# TC1-dataprep

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# 1 Cancer Type Classification using Deep-Learning

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This document will explain how to use genomic expression data for classifying different cancer/tumor sites/types. This workshop is a follow-up to the NCI-DOE Pilot1 benchmark also called TC1. You can read about the project here, https://github.com/ECP-CANDLE/Benchmarks/tree/master/Pilot1/TC1

For classification, we use a Deep-Learning procedure called 1D-Convolutional Neural Network (CONV1D; https://en.wikipedia.org/wiki/Convolutional\_neural\_network. NCI Genomic Data Commons (GDC; https://gdc.cancer.gov/) is the source of RNASeq expression data.

First we will start with genomic data preparation and then we will show how to use the data to build CONV1D model that can classify different cancer types. Please note that there are more than ways to extract data from GDC. What I am describing is one possible way.

## 2 Part-1: Genomic data preparation

#### 2.1 Load some libraries (keras, scikit-learn, pandas, numpy etc.)

```
[1]: from __future__ import print_function
     import os, warnings
     warnings.simplefilter(action='ignore', category=FutureWarning)
     import sys
     import gzip
     import glob
     import json
     import time
     import argparse
     import numpy as np
     import pandas as pd
     from pandas.io.json import json_normalize
     from IPython.core.display import Image
     from pandas.io.json import json_normalize
     from keras.utils import to categorical
     from sklearn import preprocessing
     from sklearn.model selection import train test split
```

```
from sklearn.metrics import accuracy_score
from sklearn.preprocessing import StandardScaler, MinMaxScaler, MaxAbsScaler
from sklearn.preprocessing import LabelEncoder, OneHotEncoder

from keras.utils import to_categorical
from keras import backend as K
from keras.layers import Input, Dense, Dropout, Activation, Conv1D,

MaxPooling1D, Flatten
from keras import optimizers
from keras.optimizers import SGD, Adam, RMSprop
from keras.models import Sequential, Model, model_from_json, model_from_yaml
from keras.utils import np_utils
from keras.callbacks import ModelCheckpoint, CSVLogger, ReduceLROnPlateau
```

Using TensorFlow backend.

## 2.2 What type of data we need and where can we get it?

- We will be using RNASeq data
- Genomic Data Commons ( www.gdc.org ) is the data source.
- We used FPKM-UQ scaled RNASeq expression data for tumor cases. Check here for information on scaling, https://docs.gdc.cancer.gov/Encyclopedia/pages/HTSeq-FPKM-UQ

## 2.3 List of data preparation steps



### 2.4 Data gathering from GDC

Follow GDC tutorial, https://docs.gdc.cancer.gov/Data\_Portal/Users\_Guide/Getting\_Started/, for information about how to download data. Here is a rough procedure:

- search for projects of your interest (BRCA from TCGA, non-tumor samples etc.)
- filter the type of data you need (RNASeq, mutation etc)
- add it to Cart
- download files relevant to your data (Manifest file (contain ids of the data that you want to download), meta data, clinical data etc.)

You can use GDC tool, gdc-client, to download the data. Please, read details about gdc-clieant from the GDC website, https://docs.gdc.cancer.gov/Data\_Transfer\_Tool/Users\_GFor this tutorial, I will be using a GDC RNASeq tool (https://github.com/cpreid2/gdc-rnaseq-to-that will download the GDC expression data and merge the expression files into one single dataframe.

NIH Biowulf HPC systems have access to gdc-tools. Please read for details here, https://hpc.nih.gov/apps/gdc-client.html

#### 2.5 Manual data gathering steps from GDC

Please click here to see the steps for exploring RNAseq expression data

### 2.6 Genomic data is complex

Due to complexity of the data, the expression and meta data are kept in different files For example, MetaData, SampleSheet, Manifest etc.

