

# FIRST-ORDER LOGIC

Russell, Norvig, "Artificial Intelligence: A Modern Approach", 3<sup>rd</sup> ed

# Outline

Why FOL?

Syntax and semantics of FOL

Using FOL

Wumpus world in FOL

Knowledge engineering in FOL

# Pros and cons of propositional logic

- 😊 Propositional logic is **declarative**
- 😊 Propositional logic allows partial/disjunctive/negated information
  - (unlike most data structures and databases)
- 😊 Propositional logic is **compositional**:
  - meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- 😊 Meaning in propositional logic is **context-independent**
  - (unlike natural language, where meaning depends on context)
- 😞 Propositional logic has very limited expressive power (unlike natural language)
  - E.g., cannot say "pits cause breezes in adjacent squares"
    - except by writing one sentence for each square

# First-Order Logic

Whereas **propositional logic** assumes the world contains **facts**,

**first-order logic** (like natural language) assumes the world contains

- **Objects**: people, houses, numbers, colors, games, ...
- **Relations**: red, round, prime, brother of, bigger than, part of, comes between, ...
- **Functions**: father of, best friend, one more than, plus, ...

# Syntax of FOL: Basic elements

Constants	John, 2, DIT,...
Predicates	Brother, >,...
Functions	Sqrt, LeftLegOf,...
Variables	x, y, a, b,...
Connectives	$\neg$ , $\Rightarrow$ , $\wedge$ , $\vee$ , $\Leftrightarrow$
Equality	=
Quantifiers	$\forall$ , $\exists$

# Syntax of FOL

Sentence  $\rightarrow$  AtomicSentence  
| Sentence Connective Sentence  
| Quantifier Variable Sentence  
|  $\neg$ Sentence  
| (Sentence)

AtomicSentence  $\rightarrow$  Predicate(Term, Term, ...)  
| Term=Term

Term  $\rightarrow$  Function(Term,Term,...)  
| Constant  
| Variable

# Syntax of FOL (cont.)

Connective  $\rightarrow$   $\vee$

|  $\wedge$

|  $\Rightarrow$

|  $\Leftrightarrow$

Quantifier  $\rightarrow \exists$  |  $\forall$

Constant  $\rightarrow$  A | John | Carl

Variable  $\rightarrow$  x | y | z | ...

Predicate  $\rightarrow$  Brother | Owns | ...

Function  $\rightarrow$  father-of | plus | ...

# Predicates vs. functions?

**Constants** represent individuals in the world

- Mary
- 3
- Green

**Predicates** map individuals to truth values

For example

- `greater(5,3)`
- `green(Grass)`
- `color(Grass, Green)`

**Functions** map individuals to individuals

- `father-of(Mary) = John`
- `color-of(Sky) = Blue`



# Complex sentences

Complex sentences are made from atomic sentences using connectives

$$\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2$$

For example,

$$\textit{Sibling}(\textit{John}, \textit{Richard}) \Rightarrow \textit{Sibling}(\textit{Richard}, \textit{John})$$

$$>(1,2) \vee \leq (1,2)$$

$$>(1,2) \wedge \neg >(1,2)$$

# Truth in first-order logic

Sentences are true with respect to a **model** and an **interpretation**

Model contains objects (**domain elements**) and relations among them

Interpretation specifies referents for

**constant symbols** → **objects**

**predicate symbols** → **relations**

**function symbols** → **functional relations**

An atomic sentence ***predicate(term<sub>1</sub>, ..., term<sub>n</sub>)*** is true  
iff the **objects** referred to by *term<sub>1</sub>, ..., term<sub>n</sub>*  
are in the **relation** referred to by *predicate*

# Universal quantification $\forall$

$\forall$  <variable> <sentence>

Example: Everyone at DIT is smart:

$$\forall x \text{ At}(x, \text{DIT}) \Rightarrow \text{Smart}(x)$$

$\forall x P(x)$  is true in a model  $m$  iff  $P$  is true with  $x$  being each possible object in the model

Roughly speaking, this is equivalent to the conjunction of instantiations of  $P$

$$\begin{aligned} &\text{At}(\text{John}, \text{DIT}) \Rightarrow \text{Smart}(\text{John}) \\ &\wedge \text{At}(\text{Richard}, \text{DIT}) \Rightarrow \text{Smart}(\text{Richard}) \\ &\wedge \text{At}(\text{Mary}, \text{DIT}) \Rightarrow \text{Smart}(\text{Mary}) \\ &\wedge \dots \end{aligned}$$

# A common mistake to avoid

Typically  $\Rightarrow$  is the main connective with  $\forall$

Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ At}(x, \text{DIT}) \wedge \text{Smart}(x)$$

means “Everyone is at DIT and everyone is smart”

# Existential quantification $\exists$

$\exists$ <variables> <sentence>

**Example:** Someone at DIT is smart:

$$\exists x \text{ At}(x, \text{DIT}) \wedge \text{Smart}(x)$$

$\exists x P(x)$  is true in a model  $m$  iff  $P$  is true with  $x$  being some possible object in the model

Roughly speaking, equivalent to the disjunction of instantiations of  $P$

$$\text{At}(\text{John}, \text{DIT}) \wedge \text{Smart}(\text{John})$$

$$\vee \quad \text{At}(\text{Richard}, \text{DIT}) \wedge \text{Smart}(\text{Richard})$$

$$\vee \quad \text{At}(\text{Mary}, \text{DIT}) \wedge \text{Smart}(\text{Mary})$$

$$\vee \dots$$

# Another common mistake to avoid

Typically  $\wedge$  is the main connective with  $\exists$

Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{DIT}) \Rightarrow \text{Smart}(x)$$

is true if there is anyone who is not at DIT!

# Well-formed formula (wff)

A **well-formed formula (wff)** is a sentence containing no “free” variables.

That is, all variables are “bound” by universal or existential quantifiers.

For example,  $(\forall x) P(x,y)$

- has  $x$  bound as a universally quantified variable, but  $y$  is free
- not a wff

# Properties of quantifiers

1.  $\forall x \forall y$  is the same as  $\forall y \forall x$
2.  $\exists x \exists y$  is the same as  $\exists y \exists x$
3.  $\exists x \forall y$  is **not** the same as  $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x,y)$

“There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x,y)$

“Everyone in the world is loved by at least one person”



# Expressing $\forall$ and $\exists$ as each other

## De Morgan's law:

The quantifiers  $\forall$  and  $\exists$  can be expressed as each other:

$$\begin{aligned}\forall x P(x) &\equiv \neg \exists x \neg P(x) \\ \exists x P(x) &\equiv \neg \forall x \neg P(x)\end{aligned}$$

For example,

$\forall x \text{ Likes}(x, \text{IceCream})$  is logically equiv. to  $\neg \exists x \neg \text{ Likes}(x, \text{IceCream})$   
 $\exists x \text{ Likes}(x, \text{Broccoli})$  is logically equiv. to  $\neg \forall x \neg \text{ Likes}(x, \text{Broccoli})$

# Equality

$term_1 = term_2$  is true under a given interpretation if and only if  $term_1$  and  $term_2$  refer to the same object

E.g., definition of *Sibling* in terms of *Parent*:

$$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow [\neg(x = y) \wedge \exists m, f \neg (m = f) \wedge \text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)]$$

