# Controlled Homomorphic Encryption: Definition and Construction

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**Abstract.** Fully Homomorphic Encryption schemes (FHEs) and Functional Encryption schemes (FUNCTEs) have a tremendous impact in cryptography both for the natural questions that they address and for the wide range of applications in which they have been (sometimes critically) used.

In this work we put forth the notion of a Controllable Homomorphic Encryption scheme (CHES), a new primitive that includes features of both FHEs and Functes. In a CHES it is possible (similarly to a FHE) to homomorphically evaluate a ciphertext  $\mathsf{Ct} = \mathsf{Enc}(m)$  and a circuit C therefore obtaining  $\mathsf{Enc}(C(m))$  but  $\mathit{only}$  if (similarly to a Functe) a token for C has been received from the owner of the secret key.

We discuss difficulties in constructing a CHES and then show a construction based on any Function.

As a byproduct our CHES also represents a Functe supporting the reencryption functionality and in that respect improves existing solutions.

**Keywords:** Functional Encryption, Non-malleability, Fully Homomorphic Encryption.

### 1 Introduction

Fully Homomorphic Encryption has received a lot of attention and even was mentioned in the New York Times. We first briefly argue that in many real life applications, Fully Homomorphic Encryption is *not* a very useful primitive. In particular we look at issues involving financial issues and issues that are money related.

For privacy reasons many utility bills and bank statements, sent electronically, are now encrypted. In practice such bills and other documents are based on standard sub-documents that have been carefully checked by the legal department of the utility corporation or the bank. The other parts are based on

the amount due, or the transactions made by the bank's customer. Obviously, very different type of standard letters, checked by the legal department, are sent to customers who are not paying their bills, and in the worst case a disconnect letter is sent.

To maintain privacy as much as possible the original standard letters should be stored on the corporation's company under encrypted form. So, it seems that Fully Homomorphic Encryption is ideally suited to modify the standard letter to include the name and address of the customer and to also add other encrypted information, e.g., obtained from an electronic utility meter. We now explain why this is a bad idea.

Now that Podesta's (the chairman of the 2016 Hillary Clinton presidential campaign) e-mails were hacked many people have realized how vulnerable systems are. Moreover, similar phishing attacks have been used against large corporations. So, in case the computer used to make encrypted utility bills and bank statements is hacked and Fully Homomorphic Encryption is used, then the hacker can completely change the letter! Obviously, the legal department does not want to have potentially very offensive letters to be produced. In the case of manual editing of the standard file, digital signature could be used, but if many parties are authorized, then that would require to keep track of all changes made and to keep the digital signatures for these intermediately produced messages. We now briefly motivate our approach.

In our approach, we will avoid the aforementioned use of digital signatures. Instead, using a new primitive, we will restrict what changes can be made. So, no hacker, even having full control of the computer, will be able to drastically change the encrypted document. The worst that can happen is that the wrong (slightly modified) standard letter will be sent. However, in our system, if the status of the customer is maintained under encrypted form, it will even be impossible that the complete wrong standard letter is sent to the customer. Similar protecting mechanisms can be used such that in case of utility bill, the encrypted data provided by the meter has to be used.

In this paper we put forth the notion of a controllable homomorphic encryption scheme (CHES, in short) that blends together the notion of a fully homomorphic encryption scheme [15] (FHE, in short) and of a functional encryption scheme [5,17] (FUNCTE, in short). Specifically, like in a FHE, a CHES-ciphertext of plaintext m can be homomorphically transformed into a ciphertext of plaintext C(m), for every efficiently computable function C; on the other hand, like in a FUNCTE, the homomorphic transformation can only be efficiently performed by a party that has a special token for function C that is released by the owner of the master secret key. Except for the token for C, no other secret information is needed to homomorphically transform a ciphertext according to function C.

Non-triviality The following scheme is a straightforward (albeit inefficient) construction of a CHES derived from any standard public key encryption scheme  $\mathcal{E} = (\mathsf{GenKey}, \mathsf{Enc}, \mathsf{Dec})$  and any secure signature scheme  $\mathcal{S} = (\mathsf{SigKeyGen}, \mathsf{Sign}, \mathsf{Verify})$ . The public key of the CHES consists of a pair  $(\mathsf{pk}, \mathsf{vk})$  of a randomly generated

public key pk of  $\mathcal{E}$  and of a randomly generated verification key vk of  $\mathcal{S}$ . To encrypt message m, one simply computes an encryption of m with respect to key pk. The token for function C is simply a signature  $\sigma_C$  of C and to homomorphically transform ciphertext  $\mathsf{ct}_0$ , one simply appends an encryption  $\mathsf{ct}_1$  of the pair  $(C, \sigma_C)$  to  $\mathsf{ct}_0$ . The decryption function takes a pair of ciphertexts  $(\mathsf{ct}_0, \mathsf{ct}_1)$ , decrypts both and obtains  $(m, C, \sigma_C)$ . If  $\sigma_C$  is a correct signature of C, then the decryption function outputs C(m); otherwise, it outputs  $\bot$ .

There is a clear drawback in the above construction: the size of the ciphertext depends on the size of the description of the function C. In this paper, to avoid triviality, we require ciphertext size and decryption time to be upper bounded by a polynomial of the security parameter and be independent of the function C. This is the same requirement that makes the construction of a FHE non-trivial [15].

#### 1.1 Contribution

The contribution of this work consists of the following three steps. We introduce and define this new primitive, we discuss some interesting applications, and provide a construction based on any Functe. Our main result is the above last step, indeed we will show a general procedure that builds a CHES starting from a general functional encryption scheme.

Limitations of Functes w.r.t. CHESs At first, one might think that a CHES is just a special case of a functional encryption scheme: the token to transform an encryption of m into an encryption of C(m) is simply a token for the function that first computes C(m) and then re-encrypts the result. Such a direct construction suffers of two major problems.

Probabilistic and re-encryption functionalities. One first problem posed by this simple construction is that randomness must be used to construct the resulting ciphertext and this would require a notion of functional encryption for probabilistic functionalities proposed in two independent works. Alwen et al. [3] put forward a definition of randomized functional encryption but they are able to construct it only for very restricted classes of functionalities. In another work, Goyal et al. [19] propose functional encryption schemes for randomized functionalities for two different notions of security, both suffering from some limitation. The first one is simulation-based but stated in the *selective* model. This is the best one can hope for simulation-based security since, due to the impossibility result of Agrawal et al. [1] and Boneh et al. [5], for non-selective security it is necessary to put a bound on the number of queries the adversary can ask (see also Gorbunov et al. [18], De Caro et al. [11] and De Caro and Iovino [10]). The second definition they propose is indistinguishability-based but is affected by the severe problem of forbidding the adversary to ask queries for computationally indistinguishable distributions, thus not providing any guarantee of security in applications where the server is provided with a token for the re-encryption function. Therefore, for the scope of our applications the solution of Goval et al.

is not satisfactory unless one wants to resort to bounded security (i.e., putting a bound on the number of ciphertexts and tokens generated by the system) that represents a strong limitation. Instead, our approach does not suffer from this problem. In fact, we are able to prove the security of our scheme under a notion of security that (1) is not selective, (2) allows the adversary to ask an unbounded number of queries and (3) does allow the adversary to request token for the reencryption function. We also mention that in the context of verifiable secure outsourcing of computation, Barbosa and Farshim [4] have studied the concept Delegatable Homomorphic Encryption (DFE) which is conceptually very similar to CHES. The security of their construction of a DFE is based on the existence Functe that are CCA1 secure and on the existence of an FHE (in contrast, we only require IndCPA secure Functe). As a byproduct our CHES offers a solution to the problem of providing a Functe supporting the re-encryption functionality that improves the previous works as discussed above.

Another more serious problem in using functional encryption naively is made evident by looking at the following example. Suppose an adversary obtains a token for the increment function C(m) := m+1. Clearly, for every two messages  $m_1 \neq m_2$  the output range of the evaluation of the token for C are disjoint (as one contains encryptions of  $m_1+1$  and the other encryptions of  $m_2+1$ ). This makes the security requirement of the functional encryption scheme vacuous. Indeed, security for functional encryption schemes is only with respect to adversaries that obtain tokens for which the two challenge plaintexts give the same result and, quite understandably, no guarantees is given for adversaries that have requested and obtained tokens for which the two challenge plaintexts give different results<sup>1</sup>. Therefore, if the token for the innocent looking increment function is released, all security disappears.

Tricks to construct a CHES We obtain a CHES by solving the two major problems of the above direct construction of a CHES from a Functe. Concerning the first problem, we will make use of pseudorandom functions in order to provide to the evaluation process a pseudorandom string to be used for re-encryption. Concerning the second problem, we exploit the fact that even though the two output ranges are disjoint they are still indistinguishable. Interestingly, a similar observation could be used for functional encryption in order to have a relaxed (and therefore easier to achieve) but still fully meaningful definition.

