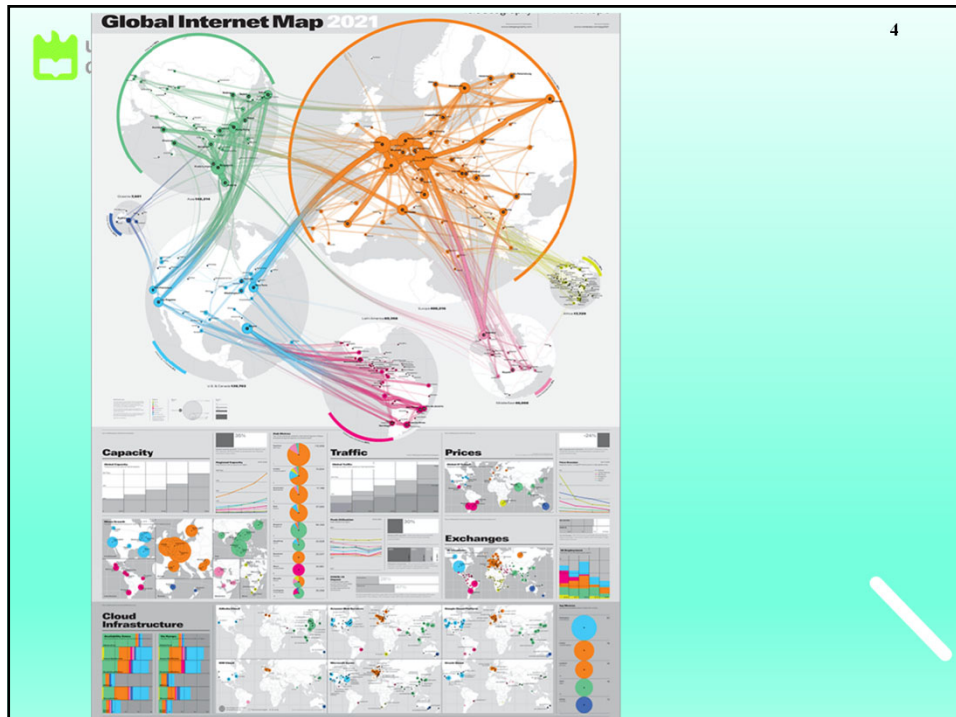


Gestão/Management

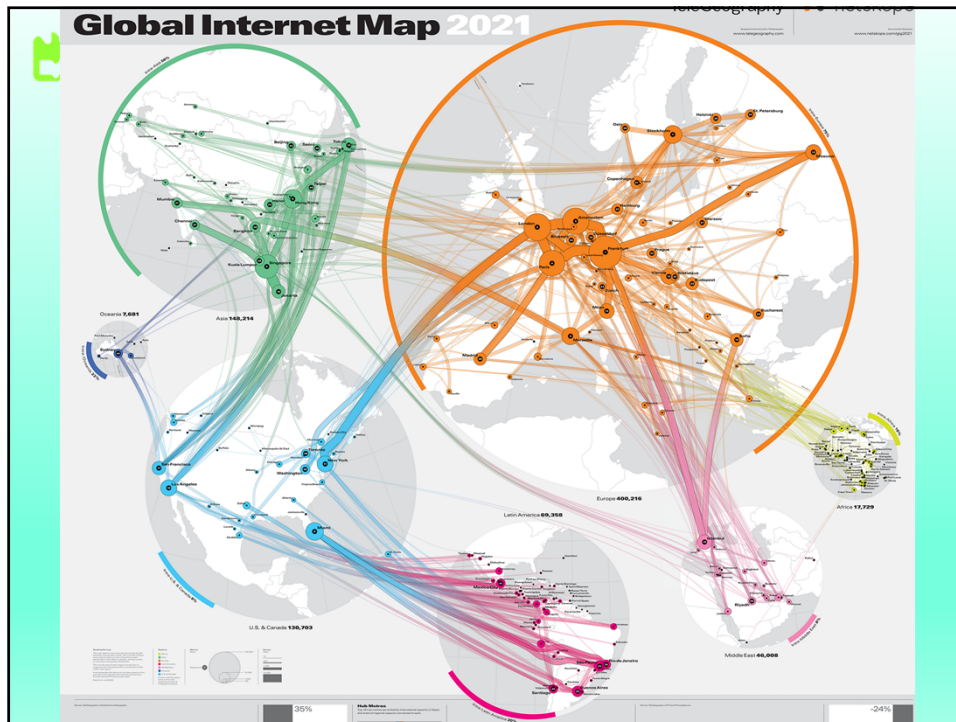
Management of Local and Global Networks Concepts and Protocols

1

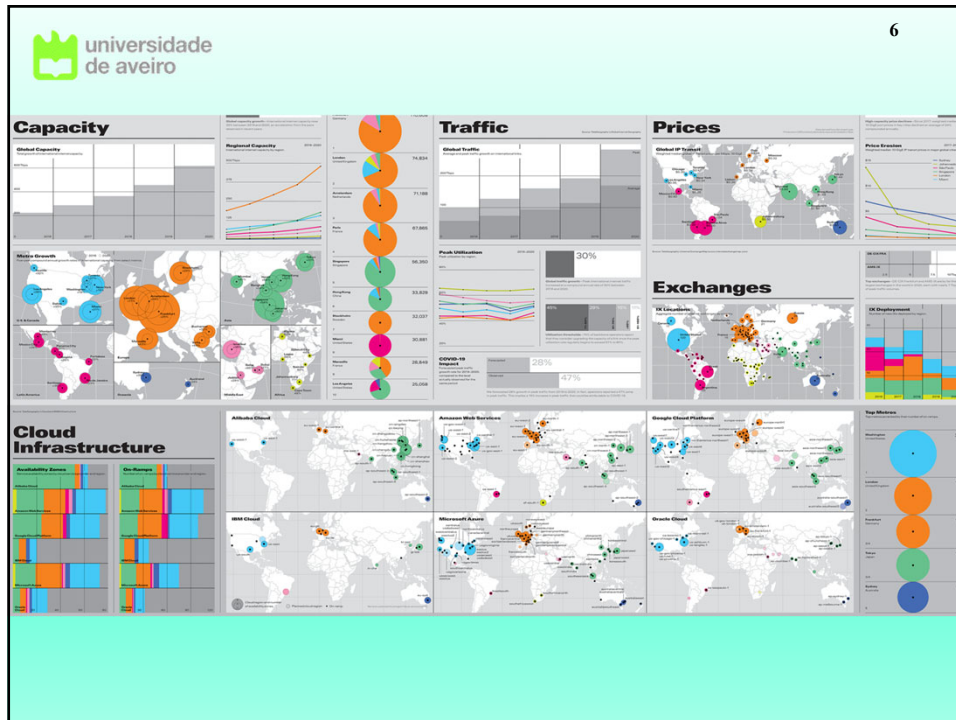


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6



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Why Networks and Systems Management?

7

- **Lower Cost** – Manual management is costly
- **More efficient** – Automatic systems allow an efficient planning, and mechanisms to predict the utilization trends: lower errors and faster actuation
- **Better service** – The manager is informed at the same time the (client) is, and can make an automatic check of the situation
- **Greater knowledge** – more information exists about the network, allowing better decisions and planning
- Why not human intervention?
 - **Difficult to describe responsibilities**
 - **Technology rapidly evolves**
 - **Management systems rapidly evolve**
 - **Lack of technical resources**

7



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Commercial perspective

8

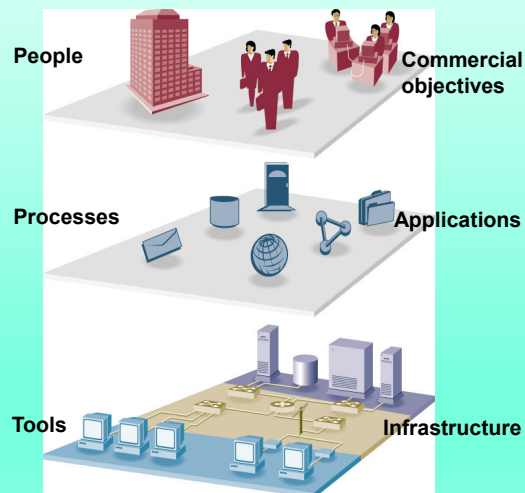
- **Problems need to be quickly solved**
- **Management systems simplify the work of multi-functional networks (e.g. VoIP in multiple networks)**
- **Persons better used – they do not need to perform repetitive tasks**
- **Companies need to optimize their structures, and network management allow resources optimization**



