

<sup>1</sup> A game-theory modeling approach to utility and  
<sup>2</sup> strength of interactions dynamics in biomedical  
<sup>3</sup> research social networks

<sup>4</sup> J. Mario Siqueiros-García      Rodrigo García-Herrera  
<sup>5</sup> Enrique Hernández-Lemus      Sergio A. Alcalá-Corona

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<sup>7</sup> **Abstract**

<sup>8</sup> Collaboration has become a cornerstone in biomedical research to-  
<sup>9</sup> day. In contrast to physics which has a long history and experience in  
<sup>10</sup> collaborative projects, biology is only recently becoming an evermore  
<sup>11</sup> collaborative discipline. In this article we explore the effect of collab-  
<sup>12</sup> oration on the distribution of players' access to resources from other  
<sup>13</sup> players in the network and the distribution of the strength of inter-  
<sup>14</sup> actions among them. Particularly, we implemented two games played  
<sup>15</sup> simultaneously: one for maximizing individual utility based on the  
<sup>16</sup> iterated Prisoner's Dilemma; the other, a coordination game for max-  
<sup>17</sup> imizing the connection strength between players. We are interested in  
<sup>18</sup> how they affect each other in the context of a network of scientific col-  
<sup>19</sup> laboration under the idea that while researchers are interested in max-  
<sup>20</sup> imizing their individual utilities, they also know that it is important to  
<sup>21</sup> invest in building collaborative relationships. We tested our simulation  
<sup>22</sup> on a biomedical research community network of México and compared  
<sup>23</sup> the results with an Erdős-Renyí, a Watts-Strogatz small-world and  
<sup>24</sup> Barabási-Albert topologies. Different topologies display different util-  
<sup>25</sup> ity and interaction strength distributions. Moreover, the distribution  
<sup>26</sup> of utility and interaction strength in the researchers network is similar  
<sup>27</sup> to that of Barabási-Albert and Watts-Strogatz topologies, respectively.  
<sup>28</sup> We believe that utility distribution in the researchers network suggests  
<sup>29</sup> that there are socio-cultural mechanisms governing the network that  
<sup>30</sup> produce an asymmetric distribution of resources. The high distribu-  
<sup>31</sup> tion of strong interactions might reflect some sort of subordination  
<sup>32</sup> among researchers by which they are morally obliged to cooperate by  
<sup>33</sup> the same socio-cultural mechanisms. The range around the thresh-  
<sup>34</sup> old that regulates the decision to cooperate or defect according to the

35 agent's historical balance between utility and strength of collaborative  
36 relationships and carrying capacity of the system is small, suggesting  
37 that there is a region in which a phase transition takes place from a  
38 population of cooperators to a population of defectors. Simulations like  
39 this may help to develop science policies to promote fair distribution  
40 of resources.

## 41 1 Introduction

42 Collaboration has become a cornerstone in biomedical research today. In  
43 contrast to physics which has a long history and experience in collabora-  
44 tive projects, biology is only recently becoming an evermore collaborative  
45 discipline[1]. Biology has an interesting record in such matters because sci-  
46 entific collaboration means something different to different branches of bi-  
47 ology: molecular biology has traditionally been a research activity of small  
48 laboratories[2, 3], whereas in natural history there has been data and sam-  
49 ples exchange since the *XVII<sup>th</sup>* century[4, 5]. Despite the differences in  
50 culture and practices, the Human Genome Project made collaboration a  
51 central feature of biology.

52       Nowadays it is widely acknowledged that collaboration takes many forms,  
53 from sharing of biological samples and biobanking to international groups in  
54 charge of helping research communities to harmonize and share their data.  
55 Sharing resources such as equipment, funds, and time is critical; building  
56 trust among scientists is fundamental. Also, resources are mobilized in order  
57 to create strategic alliances.

59       The analysis of cooperation in scientific research has been the subject of  
60 a number of studies [1, 7, 8, 9, 10, 3, 5]. This is not surprising since coopera-  
61 tion and competition are quite important in today's academic success. How  
62 does collaboration happen within a competitive academic environment and  
63 what kind of payoff is present in these settings were questions considered  
64 recently by Wardil and Hauert [20] in the context of cooperation in multi-  
65 authored publications. Also, the role of game theory over complex scientific  
66 information and collaboration networks has attracted attention, mainly fo-  
67 cusing on how long-term strategies may shape different scenarios for Nash  
68 equilibria [21]. Prisoner's Dilemma has been used in the study of impact  
70 factor and collaboration [13, 14].

71       Even with all these research efforts, cooperation in the context of sci-

73 scientific collaboration is still loosely defined and the long term dynamics of  
74 academic cooperation (and its consequences) are yet to be fully elucidated.  
75 Furthermore, to our current knowledge, there has been no use of game the-  
76 ory and complex network analysis for understanding how the topology of  
77 scientific collaboration networks affects access to resources among individ-  
78 uals present in the network <sup>1</sup>. Our work aims to contribute to our current  
79 understanding on the matter, specially when agents have to maximize their  
80 access to resources while taking care of their collaboration links.

81

82 In this article we explore the network effect on the distribution of play-  
83 ers having access to certain amount of resources shared by other players  
84 in the network and the distribution of the strength of interactions among  
85 them. Particularly, we implemented two games played simultaneously: one  
86 for maximizing individual utility based on the iterated Prisoner’s Dilemma;  
87 the other, a coordination game for maximizing the connection strength be-  
88 tween players. We are interested in how they affect each other in the context  
89 of a network of scientific collaboration under the idea that while researchers  
90 are interested in maximizing their utilities, they also know that it is impor-  
91 tant to invest in building collaborative relationships. These two behaviors  
92 are explored in a biomedical research community of México.

93

94 In the context of our paper, utility represents access to resources shared  
95 by others. The value of the Utility function for a player is the sum of the  
96 payoffs of playing with its neighbors. The opposing force comes from the  
97 other concurrent game: players trying to maximize the strength of their in-  
98 teractions with other players. In the coordinating game the best strategy is  
99 to adopt the same strategy as the other player, as it pays the most regardless  
100 of cooperation or defection in the utility game. When both cooperate the  
101 interaction gets a positive payoff, when both defect, the interaction doesn’t  
102 get affected; but if they anti-coordinate, then the interaction looses. Fi-  
103 nally, cooperation is a central feature of scientific work. For our biomedical  
104 network, cooperation can be thought of as sharing resources such as time,  
105 students, equipment, even money. Examples of defection to a cooperator  
106 are ghost authorship or prestige authorship.

107

108 The manuscript is structured in five sections. First we describe *FOS-*  
109 *ISS*, the main program for grants destined to biomedical applied research  
110 in México. This is the source of the database from which we created the

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<sup>1</sup>For an account of scientific collaboration and definitions, please refer to [6].

111 researchers collaboration network. Next we describe our model and the dif-  
112 ferent network topologies on which we explored it. We then present our  
113 results and discuss them. In the last section we draw some final remarks  
114 and conclusions.

