Zero-shot Improvement of Object Counting with CLIP

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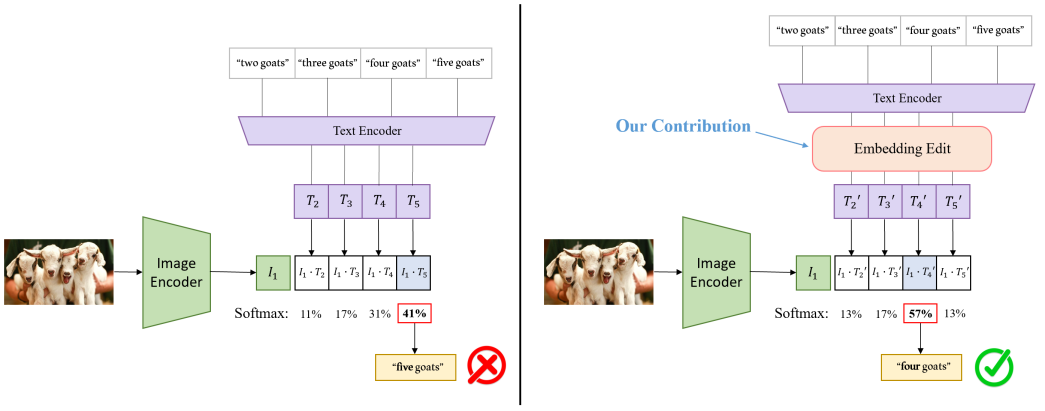

Figure 1: **Illustration of our method in the object counting classification task.** By manipulating the text embedding space of CLIP using our method, the accuracy for object counting classification gets improved in a zero-shot manner.

Abstract

We focus on the object counting limitations of vision-language models, with a particular emphasis on Contrastive Language-Image Pre-training (CLIP) models. We assess the counting performance of CLIP using a custom dataset, which uncovers significant variations across diverse objects. To address this, we introduce a zero-shot, training-free method aimed at improving counting accuracy by manipulating the text embedding space of CLIP. Through comprehensive experiments, we demonstrate that our method not only enhances the counting capabilities of CLIP but also boosts the performance of text-to-image generative models like Stable Diffusion, particularly in generating images with precise object counts. Our code is available at `https://github.com/UW-Madison-Lee-Lab/CLIP_Counting`.

1 Introduction

Recent advancement of deep learning techniques has led to significant progress in vision-language models [1, 2, 3, 4, 5, 6]. One such breakthrough is the development of Contrastive Language-Image Pre-training (CLIP) [3], which is trained on a wide range of Internet text-image pairs [7]. CLIP is shown to perform well on a wide range of zero-shot learning tasks, and it has been used as a text-image alignment backbone in many text-to-image generative models such as Stable Diffusion [8].

Despite its extensive deployment, CLIP exhibits limitations in certain areas [3, 9, 10, 11], such as counting objects in images [12]. Counting is a fundamental skill that requires the integration of visual and linguistic understanding, and it plays a crucial role in numerous practical applications.

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Our work seeks a deeper understanding of CLIP's counting ability and attempts to improve it via a simple yet effective zero-shot method. We start by creating a custom dataset containing images with varying quantities of objects. Upon evaluating CLIP's counting ability on this dataset, we find that its counting performance varies significantly across different objects. Our key idea is that if CLIP effectively counts certain object types, it already possesses some counting knowledge, at least for those objects. This knowledge has the potential to be transferred to other objects that are harder to count, thereby improving CLIP's counting accuracy on them.

Our approach extracts counting knowledge, represented as a linear direction in the embedding space, from easily countable objects. This knowledge is then applied to the target object by augmenting its embedding with the counting-specific vector. Experiments show that this training-free method significantly boosts CLIP's inherent object-counting ability. We also explore the application of our method to text-to-image generation models, specifically the Stable Diffusion model [8]. The results indicate that our technique can guide Stable Diffusion to generate images with the correct number of objects as specified in the prompt.

In sum, our contributions include: (i) we identify disparities in CLIP's ability to count different objects using our custom dataset; (ii) we introduce a zero-shot text embedding editing method, which substantially enhances CLIP's counting accuracy; and (iii) we show that our approach is also effective in guiding text-to-image generation models to produce images with more accurate object counts.