In sums, our construction considers as starting point the problematic construction described above and will leverage on various techniques in order to obtain the desired security. Our construction is proved secure against an adversary that receives tokens for circuits of his choice after seeing the challenge ciphertext. We leave open the problem of constructing a CHES where the adversary can ask encryption and token queries in any order.

Targeted malleability In a recent paper, Boneh et al. [6] put forward the notion of targeted malleability that generalizes the notion of non-malleability [12] by

<sup>&</sup>lt;sup>1</sup> Here we only consider game-based notions of security as simulation-based ones suffer of more serious limitations [5,2,11,20,10].

ensuring that the malleability of an encryption scheme is limited to a set of legal functions  $\mathcal{F}$ , specified in the public key. We note that, unlike in CHES, in targeted malleability the set  $\mathcal{F}$  of legal functions is specified during the keygeneration phase and then any party can efficiently homomorphically transform any ciphertext according to any function in  $\mathcal{F}$  without receiving any secret information from the owner of the secret key. Thus the two primitives are quite different in scope. Boneh et al. [6] show how to transform any FHE scheme into one that offers targeted malleability based on the existence of succinct non-interactive arguments that are known to exist under non-falsifiable assumptions [21]. We are aware that such assumptions could ease the construction of a CHES but one of our goals is to avoid them.

We also notice that in their construction [6], ciphertexts obtained through homomorphic transformations can themselves be transformed again and this process can be repeated up to a constant number t of times; the value t must be specified during the generation of the public key that grows with t but the length of the ciphertexts is independent of t.

In this work, we do not concentrate on this property and give a construction of CHES in which the mauling procedure can only be applied to ciphertexts output by the encryption procedure. We mention though (and do not elaborate further) that our construction can be modified so that the homomorphic transformation procedure can be applied any constant number of times, starting from a ciphertext generated by the encryption procedure. We stress that in our case this number does not affect the length of the ciphertexts nor the one of the public key.

Application scenarios The notion of a CHES finds natural applications in the problem of outsourcing computation on private data to an untrusted server.

In the first scenario we consider a user U that has one message m and stores it in encrypted form Ct on an untrusted server S using a CHES. At some later point, U wishes to compute value C(m) and sends a CHES token  $Tok_C$  for C (i.e., the token that when applied on a ciphertext for m returns a ciphertext for C(m)). The server S applies the token  $Tok_C$  to Ct and returns the resulting ciphertext to U. If the server S is honest-but-curious, the above scheme guarantees that U gets the desired result without revealing anything about m (not even the value C(m)). The same would work with a FHE. However, a malicious server S could just pick an arbitrary value, encrypt it using the CHES and then return the value to U. Against such a dishonest adversary, we can use the standard trick of adding a MAC as follows. U sends S an encryption Ct of m and of a random value R (i.e., Ct is an encryption of the concatenation of m and R). To compute the value C(m), for some circuit C, U picks an arbitrary value x and generates a token for the circuit that returns an encryption of C(m) and of E(R,x), where E(R,x) is a pseudo-random family of functions E(R,x). In other words,

<sup>&</sup>lt;sup>2</sup> The use of a PRF is needed to allow the use of more than one token for the same ciphertext; otherwise, a simple encryption of C(m) concatenated to R would be sufficient.

the token encrypts C(m) and a MAC of the fact that the right token was used to compute the result. Notice that this simple tweak would *not* give security against malicious servers in the above case based on a FHE and this shows that in some applications CHESs is conceptually stronger than FHEs.

As mentioned before, CHES also represents a Functe supporting the reencryption functionality and in that respect it improves existing solutions as discussed previously.

#### 2 Definitions

Notation

Functional Encryption for Circuits In this paper we use a special FUNCTE, which we call FE4C, that allows to compute any polynomial size circuit (see [17,13]). Due to space constraints we defer to Appendix A the definition of FE4C and of its tag-based version and its security notion of IND-CPA Security that we use in our construction.

# 3 Controllable Homomorphic Encryption

In this section we define the notion of a *Controllable Homomorphic Encryption Scheme* (CHES).

Roughly speaking, in a CHES it is possible to homomorphically create a string that will be decrypted as C(M) on input a ciphertext for M only if one holds a special token for the circuit C. Similarly to the compactness requirement of FHE, we require that the length of the string homomorphically computed be independent of the circuit.

**Definition 1.** A Controllable Homomorphic Encryption Scheme (CHES, in short) is a tuple CHE = (CHE.Setup, CHE.KeyGen, CHE.Enc,

CHE.HEval, CHE.Dec) of efficient algorithms with the following syntax and that enjoys the following property of correctness.

- 1. CHE.Setup( $1^{\lambda}$ ,  $1^{n}$ ) on input the security parameter  $\lambda$  and length parameter n, outputs public and master secret keys (Pk, Msk).
- 2. CHE.KeyGen(Msk, C) on input master secret key Msk for length parameter n and the description of an n-bit input and n-bit output circuit C, outputs token  $Tok_C$  for circuit C.
- 3. CHE.Enc(Pk, M) on input public key Pk with length parameter n and plaintext  $M \in \{0,1\}^n$ , outputs a ciphertext Ct.
- 4. CHE.HEval(Pk, Ct, Tok) on input public key Pk for length parameter n, a ciphertext Ct for plaintext  $M \in \{0,1\}^n$  and a token Tok for circuit C, outputs a string Ct' of size independent of C.
- 5. CHE.Dec(Msk, Ct") on input the master secret key Msk and a string Ct" outputs a string  $M \in \{0,1\}^n \cup \{\bot\}$ .

For the correctness we require that (CHE.Setup, CHE.Enc, CHE.Dec) be an encryption scheme, and that there exists a negligible function  $\mu$  such that for all  $n = \operatorname{poly}(\lambda)$ , for all n-bit input and n-bit output circuits C, and all plaintexts  $M \in \{0,1\}^n$  it holds that:  $\Pr[\mathsf{CHE.Dec}(\mathsf{Msk},\mathsf{HEval}(\mathsf{Pk},\mathsf{Ct},\mathsf{Tok}_C)) \neq C(M)] \leq \mu(\lambda)$ , where  $(\mathsf{Pk},\mathsf{Msk}) \leftarrow \mathsf{Setup}(1^\lambda,1^n)$ ,  $\mathsf{Tok}_C \leftarrow \mathsf{KeyGen}(\mathsf{Msk},C)$  and  $\mathsf{Ct} \leftarrow \mathsf{Enc}(\mathsf{Pk},M)$ .

Composing tokens In the definition of a CHES, the output of CHE.Heval is not required to be a valid ciphertext (that is, an output of CHE.Enc) and correctness only requires it to be a valid input for CHE.Dec. This means that the security definition does not necessarily need to tolerate an adversary that receives a token for a circuit C, an encryption of m and then computes an encryption of  $C^i(m)$ , for any i > 0. More in general, the security definition does not have to assume that an adversary is able to compose tokens.

We would like to point out that it is possible to formally define a CHES so that tokens could be composed. The requirement then for a successful adversary would be to output a ciphertext of  $C(m_b)$  for a circuit C that is not the composition of the ones for which she received tokens. However efficiently proving such a fact could be difficult as it is a co-NP statement.

Along these lines, we point out that the concept of targeted malleability as implemented in [6] allows composition of homomorphic transformations for a constant and fixed number of times (this allows to go-around CO-NP) using non-falsifiable knowledge extraction assumptions (these are needed to construct succinct extractable arguments that are needed for compactness). We finally point out that our construction can be modified to allow a constant and fixed number of compositions of homomorphic transformations, even though in the paper we do not elaborate further.

Given the above subtleties, from now on we consider a ciphertext as the output of the encryption function. While the output of the evaluation function is just a string.

#### 3.1 Security of a CHES

As usual in encryption schemes, there are two flavors to measure the security of a CHES. The most interesting flavor is the non-malleable one, since it captures the idea of controlling the capability of mauling a ciphertext. We will therefore continue with the definition of an NM-CPA CHES, and the interested reader can find in Appendix B the notion of IND-CPA CHES, along with some expected implications concerning this notion.

NM-CPA security of a CHES scheme We now consider a security definition for CHES that is the conceptually equivalent to the notion of NM-CPA security of plain encryption schemes. We formalize this notion of security for a CHES CHE = (CHE.Setup, CHE.KeyGen, CHE.Enc, CHE.HEval, CHE.Dec) by means of games CHES-NMCPA-GAME $_{b,\mathcal{A}}^{\mathsf{CHE}}$ , for b=0,1, between an adversary  $\mathcal{A}$  and a

challenger  $\mathcal{CHE.C.}^3$  The adversary  $\mathcal{A}$  receives a randomly generated public key of CHE and can issue two types of queries to  $\mathcal{CHE.C.}$  encryption queries and token queries. Below we formalize how queries are answered by  $\mathcal{CHE.C.}$  and the output of the games.

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CHES-NMCPA-GAME_{b,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)
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**Setup.**  $\mathcal{CHE}.\mathcal{C}$  computes  $(\mathsf{Pk}, \mathsf{Msk}) \leftarrow \mathsf{CHE}.\mathsf{Setup}(1^{\lambda}, 1^n)$  and runs  $\mathcal{A}$  on input  $\mathsf{Pk}$ .

