

# Math 111C HW2

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April 17, 2025

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**Question 1** *Let  $F \subseteq K \subseteq L$  be fields and let  $\alpha \in L$  be algebraic over  $F$ . Prove that  $[K(\alpha) : K] \leq [F(\alpha) : F]$ .*

**Pf:**

Given that  $\alpha \in L$  is algebraic over  $F$ , there exists minimal polynomial  $f_{\alpha,F}(x) \in F[x] \subseteq L[x]$ , such that  $f_{\alpha,F}(\alpha) = 0$ . On the other hand, since  $F[x] \subseteq K[x]$ , then  $f_{\alpha,F}(x)$  as a polynomial over  $K$  has  $\alpha$  being a root, implies that  $\alpha$  is also algebraic over  $K$ , hence its minimal polynomial  $p_{\alpha,K}(x) \in K[x]$  exists, with  $p_{\alpha,K}(\alpha) = 0$ .

Now, since  $f_{\alpha,F}(x) \in K[x]$  has  $\alpha$  being a root, then it implies that  $p_{\alpha,K}(x) \mid f_{\alpha,F}(x)$  (since minimal polynomial of  $\alpha$  divides all polynomials having  $\alpha$  being a root), hence  $\deg(p_{\alpha,K}) \leq \deg(f_{\alpha,F})$ .

Lastly, since  $\alpha$  is algebraic over both  $F$  and  $K$ , then  $F(\alpha) \cong F[x]/(f_{\alpha,F}(x))$  (which the extension has degree being  $\deg(f_{\alpha,F})$ ) and  $K(\alpha) \cong K[x]/(p_{\alpha,K}(x))$  (which the extension has degree being  $\deg(p_{\alpha,K})$ ), then:

$$[K(\alpha) : K] = [K[x]/(p_{\alpha,K}(x)) : K] = \deg(p_{\alpha,K}) \leq \deg(f_{\alpha,F}) = [F[x]/(f_{\alpha,F}(x)) : F] = [F(\alpha) : F]$$

Hence,  $[K(\alpha) : K] \leq [F(\alpha) : F]$ .

## 2

**Question 2** Let  $K/F$  be a field extension and  $\alpha_1, \dots, \alpha_n \in K$  be algebraic over  $F$ . Prove that  $F(\alpha_1, \dots, \alpha_n) = F[\alpha_1, \dots, \alpha_n]$ .

**Pf:**

We'll prove this statement by induction on the number of elements  $n$ .

First, for  $n = 1$ , if  $\alpha_1 \in K$  is algebraic over  $F$ , if we consider its minimal polynomial  $m_{\alpha, F}(x) \in F[x]$ , since it is irreducible while  $F[x]$  is a PID, then  $K' = F[x]/(m_{\alpha, F}(x))$  is a field.

Now, consider the following ring homomorphism  $\phi : K' \rightarrow F[\alpha_1]$  by  $\phi(\bar{x}) = \alpha_1$ . Then, since it is a nonzero map, while  $K'$  is a field, then  $\phi$  is injective; also, for all  $a_k \alpha^k + \dots + a_0 \in F[\alpha]$ , let  $f(x) = a_k x^k + \dots + a_0 \in F[x]$ , then  $\overline{f(x)} = a_k \bar{x}^k + \dots + a_0 \in K'$ , it satisfies:

$$\phi(\overline{f(x)}) = \phi(a_k \bar{x}^k + \dots + a_0) = a_k \phi(\bar{x})^k + \dots + a_0 = a_k \alpha_1^k + \dots + a_0$$

This shows that  $\phi$  is also surjective.

Then, because  $\phi$  is bijective,  $K' \cong F[\alpha_1]$ , hence  $F[\alpha_1]$  is a field.

Given that  $F(\alpha_1)$  is a field containing all operations of  $F$  and  $\alpha_1$  we know  $F[\alpha_1] \subseteq F(\alpha_1)$ ; on the other hand, since  $F(\alpha_1)$  is defined to be the smallest field containing both  $\alpha_1$  and  $F$ , and since  $F[\alpha_1]$  also satisfies this property, then  $F(\alpha_1) \subseteq F[\alpha_1]$ . This shows that  $F(\alpha_1) = F[\alpha_1]$ .