2 Related Work

Vision-language models Vision-language models (VLMs) have achieved significant success in multimodal tasks by training on massive image-text datasets and operating in a zero-shot or fine-tuning manner in downstream tasks [1, 2, 3, 4, 5, 6]. In this work, we will focus on the Contrastive Language-Image Pre-training (CLIP) model trained by OpenAI [3]. CLIP is trained on 400 million image-caption pairs [7], using a contrastive objective where matching text-image pairs should have a low cosine distance, while mismatched text and images should be far apart. CLIP has demonstrated notable success across a range of visual tasks due to its zero-shot capabilities. It also underpins text-to-image alignment in generative models like Stable Diffusion [8].

Limitations of vision-language models on counting While VLMs show impressive proficiency in many tasks, they have shortcomings in specific tasks [3, 9, 10, 11], like counting objects within pictures [12]. In fact, object counting problem has always been one of the important issues in the visual question answering (VQA) field, and several studies have attempted to address it [13, 14, 15, 16, 17]. Meanwhile, some research focuses on enabling VLMs-driven image generation models to produce images with the correct count of items [12, 18, 19].

While the aforementioned works are more centered on the application of VLMs, what's more relevant to our research are two papers that emphasize directly enhancing the counting capacity of VLMs themselves: CrowdCLIP [20] concentrates on the crowd counting problem, fine-tuning the CLIP in an unsupervised manner to map crowd patches to count text; Another work [12] proposes a counting-contrastive loss for fine-tuning pre-trained VLMs, based on a counting-relevant dataset filtered using object detection from the LAION-400M dataset [7]. It also introduces a new image-text counting benchmark *CountBench*, used to evaluate a model's understanding of object counting, which we also utilized in our experiments. However, both of these works rely on vast additional datasets and training resources to fine-tune CLIP. In contrast, our method requires no extra training and enhances CLIP's counting ability in a zero-shot manner by transferring knowledge between objects.

Text embedding editing Two works have explored the application of text embedding editing methods to image editing. One work [21] discovers editing directions in the text embedding space and applies them to image edits, while leveraging cross-attention guidance to preserve the structure of image content. Another work [22] translates example pairs that represent the "before" and "after" images of an edit back into a text-based editing direction, and then applies it to new images for image editing in a manner similar to [21]. In comparison, our research offers the following distinct contributions: (i) We utilize orthogonal projections to filter out extraneous details, thus achieving a more precise text embedding edit direction; (ii) Instead of concentrating solely on image editing, we focus on transferring CLIP's counting ability between different objects to enhance performance in counting-related image classification, image retrieval, and image generation.

3 Methods

In Section 3.1, we will describe how we created our dataset and specific ways to test CLIP's counting ability. After that, we will introduce our zero-shot method in Section 3.2.

3.1 Evaluation of CLIP's Counting Ability

We first collect our own dataset by manually searching for images of 9 different objects $\in$ {"dog", "cats", "lion", "chair", "goat", "cow", "cherry", "rose", "boat"} on the Internet. For each type of object, we collect 10 images for each object count, from two to five. We then modify each image using ten different operations, which include rotations, vertical and horizontal flipping, as well as adjustments to image brightness, contrast, color, and hue. This results in 11 images including the original one. In total, our dataset has $3960 (= 9 \times 10 \times 4 \times 11)$ samples.

We consider two counting tasks. The first task – zero-shot image classification – aims to find out the number of specific objects within a given image, as illustrated in Figure 1. For example, an image containing dogs will be classified as having i dogs if the image is more similar to the text "`i dogs`" than others with different counts. For this image classification task, we measure the classification accuracy. The second task type, known as text-based image retrieval, involves searching for and retrieving the most relevant images from a large dataset based on a given textual query. For this task, we calculate the probability of successfully retrieving images with the correct object count. The experimental design is described in more detail in Section 4.1

3.2 Our method: A zero-shot text embedding editing method

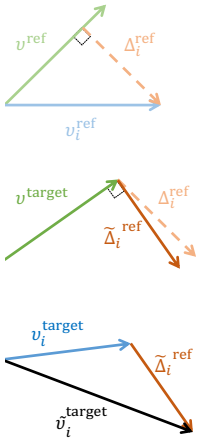

Figure 2: A visual illustration of our zero-shot text embedding editing method.

