

Mémoire de stage

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CONTENTS

Résumé et Abstract	5
Acknowledgments	6
Introduction	7
Model description	8
Overview	8
Leaf lifespan	10
Disturbance	10
Sylviculture	11
Designation and selection	11
Rotten trees	11
Harvesting	11
Gap damages	12
Material and Methods	13
Sensitivity analysis	13
Design of experiment	13
Ecosystem answer analysis	15
Resilience	15
Biodiversity effect	15
Results	17
Sensitivity	17
Disturbance	17
Sylviculture	17
Discussion	18
Appendix 1: TROLL model	19
Abiotic environment	19
Photosynthesis	19
Theory	19
Parametrization	20
Autotrophic respiration	21
Net carbon uptake	22
Tree growth	23
Mortality	23
Recruitment	24

Appendix 2: Leaf lifespan model	26
Material and methods	26
Results	27
Appendix 3: Rotten tree model	29
Appendix 4: Gap damages model	30
References	30

RÉSUMÉ ET ABSTRACT

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INTRODUCTION

Sustainable forest management in the tropics (i.e. managed selective harvesting of timber) has been widely promoted internationally to combat tropical deforestation and degradation [Zimmerman and Kormos, 2012]. Currently tropical logging accounts for one eighth of global timber production [Blaser et al., 2011] and is still increasing. Most tropical timber production originates from selective logging, the targeted harvesting of timber from commercial species in a single cuttint cycle [Martin et al., 2015].

On the other hand, tropical rainforests have fascinated ecologists due to their outstanding diversity [Connell, 1978]. Effectively tropical forests host over half of the Earth’s biodiversity [Scheffers et al., 2012]. High biodiversity from tropical rainforests is the source of many ecosystem functions. Amongst others, tropical forests play a key role in biogeochemical cycles, including carbone storage [Lewis et al., 2004]. **Add insights into carbon storage role of tropical forest.** Ecosystem functions from tropical forests support numerous ecosystem services, such as timber production and climate regulation.

But several authors argue that selective logging represents a major threat to biodiversity [Carreño-Rocabado et al., 2012, de Avila et al., 2015, Gibson et al., 2013, Martin et al., 2015, Zimmerman and Kormos, 2012], challenging the sustainable definition from current selective logging. We consequently need to assess both short and long term impacts of selective logging on tropical forest ecosystems to implement better syslvicultural practive in order to reach sustainability.

The question of selective logging impact on tropical forest can be directly related to the emerging field of biodiversity and ecosystem functioning [Loreau, 2000]. Tropical forest outstanding biodiversity will be both a factor and a result of forest ecosystem response to logging disturbance. And forest ecosystem response to logging disturbance will directly modify ecosystem functioning in both short and long term. Consequently assessing selective logging effect on tropical forest linking biodiversity and ecosystem seems an obvious and promising way [Loreau, 2010]. **Paragraph to fully review !**

Negative short term impacts of selective logging have been assessed [Carreño-Rocabado et al., 2012; de Avila et al., 2015; but see Martin et al., 2015]. Much less is known about the long term impact [Osazuwa-Peters et al., 2015]. The main reason is the difficulty to conduct long term empirical study [but see Herault et al., 2010], which can be completed by the use of forest simulators [Huth et al., 2004, Köhler and Huth, 2004, Rüger et al., 2008, Tietjen and Huth, 2006]. Individual-based models of forest dynamics present the perfect framework to develop such joint biodiversity-ecosystem approaches [Maréchaux and Chave]. Individual-based models describe forest ‘patches’ accumulating carbon through time, assessing tree growth within the patch, or releasing carbon through gap opening [Bugmann, 2001]. Up to several dozens of different Plant Functional Types (PFTs) are generally defined and models can sometimes be fully spatially explicit [Pacala et al., 1996]. Recently, the forest growth simulator TROLL [Chave, 1999], an individual-based and spatially explicit forest model, was developped to introduce recent advances in plant physiological community. TROLL model relates physiological processes to species-specific functional traits [Maréchaux and

[Chave](#)]. Consequently, TROLL model allow to simulate fully a neotropical forest biodiversity to study biodiversity-ecosystem functioning link response to logging disturbance.

Major question greater diversity (taxonomic and functional) brought a better resilience to disturbance ?

MODEL DESCRIPTION

Overview

TROLL model each tree individually in a located environment. Thus TROLL model, alongside with SORTIE [Pacala et al., 1996, Uriarte et al., 2009] and FORMIND [Fischer et al., 2016, Köhler and Huth, 1998], can be defined as an individual-based and spatially explicit forest growth model. TROLL simulates the life cycle of individual trees from recruitment, with a diameter at breast height (dbh) above 1 cm, to death with growth and seed production. Trees are growing in a located light environment explicitly computed within voxels of 1 m^3 . Each tree is consistently defined by its age, diameter at breast height (dbh), height (h), crown radius (CR), crown depth (CD) and leaf area (LA) (see figure 1). Tree geometry is calculated with allometric equations but leaf area varies dynamically within each crown following carbon allocations. Voxels resolution of 1 m^3 allow the establishment of maximum one tree by $1\times 1\text{ m}$ pixels. Each tree is flagged with a species label inherited from the parent tree through the seedling recruitment. A species label is associated to a number of species specific parameters (see table 1) related to functional trait values which can be sampled on the field.

Carbon assimilation is computed over half-hourly period of a representative day. Then allocation is computed to simulate tree growth from an explicit carbon balance (in contrast to previous models). Finally environment is updated at each timestep set to one month. Seedlings are not simulated explicitly but as a pool. In addition belowground processes, herbaceous plants, epiphytes and lianas are not simulated inside TROLL. The source code is written in C++ and available upon request. All modules of TROLL models are further detailed in [Appendix 1: TROLL model](#).

Table 1: Species-specific parameters used in TROLL from [Maréchaux and Chave](#). Data originates from the BRIDGE [[Baraloto et al., 2010](#)] and TRY [[Kattge et al., 2011](#)] datasets.

Abbreviation	Description	Units
LMA	leaf mass per area	$g.m^{-2}$
N_m	leaf nitrogen content per dry mass	$mg.g^{-1}$
P_m	leaf phosphorous content per dry mass	$mg.g^{-1}$
wsg	wood specific gravity	$g.cm^{-3}$
dbh_{thresh}	diameter at breast height threshold	m
h_{lim}	asymptotic height	m
a_h	parameter of the tree-height-dbh allometry	m

Previous implementation of TROLL model used [Reich et al. \[1991\]](#) allometry to infer leaf lifespan LL from species leaf mass per area LMA [[Maréchaux and Chave](#), see [Appendix 1: TROLL model](#)].

