Computer Architecture and Operating Systems
Lecture 10: Processor and Pipeline

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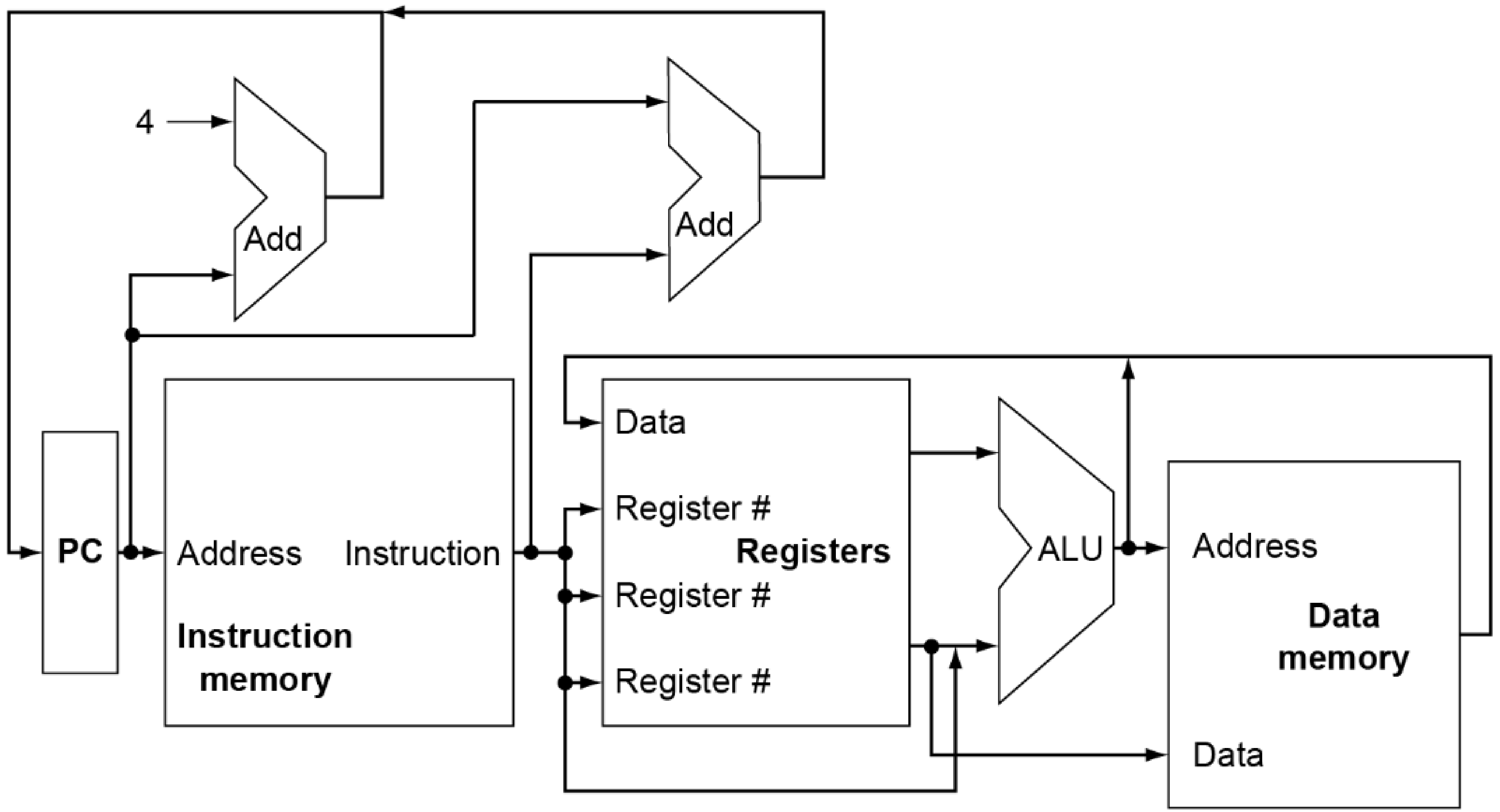
CPU Under The Hood

- CPU performance factors
  - Instruction count
    - Determined by ISA and compiler
  - CPI and Cycle time
    - Determined by CPU hardware
- We will examine two RISC-V implementations
  - A simplified version
  - A more realistic pipelined version
- Simple subset, shows most aspects
  - Memory reference: `ld`, `sd`
  - Arithmetic/logical: `add`, `sub`, `and`, `or`
  - Control transfer: `beq`

Instruction Execution

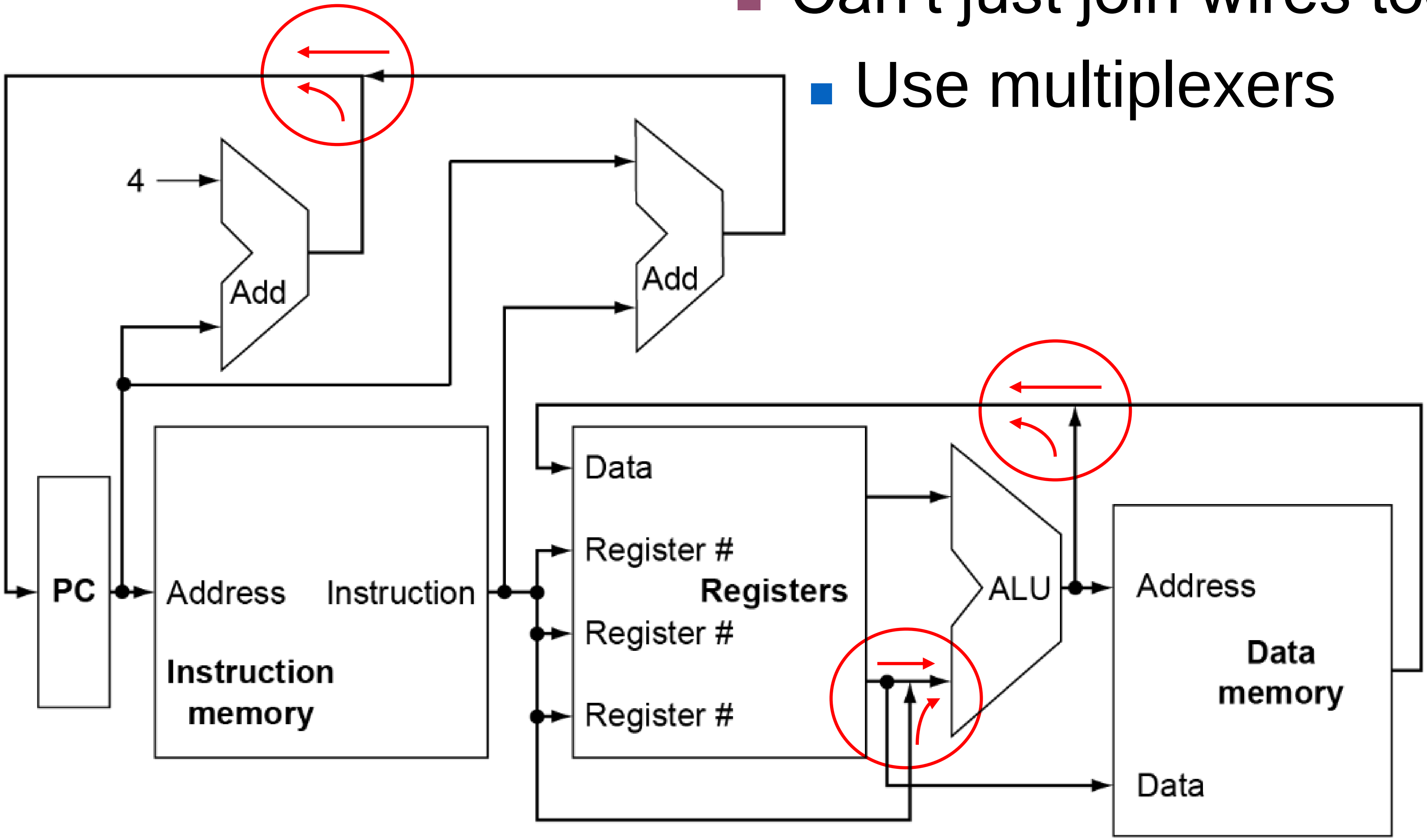
- PC $\rightarrow$ instruction memory, fetch instruction
- Register numbers $\rightarrow$ register file, read registers
- Depending on instruction class
  - Use ALU to calculate
    - Arithmetic result
    - Memory address for load/store
    - Branch comparison
  - Access data memory for load/store
  - PC $\leftarrow$ target address or PC + 4

