

# Optimal Black-Box Secret Sharing over Arbitrary Abelian Groups

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## Abstract

A *black-box* secret sharing scheme for the threshold access structure  $T_{t,n}$  is one which works over any finite Abelian group  $G$ . Briefly, such a scheme differs from an ordinary linear secret sharing scheme (over, say, a given finite field) in that distribution matrix and reconstruction vectors are defined over  $\mathbb{Z}$  and are designed *independently* of the group  $G$  from which the secret and the shares are sampled. This means that perfect completeness and perfect privacy are guaranteed *regardless* of which group  $G$  is chosen. We define the black-box secret sharing problem as the problem of devising, for an arbitrary given  $T_{t,n}$ , a scheme with minimal expansion factor, i.e., where the length of the full vector of shares divided by the number of players  $n$  is minimal.

Such schemes are relevant for instance in the context of distributed cryptosystems based on groups with secret or hard to compute group order. A recent example is secure general multi-party computation over black-box rings.

In 1994 Desmedt and Frankel have proposed an elegant approach to the black-box secret sharing problem based in part on polynomial interpolation over cyclotomic number fields. For arbitrary given  $T_{t,n}$  with  $0 < t < n - 1$ , the expansion factor of their scheme is  $O(n)$ . This is the best previous general approach to the problem.

Using low degree integral extensions of  $\mathbb{Z}$  over which there exists a pair of sufficiently large Vandermonde matrices with co-prime determinants, we construct, for arbitrary given  $T_{t,n}$  with  $0 < t < n - 1$ , a black-box secret sharing scheme with expansion factor  $O(\log n)$ , which we show is minimal.

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## 1 Introduction

A *black-box* secret sharing scheme for the threshold access structure  $T_{t,n}$  is one which works over any finite Abelian group  $G$ . Briefly, such a scheme differs from an ordinary linear secret sharing scheme (over, say, a given finite field; see e.g. [Bla79, Sha79, Bri89, BI92, BL88, KW93, Gal95, Bei96, Dij97, CDM00]) in that distribution matrix and reconstruction vectors are defined over  $\mathbb{Z}$  and are designed *independently* of the group  $G$  from which the secret and the shares may be sampled. In other words, the dealer computes the shares for the  $n$  players as  $\mathbb{Z}$ -linear combinations of the secret group element of his interest and secret randomizing group elements, and reconstruction of the secret from the shares held by a large enough set of players is by taking  $\mathbb{Z}$ -linear combinations over those shares. Note that each player may receive one or more group elements as his share in the secret. Perfect completeness and perfect privacy are guaranteed *regardless* of which group  $G$  is chosen. Here, perfect completeness means that the secret is uniquely determined by the joint shares of at least  $t + 1$  players, and perfect privacy means that the joint shares of at most  $t$  players contain no Shannon information at all about the secret of interest. Note that these schemes are homomorphic in the sense that the sum of share vectors is a share vector for the sum of the corresponding secrets.

We define the black-box secret sharing problem as the problem of devising, for an arbitrary given  $T_{t,n}$ , a scheme with minimal expansion factor, i.e., where the length of the full vector of shares divided by the number of players  $n$  is minimized. Note the case  $t = n - 1$  is easily solved by “additive  $n$ -out-of- $n$  sharing,” which has expansion factor 1. The cases  $t = 0, n$  have no meaning for secret sharing. For the rest of this discussion we assume  $0 < t < n - 1$ .

Black-box secret sharing schemes were first considered by Desmedt and Frankel [DF89] in the context of distributed cryptosystems based on groups with secret order. Shamir’s polynomial based secret sharing scheme over finite fields [Sha79] cannot immediately be adapted to the setting of black-box secret sharing. In [DF94], Desmedt and Frankel [DF94] showed a black-box secret sharing scheme that elegantly circumvents integer polynomial interpolation problems by passing to an integral extension ring of  $\mathbb{Z}$  over which a

sufficiently large *invertible* Vandermonde matrix exists. Their construction is then completed on account of the fact that (sufficiently many copies of) an arbitrary Abelian group can be viewed as a module over such an extension ring.

For a given commutative ring  $S$  with 1, the largest integer  $l$  such that there exists an invertible  $l \times l$  Vandermonde matrix with entries in  $S$  is called the *Lenstra constant*  $l(S)$  of the ring  $S$ . Equivalently,  $l(S)$  is the maximal size of a subset  $E$  of  $S$  that is “exceptional” in that for all  $\alpha, \alpha' \in E$ ,  $\alpha \neq \alpha'$ , it holds that  $\alpha - \alpha'$  is a unit of  $S$ .

Given an integral extension ring  $S$  of degree  $m$  over  $\mathbb{Z}$ , they construct a black-box secret sharing scheme with expansion factor  $m$  for a threshold access structure on *at most*  $l(S) - 1$  players. For any prime  $p$ , Lenstra’s constant for the ring of integers of the  $p$ th cyclotomic number field is  $p$ .<sup>1</sup> Given an arbitrary  $T_{t,n}$  and choosing  $S$  as the ring of integers of the  $p$ th cyclotomic number field, where  $p$  is the smallest prime greater than  $n$ , they construct a black-box secret sharing scheme for  $T_{t,n}$  with expansion factor between  $n$  and  $2n$ . This is the best previous general approach to the problem. Further progress on the black-box secret sharing problem via the approach of [DF94] depends on the problem of finding for each  $n$  an extension whose degree is *substantially* smaller than  $n$  and whose Lenstra constant is greater than  $n$ . To the best of our knowledge, this is an open problem of algebraic number theory (see also [DF94] and the references therein).

Except for some quite special cases, namely when  $t$  is constant or when  $t$  (resp.  $n - t$ ) is small compared to  $n$  [DCB94, BBDW96] or the constant factor gain from [DKKK98], no substantial improvement on the general black-box secret sharing problem has been reported since.

Our result builds on [DF94] in that we also study the problem over certain integral extensions. However, we avoid dependence on Lenstra’s constant altogether. Namely, we exhibit low degree integral extensions of  $\mathbb{Z}$  over which there exists a *pair* of sufficiently large Vandermonde matrices with *co-prime determinants* and show how this allows us to construct, for arbitrary given  $T_{t,n}$ , a black-box secret sharing scheme with expansion factor  $O(\log n)$ . Using a result of Karchmer and Wigderson [KW93], we show that this is minimal.

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<sup>1</sup>It is not hard to find an exceptional set of size  $p$  in this ring. To see that the maximal size of such a set is  $p$ , let  $K$  be a number field of degree  $m$ , and let  $\mathbb{Z}_K$  denote its ring of algebraic integers. For an arbitrary non-trivial ideal  $I$  of  $\mathbb{Z}_K$ , it is easy to see that  $l(\mathbb{Z}_K) \leq |\mathbb{Z}_K/I|$  ( $\leq 2^m$ ). In the case where  $K$  is the  $p$ th cyclotomic number field, the integer prime  $p$  totally ramifies. Hence  $l(\mathbb{Z}_K) \leq |\mathbb{Z}_K/P| = p$ , where  $P$  is the unique prime ideal of  $\mathbb{Z}_K$  lying above  $p$ .