Let us explore Metadata, Clinical, Biospecimen, Manifest and sample\_sheet data Please note that the following files are available for each GDC search. You can access them by first adding the data to the Cart. Let us read the following files:

- Manifest (list of RNASeq data for download): gdc\_manifest\_20200309\_162520.txt
- Metadata data: metadata.cart.2020-03-09.json
- Clinical data: clinical.cart.2020-03-09.json
- Biospecimen data: biospecimen.cart.2020-03-09.json (131 MB; due to Github files size limit, this file is not available in the repository)
- Sample\_sheet data: gdc\_sample\_sheet.2020-03-09.tsv

```
[3]: gdc_manifest = pd.read_csv("Data/gdc_manifest_20200309_162520.txt", sep="\t", \_ \to \low_memory=False)
print("gdc_manifest")
gdc_manifest.iloc[0:4,0:3]
```

gdc\_manifest

[3]: id \

0 00086b37-ad3a-4e4b-b44d-ea0cc657f48b

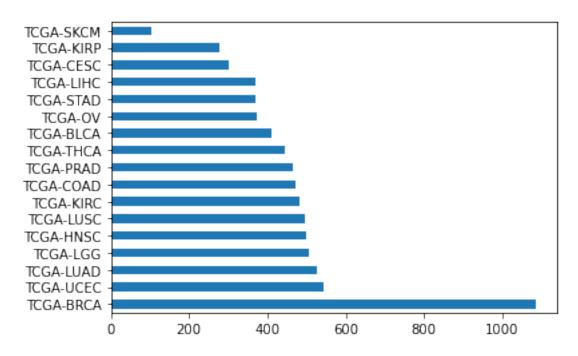
```
2 000e76af-9529-4e0b-b300-c602f5f717d2
     3 0012eb83-a0ab-4abe-bd9b-8706d613ad9c
                                                 filename \
     0 bdc49eae-31d4-425b-b7d1-49f1cf14df44.FPKM-UQ.t...
     1 b9e7b7b5-54e8-4459-8a21-ea3472b013d7.FPKM-UQ.t...
     2 b836a8d2-7c37-4af5-9f2f-7bf0121717f9.FPKM-UQ.t...
     3 42489a2e-a77b-43e2-a51e-dc341af3ae19.FPKM-UQ.t...
                                     md5
     0 a26913ae01c41c8a69661573f201df88
     1 6d41923b59f979df27888573ecaf8eaf
     2 9e947e256131eee23678eb4e37a25c39
     3 a41f8e12aef9dcb10113170eb4d6c89e
[4]: metadata = pd.read_json("Data/metadata.cart.2020-03-09.json", encoding='utf-8')
     print("metadata")
     metadata.iloc[0:3, [0,3,4]]
    metadata
[4]:
                                                file name \
     0 d1b25b91-db55-4c0a-a973-2a6c229c2b03.FPKM-UQ.t...
     1 875c2a27-9732-4b8a-affc-8ea591595a43.FPKM-UQ.t...
     2 35c4cc14-5096-4da8-9190-359338fdb365.FPKM-UQ.t...
                             data type
                                                                     file id
     O Gene Expression Quantification d8d316fd-0c47-48ce-9ee0-e41b6f96fcc6
     1 Gene Expression Quantification f4885085-7300-4623-b7cd-3afd10808815
     2 Gene Expression Quantification 90b463e6-d18a-4907-a427-ecc054dabb11
[5]: clinical = pd.read_json("Data/clinical.cart.2020-03-09.json", encoding='utf-8')
     print("clinical data")
     clinical.iloc[0:3, [0,2,3]]
    clinical data
[5]:
                                                diagnoses \
     0 [{'ajcc_pathologic_t': 'T3a', 'synchronous_mal...
     1 [{'ajcc_pathologic_t': 'T1b', 'synchronous_mal...
     2 [{'ajcc_clinical_t': 'T2c', 'ajcc_pathologic_t...
                                     case id \
     0 5338d435-68fb-4f0d-a3e6-c843f703f75f
     1 22b6724c-a59f-4796-8166-992253e8caf1
     2 deceb7df-6edc-41f0-99aa-c4ac7e764074
```

