

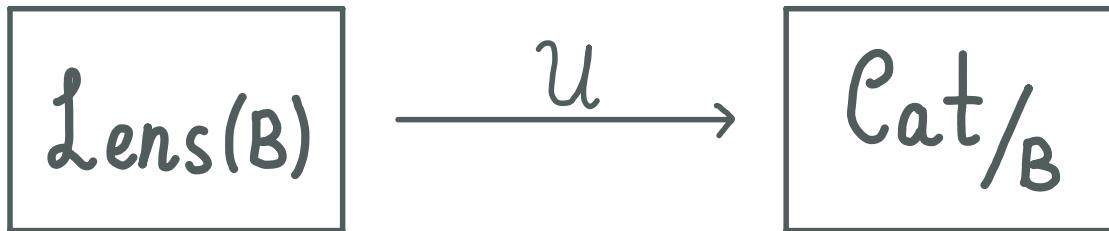
LENSES AS ALGEBRAS FOR A MONAD

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AUSTRALIAN CATEGORY SEMINAR

26 AUGUST 2020

OUTLINE OF THE TALK



GOAL:

Show that the forgetful functor \mathcal{U} is monadic

a) Construct a left adjoint \mathcal{F} .

b) Prove the equivalence $\text{Lens}(B) \simeq (\mathbf{Cat}/_B)^{\mathcal{U}\mathcal{F}}$

PLAN:

- 1) Background & motivation
- 2) Main theorem
- 3) Some implications

Diskin, Xiong, Czarnecki
(2011)

BACKGROUND: DELTA LENSES

A **(delta) lens** $(f, \varphi): A \rightleftarrows B$ between small categories consists of a functor $f: A \rightarrow B$ together with a function,

$$A_0 \times_{B_0} B_1 \xrightarrow{\varphi} A_1$$

$$(a, u: fa \rightarrow b) \mapsto \varphi(a, u): a \rightarrow p(a, u)$$

satisfying the axioms:

$$(1) f\varphi(a, u) = u$$

$$(2) \varphi(a, 1_{fa}) = 1_a$$

$$(3) \varphi(a, v \circ u) = \varphi(p(a, u), v) \circ \varphi(a, u)$$

$$\text{where } p(a, u) := \text{cod}(\varphi(a, u))$$

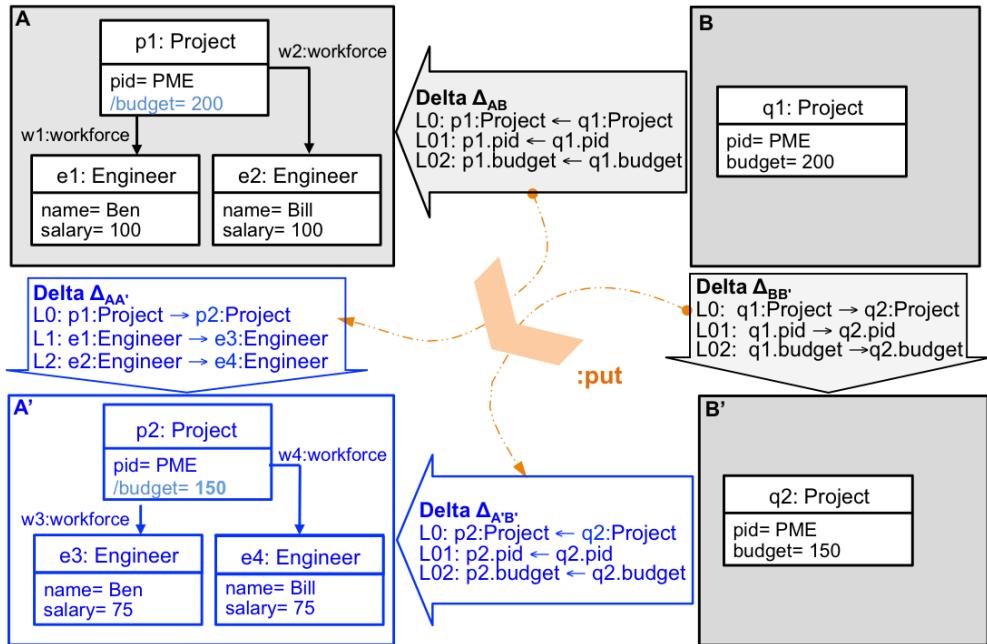
Proposition: Every lens can be represented as a commutative diagram of functors,

$$\begin{array}{ccc} & \nearrow \varphi & \searrow \bar{f} \\ A & \xrightarrow{f} & B \\ & \downarrow \sim & \end{array}$$

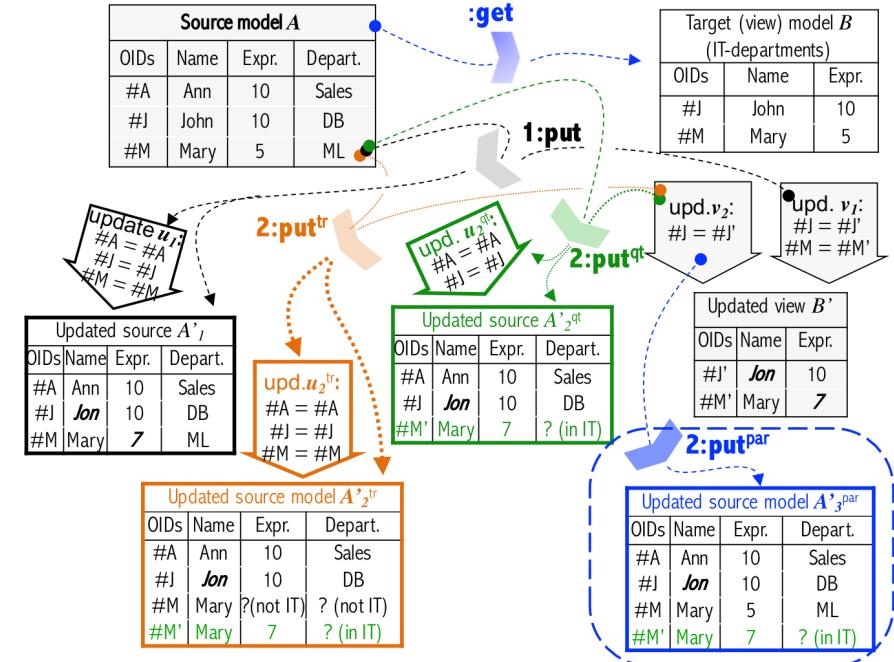
where φ is bijective-on-objects and \bar{f} is a discrete opfibration.