**Token Query.**  $\mathcal{CHE}.\mathcal{C}$  replies to a token query for a circuit C by returning  $\mathsf{Tok}^C \leftarrow \mathsf{CHE}.\mathsf{KeyGen}(\mathsf{Msk},C)$ .

*i*-th Encryption Query.  $\mathcal{CHE}.\mathcal{C}$  replies to an encryption query  $(M_0^i, M_1^i)$  with  $M_0^i \neq M_1^i$  and  $|M_0^i| = |M_1^i|$ , by returning  $\mathsf{Ct} \leftarrow \mathsf{CHE}.\mathsf{Enc}(\mathsf{Pk}, M_b^i)$ .

Output of the Game. Let  $(j, Ct^*, C)$  be A's output.

If all the following conditions hold:

- 1.  $\mathcal{A}$  did not issue a token query for circuit C' that coincides with C on  $M_b^j$ .
- 2.  $C(M_0^j) \neq C(M_1^j)$ .
- 3. CHE.Dec(Msk,  $Ct^*$ ) =  $C(M_b^{\mathfrak{I}})$ .
- 4.  $\mathsf{Ct}^{\star}$  is not a ciphertext obtained as a reply to an encryption query. then the output is  $C(M_b^j)$ . Otherwise the output of the game is  $\bot$ .

The above definition captures the fact that the adversary manages to produce (see conditions 3 and 4) a new ciphertext of  $C(M_b^j)$  (for otherwise, the adversary could issue encryptions queries for  $(M_0, M_1)$  and for  $(C(M_0), C(M_1))$  and returns the ciphertext obtained as a reply to this second query; in case of a single ciphertext query, the adversary could set C equal to the identity function and return the ciphertext obtained as a reply to the encryption query  $(M_0, M_1)$ ). For this to be a meaningful achievement, it must be that the circuit C gives different output for the two challenge plaintexts (see condition 2) (for otherwise, the ciphertext could have been obtained by simply giving in output  $C(M_0^j) = C(M_1^j)$ ). Moreover, it is also required (see condition 1) that the adversary has not asked for a token for a function C' for which  $C(M_b^j) = C'(M_b^j)$  (for otherwise, the ciphertext could have been obtained by simply applying the token).

**Definition 2.** A CHES CHE is a NM-CPA secure CHES if for every PPT adversary  $\mathcal{A}$  for all polynomially bounded  $n = n(\lambda)$  we have that the following two ensembles are indistinguishable {CHES-NMCPA-GAME\_{0,\mathcal{A}}^{CHE}(\lambda,n)} and {CHES-NMCPA-GAME\_{1,\mathcal{A}}^{CHE}(\lambda,n)}. A CHES CHE is single-message NM-CPA secure if it is NM-CPA secure with respect to all PPT adversaries  $\mathcal{A}$  that ask exactly one encryption query.

In App. C we show that any single-message NM-CPA-secure CHES is also NM-CPA-secure.

<sup>&</sup>lt;sup>3</sup> Note that during the course of this work, we often use the term game when we actually mean a distribution.

# 4 CHES from Functional Encryption

In this section, we describe an NM-CPA CHES CHE = (CHE.Setup, CHE.KeyGen, CHE.Enc, CHE.HEval, CHE.Dec). We stress that our security proof works only for an adversary that is required to first ask for an encryption query and then can ask for tokens.

In the description of CHE, we let FE = (FE.Setup, FE.Enc, FE.KeyGen, FE.Eval) be an IND-CPA secure non-rerandomizable<sup>4</sup> tag-based FE4C. For a CHES with n-bit plaintexts and security parameter  $\lambda$ , we use an FE for plaintexts of length n, auxiliary message of length  $\lambda + 2$ . In addition we let  $\mathcal{F} = \{F(\cdot, \cdot)\}$  be a pseudorandom family of functions  $F(\cdot, \cdot)$  (the first argument is the seed), and PKE = (Setup, Enc, Dec) be a public-key encryption scheme.

For sake of simplicity, we assume that secret keys of PKE with security parameter  $\lambda$  are exactly  $\lambda$ -bit long and that ciphertexts of n-bit messages computed with respect to public key with security parameter  $\lambda$  have length  $\ell = \ell(\lambda, n)$ . Also, not to overburden notation, we assume that the tag space  $T_{\lambda}$  of FE coincides with the seed space of F and that they both coincide with  $\{0,1\}^{\lambda}$ . In addition, for an n-bit input and n-bit output circuit C,  $\ell$ -bit string s, and public key FE.Pk of FE, we denote by  $C_{s,\text{FE.Pk}}$  the  $(n+2\lambda+2)$ -bit input circuit defined as follows:

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\begin{split} &-\text{ if }t=0\text{ then }C_{s,\mathsf{FE.Pk}}(M,r,t,\mathsf{sk})=\mathsf{FE.Enc}(\mathsf{FE.Pk},(C(M),0^\lambda,\bot,0^\lambda);F(r,C)),\\ &-\text{ if }t=1\text{ then }C_{s,\mathsf{FE.Pk}}(M,r,t,\mathsf{sk})=\mathsf{Dec}(\mathsf{sk},s),\\ &-\text{ if }t=\bot\text{ then }C_{s,\mathsf{FE.Pk}}(M,r,t,\mathsf{sk})=\bot, \end{split}
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where  $M \in \{0,1\}^n$ ,  $r, \mathsf{sk} \in \{0,1\}^{\lambda}$  and  $t \in \{0,1,\bot\}$ .

In what follows, we will drop FE.Pk from  $C_{s,\mathsf{FE.Pk}}$  whenever it is clear from the context and simply write  $C_s$ .

Circuit  $C_s$  takes three types of plaintexts: regular plaintexts, corresponding to t=0, which are the outputs of the encryption algorithm; mauled plaintexts, corresponding to  $t=\bot$ , which are outputs of the application of a token; trapdoor plaintexts, corresponding to t=1, which are used only in the proof. For ciphertexts carrying regular plaintexts, the circuit  $C_s$  outputs a ciphertext for C(m) and this captures the correct application (through the CHE.HEval algorithm) of a token for circuit C to an encrypted message. Notice that in this case the resulting ciphertext carries a mauled plaintext. For ciphertexts carrying mauled plaintexts, the circuit  $C_s$  outputs  $\bot$  and this captures the (incorrect) application of a token to an already mauled message. For ciphertexts carrying a trapdoor plaintexts,  $C_s$  outputs a decryption of s with respect to the secret key that is part of the trapdoor plaintext. In the reduction of an adversary for CHE to an adversary for FE, trapdoor plaintexts are very useful because they force  $C_s$  to return a value that is independent of the actual input M, and thus can be used to contradict the security of FE (cfr., discussion in the Introduction). To do so

 $<sup>^4</sup>$  In the proof, we give details of the impact of the transformation of Section 2 in case FE is re-randomizable.

the value s used in the generation of the tokens must be carefully chosen so to be indistinguishable from the ones output by algorithm CHE.KeyGen.

Algorithm CHE.Setup $(1^{\lambda}, 1^n)$ .

- 1. Run algorithm FE.Setup on input  $(1^{\lambda}, 1^{n+2\lambda+2})$  and obtain (FE.Pk, FE.Msk);
- 2. run algorithm Setup on input  $1^{\lambda}$  and obtain (pk', sk');
- 3. set Pk = FE.Pk and Msk = (FE.Pk, FE.Msk, pk');
- 4. return (Pk, Msk).

Algorithm CHE.KeyGen(Msk, C).

- 1. set  $s = \mathsf{Enc}(\mathsf{pk}', \mathsf{FE.Enc}(\mathsf{FE.Pk}, (0^n, 0^\lambda, \bot, 0^\lambda)));$  notice that  $0^n$  is the plaintext,  $(0^\lambda, \bot)$  constitute the auxiliary message and  $0^\lambda$  the tag.
- 2. set Tok = FE.KeyGen(FE.Msk,  $C_s$ );
- 3. return Tok.

Algorithm CHE.Enc(Pk, M).

- 1. Randomly select tag  $r \in \{0,1\}^{\lambda}$ ;
- 2. run algorithm Setup on input  $1^{\lambda}$  and obtain (pk, sk);
- 3. set Ct = FE.Enc(FE.Pk, (M, r, 0, sk)); notice that M is the plaintext, (0, sk) is the auxiliary message and r is the tag.
- 4. return Ct.

Algorithm CHE.HEval(Pk, Ct, Tok) outputs FE.Eval(FE.Pk, Ct, Tok);

Algorithm CHE.Dec(Msk, Ct)

- 1. Set Tok = FE.KeyGen(FE.Msk, ID) where the circuit ID is defined in the following way:  $ID(x_1, x_2, x_3, x_4) = x_1$ .
- 2. return FE.Eval(FE.Pk, Ct, Tok).

