

# DEFRA 37 Pollutant Random Forest Model Report

## Overview

This report summarises the Random Forest modelling results for DEFRA's 37 pollutant dataset. The expanded dataset tests whether the methodology validated on 6 regulatory pollutants generalises to VOCs with different chemical behaviours.

**Dataset:** 95 site-pollutant combinations across 37 pollutant types

**Training samples:** 17,036

**Features:** 1,188 (12 timesteps × 99 features)

## Pollutant Categories

Category	Pollutants	Sites
Regulatory	NO2, PM2.5, PM10, O3, SO2, CO	40
Nitrogen	NO, NOx	26
Aromatic VOC	Benzene, Toluene, Xylenes, TMBs	8
Alkane	Ethane, Propane, Butanes, Pentanes, Hexanes	11
Alkene	Ethene, Propene, Butenes, Isoprene, Butadiene	9
Other VOC	Ethyne	1

## Training Results

### Model counts:

- Total trained: 95
- Valid models: 92
- Broken models: 3 (Tower Hamlets Roadside station failure)

**Performance filtering based on Gilik, A., Ogrenci, A.S. and Ozmen, A. (2021) ‘Air quality prediction using CNN+LSTM-based hybrid deep learning architecture’:**

- Useful models ( $r^2 > 0.50$ ): 66
- Excluded models ( $r^2 \leq 0.50$ ): 26

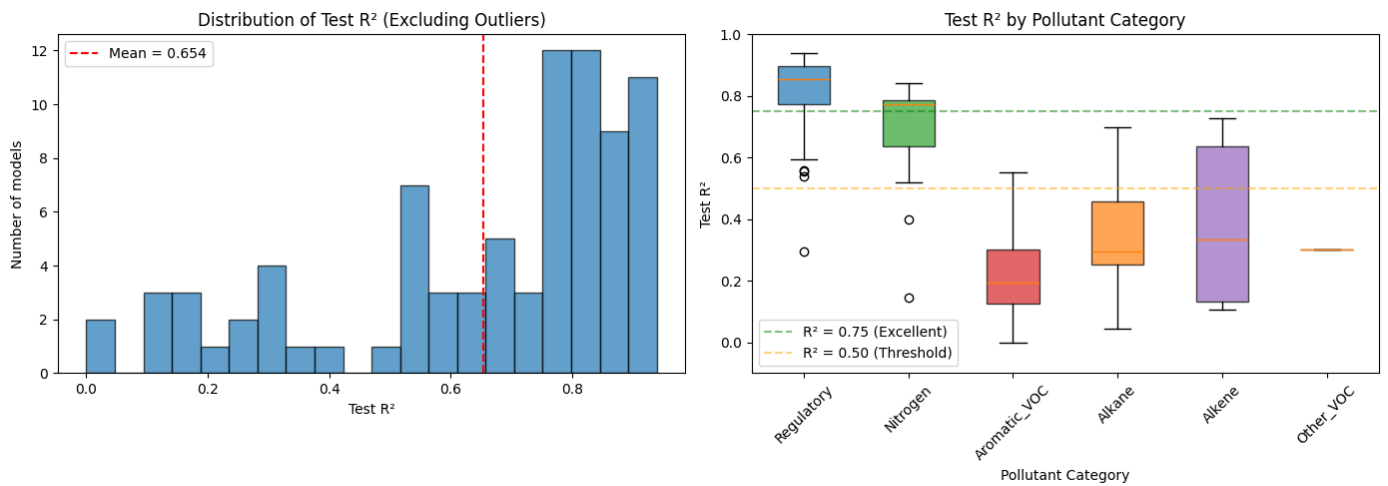
## Performance Categorisation

Performance thresholds derived from benchmarks:

Gilik et al. Results	r <sup>2</sup> Range	This Study Threshold
Best (PM10, O3)	0.88–0.92	Excellent ≥ 0.75
Typical (NOx, NO2)	0.55–0.74	Good ≥ 0.65
Lower (SO2)	0.46–0.62	Moderate > 0.50
Below range	< 0.46	Excluded ≤ 0.50

Results by category:

Performance	r <sup>2</sup> Range	Count	Categories Present
Excellent	≥ 0.75	44	Regulatory, Nitrogen
Good	0.65–0.75	8	Regulatory, Nitrogen, Alkane, Alkene
Moderate	0.50–0.65	14	All except Other_VOC
Excluded	≤ 0.50	26	Predominantly VOCs