$$\begin{array}{ccccc} a & \xrightarrow{(a, u)} & p(a, u) & & \\ \varphi \downarrow & & & \nearrow \bar{f} & \\ a & \xrightarrow{\varphi(a, u)} & p(a, u) & \xrightarrow{f} & fa \xrightarrow{u} b \end{array}$$

EXAMPLES: MODEL-DRIVEN ENGINEERING



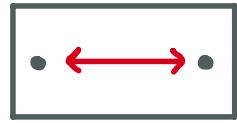
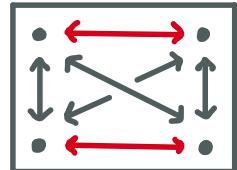
Diskin, Eramo, Pierantonio, Czarnecki (2016)



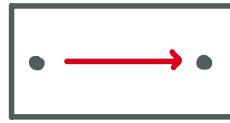
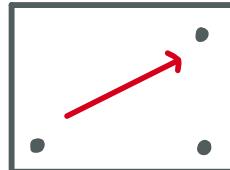
Diskin (2020)

EXAMPLES : BETWEEN FINITE CATEGORIES

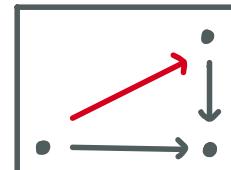
State-based lens



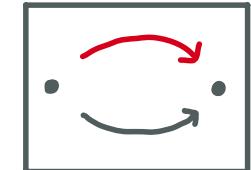
Discrete opfibration



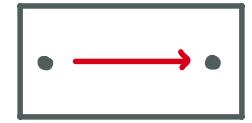
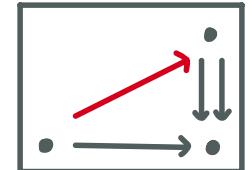
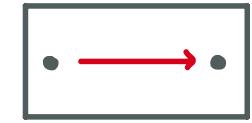
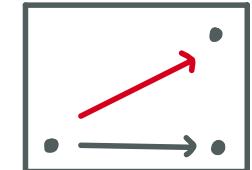
Split opfibration



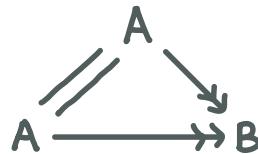
Bijective-on-objects lens



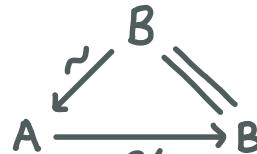
Lenses without opcartesian lifts



Equivalent to lens between codiscrete cats.



Chosen lifts $\Psi(a,u)$ are opcartesian

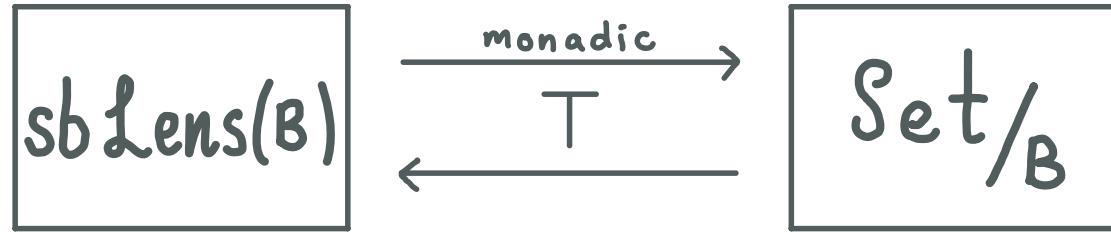


Existence of fillers fails

Uniqueness of fillers fails

STATE-BASED LENSES AS ALGEBRAS FOR A MONAD

Oles (1982);
F,G,M,P,S (2005)



Johnson,
Rosebrugh,
Wood (2010)

A **state-based lens** $(f, p) : A \rightleftharpoons B$
consists of a pair of functions,

$$f: A \longrightarrow B \quad p: A \times B \longrightarrow A$$

satisfying the axioms:

$$(1) \quad fp(a, b) = b$$

$$(2) \quad p(a, fa) = a$$

$$(3) \quad p(p(a, b), b') = p(a, b')$$

Proposition: State-based lenses are algebras for the monad:

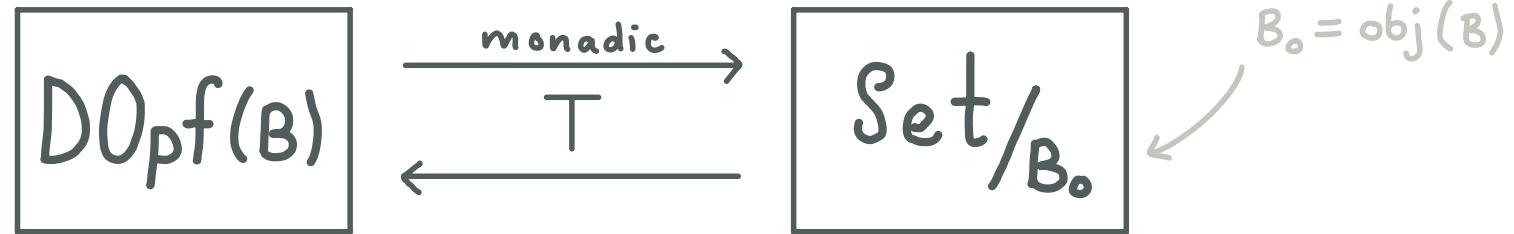
$$\text{Set}_{/B} \longrightarrow \text{Set}_{/B}$$

$$A \xrightarrow{f} B \quad \downarrow \longrightarrow \quad A \times B \xrightarrow{\pi} B$$

- Every state-based lens is isomorphic to a free algebra.
- This generalises by replacing Set with a category with finite products.

