPolicy](#)

When a provisioning session has been successfully closed by this method, it remains stored until all associated keys have been deleted. However, a closed provisioned session will only be a target for updates if its [Updatable](#) flag is true.

If the verification is successful, **closeProvisioningSession** **MUST** also reassign the provisioning session ownership to the current (closing) session for *all* objects belonging to sessions that have been subject to a post provisioning operation. The original session objects **MUST** subsequently be deleted since they have no mission anymore.

Using [KeyGen2](#) **closeProvisioningSession** is invoked as the last step of processing [CredentialDeploymentRequest](#).

The **AttestedResponse** is the result of attesting:

$$Data = "Success" \parallel MACSequenceCounter$$

Note that [MACSequenceCounter](#) is *incremented* by the initial MAC operation.

Also see [SessionKeyLimit](#).

## enumerateProvisioningSessions (3)

### Input

| Name                      | Type | Comment  |
|---------------------------|------|--|
| <b>ProvisioningHandle</b> | int  | Input enumeration handle   |
| <b>ProvisioningState</b>  | bool | If true list only <i>open</i> provisioning sessions. If false list only <i>closed</i> dittos |

### Output

| Name  | Type    | Comment                                       |
|---|---------|---|
| <b>Status</b>   | byte    | See <a href="#">Return Values</a>             |
| <b>ProvisioningHandle</b>   | int     | Output enumeration handle                     |
| <i>The following elements MUST only be emitted if <b>ProvisioningHandle</b> &lt;&gt; 0xFFFFFFFF</i> |         |   |
| <b>ClientTime</b>   | byte[8] | See <a href="#">createProvisioningSession</a> |
| <b>SessionLifeTime</b>  | int     |   |
| <b>ServerSessionID</b>  | id      |   |
| <b>ClientSessionID</b>  | id      |   |
| <b>IssuerURI</b>  | byte[]  |   |

**enumerateProvisioningSessions** is primarily intended to be used by provisioning middleware for retrieving handles to *open* provisioning sessions in sessions that are interrupted due to a certification process or similar.

In addition, users of portable SKSes (like smart cards), may carry out provisioning steps on *different* computers through this method.

**enumerateProvisioningSessions** may also be useful for debugging and “cleaning” purposes.

The input **ProvisioningHandle** is initially set to 0xFFFFFFFF to start an enumeration round.

Succeeding calls should use the output **ProvisioningHandle** as input to the next call.

When **enumerateProvisioningSessions** returns with a **ProvisioningHandle** = 0xFFFFFFFF there are no more provisioning objects to read.

## abortProvisioningSession (4)

### Input

| Name                      | Type | Comment   |
|---------------------------|------|---|
| <b>ProvisioningHandle</b> | int  | Local handle to an <i>open</i> provisioning session |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**abortProvisioningSession** is intended to be used by provisioning middleware if an unrecoverable error occurs in the communication with the issuer, or if a user cancels a session. If there is a matching and still *open* provisioning session, all associated data is removed from the SKS, otherwise an error is returned.

## signProvisioningSessionData (5)

### Input

| Name                      | Type   | Comment   |
|---------------------------|--------|---|
| <b>ProvisioningHandle</b> | int    | Local handle to an <i>open</i> provisioning session |
| <b>Data</b>               | byte[] | Data to be signed                                   |

### Output

| Name          | Type     | Comment                           |
|---------------|----------|-----------------------------------|
| <b>Status</b> | byte     | See <a href="#">Return Values</a> |
| <b>Result</b> | byte[32] | Signed data                       |

**signProvisioningSessionData** signs *arbitrary data* that is supplied *by the provisioning middleware*.

The purpose of **signProvisioningSessionData** is adding data integrity to provisioning messages from clients to issuers.

The signature scheme is as follows:

**Result** = [HMAC-SHA256](#) ([SessionKey](#) || "External Signature", **Data**)

A *relying party* MUST distinguish between such signatures and [Attestations](#) since only the latter are actually vouched for by the SKS.

Also see [SessionKeyLimit](#).

## createPUKPolicy (7)

### Input

| Name                      | Type   | Comment  |
|---------------------------|--------|--|
| <b>ProvisioningHandle</b> | int    | Local handle to an <i>open</i> provisioning session  |
| <b>ID</b>                 | id     | <i>External name</i> of the PUK policy object. PUK IDs MUST be unique <i>within</i> a provisioning session   |
| <b>PUKValue</b>           | byte[] | Encrypted PUK value. See <a href="#">Encrypted Data</a>  |
| <b>Format</b>             | byte   | Format of PUK strings. See <a href="#">PIN and PUK Formats</a>   |
| <b>RetryLimit</b>         | short  | Number of incorrect PUK values ( <i>in a sequence</i> ), forcing the PUK object to permanently lock up. A zero value indicates that there is no limit but that the SKS will introduce an <i>internal</i> 1-10 second delay <i>before</i> acting on an unlock operation in order to thwart exhaustive attacks |

### Output

| Name                   | Type | Comment  |
|------------------------|------|--|
| <b>Status</b>          | byte | See <a href="#">Return Values</a>                    |
| <b>PUKPolicyHandle</b> | int  | Non-zero handle to locally defined PUK policy object |

**createPUKPolicy** creates a local PUK policy object in the [Credential Database](#) to be referenced by subsequent calls to the [createPINPolicy](#) method.

The purpose of a PUK is to facilitate a master key for unlocking keys that have locked-up due to faulty PIN entries. See [unlockKey](#).

PUK policy objects are not directly addressable after provisioning; in order to read PUK policy data, you need to use an associated key handle as input. See [getKeyProtectionInfo](#).

## createPINPolicy (8)

### Input

| Name                       | Type  | Comment   |
|----------------------------|-------|---|
| <b>ProvisioningHandle</b>  | int   | Local handle to an <i>open</i> provisioning session   |
| <b>ID</b>                  | id    | <i>External name</i> of the PIN policy object. PIN IDs MUST be unique <i>within</i> a provisioning session                                  |
| <b>PUKPolicyHandle</b>     | int   | Handle to a governing PUK policy object or zero   |
| <b>UserDefined</b>         | bool  | True if PINs belonging to keys governed by the PIN policy are supposed to be set by the user or by the issuer. See <a href="#">PINValue</a> |
| <b>UserModifiable</b>      | bool  | True if PINs can be changed by the user after provisioning  |
| <b>Format</b>              | byte  | Format of PIN strings. See <a href="#">PIN and PUK Formats</a>  |
| <b>RetryLimit</b>          | short | Non-zero value holding the number of incorrect PIN values ( <i>in a sequence</i> ), forcing a key to lock up                                |
| <b>Grouping</b>            | byte  | See <a href="#">PIN Grouping Control</a>  |
| <b>PatternRestrictions</b> | byte  | See <a href="#">PIN Pattern Control</a>   |
| <b>MinLength</b>           | byte  | Minimum PIN length in <i>bytes</i> . See <a href="#">PIN and PUK Formats</a>  |
| <b>MaxLength</b>           | byte  | Maximum PIN length in <i>bytes</i> . See <a href="#">PIN and PUK Formats</a>  |
| <b>InputMethod</b>         | byte  | See <a href="#">PIN Input Control</a>   |

### Output

| Name                   | Type | Comment  |
|------------------------|------|--|
| <b>Status</b>          | byte | See <a href="#">Return Values</a>                    |
| <b>PINPolicyHandle</b> | int  | Non-zero handle to locally defined PIN policy object |

**createPINPolicy** creates a local PIN policy object in the [Credential Database](#) to be referenced by subsequent calls to the [createKeyPair](#) method.