Our approach is based on the observation that CLIP is more proficient at counting certain types of objects, as shown in Section 4.2.1. This strategy involves using an object, which CLIP can count effectively, as a reference to adjust the text embedding vectors that describe the target object.

To begin, we introduce some notations. Let v^k denote the CLIP text embedding vector (e.g., "an image of dogs") that describes object k in a set of objects. It's important to note that it solely describes the object without any quantity information. We use v_i^k to denote the text embedding that incorporates additional quantity information about object k, where i is the quantity of object k. For example, the embedding of the text "an image of *three* dogs" could be represented by v_3^{dog}. We define the counting information direction extracted from any object k as

$$\Delta_i^k := (v_i^k - v^k) - \frac{\langle v_i^k - v^k, v^k \rangle}{\langle v^k, v^k \rangle} v^k, \tag{1}$$

such that Δ_i^k captures the information on $v_i^k - v^k$ and is also orthogonal to v^k. The intuition behind this definition is that the counting information is encapsulated in the direction from the base (non-quantitative) representation (v^k) to the quantitative representation (v_i^k). Meanwhile, the orthogonality of Δ_i^k to v^k serves to eliminate information associated with the base representation.

Assume there is an object that CLIP models can accurately predict its count, whose text embedding is denoted as v^{ref}. In our approach, we use the counting direction extracted by Δ_i^{ref} as a reference direction to refine the counting signal in the representation v^{target} of any given target object. Specifically, we derive a counting-augmented target object representation $\tilde{v}_i^{\text{target}} = v_i^{\text{target}} + \tilde{\Delta}_i^{\text{ref}}$, where

$$\tilde{\Delta}_i^{\text{ref}} := \Delta_i^{\text{ref}} - \frac{\langle \Delta_i^{\text{ref}}, v^{\text{target}} \rangle}{\langle v^{\text{target}}, v^{\text{target}} \rangle} v^{\text{target}}. \tag{2}$$

Similarly, the orthogonality of $\tilde{\Delta}_i^{\text{ref}}$ to v^{target} serves to eliminate information associated with target object representation not contributing to object count. Our method is also illustrated in Figure 2.

4 Experiments and Results

4.1 Experimental Setup

Models. We evaluate our method on three versions of CLIP models [3], `clip-vit-base-patch32`, `clip-vit-base-patch16`, and `clip-vit-large-patch14`. These models have progressively smaller patch sizes, implying that each model represents a given image with increasing resolution. Furthermore, `clip-vit-large-patch14` has a larger model size compared to the first two models.

Task Design. As mentioned in Section 3.1, We assess our method on two counting tasks: image classification and image retrieval, utilizing the CLIP similarity score between image and text. This score is calculated as the cosine similarity between an image's embedding vector and a text's embedding vector, which are generated using CLIP's image encoder and text encoder, respectively.

In the image classification task, our aim is to determine the number of specific objects within a given image, as illustrated in Figure 1. We calculate the similarity between each image's embedding and the text embeddings of captions containing different quantifiers of the object. The counting number in the caption with the highest similarity is considered the classification result for that image. For this task, we measure the accuracy for each object separately, focusing on whether images of the object match captions containing the correct counting number.

For the image retrieval task, the goal is to search for and retrieve images with the correct counting number from a large dataset, based on a counting-related caption. Given a type of object and an equal number of images for each object count, we calculate the probability of successfully retrieving the correct image for object count i as follows: First, we compute the similarity score between the caption "i objects" and all images. Then, we apply softmax to all similarity scores to estimate the probability of retrieving an image. We then sum up the softmax scores of images with the correct object count to estimate the probability of successfully retrieving any image of the correct object count i. Finally, we average the estimated probability for all counting queries ranging from two to five to determine the probability of successfully retrieving images with the correct object count for a certain object.

Datasets. We evaluate our method on our custom dataset, as detailed in Section 3.1, and the image counting benchmark, CountBench [12]. CountBench is an object counting dataset, collected from the LAION-400M dataset [7]. It comprises 540 images, each displaying between two to ten instances of a specific object, with accompanying captions indicating these counts. Each numerical count is represented by 60 respective images. CountBench encompasses a diverse range of objects, and the captions, aside from indicating the counting number, contain extensive additional information.