DISCRETE OPFIBRATIONS AS ALGEBRAS FOR A MONAD

full subcategory
of Cat/B



A **discrete opfibration** is a functor $f: A \rightarrow B$ such that for all $a \in A$ and $u: fa \rightarrow b \in B$, there exists a unique morphism $\hat{u}: a \rightarrow a' \in A$ such that $f(\hat{u}) = u$.

$$\begin{array}{ccc} A & \xrightarrow{\quad a \dashv \hat{u} \rightarrow a' \quad} & \\ f \downarrow & \vdots & \vdots \\ B & fa \xrightarrow{u} b \end{array}$$

The right adjoint above takes a discrete opfibration $f: A \rightarrow B$ to its underlying object assignment $f_0: A_0 \rightarrow B_0$.

The left adjoint takes a function $f_0: A_0 \rightarrow B_0$ to the **free discrete opfibration** over B :

objects are pairs $(a, u: fa \rightarrow b)$

\vdash

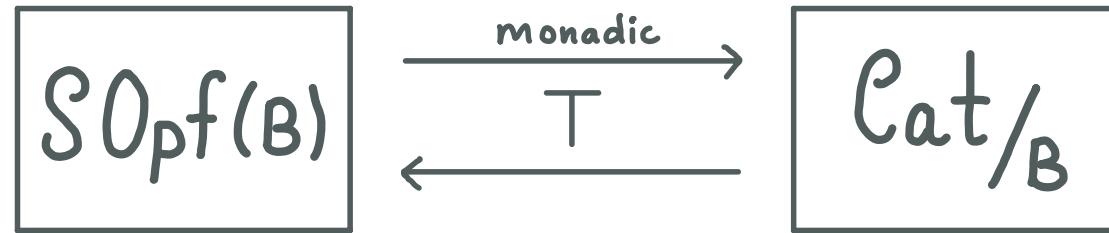
$A_0 \times_{B_0} B_1$

$$\begin{array}{ccc} & \xrightarrow{\quad \text{fa} \quad} & \\ f_0 \downarrow B & \xrightarrow{\quad u \quad} & \xrightarrow{\quad v \circ u \quad} \\ b & \xrightarrow{\quad v \quad} & b' \\ & \vdots & \vdots \\ & \xrightarrow{\quad v \quad} & b' \end{array}$$

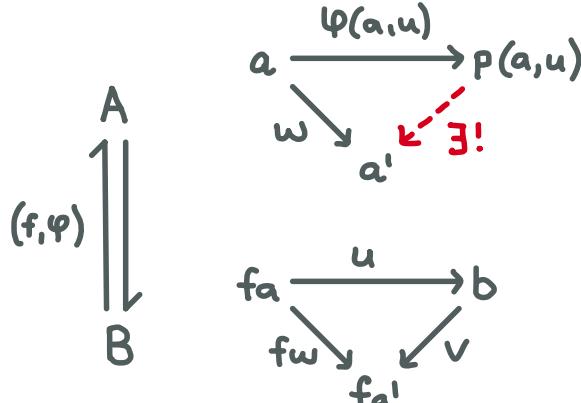
- This generalises by replacing Set with a category with pullbacks.

SPLIT OPFIBRATIONS AS ALGEBRAS FOR A MONAD

morphisms
preserve the
choices of
opcartesian lifts

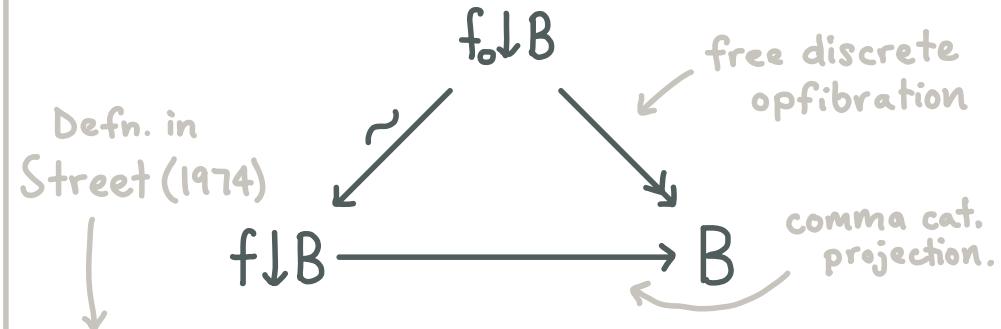


A **split opfibration** is a lens $(f, \varphi): A \rightrightarrows B$ such that for all $a \in A$ and $u: fa \rightarrow b \in B$, the morphism $\varphi(a, u)$ is **opcartesian**.



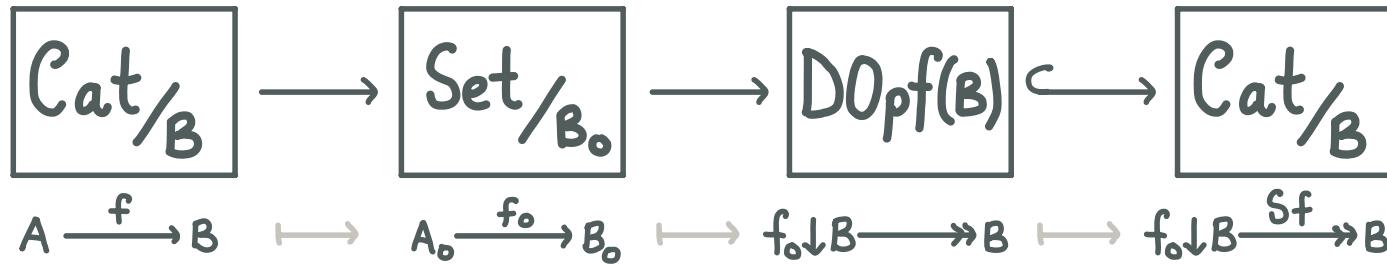
The right adjoint above takes (f, φ) to $f: A \rightarrow B$.