If **PUKPolicyHandle** is zero no PUK is associated with the PIN policy object.

PIN policy objects are not directly addressable after provisioning; in order to read PIN policy data, you need to use an associated key handle as input. See [getKeyProtectionInfo](#).

## createKeyPair (9)

### Input

| Name  | Type     | Comment  |
|---|----------|--|
| <b>ProvisioningHandle</b>                                       | int      | Local handle to an <i>open</i> provisioning session  |
| <b>AttestationAlgorithm</b>                                     | byte[]   | Attestation algorithm. See <a href="#">Key Attestations</a>  |
| <b>ServerSeed</b>   | byte[32] | Server input to the random number generation process. See <a href="#">ServerSeed</a>   |
| <b>ID</b>   | id       | <i>External name</i> of the key. Key IDs MUST be unique <i>within</i> a provisioning session   |
| <b>PINPolicyHandle</b>  | int      | Handle to a governing PIN policy object or zero  |
| <b>PINValue</b>   | byte[]   | Object which MUST depending on <b>PINPolicyHandle</b> either be of zero length, else depending on <a href="#">UserDefined</a> contain a plain-text PIN value defined by the user or constitute of an encrypted PIN set by the issuer (see <a href="#">Encrypted Data</a> ) |
| <b>BiometricProtection</b>                                      | byte     | See <a href="#">Biometric Protection</a>   |
| <b>PrivateKeyBackup</b>   | bool     | True if the generated private key is to be outputted in <b>PrivateKey</b> for backup by the issuer   |
| <b>ExportPolicy</b>   | byte     | See <a href="#">Export Control</a>   |
| <b>Updatable</b>  | bool     | True if the key is subject to post provisioning updates. Note that this also requires the provisioning session's <b>Updatable</b> flag to be true  |
| <b>DeletePolicy</b>   | byte     | See <a href="#">Delete Control</a>   |
| <b>EnablePINCaching</b>   | bool     | True if middleware MAY cache PINs for this key   |
| <b>ImportPrivateKey</b>   | bool     | True if <a href="#">restorePrivateKey</a> is <i>expected</i> for this key  |
| <b>KeyUsage</b>   | byte     | See <a href="#">Key Usage</a>  |
| <b>FriendlyName</b>   | byte[]   | String of 0-100 bytes that will be associated with this key for use in GUIs  |
| <b>KeyAlgorithmType</b>   | byte     | Type of key to be generated: 0x00 = RSA, 0x01 = ECC  |
| <i>The following two elements are only defined for RSA keys</i> |          |  |
| <b>RSAPublicKeySize</b>   | short    | RSA key size in bits. See <a href="#">getDeviceInfo</a>  |
| <b>RSAPublicExponent</b>  | int      | Zero (use default) or a defined exponent. See <a href="#">getDeviceInfo</a>  |
| <i>The following element is only defined for ECC keys</i>       |          |  |
| <b>NamedCurve</b>   | byte[]   | Curve name URI. See <a href="#">Elliptic Curves</a>  |

### Output

| Name                      | Type   | Comment  |
|---------------------------|--------|--|
| <b>Status</b>             | byte   | See <a href="#">Return Values</a>  |
| <b>GeneratedPublicKey</b> | byte[] | Generated public key in <a href="#">X.509</a> DER representation   |
| <b>KeyAttestation</b>     | byte[] | Attestation of the authenticity of the generated public key and associated data. See <a href="#">Attestations</a>  |
| <b>PrivateKey</b>         | byte[] | <i>Optional.</i> This element MUST only be created if <b>PrivateKeyBackup</b> is true. If present it contains the generated private key in <a href="#">PKCS #8</a> format but wrapped as described in <a href="#">Encrypted Data</a> |
| <b>KeyHandle</b>          | int    | Local handle to created key-pair object  |

**createKeyPair** generates an asymmetric key-pair in the [Credential Database](#) according to the issuer's specification.



### Remarks

**KeyHandle** MUST be *static, unique* and never be reused.

If **PINPolicyHandle** is zero the key is not PIN-protected.

A **PINPolicyHandle** value of 0xFFFFFFFF presumes that the target SKS supports a “device PUK/PIN”, *otherwise an error is returned*. The characteristics of device PINs are out of scope for the SKS specification. See [getDeviceInfo](#).

A compliant SKS SHOULD use 65537 as the default RSA exponent value.

How **ServerSeed** is applied to the generation of random numbers unspecified. The only requirement is that it MUST NOT be able to reduce the entropy.

A non-zero **BiometricProtection** value presumes that the target SKS supports [Biometric Protection](#), *otherwise an error is returned*. See [getDeviceInfo](#).

To assure the issuer that the generated key-pair actually resides in the SKS, the public key, together with attributes and protection objects are attested (see [Attestations](#)) by the SKS according to the following *Data* scheme:

*Continued on the next page...*

```

////////////////////////////////////
// Key Attestation Data for: http://xmlns.webpki.org/keygen2/1.0#algorithm.kal //
////////////////////////////////////

addArray ("PUK Policy=");
if (PINPolicyHandle == 0 || PINPolicyHandle.PUKPolicyHandle == 0)
{
    addArray ("N/A");
}
else if (PINPolicyHandle == 0xFFFFFFFF) // Device PIN implies device PUK
{
    addArray ("Device");
}
else // Standard PUK
{
    addArray (PINPolicyHandle.PUKPolicyHandle.ID);
    addShort (PINPolicyHandle.PUKPolicyHandle.RetryLimit);
    addArray (PINPolicyHandle.PUKPolicyHandle.PUKValue); // Encrypted PUK
    addByte (PINPolicyHandle.PUKPolicyHandle.Format);
}
addArray ("PIN Policy=");
if (PINPolicyHandle == 0) // The key is not PIN protected
{
    addArray ("N/A");
}
else if (PINPolicyHandle == 0xFFFFFFFF) // The key is protected by a device PIN
{
    addArray ("Device");
}
else // Standard PIN protection
{
    addArray (PINPolicyHandle.ID);
    addBool (PINPolicyHandle.UserDefined);
    addArray (PINPolicyHandle.UserDefined ? "N/A" : PINValue); // Encrypted PIN
    addBool (PINPolicyHandle.UserModifiable);
    addByte (PINPolicyHandle.Format);
    addShort (PINPolicyHandle.RetryLimit);
    addByte (PINPolicyHandle.Grouping);
    addByte (PINPolicyHandle.PatternRestrictions);
    addByte (PINPolicyHandle.MinLength);
    addByte (PINPolicyHandle.MaxLength);
    addByte (PINPolicyHandle.InputMethod);
}
addArray ("Key=");
addArray (ID);
addArray (ServerSeed);
addArray (GeneratedPublicKey);
addBool (PrivateKeyBackup);
addArray (PrivateKeyBackup ? PrivateKey : "N/A"); // Encrypted private key
addByte (BiometricProtection);
addByte (ExportPolicy);
addBool (Updatable);
addByte (DeletePolicy);
addBool (EnablePINCaching);
addBool (ImportPrivateKey);
addByte (KeyUsage);
addArray (FriendlyName);