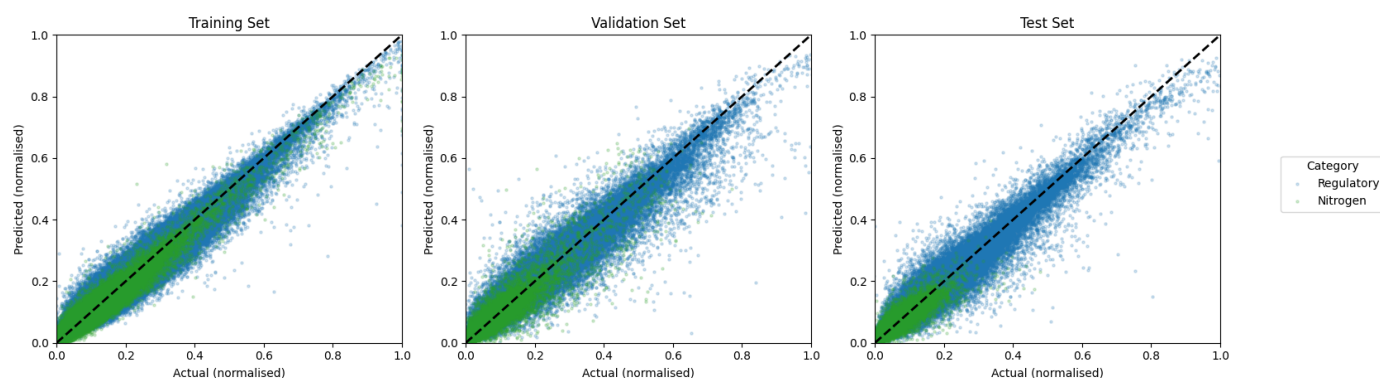


## Findings by Performance Category

### Excellent Models (r<sup>2</sup> ≥ 0.75) 44 models

Only the Regulatory and Nitrogen categories achieve excellent performance. Tight clustering around the diagonal indicates accurate predictions across the full value range. Minimal train-to-test degradation confirms good generalisation.

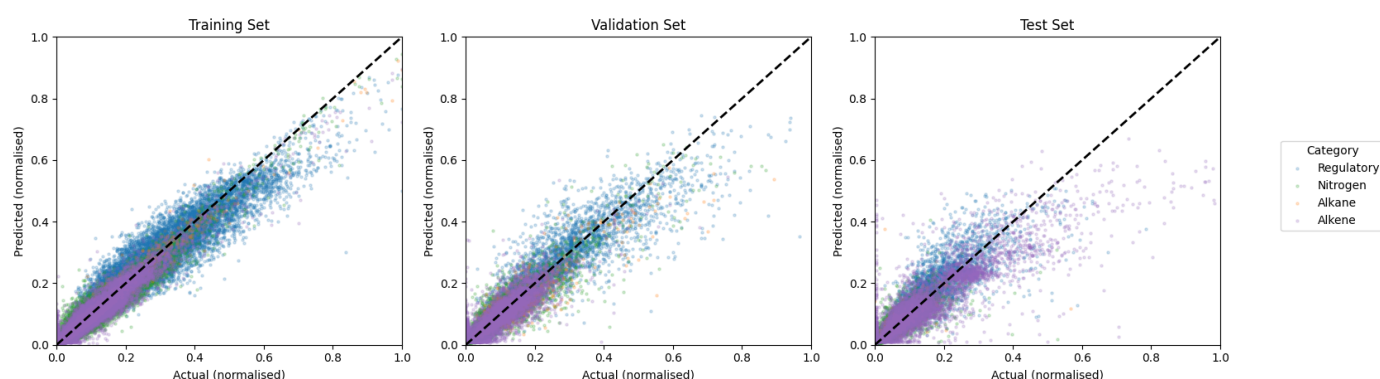
Excellent Models -  $r^2 \geq 0.75$  (n = 44)



## Good Models ( $0.65 \leq r^2 < 0.75$ ) 8 models

Four categories: Regulatory, Nitrogen, Alkane, Alkene. Wider scatter than excellent models, particularly at mid-range values. Includes biogenic Isoprene and stable Ethane.