## 115 **2 Biomedical research: CONACyT and FOSISS**

116 CONACyT (National Council of Science and Technology) is the Mexican  
117 government entity in charge of promoting the development of science and  
118 technology. Among CONACyT's functions are to develop science and tech-  
119 nology policies according to national needs and demands, to advise the dif-  
120 ferent instances of government on scientific and technological topics, to pro-  
121 mote the creation of research networks among the scientific community, to  
122 grant scholarships for masters and doctoral studies, and to manage different  
123 trusts intended to fund individuals and groups for scientific and technologi-  
124 cal research.

125

126 In the year 2002 CONACyT, along with other government agencies and  
127 entities, created sectoral funds to cover and equally promote research capac-  
128 ities of different areas such as energy, agriculture and health. Technological  
129 innovation is fostered by the generation of human resources and by helping  
130 research groups to consolidate. It is expected that the knowledge generated  
131 under the sponsorship of these funds will be the product of applied research  
132 that attends national public needs, and promotes economic growth.

133

134 *FOSISS* or Sectoral Fund for Health and Social Security Research (*Fondo*  
135 *Sectorial en Investigación en Salud y Seguridad Social*) is one of such funds.  
136 FOSISS is constituted by CONACyT, SSA, IMSS and ISSSTE,<sup>2</sup> all of them  
137 being the major public health providers and research institutions in the  
138 country. Every year CONACyT opens a call for funds limited to a set of  
139 health research areas previously defined by a group of experts. Such areas  
140 range from public health issues to chronic and degenerative diseases.

141

142 Most applicants are public universities and research institutions, but el-  
143 igibility is open to public and private health research sectors. From 2002

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<sup>2</sup>SSA is the acronym for Secretariat of Health *Secretaría de Salud*; IMSS is the acronym for Social Security Mexican Institute (*Instituto Mexicano del Seguro Social*); ISSSTE stands for Institute for Social Security and Services for State Workers (*Instituto de Seguridad y Servicios Sociales de los Trabajadores del Estado*)

144 through 2013, there were 91 institutions funded that comprised 4988 re-  
145 searchers.

146

147 From these data some important considerations should be made clear.  
148 Scientists in the database take on the roles of principal investigators (PIs),  
149 associate researchers, postdoctoral associates, postgraduate and undergrad-  
150 uate students. Unfortunately, information on these roles is not specified in  
151 the database. We acknowledge the importance of this deficiency because  
152 researchers in our network act under different circumstances and we know  
153 that this diversity has a real impact on the structure and eventually on the  
154 dynamics of the network, as well as on the results of our model.

155

156 Our database includes the name of the project, the year it was approved  
157 for funding and the research area to which it was assigned. It specifies the  
158 names of PIs or the people responsible for the project and the names of  
159 collaborators. Researchers can be PIs in one project and collaborators on a  
160 different project. The institutional affiliation of all participants is included.  
161 Through this affiliation we determine the principal institution behind every  
162 project.

163

164 Even though curation and analysis work of this database is still going  
165 on, some relevant facts about the biomedical research can be said. Over the  
166 period of 12 years, 32 general research areas have been defined, the three  
167 most funded research areas are *chronic and degenerative diseases, malignant*  
168 *neoplasms, and infectious and parasitic diseases*. The least funded area is  
169 *Ethics and medicine*. The area with the most researchers is *malignant neo-*  
170 *plasmas*. Other areas of relevance for México are *diseases related to poverty*  
171 and *Health and vulnerable groups*.

172

173 From the institutions that have participated in a protocol funded by  
174 FOSISS, less than one fifth have been responsible for a project and more  
175 than 95% of them are Mexican, public institutions. There is also an impor-  
176 tant presence of foreign institutions as collaborators, most of them from the  
177 United States, though institutions from the UK, France, Spain, Netherlands,  
178 Colombia and Cuba are also in the database.

179

180 Besides the characteristics of the population there are some other bound-  
181 ary conditions that play an important role on the network topology and dy-  
182 namics, that motivated the development of our model. Biomedical research  
183 in México constitutes a vibrant community and collaboration is part of ev-

184 everyday work. However, México does not have public biobanks for research  
185 purposes (which are specially relevant for research in genomics, for exam-  
186 ple), there is no regulation on the access to biological samples such as tissue,  
187 cells, DNA, RNA, etc.<sup>3</sup> Something similar happens with data. There have  
188 been some attempts to create open data repositories for biomedical research,  
189 but they have not been established yet. Regulation on these subjects is still  
190 missing. Finally, technologically advanced equipment such as high through-  
191 put sequencers are kept by institutions with the highest research profiles  
192 and sometimes PIs manage them in a self serving way.

193

194 From our ethnographic work to date, we have been able to see that bio-  
195 logical samples, data and technology can become instruments for negotiat-  
196 ing collaboration. For example, among people involved in research projects,  
197 there are researchers that do not have direct access to samples, simply be-  
198 cause their parent institution does not offer clinical services. Many of them  
199 are non medical doctors but chemists, biologists, physicists, and mathemati-  
200 cians. There is another group of researchers that are placed on hospitals  
201 which is able to do research and have access to biological samples from their  
202 own patients. It seems that this group is the most privileged one, and the  
203 one with the least pressure to establish collaboration at whatever cost. Fi-  
204 nally, there is one more group formed by those who work as clinicians at  
205 small hospitals with no research infrastructure whatsoever. This group may  
206 have an interest in research and the way for them to become part of a project  
207 and be listed as authors in scientific papers is by giving researchers who do  
208 not have access to biological samples access to patients.

209

210 Due to these differences in the access to resources, researchers in general  
211 are compelled to build strategic alliances through which samples, data, tech-  
212 nology and authorship, among other assets, become part of a constant flow  
213 through the network. Social and political capital, as well as concentrations of  
214 resources become fundamental tools for establishing fruitful collaborations.

### 215 **3 Methodology**

216 Our model is based on the iterated version of the Prisoner's Dilemma (PD)  
217 and a coordination game instantiated on networks. Implementing games  
218 on networks is not new and it's an active area of research aimed to under-

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<sup>3</sup>Regulation exists regarding researcher-subject relations based on legal and ethical grounds. Also, all projects need to be approved by the Ethics Committee and IRB.

stand the evolution of cooperation in networks populated by selfish agents [22, 23, 24, 28, 27]. In many network models on which some of game theory games are simulated, agents' decision to cooperate or defect depend on a specific strategy, such as the well known *tit-for-tat* [25, 26]. In some other cases, agents can modify the weight of the interactions with their neighbors [27]. From a different perspective others have explored the effect of different topologies on the emergence of cooperation [28, 29]. In our model, an agent's decision to cooperate or defect depends on a balance between utilities and the current strength of its collaboration relationships. Such balance reflects the overall success or failure of its strategies. We study the behavior of the system under different topologies, including a real-world network.