1 000cf9c6-1373-4fb0-b759-5fd8c3799030

```
demographic
     0 {'gender': 'male', 'vital_status': 'Alive', 'u...
     1 {'gender': 'male', 'vital_status': 'Alive', 'u...
     2 {'gender': 'male', 'vital_status': 'Alive', 'u...
[6]: # biospecimen = pd.read_json("Data/biospecimen.cart.2020-03-09.json",
     \hookrightarrow encoding='utf-8')
     # print("biospecimen")
     # biospecimen.iloc[0:3,0:2]
[7]: sample_sheet = pd.read_csv("Data/gdc_sample_sheet.2020-03-09.tsv", sep="\t")
     print("sample_sheet")
     sample_sheet.iloc[1:4,1:6]
    sample_sheet
[7]:
                                                File Name
                                                                      Data Category \
     1 875c2a27-9732-4b8a-affc-8ea591595a43.FPKM-UQ.t... Transcriptome Profiling
     2 35c4cc14-5096-4da8-9190-359338fdb365.FPKM-UQ.t... Transcriptome Profiling
     3 b4e20023-e2cd-4b02-b6c3-16133a6d3ca7.FPKM-UQ.t... Transcriptome Profiling
                                                         Case ID
                             Data Type Project ID
     1 Gene Expression Quantification
                                           TCGA-OV
                                                    TCGA-09-1659
     2 Gene Expression Quantification TCGA-UCEC TCGA-AX-A1C5
     3 Gene Expression Quantification TCGA-THCA TCGA-FY-A3R8
    2.7 Let us count/plot the Project_IDs from sample_sheet
[8]: sample_sheet['Project ID'].value_counts()
     # sample sheet
     tab = sample_sheet['Project ID'].value_counts()
     tab.plot(kind='barh' )
     tab
[8]: TCGA-BRCA
                  1087
     TCGA-UCEC
                   544
     TCGA-LUAD
                   528
    TCGA-LGG
                   506
     TCGA-HNSC
                   499
     TCGA-LUSC
                   496
    TCGA-KIRC
                   483
     TCGA-COAD
                   472
     TCGA-PRAD
                   466
     TCGA-THCA
                   445
     TCGA-BLCA
                   412
     TCGA-OV
                   374
```

TCGA-STAD 370
TCGA-LIHC 369
TCGA-CESC 301
TCGA-KIRP 276
TCGA-SKCM 103

Name: Project ID, dtype: int64



## Here are the Cancer (types) Project codes:

BRCA Breast invasive carcinoma

UCEC Uterine Corpus Endometrial Carcinoma

LUAD Lung adenocarcinoma

LGG Brain Lower Grade Glioma

HNSC Head and Neck squamous cell carcinoma

LUSC Lung squamous cell carcinoma

KIRP Cervical Kidney renal papillary cell carcinoma

SKCM Skin Cutaneous Melanoma

KIRC Kidney renal clear cell carcinoma

PRAD Prostate adenocarcinoma

COAD Colon adenocarcinoma

THCA Thyroid carcinoma

BLCA Bladder Urothelial Carcinoma

OV Ovarian serous cystadenocarcinoma

STAD Stomach adenocarcinoma

LIHC Liver hepatocellular carcinoma

CESC Cervical squamous cell carcinoma and endocervical adenocarcinoma

### 2.8 Check to see we have only tumor samples

```
[9]: sum(sample_sheet['Sample Type'] == 'Primary Tumor')
sample_sheet["Sample Type"].value_counts()
```

[9]: Primary Tumor 7731

Name: Sample Type, dtype: int64

## 2.9 Retain only one replicate

Create a nr\_sample\_sheet. I am following this step to reduce size of the data.

```
[10]: # sample sheet no duplicates ; no replicates
sample_sheet_nr = sample_sheet.drop_duplicates(subset='Case ID', keep="first")
```

## 2.10 Count the number of samples

```
[11]: sum(sample_sheet_nr['Project ID'].value_counts())
```

[11]: 7654

To keep the file size within the github size limit (< 100 MB), I have decided to keep only the following three classes.