There are several applications of black-box secret sharing schemes. For instance, the result of [DF94] is exploited in [DDFY94] to obtain an efficient and secure solution for sharing any function out of a certain abstract class of functions, including RSA. The interest in application of the result of [DF94] to practical distributed RSA-based protocols seems to have decreased somewhat due to recent developments, see for instance [Sho00] and the references therein. However, apart from the fact that optimal black-box secret sharing is perhaps interesting in its own right, we note that in [CFIK02] our black-box secret sharing scheme is applied in protocols for secure general multi-party computation over black-box rings. Also, optimal black-box secret sharing may very well be relevant to new distributed cryptographic schemes for instance based on class groups.

This paper is organized as follows. In Section 2 we give a formalization of the notion of black-box secret sharing, and show a natural correspondence between such schemes and *integer span programs* (ISPs). This generalizes the well-known correspondence between monotone span programs over finite fields [KW93] and linear secret sharing schemes over finite fields. In Section 3 we show lower bounds on the size of ISPs computing threshold access structures. Our main result is presented in Section 4, where we construct an ISP with minimal size for an arbitrary given threshold access structure. This leads to an optimal black-box secret sharing scheme for an arbitrary given threshold access structure.

## 2 Black-Box Secret Sharing

### 2.1 Definition

We give a formal definition that exactly captures the intuition behind black-box secret sharing over Abelian groups.

**DEFINITION 1** *A monotone access structure on  $\{1, \dots, n\}$  is a non-empty collection  $\Gamma$  of sets  $A \subset \{1, \dots, n\}$  such that  $\emptyset \notin \Gamma$  and such that for all  $A \in \Gamma$  and for all sets  $B$  with  $A \subset B \subset \{1, \dots, n\}$  it holds that  $B \in \Gamma$ .*

**DEFINITION 2** *Let  $t$  and  $n$  be integers with  $0 < t < n$ . The threshold access structure  $T_{t,n}$  is the collection of sets  $A \subset \{1, \dots, n\}$  with  $|A| > t$ .<sup>2</sup>*

Let  $\Gamma$  be a monotone access structure on  $\{1, \dots, n\}$ . Let  $M \in \mathbb{Z}^{d,e}$  be an integer matrix, and let  $\psi : \{1, \dots, d\} \rightarrow \{1, \dots, n\}$  be a surjective function.

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<sup>2</sup>Note that some authors define  $T_{t,n}$  as consisting of all sets of size *at least*  $t$ . Our definition adheres to a convention in the multi-party computation literature.

We say that the  $j$ th row ( $j = 1 \dots d$ ) of  $M$  is *labelled* by  $\psi(j)$  or that “ $\psi(j)$  owns the  $j$ th row.” For  $A \subset \{1, \dots, n\}$ ,  $M_A$  denotes the restriction of  $M$  to the rows jointly owned by  $A$ . Write  $d_A$  for the number of rows in  $M_A$ . Similarly, for  $\mathbf{x} \in \mathbb{Z}^d$ ,  $\mathbf{x}_A \in \mathbb{Z}^{d_A}$  denotes the restriction of  $\mathbf{x}$  to the coordinates jointly owned by  $A$ . For each  $A \in \Gamma$ , let  $\boldsymbol{\lambda}(A) \in \mathbb{Z}^{d_A}$  be an integer (column-) vector. We call this the *reconstruction vector* for  $A$ . Collect all these vectors in a set  $\mathcal{R}$ .

**DEFINITION 3** *Let  $\Gamma$  be a monotone access structure on  $\{1, \dots, n\}$ , and let  $\mathcal{B} = (M, \psi, \mathcal{R})$  be as defined above.  $\mathcal{B}$  is called an integer  $\Gamma$ -scheme. Its expansion rate is defined as  $d/n$ , where  $d$  is the number of rows of  $M$ .*

Let  $G$  be a finite Abelian group. We use additive notation for its group operation, and use  $0_G$  to denote its neutral element. The group  $G$  is of course a  $\mathbb{Z}$ -module (see e.g. [Lang]), by defining the map  $\mathbb{Z} \times G \rightarrow G$ ,  $(\mu, g) \mapsto \mu \cdot g$ , where  $0 \cdot g = 0_G$ ,  $\mu \cdot g = g + \dots + g$  ( $\mu$  times) for  $\mu > 0$  and  $\mu \cdot g = -((-\mu) \cdot g)$  for  $\mu < 0$ .<sup>3</sup> We also write  $\mu g$  or  $g\mu$  instead of  $\mu \cdot g$ . Note that it is well-defined how an integer matrix acts on a vector of group elements.

**DEFINITION 4** *Let  $\Gamma$  be a monotone access structure on  $\{1, \dots, n\}$  and let  $\mathcal{B} = (M, \psi, \mathcal{R})$  be an integer  $\Gamma$ -scheme. Then  $\mathcal{B}$  is a black-box secret sharing scheme for  $\Gamma$  if the following holds. Let  $G$  be an arbitrary finite Abelian group  $G$ , and let  $A \subset \{1, \dots, n\}$  be an arbitrary non-empty set. For arbitrarily distributed  $s \in G$ , let  $\mathbf{g} = (g_1, \dots, g_e)^T \in G^e$  be drawn uniformly at random, subject to  $g_1 = s$ . Define  $\mathbf{s} = M\mathbf{g}$ . Then:*

- (Completeness) *If  $A \in \Gamma$ , then  $\mathbf{s}_A^T \cdot \boldsymbol{\lambda}(A) = s$  with probability 1, where  $\boldsymbol{\lambda}(A) \in \mathcal{R}$  is the reconstruction vector for  $A$ .*
- (Privacy) *If  $A \notin \Gamma$ , then  $\mathbf{s}_A$  contains no Shannon information on  $s$ .*

Note that these schemes<sup>4</sup> are homomorphic in the sense that the sum  $\mathbf{s} + \mathbf{s}'$  of two share vectors  $\mathbf{s}$  and  $\mathbf{s}'$ , is a share vector for the sum  $s + s'$  of their corresponding secrets  $s$  and  $s'$ .

## 2.2 Monotone Span Programs over Rings

In this section we provide quite natural necessary and sufficient conditions under which an integer  $\Gamma$ -scheme is a black-box secret sharing scheme for  $\Gamma$ .

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<sup>3</sup>If the group operation in  $G$  is efficient, multiplication by an integer can also be efficiently implemented using standard “double-and-add.”

<sup>4</sup>See [Kin00] for an equivalent definition.

To this end, we introduce the notion of *monotone span programs over rings*. This is a certain variation of monotone span programs over finite fields, introduced by Karchmer and Wigderson [KW93]. These are well-known to have a natural one-to-one correspondence with linear secret sharing schemes over *finite fields* (see e.g. [Gal95, Bei96]). Monotone span programs over  $\mathbb{Z}$  (*ISPs*) will turn out to have a similar correspondence with black-box secret sharing schemes. We also show an efficient conversion of a monotone span program over an integral extension ring of  $\mathbb{Z}$  to an ISP.

As an aside, monotone span programs over rings are the basis for multi-party computation over black-box rings, as studied in [CFIK02]. In particular, the techniques of [CDM00] for secure multiplication and VSS apply to this flavor of monotone span program as well.

Throughout this paper,  $S$  denotes a (not necessarily finite) commutative ring with 1. Let  $\Gamma$  be a monotone access structure on  $\{1, \dots, n\}$ , and let  $M \in S^{d,e}$  be a matrix whose  $d$  rows are labelled by a surjective function  $\psi : \{1, \dots, d\} \rightarrow \{1, \dots, n\}$ .