# Translating English to FOL

**Every gardener likes the sun.**

$(\forall x) \text{gardener}(x) \Rightarrow \text{likes}(x, \text{Sun})$

**You can fool some of the people all of the time.**

$(\exists x) (\text{person}(x) \wedge (\forall t)(\text{time}(t) \Rightarrow \text{can-fool}(x, t)))$

**You can fool all of the people some of the time.**

$(\forall x) (\text{person}(x) \Rightarrow (\exists t) (\text{time}(t) \wedge \text{can-fool}(x, t)))$

**All purple mushrooms are poisonous.**

$(\forall x) (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \text{poisonous}(x)$

# Translating English to FOL

**No purple mushroom is poisonous.**

$\neg(\exists x) \text{ purple}(x) \wedge \text{mushroom}(x) \wedge \text{poisonous}(x)$

or, equivalently,

$(\forall x) (\text{mushroom}(x) \wedge \text{purple}(x)) \Rightarrow \neg \text{poisonous}(x)$

**There are exactly two purple mushrooms.**

$(\exists x)(\exists y) \text{ mushroom}(x) \wedge \text{purple}(x) \wedge \text{mushroom}(y) \wedge$   
 $\text{purple}(y) \wedge \sim(x=y) \wedge (\forall z) (\text{mushroom}(z) \wedge \text{purple}(z)) \Rightarrow$   
 $((x=z) \vee (y=z))$

**Mary is not tall.**

$\neg \text{Tall}(\text{Mary})$

# Translating English to FOL: Kinship domain

## Brothers are siblings

$$\forall x,y \text{ Brother}(x,y) \Rightarrow \text{Sibling}(x,y)$$

## One's mother is one's female parent

$$\forall m,c \text{ Mother}(c) = m \Leftrightarrow (\text{Female}(m) \wedge \text{Parent}(m,c))$$

## “Sibling” is symmetric

$$\forall x,y \text{ Sibling}(x,y) \Leftrightarrow \text{Sibling}(y,x)$$

# Inference in FOL

Inference rules for Predicate Logic apply to FOL as well

We can also use the following new sound inference rules for use with quantifiers:

- Universal elimination (also called Universal instantiation)
- Existential elimination (also called Existential instantiation)
- Universal generalization
- Existential generalization

# Universal Elimination/ (Univ. Instantiation)

If  $\forall x P(x)$  is true, then  $P(A)$  is also true,  
where  $A$  is a constant in the domain of  $x$ .

For example, from

$\forall x \text{ eats}(\text{Ziggy}, x)$  we can infer  
 $\text{eats}(\text{Ziggy}, \text{IceCream})$

The variable symbol can be replaced by any ground term, i.e.,  
any constant symbol or function symbol applied to ground  
terms only.

We can also infer

$\text{eats}(\text{Ziggy}, \text{Peanuts})$   
 $\text{eats}(\text{Ziggy}, \text{Bananas})$

...

# Existential Elimination/ (Exist. Instantiation)

From  $\exists x P(x)$  we can infer  $P(C)$ , where  $c$  is a constant that does NOT appear anywhere else in the knowledge base.

$C$  is called a **Skolem constant**. (Rule is also called Skolemization rule)

For example, from

$\exists x \text{ eats}(\text{Ziggy}, x)$  infer  $\text{eats}(\text{Ziggy}, C)$

Note that the variable is replaced by a brand new constant that does not occur in this or any other sentence in the KB.

In other words, we don't want to accidentally draw wrong inferences about the KB by introducing the constant. All we know is there must be some constant that makes this true, so we can introduce a brand new one to stand in for that (unknown) constant.



# Existential Elimination

Another example:

$\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})$

provided  $C_1$  is a new constant symbol (Skolem constant)

# Universal & Existential Generalization

## Universal generalization

From  $P(A) \wedge P(B) \wedge P(C) \dots$  we can infer  $\forall x P(x)$ ,

where  $A, B, C, \dots$  is the range of all possible constant for the predicate  $P$

## Existential generalization

From  $P(A)$  we can infer  $\exists x P(x)$

# Reduction to propositional inference

Suppose the KB contains just the following:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

Instantiating the universal sentence in **all possible** ways, we have:

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

The new KB is **propositionalized**: proposition symbols are:

$\text{King}(\text{John})$ ,  $\text{Greedy}(\text{John})$ ,  $\text{Evil}(\text{John})$ ,  $\text{King}(\text{Richard})$ , etc.

# Reduction (cont.)

Every FOL KB can be propositionalized so as to preserve entailment

(A ground sentence is entailed by new KB iff entailed by original KB)

**Idea:** propositionalize KB and

- query,
- apply resolution,
- return result

**Problem:** with function symbols there are infinitely many ground terms,

- e.g., *Father(Father(Father(John)))*

# Reduction (cont.)

**Theorem** (Herbrand, 1930): If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a **finite** subset of the propositionalized KB

**Idea:** For  $n = 0$  to  $\infty$  do  
    create a propositional KB by instantiating with depth- $n$  terms  
    see if  $\alpha$  is entailed by this KB

**Problem:** works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed

**Theorem:** Turing (1936), Church (1936)  
Entailment for FOL is **semi-decidable** (algorithms exist that say yes to every entailed sentence, but no algorithm exists that also says no to every non-entailed sentence)

# Problems with propositionalization

Propositionalization seems to generate lots of irrelevant sentences.

For example, from:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\forall y \text{ Greedy}(y)$

$\text{Brother}(\text{Richard}, \text{John})$

It seems obvious that  $\text{Evil}(\text{John})$ , but propositionalization produces lots of facts such as  $\text{Greedy}(\text{Richard})$  that are irrelevant

With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations!

# Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that

*King(x)* and *Greedy(x)* match

*King(John)* and *Greedy(y)*

$\theta = \{x/\text{John}, y/\text{John}\}$  works

**Unify procedure:**  $\text{Unify}(P, Q)$  takes two atomic (i.e. single predicates) sentences  $P$  and  $Q$  and returns a substitution that makes  $P$  and  $Q$  identical.

**Unifier:** a substitution that makes two clauses resolvable.

# Unification

Knows(John,x), Knows(John, Jane)

–  $\theta = \{x/\text{Jane}\}$

Knows(John,x), Knows(y, Bill)

–  $\theta = \{x/\text{Bill}, y/\text{John}\}$



# Unification

Which unification solves

$\text{Knows}(\text{John}, x), \text{Knows}(y, \text{Mother}(y))$

Choose from:

- A:  $\{y/\text{Bill}, x/\text{Mother}(\text{John})\}$
- B:  $\{y/\text{John}, x/\text{Bill}\}$
- C:  $\{y/\text{John}, x/\text{Mother}(\text{John})\}$
- D:  $\{y/\text{Mother}(\text{John}), x/\text{John}\}$
- E:  $\{y/\text{John}, x/\text{Mother}(y)\}$
- F:  $\{\text{fail}\}!$

What about  $\text{Knows}(\text{John}, x), \text{Knows}(x, \text{Bill})$ ?

# Unification: MGU

To unify  $Knows(John, x)$  and  $Knows(y, z)$ ,

$$\theta = \{y/John, x/z\} \text{ or } \theta = \{y/John, x/John, z/John\}$$

The first unifier is **more general** than the second.