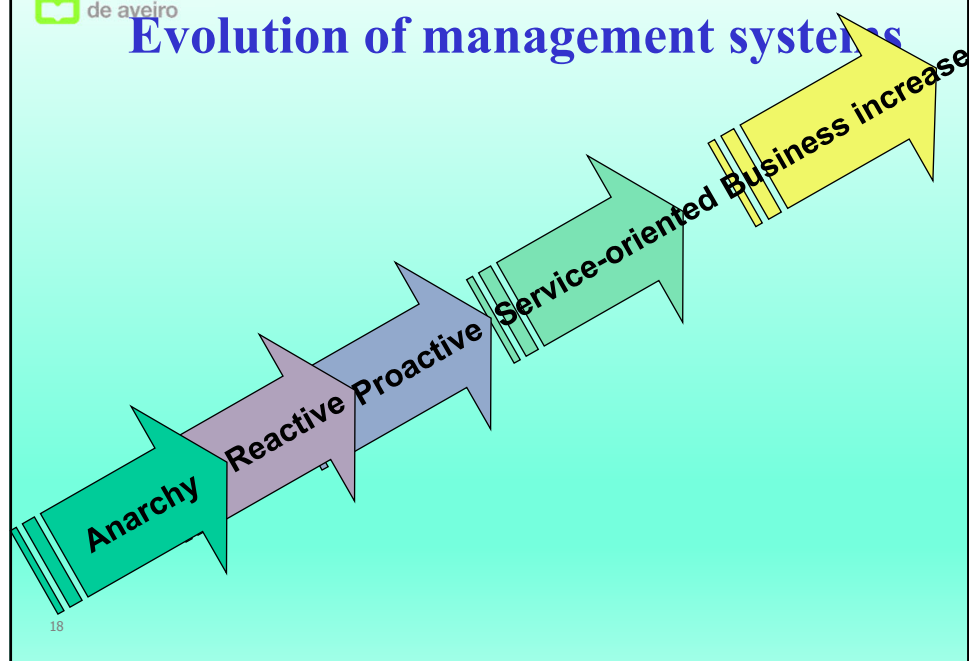
8

Network management is:

Implement, integrate and coordinate resources (HW, SW and people) to plan, operate, manage, analyze, test, evaluate, design and expand the system to guarantee the service objectives (temporal, performance), with a reasonable cost and capacity.



Evolution of management systems



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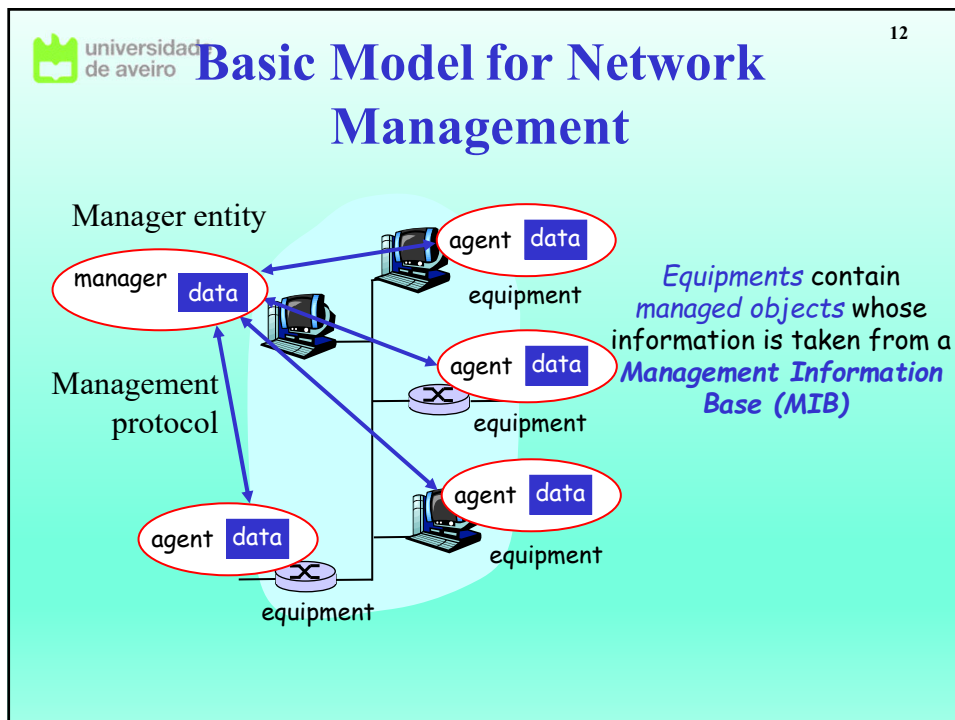
11

Management alternatives

scope	<ul style="list-style-type: none"> • Systems management – Covers all company aspects • Networks management – Covers mainly network aspects and communications systems and equipment
	<ul style="list-style-type: none"> • Dedicated protocols – dedicated for networks • Web based systems – resort to HTTP models, recently common
Decision model	<ul style="list-style-type: none"> • Centralized models – Agent-manager model • Distributed models – Share of the management responsibilities • Hierarchical models – Hierarchic structure with centralized information in the root

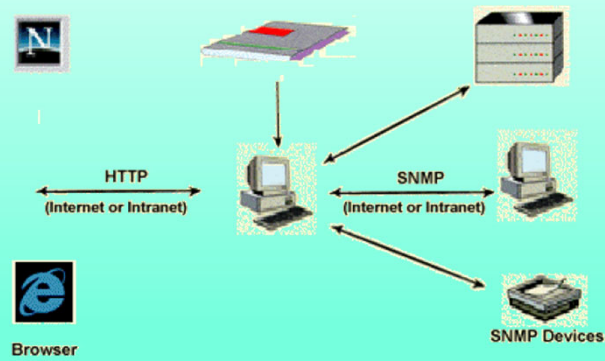
Current real management structures very complex, with several operational models simultaneously

11



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WEB-based management

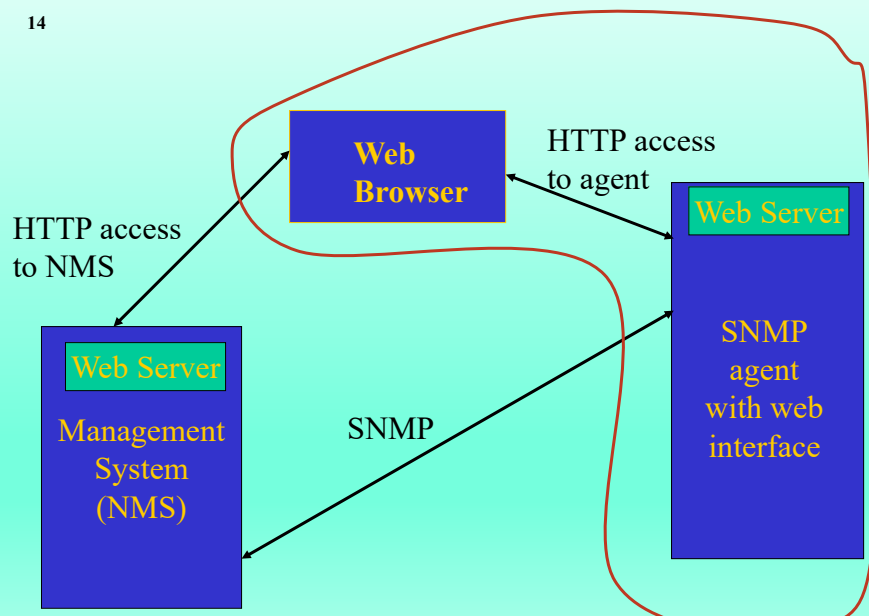


Very common:
Network and device management via web interfaces

13

Web-based management concept

14



14

Network management

- ISO defined five areas for network management
 - **Fault management** – detection, isolation, and correction of anomaly behaviors

F **AULT**

- **Configuration management** – control data for the network elements / collect data from network elements

C **ONFIGURATION**

- **Accounting management** – measure network utilization and determine network costs and user accountings

A **CCOUNTING**

- **Performance management** – evaluate/report network equipment behavior/efficiency

P **ERFORMANCE**

- **Security management** – support communications network secure management

S **ECURITY**

15

Network management

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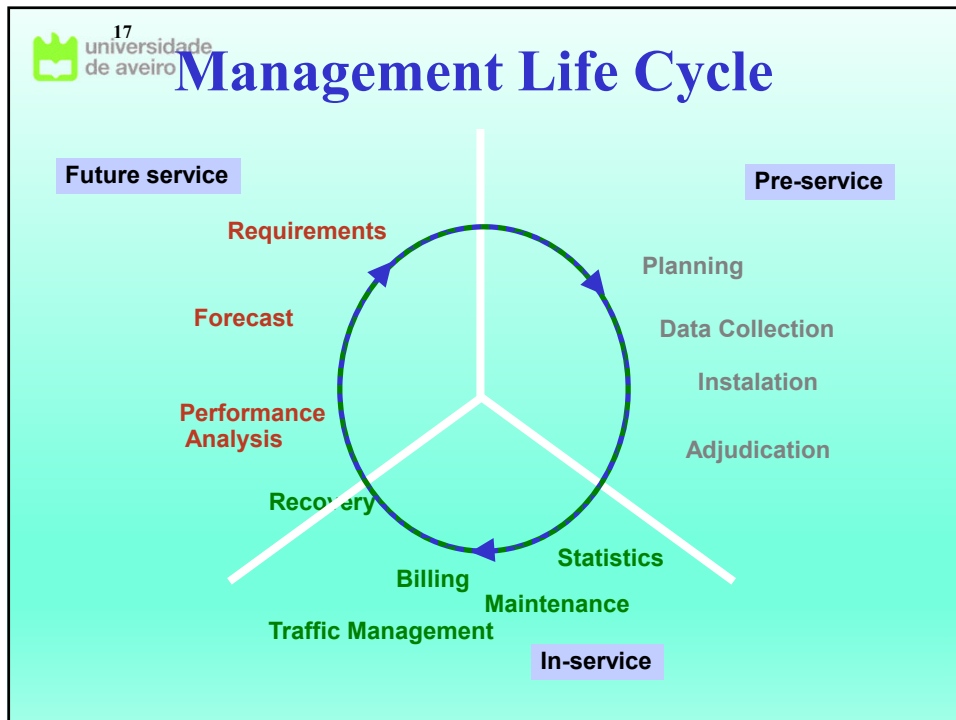
P **ERFORMANCE**

