

Lax Colimits and Fibrations of Double Categories

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Grothendieck Constructions for Double Categories

This talk is based on two papers:

- G.S.H. Cruttwell, M.J. Lambert, D.A. Pronk, M. Szyld, Double fibrations, *Theory and Applications of Categories*, Vol. 38, 2022, No. 35, pp 1326-1394.
- M. Bayeh, D.A. Pronk, M. Szyld, A Grothendieck construction for double categories, in progress.

The Grothendieck Construction / Category of Elements

For a pseudofunctor $F: \mathcal{C} \rightarrow \mathbf{Cat}$, the *Grothendieck category of elements*

$$\mathbf{Gr}F \rightarrow \mathcal{C}$$

can be characterized up to equivalence by either of the following two characterizations:

A. $\mathbf{El}F$ is the lax colimit of F in \mathbf{Cat} .

B1. $\mathbf{El}F$ is the value on objects of a 2-functor, which is an equivalence of 2-categories

$$\mathbf{El}: \mathrm{Hom}_p(\mathcal{C}, \mathbf{Cat}) \longrightarrow \mathrm{coFib}(\mathcal{C})$$

B2. For $F: \mathcal{C}^{\mathrm{op}} \rightarrow \mathbf{Cat}$, $\mathbf{El}F$ is the value on objects of a 2-functor, which is an equivalence of 2-categories

$$\mathbf{El}: \mathrm{Hom}_p(\mathcal{C}^{\mathrm{op}}, \mathbf{Cat}) \longrightarrow \mathrm{Fib}(\mathcal{C})$$

Fibrations

Let $P : \mathcal{E} \rightarrow \mathcal{B}$ be a functor between categories.

- An arrow f of \mathcal{E} is **Cartesian** if:

$$\begin{array}{ccc} Z & & \\ \downarrow \hat{h} & \searrow \forall g & \\ X & \xrightarrow{f} & Y \end{array}$$

$$\begin{array}{ccc} PZ & & \\ \downarrow \forall h & \searrow Pg & \\ PX & \xrightarrow{Pf} & PY \end{array}$$

- P is a **fibration** when:

$$B^* \xrightarrow{u^*} E \leftarrow B \xrightarrow{u} PE$$

(**Cartesian lift**)

- A **cleavage** is a choice of a Cartesian lift for each arrow of \mathcal{B} .
A **cloven** fibration is a fibration and a chosen cleavage.

-Any cloven fibration gives rise to an **Indexed category** $F : \mathcal{B}^{op} \rightarrow \mathbf{Cat}$.
-Any indexed category gives rise to a cloven fibration by its **Grothendieck construction/category of elements**.

Morphisms of Fibrations

Given cloven fibrations $P : \mathcal{E} \longrightarrow \mathcal{B}$ and $P' : \mathcal{E}' \longrightarrow \mathcal{B}'$,

• A **morphism** f between them is:

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{f^\top} & \mathcal{E}' \\ P \downarrow & & \downarrow P' \\ \mathcal{B} & \xrightarrow{f^\perp} & \mathcal{B}' \end{array}$$

where f^\top preserves the Cartesian arrows.

- f is said to be **cleavage-preserving** when f^\top maps the arrows of the cleavage of P to arrows in the cleavage of P' .
- This defines 2-categories $\mathbf{cFib} \subseteq \mathbf{Fib} \subseteq \mathbf{Arr}^s(\mathbf{Cat})$ (full on 2-cells, with objects the cloven fibrations).

The classical equivalence $\mathbf{Fib} \simeq \mathbf{ICat}$ (with pseudo transformations) restricts to $\mathbf{cFib} \simeq \mathbf{ICat}_t$ (with strict natural transformations.)

Double Categories

- A **double category** is an internal category in **Cat**,

$$C_1 \times_{C_0} C_1 \xrightarrow{\otimes} C_1 \begin{matrix} \xrightarrow{s} \\ \xleftarrow{y} \\ \xrightarrow{t} \end{matrix} C_0.$$

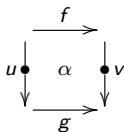
- It has
 - objects** (objects of C_0);
 - inner/horizontal arrows** (arrows of C_0), $d_0(f) \xrightarrow{f} d_1(f)$;
 - outer/vertical arrows** (objects of C_1), $s(v) \xrightarrow{v} t(v)$;
 - double cells** (arrows of C_1), denoted

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow v & \alpha & \downarrow w \\ A' & \xrightarrow{f'} & B' \end{array}$$

where $d_0(\alpha) = v$, $d_1(\alpha) = w$, $s(\alpha) = f$, and $t(\alpha) = f'$.

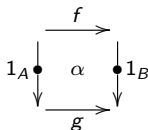
Examples

- ① For any 2-category \mathcal{C} , $\mathbb{Q}(\mathcal{C})$ is the double category of quintets in \mathcal{C} , with double cells



for each $\alpha: vf \Rightarrow gu$ in \mathcal{C} .

- ② For any 2-category \mathcal{C} , $\mathbb{H}(\mathcal{C})$ is the double category with double cells



for each $\alpha: f \Rightarrow g$ in \mathcal{C} .

- ③ The double category $\mathbb{V}(\mathcal{C})$ is defined analogously.

More Examples

- For any 2-category \mathcal{C} with a distinguished family of arrows Σ that forms a sub-category, we can define $\mathbb{Q}^{\Sigma}(\mathcal{C}) \subseteq \mathbb{Q}(\mathcal{C})$ by requiring the inner/horizontal arrows to be in Σ :

$$\begin{array}{ccc}
 A & \xrightarrow{m} & B \\
 f \bullet \downarrow & \alpha & \bullet \downarrow g \\
 C & \xrightarrow{n} & D
 \end{array}
 \quad \text{for each } \alpha: gm \Rightarrow nf \text{ in } \mathcal{C}; m, n \in \Sigma.$$

Many examples of double categories are not exactly like this but have this *flavor*: $\mathbb{R}el$: functions and relations; $\mathbb{P}rof$: functors and profunctors; $\mathbb{S}pan(\mathcal{C}at)$: functions and spans; $\mathbb{R}ing$: ring homomorphisms and bimodules; etc...