CPU Overview

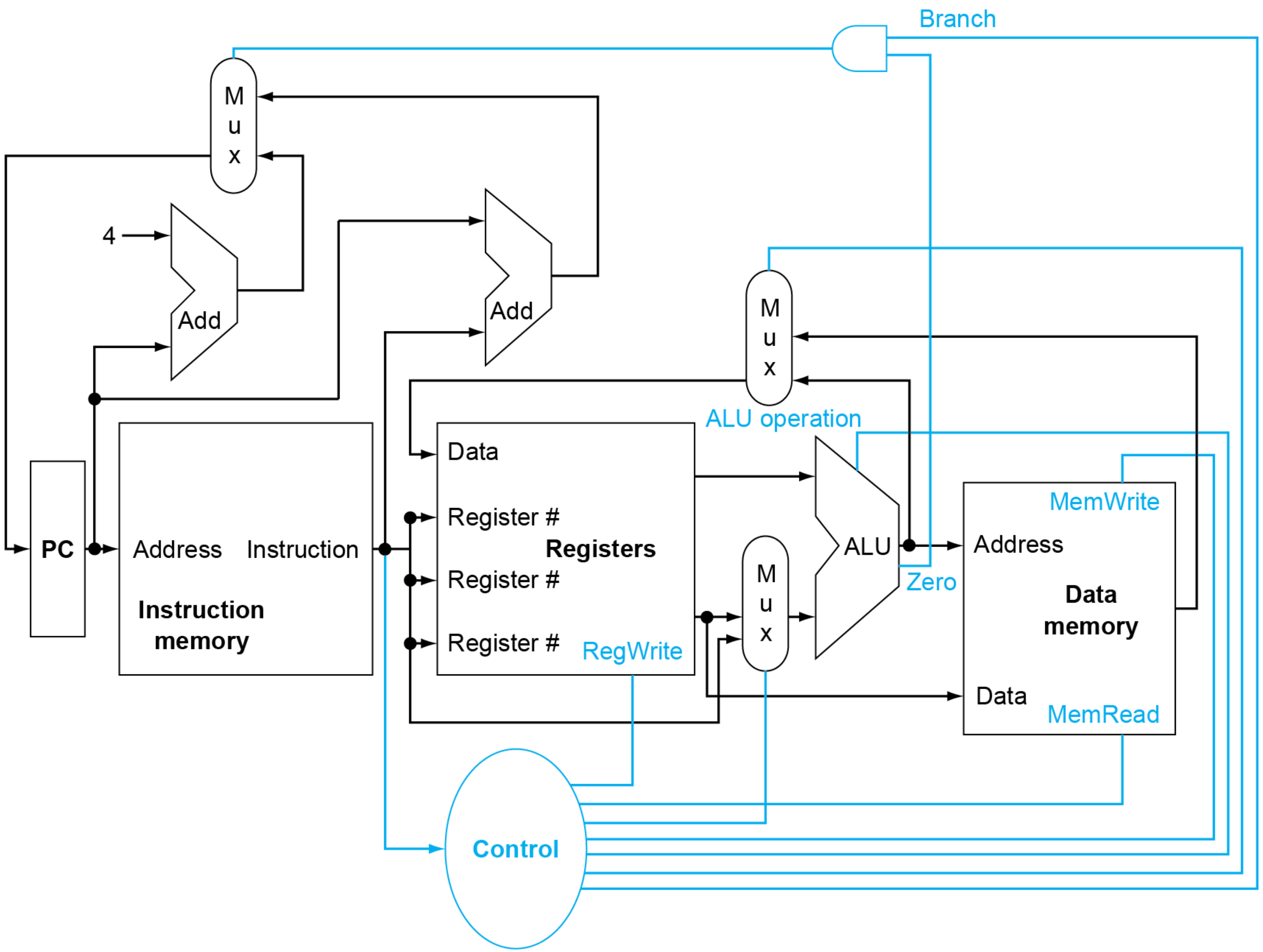


Multiplexers

- Can't just join wires together
  - Use multiplexers



Control



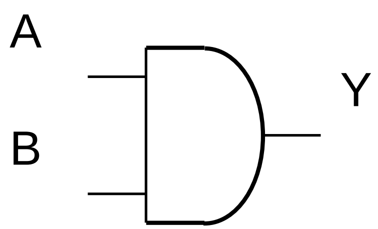
Branch
M
u
x
4
Add
Add
M
u
x
ALU operation
Data
Register #
Registers
Register #
Register #
RegWrite
PC
Address
Instruction
Instruction
memory
M
u
x
ALU
Zero
MemWrite
Address
Data
memory
Data
MemRead
Control

Logic Design Basics

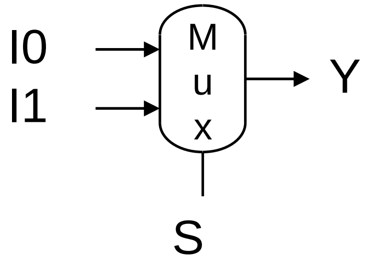
- Information encoded in binary
  - Low voltage = 0, High voltage = 1
  - One wire per bit
  - Multi-bit data encoded on multi-wire buses
- Combinational element
  - Operate on data
  - Output is a function of input
- State (sequential) elements
  - Store information

Combinational Elements

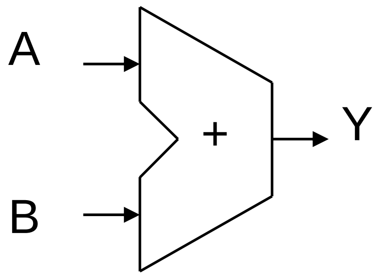
- AND-gate
  - Y = A & B



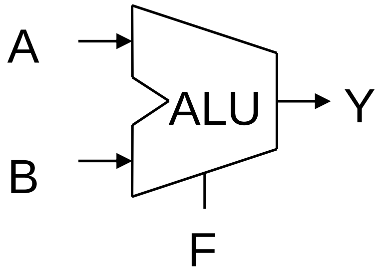
- Multiplexer
  - Y = S ? I1 : I0



- Adder
  - Y = A + B

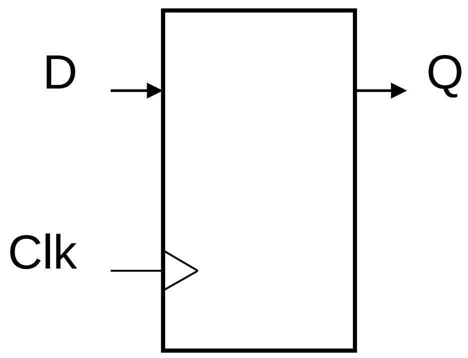


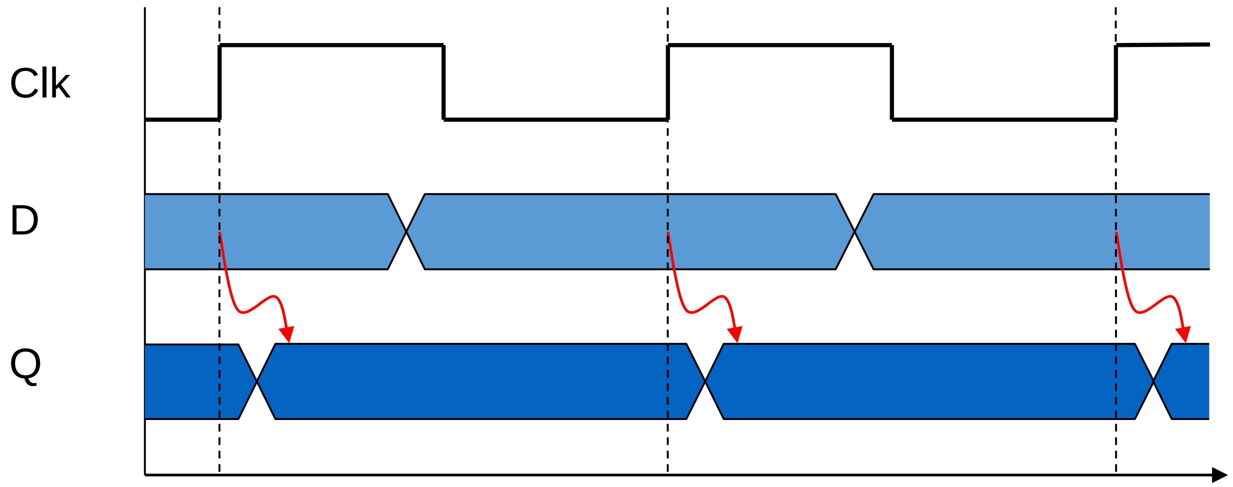
- Arithmetic/Logic Unit
  - Y = F(A, B)



Sequential Elements

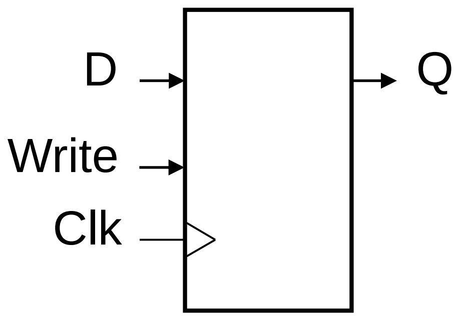
- Register: stores data in a circuit
  - Uses a clock signal to determine when to update the stored value
  - Edge-triggered: update when Clk changes from 0 to 1