**DEFINITION 5**  $\varepsilon = (1, 0, \dots, 0)^T \in S^e$  is called the target vector.  $\mathcal{M} = (S, M, \psi, \varepsilon)$  is called a monotone span program (over the ring  $S$ ). If  $S = \mathbb{Z}$ , it is called an integer span program, or *ISP*, for short. We define  $\text{size}(\mathcal{M}) = d$ , where  $d$  is the number of rows of  $M$ .

For  $N \in S^{a,b}$ ,  $\text{im}N$  denotes its column space, i.e., the space of all vectors  $N\mathbf{x} \in S^a$ , where  $\mathbf{x}$  ranges over  $S^b$ , and  $\text{ker}N$  denotes its null-space, i.e., the space of all vectors  $\mathbf{x} \in S^b$  with  $N\mathbf{x} = \mathbf{0} \in S^a$ .

**DEFINITION 6** As above, let  $\Gamma$  be a monotone access structure and let  $\mathcal{M} = (S, M, \psi, \varepsilon)$  be a monotone span program over  $S$ . Then  $\mathcal{M}$  is a monotone span program for  $\Gamma$ , if for all  $A \subset \{1, \dots, n\}$  the following holds.

- If  $A \in \Gamma$ , then  $\varepsilon \in \text{im}M_A^T$ .
- If  $A \notin \Gamma$ , then there exists  $\kappa = (\kappa_1, \dots, \kappa_e)^T \in \text{ker}M_A$  with  $\kappa_1 = 1$ .

We also say that  $\mathcal{M}$  computes  $\Gamma$ .

If  $S$  is a *field*, our definition is equivalent to the computational model of monotone span programs over fields [KW93]. Indeed, this model is characterized by the condition that  $A \in \Gamma$  if and only if  $\varepsilon \in \text{im}M_A^T$ . The equivalence follows from the remark below.

REMARK 1 *By basic linear algebra, if  $S$  is a field, then  $\varepsilon \notin \text{im} M_A^T$  implies that there exists  $\kappa \in \ker M_A$  with  $\kappa_1 = 1$ . If  $S$  is not a field this does not necessarily hold.*<sup>5</sup> *The implication in the other direction trivially holds regardless of  $S$ .*

Using (generally inefficient) representations of monotone access structures as monotone Boolean formulas and using induction in a similar style as in e.g. [BL88], it is straightforward to verify that for all  $\Gamma$  and for all  $S$ , there is a monotone span program over  $S$  that computes  $\Gamma$ .

DEFINITION 7 *For any  $\Gamma$  and for any  $S$ ,  $\text{msp}_S(\Gamma)$  denotes the minimal size of a monotone span program over  $S$  computing  $\Gamma$ . If  $S = \mathbb{Z}$ , we write  $\text{isp}(\Gamma)$ .*

Define a *non-degenerate monotone span program* as one for which the rows of  $M$  span the target-vector. As opposed to the case of fields, a non-degenerate monotone span program over a ring need not compute any monotone access structure. This is of no concern here, though.

The following proposition characterizes black-box secret sharing schemes in terms of ISPs.

PROPOSITION 1 *Let  $\Gamma$  be a monotone access structure on  $\{1, \dots, n\}$ , and let  $\mathcal{B} = (M, \psi, \mathcal{R})$  be an integer  $\Gamma$ -scheme. Then  $\mathcal{B}$  is a black-box secret sharing scheme for  $\Gamma$  if and only if  $\mathcal{M} = (\mathbb{Z}, M, \psi, \varepsilon)$  is an ISP for  $\Gamma$  and for all  $A \in \Gamma$ , its reconstruction vector  $\lambda(A) \in \mathcal{R}$  satisfies  $M_A^T \lambda(A) = \varepsilon$ .*

PROOF. The argument that the stated ISP is sufficient for black-box secret sharing is quite similar to the well-known case of linear secret sharing over finite fields. The other direction of the implication follows in essence from Lemma 1 below. We include full details for convenience.

Consider the ISP from the statement of the proposition, together with the assumption on the reconstruction vectors. Consider an arbitrary set  $A \subset \{1, \dots, n\}$  and an arbitrary finite Abelian group  $G$ . Define  $\mathbf{s} = M\mathbf{g}$  for arbitrary  $\mathbf{g} = (s, g_2, \dots, g_e)^T \in G^e$ . Suppose  $A \in \Gamma$ , and let  $\lambda(A) \in \mathcal{R}$  be its reconstruction vector. It follows that  $\mathbf{s}_A^T \lambda(A) = (M_A \mathbf{g})^T \lambda(A) = \mathbf{g}^T (M_A^T \lambda(A)) = \mathbf{g}^T \varepsilon = s$ . Thus the completeness condition from Definition 4 is satisfied. If  $A \notin \Gamma$ , then there exists  $\kappa \in \mathbb{Z}^e$  with  $M_A \kappa = \mathbf{0} \in \mathbb{Z}^{d_A}$  and  $\kappa_1 = 1$ , by Definition 6. For arbitrary  $s' \in G$ , define  $\mathbf{s}' = \mathbf{s} + M(\mathbf{g} + (s' - s)\kappa) \in G^{d_A}$ . The secret defined by  $\mathbf{s}'$  equals  $s'$ , while on the other hand  $\mathbf{s}'_A = \mathbf{s}_A$ . This implies perfect privacy: the assignment

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<sup>5</sup>Consider for example the integer matrix  $M = \begin{pmatrix} 2 & 0 \end{pmatrix}$ .

$\mathbf{g}' = \mathbf{g} + (s' - s)\boldsymbol{\kappa}$  provides a bijection between the set of possible vectors of “coins” consistent with  $\mathbf{s}_A$  and  $s$ , and the set of those consistent with  $\mathbf{s}_A$  and  $s'$ . Therefore, the privacy condition from Definition 4 is also satisfied.

In the other direction of the proposition, we start with a black-box secret sharing scheme for  $\Gamma$  according to Definition 4. Consider an arbitrary set  $A \subset \{1, \dots, n\}$ . Suppose  $A \in \Gamma$ , and let  $\boldsymbol{\lambda}(A) \in \mathcal{R}$  be its reconstruction vector. For an arbitrary prime  $p$ , set  $G = \mathbb{Z}_p$ . By the completeness condition from Definition 4, it follows that  $(1, 0, \dots, 0)^T \equiv (M_A I_e)^T \boldsymbol{\lambda}(A) \equiv M_A^T \boldsymbol{\lambda}(A) \pmod{p}$ , where  $I_e \in \mathbb{Z}_p^{e,e}$  is the identity matrix. This holds for all primes  $p$ . Hence,  $M_A^T \boldsymbol{\lambda}(A) = (1, 0, \dots, 0)^T = \boldsymbol{\varepsilon}$ . Therefore, the condition on the sets  $A \in \Gamma$  in Definition 6 and the condition on the reconstruction vectors  $\mathcal{R}$  from the statement of the proposition are satisfied.

To conclude the proof we show that the privacy condition from Definition 4 implies the condition on the sets  $A \notin \Gamma$  from Definition 6. The following formulation is equivalent. Let  $\mathbf{y} \in \mathbb{Z}^{d_A}$  denote the left-most column of  $M_A$ , and let  $N_A \in \mathbb{Z}^{d_A, e-1}$  denote the remaining  $e-1$  columns. Then it is to be shown that the linear systems of equations  $N_A \mathbf{x} = \mathbf{y}$  is solvable over  $\mathbb{Z}$ .