But note: except in $\mathbb{R}el$, vertical composition is no longer strict!

(Pseudo) Double Categories

- A **(pseudo) double category** is an internal **pseudo** category in **Cat**,

$$C_1 \times_{C_0} C_1 \xrightarrow{\otimes} C_1 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{y} \\ \xrightarrow{t} \end{array} C_0 .$$

The pull-back is still the same 2-pull-back, but instead of associativity and unit axioms we have invertible 2-cells (natural transformations)

$$\begin{array}{ccc} C_1 \times_{C_0} \times C_1 \times_{C_0} C_1 & \xrightarrow{1 \times \otimes} & C_1 \times_{C_0} C_1 \\ \otimes \times 1 \downarrow & \parallel \alpha & \downarrow \otimes \\ C_1 \times_{C_0} C_1 & \xrightarrow{\otimes} & C_1 \end{array}$$

$$\begin{array}{ccccc} C_1 & \xrightarrow{\langle y, 1 \rangle} & C_1 \times_{C_0} C_1 & \xleftarrow{\langle 1, y \rangle} & C_1 \\ & \parallel \wr & \downarrow \otimes & \parallel \wr & \\ & & C_1 & & \end{array}$$

(Pseudo) Double Categories

- A **double category** (Grandis-Paré, 1999) is a **pseudo** category in **Cat**,

$$C_1 \times_{C_0} C_1 \xrightarrow{\otimes} C_1 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{y} \\ \xrightarrow{t} \end{array} C_0 .$$

- Informally, this means that inner (horizontal) composition remains strict, but external (vertical) composition is pseudo.
- There is a 2-category **DbICat** of pseudo (double=internal) categories, pseudo (double=internal) functors to be defined on the next slide, and (horizontal=internal) transformations.

We have now all the examples from before (and more!)

Double Functors as Internal Functors

Internal pseudo categories can be considered in any 2-category \mathcal{K} with 2-pullbacks instead of **Cat** (Martins-Ferreira, 2006).

A **lax double functor** $F: \mathbb{C} \rightarrow \mathbb{D}$ consists then of two arrows $F_0: C_0 \rightarrow D_0$ and $F_1: C_1 \rightarrow D_1$ and comparison 2-cells (+ axioms)

$$\begin{array}{ccc}
 C_1 \times_{C_0} C_1 & \xrightarrow{\otimes} & C_1 \\
 F_1 \times_{F_0} F_1 \downarrow & \Downarrow \phi & \downarrow F_1 \\
 D_1 \times_{D_0} D_1 & \xrightarrow{\otimes} & D_1
 \end{array}$$

$$\begin{array}{ccc}
 C_0 & \xrightarrow{y} & C_1 \\
 F_0 \downarrow & \Downarrow \iota & \downarrow F_1 \\
 D_0 & \xrightarrow{y} & D_1
 \end{array}$$

$$\begin{array}{ccc}
 C_1 & \xrightleftharpoons[t]{s} & C_0 \\
 F_1 \downarrow & & \downarrow F_0 \\
 C_1 & \xrightleftharpoons[t]{s} & C_0
 \end{array}$$

If the comparison cells are invertible, F is a **pseudo double functor**.

Note that the interaction with s and t is required to be **stricter** than that with y and \otimes .

The category **DblCat** - Definition

The category **DblCat** of double categories has:

- **objects:** double categories $\mathbb{C}, \mathbb{D}, \dots$;
- **arrows:** double functors F, G, \dots ;
- **transformations:** these come in two *flavors*:
 - a **horizontal transformation** $\gamma: F \Rightarrow G$ is given by

$$\begin{array}{ccc}
 FA & \xrightarrow{\gamma_A} & GA \\
 \downarrow Fv & \gamma_v & \downarrow Gv \\
 FB & \xrightarrow{\gamma_B} & GB
 \end{array} \quad \text{for each } A \text{ in } \text{dom}(F)$$

pseudo functorial in the vertical direction and natural in the horizontal direction.

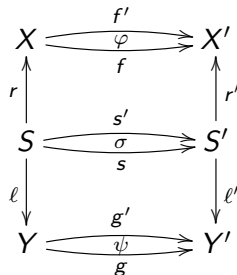
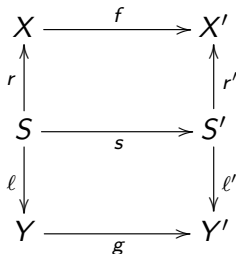
- **vertical transformations** $\nu: F \rightrightarrows G$ are defined dually, pseudonatural in the vertical direction and functorial in the horizontal direction;
- **modifications** given by a family of double cells.

The category **DbICat** - Properties

- **DbICat** is not a double category;
- a double category has two types of arrows, and **DbICat** has only one;
- a double category has one type of 2-cell, and **DbICat** has two;
- there are 2-categories **DbICat**_{*h*} and **DbICat**_{*v*};
- **DbICat** is *enriched* in double categories: **DbICat**(\mathbb{C}, \mathbb{D}) is a double category for each pair of double categories \mathbb{C}, \mathbb{D} ;
- so we need to replace **DbICat** by a double category as codomain for the indexing functors.

Replacements for **DblCat**, Option 1: $\mathbb{S}\text{pan}(\mathbf{Cat})$

- A **double 2-category** is a pseudo category in the 2-category of 2-categories, 2-functors and 2-natural transformations.
- There is a double 2-category $\mathbb{S}\text{pan}(\mathcal{K})$ for any 2-category \mathcal{K} with double cells and 2-cells between them:



- A lax double functor from the terminal double category to $\mathbb{S}\text{pan}(\mathbf{Cat})$ is precisely a double category.

Replacements for **DbICat**, Option 2: $\mathbb{Q}\mathbf{DbICat}_v$

When considering colimits we would like to have a double category that has double categories as objects. There are six double categories

- $\mathbb{V}\mathbf{DbICat}_{h/v}$,
- $\mathbb{H}\mathbf{DbICat}_{h/v}$
- $\mathbb{Q}\mathbf{DbICat}_{h/v}$.

