

<u>Log frame</u>– Year 2014 – 2015

Theme 1. Adaptation to Progressive Climate Change

| PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | | |
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| Objective 1.1 Analyze and design processes to support adaptation of farming systems in the face of future uncertainties of climate in space and time | | | | | |
| are adapted towards predicted condition orivate sector in at least 20 countries | s of climate change promote | d and communicated by the ke | y development and funding agencies | | |
| on technologies adapted to climate change | conditions in time and space | through design of tools for imp | roving crops, livestock, agronomic and | | |
| Field evaluation of germplasm for specific traits; collection efforts for land races in analogue sites. | Final report and peer reviewed article | Willing uptake of tools and guidelines; sufficiently accurate predictions of future water storage deficits and needs | Bioversity, NBPGR, India | | |
| Technologies developed and made publicly available. Positive feedback and increased demand of new technologies by the clientele. Field validation and assessment including criteria for assessing their social and gender implications during field visits by different stakeholders made as a part of 2015 visits. | website; documentation for annual reporting | Willingness and interest of local partners in nominating candidate technologies and managing the trials at pilot sites | CGIAR centers in collaboration with other themes in the MP, NARES, ARIs, CIRAD, NGOs, national governments, Farmers' organizations | | |
| Methods developed and made publically available online and through downloadable scripts. Full documentation available. Regional reorts on analogues results published in CCAFS reports. Dissemination of results in targeted workshop at national level. | CCAFS website, documentation for annual reporting. | Analogue method successfully developed and deemed a useful approach by stakeholders. | University of Oxford, University of Greenwich, ICRISAT, CIAT, ICRAF, NARES, Intl NGOs. | | |
| | proport adaptation of farming systems are adapted towards predicted condition private sector in at least 20 countries on technologies adapted to climate change on technologies adapted to climate change field evaluation of germplasm for specific traits; collection efforts for land races in analogue sites. Technologies developed and made publicly available. Positive feedback and increased demand of new technologies by the clientele. Field validation and assessment including criteria for assessing their social and gender implications during field visits by different stakeholders made as a part of 2015 visits. Methods developed and made publically available online and through downloadable scripts. Full documentation available. Regional reorts on analogues results published in CCAFS reports. Dissemination of results in targeted workshop at | proport adaptation of farming systems in the face of future of are adapted towards predicted conditions of climate change promote private sector in at least 20 countries In technologies adapted to climate change conditions in time and space on technologies adapted to climate change conditions in time and space on technologies adapted to climate change conditions in time and space on technologies adapted to climate change conditions in time and space on technologies adapted to climate change conditions in time and space on technologies developed and made publicly available. Positive feedback and increased demand of new technologies by the clientele. Field validation and assessment including criteria for assessing their social and gender implications during field visits by different stakeholders made as a part of 2015 visits. Methods developed and made publically available online and through downloadable scripts. Full documentation available. Regional reorts on analogues results published in CCAFS reports. Dissemination of results in targeted workshop at | propert adaptation of farming systems in the face of future uncertainties of climate in are adapted towards predicted conditions of climate change promoted and communicated by the ker private sector in at least 20 countries on technologies adapted to climate change conditions in time and space through design of tools for import and peer reviewed article accurate predictions of future water storage deficits and needs. Technologies developed and made publicly available. Positive feedback and increased demand of new technologies by the clientele. Field validation and assessment including criteria for assessing their social and gender implications during field visits by different stakeholders made as a part of 2015 visits. Methods developed and made publically available online and through downloadable scripts. Full documentation available. Regional reorts on analogues results published in CCAFS reports. Dissemination of results in targeted workshop at | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| Milestone 1.1.2 2014 (1). Researchers and development agents trained on socially and gender-sensitive strategies for the conservation and use of local biodiversity within the climate change context. | Trainings held engaging at least 20 male and female R&D agents representing at least 5 organizations from 3 countries (Nepal, Bolivia and India) | Training participant lists; documentation for annual reporting | Availability of sound climate projections to 2030 and beyond | MS Swaminathan Research Foundation, India; Local Initiative for Biodiversity, Research and Development (LI-BIRD), Nepal; PROINPA, Bolivia; Semongok Agriculture Research Centre (ARC), Sarawak Malaysia |