We assess both image classification and text-based image retrieval tasks on our own dataset. With Countbench, we only evaluate our methods on the image classification task since Countbench doesn't contain multiple images for a single type of object. We further divide the task into a four-class task and a nine-class task. The four-class task involves counting objects ranging from two to five, which aligns with the range used in our custom dataset. This allows for a direct comparison of models' performance on the CountBench and the custom dataset. On the other hand, the nine-class task involves counting objects ranging from two to ten, covering the full range of the CountBench dataset. This division serves to evaluate the models on tasks of varying complexity, providing a more comprehensive understanding of their counting abilities and the effectiveness of our method. The four-class task represents a simpler task, while the nine-class task provides a more challenging test of the models' counting abilities.

Caption template design. In our experiments, we follow specific templates for text inputs. For each dataset, we have a set of target objects and reference objects. The target objects are the ones we aim to count, while the reference objects are those that CLIP can already count effectively. Here are the templates we use:

Custom Dataset

Target Captions: "<objects>" vs. "<*i*> <objects>"
Example: "lions" vs. "**three** lions"
Reference Captions: "<objects>" vs. "<*i*> <objects>"
Example: "cats" vs. "**two** cats"

Countbench Dataset

Target Captions: "<context> <objects> <context>" vs. "<context> <*i*> <objects> <context>"
Example: "A set of cartoon calendars" vs. "A set of **four** cartoon calendars"
Reference Captions: "<objects>" vs. "<*i*> <objects>"
Example: "cats" vs. "**two** cats"

Implementation. In our experiments, "cats" and "dogs" are selected as reference objects for our method, since all CLIP models can count them consistently more accurately than count other objects, as analyzed in Section 4.2.1. In the implementation of our method, we manipulate the text embeddings for each target caption, each of which contains a different counting number. For a given counting number i, the adjustment is based on the reference vectors extracted from the reference object text embeddings corresponding to the same counting number i. For instance, for each object in our custom dataset, which contains four captions "<*i*><objects>" for $i \in [2, 3, 4, 5]$, we perform four parallel text embedding editing operations on each caption. Our method ensures that each counting number is more accurately represented in the adjusted embeddings.

4.2 Experimental Results

Table 1: **CLIP's counting accuracy for image classification task on our custom dataset (%).** The counting accuracy of CLIP varies across diverse objects. We apply our method using "dogs" or "cats" as references. Accuracy is underlined if it is higher than the baseline accuracy, and the highest score is highlighted in **bold**.

		average	dogs	cats	lions	chairs	goats	cows	cherries	roses	boats
CLIP-base-32	v_i^{target}	46.89	58.86	66.14	47.73	35.23	42.73	46.36	45.45	32.27	**47.27**
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	**52.40**	**72.95**	70.23	**58.64**	**42.95**	**43.86**	**48.41**	**50.68**	36.59	**47.27**
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	49.42	69.09	**70.45**	54.55	37.27	40.00	45.68	46.36	**36.82**	44.55
CLIP-base-16	v_i^{target}	50.33	**74.77**	74.77	54.32	47.05	32.73	55.00	35.00	34.09	45.23
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	**56.02**	74.00	70.45	68.41	51.36	**52.50**	**58.41**	**39.09**	**42.27**	**47.73**
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	55.08	69.3	**75.00**	**69.09**	**53.41**	51.36	56.14	37.05	38.86	45.45
CLIP-large-14	v_i^{target}	60.86	**75.23**	79.09	65.45	52.95	44.77	65.00	**53.86**	56.82	54.55
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	64.29	74.55	**83.41**	66.59	52.50	72.27	68.41	51.59	57.05	52.27
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	**64.44**	69.55	80.00	**67.27**	**53.41**	**74.77**	65.00	52.05	**64.77**	53.18

Table 2: **Probability of successful image retrieval with CLIP on our custom dataset.** The image retrieval performance of CLIP models varies across different objects. We apply our method using "dogs" or "cats" as references. Each row represents a different configuration, and each column represents a different object or the average performance across all objects. Probability is underlined if it is higher than the baseline, and the highest score is highlighted in **bold**.