We will work with $\mathbb{Q}\mathbf{DbICat}_v$.

Diagrams Indexed by a Double Category

These observations lead us to two types of “double indexing functors”:

- **When aiming for double fibrations:** A double indexing functor is a *contravariant lax pseudo double functor*,

$$\mathbb{D}^{\text{op}} \rightarrow \mathbb{S}\text{pan}(\mathbf{Cat})$$

where $\mathbb{S}\text{pan}(\mathbf{Cat})$ is a double 2-category (as we are considering \mathbf{Cat} here as a 2-category).

- **When aiming for doubly lax colimits:** An indexing double functor is a double functor

$$\mathbb{D} \rightarrow \mathbb{Q}(\mathbf{DbCat}_v),$$

also referred to as a *vertical double functor*

$$\mathbb{D} \dashv\!\rightarrow \mathbf{DbCat}.$$

Grothendieck for $F: \mathbb{D} \rightarrow \mathbf{Span}(\mathbf{Cat})$

A lax double functor $F: \mathbb{D}^{\text{op}} \rightarrow \mathbf{Span}(\mathbf{Cat})$ gives rise to pseudo functors

$$F_0: \mathbb{D}_0^{\text{op}} \rightarrow \mathbf{Span}(\mathbf{Cat})_0 = \mathbf{Cat} \text{ and } F_1: \mathbb{D}_1^{\text{op}} \rightarrow \mathbf{Span}(\mathbf{Cat})_1 \xrightarrow{\text{apx}} \mathbf{Cat}$$

The Grothendieck category of elements gives us cloven fibrations

$$\mathbb{E}\ell(F)_0 \rightarrow \mathbb{D}_0 \quad \text{and} \quad \mathbb{E}\ell(F)_1 \rightarrow \mathbb{D}_1.$$

Now, $\mathbb{E}\ell(F)_0$ and $\mathbb{E}\ell(F)_1$ form the category of objects and arrows respectively of the double category $\mathbb{E}\ell(F)$ with a *double fibration*

$$\mathbb{E}\ell(F) \rightarrow \mathbb{D}$$

The Double Fibration $\mathbb{E}l(F) \rightarrow \mathbb{D}$

Notation

For $F: \mathbb{D} \rightarrow \mathbb{S}pan(\mathbf{Cat})$, and an outer arrow $m: A \multimap B$ of \mathbb{D} , we denote its image by

$$\begin{array}{ccc} & Fm & \\ L_m \swarrow & & \searrow R_m \\ FA & & FB \end{array}$$

Then $\mathbb{E}l(F)$ has

- *Inner arrows* $(A, X) \xrightarrow{(f, \bar{f})} (C, Z)$ with $f: A \rightarrow C$ in \mathbb{D} and $\bar{f}: X \rightarrow f^*Z$ in FA ;
- *Outer arrows* $(m, \bar{m}): (A, X) \multimap (B, Y)$ with $m: A \multimap B$ in \mathbb{D} and $\bar{m} \in Fm$ such that $L_m \bar{m} = X$ and $R_m \bar{m} = Y$

The Double Fibration $\mathbb{E}l(F) \rightarrow \mathbb{D}$

- $\mathbb{E}l(F)$ has squares of the form

$$\begin{array}{ccc}
 (A, X) & \xrightarrow{(f, \bar{f})} & (C, Z) \\
 \downarrow (m, \bar{m}) \bullet & (\theta, \bar{\theta}) & \downarrow \bullet (n, \bar{n}) \\
 (B, Y) & \xrightarrow{(g, \bar{g})} & (D, W)
 \end{array} \quad \text{for} \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & C \\
 \downarrow m \bullet & \theta & \downarrow n \bullet \\
 B & \xrightarrow{g} & D
 \end{array} \quad \text{in } \mathbb{D}$$

and $\bar{\theta}: \bar{m} \rightarrow \theta^* \bar{n}$ in Fm such that $L_m \bar{\theta} = \bar{f}$ and $R_m \bar{\theta} = \bar{g}$.

- The projection double functor $\mathbb{E}l(F) \rightarrow \mathbb{D}$ is a double fibration.

What is a Double Fibration?

Suggestion

Take an internal category in **Fib**.

Problem

Fib doesn't have all the 2-pullbacks we would need.

Also, the *fibrational strictness* of s and t would be the same as that of y and \otimes , which is not in line with what we know about pseudo double functors.

The solution

A **double fibration** is a pseudo category in **Fib** such that s and t are in **cFib** (that is, they preserve the chosen cleavages).

This translates into:

Definition of a Double Fibration

A **double fibration** as defined on the previous slide is the same as a (strict) double functor $P : \mathbb{E} \rightarrow \mathbb{B}$ between (pseudo) double categories

$$\begin{array}{ccccc}
 \mathbb{E}_1 \times_{\mathbb{E}_0} \mathbb{E}_1 & \xrightarrow{\otimes_{\mathbb{E}}} & \mathbb{E}_1 & \begin{array}{c} \xrightarrow{s_{\mathbb{E}}} \\ \xleftarrow{y_{\mathbb{E}}} \\ \xrightarrow{t_{\mathbb{E}}} \end{array} & \mathbb{E}_0 \\
 \downarrow P_1 \times_{P_0} P_1 & & \downarrow P_1 & & \downarrow P_0 \\
 \mathbb{B}_1 \times_{\mathbb{B}_0} \mathbb{B}_1 & \xrightarrow{\otimes_{\mathbb{B}}} & \mathbb{B}_1 & \begin{array}{c} \xrightarrow{s_{\mathbb{B}}} \\ \xleftarrow{y_{\mathbb{B}}} \\ \xrightarrow{t_{\mathbb{B}}} \end{array} & \mathbb{B}_0
 \end{array}$$

such that

- ❶ P_0 and P_1 are fibrations,
- ❷ they admit a cleavage such that $s_{\mathbb{E}}$ and $t_{\mathbb{E}}$ are cleavage-preserving, and
- ❸ $y_{\mathbb{E}}$ and $\otimes_{\mathbb{E}}$ are Cartesian-morphism preserving.