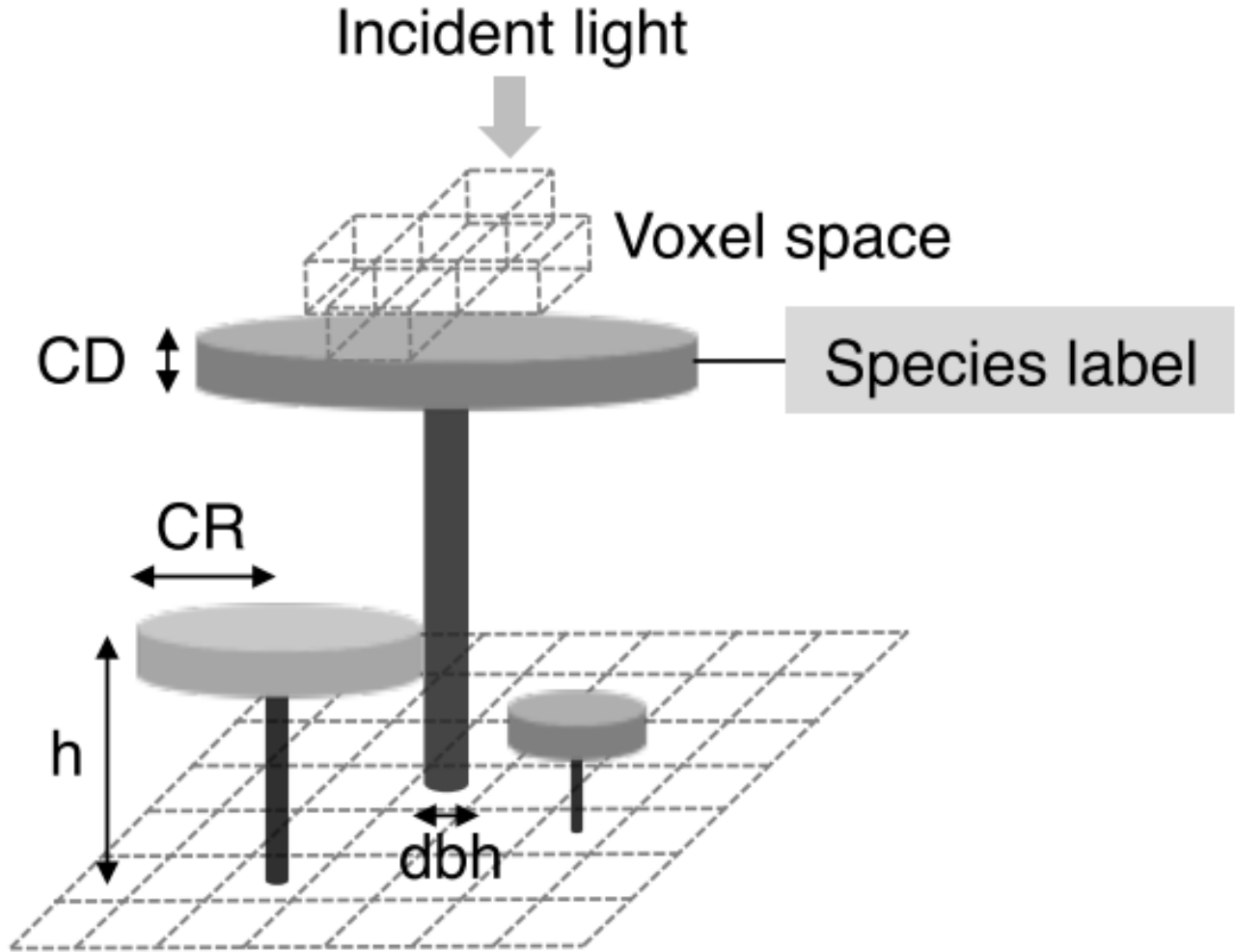


Figure 1: Individuals tree inside TROLL explicit spatial grid from [Maréchaux and Chave](#). Tree geometry (crown radius CR, crown depth CD, height h , diameter at breast height dbh) is updated at each timestep following allometric relationship with assimilated carbon allocated to growth. Each tree is flagged with a species label linking to its species-specific attributes. Light is computed explicitly at each timestep for each voxel.

But the use of the allometrie from [Reich et al. \[1991\]](#) with current implementation of the TROLL model resulted in an underestimation of leaf lifespan for low LMA species. Consequently in the following paragraph we suggest a new allometry.

Selective logging is defined as the targeted harvesting of timber from species of interest. Consequently, tropical silviculture can be assimilated to a disturbance. The main difference between a disturbance and selective logging is the targetting of both species and individuals of interest. So we decided to first asses unselective disturbance effect on tropical forest ecosystem to subsequently better understand selective logging effect. First, we implemented a disturbance module inside TROLL model to simulate unselective disturbance. Secondly, we implemented a silviculture module inside TROLL model to simulate selective logging in regards to french Guiana practices.

Leaf lifespan

The underestimation of leaf lifespan for low LMA species with the allometry from [Reich et al. \[1991\]](#) resulted in individuals unrealistic early death from carbon starvation. We gathered data from TRY [[Kattge et al., 2011](#)], DRYAD [[Chave et al., 2009](#)] and GLOPNET [[Wright et al., 2004](#)] datasets. We used an out of the bag method applied on a random forest to select variables with highest importance to explain leaf lifespan. We thus selected leaf mass per are LMA , leaf nitrogen content N and wood specific gravity wsg . We then used a bayesian approach to test different models with growing level of complexity. The model with the best tradeoff between complexity (number of parameters), convergence, likelihood, and prediction quality (root mean square error of prediction RMSEP) was kept. We selected following model with a maximum likelihood of 13.6 and a RMSEP of 12 months:

$$LL_d \sim \log\mathcal{N}(\beta_{1d} * LMA - \beta_{2d} * N * \beta_3 * wsg, \sigma) \quad (1)$$

Leaf lifespan LL follows a lognormal law with location infered from leaf lifespan LMA , nitrogen content N and wood specific gravity wsg and a scale σ . Each β_{id} is following a normal law located on β_i with a scale of σ_i . All β_i , σ_i , and σ are assumed without preemption following a gamma law. d represents the dataset random effects and encompass environmental and protocol variations (see [Appendix 2: Leaf lifespan model](#) for more details).

Disturbance

Disturbance module was designed in the simplest way in order to relate the ecosystem answer to volume lost without any individuals nor species targetting. For a given iteration $disturb_{iter}$, individuals are picked randomly with a uniform law on the number of trees. Selected individuals are then removed without trigerring a treefall to avoid any side effect. The operation is repeated untill the disturbance result in a defined lost basal area ($disturb_{intensity}$ in % of BA).

Sylviculture

In french guiana context sylviculture can be narrow to selective logging, which can be split in two steps: selection and harvesting. Selection encompass choice of the harvestable area, tree designation by the forest office, tree selection by the harvester, and removal off tree probed as rotten by the lumber. Harvesting encompass tree felling, tracks opening, and long term damages (simplified in gap damages in current TROLL implementation).

Designation and selection

One major limit of current implementation of TROLL model is that it assumes a flat environment. Consequently the whole simulated area inside TROLL is considered has an harvestable zone. With all commercial species minimum and maximum harvestable diameter, TROLL calculates the total harvestable volume V_{htot} . If the total harvestable volume V_{htot} exceed $30 m^3.hectare^{-1}$, commercial species minimum harvestable diameter dbh_{min} is increased untill V_{htot} is inferior to that upper limit.

In french guiana, tree harvesters are focusing on few species with easier marketable wood, resulting in a tree harvest around $20 m^3.ha^{-1}$ (Laurent Descroix, ONF, personnal communication). TROLL ranks each commercial species on its economic value, and randomly remove individuals from lowest rank species untill it reaches total harvested volume V_{hdtot} (V_{hdtot} was set to $25 m^3.hectare^{-1}$ in subsequent simulations).

Rotten trees

20 to 30 % of designated trees are considered as rotten once probed by the lumberman. Rotten trees are not random and depends both on tree species and diameter.

Explain rotten bayesian model

$$P_{rotten} = \text{logit}^{-1}(\beta_0 + \beta_1 * dbh) = \frac{e^{\beta_0 + \beta_1 * dbh}}{1 + e^{\beta_0 + \beta_1 * dbh}} \quad (2)$$

(see [Appendix 3: Rotten tree model](#) for more details)

Harvesting

Due to crown aspects, treefall from logs are often random (whereas difficult to manage, treefall can still be oriented, Laurent Descroix, ONF, personnal communication). Consequently, TROLL consider treefall from log as random like current natural treefall implementation inside TROLL (see code [Appendix 1]).

Tree harvesting roads are split in three classes: truck roads, main tractor track, and secondary track. Because TROLL assumes a flat environment, the main track is opened starting from the middle of one side of the simulated forest and until it reaches the center with a width of 6 meters. In most cases, secondary tracks are opened once trees have been designated and the geolocation taken at a maximum distance of 30 meters from designated trees (Laurent Descroix, ONF, personal communication). To simulate secondary tracks, TROLL uses a loads map, measuring every trees at a distance of 30 meters for each pixel, and a track proximity maps of the closest existing track. Next, the model select the pixel with the highest load and closest track, find the closest existing track and join it by removing tree in the way with a width of 5 meters. The operations are repeated until no left trees are left.

Gap damages

Most of models account long term damages due to selective logging with a 10 years increased mortality [Huth et al., 2004, Köhler and Huth, 2004, Rüger et al., 2008]. We decided to model explicitly long term logging damages because of their localised nature through a gap damages model. We gathered data from Paracou dataset **Cite** in censuses between 1988 and 1992 on Paracou harvested plots. Individuals were categorized between alive, dead, or recruited during the period. We measured each individual distance to the closest gap. We then used a bayesian approach to test the link between tree death in the four years following the log event and distance to the closest gap. We adapted the model from **Cite Herault** based on a disturbance index into.

$$\begin{aligned} Death_i &\sim \mathcal{B}(P(Death_i)) \\ P(Death_i) &= \text{logit}^{-1}(\theta + \beta * e^{\alpha * d_{gaps}}) = \frac{e^{\theta + \beta * e^{\alpha * d_{gaps}}}}{1 + e^{\theta + \beta * e^{\alpha * d_{gaps}}}} \end{aligned} \quad (3)$$

Death of a tree i follows a $\mathcal{B}(\nabla \setminus \lceil \sqcap \uparrow \rceil)$ law of probability $P(Death_i)$. The odds for a tree i to die $O(Death_i)$ is calculated by the sum of the natural tree death odd θ and a perturbation index $\beta * e^{\alpha * d_{gaps}}$. The perturbation index depend on the distance d_{gaps} of the tree i to the closest logging gap. The probability for a tree i to die $P(Death_i)$ is finally calculated by taking the inverse logit of the odd $\text{logit}^{-1}[O(Death_i)]$ (see [Appendix 4: Gap damages model](#) for more details).

MATERIAL AND METHODS

Sensitivity analysis

Maréchaux and Chave already assessed TROLL model sensitivity to several parameters (k see (8), ϕ see (31), $g1$ see (12), f_{wood} see (20), f_{canopy} see (22) and m see (27)) which they assumed having a key role in model functioning. On the other hand, we decided to use TROLL to study resistance and resilience of ecosystem face to disturbance, highlighting the role of biodiversity. Consequently we particularly needed to assess the importance of functional traits to further better control and evaluate functional diversities. We also needed to assess the sensitivity of TROLL model to the seed rain constant (n_{ext} , see (30)) because we assumed it as one of the main factors of tree recruitments after disturbance within simulations.

TROLL model currently uses leaf mass per area (LMA in $g.m^{-2}$), leaf nitrogen content per dry mass (N_m in $mg.g^{-1}$), leaf phosphorus content per dry mass (P_m in $mg.g^{-1}$), wood specific gravity (wsg in $g.cm^{-3}$), diameter at breast height threshold (dbh_{thresh} in m), asymptotic height (h_{lim} in m), and parameter of the tree-height-dbh allometry (a_h in m). To assess the sensitivity of TROLL model to species functional traits, we performed a sensitivity analysis by fixing species trait values to their mean. Each trait was tested independently. We reduce to a common mean traits with a Pearson's correlation value $r \geq 0.8$ (h_{max} and a_h with a correlation of $r = 0.98$). To assess the sensitivity of TROLL model to seed rain, we performed a sensitivity analysis by fixing simulations seed rain constant to 2, 20, 200 and 2000 seeds per hectare.

Simulations were conducted on Intel Xeon(R) with 32 CPUs of 2.00GHz and 188.9 GB of memory. We assumed maturity of the forest after 500 years of regeneration (Maréchaux & Chave) and computed simulation 100 years after a disturbance event with 40% loss of basal area. Due to computer limitations we did not run replicate (besides it should be necessary to reduce simulation stochasticity). To assess ecosystem outputs sensitivity to studied parameters, we compared it to 100 replicates of control simulations with all parameters set to default values. Ecosystem outputs outside of the range of the control replicates values are significantly influenced by the studied parameter.

Design of experiment

In order to assess the role of biodiversity in ecosystem answer to both disturbance and silviculture, we needed to create a space of experiments encompassing both variation of disturbance, biodiversity and time. Disturbance was represented by percentage of basal area loss (0%, 25%, 50% and 75%), or as a selective logging simulation. Biodiversity was integrated with two components of its components: taxonomic and functional diversities. We used species richness SR to represents taxonomic diversity (5, 25, and 125 species). Functional diversity can be related to numerous

components, and [Perrone et al. \[2017\]](#) argued for 5: richness, divergence, regularity, overlap and mean. Because mature forest were created from a bare soil with TROLL simulations, we could not control a priori divergence, regularity and overlap but only assess them before disturbance. Consequently, we focused on functional richness with convex hull volume *CHV* and functional mean with community weighted mean *CWM*. For each level of species richness *SR*, we selected 20 communities with growing convex hull volume *CHV* but with a community weighted means close to the regional species pool community weighted means. Effectively, we did not wanted drastic change in community means that could have more effect than functional richness itself. This design of experiments resulted in 60 communities ($5 \text{ } SR * 20 \text{ } CHV$) and 240 simulations ($60 \text{ communities} * 4 \text{ levels of disturbance}$) over 600 years (maturity being assumed after 500 years of regeneration [[Maréchaux and Chave](#)]). Figure 2 presents the design of experiment for communities biodiversity after the mature forest were simulated, and thus before disturbance. We obtained a broad range of both functional dispersion *FDIs* and aboveground biomass *AGB* for simulated forest ecosystems before disturbance.

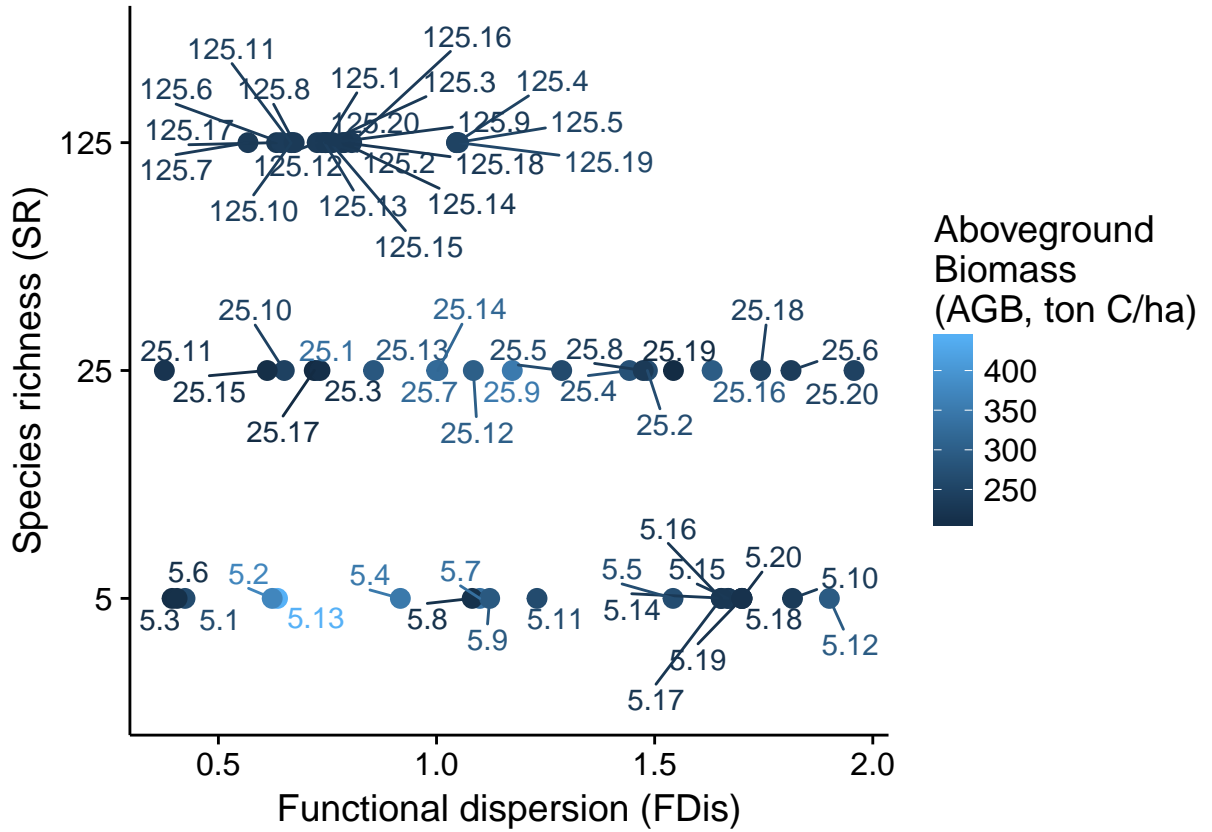


Figure 2: Experimental design before disturbance. Communities are implemented along a gradient of species richness (*SR*) and functional dispersion (*FDIs*) resulting in a broad range of aboveground biomass (*AGB*). *FDIs* was calculated based on 4 functional traits (leaf mass per area, wood specific gravity, maximum diameter, and maximum height).

Ecosystem answer analysis

Resilience

Tropical forest ecosystems provides numerous ecosystem services linked to several ecosystem functions. We decided to describe simulated tropical forests in three major functions: forest dynamic, forest production, and forest diversity. Forest dynamic was represented by aboveground biomass (AGB in $ton C.ha^{-1}$), basal area (BA in $m^2.ha^{-1}$), total number of stem (N), number of stem above 10 cm diameter (N_{10}), and number of stem above 30 cm diameter (N_{30}). Forest production was represented by growth primary productivity (GPP in $MgC.ha^{-1}$), net primary productivity (NPP in $MgC.ha^{-1}$), tree autotrophic respiration in day (R_{day} in $MgC.ha^{-1}$) and tree autotrophic respiration in night (R_{night} in $MgC.ha^{-1}$). Finally, forest diversity was represented by ??.

The resilience of metrics values post disturbance were assessed through [Henry and Emmanuel Ramirez-Marquez \[2012\]](#) formula:

$$R(t) = \frac{Recovery(t)}{Loss(t_d)} \approx \frac{X_T(t)}{X_C(t)} \quad (4)$$

The resilience of the system $R(t)$ at the time t is described by the ratio of recovery $Recovery(t)$ at time t to loss suffered $Loss(t_d)$ at disturbance time t_d . But in our peculiar case of tropical forest ecosystems, the equilibrium used to calculate $Loss(t_d)$ can not be reduced to a specific time if the equilibrium is dynamic. Consequently, to encompass undisturbed ecosystem variations through time, we simulated an undisturbed control ecosystem C . And the resilience of the system $R(t)$ at the time t was defined as the ratio of the ecosystem metric values in the disturbed simulation $X_T(t)$ over the the ecosystem metric values from the control $X_C(t)$. Thus, the value of resilience $R(t)$ is normalized for all simulations and metrics. $R(t)$ will be equal to $R_{eq} = 1$ when reaching the equilibrium value. Consequently we can calculate an euclidean distance to equilibrium $d_{eq}(t)$ as $d_{eq}(t) = \sqrt{(R_{eq} - R(t))^2}$.

Biodiversity effect

Biodiversity is not only a facet of the experimental design and an ecosystem output through forest diversity, but also interact on ecosystem functioning and consequently on its answer to disturbance. Biodiversity ecosystem functioning relation can be split in complementarity and selection effect with [Loreau and Hector \[2001\]](#) partitioning:

$$\begin{aligned} NE &= X_O - X_E = CE + SE \\ CE &= N * \overline{\Delta R X M} \\ SE &= N * cov(\Delta R X, M) \end{aligned} \quad (5)$$

Biodiversity net effect NE is based on the difference between ecosystem variable X observed value X_O within the community mixture of species and its expected value X_E if species performance were equal to their performance in monocultures. This effect can be partitioned between complementarity effect CE , representing niche partitioning, positive interactions, and resource supply, and selective effect SE due to dominant species pool driving the ecosystem. Both metrics depend on the variation of relative ecosystem variable ΔRX :

$$\Delta RX_{sp} = \frac{X_{sp}(mixture)}{X_{sp}(monoculture)} - P_{sp} \quad (6)$$

X_{sp} is the ecosystem variable value for one species either in mixture $X_{sp}(mixture)$ or in monoculture $X_{sp}(monoculture)$. P_{sp} is the proportion of the species in the mixture represented by species relative abundance. Consequently, CE averages diversity effects of all species presents in the mixture (both negatives and positives). Whereas SE become positive when dominant species outperform themselves in mixture than in monoculture, and negative when less dominant species outperform themselves in mixture than in monoculture [Tobner et al., 2016].

Recovery trajectories of ecosystem variable after disturbance were partitioned between complementarity effect CE and selection effect SE . In order to do that, the design of experiment was repeated for each species individually representing 652 simulations of monoculture.

RESULTS

Sensitivity

Disturbance

Sylviculture

DISCUSSION

APPENDIX 1: TROLL MODEL

In this Appendix we further detail modules of TROLL model.

Abiotic environment

A voxel space, with a resolution of 1 m^3 , is used to explicitly model the abiotic environment. For each tree crown, leaf area density is calculated on tree geometry assuming a uniform distribution across voxels occupied by the crown. Leaf area density is computed within each voxel summing all tree crowns inside the voxel v , and is denoted $LAD(v)$ (leaf area per voxel in $\text{m}^2.\text{m}^{-3}$). The vertical sum of LAD from voxel v to the ground level defines $LAI(v)$ (leaf area per ground area in $\text{m}^2.\text{m}^{-2}$ commonly called leaf area index):

$$LAI(v) = \sum_{v'=v}^{\infty} LAD(v') \quad (7)$$

Daily variations in light intensity (photosynthetic photon flux density PPFD in $\mu\text{mol}_{\text{photons}}.\text{m}^{-2}.\text{s}^{-1}$), temperature (T in degrees Celsius), and vapor pressure deficit (VPD in $k\text{PA}$) are computed to assess carbon assimilation within each voxel of the canopy and for a representative day per month (see Appendix 1 from [Maréchaux and Chave](#) for further details). Variation of PPFD Within the canopy is calculated as a local Beer-Lambert extinction law:

$$PPFD_{\text{max},\text{month}}(v) = PPFD_{\text{top},\text{max},\text{month}} * e^{-k*LAI(v)} \quad (8)$$

The daily maximum incident PPFD at the top of canopy $PPFD_{\text{top},\text{max},\text{month}}$ is given as input. The extinction rate k is assumed as constant, besides its variation with zenith angle and species leaf inclination angle [[Meir et al., 2000](#)]. Moreover only vertical light diffusion is considered ignoring lateral light diffusion, which can have an important role especially in logging gaps. Finally, intra-day variation at half hour time steps t for a representative day every month are used to compute $PPFD_{\text{month}}(v, t)$, $T_{\text{month}}(v, t)$ and $VPD_{\text{month}}(v, t)$. Water and nutrient process both in soil and inside trees are not simulated.

Photosynthesis

Theory

Troll simulates the carbon uptake of each individual with the Farquhar, von Caemmerer and Berry model of C3 photosynthesis [[Farquhar et al., 1980](#)]. Gross carbon assimilation rate (A in

$\mu mol CO_2.m^{-2}.s^{-1}$) will be the minimum of either Rubisco activity (A_v) or RuBP generation (A_j):

$$A = \min(A_v, A_j) \mid A_v = V_{cmax} * \frac{c_i - \Gamma^*}{c_i + K_m} ; A_j = \frac{J}{4} * \frac{c_i - \Gamma^*}{c_i + 2 * \Gamma^*} \quad (9)$$

V_{cmax} is the maximum rate of carboxylation ($\mu mol CO_2.m^{-2}.s^{-1}$). c_i is the CO_2 partial pressure at carboxylation sites. Γ^* is the CO_2 compensation point in absence of dark respiration. K_m is the apparent knietic constant of the Rubisco. And J is the electron transport rate ($\mu mole^{-}.m^{-2}.s^{-1}$). J depends on the light intensity with $PPFD$:

$$J = \frac{1}{2 * \theta} * [\alpha * PPFD + J_{max} - \sqrt{(\alpha * PPFD + J_{max})^2 - 4 * \theta * \alpha * PPFD * J_{max}}] \quad (10)$$

J_{max} is the maximal electron transport capacity ($\mu mole^{-}.m^{-2}.s^{-1}$). θ is the curvature factor. And α is the apparent quantum yield to electron transport ($mole^{-}.mol photons^{-1}$).

Carbon assimilation by photosynthesis will then be limited by the CO_2 partial pressure at carboxylation sites. Stomata controls the gas concentration at carboxylation sites throught stomatal transport:

$$A = g_s * (c_a - c_i) \quad (11)$$

g_s is the stomatal conductance to CO_2 ($molCO_2.m^{-2}.s^{-1}$). TROLL simulates stomatal conductance g_s with the model from [Medlyn et al., 2011]:

$$g_s = g_0 + (1 + \frac{g_1}{\sqrt{VPD}}) * \frac{A}{c_a} \quad (12)$$

g_0 and g_1 are parameters from the model. TROLL model assume $g_0 \approx 0$ (empirically tested and considered as reasonable).

Parametrization

Leaf traits can be used as proxy of photosynthesis, especially leaf nutrient content which directly play a role in it [Wright et al., 2004]. Domingues et al. [2010] suggested that V_{cmax} and J_{max} were both limited by the leaf concentration of nitrogen N and phosphorus P ($mg.g^{-1}$):

$$\log_{10}V_{cmax-M} = \min(\begin{matrix} -1.56 + 0.43 * \log_{10}N - 0.37 * \log_{10}LMA \\ -0.80 + 0.45 * \log_{10}P - 0.25 * \log_{10}LMA \end{matrix}) \quad (13)$$

$$\log_{10}J_{max-M} = \min(\begin{matrix} -1.50 + 0.41 * \log_{10}N - 0.45 * \log_{10}LMA \\ -0.74 + 0.44 * \log_{10}P - 0.32 * \log_{10}LMA \end{matrix}) \quad (14)$$

V_{cmax-M} and J_{max-M} are the photosynthetic capacities at $25^{\circ}C$ of mature leaves per leaf dry mass (resp. $\mu mol CO_2.g^{-1}.s^{-1}$ and $\mu mole^{-}.g^{-1}.s^{-1}$). LMA is the leaf mass per are ($g.cm^{-2}$). V_{cmax} and J_{max} are calculated by multiplying V_{cmax-M} and J_{max-M} by LMA . V_{cmax} and J_{max} variation with temperature are calculated with [Bernacchi et al. \[2003\]](#) (see Appendix 2 from [Maréchaux and Chave](#) for further details).

TROLL computes leaf carbon assimilation A_l combining equations from (9) to (14) for each tree crown voxel within in each crown layer l :

$$A_l = \frac{1}{n_v * t_M} * \sum_v \sum_{t=1}^{t_M} A(PPFD_{month}(v, t), VPD_{month}(v, t), T_{month}(v, t)) \quad (15)$$

$PPFD_{month}(v, t)$, $VPD_{month}(v, t)$, and $T_{month}(v, t)$ are derived from microclimatic data. n_v is the number of voxels within crown layer l . And the sum is calculated over the t_M half-hourly intervals t of a typical day.

Autotrophic respiration

A large fraction of plants carbon uptake is actually used for plant maintenance and growth respiration. The autotrophic respiration can represents up to 65% of the gross primary productivity but varies strongly among species, sites, and environnements.

TROLL uses [Atkin et al. \[2015\]](#) database of mature leaf dark respiration and associated leaf traits to compute leaf maintenance respiration:

$$R_{leaf-M} = 8.5431 - 0.1306 * N - 0.5670 * P - 0.0137 * LMA + 11.1 * V_{cmax-M} + 0.1876 * N * P \quad (16)$$

R_{leaf-M} is the dark respiration rate per leaf dry mass at a temperature of $25^{\circ}C$ ($nmol CO_2.g^{-1}.s^{-1}$). The other terms are in equations (13) and (14). TROLL assume leaf respiration during day light to be 40% of leaf dark respiration, and computes total leaf respiration by accounting for the length of daylight.

TROLL model stem respiration (R_{stem} in $\mu mol C.s^{-1}$) with a constant respiration rate per volume of sapwood:

$$R_{stem} = 39.6 * \pi * ST * (dbh - ST) * (h - CD) \quad (17)$$

dbh , h , CD and ST are tree diameter at breast height, height, crown depth and sapwood thickness, respectively (m). TROLL assumes $ST = 0.04 m$ when $dbh > 30 cm$ and an increasing ST for lower dbh .

Finally, TROLL computes both fine root maintenance respiration, as half of the leaf maintenance respiration. Whereas coarse root and branch maintenance respiration is computed as half of the stem respiration. And growth respiration (R_{growth}) is assumed to account for 25% of the gross primary productivity minus the sum of maintenance respirations.

Net carbon uptake

Net primary production of carbon for one individual NPP_{ind} (gC) is computed by the balance between gross primary production GPP_{ind} and respirations R :

$$NPP_{ind} = GPP_{ind} - R_{maintenance} - R_{growth} \quad (18)$$

TROLL partitions individuals total leaf area LA into three pools for different leaf age classes corresponding to different photosynthesis efficiency (young, mature and old leaves with LA_{young} , LA_{mature} , and LA_{old} respectively). Consequently we can compute growth primary production for one individual as:

$$GPP_{ind} = 189.3 * \Delta t * \sum_{l=\lfloor h-CD \rfloor + 1}^{\lfloor h \rfloor} [A_l] * \left(\frac{LA_{young}}{2} + LA_{mature} + \frac{LA_{old}}{2} \right) \quad (19)$$

h and CD are tree height and crown depth, respectively (m). $\lfloor x \rfloor$ is the rounding function. Δt is the duration of a timestep ($year$).

Thus, TROLL can compute carbon allocation to wood into an increment of stem volume ΔV (m^3):

$$\Delta V = 10^{-6} * \frac{f_{wood} * NPP_{ind}}{0.5 * wsg} * Senesc(dbh) \quad (20)$$

f_{wood} is the fixed fraction of NPP allocated to stem and branches. wsg is the wood specific gravity ($g.cm^{-3}$, see 1). TROLL assume large trees less efficient to convert NPP as growth by using a size-related growth decline with function $Senesc$ after a specific diameter at breast height threshold dbh_{thresh} :

$$Senesc(dbh) = \max(0; 3 - 2 * \frac{dbh}{dbh_{thresh}}) \quad (21)$$

Finally, TROLL can compute carbon allocation to canopy with canopy NPP fraction denoted f_{canopy} and decomposed into leaf, twig and fruit production. Carbon allocation to leaf results in a new young leaf pool, whereas other leaf pools are updated as follow:

$$\begin{aligned}
\Delta LA_{young} &= \frac{2 * f_{leaves} * NPP_{ind}}{LMA} - \frac{LA_{young}}{\tau_{young}} \\
\Delta LA_{mature} &= \frac{LA_{young}}{\tau_{young}} - \frac{LA_{mature}}{\tau_{mature}} \\
\Delta LA_{old} &= \frac{LA_{mature}}{\tau_{mature}} - \frac{LA_{old}}{\tau_{old}}
\end{aligned} \tag{22}$$

τ_{young} , τ_{mature} , and τ_{old} are species residence times in each leaf pools (*years*). The sum of residency time thus defined the leaf lifespan $LL = \tau_{young} + \tau_{mature} + \tau_{old}$ (*years*). τ_{young} is set to one month and τ_{mature} is set to a third of leaf lifespan LL . Belowground carbon allocation is not simulated inside TROLL.

Tree growth

Once the increment of stem volume ΔV calculated with equation (20), TROLL convert it into an increment of tree diameter at breast height denoted Δdbh . TROLL infer tree height from dbh using a Michaelis-Menten equation:

$$h = h_{lim} * \frac{dbh}{dbh + a_h} \tag{23}$$

On the other hand, we have the trunk volume $V = C * \pi * (\frac{dbh}{2})^2 * h$, thus:

$$\begin{aligned}
\Delta V &= C * \frac{1}{2} * \pi * h * dbh * \Delta dbh + C * \pi * (\frac{dbh}{2})^2 * h \\
\Delta V &= V * \frac{\Delta dbh}{dbh} * (3 - \frac{dbh}{dbh + a_h})
\end{aligned} \tag{24}$$

Next, TROLL used the new trunk dimension (dbh and h) to update tree crown geometry using allometric equations [Chave et al., 2005]:

$$\begin{aligned}
CR &= 0.80 + 10.47 * dbh - 3.33 * dbh^2 \\
CD &= -0.48 + 0.26 * h ; CD = 0.13 + 0.17 * h \quad (h < 5 \text{ m})
\end{aligned} \tag{25}$$

Finally, TROLL computes the mean leaf density within the crown (LD in $m^2.m^{-3}$) assuming a uniform distribution:

$$LD = \frac{LA_{young} + LA_{mature} + LA_{old}}{\pi * CR^2 * CD} \tag{26}$$

Mortality

Mortality is partitioned in three factors inside TROLL: background death d_b , treefall death d_t and negative density dependent death d_{NDD} . Because density dependent death d_{NDD} is still in development inside TROLL we did not use it, so we will not detail its computation.

Chave et al. [2009] advocated for a wood economics spectrum opposing fast growing light wood species with high risk of mortality to slow growing dense wood species with reduced risk of mortality. Hence, background mortality is derived from wood specific gravity wsg inside TROLL:

$$d_b = m * (1 - \frac{wsg}{wsg_{lim}}) + d_n \quad (27)$$

m ($events.year^{-1}$) is the reference background death rate for lighter wood species (pioneers). d_n represents death by carbohydrates shortage. If the number of consecutive day with $NPP_{ind} < 0$ (18) is superior to tree leaf lifespan d_n is set to 1 and remains null in other cases.

Mortality by treefall inside TROLL depends on a specific stochastic threshold θ :

$$\theta = h_{max} * (1 - v_T * |\zeta|) \quad (28)$$

h_{max} is the maximal tree height. v_T is the variance term set to 0.3. $|\zeta|$ is the absolute value of a random centered and scaled Gaussian. If the tree height h is superior to θ then the tree may fall with a probability $1 - \theta/h$ [Chave, 1999]. The treefall direction is random (drawn from a uniform law ($\mathcal{U}[0, 2\pi]$)). All tree in the trajectory of the falling tree will be hurted through a variable denoted $hurt$, incremented by fallen tree height h . If a tree height is inferior than its $hurt$ values then it may die with a probability $1 - \frac{1}{2} \frac{h}{hurt}$. $hurt$ variable is reset to null at each timestep ($month$).

Recruitment

Once the tree became fertile they will start to disperse seeds. TROLL consider tree as fertile after a specific height threshold h_{mature} [Wright et al., 2005]:

$$h_{mature} = -11.47 + 0.90 * h_{max} \quad (29)$$

But TROLL is not considering seed directly through a seedbank, instead seed might be interpreted as a seedling recruitment opportunity. The number of reproduction opportunities per mature tree is denoted n_s and set to 10 for all species. This assumption originates from a trade-off between seed number and seed size resulting in equivalent survival and recruitment probability. All n_s events are dispersed with a distance randomly drawn from a Gaussian distribution. Additionally, TROLL model consider external seedrain through n_{ext} events of seed immigration:

$$n_{ext} = N_{tot} * f_{reg} * n_{ha} \quad (30)$$

$N_{\{tot\}}$ is the external seedrain per hectare (number of reproduction opportunities). f_{reg} is the species regional frequency. n_{ha} is the simulated plot size in ha .

Finally, a bank of seedlings to be recruited is defined for each pixel. If the ground-level light reaches a species light compensation point LCP the species will be recruited:

$$LCP = \frac{R_{leaf}}{\phi} \quad (31)$$

R_{leaf} is the leaf respiration for maintenance (see (16)). ϕ is the quantum yield ($\mu mol C. \mu mol photon$) set to 0.06. If several species reach their LCP , one is picked at random. Seedlings are recruited with following intial geometry:

$$\begin{aligned} dbh &= \frac{a_h}{h_{max}-1} \\ h &= 1 \text{ } m \\ CR &= 0.5 \text{ } m \\ CD &= 0.3 \text{ } m \\ LD &= 0.8 \text{ } m^2.^{-3} \end{aligned} \quad (32)$$

Table 2: Functional traits gathered with TRY.

Name	Trait	Unit	TRYcode
LL	Leaf lifespan (longevity)	month	12
SLA	Leaf area per leaf dry mass (specific leaf area, SLA)	$\text{m}^2.\text{kg}^{-1}$	11
N	Leaf nitrogen (N) content per leaf dry mass	mg.g^{-1}	15
P	Leaf phosphorus (P) content per leaf dry mass	mg.g^{-1}	14
wsg	Stem dry mass per stem fresh volume (stem specific density)	mg.mm^{-3}	4

APPENDIX 2: LEAF LIFESPAN MODEL

TROLL model previous implementation encompass Reich’s 1991 and 1997 and Wright’s 2004 allometries to estimate leaf lifespan with [Reich et al., 1991, 1997, Wright et al., 2004]. But we have shown that Reich’s allometries are underestimating leaf lifespan for low LMA species. Moreover simulations estimated unrealistically low aboveground biomass for low LMA species. We assumed Reich’s allometries underestimation of leaf lifespan for low LMA species being the source of unrealistically low aboveground biomass inside TROLL simulations. We decided to find a better allometry with Wright et al. [2004] GLOPNET dataset.

Material and methods

We gathered data from TRY database to evaluate and improve previous models. We requested functional traits available in Bridge dataset and leaf lifespan for tropical species present in GLOPNET dataset and used in TROLL (see 2). We compiled GLOPNET, TRY, and DRYAD databases. We kept dataset given by GLOPNET as origin dataset for observations. It correspond to leaf lifespan and also to LMA and Nmass in most of cases. We measured variable importance in functional traits to explain leaf lifespan with out-of bag method applied on a random forest.

We tested different models and we kept the model with the best tradeoff between model complexity, convergence, likelihood, and prediction quality (see leaf lifespan model document). We found following model, named **M1**:

$$M1 \quad LL_{sj} \sim \uparrow \downarrow \mathcal{N}(\beta_0 * e^{\beta_{1s} * LMA_{sj} - \beta_{2s} * Nmass_{sj}}, \sigma)$$

$$s = 1, \dots, S=4, \quad j = 1, \dots, n_s$$

$$\beta_{is} \sim \mathcal{N}(\beta_i, \sigma_i)^I, \quad (\beta_i, \sigma, \sigma_i) \sim -(0.001, 0.001)^{2I+1}$$

Leaf lifespan (LL) is following a lognormal law with location μ_{sj} and scale σ . s represents the dataset used to do the fit between 1 and $S = 4$, it encompass environmental and protocol variations. j represents the observation for a given site s . Location μ_{sj} is the exponential from the difference between LMA and Nmass with parameters β_{0s} , β_{1s} , and β_{2s} . Each β_{is} is following a normal law

located on β_i with scale σ_i . Finally all β_i , σ , and σ_i are assumed without preemption following gamma laws of parameters 10^{-2} , 10^{-2} .

We decided to add to model **M1**, wood specific gravity as a new predictor through a parameter β_3 in a new model named **M10**. We removed dataset random effect on β_3 due to the fact that all wood specific gravities were provided by DRYAD database. Due to a correlation between β_0 and β_3 in **M10** (see figure 5), we tried also a model **M11** without intercept β_0 . We then sampled all models with this composite dataset, and obtained following results :

Results

Table 3: Variable importance calculated with out-of the bag method applied on a random forest. Leaf lifespan (LL) is taken in GLOPNET database from Wright et al. [2004]. Leaf mass per area (LMA), and leaf nitrogen content (Nmass) are taken both in TRY (<https://www.try-db.org>) and GLOPNET databases. Wood specific gravity (wsg) is taken both in TRY and DRYAD databases.

	%IncMSE	IncNodePurity
LMA	99.69390	2028.079
Nm	159.03360	2666.670
wsg	50.97284	1475.023

Table 3: Model fit results for composite dataset. *Data are taken from GLOPNET, TRY, and DRYAD datasets (see previous description). ML stand for maximum likelihood and RMSEP for root mean square error of prediction*

All model have correctly converged.

Model **M10** have shown a correlation between parameters β_0 and β_3 , responsible for the creation of model **M11** (see previous paragraphs). Model **M11** only show a slight link between β_1 and β_3 which can be considered as non significant.

We can denote that retained vector of parameters with maximum likelihood for model **M11** is close to 95% confidence limit.

We finally tested the new allometry obtained with the new model **M** on TROLL data (figure 7) and with TROLL simulations. Minimum leaf lifespan is now above 3 months for the new model **M** with TROLL species dataset. Moreover lowest leaf lifespan species are not the one with the smallest LMA with new model. Lastly, new model **M** variation of leaf lifespan is almost spanning all previous allometries predicition on LMA axis.

Simulations are validating that this new allometry resolve the issue of unrealistically low above-ground biomass for low LMA species due to an early edath of individuals inside simulations. For instance with this allometry *Symphonia* sp 1 (a low LMA species) is now reaching a realistic above-ground biomass above X tonnes of C/ha and realistic diameter and age distribution inside the final population.

APPENDIX 3: ROTTEN TREE MODEL

APPENDIX 4: GAP DAMAGES MODEL

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LIST OF TABLES

1	Species-specific parameters used in TROLL from Maréchaux and Chave. Data originates from the BRIDGE [Baraloto et al., 2010] and TRY [Kattge et al., 2011] datasets.	8
2	Functional traits gathered with TRY.	26
3	Variable importance calculated with out-of the bag method applied on a random forest. Leaf lifespan (LL) is taken in GLOPNET database from Wright et al. [2004]. Leaf mass per area (LMA), and leaf nitrogen content (Nmass) are taken both in TRY (https://www.try-db.org) and GLOPNET databases. Wood specific gravity (wsg) is taken both in TRY and DRYAD databases.	27

LIST OF FIGURES

1	Individuals tree inside TROLL explicit spatial grid from Maréchaux and Chave. Tree geometry (crown radius CR, crown depth CD, height h, diameter at breast height dbh) is updated at each timestep following allometric relationship with assimilated carbon allocated to growth. Each tree is flagged with a species label linking to its species-specific attributes. Light is computed explicitly at each timestep for each voxel.	9
2	Experimental design before disturbance. Communities are implemented along a gradient of species richness (SR) and functional dispersion (FDis) resulting in a broad range of aboveground biomass (AGB). FDis was calculated based on 4 functional traits (leaf mass per area, wood specific gravity, maximum diameter, and maximum height).	14

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