| Milestone 1.1.2 2014 (2). Gender-sensitive and socially differentiated strategies developed for conservation and use of local biodiversity within the climate change context; findings presented in journal article and policy brief. | Policy brief completed and disseminated; journal article published | CCAFS website; Journal publisher's website | | MS Swaminathan Research Foundation, India; Local Initiative for Biodiversity, Research and Development (LI-BIRD), Nepal; Semongok Agriculture Research Centre (ARC), Sarawak Malaysia; PROINPA, Bolivia |
| Output 1.1.3 New knowledge, guidelines and access to germp for socially marginal groups. | olasm are provided for using genetic and sp | pecies diversity to enhance ad | aptation, productivity and resilie | ence to changing climate with benefits |
| Milestone 1.1.3 2014 (1). Accessions identified with potential adaptive traits for climate change adaptation for at least 5 crops using innovative methods and prioritized on the basis of traits with potential benefits for the poor and women users. Methodology to select genebank material adapted to local current climate conditions and future climate shifts developed and tested and crop suitability atlases for priority crops (as defined by fraction of total production accounted for) produced; findings presented in reports and journal articles | Reports completed and disseminated. Journal articles published. Lists produced (e.g., adapted local varieties conserved in genebanks; newly and already collected domesticated and wild germplasm adapted to climate change noting their potential for pro- poor and gender-responsive benefits). Methodology developed and made publicly available | CCAFS website; journal publishers' websites | Adaptation traits easily identifiable and availability of sufficient data. Good Georeferenced data for accessions are available. Exchange of germplasm supported by participating countries. Local seed providers ready to participate and collaborate with the project. Policy framework in place for sharing of information. Sufficient cross-site similarity for transfer of lessons, germplasm and tools. | CIAT; Institute of Biodiversity and Conservation, Ethiopia; National Agricultural Research Institute, Papua new Guinea (PNG); Institut d'Economie Rurale, Mali; Indian Council of Agricultural Research, India; Millennium Seed Bank, UK; Botanic Garden Conservation International (BGCI), UK; members of the Musa Taxonomy Advisory Group; University of Philippines Los Banos (UPLB), Philippines; KULeuven, Belgium; CIALCA partners; Semongok Agriculture Research Centre (ARC), Sarawak Malaysia; PROINPA, Bolivia |



| of crop, livestock, fish diversity to climate change adaptation carried out, findings summarized in reports, case study narratives, including assessment of their importance to marginalized farmers and women. Milestone 1.1.3 2014 (2). Methods and tools for participatory, gender-responsive monitoring of deployment of biodiversity and knowledge by communities for climate change adaptation tested out in at least 5 countries (including gender-disaggregated community surveys); findings synthesized in report Milestone 1.1.3 2015 (2). Germplasm information on potential for climate change adaptation integrated in global information in global information sugmented with georef erenced passport data and trait information useful to the diversity analysis for climate change impacts and adaptation feffectively linked to global systems, (2) important trait information accessible in global systems, including GENEYSYS, and Crop Trait ontology augmented with traits of interest to Climate Change, (3) complementary data sources on wild species identified through GBIF, (4) training materials, (5) list of and information on newly and already collected germplasm (domesticated and wild) adapted to climate change: Materials of interest safely duplicated in Global Climate change; and aready collected germplasm (domesticated and wild) adapted to climate change; on and gender-responsive | MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| participatory, gender-responsive monitoring of deployment of biodiversity and knowledge by communities for climate change adaptation tested out in at least 5 countries (including gender-disaggregated community surveys); findings synthesized in report Milestone 1.1.3 2015 (2). Germplasm information on potential for climate change adaptation integrated in global information systems and accessible online. (1) Databases of priority collections augmented with georef erenced passport data and trait information useful to the diversity analysis for climate change impacts and adaptation effectively linked to global systems, including effectively linked to global systems, including efficiency in formation on protection of the property of the partners of the partner | of crop, livestock, fish diversity to climate change adaptation carried out; findings summarized in reports, case study narratives, including assessment of their | • | CCAFS website | | Conservation, Ethiopia; International Livestock Research |