- **Security management** – support communications network secure management

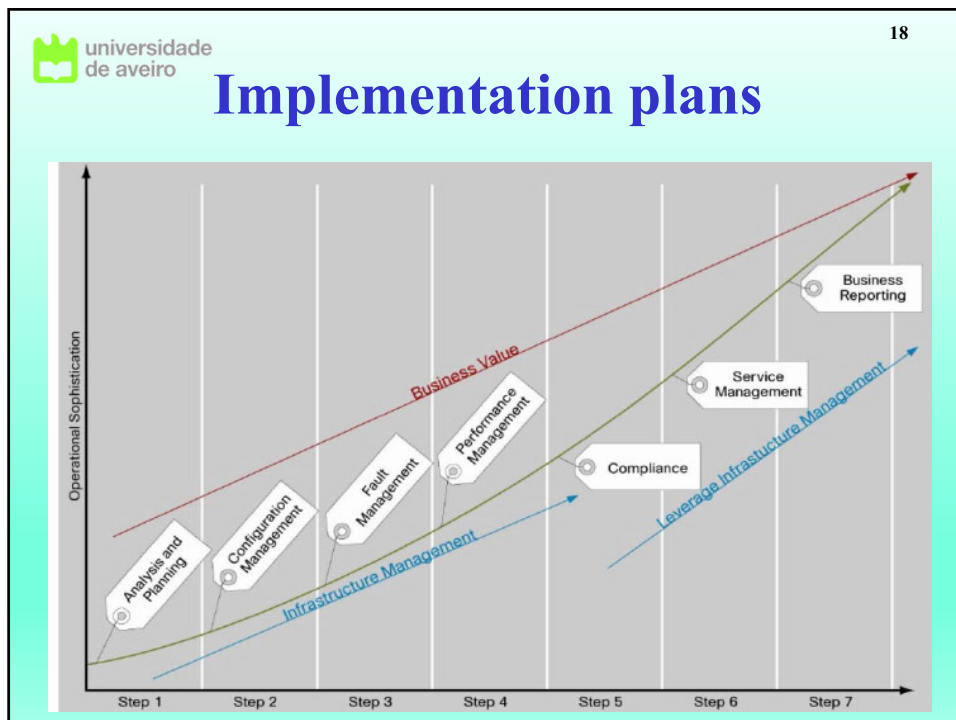
S **ECURITY**

Isolating the management problems in distinct areas, ISO model allows conceptual solutions optimized to specific problems in each functional area

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Aspects of Network Management

- **What to manage?**
 - Network, equipment, systems, users, services, applications
- **How to manage?**
 - Interfaces, actions, abstractions
- **What protocol(s) format(s)?**
 - Protocol abstraction, formats, messages
- **What information format(s)?**
 - Information type

Standards for all this – including global frameworks

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Management protocols

- Methods to monitor and configure network equipments
- Do not describe how to achieve management objectives

Simple protocols ² common data and parameters formats allowing easy information transfer

Complex protocols ² add flexibility and security capacity

Advanced protocols ² remotely execute network management tasks, without depending on specific protocol layers

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Tools for network management

- WAN/LAN monitoring and analyzers
- Software monitors
- Security managers
- Documents, presentations and administrative instruments
- Tools for cross-analysis
- Databases, tools for information management
- Console emulator
- Tools for systems modelling
- Toolkits for development

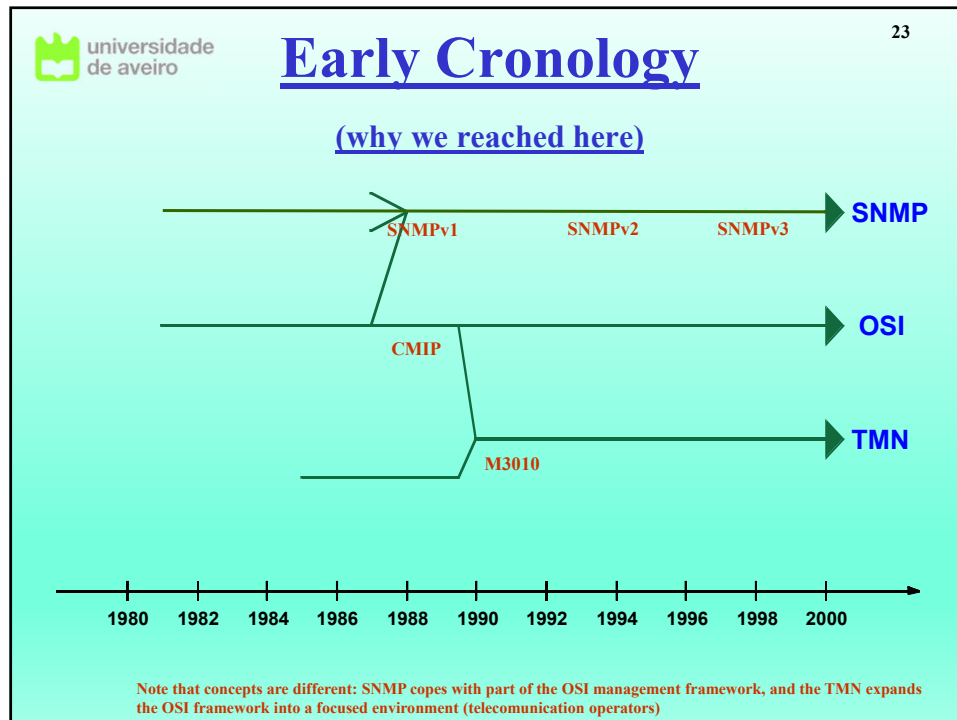
21

Network management standardization global models

- Internet Engineering Task Force (IETF)
 - Simple Network Management Protocol
 - SNMP, disman
 - Operations and Management Area
- International Telecommunications Union (ITU-T)
 - Telecommunications Management Network
 - SG IV
- International Standard Organization (ISO)
 - OSI, CMIP-CSIS
 - ISO-IEC/JTC 1/WG 4
- Others
 - DMTF, TM FORUM, OMG, IEEE, ...

Early discussions across bodies. Now cooperation is the normal across bodies.

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23



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What is TMN ?

- **Objective**

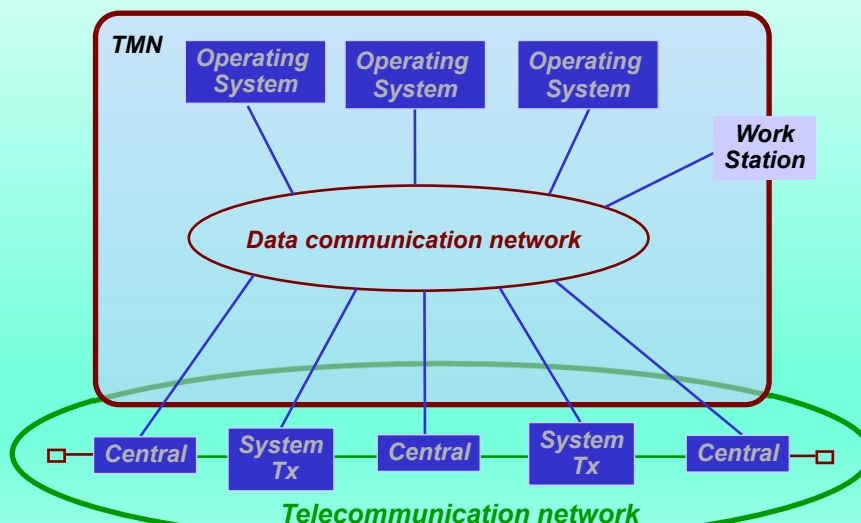
- Support the management of the telecommunication networks and services

- **Concept**

- Create an organized structure to allow the interconnection of several operating systems and telecommunications equipments, using a well-defined architecture, with normalized protocols and interfaces

25

TMN and the communications network



26

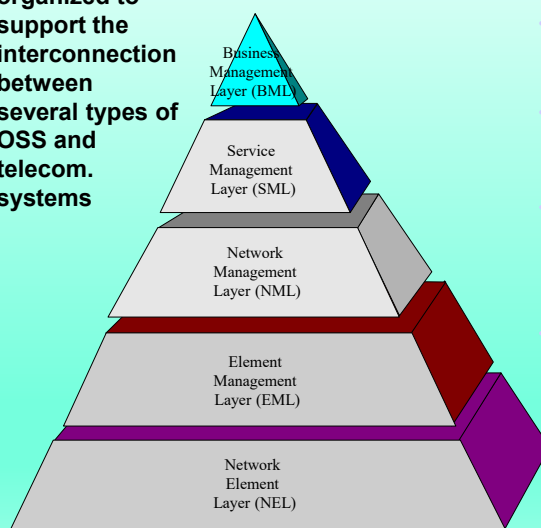
TMN

- **TMN is the telecommunications management network.**
 - **Relies on other management protocols and concepts.**
 - **Operations systems are where the main management functionality resides**
 - Now also known as OSS operational support systems
 - **The data communications network is where the management information flows**
 - **The TMN boundary intersects NEs (network elements) as they include some CM functionality.**
 - **Workstations provides user access to management functionality.**
 - The workstation glass interface is outside the bounds of standardisation.