By Lemma 1 below, it is sufficient to show that this holds modulo  $m$ , for all  $m \in \mathbb{Z}$ ,  $m \neq 0$ . With notation as in Definition 4 and considering  $G = \mathbb{Z}_m$ , it follows from the privacy condition that there exists  $\mathbf{g}' \in \mathbb{Z}_m^e$  such that  $g'_1 \equiv s - 1$  and  $\mathbf{s}_A \equiv M_A \mathbf{g}'$ . Setting  $\boldsymbol{\kappa} \equiv \mathbf{g} - \mathbf{g}' \in \mathbb{Z}_m^e$ , we have  $M_A \boldsymbol{\kappa} \equiv \mathbf{0}$  with  $\kappa_1 \equiv 1$ . In other words,  $N_A \mathbf{x} = \mathbf{y}$  is solvable over  $\mathbb{Z}_m$  for all integers  $m \neq 0$ .  $\triangle$

We note that [Kin00] also discusses a characterization. Although there are some similarities in the technical analysis, the conditions stated there are still in terms of the black-box secret sharing scheme, rather than by providing simple algebraic conditions on the matrix  $M$  as we do. Therefore, we feel that our approach based on integer span programs is perhaps more useful and insightful, especially since monotone span programs over finite fields have since long been known to be equivalent to linear secret sharing schemes over finite fields.

**LEMMA 1** *Let  $N \in \mathbb{Z}^{a,b}$  and  $\mathbf{y} \in \mathbb{Z}^a$ . Then the linear system of equations  $N\mathbf{x} = \mathbf{y}$  is solvable over  $\mathbb{Z}$  if and only if it is solvable over  $\mathbb{Z}_m$  for all integers  $m \neq 0$ .*

**PROOF.** The forward direction of the proposition is trivial. In the other direction, consider the  $\mathbb{Z}$ -module  $H$  generated by the columns of  $N$ . By basic theory of  $\mathbb{Z}$ -modules (see e.g. [Lang]), there exists a  $\mathbb{Z}$ -basis  $\mathcal{B} = (\mathbf{b}_1, \dots, \mathbf{b}_a)$



of  $\mathbb{Z}^a$ , and non-zero integers  $a_1, \dots, a_l$  such that  $\mathcal{B}_H = (a_1 \mathbf{b}_1, \dots, a_l \mathbf{b}_l)$  is a  $\mathbb{Z}$ -basis of  $H$ . Let  $L$  denote the  $\mathbb{Z}$ -module with basis  $\mathcal{B}_L = (\mathbf{b}_1, \dots, \mathbf{b}_l)$ . Note that  $H \subset L$ . Let  $p$  be an arbitrary prime, and let  $\overline{(\cdot)}$  denote reduction modulo  $p$ . Since the determinant of  $\mathcal{B}$  is  $\pm 1$ ,  $\overline{\mathcal{B}}$  (resp.  $\overline{\mathcal{B}}_L$ ) provides a basis for the vector-space  $\mathbb{F}_p^a$  (resp. the vector-space  $\overline{L}$ ). Note that  $\overline{\mathcal{B}}_L \subset \overline{\mathcal{B}}$ .

It follows from the assumptions that  $\overline{\mathbf{y}} \in \overline{H} \subset \overline{L}$ . Let  $(y_1, \dots, y_a) \in \mathbb{Z}^a$  denote the coordinates of  $\mathbf{y}$  wrt.  $\mathcal{B}$ . Since the latter observation holds for all primes  $p$ , it follows that  $y_{l+1} = \dots = y_a = 0$ . Hence,  $\mathbf{y} \in L$ . Now set  $\hat{m} = \prod_{i=1}^l a_i$ . By the assumptions, there exists  $\mathbf{c}_{\hat{m}} \in \mathbb{Z}^a$  such that  $\mathbf{y} + \hat{m} \cdot \mathbf{c}_{\hat{m}} \in H$ . Therefore,  $\hat{m} \cdot \mathbf{c}_{\hat{m}} \in L$ , and by the definition of  $L$ ,  $\mathbf{c}_{\hat{m}} \in L$ . By the choice of  $\hat{m}$ , it follows that  $\hat{m} \cdot \mathbf{c}_{\hat{m}} \in H$ . We conclude that  $\mathbf{y} \in H$ , as desired.  $\triangle$

**REMARK 2** *Let  $\mathcal{M} = (S, M, \psi, \epsilon)$  compute  $\Gamma$ . If  $S$  is a field or a principal ideal domain (such as  $\mathbb{Z}$ ), then we may assume without loss of generality that  $e \leq d$ , i.e., there are at most as many columns in  $M$  as there are rows.*

This is easily shown using elementary linear algebra, and using the basic properties of modules over principal ideal domains (see e.g. [Lang] and the proof of Lemma 1). Briefly, since  $\mathcal{M}$  is non-degenerate, the last statement in Remark 1 implies that the space generated by the 2nd up to the  $e$ th column of  $M$  does not contain even a non-zero multiple of the first column. Without changing the access structure that is computed, we can always replace the 2nd up to the  $e$ th column of  $M$  by any set of vectors that generates the same space. If  $S$  is a field or a principal ideal domain, this space has a basis of cardinality at most  $d - 1$ .

**REMARK 3** *We may now identify a black-box secret sharing scheme for  $\Gamma$  with an ISP  $\mathcal{M} = (\mathbb{Z}, M, \psi, \epsilon)$  for  $\Gamma$ . A reconstruction vector for  $A \in \Gamma$  is simply any vector  $\lambda(A) \in \mathbb{Z}^{d_A}$  such that  $M_A^T \lambda(A) = \epsilon$ . Note that the expansion rate of the corresponding black-box secret sharing scheme is equal to  $\text{size}(\mathcal{M})/n$ . By Remark 2 it uses at most  $\text{size}(\mathcal{M})$  random group elements.*

We now state some lemmas that are useful in the sequel.

**DEFINITION 8** *The dual  $\Gamma^*$  of a monotone access structure  $\Gamma$  on  $\{1, \dots, n\}$  is the collection of sets  $A \subset \{1, \dots, n\}$  such that  $A^c \notin \Gamma$ .*

Note that  $\Gamma^*$  is a monotone access structure on  $\{1, \dots, n\}$ , that  $(\Gamma^*)^* = \Gamma$  and that  $(T_{t,n})^* = T_{n-t-1,n}$ . The lemma below generalizes a similar property shown in [KW93] for the case of fields.

LEMMA 2  $\text{msp}_S(\Gamma) = \text{msp}_S(\Gamma^*)$ , for all  $S$  and  $\Gamma$ .

PROOF. Let  $\mathcal{M} = (S, M, \psi, \varepsilon)$  be a monotone span program for  $\Gamma$ . Select an arbitrary generating set of vectors  $\mathbf{b}_1, \dots, \mathbf{b}_l$  for  $\ker M^T$ , and choose  $\boldsymbol{\lambda}$  with  $M^T \boldsymbol{\lambda} = \varepsilon$ . Let  $M^*$  be the matrix defined by the  $l+1$  columns  $(\boldsymbol{\lambda}, \mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_l)$ , and use  $\psi$  to label  $M^*$  as well. Define  $\mathcal{M}^* = (S, M^*, \psi, \varepsilon^*)$ , where  $\varepsilon^* = (1, 0, \dots, 0)^T \in S^{l+1}$ . Note that  $\text{size}(\mathcal{M}^*) = \text{size}(\mathcal{M})$ . We claim that  $\mathcal{M}^*$  computes  $\Gamma^*$ . This is easy to verify.

