# Research to Reality: Implementation Science

**EVIDENCE** 

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National Institutes of Health

### Outline

Current Gap Between Research and Practice:
 Why is Implementation Science Needed?

 What Do We Know About Dissemination & Implementation (D&I) Science? How is it Different?

- New Models and Conceptualizations- Thinking Differently
- Future Directions/Dissemination and Implementation Opportunities

### Translation Continuum



**Current Gap** 

Bench



Bedside



Clinic

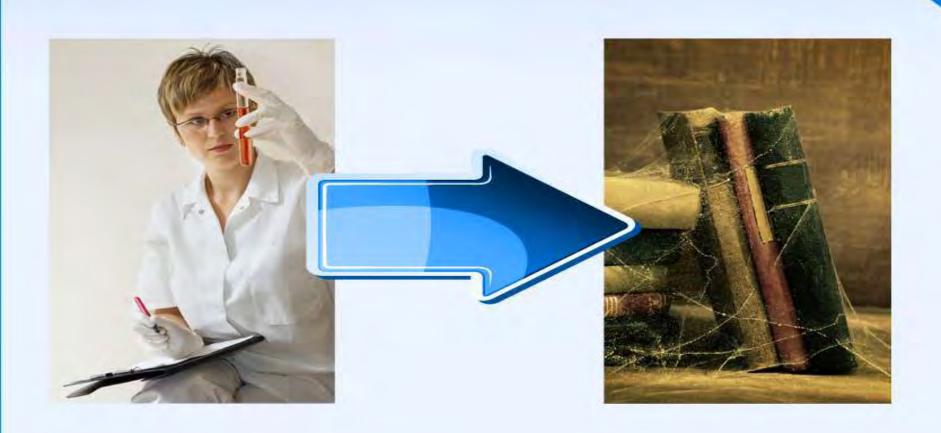


Community



Population & Policy

# Bench to Bookshelf

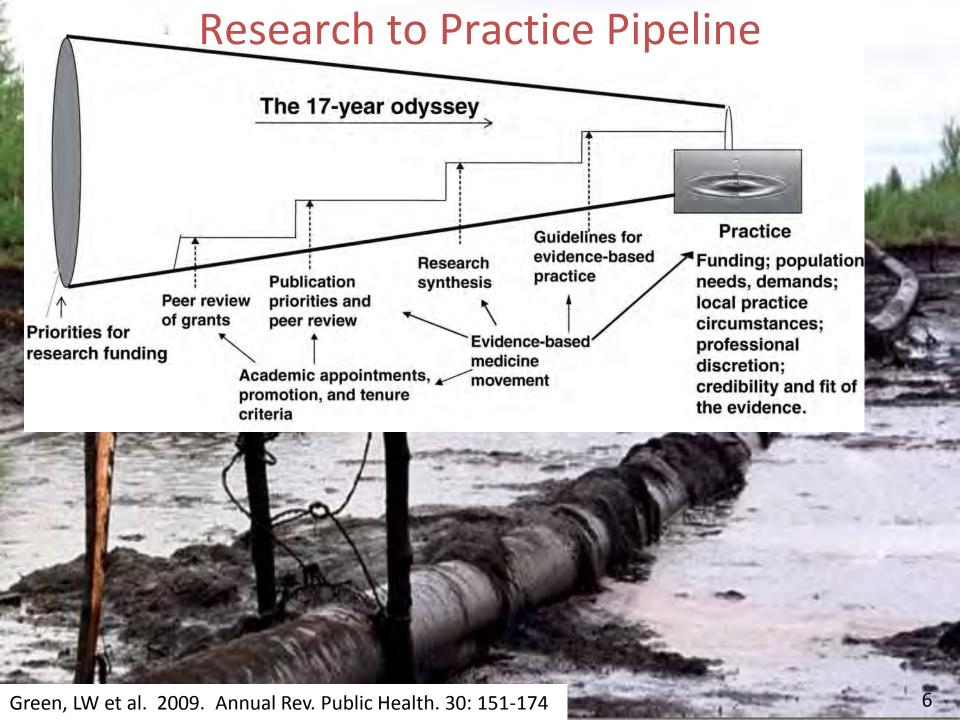


### Current Situation in United States<sup>1</sup>

- Underperforming health care system<sup>2</sup>
- Balkanized and silo approaches
- Expensive, unsustainable cost, increasing
- Inequitable: Health disparities
- CRISIS and OPPORTUNITY

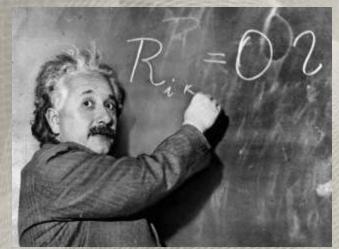
<sup>&</sup>lt;sup>1</sup>Institute of Medicine. Unequal treatment...Washington D.C., National Academies Press, 2003

<sup>&</sup>lt;sup>2</sup>McGlynn EA et al. The quality of health care...N Eng J Med 2003;348(26):2635-2645



"The significant problems we face cannot be solved by the same level of thinking that created them."

A. Einstein



# Implementation and Dissemination Research Characteristics

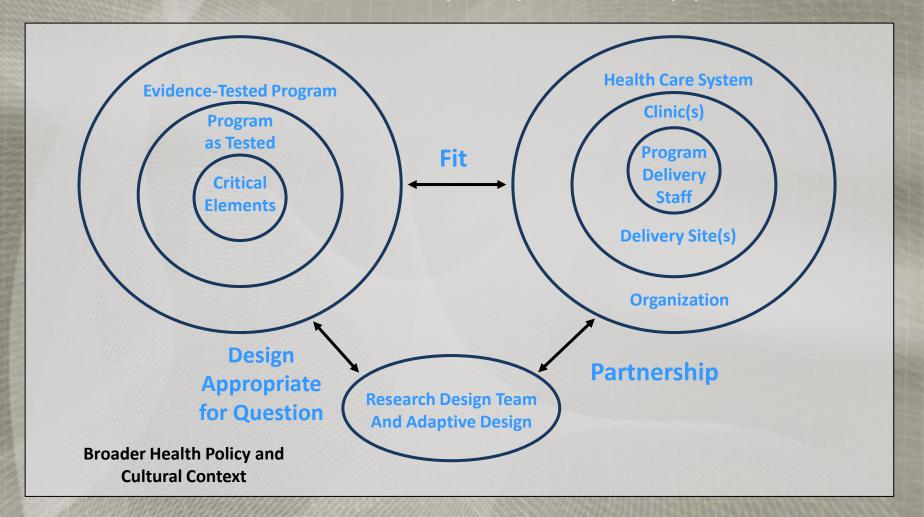
**New Models** 

Contextual

**Current Gap** 

- Complex
- Multi-component programs and policies
- Non-linear
- Transdisciplinary
- Multi-level

## Integrated Dynamic, Multilevel Research-Practice Partnerships Systems Approach



# Recommended Purpose of Research (ala RE-AIM)

### Collect evidence to document interventions that can:

- Reach large numbers of people, especially those who can most benefit
- Be widely adopted by different settings
- Be consistently implemented by staff members with moderate levels of training and expertise
- Produce replicable and long-lasting effects (and minimal negative impacts) at reasonable cost

Future Directions/D&I Opportunities

### Ultimate Impact of an Insurance-sponsored Weight Management Program in West Virginia<sup>1</sup>

Dissemination Step	Concept	% Impacted
8.8% of Weight Management sites participated	Adoption	8.80%
5.9% of members participated	Reach	0.52%
91.4% program components implemented	Implementation	0.47%
43.8% of participants showed weight loss	Effectiveness	0.21%
21.2% individuals maintained benefit (individual)	Maintenance	0.04%

<sup>1</sup>Abildso CG, Zizzi SJ, Reger-Nash B. Evaluating an Insurance-Sponsored Weight Management Program With the RE-AIM Model, West Virginia, 2004-2008. Preventing Chronic Disease Public Health Research, Practice, and Policy. 2010. 7(3). National Cancer Institute 11

### **Intervention Program/Policy**

(Prevention or Treatment)

(e.g. design; key components; principles; external validity)

Evidence

Stakeholders

#### **Multi-Level Context**

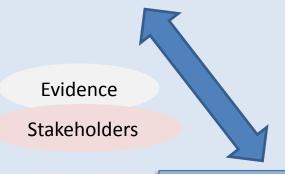
- Intrapersonal/Biological
- Interpersonal/Family
- Organizational

- Policy
- Community/Economic
- Social/Environment/History

### **Intervention Program/Policy**

(Prevention or Treatment)

(e.g. design; key components; principles; external validity)



#### **Practical Progress Measures**

Future Directions/D&I Opportunities

(e.g. actionable & longitudinal measures)

#### **Multi-Level Context**

- Intrapersonal/Biological
- Interpersonal/Family
- Organizational

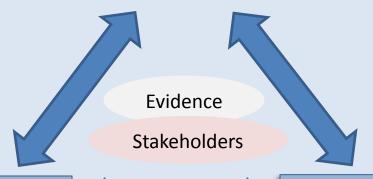
D&I: What we know

- Policy
- Community/Economic
- Social/Environment/History

#### **Intervention Program/Policy**

(Prevention or Treatment)

(e.g. design; key components; principles; external validity)



# Participatory Implementation Process

(e.g. stakeholder engagement teambased science; CBPR; pt-centered care)

#### **Practical Measures**

(e.g. practical, actionable & longitudinal measures)

#### **Multi-Level Context**

- Intrapersonal/Biological
- Interpersonal/Family
- Organizational

- Policy
- Community/Economic
- Social/Environment/History

"For every complex problem there is a simple solution ... and it is wrong."



H.L. Mencken

## Bridging the Gap: A Synergistic Model

Getting Evidence Based Cancer Control Interventions into Practice

GOAL: To increase the adoption, reach and impact of evidence-based cancer control

#### Science Push

Documenting, improving, and communicating the intervention for wide population use



#### **Delivery Capacity**

Building the capacity of relevant systems to deliver the intervention



#### Market Pull/Demand

Building a market and demand for the intervention



Increase the number of systems providing evidence-based cancer control Increase the number of practitioners providing evidence-based cancer control Increase the number of individuals receiving evidence-based cancer control



Tracy Orleans (RWJF) – Designing for Dissemination Conference Presentation, 9/02

- Simulations, MODELING, system dynamic models
- Time-lagged REPLICATIONS
- Natural experiments
- Well-documented quality improvement studies
- RAPID LEARNING and electronic medical records (EHR) databases<sup>1</sup>
- Practical and pragmatic trials<sup>2</sup>

<sup>1</sup>Etheredge LM, Health Affairs, 2007, Web Exclusive Collection: w107-118

<sup>&</sup>lt;sup>2</sup>Thorpe KE et al., Can Med Assoc J, 2009, 180: E47-57

### Rapid Learning Approaches

### Data Collected:

- With real (and complex) patients
- By real world staff
- Under real world conditions and settings
- And evaluated through real time data (often with Electronic Health Records)

Multiple, heterogeneous settings

Representative populations

Comparison conditions are real-world alternatives

Multiple outcomes important to decision and policy makers

Thorpe KE et al., Can Med Assoc J, 2009, 180: E47-57

Tunis SR et al. Practical clinical trials...JAMA 2003;290:1624-1632

Glasgow RE et al. Practical clinical trials... Med Care 2005;43(6):551-557

# Example Cancer Screening Implementation Study

- Large scale (n = 5,905) RCT of efficient automated phone call patient reminder system for Colorectal Cancer Screening (CRC)
- Used PRISM framework and partnership with HMO operations to design feasible, sustainable program
- Intervention patients 1.3 times more likely to receive CRC screening than controls- this REPLICATES parallel findings for mammography, which increased screening rates by 1.5 times
- System found to be cost-effective, and continued by the HMO

Mosen DM, Feldstein AC, et al. Med Care, 2010, 48: 604-610.

### Evidence that...

**New Models** 

is MORE



is LESS

Contextual

**Current Gap** 

Practical, efficient

Robust, generalizable

Comprehensive,

Comparative

Representative

Isolated

Abstract, intensive

Singular (setting, staff, pop.)

Single outcome

From ideal settings

RIGOROUS, RELEVANT, and PRACTICAL

### Challenges and Conclusions

- The future is multiple (conditions, behaviors, interactive modalities)
- The future is complex (and we ignore complexity at our peril)1
- "All models (and designs) are wrong" <sup>2</sup> and greater tolerance, respect, and creativity is needed
- We need to UN-learn much of what we have been taught to answer the tough questions

<sup>&</sup>lt;sup>1</sup> Glasgow RE, Emmons KM. Annual Review of Public Health. 2007;28:413-33

<sup>&</sup>lt;sup>2</sup> Sterman JD. Syst Dynam Rev 2002;18:501-531

### The Trans-NIH D&I Funding Announcement

R01 - PAR 10-038 (\$500k per annum up to five years)

R03 - PAR 10-039 (\$50K per annum up to two years)

R21 - PAR 10-040 (\$275K up to two years)

Participating Institutes: NIMH, NCI, NIDA, NIAAA,
 NIAID\*, NHLBI, NINR, NIDDK\*, NINDS\*, NIDCD,
 NIDCR, NCCAM\* & Office of Behavioral & Social
 Sciences Research

 Starting October 2010, new standing review committee, Dissemination and Implementation Health Research

Three submission dates per year:

February, June, October

**Current Gap** 

### Annual D&I Meetings

- Annual meetings held since 2007 "State of the D&I Science" Venue
  - Participation increased from 350 registrants in 2007 to over 1200 in 2011
  - Past themes have included: "Building Capacity" and "Methods and Measures". This year it is "Crossroads"

### Next meeting: Bethesda, MD March 19-20, 2012

Registration: http://conferences.thehillgroup.com/obssr/di2012/index.html

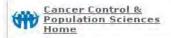
- Week long TRAINING in D & I Research- each summer
  - Information and Slides available: http://conferences.thehillgroup.com/OBSSRinstitutes/TIDIRH2011/index.html



#### **IMPLEMENTATION SCIENCE**

INTEGRATING SCIENCE, PRACTICE, AND POLICY



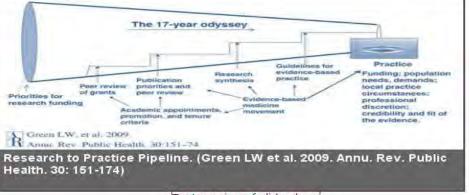












Text version of slide show

#### About

Mission, definitions, staff and contact information

#### Resources and Interactive Tools

Cancer Control P.L.A.N.E.T., Cancer Trends Progress Report, Conferences and Presentations, Fact Sheets, Research Reviews, RE-AIM

#### Publications

Dissemination and Implementation Publications

#### Funding Opportunities

Apply for Grants, Archive of Funding Opportunities, Application Information, Dissemination and Implementation Research Portfolio

#### Conferences and Training

Annual NIH Conference on the Science of Dissemination and Implementation, Training Institute for Dissemination and Implementation Research in Health

#### » Presentations

Presentations by Implementation Science Team, Presentations by D&I Investigators What's New

Save the Date:

Mar 19-20, 2012
5th Annual NIH Conference
on the Science of
Dissemination and
Implementation: Research
at the Crossroads

Bethesda, MD

Training Institute for Dissemination and Implementation Research in Health & August 1-5, 2011 Agenda and Presentations

Identifying Core Behavioral and Psychosocial Data Elements for the Electronic Health Record & May 2-3, 2011 EHR Meeting Executive Summary Download PDF

Last Updated: September 2, 2011

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# Research to Reality (R2R): A Virtual Community of Practice

A dialogue between practitioners and researchers on how too move evidence-based programs into practice

- Launched February, 2011 (NCI)
  - Linked to Cancer Control P.L.A.N.E.T. Step 2

### •Site Features:

- Monthly cyber-seminars
- Discussion forums
- An events calendar
- Featured partners
- Community profiles



### Questions? Comments?

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Email: glasgowre@mail.nih.gov

NCI Implementation Science Website:

http://cancercontrol.cancer.gov/IS/