

# PointCNN

Yangyan Li, Rui Bu, Mingchao Sun, Baoquan Chen

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BYEONGJO KIM

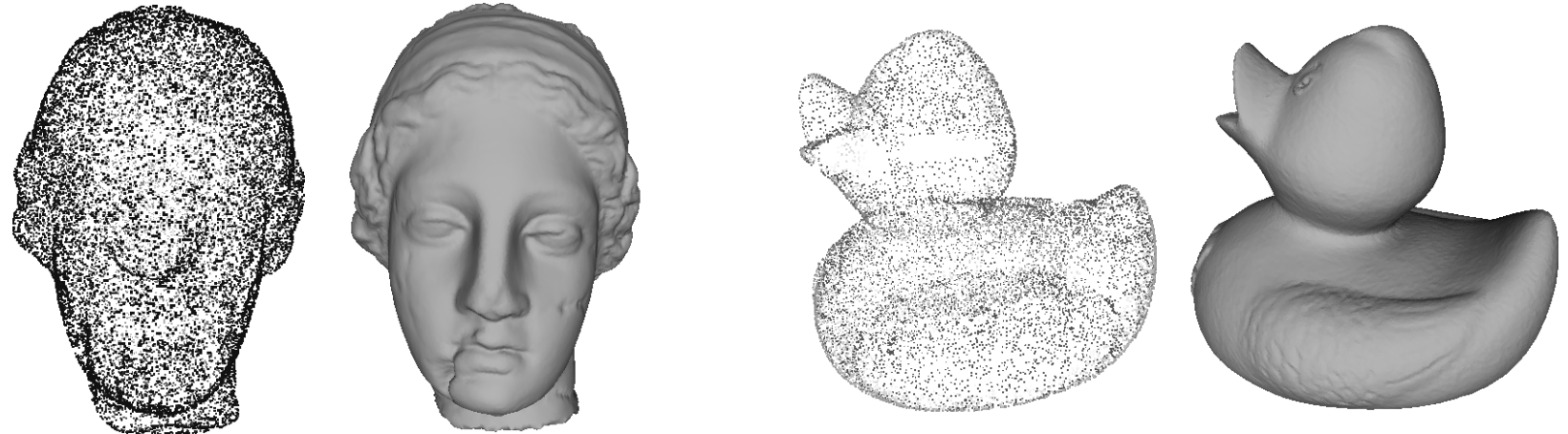


# Problem - Point Cloud

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## Point Cloud

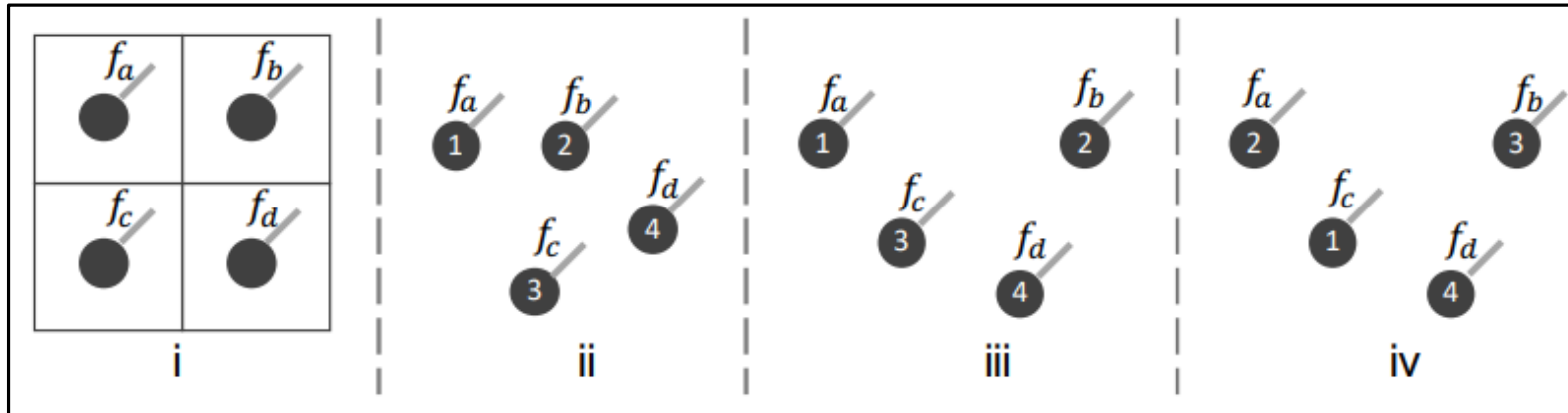
- more **effective** in 3D space, or line sketches in 2D (**less dimension**)
- **irregular, unordered**



# Problem - Point Cloud

## Point Cloud

- more effective in 3D space, or line sketches in 2D (less dimension)
- irregular, unordered



$$f_{ii} = \text{Conv}(\mathbf{K}, [f_a, f_b, f_c, f_d]^T),$$

$$f_{iii} = \text{Conv}(\mathbf{K}, [f_a, f_b, f_c, f_d]^T),$$

$$f_{iv} = \text{Conv}(\mathbf{K}, [f_c, f_a, f_b, f_d]^T).$$

$$\begin{matrix} f_{ii} \equiv f_{iii} \\ f_{iii} \neq f_{iv} \end{matrix}$$

$$f_{ii} = \text{Conv}(\mathbf{K}, \mathcal{X}_{ii} \times [f_a, f_b, f_c, f_d]^T),$$

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$$\mathcal{X} = \text{MLP}(p_1, p_2, \dots, p_K)$$