If  $A^c \notin \Gamma$ , then by Definition 6, there exists  $\boldsymbol{\kappa} \in S^{l+1}$  such that  $M_{A^c} \boldsymbol{\kappa} = \mathbf{0}$  and  $\kappa_1 = 1$ . Define  $\boldsymbol{\lambda}^* = M_A \boldsymbol{\kappa}$ . Then  $(M^*)^T_A \boldsymbol{\lambda}^* = ((M^*)^T \cdot M) \boldsymbol{\kappa} = \varepsilon^*$ . On the other hand, if  $A^c \in \Gamma$ , then there exists  $\hat{\boldsymbol{\lambda}} \in S^d$  such that  $M^T \hat{\boldsymbol{\lambda}} = \varepsilon$  and  $\hat{\boldsymbol{\lambda}}_A = \mathbf{0}$ . By definition of  $M^*$ , there exists  $\boldsymbol{\kappa} \in S^{l+1}$  such that  $M^* \boldsymbol{\kappa} = \hat{\boldsymbol{\lambda}}$  and  $\kappa_1 = 1$ . Hence,  $M_A^* \boldsymbol{\kappa} = \hat{\boldsymbol{\lambda}}_A = \mathbf{0}$  and  $\kappa_1 = 1$ . This concludes the proof.  $\triangle$

The lemma below holds in a more general setting, but we tailor it to ours.

LEMMA 3 *Let  $f(X) \in \mathbb{Z}[X]$  be a monic, irreducible polynomial. Write  $m = \deg(f)$ . Consider the ring  $S = \mathbb{Z}[X]/(f(X))$ . Suppose  $\mathcal{M} = (S, M, \psi, \varepsilon)$  is a monotone span program over  $S$  for a monotone access structure  $\Gamma$ . Then there exists an ISP  $\hat{\mathcal{M}} = (\mathbb{Z}, \hat{M}, \hat{\psi}, \hat{\varepsilon})$  for  $\Gamma$  with  $\text{size}(\hat{\mathcal{M}}) = m \cdot \text{size}(\mathcal{M})$ .*

PROOF. The proof is based on a standard algebraic technique for representing a linear map defined over an extension ring in terms of a linear map defined over the ground ring. This technique is also used in [KW93] for monotone span programs over extension fields. Since our definition of monotone span programs over rings differs slightly from the definitions in [KW93], we explain it in detail.

Note that  $S$  is a commutative ring with 1 and that it has no zero divisors, but that it is not a field. Fix  $w \in S$  such that  $f(w) = 0$  (such as  $w = \overline{X}$ , the class of  $X$  modulo  $f(X)$ ). Then for each  $x \in S$ , there exists  $\vec{x} = (x_0, \dots, x_{m-1}) \in \mathbb{Z}^m$  such that  $x = x_0 \cdot 1 + x_1 \cdot w + \dots + x_{m-1} \cdot w^{m-1}$ . This vector, which we will view as a row-vector, is unique. In other words,  $\mathcal{W} = \{1, w, \dots, w^{m-1}\}$  is a  $\mathbb{Z}$ -basis for  $S$ . As before, write  $d$  (resp.  $e$ ) for the number of rows (resp. columns) of  $M$ . Fix an arbitrary set  $B \in \Gamma$  and write  $d_B$  for the number of rows of  $M_B$ . Let  $\boldsymbol{\lambda} = (\lambda_1, \dots, \lambda_{d_B})^T \in S^{d_B}$  be such that  $M_B^T \boldsymbol{\lambda} = \varepsilon \in S^e$ . Let  $\vec{\lambda}_i \in \mathbb{Z}^m$  denote the coordinates of  $\lambda_i \in S$  wrt.  $\mathcal{W}$ ,  $i = 1 \dots d_B$ . Also fix an arbitrary set  $A \notin \Gamma$ , and write  $d_A$  for the number of rows of  $M_A$ . Let  $\boldsymbol{\kappa} = (\kappa_1, \kappa_2, \dots, \kappa_e)^T \in S^e$  be such that  $\kappa_1 = 1$  and  $M_A \boldsymbol{\kappa} = \mathbf{0} \in S^{d_A}$ , and let  $\vec{\kappa}_i \in \mathbb{Z}^m$  denote the coordinates of  $\kappa_i$  wrt.  $\mathcal{W}$ ,  $i = 1 \dots e$ .

Define the monotone span program  $\overline{\mathcal{M}} = (S, \overline{M}, \hat{\psi}, \varepsilon)$  as follows. To define  $\overline{M}$ , replace each row  $\mathbf{r}$  of the matrix  $M$  by the matrix consisting of the  $m$  rows  $1 \cdot \mathbf{r}, w \cdot \mathbf{r}, \dots, w^{m-1} \cdot \mathbf{r}$ , where the labeling  $\psi$  is extended to  $\hat{\psi}$  so that these  $m$  rows of  $\overline{M}$  have the same label as  $\mathbf{r}$  in  $M$ . Note that  $\overline{M} \in S^{md, e}$ . To verify that  $\overline{\mathcal{M}} = (S, \overline{M}, \hat{\psi}, \varepsilon)$  is a monotone span program for  $\Gamma$  as well, note that

$$\overline{M}_B^T(\vec{\lambda}_1, \dots, \vec{\lambda}_{d_B})^T = \varepsilon \in S^e \text{ and } \overline{M}_A \kappa = \mathbf{0} \in S^{md_A}.$$

We define the ISP  $\hat{\mathcal{M}} = (\mathbb{Z}, \hat{M}, \hat{\psi}, \hat{\varepsilon})$  as follows. First, define a new target vector  $\hat{\varepsilon} = (1, 0, \dots, 0)^T \in \mathbb{Z}^{me}$ . Next, construct,  $\hat{M} \in \mathbb{Z}^{md, me}$  from  $\overline{M}$ , by replacing each entry  $x$  in  $\overline{M}$  by the row-vector  $\vec{x} \in \mathbb{Z}^m$ , its coordinate vector wrt.  $\mathcal{W}$ . Note that

$$\hat{M}_B^T(\vec{\lambda}_1, \dots, \vec{\lambda}_{d_B})^T = \hat{\varepsilon} \in \mathbb{Z}^{me}.$$