		average	dogs	cats	lions	chairs	goats	cows	cherries	roses	boats
CLIP-base-32	v_i^{target}	0.43	0.57	0.56	0.50	0.38	0.48	0.44	0.32	0.30	0.36
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	**0.51**	**0.63**	0.62	**0.62**	0.47	**0.53**	0.54	**0.39**	**0.36**	0.41
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	**0.51**	**0.63**	**0.63**	0.61	**0.48**	**0.53**	**0.55**	**0.39**	**0.36**	**0.42**
CLIP-base-16	v_i^{target}	0.45	0.56	0.60	0.53	0.39	0.44	0.50	0.38	0.30	0.32
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	**0.53**	0.60	0.69	**0.63**	0.48	0.49	0.60	0.50	**0.37**	0.37
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	**0.53**	**0.61**	**0.70**	0.62	**0.49**	**0.50**	**0.61**	**0.51**	0.36	**0.36**
CLIP-large-14	v_i^{target}	0.62	0.72	0.70	0.66	0.60	0.68	0.67	0.58	0.45	0.47
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	**0.69**	**0.77**	**0.76**	**0.78**	0.61	0.73	**0.74**	**0.70**	**0.53**	0.62
	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	**0.69**	0.75	**0.76**	0.76	**0.64**	**0.74**	0.73	**0.70**	**0.53**	**0.64**

4.2.1 CLIP's counting ability on different objects

Table 1, in rows annotated by v_i^{target}, presents the unmodified performance accuracy of various CLIP models in matching a given image to the prompt with the correct number. Each column displays the accuracy of counting a specific object, with the object name used as the column header. The average accuracy across all objects is also displayed under the "average" column. We observe a positive correlation between model size and average counting accuracy, with accuracies ranging from 46.89% to 60.86%. However, there is significant variation in the models' counting abilities for different object types, suggesting that CLIP's counting capability is dependent on the object. Notably, all models consistently perform best when counting "dogs" and "cats", while their performance with other objects lacks consistency. This consistency leads us to select "dogs" and "cats" as reference objects for our experiment.

Table 2, also in rows annotated by v_i^{target}, shows the probability of CLIP models, without modifications, retrieving an image with correct object count as specified in the caption. Similar to the image classification tasks, the performance varies across object types, with the highest probability consistently associated with correctly counting "dog" or "cat" images.

4.2.2 Effectiveness of our method

We tested our zero-shot method using "dog" and "cats" as reference objects to extract counting knowledge, based on their consistently high results in Table 1. The results of choosing "dog" and "cats" are shown in rows annotated by $v_i^{\text{target}} + \tilde{\Delta}_i^{dog}$ and $v_i^{\text{target}} + \tilde{\Delta}_i^{cat}$. The results demonstrate that the counting accuracy of CLIP models can be improved by adjusting the target object's text embeddings with the counting direction extracted from either "dogs" or "cats". The improvement is observed across all models and for most of the objects. For instance, in the case of `CLIP-base-32`, the average counting accuracy improves from 46.89% to 52.40% when the counting direction extracted from "dogs" is used for adjustment. Similarly, for `CLIP-base-16`, the average counting accuracy improves from 50.33% to 56.02% with the same adjustment.

From Table 2, we can observe that the use of our method improves the retrieval accuracy across all models and for most object types. For example, in the `CLIP-base-32 model`, the average retrieval accuracy improves from 0.43 to 0.51 when using either "dogs" or "cats" as the reference object. Both tables also show that the performance improvement is consistent across different model sizes, indicating the scalability of our method.

Table 3: **CLIP's counting accuracy for image classification task on the CountBench dataset (%).** The table compares the accuracy of three CLIP models on two tasks (four-class and nine-class). We apply our method using "dogs" or "cats" as references. Accuracy is underlined if it is higher than the baseline accuracy, and the highest score is highlighted in **bold**.

	CLIP-base-32			CLIP-base-16			CLIP-large-14		
	v_i^{target}	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	v_i^{target}	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$	v_i^{target}	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{dogs}}$	$v_i^{\text{target}} + \tilde{\Delta}_i^{\text{cats}}$
four-class	45.63	63.11	**66.99**	**56.94**	54.74	**56.94**	62.14	62.75	**65.05**
nine-class	21.03	22.56	**27.18**	29.46	**29.86**	**30.66**	22.56	28.21	**30.00**

Table 3 demonstrates that our method's ability to improve counting accuracy extends to the CountBench dataset, which contains a diverse range of common real-world objects. For instance, in the case of `CLIP-base-32`, the accuracy for the 4-class task improves from 45.63% to 63.11% when the counting direction extracted from "dogs" is used for adjustment. However, our method becomes less effective as the task complexity increases from a four-class task to a nice-class task.

