

# Matroid Decomposition Theorem Verification

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# Chapter 1

## Code

### 1.1 TU Matrices

**Definition 1** (TU matrix). A real matrix is *totally unimodular* (TU) if its every subdeterminant (i.e., determinant of every square submatrix) is 0 or  $\pm 1$ .

**Lemma 2** (entries of a TU matrix). *If  $A$  is TU, then every entry of  $A$  is 0 or  $\pm 1$ .*

*Proof sketch.* Every entry is a square submatrix of size 1. □

**Lemma 3** (TUness with adjoint identity matrix).  *$A$  is TU iff every basis matrix of  $[I \mid A]$  has determinant  $\pm 1$ .*

*Proof sketch.* Gaussian elimination. Basis submatrix: its columns form a basis of all columns, its rows form a basis of all rows. □

**Lemma 4** (any submatrix of a TU matrix is TU). *Let  $A$  be a real matrix that is TU and let  $B$  be a submatrix of  $A$ . Then  $B$  is TU.*

*Proof sketch.* Any square submatrix of  $B$  is a submatrix of  $A$ , so its determinant is 0 or  $\pm 1$ . Thus,  $B$  is TU. □

**Lemma 5** (block-diagonal matrix with TU blocks is TU). *Let  $A$  be a matrix of the form*

$A_1$	0
0	$A_2$

*where  $A_1$  and  $A_2$  are both TU. Then  $A$  is also TU.*

*Proof sketch.* Any square submatrix  $T$  of  $A$  has the form  $\begin{bmatrix} T_1 & 0 \\ 0 & T_2 \end{bmatrix}$  where  $T_1$  and  $T_2$  are submatrices of  $A_1$  and  $A_2$ , respectively.

- If  $T_1$  is square, then  $T_2$  is also square, and  $\det T = \det T_1 \cdot \det T_2 \in \{0, \pm 1\}$ .
- If  $T_1$  has more rows than columns, then the rows of  $T$  containing  $T_1$  are linearly dependent, so  $\det T = 0$ .
- Similar if  $T_1$  has more columns than rows.

□

**Lemma 6** (transpose of TU is TU). *Let  $A$  be a TU matrix. Then  $A^T$  is TU.*

*Proof sketch.* A submatrix  $T$  of  $A^T$  is a transpose of a submatrix of  $A$ , so  $\det T \in \{0, \pm 1\}$ .  $\square$

**Lemma 7** (adjoining to TU matrices). *Let  $A$  be a TU matrix.*

- *Let  $a$  be a zero row. Then  $C = [A/a]$  is TU.*
- *Let  $a$  be a unit row. Then  $C = [A/a]$  is TU.*
- *Let  $a$  be some row of  $A$ . Then  $C = [A/a]$  is TU.*
- *Let  $B$  be a matrix whose every row is a row of  $A$ , a zero row, or a unit row. Then  $C = [A/B]$  is TU.*

*Proof sketch.*

- Let  $T$  be a square submatrix of  $C$ . If  $T$  contains a zero row, then  $\det T = 0$ . Otherwise  $T$  is a submatrix of  $A$ , so  $\det T \in \{0, \pm 1\}$ .
- Let  $T$  be a square submatrix of  $C$ . If  $T$  contains the same row twice, then the rows are GF(2)-dependent, so  $\det T = 0$ . Otherwise  $T$  is a submatrix of  $A$ , so  $\det T \in \{0, \pm 1\}$ .
- Let  $T$  be a square submatrix of  $C$ . If  $T$  contains the  $\pm 1$  entry of the unit row, then  $\det T$  equals the determinant of some submatrix of  $A$  times  $\pm 1$ , so  $\det T \in \{0, \pm 1\}$ . If  $T$  contains some entries of the unit row except the  $\pm 1$ , then  $\det T = 0$ . Otherwise  $T$  is a submatrix of  $A$ , so  $\det T \in \{0, \pm 1\}$ .
- Either repeatedly apply the previous three items or directly perform a similar case analysis.

$\square$

**Corollary 8** (column properties of TU matrices). *Properties listed in Lemma ?? also hold with respect to columns.*

*Proof sketch.* Combine results of Lemma ?? and Lemma ??.  $\square$

**Definition 9** ( $\mathcal{F}$ -pivot). Let  $A$  be a matrix over a field  $\mathcal{F}$  with row index set  $X$  and column index set  $Y$ . Let  $A_{xy}$  be a nonzero element. The result of a  $\mathcal{F}$ -pivot of  $A$  on the *pivot element*  $A_{xy}$  is the matrix  $A'$  over  $\mathcal{F}$  with row index set  $X'$  and column index set  $Y'$  defined as follows.

- For every  $u \in X - x$  and  $w \in Y - y$ , let  $A'_{uw} = A_{uw} + (A_{uy} \cdot A_{xw})/(-A_{xy})$ .
- Let  $A'_{xy} = -A_{xy}$ ,  $X' = X - x + y$ , and  $Y' = Y - y + x$ .

**Lemma 10** (pivoting preserves TUness). *Let  $A$  be a TU matrix and let  $A_{xy}$  be a nonzero element. Let  $A'$  be the matrix obtained by performing a real pivot in  $A$  on  $A_{xy}$ . Then  $A'$  is TU.*

*Proof sketch.*

- By Lemma ??  $A$  is TU iff every basis matrix of  $[I \mid A]$  has determinant  $\pm 1$ . The same holds for  $A'$  and  $[I \mid A']$ .
- Determinants of the basis matrices are preserved under elementary row operations in  $[I \mid A]$  corresponding to the pivot in  $A$ , under scaling by  $\pm 1$  factors, and under column exchange, all of which together convert  $[I \mid A]$  to  $[I \mid A']$ .

$\square$

**Lemma 11** (pivoting preserves TUness). *Let  $A$  be a matrix and let  $A_{xy}$  be a nonzero element. Let  $A'$  be the matrix obtained by performing a real pivot in  $A$  on  $A_{xy}$ . If  $A'$  is TU, then  $A$  is TU.*

*Proof sketch.* Reverse the row operations, scaling, and column exchange in the proof of Lemma ??.

□

### 1.1.1 Minimal Violation Matrices

**Definition 12** (minimal violation matrix). *Let  $A$  be a real  $\{0, \pm 1\}$  matrix that is not TU but all of whose proper submatrices are TU. Then  $A$  is called a *minimal violation matrix of total unimodularity* (minimal violation matrix).*

**Lemma 13** (simple properties of MVMs). *Let  $A$  be a minimal violation matrix.*

- $A$  is square.
- $\det A \notin \{0, \pm 1\}$ .
- If  $A$  is  $2 \times 2$ , then  $A$  does not contain a 0.

*Proof sketch.*

- If  $A$  is not square, then since all its proper submatrices are TU,  $A$  is TU, contradiction.
- If  $\det A \in \{0, \pm 1\}$ , then all subdeterminants of  $A$  are 0 or  $\pm 1$ , so  $A$  is TU, contradiction.
- If  $A$  is  $2 \times 2$  and it contains a 0, then  $\det A \in \{\pm 1\}$ , which contradicts the previous item.

□

**Lemma 14** (pivoting in MVMs). *Let  $A$  be a minimal violation matrix. Suppose  $A$  has  $\geq 3$  rows. Suppose we perform a real pivot in  $A$ , then delete the pivot row and column. Then the resulting matrix  $A'$  is also a minimal violation matrix.*

*Proof sketch.*

- Let  $A''$  denote matrix  $A$  after the pivot, but before the pivot row and column are deleted.
- Since  $A$  is not TU, Lemma ?? implies that  $A''$  is not TU. Thus  $A'$  is not TU by Lemma ??.
- Let  $T'$  be a proper square submatrix of  $A'$ . Let  $T''$  be the submatrix of  $A''$  consisting of  $T'$  plus the pivot row and the pivot column, and let  $T$  be the corresponding submatrix of  $A$  (defined by the same row and column indices as  $T''$ ).
- $T$  is TU as a proper submatrix of  $A$ . Then Lemma ?? implies that  $T''$  is TU. Thus  $T'$  is TU by Lemma ??.

□

## 1.2 Matroid Definitions

**Definition 15** ((finite) matroid). Let  $E$  be a finite ground set. Let  $\mathcal{I} \subseteq 2^E$  be a family of subsets satisfying:

- $\emptyset \in \mathcal{I}$  (non-empty)
- if  $A \subseteq B \in \mathcal{I}$ , then  $A \in \mathcal{I}$  (down-closed)
- if  $A, B \in \mathcal{I}$  and  $|A| < |B|$ , then  $A + b \in \mathcal{I}$  for some  $b \in B \setminus A$  (exchange property)

Then the pair  $M = (E, \mathcal{I})$  is called a *(finite) matroid*.