| potential for climate change adaptation integrated in global information systems and accessible online. (1) Databases of priority collections augmented with georef erenced passport data and trait information useful to the adaptation effectively linked to global systems, including GENEYSYS, and Crop Trait ontology augmented with traits of interest to Climate Change, (3) scomplementary data sources on wild species identified through GBIF, (4) training materials, (5) list of and information on newly and already collected germplasm (domesticated and wild) adapted to climate change; Materials of interest safely duplicated in Global Collection and made available. Milestone 1.1.3 2015 (3). Case studies documented of potential role of informal seed systems for pro-poor and gender-responsive application. | participatory, gender-responsive monitoring of deployment of biodiversity and knowledge by communities for climate change adaptation tested out in at least 5 countries (including gender-disaggregated community | and disseminated. Methods and tools | CCAFS website | | Conservation, Ethiopia; National Agricultural Research Institute, PNG; Institut d'Economie Rurale, Mali; Indian Council of Agricultural Research, India; LI-BIRD, Nepal; MS Swaminathan Research Foundation, |
| potential role of informal seed systems for pro-poor and | potential for climate change adaptation integrated in global information systems and accessible online. (1) Databases of priority collections augmented with georef erenced passport data and trait information useful to the diversity analysis for climate change impacts and adaptation effectively linked to global systems, (2) important trait information accessible in global systems, including GENEYSYS, and Crop Trait ontology augmented with traits of interest to Climate Change , (3) complementary data sources on wild species identified through GBIF, (4) training materials, (5) list of and information on newly and already collected germplasm (domesticated and wild) adapted to climate change; Materials of interest safely duplicated in Global Collection and made available. | data, training materials, lists developed and made publicly available. (2) Accession level information with quality geo references; (3) Data on duplication to global collection and important trait information published in GENESYS;(4) Complementary data sources on wild species identified through GBIF; (5) training materials. List of and information on newly and already collected germplasm (domesticated and wild) adapted to climate change noting any potential for use in propoor and gender-responsive adaptation strategies | technical reports, Genebank catalogues; | | CGIAR genebanks; EURISCO partners; PGR networks; the International Treaty on Plant Genetic resources for Food and Agriculture (ITPGRFA), Italy; United States Department of Agriculture (USDA), USA; Global Diversity Information Facility (GBIF), Denmark; BioGeomancer Research consortium; Sud Experts Plantes members (IRD/AIRD), France; Botanic Garden Conservation International (BGCI), UK; Generation Challenge Programme, Mexico; International Musa Testing |
| | potential role of informal seed systems for pro-poor and | Case studies; | | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | |
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| Objective 1.2 Develop breeding strategies for addressing abiotic and biotic stresses induced by future climatic conditions, variability and extremes, including novel climates | | | | | |
| Outcome 1.2: Strategies for addressing abiotic and biotic s international research agencies who engage with CCAFS, and | , | | including novel climates mains | treamed among the majority of the | |
| Output 1.2.1 Understanding and evaluating the response of combination of modeling, expert consultation and stakeholders. | · | nge in time and space, and g | enerating comprehensive strate | gies for crop improvement through a | |
| Milestone 1.2.1 2014. Set of "virtual crops" designed and assessed for their efficacy in addressing the likely future conditions in terms of the economic, social, and cultural benefits expected; findings presented in summary report and journal article. Engagement of ARI modelling groups (e.g. Leeds University), NARES scientists. | Report completed and disseminated; journal article published | CCAFS website; Journal publishers' websites | Robust climate projections (i.e. uncertainty does not dominate) and sufficient data on abiotic and biotic interactions with climate | CG Centers, ARI modelling groups (e.g. Leeds University), NARES scientists | |
| Milestone 1.2.1 2015 (1). Detailed crop-by-crop strategies and plans of action for crop improvement developed, incorporating portfolio of national, regional and global priorities including those priorities relevant for pro-poor and gender —responsive targeting; findings presented in summary report. | Report completed and disseminated Including advice on targeting crop improvement strategies to benefit women and the poor | CCAFS website | Robust climate projections (i.e. uncertainty does not dominate) and sufficient data on abiotic and biotic interactions with climate | CG Centers, ARI modelling groups (e.g. Leeds University), NARES scientists | |