```

*Continued on the next page...*

The following XML extract shows a typical key generation (provisioning) request in [KeyGen2](#):

```
<KeyInitializationRequest KeyAttestationAlgorithm="http://xmlns.webpki.org/keygen2/1.0#algorithm.ka1" ... >
  <PUKPolicy ID="PUK.1" Format="numeric" RetryLimit="3" Value="mjRKrcuO ... 1O/e9mgMf3qw">
    <PINPolicy ID="PIN.1" Format="numeric" Grouping="shared" MaxLength="8" MinLength="4"
      PatternRestrictions="three-in-a-row sequence" RetryLimit="3">
      <KeyPair ID="Key.1" KeyUsage="encryption">
        <RSA KeySize="2048"/>
      </KeyPair>
      <KeyPair ID="Key.2" KeyUsage="authentication">
        <EC NamedCurve="urn:oid:1.2.840.10045.3.1.7"/>
      </KeyPair>
    </PINPolicy>
  </PUKPolicy>
</KeyInitializationRequest>
```

This sequence should be interpreted as a request for one RSA key and one ECC key where both keys are protected by a single (shared) user-defined (within the specified policy limits) PIN. The PIN is in turn governed by an issuer-defined, *protocol wise* secret PUK.

In the sample [KeyGen2](#) *default values* have been utilized which is why there are few *visible* key generation attributes.

When using [KeyGen2](#) the *output* from `createKeyPair` is translated as shown in the fragment below:

```
<KeyInitializationResponse ... >
  <GeneratedPublicKey ID="Key.1" KeyAttestation="X2oMtrm8rRL ... XyTvPuTbergHfnJw==">
    <ds:RSAKeyValue>
      <ds:Modulus>ALhBpUjJK/mSjPAe/ ... fXG8z1V3mVDZTBM7eZ</ds:Modulus>
      <ds:Exponent>AQAB</ds:Exponent>
    </ds:RSAKeyValue>
  </GeneratedPublicKey>
  <GeneratedPublicKey ID="Key.2" KeyAttestation="TbergHfrtm8rRL ... wyTvPX2XoMunJ==">
    <ds11:ECKeyValue>
      <ds11:NamedCurve URI="urn:oid:1.2.840.10045.3.1.7"/>
      <ds11:PublicKey>BMUY+QiZdFJyzozMgQqA ... ptPGNy/LcDVSXx7s/Y=</ds11:PublicKey>
    </ds11:ECKeyValue>
  </GeneratedPublicKey>
</KeyInitializationResponse>
```

A conforming server MUST after receipt of the response verify that the number and IDs of returned keys match the request. In addition, each returned key MUST be checked for correctness regarding attestation data and that the generated public key actually complies with that of the request.

## setCertificatePath (10)

### Input

| Name                      | Type     | Comment  |
|---------------------------|----------|--|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session  |
| <b>KeyHandle</b>          | int      | Local handle to a key-pair created in the provisioning session                                       |
| <b>PathLength</b>         | byte     | Non-zero value holding the number of <b>X509Certificate</b> objects in the call                      |
| <b>X509Certificate...</b> | byte[]   | DER-encoded <a href="#">X.509</a> certificate object <i>repeated</i> as defined by <b>PathLength</b> |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation  |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**setCertificatePath** attaches an [X.509](#) certificate path to an already created key-pair. See [createKeyPair](#).

The SKS does not verify that the certificate path and the public key match for keys having the [ImportPrivateKey](#) flag set because that would disable the [restorePrivateKey](#) method. For other keys, the SKS MAY perform such a test although it is redundant since the **MAC** is assumed to cater for the binding between certificate path and the generated public key. That is, a conforming SKS MAY always treat certificate path data as “an array of blobs”.

Note that **X509Certificate** objects MUST form an *ordered* certificate path so that the *first* object contains the *End-Entity Certificate* holding the public key of the target key-pair.

The certificate path MUST NOT contain any “holes” but does not have to be complete (include all CAs).

The **MAC** uses the method described in [MAC Operations](#) where *Data* is arranged as follows:

*Data* = **KeyHandle.GeneratedPublicKey** || **KeyHandle.ID** || **X509Certificate...**

*Continued on the next page...*

The following [KeyGen2](#) fragment shows its interaction with `setCertificatePath`:

```
<CredentialDeploymentRequest ClientSessionID="_126992b6 ... a8a6b484db8f"
                               ID="_0fa47ab3c00c ... a67992b6ac61c"
                               IssuerURI="https://ca.example.com/enroll" ... >

  <CertifiedPublicKey ID="Key.1" MAC="ngSgm4cYeJnFRuPgznqE ... H2BEEIFWrM421w9SYAbY=">
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAgS ... NRT+VokJJsBecyALgeT0Dw==</ds:X509Certificate>
    </ds:X509Data>
  </CertifiedPublicKey>

</CredentialDeploymentRequest>
```

The table below illustrates argument mapping:

| KeyGen2 Element                             | SKS Counterpart                    |
|---|------------------------------------|
| CredentialDeploymentRequest@ClientSessionID | ProvisioningHandle.ClientSessionID |
| CredentialDeploymentRequest@ID              | ProvisioningHandle.ServerSessionID |
| CredentialDeploymentRequest@IssuerURI       | ProvisioningHandle.IssuerURI       |
| CertifiedPublicKey@ID                       | KeyHandle.ID                       |
| CertifiedPublicKey@MAC                      | MAC                                |
| X509Certificate...                          | X509Certificate...                 |

The actual `ProvisioningHandle` and `KeyHandle` can be retrieved by calling [Error: Reference source not found](#) and `enumerateKeys` respectively. Note that in an *interactive* provisioning session, the various handles and IDs involved are preferably cached by the provisioning middleware, eliminating the need for enumerating keys etc.

## setSymmetricKey (11)

Input

| Name                        | Type     | Comment  |
|-----------------------------|----------|--|
| <b>ProvisioningHandle</b>   | int      | Local handle to an <i>open</i> provisioning session                                  |
| <b>KeyHandle</b>            | int      | Local handle to a key-pair created in the provisioning session                       |
| <b>SymmetricKey</b>         | byte[]   | “Piggybacked” symmetric key encrypted as described in <a href="#">Encrypted Data</a> |
| <b>Algorithms</b>           | byte     | Non-zero value holding the number of <b>EndorsedAlgorithm</b> URIs                   |
| <b>EndorsedAlgorithm...</b> | byte[]   | Endorsed symmetric key algorithm URI <i>repeated</i> as defined by <b>Algorithms</b> |
| <b>MAC</b>                  | byte[32] | Vouches for the integrity and authenticity of the operation                          |

Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**setSymmetricKey** imports and associates a symmetric key with an already created key-pair and certificate.