Consider arbitrary  $u, v \in S$ , and let  $\vec{u}, \vec{v} \in \mathbb{Z}^m$  be their respective coordinate vectors wrt.  $\mathcal{W}$ . It is straightforward that there exist  $V_0, \dots, V_{m-1} \in \mathbb{Z}^{m, m}$ , only depending on  $\mathcal{W}$ , such that, for  $i = 0 \dots m-1$ ,  $\vec{u} V_i \vec{v}^T \in \mathbb{Z}$  is the  $i$ -th coordinate of  $u \cdot v \in S$ , when written in coordinates wrt.  $\mathcal{W}$ . By the particular choice of  $\mathcal{W}$  we must have  $V_0(1, 0, \dots, 0)^T = (1, 0, \dots, 0)^T$ . Then

$$\hat{M}_A(1, 0, \dots, 0, \vec{y}_2, \dots, \vec{y}_e)^T = \mathbf{0} \in \mathbb{Z}^{md_A},$$

where, for  $i = 2 \dots e$ ,

$$\vec{y}_i = \vec{\kappa}_i V_0^T \in \mathbb{Z}^m.$$

Hence,  $\hat{\mathcal{M}} = (\mathbb{Z}, \hat{M}, \hat{\psi}, \hat{\varepsilon})$  is an ISP for  $\Gamma$ . As an aside, note that we may delete the 2nd up to  $m$ th leftmost columns of  $\hat{M}$  and the corresponding coordinates of  $\hat{\varepsilon}$  without penalty. Hence,  $1 + m(e-1)$  columns suffice, rather than  $me$ .  $\triangle$

### 3 Lower Bounds for the Threshold Case

In this section we prove <sup>6</sup>

**PROPOSITION 2** *For all integers  $t, n$  with  $0 < t < n-1$ , it holds that  $\text{isp}(T_{t,n}) = \Omega(n \cdot \log n)$ . Consequently, the expansion factor of a black-box secret sharing scheme for  $T_{t,n}$  with  $0 < t < n-1$  is  $\Omega(\log n)$ .*

---

<sup>6</sup>Note that  $\text{isp}(T_{n-1,n}) = n$ : the case  $t = n-1$  is solved by simple additive “ $n$ -out-of- $n$  secret sharing.”

Proposition 2 follows quite directly from the bound shown in Theorem 1 for binary monotone span programs, as proved in [KW93]. Before we give the details of the proof of Proposition 2, we include a proof of their bound for convenience. Note that we have made constants for their asymptotic bound explicit.

Throughout this section,  $K$  denotes a field. Let  $\mathcal{M} = (K, M, \psi, \varepsilon)$  be a non-degenerate monotone span program. The access structure of  $\mathcal{M}$ , denoted  $\Gamma(\mathcal{M})$ , is the collection of sets  $A$  such that  $\varepsilon \in \text{im} M_A^T$ . Note that by Remark 1 this is consistent with our Definition 6. We write  $\text{msp}_2(\Gamma)$  instead of  $\text{msp}_{\mathbb{F}_2}(\Gamma)$ .

PROPOSITION 3 [KW93]  $\text{msp}_2(T_{1,n}) \geq n \cdot \log n$ .

PROOF. Consider a monotone span program  $\mathcal{M} = (\mathbb{F}_2, M, \psi, \varepsilon)$  such that  $\Gamma(\mathcal{M}) = T_{1,n}$ . Define  $e$  as the number of columns of  $M$ ,  $d$  as its number of rows, and  $d_i$  as the number of rows of  $M_i$  for  $i = 1 \dots n$ , where we write  $M_i$  instead of  $M_{\{i\}}$  and  $d_i$  instead of  $d_{\{i\}}$ . Without loss of generality, assume that the rows of each  $M_i$  are linearly independent over  $\mathbb{F}_2$ . Let  $H_1$  collect the vectors in  $\mathbb{F}_2^e$  with first coordinate equal to 1. Since  $\{i\} \notin T_{1,n}$ , Remark 1 implies that  $|\ker M_i \cap H_1| \neq \emptyset$ . By assumption on  $M_i$ ,  $|\ker M_i \cap H_1| = 2^{e-1-d_i}$  for  $i = 1 \dots n$ . On the other hand,  $\{i, j\} \in T_{1,n}$ . Hence, by Remark 1, we have  $\ker M_i \cap \ker M_j \cap H_1 = \emptyset$ , for all  $i, j$  with  $1 \leq i < j \leq n$ . By counting and normalizing,  $2^{-d_1} + \dots + 2^{-d_n} \leq 1$ . By the Log Sum Inequality (see e.g. [CT]),  $d = d_1 + \dots + d_n \geq n \log n$ .  $\triangle$

THEOREM 1 [KW93]  $n \cdot (\lfloor \log n \rfloor + 1) \geq \text{msp}_2(T_{t,n}) \geq \frac{n+3}{2} \cdot \log \frac{n+3}{2}$ , for all  $t, n$  with  $0 < t < n - 1$ .

PROOF. The upper bound, which is not needed for our purposes, follows by considering an appropriate Vandermonde matrix over the field  $\mathbb{F}_{2^u}$ , where  $u = (\lfloor \log n \rfloor + 1)$ . This is turned into a binary monotone span program for  $T_{t,n}$  using a similar conversion technique as in Lemma 3. As to the lower bound, note that we may assume  $t \geq (n - 1)/2$ , since  $\text{msp}_2(T_{t,n}) = \text{msp}_2(T_{n-t-1,n})$  by Lemma 2.

Then

$$\begin{aligned} \text{msp}_2(T_{t,n}) &\geq \text{msp}_2(T_{t,t+2}) = \text{msp}_2(T_{1,t+2}) \geq \\ &(t+2) \log(t+2) \geq \frac{n+3}{2} \cdot \log \frac{n+3}{2}. \end{aligned}$$

The first inequality follows by “deleting sufficiently many blocks  $M_{\{i\}}$  from a monotone span program,” the equality is implied by Lemma 2, the second to

last inequality follows from Proposition 3, and the last one by the assumption on  $t$   $\triangle$

For the proof of Proposition 2, let an ISP for  $T_{t,n}$  be given, and consider the ISP matrix, but with all entries reduced modulo 2. By our ISP definition and by arguing the cases  $A \notin T_{t,n}$  using Remark 1, it follows that a binary monotone span program for  $T_{t,n}$  is obtained in this way. The argument is concluded by applying Theorem 1.<sup>7</sup> The statement about black-box secret sharing follows from Proposition 1. This can also be seen without reference to Proposition 1, by essentially the same argument as above. Namely, setting  $G = \mathbb{Z}_2$  in Definition 4, we clearly obtain a linear secret sharing scheme over  $\mathbb{F}_2$ . As mentioned earlier, linear secret sharing schemes over a field  $K$  are known to be essentially equivalent to monotone span programs over  $K$ .

## 4 Optimal Black-Box Threshold Secret Sharing

**THEOREM 2** *For all  $t, n$  with  $0 < t < n - 1$ ,  $\text{isp}(T_{t,n}) = \Theta(n \cdot \log n)$ . Consequently, there exists a black-box secret sharing scheme for  $T_{t,n}$  with expansion factor  $O(\log n)$ , which is minimal.*

**PROOF.** By Proposition 1 it is sufficient to focus on the claim about the ISPs. The lower bound follows from Proposition 2. For the upper bound, consider a ring  $S = \mathbb{Z}[X]/(f(X))$ , where  $f(X) \in \mathbb{Z}[X]$  is a monic, irreducible polynomial. Write  $m = \deg(f)$ , the degree of  $S$  over  $\mathbb{Z}$ .

On account of Lemma 3, it is sufficient to exhibit a ring  $S$  together with a monotone span program  $\mathcal{M}$  over  $S$  for  $T_{t,n}$  such that the degree of  $S$  over  $\mathbb{Z}$  is  $O(\log n)$  and  $\text{size}(\mathcal{M}) = O(n)$ .