| Milestone 1.2.1 2015 (2). Set of breeding strategies identified and widely shared with partners including funding bodies, national and international organizations, universities and other actors; findings presented in summary report and policy briefs (including percentage of total food crop production (in recent history) accounted for by set of breeding strategies). | Report and policy briefs completed and disseminated and downloaded 200 times from web portal | CCAFS website | Willingness of crop breeding institutions to adjust priorities based on priority setting results, and donor coordination in funding of future breeding programs. | Crop-breeding institutes (CG Centers, ARIs, ANRES), regional decision-making and priority-setting bodies (ASARECA, FARA, WECARD, SAARC), donors, national governments | |



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| Milestone 1.2.1 2015 (3). Climate change impact on key global commodities (major Musa groups,) and selected pest and diseases modeled and reviewed by commodity network country partners and possible response strategies identified. | Base model available and adapted to specific commodities; findings verified by stakeholders | web site, scientific articles, electronic tools with dynamic user interface | | MUSALAC, BARNESA, BAPNET (including participating countries by region: LAC -Costa Rica, Brazil, Colombia, Panama; ESA - Uganda, Rwanda, Kenya; WCA Ghana, Nigeria, Cote d'Ivoire, Cameroon; APO - India, China, Taiwan, Australia, Indonesia); CIRAD; IITA; CIAT; University of Western Australia; Queensland Department of Primary Industries, Australia; CacaoNet, COGENT (including participating countries by region: LAC - Costa Rica, Brazil, Trinidad, Mexico; SSA - Cote d'Ivoire, Ghana, Nigeria, Cameroon, Tanzania; APO - India, Sri Lanka, Indonesia, Philippines, Malaysia), CATIE; South Pacific Commission (SPC), Fiji, United States Department of Agriculture (USDA), USA; University of Queensland, Australia; Reading University, UK; World Cocoa Foundation; APCC |
| Output 1.2.2 Breeding strategies disseminated to key national | al agencies and research partners | | | |
| Milestone 1.2.2 2015 (1). High-level meetings held with key stakeholders resulting in mainstreaming of new breeding strategies that include attention to men's and women's crops in work plans and existing breeding programs | Meetings held engaging minimum 30 individuals representing breeding institutions, key regional decision-making and priority setting bodies; breeding strategies adopted by existing breeding programs | CCAFS website; documentation for annual reporting; Publications and reports of existing breeding programs | Willingness of crop breeding institutions to participate in the program; inclusion of women's and men's crops in the program | Crop-breeding institutes (CG Centers, ARIs, NARES), GCP, regional decision-making and priority setting bodies (ASARECA, FARA, WECARD, SAARC), donors, national governments |



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| Milestone 1.2.2 2015 (2). Global, regional and national policy briefs produced to guide best-value investments in climate-proofed crop breeding initiatives with promise for pro-poor and gender-responsive impact and policy briefing meeting organized in 3 target regions | Policy briefs completed and disseminated across global, regional and national levels | CCAFS website | Willingness of crop breeding institutions to adjust priorities based on priority setting results; ex-ante socially and genderdisaggregated impact studies available | Crop-breeding institutes (CG Centers, ARIs, NARES), regional decision-making and priority-setting bodies (ASARECA, FARA, WECARD, SAARC), donors, national governments |
| Objective 1.3 Integrate adaptation strategies for | agricultural and food systems into | policy and institutiona | frameworks | |
| Outcome 1.3: Improved adaptation policies from local to int 20 countries. | ernational level supporting farming com | munities, rural institutions an | d food system actors adapted t | o future climate conditions in at least |
| Output 1.3.1 Improved institutional arrangements and social | ally differentiated adaptation planning ap | pproaches at the local level to | enable farming system adapta | tion |
| Milestone 1.3.1 2014 (1). Socially and gender- disaggregated participatory methods tested for grounding climate change model results to community-level decision making processes that address food security issues | Methods tested and disseminated | CCAFS website | Cross-site and cross-continent applicability. | CIAT, Oxfam, CRS, Learning Alliance, Sustainable Food Lab, SAI, university of Oxford |
| Milestone 1.3.1 2015. Roles of gender and different social groups in adaptation strategies for climate change analyzed in target countries and highlighted through fact sheets, project briefs and technical articles. Approaches, methods and outcomes of supportive interventions promoted through collaborative projects and shared with the broader stakeholder community through relevant meetings, conferences and journal articles | Summary report completed and disseminated; journal articles published | CCAFS website; Journal publisher's website | | MS Swaminathan Research Foundation, India; Local Initiative for Biodiversity, Research and Development (LI-BIRD), Nepal; PROINPA, Bolivia; Institute of Biodiversity and Conservation, Ethiopia; National Agricultural Research Institute, PNG; Institut d'Economie Rurale, Mali; Indian Council of Agricultural Research, India |