The **MAC** uses the method described in [MAC Operations](#) where *Data* is arranged as follows:

*Data* = [End-Entity Certificate](#) || **SymmetricKey** || *EndorsedAlgorithms*

*EndorsedAlgorithms* denotes a string consisting of the *concatenated* **EndorsedAlgorithm** URIs.

Note that **SymmetricKey** is MACed “as is” and *then* decrypted by the SKS before storing.

**EndorsedAlgorithm** URIs MUST be *sorted in ascending alphabetical order* before calling **setSymmetricKey**.

**EndorsedAlgorithm** URIs MUST be compatible with [Algorithm Support](#).

There MUST only be a single symmetric key defined for a given key-pair. See [Key Usage](#).

The following [KeyGen2](#) fragment shows the “piggyback” arrangement:

```
<CredentialDeploymentRequest ClientSessionID="_126992b6 ... a8a6b484db8f"
                               ID="_0fa47ab3c00c ... a67992b6ac61c"
                               IssuerURI="https://ca.example.com/enroll" ... >

  <CertifiedPublicKey ID="Key.1" MAC="ngSgm4cYeJnFRuPgznqE ... H2BEEIFWrM421w9SYAbY=">
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAgS ... NRT+VokJJsBecyALgeT0Dw==</ds:X509Certificate>
    </ds:X509Data>
    <SymmetricKey EndorsedAlgorithms="http://www.w3.org/2000/09/xmldsig#hmac-sha1"
                  MAC="je7KiznTIQXFdUMRI ... vlnumZCjxSl1CrcqcGkl=">vInt09Esmg94v ...
                                                                YU3tgldhcNNby</SymmetricKey>
  </CertifiedPublicKey>

</CredentialDeploymentRequest>
```

For details on how to map keys and sessions, see [setCertificatePath](#).

Note that the [X.509](#) certificate serves as the key ID. That is, *SKS treats asymmetric and symmetric keys close to identically*.

## addExtensionData (12)

### Input

| Name                      | Type     | Comment  |
|---------------------------|----------|--|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session                            |
| <b>KeyHandle</b>          | int      | Local handle to a key-pair created in the provisioning session                 |
| <b>BaseType</b>           | byte     | See table below  |
| <b>Qualifier</b>          | byte[]   | See table below  |
| <b>ExtensionType</b>      | byte[]   | <a href="#">KeyGen2 Type</a> URI   |
| <b>ExtensionData</b>      | blob     | Extension object. Regarding size constraints see <a href="#">getDeviceInfo</a> |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation                    |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**addExtensionData** adds attribute (extension) data to an already created key-pair and certificate.

The **MAC** uses the method described in [MAC Operations](#) where *Data* is arranged as follows:

*Data* = [End-Entity Certificate](#) || **BaseType** || **Qualifier** || **ExtensionType** || **ExtensionData**

The following table shows **BaseType**, **Qualifier** and **ExtensionData** mapping using [KeyGen2](#):

| KeyGen2 Element           | BaseType | Qualifier       | ExtensionData  |
|---------------------------|----------|-----------------|--|
| <b>Extension</b>          | 0x00     | N/A             | Binary data extracted from <a href="#">Base64</a> -encoded XML           |
| <b>EncryptedExtension</b> | 0x01     | N/A             | Encrypted binary data extracted from <a href="#">Base64</a> -encoded XML |
| <b>PropertyBag</b>        | 0x02     | N/A             | See <a href="#">PropertyBag</a> canonicalization                         |
| <b>Logotype</b>           | 0x03     | <b>MimeType</b> | Binary image data extracted from <a href="#">Base64</a> -encoded XML     |

### Remarks

N/A = zero-length array.

Note the handling of the **EncryptedExtension**: **ExtensionData** which is encrypted as described in [Encrypted Data](#) is MACed “as is” and *then* decrypted by the SKS before storing.

All **ExtensionType** attributes associated with a given key **MUST** be unique.

Although not a part of the current SKS specification, an extension could be created for consumption by the SKS only, like downloaded [JavaCard](#) code. In that case the associated **ExtensionType** **MUST** be featured in the SKS *supported algorithm list*. See [getDeviceInfo](#) and [getExtensionObject](#).

*Continued on the next page...*

The XML schema extract below describes the [KeyGen2](#) representation of **PropertyBag** objects:

| PropertyBag Schema  |
|---|
| <pre>&lt;xs:element name="PropertyBag"&gt;   &lt;xs:complexType&gt;     &lt;xs:sequence&gt;       &lt;xs:element name="Property" maxOccurs="unbounded"&gt;         &lt;xs:complexType&gt;            &lt;!-- The name of the property --&gt;           &lt;xs:attribute name="Name" type="xs:string" use="required"/&gt;            &lt;!-- The value of the property --&gt;           &lt;xs:attribute name="Value" type="xs:string" use="required"/&gt;            &lt;!-- By default values are read-only but they may be declared as read/writable as well --&gt;           &lt;xs:attribute name="Writable" type="xs:boolean" use="optional"/&gt;          &lt;/xs:complexType&gt;       &lt;/xs:element&gt;     &lt;/xs:sequence&gt;      &lt;!-- ExtensionType in SKS terms --&gt;     &lt;xs:attribute name="Type" type="xs:anyURI" use="required"/&gt;      &lt;!-- MAC (Message Authentication Code) --&gt;     &lt;xs:attribute name="MAC" type="xs:base64Binary" use="required"/&gt;    &lt;/xs:complexType&gt; &lt;/xs:element&gt;</pre> |

A **PropertyBag** object MUST be converted to a *binary blob* before storage in SKS and MACing according to the following:

- Each **Property** line is translated into a composite object consisting of the following attributes and their transformed representation:

| Name   | Writable | Value  |
|--------|----------|--------|
| byte[] | bool     | byte[] |

See [Data Types](#)

- The resulting **Property** line objects are *concatenated* in the order they occur in the **PropertyBag** object

Note that there are no delimiters added between attributes or lines. The assembled blob holds the actual [ExtensionData](#).

*Continued on the next page...*



Below is a [KeyGen2](#) fragment showing an **Extension** object holding a [Base64](#)-encoded Information Card:

```
<CredentialDeploymentRequest ClientSessionID="_126992b6 ... a8a6b484db8f"
                               ID="_0fa47ab3c00c ... a67992b6ac61c"
                               IssuerURI="https://ca.example.com/enroll" ... >

  <CertifiedPublicKey ID="Key.1" MAC="ngSgm4cYeJnFRuPgznqE ... H2BEEIFWrM421w9SYAbY=">
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAgS ... NRT+VokJJsBecyALgeT0Dw==</ds:X509Certificate>
    </ds:X509Data>
    <Extension Type="http://schemas.xmlsoap.org/ws/2005/05/identity"
               MAC="UMRIje7KiznTIQXFd ... CrcqcGklvInumZCjxSI1=">PD94bWwgdmVyc2lvbj0iMS4w
               liBlbmHVyZS ... B4bWxuczpkc
               d3dy53My5vc</Extension>

  </CertifiedPublicKey>

</CredentialDeploymentRequest>
```

For details on how to map keys and sessions, see [setCertificatePath](#).