The left adjoint takes a functor $f: A \rightarrow B$ to the **free split opfibration** over B :



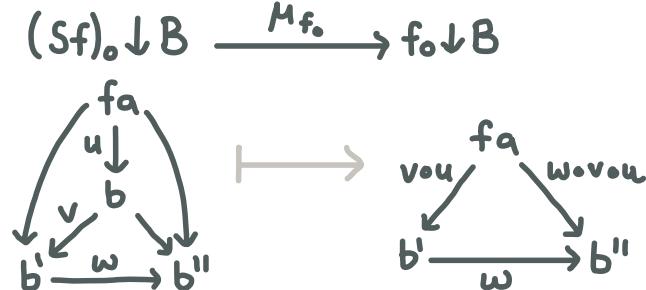
Proposition: Algebras for the monad induced by the adjunction above are equivalent to split opfibrations over B .

LENSES AS ALGEBRAS FOR A... SEMI-MONAD?



Let's call the composite endofunctor S .

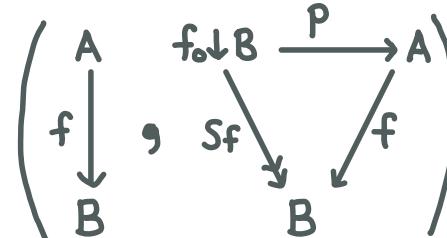
- The above endofunctor takes a functor to the free discrete opfibration on its underlying object assignment.
- There is a natural transformation $\mu: SS \Rightarrow S$ induced by adjunction for the free discrete opfibration, whose components are given by:



- The pair (S, μ) form a semi-monad on Cat/B .

Johnson, Rosebrugh (2013)

Proposition: Lenses are equivalent to algebras for the semi-monad (S, μ) subject to an additional axiom.

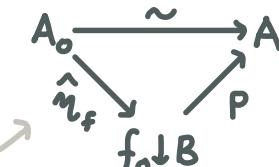


$$P \circ \mu_f = P \circ S(P)$$

&

s.t.

extra
axiom



PROOF (SKETCH) OF THE EQUIVALENCE

Consider a lens (f, φ) depicted by the commutative diagram:

$$\begin{array}{ccc} & \Delta & \\ \varphi \swarrow & & \searrow \bar{f} \\ A & \xrightarrow{f} & B \end{array}$$

We can obtain an algebra for (S, μ) through taking the décalage of Δ :

$$\begin{array}{ccc} & f_0 \downarrow B & \text{counit for } DOpf(\mathbb{S}) \hookrightarrow \text{Set}_{B_0} \\ & \text{IIS} & \\ & D\Delta & \\ \varphi_0 \varepsilon \nearrow & \varepsilon \downarrow & Sf \swarrow \\ \text{structure map} & & \\ & \varphi \swarrow & \searrow \bar{f} \\ A & \xrightarrow{f} & B \end{array}$$

We may show that the pair $(f, \varphi_0 \varepsilon)$ satisfies the previous axioms.

Conversely, consider an algebra for the semi-monad given by:

$$\left(\begin{array}{ccc} A & f_0 \downarrow B & \xrightarrow{P} A \\ \downarrow f & \downarrow Sf & \downarrow f \\ B & B & B \end{array} \right)$$

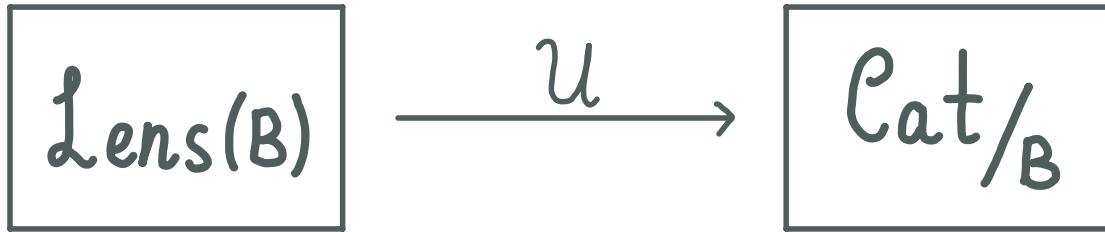
We may define $\varphi: A_0 \times_{B_0} B_1 \rightarrow A$, by :

$$\varphi(a, u) = p(a, 1_{fa}) \xrightarrow{p(a, u)} P(a, u)$$

$$= p(a, 1_{fa}) \xrightarrow{\quad a \quad || \quad} p(a, u)$$

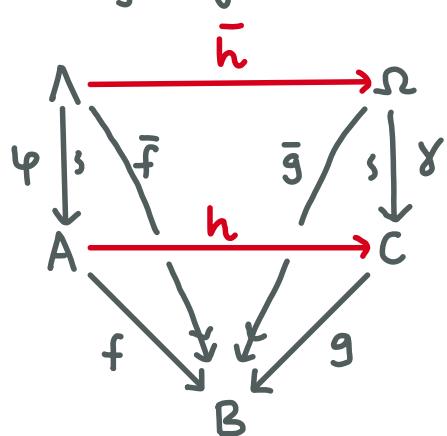
We may show that the lens axioms follow from the axioms for the algebra. □

GOAL: LENSES AS ALGEBRAS FOR A MONAD



For each small category B , there is a category $\text{lens}(B)$ whose:

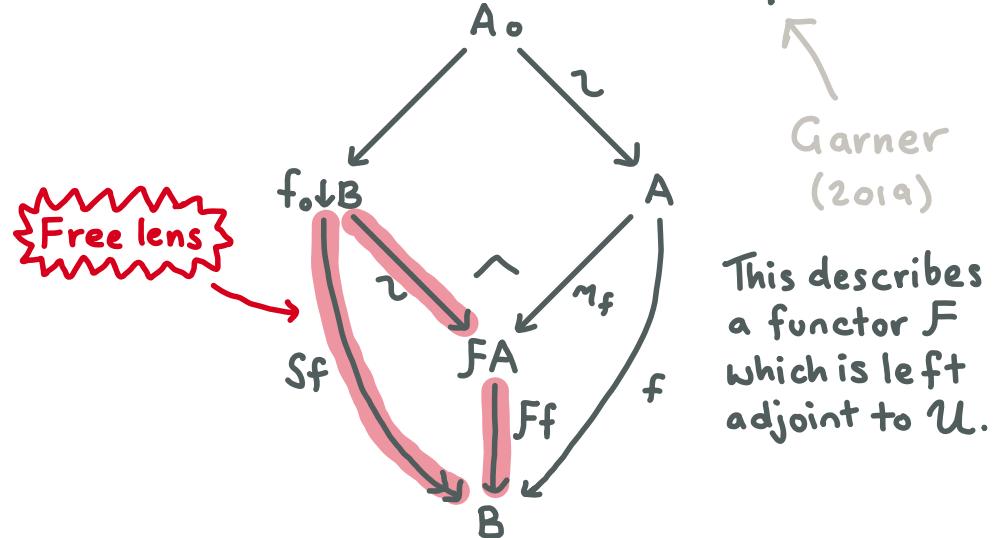
- objects are lenses with codomain B ;
- morphisms are functors which make the following diagram commute:



i.e. functors h that preserve the chosen lifts:

$$h\varphi(a,u) = \gamma(ha,u)$$

STEP 1: Construct the left adjoint $F \dashv U$
Proposition: The **free lens** on a functor $f: A \rightarrow B$ is constructed via the pushout:



A CLOSER LOOK AT THE FREE LENS

$$\begin{array}{ccc}
 & f_0 \downarrow B & \\
 \text{pushout} \quad \text{injection} \quad \swarrow \sim & & \searrow Sf \\
 FA & \xrightarrow{\quad Ff \quad} & B
 \end{array}$$

free discrete opfibration on $f_0: A_0 \rightarrow B_0$

The pushout FA is the category whose:

- objects are pairs $(a \in A, u: fa \rightarrow b \in B)$
- morphisms are generated by two kinds:

$$\begin{array}{c}
 a \xrightarrow{\text{wEA}} a' \\
 \text{OR} \\
 fa \xrightarrow{fw} fa' \\
 \parallel \qquad \qquad \parallel \\
 1_{fa} \qquad \qquad 1_{fa'}
 \end{array}
 \qquad
 \begin{array}{c}
 a \xrightarrow{1_a} a \\
 fa \xrightarrow{1_{fa}} fa \\
 u \downarrow \qquad \qquad \downarrow v \\
 b \xrightarrow{\text{vEB}} b'
 \end{array}$$

The pushout requires that:

$$\begin{aligned}
 1_a: (a, 1_{fa}) &\longrightarrow (a, 1_{fa}) && \in A \\
 &\sim && \\
 1_{fa}: (a, 1_{fa}) &\longrightarrow (a, 1_{fa}) && \in B
 \end{aligned}$$

The category FA looks something like:

$$a_0 \rightarrow a_1 \rightarrow \dots \rightarrow a_m$$

$$\begin{array}{ccccccc}
 fa_0 & \rightarrow & fa_1 & \rightarrow & \dots & \rightarrow & fa_m \\
 \downarrow 1 & & \downarrow 1 & & & & \downarrow 1 \\
 fa_0 & \rightarrow & fa_1 & & & & fa_m \\
 \downarrow & & \downarrow & & & & \downarrow \\
 b_{01} & & b_{11} & & & & b_{m1} \\
 \vdots & & \vdots & & & & \vdots \\
 b_{0i} & & b_{ij} & & & & b_{mk}
 \end{array}$$

THE FREE-FORGETFUL ADJUNCTION FOR LENSES

$$\begin{array}{ccc} \boxed{\text{Lens}(B)} & \begin{array}{c} \xrightarrow{U} \\ \downarrow T \\ \xleftarrow{F} \end{array} & \boxed{\text{Cat}/B} \end{array}$$

The component of the **counit** at a lens (f, φ) is induced by the counit from the adjunction for the free discrete opfibration:

$$\begin{array}{ccccc}
 & & \text{décalage of } \Lambda & & \\
 & \xrightarrow{\epsilon} & & \xrightarrow{\omega} & \\
 f_! b & \xrightarrow{\quad \quad \quad} & \Lambda & \xrightarrow{\quad \quad \quad} & A \\
 \downarrow s & \searrow sf & \downarrow \bar{f} & \downarrow s & \downarrow \psi \\
 FA & \xrightarrow{[\varphi \epsilon, 1]} & & & A \\
 & \searrow Ff & \downarrow f & \searrow & \\
 & & B & &
 \end{array}$$

The counit takes $(a, 1_{fa}) \xrightarrow{\omega} (a', 1_{fa'})$ to $w: a \rightarrow a'$ and $(a, u) \xrightarrow{v} (a, vu)$ to the chosen lift $p(a, u) \xrightarrow{\varphi(p(a, u), v)} p(a, vu)$.

The component of the **unit** at a functor $f: A \rightarrow B$ is given by the pushout injection:

$$\begin{array}{ccc}
 A & \xrightarrow{m_f} & FA \\
 f \searrow & & \swarrow Ff \\
 & B &
 \end{array}$$

The functor m_f takes $w: a \rightarrow a'$ to the morphism $\omega: (a, 1_{fa}) \rightarrow (a', 1_{fa'})$.

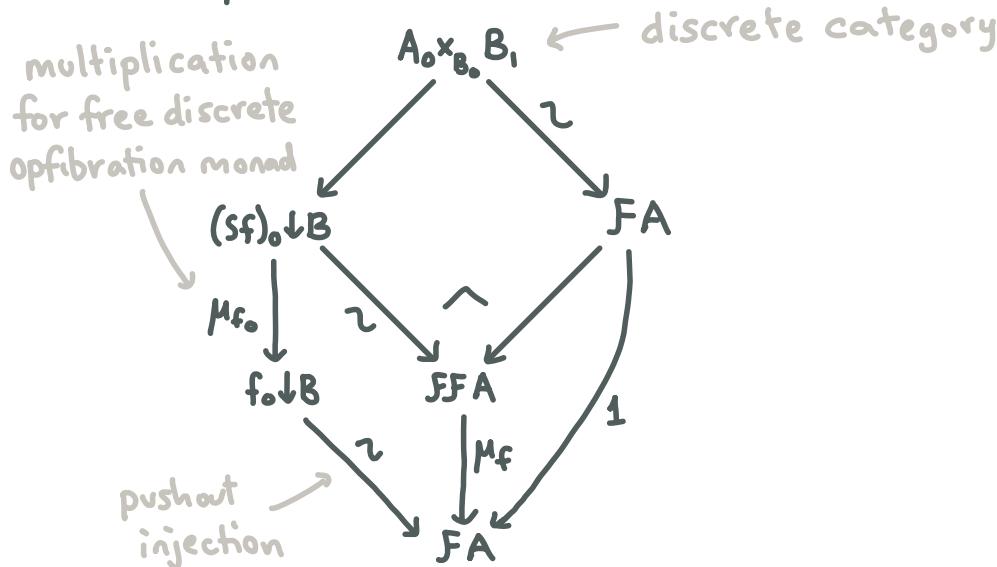
One can show that the triangle identities for an adjunction hold.