| Milestone 1.3.1 2014 (2). Community-based holistic adaptation options trialed in at least three sites, in order to understand the social (including gender), cultural, economic and institutional barriers to effective adaptation; outcomes presented in summary report. Output 1.3.2 Public and private sector policies and strategies | 3 trials implemented; summary report completed and disseminated | CCAFS website; documentation for annual reporting | Ability to generalize from local-level participatory analyses | CGIAR centers, local NGOs, local government |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| Milestone 1.3.2 2014. Sector specific adaptation strategies and plans produced based on socially and gender-differentiated adaptation options using cost/benefit analysis in at least 5 countries, results shared with key policy makers in target countries. | Infobriefs on adaptation strategies for 5 countries published, CSA prioritization tools using cost/benefit analysis published and available to stakeholders. | CCAFS website | Socially and gender- differentiated data available for cost/benefit analysis | WorldBank, ICRAF, CIAT, FAO, Ministry of Agriculture and Rural Development (Colombia), Ministry of Agriculture (Nicaragua) |
| Milestone 1.3.2 2015 (1). Synthesized lessons learned published in policy briefs and synthesis reports and papers on methods and approaches for prioritizing pro-poor and gender-responsive adaptation options within national adaptation plans. | | | | |
| Milestone 1.3.2 2015 (2). Policy recommendations provided to national agencies, policy makers and key actors in the agricultural sector on how to target strategies to enable equitable access to breeding materials and strategies by different social groups (e.g. pastoralists, fishers, urban farmers) and by women and men. | Report completed and disseminated at 3 major international meetings; Report and policy briefs downloaded 200 times from web portal | CCAFS website; indigenous knowledge survey | | |
| Output 1.3.3 Policies to enable access to and use of genetic | resources for climate change adaptation re | esearch, and diffusion of adap | ted germplasm | |
| Milestone 1.3.3 2014. Technical contributions to international processes support the development of international policies enabling access to and use of genetic resources in climate change research and adaptation strategies; | Background Papers, policy briefs, Journal article and book published Policy paper developed on strategies for creating an enabling policy environment in support of self- sustainable monitoring of diversity and use of agricultural biodiversity (including impact on role of participatory, gender-responsive monitoring of livelihood and conservation strategies in target | CCAFS website; Journal and book publishers' websites | | CGIAR Centers; representatives of regional groups attending intergovernmental fora, secretariats of relevant international agreements. MS Swaminathan Research Foundation, India; Local Initiative for Biodiversity, Research and Development (LI-BIRD), Nepal; PROINPA, Bolivia; Institute of Biodiversity and Conservation, Ethiopia; National Agricultural Research Institute, PNG; Institut d'Economie Rurale, Mali; Indian |
| | countries) : Policy paper completed and disseminated | | | Council of Agricultural Research, India |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| Milestone 1.3.3 2015. Analysis of institutions and policies that impact on the flow of adapted materials through seed systems; National strategies developed to implement the International Treaty's Multilateral system on Access and Benefit-Sharing in 4 countries; Policy options produced at national, provincial and community levels and evaluated for their potential positive or adverse effects on socially marginal groups, especially women to improve existing policies, local management and seed systems to facilitate diffusion and uptake of adapted germplasm. | Case studies, analysis, national strategies and policy options developed and disseminated | CCAFS website | | EMBRAPA, Brazil; Kenyan Agricultural Research Institute (KARI), Kenya; University of Malaya, Malaysia; Instituto Nacional de Investigaciones Agricola (INIA), Peru; MS Swaminathan Research Foundation, India; Local Initiative for Biodiversity, Research and Development (LI-BIRD), Nepal; PROINPA, Bolivia; Institute of Biodiversity and Conservation, Ethiopia; National Agricultural Research Institute, PNG; Institut d'Economie Rurale, Mali; Indian Council of Agricultural Research, India |



Theme 2. Adaptation through Managing Climate Risk

| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| Objective 2.1 Identify and test innovations that | enable rural communities to bette | r manage climate-relate | d risk and build more resili | ent livelihoods |
| Outcome 2.1: Systematic technical and policy support stre livelihood resilience in at least 20 countries | ngthened for farm- to community-level ag | ricultural risk management st | rategies and actions that buffer a | against climate shocks and enhanc |
| Output 2.1.1 Synthesized knowledge and evidence on inno | vative risk management strategies that fost | er resilient rural livelihoods an | d sustain a food secure environm | ent |