4.3 Effectiveness of our method in improving text-to-image models' counting fidelity

Since our method directly enhances the counting capability of the CLIP model in a zero-shot manner, we anticipate that using our approach will also aid models that utilize CLIP embeddings for image generation, such as the Stable Diffusion model [8], in producing images with the correct counting number of objects. Therefore, we experimented with applying our method to Stable Diffusion, and the results are displayed in Table 4 and Appendix A. It can be noted that after applying our method, Stable Diffusion's counting fidelity increased, meaning there is a higher likelihood of generating images with correct counts without any additional training. Note that our method can be

used in conjunction with existing methods for improving the fidelity of text-to-image models, e.g., reinforcement learning-based algorithms [23, 24, 25].

Table 4: **Selected results from Stable Diffusion [8].** Images in the "Original" column are generated based on the input prompt in the same row, using different seeds. Images in the "Embedding edited" column are generated after applying our zero-shot method (using the same seeds), with the selection of "dog" as the reference. After applying our method, we observe that Stable Diffusion is more likely to generate images with the correct number of objects.

Input Prompt	Original	Embedding edited
"**three** lions"		
"An old building with ruined walls and **four** antique pink armchairs"		
"vintage silver plate tablespoons, serving spoon set of **two**"		

5 Conclusion and Discussion

In this work, we have investigated the counting ability of CLIP models and proposed a novel zero-shot text embedding editing method. Our method extracts the counting knowledge embedded in a reference object's embedding and transfers this knowledge to other objects. Our experimental results demonstrate that CLIP's counting ability varies significantly across different object types, with the best performance observed when counting "dogs" and "cats". This observation led us to select these two objects as reference objects to extract counting knowledge. We found that our approach can significantly improve CLIP's counting accuracy in both image classification tasks and image retrieval tasks. This improvement is consistently observed across all models and for most of the objects.

However, our work has some limitations. Firstly, the performance improvement varies across different objects, and for some objects, the improvement is not significant. This suggests that the counting knowledge extracted from "dogs" and "cats" may not be fully applicable to all objects. Secondly, our method requires prior knowledge or evaluation to first identify a good reference object, which may not always be feasible. Thirdly, our method does not work effectively if the image contains more than five objects, limiting its applicability to images with larger object counts.

Looking ahead, there are several promising directions for future research. First, we could explore other methods for extracting and transferring counting knowledge. For example, we could consider using multiple reference objects to extract a more general counting direction. Second, we could investigate whether the counting direction can be learned in a supervised manner using a large labeled dataset. Third, we could extend our method to other tasks beyond object counting and text-to-image generation to further explore its potential. Finally, we could explore the theoretical aspects of our method, such as why it works and under what conditions it is expected to work. This could lead to a deeper understanding of the counting ability of CLIP models and potentially inspire new methods for enhancing their performance.

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Appendix

A Effectiveness of our method in improving text-to-image models' counting fidelity

We provide more examples to show the effectiveness of applying our method to Stable Diffusion [8] to see if it can improve the counting fidelity of the text-to-image generation model. We show results from 3 prompts, where for each prompt, 30 images are generated with 30 unique random seeds. To compare our method with the unmodified Stable Diffusion baseline, images in the same row are generated using the same random seed. It is worth noting that our method is not always effective. However, it does increase the likelihood of Stable Diffusion generating images with the correct object count.

"**three** lions"		"vintage silver plate tablespoons, serving spoon set of **two**"		"An old building with ruined walls and **four** antique pink armchairs"	
Original	Embedding edited	Original	Embedding edited	Original	Embedding edited
					

“**three** lions”		“vintage silver plate tablespoons, serving spoon set of **two**”		“An old building with ruined walls and **four** antique pink armchairs”	
Original	Embedding edited	Original	Embedding edited	Original	Embedding edited
					

“**three** lions”		“vintage silver plate tablespoons, serving spoon set of **two**”		“An old building with ruined walls and **four** antique pink armchairs”	
Original	Embedding edited	Original	Embedding edited	Original	Embedding edited