

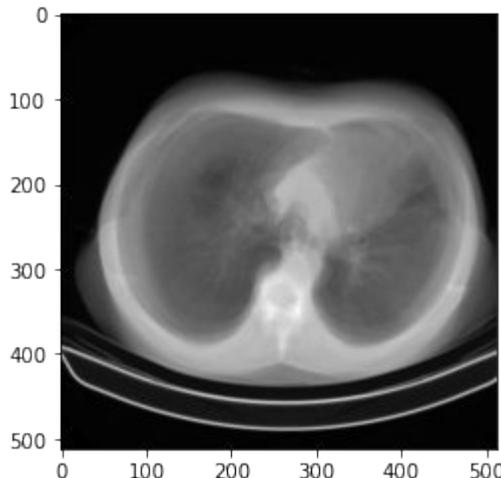
# DIP项目答辩

李嘉杰 叶莱 贾小玉 周楷彬

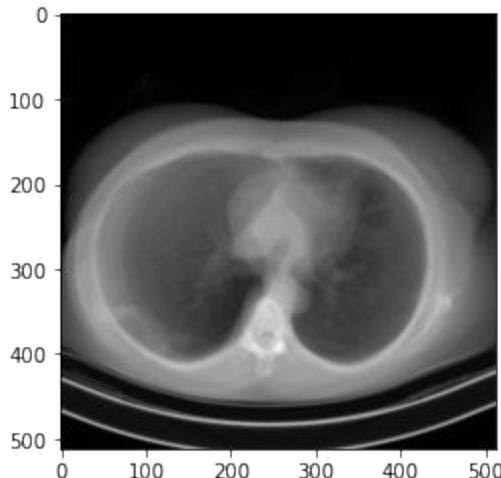
# 一、探索性数据分析(EDA)