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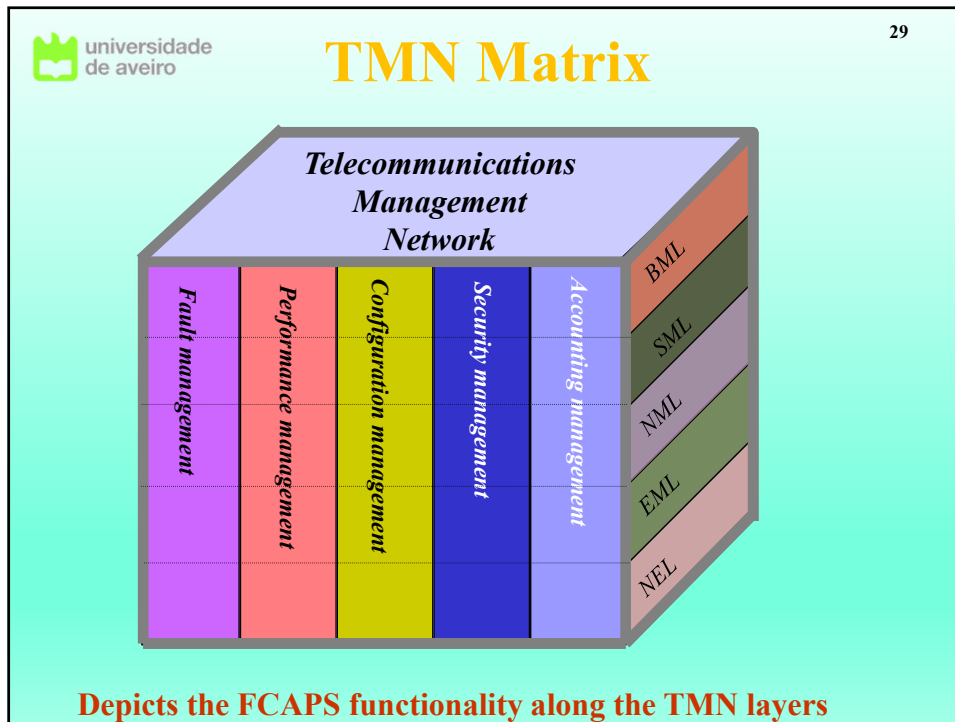
Multi-layer model

Architecture organized to support the interconnection between several types of OSS and telecom. systems

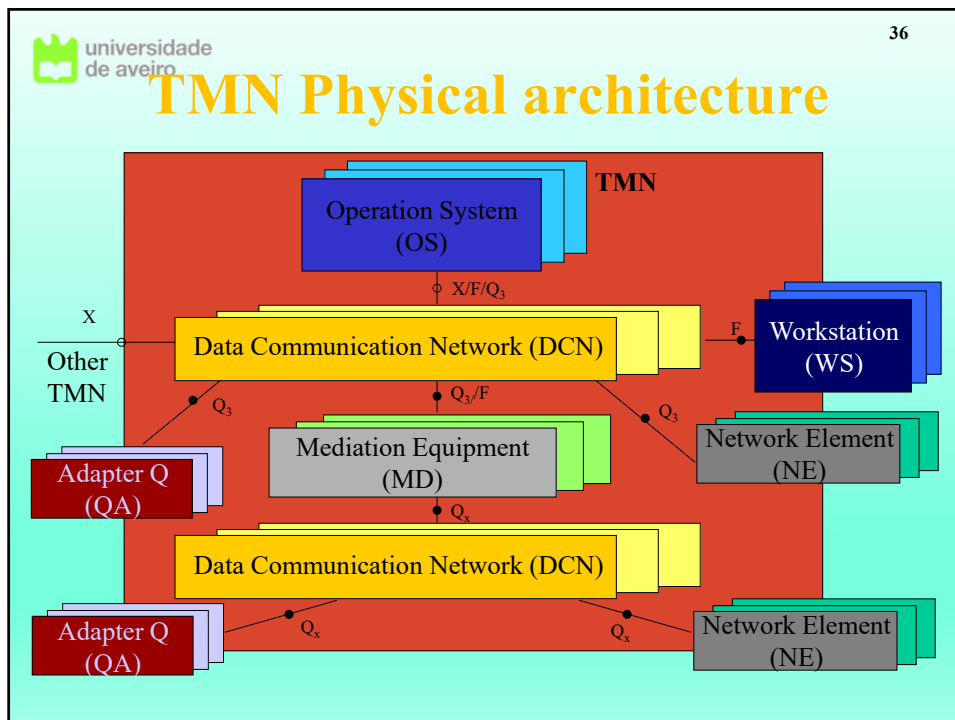


- Service levels, contact point for client reports, definition of policies for fault support, analyzes trends and quality issues, for example, or to provide a basis for billing and other financial reports
- Performs functions for the handling of services in the network: definition, administration and charging of services, scalability policies, service restore, monitoring and report of SLAs availability.
- performs functions for distribution of network resources: configuration, control and supervision of the network, correlation of events and filtering, fault location, problem isolation, syslog management and monitor.
- This includes alarm management, handling of information, backup, logging, and maintenance of hardware and software, automatic correction of faults, polling of network elements, event management.
- Fault detection, trap generation, restoration/substitution procedures.

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Physical Architecture

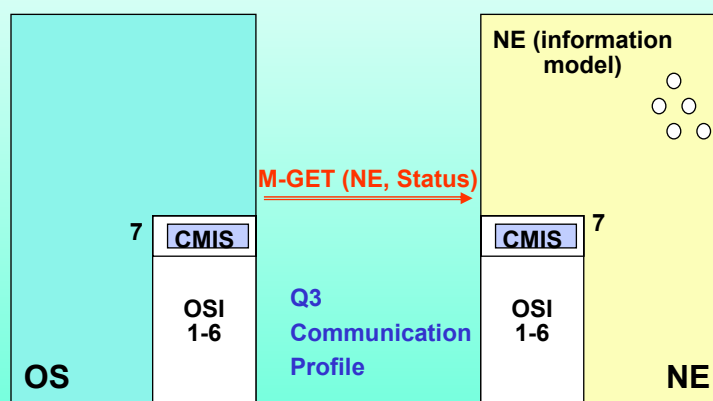
Standard interfaces

- **Interface Q_3**
 - Operation System \leftrightarrow TMN elements connected to it
- **Interface Q_x**
 - Mediation Element \leftrightarrow Network element/adaptor
- **Interface F**
 - Workstation \leftrightarrow Operation system / mediator (through a DCN)
- **Interface X**
 - TMN network \leftrightarrow TMN network / network with *interface* to TMN.

- **Communication protocols**
 - For each interface, the choice depends on the physical implementation.
 - It is normal to use CMIP protocol in the Q_3 interface.

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TMN: Interface Q3



Q3 Interface is supported by CMIS/CMIP!!

The scope of the available access is defined by the NE information model (its MIB)

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CMIS/CMIP

**Common Management Information
Services/Protocol**

40

Management protocols (LAN-oriented)

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OSI CMIP

- **Common Management Information Protocol**
- Designed in 1980's: *the* unifying protocol (“**advanced**”) to network management
- Implemented very slowly

SNMP: Simple Network Management Protocol

- Internet based (SGMP)
- Very simple in the beginning
- Rapidly spreaded
- It grew in largeness and complexity
- actual: SNMPv3
- Management protocol *de facto*

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OSI Management architecture

ITU-T	Acronym	Title
X.701		<i>System Management Overview</i>
X.710	CMIS	<i>Common Management Information Service</i>
X.711	CMIP	<i>Common Management Information Protocol</i>
X.712	CMIP-PICS	<i>CMIP Protocol Implementation Conformance State Proforma</i>
X.720	MIM	<i>Management Information Model (defines fundamental concepts of the objects)</i>
X.721	DMI	<i>Definition of Management Information</i>
X.722	GDMO	<i>Guideline for Definition of Management Objects (techniques for specification of objects)</i>

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CMIS/CMIP

- **Approach object-oriented - objects**
 - **Have attributes**
 - **Generate events/notifications (reliably)**
 - **Execute operations**
- **Objects with same attributes, notifications and operations belong to the same class**
- **Objects inserted in multiples hierarchies, with different inherits and containers**
- **Intelligent agents**
 - **Can use rules or policies defined by the manager**
 - **Can be changed on-line**
- **Actions (verbs)**
GET, SET, CREATE, DELETE, ACTION, NOTIFICATION, CANCEL_GET
- **Capacity of CMIP actions is related to scoping and filtering capacities - through **GDMOs****

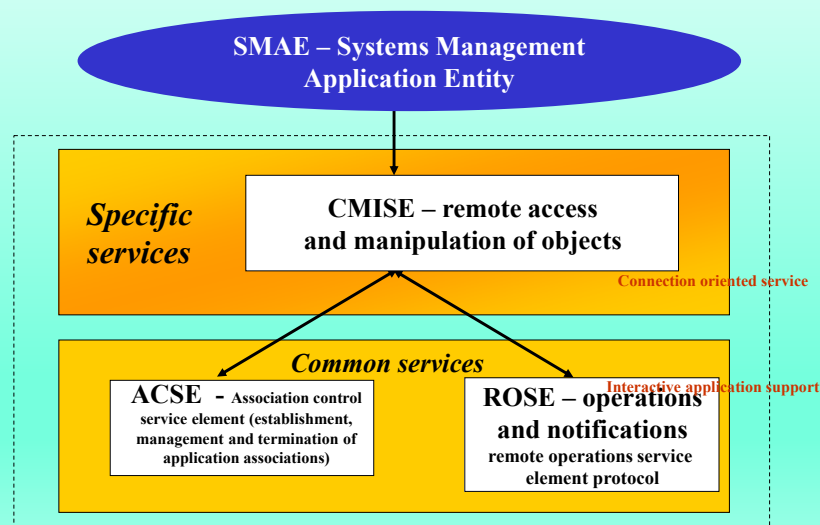
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CMIP - GDMOs