In our construction we are using the r component of a plaintext both as a tag and as the seed of the PRF that gives the randomness of the ciphertext resulting from the application of a token (see the definition of  $C_s$ ). In case  $T_{\lambda}$  does not coincide with the seed space of the PRF then we add another value to be used as a seed of a PRF.

The correctness of the scheme follows by the correctness of FE and by the definition of  $C_s$ . In the next section we prove the following theorem.

**Theorem 1.** Under the assumption of the existence of an IND-CPA secure functional encryption scheme for all circuits (FE4C), there exists a NM-CPA secure CHES secure against adversaries that ask all encryption queries before the token queries.

Constructions of FE4C that are secure (according to Definition 4) when the adversary sees any polynomial number of tokens have recently been given in [13,7,23,14].

## 4.1 Proof on NM-CPA Security

Here we prove the security of our scheme for adversaries that issues all token queries after the encryption query and issues exactly one encryption query (by Theorem 5 we get security against multi-message adversaries).

Assume that there exists such an adversary  $\mathcal{A}$  that breaks the security of CHE for parameters  $\lambda$  and n; that is, there exists a distinguisher  $\mathcal{D}$  such that, denoted by  $p_b(\lambda, n)$  the probability that  $\mathcal{D}$  outputs 1 when its input is sampled according to CHES-NMCPA-GAME $_{b,\mathcal{A}}^{\mathsf{CHE}}(\lambda, n)$ ,  $p_0(\lambda, n) \geq p_1(\lambda, n) + \mu(\lambda)$  for some non-negligible function  $\mu(\cdot)$ .

Based on  $\mathcal{A}$ , we build adversary  $\mathcal{B}$  for FE for security parameter  $\lambda$  and length parameter  $n+2\lambda+2$ .  $\mathcal{B}$  interacts with challenger  $\mathcal{FE.C}$  for FE to which  $\mathcal{B}$  can issue encryption and token queries and runs internal copies of  $\mathcal{A}$  and  $\mathcal{D}$ .

Adversary  $\mathcal{B}$  tricks adversary  $\mathcal{A}$  into believing it is interacting with  $\mathcal{CHE}.\mathcal{C}$  by presenting a view that differs indistinguishably by the one offered by  $\mathcal{CHE}.\mathcal{C}$  since the challenge ciphertext is trapdoor. Then, from  $\mathcal{A}$ 's output  $\mathcal{B}$  manages to decrypt by obtaining from  $\mathcal{FE}.\mathcal{C}$  of a token for a function that is equivalent to decryption when applied to  $\mathcal{A}$ 's output but, nonetheless, gives the same value when applied to a ciphertext for one of the two challenge plaintexts output by  $\mathcal{B}$ . This therefore allows  $\mathcal{B}$  to win in the security game of functional encryption.

Next we formally describe how  $\mathcal{B}$ ,  $\mathcal{FE.C}$ ,  $\mathcal{D}$ , and  $\mathcal{A}$  interact.

**Setup.**  $\mathcal{FE}.\mathcal{C}$  randomly selects  $b \leftarrow \{0,1\}$ , computes (FE.Pk, FE.Sk)  $\leftarrow$  FE.Setup $(1^{\lambda}, 1^{n+2\lambda+2})$  and runs  $\mathcal{B}$  on input FE.Pk.

 $\mathcal{B}$  computes  $(\mathsf{pk}',\mathsf{sk}') \leftarrow \mathsf{Setup}(1^{\lambda})$ , sets  $\mathsf{CHE.Pk} = \mathsf{FE.Pk}$  and runs  $\mathcal{A}$  on input  $\mathsf{CHE.Pk}$ .

**Encryption query.** When  $\mathcal{A}$  issues an encryption query for the pair of messages  $(M_0, M_1)$ ,  $\mathcal{B}$  proceeds as follows.  $\mathcal{B}$  selects random  $r_0, r_1 \leftarrow \{0, 1\}^{\lambda}$ , sets  $m_0 = (M_0, r_0, 1, \mathsf{sk}')$  and  $m_1 = (M_1, r_1, 1, \mathsf{sk}')$ , and issues encryption query  $(m_0, m_1)$  to challenger  $\mathcal{FE.C.}$ 

We remind the reader that, for b = 0, 1,  $M_b$  is the plaintext,  $r_b$  is the tag and (1, sk') is the auxiliary message.

Challenger  $\mathcal{FE.C}$  returns  $\mathsf{Ct} = \mathsf{FE.Enc}(\mathsf{FE.Pk}, m_b)$  and  $\mathcal{B}$  returns ciphertext  $\mathsf{Ct}$  to  $\mathcal{A}$ .

**Token query.** When  $\mathcal{A}$  issues a token query for circuit C,  $\mathcal{B}$  proceeds as follows.  $\mathcal{B}$  sets  $m_0^C = (C(M_0), 0^{\lambda}, \perp, 0^{\lambda})$  and  $m_1^C = (C(M_1), 0^{\lambda}, \perp, 0^{\lambda})$ , issues encryption query  $(m_0^C, m_1^C)$  to challenger  $\mathcal{FE}.\mathcal{C}$  and receives  $\mathsf{Ct}^C$  as a reply. We remind the reader that, for  $b = 0, 1, C(M_b)$  is the plaintext,  $0^{\lambda}$  is the tag and  $(\perp, 0^{\lambda})$  is the auxiliary message.

 $\mathcal{B}$  then sets  $s = \mathsf{Enc}(\mathsf{pk}', \mathsf{Ct}^C)$ , issues a token query for  $C_s$  and receives token  $\mathsf{Tok}^C$  as a reply.  $\mathcal{B}$  returns  $\mathsf{Tok}^C$  to  $\mathcal{A}$ .

**Output.** A outputs circuit G and  $\mathsf{Ct}^{\star}$  (claimed to be an encryption of  $G(M_b)$ ). Define circuit  $\mathsf{ID}(\cdot,\cdot,\cdot,\cdot)$  as follows:

- if  $(M,r) = (M_0, r_0)$  or  $(M,r) = (M_1, r_1)$  then  $\mathsf{ID}(M, r, t, \mathsf{sk}) = \bot$ ,
- if  $t = \bot$  then  $ID(M, r, t, sk) = \bot$ ,
- ID(M, r, t, sk) = M otherwise.

 $\mathcal{B}$  issues token query for  $\tilde{\mathsf{ID}}$  and obtains token  $\mathsf{Tok}^{\tilde{\mathsf{ID}}}$  as a reply from  $\mathcal{FE}.\mathcal{C}$ .  $\mathcal{B}$  then computes  $\mathsf{Out} = \mathsf{FE}.\mathsf{Eval}(\mathsf{FE}.\mathsf{Pk},\mathsf{Ct}^\star,\mathsf{Tok}^{\tilde{\mathsf{ID}}})$ . If  $\mathcal{D}(\mathsf{Out}) = 1$  then  $\mathcal{B}$  outputs 0 as its guess for b;  $\mathcal{B}$  outputs 1 otherwise.

This ends the description of  $\mathcal{B}$ .

Handling re-randomizable FE4C Before proceeding further, we briefly discuss the impact on algorithm  $\mathcal{B}$  of the transformation outlined in Section 2 in case the underlying FE4C FE is re-randomizable. We remind the reader that, in order to enforce non-rerandomizability, the encryption algorithm is modified by using a signature verification key as tag and then adding a signature to the ciphertext. Tokens check that the signature that is part of the ciphertext is correct according to the verification key in the plaintext. Thus we modify the encryption algorithm so that one extra slot is used in the plaintext: (M, r, t, sk, vk) where now (M, r) constitute the plaintext, (t, sk) the auxiliary message, and vk the tag. During the setup, algorithm  $\mathcal{B}$  picks a pair (vk', sgk') and the verification key vk' is used as tag in the ciphertexts returned as replies to encryption queries and the associated signing key sgk' is used to sign the ciphertexts. The function  $I\tilde{D}$  is then modified to return  $\bot$  if  $(M, r, vk) = (M_0, r_0, vk')$  or if  $(M, r, vk) = (M_1, r_1, vk')$ . We omit further details.

We continue the proof by showing that  $\mathcal{B}$  is a legitimate adversary for FE. That is, we need to prove that for all the encryption queries  $(m_0, m_1)$  issued by  $\mathcal{B}$  we have that  $m_0$  and  $m_1$  have the same length; and that, if  $\mathcal{B}$  has issued token query for circuit C then for all encryption queries  $(m_0, m_1)$  we have that  $C(m_0) = C(m_1)$ . The first condition is easily seen to be satisfied. Let us verify that the second condition holds. For each token query for circuit C issued by  $\mathcal{A}$ ,  $\mathcal{B}$  issues token query for circuit  $C_s$ .  $\mathcal{B}$ , on the other hand, issues encryption queries for  $(M_0, r_0, 1, \mathsf{sk}')$  and  $(M_1, r_1, 1, \mathsf{sk}')$  while answering  $\mathcal{A}$ 's challenge query. In this case we have  $C_s(M_0, r_0, 1, \mathsf{sk}') = C_s(M_1, r_1, 1, \mathsf{sk}') = \mathsf{Decrypt}(s, \mathsf{sk}')$ .  $\mathcal{B}$  also issues encryption queries while preparing the answer to  $\mathcal{A}$ 's token queries. In this case we have that  $C_s(C(M_0), 0^\lambda, \bot, 0^\lambda) = C_s(C(M_1), 0^\lambda, \bot, 0^\lambda) = \bot$ . A similar reasoning holds for the token query for  $|\tilde{\mathsf{D}}|$  issued by  $\mathcal{B}$  in the output phase.