# EDA

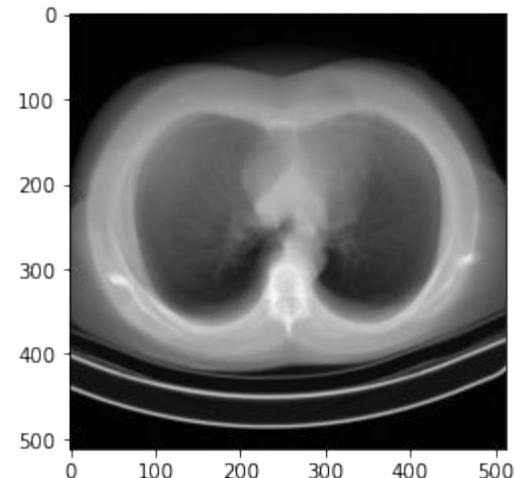
mean



normal



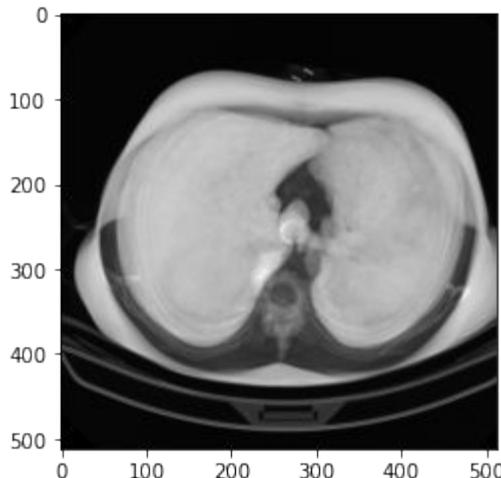
cap



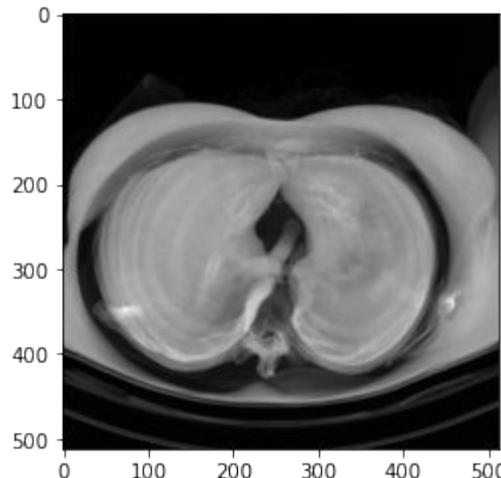
covid-19

# EDA

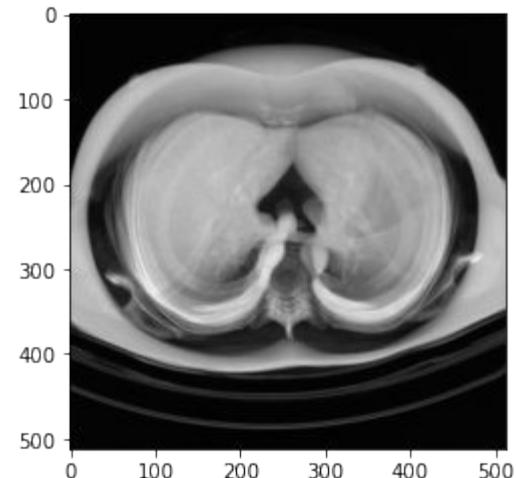
standard deviation



normal



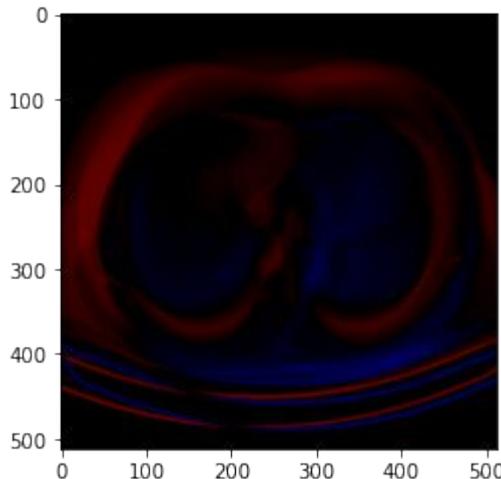
cap



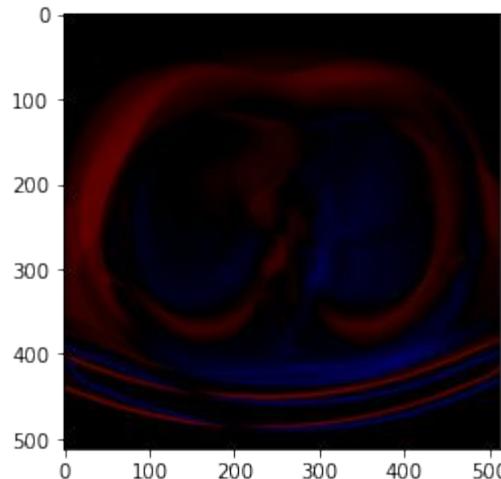
covid-19

# EDA

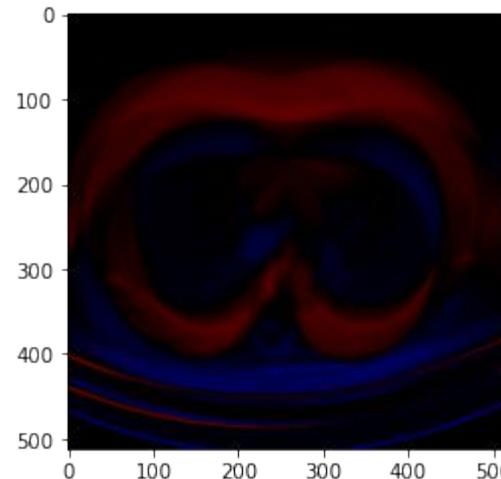
difference



cap & normal



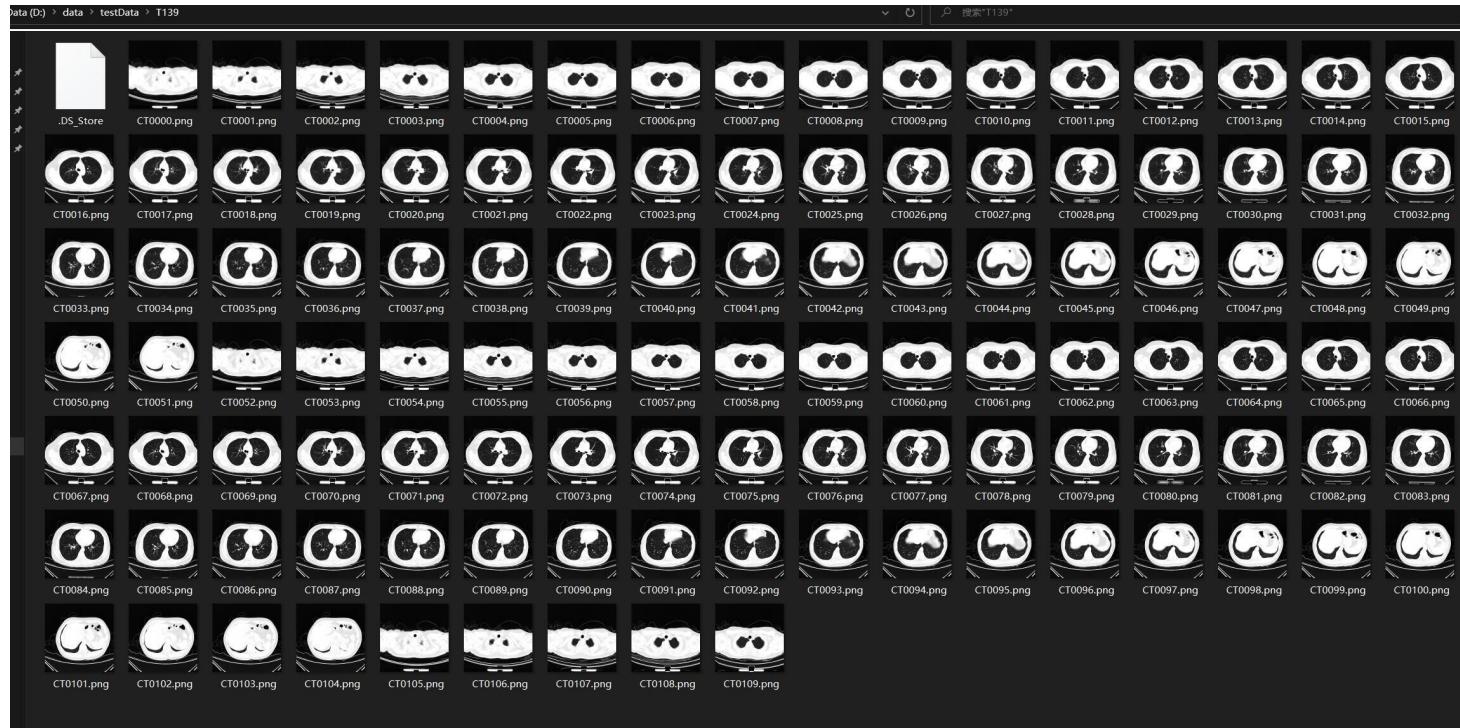
covid-19 & normal



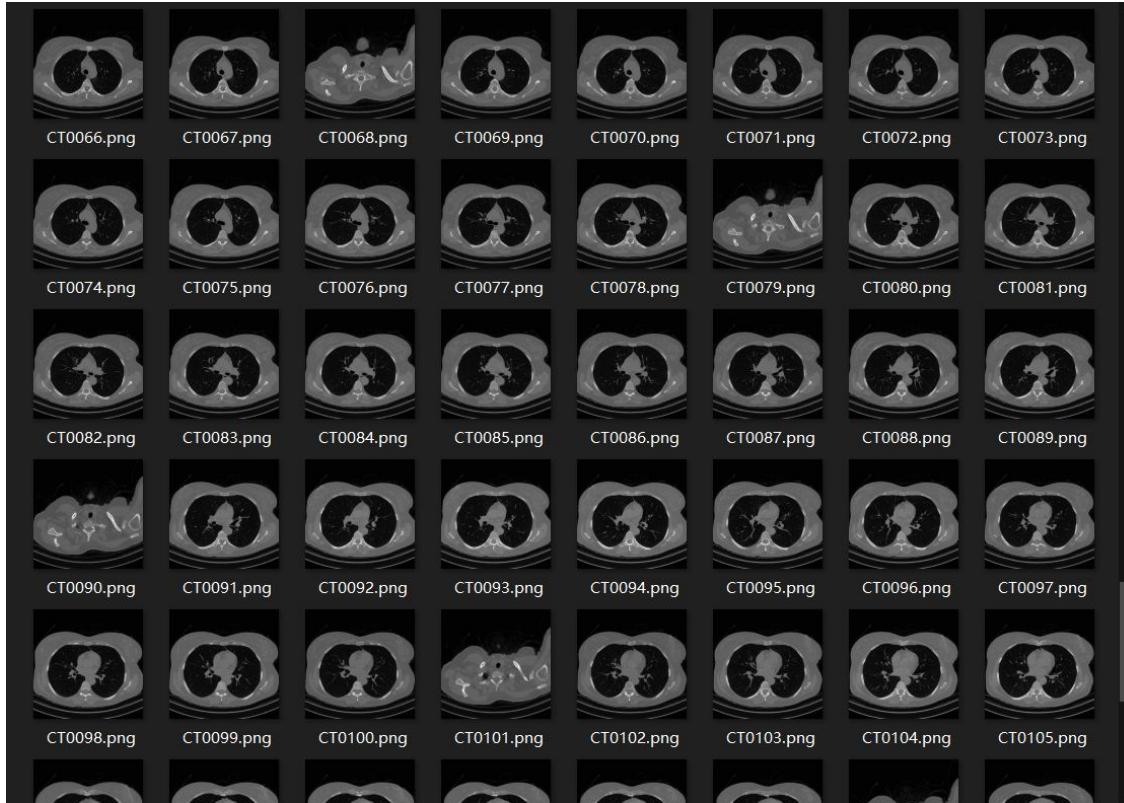
covid-19 & cap

## 二、数据清洗和预处理

# 数据清洗-数据集混乱



# 数据清洗-数据集混乱



# 数据清洗-图像相似度

SSIM

$$SSIM(x, y) = \frac{(2\mu_x\mu_y + c_1)(\sigma_{xy} + c_2)}{(\mu_x^2 + \mu_y^2 + c_1)(\sigma_x^2 + \sigma_y^2 + c_2)}$$

PSNR

$$PSNR = 10 \cdot \log_{10} \left( \frac{MAX_I^2}{MSE} \right) = 20 \cdot \log_{10} \left( \frac{MAX_I}{\sqrt{MSE}} \right)$$

ImageHash: perception hashing, average hashing, difference hashing, wavelet hashing

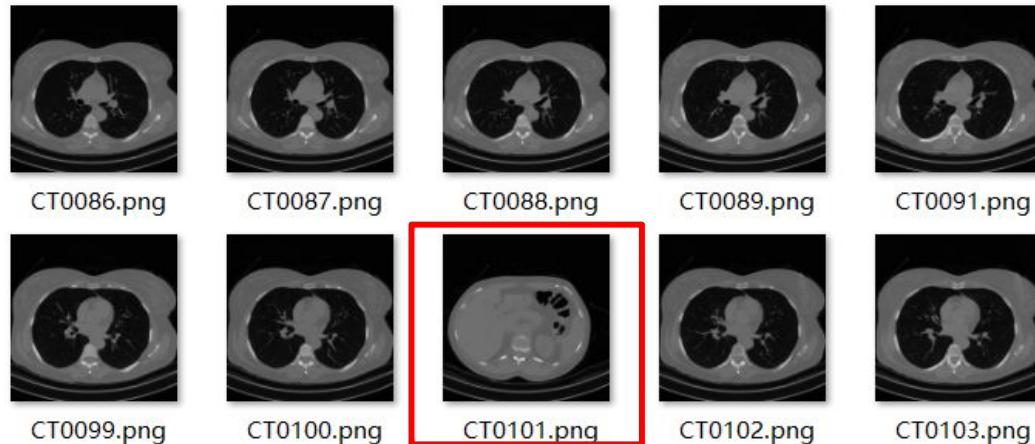
# 数据清洗-图像相似度

**image hashing**: 用于图像相似度计算。

- 缩小尺寸。
- 简化色彩。
- 计算平均值。
- 比较像素的灰度。
- 计算哈希值。

# 数据清洗-图像相似度

```
if distance(left,this)>5 and distance(this,right)>5:  
    remove(this)
```



# 去除背景-形态学操作

## 肺和气道的粗分割

- 1.强度阈值和形态运算
- 2.估计肺叶视野
- 3.选择最大的连接组件并保存图像
- 4.显示细分结果

### //形态学操作

```
M = np.zeros(I.shape)
M[I > params['lungMinValue']] = 1
M[I > params['lungMaxValue']] = 0

struct_s = ndimage.generate_binary_structure(3, 1)
struct_m = ndimage.iterate_structure(struct_s, 2)
struct_l = ndimage.iterate_structure(struct_s, 3)
M = ndimage.binary_closing(M, structure=struct_s, iterations = 1)
M = ndimage.binary_opening(M, structure=struct_m, iterations = 1)
```

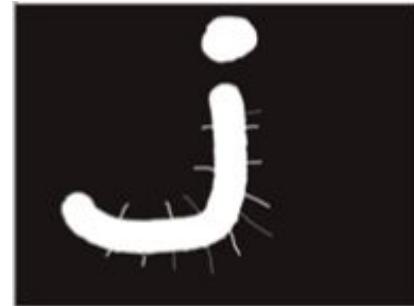
# 去除背景-形态学操作

## 膨胀

将结构元素的原点与二值图像中的1重叠，将二值图像中重叠部分不是1的值变为1，完成膨胀

A和B是两个集合，A被B膨胀定义为：

$$A \oplus B = \left\{ z \mid \left( \hat{B} \right)_z \cap A \neq \emptyset \right\}$$