There is a single **most general unifier** (MGU) that is unique up to renaming of variables.

$$MGU = \{y/John, x/z\}$$

# Unification – more examples

Find the most general unifier for the sentences below:

- $\text{parents}(x, \text{father}(x), \text{mother}(\text{Bill}))$   
 $\text{parents}(\text{Bill}, \text{father}(\text{Bill}), y)$   
–  $\{x/\text{Bill}, y/\text{mother}(\text{Bill})\}$
- $\text{parents}(x, \text{father}(x), \text{mother}(\text{Bill}))$   
 $\text{parents}(\text{Bill}, \text{father}(y), z)$   
–  $\{x/\text{Bill}, y/\text{Bill}, z/\text{mother}(\text{Bill})\}$
- $\text{parents}(x, \text{father}(x), \text{mother}(\text{Jane}))$   
 $\text{parents}(\text{Bill}, \text{father}(y), \text{mother}(y))$   
– Failure

# Unification

A variable can never be replaced by a term containing that variable.

For example,  $\theta = \{x/f(x)\}$  is illegal!

# Generalized Modus Ponens

## Generalized Modus Ponens:

From

$P(c)$ ,  $Q(c)$  and  $\forall x (P(x) \wedge Q(x) \Rightarrow R(x))$

we can derive

$R(c)$

Example: From

$\text{King}(\text{John})$ ,  $\text{Greedy}(\text{John})$  and  $\forall x \text{King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

we can derive

$\text{Evil}(\text{John})$

# Generalized Modus Ponens

Generalised Modus Ponens (General case): Given

- atomic sentences  $P_1, P_2, \dots, P_N$
- implication sentence  $(Q_1 \wedge Q_2 \wedge \dots \wedge Q_N) \Rightarrow R$   
where  $Q_1, \dots, Q_N$  and  $R$  are atomic sentences
- substitution  $\text{subst}(\theta, P_i) = \text{subst}(\theta, Q_i)$  for  $i=1, \dots, N$

we can derive new sentence:

$\text{subst}(\theta, R)$

## Substitutions

- $\text{subst}(\theta, \alpha)$  denotes the result of applying a set of substitutions defined by  $\theta$  to the sentence  $\alpha$
- substitution list  $\theta = \{v_1/t_1, v_2/t_2, \dots, v_n/t_n\}$  means to replace all occurrences of variable symbol  $v_i$  by term  $t_i$
- substitutions are made in left-to-right order in the list  
E.g.  $\text{subst}(\{x/\text{IceCream}, y/\text{Ziggy}\}, \text{eats}(y,x)) = \text{eats}(\text{Ziggy}, \text{IceCream})$

# Generalized Modus Ponens

Recall our example

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\forall y \text{ Greedy}(y)$

$\text{Brother}(\text{Richard}, \text{John})$

And we would like to infer  $\text{Evil}(\text{John})$  without propositionalization

We can use Generalised modus ponens with the unification:

- P1 is  $\text{King}(\text{John})$                       Q1 is  $\text{King}(x)$
- P2 is  $\text{Greedy}(\text{John})$                       Q2 is  $\text{Greedy}(x)$
- $\theta$  is  $\{x/\text{John}, y/\text{John}\}$                       R is  $\text{Evil}(x)$
- $\text{Subst}(\theta, R)$  is  $\text{Evil}(\text{John})$

Implicit assumption that all variables are universally quantified

# Completeness and Soundness of GMP

GMP is **sound**

- Only derives sentences that are logically entailed  
(We won't be looking at the proof)

GMP is **complete** for a KB consisting of **definite clauses**

- Complete: derives all sentences that entailed
- OR...answers every query whose answers are entailed by such a KB

**Definite clause**: disjunction of literals of which exactly 1 is positive, e.g.,

$$\neg \text{King}(x) \vee \neg \text{Greedy}(x) \vee \text{Evil}(x)$$

$$\text{King}(x) \text{ AND } \text{Greedy}(x) \Rightarrow \text{Evil}(x)$$



# Horn clause

**Horn clause** – a clause (i.e., a disjunction of literals) that contains at most one positive literal

Horn clauses are usually written as

$$\neg P_1 \vee \neg P_2 \vee \dots \vee \neg P_n \vee Q$$

or the equivalent

$$P_1 \wedge P_2 \wedge \dots \wedge P_n \Rightarrow Q$$

Or in the case of no positive literal the Horn clause is called **goal**

$$P_1 \wedge P_2 \wedge \dots \wedge P_n \Rightarrow \text{false}$$

**Definite clause** is a horn clause with exactly 1 positive literal

# Horn clauses

Not everything can be expressed as a Horn clause. These are not Horn clauses:

- $P(x) \vee Q(x)$
- $(P \wedge Q) \Rightarrow (R \vee S)$

Horn clauses represent a *subset* of the set of sentences representable in FOL – not every FOL sentence is a horn clause

Prolog is based on Horn clauses

Why do we learn about Horn clauses?

Inference using GMP is *complete* for KBs containing *only Horn/definite clauses*

# Inference approaches in FOL

## Forward-chaining

- Uses GMP to add new atomic sentences
- Useful for systems that make inferences as information streams in
- Requires KB to be in form of first-order definite clauses

## Backward-chaining

- Works backwards from a query to try to construct a proof
- Can suffer from repeated states and incompleteness
- Useful for query-driven inference

## Resolution-based inference (FOL)

- Refutation-complete for general KB
  - Can be used to confirm or refute a sentence  $p$  (but not to generate all entailed sentences)
- Requires FOL KB to be reduced to CNF
- Uses generalized version of propositional inference rule

Note that all of these methods are generalizations of their propositional equivalents

# Prolog

## What is Prolog?

- Programs consist of logical formulas
- Running a program means proving a theorem

## Syntax of Prolog

- Predicates, objects, and functions: `append(a,b)`
- Variables: `X`, `Y`, `List`
- Facts: `college(dit).`

# Prolog

## Syntax of Prolog (cont.)

- Rules:

animal(X) :- cat(X).

student(X) :- person(X), enrolled(X,Y), university(Y).

- implication “:-” with single predicate on left and only non-negated predicates on the right. All variables implicitly “forall” quantified.

## Queries

- student(X)
- All variables implicitly “exists” quantified.

# Prolog and Horn clauses

Prolog

```
c:- a, b.  
a.  
b.
```

Horn clause

$$[c \vee \neg a \vee \neg b] \wedge a \wedge b$$
$$[c \vee \neg a \vee \neg b] \quad [a] \quad [b]$$

# FOL: Deducing hidden properties

Back to our Wumpus world example:

$$\forall x,y,a,b \text{ Adjacent}([x,y],[a,b]) \Leftrightarrow [a,b] \in \{[x+1,y], [x-1,y], [x,y+1], [x,y-1]\}$$

Properties of squares:

$$\forall s,t \text{ At}(\text{Agent},s,t) \wedge \text{Breeze}(t) \Rightarrow \text{Breezy}(s)$$

Squares are breezy near a pit:

- **Diagnostic** rule - infer cause from effect  
 $\forall s \text{ Breezy}(s) \Rightarrow \text{Adjacent}(r,s) \wedge \text{Pit}(r)$
- **Causal** rule - infer effect from cause  
 $\forall r \text{ Pit}(r) \Rightarrow (\forall s \text{ Adjacent}(r,s) \Rightarrow \text{Breezy}(s))$

# Knowledge engineering in FOL

1. Identify the task
2. Assemble the relevant knowledge
3. Decide on a vocabulary of predicates, functions, and constants
4. Encode general knowledge about the domain
5. Encode a description of the specific problem instance
6. Pose queries to the inference procedure and get answers
7. Debug the knowledge base



# Example: Knowledge Base in FOL

The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

# Knowledge Base in FOL

The law says that it is a crime for an American to sell weapons to hostile nations.