TCGA-LGG TCGA-LUAD TCGA-THCA

For research projects, you should retain all the available sites/types that have enough (example >= 300) samples.

```
[12]: TCGA-LUAD 508
    TCGA-LGG 506
    TCGA-THCA 445
    Name: Project ID, dtype: int64
```

## 2.11 Pick a stratified sample (of 50) from each class

Create a dataframe, df, that will contain a sample of 50 entries from group. For this exercise, we are restricting samples of size <= 50. Please note that the sample\_size of 50 is chosen for the hands-on. For research projects, you should change this to a bigger number (ex 300).

```
[13]: df = df.groupby('Project ID').apply(lambda s: s.sample(50))
   num_of_classes = len(df['Project ID'].value_counts().index)
   df = df.reset_index(drop = True)
   print("Number of Cancer Types: ", num_of_classes)
```

Number of Cancer Types: 3

## 2.12 Filter the manifest file (read in previously) to create a new manifest file

Create a list to slice out a new GDC manifest file. Note this new manifest file will retain only the filtered (only for tumor; smaller sample size etc.) sample ids. This will then become the input for GDC-toolkit software (available in Biowulf). The output will be the corresponding RNAseq expression files. The expression files (one for each sample) have to be merged to create the final dataset.

Here is the code to accomplish the tasks:

```
[14]: list = df['File Name'].values print(len(list)) # should be 50 * 17 = 850 for this exercise
```

150

```
[15]: mgdc = gdc_manifest[gdc_manifest['filename'].isin(list)]
mgdc.head(3)
```

```
[15]: id \
5 0024bbc6-a956-47f3-a926-3dbe50c27ead
15 00749066-a4b1-4f5a-bca9-5e4699fb179f
```

47 016f3cc3-0aa7-4a0d-bbf5-67fa6f9c75c2

```
\label{eq:filename} filename \quad \  \  \, \\ 5 \quad 0e94f470-f240-474e-867d-bde7c803b6a4.FPKM-UQ.t...
```

- 15 ecff92ce-841b-42cd-8a80-9066a44ab9fe.FPKM-UQ.t...
- 47 7cb874e2-6e35-4f1e-b340-2ab8a261e0b5.FPKM-UQ.t...

```
md5 size state

5 9703e828d8acdc3b7c317964638c4b89 512774 validated

15 eeb64317bc275ff866f084e915feccb1 524988 validated

47 d2c5ba4aa45b281671337d2ca53c3a27 532645 validated
```

## 2.13 Write out the new mgdc dataframe

mgdc filename, mgdc\_manifest\_20200309\_162520\_50\_estypes.txt file. We previously executed the following code chunk to create a filtered manifest file, mgdc\_manifest\_20200309\_162520\_50\_3stypes (available from the Data sub-folder).

```
[16]: # We ran the following command to produce the output. No need to run it again. # mgdc.to\_csv('Data/mgdc\_manifest\_20200309\_162520\_50\_3stypes.txt', sep='\t', \ldots + index=False)
```

# 2.14 Use the Newly created manifest file to download/merge the expression data

I used NIH HPC for this step. For a sample size of 50 with 15 classes, you need decent memory/space. The gdc-rnaseq-tool (https://github.com/cpreid2/gdc-rnaseq-tool) will download (discussed in the introduction section) and merge the expression data.