ALGEBRAS FOR THE INDUCED MONAD $\mathcal{U}\mathcal{F}$

Let $\mathcal{U}\mathcal{F}$ be the induced monad on Cat/B which has assignment on objects given by:

$$A \xrightarrow{f} B \quad \longmapsto \quad FA \xrightarrow{Ff} FB$$

The multiplication for the monad is given by:



An algebra for the monad $\mathcal{U}\mathcal{F}$ is a pair:

$$\left(\begin{array}{c} A \\ \downarrow f \\ B \end{array}, \begin{array}{ccc} FA & \xrightarrow{\hat{p}} & A \\ \downarrow Ff & \searrow f & \\ B & & \end{array} \right)$$

$$\hat{p} \circ \mu_f = \hat{p} \circ F(\hat{p})$$

&

$$\begin{array}{ccc} FA & \xrightarrow{\hat{p}} & A \\ \eta_f \nearrow & (*) & \searrow \\ A & \xrightarrow{1} & A \end{array}$$

By the universal property of the pushout, this is the same as an algebra for the semi-monad (S, μ) :

$$\left(\begin{array}{c} A \\ \downarrow f \\ B \end{array}, \begin{array}{ccc} f_0 \downarrow B & \xrightarrow{P} & A \\ \downarrow Sf & \searrow f & \\ B & & \end{array} \right)$$

+ axioms

$$\begin{array}{ccc} A_0 & \xrightarrow{\pi_0} & A \\ \eta_{f_0} \nearrow & & \searrow \pi_1 \\ f_0 \downarrow B & \xrightarrow{P} & A \\ \downarrow P & \searrow \hat{p} & \\ B & & B \end{array}$$

η_f

$\pi_0, \pi_1: A_0 \rightarrow A$

$P: f_0 \downarrow B \rightarrow A$

$\hat{p}: A \rightarrow B$

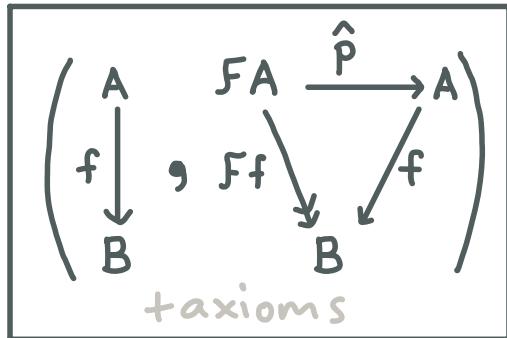
$(*)$

EQUIVALENT PRESENTATIONS OF LENSES

STEP 2: Prove the equivalence $(\text{Cat}_{/\mathcal{B}})^{\text{UF}} \simeq \text{Lens}(\mathcal{B})$

Garner
(2019)

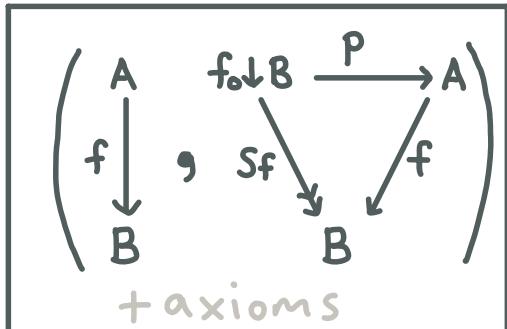
Algebras for a monad



previous slide

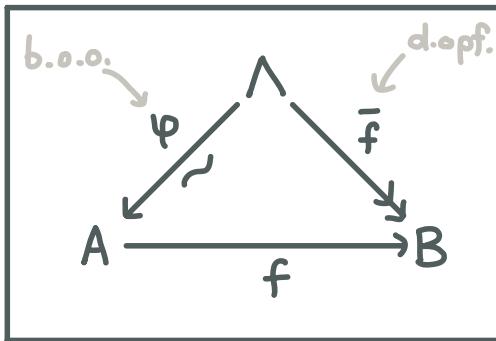
IS

Johnson,
Rosebrugh,
Wood
(2013)



Algebras for a semi-monad

Functor /cofunctor pair



Ahman,
Uustalu
(2017)

IS

C. (2018)

Functor $f: A \rightarrow B$
+
function $\Psi: A_0 \times_{B_0} B_1 \rightarrow A_1$,
 $(a, fa \xrightarrow{u} b) \mapsto a \xrightarrow{\Psi(a,u)} p(a,u)$
+ axioms

Diskin,
Xiong,
Czarnecki
(2011)

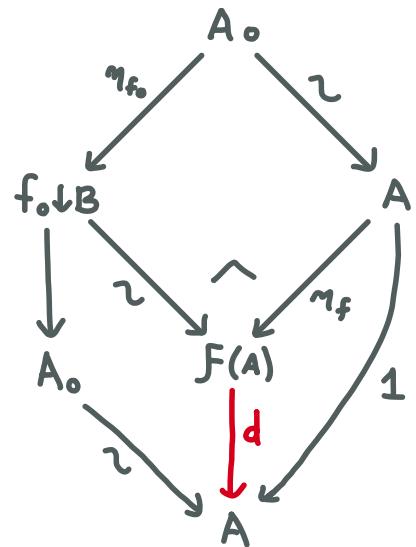
Equational definition

A DOUBLE CATEGORY PERSPECTIVE

Given an algebra (f, \hat{p}) for the monad UF , there is a double category:

$$\mathcal{F}FA \xrightarrow{\mu_f} \mathcal{F}A \xrightleftharpoons[\mathbf{d}]{\eta_f} A \xleftarrow{\hat{p}} A$$