| Milestone 2.1.1 2014. Synthesized knowledge incorporated into climate risk management good practice guidelines that addresses social and gender equity, and communicated to development and policy stakeholders in 4 locations. Analyses of climate-related vulnerabilities of 3 keta agricultural commodities and/or systems incorporated into strategic planning and policy dialog. | and relevant stakeholders engaged (CIMMYT, ICARDA, ICRAF, WorldFish), and engagement verified by relevant stakeholders; Policy briefs, or reports of policy and planning dialogs, informed by analyses of climate-related vulnerabilities (AfricaRice, IRRI, IWMI). | CCAFS Website and degree of co-branding of CCAFS initiatives broadly across CRPs and external partners. | Access to relevant work across CG Centers and targeted NARES. Partners willing to share findings. Value addition to other research groups and practitioners recognized. 2015 milestone assumes good lessons come from sufficient cases in 2013. | CIMMYT, ICARDA, ICRA WorldFish, AfricaRice, IRRI, IWM regional (e.g. ECOWAS, IGAD WA, AIC, ICAR in SA) and nation policy decision makers (CNEDI Mali, CONEDD-BF, CSE-Senega ANE-Mali in WA) |
| Milestone 2.1.1 2015. Lessons from CCAFS research on risi management innovations, and impacts across socially differentiated groups and gender, synthesized communicated widely, and incorporated into strategiplanning and policy dialog. | briefs, outlined in report and disseminated; Reports of engagement | CCAFS Website | | CIMMYT, ICARDA, ICRA WorldFish, AfricaRice, IRRI, IWM regional (e.g. ECOWAS, IGAD WA, AIC, ICAR in SA) and nation policy decision makers (CNEDI Mali, CONEDD-BF, CSE-Senegon |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| | | | 2015 milestone of a useful targeting and evaluation tool or approach is dependent on tools standing up to scrutiny | |
| Milestone 2.1.2 2014. Methodology for designing and targeting comprehensive and equitable agricultural risk management strategies implemented, documented and applied at 4 locations; One model-based climate risk management decision support tool prototype developed. | Ex-ante evaluation of risk management strategies reported in 4 locations (ILRI, CIMMYT); Decision support tool prototype for diversification documented (Bioversity). | CCAFS Website | | AfricaRice, CIMMYT, CIP, IFPRI, ILRI, WorldFish, CSIRO, NARES |
| Milestone 2.1.2 2015. Capacity to apply household, and intra-household modelling to target and evaluate risk management innovations, enhanced through curriculum and training. Use of model-based decision support tools for local climate risk management demonstrated in 4 countries. | Curriculum and two training events in each CCAFS region. | CCAFS Website and Training Event Reports | | AfricaRice, CIMMYT, CIP, IFPRI, ILRI, WorldFish, CSIRO, NARES |
| Output 2.1.3 Development; and demonstration of the feasibi | lity, acceptability and impacts; of innovati | ve risk management strategie: | s and actions for socially-different | tiated rural communities, |
| Milestone 2.1.3 2014. Results, evidence and lessons, from participatory, gender-sensitive evaluation of impacts of promising risk management interventions (production technologies, production systems, institutional services, policy interventions) on rural communities documented at shared with relevant stakeholders at 6 locations; Potential up-scaling mechanisms and partners identified and engaged in 3 locations. | Reports completed for 6 locations (CIMMYT, CIP, ICRAF, ILRI, WorldFish); Engagement verified by partners. | CCAFS Website | | CIMMYT, CIP, ICRAF, ILRI, WorldFish, local pilot project partners (NARES, development NGOs, farmer associations, other research partners) |
| Milestone 2.1.3 2015. Development and policy stakeholders engaged to apply lessons and evidence from participatory action research, and explore opportunities to scale up risk management interventions (production technologies, production systems, institutional services, policy interventions) with potential to equitably enhance resilience of rural livelihoods. | Findings and engagement verified by relevant stakeholders. | CCAFS Website and Web- based knowledge platform | Proposed conference will do more than focus on the milestone results in this Output. To attract a broader set of high level players other issues in risk management from other parts of the Theme will also be included. | ILRI, CIMMYT, ICARDA, ICRAF, ICRISAT, WorldFish, and Pilot demonstration project teams (NMS, NARS, other research partners, development NGOs, farmer associations) developed for each benchmark location |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | | |
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| Objective 2.2 Identify and test tools and strategies to use advance information to better manage climate risk through food delivery, trade and crisis response | | | | | | |
| Outcome 2.2: Better climate-informed management by key international, regional and national agencies of food crisis management, and food trade and delivery in at least 12 countries | | | | | | |
| | | | | | | |
| Output 2.2.1 Enhanced knowledge, tools and evidence to supfluctuations | port improved management of the food s | system (e.g., food delivery, tra | de, crisis response, post-crisis re | ecovery) in the face of climate | | |
| Milestone 2.2.1 2014. Enhanced food system interventions or information systems for responding to climate shocks tested in four countries; Integration of new climate-related information or decision support tools into national food security decision-making processes. | Reports evaluating new tools or interventions, and potential for upscaling in cooperation with strategic partners, in 4 countries. | CCAFS Website | Capable food security and trade organizations available to participate. Adequate market, climate and | IRRI, CIMMYT, GEOSAS, WFP, FAO, UNDP, Strategic Asia, FEWSNET, relevant line ministries (e.g. MoA, MoE), other relevant regional and national food system and food security response organizations | | |