In the rest of the proof we will show that  $\mathcal{A}$ 's view in the interaction with  $\mathcal{B}$  is indistinguishable from the view of  $\mathcal{A}$  in CHES-NMCPA-GAME $_{b,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  (b is the random bit selected by  $\mathcal{CHE}.\mathcal{C}$  in the Setup phase). We will then prove that, except with negligible probability, the value x computed by  $\mathcal{B}$  is the same as the output of CHES-NMCPA-GAME $_{b,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  and thus the output of the distinguisher  $\mathcal{D}$  can be used to correctly guess b. Specifically, we show that, for  $\beta=0,1$ , the view of  $\mathcal{A}$  in CHES-NMCPA-GAME $_{\beta,\mathcal{A}}^{\mathsf{CHE}}$  is indistinguishable from the view of  $\mathcal{A}$  in the interaction with  $\mathcal{B}$  that is in turn interacting with  $\mathcal{FE}.\mathcal{C}$  that sets  $b=\beta$ . We do so by considering a sequence of hybrid experiments and then showing that adjacent hybrid experiments are indistinguishable.

# Hybrid $H_0^{\beta}$

- 1. Setup. Set  $(pk, sk) \leftarrow Setup(1^{\lambda}), (pk', sk') \leftarrow Setup(1^{\lambda})$  and  $(FE.Pk, FE.Sk) \leftarrow FE.Setup(1^{\lambda}, 1^{n+2\lambda+2})$ . Randomly pick  $r, r' \leftarrow \{0, 1\}^{\lambda}$ . Run  $\mathcal{A}$  on input CHE.Pk = FE.Pk.
- 2. **Encryption query.** When A issues an encryption query for messages  $(M_0, M_1)$ , return  $Ct = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r, 0, \mathsf{sk}))$ .
- 3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, proceed as follows.

Pick random  $z \in \{0,1\}^{\lambda}$  and set  $s' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (0^n, 0^{\lambda}, \bot, 0^{\lambda}); z)$ . Set  $s = \mathsf{Enc}(\mathsf{pk'}, s')$ . Set  $\mathsf{Tok} = \mathsf{FE.KeyGen}(\mathsf{FE.Sk}, C_s)$  and return  $\mathsf{Tok}$ .

For  $\beta = 0, 1$ , the view of  $\mathcal{A}$  in  $H_0^{\beta}$  is the same as the view of  $\mathcal{A}$  is CHES-NMCPA-GAME $_{\beta,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ .

 $Hybrid\ H_1^{\beta}$  Hybrid  $H_1^{\beta}$  differs from  $H_0^{\beta}$  only in the way value s' is computed in the reply to token query for circuit C. Specifically, s' is computed by using pseudorandom value F(r',C) instead of truly random value z. The formal description of  $H_1^{\beta}$  follows.

- 1. **Setup.** Set  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^{\lambda}), (\mathsf{pk'}, \mathsf{sk'}) \leftarrow \mathsf{Setup}(1^{\lambda})$  and  $(\mathsf{FE.Pk}, \mathsf{FE.Sk}) \leftarrow \mathsf{FE.Setup}(1^{\lambda}, 1^{n+2\lambda+2})$ . Randomly pick  $r, r' \leftarrow \{0, 1\}^{\lambda}$ . Run  $\mathcal{A}$  on input CHE.Pk = FE.Pk.
- 2. **Encryption query.** When  $\mathcal{A}$  issues an encryption query for messages  $(M_0, M_1)$ , return  $\mathsf{Ct} = \mathsf{FE}.\mathsf{Enc}(\mathsf{FE}.\mathsf{Pk}, (M_\beta, r, 0, \mathsf{sk}))$ .
- 3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, proceed as follows. Set  $s' = \mathsf{FE}.\mathsf{Enc}(\mathsf{FE}.\mathsf{Pk}, (0^n, 0^\lambda, \bot, 0^\lambda); F(r', C))$ . Set  $s = \mathsf{Enc}(\mathsf{pk'}, s')$  and return  $\mathsf{Tok} = \mathsf{FE}.\mathsf{KeyGen}(\mathsf{FE}.\mathsf{Sk}, C_s)$ .

Next we show that, by the pseudorandomness of F, the views of  $\mathcal{A}$  in  $H_0^\beta$  and  $H_1^\beta$  are indistinguishable, for  $\beta=0,1$ . We do so by constructing an efficient simulator algorithm S that interacts with adversary  $\mathcal{A}$  and has access to an oracle  $\mathcal{O}$  that can be either random or pseudorandom. Depending on the nature of  $\mathcal{O}$ , S produces  $\mathcal{A}$ 's view in  $H_0^\beta$  or in  $H_1^\beta$ . This suffices for proving that  $H_0^\beta\approx_c H_1^\beta$ . Next we describe S.

- 1. **Setup.** S sets  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^{\lambda}), (\mathsf{pk'}, \mathsf{sk'}) \leftarrow \mathsf{Setup}(1^{\lambda})$  and  $(\mathsf{FE.Pk}, \mathsf{FE.Sk}) \leftarrow \mathsf{FE.Setup}(1^{\lambda}, 1^{n+2\lambda+2})$ . Then S randomly picks  $r, r' \leftarrow \{0, 1\}^{\lambda}$ . Finally, S runs  $\mathcal{A}$  on input CHE.Pk = FE.Pk.
- 2. **Encryption query.** When  $\mathcal{A}$  issues an encryption query for messages  $(M_0, M_1)$ , S returns  $\mathsf{Ct} = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r, 0, \mathsf{sk}))$ .
- 3. **Token query.** When  $\mathcal{A}$  issues a token query for circuit C, S proceeds as follows. S queries  $\mathcal{O}$  on C, obtains z and sets  $s' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (0^n, 0^\lambda, \bot, 0^\lambda); z)$ . Finally, S sets  $s = \mathsf{Enc}(\mathsf{pk'}, s')$  and returns  $\mathsf{Tok} = \mathsf{FE.KeyGen}(\mathsf{FE.Sk}, C_s)$ .

Suppose the oracle  $\mathcal{O}$  is in random mode; that is, all queries are answered with random values. Then it is easy to see that  $\mathcal{A}$ 's view is exactly the same as in  $H_0^{\beta}$ . On the other hand, suppose the oracle  $\mathcal{O}$  is in pseudorandom mode; that is,  $\mathcal{O}$  picks a random r' and, upon receiving C, it replies with F(r', C). Then it is easy to see that  $\mathcal{A}$ 's view is exactly the same as in  $H_1^{\beta}$ .

 $Hybrid\ H_2^{\beta}$  Hybrid  $H_2^{\beta}$  differs from  $H_1^{\beta}$  again in the randomness used to compute the value s'. Specifically, s' is computed by using as randomness the pseudorandom value F(r,C) instead of F(r',C). The formal description of  $H_2^{\beta}$  follows.

- 1. **Setup.** Set  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^{\lambda}), (\mathsf{pk'}, \mathsf{sk'}) \leftarrow \mathsf{Setup}(1^{\lambda}) \text{ and } (\mathsf{FE.Pk}, \mathsf{FE.Sk}) \leftarrow \mathsf{FE.Setup}(1^{\lambda}, 1^{n+2\lambda+2})$ . Randomly pick  $r, r' \leftarrow \{0, 1\}^{\lambda}$ . Run  $\mathcal{A}$  on input  $\mathsf{CHE.Pk} = \mathsf{FE.Pk}$ .
- 2. **Encryption query.** When A issues an encryption query for messages  $(M_0, M_1)$ , return  $Ct = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r, 0, \mathsf{sk}))$ .

3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, proceed as follows. Set  $s' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (C(M_\beta), 0^\lambda, \bot, 0^\lambda); F(r, C))$ . Set  $s = \mathsf{Enc}(\mathsf{pk}', s')$  and return  $\mathsf{Tok} = \mathsf{FE.KeyGen}(\mathsf{FE.Sk}, C_s)$ .

Next we show that, by the IND-CPA security of encryption scheme Enc, the views of  $\mathcal{A}$  in  $H_1^{\beta}$  and  $H_2^{\beta}$  are indistinguishable, for  $\beta=0,1$ . We do so by constructing an IND-CPA adversary S for Enc that uses  $\mathcal{A}$  as a subroutine and interacts with a challenger  $\mathcal{C}$  for Enc. S has the property that if  $\mathcal{C}$  answers S's encryption queries for  $(s'_0,s'_1)$  by encrypting  $s'_0$  then  $\mathcal{A}$ 's view is exactly the same as in  $H_1^{\beta}$ ; on the other hand, if  $\mathcal{C}$  answers encryption queries by encrypting  $s'_1$  then  $\mathcal{A}$ 's view is exactly the same as in  $H_2^{\beta}$ . Thus, if the two views can be distinguished, S can break the IND-CPA security of Enc. Next we describe S.