The domain map \mathbf{d} is defined by:



Explicitly, the double category has:

- objects $a \in A$
- vertical morphisms $w: a \rightarrow a' \in A$
- horizontal morphisms $(a \in A, u: fa \rightarrow b \in B)$
- 2-cells are certain commutative squares in B :

$$\begin{array}{ccc}
 \begin{array}{c} a \\ \downarrow w \\ a' \end{array} &
 \begin{array}{c} fa = fa \\ \downarrow fw \\ fa' = fa' \\ \downarrow fw \\ fa' \end{array} &
 \begin{array}{c} a \\ \downarrow w \\ a' \end{array} \\
 \begin{array}{c} a \\ \downarrow 1_a \\ a \end{array} &
 \begin{array}{c} fa \xrightarrow{u} b \\ \parallel \\ fa \xrightarrow{v \circ u} b' \end{array} &
 \begin{array}{c} p(a,u) \\ \downarrow p(a,v) \\ p(a,vou) \end{array}
 \end{array}$$

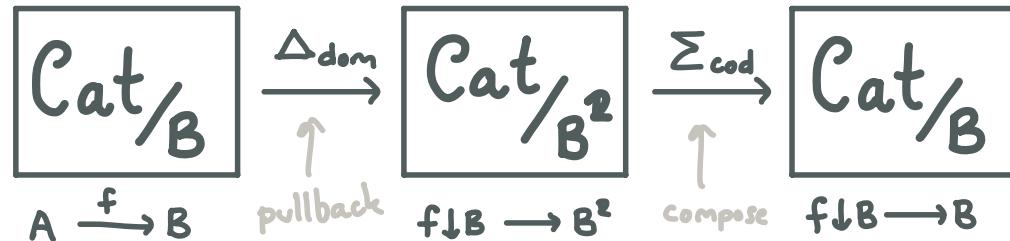
COMPARING SPLIT OPFIBRATIONS AND LENSES VIA DOUBLE CATS.

The double category of squares $Sq(B)$ for a category B has 2-cells given by all commutative squares in B :

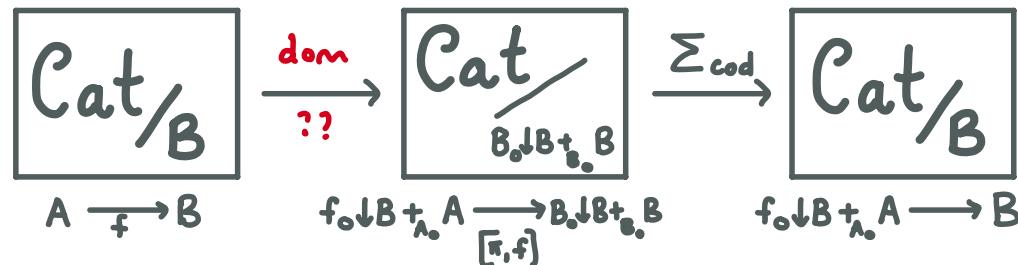
$$\begin{array}{ccc} \bullet & \xrightarrow{\quad} & \bullet \\ \downarrow & \curvearrowright & \downarrow \\ \bullet & \xrightarrow{\quad} & \bullet \end{array} \quad \in B$$

However, we could restrict the 2-cells. The "double category of triangles" $Tri(B)$ for a category B has the same objects and vertical/horizontal morphisms as $Sq(B)$, but 2-cells are generated by commutative squares:

$$\begin{array}{c} \bullet \xrightarrow{\quad} \bullet \\ || \curvearrowright \downarrow \\ \bullet \xrightarrow{\quad} \bullet \end{array} \quad \& \quad \begin{array}{c} \bullet = \bullet \\ \downarrow \curvearrowright \downarrow \\ \bullet = \bullet \end{array} \quad \in B$$



The double category of squares induces a monad above whose algebras are split opfibrations.



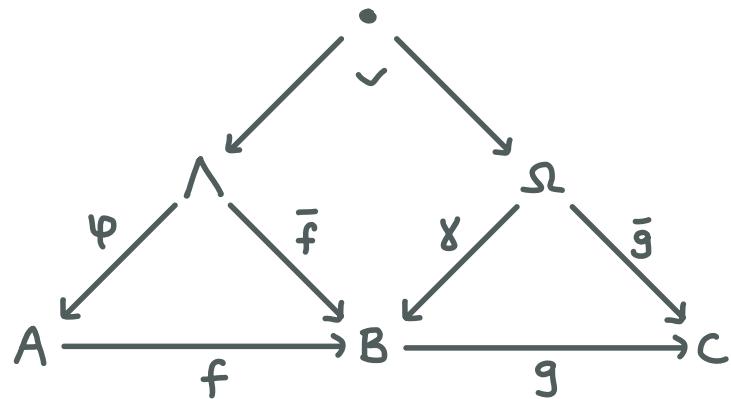
The double category of triangles also induces a monad whose algebras are lenses, but is more complicated...

$$\begin{array}{ccc} B_0 & \longrightarrow & B \\ \downarrow & & \downarrow \\ B_0 \downarrow B & \xrightarrow{\Gamma} & B_0 \downarrow B + B_0 B \end{array}$$

PROBLEM: UNDERSTANDING PULLBACKS OF LENSES

There is a category Lens whose:

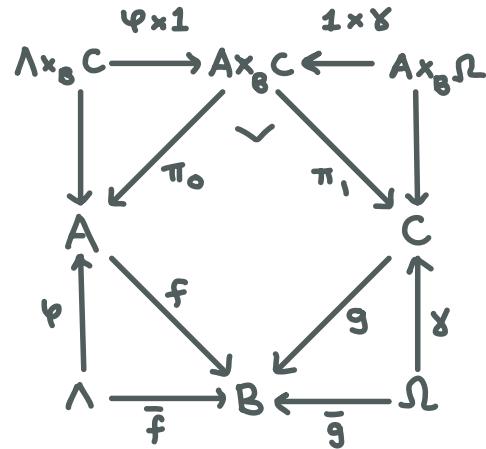
- objects are small categories,
- morphisms are lenses,
- composition is given by:



Motivated by the study of symmetric lenses, we would like to construct a bicategory $\text{Span}(\text{Lens})$... however Lens doesn't have all pullbacks!