The following is a [KeyGen2](#) sample showing the **PropertyBag** and **Logotype** objects added to a symmetric key for usage by an [HOTP](#) application:

```
<CredentialDeploymentRequest ClientSessionID="_126992b6 ... a8a6b484db8f"
                               ID="_0fa47ab3c00c ... a67992b6ac61c"
                               IssuerURI="https://ca.example.com/enroll" ... >

  <CertifiedPublicKey ID="Key.1" MAC="ngSgm4cYeJnFRuPgznqE ... H2BEEIFWrM421w9SYAbY=">
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAgS ... NRT+VokJJsBecyALgeT0Dw==</ds:X509Certificate>
    </ds:X509Data>
    <SymmetricKey EndorsedAlgorithms="http://www.w3.org/2000/09/xmldsig#hmac-sha1"
                  MAC="je7KiznTIQXFdUMRI ... vInumZCjxSI1CrcqcGkl=">vInt09Esmg94v ...
                  YU3tgldhcNNby</SymmetricKey>

    <PropertyBag Type="http://xmlns.webpki.org/keygen2/1.0#provider.ietf-hotp"
                 MAC="jI0HDgwl4dO7Kzs ... uEH8MtykIS46JfiJ3N=">
      <Property Name="Counter" Value="0" Writable="true"/>
      <Property Name="Digits" Value="8"/>
    </PropertyBag>
    <Logotype MimeType="image/png"
              Type="http://xmlns.webpki.org/keygen2/1.0#logotype.application"
              MAC="+crSq5fv+7z+fx+f ... ZmRnhxIjO0bh0d=">iVBORw0KGgoAAAANSUHEUgAAALo
              AAABKCAIAAACD ... /tm/AAALjUIEQVR42u
              2d6W8UyRXA+=</Logotype>

  </CertifiedPublicKey>

</CredentialDeploymentRequest>
```

## restorePrivateKey (20)

### Input

| Name                      | Type     | Comment  |
|---------------------------|----------|--|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session  |
| <b>KeyHandle</b>          | int      | Local handle to a key-pair created in the provisioning session                                       |
| <b>PrivateKey</b>         | byte[]   | Private key in <a href="#">PKCS #8</a> format wrapped as described in <a href="#">Encrypted Data</a> |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation  |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**restorePrivateKey** replaces a generated private key with a key supplied by the issuer.

The **MAC** uses the method described in [MAC Operations](#) where *Data* is arranged as follows:

*Data* = [End-Entity Certificate](#) || **PrivateKey**

Note that **PrivateKey** is MACed “as is” and *then* decrypted by the SKS before storing.

The purpose of **restorePrivateKey** (preceded by [setCertificatePath](#)), is to install a certificate and private key that the issuer has kept a backup of although the certificate may have been renewed (while using the same key). It may also be used to deploy an entirely issuer-generated credential.

Prerequisite: see the [ImportPrivateKey](#) attribute.

A conforming SKS SHOULD NOT accept multiple restores of the same key within a provisioning session.

The following [KeyGen2](#) fragment shows how credentials that are to be restored should be formatted:

```
<CredentialDeploymentRequest ClientSessionID="_126992b6 ... a8a6b484db8f"
    ID="_0fa47ab3c00c ... a67992b6ac61c"
    IssuerURI="https://ca.example.com/enroll" ... >

  <CertifiedPublicKey ID="Key.1" MAC="ngSgm4cYeJnFRuPgznqE ... H2BEEIFWrM421w9SYAbY=">
    <ds:X509Data>
      <ds:X509Certificate>MIIC2TCCAcGgAwIBAgS ... NRT+VokJJsBecyALgeT0Dw==</ds:X509Certificate>
    </ds:X509Data>
    <PrivateKey MAC="umZCjxSl1znTIQX ... CrcqcGklvInFdUMRIje7Ki=">c2lvbj0iMSwgdMvy4wPD94bW
      VyZSliBlbmH ... ucpkcB4bWx
      My5vcd3dy53</PrivateKey>
    </CertifiedPublicKey>
  </CredentialDeploymentRequest>
```

For details on how to map keys and sessions, see [setCertificatePath](#).

## getDeviceInfo (31)

### Input

| Name   | Type | Comment |
|--|------|---------|
| <i>This method does not have any input arguments</i> |      |         |

### Output

| Name                        | Type   | Comment  |
|-----------------------------|--------|--|
| <b>Status</b>               | byte   | See <a href="#">Return Values</a>  |
| <b>APILevel</b>             | short  | 0x0001 => Applies to <i>this</i> API specification   |
| <b>VendorName</b>           | byte[] | 1-100 byte string holding the name of the vendor   |
| <b>VendorDescription</b>    | byte[] | 1-100 byte string holding a vendor description of the SKS device   |
| <b>DeviceCertPathLength</b> | byte   | Non-zero value holding the number of <b>X509Certificate</b> objects  |
| <b>X509Certificate...</b>   | byte[] | DER-encoded <a href="#">X.509</a> certificate object <i>repeated</i> as defined by <b>DeviceCertPathLength</b> |
| <b>Algorithms</b>           | short  | Non-zero value holding the number of <b>Algorithm</b> objects  |
| <b>Algorithm...</b>         | byte[] | Algorithm URI <i>repeated</i> as defined by <b>Algorithms</b> . See <a href="#">Algorithm Support</a>          |
| <b>RSAXponentSupport</b>    | bool   | True if the issuer may specify an <i>explicit</i> exponent value   |
| <b>RSAKeySizes</b>          | byte   | Number of supported RSA key sizes  |
| <b>RSAKeySize...</b>        | short  | Holds an RSA key size in <i>bits</i> and is <i>repeated</i> as defined by <b>RSAKeySizes</b>                   |
| <b>ExtensionDataSize</b>    | int    | Maximum size of <a href="#">ExtensionData</a> objects  |
| <b>DevicePINSupport</b>     | bool   | True if the SKS supports a device PIN. See <a href="#">createKeyPair</a>                                       |
| <b>BiometricSupport</b>     | bool   | True if the SKS supports biometric authentication options. See <a href="#">Biometric Protection</a>            |

**getDeviceData** lists the core characteristics of an SKS which is used by provisioning schemes like [KeyGen2](#).

Note that **X509Certificate** objects MUST form an *ordered* certificate path so that the *first* object contains the actual SKS [Device Certificate](#).

The certificate path MUST NOT contain any “holes” but does not have to be complete (include all CAs).

**RSAKeySizes** MUST be *ordered* so that the smallest key size is *first* in the list.

A compliant SKS MUST support at least 1024-bit and 2048-bit RSA keys.

A compliant SKS SHOULD support [ExtensionData](#) objects with sizes of at least 65536 bytes.

For ECC key generation see [Elliptic Curves](#).

## enumerateKeys (32)

### Input

| Name                     | Type | Comment   |
|--------------------------|------|---|
| <b>KeyHandle</b>         | int  | Input enumeration handle  |
| <b>ProvisioningState</b> | bool | If true only emit keys belonging to <i>open</i> provisioning sessions |

### Output

| Name   | Type | Comment  |
|--|------|--|
| <b>Status</b>  | byte | See <a href="#">Return Values</a>                    |
| <b>KeyHandle</b>   | int  | Output enumeration handle                            |
| <i>Key attribute data MUST only be emitted if <b>KeyHandle</b> &lt;&gt; 0xFFFFFFFF</i> |      |  |
| <b>ProvisioningHandle</b>  | int  | Handle to the associated provisioning session object |
| <b>ID</b>  | id   | See <a href="#">createKeyPair</a>                    |

**enumerateKeys** enumerate keys for open or closed provisioning sessions. Closed provisioning session means that the key is ready for usage by *applications*.