Here is the template slurm script for the task. Before you use the script, please make sure the slurm script memory is optimal for your query.

```
#!/bin/bash
#SBATCH --time=10:00:00
#SBATCH --job-name="GDC50"
#SBATCH --cpus-per-task=4
#SBATCH --mem=10g

echo "Job Started at `date`"
# Make sure you have installed gdc-rnaseq-tool under /data/${USER}TC1 directory cd /data/${USER}/TC1/gdc-rnaseq-tool-50-3stypes
module load python/3.5
python3 gdc-rnaseq-tool.py mgdc_manifest_20200309_162520_50.txt --hugo

echo "Job Ended at `date`"

Bring the merged dataframe, Merged_FPKM-UQ-50_3stypes.tsv and continue the analysis/modeling. For your convenience, I have completed this step and transfered the merged RNASeq expression file. The file,
Merged_FPKM-UP-50_3stypes.tsv1, is available under Data forlder
```

#### 2.15 Read the merged expression file

```
[17]: df_FPKM_UQ = pd.read_csv("Data/Merged_FPKM-UQ-50_3stypes.tsv",⊔

→low_memory=False, sep="\t")

print("Merged expression file")

df_FPKM_UQ.iloc[0:3, 0:6]
```

Merged expression file

```
0
                         709336.737655
                                                        356558.245525
                            217.936145
      1
                                                             0.000000
      2
                         646521.025015
                                                        771959.299629
         TCGA-05-4417-01A-22R-1858-07
      0
                         348652.528936
                           1282.639158
      1
      2
                         373545.187633
[18]: df_FPKM_UQ.shape
[18]: (60483, 152)
```

## 2.16 submitters id mapping to project id

The merged expression file contains submitters\_id\_list but doesnt include project\_id. Submitters\_id\_list can be searched in GDC manually to find the relevant project\_id. I have completed the steps and made the files available.

```
[19]: cols = df_FPKM_UQ.columns[2:].values.tolist()
    print("Length of cols variable: ",len(cols))
    print("First few Submitters_ID", cols[1:4])

# DONT UNCOMMENT THE FOLLOWING LINES

# DONT DELETE THIS IS USED TO WRITE OUT THE FILE, submitters_id_list.txt

# this file was searched to find the project_id list from GDC website

# cols

# type(cols)

# with open('Data/submitters_id_list_50.txt', 'w') as f:

# for item in cols:

# for item in cols:

# f.write("%s\n" % item)
```

Length of cols variable: 150
First few Submitters\_ID ['TCGA-05-4389-01A-01R-1206-07',
'TCGA-05-4390-01A-02R-1755-07', 'TCGA-05-4417-01A-22R-1858-07']

## 2.17 Map "submitters\_ID" to "Project\_ID"

This step was done using GDC website.

```
Here is the Submitters_ID to Project_ID mapping list:
submittedAliquot ID mappedCaseId mappedProject
TCGA-05-4249-01A-01R-1107-07 TCGA-05-4249 TCGA-LUAD
```

```
1 TCGA-05-4389-01A-01R-1206-07
                                      TCGA-05-4389
                                                       TCGA-LUAD
     2 TCGA-05-4390-01A-02R-1755-07
                                      TCGA-05-4390
                                                       TCGA-LUAD
     3 TCGA-05-4417-01A-22R-1858-07 TCGA-05-4417
                                                       TCGA-LUAD
[21]: submitters_id_to_project_id.columns
      submitters_id_to_project_id.mappedProject.value_counts()
[21]: TCGA-LGG
                   50
      TCGA-THCA
                   50
      TCGA-LUAD
                   50
     Name: mappedProject, dtype: int64
[22]: # Transpose the data
      dft FPKM UQ = df FPKM UQ.T
      # remove the two two rows and save the output
      dftm_FPKM_UQ = dft_FPKM_UQ.drop(dft_FPKM_UQ.index[0:2], axis=0)
[23]: dftm_FPKM_UQ.shape
[23]: (150, 60483)
```

Extract the Submitter ID from the index and attach it as a column also called Submitter ID

## 2.18 Final check before moving on

```
[25]: print(dftm_FPKM_UQ.Project_id.value_counts())
    dftm_FPKM_UQ.iloc[[0,49,123],[0,1,2,3,4,5,6,7,8,9,60483]]

TCGA-LGG 50
TCGA-THCA 50
TCGA-LUAD 50
Name: Project_id, dtype: int64
```

```
[25]:
               0
                       1
                                       3
                                                        5
                                                                        7 \
                                                            109903 416956
          315982
                       0 542922 89170.7 28230.6
                                                   113983
     0
     49
          309252
                       0 943647 50705.5 12560.9 87490.8
                                                            369044 616390
     123 229996 936.194 320436 46994.1 14297.2
                                                    66556 35900.7 181326
                       9 Project_id
          60672.3 595059 TCGA-LUAD
     0
     49
          78783.3 185087 TCGA-THCA
     123
           382598 264040
                           TCGA-LGG
```