**Definition 16** (binary matroid). Let  $B$  be a binary matrix, let  $A = [I \mid B]$ , and let  $E$  denote the column index set of  $A$ . Let  $\mathcal{I}$  be all index subsets  $Z \subseteq E$  such that the columns of  $A$  indexed by  $Z$  are independent over  $\text{GF}(2)$ . Then  $M = (E, \mathcal{I})$  is called a *binary matroid* and  $B$  is called its *(standard) representation matrix*.

**Definition 17** (regular matroid). Let  $M$  be a binary matroid generated from a standard representation matrix  $B$ . Suppose  $B$  has a TU signing, i.e., there exists a real matrix  $A$  such that:

- $A$  is a signed version of  $B$ , i.e.,  $|A| = B$ ,
- $A$  is totally unimodular.

Then  $M$  is called a *regular matroid*.

## 1.3 $k$ -Separation and $k$ -Connectivity

**Definition 18** ( $k$ -separation). Let  $M$  be a binary matroid generated by a standard representation matrix  $B$ . Suppose that  $B$  is partitioned as  $\begin{array}{cc} & Y_1 & Y_2 \\ X_1 & \boxed{B_1} & \boxed{D_2} \\ X_2 & \boxed{D_1} & \boxed{B_2} \end{array}$  where  $X_1 \sqcup X_2$  is a partition of the rows of  $B$  and  $Y_1 \sqcup Y_2$  is a partition of its columns. Let  $k \in \mathbb{Z}_{\geq 1}$  and suppose that

- $|X_1 \cup Y_1| \geq k$  and  $|X_2 \cup Y_2| \geq k$ ,
- $\text{GF}(2)\text{-rank } D_1 + \text{GF}(2)\text{-rank } D_2 \leq k - 1$ .

Then  $(X_1 \cup Y_1, X_2 \cup Y_2)$  is called a *(Tutte)  $k$ -separation* of  $B$  and  $M$ .

**Definition 19** (exact  $k$ -separation). A  $k$ -separation is called *exact* if the rank condition holds with equality.

**Definition 20** ( $k$ -separability). We say that  $B$  and  $M$  are *(exactly) (Tutte)  $k$ -separable* if they have an (exact)  $k$ -separation.

**Definition 21** ( $k$ -connectivity). For  $k \geq 2$ ,  $M$  and  $B$  are *(Tutte)  $k$ -connected* if they have no  $\ell$ -separation for  $1 \leq \ell < k$ . When  $M$  and  $B$  are 2-connected, they are also called *connected*.

## 1.4 Sums

### 1.4.1 1-Sums

**Definition 22** (1-sum of matrices). Let  $B$  be a matrix that can be represented as

	$Y_1$	$Y_2$
$X_1$	$B_1$	0
$X_2$	0	$B_2$

Then we say that  $B_1$  and  $B_2$  are the two *components* of a 1-sum decomposition of  $B$ .

Conversely, a 1-sum composition with components  $B_1$  and  $B_2$  is the matrix  $B$  above.

The expression  $B = B_1 \oplus_1 B_2$  means either process.

**Definition 23** (matroid 1-sum). Let  $M$  be a binary matroid with a representation matrix  $B$ . Suppose that  $B$  can be partitioned as in Definition ?? with non-zero blocks  $B_1$  and  $B_2$ . Then the binary matroids  $M_1$  and  $M_2$  represented by  $B_1$  and  $B_2$ , respectively, are the two *components* of a 1-sum decomposition of  $M$ .

Conversely, a 1-sum composition with components  $M_1$  and  $M_2$  is the matroid  $M$  defined by the corresponding representation matrix  $B$ .

The expression  $M = M_1 \oplus_1 M_2$  means either process.

**Lemma 24** (1-separations and 1-sums). *Let  $M$  be a binary matroid that is 1-separable. Then  $M$  can be decomposed as a 1-sum with components given by the 1-separation.*

*Proof sketch.* Check by definition. □

**Lemma 25** (1-sum of regular matroids is regular). *Let  $M_1$  and  $M_2$  be regular matroids. Then  $M = M_1 \oplus_1 M_2$  is a regular matroid.*

*Conversely, if a regular matroid  $M$  can be decomposed as a 1-sum  $M = M_1 \oplus_1 M_2$ , then  $M_1$  and  $M_2$  are both regular.*

*Proof sketch.*

extract into lemmas about TU matrices

Let  $B$ ,  $B_1$ , and  $B_2$  be the representation matrices of  $M$ ,  $M_1$ , and  $M_2$ , respectively.

- Converse direction. Let  $B'$  be a TU signing of  $B$ . Let  $B'_1$  and  $B'_2$  be signings of  $B_1$  and  $B_2$ , respectively, obtained from  $B$ . By Lemma ??,  $B'_1$  and  $B'_2$  are both TU, so  $M_1$  and  $M_2$  are both regular.
- Forward direction. Let  $B'_1$  and  $B'_2$  be TU signings of  $B_1$  and  $B_2$ , respectively. Let  $B'$  be the corresponding signing of  $B$ . By Lemma ??,  $B'$  is TU, so  $M$  is regular.

□

### 1.4.2 2-Sums

**Definition 26** (2-sum of matrices). Let  $B$  be a matrix of the form

	$Y_1$	$Y_2$
$X_1$	$A_1$	0
$X_2$	$D$	$A_2$

Let  $B_1$  be

a matrix of the form

$X_1$	$Y_1$
Unit	$x$

Let  $B_2$  be a matrix of the form

Unit	$Y_2$
$X_2$	$A_2$

Suppose that

GF(2)-rank  $D = 1$ ,  $x \neq 0$ ,  $y \neq 0$ ,  $D = y \cdot x$  (outer product).

Then we say that  $B_1$  and  $B_2$  are the two *components* of a 2-sum decomposition of  $B$ .

Conversely, a 2-sum composition with components  $B_1$  and  $B_2$  is the matrix  $B$  above.

The expression  $B = B_1 \oplus_2 B_2$  means either process.

**Definition 27** (matroid 2-sum). Let  $M$  be a binary matroid with a representation matrix  $B$ . Suppose  $B$ ,  $B_1$ , and  $B_2$  satisfy the assumptions of Definition ???. Then the binary matroids  $M_1$  and  $M_2$  represented by  $B_1$  and  $B_2$ , respectively, are the two *components* of a 2-sum decomposition of  $M$ .

Conversely, a 2-sum composition with components  $M_1$  and  $M_2$  is the matroid  $M$  defined by the corresponding representation matrix  $B$ .

The expression  $M = M_1 \oplus_2 M_2$  means either process.

**Lemma 28** (2-separations and 2-sums of connected binary matroids). *Let  $M$  be a binary matroid that is 2-separable. Then  $M$  can be decomposed as a 2-sum with connected components given by the 2-separation.*

*Conversely, any 2-sum of two connected binary matroids is a connected binary matroid.*

*Proof sketch.* Check by definition. Connectedness of representation matrices is equivalent to connectedness of corresponding matroids.  $\square$

**Lemma 29** (2-sum of regular matroids is regular). *Let  $M_1$  and  $M_2$  be regular matroids. Then  $M = M_1 \oplus_2 M_2$  is a regular matroid.*

*Proof sketch.*

Let  $B$ ,  $B_1$ , and  $B_2$  be the representation matrices of  $M$ ,  $M_1$ , and  $M_2$ , respectively. Let  $B'_1$  and  $B'_2$  be TU signings of  $B_1$  and  $B_2$ , respectively. In particular, let  $A'_1$ ,  $x'$ ,  $A'_2$ , and  $y'$  be the signed versions of  $A_1$ ,  $x$ ,  $A_2$ , and  $y$ , respectively. Let  $B'$  be the signing of  $B$  where the blocks of  $A_1$  and  $A_2$  are signed as  $A'_1$  and  $A'_2$ , respectively, and the block of  $D$  is signed as  $D' = y' \cdot x'$  (outer product).

Note that  $[A'_1/D']$  is TU by Lemma ??, as every row of  $D'$  is either zero or a copy of  $x'$ . Similarly,  $[D' \mid A'_2]$  is TU by Corollary ??, as every column of  $D'$  is either zero or a copy of  $y'$ . Additionally,  $[A'_1 \mid 0]$  is TU by Lemma ??, and  $[0/A'_2]$  is TU by Lemma ??.

prove lemma below, separate into statement about TU matrices

*Lemma:* Let  $T$  be a square submatrix of  $B'$ . Then  $\det T \in \{0, \pm 1\}$ .

*Proof:* Induction on the size of  $T$ . *Base:* If  $T$  consists of only 1 element, then this element is 0 or  $\pm 1$ , so  $\det T \in \{0, \pm 1\}$ . *Step:* Let  $T$  have size  $t$  and suppose all square submatrices of  $B'$  of size  $\leq t-1$  are TU.