When **enumerateKeys** returns with a **KeyHandle** = 0xFFFFFFFF there are no more key objects to read.

## postProvisioningDeleteKey (50)

### Input

| Name                      | Type     | Comment   |
|---------------------------|----------|---|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session         |
| <b>KeyHandle</b>          | int      | Local handle to the target key                              |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**postProvisioningDeleteKey** deletes a key created in an earlier provisioning session. In order to perform this operation the issuer MUST supply a matching MAC according to [MAC Operations](#) where *Data* is arranged as follows:

*Data* = [Post Provisioning MAC](#)

The key to be deleted MUST be present, otherwise the provisioning session will be aborted. See [Remote Key Lookup](#).

## postProvisioningUpdateKey (51)

### Input

| Name                      | Type     | Comment  |
|---------------------------|----------|--|
| <b>ProvisioningHandle</b> | int      | Local handle to an <i>open</i> provisioning session                            |
| <b>KeyHandle</b>          | int      | Local handle to a new key associated by <a href="#">End-Entity Certificate</a> |
| <b>KeyHandleOriginal</b>  | int      | Local handle to the old (=target) key  |
| <b>MAC</b>                | byte[32] | Vouches for the integrity and authenticity of the operation                    |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**postProvisioningUpdateKey** updates a key created in an earlier provisioning session. In order to perform this operation the issuer MUST supply a matching MAC according to [MAC Operations](#) where *Data* is arranged as follows:

*Data* = [Post Provisioning MAC](#) || [End-Entity Certificate](#)

The new (update) key MUST be fully provisioned before this method is called. In addition, the new key MUST NOT be PIN-protected since it supposed to *inherit* the old key's PIN protection scheme (if there is one).

Note that updating a key involves *all related data* (see [Key Entries](#)), with PINs as the only exception.

The **KeyHandle** of the updated key MUST after a successful update be set equal to **KeyHandleOriginal**.

## signHashedData (100)

### Input

| Name                      | Type   | Comment   |
|---------------------------|--------|---|
| <b>KeyHandle</b>          | int    | Local handle to the target key  |
| <b>SignatureAlgorithm</b> | byte[] | Algorithm URI. See <a href="#">Asymmetric Key Signatures</a>              |
| <b>PIN</b>                | byte[] | Holds a PIN value or is of zero length indicating that no PIN is supplied |
| <b>HashedData</b>         | byte[] | Hashed data to be signed. Length MUST match the hash algorithm            |

### Output

| Name          | Type   | Comment  |
|---------------|--------|--|
| <b>Status</b> | byte   | See <a href="#">Return Values</a>                |
| <b>Result</b> | byte[] | Signed data including algorithm-specific padding |

**signHashedData** performs an asymmetric key signature where the data MUST be hashed *as required by the signature algorithm*.

In addition to possible internal errors that do not have any obvious handling in user programs, invalid authorization data (PIN) to the key MUST return a non-fatal [ERROR\\_AUTHORIZATION](#) status.

## getKeyAttributes (101)

### Input

| Name             | Type | Comment                        |
|------------------|------|--------------------------------|
| <b>KeyHandle</b> | int  | Local handle to the target key |

### Output

| Name                        | Type   | Comment   |                                      |
|-----------------------------|--------|---|--------------------------------------|
| <b>Status</b>               | byte   | See <a href="#">Return Values</a>                               |                                      |
| <b>KeyUsage</b>             | byte   | See <a href="#">createKeyPair</a>                               |                                      |
| <b>FriendlyName</b>         | byte[] |   |                                      |
| <b>PathLength</b>           | byte   | See <a href="#">setCertificatePath</a>                          |                                      |
| <b>X509Certificate...</b>   | byte[] |   |                                      |
| <b>Algorithms</b>           | byte   | See <a href="#">setSymmetricKey</a>                             |                                      |
| <b>EndorsedAlgorithm...</b> | byte[] |   |                                      |
| <b>Extensions</b>           | short  | Number of <b>ExtensionType</b> URIs                             | See <a href="#">addExtensionData</a> |
| <b>ExtensionType...</b>     | byte[] | <a href="#">KeyGen2</a> <b>Type</b> URI. <i>Repeated</i> object |                                      |

**getKeyAttributes** returns key attributes for provisioned keys..

[Key Usage](#) determines if the key is asymmetric or symmetric.

Asymmetric keys MUST always return a zero in **Algorithms**.

For asymmetric keys [End-Entity Certificate](#) signifies RSA or ECC.



## getKeyProtectionInfo (102)

### Input

| Name             | Type | Comment                        |
|------------------|------|--------------------------------|
| <b>KeyHandle</b> | int  | Local handle to the target key |

### Output

| Name                       | Type  | Comment   |
|----------------------------|-------|---|
| <b>Status</b>              | byte  | See <a href="#">Return Values</a>   |
| <b>ProtectionStatus</b>    | byte  | See table below   |
| <b>PUKRetryLimit</b>       | short | Copy of <b>RetryLimit</b> defined by <a href="#">createPUKPolicy</a> or zero if not defined   |
| <b>PUKErrorCount</b>       | short | Current PUK error count for keys protected by a local PUK policy object   |
| <b>UserModifiable</b>      | bool  | Exact copies of the corresponding <a href="#">createPINPolicy</a> parameters if the key is protected by a local PIN policy object, otherwise these elements contain zeros |
| <b>Format</b>              | byte  |   |
| <b>RetryLimit</b>          | short |   |
| <b>Grouping</b>            | byte  |   |
| <b>PatternRestrictions</b> | byte  |   |
| <b>MinLength</b>           | byte  |   |
| <b>MaxLength</b>           | byte  |   |
| <b>InputMethod</b>         | byte  |   |
| <b>PINErrorCount</b>       | short | Current PIN error count for keys protected by a local PIN policy object   |
| <b>BiometricProtection</b> | byte  | Exact copies of the corresponding <a href="#">createKeyPair</a> parameters  |
| <b>PrivateKeyBackup</b>    | bool  |   |
| <b>ExportPolicy</b>        | byte  |   |
| <b>Updatable</b>           | bool  |   |
| <b>DeletePolicy</b>        | byte  |   |
| <b>EnablePINCaching</b>    | bool  |   |
| <b>ImportPrivateKey</b>    | bool  |   |

**getKeyProtectionInfo** returns information about the protection scheme for a key including PIN-codes and possible biometric options. In addition, the call retrieves the current protection status for the key.

The following table illustrates how the **ProtectionStatus** bit field should be interpreted:

| Bit | Comment   |
|-----|---|
| 0   | The key is protected by a local PIN policy object   |
| 1   | The key is protected by a local PUK policy object. MUST be combined with bit 0                      |
| 2   | The key has locked-up due to PIN errors. MUST be combined with bit 0                                |
| 3   | The key has locked-up due to PUK errors. MUST be combined with bit 1                                |
| 4   | The key is protected by a device PIN. Information about device PINs is out of scope for the SKS API |

If all bits are zero the key is not PIN protected.