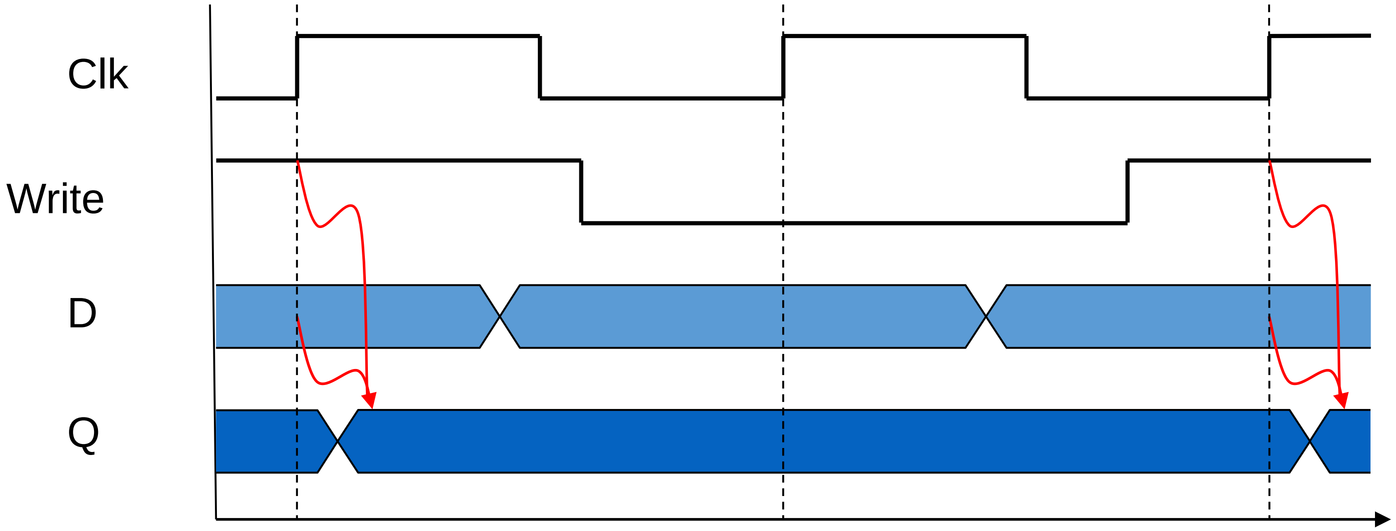




Sequential Elements

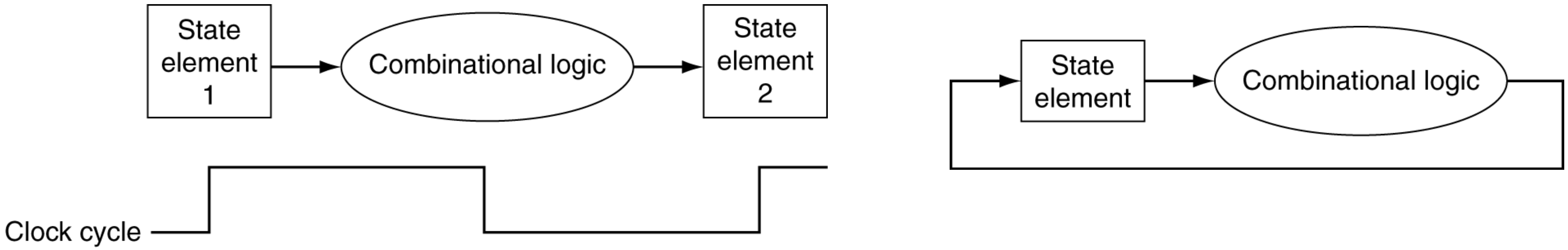
- Register with write control
  - Only updates on clock edge when write control input is 1
  - Used when stored value is required later





Clocking Methodology

- Combinational logic transforms data during clock cycles
  - Between clock edges
  - Input from state elements, output to state element
  - Longest delay determines clock period