230

In our model, agents are embedded in a network with varying number of neighbors. Following the traditional PD game, the strategy chosen by an agent and the strategy chosen by its neighbors will produce a pay-off. Pay-off follows the traditional PD rule:  $T > R > P > S$ .  $T$  is for temptation to defect. It is the highest pay-off and it takes place when the player defects and the other cooperates.  $R$  is for reward for when both players cooperate.  $P$  is the punishment for when both players defect. And  $S$  is for suckers pay-off, the worst outcome that takes place when the player cooperates but its neighbor defects. Utility is a property of agents in which pay-off is accumulated.

241

#### 242 PD utility pay-off matrix

243

	Cooperate	Defect
Cooperate	$R, R$	$S, T$
Defect	$T, S$	$P, P$

246

The strength of the interaction, represented by  $w$ , is a property of the link between two agents and gets updated according to an  $A_{ij}$  matrix of a coordination game. In the  $w$  matrix, the highest value goes to an edge when both agents cooperate, getting an  $R$  for reward, if one of them defects, the connection gets weaker getting  $P$  for the collaborative connection being punished. If both agents defect, the value  $w$  doesn't change, which means that agents didn't interact or that the interaction gets nullified  $N$ . In this game, the best action for any agent is to coordinate with its neighbor, either because it wins or because it doesn't loose.

256

257 **w pay-off matrix**

	Cooperate	Defect
Cooperate	$R$	$P$
Defect	$P$	$N$

261  
262 After each game, the agent adds-up utility ( $u$ ), which is the sum of the  
263 pay-offs following the PD matrix. A pair of neighbors will add-up to the  
264 strength of their interaction ( $w_{ji}$ ) as they coordinate or anti-coordinate, be-  
265 ing  $w$  also cumulative. We measure global utility and connection strength  
266 for the whole network. Global utility  $U$  is the sum of all individual utilities  
267 and global strength of connections or  $W$  is the sum of every pair of agents'  
268 links  $w$ . The strength of interaction can be thought as some sort of “trust”.

269  
270 It should be noted that the same actions or behaviors work for both  $u$   
271 and  $w$ . There are two reasons for this decision in the design of the model.  
272 The most general one is that we believe that in the real world, actions such  
273 as cooperating and defecting affect the strength of the interaction among  
274 people. The second one is that we think that selfishly maximizing access  
275 to resources and strengthening relationships are *opposing forces* acting on  
276 the same set of behaviors. The actions of an agent imply a trade-off in  
277 which defecting may increase its utility at the expense of its collaborative  
278 relationships. If collaborators have nourished their relationships, they might  
279 be strong enough to endure occasional defection. Cooperating may build up  
280 relationships but it can be expensive for the player.

281 **3.1 Network initialization and agent state update**

282 All networks are initialized equally. The number of nodes for every net-  
283 work is 4122, the same as in the FOSISS network. The same utility is given  
284 to every agent and all edges are assigned the same weight. In the case of  
285 the FOSISS network, edge weight is given by the number of collaborations  
286 among researchers, utility remains the same for all nodes as in the other  
287 networks.

288  
289 The probability for an agent to cooperate or defect depends on a num-  
290 ber ( $\eta$ ) that represents a historical balance between average utility and the  
291 average strength of the connections with its neighbors. This is so because  
292 we assume that whatever the result in utility or strength of connection, as  
293 long as one of them increases, the player will be confident in the strategy

294 followed so far.

295

296  $\eta$  is calculated as:

297

298 
$$\eta_i = \frac{\langle f_i \rangle + \langle w_{ij} \rangle_j}{2}$$

299

300 For the agent to decide whether to cooperate or not,  $\eta$  is compared to  
301 a global threshold  $\nu$ . If the agents'  $\eta > \nu$ , then the agent will cooperate,  
302 otherwise he will be suspicious and will defect.  $\nu$  is a global parameter that  
303 establishes a threshold that an agents'  $\eta$  must cross in order to decide to  
304 cooperate. In this way,  $\eta$  can limit the size of the population of coopera-  
305 tors. Due to what the system and the game can offer to agents in terms  
306 of utilities and the strength of collaboration relationships,  $\eta$  represents the  
307 carrying capacity of the system for the population of cooperators.

308

309 Our simulation was tested on an Erdös-Renyí, a Watts-Strogatz small-  
310 world and Barabási-Albert topologies, as well as on the real biomedical  
311 research collaboration network. The simulation was run in a synchronous  
312 manner, in which all agents update their behavior simultaneously.

313

314 We ran two different experiments. In the first we simulated different  
315 values of carrying capacity  $\nu$ . With this experiment we were able to see how  
316 the number of cooperators, utility, strength of connections among agents  
317 and the ratio of shifting state population would change in the range of the  
318 carrying capacity. The states of the agents were the same at initialization,  
319 for all values of the carrying capacity. Since the model is deterministic, it  
320 will return the same result if run under the same conditions.

321

322 The second experiment consisted in running the simulation under the  
323 same degree of carrying capacity  $\nu$  but randomizing the initial states of the  
324 agents. This would show that the system converges to a global state. For  
325 every network, the simulation was run 100 times and results were averaged.

326 **4 Implementation of the model in different topo-  
327 logies**

328 We built three classical topologies for networks besides the FOSISS network,  
329 their parameters are shown in the following table.

330

<b>Topology</b>	$m$	$\langle k \rangle$	$\langle C \rangle$	$\langle l \rangle$
Erdős-Rényi	25591	12.4	0.003	3.6
Watts-Strogatz	206100	100	0.7	3.4
Barbási-Albert	183465	89	0.06	2.13
FOSISS	23391	11.39	0.87	5.49

### 4.1 Erdős-Renyí

Erdős-Renyí networks [30] (random networks) are constructed by randomly selecting a pair of  $N$  possible nodes and attaching them with an edge, given a probability  $p$ , as long as there is no edge between them. The result is a Poisson distribution for connectivity of nodes  $P(k)$ , where each node has a degree quite close to the average  $\langle k \rangle$ . Also for this type of network, average clustering coefficient  $\langle C \rangle$  is small, actually it is equal to  $p$  (the probability of connecting two nodes) and the average shortest path length  $\langle l \rangle = \frac{\ln N}{\ln \langle k \rangle}$ .

### 4.2 Small-World

Watts-Strogatz networks [31] (small-world networks) are in a regime between a fully regular grid (lattice) and a random network (Erdős-Renyí). In order to build them, a node is chosen from a lattice (a ring) and the edge that connects it to nearest neighbor in a clockwise sense. With probability  $p$ , this edge is reconnected to a node chosen uniformly at random over the entire ring, with duplicate edges forbidden; otherwise the edge is left in place. This process is repeated by moving clockwise around the ring, considering each node in turn until one lap is completed. Next, the edges connect nodes to their second-nearest neighbors clockwise. As before, each of these edges is randomly rewired with probability  $p$ . This process continues, circulating around the ring and proceeding outward to more distant neighbors after each lap, until each edge in the original lattice has been considered once. The main characteristic of these networks is that the average shortest path length is small and grows as  $\log(N)$  ( $\langle l \rangle \sim \log(N)$ ). Also, the average clustering coefficient  $\langle C \rangle$  remains large in terms of  $p$ . For  $p < 0.1$ ,  $\langle C \rangle \sim 1$ .