- Suppose  $T$  contains no rows of  $X_1$ . Then  $T$  is a submatrix of  $[D' \mid A'_2]$ , so  $\det T \in \{0, \pm 1\}$ .
- Suppose  $T$  contains no rows of  $X_2$ . Then  $T$  is a submatrix of  $[A'_1 \mid 0]$ , so  $\det T \in \{0, \pm 1\}$ .
- Suppose  $T$  contains no columns of  $Y_1$ . Then  $T$  is a submatrix of  $[0/A'_2]$ , so  $\det T \in \{0, \pm 1\}$ .
- Suppose  $T$  contains no columns of  $Y_2$ . Then  $T$  is a submatrix of  $[A'_1/D']$ , so  $\det T \in \{0, \pm 1\}$ .
- Remaining case:  $T$  contains rows of  $X_1$  and  $X_2$  and columns of  $Y_1$  and  $Y_2$ .
- If  $T$  is  $2 \times 2$ , then  $T$  is TU. Indeed, all proper submatrices of  $T$  are of size  $\leq 1$ , which are  $\{0, \pm 1\}$  entries of  $A'$ , and  $T$  contains a zero entry (in the row of  $X_2$  and column of  $Y_2$ ), so it cannot be a minimal violation matrix by Lemma ??. Thus, assume  $T$  has size  $\geq 3$ .

• .

complete proof, see last paragraph of Lemma 11.2.1 in Truemper

$\square$

### 1.4.3 3-Sums

**Definition 30** (3-sum of matrices).

*add*

**Definition 31** (matroid 3-sum).

*add*

**Lemma 32** (3-separations and 3-sums).

*add*

**Lemma 33** (3-sum of regular matroids is regular).

*add*



# Chapter 2

## Truemper

### 2.1 Basic Definitions

#### 2.1.1 Matroid Structure

**Definition 34** (matroid).

todo: add definition

**Definition 35** (isomorphism). Two matroids are isomorphic if they become equal upon a suitable relabeling of the elements.

**Definition 36** (loop).

todo: add definition

**Definition 37** (coloop).

todo: add definition

**Definition 38** (parallel elements).

todo: add definition

**Definition 39** (series elements).

todo: add definition

#### 2.1.2 Matroid Classes

**Definition 40** (binary matroid).

todo: add definition

**Definition 41** (regular matroid). A binary matroid  $M$  is regular if some binary representation matrix  $B$  of  $M$  has a totally unimodular signing (i.e., assignment of signs to the 1s in  $B$  that results in a TU matrix).

**Definition 42** (graphic matroid).

todo: add definition

**Definition 43** (cographic matroid).

todo: add definition

**Definition 44** (planar matroid).

todo: add definition

**Definition 45** (dual matroid).

todo: add definition

**Definition 46** (self-dual matroid).

todo: add definition

### 2.1.3 Specific Matroids (Constructions)

**Definition 47** (wheel).

todo: add definition

**Definition 48** ( $W_3$ ).

todo: add definition

**Definition 49** ( $W_4$ ).

todo: add definition

**Definition 50** ( $R_{10}$ ).

todo: add definition

**Definition 51** ( $R_{12}$ ).

todo: add definition

**Definition 52** ( $F_7$ ).

todo: add definition

**Definition 53** ( $F_7^*$ ).

todo: add definition

**Definition 54** ( $M(K_{3,3})$ ).

todo: add definition

**Definition 55** ( $M(K_{3,3})^*$ ).

todo: add definition

**Definition 56** ( $M(K_5)$ ).

todo: add definition

**Definition 57** ( $M(K_5)^*$ ).

todo: add definition

### 2.1.4 Connectivity and Separation

**Definition 58** ( $k$ -separation). See text after Proposition 3.3.18.

**Definition 59** ( $k$ -connectivity). See text after Proposition 3.3.18.

### 2.1.5 Reductions

**Definition 60** (deletion).

todo: add definition

**Definition 61** (contraction).

todo: add definition

**Definition 62** (minor).

todo: add definition

### 2.1.6 Extensions

**Definition 63** (1-element addition).

add name, label, uses, text

**Definition 64** (1-element expansion).

add name, label, uses, text

**Definition 65** (1-element extension).

todo: add definition

**Definition 66** (2-element extension).

todo: add definition

**Definition 67** (3-element extension).

todo: add definition

### 2.1.7 Sums

**Definition 68** (1-sum).

todo: add definition

**Definition 69** (2-sum).

todo: add definition

**Definition 70** (3-sum).

todo: add definition

**Definition 71** ( $\Delta$ -sum).

todo: add definition

**Definition 72** ( $Y$ -sum).

todo: add definition

## 2.1.8 Total Unimodularity

**Definition 73** (TU matrix). A real matrix  $A$  is totally unimodular if every square submatrix  $D$  of  $A$  has  $\det_{\mathbb{R}} D = 0$  or  $\pm 1$ .

## 2.1.9 Auxiliary Results

**Theorem 74** (Menger's theorem). A connected graph  $G$  is vertex  $k$ -connected if and only if every two nodes are connected by  $k$  internally node-disjoint paths. Equivalent is the following statement.  $G$  is vertex  $k$ -connected if and only if any  $m \leq k$  nodes are joined to any  $n \leq k$  nodes by  $k$  internally node-disjoint paths. One may demand that the  $m$  nodes are disjoint from the  $n$  nodes, but need not do so. Also, the  $k$  paths can be so chosen that each of the specified nodes is an endpoint of at least one of the paths.

**Definition 75** ( $\Delta Y$  exchange).

add

**Definition 76** (gap).

add

## 2.2 Chapter 2

**Lemma 77** (2.3.14). Let  $A$  be a matrix over a field  $\mathcal{F}$ , with  $\mathcal{F}$ -rank  $A = k$ . If both a row submatrix and a column submatrix of  $A$  have  $\mathcal{F}$ -rank equal to  $k$ , then they intersect in a submatrix of  $A$  with the same  $\mathcal{F}$ -rank. In particular, any  $k$   $\mathcal{F}$ -independent rows of  $A$  and any  $k$   $\mathcal{F}$ -independent columns of  $A$  intersect in a  $k \times k$   $\mathcal{F}$ -nonsingular submatrix of  $A$ .

*Proof sketch.* Result of linear algebra. Uses the submodularity of the  $\mathcal{F}$ -rank function.  $\square$

## 2.3 Chapter 3

### 2.3.1 Chapter 3.2

**Theorem 78** (3.2.25.a). Let  $M$  be the graphic matroid of a connected graph  $G$ . Assume  $(E_1, E_2)$  is a  $k$ -separation of  $M$  with minimal  $k \geq 1$ . Define  $G_1$  (resp.  $G_2$ ) from  $G$  by removing the edges of  $E_2$  (resp.  $E_1$ ) from  $G$ . Let  $R_1, \dots, R_g$  be the connected components of  $G_1$ , and  $S_1, \dots, S_h$  be those of  $G_2$ .

If  $k = 1$ , then the  $R_i$  and  $S_j$  are connected in tree fashion.

*Proof sketch.* Count edges and nodes.  $\square$

**Theorem 79** (3.2.25.b). Same setting as Theorem 3.2.25.a. If  $k = 2$ , then the  $R_i$  and  $S_j$  are connected in cycle fashion.

*Proof sketch.* Count edges and nodes.  $\square$

**Definition 80** (switching operation from section 3). A swap of identification of nodes between two subgraphs induced by a 2-separation of a graph. See description and illustration on page 45.

**Lemma 81** (3.2.48). The matroids  $M(K_5)$  and  $M(K_{3,3})$  are not graphic.

*Proof sketch.* A short proof is given on page 51. A longer, but more general proof uses the graphicness testing subroutine described on page 47.  $\square$

### 2.3.2 Chapter 3.3

**Lemma 82** (3.3.12). *Let  $M$  be a binary matroid with a minor  $\overline{M}$ , and let  $\overline{B}$  be a representation matrix of  $\overline{M}$ . Then  $M$  has a representation matrix  $B$  that displays  $\overline{M}$  via  $\overline{B}$  and thus makes the minor  $\overline{M}$  visible.*

*Proof sketch.* Follows by the definition of minor via pivots and row/column deletions.  $\square$

**Proposition 83** (3.3.17). *Partitioned version of matrix  $B$  representing binary matroid  $M$ . (same as 3.3.3)*

**Proposition 84** (3.3.18). *If for some  $k \geq 1$ ,  $|X_1 \cup Y_1|, |X_2 \cup Y_2| \geq k$ ,  $\text{GF}(2)$ -rank  $D^1 + \text{GF}(2)$ -rank  $D^2 \leq k - 1$ , then  $(X_1 \cup Y_1, X_2 \cup Y_2)$  is called a (Tutte)  $k$ -separation of  $B$  and  $M$ . This separation is exact if the rank condition holds with equality. Both  $B$  and  $M$  are called (Tutte)  $k$ -separable if they have a  $k$ -separation. For  $k \geq 2$ ,  $B$  and  $M$  are (Tutte)  $k$ -connected if they have no  $\ell$ -separation for  $1 \leq \ell < k$ . When  $M$  is 2-connected, we also say that  $M$  is connected.*

**Lemma 85** (3.3.19). *Let  $M$  be a binary matroid with a representation matrix  $B$ . Then  $M$  is connected iff  $B$  is connected.*

*Proof sketch.* Check using (3.3.17) and (3.3.18) that  $B$  is connected iff it is 2-connected. Thus  $M$  is 2-connected, and hence connected, iff  $B$  is connected.  $\square$

**Lemma 86** (3.3.20). *The following statements are equivalent for a binary matroid  $M$  with set  $E$  and a representation matrix  $B$  of  $M$ .*

- $M$  is 3-connected.
- $B$  is connected, has no parallel or unit vector rows and columns, and has no partition as in (3.3.17) with  $\text{GF}(2)$ -rank  $D^1 = 1$ ,  $D^2 = 0$ , and  $|X_1 \cup Y_1|, |X_2 \cup Y_2| \geq 3$ .
- Same as (ii), but  $|X_1 \cup Y_1|, |X_2 \cup Y_2| \geq 5$ .