| Milestone 2.2.1 2015. Up-scaling and mainstreaming results of research and evaluation related to constraints and opportunities posed by climate variability on food systems at national, regional, and global scales, including food delivery, trade, crisis response, post-crisis recovery, and social protection and their implications for different population segments including women and the poor. | Improved food security information, response strategies or policies in 6 countries reported. | CCAFS Website | livelihood data are available. Appropriate capacity for assessing social and gender impacts deployed | IRRI, CIMMYT, GEOSAS, WFP, FAO, UNDP, Strategic Asia, FEWSNET, relevant line ministries (e.g. MoA, MoE), other relevant regional and national food system and food security response organizations | | |
| Objective 2.3 Support risk management through | enhanced prediction of climate in | npacts on agriculture, ar | nd enhanced climate infor | mation and services | | |
| Outcome 2.3 Enhanced uptake and use of improved climate information products and services, and of information about agricultural production and biological threats, by resource-poor farmers, particularly vulnerable groups and women, in at least 12 countries Output 2.3.1 Improved, value-added climate information products, knowledge, tools, methods; and platforms for monitoring and predicting impacts of climate fluctuations on agricultural production and biological threats; to support management of agricultural and food security risk | | | | | | |
| | · | | Review will identify suitable | | | |
| | | | opportunities to enhance | | | |
| | | | early warning and | | | |
| | | | management of strategic | | | |
| | | | climate-sensitive biological | | | |
| | | | threats. NMS and regional | | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
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| Milestone 2.3.1 2014. Crop and rangeland production forecasting platform, documentation and training materials developed and disseminated; Accuracy of crop forecasting methods assessed and reported; Crop and rangeland forecasting capacity developed in 6 countries or regional institutions; Tools developed and institutional capacity enhanced to downscale seasonal forecasts for local agricultural decision-making in 2 countries or regional institutions. | Report and journal paper on crop forecasting accuracy; Crop forecasting development activities in 3 regions; Training of decision makers on the use of downscaled seasonal forecast information in 2 regions. | CCAFS Website | climate centers participate and share data Availability of data. Effective collaboration with food security early warning organizations. Uptake by key food security, trade and index insurance users. | CIMMYT, ICRISAT, AGRHYMET, ACMAD, CEREGE, ANAMS (Senegal), IRI, AgMIP, BARC, NARC, ICAR, FAO, JRC, MP3-RTB, ICIPE |
| Milestone 2.3.1 2015. Improved, downscaled seasonal forecast products, tailored to agricultural and food security decision-making, operational in 2 countries or regional institutions; Improved crop forecasting methodology operational in 2 countries or regional institutions. | Seasonal forecasting, crop/rangeland forecasting, and/or biological threat early warning systems operational in 2 regions; Evaluation of forecasting systems reported. | CCAFS Website and co- branded systems housed at national or regional bodies | Demonstrated feasibility of forecasting strategically important biological threats | CIMMYT, ICRISAT, AGRHYMET, ACMAD, CEREGE, ANAMS (Senegal), IRI, AgMIP, BARC, NARC, ICAR, FAO, JRC, MP3-RTB, ICIPE |
| Output 2.3.2 Synthesized knowledge and evidence on institute reach marginalized farmers and women | tional arrangements and communication p | processes for enhancing climat | te services for agriculture and fo | od security, including services that |
| Teach marginalized furnicis and women | | | Capable and willing national and regional climate information providers and communication intermediaries | |
| Milestone 2.3.2 2014. Curriculum developed on designing and communicating salient climate information with rural communities, including overcoming gender and social inequities; Capacity of communication intermediaries enhanced at 4 locations; Demonstration and evaluation of gender- and socially-equitable climate service delivery for rural communities at 4 locations; Roadmaps developed for strengthening climate services for agriculture and food security in 2 regions; | Demonstration and evaluation reported with 4 countries or regional organizations; Curriculum on equitable climate services published; Training held in 2 regions; Regional stakeholder consultations for climate service roadmaps reported; | CCAFS Website | | CIMMYT, ICRISAT, IWMI, ACMAD, AGRHYMET, ICPAC, national partners (NMS, NARES), USAID, WMO, Climate Services Partnership, IRI |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|---|---|-----------------------|-------------|--|
