

# Deep Convolutional Ranking for Multilabel Image Annotation

Image annotation/tagging

## Model

### Compare Some Multilabel Ranking Loss functions

- **n** means number of images
- **c** means number of tags

#### 1. Softmax

The loss has been used for multilabel annotation or a single image classification.

$$P_{ij} = \frac{\exp(f_j(x_i))}{\sum_{k=1}^c \exp(f_k(x_i))}$$

**Cost function is:**

$$J = -\frac{1}{m} \sum_{i=1}^n \sum_{j=1}^c \bar{p}_{ij} \log(p_{ij}) = -\frac{1}{m} \sum_{i=1}^n \sum_{j=1}^{c_+} \frac{1}{c_+ \log(p_{ij})}$$

Where  $c_+$  denotes the number of positive labels for each image.

#### 2. Pairwise Ranking

**Thoughts: rank the positive labels to have higher scores than negative labels, which led to the following minimization problem:**

$$J = \sum_{i=1}^n \sum_{j=1}^{c_+} \sum_{k=1}^{c_-} \max(0, 1 - f_j(x_i) + f_k(x_i))$$

### 3. Weighted Approximate Ranking (WARP)

**optimizes the top-k accuracy for annotation by using a stochastic sampling approach**, similar to **Pairwise Ranking** except the weighted function  $L(r_j)$

$$J = \sum_{i=1}^n \sum_{j=1}^{c_+} \sum_{k=1}^{c_-} L(r_j) \max(0, 1 - f_j(x_i) + f_k(x_i))$$

where  $L(\cdot)$  is a weighted function for different ranks,  $r_j$  is the rank for the  $j^{th}$  class for image  $i$ .

The define of  $L(\cdot)$  is

$$L(r) = \sum_{j=1}^r \alpha_j, \text{ with } \alpha_1 \geq \alpha_2 \geq \alpha_3 \geq \dots \geq 0.$$

where  $\alpha_j$  is equal to  $\frac{1}{j}$

**How to estimate the rank  $r_j$ ?**

Using a random sampling method: **for a positive label, we continued to sample negative labels until we found a violation; then we recorded the number of trials  $s$  we sampled for negative labels. The rank was estimated by the following formulation**

$$r_j = \lfloor \frac{c-1}{s} \rfloor$$

where  $s$  means the numbers of sampling trials

## Network details

**Train a CNN net from scratch.**

Setting of Net: **Based on AlexNet, image size: 256 x 256; patches: 220 x 220; filter size: 11,9,5; FC-layer:4096; Dropout:0.6;ReLU**

Setting of Training: **asynchronized stochastic gradient descent with a momentum term with weight 0.9; mini-batch size:32; global lr: 0.002; staircase weight decay**

## Results

method / metric	per-class recall	per-class precision	overall recall	overall precision	$N+$
Upper bound	97.00	44.87	82.76	66.49	100.00
Visual Feature + kNN	19.33	<b>32.59</b>	53.44	42.93	91.36
Visual Feature + SVM	18.79	21.51	35.87	28.82	82.72
CNN + Softmax	31.22	31.68	59.52	47.82	98.76
CNN + Ranking	26.83	31.93	58.00	46.59	95.06
CNN + WARP	<b>35.60</b>	31.65	<b>60.49</b>	<b>48.59</b>	<b>96.29</b>

Table 1: Image annotation results on NUS-WIDE with  $k = 3$  annotated tags per image. See text in section 5.4 for the definition of “Upper bound”.

method / metric	per-class recall	per-class precision	overall recall	overall precision	$N+$
Upper bound	99.57	28.83	96.40	46.22	100.00
Visual Feature + kNN	32.14	<b>22.56</b>	66.98	32.29	95.06
Visual Feature + SVM	34.19	18.79	47.15	22.73	96.30
CNN + Softmax	48.24	21.98	74.04	35.69	98.76
CNN + Ranking	42.48	22.74	72.78	35.08	97.53
CNN + WARP	<b>52.03</b>	22.31	<b>75.00</b>	<b>36.16</b>	<b>100.00</b>

Table 2: Image annotation results on NUS-WIDE with  $k = 5$  annotated tags per image. See text in section 5.4 for the definition of “Upper bound”.