# PointCNN

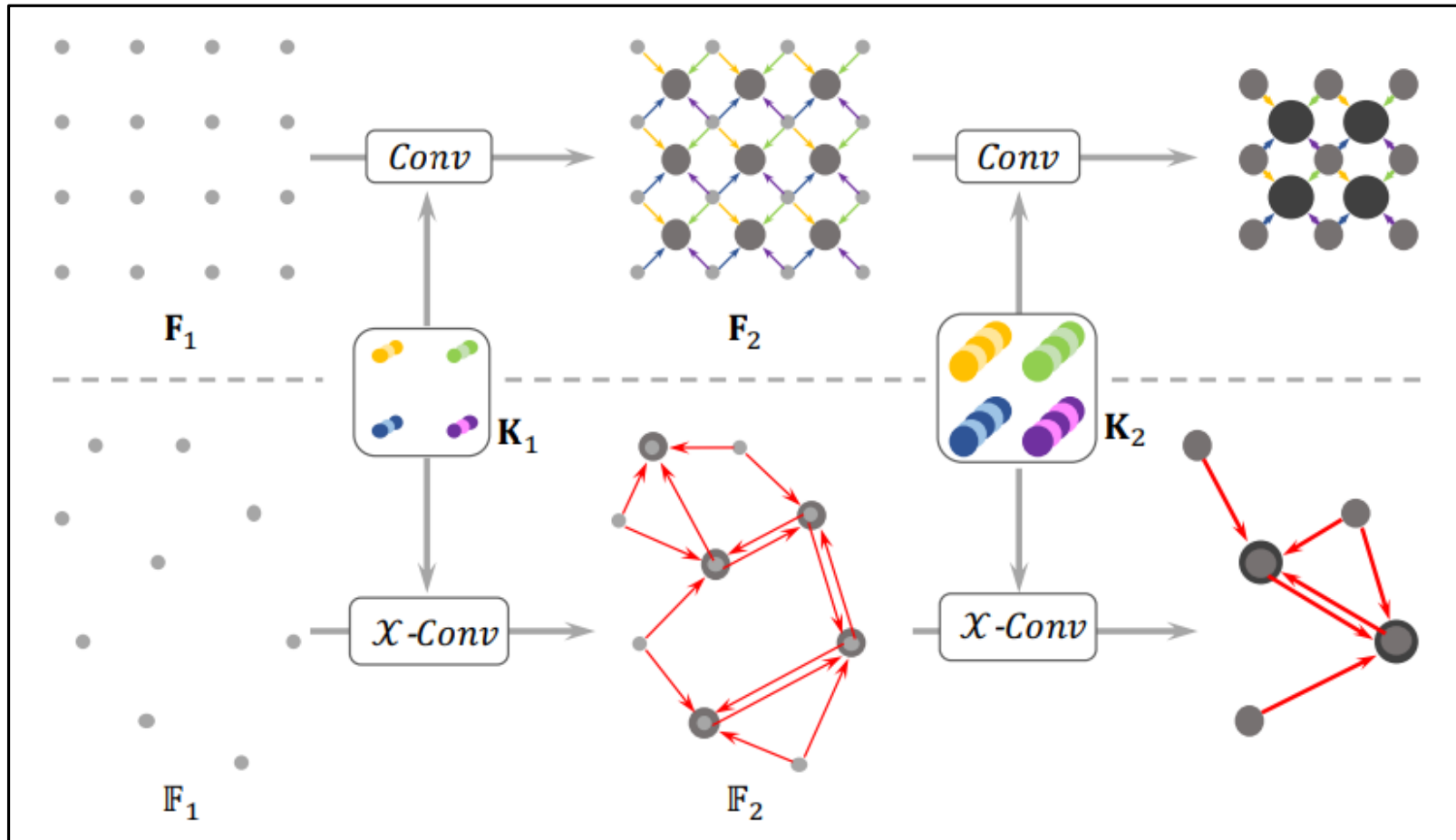
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Hierarchical Convolution

*X*-Conv Operator

PointCNN Architectures

# Hierarchical Convolution

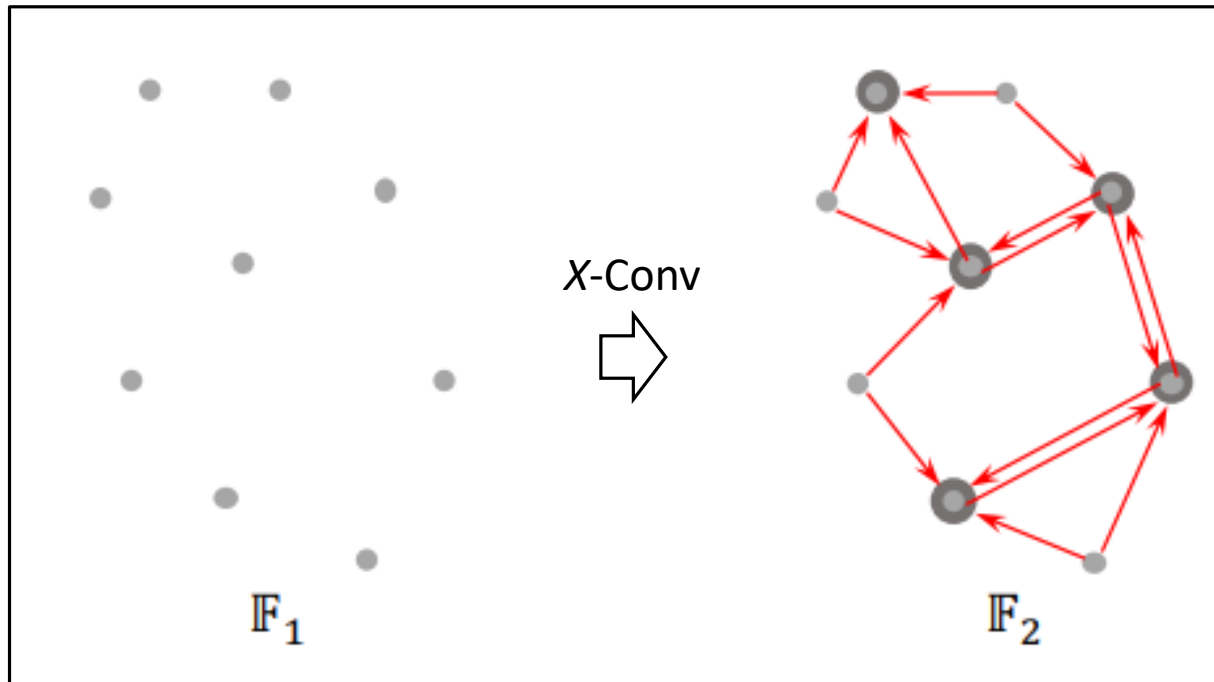


# Hierarchical Convolution

$$\mathbb{F}_1 = \{(p_{1,i}, f_{1,i}) : i = 1, 2, \dots, N_1\} \quad \{p_{1,i} : p_{1,i} \in \mathbb{R}^D\} \quad \{f_{1,i} : f_{1,i} \in \mathbb{R}^{C_1}\}$$

D : dimension of points  
C : feature channel depth

$$\mathbb{F}_2 = \{(p_{2,i}, f_{2,i}) : f_{2,i} \in \mathbb{R}^{C_2}, i = 1, 2, \dots, N_2\}$$