原始图像

## 腐蚀

将结构元素的原点覆盖在每一个二值图像的1上，只要二值图像上有0和结构元素的1重叠，那么与原点重叠的值为0

A和B是两个集合，A被B腐蚀定义为：

$$A \ominus B = \left\{ z \mid (B)_z \subseteq A \right\}$$

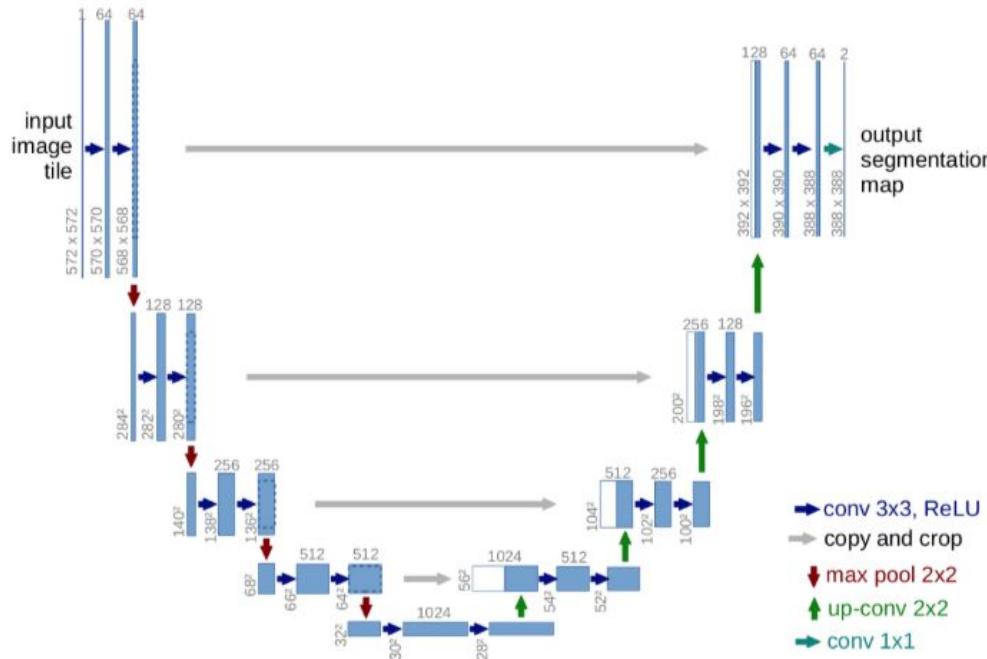


腐蚀图像



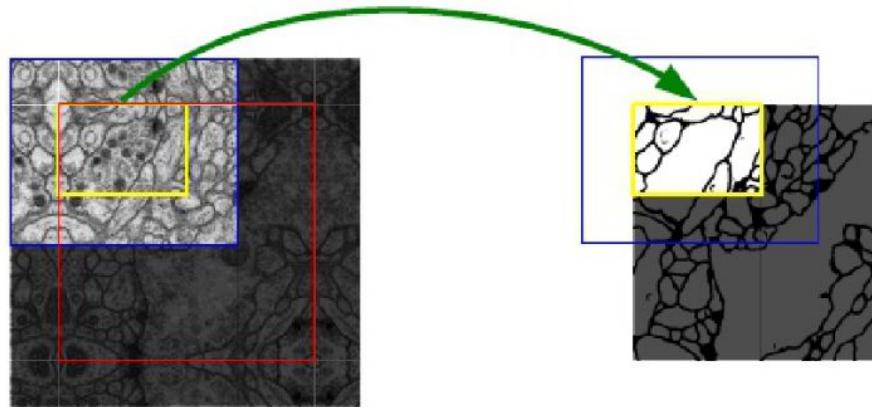
膨胀图像

# 去除背景-Unet



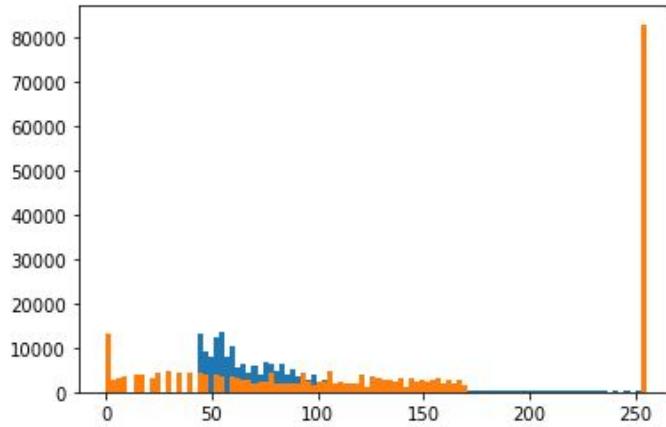
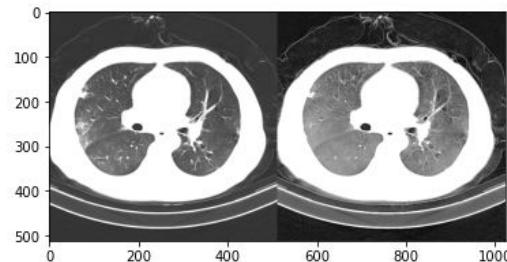
1. 下采样。
2. 提取特征。
3. 上采样。
4. 得到分割后的图像。

# 去除背景-Unet overlap-tile策略

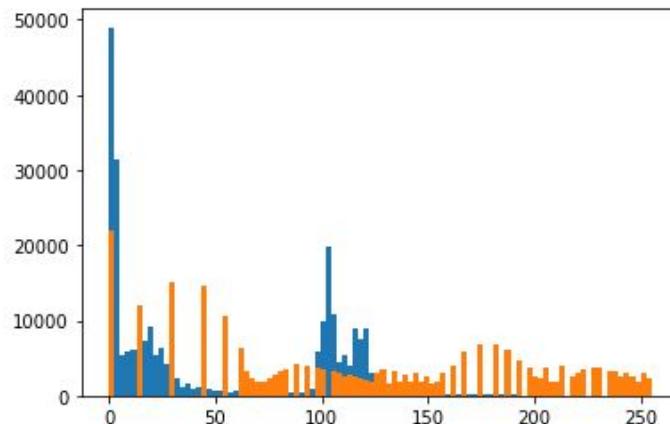
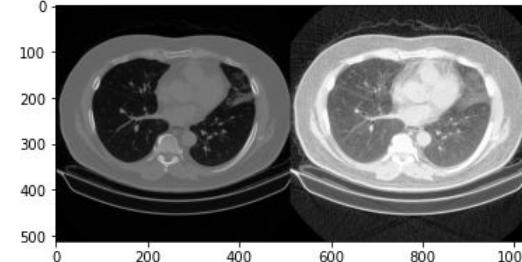


# 图像增强-HE

高对比度

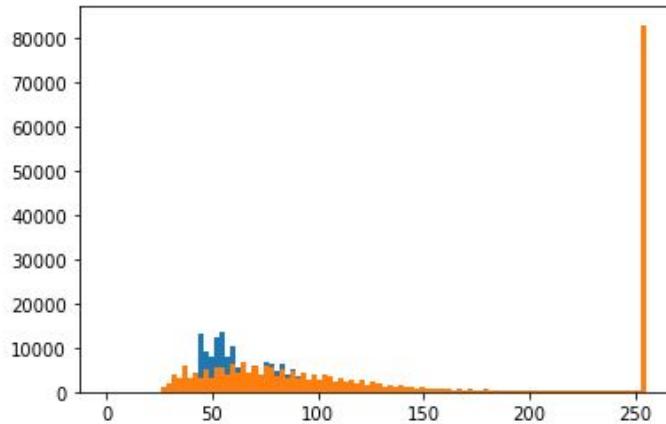
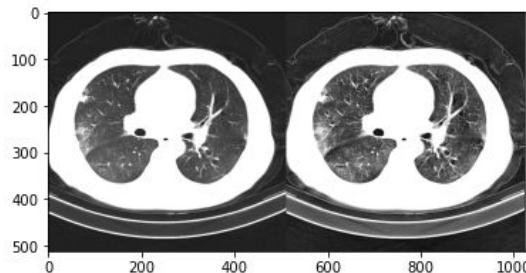


低对比度

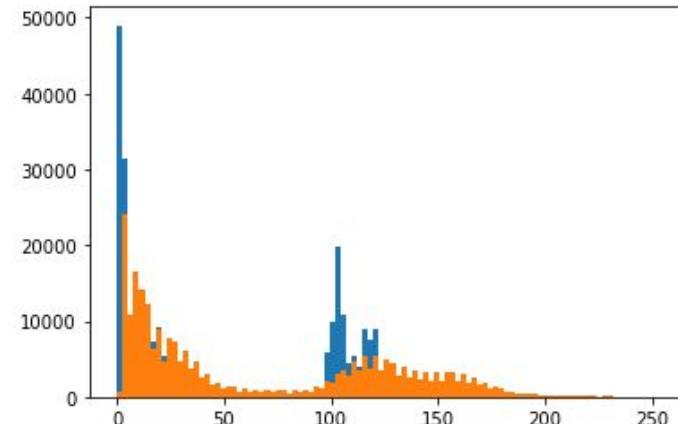
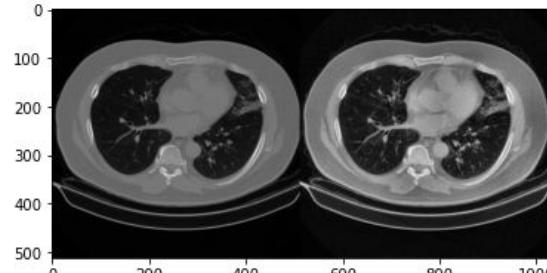


# 图像增强-CLAHE

高对比度

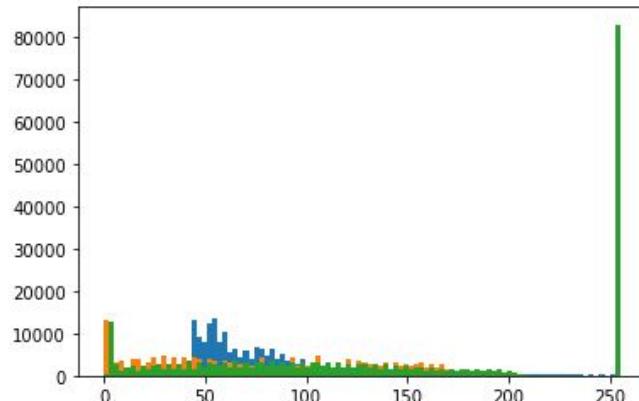
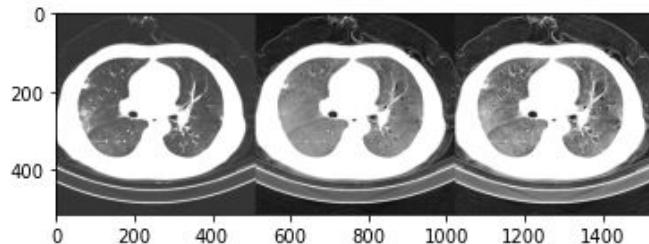


低对比度

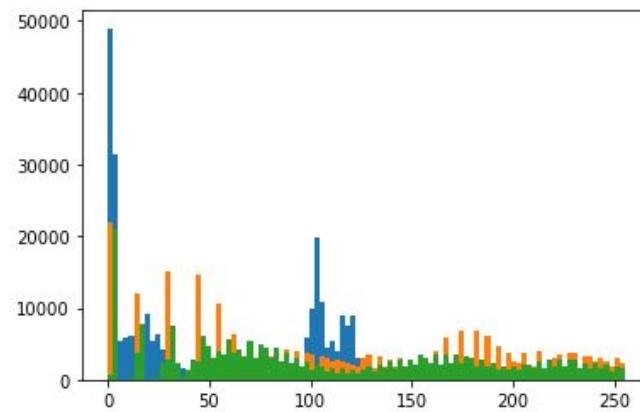
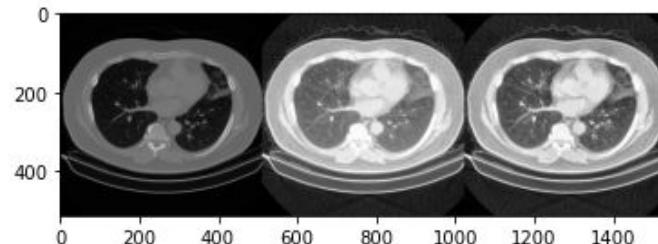


# 图像增强-HE+CLAHE

高对比度



低对比度



# OTDD

- 最佳传输的几何数据集距离  
(OTDD)
  - 量化数据集之间的相似性
  - 以几何意义和原则性的方式比较特征标签对上的分布

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**Geometric Dataset Distances via Optimal Transport**

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David Alvarez-Melis<sup>1</sup> Nicolò Fusi<sup>1</sup>