Some Examples

- When $\mathbb{E}_0 = \mathbb{B}_0 = 1$, we recover monoidal fibrations [1];
- For any 2-functor $P : \mathbb{E} \rightarrow \mathbb{B}$, we have that P is a 2-fibration [2] if and only if $\mathbb{Q}P : \mathbb{Q}\mathbb{E} \rightarrow \mathbb{Q}\mathbb{B}$ is a double fibration;
- When P_0 and P_1 are discrete fibrations, we recover discrete double fibrations [3];
- The double Grothendieck construction in Definition 5.3 of [4] is also a double fibration.

[1] Framed Bicatgories and Monoidal Fibrations, Shulman (2008).

[2] Fibred 2-Categories and Bicatgories, Buckley (2014).

[3] Discrete Double Fibrations, Lambert (2021).

[4] Double Categories of Open Dynamical Systems, Myers (2021).

More Examples

- The domain fibration: $\text{dom}: \mathbb{D}^2 \rightarrow \mathbb{D}$,

$$\begin{array}{ccc}
 \mathbb{D}_1^2 & \begin{array}{c} \xrightarrow{t} \\ \xRightarrow{s} \end{array} & \mathbb{D}_0^2 \\
 \text{dom} \downarrow & & \downarrow \text{dom} \\
 \mathbb{D}_1 & \begin{array}{c} \xrightarrow{t} \\ \xRightarrow{s} \end{array} & \mathbb{D}_0
 \end{array}$$

- $Im: \text{Span} \rightarrow \text{Rel}$ is a double opfibration.
- There is a split double fibration $\Pi: \text{Fam}(\mathcal{C}) \rightarrow \text{Span}$.
- There is a codomain fibration $\text{cod}: \mathbb{D}^2 \rightarrow \mathbb{D}$ if
 - \mathbb{D}_1 and \mathbb{D}_0 have chosen finite limits,
 - these limits are preserved on the nose by s and t
 - and up to iso by y and \otimes .

Double Fibrations are Internal Fibrations

The notion of *internal fibration* for a 2-category was given by Street in 1974. Let **DbICat** be the 2-category of pseudo double categories, pseudo functors and horizontal/inner transformations.

Theorem [Cruttwell, Lambert, P., Szyld]

A *strict* double functor $P : \mathbb{E} \rightarrow \mathbb{B}$ is an internal fibration in **DbICat** if and only if it is a double fibration

Double Fibrations are Internal Fibrations

The notion of *internal fibration* for a 2-category was given by Street in 1974. Let **DbICat** be the 2-category of pseudo double categories, pseudo functors and horizontal/inner transformations.

Theorem [Cruttwell, Lambert, P., Szyld]

A *strict* double functor $P : \mathbb{E} \rightarrow \mathbb{B}$ is an internal fibration in **DbICat** if and only if it is a double fibration

In addition,

- A *pseudo* double functor P is an internal fibration in **DbICat**_ℓ iff P_0 and P_1 are fibrations that admit cleavages preserved by $s_{\mathbb{E}}$ and $t_{\mathbb{E}}$
- It is an internal fibration in **DbICat** iff in addition, $y_{\mathbb{E}}$ and $\otimes_{\mathbb{E}}$ are Cartesian-morphism preserving.
- a strict double functor P is an internal fibration in **DbICat**_s iff P_0 and P_1 are fibrations that admit cleavages preserved by $s_{\mathbb{E}}$, $t_{\mathbb{E}}$, $y_{\mathbb{E}}$ and $\otimes_{\mathbb{E}}$.

The $\{\text{Fibrations}\} \xleftarrow{\simeq} \{\text{Indexed}\}$ Theorem

Let $\mathbf{ISpan}(\mathbf{Cat})$ be the category of contravariant lax pseudo double functors valued in the double 2-category $\mathbf{Span}(\mathbf{Cat})$.

Theorem [Cruttwell, Lambert, P., Szyld]

There is an equivalence of categories $\mathbf{DbIFib} \simeq \mathbf{ISpan}(\mathbf{Cat})$

Idea for the proof: use pseudo monoids in double 2-categories.

$\mathbf{Fib} \simeq \mathbf{ICat}$ restricts to $\mathbf{cFib} \simeq \mathbf{ICat}_t$, so $\mathbf{Span}_c(\mathbf{Fib}) \simeq \mathbf{Span}_t(\mathbf{ICat})$.

Now we lift:

$\mathbf{DbIFib} := \mathbf{PsMon}(\mathbf{Span}_c(\mathbf{Fib})) \simeq \mathbf{PsMon}(\mathbf{Span}_t(\mathbf{ICat})) \simeq \mathbf{ISpan}(\mathbf{Cat})$ □

Restricting to monoidal or to discrete fibrations, we recover the results in (Moeller-Vasilakopoulou, 2020) and (Lambert, 2021). The right-to-left functor restricts to the construction spelled out in (Paré, 2011).

Option 2: Vertical Indexing Functors $F: \mathbb{D} \rightarrow \mathbb{Q}\mathbf{DbICat}_v$

We have so far only worked out the strict case, where both \mathbb{D} and F are assumed to be strict, and are working on the pseudo case.

Some concerns you may have:

- Have we lost our ability to use horizontal transformations and modifications?
- Have we lost our ability to distinguish between horizontal and vertical arrows in the indexing double category \mathbb{D} ?

No, they will show up in the notion of **doubly lax transformation**. Our lax colimits are lax with respect to a new notion of transformation.

Intro to Doubly Lax Transformations

- We will introduce a **cylinder double category** $\text{Cyl}_v(\mathbf{DbICat})$.
- There are vertical double functors

$$\text{Cyl}_v(\mathbf{DbICat}) \begin{array}{c} \xrightarrow{d_0} \\ \Downarrow v \\ \xrightarrow{d_1} \end{array} \mathbf{DbICat}$$

- A **doubly lax transformation** $\alpha: F \Rightarrow G: \mathbb{D} \multimap \mathbf{DbICat}$ is given by a double functor

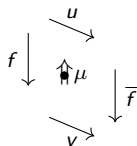
$$\alpha: \mathbb{D} \rightarrow \text{Cyl}_v(\mathbf{DbICat})$$

such that $d_0\alpha = F$ and $d_1\alpha = G$.