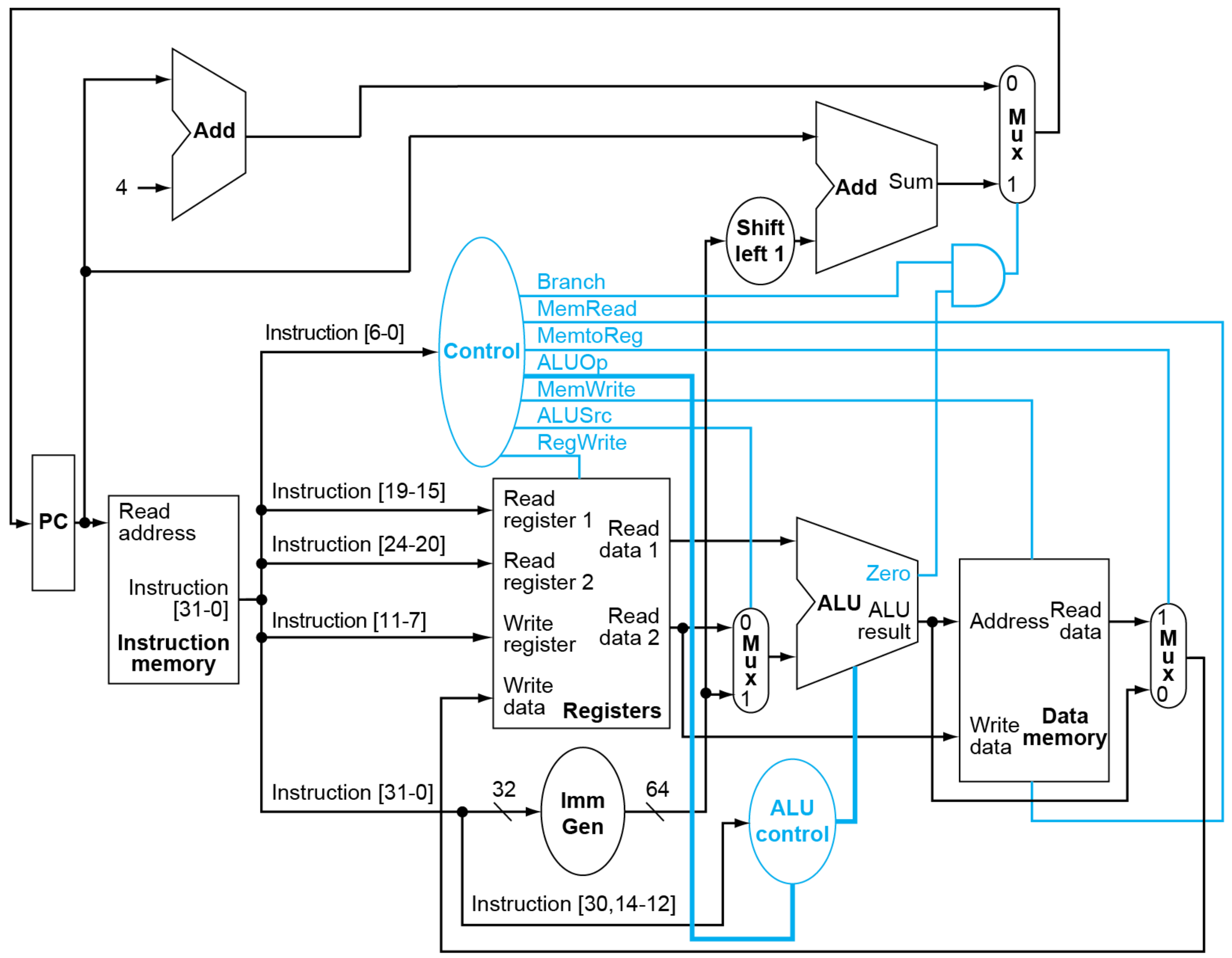
Main Control Unit

- Control signals derived from instruction

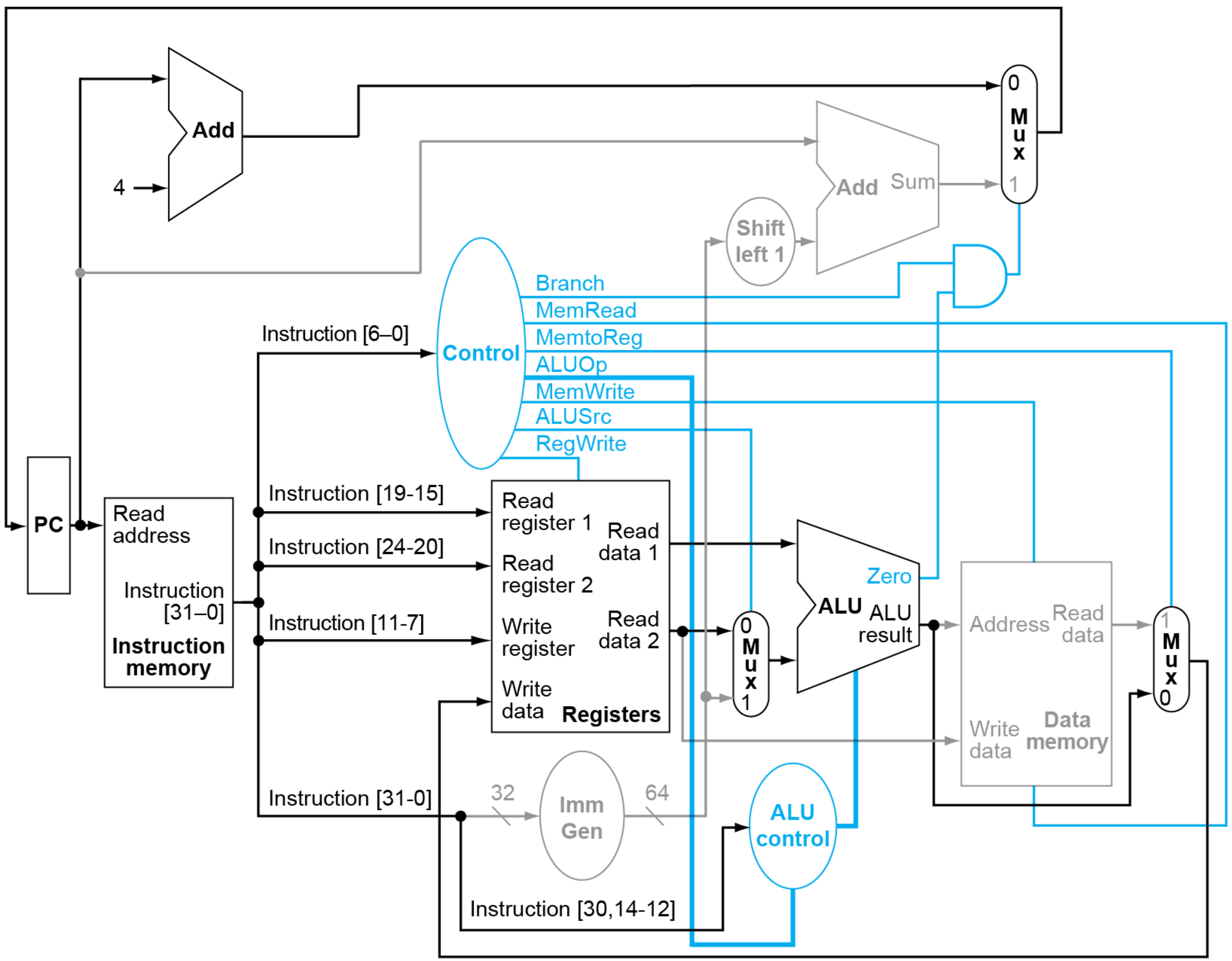
Name (Bit position)	31:25	24:20	19:15	14:12	11:7	6:0
(a) R-type	funct7	rs2	rs1	funct3	rd	opcode
(b) I-type	immediate[11:0]		rs1	funct3	rd	opcode
(c) S-type	immed[11:5]	rs2	rs1	funct3	immed[4:0]	opcode
(d) SB-type	immed[12,10:5]	rs2	rs1	funct3	immed[4:1,11]	opcode

ALUOp		Funct7 field							Funct3 field			
ALUOpl	ALUOp0	I[31]	I[30]	I[29]	I[28]	I[27]	I[26]	I[25]	I[14]	I[13]	I[12]	Operation
0	0	X	X	X	X	X	X	X	X	X	X	0010
X	1	X	X	X	X	X	X	X	X	X	X	0110
1	X	0	0	0	0	0	0	0	0	0	0	0010
1	X	0	1	0	0	0	0	0	0	0	0	0110
1	X	0	0	0	0	0	0	0	1	1	1	0000
1	X	0	0	0	0	0	0	0	1	1	0	0001