Now, suppose for given  $n \in \mathbb{N}$ , any  $\alpha_1, \dots, \alpha_n \in K$  that are algebraic over  $F$  satisfy  $F(\alpha_1, \dots, \alpha_n) = F[\alpha_1, \dots, \alpha_n]$ . Then, for the case  $(n + 1)$ , given arbitrary  $\alpha_1, \dots, \alpha_n, \alpha_{n+1} \in K$  that are algebraic over  $F$ , we know  $F(\alpha_1, \dots, \alpha_n, \alpha_{n+1}) = F(\alpha_1, \dots, \alpha_n)(\alpha_{n+1})$ , which by induction hypothesis,  $F(\alpha_1, \dots, \alpha_n) = F[\alpha_1, \dots, \alpha_n] = K''$ . Then, since  $F \subseteq K''$ , while  $\alpha_{n+1}$  is algebraic over  $F$ , then  $\alpha_{n+1}$  is also algebraic over  $K''$ , so its minimal polynomial  $m(x) \in K''[x]$  exists (with respect to field  $K''$ ).

Then, using the same logic for the case  $n = 1$ , we know  $K''[x]/(m(x)) \cong K''[\alpha_{n+1}] = K''(\alpha_{n+1})$ .

Hence, we have the following:

$$\begin{aligned} F(\alpha_1, \dots, \alpha_n, \alpha_{n+1}) &= F(\alpha_1, \dots, \alpha_n)(\alpha_{n+1}) = K''(\alpha_{n+1}) = K''[\alpha_{n+1}] = F(\alpha_1, \dots, \alpha_n)[\alpha_{n+1}] \\ &= F[\alpha_1, \dots, \alpha_n][\alpha_{n+1}] = F[\alpha_1, \dots, \alpha_n, \alpha_{n+1}] \end{aligned}$$

This proves that  $F(\alpha_1, \dots, \alpha_n, \alpha_{n+1}) = F[\alpha_1, \dots, \alpha_n, \alpha_{n+1}]$  for the case  $(n + 1)$ .

Finally, by the principle of mathematical induction, given any  $\alpha_1, \dots, \alpha_n \in K$  that are algebraic over  $F$ , we have  $F(\alpha_1, \dots, \alpha_n) = F[\alpha_1, \dots, \alpha_n]$ .

### 3

**Question 3** Let  $F$  be a field of characteristic  $p$ , where  $p$  is a prime number. Suppose that  $x^p - a$  where  $a \in F$ , does not have a root in  $F$ . Show that  $x^p - a$  is irreducible in  $F[x]$ .

**Pf:**

We'll prove by contradiction, that if  $x^p - a$  has no roots in  $F$ , then  $x^p - a \in F[x]$  is irreducible. Suppose it is reducible, then there exists nonconstant polynomials  $q(x), r(x) \in F[x]$ , with  $x^p - a = q(x)r(x)$ . (Note: it also implies  $p = \deg(x^p - a) > \deg(q), \deg(r)$ ).

First, given  $x^p - a \in F[x]$ , we know there exists a field extension  $K/F$ , such that  $x^p - a$  splits completely over  $K$ . In particular, there exists  $b \in K$ , such that  $(x - b)$  is a linear factor of  $x^p - a$ . This implies that  $b^p - a = 0$ , or  $b^p = a$  (since  $(x - b)$  is a factor of the polynomial iff  $b$  is a root of the polynomial, in a polynomial ring  $R[x]$  over a commutative ring  $R$ ).

Now, since  $F \subseteq K$ , while  $K$  is an integral domain, then they share the same unity element 1; also, because  $\text{char}(K)$  is dependent on the order of 1 under addition, while  $\text{char}(F) = p$  is the order of 1, then  $\text{char}(K) = \text{char}(F) = p$ . Similar argument applies to  $K[x]$ , where  $\text{char}(K[x]) = \text{char}(K) = p$ . Hence, we can apply freshman's dream to the element  $(x - b) \in K[x]$ , and get that  $(x - b)^p = x^p - b^p = x^p - a$ .