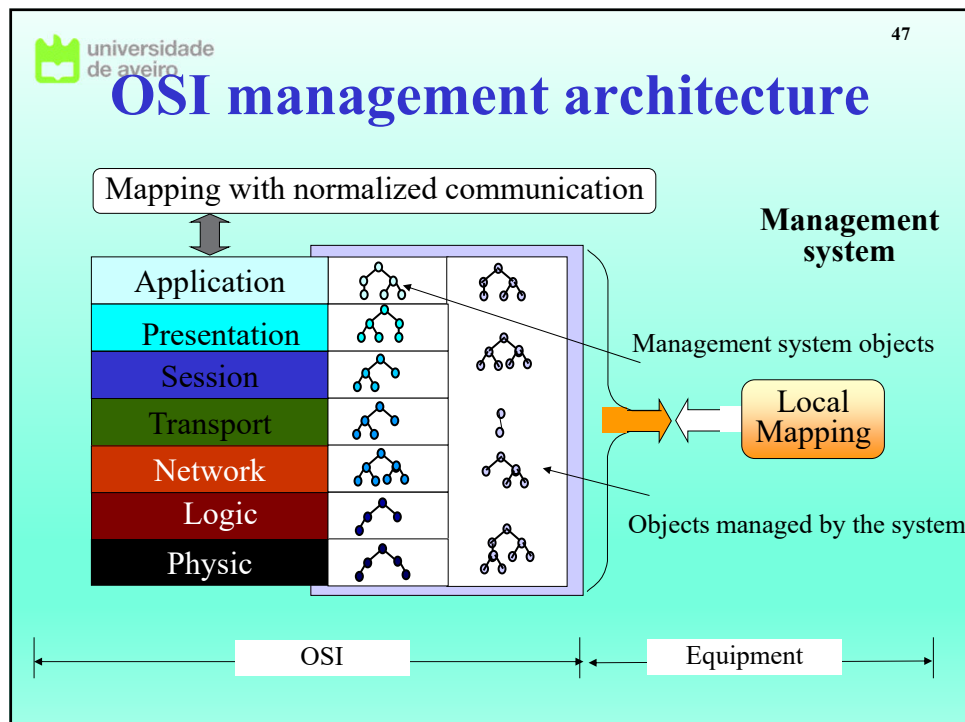
- **Guideline for the Definition of Managed Objects**
 - **The equipment through which the agent operates**
- **Model objects inside the equipment**
 - **Instantiation of GDMOs is called MIB**
- **Do not have well-defined behaviors, with large implementation freedom**
 - **Flexibility**
 - **Problem (complexity)**
- **CMIP is not polling oriented**
 - **Better scalability is achieved**
- **There are not so many defined GDMOs as MIBs**

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Common Management Information Service (CMIS)



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CMIP: pros and cons

- **CMIP advantages**
 - Object-oriented approach is flexible and extensible
 - Support from telecommunications industry and international vendors
 - Support of manager-manager interaction
 - Support of automation environments
 - Imposed in some industrial areas
- **CMIP disadvantages**
 - Complex and multi-layer
 - Large management overhead
 - Few management systems based on CMIP
 - Few CMIP agents in use
 - Generally rejected in the Internet.

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TMN and OSI

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- TMN adds-on to OSI management
 - **Information model** – new network
 - **Organization model** – extension through the concept of functional block
 - **Communication model** – Correspondence between interface - protocol
 - **Functional model** – new management functions (network)
- Interworking TMN with other OSI systems
 - **Atenuate differences between protocols**
 - **Services functionalities and complementaring functions**
 - **Increase the OSI management potentialities or restrict the TMN management potentialities**

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PBM and COPS

Concept: Policy Based Management
Protocol: Common Open Policy Service

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Policies - Example

- **Network with multiple services support**
 - **Differentiated QoS**
 - **Additional requirements in AAA functions**
 - Different levels
 - User
 - Service
 - QoS
- **Service authorized**
 - **only to some users**
 - **between authorized network points**
 - **with specific QoS requirements**
 - **between specific time intervals**
- **User also needs to be charged according to the service characteristics being received**

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Management based on Policies

- **Objective**: globally manage the network and not its elements.
- **Mechanism**:
 - **Define policies (rules) to inform the network of what to do – e.g:**
 - Operation center should have access to all routers
 - Charging department has priority in the last 3 months of each year
 - In the maximum, only 10% of each link can transport video.
 - **The policy rules are translated in equipment configuration changes**

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Elements of systems based on policies

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Conceptual parts:

- **Management policy tools:**
 - Used to create the policy rules
- **Policies repository**
 - Store the policy rules
- **Policy consumers – *policy decision points, PDP***
 - Make decisions and transfer the policy rules (eventually translated) to the policy targets.
- **Policy targets, *policy enforcement points, PEP***
 - Functional elements affected by the policy rules.

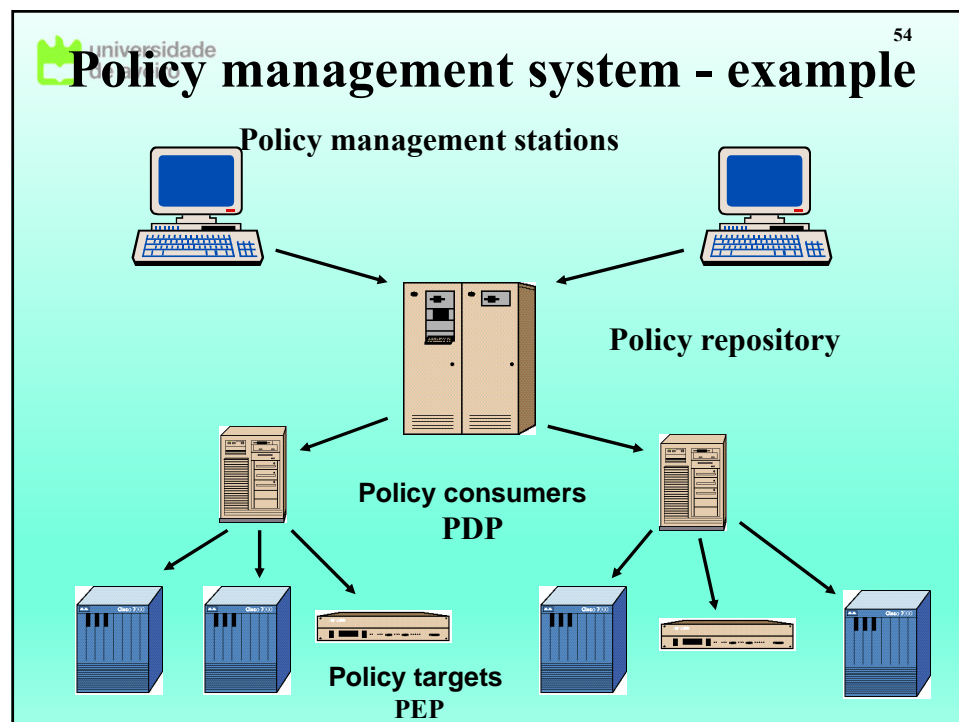
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Policy management system - example

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LEMBRAR modelos de QoS na Internet!!!

PEP-PDP Model

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- **Model uses (may use) different protocols**
 - **Abstraction levels differ**
- **Increasing trend**
 - **Software defined networking (SDN) can be seen as a variation of this concept**
(OpenFlow is a protocol for SDN)

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Processing rules - sequence

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- **Rules definition**
 - **Verify internal conflicts**
 - **Include in a repository (e.g. with LDAP)**
- **Get policies from policy consumers**
 - **Take decisions based on policies**
 - **Processed to create configurations in policy targets**
 - **May use temporal restrictions**
- **Send policies to policy targets**
 - **Can be “pushed” or “pulled” (e.g. by COPS or SNMP)**
- **Policy targets**
 - **Instal configurations**

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COPS – Common Open Policy Service

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- Question/answer protocol to PDP-PEP interaction
- Based on TCP
- Maintains state synchronization
 - Recovers from fault
 - State maintenance with keep-alive
- PDP can send notifications to PEP
 - Default concept was for QoS support/control
- PDP can receive policies through LDAP and SNMP
- Supports two types of clients
 - RSVP, outsourcing model
 - Diff-serv, configuration model

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PDP-PEP Interactions

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- Outsourcing (RSVP)
 - PEP contacts PDP when a decision is needed
 - Request contains relevant elements for the policy, and admission control information (e.g. flowspec)
 - Best match for RSVP-based QoS systems
- Configuration requests (Diffserv)
 - PDP configures PEP with specific equipment information
 - Considers a PIB (policy information base) that maintains provisioning information
 - Best match for DiffServ-based QoS systems