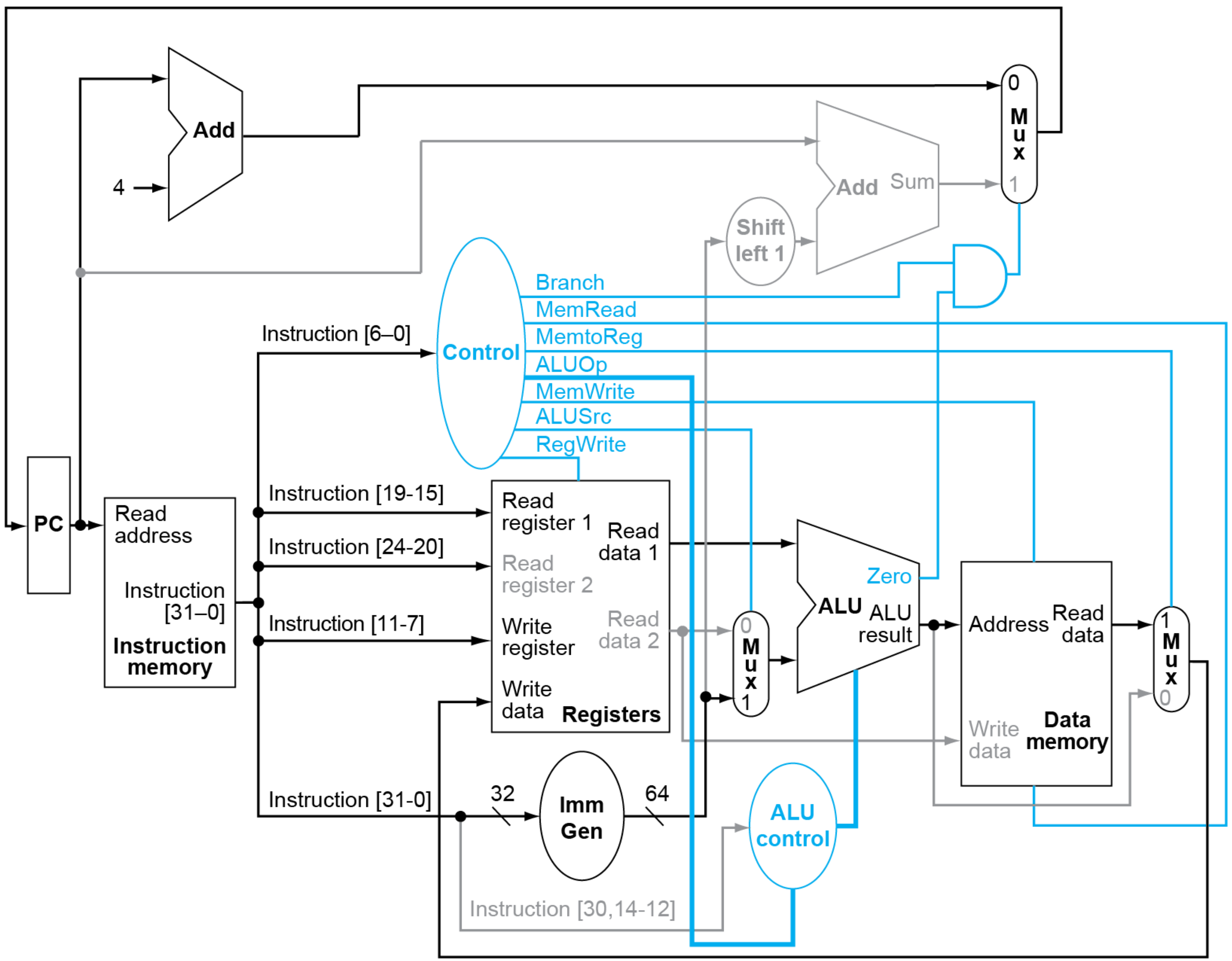
Datapath With Control



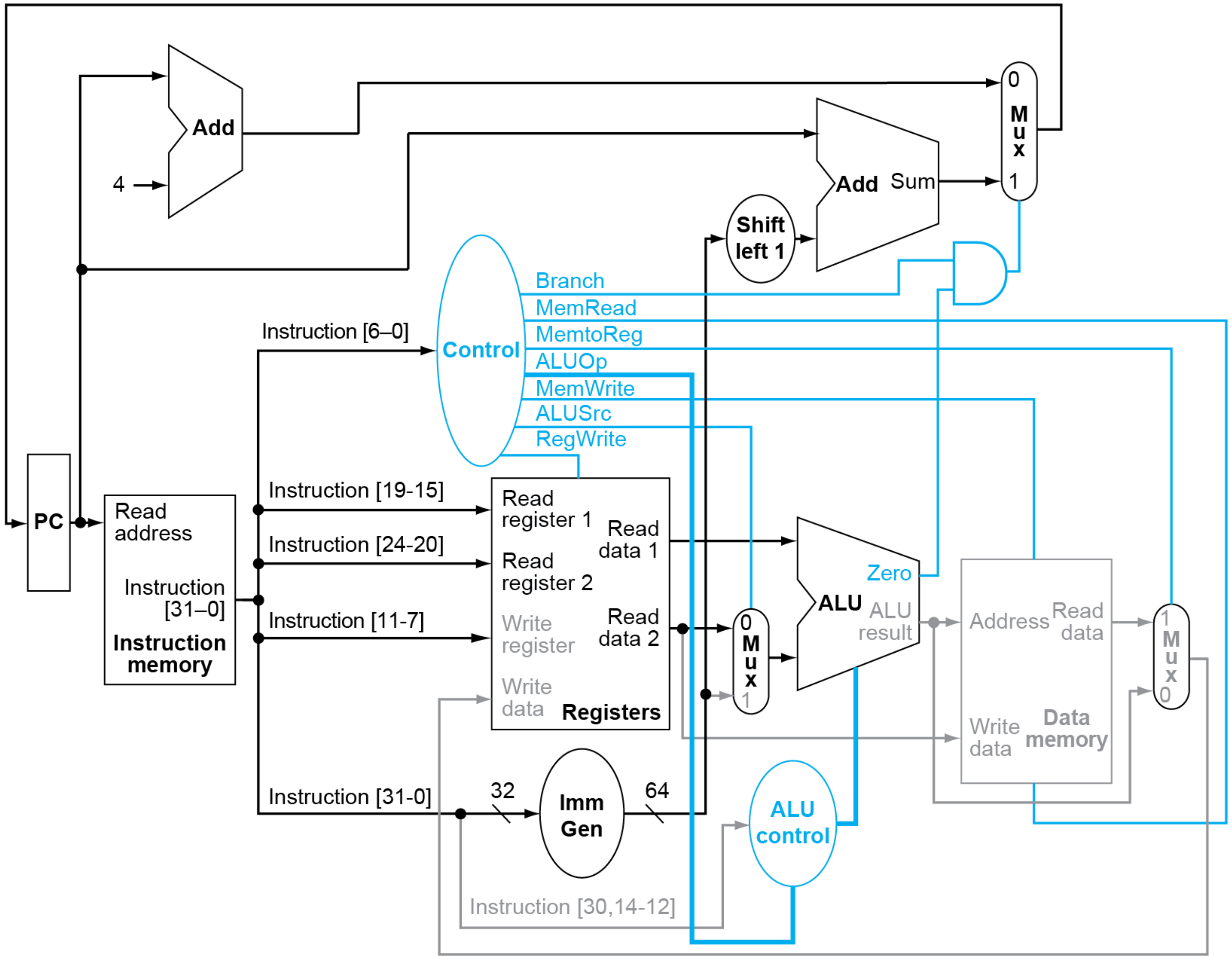
R-Type Instruction



Load Instruction



BEQ Instruction



Performance Issues

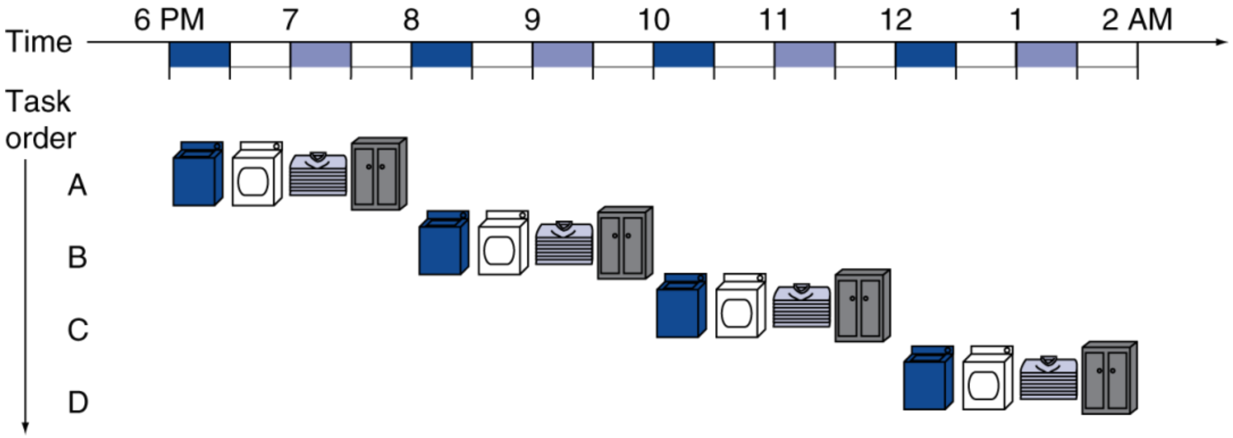
- Longest delay determines clock period
  - Critical path: load instruction
  - Instruction memory → register file → ALU → data memory → register file
- Not feasible to vary period for different instructions
- Violates design principle
  - Making the common case fast
- We will improve performance by pipelining

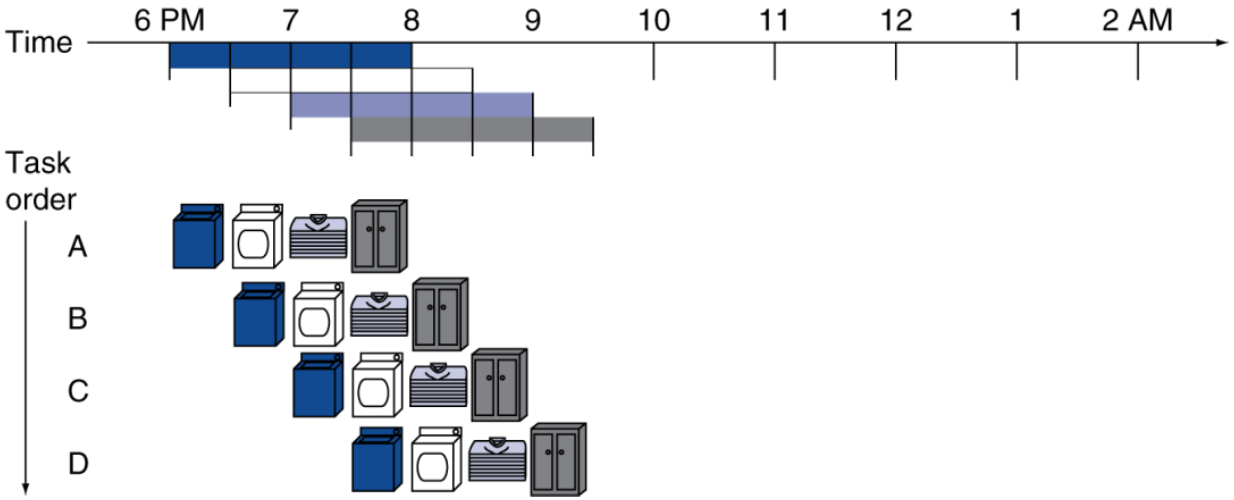
Response Time and Throughput

- Response time
  - How long it takes to do a task
- Throughput
  - Total work done per unit time

Pipelining Analogy

- Pipelined laundry: overlapping execution
  - Parallelism improves performance





- Four loads:
  - Speedup = 8/3.5 = 2.3
- Non-stop:
  - Speedup = 2n/0.5n + 1.5 ≈ 4 = number of stages

RISC-V Pipeline

- Five stages, one step per stage

1. **IF**: Instruction fetch from memory
2. **ID**: Instruction decode & register read
3. **EX**: Execute operation or calculate address
4. **MEM**: Access memory operand
5. **WB**: Write result back to register

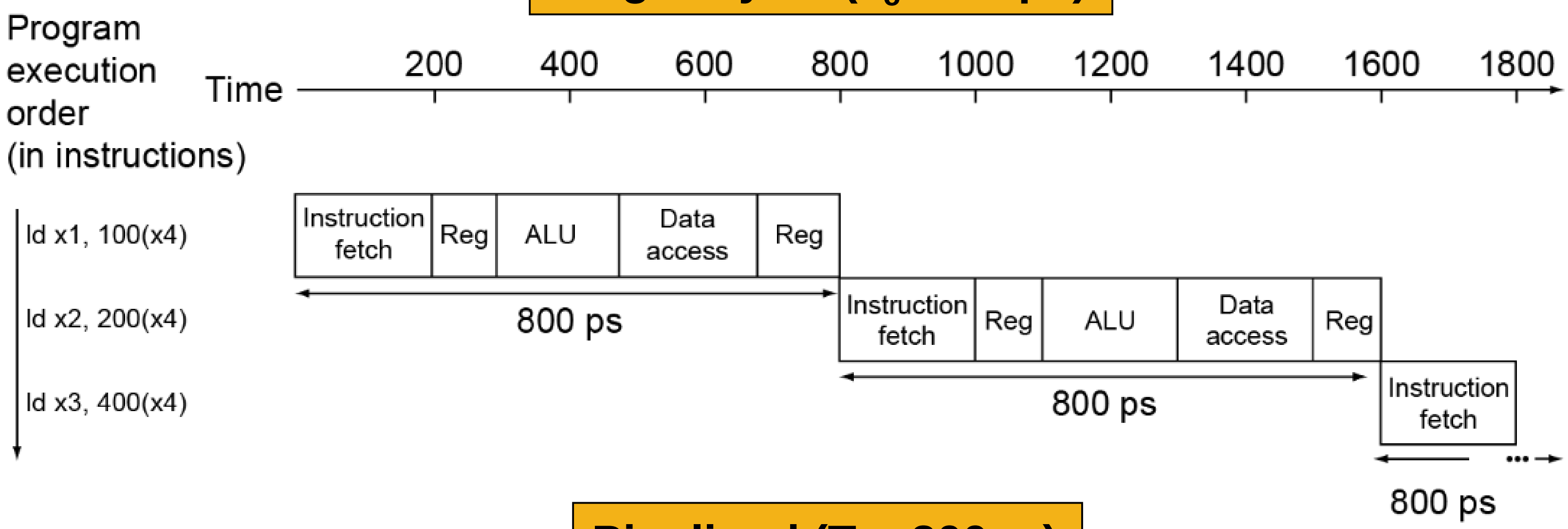
Pipeline Performance

- Assume time for stages is
  - 100ps for register read or write
  - 200ps for other stages
- Compare pipelined datapath with single-cycle datapath