Hence,  $(x - b)^p$  is a factorization of  $x^p - a$ , while  $x^p - a = q(x)r(x)$ . Then, since  $q(x), r(x)$  divides  $x^p - a$  while  $x^p - a$  splits completely over  $K$ , then  $q(x), r(x)$  must also split completely over  $K$ ; also, for the factorization to be unique, we must have  $q(x) = (x - b)^k$  and  $r(x) = (x - b)^l$ , where  $\deg(q) = k$  and  $\deg(r) = l$ .

Now, if we focus on  $q(x) = (x - b)^l \in K[x]$ , since  $q(x) \in F[x]$ , all of its coefficients should be in  $F$ , then the constant term of  $q(x)$  (represented as  $(-b)^l \in K$ ) should also be in  $F$ . But, here is a contradiction:

Since  $b^p = a \in F$ , there exists a minimum positive integer  $n \leq p$ , where  $b^n \in F$ , but if  $n < p$ , since  $p$  is a prime, then  $n \nmid p$ , hence  $p = qn + r$ , for  $q, r \in \mathbb{N}$ , and  $0 < r < n$ . Which, we get the following:

$$b^p = b^{nq+r} = (b^n)^q \cdot b^r \in F$$

Also, since  $b^p = a$ , while the equation  $x^p - a$  has no roots in  $F$ , then  $a \neq 0$ , hence  $b \neq 0$ . This implies that  $b^n \neq 0$ , which is invertible in  $F$ . Hence:

$$((b^n)^{-1})^q \cdot (b^n)^q \cdot b^r = b^r \in F$$

However, since  $b^r \in F$  and  $r < n$ , while  $n$  is assumed to be the smallest positive integer satisfying  $b^n \in F$ , we reach a contradiction.

Therefore our initial assumption must be false,  $x^p - a \in F[x]$  must be irreducible.

**Question 4**

- (a) Find all ring homomorphisms  $\Psi : \mathbb{Q}[x] \rightarrow \mathbb{C}$ .
- (b) Find all ring homomorphisms  $\Psi_1 : \mathbb{Q}[x]/(x^3 - 2) \rightarrow \mathbb{C}$  and  $\Psi_2 : \mathbb{Q}[x]/(x^3 - 2) \rightarrow \mathbb{R}$ .

**Pf:**

- (a) First, the zero map  $\Psi = 0$  is an answer.

Now, suppose  $\Psi \neq 0$ , then because  $\Psi(\mathbb{Q}[x]) \subset \mathbb{C}$  is a nontrivial subring, while  $\mathbb{C}$  is an integral domain, then all its nontrivial subring must have the same identity. Hence,  $\Psi(1) = 1 \in \mathbb{C}$ .

Notice that this also implies that for all  $q \in \mathbb{Q}$ ,  $\Psi(q) = q$ : Since  $\Psi(1) = 1$ , then for all  $a \in \mathbb{Z}$ ,  $\Psi(a) = a$ ; now, if represent  $q = \frac{a}{b}$  for  $a, b \in \mathbb{Z}$ ,  $b \neq 0$ , then we get:

$$\Psi(q) = \Psi(a \cdot b^{-1}) = \Psi(a) \cdot \Psi(b)^{-1} = a \cdot b^{-1} = q$$

Hence, all the rationals are fixed by the homomorphism.

Now, we can simply define  $\Psi(x) = a$  for arbitrary  $a \in \mathbb{C}$ . Then, for all  $f(x) = \sum_{k=0}^n f_k x^k \in \mathbb{Q}[x]$ , we get:

$$\Psi(f(x)) = \Psi\left(\sum_{k=0}^n f_k x^k\right) = \sum_{k=0}^n \Psi(f_k) \cdot \Psi(x)^k = \sum_{k=0}^n f_k a^k$$

So, all the nonzero possibilities are characterized by  $\Psi(1) = 1$ , and  $\Psi(x) = a$  for arbitrary  $a \in \mathbb{C}$ .