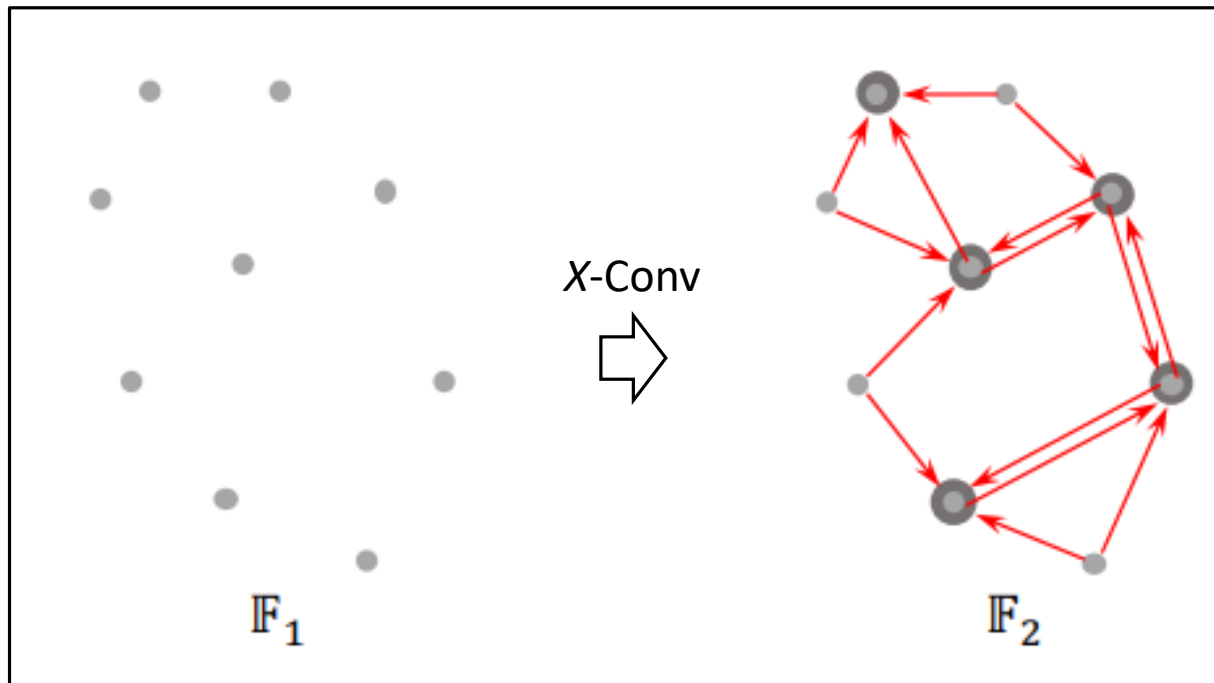
- $N_2 < N_1$ , and  $C_2 > C_1$
- $\{p_{2,i}\}$  is  $\{p_{1,i}\}$ 's **representative points** but not necessarily a subset of  $\{p_{1,i}\}$
- $\{p_{2,i}\}$  is  $\{p_{1,i}\}$ 's **random down-sampling** for **classification** tasks  
**farthest point sampling** for **segmentation** tasks

# Hierarchical Convolution

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- $\{p_{2,i}\}$  is  $\{p_{1,i}\}$ 's **random down-sampling** for **classification** tasks  
farthest from **Future Work!** **regression** tasks

# X-Conv Operator

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$$\begin{aligned} \mathbf{F}_p &= \mathcal{X}\text{-Conv}(\mathbf{K}, p, \mathbf{P}, \mathbf{F}) \\ &= \text{Conv}(\mathbf{K}, \text{MLP}(\mathbf{P} - p) \times [\text{MLP}_\delta(\mathbf{P} - p), \mathbf{F}]), \end{aligned}$$

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**ALGORITHM 1:**  $\mathcal{X}$ -Conv Operator

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**Input** :  $\mathbf{K}, p, \mathbf{P}, \mathbf{F}$

**Output:**  $\mathbf{F}_p$  ▷ Features “projected”, or “aggregated”, to  $p$

1:  $\mathbf{P}' \leftarrow \mathbf{P} - p$  ▷ Move  $\mathbf{P}$  to local coordinate system of  $p$

2:  $\mathbf{F}_\delta \leftarrow \text{MLP}_\delta(\mathbf{P}')$  ▷ **Individually** lift each point into  $C_\delta$  dim. space

3:  $\mathbf{F}_* \leftarrow [\mathbf{F}_\delta, \mathbf{F}]$  ▷ Concatenate  $\mathbf{F}_\delta$  and  $\mathbf{F}$ ,  $\mathbf{F}_*$  is a  $K \times (C_\delta + C_1)$  matrix

4:  $\mathcal{X} \leftarrow \text{MLP}(\mathbf{P}')$  ▷ Learn the  $K \times K$   $\mathcal{X}$ -transformation matrix

5:  $\mathbf{F}_\mathcal{X} \leftarrow \mathcal{X} \times \mathbf{F}_*$  ▷ Weight and permute  $\mathbf{F}_*$  with the learnt  $\mathcal{X}$

6:  $\mathbf{F}_p \leftarrow \text{Conv}(\mathbf{K}, \mathbf{F}_\mathcal{X})$  ▷ Finally, typical convolution between  $\mathbf{K}$  and  $\mathbf{F}_\mathcal{X}$

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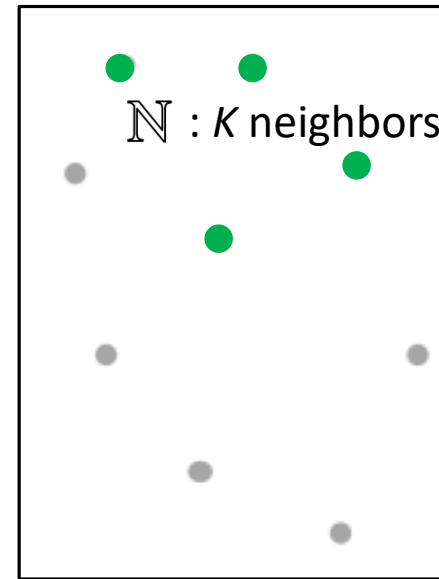
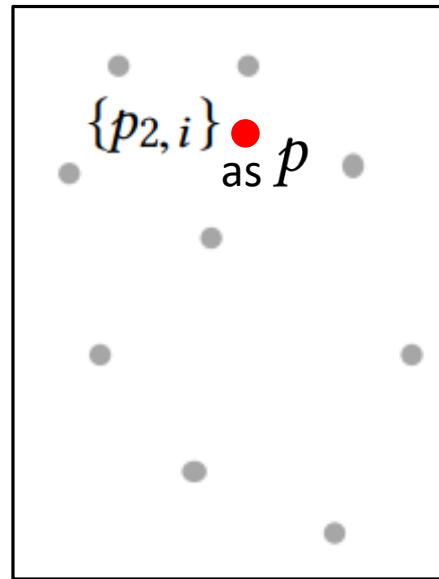
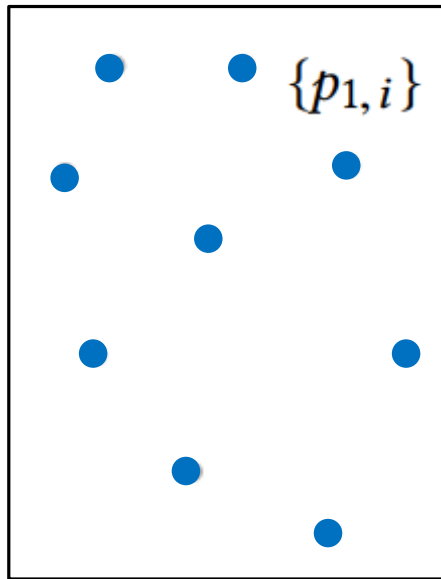
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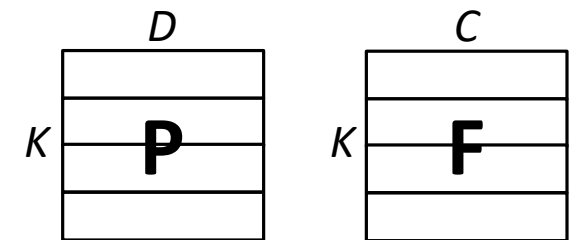
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$$\mathbb{S} = \{(p_i, f_i) : p_i \in \mathbb{N}\}$$

$$\mathbf{P} = (p_1, p_2, \dots, p_K)^T$$

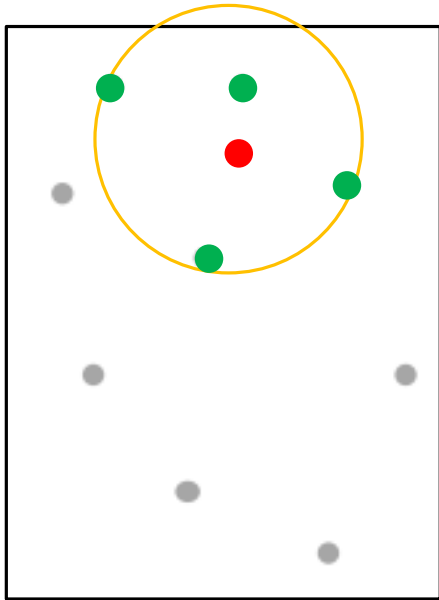
$$\mathbf{F} = (f_1, f_2, \dots, f_K)^T$$



$\mathbf{F}_p$  : projected or aggregated into the representative point

# X-Conv Operator

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**K nearest neighbor search** for extracting the  $K$  neighboring points

if point cloud with non-uniform point distribution

radius search

randomly sample  $K$  points out of the radius search results

# X-Conv Operator

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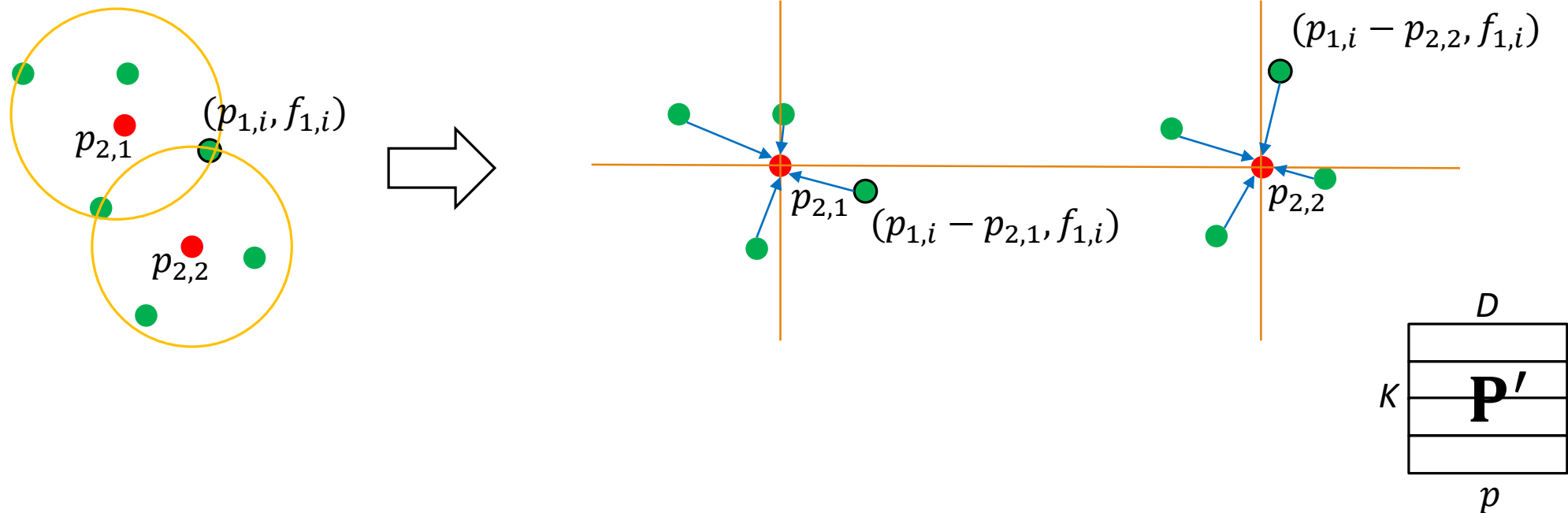
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# X-Conv Operator

Relative positions

Build local coordinate systems at the representative points



# X-Conv Operator

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**ALGORITHM 1:**  $\mathcal{X}$ -Conv Operator