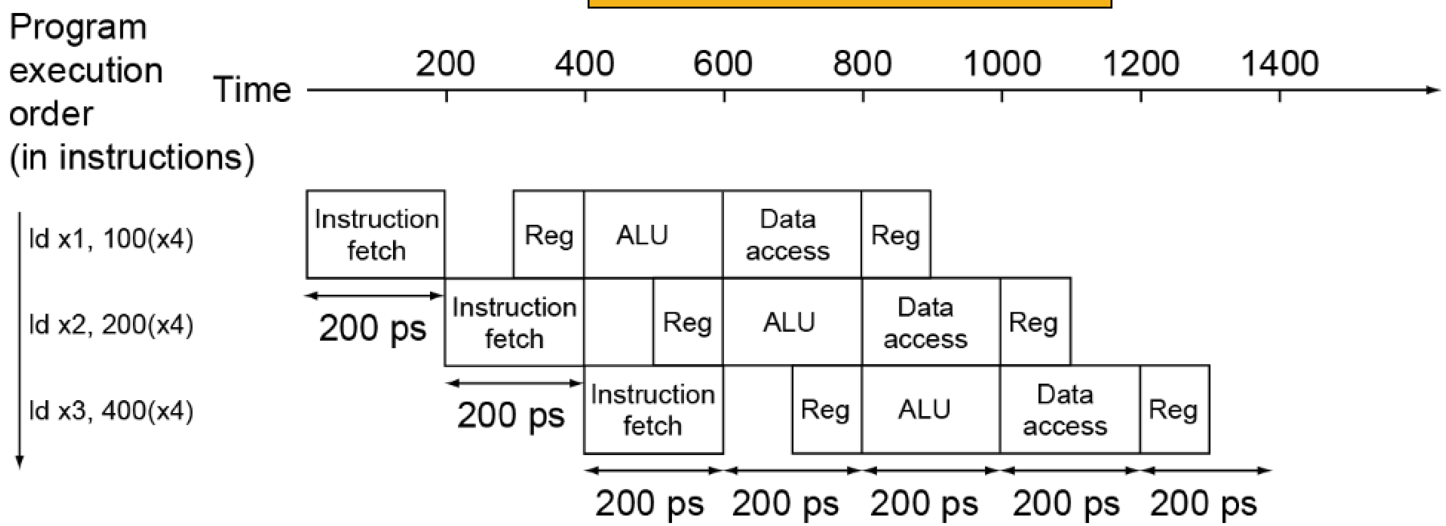
Instr	Instr fetch	Register read	ALU op	Memory access	Register write	Total time
ld	200ps	100 ps	200ps	200ps	100 ps	800ps
sd	200ps	100 ps	200ps	200ps		700ps
R-format	200ps	100 ps	200ps		100 ps	600ps
beq	200ps	100 ps	200ps			500ps

Pipeline Performance

Single-Cycle (T_c= 800ps)



Pipelined (T_c= 200ps)



Pipeline Speedup

- If all stages are balanced
  - i.e., all take the same time
  - Time between instructions$_{\text{pipelined}}$

    $$= \frac{\text{Time between instructions}_{\text{nonpipelined}}}{\text{Number of stages}}$$
- If not balanced, speedup is less
- Speedup due to increased throughput
  - Latency (time for each instruction) does not decrease

Pipelining and ISA Design

- RISC-V ISA designed for pipelining
  - All instructions are 32-bits
    - Easier to fetch and decode in one cycle
    - c.f. x86: 1- to 17-byte instructions
  - Few and regular instruction formats
    - Can decode and read registers in one step
  - Load/store addressing
    - Can calculate address in 3rd stage, access memory in 4th stage

Hazards

Situations that prevent starting the next instruction in the next cycle

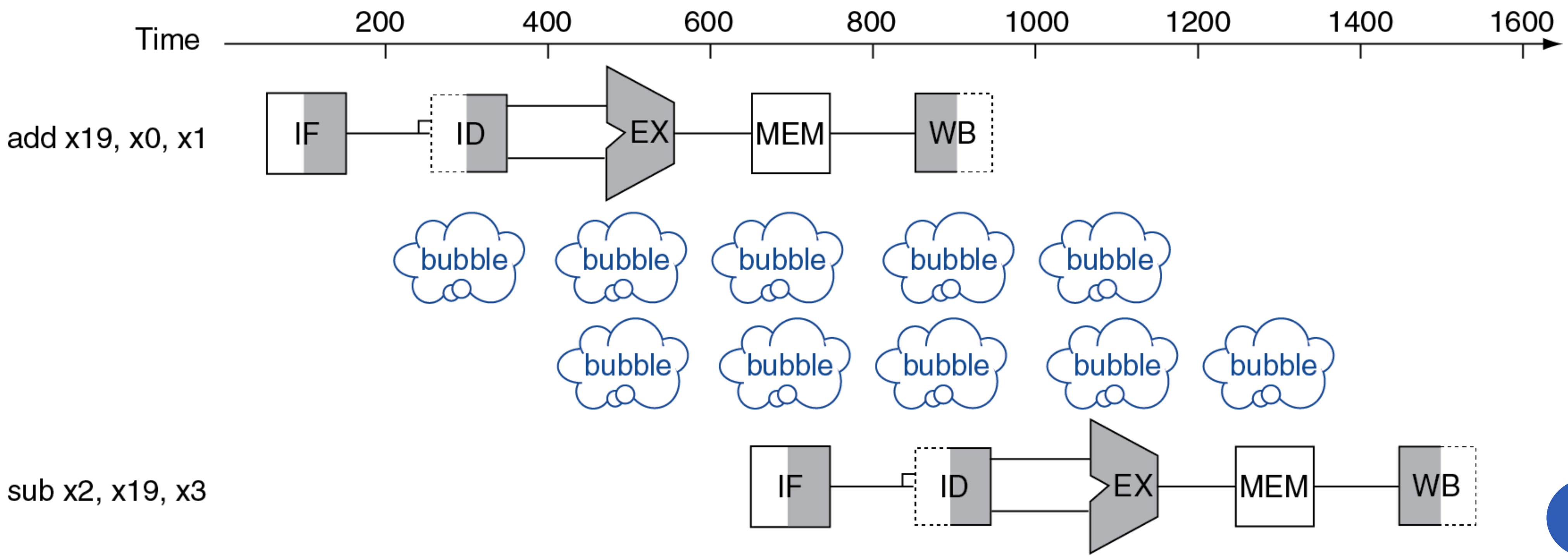
- Structure hazard
  - A required resource is busy
- Data hazard
  - Need to wait for previous instruction to complete its data read/write
- Control hazard
  - Deciding on control action depends on previous instruction

Structure Hazards

- Conflict for use of a resource
- In RISC-V pipeline with a single memory
  - Load/store requires data access
  - Instruction fetch would have to *stall* for that cycle
    - Would cause a pipeline “bubble”
- Hence, pipelined datapaths require separate instruction/data memories
  - Or separate instruction/data caches