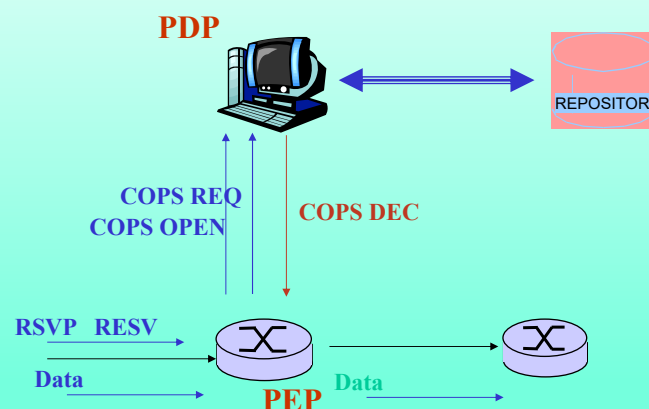
58

COPS Session

- PEP opens a COPS session
(specifying a client type: **RSVP, DiffServ**)
- PEP sends requests and receives answers
- PEP can also send non-solicited commands
- PDP can change commands previously sent
- PEP sends messages related to resources utilization (charging)
- *KeepAlives* are sent if there is no activity

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COPS with RSVP



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COPS with DiffServ



It is not required end-to-end signalling
It configures routers with packets lists and actions

62

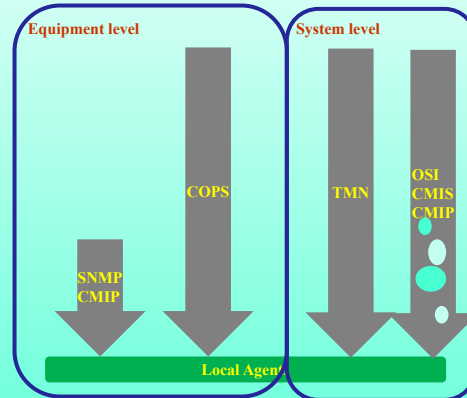
SNMP

**Simple Network Management
Protocol**

63

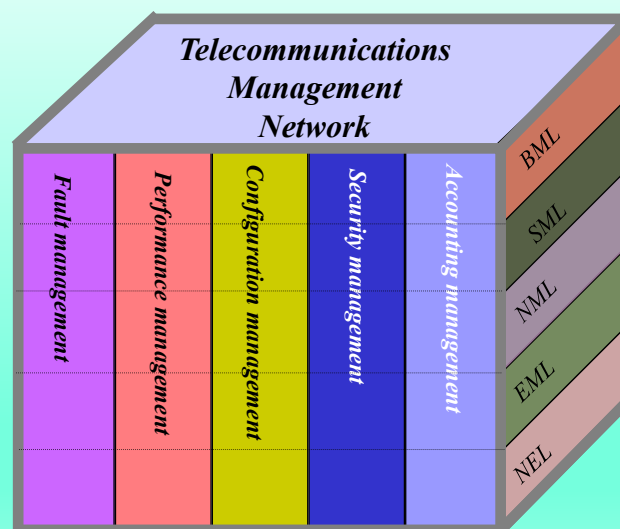
Gestão

1. Intention
2. Formalization
3. Storage
4. Implementation
5. Data transmission
6. Execution



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TMN Matrix



Depicts the FCAPS functionality along the TMN layers

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SNMP: Objectives and definition

- Ubiquity
 - All equipments
 - SNMP inclusion in the network should be cheap
 - Small code with limited functionalities
 - New extensions should be possible
 - “New MIBs”
 - Management should be robust
 - Transport mechanism not connection oriented
 - A set of rules for describing management information
 - An initial set of managed objects
 - A protocol used to exchange management information
- SMI (RFC 1155)
 - **Structure of the information management**
 - MIB-II (RFC 1213)
 - **MANAGEMENT INFORMATION BASE**
 - Many other MIBs exist
 - SNMP (RFC 1157)
 - **SIMPLE NETWORK MANAGEMENT PROTOCOL**
 - Name refers to both the transport protocol and the reference architecture
 - Other SNMP versions
 - SNMPv2 e SNMPv3

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“immediate” SNMP evolutions

- **SNMPv2 extensions**
 - **Structure of management information (SMI)**
 - **Manager-Manager capacity**
 - **New protocol operations**
- **SNMPv3 extensions**
 - **New message format**
 - **Message security**
 - **Access control**

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SNMP System Management

- *de facto* standard for fault management
- Consists on an SNMP manager and a set of MIBs (Management Information Bases)
- Supports
 - Self-discovery of IP equipments in the network
 - Uses polling to recover from faults
 - Supports traps and events management
 - Can be implemented as proxy or gateway agents to support non-IP equipments
 - Integrates multiple applications

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Manager/Agent Paradigm

- Manager/agent: common in all NMS (especially in SNMP/CMIP)
- Idea of a client/server, but many clients and only some servers
 - (manager ° client; agent ° server)
- The agent operates with the equipment
 - Reports problems to the manager, to control all the equipment information
- The manager contains the intelligence to decide what the agents should do, and gives instructions to them
 - It controls the agents and manages their interworking

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Summary of System

70

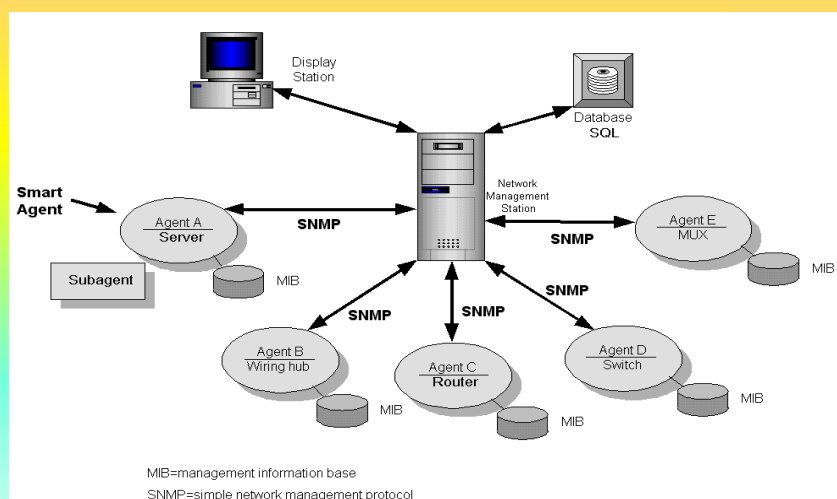
Management SNMP : 4 parts

- **Management information base (MIB):**
 - **Distributed storage of network information**
- **Structure of Management Information (SMI):**
 - **Data definition language for MIB objects**
- **SNMP protocol**
 - **Establishes relationships manager× managed to allow the exchange of information and commands**
- **Security and administration**
 - **Later developed, in versions SNMPv2 and SNMPv3**

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Structure of SNMP management



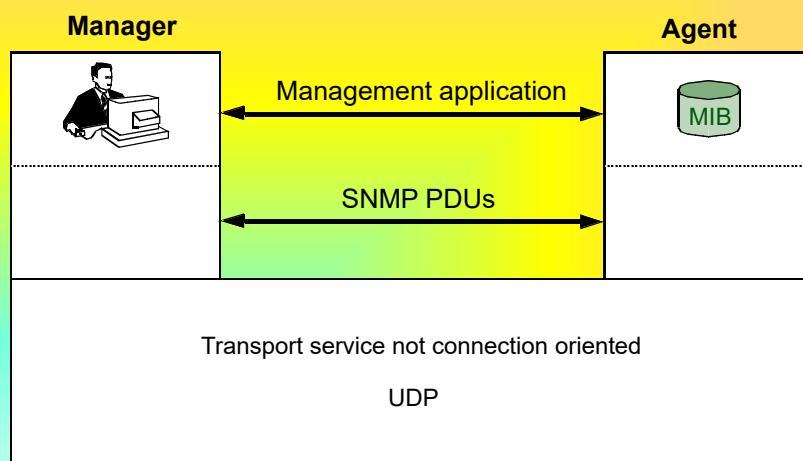
71

SNMP services for managers

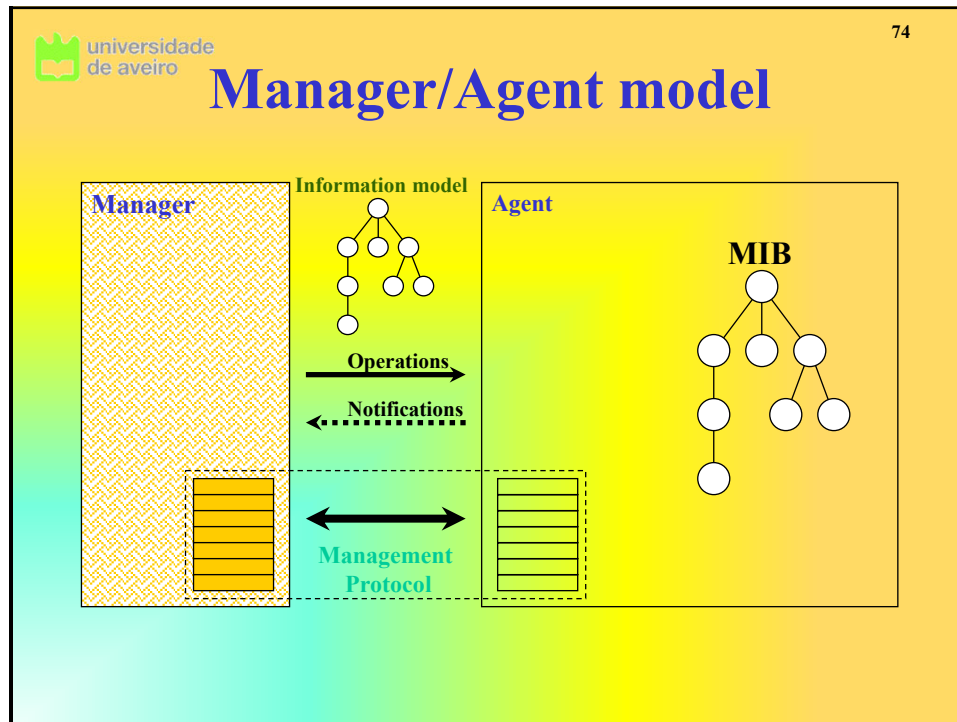
- **There are 3 SNMP services related with the managers control**
 - **Authentication service**
 - MIB access is limited to authorized managers
 - **Access policy**
 - MIB access can change according to the manager accessing
 - **Proxy service**
 - The agent can be proxy of other agents, which implies the support of authentication and policy services