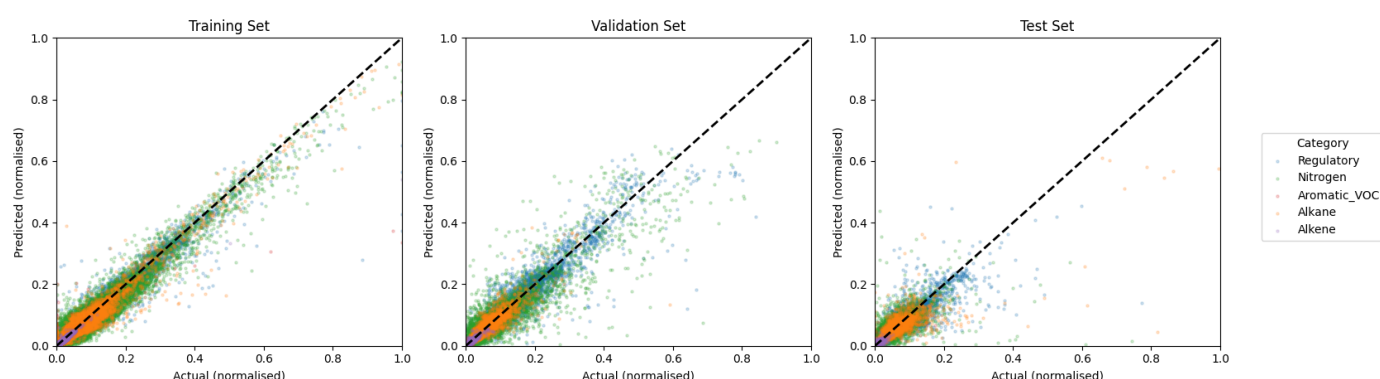
Good Models -  $0.65 \leq r^2 < 0.75$  (n = 8)



## Moderate Models ( $0.50 < r^2 < 0.65$ ) 14 models

Five categories present with diverse compound types. Clear horizontal banding for some VOCs indicates mean-reversion predictions when true patterns can't be learned.

Moderate Models -  $0.50 < r^2 < 0.65$  (n = 14)