- 1. **Setup.** S receives  $\mathsf{pk}'$  from  $\mathcal{C}$  and sets  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^{\lambda})$ . Moreover, S sets  $(\mathsf{FE}.\mathsf{Pk}, \mathsf{FE}.\mathsf{Sk}) \leftarrow \mathsf{FE}.\mathsf{Setup}(1^{\lambda}, 1^{n+2\lambda+2})$  and randomly picks  $r, r' \leftarrow \{0, 1\}^{\lambda}$ . Finally, S runs  $\mathcal{A}$  on input  $\mathsf{CHE}.\mathsf{Pk} = \mathsf{FE}.\mathsf{Pk}$ .
- 2. Encryption query. When  $\mathcal{A}$  issues an encryption query for messages  $(M_0, M_1)$ , S returns  $\mathsf{Ct} = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r, 0, \mathsf{sk}))$ .
- 3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, S proceeds as follows. S sets  $s_0' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (0^n, 0^\lambda, \bot, 0^\lambda); F(r', C)), s_1' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (C(M_\beta), 0^\lambda, \bot, 0^\lambda); F(r, C))$  and issues an encryption query to  $\mathcal{C}$  obtaining s. Finally, S returns  $\mathsf{Tok} = \mathsf{FE.KeyGen}(\mathsf{FE.Sk}, C_s)$ .

 $Hybrid\ H_3^{\beta}$  Hybrid  $H_3^{\beta}$  differs from  $H_2^{\beta}$  in the way  $\mathcal{A}$ 's encryption queries are answered. Specifically, Ct is a ciphertext of  $(M_{\beta}, r', 1, \mathsf{sk'})$  instead of  $(M_{\beta}, r, 0, \mathsf{sk})$ . The formal description of  $H_3^{\beta}$  follows.

- 1. **Setup.** Set  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^\lambda), (\mathsf{pk'}, \mathsf{sk'}) \leftarrow \mathsf{Setup}(1^\lambda)$  and  $(\mathsf{FE.Pk}, \mathsf{FE.Sk}) \leftarrow \mathsf{FE.Setup}(1^\lambda, 1^{n+2\lambda+2})$ . Randomly pick  $r, r' \leftarrow \{0, 1\}^\lambda$ . Run  $\mathcal A$  on input CHE.Pk = FE.Pk.
- 2. **Encryption query.** When A issues an encryption query for messages  $(M_0, M_1)$ , return  $Ct = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r', 1, \mathsf{sk'}))$ .
- 3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, proceed as follows. Set  $s' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (C(M_\beta), 0^\lambda, \bot, 0^\lambda); F(r, C))$ . Set  $s = \mathsf{Enc}(\mathsf{pk}', s')$  and return  $\mathsf{Tok} = \mathsf{FE.KeyGen}(\mathsf{FE.Sk}, C_s)$ .

Next we show that, by the IND-CPA security of functional encryption scheme FE, the views of  $\mathcal{A}$  in  $H_2^{\beta}$  and  $H_3^{\beta}$  are indistinguishable, for  $\beta=0,1$ . We do so by constructing an IND-CPA adversary S for FE that uses  $\mathcal{A}$  as a subroutine and interacts with a challenger  $\mathcal{FE.C}$  for FE. S has the property that if  $\mathcal{FE.C}$  answers S's encryption query for  $(m'_0,m'_1)$  by encrypting  $m'_0$  then  $\mathcal{A}$ 's view is exactly the same as in  $H_2^{\beta}$ ; on the other hand, if  $\mathcal{FE.C}$  answers encryption queries by encrypting  $m'_1$  then  $\mathcal{A}$ 's view is exactly the same as in  $H_3^{\beta}$ . Thus, if the two views can be distinguished, S can break the IND-CPA security of FE. Next we describe S.

1. **Setup.** S receives FE.Pk from  $\mathcal{FE}.\mathcal{C}$  and sets  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{Setup}(1^{\lambda}), (\mathsf{pk'}, \mathsf{sk'}) \leftarrow \mathsf{Setup}(1^{\lambda})$ . Moreover, S randomly picks  $r, r' \leftarrow \{0, 1\}^{\lambda}$  and runs  $\mathcal{A}$  on input CHE.Pk = FE.Pk.

2. Encryption query. When A issues an encryption query for messages  $(M_0, M_1)$ , S sets

$$m_0 = (M_\beta, r, 0, \mathsf{sk}) \text{ and } m_1 = (M_\beta, r', 1, \mathsf{sk}')$$

issues encryption query  $(m_0, m_1)$  to  $\mathcal{FE}.\mathcal{C}$ , obtains Ct and returns it to  $\mathcal{A}$ .

3. Token query. When  $\mathcal{A}$  issues a token query for circuit C, S proceeds as follows. S sets  $s' = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (C(M_\beta), 0^\lambda, \bot, 0^\lambda); F(r, C)), s = \mathsf{Enc}(\mathsf{pk'}, s')$  and issues an encryption query to  $\mathcal{FE.C}$  for a token for circuit  $C_s$ . Finally, S returns the token Tok received from  $\mathcal{FE.C}$  to  $\mathcal{A}$ .

Firstly, we verify that S is a legal adversary for IND-CPA of FE. Indeed, S issues one encryption query for  $m_0$  and  $m_1$  and for all circuits  $C_s$  for which S asks for a token it holds that

$$C_s(m_0) = C_s(m_1) = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (C(M_\beta), 0^n, \bot, 0^n); F(r, c)).$$

Clearly, if  $\mathcal{FE}.\mathcal{C}$  returns an encryption of  $m_0$  then  $\mathcal{A}$ 's view is exactly as in  $H_2^{\beta}$ ; if  $\mathcal{FE}.\mathcal{C}$  returns an encryption of  $m_1$  then  $\mathcal{A}$ 's view is exactly as in  $H_3^{\beta}$ .

 $Hybrid\ H_4^{\beta}$  Hybrid  $H_4^{\beta}$  differs from  $H_3^{\beta}$  for the randomness used to compute s'. Specifically, in  $H_3^{\beta}$ , F(r,C) is used as randomness for computing s' whereas in  $H_4^{\beta}$  true randomness is used. The formal description of  $H_4^{\beta}$  follows.

- $H_4^\beta$  true randomness is used. The formal description of  $H_4^\beta$  follows. 1. **Setup.** Set (pk, sk)  $\leftarrow$  Setup(1 $^\lambda$ ), (pk', sk')  $\leftarrow$  Setup(1 $^\lambda$ ) and (FE.Pk, FE.Sk)  $\leftarrow$  FE.Setup(1 $^\lambda$ , 1 $^{n+2\lambda+2}$ ). Randomly pick  $r, r' \leftarrow \{0,1\}^\lambda$ . Run  $\mathcal A$  on input CHE.Pk = FE.Pk.
- 2. Encryption query. When A issues an encryption query for messages  $(M_0, M_1)$ , return  $Ct = \mathsf{FE.Enc}(\mathsf{FE.Pk}, (M_\beta, r', 1, \mathsf{sk'}))$ .
- 3. **Token query.** When  $\mathcal{A}$  issues a token query for circuit C, proceed as follows. Randomly pick  $z \leftarrow \{0,1\}^{\lambda}$  and set  $s' = \mathsf{FE}.\mathsf{Enc}(\mathsf{FE}.\mathsf{Pk}, (C(M_\beta), 0^{\lambda}, \bot, 0^{\lambda}); z)$ . Set  $s = \mathsf{Enc}(\mathsf{pk'}, s')$  and return  $\mathsf{Tok} = \mathsf{FE}.\mathsf{KeyGen}(\mathsf{FE}.\mathsf{Sk}, C_s)$ .

Notice that, for  $\beta=0,1$ , the view of  $\mathcal{A}$  in  $H_4^\beta$  coincides with the view of  $\mathcal{A}$  while interacting with  $\mathcal{B}$ . Moreover, by the pseudorandomness of F,  $H_3^\beta$  and  $H_4^\beta$  are indistinguishable.

 $\mathcal{B}$ 's success probability Finally, we show that the probability that  $\mathcal{B}$  correctly guesses b is at least  $1/2 + \mu(\lambda)$  for a non-negligible function  $\mu$ .