Equivalently, for a small category B , the slice category Lens/B may not have products.

Given a cospan of lenses, we can construct a canonical cone...

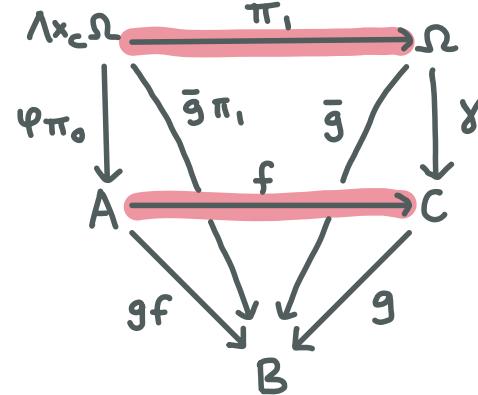
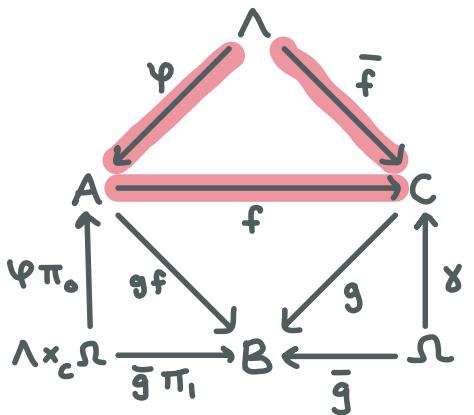


This defines a semi-cartesian monoidal product on Lens/B .

Not enough morphisms

But the universal property fails.
Can this be fixed?

PRODUCTS IN THE CATEGORY OF ALGEBRAS



semi-cartesian
monoidal category

lens/B

bijective-on-objects
Strong Monoidal

$\text{lens}(B)$

cartesian monoidal
category

strong
monoidal

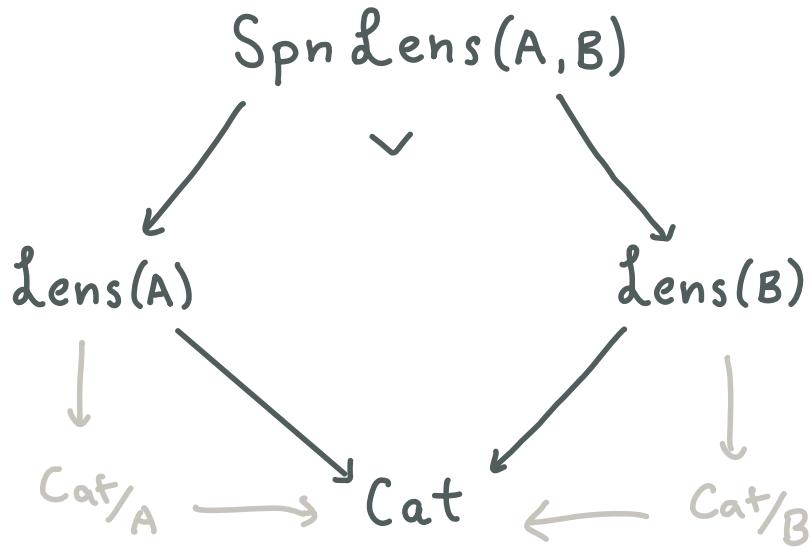
Cat/B

monadic functors
create limits

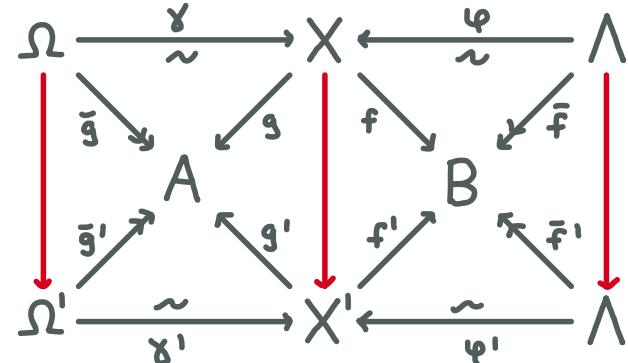
cartesian monoidal category

THE BICATEGORY OF SPANS OF LENSES

The bicategory of spans of lenses
 $\text{Spn}\mathcal{L}\text{ens}$ has objects given by small categories, and homs constructed by the pullback:



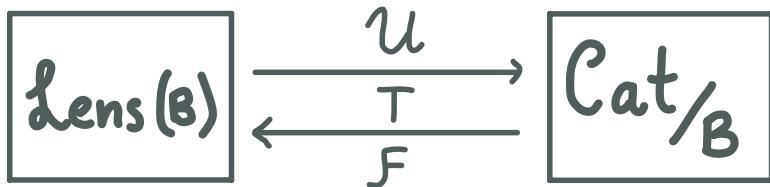
In other words, morphisms are spans of lenses, and 2-cells are diagrams:



Horizontal composition is well-defined, by taking products in $\text{lens}(B)$ whose projections are equipped with a lens structure via the strong monoidal functor.

$$\text{lens}/_B \longrightarrow \text{lens}(B)$$

SUMMARY AND FURTHER QUESTIONS



- We showed that the forgetful functor from the category of lenses over B and lift-preserving functors is monadic.
- This improves a result in the literature, where lenses were equivalent to algebras for a semi-monad.
- Since U creates limits, $\text{Lens}(B)$ has products, which can be used to construct a bicategory SpnLens whose morphisms are spans of lenses.

- The category $\text{SOpf}(B)$ of split opfibrations over B and cleavage-preserving functors is a full subcategory of $\text{Lens}(B)$.
- The category $\text{DOpf}(B)$ of discrete opfibrations over B is a coreflective subcategory of $\text{Lens}(B)$.
- These results generalise to $\text{Cat}(E)$ for suitable E ; do they also work for more general (2-)categories?
- Does the Kleisli category for UF produce something interesting?
- Is the functor U also comonadic?
- Link with fusion for multilenses?

ANOTHER CONSTRUCTION OF $\text{Lens}(B)$