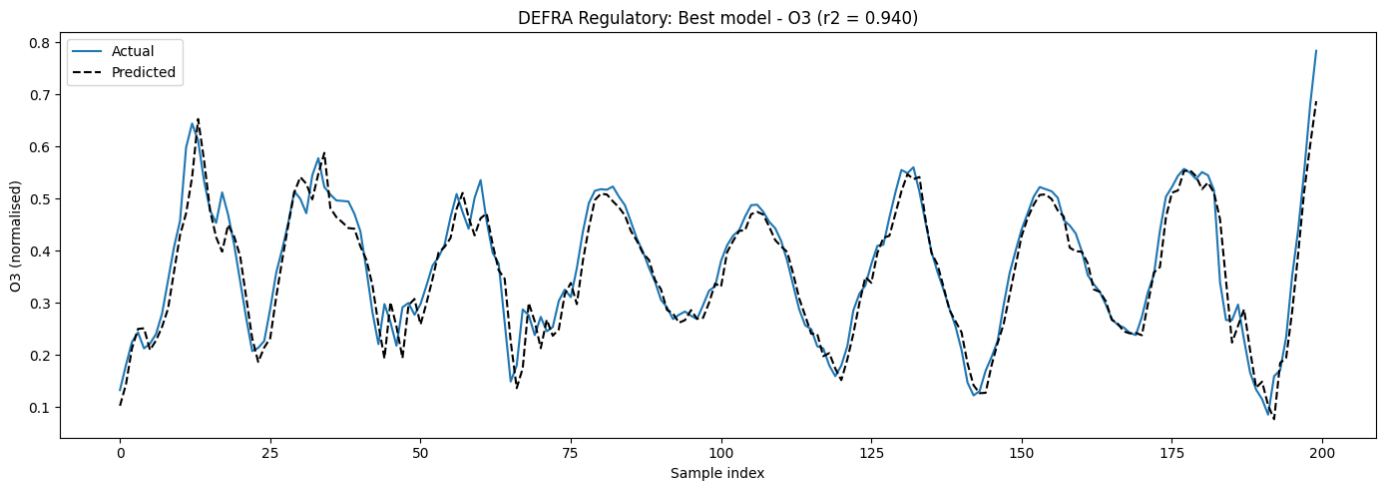
## Best Model by Category

Category	Best Model	Pollutant	r <sup>2</sup>	Performance
Regulatory	London_Haringey_Priory_Park_South_O3	O3	0.940	Excellent
Nitrogen	London_N_Kensington_NO	NO	0.843	Excellent
Alkene	London_Marylebone_Road_Isoprene	Isoprene	0.726	Good
Alkane	London_Marylebone_Road_Ethane	Ethane	0.699	Good
Aromatic_VOC	London_Marylebone_Road_1_2_4_TMB	TMB	0.550	Moderate
Other_VOC	London_Marylebone_Road_Ethyne	Ethyne	0.302	Excluded

## Time Series Analysis

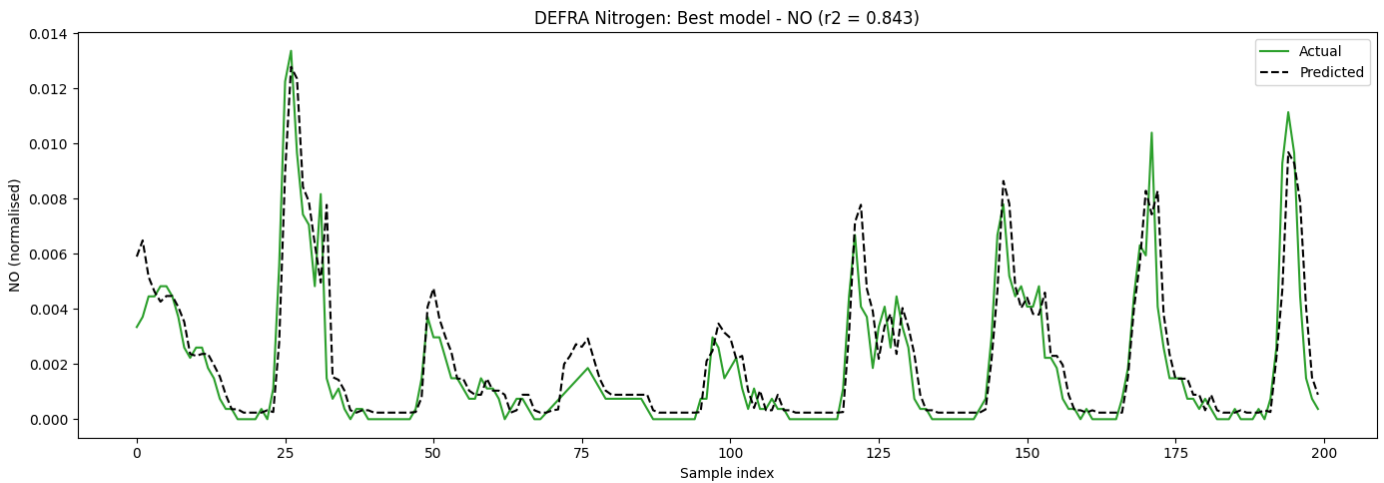
### Regulatory — O3 (r<sup>2</sup> = 0.940)

Clear daily cycling with 24 hour periodicity. Predictions track actual values with minimal lag, like a shadow. Peak. Gladly photochemical formation provides highly predictable patterns.



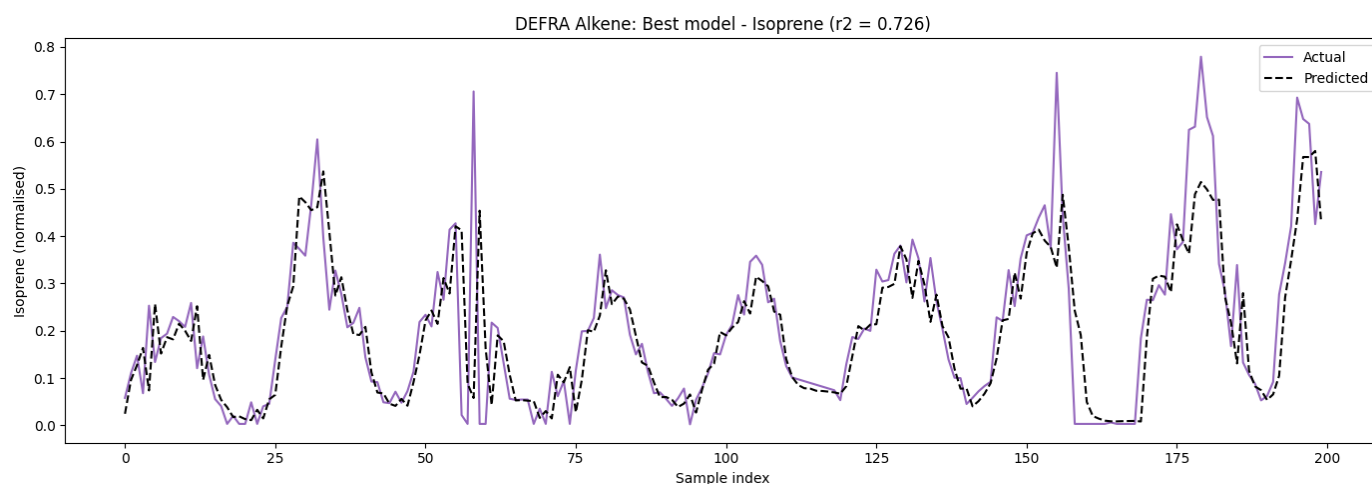
### Nitrogen — NO (r<sup>2</sup> = 0.843)

Spiky pattern with sharp peaks reflecting traffic emissions. Low baseline with episodic spikes. Model captures spike timing but slightly underestimates peak magnitude.



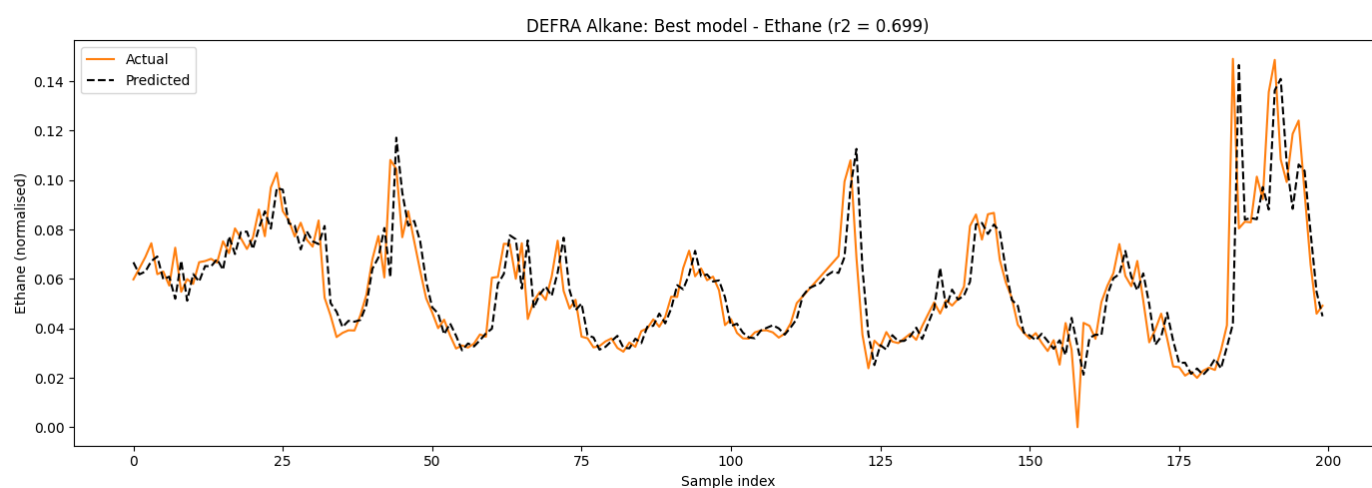
### Alkene — Isoprene (r<sup>2</sup> = 0.726)

Strong diurnal pattern driven by temperature and sunlight. Biogenic emissions create predictable daytime peaks. More variable than regulatory pollutants due to vegetation response.



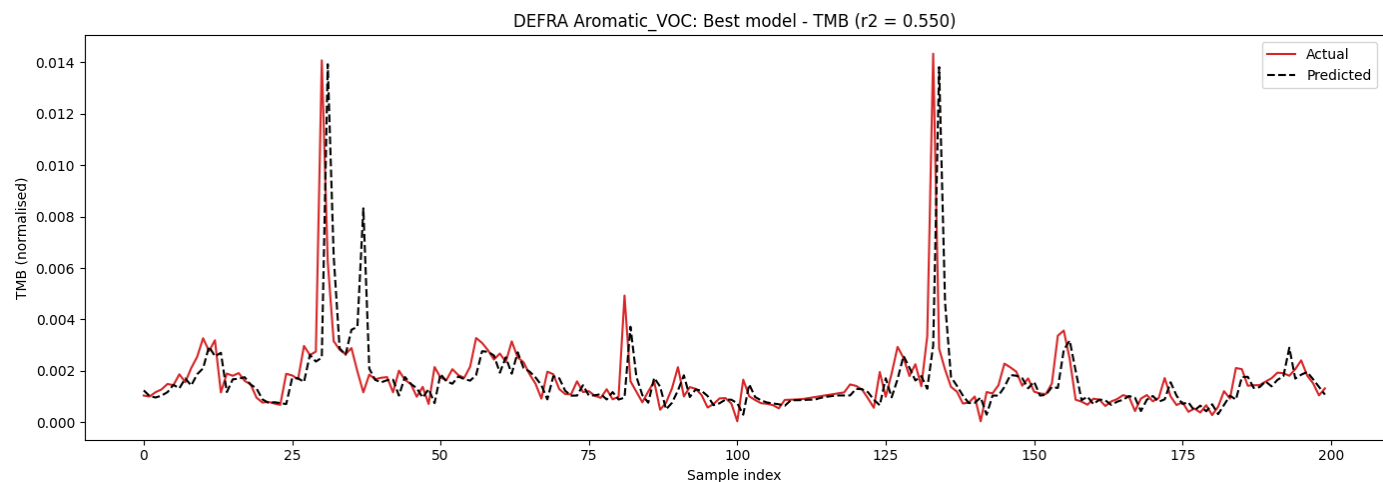
## Alkane — Ethane ( $r^2 = 0.699$ )

Moderate variability with less noticeable cycling. Natural gas leakage provides a relatively stable baseline. Single-station limitation reduces spatial representativeness.



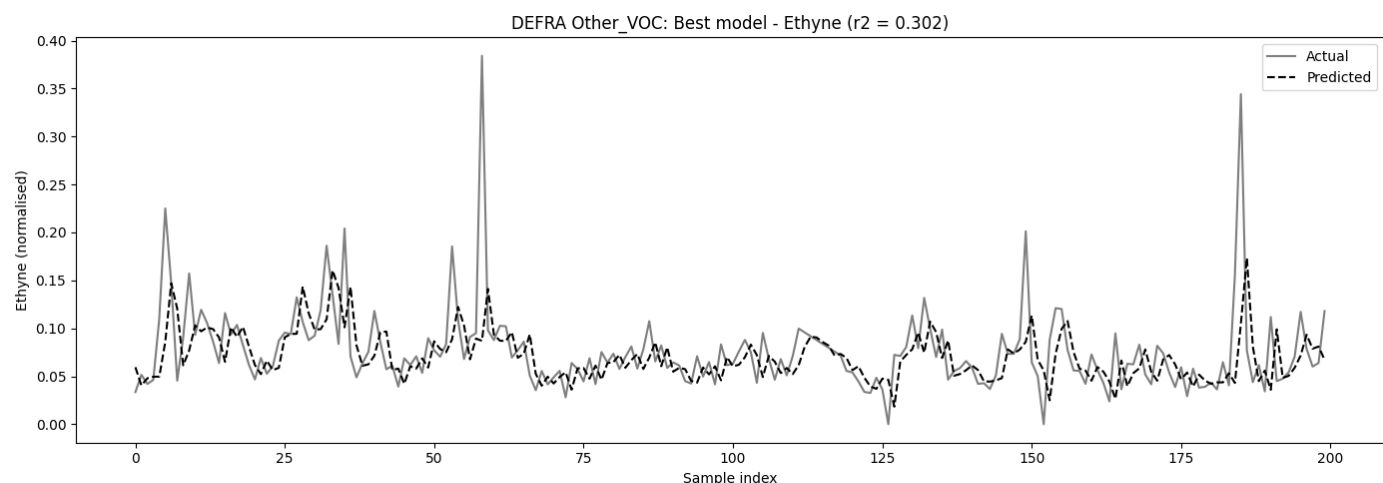
## Aromatic\_VOC — TMB ( $r^2 = 0.550$ )

Highly episodic with extreme spikes above a low baseline. The model captures major events but misses smaller variations. Traffic and solvent sources create unpredictable patterns.