The proof is organized as follows. We first identify a certain technical property of a ring  $S$  that facilitates the construction of a monotone span program over  $S$  for  $T_{t,n}$ , with size  $O(n)$ . We finalize the proof by constructing a ring  $S$  that enjoys this technical property, and that has degree  $O(\log n)$  over  $\mathbb{Z}$ .

For  $x_1, \dots, x_n \in S$ , define

$$\Delta(x_1, \dots, x_n) = \prod_{i=1}^n x_i \cdot \prod_{1 \leq j < i \leq n} (x_i - x_j).$$

---

<sup>7</sup>See [Kin00, Kin01] for lower bounds on the randomness required in black-box secret sharing schemes.

Assume, for the moment, that there exist  $\alpha_1, \dots, \alpha_n \in S$  and  $r_0, r_1 \in S$  such that

$$r_0 \cdot \Delta(1, \dots, n)^2 + r_1 \cdot \Delta(\alpha_1, \dots, \alpha_n)^2 = 1.$$

This assumption implies the existence of a monotone span program over  $S$  for  $T_{t,n}$  with size  $2n$ , as we now show. Write  $\Delta_0 = \Delta(1, \dots, n) \in S$  and  $\Delta_1 = \Delta(\alpha_1, \dots, \alpha_n) \in S$ . Let  $N_0 \in S^{n,t+1}$  (resp.  $N_1 \in S^{n,t+1}$ ) be the matrix whose  $i$ -th row is  $(\Delta_0, i, i^2, \dots, i^t)$  (resp.  $(\Delta_1, \alpha_i, \alpha_i^2, \dots, \alpha_i^t)$ ),  $i = 1 \dots n$ . In both cases, the  $i$ th row is labelled by  $i$ . When studied as possible monotone span programs over  $S$  for  $T_{t,n}$ ,  $N_0$  (resp.  $N_1$ ) satisfies Definition 6 for the sets  $A \notin T_{t,n}$ . On the other hand, in both cases, the rows owned by a set  $A \in T_{t,n}$  do not necessarily span the target vector  $(1, 0, \dots, 0) \in S^{t+1}$ . However, these rows do span<sup>8</sup> the vector  $(\Delta_0^2, 0, \dots, 0) \in S^{t+1}$  (resp.  $(\Delta_1^2, 0, \dots, 0) \in S^{t+1}$ ). Both properties stated can be verified immediately, for instance using the well-known expression for a Vandermonde determinant in combination with Cramér's rule (see e.g. [Lang]); passing to the fraction field  $K$  of  $S$  (note that  $S$  has no zero-divisors), this rule implies that a  $c \times c$  linear system of equations  $N\mathbf{x} = \mathbf{y}$  over the ring  $S$ , has a solution at least in case where  $\mathbf{y} \in \det(N) \cdot S^c$ . Another way is by using Lagrange Interpolation over  $K$ , and clearing denominators.

Define a new monotone span program matrix  $M \in S^{2n,2t+1}$  consisting of all pairs of rows

$$(\Delta_0, i, i^2, \dots, i^t, 0, \dots, 0), \quad \text{and} \quad (\Delta_1, 0, \dots, 0, \alpha_i, \alpha_i^2, \dots, \alpha_i^t),$$

for  $i = 1 \dots n$ . The shown padding consists of  $t$  zeroes in both cases, and each of the rows in a pair is labelled by  $i$ . Define  $\varepsilon = (1, 0, \dots, 0)^T \in S^{2t+1}$ . The sets  $A \notin T_{t,n}$  clearly satisfy Definition 6, and this time the rows owned by sets  $A \in T_{t,n}$  span the target vector: they span in particular all vectors of the form  $(r \cdot \Delta_0^2 + s \cdot \Delta_1^2, 0, \dots, 0)$ , with  $r, s \in S$ . By setting  $r = r_0$  and  $s = r_1$ , these include the target vector  $\varepsilon$ .

To conclude, we exhibit a ring  $S$  with degree  $O(\log n)$  over the integers and  $\alpha_1, \dots, \alpha_n, r_0, r_1 \in S$  with  $r_0 \cdot \Delta_0^2 + r_1 \cdot \Delta_1^2 = 1$ , where  $\Delta_0 = \Delta(1, \dots, n)$  and  $\Delta_1 = \Delta(\alpha_1, \dots, \alpha_n)$ .

These conditions are reformulated as follows. Let  $\Pi_n$  denote the set of integer primes  $p$  with  $2 \leq p \leq n$  and define  $Q_n = \prod_{p \in \Pi_n} p \in \mathbb{Z}$ . Then we are looking for a ring  $S$  with degree  $O(\log n)$  over the integers and  $\alpha_1, \dots, \alpha_n \in S$  such that

$$\overline{\Delta_1} \in (S/(Q_n))^*,$$

---

<sup>8</sup> A similar property was first noticed and exploited in [FGKY97a, FGKY97b] and later in [Sho00].

i.e., the residue-class of  $\Delta_1$  in the ring  $S/(Q_n)$  is a unit.

Indeed, if  $\overline{\Delta}_1 \in (S/(Q_n))^*$ , then  $\overline{\Delta}_1 \in (S/(Q_n^k))^*$  as well, for any positive integer  $k$ . To verify this by induction, suppose that  $\Delta_1 \cdot v = 1 + w \cdot Q_n^i$  for some  $v, w \in S$  and  $i \geq 1$ : then  $\Delta_1 \cdot (v - vw \cdot Q_n^i) = 1 - w^2 \cdot Q_n^{2i}$  and  $2i \geq i + 1$ . As a consequence,  $\overline{\Delta}_1 \in (S/(\Delta_0^2))^*$ . Namely, as an integer,  $\Delta_0^2$  factors completely over the primes  $p \in \Pi_n$ . Then choose  $k_*$  large enough such that  $\Delta_0^2$  divides  $Q_n^{k_*}$ , and apply the previous observation. It follows that  $\overline{\Delta}_1^2 \in (S/(\Delta_0^2))^*$  as well, or equivalently, there exist  $r_0, r_1 \in S$  such that  $r_0 \cdot \Delta_0^2 + r_1 \cdot \Delta_1^2 = 1$ .

Set  $m = \lfloor \log n \rfloor + 1$ . Let  $\hat{f}(X) \in \mathbb{Z}[X]$  be any monic, irreducible polynomial of degree  $m$  such that for all  $p \in \Pi_n$ ,  $\hat{f}_p(X)$  (the polynomial  $\hat{f}(X)$  with its coefficients reduced modulo  $p$ ) is irreducible in  $\mathbb{F}_p[X]$ .

One way of constructing such a polynomial is as follows. For all  $p \in \Pi_n$ , select a monic, irreducible polynomial  $\hat{f}_p(X) \in \mathbb{F}_p[X]$  of degree  $m$ . By the theory of finite fields, this is always possible. Applying the Chinese Remainder Theorem to each of the coefficients separately, select an arbitrary lift to a monic polynomial  $\hat{f}(X) \in \mathbb{Z}[X]$  of degree  $m$  such that  $\hat{f}(X) \equiv \hat{f}_p(X) \pmod{p}$ . Note that the monic polynomial  $\hat{f}(X)$  is irreducible in  $\mathbb{Z}[X]$ : if not, reduction modulo  $p$  with  $p \in \Pi_n$ , gives a non-trivial factorization of  $\hat{f}_p(X)$  in  $\mathbb{F}_p[X]$ .