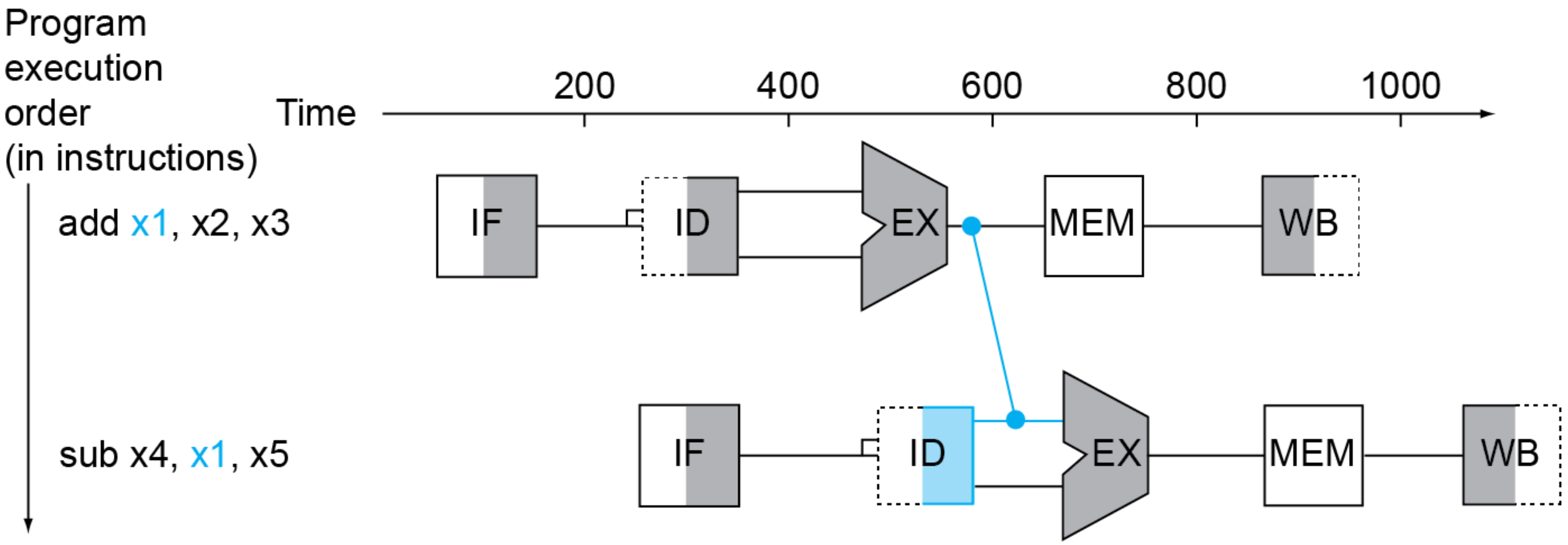
Data Hazards

- An instruction depends on completion of data access by a previous instruction
  - ```
    add  x19, x0, x1
    sub  x2, x19, x3
    ```


    ```

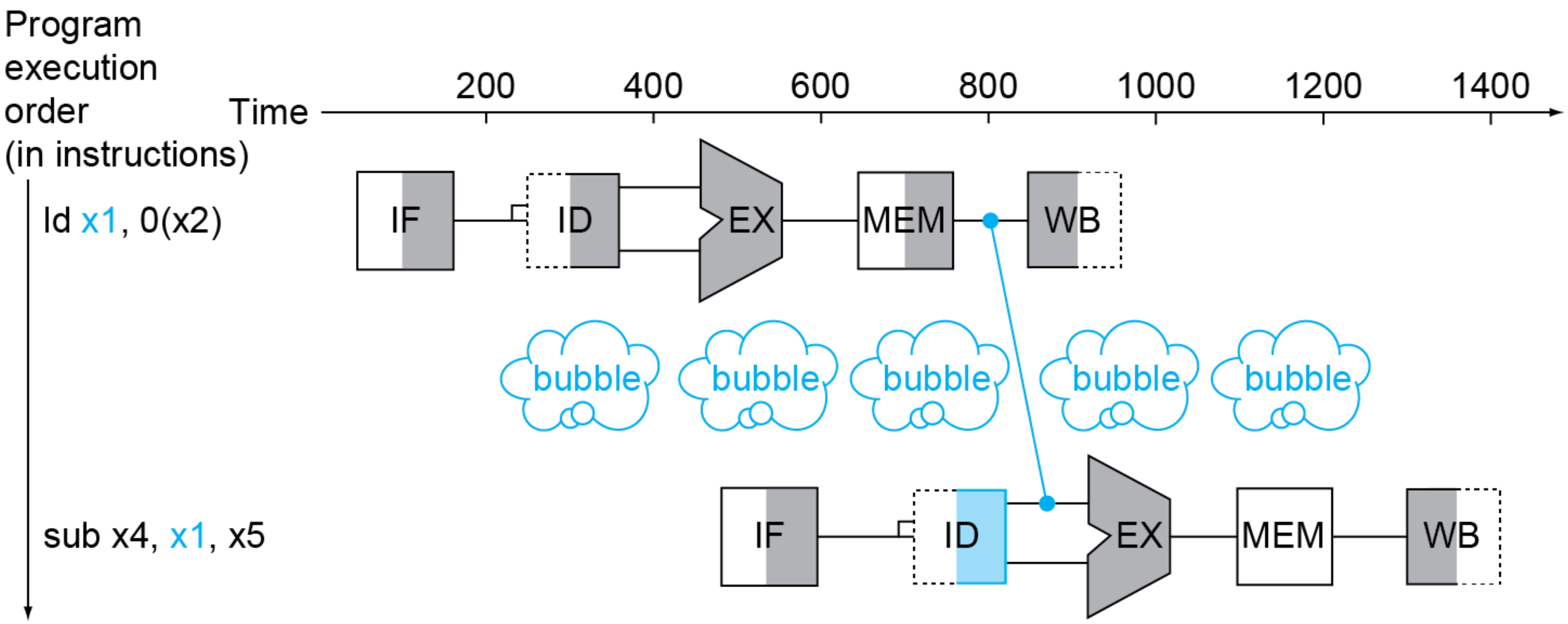
Forwarding (aka Bypassing)

- Use result when it is computed
  - Don't wait for it to be stored in a register
  - Requires extra connections in the datapath



Load-Use Data Hazard

- Cannot always avoid stalls by forwarding
  - If value not computed when needed
  - Cannot forward backward in time!



Code Scheduling to Avoid Stalls

- Reorder code to avoid use of load result in the next instruction
- C code for `a = b + e; c = b + f;`

```
ld   x1, 0(x0)
ld   x2, 8(x0)
add  x3, x1, x2     ← Stall
sd   x3, 24(x0)
ld   x4, 16(x0)
add  x5, x1, x4     ← Stall
sd   x5, 32(x0)
```

13 cycles