The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

... it is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x,y,z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x)$$

Nono ... has some missiles, i.e.,  $\exists x \text{ Owns}(\text{Nono},x) \wedge \text{Missile}(x)$ :

$$\text{Owns}(\text{Nono},M_1) \text{ and } \text{Missile}(M_1)$$

... all of its missiles were sold to it by Colonel West

$$\text{Missile}(x) \wedge \text{Owns}(\text{Nono},x) \Rightarrow \text{Sells}(\text{West},x,\text{Nono})$$

# Knowledge Base in FOL

Missiles are weapons:

*Missile(x)  $\Rightarrow$  Weapon(x)*

An enemy of America counts as "hostile":

*Enemy(x,America)  $\Rightarrow$  Hostile(x)*

West, who is American ...

*American(West)*

The country Nono, an enemy of America ...

*Enemy(Nono,America)*

# Forward chaining proof

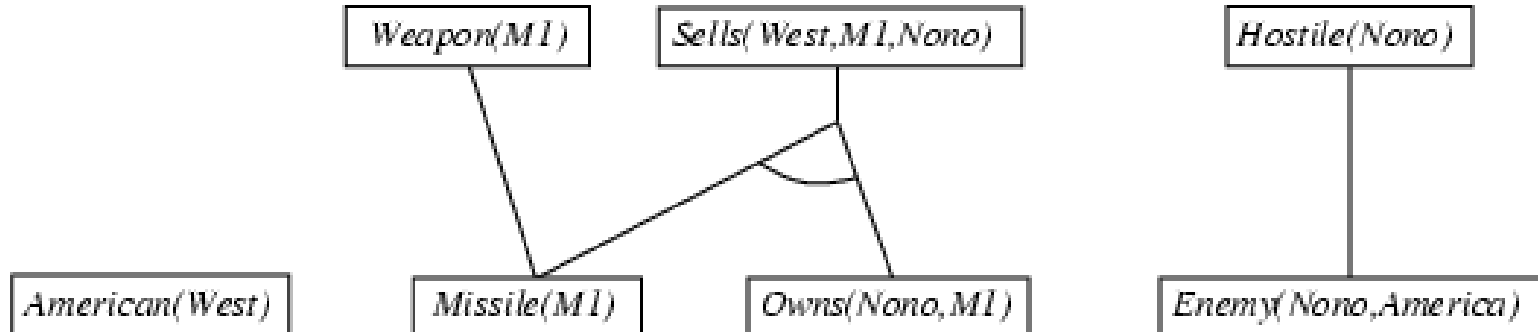
*American(West)*

*Missile(M1)*

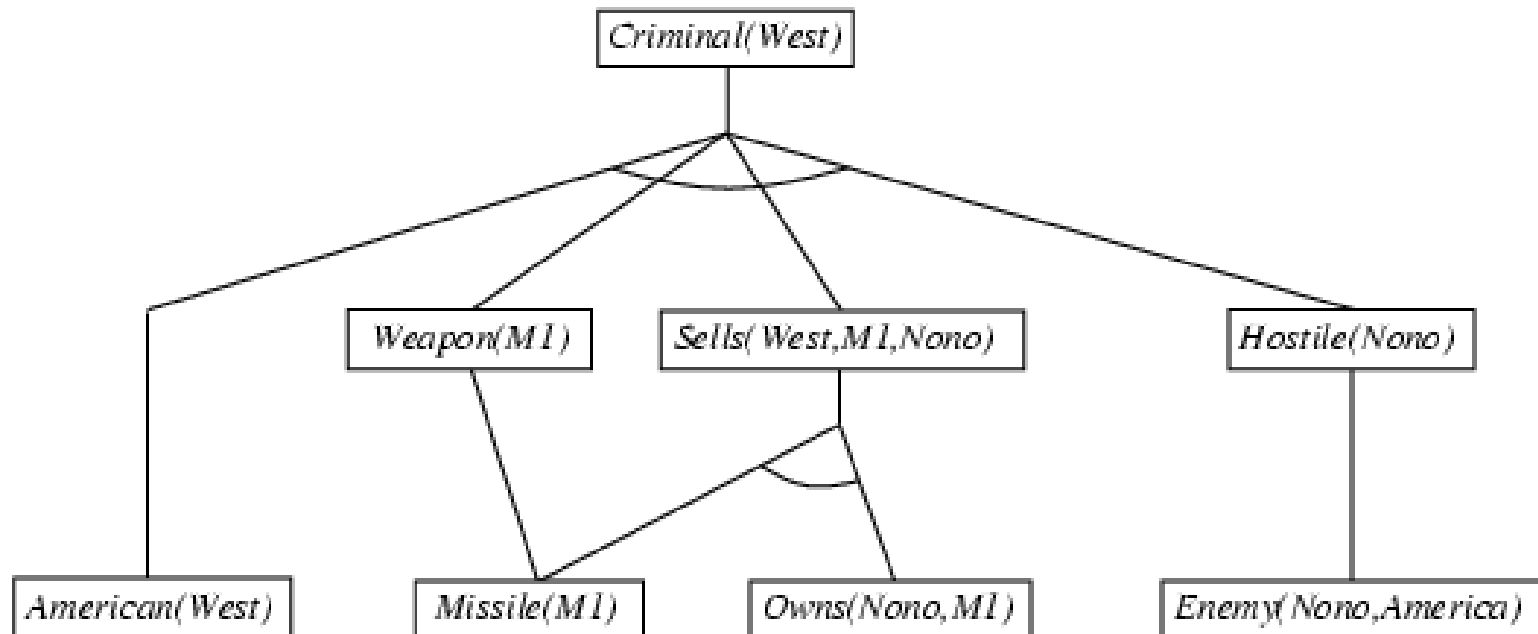
*Owns(Nono,M1)*

*Enemy(Nono,America)*

# Forward chaining proof



# Forward chaining proof



# Properties of forward chaining

Sound and complete for first-order definite clauses

**Datalog** = first-order definite clauses + **no functions**

FC terminates for Datalog in finite number of iterations

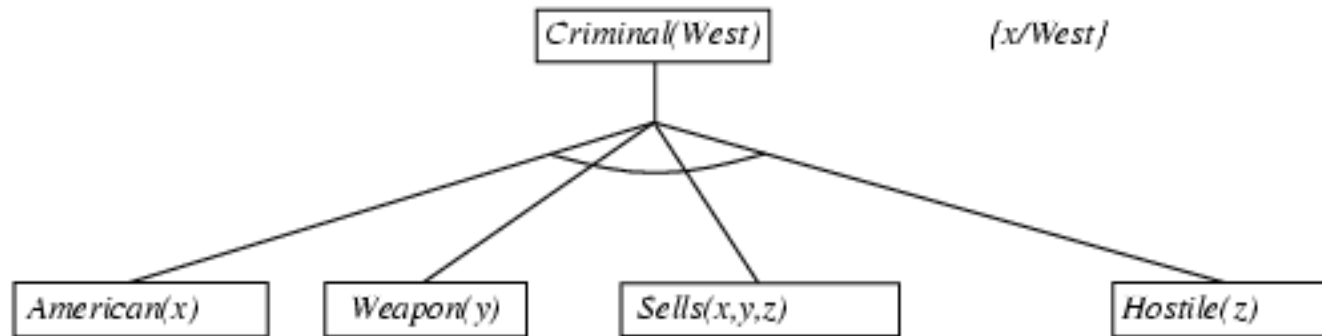
May not terminate in general if a is not entailed

# Backward chaining example

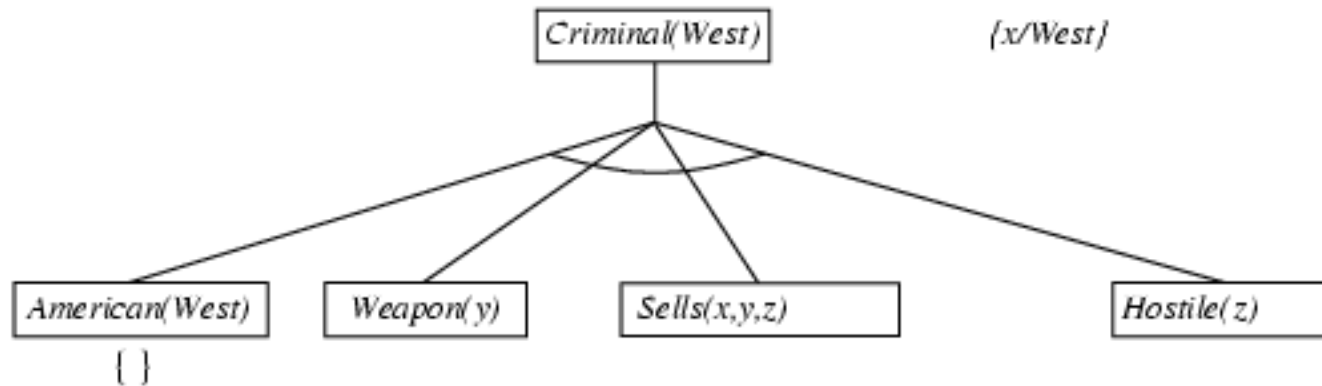
*Criminal(West)*



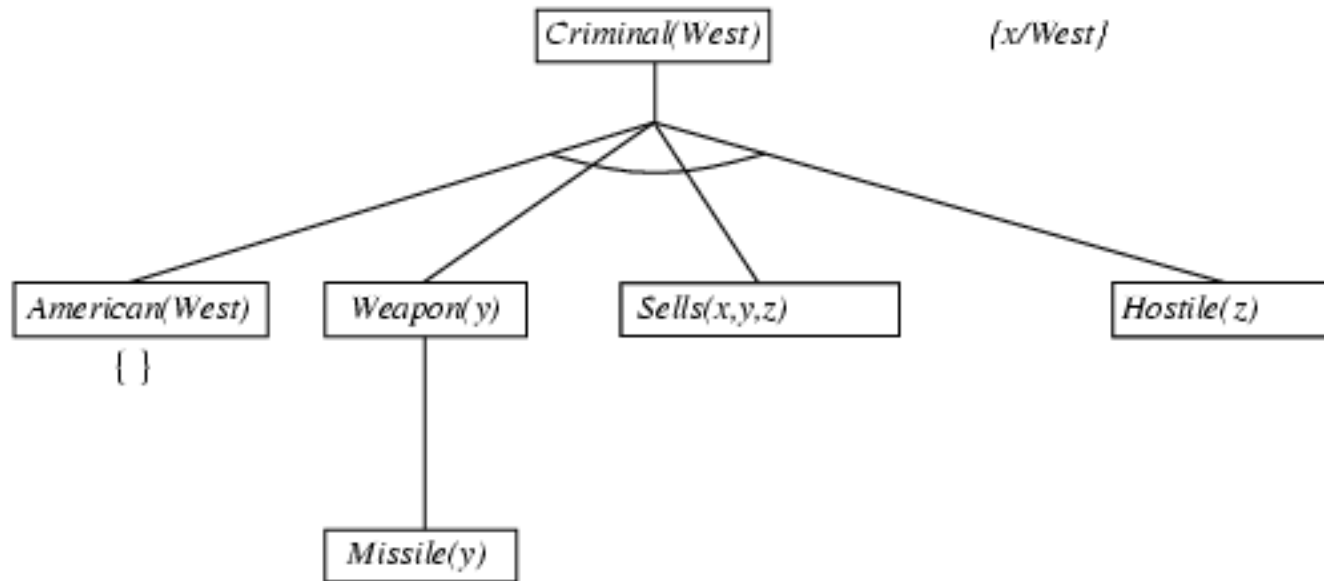
# Backward chaining example



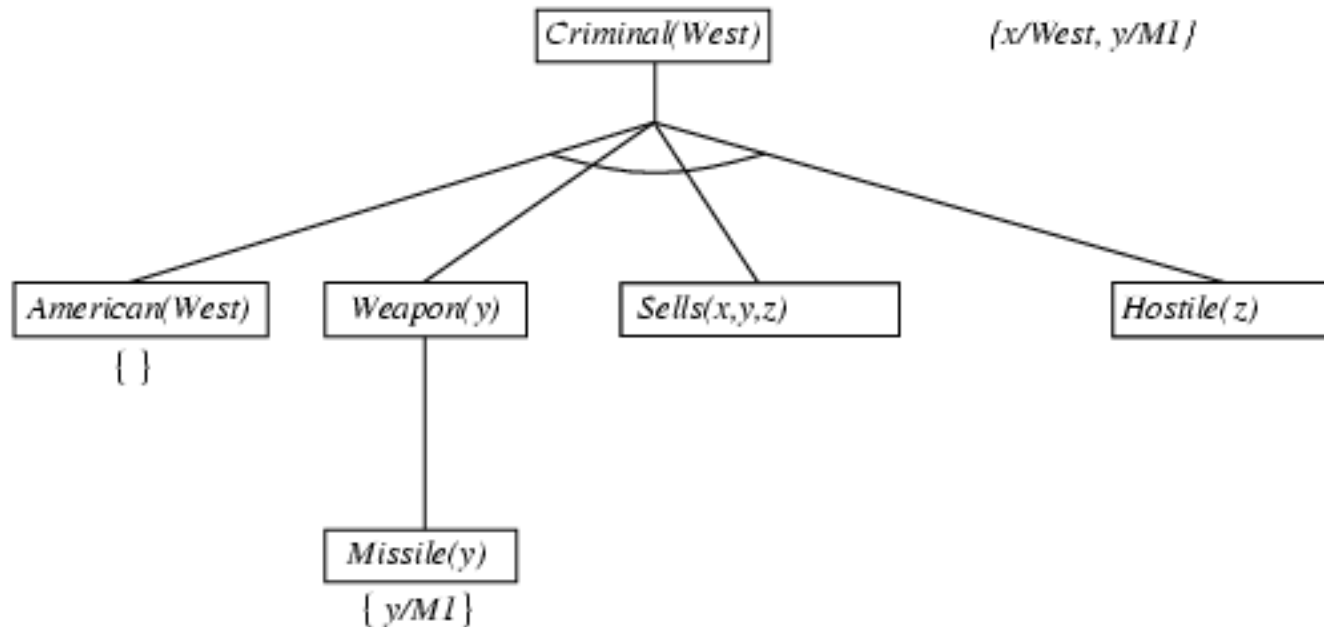
# Backward chaining example



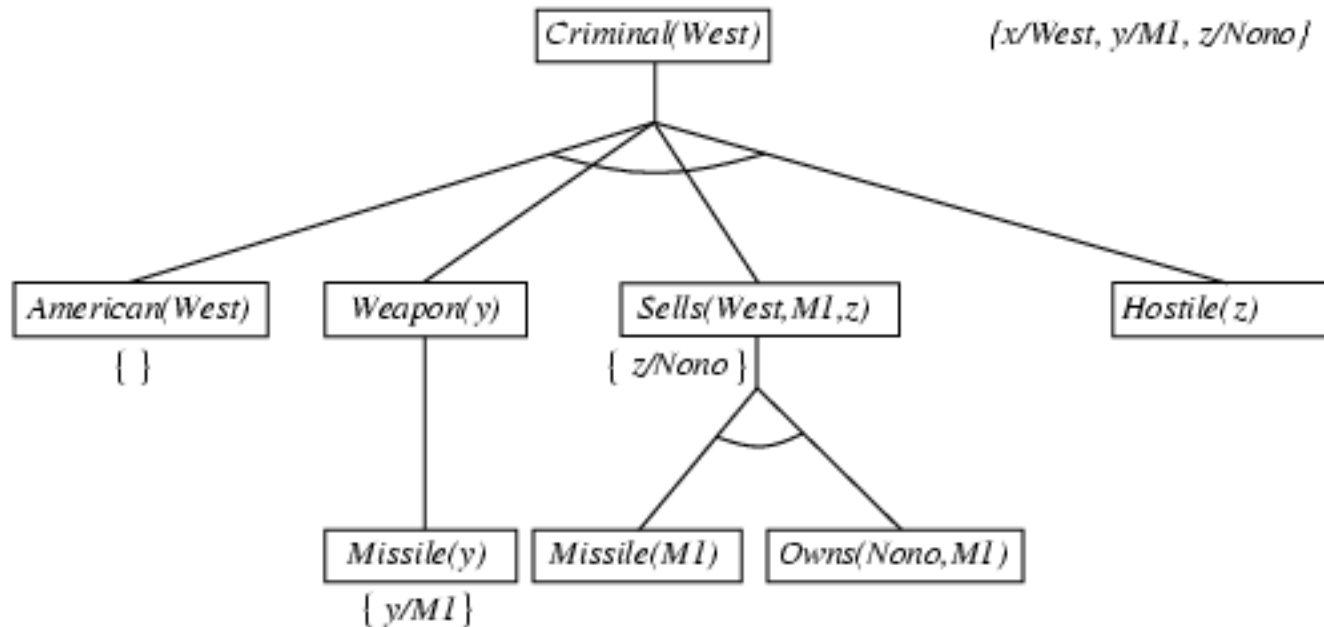
# Backward chaining example



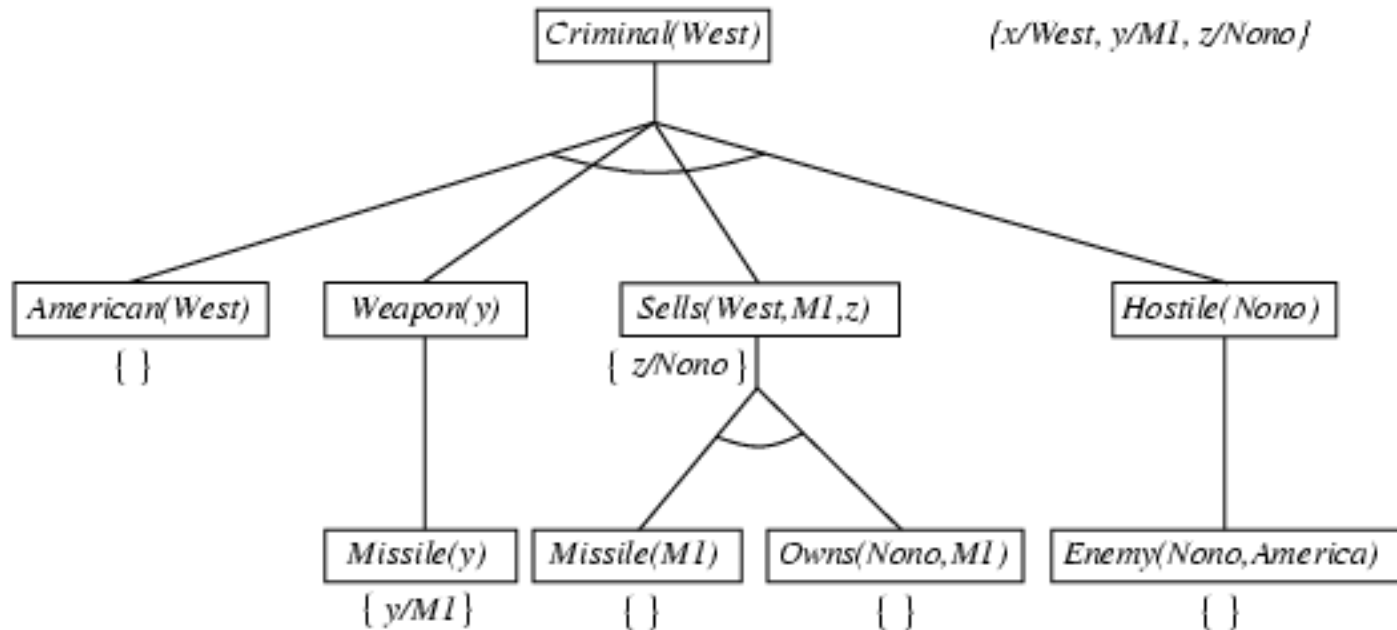
# Backward chaining example



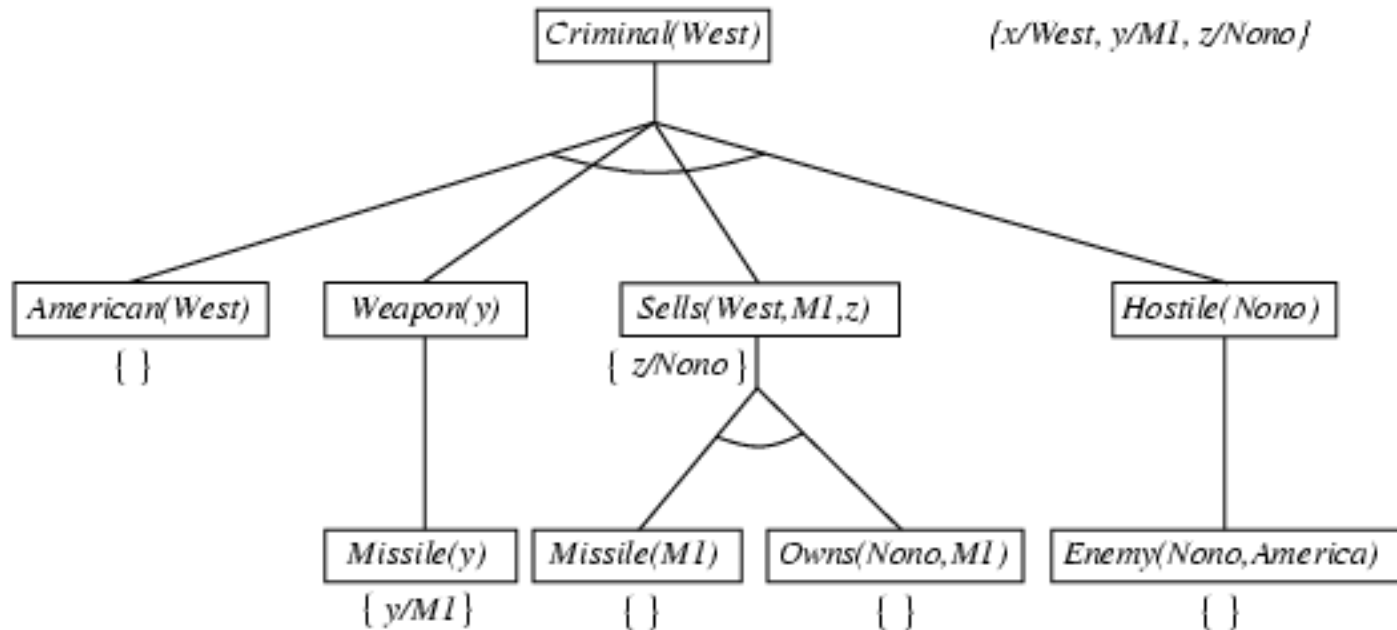
# Backward chaining example



# Backward chaining example



# Backward chaining example



# Resolution: brief summary

Full first-order version:

$$\frac{\ell_1 \vee \cdots \vee \ell_k, \quad m_1 \vee \cdots \vee m_n}{\theta(\ell_1 \vee \cdots \vee \ell_{i-1} \vee \ell_{i+1} \vee \cdots \vee \ell_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \vee \cdots \vee m_n)}$$

where  $\text{Unify}(\ell_i, \neg m_j) = \theta$ .

The two clauses are assumed to be standardized apart so that they share no variables.

For example,

$$\frac{\neg \text{Rich}(x) \vee \text{Unhappy}(x), \quad \text{Rich}(\text{Ken})}{\text{Unhappy}(\text{Ken})}$$

with  $\theta = \{x/\text{Ken}\}$

Apply resolution steps to  $\text{CNF}(\text{KB} \wedge \neg a)$ ; it is **complete for FOL**



# Conversion to CNF

Everyone who loves all animals is loved by someone:

- $\forall x [\forall y \text{ Animal}(y) \Rightarrow \text{Loves}(x,y)] \Rightarrow [\exists y \text{ Loves}(y,x)]$

1. Eliminate bi-conditionals and implications

- $\forall x [\neg \forall y \neg \text{Animal}(y) \vee \text{Loves}(x,y)] \vee [\exists y \text{ Loves}(y,x)]$

2. Move  $\neg$  inwards:  $\neg \forall x p \equiv \exists x \neg p$ ,  $\neg \exists x p \equiv \forall x \neg p$

- $\forall x [\exists y \neg (\neg \text{Animal}(y) \vee \text{Loves}(x,y))] \vee [\exists y \text{ Loves}(y,x)]$
- $\forall x [\exists y \neg \neg \text{Animal}(y) \wedge \neg \text{Loves}(x,y)] \vee [\exists y \text{ Loves}(y,x)]$
- $\forall x [\exists y \text{ Animal}(y) \wedge \neg \text{Loves}(x,y)] \vee [\exists y \text{ Loves}(y,x)]$

# Conversion to CNF (cont.)

3. Standardize variables: each quantifier should use a different one

$$\forall x [\exists y \text{ Animal}(y) \wedge \neg \text{Loves}(x,y)] \vee [\exists z \text{ Loves}(z,x)]$$

4. Skolemize: a more general form of existential instantiation. Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:

$$\forall x [\text{Animal}(F(x)) \wedge \neg \text{Loves}(x,F(x))] \vee \text{Loves}(G(x),x)$$

5. Drop universal quantifiers  
 $[\text{Animal}(F(x)) \wedge \neg \text{Loves}(x,F(x))] \vee \text{Loves}(G(x),x)$

6. Distribute  $\vee$  over  $\wedge$   
 $[\text{Animal}(F(x)) \vee \text{Loves}(G(x),x)] \wedge [\neg \text{Loves}(x,F(x)) \vee \text{Loves}(G(x),x)]$

# Recall: Example Knowledge Base in FOL

... it is a crime for an American to sell weapons to hostile nations:

$American(x) \wedge Weapon(y) \wedge Sells(x,y,z) \wedge Hostile(z) \Rightarrow Criminal(x)$

Nono ... has some missiles, i.e.,  $\exists x Owns(Nono,x) \wedge Missile(x)$ :

$Owns(Nono,M_1)$  and  $Missile(M_1)$

... all of its missiles were sold to it by Colonel West

$Missile(x) \wedge Owns(Nono,x) \Rightarrow Sells(West,x,Nono)$

Missiles are weapons:

$Missile(x) \Rightarrow Weapon(x)$

An enemy of America counts as "hostile":

$Enemy(x,America) \Rightarrow Hostile(x)$

West, who is American ...

$American(West)$

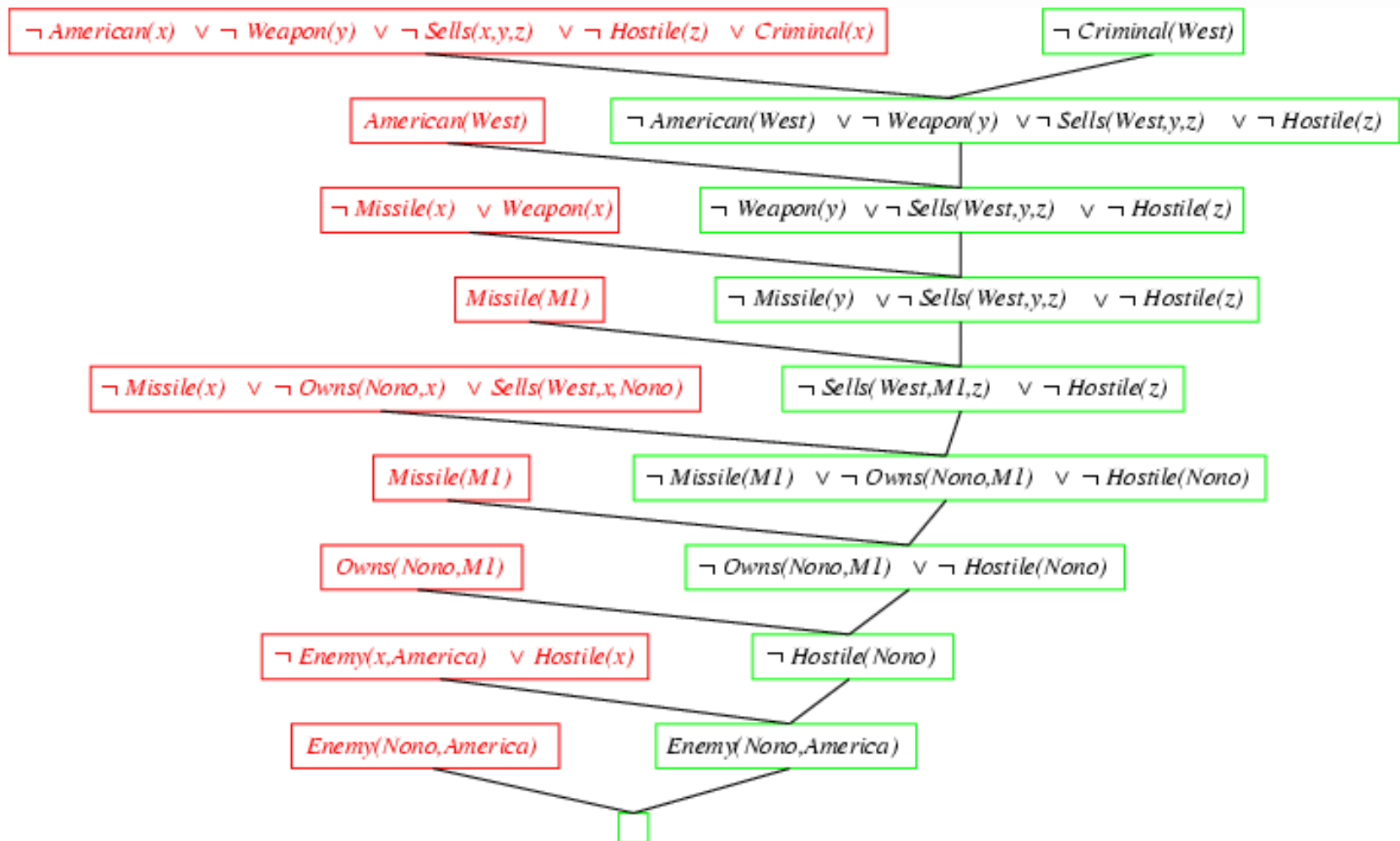
The country Nono, an enemy of America ...

$Enemy(Nono,America)$

Can be converted to CNF

Query:  $Criminal(West)$ ?

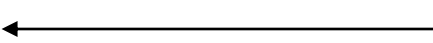
# Resolution proof



# Another example: *Did Curiosity kill the cat*

Jack owns a dog. Every dog owner is an animal lover. No animal lover kills an animal. Either Jack or Curiosity killed the cat, who is named Tuna. Did Curiosity kill the cat?

These can be represented as follows:

- A.  $(\exists x) \text{ Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$
- B.  $(\forall x) ((\exists y) \text{ Dog}(y) \wedge \text{Owns}(x, y)) \rightarrow \text{AnimalLover}(x)$
- C.  $(\forall x) \text{ AnimalLover}(x) \rightarrow ((\forall y) \text{ Animal}(y) \rightarrow \neg \text{Kills}(x, y))$
- D.  $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
- E.  $\text{Cat}(\text{Tuna})$
- F.  $(\forall x) \text{ Cat}(x) \rightarrow \text{Animal}(x)$
- G.  $\text{Kills}(\text{Curiosity}, \text{Tuna})$   **GOAL**

# Example

Convert to clause form

A1. (Dog(D))                      ← D is a skolem constant

A2. (Owns(Jack,D))

B. ( $\neg$ Dog(y),  $\neg$ Owns(x, y), AnimalLover(x))

C. ( $\neg$ AnimalLover(a),  $\neg$ Animal(b),  $\neg$ Kills(a,b))

D. (Kills(Jack,Tuna), Kills(Curiosity,Tuna))

E. Cat(Tuna)

F. ( $\neg$ Cat(z), Animal(z))

Add the negation of query

$\neg$ G: ( $\neg$ Kills(Curiosity, Tuna))

# Example

The resolution refutation proof

R1: $\neg G, D, \{\}$	$(\text{Kills}(\text{Jack}, \text{Tuna}))$
R2: R1, C, $\{a/\text{Jack}, b/\text{Tuna}\}$	$(\neg \text{Animal}(\text{Jack}), \neg \text{Animal}(\text{Tuna}))$
R3: R2, B, $\{x/\text{Jack}\}$	$(\neg \text{Dog}(y), \neg \text{Owns}(\text{Jack}, y), \neg \text{Animal}(\text{Tuna}))$
R4: R3, A1, $\{y/D\}$	$(\neg \text{Owns}(\text{Jack}, D), \neg \text{Animal}(\text{Tuna}))$
R5: R4, A2, $\{\}$	$(\neg \text{Animal}(\text{Tuna}))$
R6: R5, F, $\{z/\text{Tuna}\}$	$(\neg \text{Cat}(\text{Tuna}))$
R7: R6, E, $\{\}$	FALSE

# Back to Predicate Calculus...

## Proof by contradiction

To prove that  $KB \models a$  we try to prove that  $KB \wedge \neg a$  is unsatisfiable (i.e. implies a contradiction)

We need to convert the knowledge base in Conjunctive Normal Form

Example: Prove that  $KB = \{P \Rightarrow Q\}$  does not entail  $\alpha = P \vee Q$



# Back to Predicate Calculus...

## Proof by contradiction (cont.)

Example: Prove that  $KB = \{P \Rightarrow Q\}$  does not entail  $\alpha = P \vee Q$

### Solution:

1. First we define

$\neg\alpha = \neg(P \wedge Q) = \neg P \vee \neg Q$  (by De Morgan's theorem)

2. Then we can define

$KB \wedge \neg\alpha: (P \Rightarrow Q) \wedge (\neg P \vee \neg Q)$

# Back to Predicate Calculus...

## Proof by contradiction (cont.)

3. Convert to CNF

$$(\neg P \vee Q) \wedge (\neg P \vee \neg Q)$$

4. Apply resolution looking for terms in the format  
 $(A \vee B) \wedge (C \vee \neg B)$  to produce  $A \wedge C$

From  $(\neg P \vee Q) \wedge (\neg P \vee \neg Q)$  after applying resolution for  $Q$  and  $\neg Q$ , we can infer  $\neg P$

5. We have not reached contradiction therefore  
conclude KB does not entail  $\alpha$

# Higher-order logic

In FOL, variables can only range over objects

Higher order logic allow us to quantify over relations

- More expressive, but undecidable
- Example:

“two functions are equal iff they produce the same value for all arguments”

$$\forall f \forall g (f = g) \Leftrightarrow (\forall x f(x) = g(x))$$

- Example:

$$\forall r \text{ transitive}(r) \Rightarrow (\forall x \forall y \forall z r(x,y) \wedge r(y,z) \Rightarrow r(x,z))$$

# Questions?