*Proof sketch.*

- (i) is equivalent to (ii) by the definition of 3-connectivity.
- (iii) trivially implies (ii). (Typo in the book?)
- Assuming (ii), if the length of  $B^1$  is 3 or 4, then  $B$  has a zero column or row, or parallel or unit vector rows or columns, which is excluded by the first part of (ii). Thus it suffices to require  $|X_1 \cup Y_1| \geq 5$  and by duality  $|X_2 \cup Y_2| \geq 5$ .

$\square$

**Theorem 87** (census from Section 3.3). *A complete census of 3-connected binary matroids on  $\leq 8$  elements.*

*Proof sketch.* Verified by case enumeration.  $\square$

## 2.4 Chapter 4

**Proposition 88** (4.4.5).  $\Delta Y$  exchange, case 1.

**Proposition 89** (4.4.6).  $\Delta Y$  exchange, case 2.

## 2.5 Chapter 5

**Lemma 90** (5.2.4). *Let  $N$  be a connected minor of a connected binary matroid  $M$ . Let  $z \in M \setminus N$ . Then  $M$  has a connected minor  $N'$  that is a 1-element extension of  $N$  by  $z$ .*

*Proof sketch.*

- By Lemma 3.3.12,  $M$  has a representation matrix that displays  $N$  via a submatrix.
- Case distinction between  $z$  being represented by a nonzero or a zero vector.
- Nonzero case: immediately get submatrix representing  $N'$ .
- Zero case: take a shortest path in the matrix, perform pivots, in one subcase use duality.

□

**Proposition 91** (5.2.8). *Representation matrices for small wheels (from  $M(W_1)$  to  $M(W_4)$ ).*

**Proposition 92** (5.2.9). *Representation matrix for  $M(W_n)$ ,  $n \geq 3$ .*

**Lemma 93** (5.2.10). *Let  $M$  be a binary matroid with a binary representation matrix  $B$ . Suppose the graph  $BG(B)$  contains at least one cycle. Then  $M$  has an  $M(W_2)$  minor.*

*Proof sketch.*

- $BG(B)$  is bipartite and has at least one cycle, so there is a cycle  $C$  without chords with at least 4 edges.
- Up to indices, the submatrix corresponding to  $C$  is either the matrix for  $M(W_2)$  from (5.2.8) or the matrix for some  $M(W_k)$ ,  $k \geq 3$  from (5.2.9).
- In the latter case, use path shortening pivots on 1s to convert the submatrix to the former case.

□

**Lemma 94** (5.2.11). *Let  $M$  be a connected binary matroid with at least 4 elements. Then  $M$  has a 2-separation or an  $M(W_3)$  minor.*

*Proof sketch.* Use Lemma 5.2.10 and apply path shortening technique.

□

**Corollary 95** (5.2.15). *Every 3-connected binary matroid  $M$  with at least 6 elements has an  $M(W_3)$  minor.*

*Proof sketch.* By Lemma 5.2.11,  $M$  has a 2-separation or an  $M(W_3)$  minor.  $M$  is 3-connected, so the former case is impossible.

□

## 2.6 Chapter 6

### 2.6.1 Chapter 6.2

Goal of the chapter: separation algorithm for deciding if there exists a separation of a matroid induced by a separation of its minor.

**Proposition 96** (6.2.1). *Partitioned version of matrix  $B^N$  representing a minor  $N$  of a binary matroid  $M$ , where  $N$  has an exact  $k$ -separation for some  $k \geq 1$ .*

**Proposition 97** (6.2.3). *Matrix  $B$  for  $M$  displaying partitioned  $B^N$*

**Proposition 98** (6.2.5). *Matrix  $B$  for  $M$  with partitioned  $B^N$ , row  $x \in X_3$ , and column  $y \in Y_3$ .*

**Lemma 99** (6.2.6). *Let  $N$  be a 3-connected binary matroid on at least 6 elements. Suppose a 1-, 2-, or 3-element binary extension of  $N$ , say  $M$ , has no loops, coloops, parallel elements, or series elements. Then  $M$  is 3-connected.*

*Proof sketch.*

- Let  $C$  be a binary representation matrix of  $M$  that displays a binary representation matrix  $B$  for  $N$ .
- By assumption,  $B$  is 3-connected.
- $C$  is connected, as otherwise by case analysis  $C$  contains a zero vector or unit vector, so  $M$  has a loop, coloop, parallel elements, or series elements, a contradiction.
- If  $C$  is not 3-connected, then by Lemma 3.3.20 there is a 2-separation of  $C$  with at least 5 rows/columns on each side. Then  $B$  has a 2-separation with at least 2 rows/columns on each side, a contradiction.
- Thus,  $C$  is 3-connected, so  $M$  is 3-connected.

□

## 2.6.2 Chapter 6.3

**Definition 100** (6.3.2).  $M$  is called minimal if it satisfies the following conditions.

- $M$  has an  $N$  minor.
- $M$  has no  $k$ -separation induced by the exact  $k$ -separation  $(F_1, F_2)$  of  $N$ .
- The matroid  $M$  is minimal with respect to the above conditions.

**Definition 101** (6.3.3).  $M$  is called minimal under isomorphism if it satisfies the following conditions.

- $M$  has at least one  $N$  minor.
- Some  $k$ -separation of at least one such minor corresponding to the exact  $k$ -separation  $(F_1, F_2)$  of  $N$  under one of the isomorphisms fails to induce a  $k$ -separation of  $M$ .
- The matroid  $M$  is minimal with respect to the above conditions.

**Proposition 102** (6.3.11). *Matrix  $B$  for  $M$  with partitioned  $B^N$ , row  $x \in X_3$ , and column  $y \in Y_3$ .*

**Proposition 103** (6.3.12). *Partitioned version of  $B^N$ :  $B^N = \text{diag}(A^1, A^2)$ .*

**Definition 104** (separation algorithm). Polynomial-time recursive procedure to search for an induced partition. Described on pages 132–133 and again on pages 137–138.

**Proposition 105** (6.3.13). *Special case where  $B$  of a minimal  $M$  contains just one row  $x$  beyond  $B^N$ . This proposition gives properties of row subvectors of row  $x$  by step 1 of the separation algorithm.*

**Proposition 106** (6.3.14). *Special case where  $B$  of a minimal  $M$  contains just one column  $y$  beyond  $B^N$ . This proposition gives properties of column subvectors of column  $y$  by step 1 of the separation algorithm.*

**Lemma 107** (6.3.15). *Treats the case where  $B$  has at least two additional rows or columns beyond those of  $B^N$ .*

*Proof sketch.* Argue about the structure of the matrix, applying steps 1 and 2 of the separation algorithm. □

**Lemma 108** (6.3.16). *Expands case (i) of Lemma 6.3.15.*

*Proof sketch.* Further arguments about the structure of the matrix. □

**Lemma 109** (6.3.17). *Expands case (ii) of Lemma 6.3.15.*

*Proof sketch.* Further arguments about the structure of the matrix. □

**Theorem 110** (6.3.18). *Structural description of representation matrix (6.3.11) of a minimal  $M$ . Contains cases (a), (b), and (c) with sub-cases (c.1) and (c.2).*

*Proof sketch.*

- (6.3.13) and (6.3.14) establish (a) and (b).
- Lemmas 6.3.15, 6.3.16, and 6.3.17 prove (c.1) and (c.2).