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# Microsoft Research Blog



Measuring dataset similarity using optimal transport

Published September 24, 2020

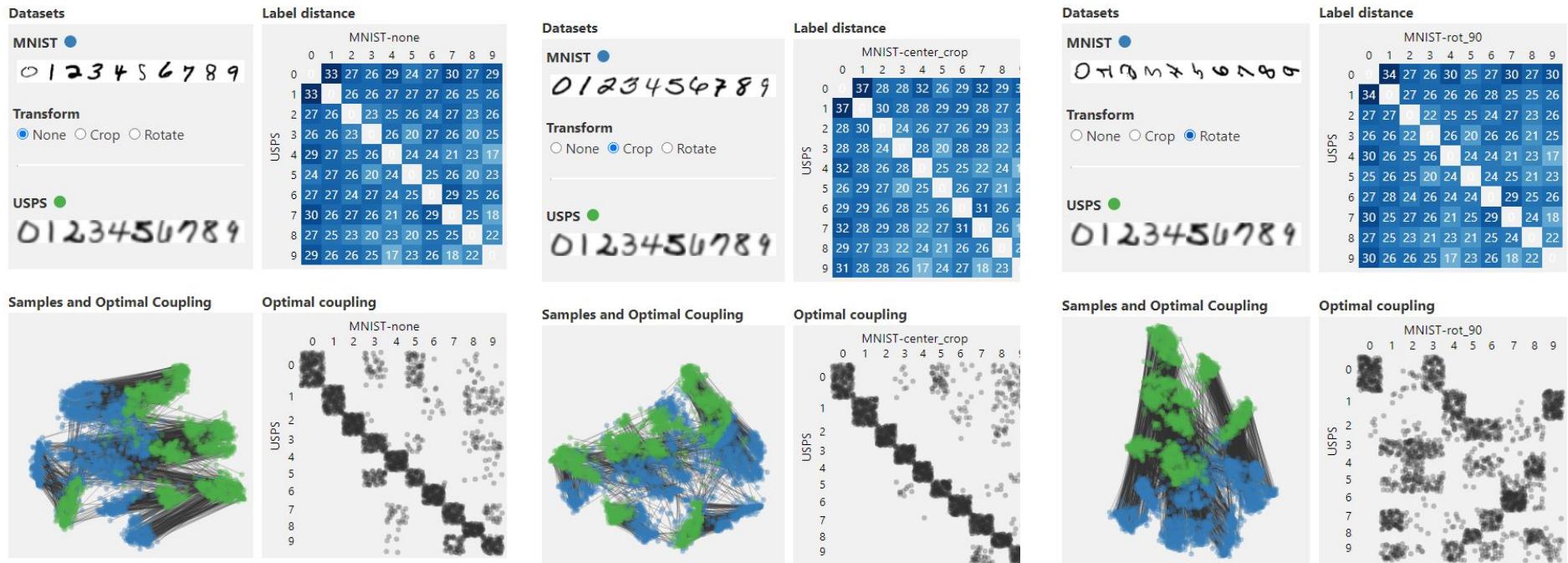
By [David Alvarez-Melis](#), Postdoctoral Researcher; [Nicolo Fusi](#), Senior Principal Research Manager



Research Area

[Artificial intelligence](#)

# OTDD-指导数据增强



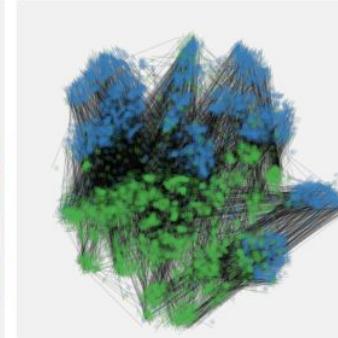
# OTDD-指导预训练

Distances

MNIST	MNIST	EMNIST	FashionMNIST	KMNIST	USPS
MNIST	1084	1752	1451	1278	
EMNIST	1084	1585	1352	1339	
FashionMNIST	1752	1585		1700	1110
KMNIST	1451	1352	1700		1318
USPS	1278	1339	1110	1318	

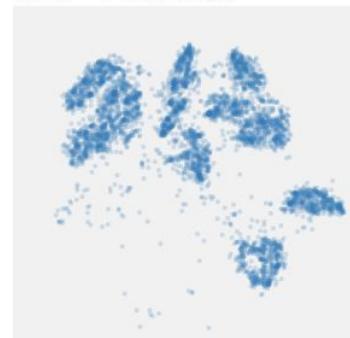
Coupling

Show projections

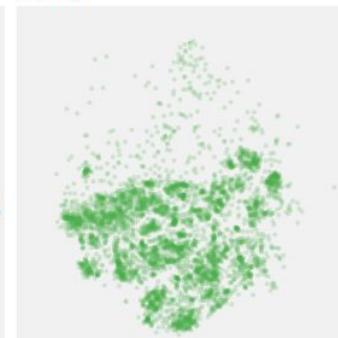


MNIST

Color classes

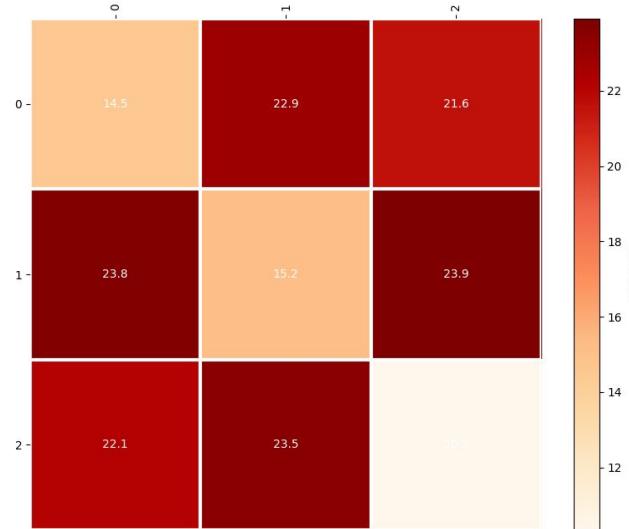


EMNIST



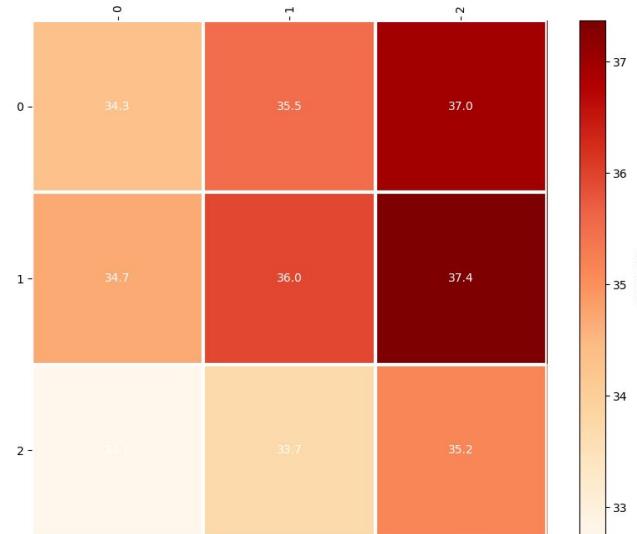
# OTDD

- 直方图均衡结果与原始图像类间特征距离：



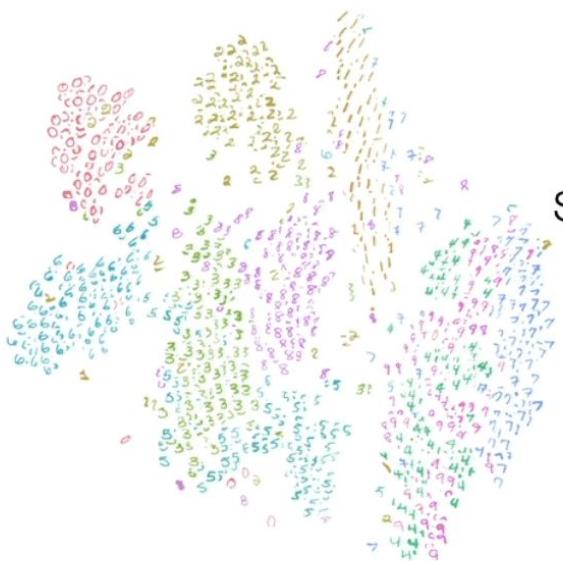
# OTDD

- 高对比度与低对比度图像类间特征距离：



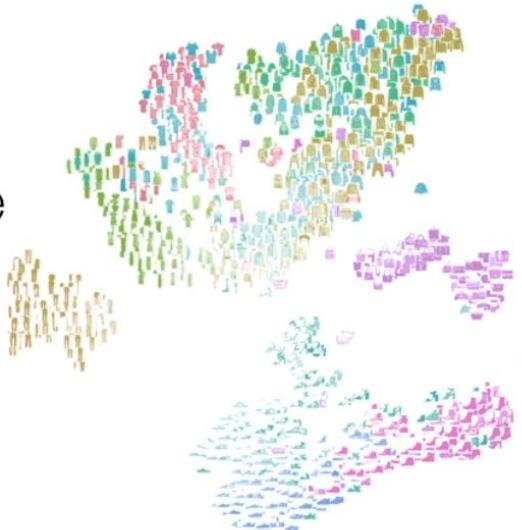
# OTDD

Dataset A: Digits



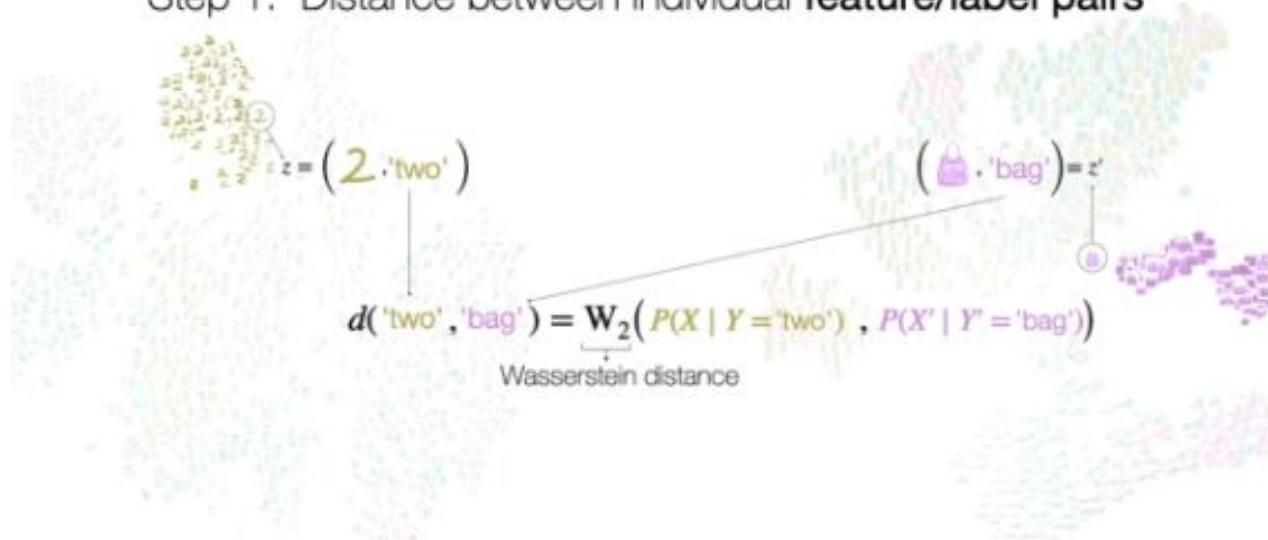
How  
similar are  
they?