Set  $S = \mathbb{Z}[X]/(\hat{f}(X))$ . By definition of  $\hat{f}(X)$ , it follows that  $S/(p)$  is a finite field, for all  $p \in \Pi_n$ . Indeed, for all  $p \in \Pi_n$ ,

$$S/(p) \simeq \mathbb{Z}[X]/(p, \hat{f}(X)) \simeq \mathbb{F}_p[X]/(\hat{f}_p(X)) \simeq \mathbb{F}_{p^m}.$$

Note that all ideals  $(p)$  of  $S$  with  $p \in \Pi_n$  are distinct and maximal. It follows, using the Chinese Remainder Theorem for general rings, that

$$S/(Q_n) \simeq \prod_{p \in \Pi_n} \mathbb{F}_{p^m}.$$

For all  $p \in \Pi_n$  we have  $|\mathbb{F}_{p^m}^*| = p^m - 1 \geq 2^m - 1 \geq n$ . Therefore, for each  $p \in \Pi_n$ , distinct non-zero

$$\beta_1^{(p)}, \dots, \beta_n^{(p)} \in \mathbb{F}_{p^m}$$

can be selected. Finally, select arbitrary  $\alpha_1, \dots, \alpha_n \in S$  such that, for  $i = 1 \dots n$ ,

$$S/(Q_n) \ni \overline{\alpha}_i \longleftrightarrow (\beta_i^{(p)})_{p \in \Pi_n} \in \prod_{p \in \Pi_n} \mathbb{F}_{p^m},$$

where the correspondence is via the (implicit) isomorphism. By construction, for all  $i, j$  with  $1 \leq i, j \leq n$  and  $i \neq j$ , it holds that  $\bar{\alpha}_i \in (S/(Q_n))^*$  and  $\bar{\alpha}_i - \bar{\alpha}_j \in (S/(Q_n))^*$ . Hence,  $\bar{\Delta}_1 \in (S/(Q_n))^*$ , as desired.  $\triangle$

**COROLLARY 1** *For all  $t, n$  with  $1 \leq t < n - 1$ , there exists an ISP of size  $n \cdot (\lfloor \log n \rfloor + 2)$  for  $T_{t,n}$ .*

**PROOF.** Let  $\alpha_1, \dots, \alpha_n, r_0, r_1 \in S$  be as constructed at the end of the proof of Theorem 2, and consider the matrices  $N_0$  and  $N_1$  defined earlier in the proof. Instead of applying Lemma 3 to the matrix  $M$  constructed from them, apply it directly to  $N_1$ . This leads to an ISP matrix  $\hat{N}_1$  with  $n \cdot (\lfloor \log n \rfloor + 1)$  rows and  $1 + t(\lfloor \log n \rfloor + 1)$  columns (take into account the final remark of the proof of Lemma 3). Clearly, the sets  $A \notin T_{t,n}$  satisfy Definition 6. For the sets  $A \in T_{t,n}$ , the rows owned by  $A$  span  $\delta_1 \cdot \hat{\epsilon}$ , where  $\delta_1 \in \mathbb{Z}$  is the left-most coordinate of  $r_1 \cdot \Delta_1^2$ ; before the “pruning” indicated at the end of the proof of Lemma 3, these rows spanned all possible  $S$ -multiples of  $\Delta_1^2 \cdot \epsilon \in S^{t+1}$  but written in integer coordinates, and after that, all except the left-most among the  $m$  left-most coordinates have been removed.

As for the ISP matrix  $N_0$ , note that it has the properties stated in the proof also when studied over  $\mathbb{Z}$  rather than  $S$ . Hence, the sets  $A \notin T_{t,n}$  also satisfy Definition 6 over  $\mathbb{Z}$ . For the sets  $A \in T_{t,n}$ , the rows owned by them clearly span  $(\delta_0, 0, \dots, 0) \in \mathbb{Z}^{t+1}$ , where  $\delta_0 \in \mathbb{Z}$  is the left-most coordinate of  $r_0 \cdot \Delta_0^2$ ;  $\Delta_0$  is an integer, so  $\delta_0$  is simply the left-most coordinate of  $r_0$ , multiplied by  $\Delta_0^2$ . Since  $\delta_0 + \delta_1 = 1$ , this leads directly to an ISP for  $T_{t,n}$ , where the ISP matrix has  $n \cdot (\lfloor \log n \rfloor + 2)$  rows and  $t(\lfloor \log n \rfloor + 2) + 1$  columns.  $\triangle$

## 5 Implementation and Concluding Remarks

We stress that in this paper we are primarily interested in the asymptotically optimal result from Theorem 2. Several choices in its proof have been made to simplify the mathematical exposition, while suppressing computational aspects.

There are a number of possible practical implementations of black-box secret sharing based on our result. We do not optimize its performance here, but merely indicate below that straightforward implementations run in time polynomial in  $n$ .

Note that the scheme consumes  $O(n \log n)$  random coins (group elements) and that the expansion factor is  $O(\log n)$  in any case, i.e., each player



receives  $O(\log n)$  groups elements as his share in a secret group element. For an implementation, it is important to limit the necessary *computational resources* for dealer and players.

One implementation is based on the well-known fact that for any finite Abelian group  $G$ ,  $G^m$  can be viewed as a module over the ring  $S$  (see also [DF94]). The multiplication of an element of  $S$  by an element of  $G^m$  can be performed having only black-box access to the group operation of  $G$ . This way, the monotone span program over  $S$  acts directly on vectors of elements of  $G^m$ . This leads in a straightforward fashion to an attractive implementation of black-box secret sharing where the actual ISP it is based upon can be left implicit. See for instance [DF94] for the computational details of this general procedure, taking into account the remarks below.

By the constructive method from the proof of Theorem 2, we may assume without loss of generality that the coefficients of the polynomial  $f(X)$  have bit length smaller than  $\log Q_n \leq \log(n!) = O(n \log n)$  bits. Recall that its degree  $m$  is  $\lfloor \log n \rfloor + 1$ . For given threshold parameters  $t, n$ , it can be fixed once and for all. One simple possible choice for the  $\alpha_i$ 's is to identify them with distinct, non-zero integer polynomials of degree at most  $\lfloor \log n \rfloor$ , such that each of the coefficients is either 0 or 1. For instance,  $\alpha_i$  can point to  $i$  by basing it on the bit representation of  $i$ .  $\Delta_0^2$  is simply represented by an integer with bit length  $O(n^2 \cdot \log n)$ . The value  $\Delta_1^2$  is the product of  $O(n^2)$  elements of  $S$ , each of which has integer coordinates  $-1, 0$  or  $1$ . The values  $r_0$  and  $r_1$  can be obtained by computing the inverse  $\bar{u}$  of  $\bar{\Delta}_1^2 \in S/(\Delta_0^2)$ , for instance by solving a linear system of equations over  $\mathbb{Z}_{\Delta_0^2}$ , and by computing  $u \cdot \Delta_1^2 \in S$ . The reconstruction vectors are computed from  $r_0, r_1$  and obvious "interpolation coefficients" obtained from the  $\alpha_i$ 's.

Finally, the discussion above may seem to suggest that the notion of black-box secret sharing can be generalized by replacing  $\mathbb{Z}$  with an extension ring in Definitions 3 and 4. However, using a conversion as in Lemma 3 this boils down to the definition we have given. Moreover, we feel that conceptual simplicity is better served by our current definition.

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