All it is left to show is that the string Out computed by  $\mathcal{B}$  and then fed as input to  $\mathcal{D}$  is indistinguishable from the output of CHES-NMCPA-GAME $_{b,\mathcal{A}}^{\mathsf{CHE}}$ . This is necessary since  $\mathcal{B}$  does not have access to Msk and uses a token for function  $|\tilde{\mathsf{D}}|$  instead. However, observe that  $|\tilde{\mathsf{D}}|$  differs from the decryption function when the plaintext associated with  $\mathsf{Ct}^*$  is of the form  $(M_b, r_b, t, \mathsf{sk})$  or  $(M_{1-b}, r_{1-b}, t, \mathsf{sk})$  for some t and  $\mathsf{sk}$ . In the first case, this means that  $\mathcal{A}$  has managed to re-randomize a ciphertext for FE since it has produced a different ciphertext with the same plaintext  $M_b$  and the same tag  $r_b$  as the plaintext received from the encryption query. This, by hypothesis, occurs only with negligible probability. For the second case, observe that  $r_{1-b}$  is a random  $\lambda$ -bit string that is independent from  $\mathcal{A}$ 's view and thus the probability that  $\mathcal{A}$  produces a ciphertext carrying  $r_{1-b}$  is negligible.

We can thus conclude that the input provided by  $\mathcal{A}$  to  $\mathcal{D}$  is indistinguishable from its input in CHES-NMCPA-GAME. Therefore, by the hypothesis on  $\mathcal{D}$  we can conclude that  $\mathcal{B}$  breaks the IND-CPA security of FE.

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# A Syntax and Security of Functional Encryption for Circuits

**Definition 3** (FE4C: Functional Encryption Scheme for Circuits). A Functional Encryption scheme for Circuits is a tuple FE = (FE.Setup, FE.KeyGen, FE.Enc, FE.Eval) of 4 efficient algorithms with the following syntax:

- 1. FE.Setup( $1^{\lambda}$ ,  $1^{n}$ ) outputs public and master secret keys (Pk, Msk) for security parameter  $\lambda$  and length parameter n.
- 2. FE.KeyGen(Msk, C), on input a master secret key Msk for length parameter n and an n-bit input and n-bit output circuit C, outputs token  $Tok_C$ .
- 3. FE.Enc(Pk, M), on input public key Pk for length parameter n and plaintext  $M \in \{0,1\}^n$ , outputs ciphertext Ct.
- 4. FE.Eval(Pk, Ct, Tok) outputs  $B \in \{0,1\}^n \cup \{\bot\}$ .

For the correctness condition we require that for all n-bit input and n-bit output circuits C, all  $M \in \{0,1\}^n$ , and for  $(Pk, Msk) \leftarrow Setup(1^{\lambda}, 1^n)$ ,  $Tok \leftarrow KeyGen(Msk, C)$  and  $Ct \leftarrow Enc(Pk, M)$ , the probability that  $Eval(Pk, Ct, Tok) \neq C(M)$  is negligible in  $\lambda$ .

We formalize security for a FE4C FE by means of the following game FE-INDCPA-GAME between a challenger  $\mathcal{FE.C}$  and an adversary  $\mathcal{A}$  that can issue two types of queries to  $\mathcal{FE.C}$ , encryption queries and token queries. The definition is essentially the one in [5].

# FE-INDCPA-GAME $_A^{\mathsf{FE}}(\lambda, n)$

**Setup.**  $\mathcal{FE}.\mathcal{C}$  generates (Pk, Msk)  $\leftarrow$  FE.Setup( $1^{\lambda}, 1^{n}$ ), selects random  $b \in \{0, 1\}$  and runs  $\mathcal{A}$  on input Pk.

**Token Query.**  $\mathcal{FE}.\mathcal{C}$  on input an n-bit input n-bit output circuit C, computes and returns  $\mathsf{Tok} \leftarrow \mathsf{FE}.\mathsf{KeyGen}(\mathsf{Msk},C)$ .

**Encryption Query.**  $\mathcal{FE}.\mathcal{C}$ , on input a pair  $(m_0, m_1)$  of plaintexts, answers by computing and returning  $\mathsf{FE}.\mathsf{Enc}(\mathsf{Pk}, m_b)$ .

Output of the Game. Let b' the output of A. Then the game outputs 1 if and only if

- 1. b = b':
- 2. for all encryption queries  $(m_0, m_1)$ , it holds that  $m_0$  and  $m_1$  are of the same length;
- 3. for all token queries C and for all encryption queries  $(m_0, m_1)$ , it holds that  $C(m_0) = C(m_1)$ .

**Definition 4.** We say that a FE4C FE is IND-CPA secure if for all PPT adversaries A there exists a negligible function  $\mu$  such that

 $\operatorname{Prob}[\operatorname{FE-Ind} \operatorname{CPA-Game}_{\mathcal{A}}^{\mathsf{FE}}(\lambda) = 1] \leq 1/2 + \mu(\lambda).$ 

Tag-Based Non-Rerandomizable Functional Encryption In our main construction we use a special type of FE4C in which ciphertexts cannot be re-randomized. More precisely, we consider tag-based FE4C in which the encryption algorithm for n-bit plaintext m and security parameter  $\lambda$  takes two extra arguments: a tag  $\tau$  from the set  $T_{\lambda}$  of  $\lambda$ -bit tags and an auxiliary message of length  $n_1$ . It is easy to see how any FE4C can be modified to accommodate tags and auxiliary messages at the expenses of increasing the length parameter n. In a non-rerandomizable tag-based FE4C, given a ciphertext for an adversarially chosen plaintext m and auxiliary message aux and a random tag  $\tau$ , no adversary can produce another ciphertext for the same plaintext m and the same tag. Specifically, we consider the following security game between a challenger  $\mathcal{FE.C}$  and and adversary  $\mathcal{A}$  that can issue one single encryption query.

```
RERANDOM _{A}^{\mathsf{TFE}}(\lambda, n, n_1)
               \mathcal{FE}.\mathcal{C} generates
                                          (Pk, Msk)
     TFE.Setup(1^{\lambda}, 1^{n}, 1^{n_1}) and runs \mathcal{A} on in-
     put Pk.
 Token Query. \mathcal{FE}.\mathcal{C} on input an (n+n_1+\lambda)-bit
     input (n + n_1 + \lambda)-bit output circuit C, com-
     putes and returns Tok \leftarrow TFE.KeyGen(Msk, C).
 Encryption Query. \mathcal{FE}.\mathcal{C}, on input plaintext m
     and auxiliary message aux, picks a random tag
     \tau from the set T_{\lambda} of tags of length \lambda and returns
     a ciphertext Ct = TFE.Enc(Pk, m, aux, \tau) with
 Output of the Game. Let Ct^* the output of A.
     Then the game outputs 1 if and only if
      1. \mathsf{Ct}^* \neq \mathsf{Ct};
      2. TFE.Dec(Msk, Ct^*) = (m', aux', \tau') with
```

We say that a tag-based FE4C TFE is non-rerandomizable if for all PPT adversaries  $\mathcal{A}$  and for n and  $n_1$  polynomially bounded in  $\lambda$  there exists a negligible function  $\mu$  such that

m' = m and  $\tau = \tau'$ ;

$$\operatorname{Prob}[\operatorname{Rerandom}_{\mathcal{A}}^{\mathsf{TFE}}(\lambda, n, n_1) = 1] \leq \mu(\lambda).$$

It is easy to see that any tag-based FE4C can be transformed into a non-rerandomizable one by using a secure signature scheme. More precisely, we define the encryption algorithm TFE.Enc that encrypts plaintext m and aux, to pick a random pair of (vk, sgk) of verification and signing key for a secure signature scheme (SigKeyGen, Sign, Verify) and m, aux are encrypted using vk as tag obtaining Ct. Finally, a signature  $\sigma_{\text{Ct}}$  of Ct is computed using the signing key sgk and the resulting ciphertext consists of the pair (Ct,  $\sigma_{\text{Ct}}$ ). Tokens for function C on ciphertext (Ct,  $\sigma_{\text{Ct}}$ ) first verify  $\sigma_{\text{Ct}}$  and, if successful, proceed to compute C(m, aux). We observe that A either changes the verification key (and thus

changes the tag) or keeps the same verification key but then it has to sign a new ciphertext or compute a new signature (which would violate the security of the signature scheme).

#### B IND-CPA CHES

IND-CPA security of a CHES We formalize the notion of security equivalent to IND-CPA for a CHES CHE = (CHE.Setup, CHE.KeyGen, CHE.Enc, CHE.HEval, CHE.Dec) by means of game CHES-INDCPA-GAME between an adversary  $\mathcal A$  and a challenger  $\mathcal{CHE}.\mathcal C$ . The adversary  $\mathcal A$  receives a randomly generated public key of CHE and can issue two types of queries to  $\mathcal{CHE}.\mathcal C$ : encryption queries and token queries. Below we formalize how queries are answered by  $\mathcal{CHE}.\mathcal C$  and what it means for  $\mathcal A$  to win the game.