□

**Lemma 111** (6.3.19). *Additional structural statements for cases (c.1) and (c.2) of Theorem 6.3.18.*

*Proof sketch.* Reason about representation matrices using Theorem 6.3.18, Lemma 6.3.15, minimality, isomorphisms, pivots, and so on. □

**Proposition 112** (6.3.21). *Matrix  $B$  for  $M$  minimal under isomorphism, case (a).*

**Proposition 113** (6.3.22). *Matrix  $B$  for  $M$  minimal under isomorphism, case (b).*

**Proposition 114** (6.3.23). *Matrix  $\overline{B}$  for minor  $\overline{M}$  of  $M$  minimal under isomorphism.*

**Theorem 115** (6.3.20). *Let  $M$  be minimal under isomorphism. Then one of 3 cases holds for matrix representation of  $M$ .*

*Proof sketch.* Follows directly from Theorem 6.3.18 and Lemma 6.3.19. □

**Corollary 116** (6.3.24). *Let  $\mathcal{M}$  be a class of binary matroids closed under isomorphism and under taking minors. Suppose  $N$  given by  $B^N$  of (6.3.12) is in  $\mathcal{M}$ , but the 1- and 2-element extensions of  $N$  given by (6.3.21), (6.3.22), (6.3.23), and by the accompanying conditions are not in  $\mathcal{M}$ . Assume matroid  $M \in \mathcal{M}$  has an  $N$  minor. Then any  $k$ -separation of any such minor that corresponds to  $(X_1 \cup Y_1, X_2 \cup Y_2)$  of  $N$  under one of the isomorphisms induces a  $k$ -separation of  $M$ .*



*Proof sketch.*

- Let  $M \in \mathcal{M}$  satisfying the assumptions. Since  $\mathcal{M}$  is closed under isomorphism, suppose that  $N$  itself is a minor of  $M$ .
- Suppose the  $k$ -separation of  $N$  does not induce one in  $M$ . Then  $M$  or a minor of  $M$  containing  $N$  is minimal under isomorphism.
- By Theorem 6.3.20,  $M$  has a minor represented by (6.3.21), (6.3.22), or (6.3.23). This minor is in  $\mathcal{M}$ , as  $\mathcal{M}$  is closed under taking minors, but this contradicts our assumptions.

□

### 2.6.3 Chapter 6.4

**Theorem 117** (6.4.1). *Let  $M$  be a 3-connected binary matroid with a 3-connected proper minor  $N$ . Suppose  $N$  has at least 6 elements. Then  $M$  has a 3-connected minor  $N'$  that is a 1- or 2-element extension of some  $N$  minor of  $M$ . In the 2-element case,  $N'$  is derived from the  $N$  minor by one addition and one expansion.*

*Proof sketch.*

- Let  $z \in M \setminus N$ . By Lemma 5.2.4, there is a connected minor  $N'$  that is a 1-element extension of  $N$  by  $z$ . Our theorem holds iff it holds for duals, so by duality, assume that the extension is an addition.
- Reason about a matrix representation of  $N$  and  $N'$  to get a 2-separation of  $N'$ . Since  $M$  is 3-connected, this 2-separation does not induce one in  $M$ . Let  $M'$  be a minor of  $M$  that proves this fact and is minimal under isomorphism. Additionally,  $M'$  has an  $N'$  minor, so we change the element labels in  $M'$  so that  $N'$  is a minor of  $M'$ .
- Apply Theorem 6.3.20 and perform case analysis, reaching either a contradiction or a desired extension.

□

## 2.7 Chapter 7

### 2.7.1 Chapter 7.2

**Definition 118** (splitter). Let  $\mathcal{M}$  be a class of binary matroids closed under isomorphism and under taking minors. Let  $N$  be a 3-connected minor of  $\mathcal{M}$  on at least 6 elements. If every  $M \in \mathcal{M}$  with a proper  $N$  minor has a 2-separation, then  $N$  is called a splitter of  $\mathcal{M}$ .

**Theorem 119** (7.2.1.a splitter for nonwheels). *Let  $\mathcal{M}$  be a class of binary matroids closed under isomorphism and under taking minors. Let  $N$  be a 3-connected minor of  $\mathcal{M}$  on at least 6 elements. If  $N$  is not a wheel, then  $N$  is a splitter of  $\mathcal{M}$  iff  $\mathcal{M}$  does not contain a 3-connected 1-element extension of  $N$ .*

*Proof sketch.*

- If  $N$  is a splitter of  $\mathcal{M}$ , then clearly  $\mathcal{M}$  does not contain a 3-connected 1-element extension of  $N$ .

- Prove the converse by contradiction. To this end, suppose that  $\mathcal{M}$  does not contain a 3-connected 1-element extension of  $N$  and that  $N$  is not a splitter of  $\mathcal{M}$ .
- Thus,  $\mathcal{M}$  contains a 3-connected matroid  $M$  with a proper  $N$  minor and no 2-separation.
- Since  $\mathcal{M}$  is closed under isomorphism, we may assume  $N$  itself to be that  $N$  minor.
- By Theorem 6.4.1 (applied to  $M$  and  $N$ ),  $M$  has a 3-connected minor  $N'$  that is a 3-connected 1- or 2-element extension of an  $N$  minor.
- The 1-extension case has been ruled out.
- In the 2-element extension case,  $N'$  is derived from the  $N$  minor by one addition and one expansion. Again, since  $\mathcal{M}$  is closed under isomorphism and minor taking, we may take  $N$  itself to be that  $N$  minor. Thus,  $N'$  is derived from  $N$  by one addition and one expansion.
- Let  $C$  be a binary matrix representing  $N'$  and displaying  $N$ . By investigating the structure of  $C$ , one can show that  $N'$  contains a 3-connected 1-element extension of an  $N$  minor, which has been ruled out.

□

**Theorem 120** (7.2.1.b splitter for wheels). *Let  $\mathcal{M}$  be a class of binary matroids closed under isomorphism and under taking minors. Let  $N$  be a 3-connected minor of  $\mathcal{M}$  on at least 6 elements. If  $N$  is a wheel, then  $N$  is a splitter of  $\mathcal{M}$  iff  $\mathcal{M}$  does not contain a 3-connected 1-element extension of  $N$  and does not contain the next larger wheel.*

*Proof sketch.* Similar to proof of Theorem 7.2.1.a. The analysis of the matrix  $C$  can be done in one go for both cases. □

**Corollary 121** (7.2.10.a). *Theorem 7.2.1.a specialized to graphs.*

*Proof sketch.* Consider the corresponding graphic matroids, apply splitter theorem, extensions in graphic matroids correspond to extensions in graphs. □

**Corollary 122** (7.2.10.b). *Theorem 7.2.1.b specialized to graphs.*

*Proof sketch.* Consider the corresponding graphic matroids, apply splitter theorem, extensions in graphic matroids correspond to extensions in graphs. □

**Theorem 123** (7.2.11.a).  *$K_5$  is a splitter of the graphs without  $K_{3,3}$  minors.*

*Proof sketch.* Up to isomorphism, there is just one 3-connected 1-edge extension of  $K_5$ . To obtain it, one partitions one vertex of  $K_5$  into two vertices of degree 2 and connects the two vertices by a new edge. The resulting graph has a  $K_{3,3}$  minor. Thus, the theorem follows from Corollary 7.2.10.a. □

**Theorem 124** (7.2.11.b).  *$W_3$  is a splitter of the graphs without  $W_4$  minors.*

*Proof sketch.* There is no 3-connected 1-edge extension of  $W_3$ , so the theorem follows from Corollary 7.2.10.b. □

### 2.7.2 Chapter 7.3

**Theorem 125** (7.3.1.a). *Let  $M$  be a 3-connected binary matroid with a 3-connected proper minor  $N$  on at least 6 elements. Assume  $N$  is not a wheel. Then for some  $t \geq 1$ , there is a sequence  $M_0, \dots, M_t = M$  of nested 3-connected minors where  $M_0$  is isomorphic to  $N$  and where the gap is 1.*

*Proof sketch.*

- Inductively for  $i \geq 0$  assume the existence of a sequence  $M_0, \dots, M_i$  of 3-connected minors where  $M_0$  is isomorphic to  $N$ ,  $M_i$  is not a wheel, and the gap is 1.
- If  $M_i = M$ , we are done, so assume that  $M_i$  is a proper minor of  $M$ .
- Use the contrapositive of the splitter Theorem 7.2.1.a to find a larger sequence.
  - Let  $\mathcal{M}$  be the collection of all matroids isomorphic to a (not necessarily proper) minor of  $M$ .
  - Since  $M_i$  is a 3-connected proper minor of the 3-connected  $M \in \mathcal{M}$ , it cannot be a splitter of  $\mathcal{M}$ . By Theorem 7.2.1.a,  $\mathcal{M}$  contains a matroid  $M_{i+1}$  that is a 3-connected 1-element extension of a matroid isomorphic to  $M_i$ .
  - Since every 1-element reduction of a wheel with at least 6 elements is 2-separable,  $M_{i+1}$  is not a wheel, as otherwise  $M_i$  is 2-separable, which is a contradiction.
- If necessary, relabel  $M_0, \dots, M_i$  so that they constitute a sequence of nested minors of  $M_{i+1}$ . This sequence satisfies the induction hypothesis.
- By induction, the claimed sequence exists for  $M$ .