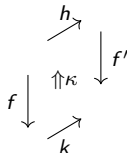
The Double Category of (Vertical) Cylinders

The double category $\text{Cyl}_v(\mathbf{DblCat})$ of **vertical cylinders** is defined by:

- **Objects** are double functors, denoted by $\downarrow f$.
- **Vertical arrows** $f \xrightarrow{(u, \mu, v)} \bar{f}$ are given by vertical transformations,



- **Horizontal arrows** $f \xrightarrow{(h, \kappa, k)} f'$ are given by horizontal transformations,



Double Cylinders

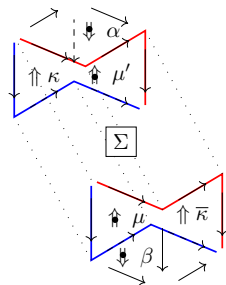
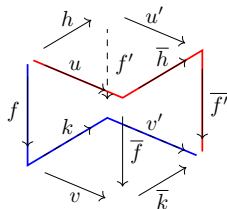
A **double cell**, $(u, \mu, v) \Downarrow (\alpha, \Sigma, \beta) \Downarrow (u', \mu', v')$ consists of two vertical 2-cells,

$$\begin{array}{ccc} f & \xrightarrow{(h, \kappa, k)} & f' \\ \Downarrow & & \Downarrow \\ \bar{f} & \xrightarrow{(\bar{h}, \bar{\kappa}, \bar{k})} & \bar{f}' \end{array}$$

$$\begin{array}{ccc} h & \xrightarrow{\alpha} & u' \\ \Downarrow & & \Downarrow \\ u & \xrightarrow{\bar{h}} & \bar{h} \end{array}, \quad \begin{array}{ccc} k & \xrightarrow{\beta} & v' \\ \Downarrow & & \Downarrow \\ v & \xrightarrow{\bar{k}} & \bar{k} \end{array}$$

and a modification Σ ,

$$\begin{array}{ccc} v'kf & \xrightarrow{v'\kappa} & v'f'h \\ \Downarrow \beta f & & \Downarrow \mu' h \\ \bar{k}vf & \xrightarrow{\Sigma} & \bar{f}'u'h \\ \Downarrow \bar{k}\mu & & \Downarrow \bar{f}'\alpha \\ \bar{k}\bar{f}u & \xrightarrow{\bar{\kappa}u} & \bar{f}'\bar{h}u \end{array}$$



Cylinders and Transformations

- There are vertical double functors $d_0, d_1: \text{Cyl}_v(\mathbf{DbICat}) \rightarrow \mathbf{DbICat}$, sending a cylinder to its top and bottom respectively;
- A **doubly lax transformation** $\theta: F \Rightarrow G$ between vertical double functors $F, G: \mathbb{D} \rightarrow \mathbf{DbICat}$ is given by a double functor

$$\theta: \mathbb{D} \rightarrow \text{Cyl}_v(\mathbf{DbICat}),$$

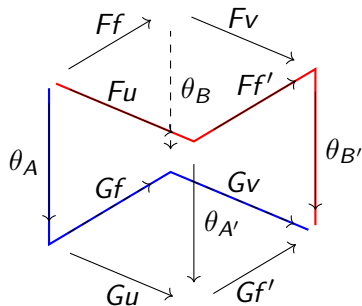
such that $d_0\theta = F$ and $d_1\theta = G$.

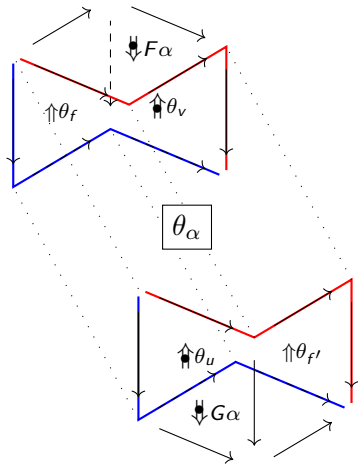
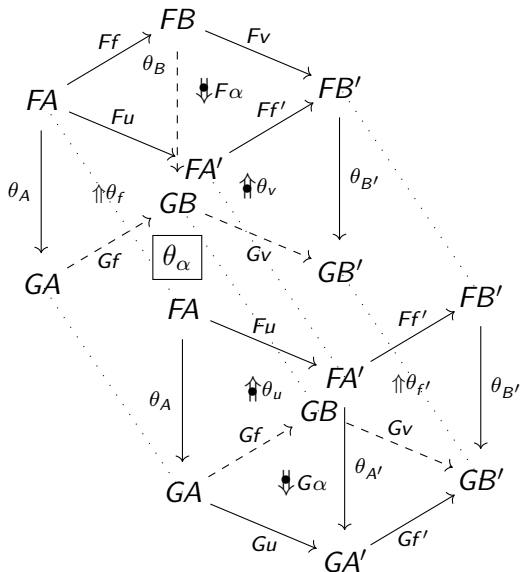
Doubly Lax Transformations $\theta: F \Rightarrow G$

For each double cell

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow u & \alpha & \downarrow v \\ A' & \xrightarrow{f'} & B' \end{array},$$

$$\begin{array}{ccc} GvGf\theta_A & \xRightarrow{Gv\theta_f} & Gv\theta_B Ff \\ \Downarrow G\alpha_A & & \Downarrow \theta_v Ff \\ Gf'Gu\theta_A & \xRightarrow{\theta_\alpha} & \theta_{B'} FvFf \\ \Downarrow Gf'\theta_u & & \Downarrow \theta_{B'} F\alpha \\ Gf'\theta_{A'}Fu & \xRightarrow{\theta_{f'}Fu} & \theta_{B'} Ff'Fu \end{array}$$





Doubly Lax Transformations

- Let $F, G: \mathbb{D} \rightarrow \mathbf{DbICat}$ be vertical double functors.
- Since doubly lax transformations $F \Rightarrow G$ are represented by double functors,

$$\mathbb{D} \rightarrow \mathbf{Cyl}_v(\mathbf{DbICat})$$

they are the objects of a hom double category

$$\mathbf{Hom}_{dl}(F, G) \subset \mathbf{DbICat}(\mathbb{D}, \mathbf{Cyl}_v(\mathbf{DbICat})).$$

Lax Transformations Between 2-Functors

- By applying \mathbb{Q} to the hom-categories of a 2-category \mathcal{B} , we can make it into a **DblCat**-enriched category $\widehat{\mathcal{Q}}(\mathcal{B})$.
- This allows us to view lax transformations between 2-functors as a special case of the new doubly lax transformations.

$$\begin{array}{ccc}
 \mathcal{A} & \xrightarrow{F} & \mathcal{B} \\
 \downarrow \alpha & & \\
 & \xrightarrow{G} &
 \end{array}
 \rightsquigarrow
 \begin{array}{ccc}
 \mathbb{Q}\mathcal{A} & \xrightarrow{\mathbb{Q}F} & \widehat{\mathcal{Q}}(\mathcal{B}) \\
 \downarrow \alpha & & \\
 & \xrightarrow{\mathbb{Q}G} &
 \end{array}$$

- By taking a restricted \mathbb{Q} on the codomain, taking only a particular class Ω of 2-cells of \mathcal{B} for the local horizontal arrows, we obtain Ω -transformations.
- By taking a restricted \mathbb{Q} on the domain, we get Σ -transformations.

Doubly Lax Colimits

- A **doubly lax cocone** for a vertical double functor $F : \mathbb{D} \rightarrow \mathbf{DbICat}$ with vertex $\mathbb{E} \in \mathbf{DbICat}$ is a doubly lax transformation $F \xRightarrow{\theta} \Delta \mathbb{E}$.
- There is a double category,

$$\mathbb{LC}(F, \mathbb{E}) := \mathbb{Hom}_{d\ell}(F, \Delta \mathbb{E})$$

of doubly lax cocones with vertex \mathbb{E} .

- A doubly lax cocone $F \xRightarrow{\lambda} \Delta \mathbb{L}$ is the **doubly lax colimit** of F if, for every $\mathbb{E} \in \mathbf{DbICat}$,

$$\mathbf{DbICat}(\mathbb{L}, \mathbb{E}) \xrightarrow{\lambda^*} \mathbb{LC}(F, \mathbb{E})$$

is an isomorphism of double categories.

- The doubly lax colimit can be obtained by a **double Grothendieck construction**, denoted by $\mathbb{Gr} F = \int_{\mathbb{D}} F$.

The Double Grothendieck Construction: Objects and Arrows

Let $\mathbb{D} \xrightarrow{F} \mathbf{DbCat}$ be a vertical double functor. The **double category of elements**, $\mathbb{G}r F = \int_{\mathbb{D}} F$, is defined by:

- **Objects:** (C, x) with C in \mathbb{D} and x in FC ,
- **Vertical arrows:**

$$(C, x) \xrightarrow{\bullet \begin{smallmatrix} u, \rho \end{smallmatrix}} (C', x'),$$

where $C \xrightarrow{\bullet u} C'$ in \mathbb{D} and $Fux \xrightarrow{\bullet \rho} x'$ in FC' .

- **Horizontal arrows:**

$$(C, x) \xrightarrow{(f, \varphi)} (D, y),$$

where $C \xrightarrow{f} D$ in \mathbb{D} , and $Ffx \xrightarrow{\varphi} y$ in FD .

The Double Grothendieck Construction: Double Cells

- Double cells:**

$$\begin{array}{ccc}
 (C, x) & \xrightarrow{(f, \varphi)} & (D, y) \\
 \downarrow (u, \rho) \bullet & (\alpha, \Phi) & \downarrow \bullet (v, \lambda) \\
 (C', x') & \xrightarrow{(f', \varphi')} & (D', y')
 \end{array}$$
 , where $\alpha: (u \xrightarrow{f} v)$ is a double cell in \mathbb{D} and Φ is a double cell in FD' :

$$\begin{array}{ccc}
 FvFfx & \xrightarrow{Fv\varphi} & Fvy \\
 \downarrow (F\alpha)_x \bullet & & \downarrow \bullet \lambda \\
 Ff'Fux & \xrightarrow{\Phi} & \bullet \lambda \\
 \downarrow Ff'\rho \bullet & & \downarrow \\
 Ff'x' & \xrightarrow{\varphi'} & y'
 \end{array}$$

Factorization

- Any horizontal arrow (f, φ) can be factored as $(A, x) \xrightarrow{(f, 1_{Ffx})} (B, Ffx) \xrightarrow{(1_B, \varphi)} (B, y)$.
- Any vertical arrow (u, ρ) can be factored as $(A, x) \xrightarrow{(u, 1_{Fux})} (A', Fux) \xrightarrow{(1_{A'}, \rho)} (A', x')$.
- And any double cell (α, Φ) can be factored as

$$\begin{array}{ccccc}
 (A, x) & \xrightarrow{(f, 1_{Ffx})} & (B, Ffx) & \xrightarrow{(1_B, \varphi)} & (B, y) \\
 \downarrow (u, 1_{Fux}) & & \downarrow (v, 1_{F(vf)x}) & & \downarrow (v, 1_{Fvy}) \\
 & & (\alpha, 1_{(F\alpha)_x}) & & (1_v, 1_{Fv\varphi}) \\
 & & \downarrow & & \downarrow (1_{B'}, Fv\varphi) \\
 (A', Fux) & \xrightarrow{(f', 1_{F(f'u)x})} & (B', FvFfx) & \xrightarrow{(1_{B'}, Fv\varphi)} & (B', Fvy) \\
 \downarrow (1_{A'}, \rho) & & \downarrow (1_{B'}, (F\alpha)_x) & & \downarrow (1_{B'}, \lambda) \\
 & & (f', 1_{Ff'u}) & & (1_{B'}, \Phi) \\
 & & \downarrow & & \downarrow (1_{B'}, Ff'\rho) \\
 (A', x') & \xrightarrow{(f', 1_{Ff'x'})} & (B', Ff'x') & \xrightarrow{(1_{B'}, \varphi')} & (B', y')
 \end{array}$$

The Main Theorem

- There is a doubly lax cocone $F \xRightarrow{\lambda} \Delta \mathbf{Gr} F$ with the required universal property:

$$\lambda^*: \mathbf{DbICat} \left(\int_{\mathbb{D}} F, \mathbb{E} \right) \rightarrow \mathbb{LC} \left(\int_{\mathbb{D}} F, \mathbb{E} \right)$$

is an iso of double categories for all $\mathbb{E} \in \mathbf{DbICat}$.

- Furthermore, $\int_{\mathbb{D}}$ extends to a functor of \mathbf{DbICat} -categories

$$\mathrm{Hom}_v(\mathbb{D}, \mathbf{DbICat})_{d\ell} \rightarrow \mathbf{DbICat}/\mathbb{D}$$

which is locally an isomorphism of double categories

$$\mathbb{H}\mathrm{om}_{d\ell}(F, G) \cong (\mathbf{DbICat}/\mathbb{D}) \left(\int_{\mathbb{D}} F \rightarrow \mathbb{D}, \int_{\mathbb{D}} G \rightarrow \mathbb{D} \right).$$

Application I: Tricolimits in **2-Cat**

- For a 2-category \mathcal{A} and a 2-functor $F: \mathcal{A} \rightarrow \mathbf{2-Cat}$, we construct a double index functor as follows. First take

$$\mathcal{A} \xrightarrow{F} \mathbf{2-Cat} \xrightarrow{\mathbb{V}} \mathbf{DbICat}_v$$

and then apply \mathbb{V} to obtain:

$$\mathbb{V}(\mathcal{A}) \xrightarrow{\mathbb{V}(\mathbb{V} \circ F)} \mathbb{V}(\mathbf{DbICat}_v) \xrightarrow{\text{incl}} \mathbb{Q}(\mathbf{DbICat}_v).$$

- Applying the double Grothendieck construction gives us

$$\int_{\mathbb{V}\mathcal{A}} \mathbb{V}(\mathbb{V} \circ F) = \mathbb{V} \int_{\mathcal{A}} F$$

(as defined by Bakovic and Buckley)

- The functor $\mathbb{V}: \mathbf{2-Cat} \rightarrow \mathbf{DbICat}_v$ induces an isomorphism of 3-categories between $\mathbf{2-Cat}$ and its image in \mathbf{DbICat}_v .
- It follows that $\int_{\mathcal{A}} F$ is the **lax tricolimit** of F in $\mathbf{2-Cat}$.

Application II: Categories of Elements

- For a functor $F: A \rightarrow \mathbf{Set}$,

$$\operatorname{colim} F = \pi_0 \mathbf{El}(dF),$$

where

$$A \xrightarrow{F} \mathbf{Set} \xrightarrow{d} \mathbf{Cat}$$

and $\mathbf{El}(dF)$ has objects (A, x) with $x \in F(A)$ and arrows $f: (A, x) \rightarrow (A', x')$ where $f: A \rightarrow A'$ with $F(f)(x) = x'$.

- This follows from the universal property of the elements construction as lax colimit by applying it to cones with discrete categories as vertex and using the adjunction $\pi_0 \dashv d$.

- We can apply the same paradigm to a functor $F: \mathcal{A} \rightarrow \mathbf{Cat}$ and use

$$\mathbf{Cat} \begin{array}{c} \xleftarrow{\pi_0} \\ \perp \\ \xrightarrow{\mathbb{V}} \end{array} \mathbf{DbCat}_v$$

where the π_0 is taken with respect to horizontal arrows and cells to obtain a quotient of the vertical category of a double category.

- It follows from our Main Theorem that $\pi_0 \int_{\mathbb{H}\mathcal{A}} \mathbb{Q}(\mathbb{V} \circ F)$ gives the **strict 2-categorical colimit** of F .
- $\int_{\mathbb{H}\mathcal{A}} \mathbb{Q}(\mathbb{V} \circ F)$ is actually $\mathbb{E}\mathbb{I}(F)$, introduced by Paré (1989): its double cells “ (α, Φ) ” are in this case given by 2-cells $\alpha: f \Rightarrow f'$ in \mathcal{A} :

$$\begin{array}{ccc} (C, x) & \xrightarrow{(f, id)} & (D, y) \\ (id, \rho) \bullet \downarrow & (\alpha, id) & \bullet \downarrow (id, \lambda) \\ (C, x') & \xrightarrow{(f', id)} & (D, y') \end{array}$$

$$\begin{array}{ccc} Ff_x & \xrightarrow{id} & Ff_x \\ (F\alpha)_x \downarrow & id & \downarrow \lambda \\ Ff'_x & \xrightarrow{Ff'_\rho} & Ff'_x \end{array} .$$

Application III: The double categorical wreath product

For a functor $F: \mathcal{A}^{\text{op}} \rightarrow \mathbf{Cat}$, we consider:

$$\mathcal{A}^{\text{op}} \xrightarrow{F} \mathbf{Cat} \xrightarrow{\mathbb{Q}} \mathbf{DbCat}_v \xrightarrow{(\)^\wedge} \mathbf{DbCat}_v$$

where $\mathbb{E} \rightarrow \mathbb{E}^\wedge$ is the horizontal flip functor, and apply \mathbb{Q} to all of this:

$$\int_{\mathbb{Q}\mathcal{A}} \mathbb{Q}((\mathbb{Q} \circ F)^\wedge) = F \wr F^{\text{op}}$$

as introduced by Myers (2020). In this case our Φ in (α, Φ) matches the basic diagram in his definition

$$\begin{array}{ccc}
 FvFfx \xrightarrow{Fv\varphi} Fvy & & f_1^* E_3 \xrightarrow{f_1^\sharp} E_1 \\
 \downarrow (F\alpha)_x \bullet & & \downarrow f_1^* g_2^\sharp \\
 Ff'Fux & \Phi & f_1^* g_2^* E_4 \\
 \downarrow Ff'\rho \bullet & & \parallel \\
 Ff'x' \xrightarrow{\varphi'} y' & \iff & g_1^* f_2^* E_4 \xrightarrow{g_1^* f_2^\sharp} g_1^* E_2 \\
 & & \downarrow g_1^\sharp \\
 & & E_1 \xrightarrow{\quad} E_2
 \end{array}$$

$\mathrm{Gr} F \rightarrow \mathbb{D}$ is also a fibration

A double functor $P: \mathbb{E} \rightarrow \mathbb{B}$ is an **hv-split coop-fibration** if the following four induced functors are opfibrations of categories that admit cleavages that are suitably **compatible** and **hv-split**.

1v. *Opfibration on vertical arrows*: $P: \mathcal{V}\mathbb{E} \rightarrow \mathcal{V}\mathbb{B}$ between the categories of objects and vertical arrows,

1h. *Opfibration on horizontal arrows*: $P: \mathcal{H}\mathbb{E} \rightarrow \mathcal{H}\mathbb{B}$ between the categories of objects and horizontal arrows,

2h. *Opfibration on double cells with horizontal composition*: $P: \mathbb{E}_1^h \rightarrow \mathbb{B}_1^h$ between the categories which have vertical arrows as objects and double cells as arrows with horizontal composition, and, let $(\mathbb{E}_1^v)_f$ be the *fiber category* which has horizontal arrows $C \rightarrow D$ over $PC \xrightarrow{1_{PC}} PC$ as objects and double cells $\alpha: (u \xrightarrow{g} v)$ over $1_{Pu}: (Pu \xrightarrow{1} Pu)$ as arrows, composed vertically,

2v.1 *Opfibration on the 2h-fibers with vertical composition*:

$P_f: (\mathbb{E}_1^v)_f \rightarrow \mathcal{V}\mathbb{B}$; where P_f maps $C \rightarrow D$ as above to PC and α as above to Pu .

The connection with 2-fibrations

Proposition

Let $P: \mathcal{B} \rightarrow \mathcal{E}$ be a 2-functor between 2-categories. Then P is a split-2-coop-fibration as in (Buckley, 2014) if and only if $\mathbb{V}P: \mathbb{V}\mathcal{B} \rightarrow \mathbb{V}\mathcal{E}$ is an hv-split coop-fibration.

The Correspondence

Theorem

*The double Grothendieck construction $\mathbb{G}r$ is the value on objects of a **Dbcat**-functor*

$$\mathcal{H}om_v(\mathbb{D}, \mathbf{DbCat})_s \xrightarrow{\mathbb{G}r} \mathbf{coopFib}_{h\nu-s}(\mathbb{D}),$$

*which is an equivalence of **Dbcat**-categories; that is, it is essentially surjective and locally an isomorphism of double categories*

$$\mathbb{H}om_s(F, G) \xrightarrow{\mathbb{G}r} (\mathbf{coopFib}_{h\nu-s}(\mathbb{D}))(\mathbb{G}rF, \mathbb{G}rG) \quad (5.2)$$

The double functor $S: \mathbb{Q}\mathbf{DblCat}_v \rightarrow \mathbb{S}\mathbf{pan}(\mathbf{Cat})$

There is a double functor connecting the two codomain options we have explored:

$$S: \mathbb{Q}\mathbf{DblCat}_v \rightarrow \mathbb{S}\mathbf{pan}(\mathbf{Cat})$$

defined as follows:

- *On objects*: $\mathbb{X} \mapsto \mathbb{X}_0$;
- *On inner arrows*: $(\mathbb{X} \xrightarrow{f} \mathbb{Y}) \mapsto (\mathbb{X}_0 \xrightarrow{f_0} \mathbb{Y}_0)$;
- *On outer arrows*: $\begin{array}{ccc} \mathbb{X} & & \mathbb{X}_0 \\ \downarrow \chi & \mapsto & \uparrow \pi_0 \\ \bullet & & \mathbb{X}_0 \times_{\mathbb{Y}_0} \mathbb{Y}_1 \\ \downarrow & & \downarrow t\pi_1 \\ \mathbb{Y} & & \mathbb{Y}_0 \end{array}$ where the pullback is taken

with respect to χ_0 and s .

The double functor $S: \mathbb{Q}\text{DblCat}_v \rightarrow \text{Span}(\mathbf{Cat})$

- On double cells:

$$\begin{array}{ccc}
 \mathbb{X} & \xrightarrow{f} & \mathbb{X}' \\
 \chi \downarrow & \theta & \downarrow \chi' \\
 \mathbb{Y} & \xrightarrow{g} & \mathbb{Y}'
 \end{array} \mapsto$$

$$\begin{array}{ccccc}
 & & \mathbb{X}_0 & \xrightarrow{f_0} & \mathbb{X}'_0 \\
 & & \uparrow s\pi_0 & & \uparrow s\pi_0 \\
 \mathbb{X}_0 \times_{\mathbb{Y}_0} \mathbb{Y}_1 & \xrightarrow{(f_1\pi_0, g_1\pi_1 \otimes \theta t\pi_0)} & \mathbb{X}'_0 \times_{\mathbb{Y}'_0} \mathbb{Y}'_1 & & \\
 t\pi_1 \downarrow & & \downarrow t\pi_1 & & \\
 \mathbb{Y}_0 & \xrightarrow{g_0} & \mathbb{Y}'_0 & &
 \end{array}$$

- $\mathbb{E}l(S \circ F) = \mathbb{G}r(F)$ for any indexing functor $F: \mathbb{D} \rightarrow \mathbb{Q}\text{DblCat}_v$.
- Work in progress: can we view $\mathbb{E}l(F)$ as a double colimit for more general indexing functors into $\text{Span}(\mathbf{Cat})$?