CHES-INDCPA-GAME $_{\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ Setup.  $\mathcal{CHE}.\mathcal{C}$  computes  $(\mathsf{Pk},\mathsf{Msk}) \leftarrow \mathsf{CHE}.\mathsf{Setup}(1^{\lambda},1^n),$  selects a random  $b \in \{0,1\}$  and runs  $\mathcal{A}$  on input  $\mathsf{Pk}.$ Token Query.  $\mathcal{CHE}.\mathcal{C}$  replies to a token query for a circuit C by returning  $\mathsf{Tok}^C \leftarrow \mathsf{CHE}.\mathsf{KeyGen}(\mathsf{Msk},C).$  i-th Encryption Query.  $\mathcal{CHE}.\mathcal{C}$  replies to encryption query  $(M_0^i,M_1^i)$  with  $|M_0^i|=|M_1^i|,$  by returning  $\mathsf{Ct} \leftarrow \mathsf{CHE}.\mathsf{Enc}(\mathsf{Pk},M_b^i).$ Output of the Game. Let b' be  $\mathcal{A}$ 's output. Return 1 (meaning that  $\mathcal{A}$  has won) iff b=b'.

**Definition 5.** A CHES CHE is IND-CPA secure if for every PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\mu(\cdot)$  such that  $\Pr\left[\text{CHES-INDCPA-GAME}_{\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)=1\right] \leq 1/2 + \mu(\lambda)$ .

A CHES CHE is single-message IND-CPA secure if it is IND-CPA secure for all PPT adversaries A asking exactly one encryption query.

### **B.1** Implications

IND-CPA CHES from LWE Noticing that any fully homomorphic encryption scheme [16] is also an IND-CPA CHES, we have that the results of [9,8] prove the following theorem.

**Theorem 2.** Assuming LWE, there exists an IND-CPA-secure CHES.

NM-CPA CHES  $\Rightarrow IND$ -CPA CHES Here we show the natural implication that every NM-CPA CHES is also an IND-CPA CHES.

**Theorem 3.** Any NM-CPA-secure CHES is also IND-CPA-secure.

Proof. The proof is by contradiction. Assume there exists a PPT adversary  $\mathcal{A}$  IND-CPA that is able to guess the challenge bit with probability at least  $1/2+\mathsf{nneg}(\lambda)$ , for some non-negligible function  $\mathsf{nneg}(\cdot)$  and consider the following adversary  $\mathcal{A}'$ .  $\mathcal{A}'$  interacts with a challenger for NM-CPA, runs an internal copy of  $\mathcal{A}$  and uses the challenger to answer  $\mathcal{A}$ 's queries. When  $\mathcal{A}$  outputs b,  $\mathcal{A}'$  outputs with the triple  $(1,ct^*,C^*)$ , where  $ct^*$  is an encryption of  $C^*(M_b^1)$  and  $C^*$  is a circuit that satisfies the condition of the definition. Specifically,  $C^*(m) = m+i$  where i is the smallest integer for which  $C^*$  satisfies the condition of the definition (that is  $C^*(M_0^1) \neq C^*(M_1^1)$  and none of the tokens asked by  $\mathcal{A}$  coincides with  $C^*$  on messages  $M_0^1$  and  $M_1^1$ ). Since the number of token queries is polynomially bounded, the circuit  $C^*$  can be efficiently found.

IND-CPA: single message vs many messages Here we show that in order to prove the IND-CPA and NM-CPA security of a construction for a CHES, it is sufficient to concentrate on the case of an adversary that asks for one encryption query only. Indeed, we prove that any single-message IND-CPA-secure CHES is also IND-CPA and similarly for NM-CPA security.

#### **Theorem 4.** Any single-message IND-CPA-secure CHES is also IND-CPA.

*Proof.* Consider a single-message IND-CPA CHES CHE and suppose by contradiction that there exists an adversary  $\mathcal{A}$  against its (many-message) IND-CPA security that succeeds with probability  $1/2 + \mathsf{nneg}(\lambda)$  for some non-negligible function  $\mathsf{nneg}(\cdot)$ . We construct an adversary  $\mathcal{A}'$  against the single-message IND-CPA security of CHE as follows.  $\mathcal{A}'$  behaves as a proxy between the challenger of single-message IND-CPA and  $\mathcal{A}$  except for encryption queries and answers. Specifically,  $\mathcal{A}'$  selects a random bit b' and a random index j' in  $\{1,\ldots,q\}$ , where q is an upper bound on the number of queries of  $\mathcal{A}$  and will behave as a proxy between  $\mathcal{A}$  and the challenger for all token queries and for j'-th encryption query; for the remaining encryption queries  $(M_0^i, M_1^i)$  instead,  $\mathcal{A}'$  replies by computing an encryption of  $M_{b'}^i$ . Finally,  $\mathcal{A}'$  outputs the same bit that  $\mathcal{A}$  outputs.

Observe that the success probability of  $\mathcal{A}$  is equal to  $1/2(S_0 + S_1)$ , where we let  $S_b$  denote the success probability of  $\mathcal{A}$  when the challenger chooses bit b. Therefore we have that  $1/2(S_0 + S_1) \geq 1/2 + \mathsf{nneg}(\lambda)$ .

Now consider the probability that  $\mathcal{A}$  outputs the same bit b chosen by the challenger but in an experiment where for a randomly chosen challenge ciphertext the value  $\bar{b}$  is used instead of b, and let us denote such a probability by  $T_b$ . Notice that, for  $b=0,1,\,T_b\geq S_b-\mu(\lambda)$  for some negligible function  $\mu$ , for otherwise we can trivially break the single-message IND-CPA security of CHE.

Noticing that with probability 1/2 it holds that b = b', we have that the success probability of  $\mathcal{A}'$  is  $S_0 + S_1$  with probability 1/2, and  $T_0 + T_1$  with probability 1/2.

Summing up, the success probability of  $\mathcal{A}'$  can be computed as follows:  $\frac{S_0+S_1+T_0+T_1}{2} \geq 1/2 + \mathsf{nneg}'(\lambda)$  for some non-negligible function  $\mathsf{nneg}'$ .

# C Single-Message vs Multi-Message NM-CPA CHES

**Theorem 5.** Any single-message NM-CPA-secure CHES is also NM-CPA-secure.

*Proof.* Let CHE be a single-message NM-CPA-secure CHES. Assume by contradiction that there exists a successful adversary  $\mathcal A$  for NM-CPA security and an efficient distinguisher  $\mathcal D$  that distinguishes

CHES-NMCPA-GAME
$$_{0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$$
 and CHES-NMCPA-GAME $_{1,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ .

We now reduce  $\mathcal{A}$  to an adversary  $\mathcal{A}'$  for single-message NM-CPA security of CHES. The reduction is similar to the one given in [22]. Let q>1 be an upper bound on the the number of encryption queries made by  $\mathcal{A}$ . Consider the game CHES-NMCPA-GAME $_{J,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  indexed by vector  $J=(b_1,\ldots,b_q)$  that specifies that the j-th encryption query is answered by encrypting  $M_{b_j}^j$ . For  $j=0,\ldots,q$ , we define vector  $J_j=(1,\ldots,1,0,0,\ldots,0)$  as the vector whose first j components are 1 and the remaining components are 0. We can now run hybrid arguments since CHES-NMCPA-GAME $_{0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  corresponds to CHES-NMCPA-GAME $_{0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  and

to CHES-NMCPA-GAME $_{J_0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  and CHES-NMCPA-GAME $_{J_q,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$  corresponds to CHES-NMCPA-GAME $_{J_q,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ . Since  $\mathcal{D}$  distinguishes

CHES-NMCPA-GAME
$$_{J_0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$$
 and CHES-NMCPA-GAME $_{J_0,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ ,

there exists  $j \in \{0, \dots, q-1\}$  such that  $\mathcal{D}$  distinguishes between

CHES-NMCPA-GAME
$$_{J_i,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$$
 and CHES-NMCPA-GAME $_{J_{i+1},\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$ .

We can therefore use  $\mathcal{D}$  along with adversary  $\mathcal{A}$  to contradict single-message NM-CPA security of CHE as follows.  $\mathcal{A}'$  behaves as proxy between the challenger and  $\mathcal{A}$  for the token queries. Instead encryption queries are handled as follows.  $\mathcal{A}'$  selects a random  $j' \in \{0, \ldots, q-1\}$  and forwards to the challenger the j'-th encryption query  $(M_0^{j'}, M_1^{j'})$  received from  $\mathcal{A}$ , and forwards to  $\mathcal{A}$  the corresponding answer received from the challenger. Instead, for all remaining encryption queries  $(M_0^i, M_1^i)$ ,  $\mathcal{A}'$  answers on its own by sending an encryption of  $M_1^i$  when i < j' and of  $M_0^i$  when i > j'.

Assume j = j'. Notice that when the challenger encrypts  $M_0^j$ , the above game corresponds to

CHES-NMCPA-GAME
$$_{J_j,\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$$

while when the challenger encrypts  $M_1^j$ , the above game corresponds to

CHES-NMCPA-GAME
$$_{J_{i+1},\mathcal{A}}^{\mathsf{CHE}}(\lambda,n)$$
.

By conditioning on the event that j=j' we conclude observing that therefore  $\mathcal{D}$  distinguishes CHES-NMCPA-Game $_{1,\mathcal{A}'}^{\mathsf{CHE}}(\lambda',n)$  from CHES-NMCPA-Game $_{1,\mathcal{A}'}^{\mathsf{CHE}}(\lambda,n)$ .