

**Environmental and Social Reporting Template for AICCRA Clusters/Grant Recipients**

|  |  |
| --- | --- |
| **Institutional Details** | |
| **AICCRA Cluster Name:** | **Lead Research Institution:** |
| **Authorized representative**:  I certify that the data contained in this report completely and accurately represents environmental and social management activities during this reporting period.    Signature:    Title: Date: | |
| **Date of Report: Reporting Period:** | |

|  |  |
| --- | --- |
| 1. **Capacity for Environmental and Social Risk Management** | |
| * 1. Does the cluster have a focal person responsible for environmental and social due diligence and risk management on AICCRA Project? | * Yes /No - If yes, kindly provide contact details as specified below? If no, provide reason(s).   Name:  Telephone / Mobile:  E-mail: |
| * 1. Is the institution familiar with the Environmental and Social Risk Management Guide (ESRM Guide)? | * Yes, all operating staff are familiar with the ESRM Guide and have access to it when carrying out their work. * The staff to whom environmental and social risk management has been delegated are familiar with the ESRM Guide. * Not familiar |
| * 1. Which aspects of the ESRM Guide are most useful to the institution?   2. Are there any areas in the ESRM Guide that could be supplemented or improved upon?   3. Have there been any problems with the information provided in the ESRM Guide or any technical difficulties in using the Guide? | * Yes /No - If yes, please describe?        * Yes /No |
| * 1. Did workers on AICCRA project receive environmental and social training during the reporting period on E&S risk management? | * Yes, all operating staff received environmental and social training * Only a few staff, including the person with overall responsibility for environmental and social risk management. * No training was provided |
| * 1. Who provided the environmental training? | * Alliance Bioversity-CIAT, World Bank, other Donor organization or training organization? Please provide name of organization.      * In-house training * When was the last training provided? Please insert dates(s) |
| 1. **Environmental and Social Due Diligence on Grantees and Project Activities** | |
| * 1. Names and number of grant partners working under the cluster. |  |
| * 1. Names and number of new grant partners engaged during this reporting period. |  |
| * 1. Provide details of environmental and social due diligence/screening conducted on grant partners (i.e., names and number of grant partners). |  |
| * 1. Provide details of environmental and social due diligence/screening conducted on farm sites (i.e., number of plots and locations-town/ward/district/region/county) |  |
| * 1. Provide a summary list of key environmental and social risks identified on cluster activities over this reporting period. |  |
| * 1. Provide the list of E&S risk mitigation instruments prepared over this reporting period. |  |
| * 1. Confirm compliance with the project’s exclusion criteria. | * We can confirm compliance with the exclusion criteria * The following project activities were rejected due to conflict with the exclusion criteria: * Other relevant issues regarding the exclusion criteria: |
| 1. **Compliance with information disclosure, stakeholder engagement, and grievance management procedures** | |
| * 1. Does the cluster have a stakeholder engagement plan and grievance mechanism that conforms to World Bank Standards? | Yes /No - If yes, please describe? |
| **summary of stakeholder engagements and consultations** |  |
| * 1. Number of stakeholder engagements and consultations held. |  |
| * 1. Summary of purpose. |  |
| * 1. Number of males, females consulted and/or engaged. | Males Females |
| * 1. Type and number of vulnerable groups (e.g., women, persons with disability, pastoralist, elderly, youth, marginalized groups) consulted and included in the project activities. |  |
| * 1. Summary of how stakeholders’ views from consultations were considered in the project implementation. |  |
| * 1. Are there emerging stakeholder engagement activities that were not captured in the Cluster Stakeholder Engagement? kindly provide a summary |  |
| * 1. State any difficulty and/or constraints faced with the implementation of the stakeholder engagement plan and grievance mechanism. |  |
| **Summary of stakeholders’ grievance management** |  |
| * 1. Number of open cases at the beginning of the reporting period. |  |
| * 1. Number of new grievances received during the period. |  |
| * 1. Number of grievances resolved during the reporting period. |  |
| * 1. Provide a summary of issues raised in the complaints or grievances and explain how they were resolved. |  |
| * 1. Average response time from the lodging of complaint to the agreement on solutions with complainant. |  |