```
ld   x1, 0(x0)
ld   x2, 8(x0)
ld   x4, 16(x0)
add  x3, x1, x2
sd   x3, 24(x0)
add  x5, x1, x4
sd   x5, 32(x0)
```

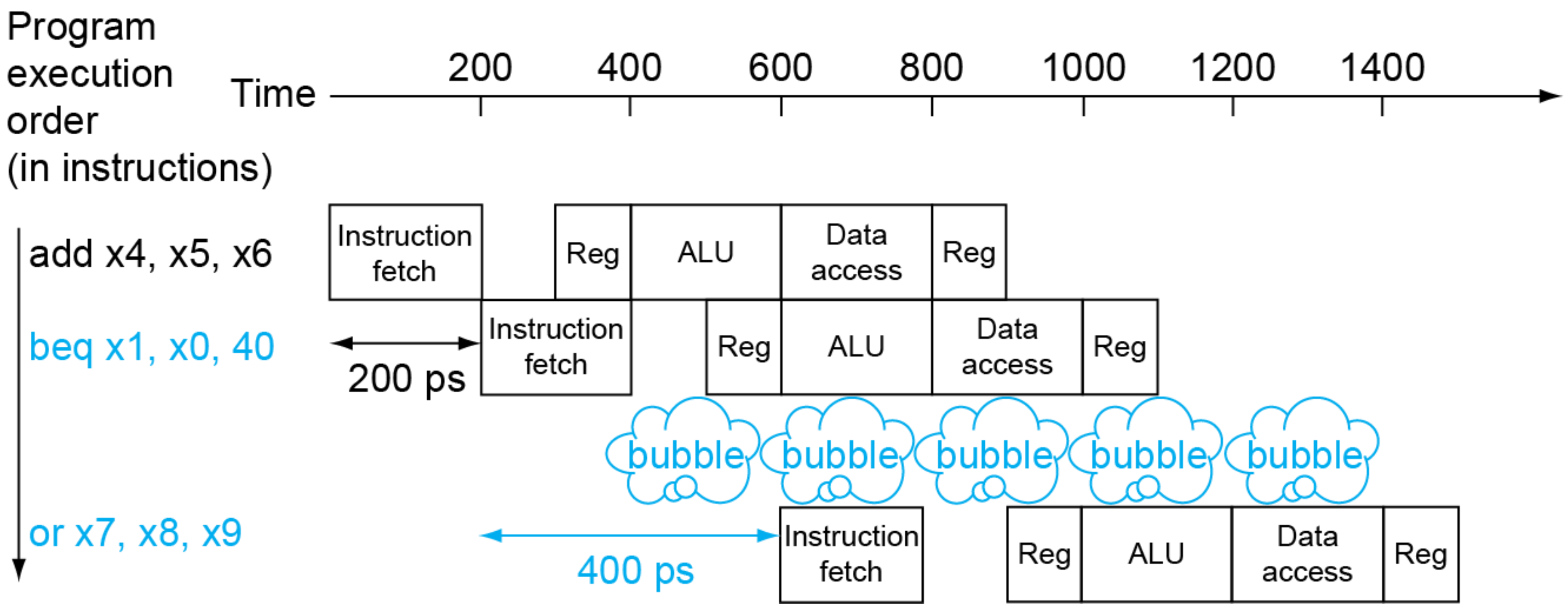
11 cycles

Control Hazards

- Branch determines flow of control
  - Fetching next instruction depends on branch outcome
  - Pipeline can’t always fetch correct instruction
    - Still working on ID stage of branch
- In RISC-V pipeline
  - Need to compare registers and compute target early in the pipeline
  - Add hardware to do it in ID stage

Stall on Branch

- Wait until branch outcome determined before fetching next instruction



Branch Prediction

- Longer pipelines cannot readily determine branch outcome early
  - Stall penalty becomes unacceptable
- Predict outcome of branch
  - Only stall if prediction is wrong
- In RISC-V pipeline
  - Can predict branches not taken
  - Fetch instruction after branch, with no delay

More-Realistic Branch Prediction

- Static branch prediction
  - Based on typical branch behavior
  - Example: loop and if-statement branches
    - Predict backward branches taken
    - Predict forward branches not taken
- Dynamic branch prediction
  - Hardware measures actual branch behavior
    - e.g., record recent history of each branch
  - Assume future behavior will continue the trend
    - When wrong, stall while re-fetching, and update history

Pipeline Summary

- Pipelining improves performance by increasing instruction throughput
  - Executes multiple instructions in parallel
  - Each instruction has the same latency
- Subject to hazards
  - Structure, data, control
- Instruction set design affects complexity of pipeline implementation

Instruction-Level Parallelism (ILP)

- Pipelining: executing multiple instructions in parallel
- To increase ILP
  - Deeper pipeline
    - Less work per stage $\Rightarrow$ shorter clock cycle
  - Multiple issue
    - Replicate pipeline stages $\Rightarrow$ multiple pipelines
    - Start multiple instructions per clock cycle
    - CPI < 1, so use Instructions Per Cycle (IPC)
    - E.g., 4GHz 4-way multiple-issue
      - 16 BIPS, peak CPI = 0.25, peak IPC = 4
    - But dependencies reduce this in practice

Multiple Issue

- Static multiple issue
  - Compiler groups instructions to be issued together
  - Packages them into “issue slots”
  - Compiler detects and avoids hazards
- Dynamic multiple issue
  - CPU examines instruction stream and chooses instructions to issue each cycle
  - Compiler can help by reordering instructions
  - CPU resolves hazards using advanced techniques at runtime

Speculation

- "Guess" what to do with an instruction
  - Start operation as soon as possible
  - Check whether guess was right
    - If so, complete the operation
    - If not, roll-back and do the right thing
- Common to static and dynamic multiple issue
- Examples
  - Speculate on branch outcome
    - Roll back if path taken is different
  - Speculate on load
    - Roll back if location is updated

Compiler/Hardware Speculation

- Compiler can reorder instructions
  - e.g., move load before branch
  - Can include “fix-up” instructions to recover from incorrect guess
- Hardware can look ahead for instructions to execute
  - Buffer results until it determines they are actually needed
  - Flush buffers on incorrect speculation

Static Multiple Issue

- Compiler groups instructions into “issue packets”
  - Group of instructions that can be issued on a single cycle
  - Determined by pipeline resources required
- Think of an issue packet as a very long instruction
  - Specifies multiple concurrent operations
  - $\Rightarrow$ Very Long Instruction Word (VLIW)

Scheduling Static Multiple Issue

- Compiler must remove some/all hazards
  - Reorder instructions into issue packets
  - No dependencies with a packet
  - Possibly some dependencies between packets
    - Varies between ISAs; compiler must know!
  - Pad with nop if necessary

RISC-V with Static Dual Issue

- Two-issue packets
  - One ALU/branch instruction
  - One load/store instruction
  - 64-bit aligned
    - ALU/branch, then load/store
    - Pad an unused instruction with nop

Address	Instruction type	Pipeline Stages						
n	ALU/branch	IF	ID	EX	MEM	WB		
n + 4	Load/store	IF	ID	EX	MEM	WB		
n + 8	ALU/branch		IF	ID	EX	MEM	WB	
n + 12	Load/store		IF	ID	EX	MEM	WB	
n + 16	ALU/branch			IF	ID	EX	MEM	WB
n + 20	Load/store			IF	ID	EX	MEM	WB

Dynamic Multiple Issue

- "Superscalar" processors
- CPU decides whether to issue 0, 1, 2, … each cycle
  - Avoiding structural and data hazards
- Avoids the need for compiler scheduling
  - Though it may still help
  - Code semantics ensured by the CPU

Dynamic Pipeline Scheduling

- Allow the CPU to execute instructions out of order to avoid stalls
  - But commit result to registers in order
- Example

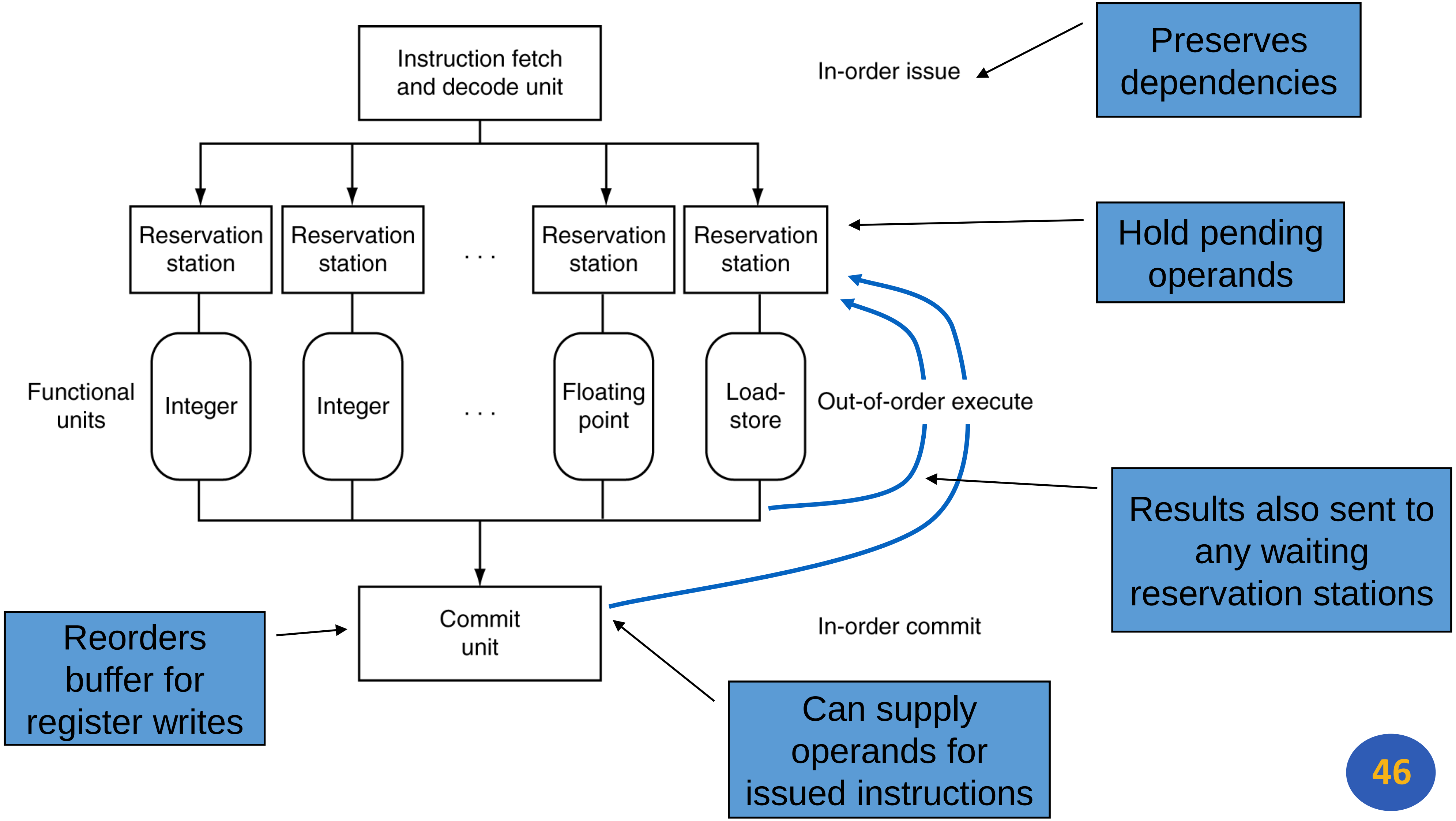
```
ld    x31,20(x21)
add   x1,x31,x2
sub   x23,x23,x3
andi  x5,x23,20
```

  - Can start `sub` while `add` is waiting for ld

Why Do Dynamic Scheduling?

- Why not just let the compiler schedule code?
- Not all stalls are predicable
  - e.g., cache misses
- Can’t always schedule around branches
  - Branch outcome is dynamically determined
- Different implementations of an ISA have different latencies and hazards

Dynamically Scheduled CPU



Does Multiple Issue Work?

- Yes, but not as much as we'd like
- Programs have real dependencies that limit ILP
- Some dependencies are hard to eliminate
  - e.g., pointer aliasing
- Some parallelism is hard to expose
  - Limited window size during instruction issue
- Memory delays and limited bandwidth
  - Hard to keep pipelines full
- Speculation can help if done well

Conclusion

- ISA influences design of datapath and control
- Datapath and control influence design of ISA
- Pipelining improves instruction throughput using parallelism
  - More instructions completed per second
  - Latency for each instruction not reduced
- Hazards: structural, data, control
- Multiple issue and dynamic scheduling (ILP)
  - Dependencies limit achievable parallelism
  - Complexity leads to the power wall

Any Questions?

```
            .text
__start:    addi t1, zero, 0x18
            addi t2, zero, 0x21
cycle:      beq t1, t2, done
            slt t0, t1, t2
            bne t0, zero, if_less
            nop
            sub t1, t1, t2
            j cycle
            nop
if_less:    sub t2, t2, t1
            j cycle
done:       add t3, t1, zero
```