## Other\_VOC — Ethyne ( $r^2 = 0.302$ )

Poor model performance, excluded from useful models. The combustion source is highly variable and localised. Single-station data insufficient for reliable prediction.



## DEFRA vs LAQN Comparison (Regulatory Pollutants)

Pollutant	DEFRA Best Model	DEFRA $r^2$	LAQN Best Model	LAQN $r^2$	Better
O3	London_Haringey_Priory_Park_South_O3	0.940	HG4_O3	0.939	Similar
PM25	Borehamwood_Meadow_Park_PM25	0.920	HP1_PM25	0.899	DEFRA
CO	London_N_Kensington_CO	0.916	KC1_CO	0.823	DEFRA
SO2	London_Bloomsbury_SO2	0.906	BG1_SO2	0.915	Similar
NO2	Haringey_Roadside_NO2	0.865	TH2_NO2	0.878	LAQN
PM10	Borehamwood_Meadow_Park_PM10	0.861	CW3_PM10	0.854	Similar

DEFRA outperforms LAQN for CO and PM25. LAQN slightly better for NO2 due to denser station network. DEFRA's higher data quality (91.2% completeness) provides advantage for most pollutants.

# Comparison with Gilik, A., Ogrenci, A.S. and Ozmen, A. (2021)

Metric	This Study (DEFRA)	Gilik, A., Ogrenci, A.S. and Ozmen, A. (2021)
Excellent models ( $r^2 \geq 0.75$ )	44 (48%)	~30% of results
Mean $r^2$ (useful models)	0.769	0.66
Best single model	O3 ( $r^2 = 0.940$ )	PM10 ( $r^2 = 0.92$ )
Regulatory performance	Excellent	Good to Excellent

DEFRA results exceed Gilik, A., Ogrenci, A.S. and Ozmen, A. (2021) benchmarks for regulatory pollutants, validating the Random Forest methodology.

## Key Findings

- Category determines performance ceiling.** Regulatory pollutants achieve excellent results ( $r^2 \geq 0.75$ ) while VOCs from single stations rarely exceed moderate ( $r^2 < 0.65$ ).
- Regulatory pollutants perform consistently.** Mean  $r^2 = 0.788$  matches the 6 pollutant baseline. Adding VOC features does not degrade regulatory predictions.
- VOC limitation is data-driven, not methodological.** All VOC measurements come from Marylebone Road single station. Without spatial neighbours, models cannot learn from surrounding stations.
- Biogenic VOCs outperform traffic VOCs.** Isoprene ( $r^2 = 0.726$ ) follows predictable temperature/light patterns. Traffic-related aromatics and alkenes show poor predictability due to episodic sources.
- Overfitting detected but manageable.** Mean train-validation gap of 0.21 indicates some memorisation. Regulatory pollutants show smallest gaps, VOCs show largest.

## Conclusions

Random Forest effectively predicts regulatory pollutants and nitrogen species using 12-hour temporal features. VOC prediction requires expanded monitoring networks beyond single-station coverage.

The performance hierarchy reflects fundamental differences in pollutant behaviour:

- Regulatory pollutants: Strong temporal patterns, multiple stations, well-understood chemistry
- Nitrogen species: Traffic-related diurnal cycles despite rapid atmospheric reactions
- Biogenic VOCs: Temperature/light-driven emissions follow predictable patterns
- Traffic VOCs: Highly localised, episodic emissions resist prediction from temporal features alone

DEFRA's superior data quality (91.2% completeness) translates to better performance for most regulatory pollutants compared to LAQN.

# References:

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Gilik, A., Ogrenci, A.S. and Ozmen, A. (2021) 'Air quality prediction using CNN+LSTM-based hybrid deep learning architecture', *Environmental Science and Pollution Research*, 29(8), pp. 11920–11938.  
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