Dataset B: Clothes



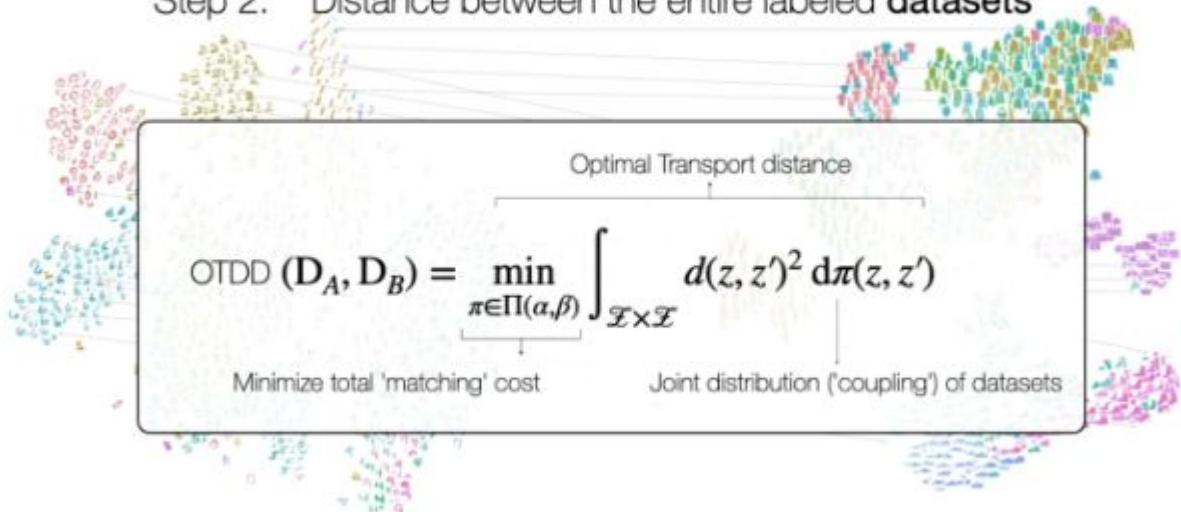
# OTDD

Step 1: Distance between individual **feature/label pairs**



# OTDD

Step 2: Distance between the entire labeled **datasets**



### 三、架构设计的变革

## 3.1 第一阶段的思路

# Ensemble 大法好

决策融合是数据融合的一种形式，它将多个分类器的决策结合成一个共同决策。  
(软投票、硬投票)

Slice-Level -> 一级分类器+二级分类器

Subject-Level : 端到端模型

Mangai U G, Samanta S, Das S, et al. A survey of decision fusion and feature fusion strategies for pattern classification[J]. IETE Technical review, 2010, 27(4): 293-307.

# 软投票 & 硬投票

软投票：以所有模型预测为某一类别的概率平均值作为标准投票。

硬投票：少数服从多数。

# 发现的问题

- 序列长度不同
  - 插值
    - 四种插值方式进行试验
    - 一二级分类器使用
  - 下采样
    - C3D(成本)
    - P3D
    - 各种端到端、视频理解
  - 长度不敏感结构
    - FCN
    - COVNet(maxpooling)
    - ConvLSTM

# 医学影像中的各向异性

一般来说，指的是CT、MR、PET这样的断层扫描数据中，x, y, z三个扫描方向的像素间距(pixel spacing)不一致。为了便于后续分析，如卷积等，一般在预处理阶段通过图像插值把像素间距调整为各向同性(isotropic)，也就是xyz三个方向的像素间距一致。这里存在两种方式，上采样或下采样。

所谓上采样，就是把像素间距统一向最小间距(最高分辨率)靠拢。比如把采样后的CT像素间距设定为与xy方向一致。上采样能够最大程度保留图像信息，但生成的数据量大，对后续处理计算造成压力。下采样则反之，就是把像素间距统一向最大间距(最低分辨率)靠拢，比如，把采样后的CT像素间距设定为与z方向一致。下采样会损失不少图像信息，但生成的数据量小。

Kurt B, Nabihev V V, Turhan K. Medical images enhancement by using anisotropic filter and clahe[C]//2012 International Symposium on Innovations in Intelligent Systems and Applications. IEEE, 2012: 1-4.

# 医学影像中的各向异性

**Preprocessing:** CT images were extracted from Digital Imaging and Communications in Medicine (DICOM) files. We then followed the following steps to preprocess the CT images. First, the lung region is extracted as the region of interest (ROI) using a U-net (1) based segmentation method. Afterward, the extracted lung ROI was resampled to the same spacing (1 mm) in the z-direction. To reduce the dimensionality of the CT scan, we further down sampled the lung ROI by 5 times in the z-direction and scaled it to  $S \times 224 \times 224$  pixels with  $S$  as the number of CT slices in the down sampled lung ROI. Then, the voxel intensity values were first clipped using a window/level of 1500HU/-500HU and were then normalized to the range of [0, 1]. To reduce the influence of vascular structure and boost the signals of the lesions, a maximum intensity projection (MIP) algorithm was also applied to each of the slices. Finally, the preprocessed image is then passed to the proposed COVID-19 detection neural network (COVNet) for the predictions.

Kurt B, Nabihev V V, Turhan K. Medical images enhancement by using anisotropic filter and clahe[C]//2012 International Symposium on Innovations in Intelligent Systems and Applications. IEEE, 2012: 1-4.

## 3.2 第二阶段的思路

# 发现的问题

- 类别不均衡
  - Focal Loss
    - 效果不佳
  - Smote+ENN
    - 不适合图像
    - 适合图表
  - Slice-level subject-level 分布不均衡
    - 伪标签
  - 数据利用不充分
    - k-fold

# 半监督学习 & 伪标签技术

## ·半监督学习

- 了解结合标记数据和未标记数据如何改变学习行为
- 标记数据稀缺或昂贵时, 使用现成的非标记数据来改进监督学习任务。

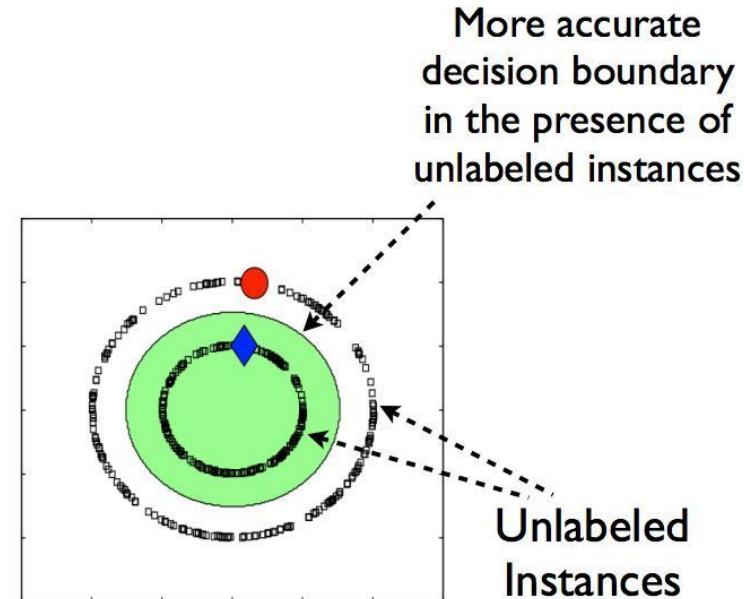
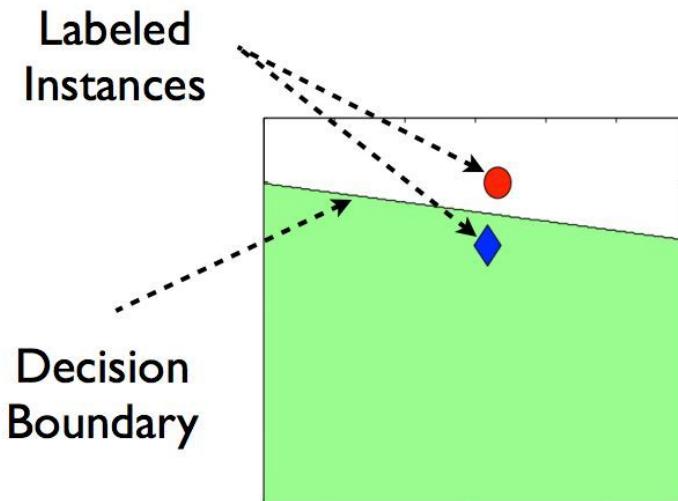
## ·伪标签技术

- 利用在已标注数据所训练的模型在未标注的数据上进行预测,

Zhu X, Goldberg A B. Introduction to semi-supervised learning[J]. Synthesis lectures on artificial intelligence and machine learning, 2009, 3(1): 1-130.

Lee D H. Pseudo-label: The simple and efficient semi-supervised learning method for deep neural networks[C]//Workshop on challenges in representation learning, ICML. 2013, 3(2).

# 伪标签技术



采用无标签数据后，两个类别的决策边界变得更加精确了。

# Focal Loss

- 给不同类别的样本加上权重。

$$\text{CE}(p_t) = -\alpha_t \log(p_t).$$

Focal loss:

$$\text{FL}(p_t) = -(1 - p_t)^\gamma \log(p_t).$$

---

其中  $\gamma$  称为focusing parameter,  $\gamma \geq 0$ 。

Lin T Y, Goyal P, Girshick R, et al. Focal loss for dense object detection[C]//Proceedings of the IEEE international conference on computer vision. 2017: 2980-2988.

# 注意力机制

神经注意力机制可以使得神经网络具备专注于其输入(或特征)子集的能力:选择特定的输入。

Zhao B, Wu X, Feng J, et al. Diversified visual attention networks for fine-grained object classification[J]. IEEE Transactions on Multimedia, 2017, 19(6): 1245-1256.

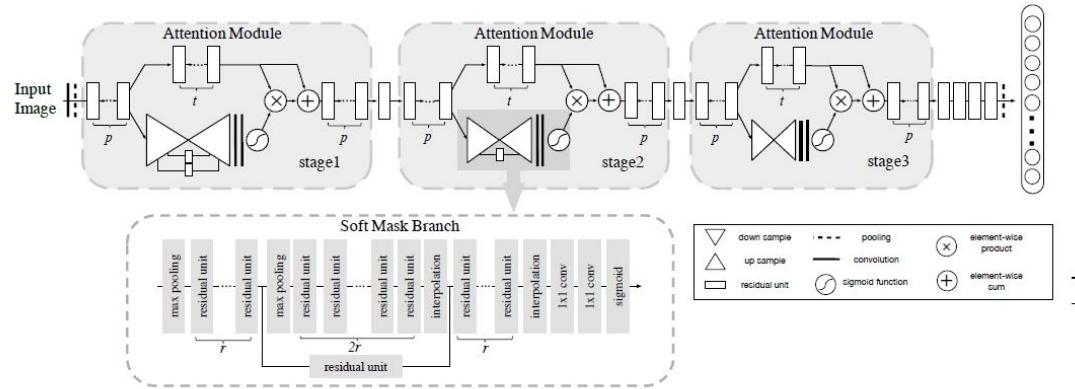
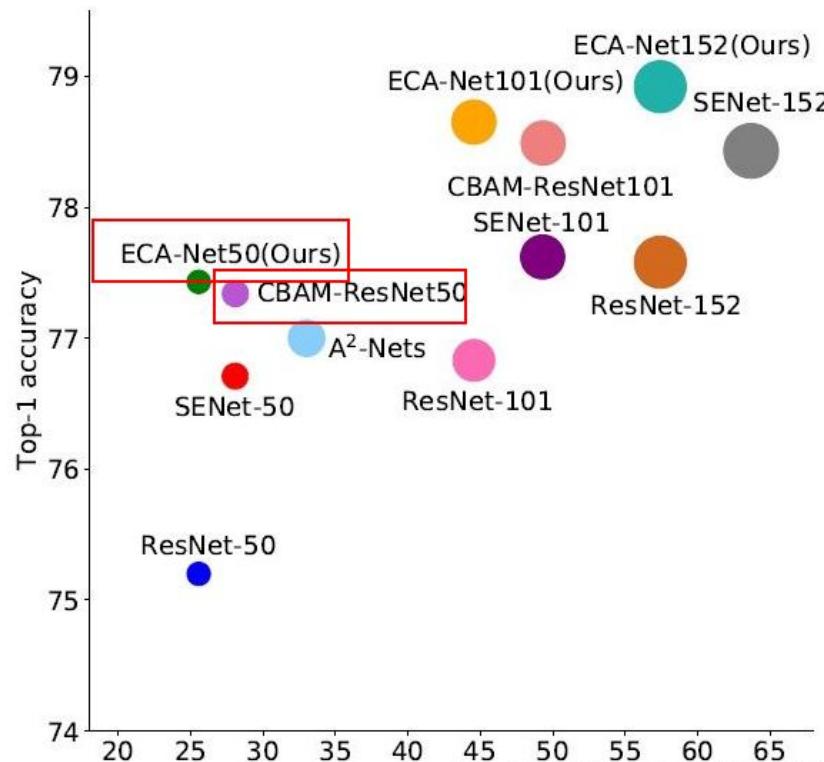


Figure 2: Example architecture of the proposed network for ImageNet. We use three hyper-parameters for the design of Attention Module:  $p$ ,  $t$  and  $r$ . The hyper-parameter  $p$  denotes the number of pre-processing Residual Units before splitting into trunk branch and mask branch.  $t$  denotes the number of Residual Units in trunk branch.  $r$  denotes the number of Residual Units between adjacent pooling layer in the mask branch. In our experiments, we use the following hyper-parameters setting:  $\{p = 1, t = 2, r = 1\}$ . The number of channels in the soft mask Residual Unit and corresponding trunk branches is the same.

<http://blog.csdn.net/Wayne2019>

# 注意力机制



### 3.3 第三阶段的思路

# 使用Gate

- 端到端中高低对比度效果差距极大
  - 低对比度效果极差

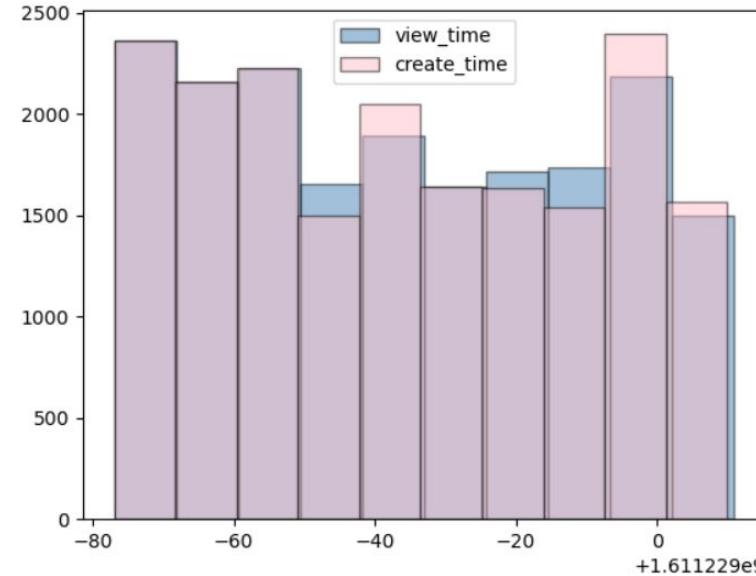
# 发现数据本身的思路

- 使用Gate:
  - 将数据按照高对比度和低对比度分成两类，分别输入到两个模型进行训练
  - 两个模型各自训练，预测时根据对比度分两个模型预测
- 

Gate选择高低对比度

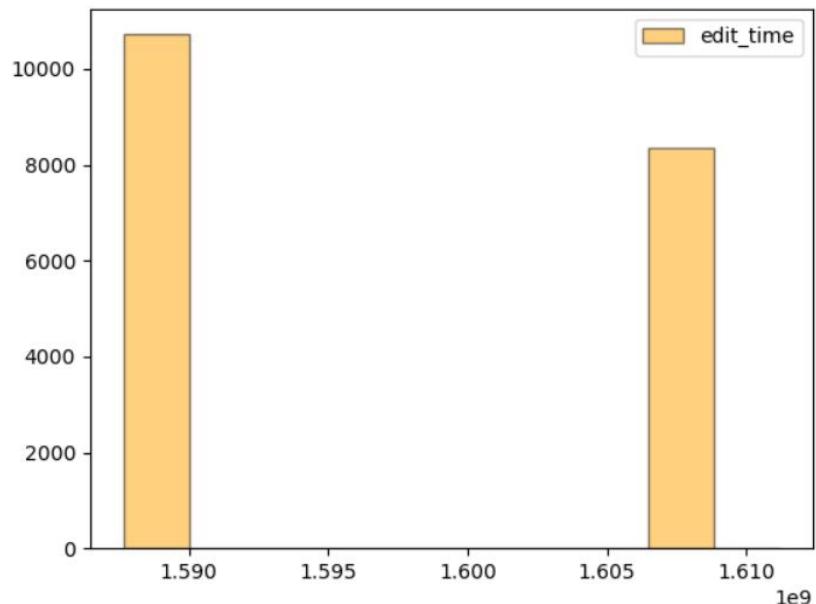
- 高对比度 端到端模型(COVNet+CSRNet作为backbone)
- 低对比度 一二级(一级(SelfTrans)+二级(SVM+Xgboost+软投票))

# 发现数据本身的分布

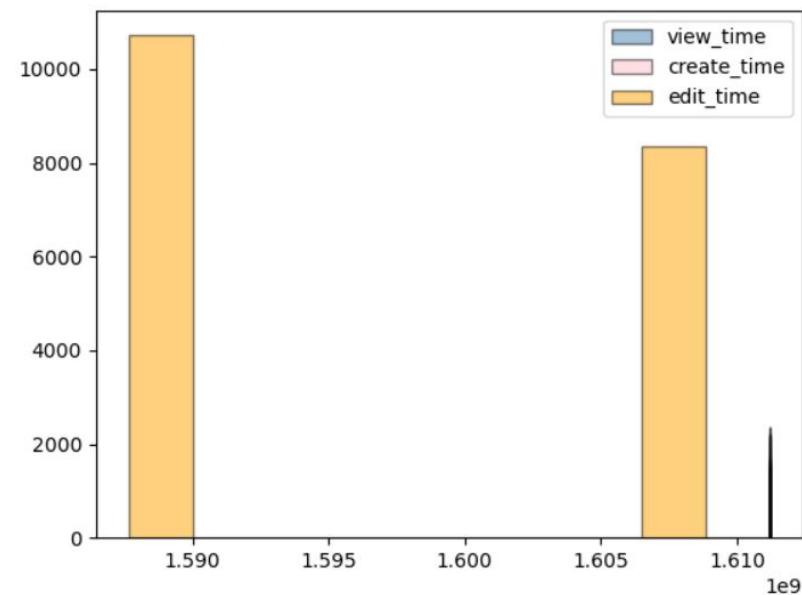


访问时间, 创建时间

# 发现数据本身的分布



修改时间



访问时间 创建时间 编辑时间

# 发现数据本身的分布



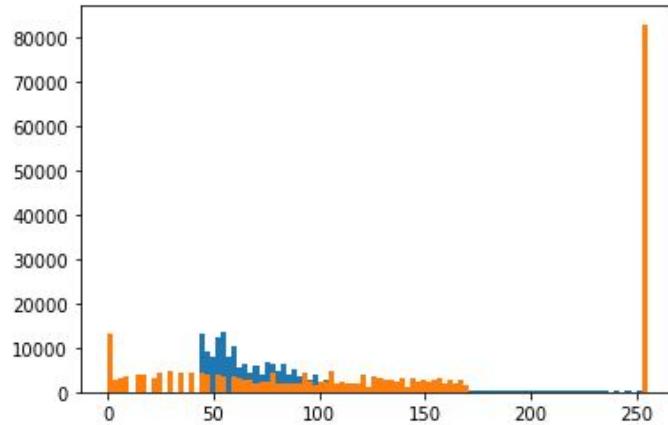
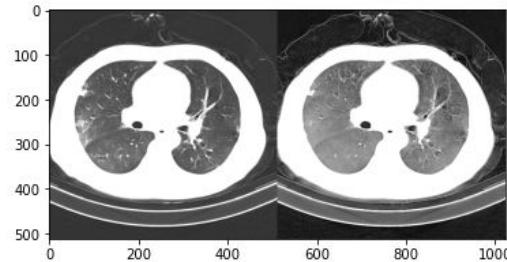
Low contrast



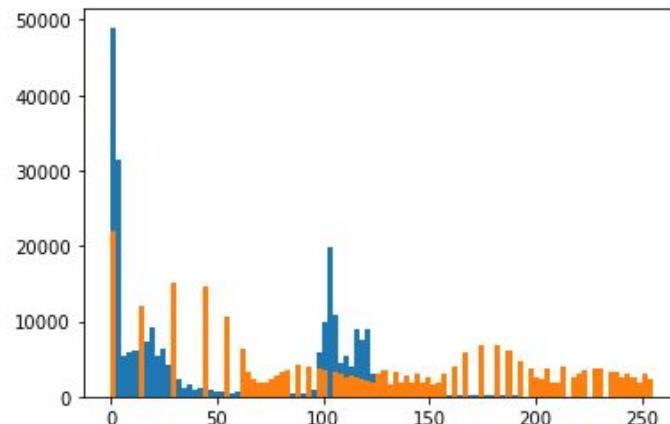
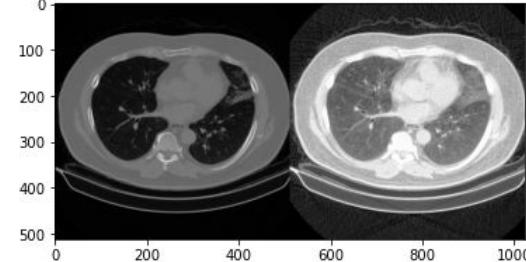
High contrast

# 使用Gate

高对比度



低对比度



# 使用Gate-具体实现

```
def graygate(I,threshold):
    I=np.array(I.convert('L'))
    I = I.reshape(-1)
    p_max=np.max(I)
    i=0
    for p in I:
        if p==p_max:
            i+=1
    return int(i>threshold)
```

# 网络结构

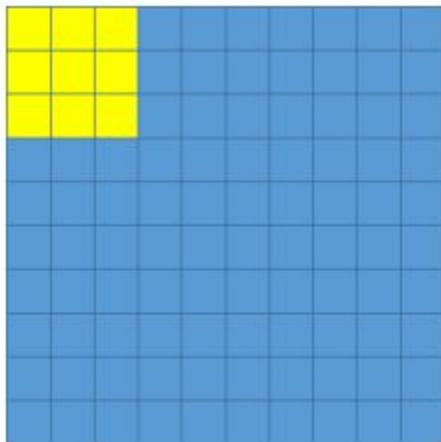
## 一级分类

- 01\_pure\_resnet
- 02\_densenet\_selftrans
- 03\_COVNet
- 03\_senet
- 04\_resnet\_plus

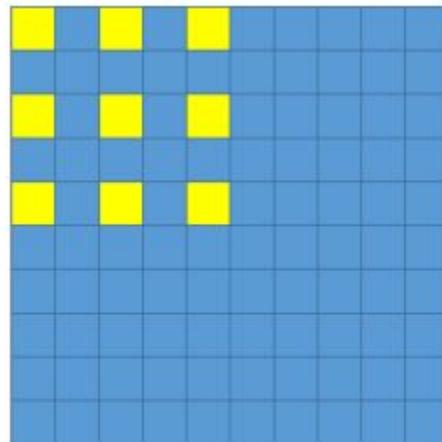
## 端到端

- 01\_COVNet-50
- 02\_COVNet-18
- 03\_COVNet-34
- 04\_COVNet-50-dropout-only
- 05\_COVNet-FCN
- 06\_COVNet-CSR
- 06\_COVNet-CSR\_inf
- 07\_COVNet-50-CBAM
- 08\_COVNet-50-ECA
- 09\_COVNet-CSR-Gate
- 10\_COVNet-CSR-Focal
- 11\_COVNet-CSR-Focal-CBAM

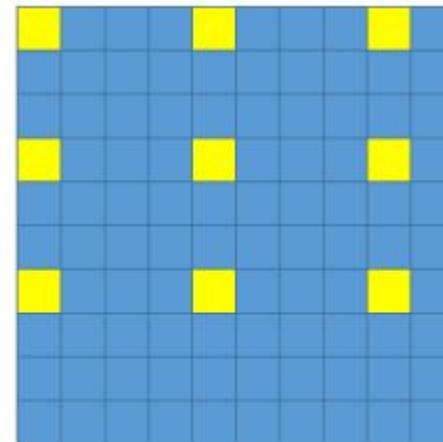
# CSRnet



Kernel size:  $3 \times 3$   
Dilation rate: **1**



Kernel size:  $3 \times 3$   
Dilation rate: **2**

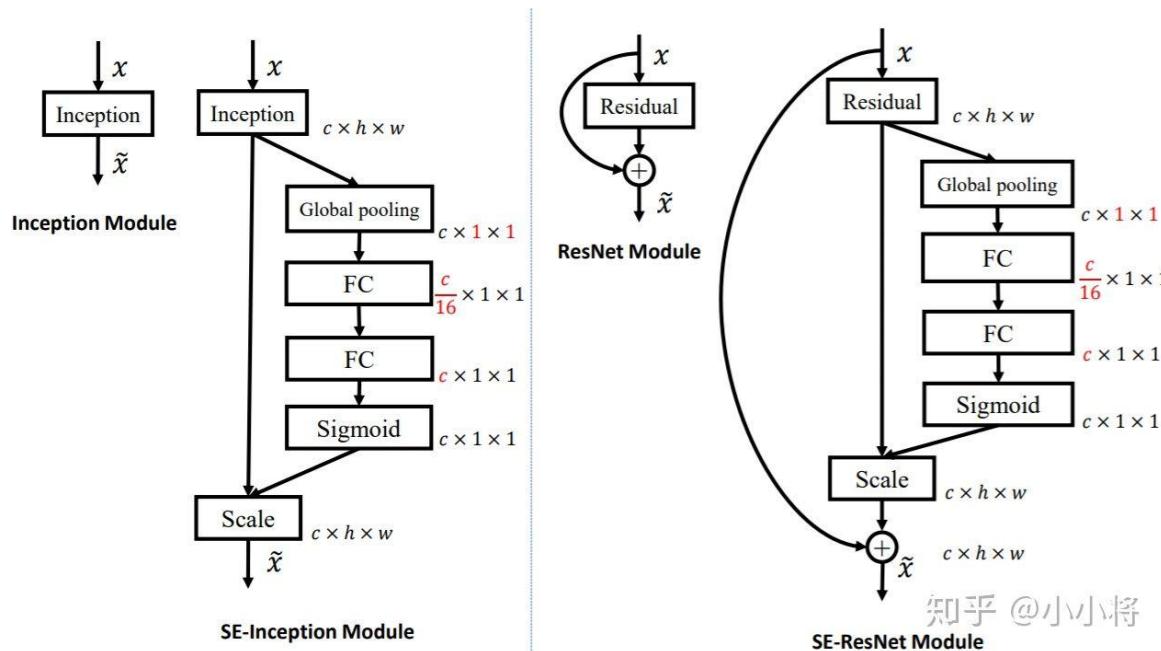


Kernel size:  $3 \times 3$   
Dilation rate: **3**

# CSRnet

Configurations of CSRNet			
A	B	C	D
input(unfixed-resolution color image)			
front-end (fine-tuned from VGG-16)			
conv3-64-1			
conv3-64-1			
max-pooling			
conv3-128-1			
conv3-128-1			
max-pooling			
conv3-256-1			
conv3-256-1			
conv3-256-1			
max-pooling			
conv3-512-1			
conv3-512-1			
conv3-512-1			
back-end (four different configurations)			
conv3-512-1	conv3-512-2	conv3-512-2	conv3-512-4
conv3-512-1	conv3-512-2	conv3-512-2	conv3-512-4
conv3-512-1	conv3-512-2	conv3-512-2	conv3-512-4
conv3-256-1	conv3-256-2	conv3-256-4	conv3-256-4
conv3-128-1	conv3-128-2	conv3-128-4	conv3-128-4
conv3-64-1	conv3-64-2	conv3-64-4	conv3-64-4
conv1-1-1			

# Senet:Resnet加入注意力机制



# Densenet

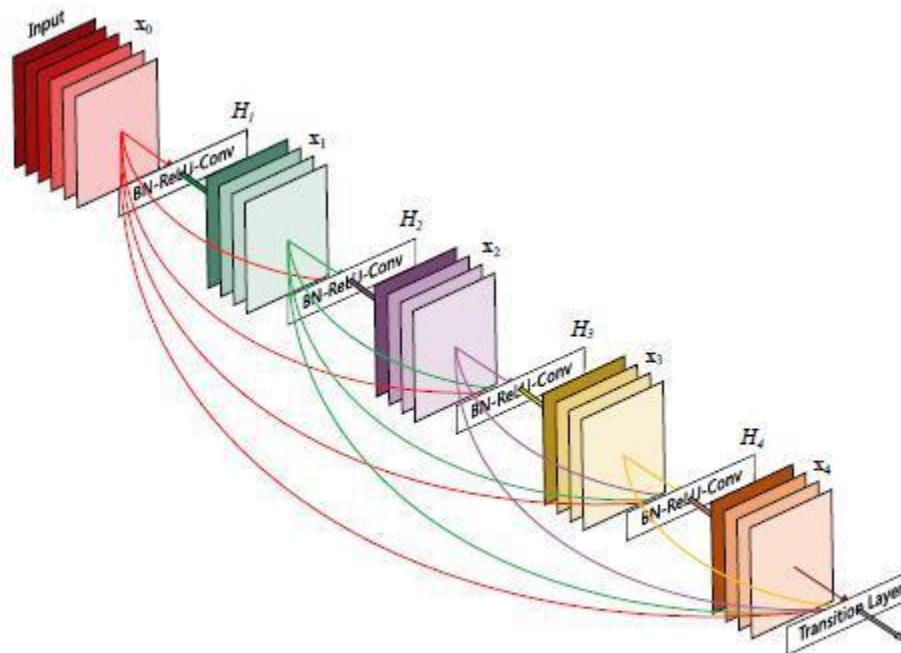
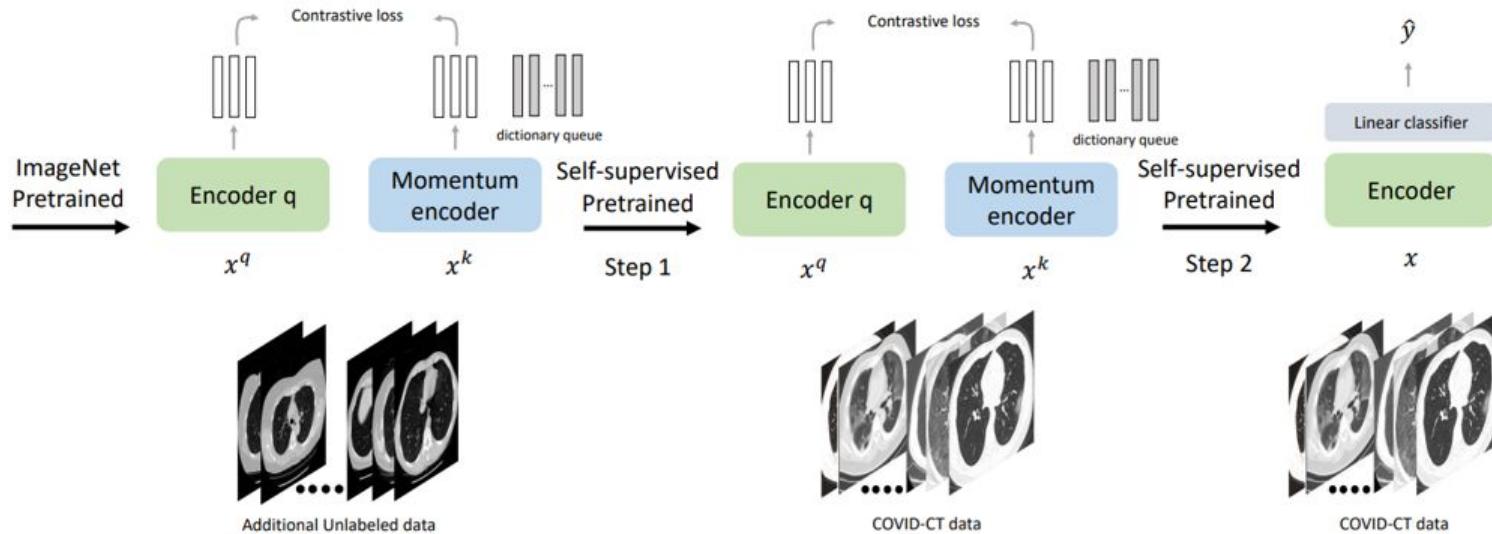


Figure 1. A 5-layer dense block with a growth rate of  $k = 4$ . Each layer takes all preceding feature-maps as input.

# **Self-Trans(对比自监督学习)**

- 存在问题:
  - 数据集规模小
  - 存在过拟合风险
- 原理:
  - 预训练:利用数据丰富的源任务来帮助学习数据不足的目标任务
  - 自监督学习:减少模型对源图像及其类标签的偏差, 提高对目标数据的泛化效果

# Self-Trans(对比自监督学习)



# **Self-Trans(对比自监督学习)**

- **步骤:**
  - 在ImageNet数据集上进行预训练
  - 在肺结节恶性度(LNM)数据集上进行自监督学习
  - 在目标数据集上进行自监督学习
  - 微调网络, 通过目标数据集进行有监督学习
- **自监督学习:**
  - 目的: 使用不带标签的数据, 学习输入数据的有意义的表示
  - 辅助任务: 判断通过随机数据增强生成的两幅图像是否是同一幅原始图像的增强
- 网络:DenseNet-169

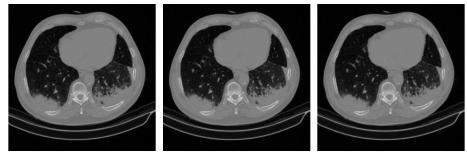
## 二级分类器

- SVM+XGBoost
- Grid Search

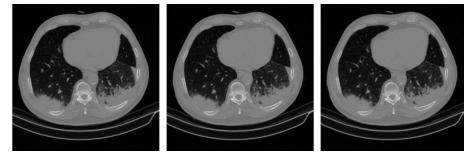
	C = 0.001	C = 0.01	...	C = 10
gamma=0.001	SVC(C=0.001, gamma=0.001)	SVC(C=0.01, gamma=0.001)	...	SVC(C=10, gamma=0.001)
gamma=0.01	SVC(C=0.001, gamma=0.01)	SVC(C=0.01, gamma=0.01)	...	SVC(C=10, gamma=0.01)
...	...	...	...	...
gamma=100	SVC(C=0.001, gamma=100)	SVC(C=0.01, gamma=100)	...	SVC(C=10, gamma=100)

# 四、神经网络可解释性

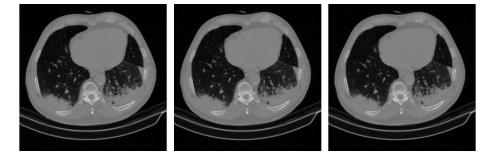
# 中间层特征值



CSR\_GATE\_HIGHmodel\_best



CSR\_HE\_Intepmodel\_best



CSR\_HIGH\_Focalmodel\_best

# 中间层特征值

该模型的中间层特征值分布，显示了模型在不同特征维度上的权重。特征值分布较为均匀，表明模型在所有特征上都有一定的关注。特征值的绝对值范围从 -0.05 到 0.05，且大部分特征值在 0.01 附近。

CSR\_GATE\_HIGHmodel\_best

该模型的中间层特征值分布，显示了模型在不同特征维度上的权重。特征值分布较为均匀，表明模型在所有特征上都有一定的关注。特征值的绝对值范围从 -0.05 到 0.05，且大部分特征值在 0.01 附近。

CSR\_HE\_Intepmodel\_best

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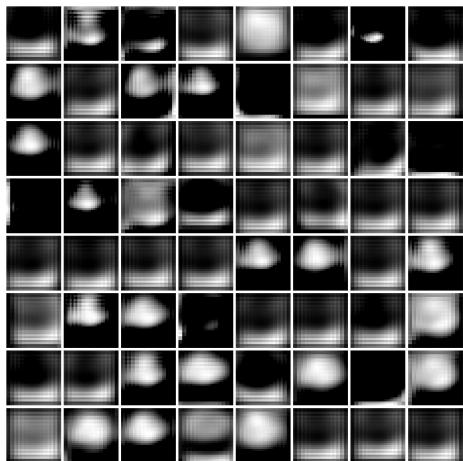
CSR\_HIGH\_Focalmodel\_best

# 中间层特征值

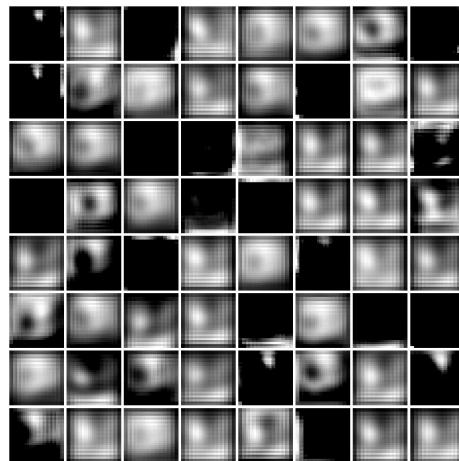


CSR\_GATE\_HIGHmodel\_best

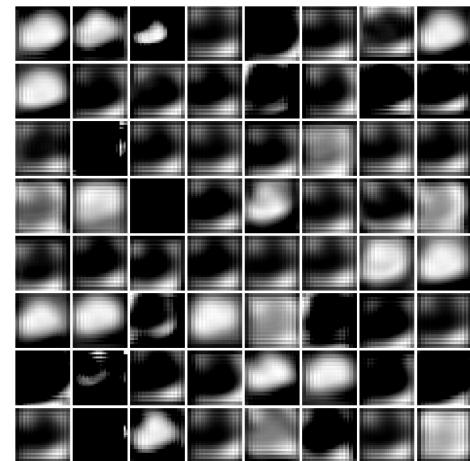
# 中间层特征值



CSR\_GATE\_HIGHmodel\_best

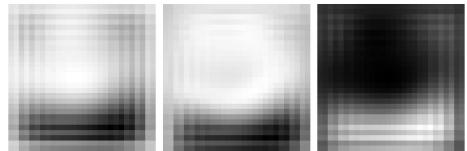


CSR\_HE\_Intepmodel\_best

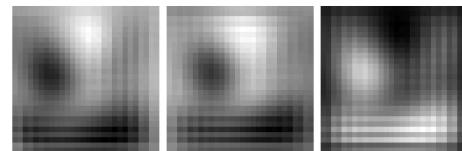


CSR\_HIGH\_Focalmodel\_best

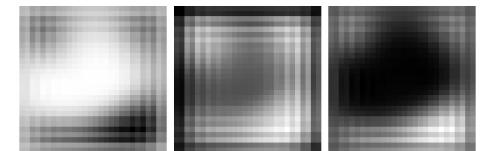
# 中间层特征值



CSR\_GATE\_HIGHmodel\_best



CSR\_HE\_Intepmodel\_best



CSR\_HIGH\_Focalmodel\_best

# 训练集上结果

test done	precision	recall	f1-score	support
Normal	0.92	1.00	0.96	12
CAP	1.00	0.92	0.96	13
COVID-19	1.00	1.00	1.00	20
accuracy			0.98	45
macro avg	0.97	0.97	0.97	45
weighted avg	0.98	0.98	0.98	45

Low Contrast

Test Report	precision	recall	f1-score	support
0	0.94	1.00	0.97	16
1	0.94	0.94	0.94	16
2	0.90	0.82	0.86	11
accuracy			0.93	43
macro avg	0.93	0.92	0.92	43
weighted avg	0.93	0.93	0.93	43

High Contrast

# 工具链

## Pytorch Sklearn

数据挖掘与分析的简单而有效的工具。大家都懂orz

## WandB

wandb是Weights & Biases的缩写，能够帮助跟踪机器学习项目

4项核心功能：

- 看板：跟踪训练过程，给出可视化结果
- 报告：保存和共享训练过程中一些细节、有价值的信息
- 调优：使用超参数调优来优化你训练的模型
- 工具：数据集和模型版本化

感谢聆听！

祝大家身体健康,万事如意!

寒假快乐!