- (b) Notice that since  $x^3 - 2$  has no roots over  $\mathbb{Q}$ , then it must be irreducible. Hence,  $\mathbb{Q}[x]/(x^3 - 2)$  is in fact a field (since the ideal  $(x^3 - 2) \subset \mathbb{Q}[x]$  is maximal).

**Possibility of  $\Psi_1$ :**

First, the zero map  $\Psi_1 = 0$  is always an answer.

For other possibilities, suppose  $\Psi_1 \neq 0$ , because  $\mathbb{Q}[x]/(x^3 - 2)$  is a field, then  $\Psi_1$  must be injective, hence  $\mathbb{Q}[x]/(x^3 - 2) \cong \Psi_1(\mathbb{Q}[x]/(x^3 - 2)) \subseteq \mathbb{C}$ . In this case, since the image is nontrivial, while  $\mathbb{C}$  in particular is an integral domain, then all its subring (including the image of  $\Psi_1$ ) must have the same identity as  $\mathbb{C}$ , so  $\Psi_1(1) = 1 \in \mathbb{C}$ .

Then, since  $\bar{x} \in \mathbb{Q}[x]/(x^3 - 2)$  satisfies  $\bar{x}^3 - 2 = \overline{x^3 - 2} = 0$ , hence  $\Psi_1(\bar{x}) \in \mathbb{C}$  must also satisfy this relationship, namely:

$$0 = \Psi_1(\bar{x}^3 - 2) = \Psi_1(\bar{x})^3 - \Psi_1(2) = \Psi_1(\bar{x})^3 - 2$$

(Note: since  $\Psi_1(1) = 1$ , then  $\Psi_1(2) = \Psi_1(1 + 1) = \Psi_1(1) + \Psi_1(1) = 2$ ).

So,  $\alpha = \Psi_1(\bar{x}) \in \mathbb{C}$  must satisfy  $\alpha^3 - 2 = 0$ , which is a root of  $x^3 - 2 \in \mathbb{C}[x]$ . Then, the only possibilities of  $\alpha \in \mathbb{C}$  is  $\alpha = \sqrt[3]{2}$ ,  $\sqrt[3]{2}e^{i \cdot 2\pi/3}$ ,  $\sqrt[3]{2}e^{i \cdot 4\pi/3}$ .

Therefore, if  $\Psi_1 \neq 0$ , then it must satisfy  $\Psi_1(1) = 1$ , and  $\Psi_1(\bar{x}) = \sqrt[3]{2}$ ,  $\sqrt[3]{2}e^{i \cdot 2\pi/3}$ , or  $\sqrt[3]{2}e^{i \cdot 4\pi/3}$ .

**Possibility of  $\Psi_2$ :**

Again, the zero map  $\Psi_2 = 0$  is an answer.

Now, suppose  $\Psi_2 \neq 0$ , again because  $\mathbb{Q}[x]/(x^3 - 2)$  is a field,  $\Psi_2$  must be injective, and  $\Psi_2(1) = 1 \in \mathbb{R}$  (based on the same reason as described in  $\Psi_1$ ).

Again, since  $\bar{x} \in \mathbb{Q}[x]/(x^3 - 2)$  satisfies  $\bar{x}^3 - 2 = 0$ , hence  $0 = \Psi_2(\bar{x}^3 - 2) = \Psi_2(\bar{x})^3 - \Psi_2(2) = \Psi_2(\bar{x})^3 - 2$ . So,  $\beta = \Psi_2(\bar{x}) \in \mathbb{R}$  satisfies  $\beta^3 - 2 = 0$ , which is a root of  $x^3 - 2 \in \mathbb{R}[x]$ . Then, the only possibility of  $\beta \in \mathbb{R}$  is  $\beta = \sqrt[3]{2}$ .

Therefore, if  $\Psi_2 \neq 0$ , then it must satisfy  $\Psi_2(1) = 1$ , and  $\Psi_2(\bar{x}) = \sqrt[3]{2}$ .