□

**Theorem 126** (7.3.1.b). *Let  $M$  be a 3-connected binary matroid with a 3-connected proper minor  $N$  on at least 6 elements. Assume  $N$  is a wheel. Then for some  $t \geq 1$ , there is a sequence  $M_0, \dots, M_t = M$  of nested 3-connected minors where:*

- $M_0$  is isomorphic to  $N$ ,
- for some  $0 \leq s \leq t$  the subsequence  $M_0, \dots, M_s$  consists of wheels and has gap 2,
- the subsequence  $M_s, \dots, M_t$  has gap 1.

*Proof sketch.* Same as the proof of Theorem 7.3.1.a, but uses Theorem 7.2.1.b instead of 7.2.1.a to extend the sequence of minors. □

**Proposition 127** (7.2.1 from 7.3.1). *Theorem 7.3.1 implies Theorem 7.2.1.*

*Proof sketch.*

- Let  $\mathcal{M}$  and  $N$  be as specified in Theorem 7.2.1. Suppose  $N$  is not a wheel.
- Prove the nontrivial “if” part by contradiction: let  $M$  be a 3-connected matroid of  $\mathcal{M}$  with  $N$  as a proper minor.
- By Theorem 7.3.1, there is a sequence  $M_0, \dots, M_t = M$  of nested 3-connected minors where  $M_0$  is isomorphic to  $N$  and where the gap is 1.

- Since  $\mathcal{M}$  is closed under isomorphism, we may assume that  $M$  is chosen such that  $M_0 = N$ .
- Then  $M_1 \in \mathcal{M}$  is a 3-connected 1-element extension of  $N$ , which contradicts the assumed absence of such extensions.
- If  $N$  is a wheel, the proof is analogous.

□

**Corollary 128** (7.3.2.a). *Let  $G$  be a 3-connected graph with a 3-connected proper minor  $H$  with at least 6 edges. Assume  $H$  is not a wheel. Then for some  $t \geq 1$ , there is a sequence of nested 3-connected minors  $G_0, \dots, G_t = G$  where  $G_0$  is isomorphic to  $H$ , and where each  $G_{i+1}$  has exactly one edge beyond those of  $G_i$ .*

*Proof sketch.* Translate Theorem 7.3.1.a directly into graph language. □

**Corollary 129** (7.3.2.b). *Let  $G$  be a 3-connected graph with a 3-connected proper minor  $H$  with at least 6 edges. Assume  $H$  is a wheel. Then for some  $t \geq 1$ , there is a sequence of nested 3-connected minors  $G_0, \dots, G_t = G$  where:*

- $G_0$  is isomorphic to  $H$ ,
- for some  $0 \leq s \leq t$  the subsequence  $G_0, \dots, G_t$  consists of wheels where each  $G_{i+1}$  has exactly one additional spoke beyond those of  $G_i$ ,
- in the subsequence  $G_s, \dots, G_t$  each  $G_{i+1}$  has exactly one edge beyond those of  $G_i$ .

*Proof sketch.* Translate Theorem 7.3.1.b directly into graph language. □

**Theorem 130** (7.3.3, wheel theorem). *Let  $G$  be a 3-connected graph on at least 6 edges. If  $G$  is not a wheel, then  $G$  has some edge  $z$  such that at least one of the minors  $G/z$  and  $G \setminus z$  is 3-connected.*

*Proof sketch.*

- By Corollary 5.2.15,  $G$  has a  $W_3$  minor.
- Let  $H$  be a largest wheel minor of  $G$ . Since  $G$  is not a wheel,  $H$  is a proper minor of  $G$ .
- Apply Corollary 7.3.2.b to  $G$  and  $H$  to get a sequence of nested 3-connected minors  $G_0, \dots, G_t = G$  where  $G_0$  is isomorphic to  $H$ .
- Since  $H$  is the largest wheel minor and  $G$  is not a wheel, Corollary 7.3.2.b shows that  $s = 0$  and  $t \geq 1$ .
- Additionally, from corollary we know that  $G = G_t$  has exactly one extra edge compared to  $G_{t-1}$ . In other words,  $G_{t-1} = G/z$  or  $G \setminus z$  for some edge  $z$ .

□

**Theorem 131** (7.3.3 for binary matroids). *Theorem 7.3.3 can be rewritten for binary matroids instead of graphs.*

*Proof sketch.* Similar to the proof of Theorem 7.3.3, but use Theorem 7.3.1 instead of Corollary 7.3.2. □

**Proposition 132** (7.3.4.observation). *Observation in text on pages 160–161.*

**Theorem 133** (7.3.4). *Let  $M$  be a 3-connected binary matroid with a 3-connected proper minor  $N$  on at least 6 elements. If  $M$  does not contain a 3-connected 1-element expansion (resp. addition) of any  $N$  minor, then  $M$  has a sequence of nested 3-connected minors  $M_0, \dots, M_t = M$  where  $M_0$  is an  $N$  minor of  $M$  and where each  $M_{i+1}$  is obtained from  $M_i$  by expansions (resp. additions) involving some series (resp. parallel) elements, possibly none, followed by a 1-element addition (resp. expansion).*

*Proof sketch.*

- The case in parenthesis is dual to the normally stated one. Thus, only consider expansions below.
- Apply construction from observation before Theorem 7.3.4 to the sequence of minors from Theorem 7.3.1 to get the desired sequence.

□

**Corollary 134** (7.3.5). *Specializes Theorem 7.3.4 to graphs.*

### 2.7.3 Chapter 7.4

**Theorem 135** (7.4.1 planarity characterization). *A graph is planar if and only if it has no  $K_{3,3}$  or  $K_5$  minors.*

*Proof sketch.*

- "Only if": planarity is preserved by taking minors, and by Lemma 3.2.48 both  $K_{3,3}$  and  $K_5$  are not planar.
- Let  $G$  be a connected nonplanar graph with all proper minors planar. Goal: show that  $G$  is isomorphic to  $K_{3,3}$  or  $K_5$ .
- Prove that  $G$  cannot be 1- or 2-separable. Thus  $G$  is 3-connected.
- By Corollary 5.2.15,  $G$  has a  $W_3$  minor, say  $H$ . Note: no  $H$  minor of  $G$  can be extended to a minor of  $G$  by addition of an edge that connects two nonadjacent nodes.
- Then by Corollary 7.3.5.b, there exists a sequence  $G_0, \dots, G_t = G$  of 3-connected minors where  $G_0$  is an  $H$  minor and  $G_{i+1}$  is constructed from  $G_i$  following very specific steps.
- By minimality,  $G_{t-1}$  is planar and  $G$  is not. Argue about a planar drawing of  $G_{t-1}$  and how  $G$  can be derived from it. Show that this must result in a subdivision of  $K_{3,3}$  or  $K_5$ .

□

**Theorem 136** (Kuratowski). *A graph is planar if and only if it has no subdivision of  $K_{3,3}$  or  $K_5$ .*

*Proof.* Note: Theorem 7.4.1 is equivalent to Kuratowski's theorem: a  $K_{3,3}$  minor induces a subdivision of  $K_{3,3}$  and a  $K_5$  minor also leads to a subdivision of  $K_5$  or  $K_{3,3}$  (the latter in the case when an expansion step splits a vertex of degree 4 into two vertices of degree 3 after the new edge is inserted). □

## 2.8 Chapter 8

### 2.8.1 Chapter 8.2

This chapter is about deducing and manipulating 1- and 2-sum decompositions and compositions.

**Proposition 137** (8.2.1). *Matrix of 1-separation.*

**Lemma 138** (8.2.2). *Let  $M$  be a binary matroid. Assume  $M$  to be a 1-sum of two matroids  $M_1$  and  $M_2$ .*

- *If  $M$  is graphic, then there exist graphs  $G, G_1, G_2$  for  $M, M_1, M_2$ , respectively, such that identification of a node of  $G_1$  with one of  $G_2$  creates  $G$ .*
- *If  $M_1$  and  $M_2$  are graphic (resp. planar), then  $M$  is graphic (resp. planar).*

*Proof sketch.* Elementary application of Theorem 3.2.25.a. □

**Proposition 139** (8.2.3). *Matrix of exact 2-separation.*

**Proposition 140** (8.2.4). *Matrices  $B^1$  and  $B^2$  of 2-sum.*

**Lemma 141** (8.2.6). *Any 2-separation of a connected binary matroid  $M$  produces a 2-sum with connected components  $M_1$  and  $M_2$ . Conversely, any 2-sum of two connected binary matroids  $M_1$  and  $M_2$  is a connected binary matroid  $M$ .*

*Proof sketch.*

- Definitions imply everything except connectedness.
- It is easy to check that connectedness of (8.2.3) implies connectedness of (8.2.4) and vice versa.
- By Lemma 3.3.19, connectedness of representation matrices is equivalent to connectedness of the corresponding matroids.

□

**Lemma 142** (8.2.7). *Let  $M$  be a connected binary matroid that is a 2-sum of  $M_1$  and  $M_2$ , as given via  $B, B_1$ , and  $B_2$  of (8.2.3) and (8.2.4).*

- *If  $M$  is graphic, then there exist 2-connected graphs  $G, G_1$ , and  $G_2$  for  $M, M_1$ , and  $M_2$ , respectively, with the following feature. The graph  $G$  is produced when one identifies the edge  $x$  of  $G_1$  with the edge  $y$  of  $G_2$ , and when subsequently the edge so created is deleted.*
- *If  $M_1$  and  $M_2$  are graphic (resp. planar), then  $M$  is graphic (resp. planar).*

*Proof sketch.*

- Ingredients: look at a 2-separation and the corresponding subgraphs, use Theorem 3.2.25.b, use the switching operation of Section 3.2, use Lemma 8.2.6 and representations (8.2.3) and (8.2.4).
- Use the construction from the drawing, check that fundamental circuits match, conclude that  $M$  is graphic. For planar graphs, the edge identification can be done in a planar way.

□

## 2.8.2 Chapter 8.3

**Proposition 143** (8.3.1). *Matrix  $B$  with exact  $k$ -separation.*

**Proposition 144** (8.3.2). *Partition of  $B$  displaying  $k$ -sum.*

**Proposition 145** (8.3.9). *The (well-chosen) matrix  $\overline{B}$  representing the connecting minor  $\overline{M}$  of a 3-sum.*

**Proposition 146** (8.3.10). *The matrix  $B$  representing a 3-sum (after reasoning).*

**Proposition 147** (8.3.11). *Representation matrices  $B^1$  and  $B^2$  of the components  $M_1$  and  $M_2$  of a 3-sum (after reasoning).*

**Lemma 148** (8.3.12). *Let  $M$  be a 3-connected binary matroid on a set  $E$ . Then any 3-separation  $(E_1, E_2)$  of  $M$  with  $|E_1|, |E_2| \geq 4$  produces a 3-sum, and vice versa.*

*Proof.*

- The converse easily follows from (8.3.10), which directly produces a desired 3-separation.
- Take a 3-separation. Since  $M$  is 3-connected, it must be exact. Consider the representation matrix (8.3.11). Reason about that matrix.
- Analyse shortest paths in a bipartite graph based on the matrix.
- Apply path shortening technique from Chapter 5 to reduce a shortest path by pivots to one with exactly two arcs.
- Reason about the corresponding entries and about the effects of the pivots on the matrix.
- Apply Lemma 2.3.14. Eventually get an instance of (8.3.10) with (8.3.9). Thus,  $M$  is a 3-sum.

□

## 2.8.3 Chapter 8.5

**Proposition 149** (8.5.3). *Matrix  $B^{2\Delta}$  for  $M_{2\Delta}$ .*

## 2.9 Chapter 9

**Proposition 150** (9.2.14). *Matrix  $B^{12}$  of regular matroid  $R_{12}$ .*

## 2.10 Chapter 10

**Proposition 151** (10.2.4). *Derivation of a graph with  $T$  nodes for  $F_7$ .*

**Proposition 152** (10.2.6). *Derivation of a graph with  $T$  nodes for  $M(K_{3,3})^*$ .*

**Proposition 153** (10.2.8). *Derivation of a graph with  $T$  nodes for  $R_{10}$ .*

**Proposition 154** (10.2.9). *Derivation of a graph with  $T$  nodes for  $R_{12}$ .*

**Theorem 155** (10.2.11 only if). *If a regular matroid is planar, then it has no  $M(K_5)$ ,  $M(K_5)^*$ ,  $M(K_{3,3})$ , or  $M(K_{3,3})^*$  minors.*

*Proof sketch.* • Planarity is preserved under taking minors.

- The listed matroids are not planar.

□

**Theorem 156** (10.2.11 if). *If a regular matroid has no  $M(K_5)$ ,  $M(K_5)^*$ ,  $M(K_{3,3})$ , or  $M(K_{3,3})^*$  minors, then it is planar.*

*Proof sketch.*

- Let  $M$  be minimally nonplanar with respect to taking minors, i.e., regular nonplanar, but with all proper minors planar.
- Goal: show that  $M$  is isomorphic to one of the listed matroids.
- By Theorem 7.4.1,  $M$  is not graphic or cographic.
- By Lemmas 8.2.2, 8.2.6, and 8.2.7, if  $M$  has a 1- or 2-separation, then  $M$  is a 1- or 2-sum. But then the components of the sum are planar, so  $M$  is also planar. Therefore,  $M$  is 3-connected.
- By the census of Section 3.3, every 3-connected  $\leq 8$ -element matroid is planar, so  $|M| \geq 9$ .
- By the binary matroid version of the wheel Theorem 7.3.3, there exists an element  $z$  such that  $M \setminus z$  or  $M/z$  is 3-connected. Dualizing does not affect the assumptions, so we may assume that  $M \setminus z$  is 3-connected.
- Let  $G$  be a planar graph representing  $M \setminus z$ . Extend  $G$  to a representation of  $M$  as follows:
  - If  $G$  is a wheel, invoke (10.2.6) or (10.2.4). The latter contradicts regularity of  $M$ , the former shows what we need.
  - If  $G$  is not a wheel, use Theorem 7.3.3 and Menger's theorem. Use a path argument and edge contraction to reduce to (10.2.6) and conclude the proof.

□

**Lemma 157** (10.3.1).  *$M(K_5)$  is a splitter of the regular matroids with no  $M(K_{3,3})$  minors.*

*Proof.*

- By Theorem 7.2.1.a, we only need to show that every 3-connected regular 1-element extension of  $M(K_5)$  has an  $M(K_{3,3})$  minor.
- Then case analysis. (The book sketches one way of checking.)

□

**Lemma 158** (10.3.6). *Every 3-connected binary 1-element expansion of  $M(K_{3,3})$  is nonregular.*

*Proof sketch.* By case analysis via graphs plus  $T$  sets.

□

**Theorem 159** (10.3.11). *Let  $M$  be a 3-connected regular matroid with an  $M(K_{3,3})$  minor. Assume that  $M$  is not graphic and not cographic, but that each proper minor of  $M$  is graphic or cographic. Then  $M$  is isomorphic to  $R_{10}$  or  $R_{12}$ .*



*Proof.* This proof is extremely long and technical. It involves case distinctions and graph constructions.  $\square$

**Theorem 160** (10.4.1 only if). *If 3-connected regular matroid is graphic or cographic, then it has no  $R_{10}$  or  $R_{12}$  minors.*

*Proof sketch.* Representations (10.2.8) and (10.2.9) for  $R_{10}$  and  $R_{12}$  show that these are non-graphic and isomorphic to their duals, hence also noncographic, so we are done.  $\square$

**Theorem 161** (10.4.1 if). *If a 3-connected regular matroid has no  $R_{10}$  or  $R_{12}$  minors, then it is graphic or cographic.*

*Proof sketch.*

- Let  $M$  be 3-connected, regular, nongraphic, and noncographic matroid.
- Thus  $M$  is not planar, so by Theorem 10.2.11 it has a minor isomorphic to  $M(K_5)$ ,  $M(K_5)^*$ ,  $M(K_{3,3})$ , or  $M(K_{3,3})^*$ .
- By Lemma 10.3.1,  $M(K_5)$  is a splitter for the regular matroids with no  $M(K_{3,3})$  minors.
- These results imply that  $M$  has a minor isomorphic to  $M(K_{3,3})$ , or  $M(K_{3,3})^*$ , or  $M$  is isomorphic to  $M(K_5)$  or  $M(K_5)^*$ .
- The latter is a contradiction, so  $M$  or  $M^*$  has an  $M(K_{3,3})$  minor.
- Theorem 10.3.11 implies that  $M$  or  $M^*$  has  $R_{10}$  or  $R_{12}$  as a minor.
- Since  $R_{10}$  and  $R_{12}$  are self-dual,  $M$  has  $R_{10}$  or  $R_{12}$  as a minor.

$\square$

Note: Truemper's proof of ?? and ?? relies on representing matroids via graphs plus  $T$  sets. An alternative proof, which utilizes the notion of graph signings, can be found in [J. Geelen, B. Gerards - Regular matroid decomposition via signed graphs](#). Although the proof appears shorter than Truemper's, it heavily relies certain relatively advanced graph-theoretic results.

Bonus: Whitney's characterization of planar graphs (Corollary 10.2.13).

## 2.11 Chapter 11

### 2.11.1 Chapter 11.2

The goal of this chapter is to prove the “simple” direction of the regular matroid decomposition theorem.

Ingredients from Section 9.2:

- A matrix is TU if all its subdeterminants are 0,  $\pm 1$ .
- A binary matroid is regular if it has a signing that is TU.
- By Lemma 9.2.6 and Corollary 9.2.7, this signing is unique up to scaling by  $\pm 1$  factors.
- The signing can be accomplished by signing one arbitrarily selected row or column at a time.

Ingredients from minimal violation matrices:

- Definition: a minimal violation matrix of total unimodularity (minimal violation matrix, MVM) is a  $\{0, \pm 1\}$  matrix that is not TU, but all its submatrices are TU.
- MVMs are square and have determinant not equal to  $0, \pm 1$ .
- In particular, a  $2 \times 2$  violation matrix has four  $\pm 1$ 's.
- Consider a MVM of order  $\geq 3$ . Perform a pivot in it, then delete the pivot row and column. Then the resulting matrix is also MVM ("by a simple cofactor argument").

**Lemma 162** (11.2.1). *Any 1- or 2-sum of two regular matroids is also regular.*

*Proof sketch.*

- 1-sum case:  $M_1 \oplus_1 M_2$  is represented by a matrix  $B = \text{diag}(A_1, A_2)$  where  $A_1$  and  $A_2$  represent  $M_1$  and  $M_2$ . Use the same signings for  $A_1$  and  $A_2$  in  $B$  to prove that  $B$  is TU and hence the 1-sum is regular.
- 2-sum case: Slightly more complicated signing process. Similarly, reuse signings from  $M_1$  and  $M_2$ , define signing on remaining nonzero elements via a concrete formula, then prove that the resulting matrix is TU.

□

**Lemma 163** (11.2.7).  *$M_2$  of (8.3.10) and (8.3.11) is regular iff  $M_{2\Delta}$  of (8.5.3) ( $M_2$  converted by a  $\Delta Y$  exchange) is regular.*

*Proof sketch.* Utilize signings, minimal violation matrices, intersections (inside matrices), column dependence, pivot, duality. □

**Corollary 164** (11.2.8).  *$\Delta Y$  exchanges maintain regularity.*

*Proof.* Follows by Lemma 11.2.7. □

**Lemma 165** (11.2.9). *Any 3-sum of two regular matroids is also regular.*

*Proof sketch.* Yet more complicated, but similar. Uses the result that " $\Delta Y$  exchanges maintain regularity" (Corollary 11.2.8 of Lemma 11.2.7). The rest of the arguments are similar to the 2-sum case: prove that submatrices are TU, then prove that the whole matrix is TU. □

**Theorem 166** (11.2.10). *Any 1-, 2-, or 3-sum of two regular matroids is regular.*

*Proof sketch.* Combine Lemmas 11.2.1 and 11.2.9. □

**Corollary 167** (11.2.12). *Any  $\Delta$ -sum or  $Y$ -sum of two regular matroids is also regular.*

*Proof sketch.* Follows from definitions of  $\Delta$ -sums and  $Y$ -sum, together with Theorem 11.2.10 and Corollary 11.2.8. □

## 2.11.2 Chapter 11.3

**Proposition 168** (11.3.3). *Graph plus  $T$  set representing  $R_{10}$*

**Proposition 169** (11.3.5). *Graph plus  $T$  set representing  $F_7$ .*

**Proposition 170** (11.3.11). *The binary representation matrix  $B^{12}$  for  $R_{12}$ .*

The goal of the chapter is to prove the “hard” direction of the regular matroid decomposition theorem.

**Theorem 171** (11.3.2).  *$R_{10}$  is a splitter of the class of regular matroids.*

*In short: up to isomorphism, the only 3-connected regular matroid with  $R_{10}$  minor is  $R_{10}$ .*

*Proof sketch.*

- Splitter theorem case (a)
- $R_{10}$  is self-dual, so it suffices to consider 1-element additions.
- Represent  $R_{10}$  by (11.3.3)
- Up to isomorphism, there are only 3 distinct 3-connected 1-element extensions.
- Case 1 (graphic): contract a certain edge, the resulting graph contains a subdivision of (11.3.5), which represents  $F_7$ . Thus, this extension is nonregular.
- Cases 2, 3 (nongraphic): reduce instances to (11.3.5), same conclusion.

□

**Theorem 172** (11.3.10). *In short: Restatement of ?? for  $R_{12}$ . Replacements:  $\mathcal{M}$  is the class of regular matroids,  $N$  is  $R_{12}$ , (6.3.12) is (11.3.6), (6.3.21-23) are (11.3.7-9).*

**Theorem 173** (11.3.12). *Let  $M$  be a regular matroid with  $R_{12}$  minor. Then any 3-separation of that minor corresponding to the 3-separation  $(X_1 \cup Y_1, X_2 \cup Y_2)$  of  $R_{12}$  (see (11.3.11) – matrix  $B^{12}$  for  $R_{12}$  defining the 3-separation) under one of the isomorphisms induces a 3-separation of  $M$ .*

*In short: every regular matroid with  $R_{12}$  minor is a 3-sum of two proper minors.*

*Proof sketch.*

- Preparation: calculate all 3-connected regular 1-element additions of  $R_{12}$ . This involves somewhat tedious case checking. (Representation of  $R_{12}$  in (10.2.9) helps a lot.) By the symmetry of  $B^{12}$  and thus by duality, this effectively gives all 3-connected 1-element extensions as well.
- Verify conditions of theorem 11.3.10 (which implies the result).
- (11.3.7) and (11.3.9) are ruled out immediately from preparatory calculations.
- The rest is case checking ((c.1) and (c.2)), simplified by preparatory calculations.

□

**Theorem 174** (11.3.14 regular matroid decomposition, easy direction). *Every binary matroid produced from graphic, cographic, and matroids isomorphic to  $R_{10}$  by repeated 1-, 2-, and 3-sum compositions is regular.*

*Proof sketch.* Follows from theorem 11.2.10. □

**Theorem 175** (11.3.14 regular matroid decomposition, hard direction). *Every regular matroid  $M$  can be decomposed into graphic and cographic matroids and matroids isomorphic to  $R_{10}$  by repeated 1-, 2-, and 3- sum decompositions. Specifically: If  $M$  is a regular 3-connected matroid that is not graphic and not cographic, then  $M$  is isomorphic to  $R_{10}$  or has an  $R_{12}$  minor. In the latter case, any 3-separation of that minor corresponding to the 3-separation  $(X_1 \cup Y_1, X_2 \cup Y_2)$  of  $R_{12}$  ((11.3.11)) under one of the isomorphisms induces a 3-separation of  $M$ .*

*Proof sketch.*

- Let  $M$  be a regular matroid. Assume  $M$  is not graphic and not cographic.
- If  $M$  is 1-separable, then it is a 1-sum. If  $M$  is 2-separable, then it is a 2-sum. Thus assume  $M$  is 3-connected.
- By theorem 10.4.1,  $M$  has an  $R_{10}$  or an  $R_{12}$  minor.
- $R_{10}$  case: by theorem 11.3.2,  $M$  is isomorphic to  $R_{10}$ .
- $R_{12}$  case: by theorem 11.3.12,  $M$  has an induced by 3-separation, so by lemma 8.3.12,  $M$  is a 3-sum. □

### 2.11.3 Extensions of Regular Matroid Decomposition

- Theorem 11.3.14 remains valid when 3-sums are replaced by  $\Delta$ - and  $Y$ -sums (Theorem 11.3.16).
- Theorem 11.3.14 (and 11.3.16) can also be proved for matroids with no  $F_7$  minors or with no  $F_7^*$  minors. (Uses Lemma 11.3.19:  $F_7$  ( $F_7^*$ ) is a splitter of the binary matroids with no  $F_7^*$  ( $F_7$ ) minors.)

### 2.11.4 Applications of Regular Matroid Decomposition

- Efficient algorithm for testing if a binary matroid is regular (Section 11.4).
- Efficient algorithm for deciding if a real matrix is TU (Section 11.4).
- Constructing TU matrices (Theorem 11.5.9). (Translate 3-sum version of theorem 11.3.16 into matrix language.)
- Constructing 0, 1 TU matrices (Theorem 11.5.13).
- Characterization of the cycle polytope (theorem 11.5.17). (Problem: let  $M$  be a connected binary matroid with ground set  $E$  and element weighs  $w_e$  for all  $e \in E$ . Find a disjoint union  $C$  of circuits of  $M$  such that  $\sum_{e \in C} w_e$  is maximized.)
- Number of nonzeros in TU matrices (Theorem 11.5.18).
- Triples in circuits (Theorem 11.5.18).
- Odd cycles (Theorem 11.5.20).