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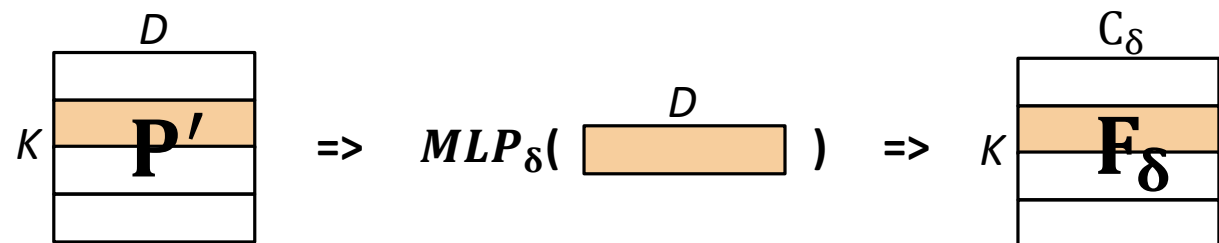
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**Output:**  $\mathbf{F}_p$

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# X-Conv Operator

then associated features, that defines the output features. In other word, besides the associated features, the local coordinates themselves are part of the input features as well. However, **the local coordinates are of quite different dimensionality and representation than the associated features.** We first lift the coordinates into an higher dimensional and more abstract representation ( $F_\delta \leftarrow MLP_\delta(P')$ ),



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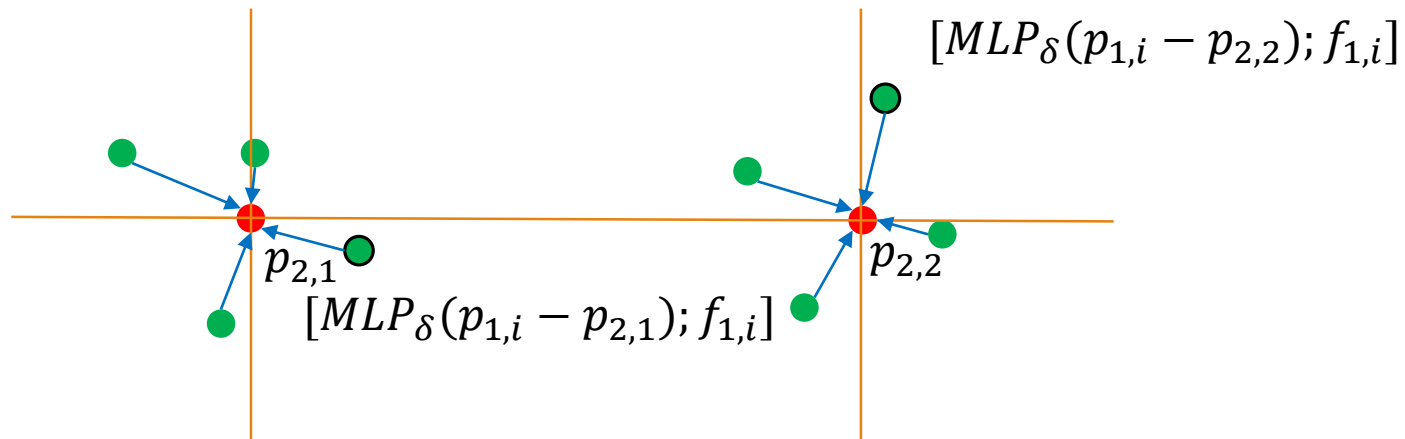
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  - ▷ Finally, typical convolution between  $\mathbf{K}$  and  $\mathbf{F}_\mathcal{X}$
-



# X-Conv Operator

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$$\text{concat} \left( \begin{array}{c} C_{\delta} \\ \mathbf{F}_{\delta} \end{array}, \begin{array}{c} C \\ \mathbf{F} \end{array} \right) \Rightarrow \begin{array}{c} C_{\delta} + C_1 \\ \mathbf{F}_{*} \end{array}$$

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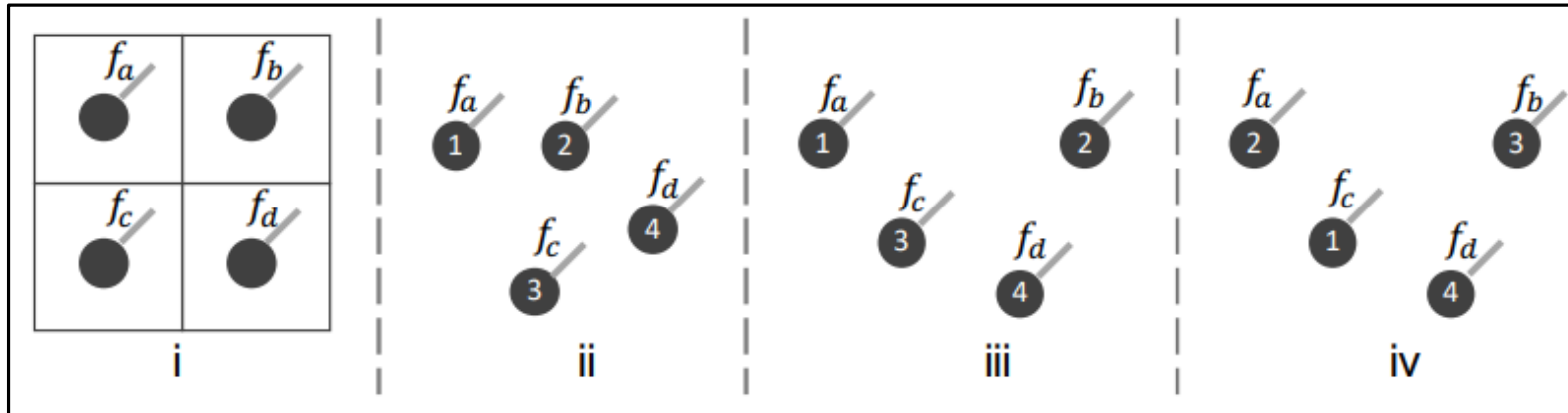
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# X-Conv Operator

## Point Cloud

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- irregular, unordered



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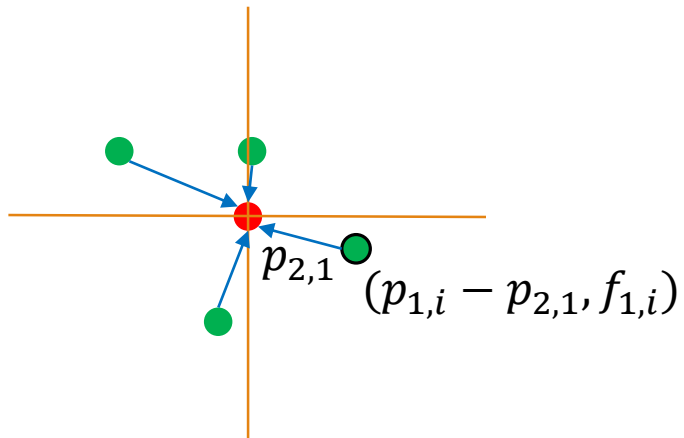
$$\mathcal{X} = \text{MLP}(p_1, p_2, \dots, p_K)$$

# X-Conv Operator

The lifted features are **weighted** and **permuted** by **X-transformation** with the associated features

- Not individually, but together (be applied on the entire neighboring points)

$X$  is **dependent on the order** of the points.



$$X = MLP \left( \begin{matrix} D \\ K \\ \mathbf{P}' \\ K \end{matrix} \right) \Rightarrow \begin{matrix} K \\ K \\ \mathbf{X} \end{matrix}$$

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-

# X-Conv Operator

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$X$  is supposed to **permute**  $F_*$  according to the input points.

It has to be aware of the **specific input order**.

$$\mathbf{F}_X = K \begin{array}{|c|} \hline K \\ \hline \mathbf{X} \\ \hline \end{array} \times K \begin{array}{|c|} \hline C_\delta + C_1 \\ \hline \hline \mathbf{F}_* \\ \hline \hline \end{array} \Rightarrow K \begin{array}{|c|} \hline C_\delta + C_1 \\ \hline \hline \mathbf{F}_X \\ \hline \hline \end{array}$$

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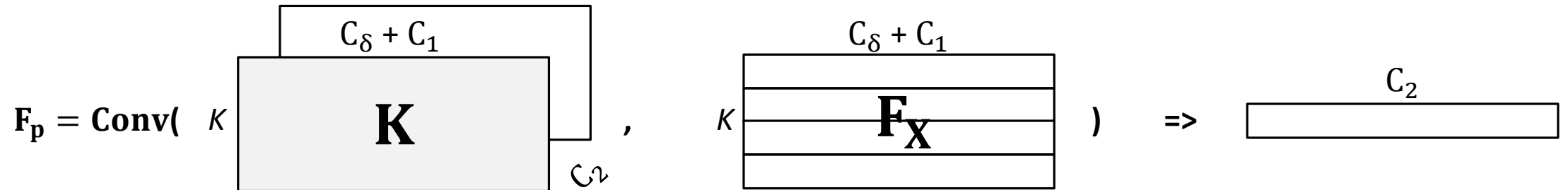
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# X-Conv Operator

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$$F_p = \text{Conv}(\mathbf{K}, F_X)$$

$\mathbf{K} \Rightarrow$  trainable kernel





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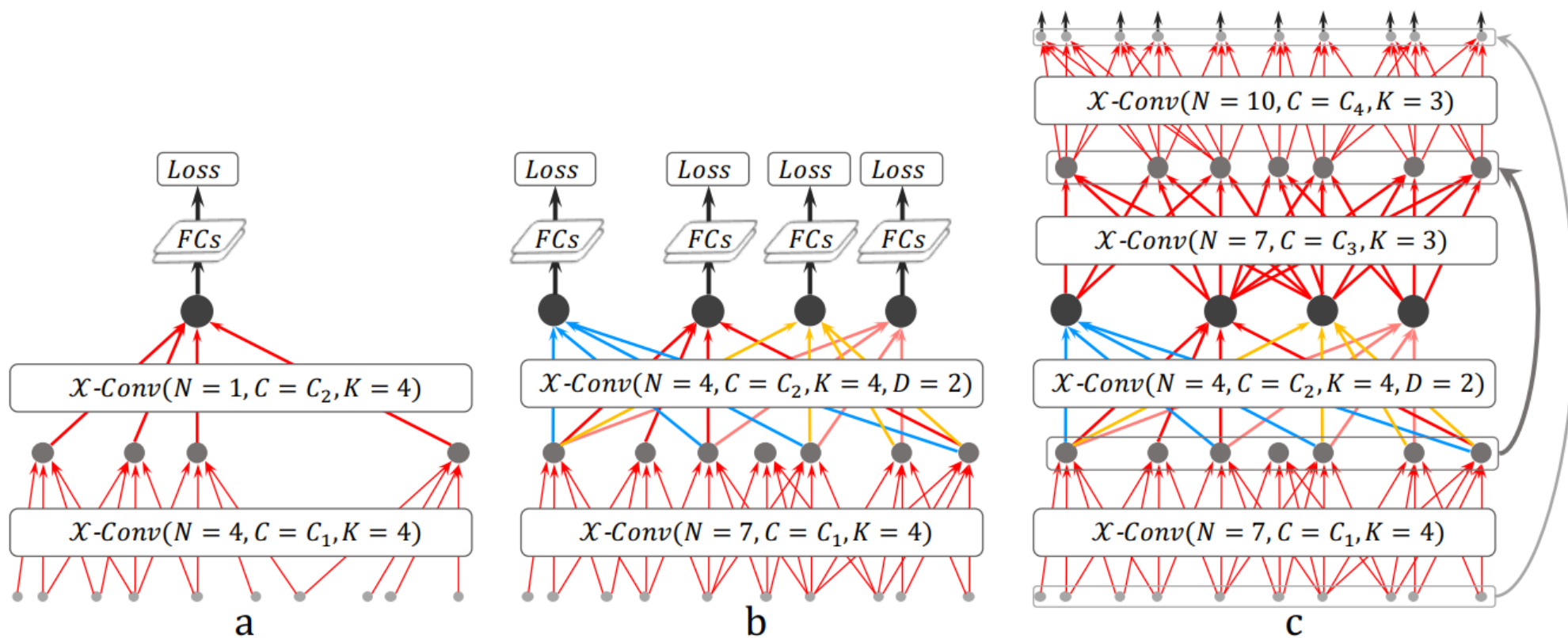
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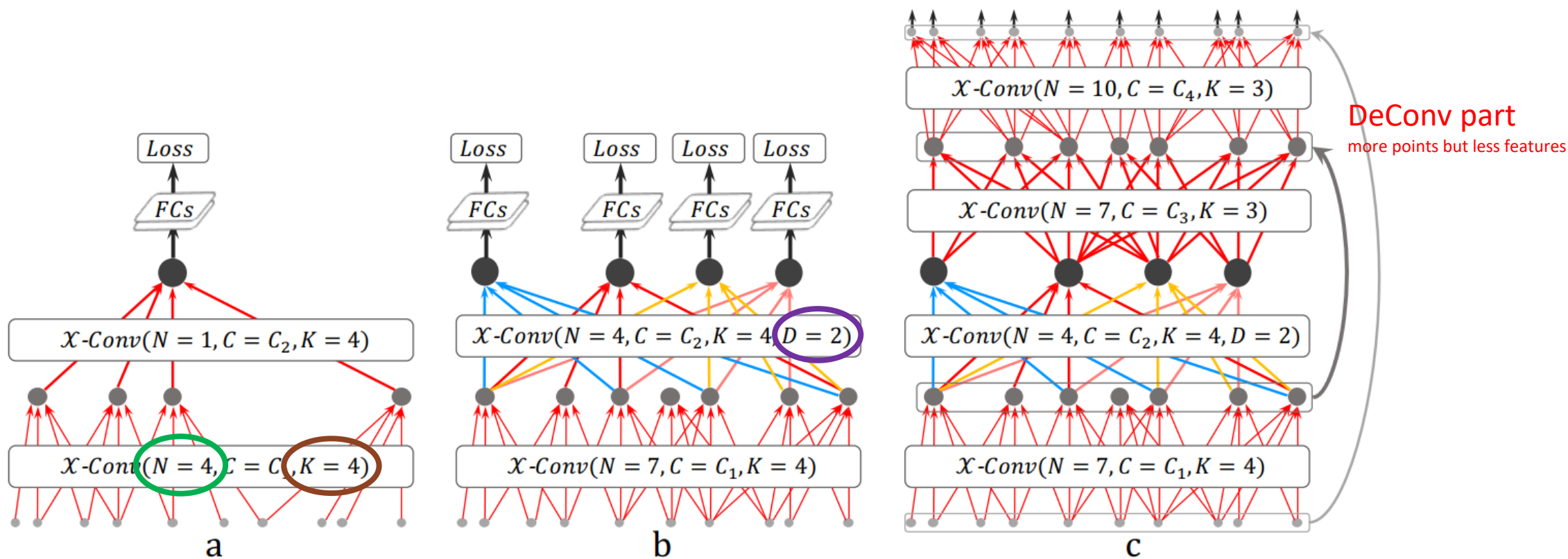
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  - 3:  $\mathbf{F}_* \leftarrow [\mathbf{F}_\delta, \mathbf{F}]$     ▸ Concatenate  $\mathbf{F}_\delta$  and  $\mathbf{F}$ ,  $\mathbf{F}_*$  is a  $K \times (C_\delta + C_1)$  matrix
  - 4:  $\mathcal{X} \leftarrow \text{MLP}(\mathbf{P}')$       ▸ Learn the  $K \times K$   $\mathcal{X}$ -transformation matrix
  - 5:  $\mathbf{F}_\mathcal{X} \leftarrow \mathcal{X} \times \mathbf{F}_*$       ▸ Weight and permute  $\mathbf{F}_*$  with the learnt  $\mathcal{X}$
  - 6:  $\mathbf{F}_p \leftarrow \text{Conv}(\mathbf{K}, \mathbf{F}_\mathcal{X})$     ▸ Finally, typical convolution between  $\mathbf{K}$  and  $\mathbf{F}_\mathcal{X}$
-

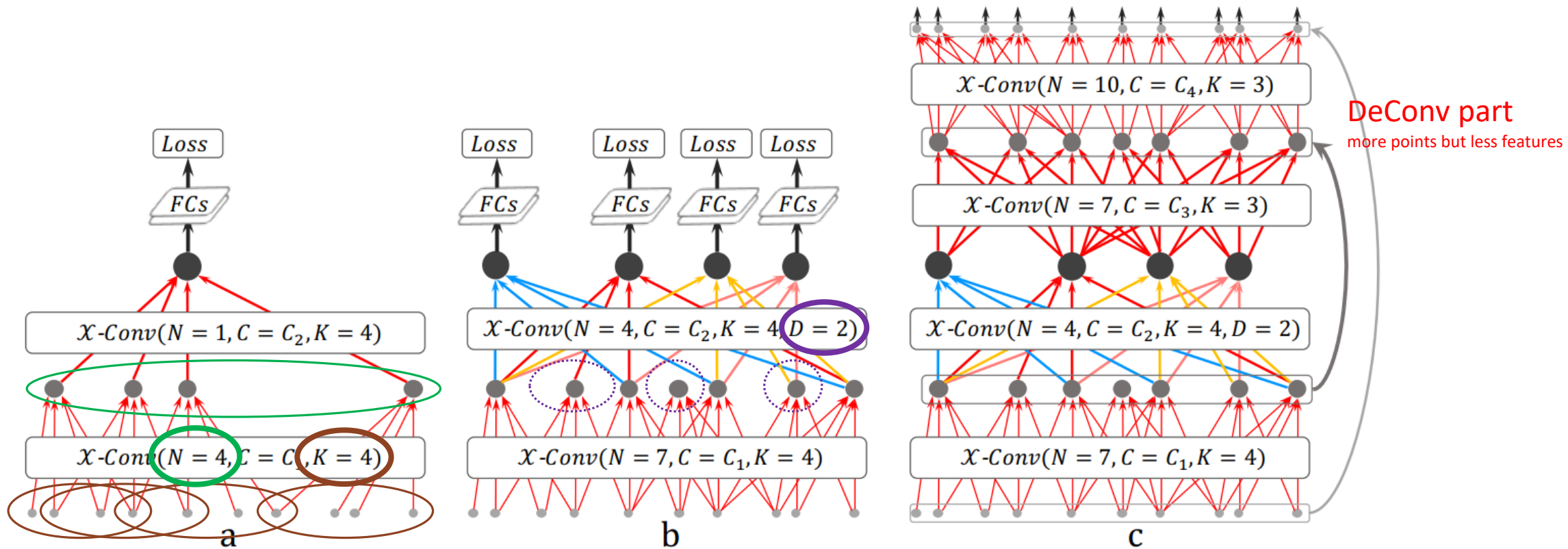
# PointCNN Architectures



# PointCNN Architectures



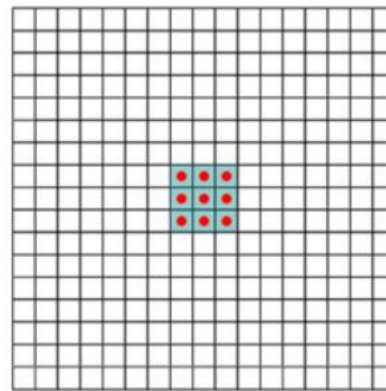
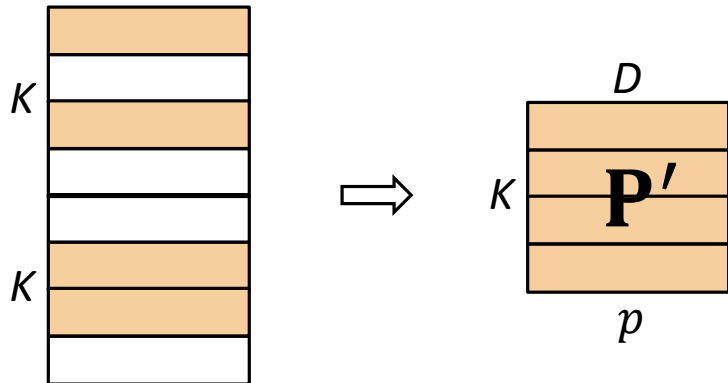
# PointCNN Architectures



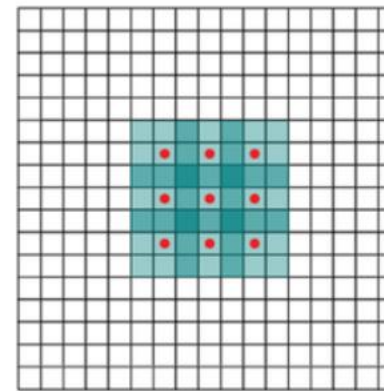
# PointCNN Architectures

maintain the depth of the network & keeping the receptive field growth rate

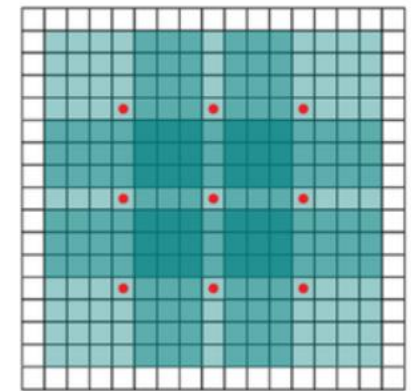
=> Dilation rate ( $D$ )



(a)  
1-dilated conv.



(b)  
2-dilated conv.



(c)  
4-dilated conv.

# Other important things

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using **ELU** nonlinear activation function

**batch normalization** is applied on  $P'$ ,  $F_p$  not  $F_*$ ,  $X$

using **ADAM optimizer**

0.01 **learning rate**

**dropout** before the last fully connected layer for reducing over-fitting

not beneficial if the neighboring points are always the same set in the same order

- **randomly sample** and **shuffle** the input points
- neighboring point sets and order can be different from batch to batch => Data augmentation
- $N$  points as input => *Gaussian distribution*  $N(N, (\frac{N}{8})^2)$  points are used for training

# PointCNN model zoo

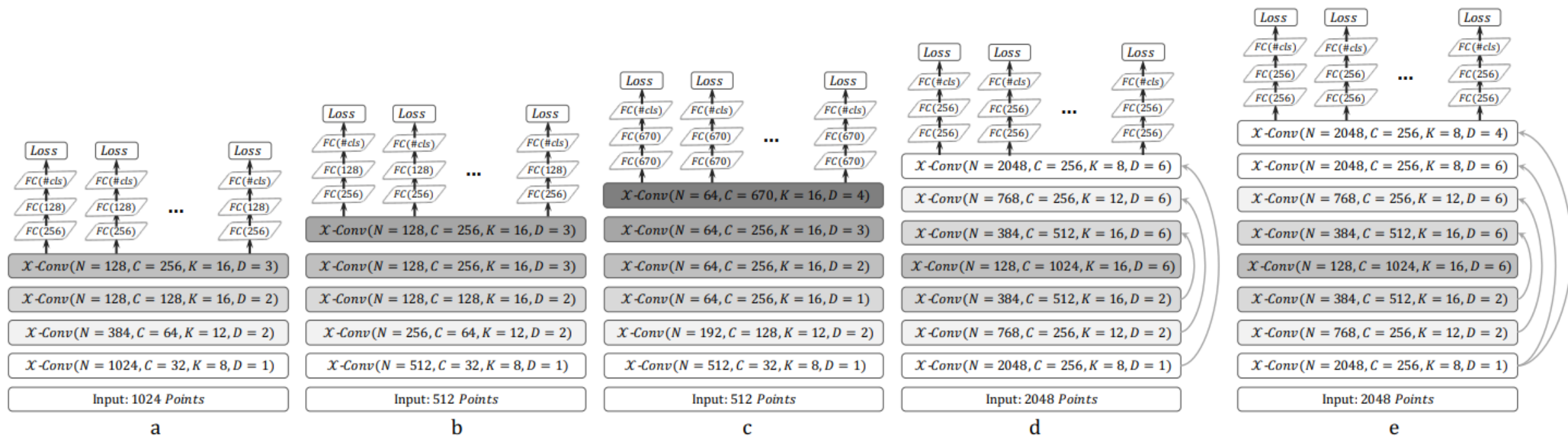


Figure 8: PointCNN model zoo, where (a) is used for ModelNet40 and ScanNet classification, (b) is used for TU-Berlin sketch classification, (c) is used for Quick Draw sketch classification, (d) is used for ScanNet and S3DIS segmentation, and (e) is used for ShapeNet Parts segmentation.

# Better Than State-of-the-art

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generalization of typical CNNs into learning features from point cloud, thus we call it *PointCNN*. Experiments show that PointCNN achieves on par or better performance than state-of-the-art methods on multiple challenging benchmark datasets and tasks.



# Discussions and Future Work

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- > Strong over-fitting on small datasets.
- > Is there some structural constraints in  $X$ ?
- > In PointCNN the learnt features are “projected” or “aggregated” at the specific representative points
  - outperform at tasks where locations matter more.
- > PointCNN performs quite well in learning the digits’ shape information.
  - the sparser the data is, the more prominent the advantage of PointCNN can be observed.
- > Study the principle criteria for making the choice (CNN+dense or PointCNN+point cloud)
- > PointCNN + CNN for 3D model.