## 2.19 Convert outcome into numerical quantity

```
[26]: # multiple options for accomplishing this task
le = preprocessing.LabelEncoder()

# Create a label (category) encoder object
dftm_FPKM_UQ['Project_id'] = le.fit_transform(dftm_FPKM_UQ.Project_id.values)
```

### 2.19.1 These are the coded variables and their mappings

```
[27]: num = np.arange(0,len(le.classes_),1)
    print("codenum", num)

    print("labels: ", le.inverse_transform(num))

codenum [0 1 2]
    labels: ['TCGA-LGG' 'TCGA-LUAD' 'TCGA-THCA']
```

## 2.20 Split the features and outcome variables

```
[28]: # Use to_categorical on your labels
features = dftm_FPKM_UQ.drop(['Project_id'], axis=1)
outcome = dftm_FPKM_UQ.Project_id
outcome
print(outcome)
print(type(features))
```

```
0
         1
1
         1
2
         1
3
         1
4
         1
        . .
145
        0
146
         0
147
         0
148
         0
```

```
149     0
Name: Project_id, Length: 150, dtype: int32
<class 'pandas.core.frame.DataFrame'>
```

## 2.21 Scaling procedure for the features

FPKM\* (FPKM\_UQ or FPKM) to TPM Mathematically it can be shown that either the input data is FPKM or FPKM\_UQ, you can follow the same scaling to get to TPM.

```
[29]: Image(filename='Img/scaling1.PNG')
```

[29]:

$$temp_i = \left(\frac{FPKM^*}{\sum_j FPKM^*_j}\right) \times 10^6$$

$$TPM_i = log_{10}(temp_i)$$

features:  $150 \times 60,483 \text{ sum}(axis = 1)$ : RowSum

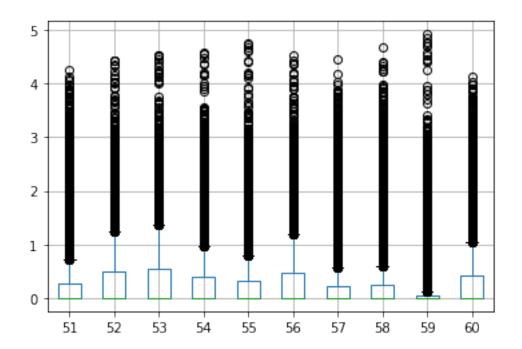
```
[30]: # TPM
sfeatures = features.div(features.sum(axis=1), axis=0)
sfeatures = sfeatures * 1000000

sfeatures1 = sfeatures.astype(np.float64).apply(np.log10)
sfeatures1[sfeatures1 < 0] = 0</pre>
```

## 2.22 Let us look at the distribution of few selected samples

```
[31]: df_temp = sfeatures1.iloc[51:61, :]
df_temp.T.boxplot()
```

[31]: <matplotlib.axes.\_subplots.AxesSubplot at 0x212b3e11cc8>



#### 2.23 Save the files for later use

[32]: # sfeatures1.to\_csv('Data/TC1-data3stypes.tsv', sep='\t', index=False) # outcome.to\_csv('Data/TC1-outcome-data3stypes.tsv', sep='\t', index=False,  $\rightarrow$  header = False)

#### 2.24 Part-II: Convolutional Neural Network

- Splitting the data
- Model preparation
- Training/Testing
- Exporting the Weights
- inference option

Check out the Jupyter Notebook (TC1-ConvNN.ipynb) for Part-II from https://github.com/ravichas/ML-TC1

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[]: