

Chapter 3: Structures of Metals & Ceramics

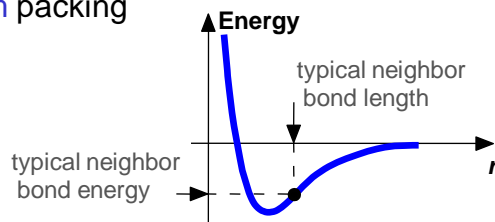
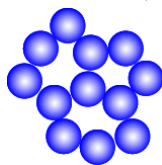
ISSUES TO ADDRESS...

- What is the difference in atomic arrangement between crystalline and noncrystalline solids?
- What features of a metal's/ceramic's atomic structure determine its density?
- How do the crystal structures of ceramic materials differ from those for metals?
- Under what circumstances does a material property vary with the measurement direction?

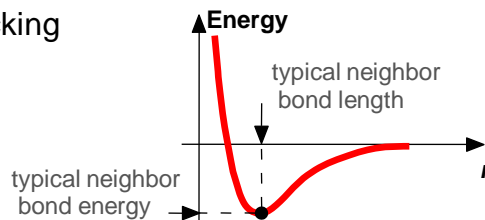
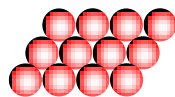
Chapter 3 - 1

Energy and Packing

- Non dense, **random** packing



- Dense, **ordered** packing



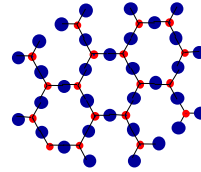
Dense, ordered packed structures tend to have lower energies.

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Materials and Packing

Crystalline materials...

- atoms pack in periodic, 3D arrays
- typical of:
 - metals
 - many ceramics
 - some polymers



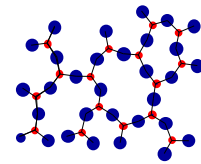
crystalline SiO_2

Adapted from Fig. 3.41(a),
Callister & Rethwisch 4e.

• **Si** • **Oxygen**

Noncrystalline materials...

- atoms have no periodic packing
- occurs for:
 - complex structures
 - rapid cooling



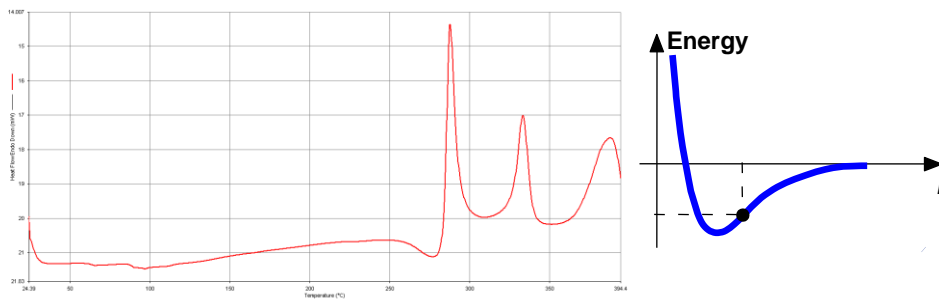
noncrystalline SiO_2

Adapted from Fig. 3.41(b),
Callister & Rethwisch 4e.

"Amorphous" = Noncrystalline

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DSC profile of amorphous materials (Differential scanning calorimetry)

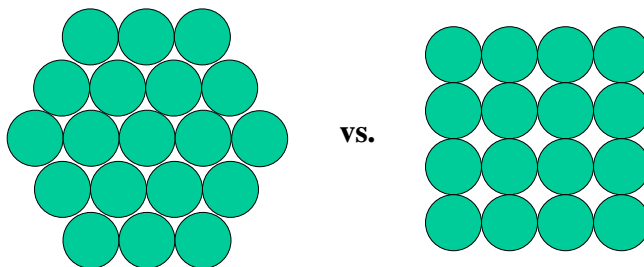


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Metallic Crystal Structures

- How can we stack metal atoms to minimize empty space?

2-dimensions



Now stack these 2-D layers to make 3-D structures

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Metallic Crystal Structures

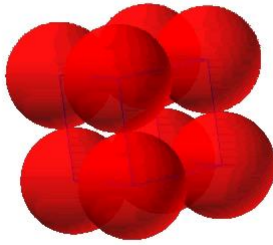
- Tend to be densely packed.
- Reasons for dense packing:
 - Typically, only one element is present, so all atomic radii are the same.
 - Metallic bonding is not directional.
 - Nearest neighbor distances tend to be small in order to lower bond energy.
- Metals have the simplest crystal structures.

We will examine three such structures...

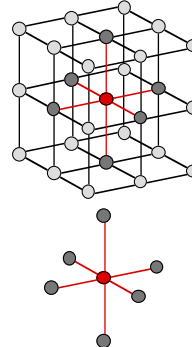
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Simple Cubic Structure (SC)

- Rare due to low packing density (only Po has this structure)
- **Close-packed directions** are cube edges.
- **Coordination #** = 6
(# nearest neighbors)



(Courtesy P.M. Anderson)



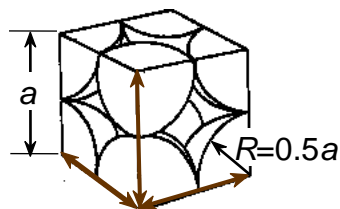
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Atomic Packing Factor (APF)

$$\text{APF} = \frac{\text{Volume of atoms in unit cell}^*}{\text{Volume of unit cell}}$$

*assume hard spheres

- APF for a simple cubic structure = 0.52



close-packed directions

contains $8 \times 1/8 =$

1 atom/unit cell

Adapted from Fig. 3.43,
Callister & Rethwisch 4e.

$$\text{APF} = \frac{\text{atoms unit cell} \times \frac{4}{3} \pi (0.5a)^3}{a^3}$$

volume atom

volume unit cell

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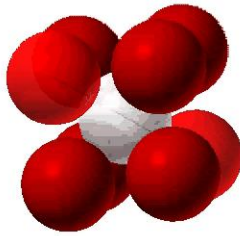
Body Centered Cubic Structure (BCC)

- Atoms touch each other along cube diagonals.

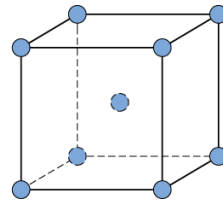
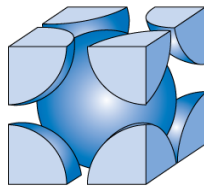
--Note: All atoms are identical; the center atom is shaded differently only for ease of viewing.

ex: Cr, W, Fe (α), Tantalum, Molybdenum

- Coordination # = 8



(Courtesy P.M. Anderson)



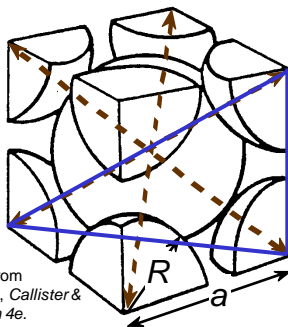
Adapted from Fig. 3.2, Callister & Rethwisch 4e.

2 atoms/unit cell: 1 center + 8 corners \times 1/8

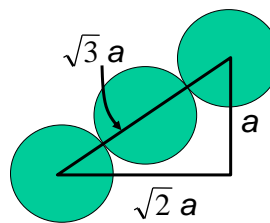
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Atomic Packing Factor: BCC

- APF for a body-centered cubic structure = 0.68



Adapted from Fig. 3.2(a), Callister & Rethwisch 4e.



Close-packed directions:
length = $4R = \sqrt{3} a$

$$\text{APF} = \frac{\text{atoms unit cell} \times \text{volume atom}}{\text{volume unit cell}}$$

$$\text{APF} = \frac{2 \times \frac{4}{3} \pi (\sqrt{3}a/4)^3}{a^3}$$

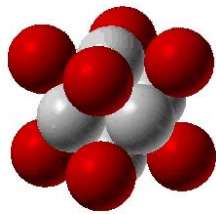
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Face Centered Cubic Structure (FCC)

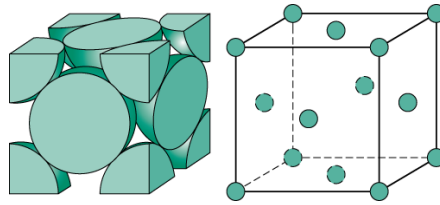
- Atoms touch each other along face diagonals.
--Note: All atoms are identical; the face-centered atoms are shaded differently only for ease of viewing.

ex: Al, Cu, Au, Pb, Ni, Pt, Ag

- Coordination # = 12



(Courtesy P.M. Anderson)



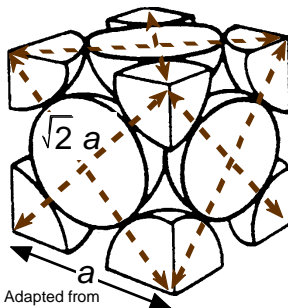
Adapted from Fig. 3.1, Callister & Rethwisch 4e.

4 atoms/unit cell: 6 face \times 1/2 + 8 corners \times 1/8

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Atomic Packing Factor: FCC

- APF for a face-centered cubic structure = 0.74
maximum achievable APF



Adapted from
Fig. 3.1(a),
Callister &
Rethwisch 4e.

Close-packed directions:
length = $4R = \sqrt{2} a$

Unit cell contains:
 $6 \times 1/2 + 8 \times 1/8$
= 4 atoms/unit cell

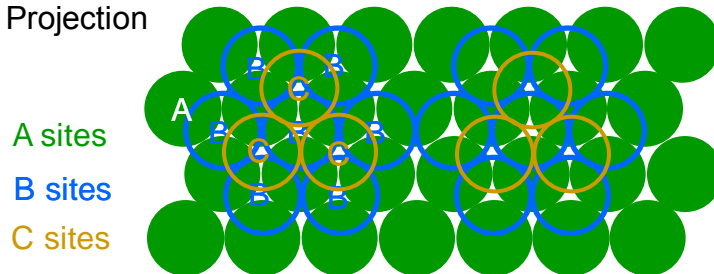
$$\text{APF} = \frac{\frac{\text{atoms}}{\text{unit cell}} \times \frac{\text{volume}}{\text{atom}}}{\frac{\text{volume}}{\text{unit cell}}}$$

$$\text{APF} = \frac{4 \times \frac{4}{3} \pi (\frac{\sqrt{2}a}{4})^3}{a^3}$$

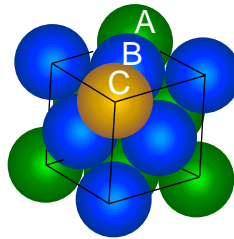
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FCC Stacking Sequence

- ABCABC... Stacking Sequence
- 2D Projection



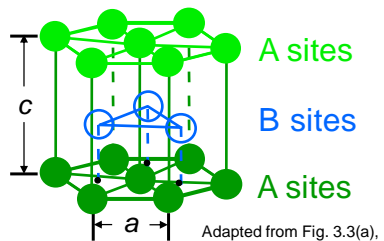
- FCC Unit Cell



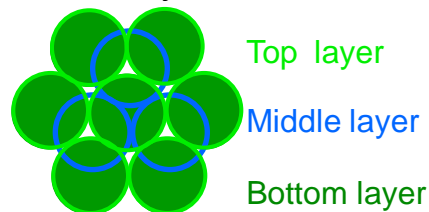
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Hexagonal Close-Packed Structure (HCP)

- ABAB... Stacking Sequence
- 3D Projection



- 2D Projection



- Coordination # = 12

- APF = 0.74

- $c/a = 1.633$

6 atoms/unit cell

ex: Cd, Mg, Ti, Zn

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Theoretical Density, ρ

$$\text{Density} = \rho = \frac{\text{Mass of Atoms in Unit Cell}}{\text{Total Volume of Unit Cell}}$$

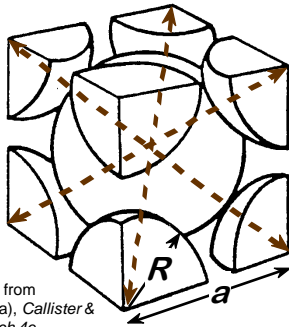
$$\rho = \frac{nA}{V_C N_A}$$

where

- n = number of atoms/unit cell
- A = atomic weight (g/mol)
- V_C = Volume of unit cell = a^3 for cubic
- N_A = Avogadro's number
= 6.022×10^{23} atoms/mol

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Theoretical Density, ρ



Adapted from
Fig. 3.2(a), Callister &
Rethwisch 4e.

- Ex: Cr (BCC)
 - $A = 52.00$ g/mol
 - $R = 0.125$ nm
 - $n = 2$ atoms/unit cell
 - $a = 4R/\sqrt{3} = 0.2887$ nm

$$\rho = \frac{\begin{array}{|c|c|} \hline \text{atoms} \\ \hline \text{unit cell} \\ \hline \end{array} \begin{array}{|c|c|} \hline 2 \\ 52.00 \\ \hline \end{array} \frac{\text{g}}{\text{mol}}}{\begin{array}{|c|c|} \hline \text{volume} \\ \hline \text{unit cell} \\ \hline \end{array} \begin{array}{|c|c|} \hline a^3 \\ 6.022 \times 10^{23} \\ \hline \end{array} \frac{\text{atoms}}{\text{mol}}}$$

$\rho_{\text{theoretical}}$	= 7.18 g/cm ³
ρ_{actual}	= 7.19 g/cm ³

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Atomic Bonding in Ceramics

- Bonding:
 - Can be ionic and/or covalent in character.
 - % ionic character increases with difference in electronegativity of atoms.
- Degree of ionic character may be large or small:

IA																																0															
H 2.1																He -																															
Li 1.0		Be 1.5														B 2.0		C 2.5		N 3.0		O 3.5		F 4.0		Ne -																					
Na 0.9		Mg 1.2		Al 1.5		Si 1.8		P 2.1		S 2.5		Cl 3.0		Ar -																																	
K 0.8		Ca 1.0		Sc 1.3		Ti 1.5		V 1.6		Cr 1.6		Mn 1.5		Fe 1.8		Co 1.8		Ni 1.8		Cu 1.9		Zn 1.6		Ga 1.6		Ge 1.8		As 2.0		Se 2.4		Br 2.8		Kr -													
Rb 0.8		Sr 1.0		Y 1.2		Zr 1.4		Nb 1.6		Mo 1.8		Tc 1.9		Ru 2.2		Rh 2.2		Pd 2.2		Ag 1.9		Cd 1.7		In 1.7		Sn 1.8		Sb 1.9		Te 2.1		I 2.5		Xe -													
Cs 0.7		Ba 0.9		La-Lu 1.1-1.2		Hf 1.3		Ta 1.5		W 1.7		Re 1.9		Os 2.2		Ir 2.2		Pt 2.2		Au 2.4		Hg 1.9		Tl 1.8		Pb 1.8		Bi 1.9		Po 2.0		At 2.2		Rn -													
Fr 0.7		Ra 0.9		Ac-No 1.1-1.7																																											

CaF₂: large

SiC: small

Adapted from Fig. 2.7, Callister & Rethwisch 4e. (Fig. 2.7 is adapted from Linus Pauling, *The Nature of the Chemical Bond*, 3rd edition. Copyright 1939 and 1940, 3rd edition copyright © 1960 by Cornell University.

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Ceramic Crystal Structures

Oxide structures

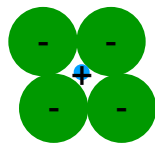
- oxygen anions larger than metal cations
- close packed oxygen in a lattice (usually FCC)
- cations fit into interstitial sites among oxygen ions

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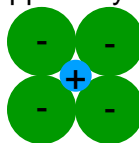
Factors that Determine Crystal Structure

1. Relative sizes of ions – Formation of stable structures:

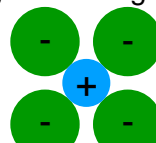
--maximize the # of oppositely charged ion neighbors.



unstable



stable



stable

Adapted from Fig. 3.4,
Callister & Rethwisch 4e.

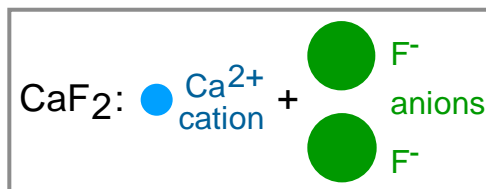
2. Maintenance of Charge Neutrality :

--Net charge in ceramic should be zero.

--Reflected in chemical formula:



m, p values to achieve charge neutrality



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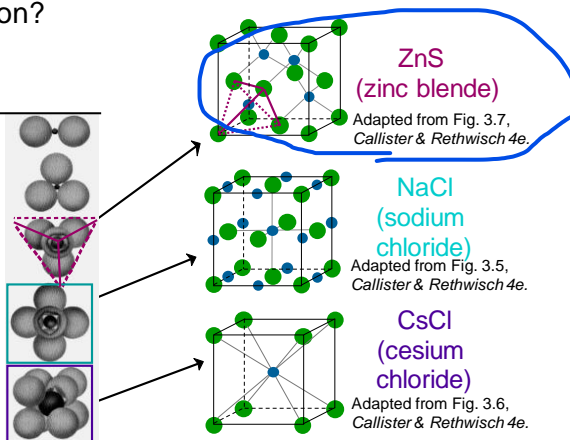
Coordination Number and Ionic Radii

- Coordination Number increases with $\frac{r_{\text{cation}}}{r_{\text{anion}}}$

To form a stable structure, how many anions can surround around a cation?

$\frac{r_{\text{cation}}}{r_{\text{anion}}}$	Coord. Number	
< 0.155	2	linear
0.155 - 0.225	3	triangular
0.225 - 0.414	4	tetrahedral
0.414 - 0.732	6	octahedral
0.732 - 1.0	8	cubic

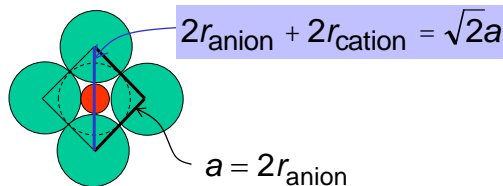
Adapted from Table 3.3,
Callister & Rethwisch 4e.



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Computation of Minimum Cation-Anion Radius Ratio

- Determine minimum $r_{\text{cation}}/r_{\text{anion}}$ for an octahedral site (C.N. = 6)



$$2r_{\text{anion}} + 2r_{\text{cation}} = 2\sqrt{2}r_{\text{anion}}$$

$$r_{\text{anion}} + r_{\text{cation}} = \sqrt{2}r_{\text{anion}} \quad r_{\text{cation}} = (\sqrt{2} - 1)r_{\text{anion}}$$

$$\frac{r_{\text{cation}}}{r_{\text{anion}}} = \sqrt{2} - 1 = 0.414$$

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Bond Hybridization

→ s, p 가 혼합되어 있다

Bond Hybridization is possible when there is significant covalent bonding

– hybrid electron orbitals form

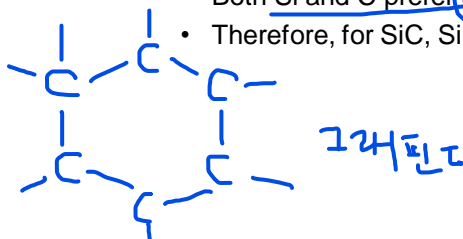
– For example for SiC

- $X_{\text{Si}} = 1.8$ and $X_{\text{C}} = 2.5$

C, Si 가 40% 정도 공유 결합

$$\% \text{ ionic character} = 100 \{1 - \exp[-0.25(X_{\text{Si}} - X_{\text{C}})^2]\} = 11.5\%$$

- ~ 89% covalent bonding
- Both Si and C prefer sp^3 hybridization
- Therefore, for SiC, Si atoms occupy tetrahedral sites



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Example Problem: Predicting the Crystal Structure of FeO

- On the basis of ionic radii, what crystal structure would you predict for FeO?

Cation Ionic radius (nm)

Al³⁺ 0.053

Fe²⁺ 0.077

Fe³⁺ 0.069

Ca²⁺ 0.100

Anion

O²⁻ 0.140

Cl⁻ 0.181

F⁻ 0.133

- Answer:

$$\frac{r_{\text{cation}}}{r_{\text{anion}}} = \frac{0.077}{0.140} = 0.550$$

based on this ratio,

-- coord # = 6 because

$$0.414 < 0.550 < 0.732$$

-- crystal structure is NaCl

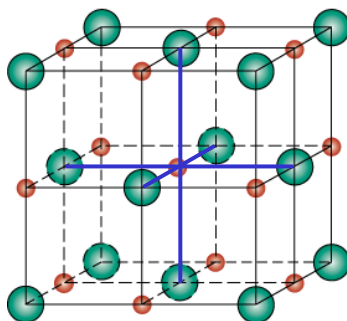
Data from Table 3.4,
Callister & Rethwisch 4e.

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Rock Salt Structure

Same concepts can be applied to ionic solids in general.

Example: NaCl (rock salt) structure



Na⁺ $r_{\text{Na}} = 0.102 \text{ nm}$

Cl⁻ $r_{\text{Cl}} = 0.181 \text{ nm}$

$$r_{\text{Na}}/r_{\text{Cl}} = 0.564$$

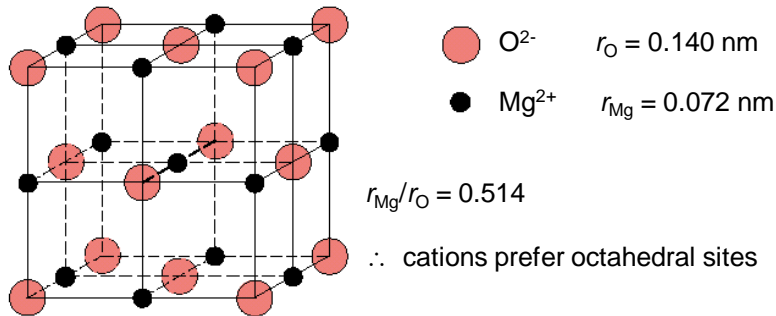
∴ cations (Na⁺) prefer octahedral sites

Adapted from Fig. 3.5,
Callister & Rethwisch 4e.

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MgO and FeO

MgO and FeO also have the NaCl structure



Adapted from Fig. 3.5,
Callister & Rethwisch 4e.

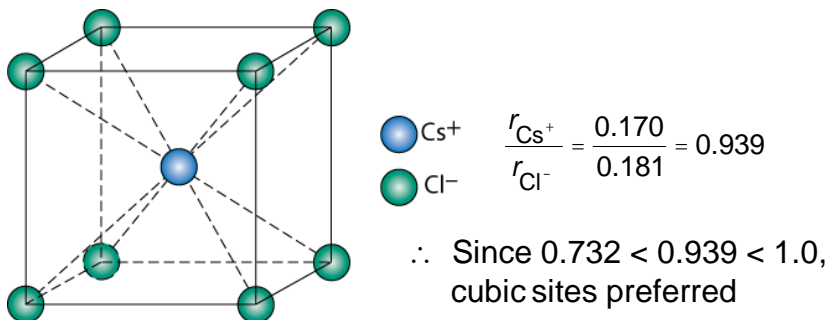
So each Mg^{2+} (or Fe^{2+}) has 6 neighbor oxygen atoms

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AX Crystal Structures

AX-Type Crystal Structures include NaCl, CsCl, and zinc blende

Cesium Chloride structure:



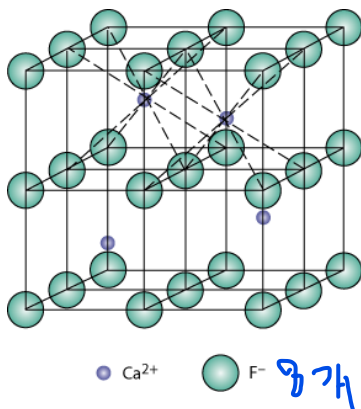
Adapted from Fig. 3.6,
Callister & Rethwisch 4e.

So each Cs^+ has 8 neighbor Cl^-

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AX₂ Crystal Structures

Fluorite structure



Adapted from Fig. 3.8,
Callister & Rethwisch 4e.

- Calcium Fluorite (CaF₂)
- Cations in cubic sites
- UO₂, ThO₂, ZrO₂, CeO₂
- Antifluorite structure – positions of cations and anions reversed

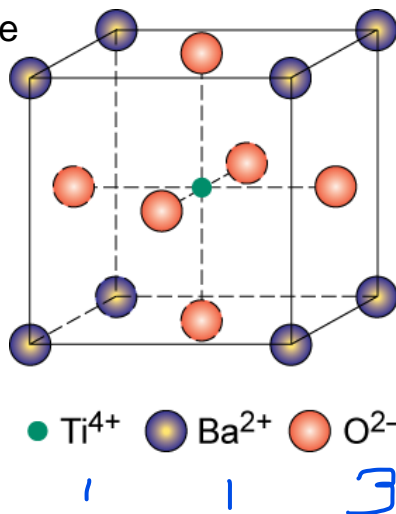
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ABX₃ Crystal Structures

- Perovskite structure

Ex: complex oxide
BaTiO₃

Adapted from Fig. 3.9,
Callister & Rethwisch 4e.



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Density Computations for Ceramics

Number of formula units/unit cell

$$\rho = \frac{n(SA_C + SA_A)}{V_C N_A}$$

Avogadro's number

Volume of unit cell

SA_C = sum of atomic weights of all cations in formula unit

SA_A = sum of atomic weights of all anions in formula unit

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Densities of Material Classes

In general

$\rho_{\text{metals}} > \rho_{\text{ceramics}} > \rho_{\text{polymers}}$

Why?

Metals have...

- close-packing (metallic bonding)
- often large atomic masses

Ceramics have...

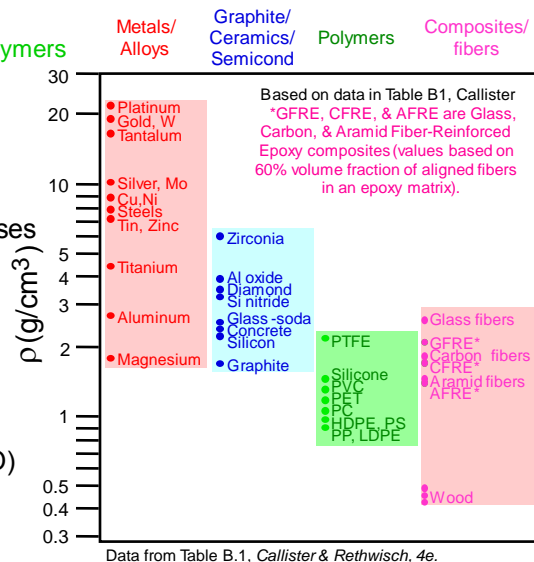
- less dense packing
- often lighter elements

Polymers have...

- low packing density (often amorphous)
- lighter elements (C, H, O)

Composites have...

- intermediate values



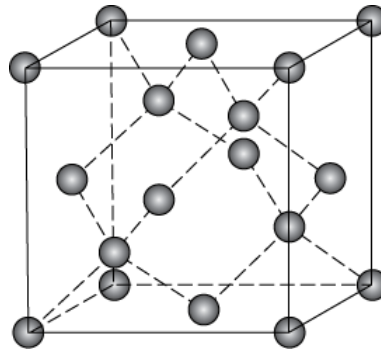
Data from Table B.1, Callister & Rethwisch, 4e.

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Polymorphic Forms of Carbon

Diamond

- tetrahedral bonding of carbon
 - hardest material known
 - very high thermal conductivity
- large single crystals – gem stones
- small crystals – used to grind/cut other materials
- diamond thin films
 - hard surface coatings – used for cutting tools, medical devices, etc.



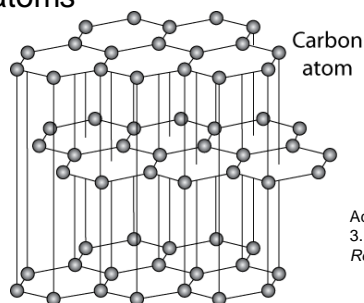
Adapted from Fig. 3.16, Callister & Rethwisch 4e.

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Polymorphic Forms of Carbon (cont)

Graphite

- layered structure – parallel hexagonal arrays of carbon atoms



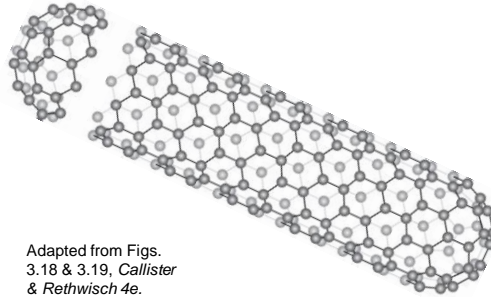
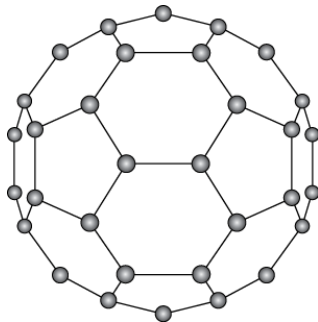
Adapted from Fig. 3.17, Callister & Rethwisch 4e.

- weak van der Waal' s forces between layers
- planes slide easily over one another -- good lubricant

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Polymorphic Forms of Carbon (cont) Fullerenes and Nanotubes

- **Fullerenes** – spherical cluster of 60 carbon atoms, C_{60}
 - Like a soccer ball
- **Carbon nanotubes** – sheet of graphite rolled into a tube
 - Ends capped with fullerene hemispheres



Adapted from Figs.
3.18 & 3.19, Callister
& Rethwisch 4e.

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Polymorphism

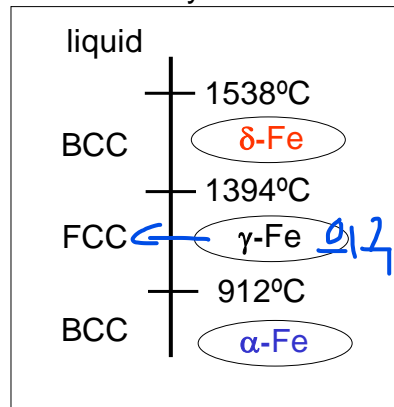
$BCC \rightarrow FCC \rightarrow BCC$

- Two or more distinct crystal structures for the same material (allotropy/polymorphism)

titanium
 α , β -Ti

carbon
diamond, graphite

iron system



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γ -Fe is FCC

Polycrystals

무엇인가?

Anisotropic

- Most engineering materials are polycrystals.

Grain
사라짐

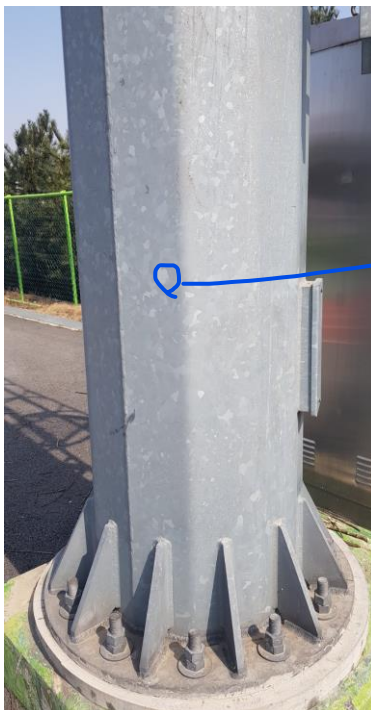


Adapted from Fig. K, color inset pages of *Callister 5e*. (Fig. K is courtesy of Paul E. Danielson, Teledyne Wah Chang Albany)

Isotropic

- Nb-Hf-W plate with an electron beam weld.
- Each "grain" is a single crystal.
- If grains are randomly oriented, overall component properties are not directional.
- Grain sizes typ. range from 1 nm to 2 cm (i.e., from a few to millions of atomic layers).

Chapter 3 - 35



A light tower next to the football field in Koreatech

grain

Chapter 3 - 36

Crystals as Building Blocks

- Some engineering applications require single crystals:
 - diamond single crystals for abrasives
 - turbine blades



(Courtesy Martin Deakins, GE Superabrasives, Worthington, OH. Used with permission.)

Fig. 9.40(c), Callister & Rethwisch 4e. (Fig. 9.40(c) courtesy of Pratt and Whitney).



(Courtesy P.M. Anderson)

- Properties of crystalline materials often related to crystal structure.
 - Ex: Quartz fractures more easily along some crystal planes than others.

Czochralski method of silicon
<https://youtu.be/xftnhfa-Dmo>

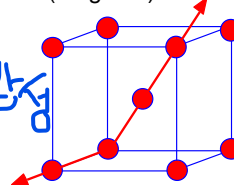
Chapter 3 - 37

grain 이 1개

Single vs Polycrystals

- Single Crystals
 - Properties vary with direction: anisotropic.
 - Example: the modulus of elasticity (E) in BCC iron:

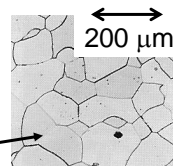
E (diagonal) = 273 GPa



E (edge) = 125 GPa

Data from Table 3.7, Callister & Rethwisch 4e. (Source of data is R.W. Hertzberg, *Deformation and Fracture Mechanics of Engineering Materials*, 3rd ed., John Wiley and Sons, 1989.)

- Polycrystals
 - Properties may/may not vary with direction.
 - If grains are randomly oriented: isotropic.
 - ($E_{\text{poly iron}} = 210 \text{ GPa}$)
 - If grains are textured, anisotropic.

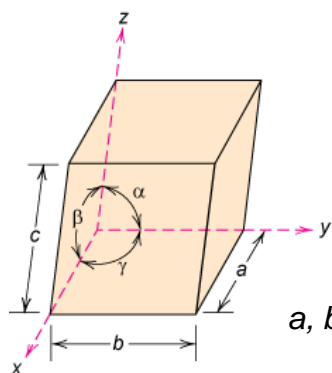


Adapted from Fig. 5.19(b), Callister & Rethwisch 4e. (Fig. 5.19(b) is courtesy of L.C. Smith and C. Brady, the National Bureau of Standards, Washington, DC [now the National Institute of Standards and Technology, Gaithersburg, MD].)

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Crystal Systems

Unit cell: smallest repetitive volume which contains the complete lattice pattern of a crystal.



7 crystal systems




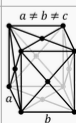
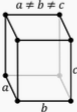











14 crystal lattices

a , b , and c are the lattice constants

Fig. 3.20, Callister & Rethwisch 4e.

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14 Bravais Lattices in 3D

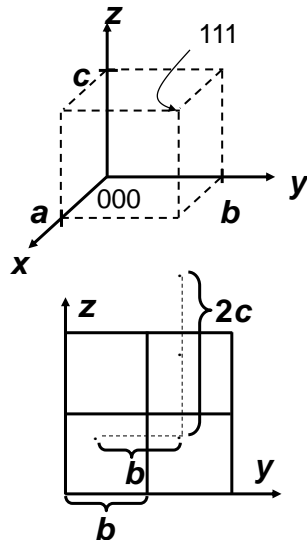
The 7 lattice systems		The 14 Bravais lattices			
Triclinic	P $\alpha, \beta, \gamma \neq 90^\circ$	$a \neq b \neq c$			
					
Monoclinic	P $\beta \neq 90^\circ$ $\alpha, \gamma = 90^\circ$	C $\beta \neq 90^\circ$ $\alpha, \gamma = 90^\circ$	$a \neq b \neq c$		
					
Orthorhombic	P $a \neq b \neq c$	C $a \neq b \neq c$	I $a \neq b \neq c$		
					
Tetragonal	P $a \neq c$				
					
Rhombohedral	P $\alpha = \beta = \gamma \neq 90^\circ$				
					
Hexagonal	P				
					
Cubic	P (fcc)	I (bcc)			
					

http://en.wikipedia.org/wiki/Bravais_lattice

http://en.wikipedia.org/wiki/Bravais_lattice

정사 1개

Point Coordinates



Point coordinates for unit cell center are

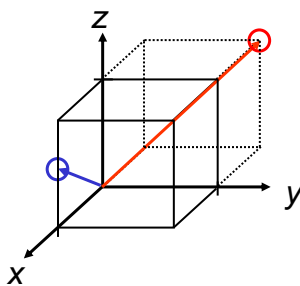
$$a/2, b/2, c/2 \quad \frac{1}{2} \frac{1}{2} \frac{1}{2}$$

Point coordinates for unit cell corner are 111

Translation: integer multiple of lattice constants \rightarrow identical position in another unit cell

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Crystallographic Directions



Algorithm

1. Vector repositioned (if necessary) to pass through origin.
2. Read off projections in terms of unit cell dimensions a , b , and c
3. Adjust to smallest integer values
4. Enclose in square brackets, no commas

$$[uvw]$$

ex: $1, 0, \frac{1}{2} \Rightarrow 2, 0, 1 \Rightarrow [201]$

$-1, 1, 1 \Rightarrow [\bar{1}11]$ where overbar represents a negative index

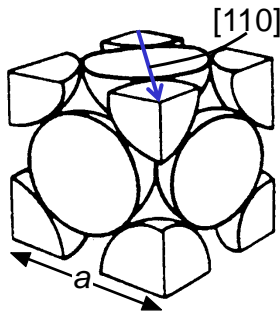
families of directions $\langle uvw \rangle$
<angle bracket>

any of direction

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Linear Density

- Linear Density of Atoms $\equiv LD = \frac{\text{Number of atoms}}{\text{Unit length of direction vector}}$



Adapted from
Fig. 3.1(a),
Callister &
Rethwisch 4e.

ex: linear density of Al in [110]
direction

$$a = 0.405 \text{ nm}$$

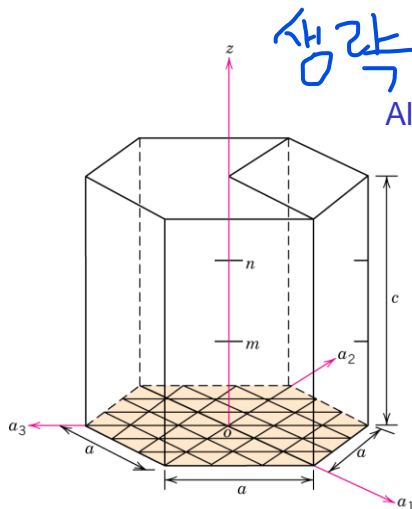
atoms \rightarrow 2

length \rightarrow $\sqrt{2}a$

$$LD = \frac{2}{\sqrt{2}a} = 3.5 \text{ nm}^{-1}$$

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Drawing HCP Crystallographic Directions (i)



Adapted from Figure 3.25,
Callister & Rethwisch 4e.

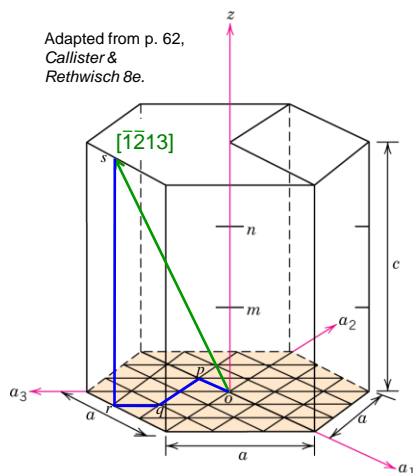
Algorithm (Miller-Bravais coordinates)

1. Remove brackets
2. Divide by largest integer so all values are ≤ 1
3. Multiply terms by appropriate unit cell dimension a (for a_1 , a_2 , and a_3 axes) or c (for z -axis) to produce projections
4. Construct vector by stepping off these projections

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Drawing HCP Crystallographic Directions (ii)

- Draw the $[\bar{1}\bar{2}13]$ direction in a hexagonal unit cell.



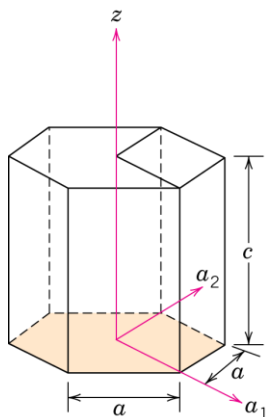
Algorithm

- | | a_1 | a_2 | a_3 | z |
|--------------------|----------------|-----------------|---------------|-----|
| 1. Remove brackets | -1 | -2 | 1 | 3 |
| 2. Divide by 3 | $-\frac{1}{3}$ | $-\frac{2}{3}$ | $\frac{1}{3}$ | 1 |
| 3. Projections | $-\frac{a}{3}$ | $-\frac{2a}{3}$ | $\frac{a}{3}$ | c |
- Construct Vector
 start at point o
 proceed $-a/3$ units along a_1 axis to point p
 $-2a/3$ units parallel to a_2 axis to point q
 $a/3$ units parallel to a_3 axis to point r
 c units parallel to z axis to point s

$[\bar{1}\bar{2}13]$ direction represented by vector from point o to point s

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Determination of HCP Crystallographic Directions (ii)



Adapted from p. 74, Callister & Rethwisch 4e.

Algorithm

- Vector repositioned (if necessary) to pass through origin.
- Read off projections in terms of three-axis (a_1 , a_2 , and z) unit cell dimensions a and c
- Adjust to smallest integer values
- Enclose in square brackets, no commas, for three-axis coordinates $[u'v'w']$
- Convert to four-axis Miller-Bravais lattice coordinates using equations below:

$$u = \frac{1}{3}(2u' - v') \quad v = \frac{1}{3}(2v' - u')$$

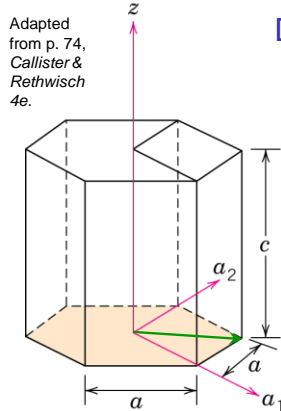
$$t = -(u + v) \quad w = w'$$

- Adjust to smallest integer values and enclose in brackets $[uvw]$

$[-4-53]$

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Determination of HCP Crystallographic Directions (ii)



Determine indices for green vector

Example

	a_1	a_2	z
1. Reposition	not needed		
2. Projections	a	a	$0c$
	1	1	0
3. Reduction	1	1	0
4. Brackets	[110]		

5. Convert to 4-axis parameters

$$u = \frac{1}{3}[(2)(1) - (1)] = \frac{1}{3} \quad v = \frac{1}{3}[(2)(1) - (1)] = \frac{1}{3}$$

$$t = -\left(\frac{1}{3} + \frac{1}{3}\right) = -\frac{2}{3} \quad w = 0$$

6. Reduction & Brackets

$$1/3, 1/3, -2/3, 0 \Rightarrow 1, 1, -2, 0 \Rightarrow [11\bar{2}0]$$

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Quiz

- Sketch a tetragonal unit cell, and within that cell indicate locations of the $\frac{1}{2} 1 \frac{1}{2}$ and $\frac{1}{4} 1 \frac{3}{4}$ point coordinates.

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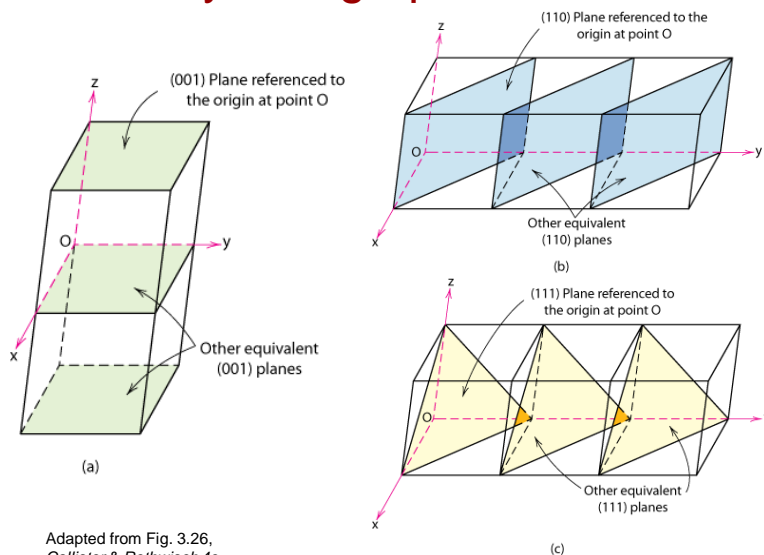
Quiz

- *Within a cubic unit cell, sketch the following directions:*

- | | |
|---------------------------|---------------------------|
| (a) $[\bar{1}10]$, | (e) $[\bar{1}\bar{1}1]$, |
| (b) $[\bar{1}\bar{2}1]$, | (f) $[\bar{1}22]$, |
| (c) $[0\bar{1}2]$, | (g) $[1\bar{2}\bar{3}]$, |
| (d) $[1\bar{3}3]$, | (h) $[\bar{1}03]$. |

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Crystallographic Planes



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Crystallographic Planes

- Miller Indices: Reciprocals of the (three) axial intercepts for a plane, cleared of fractions & common multiples. All parallel planes have same Miller indices.
- Algorithm
 1. Read off intercepts of plane with axes in terms of a, b, c
 2. Take reciprocals of intercepts
 3. Reduce to smallest integer values
 4. Enclose in parentheses, no commas i.e., (hkl)

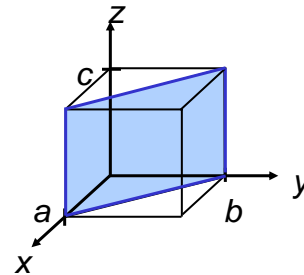
교차점

역수

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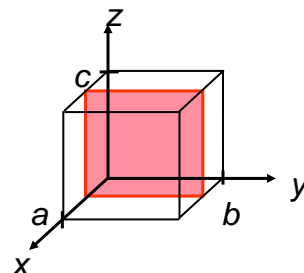
Crystallographic Planes

example	a	b	c
1. Intercepts	1	1	∞
2. Reciprocals	$1/1$	$1/1$	$1/\infty$
	1	1	0
3. Reduction	1	1	0
4. Miller Indices	(110)		



example	a	b	c
1. Intercepts	$1/2$	∞	∞
2. Reciprocals	$1/(1/2)$	$1/\infty$	$1/\infty$
	2	0	0
3. Reduction	2	0	0
4. Miller Indices	(200)		

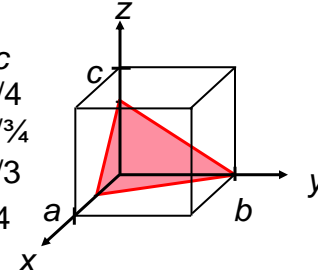
(200)



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같은 원자끼리 조
면기는 서로 다르다

Crystallographic Planes

example	a	b	c	
1. Intercepts	$1/2$	1	$3/4$	
2. Reciprocals	$1/1/2$	$1/1$	$1/3/4$	
3. Reduction	2	1	$4/3$	
4. Miller Indices	(634)			

Family of Planes $\{hkl\}$ Curly bracket

Ex: $\{100\} = (100), (010), (001), (\bar{1}00), (0\bar{1}0), (00\bar{1})$
 Round bracket

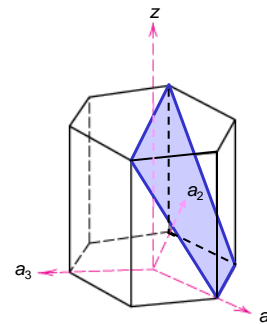
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Crystallographic Planes (HCP)

- In hexagonal unit cells the same idea is used

한글

example	a_1	a_2	a_3	c
1. Intercepts	1	∞	-1	1
2. Reciprocals	1	$1/\infty$	-1	1
3. Reduction	1	0	-1	1
4. Miller-Bravais Indices	$(10\bar{1}1)$			



Adapted from Fig. 3.24(b),
 Callister & Rethwisch 4e.

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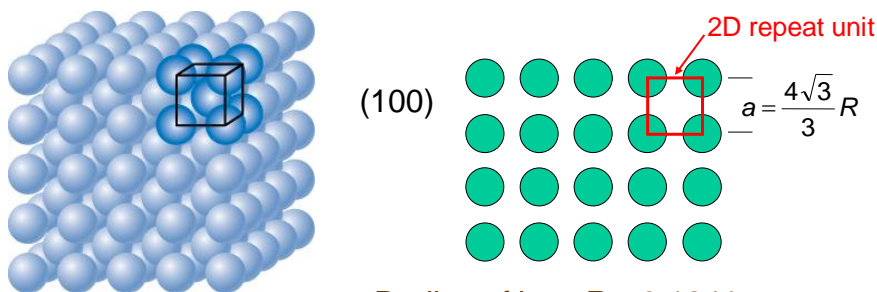
Crystallographic Planes

- We want to examine the atomic packing of crystallographic planes
- Iron foil can be used as a catalyst. The atomic packing of the exposed planes is important.
 - Draw (100) and (111) crystallographic planes for Fe.
 - Calculate the planar density for each of these planes.

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Planar Density of (100) Iron

Solution: At $T < 912^\circ\text{C}$ iron has the BCC structure.



Adapted from Fig. 3.2(c), Callister & Rethwisch 4e.

Radius of iron $R = 0.1241 \text{ nm}$

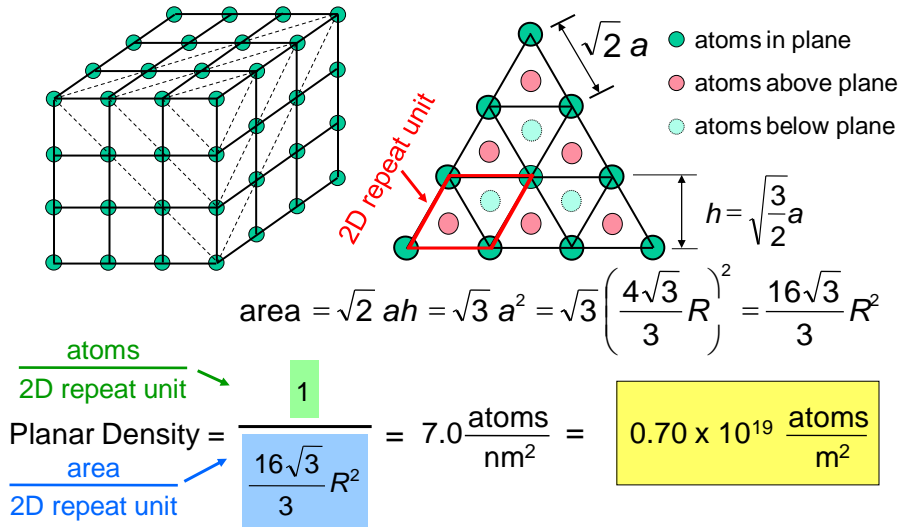
$$\text{Planar Density} = \frac{\text{atoms}}{\text{area}} = \frac{1}{\left(\frac{4\sqrt{3}}{3} R\right)^2} = 12.1 \frac{\text{atoms}}{\text{nm}^2} = 1.2 \times 10^{19} \frac{\text{atoms}}{\text{m}^2}$$

atoms
2D repeat unit
area
2D repeat unit

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Planar Density of (111) Iron

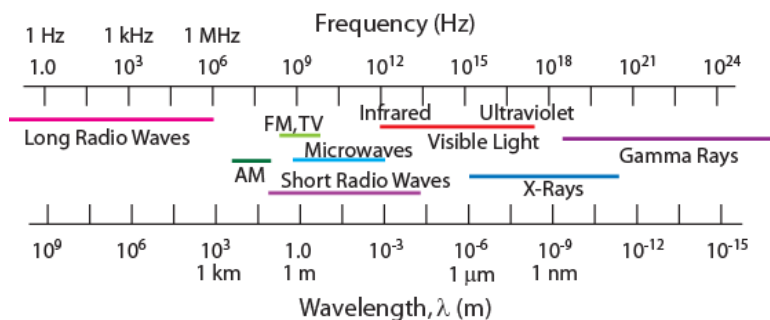
Solution (cont): (111) plane 1 atom in plane/ unit surface cell



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X-Ray Diffraction

Electromagnetic Spectrum

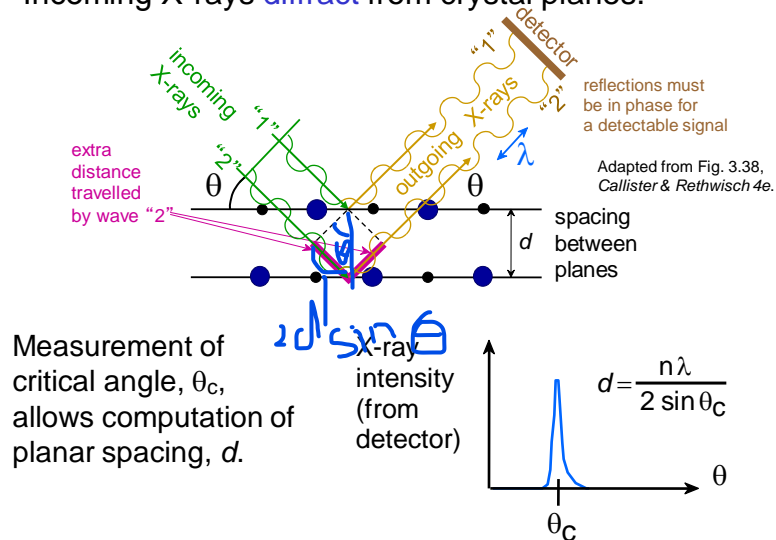


- Diffraction gratings must have spacings comparable to the wavelength of diffracted radiation.
- Can't resolve spacings $< \lambda$
- Spacing is the distance between parallel planes of atoms.

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X-Rays to Determine Crystal Structure

- Incoming X-rays **diffract** from crystal planes.



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Instrument Settings

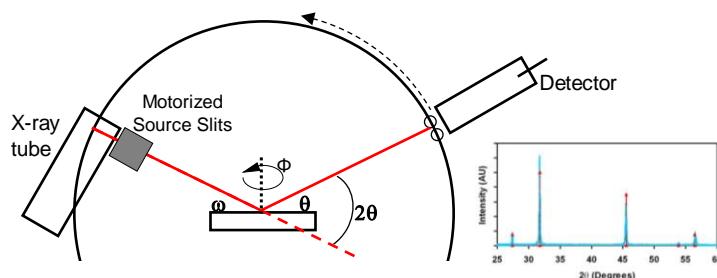
- Source
 - Cu K_α
- Type of measurement
 - Coupled 2θ
 - Detector scan
 - Etc.



Bruker D8 Focus

Chapter 3 -

Coupled 2θ Measurements

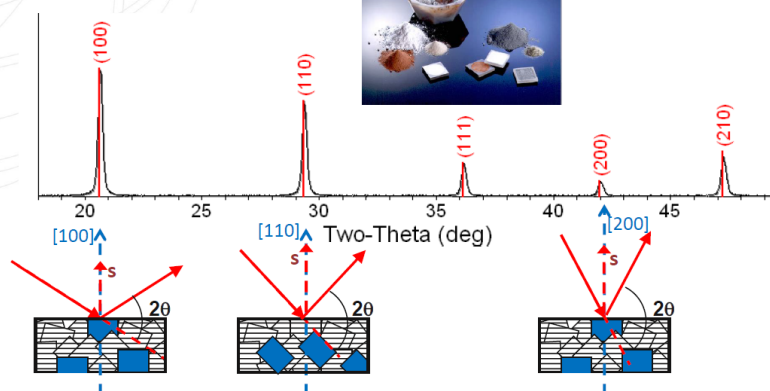


- In “Coupled 2θ ” Measurements:
 - The incident angle ω is always $\frac{1}{2}$ of the detector angle 2θ .
 - The x-ray source is fixed, the sample rotates at θ °/min and the detector rotates at 2θ °/min.
- Angles
 - The incident angle (ω) is between the X-ray source and the sample.
 - The diffracted angle (2θ) is between the incident beam and the detector.
 - In plane rotation angle (Φ)

Chapter 3 -

ANALYSIS OF POWDERS

Powder diffraction



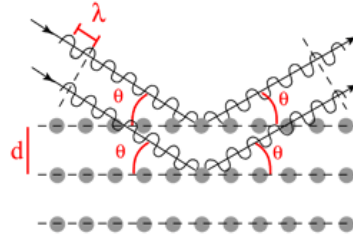
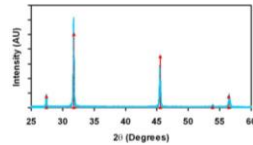
- ✓ For every set of planes, there will be a small percentage of crystallites that are properly oriented to diffract
- ✓ Basic assumptions of powder diffraction:
 - For every set of planes, there is an equal number of crystallites that will diffract
 - There is a statistically relevant number of crystallites

https://www.list.lu/fileadmin/files/Event/sites/tudor/files/event/4_Presentation_st.lu
Dr_Yves_Fleming.pdf

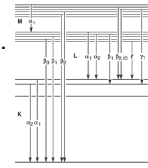
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Bragg's law and Peak Positions.

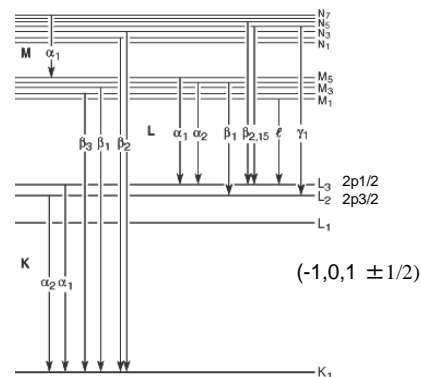
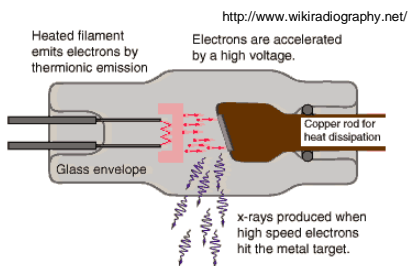
$$\lambda = 2d_{hkl} \sin \theta$$



- For parallel planes of atoms, with a space d_{hkl} between the planes, constructive interference only occurs when Bragg's law is satisfied.
- - X-ray wavelengths λ are:
 - Cu $K_{\alpha 1}$ = 1.540598 Å and Cu $K_{\alpha 2}$ = 1.544426 Å
 - Or Cu $K_{\alpha(\text{avg})}$ = 1.54278 Å
 - d_{hkl} is dependent on the lattice parameter (atomic/ionic radii) and the crystal structure



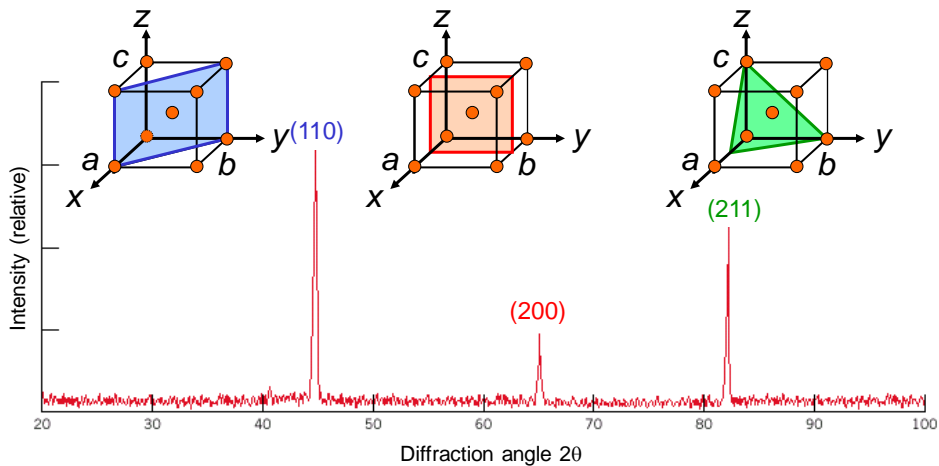
Chapter 3 -



1. W filament generate electrons (cathode)
2. Electrons heat Cu target (anode)
3. Cu target generate x-ray

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X-Ray Diffraction Pattern



Diffraction pattern for polycrystalline α -iron (BCC)

Adapted from Fig. 3.40, Callister 4e.

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Lattice spacing

The value of d , the distance between adjacent planes in the set (hkl) , may be found from the following equations.

Cubic:

$$\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$$

Handwritten: 3.5

Tetragonal:

$$\frac{1}{d^2} = \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2}$$

Hexagonal:

$$\frac{1}{d^2} = \frac{4}{3} \left(\frac{h^2 + hk + k^2}{a^2} \right) + \frac{l^2}{c^2}$$

Rhombohedral:

$$\frac{1}{d^2} = \frac{(h^2 + k^2 + l^2) \sin^2 \alpha + 2(hk + kl + hl)(\cos^2 \alpha - \cos \alpha)}{a^2(1 - 3 \cos^2 \alpha + 2 \cos^3 \alpha)}$$

Orthorhombic:

$$\frac{1}{d^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$$

Monoclinic:

$$\frac{1}{d^2} = \frac{1}{\sin^2 \beta} \left(\frac{h^2}{a^2} + \frac{k^2 \sin^2 \beta}{b^2} + \frac{l^2}{c^2} - \frac{2hl \cos \beta}{ac} \right)$$

Triclinic:

$$\frac{1}{d^2} = \frac{1}{V^2} (S_{11}h^2 + S_{22}k^2 + S_{33}l^2 + 2S_{12}hk + 2S_{23}kl + 2S_{13}hl)$$

Selection rules for cubic



P		I		F	
S	(hkl)	S	(hkl)	S	(hkl)
1	100	-	-	-	-
2	110	2	110	-	-
3	111	-	-	3	111
4	200	4	200	4	200
5	210	-	-	-	-
6	211	6	211	-	-
7	-	-	-	-	-
8	220	8	220	8	220
9	221,300	-	-	-	-
10	310	10	310	-	-
11	311	-	-	11	311
12	222	12	222	12	222

Chapter 3 -

Review of Systematic Absences in the Diffraction Patterns of 4 Cubic Structures

	100	110	111	200	210	211	220	300, 221	310	311	222	320	321	400	410, 322	411, 330	331	420		
$h^2 + k^2 + l^2$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
P																				
I																				
F																				
diamond																				

Chapter 3 -

SUMMARY

- Atoms may assemble into **crystalline** or **amorphous** structures.
- Common metallic crystal structures are **FCC**, **BCC**, and **HCP**.
Coordination number and **atomic packing factor** are the same for both FCC and HCP crystal structures.
- We can predict the **density** of a material, provided we know the **atomic weight**, **atomic radius**, and **crystal geometry** (e.g., FCC, BCC, HCP).
- Interatomic bonding in ceramics is ionic and/or covalent.
- Ceramic crystal structures are based on:
 - maintaining **charge neutrality**
 - cation-anion radii ratios.
- **Crystallographic points**, **directions** and **planes** are specified in terms of indexing schemes. Crystallographic directions and planes are related to **atomic linear densities** and **planar densities**.

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SUMMARY

- Materials can be **single crystals** or **polycrystalline**.
Material properties generally vary with single crystal orientation (i.e., they are **anisotropic**), but are generally non-directional (i.e., they are **isotropic**) in polycrystals with randomly oriented grains.
- Some materials can have more than one crystal structure. This is referred to as **polymorphism** (or **allotropy**).
- **X-ray diffraction** is used for crystal structure and **interplanar spacing** determinations.

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