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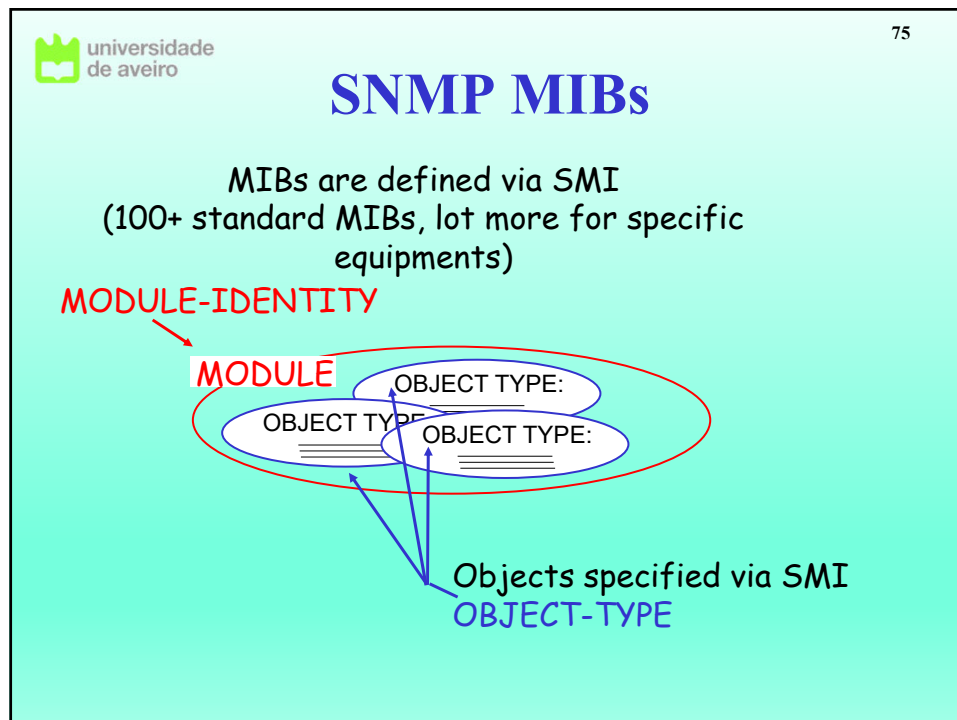
SNMP Structure



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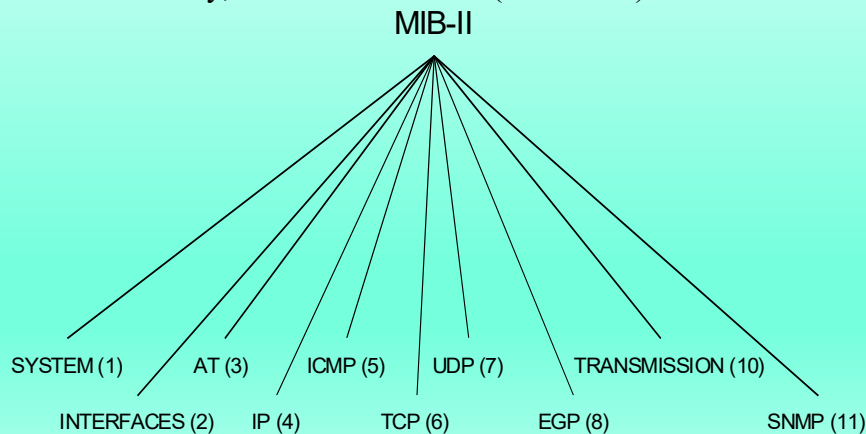


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MIB-II

Defines TCP-IP management (170 variables)

- Defined in RFC 1213, associated to SMIV1
- Historically, evolution of MIB-I (RFC 1156)



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SMI: Data language definition

Objective: well-defined syntax and semantics of management information

- Type of basic data
 - Traditional
- Type of object
 - Type of data, status, semantic of the managed object
- Module identification
 - Collection of objects inter-related in the MIB

Type of basic data

INTEGER
 Integer32
 Unsigned32
 OCTET STRING
 OBJECT IDENTIFIED
 IPAddress
 Counter32
 Counter64
 Gauge32
 TimeTicks
 Opaque

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SMI: Examples of objects and modules

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OBJECT-TYPE:

ipInDelivers

ipInDelivers OBJECT TYPE

SYNTAX Counter32**MAX-ACCESS** read-only**STATUS** current

DESCRIPTION

"The total number of input datagrams successfully delivered to IP user-protocols (including ICMP)"

::= { ip 9}

MODULE-IDENTITY:

ipMIB

ipMIB MODULE-IDENTITY

LAST-UPDATED "941101000Z"**ORGANIZATION** "IETF SNMPv2 Working Group"

CONTACT-INFO

" Keith McCloghrie

....."

DESCRIPTION

"The MIB module for managing IP and ICMP implementations, but excluding their management of IP routes."

REVISION "019331000Z"

.....

::= {mib-2 48}

78

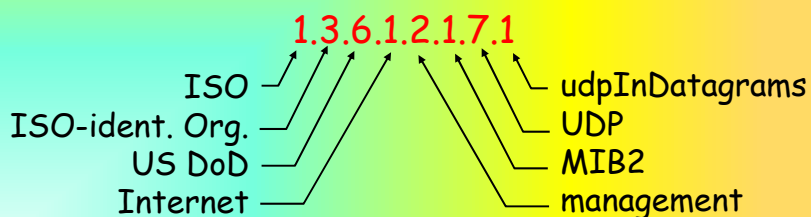
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Names (numbers) SNMP

Problem: How to name all possible objects (protocols, data, etc..) in all possible protocols??

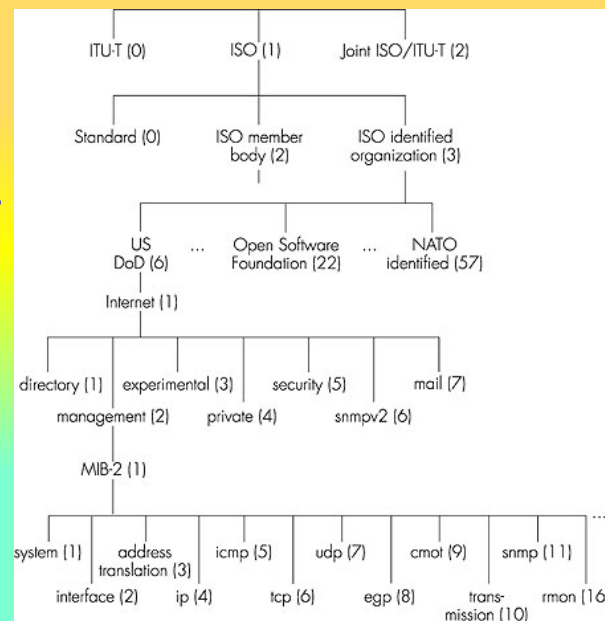
Answer: ISO Object Identifier tree:

- Hierarchical naming for objects
- Each node has a name and a number



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OSI Object Identifier Tree



www.alvestrand.no/harald/objectid/top.html

80

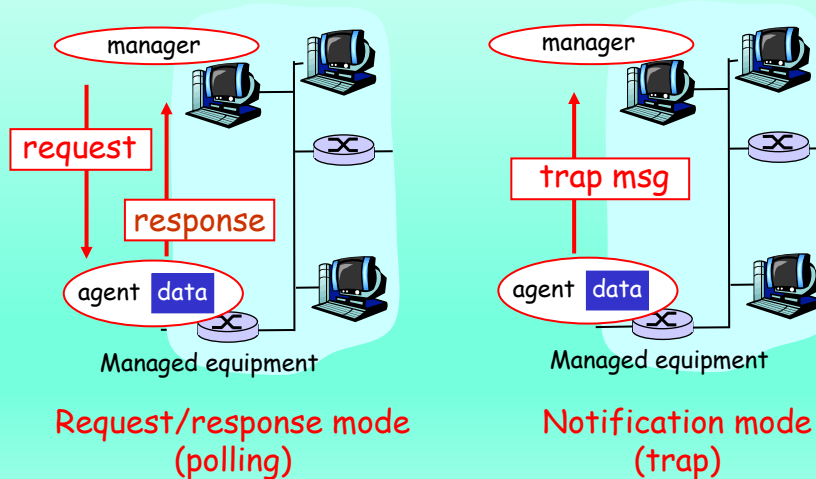
SNMP

The protocol

81

Information transfer in SNMP

Two mechanisms to transfer information



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SNMP: Polling

- Manager periodically asks the agent for new information
- ☺ **Advantage:** Manager completely controls the equipment, and knows all network details
- ☹ **Disadvantage:** delay between event and its entry in the system, and unnecessary communication overhead:
 - Slow polling, slow answer to the events
 - Quick polling, quick reaction, but large bandwidth wastage

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SNMP: Traps

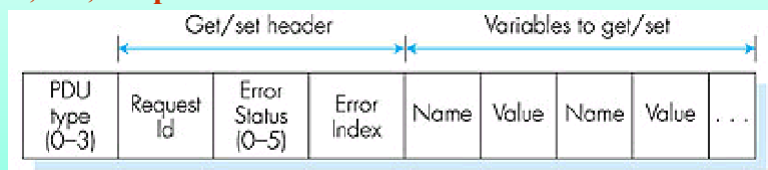
- There is an event ² trap is sent
- Trap contains appropriate information
equipment name, time instant of event, type of event
- ☺ **Advantage:** information only generated when required
- ☹ **Disadvantage:**
 - ☹ More resources required in the managed equipment
 - ☹ Traps can be useless
 - If many events occur, bandwidth can be wasted with all traps (thresholds can solve)
 - Since the agent has only a limited scope of the network, NMS may already know about the events.
- **Traps&Polling**
 - Event occurs ² trap is sent
 - Manager performs polling to obtain the rest of information
 - Manager also performs periodic polling, as backup

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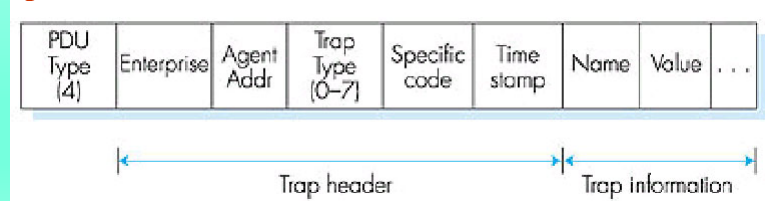
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SNMP Protocol: messages format

Get, Set, Response



Trap



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SNMP Protocol: types of messages

Types of messages

Function

GetRequest
GetNextRequest
GetBulkRequest

Mgr \pm agent: "get me data"
(instantiates, next on the list, block
of information)

InformRequest

Agent \pm Mgr: informs the
Manager of exception in a reliable
way

SetRequest

Mgr \pm agent: defines MIB value

Response

Agent \pm mgr: answer value to
Request

Trap

Agent \pm mgr: informs the
manager of an exception event

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SNMP: security and authentication

- In its initial version, the authorization and authentication were based in the notion of "SNMP community string"
- The "community words" identifying the permissions of the machine that access the agente: read-only ou read-write
- By default, all systems come configured with the strings:
 - public (read-only)
 - private (read-write)
- These strings are case sensitive.

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SNMP: security and administration

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- Larger security in new versions
- Notion of “access control dependent on the user”
 - The agent maintains access rights information (policies) to different users in a data base
 - This data base is accessible as an object that can be managed
- Cipher support: SNMP message transported in DES
- Authentication: shared secret key
- Protection against replays: resort to *nounces*

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MIBs and SNMP access

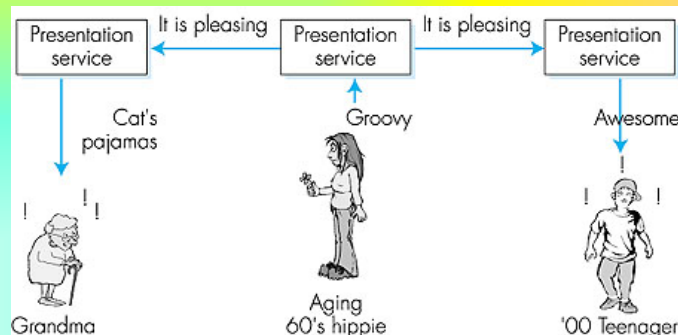
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MIB Access Category	SNMP Access Mode	
	Read-Only	Read-Write
Read-Only	get, trap	get, trap
Read-Write	get, trap	get, trap e set
Write-only	get, trap depends on implementation	get, trap e set depends on implementation
Not accessible	Not accessible	

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The presentation problem?

1. Translate the local format to a host-independent format.
2. Transmit the data in a host independent format
3. Translate the host-independent format in a format adequate to the new machine adequado à nova máquina.



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ASN.1

- **ISO X.680 standard**
 - Formal language to describe SMI
 - Frequent in Internet
 - “Heavy”, but essential for heterogenous environments.
- **Data types, object constructors**
 - As in SMI
- **BER: Basic Encoding Rules**
 - Specified the format as ASN.1 data should be transmitted.
 - Each transmitted object has a coding Type, Length, Value (TLV) encoding

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TLV Coding

Idea: Data must be auto-identified

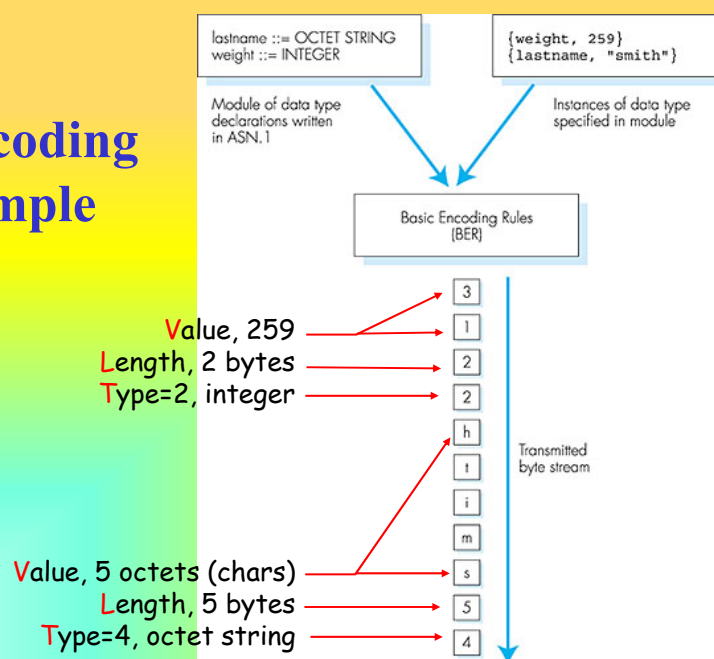
- **T**: data type, (ASN.1-defined)
- **L**: data length in bytes
- **V**: data, coded according with ASN.1 syntax.

Valor Tag Tipo

1	Boolean
2	Integer
3	Bitstring
4	Octet string
5	Null
6	Object Identifier
9	Real

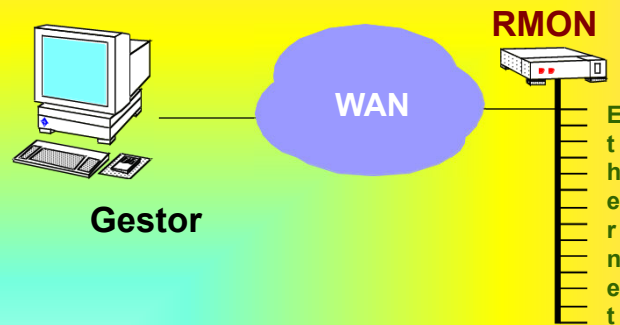
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TLV coding example



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REMOTE MONITORING



- RMON1 (RFC 1757)
- Token Ring extensions to RMON (RFC 1513)
- RMON2 (RFC 2021)
- SMON (RFC 2613)

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RMON

- **Remote monitoring MIB – measure network traffic**
 - **Agents – management interface**
 - **Probes – equipment for network analysis (promiscuous); usually configured to specific data types.**
- **Off-line operation (separated from the network)**
- **Preemptive monitoring, providing multiple information in the network.**
- **Support multiple managers and probes**
- **Detection and report of problems**
- **RMON has 9 groups:**
 - Statistics, History, Alarm, Host, HostTopN, Matrix, Filter, Packet Capture, and Event**

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RMON 2

- **RMON is not enough!**
- **RMON 2 shows:**
 - **High level packets (applications)**
 - **Details of traffic flows**

RMON \neq RMON2

- **RMON:** used to analyse segments
- **RMON 2:** used in backbones

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SNMP: Pros and Cons

- | | |
|--|---|
| <ul style="list-style-type: none"> • Agents widely used/known • Simple to implement • Robust e extensible • Polling approach adequate to LAN objects <p>Critical requirement satisfied: available to be developed in the right time</p> | <ul style="list-style-type: none"> • Very simple: does not scale • Specific semantics make its integration with other approaches difficult • Large communication overhead due to polling • Many specific implementations (private MIBs) • In several management systems, small agents may be inadequate |
|--|---|

Note that SNMP became a misnomer, referring both to the management protocol and the management framework. These are different things.

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Frameworks: SNMP versus CMIS

SNMP

- Static MIBs
- Concepts of limited models
- Non-connection oriented protocol
- Polling model
- Implementation-oriented
- Light
- Limited functionalities
- Bulk capacity only in new versions
- Completely dominating the market
- Many SNMP-based products

CMIS

- Dynamic MIBs
- Object-oriented models
- Connection-oriented protocol
- Event-oriented model
- Specification-oriented
- Heavy
- Functionalities until the system management level
- Bulk capacity with scope and filtering
- Some relevance in the telecommunications market
- Some CMIP-based products in the market