## getExtensionObject (103)

### Input

| Name                 | Type   | Comment   |
|----------------------|--------|---|
| <b>KeyHandle</b>     | int    | Local handle to the target key                                      |
| <b>ExtensionType</b> | byte[] | <a href="#">KeyGen2 Type</a> . See <a href="#">addExtensionData</a> |

### Output

| Name                 | Type   | Comment   |
|----------------------|--------|---|
| <b>Status</b>        | byte   | See <a href="#">Return Values</a>   |
| <b>BaseType</b>      | byte   | Exact copies of the corresponding <a href="#">addExtensionData</a> parameters |
| <b>Qualifier</b>     | byte[] |   |
| <b>ExtensionData</b> | blob   |   |

**getExtensionObject** returns a typed extension object associated with a key.

Note that encrypted extensions are decrypted during provisioning.

If the extension is intended to be consumed by the SKS, **ExtensionData** will be a zero-length array.

## deleteKey (104)

### Input

| Name                         | Type   | Comment  |
|------------------------------|--------|--|
| <b>KeyHandle</b>             | int    | Local handle to the target key   |
| <b>OptionalAuthorization</b> | byte[] | Zero-length array or a PIN or PUK string depending on <a href="#">Delete Control</a> |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**deleteKey** removes a key from the [Credential Database](#).

If the key is the last belonging to a provisioning session, the session data objects are removed as well.

In addition to possible internal errors that do not have any obvious handling in user programs, invalid authorization data to the key MUST return a non-fatal [ERROR\\_AUTHORIZATION](#) status.

A conforming SKS MAY introduce physical presence methods like GPIO-based buttons, *circumventing* key delete policy settings.

## unlockKey (105)

### Input

| Name                 | Type   | Comment                        |
|----------------------|--------|--------------------------------|
| <b>KeyHandle</b>     | int    | Local handle to the target key |
| <b>Authorization</b> | byte[] | PUK string                     |

### Output

| Name          | Type | Comment                           |
|---------------|------|-----------------------------------|
| <b>Status</b> | byte | See <a href="#">Return Values</a> |

**unlockKey** re-enables a key that has been locked due to erroneous PIN entries.

Note that this method only applies to keys that are protected by local PIN and PUK policy objects. Device PINs and their possible unlocking is out of scope for the SKS API.

In addition to possible internal errors that do not have any obvious handling in user programs, invalid authorization data to the key MUST return a non-fatal [ERROR\\_AUTHORIZATION](#) status.

## exportKey (106)

### Input

| Name                         | Type   | Comment  |
|------------------------------|--------|--|
| <b>KeyHandle</b>             | int    | Local handle to the target key   |
| <b>OptionalAuthorization</b> | byte[] | Zero-length array or a PIN or PUK string depending on <a href="#">Export Control</a> |

### Output

| Name          | Type   | Comment   |
|---------------|--------|---|
| <b>Status</b> | byte   | See <a href="#">Return Values</a>   |
| <b>RawKey</b> | byte[] | Unencrypted raw key. For type information see <a href="#">enumerateKeys</a> |

**exportKey** exports a private or secret key from the [Credential Database](#).

In addition to possible internal errors that do not have any obvious handling in user programs, invalid authorization data to the key MUST return a non-fatal [ERROR\\_AUTHORIZATION](#) status.

If a **non-exportable** key is referred to, **exportKey** MUST return [ERROR\\_NOT\\_ALLOWED](#) status.

## Session Security Mechanisms

After the [SessionKey](#) has been created the actual provisioning methods can be called. Depending on the specific method downloaded data may be confidential or need to be authenticated. For certain operations the SKS needs to prove for the issuer that sent data indeed stems from internal SKS operations which is referred to as attestations. This section describes the security mechanisms used during a provisioning session. Also see [SessionKeyLimit](#).

## Encrypted Data

During provisioning encrypted data is occasionally exchanged between the issuer and the SKS. The encryption key is created by the following key derivation scheme:

**EncryptionKey** = [HMAC-SHA256](#) ([SessionKey](#), "Encryption Key")

**EncryptionKey** MUST only be used with the [AES256-CBC](#) algorithm.

## MAC Operations

In order to verify the integrity of provisioned data, many of the provisioning methods mandate that the data-carrying arguments are included in a MAC (Message Authentication Code) operation as well. MAC operations use the following scheme:

**MAC** = [HMAC-SHA256](#) ([SessionKey](#) || *MethodName* || [MACSequenceCounter](#), *Data*)

*MethodName* is the string literal of the target method like "closeProvisioningSession", while *Data* represents the arguments in declaration order unless otherwise noted.

After each MAC operation, [MACSequenceCounter](#) MUST be incremented by one.

Argument data in MAC operations MUST only include the actual *content bytes* and exclude length etc. See [Data Types](#).

Due the use of a sequence counter, the provisioning system MUST honor the order of objects as defined by the issuer.

## Attestations

Except for the [createProvisioningSession](#) call, SKS attestations during provisioning sessions use symmetric key signatures according to the following scheme:

**Attestation** = [HMAC-SHA256](#) ([SessionKey](#) || "Device Attestation", *Data*)

*Data* represents the data to be attested. Also see [signProvisioningSessionData](#).

Argument data in attestations MUST only include the actual *content bytes* and exclude length etc. See [Data Types](#).

## Post Provisioning MAC Operations

In order to update already provisioned objects, an issuer may perform *post provisioning* operations. To do that the issuer MUST provide a valid MAC according to the following scheme:

**MAC** = [HMAC-SHA256](#) (*OriginalSessionKey* || "Proof Of Issuance", [End-Entity Certificate](#))

*OriginalSessionKey* is the [SessionKey](#) of the *original* provisioning session while [End-Entity Certificate](#) points to the *target* key. Note that post provisioning operations require that the target key's [Updatable](#) flag is set.

If [SessionKeyLimit](#) for the *OriginalSessionKey* is exceeded (use count is incremented for each post provisioning MAC), the post provisioning operation MUST be aborted.

## Missing Methods

Symmetric key encryption and hmac, asymmetric decryption, and some utility methods

## Sample Session

The following provisioning sample session shows the *sequence* for creating an [X.509](#) certificate with a matching PIN and PUK protected private key:

```
ProvisioningHandle, ... = createProvisioningSession (...)  
PUKPolicyHandle = createPUKPolicy (ProvisioningHandle, ...)  
PINPolicyHandle = createPINPolicy (ProvisioningHandle, , PUKPolicyHandle, ...)  
KeyHandle, ... = createKeyPair (ProvisioningHandle, , PINPolicyHandle, ...)  
  
    External certification of the generated public key happens here...  
  
setCertificatePath (ProvisioningHandle, KeyHandle, ...)  
closeProvisioningSession (ProvisioningHandle, ...)
```

Note that **Handle** variables are only used by local middleware, while (not shown) variables like **SessionKey**, **MAC**, **ID**, etc. are primarily used in the communication between an issuer and the SKS.

If keys are to be created entirely locally, this requires local software emulation of an issuer.



## Remote Key Lookup

In order to update keys and related data, SKS supports post provisioning operations like [postProvisioningDeleteKey](#) where issuers are securely shielded from each other by the use of a [Post Provisioning MAC](#).

However, depending on the use-case, an issuer may need to get a list of applicable keys, *before* launching post provisioning operations. Such a facility is available in [KeyGen2](#) as illustrated by the XML fragment below:

```
<CredentialDiscoveryRequest ... >

  <LookupSpecifier ID="Lookup.1" Nonce="nSgm4cznqE ... WrH2421w9SYA=">
    <SearchFilter Email="john.doe@example.com"/>
    <ds:Signature>
      <ds:SignedInfo>
        <ds:CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        <ds:SignatureMethod Algorithm="http://www.w3.org/2001/04/xmldsig-more#rsa-sha256" />
        <ds:Reference URI="#Lookup.1">
          <ds:Transforms>
            <ds:Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
            <ds:Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
          </ds:Transforms>
          <ds:DigestMethod Algorithm="http://www.w3.org/2001/04/xmldsig#sha256" />
          <ds:DigestValue>JBfoi8iBKRYWxXYITTU1cdyybMTyJr+WDW+qCJdxoGE=</ds:DigestValue>
        </ds:Reference>
      </ds:SignedInfo>
      <ds:SignatureValue>mSMaH6wChPQRDT... JKrW3n/dL7seGbg==</ds:SignatureValue>
    </ds:Signature>
    <ds:KeyInfo>
      <ds:X509Data>
        <ds:X509IssuerSerial>
          <ds:X509IssuerName>CN=Root CA,O=example.com,C=us</ds:X509IssuerName>
          <ds:X509SerialNumber>2</ds:X509SerialNumber>
        </ds:X509IssuerSerial>
        <!-- The Issuer's Certificate: -->
        <ds:X509Certificate>MIIDbzCCAlegAw ... gtzO/rITZcbKHyzCZvQ==</ds:X509Certificate>
      </ds:X509Data>
    </ds:KeyInfo>
  </ds:Signature>
</LookupSpecifier>

</CredentialDiscoveryRequest>
```

The example works as follows:

1. Verify that the **Signature** is *technically* valid. Note that the actual issuer is *ignored* since an SKS has no opinion about what issuers are trustworthy or not
2. Verify that the freshness **Nonce** matches [SHA256](#) (**ClientSessionID** || **ServerSessionID** || **IssuerURI**). See [createProvisioningSession](#).
3. Enumerate all SKS keys and related certificates. See [enumerateKeys](#)
4. Find all keys from step #3 having an [End-Entity Certificate](#) forming a valid 2-level certificate path with the **x509Certificate** element which (together with the **Signature**), serves as an *Issuer Filter*
5. Collect the keys from step #4 that also feature the e-mail addresss "**john.doe@example.com**" in the [End-Entity Certificate](#).

The result is sent back to the issuer in the form of a list of [SHA256](#) ([End-Entity Certificate](#)) fingerprints.

Remote key lookups are performed at the *middleware level* since they are passive, XML intensive, and do not access private or secret keys. The primary purpose with credential lookups is *improving provisioning robustness*, while the *Issuer Filter* protects user privacy by constraining lookup data to the party to where it belongs.

## Security Considerations

This document does not cover the security of the actual key-store since SKS does not differ from other systems like smart cards in this respect.

However, SKS introduces a concept sometimes referred to as “air-tight” provisioning which has some specific security characteristics. One of the most critical operations in SKS is the creation of the shared [SessionKey](#) because if such a key is intercepted or guessed by an attacker, the integrity of the entire session is potentially jeopardized.

If you take a peek at [createProvisioningSession](#) you will note that [SessionKey](#) depends on issuer-generated and SKS-generated ephemeral public keys. It is pretty obvious that malicious middleware could replace such a key with one it has the private key to and the issuer wouldn't notice the difference. This is where the attestation signature comes in because it is computationally infeasible creating a matching signature since the both of the ephemeral public keys are enclosed as a part of the signed attestation object. That is, the issuer will when receiving the response to the provisioning session request, detect if it has been manipulated and *cease the rest of the operation*.

As earlier noted, the randomness of [SessionKey](#) is crucial for all provisioning operations.

Replay attacks are thwarted by the [MACSequenceCounter](#), while the SKS “book-keeping” functions will detect other possible irregularities during [closeProvisioningSession](#). This means that an issuer SHOULD NOT consider issued credentials as valid unless it has received a successful response from [closeProvisioningSession](#).

The [SessionKeyLimit](#) attribute in [createProvisioningSession](#) is another security measure which aims to limit exhaustive attacks on [SessionKey](#).

One of the most important features in SKS is the fact that the device is identified by a digital certificate, preferably issued by a known vendor of trusted hardware. This enables the issuer to securely identify the key-container both from a cryptographic point of view (brand, type etc) and as a specific unit. The latter makes it possible to communicate the container identity as an SHA1 fingerprint of the [Device Certificate](#) which facilitates novel and secure enrollment procedures, typically eliminating the traditional sign-up password.

That any issuer (after the user's grant), can provision keys may appear a bit scary but keys do not constitute of executable code making it less interesting in tricking users accepting “bad” issuers. In addition, the provisioning middleware is also able to validate incoming data for “sanity” and even abort unreasonable requests, such as asking for 10 keys or more to be created.

Although not a part of SKS, [KeyGen2](#) adds a signature for the provisioning session response containing the fingerprint of the HTTPS server-cert allowing the issuer to verify that there actually is a “straight line” between the client and server.

There is no protection against DoS (Denial of Service) attacks on SKS storage space due to malicious middleware.

SKS does not have any notion of policy, it is up to the *issuer* to decide about suitable key protections options, key sizes, and private key backups.

## Intellectual Property Rights

This document contains several constructs that *could* be patentable but the author has no such interests and therefore puts the entire design in *public domain* allowing anybody to use all or parts of it at their discretion. In case you adopt something you found useful in this specification, feel free mentioning where you got it from ☺

Note: it is possible that there are pieces that already are patented by *other parties* but the author is currently unaware of any IPR encumbrances.

A predecessor of this document has been submitted to <http://defensivepublications.org>.

## References

|                   |     |
|-------------------|-----|
| KeyGen2           | TBD |
| DIAS              | TBD |
| PKCS #1           | TBD |
| PKCS #8           | TBD |
| ECDSA             | TBD |
| AES256-CBC        | TBD |
| HMAC-SHA256       | TBD |
| X.509             | TBD |
| SHA256            | TBD |
| TPM 1.2           | TBD |
| Diffie-Hellman    | TBD |
| S/MIME            | TBD |
| UTF-8             | TBD |
| XML Encryption    | TBD |
| XML Signature     | TBD |
| FIPS 197          | TBD |
| FIPS 186-3        | TBD |
| Information Cards | TBD |
| Base64            | TBD |
| HOTP              | TBD |
| JavaCard          | TBD |
| JCE               | TBD |
| CryptoAPI         | TBD |
| PKCS #11          | TBD |
| Global Platform   | TBD |
| TLS               | TBD |
| XML Schema        | TBD |
| SP800-56A         | TBD |

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