**Question 5** Let  $p$  be prime number and  $F$  be a finite field with  $q = p^k$  elements. Prove that

$$x^{q-1} - 1 = \prod_{\alpha \in F^\times} (x - \alpha)$$

in  $F(x)$ . By comparing coefficients of suitable powers of  $x$ , conclude that

(a)

$$\sum_{\alpha \in F^\times} \alpha = 0$$

(b)

$$\prod_{\alpha \in F^\times} \alpha = -1$$

**Pf:**

Since  $F$  is a finite field with order  $|F| = q$ , then  $F^\times$  is a group under multiplication with  $|F^\times| = q - 1$  (without 0). Hence, for all  $\alpha \in F^\times$ ,  $\alpha^{q-1} = 1$  (since 1 is the identity of  $F^\times$ , and all element's order of a finite group divides the order of the group itself).

So, given  $x^{q-1} - 1 \in F[x]$ , since  $F$  is a field, and the polynomial has degree  $q - 1$ , it has at most  $q - 1$  roots counting multiplicity; on the other hand, all  $\alpha \in F^\times$  satisfies  $\alpha^{q-1} - 1 = 1 - 1 = 0$ , hence  $\alpha$  is a root of  $x^{q-1} - 1$ . And, since there are  $q - 1$  distinct elements in  $F^\times$ , then  $F^\times$  in fact contains (and only contains) all the roots of  $x^{q-1} - 1$ .

Now, because each  $\alpha \in F^\times$  is a root of  $x^{q-1} - 1$ , then  $(x - \alpha) \mid (x^{q-1} - 1)$ ,  $x^{q-1} - 1 = (x - \alpha)q_1(x)$ . Then, for a distinct  $\beta \in F^\times$ , since  $0 = \beta^{q-1} - 1 = (\beta - \alpha)q_1(\beta)$ , while  $\beta \neq \alpha$ , then  $q_1(\beta) = 0$ , showing that  $(x - \beta) \mid q_1(x)$ . So, inductively, we can factor out all  $(x - \alpha)$  (with  $\alpha \in F^\times$ ) as a linear term of  $x^{q-1} - 1$ , showing the following:

$$x^{q-1} - 1 = q(x) \prod_{\alpha \in F^\times} (x - \alpha), \quad q(x) \in F[x], \quad q(x) \neq 0$$

On the other hand, the left hand side above has degree  $q - 1$ , while the right hand side has degree  $\deg(q) + \deg(\prod_{\alpha \in F^\times} (x - \alpha)) \geq \deg(\prod_{\alpha \in F^\times} (x - \alpha)) = q - 1$  (Note: since there are  $q - 1$  elements in  $F^\times$ , then  $\prod_{\alpha \in F^\times} (x - \alpha)$  is a product of  $q - 1$  linear factors, hence has degree  $q - 1$ ). So, this enforces  $\deg(q) = 0$ , which  $q(x) \in F$  must be an invertible element.

Lastly, if we consider the leading coefficient, the left hand side has coefficient 1, while the right side has coefficient  $q(x)$ , hence  $q(x) = 1$ , showing the following:

$$x^{q-1} - 1 = \prod_{\alpha \in F^\times} (x - \alpha)$$

(a) Given the above statement, if we consider the coefficient of degree  $q - 2$ , we get 0 for  $x^{q-1} - 1$ ; on the right side, since  $x^{q-2}$  can only be obtained by choosing 1 factor to be constant, while the other factors are  $x$ , then the  $x^{q-2}$  has the coefficient  $\sum_{\alpha \in F^\times} \alpha$ . Hence,  $\sum_{\alpha \in F^\times} \alpha = 0$ .

(b) Again, if we consider the constant term, we get  $-1$  for  $x^{q-1} - 1$ ; on the right side, since constant term can only be obtained by the product of all constant terms, then it has constant term  $\prod_{\alpha \in F^\times} \alpha$ . Hence,  $\prod_{\alpha \in F^\times} \alpha = -1$ .