| * 1. Average response time from the lodging of complaint to the implementation of the agreed solution. |  |
| * 1. Number of SEA/SH complaints received. |  |
| * 1. Number of SEA/SH complaints referred to Gender Based Violence (GBV) service providers |  |
| * 1. Number of SEA/SH cases resolved in a timely, safe and adequate manner |  |
| * 1. Number of open SEA/SH complaints at the end of the reporting period. |  |
| * 1. Number of false claims |  |
| 3.20 Number of grievances referred to formal court system. |  |
| **Summary of Information Request** | |
| 3.21 Number of new information request received during the period. |  |
| 3.22 Number of information request responded to during the reporting period. |  |
| 3.23 A summary of information requests received and responses provided. |  |
| 3.24 Average response time from the lodging of request to transmission of response. |  |
| 1. **Compliance with labor and working conditions, occupational health and safety requirements** | |
| * 1. Does the cluster have Labor Management Procedures (LMP) that conforms to World Bank Standards? | Yes /No - If yes, please describe? |
| **Sensitization and compliance with the LMP** |  |
| * 1. Number of sensitizations held for partners and workers on cluster labor management procedures. |  |
| * 1. Number of workers, males and females sensitized on LMP. |  |
| * 1. Number of new staff and consultants engaged on AICCRA over the reporting period. |  |
| * 1. Number of new staff and consultants with signed code of conduct. |  |
| **Summary of accidents and incidents** |  |
| * 1. Number of accidents and incidents recorded. |  |
| * 1. Summary of the nature and category of accidents and incidents recorded. |  |
| * 1. Number of accidents and incidents escalated to the World Bank. |  |
| **Summary of workers' grievance management** |  |
| * 1. Number of grievances received during the period. |  |
| * 1. Number of grievances resolved during the reporting period. |  |
| * 1. Number of open cases at the end of the reporting period. |  |
| * 1. Provide a summary of issues raised in the complaints or grievances and explain how they were resolved |  |
| * 1. Number of SEA/SH complaints received |  |
| * 1. Number of SEA/SH complaints referred to Gender Based Violence (GBV) service providers? |  |
| * 1. Number of SEA/SH cases resolved in a timely, safe and adequate manner |  |
| * 1. Number of open SEA/SH complaints at the end of the reporting period |  |
| * 1. Have there been any strikes or other collective disputes related to labor and working conditions at the Institution in the reporting period? | Yes ❑  No ❑ |
| * 1. Have there been any court cases related to labor issues during the reporting period? | Yes ❑  No ❑ |
| * 1. State the difficulty and/or constraints faced with implementation of the labor management procedures. |  |
| 1. **Compliance with Environmental and Social Management Plan (ESMP)** | |  |
| * 1. Does the cluster have Environmental and Social Management Plan (ESMP) to guide risk mitigation on CSA demonstration plots? | If yes, kindly provide details? |  |
| * 1. Have there being any sensitization for project workers on risk mitigation measures contained in the ESMP? | If yes, kindly provide details? |  |
| * 1. Have there been any changes to the ESMP during this reporting period due to identification of new E&S risk or scale up of CSA pilot demonstrations? | If yes, kindly provide details? |  |
| * 1. State any difficulties and/or constraints relating to the implementation of the ESMP. |  |  |
| 1. **Compliance with Pest Management Plan (PMP)** | |  |
| * 1. Does the cluster have a pest management plan (including procedures for handling, using, storing and disposing of pest products)? | If yes, kindly provide details? |  |
| * 1. Have there being any sensitization for project workers on pest management plan? | If yes, kindly provide details? |  |
| * 1. Have there being any accidents/safety issues relating to the use of pesticides since the last reporting period? | If yes, kindly provide details? |  |
| * 1. State any difficulties and/or constraints related to the implementation of the PMP. |  |  |
| 1. **Monitoring of Grant recipients’ activities** | |
| * 1. Describe how the institution’s environmental and social performance is monitored (e.g., site visit by ABC/sub-grant recipients and/or Bank staff; inspection by environmental/health authorities; copies of updated or renewed permits, third party monitoring, other (please specify). |  |
| * 1. Does the institution receive visits by local environment and labor regulatory authorities to monitor their environmental and social performance? | * Most receive visits at least once per year * Most receive no visits * Some receive visits, but not frequently |
| * 1. Give details of any project activity cancellations/rejected or issues/incidents due to environmental, health, safety, labor or other social grounds |  |