

“Gerrymandering in the Laboratory”

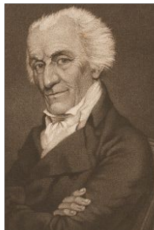
SunAh An Buddy Anderson Cary Deck

The University of Alabama

EC698 November 15, 2021

What is gerrymandering?

- ▶ The manipulation of the boundaries of electoral constituencies to favor one election outcome over another
- ▶ Etymology began in 1812 when Massachusetts Governor Elbridge Gerry, signed a bill redrawing state senate districts

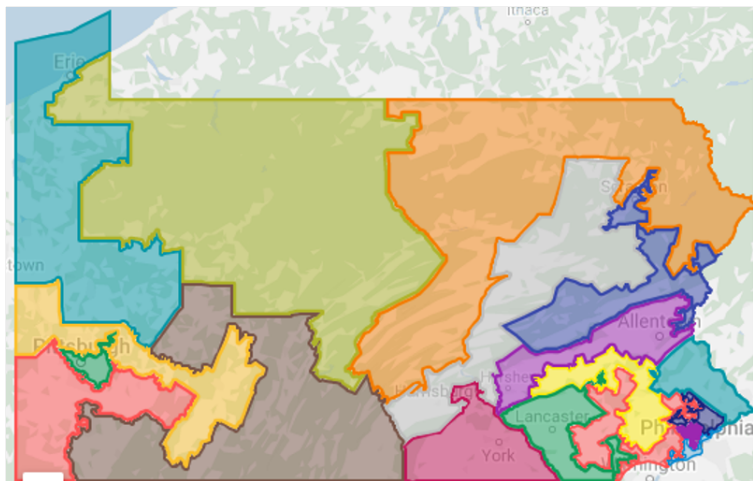


Elbridge Gerry



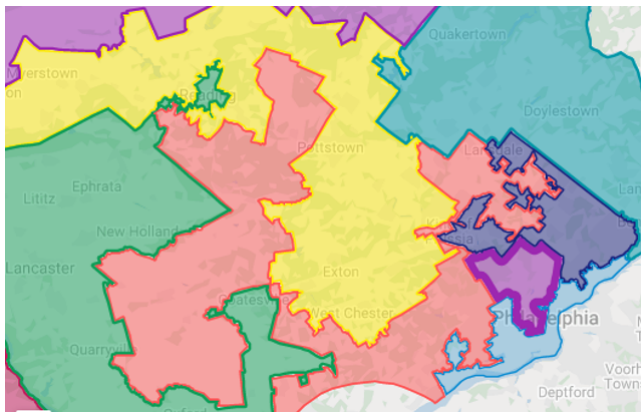
Gerry's Salamander

Examples of Gerrymandering



Pennsylvania's 18 Congressional Districts

Examples of Gerrymandering



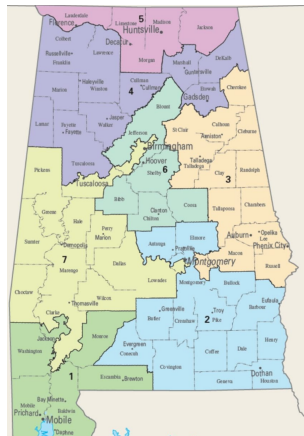
Pennsylvania's 7th: Goofy kicking Donald

Alabama Congressional Districts

AL is 25% African-American



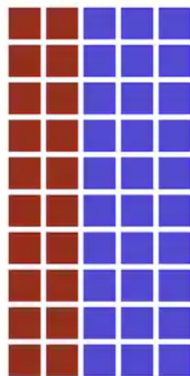
AL Representatives



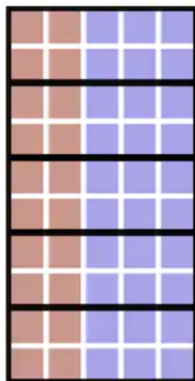
AL Districts

Cracking and Packing

- ▶ Cracking refers to spreading supports across districts.
- ▶ Packing refers to concentrating the rival's supporters into a few districts.



**60% blue,
40% red**



**5 blue districts,
0 red districts**



**2 blue districts,
3 red districts**

Literature Review

- ▶ Optimal Gerrymandering
 - ▶ Two types of voters (Owen and Grofman 1988)
 - ▶ Continuum of perfectly observable types (Gilligan and Matsusaka 1999)
 - ▶ Imperfectly observable types (Friedman and Holden 2008; Gul and Pseendorfer 2010; Kolotilin and Wolitzky 2020)
- ▶ Implications of Gerrymandering
 - ▶ Majority minority districts (Cameron, Epstein and O'Halloran 1996; Grigg and Katz 2005)
 - ▶ Participation (Hayes and McKee 2009)
 - ▶ Policy choice (Shots 20002; Besley and Preston 2007)
 - ▶ Polarization (McCarty, Pool, and Rosenthal 2009)

Our Contest Map

- ▶ A Map consists of 3 Districts that each contain 3 Zones
- ▶ Players A and B compete for a prize of V by choosing effort expenditures, or bids, for each District
- ▶ To win a District a player must win a majority of the Zones in that District
- ▶ To win the contest a player must win the majority of the Districts
- ▶ Zones are either predetermined or competitive
- ▶ Competitions at the Zone level are determined by Tullock Contests based on District expenditure

Our Contest Map

A	A	
A		B
	B	B

- ▶ There are 3 predetermined Zones for each player
- ▶ Districts are referenced by their color: White, Light Gray, and Dark Gray
- ▶ Maps are either (Gerry)mandered or (Symm)etric

A	A	
A		B
	B	B

Gerry_B

A	A	
A		B
	B	B

Symm_{1,1}

A	A	
A		B
	B	B

Symm_{1,3}

A	A	
A		B
	B	B

Symm_{3,1}

A	A	
A		B
	B	B

Gerry_A

Theoretical Predictions

- ▶ Player i 's expected payoff is $E\pi_i = \rho_i V - \sum_d e_{i,d|M}$ for
 - ▶ the probability ρ_i that player i wins the Map and
 - ▶ the expenditure $e_{i,d|M}$ of player i in district d on the given Map M

A	A	
A		B
	B	B

$Symm_{1,1}$

- ▶ Player A wins District L
 $\Rightarrow e_{A,L}^* = e_{B,L}^* = 0$
- ▶ Player B wins District G
 $\Rightarrow e_{A,G}^* = e_{B,G}^* = 0$
- ▶ The winner of District W wins the contest
- ▶ Standard Tullock Contest for lone, unclaimed Zone in White District
 - ▶ $e_{A,W}^* = e_{B,W}^* = \frac{V}{4}$
 - ▶ Both players have 50% chance of winning

Theoretical Predictions

Table: Summary of theoretic results

Map	District	$e_{A M}^*$	$e_{B M}^*$	ρ_A	ρ_B
$Symm_{1,1}$	W	$\frac{1}{4}V$	$\frac{1}{4}V$	$\frac{1}{2}$	$\frac{1}{2}$
$Symm_{1,3}$	W	$\frac{3}{8}V$	$\frac{3}{8}V$	$\frac{1}{2}$	$\frac{1}{2}$
$Symm_{3,1}$	W, L, and G	$\frac{1}{8}V$	$\frac{1}{8}V$	$\frac{1}{2}$	$\frac{1}{2}$
$Gerry_A$	W	$\frac{1}{4}V$	$\frac{1}{4}V$	$\frac{3}{4}$	$\frac{1}{4}$
$Gerry_B$	W	$\frac{1}{4}V$	$\frac{1}{4}V$	$\frac{1}{4}$	$\frac{3}{4}$

Experimental Design

- ▶ Map varied within subject
- ▶ 8 sessions (8 subjects per session)
- ▶ Sessions progress in 3 Stages

Stage 1: 10 periods selecting only effort bids

Stage 2: 3 periods selecting preferred map and effort bids

Stage 3: 1 period selecting preferred map prior to role and effort bids assignment

- ▶ One period selected at random for payment
- ▶ Prize value $V = 80$
- ▶ Exchange rate of 4 \$Lab = 1 \$US
- ▶ Programmed in Ztree (Fischbacher 2007)
- ▶ Conducted at TIDE Lab with \$5 participation payment

Experimental Design

<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B
A	A																																																
A		B																																															
	B	B																																															
A	A																																																
A		B																																															
	B	B																																															
A	A																																																
A		B																																															
	B	B																																															
A	A																																																
A		B																																															
	B	B																																															
A	A																																																
A		B																																															
	B	B																																															
<div>Effort in Dark Grey District <input type="text"/></div> <div>Effort in Light Grey District <input type="text"/></div> <div>Effort in White District <input type="text"/></div>	<div>Effort in Dark Grey District <input type="text"/></div> <div>Effort in Light Grey District <input type="text"/></div> <div>Effort in White District <input type="text"/></div>	<div>Effort in Dark Grey District <input type="text"/></div> <div>Effort in Light Grey District <input type="text"/></div> <div>Effort in White District <input type="text"/></div>	<div>Effort in Dark Grey District <input type="text"/></div> <div>Effort in Light Grey District <input type="text"/></div> <div>Effort in White District <input type="text"/></div>	<div>Effort in Dark Grey District <input type="text"/></div> <div>Effort in Light Grey District <input type="text"/></div> <div>Effort in White District <input type="text"/></div>																																													

Figure: Effort selection for each Map

Experimental Design

<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B	<table><tr><td>A</td><td>A</td><td></td></tr><tr><td>A</td><td></td><td>B</td></tr><tr><td></td><td>B</td><td>B</td></tr></table>	A	A		A		B		B	B
A	A																																																										
A		B																																																									
	B	B																																																									
A	A																																																										
A		B																																																									
	B	B																																																									
A	A																																																										
A		B																																																									
	B	B																																																									
A	A																																																										
A		B																																																									
	B	B																																																									
A	A																																																										
A		B																																																									
	B	B																																																									
A	A																																																										
A		B																																																									
	B	B																																																									
<p>Your Team Map 1</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Your Team Map 2</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Your Team Map 3</p> <p>Dark Grey 5</p> <p>Effort in Light Grey 5</p> <p>Effort in White 5</p> <p>Total 15</p>	<p>Your Team Map 4</p> <p>Dark Grey 5</p> <p>Effort in Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Your Team Map 5</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>																																																							
<p>Opponent Map 1</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Opponent Map 2</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Opponent Map 3</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Opponent Map 4</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>	<p>Opponent Map 5</p> <p>Dark Grey 5</p> <p>Light Grey 5</p> <p>White 5</p> <p>Total 15</p>																																																							
<p>Probability You Win Each District</p> <p>Dark Grey 1.00</p> <p>Light Grey 0.00</p> <p>White 0.75</p>	<p>Probability You Win Each District</p> <p>Dark Grey 1.00</p> <p>Light Grey 0.00</p> <p>White 0.50</p>	<p>Probability You Win Each District</p> <p>Dark Grey 1.00</p> <p>Light Grey 0.00</p> <p>White 0.50</p>	<p>Probability You Win Each District</p> <p>Dark Grey 0.50</p> <p>Light Grey 0.50</p> <p>White 0.00</p>	<p>Probability You Win Each District</p> <p>Dark Grey 1.00</p> <p>Light Grey 0.00</p> <p>White 0.25</p>																																																							
<p>Probability You Win the Region 0.75</p>	<p>Probability You Win the Region 0.50</p>	<p>Probability You Win the Region 0.50</p>	<p>Probability You Win the Region 0.50</p>	<p>Probability You Win the Region 0.25</p>																																																							
<p>You Lost</p>	<p>You Won!</p>	<p>You Lost</p>	<p>You Lost</p>	<p>You Lost</p>																																																							
<p>Your payoff -15</p>	<p>Your payoff 65</p>	<p>Your payoff -15</p>	<p>Your payoff -15</p>	<p>Your payoff -15</p>																																																							
<p>Next Round</p>																																																											

Figure: Information provided at the end of each period

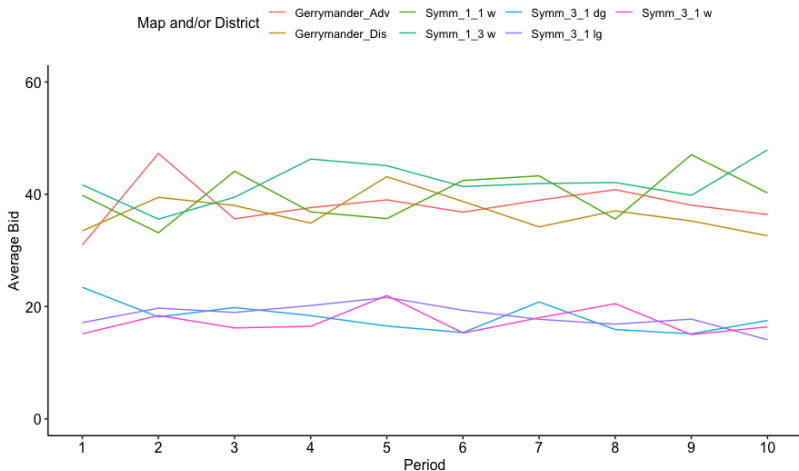
Bidding Behavior Relative to Theory

Table: Equilibrium vs Observed Bids

Map	District	Equilibrium	Observed
$Symm_{1,1}$	W	20	40.2
$Symm_{1,3}$	W	30	43.4
$Symm_{3,1}$	W, L, and G	10	17.6
Gerry Advantaged	W	20	39.2
Gerry Disadvantaged	W	20	36.3

Players A and B do not exhibit different bidding behavior We analyze the gerrymandered maps in the context of advantages and disadvantages

Treatment of Map



Average bid in competitive districts over Stage 1

Treatment of Map

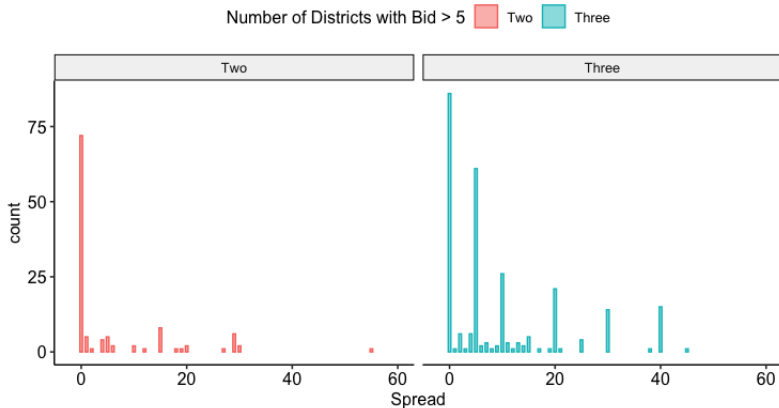
Table: Effect of Map Configuration on Total Bid in Stage 1

	Effort
Adv	-1.470 (1.207)
Disadv	-3.656*** (1.207)
Symm_1_3	1.417 (1.207)
Symm_3_1	7.189*** (1.207)
Constant	47.400*** (0.853)
Observations	3,200
R ²	0.028
Residual Std. Error	21.588 (df = 3195)

Note: *p<0.1; **p<0.05; ***p<0.01

Explaining *Symmetric*_{3,1}

Number of Districts	Bids
0	9.2%
1	5.2%
2	24.3%
3	61.3%



Thoughts on Gerrymandering?

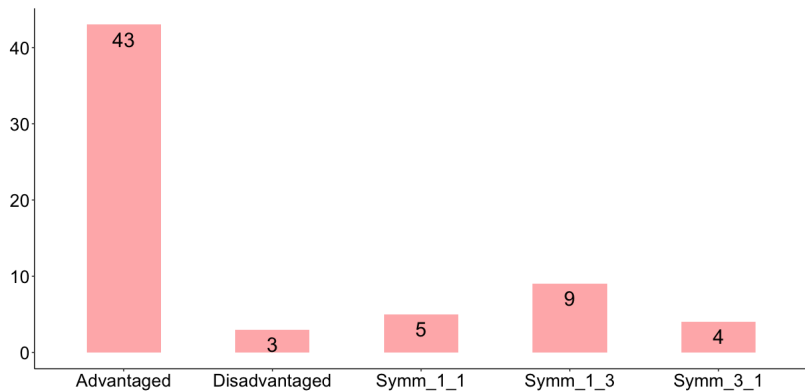
Subjects were asked:

Do you support gerrymandering (the manipulation of the boundaries of electoral constituencies to favor one election outcome over another)?

Responses:

- ▶ Yes: 3
- ▶ No: 61

Stage 2: Map Selection



Politics and Gerrymandering

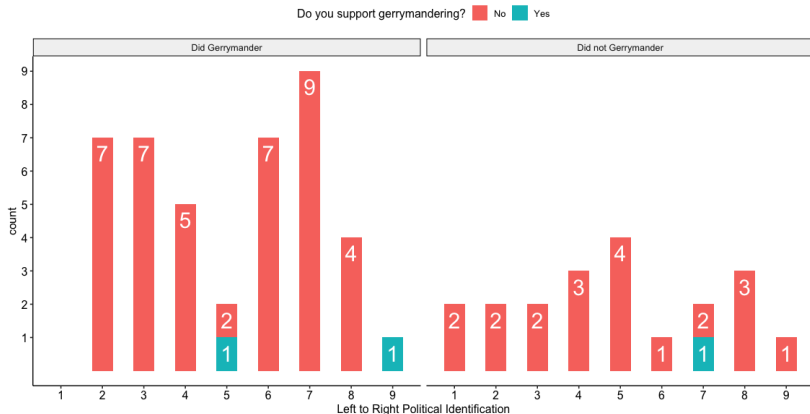
Subjects were also asked:

On a scale of 1 to 9, how would you describe your political views with 1 being extremely liberal (i.e. to the left of the Democratic Party), 5 being centrist (i.e. falling between the Democratic Party and the Republican Party), and 9 being extremely conservative (i.e. to the right of the Republican party).

Responses:

1	2	3	4	5	6	7	8	9
2	9	9	8	7	8	12	7	2

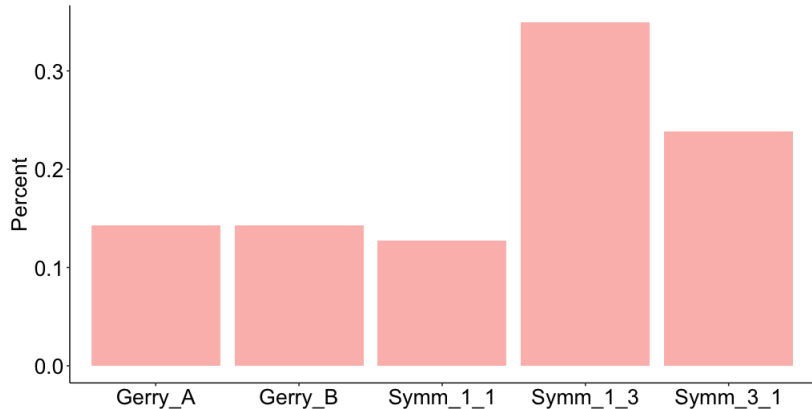
Politics and Gerrymandering



χ^2 test rejects gerrymandering depends on political views
(p-value = 0.3106)

Stage 3: Map Selection

People tend to pick symmetric maps when they do not know their role, **but not the socially optimal map.**



Summary

- ▶ Subjects bid in an intuitive manner
 - ▶ (largely) ignore non-competitive districts
 - ▶ (over)bid on competitive districts on average
 - ▶ evidence in $Symmetric_{3,1}$ of minimal winning coalitions
 - ▶ gerrymandering leads disadvantaged people to be discouraged on average
- ▶ View on gerrymandering
 - ▶ report not liking gerrymandering, regardless of political persuasion
 - ▶ prefer to compete on (inefficient) “fair” maps when they cannot be self-serving
 - ▶ overwhelmingly engage in gerrymandering when they can