### 4.3 Barbási-Albert

Barbási-Albert networks [33] (scale-free networks) are generated by adding new nodes to a network. Each new node is added connecting it to an existing node with a probability proportional to the degree  $k$  (connectivity) of each node (*preferential attachment*). The result is a power law distribution for

362 connectivity of nodes  $P(k)$  where few nodes have many connections and the  
363 most have very few connections. Furthermore these networks are also small  
364 world networks, showing a quite small  $\langle l \rangle$ .

365 **4.4 FOSISS: Biomedical research community network**

366 The biomedical research network on which we are running our model was  
367 generated with data from collaborative projects. Our data was obtained  
368 from CONACyT and includes information for twelve years of *FOSISS* grants.  
369 Data included names of Principal Investigators, collaborators, research top-  
370 ics, etc. The network we are using here has researchers as nodes and edges  
371 represent the connection of two scientists when they collaborate on the same  
372 project. Edges are also weighted according to the number of projects shared  
373 by any pair of scientists.

374

375 **5 Results**

376 In this section we present the main results of the study, namely the topolog-  
377 ical structure of the underlying network models, the dynamics of the games  
378 under different parameters and network topologies and the distribution of  
379 utility and of the strength of interaction resulting of playing the games in  
380 all the different scenarios considered, including the real FOSISS network.

381

382 FOSISS network summed-up a total of 145 components or subnetworks,  
383 but we ran the model on the giant component made-up of 4122 researchers,  
384 and 23391 edges. The giant component was analyzed using **Cytoscape** **Fig-**  
385 **ure 1.** Results show that it is a well integrated network, with a clustering  
386 coefficient  $\langle C \rangle = 0.870$ , an average shortest path length of  $\langle l \rangle = 5.493$  and  
387 a density of  $p = 0.003$ . Such properties recall a small-world topology [31],  
388 and a great deal of self-organization when compared to a random network  
389 with the same density and number of nodes. Network centralization is 0.023,  
390 since there are no visible researchers that play as hubs in the network. Nev-  
391 ertheless the network heterogeneity is 0.873, which means that the network  
392 is highly hierarchical. When the degree distribution is analyzed, degree  
393 decreases as a power-law with an exponent of 1.7, similar to other social  
394 networks described as scale-free topology networks [33]. Finally, the aver-  
395 age number of neighbors of each node is 11.39 [34].

396

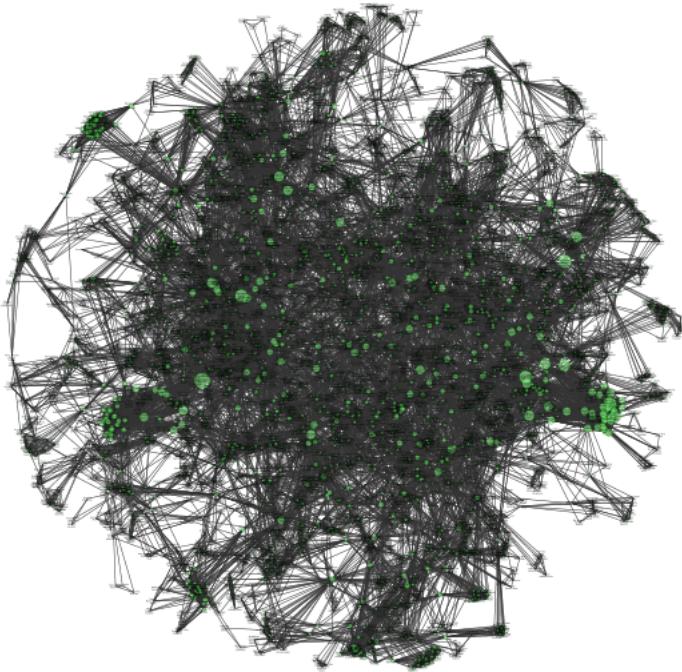


Figure 1: Biomedical research collaboration network (FOSISS) giant component.

397 Other results to report are those of the dynamics of different variables  
 398 as the carrying capacity  $\nu$  changes. The most salient result is that for  $\nu$   
 399 between 0.19 and 0.24, there is an apparent phase transition in all different  
 400 topologies and for all the different variables. It is worth noting that the  
 401 shape of the phase transition is different according to the topology of the  
 402 network under study. When  $\nu$  is between 0.0 and 0.2, that is, when there is  
 403 no space or a very short one for suspiciousness, all agents cooperate, when  
 404 *carrying capacity* is above 0.25, all agents defect. *Utility*, *strength of inter-*  
 405 *actions*, and *changing state population* replicate that same behavior for the  
 406 same limits.

407

408 In **Figure 2**, we present how the number of cooperators in the popula-  
 409 tion change as  $\nu$  changes. In the Erdős-Renyí, network, between 0 and 0.18  
 410 approximately, all agents converge to a cooperative behavior, from 18 to 20,  
 411 convergence to cooperative state takes longer but eventually all agents are  
 412 cooperating. Close to  $\nu \approx 0.21$  there is a sharp fall to a point in which

413 around half the population is cooperating and the rest is defecting. Reaching  
414  $\nu \approx 0.25$  there is another sharp fall of cooperators and all agents turn  
415 into a defecting state.

416

417 In the case of the Watts-Strogatz, small-world network, the whole popu-  
418 lation remains cooperating for ranges between 0 and 0.2 but as it gets closer  
419 to 0.2, more time is needed for the population to become full of coopera-  
420 tors. In  $\nu \approx 0.2$  the cooperators will represent only half of the population  
421 and such number of them will be constant up to  $\nu \approx 0.25$  forming a short  
422 plateau. From  $\nu \approx 0.25$  to  $\nu \approx 0.6$  cooperators will be present at the be-  
423 ginning of the simulation but will diminish as time goes on. In the case of  
424 the Barabási-Albert network, crossing the threshold of  $\nu \approx 0.2$ , there is a  
425 sharp decrease in the number of cooperators, but stays constant over time.  
426 Such behavior is present for a very short range of  $\nu$ , and before  $\nu \approx 0.24$ ,  
427 cooperators disappear for the rest of values of  $\nu$ . Finally, FOSISS network  
428 behaves similarly to the other networks in that there is a fall in the num-  
429 ber of cooperators close to  $\nu \approx 0.2$ . In contrast with the other networks,  
430 the FOSISS network lacks the sharp reduction of cooperators, instead this  
431 population declines smoothly and progressively; specially, when it reaches  
432 a  $\nu \approx 0.25$  cooperators decrease in a less dramatic manner all the way to  
433  $\nu \approx 0.5$ . It is also noteworthy that from  $\nu = 0$  to  $\nu \approx 0.5$  the number of  
434 cooperators converge to a certain degree and stays constant for the rest of  
435 the simulation.

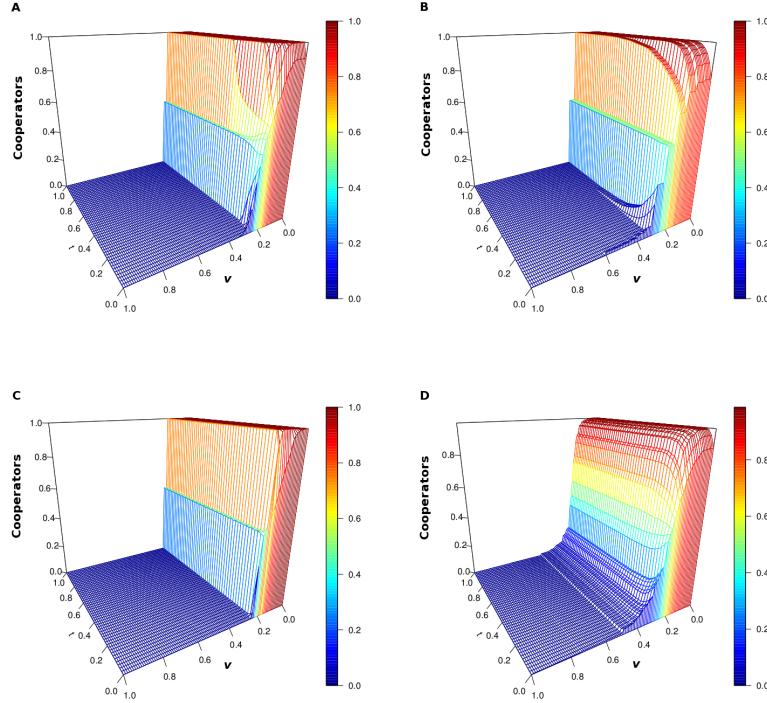


Figure 2: Ratio of cooperators as a function of carrying capacity and time. Topologies are: A. Random, Erdős-Rényi. B. Watts-Strogatz. C. Barabási-Albert. D. FOSISS.

436 Utility and strength of interactions dynamics under different  $\nu$  are similar  
 437 to the phase transition found before. **Figures 3 and 4** show that there is  
 438 a drop in utility and strength of interactions according to the drop in the  
 439 number of cooperators. Erdős-Renyí and Barabási-Albert networks are quite  
 440 similar in the way these variables fall in two steps, the first one at  $\nu \approx 0.2$   
 441 and the next one at  $\nu \approx 0.23$ . The fall is sharper still in the Barabási-Albert  
 442 topology. Utility and strength of interactions phase transition in Watts-  
 443 Strogatz network is significantly more staggered compared to the former  
 444 networks. In the case of utility, there is a region in the limits of  $\nu \approx 0.25$  and  
 445  $\nu \approx 0.3$ , before utility goes to 0, in which it remains low but stable over time.  
 446 In general, strength of interactions follows the same pattern as utility but in  
 447 the same  $\nu \approx 0.25$  and  $\nu \approx 0.3$ , strength of interactions grows to a value that  
 448 is higher than the one given by default but soon starts to decrease as the

449 simulation runs. For the FOSISS network, utility and interaction strength  
 450 fall quite steeply but smoothly, without sharp cuts. Between  $\nu \approx 0.23$  and  
 451  $\nu \approx 0.28$ , utility and strength of interactions start at their lowest, but there  
 452 is a slight increase in both of them.

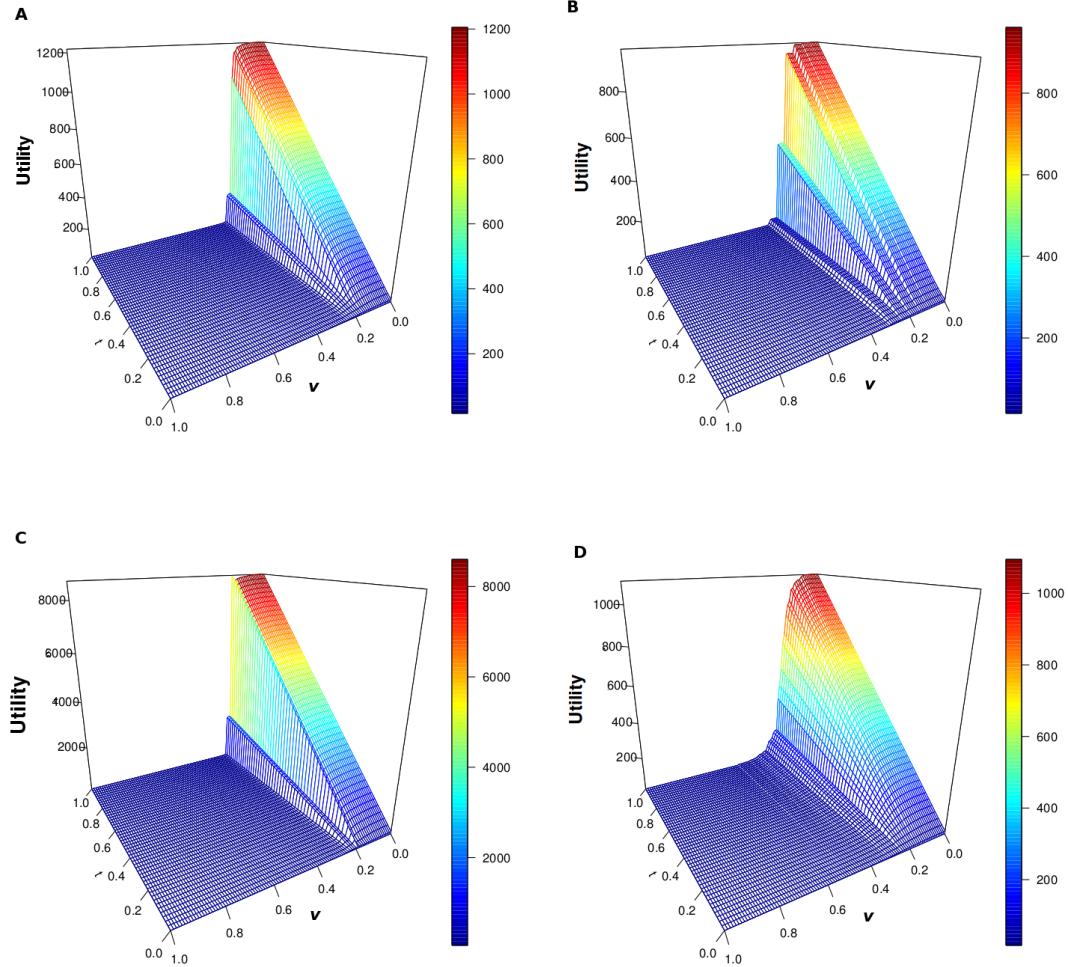


Figure 3: Utility  $U$  dynamics as a function of carrying capacity  $\nu$  and time. Topologies are: A. Random, Erdős-Rényi. B. Watts-Strogatz. C. Barabási-Albert. D. FOSISS.

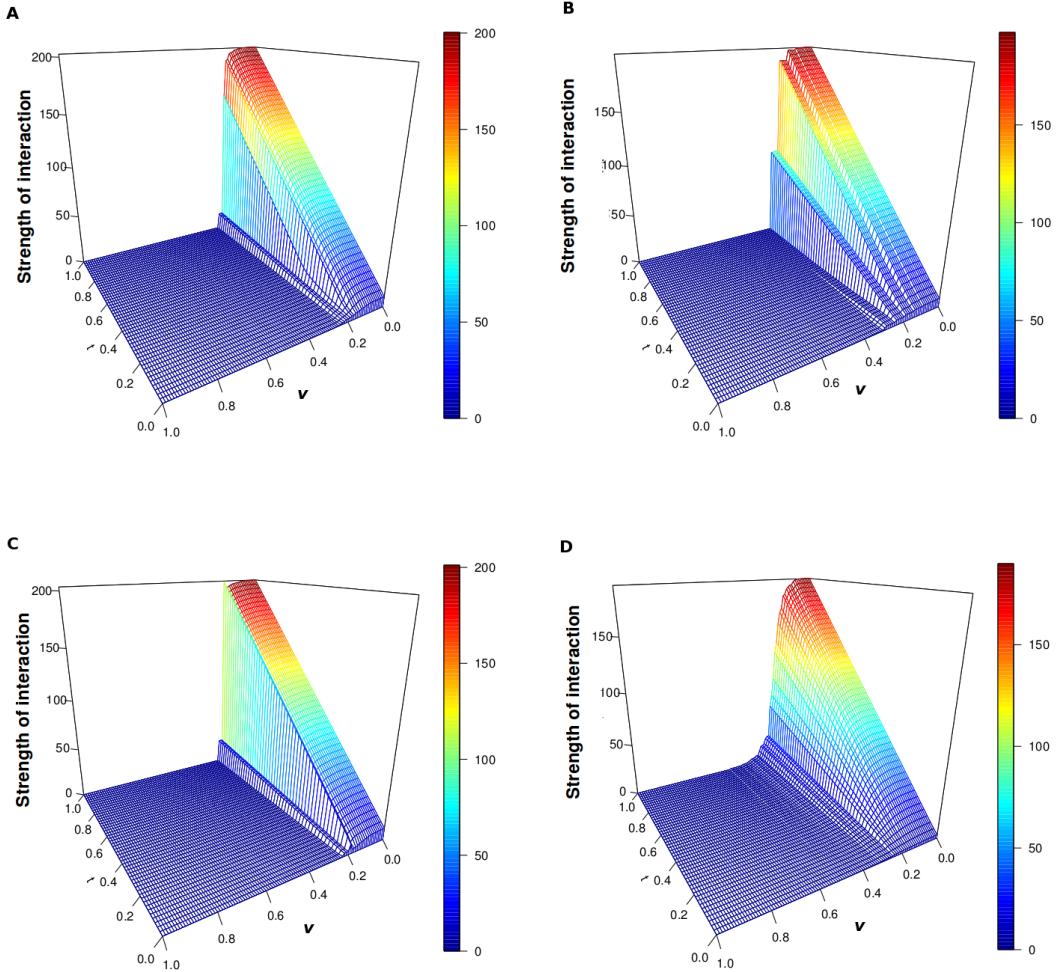


Figure 4: Strength of interactions  $w$  dynamics as a function of carrying capacity  $\nu$  and time. Topologies are: A. Random, Erdős-Rényi. B. Watts-Strogatz. C. Barabási-Albert. D. FOSISS.

453 We also measured the number of agents shifting states –between cooperating  
 454 and defecting– under different  $\nu$  values. We found that for all networks  
 455 there is a critical point around  $\nu \approx 0.2$  in which all agents are shifting states.  
 456 For Erdős-Renyí and Barabási-Albert networks, for this region, agents never  
 457 settle to a single state. Contrary to the former cases, the number of shifting

agents decreases considerably for the Watts-Strogatz and FOSISS networks, and find an equilibrium state. Once the the limits of this region are crossed as  $\nu$  increases, the number of agents shifting states falls to 0 and all nodes become defectors.

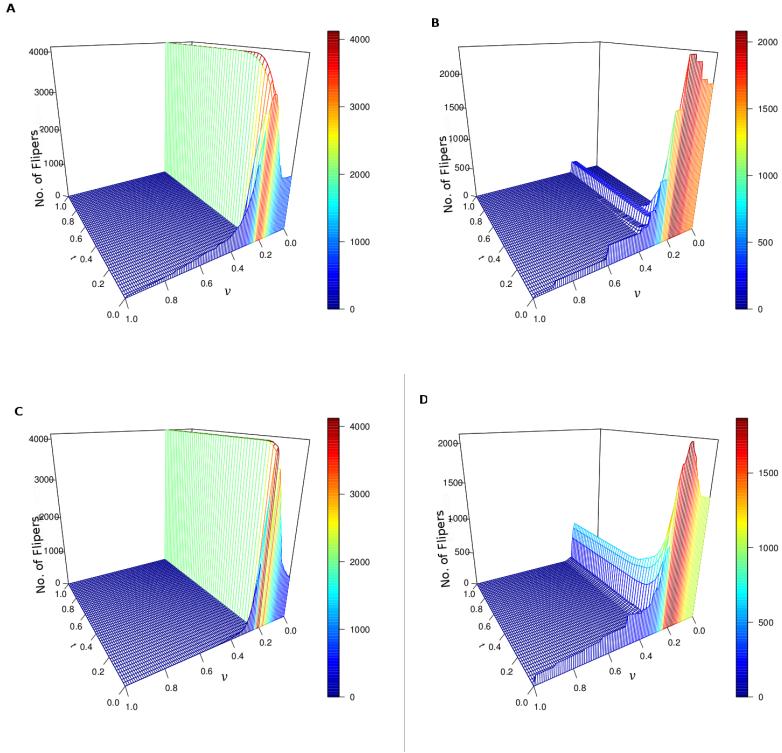


Figure 5: Shifting population between cooperators and defectors as a function of carrying capacity  $\nu$  and time. Topologies are: A. Random, Erdős-Rényi. B. Watts-Strogatz. C. Barabási-Albert. D. FOSISS.

Central to our argument is the differences in utility and strength of interactions distribution at the end of the simulation, for every topology. We found that utility distribution for the *FOSISS* network, resembles quite accurately to the distribution of utility in the Barabási-Albert network.

466

The distribution of utility on each topology is induced by the degree distribution. This is so, since a given agent (node) will interact with its neighbors to either cooperate or defect, in such a way that connectivity influences the number of events played and thus the likelihood of increasing

471 its corresponding utility. For instance, utility distribution in the random,  
472 Erdős-Rényi network displays a normal-like curve. The algorithm that gen-  
473 erates this kind of topology, assigns to every node the same probability of  
474 connecting with any other node, which produces a *poissonian* degree dis-  
475 tribution [30]. Since the Watts-Strogatz degree distribution is described by  
476 a function that is midways between a random distribution and a scale-free  
477 network [32] one may expect also an intermediate behavior of the utility  
478 distribution. This assumption seems to be fulfilled by the distribution in  
479 Figure 6B.

480 The resemblance of the degree-distribution and utility distribution also  
481 holds for the Barabási-Albert network. As mentioned in the methods sec-  
482 tion, the degree distribution of a Barabási-Albert topology follows a power-  
483 law that describes the fact that there are a small number of nodes with  
484 large  $k$  and most nodes have a small  $k$  [33]. As it is shown in the following  
485 figure, most utility is concentrated in a few number of agents, while most  
486 agents have a small amount of it. This is consistent with other research in  
487 which concentration of resources, fame or citations in science decreases as a  
488 power-law [37, 38, 39]. FOSISS network utility distribution is also skewed  
489 to the left, similar to that of the Barabási-Albert network.

490

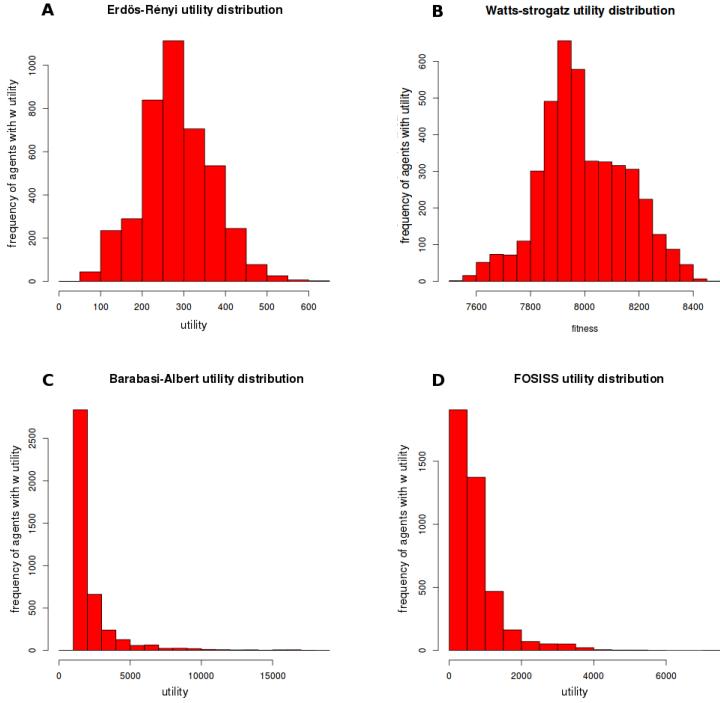


Figure 6: Utility distribution on different topologies. A. Random, Erdős-Rényi network displays a normal-like distribution. B. Watts-Strogatz network utility distribution is highly skewed to the right. C. Barabási-Albert network. Utility is distributed highly skewed to the left. D. FOSISS, biomedical researchers collaboration network distribution of utility resembles to Barabási-Albert network.

491     Regarding strength of interactions distribution, the Erdős-Rényi ran-  
 492     dom network displays a normal distribution of strength of interactions, as  
 493     expected. Again strength of interactions values are highly influenced by  
 494     the corresponding degree distribution (Figure 7A). However, in the Watts-  
 495     Strogatz network topology (Figure 7B), the strength of interactions distri-  
 496     bution is a highly asymmetric bimodal, with a really-low frequency mode of  
 497     low strength of interactions and a highly probability mode for high strength  
 498     of interactions. A possible explanation for this phenomenon is that under  
 499     network topologies maximizing inter-node communication (by minimizing  
 500     the average distance between nodes) such as the Watts-Strogatz, strength  
 501     of interactions is favored both among the cooperators (constituting the ma-

502 jority of players) and the defectors.

503

504 The Barabási-Albert network (7C) presents also a symmetric unimodal  
 505 distribution with values higher (on average) than those of the Erdős-Rényi  
 506 random network, this may be the effect of increased communication due to  
 507 more efficient network navigability. Interestingly, the network corresponding  
 508 to the real FOSISS collaborations (7D) is an asymmetric unimodal distribu-  
 509 tion in which moderate to high values of strength of interactions are more  
 510 likely. We hypothesize that this effect is also due to the communication  
 511 properties of the network.

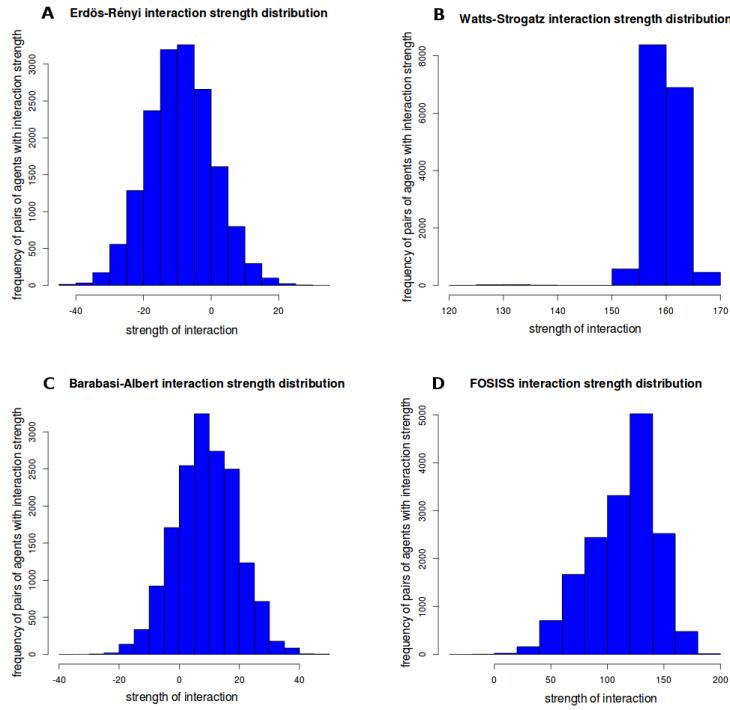


Figure 7: Strength of interactions distribution on different topologies. A. Random, Erdős-Rényi network displays a normal-like distribution. B. Watts-Strogatz network strength of interactions distribution is bimodal and highly skewed to the right. C. Barabási-Albert network strength of interactions distribution is also a normal-like curve. D. FOSISS, biomedical researchers collaboration network distribution of strength of interactions is skewed to the right.

512 An interesting feature of highly communicated networks (characterized  
513 by high values of clustering coefficient) is the fact that certainty among play-  
514 ers seems to be enhanced, that is, in such networks the rate of change of  
515 strategy is significantly lower (and smoother) than in poorly connected net-  
516 works. This is another instance in which easier communication (i.e. lower  
517 average minimum path lengths) leads to better performance of the whole  
518 collaborative research system.

519

## 520 6 Discussion and Conclusions

521 In this work we have analyzed the influence of parameters given by the  
522 underlying social structure of a science collaborative network on collective  
523 strength of interactions and utility dynamical behavior, based on a class of  
524 iterated PD and coordination games. Such parameters include mainly local  
525 and global connectivity like the degree centrality and average clustering co-  
526 efficient, as well as communication patterns.

527

528 Under the assumptions given by the model, we were able to notice that,  
529 in general, communication within the social collaborative networks has a  
530 positive correlation with average strength of interactions between the indi-  
531 viduals partaking in the games and also with the global collective utility  
532 (given by the sum of the individual payoffs). The better the communication  
533 among players, the higher the strength of interactions and the utility lead-  
534 ing to an optimized functioning of the whole *scientific collaboration system*.  
535 This is an important result that may be useful for scientific policy planning  
536 and may set a foundation for the optimal use of social networks in scientific  
537 collaboration as a means to improve the relationships among collaborating  
538 peers and ultimately the performance of research systems. We obviously  
539 need more qualitative work in order to validate these results from a socio-  
540 logical and anthropological perspective.

541

542 To close this article, we would like to comment on three issues we con-  
543 sider important. The first one is about confronting our results with data  
544 available to us from the biomedical research community. Second, some re-  
545 marks we believe are important about the role of computational simulations  
546 in the social sciences. Finally we would like to comment on our future work.

547

548 The two main results of our model are the smoothness in the phase

549 transition-like behavior for different parameters and the distribution of util-  
550 ity and strength of interactions in the FOSISS network compared to other  
551 networks and their topologies. We are certain that the particular structure  
552 of FOSISS network is playing a central role in the results and because of  
553 that we would like to discuss it a little bit further.

554

555 FOSISS network is highly hierarchical according to its heterogeneity of  
556 0.873. Because of it, one would expect to find the presence of important  
557 hubs [35], that is, a few researchers control the whole network, as has been  
558 reported in some other places [36]. Surprisingly, FOSISS network central-  
559 ization is very low 0.023, i.e. there are no researchers that centralize the  
560 majority of connections. Our guess is that the network is composed of  
561 many small communities or groups with a central researcher or Principal  
562 Investigator (PI). If this is the case in the FOSISS network, it means that  
563 those groups have a very hierachic structure as well.

564

565 Under such structure, when the clustering coefficient is considered, it can  
566 be said that groups are also well connected but that inter-group connections  
567 are sparse. In other words, individual groups are strongly connected within  
568 but the network as a whole is supported by a small number of links. We  
569 came by this idea partially from another study about scientific collabora-  
570 tions based on co-authorships in one of the research centers that is part of  
571 the FOSISS network [10]. In the cited reference an apparently well inte-  
572 grated community was found (high clustering coefficient and a very short  
573 characteristic path length). Such integration was mostly superficial, since  
574 it depended on the presence of external collaborators from other research  
575 institutions (most of them from overseas). Removing these external collab-  
576 orators broke down the network into small subgraphs that worked indepen-  
577 dently. Remarkably those subgraphs corresponded to the real groups of that  
578 research center. What is more, several groups had a hierarchical structure  
579 as the one we suspect is common in FOSISS subgraphs. The results showed  
580 that collaboration was poor between groups but strong among the members  
581 of each group, and that collaboration among groups doesn't emerge bottom-  
582 up, instead it seems to be promoted from the top, from the administrative  
583 authorities.

584

585 We believe that the the situation just refered is also true for the whole  
586 biomedical research community in México. The amount of PIs who have also  
587 been collaborators in other projects is about one fifth of the total number of  
588 participants. This is a number big enough to connect the whole network in

589 one giant component. Yet, due to the topological characteristics of the FOS-  
590 ISS network, it appears to be the case that researchers can be the leaders in  
591 one project and collaborators of different project of *its own research group*.  
592 CONACyT's funding policies make it impossible for a researcher to get a  
593 grant from a fund if that researcher has an ongoing project with a grant  
594 from that same fund. That is, a researcher can ask for a grant from FOSISS  
595 if and only if at the moment he doesn't already have one. This policy has  
596 lead researchers from the same group to ask for grants from the same fund  
597 in order to rise their budgets. A consequence of this behavior is that group  
598 interactions get reinforced, but intergroup connections not necessarily so.

599

600 As is common among scientific communities in biomedical research, PIs  
601 play a central role in the network. Strong PIs and well connected groups  
602 seem to be somehow responsible for the high levels of strength of interac-  
603 tions and the centralization of utility in our simulation. As for what seems  
604 to be phase transitions, in the case of FOSISS networks, these are smoother  
605 than those in the other networks with different topologies, even for those  
606 with a small-world topology. We think that this behavior is also the result  
607 of the hierarchical structure already mentioned. If this is true, strength of  
608 interactions is first lost in the edges that link different groups and then in  
609 the edges that connect members of the groups. Connections between groups  
610 would not be as dense as those inside the groups, which means that there  
611 would not be enough information of the behavior of one group regarding  
612 its neighbors to constrain them as it seems to happen with individual re-  
613 searchers inside their communities. Nevertheless, if values of the carrying  
614 capacity  $\nu$  keep increasing and it becomes more difficult to strengthen in-  
615 teractions, then strength of interactions begins to diminish inside groups.

616

617 Another issue that we would like to mention about FOSISS network  
618 topology is that it is not a robust collaboration network. At the level of  
619 groups, these might be well connected and consolidated but at the level of  
620 the network, this could be no more than an aggregate of individual groups.  
621 A robust network would be resistant to changes in the connections between  
622 groups but in the case of FOSISS, it seems that the network would brake  
623 down into small research groups by cutting some edges, as it happened in our  
624 co-authorship collaboration network [10]. The lack of robustness might be  
625 indicative of the fact that resources stay inside the groups, that is, they do  
626 not circulate through or articulate different communities. For example, one  
627 may think of certain expensive technologies for genomic research that could  
628 be bought once and shared among research groups, however, this doesn't

629 seem to happen very often. There are some other consequences, such as  
630 low communication among groups, atomization of practices and know-hows,  
631 redundancy in equipment tenancy, difficulties for implementing community-  
632 wide infrastructures such as biobanks, etc.

633

634 On these grounds, part of our future work is based on the results pre-  
635 sented here. We would like to identify researchers in our simulations and  
636 corroborate their situation in the model and in the real world. We are also  
637 interested in going back to the field and interviewing those groups with an  
638 interesting behavior found in our simulations, probably we would follow a  
639 similar strategy as the one developed in [42]. Finding communities beyond  
640 the level of the groups is an important task. We think that there are many  
641 possibilities that emerge from the integration of different methodologies.  
642 Moreover, studying social processes in science is particularly attractive due  
643 to the amounts of data already available that can be easily collected. This  
644 is a privilege because simulations can be designed on real world data, some-  
645 thing that only very recently has become possible [43].

646

647 No doubt, social sciences are becoming more “computational”. This  
648 can be seen everywhere, but curiously enough, disciplines like physics and  
649 computer science are moving towards the social sciences and not so much  
650 the other way around. It might be the case that the pioneering disciplines  
651 in the computational social sciences will set the agenda, an agenda that  
652 will apparently be mostly based on taking advantage of big data and on  
653 hypothesis-free approaches. We believe that the social sciences have impor-  
654 tant questions that should be added to that agenda and those questions may  
655 not be answered only by big data techniques but they may require creating  
656 models and simulations in the style of the best hypothesis-driven research.

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