| Milestone 2.3.2 2015. Demonstration and evaluation of gender- and socially-equitable climate service delivery at 3 additional locations; Roadmaps developed for improving climate information services for agriculture and food security in 2 additional regions; Up-scaling of improved climate information services demonstrated in 2 countries | services in 3 additional regions reported; Regional stakeholder consultations for climate service roadmaps reported; Up-scaling of | | | CIMMYT, ICRISAT, IWMI, ACMAD, AGRHYMET, national partners (NMS, NARES), WMO, World Vision, Climate Services Partnership, IRI |
| or regions. | food security reported. | | | |



Theme 3. Pro-Poor Climate Change Mitigation

| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | |
|--|---|-----------------------|--|----------|--|
| Objective 3.1 Inform decision imakers about the in | mpacts of alternative agricultural | development pathways | | | |
| Outcome 3.1: Enhanced knowledge and tools about agricultural development pathways that lead to better decisions for climate mitigation, poverty alleviation, food security, and environmental health, used by national agencies in at least 20 countries | | | | | |
| Output 3.1.1 Analysis of agricultural development pathways a | nd trade-offs | | | | |
| Milestone 3.1.1 2014. Comparative analysis of mitigation trade-offs for agricultural development pathways across 3-6 countries (IFPRI, CIAT, ICRAF, T3). Linked to CRP6 and CRP5. | Analysis of results for low emissions development, adaptation and sustainable intensification pathways. Comparative analysis of adaptation-mitigation trade-offs, with additional case from India; research priorities shared in reports, workshops (IFPRI); reports on low emissions development strategies for Bangladesh, Colombia, and Zambia (IFPRI with CIAT); modelling framework for ag intensification (LAM, WA) (IFPRI). Technical mitigation potential and trade-offs analysis of fruit tree, improved agroforestry, and silvopastoral systems in Colombia (contributing to NAMA), El Salvador, and Nicaragua; carbon footprinting of oil palm and fruit production in Colombia for international standards; report on trade-offs analysis and ecoefficiency metrics for agricultural intensification in East Africa (CIAT). Analysis and policy briefs on low-carbon, pro-growth agriculture in China & Mongolia; analysis of trade-offs in agricultural landscapes of Tanzania (ICRAF). Analysis of the impacts of smallholder mitigation on climate | CCAFS website | Development trajectories are realistic, data is available, policy maker will and capacity to pursue alternate pathways | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|---|--------------------------------|---|--|
| | targets, mitigation priorities (T3-IIASA). | | | |
| | | | | |
| | | | | |
| Milestone 3.1.1 2015. Policy engagement on synthesis of findings on low emissions agricultural development pathways (CIAT, IFPRI). | 1 | CCAFS website | Development trajectories are realistic, data is available, policy maker will and capacity to pursue alternate pathways; This work will link with adaptation work in other themes to ensure political capita is maintained with key stakeholders who mostly see adaptation as the priority, not mitigation | Burkina Faso and Guinea; Ministry of |
| Outrot 2.1.2 Exhaused to alle date and evalution association | biocarbon development (ICRAF). | | : | January Antique |
| Output 3.1.2 Enhanced tools, data, and analytic capacity in re Milestone 3.1.2 2014 Capacity building of decision makers and national stakeholders in use of appropriate tools, data, and knowledge (ILRI, T3). | i i | ganizations to analyse mitigat | ion sectors and agricultural deve | U. Aberdeen, Prolinnova, Global Research Alliance, WOCAN, U Virginia |
| Milestone 3.1.2 2015. Emissions factors and mitigation potentials for key categories, with a focus on intensification. | Emissions factors produced from protocol tests in EA and WA (ILRI). | | Variation in sector can be adequately captured. | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|---|--------------------------------|----------------------------------|--|
| Objective 3.2 Identify institutional arrangements and incentives that enable smallholder farmers and common-pool resource users to reduce GHGs and improve livelihoods | | | | |
| Outcome 3.2: Improved knowledge about incentives and ins policy makers in at least 10 countries | titutional arrangements for mitigation pr | actices by resource-poor sma | llholders (including farmers' or | ganizations), project developers, and |
| Output 3.2.1 Evidence, analysis, and trials to support institution | onal designs, policy, and finance that will | deliver benefits to poor farme | rs and women, and reduce GHG | emissions |
| Milestone 3.2.1 2014 (1). Analysis of economic incentives | For conservation agriculture in rice- | CCAFS website; Journal | See Milestone 3.2.1 2013 (1) | SIMLESA Project (Africa) CSISA |
| and benefits for mitigation practices, including analysis of | wheat systems in SA; sustainable land | publisher's website | | project (SA-Asia), IITA, ICARDA, ICAR, |
| social and gender differentiation. Linked to Milestone 3.3.1 | management in maize-legume systems | | | EIAR, KARI, UMB-USA, UMB-Norway, |
| (2013-2015). (CIMMYT, IFPRI, IITA, T3) | and pastoral systems in EA, and cocoa | | | IFPRI and University of Michigan, |
| | and coffee in WA and EA. Report on | | | Makarere University, local research |
| | economic incentives from adoption of | | | partners in benchmark site countries, |
| | integrated practices, gender | | | ClimateFocus |
| | differentiated (CA, SLM, EA, and SA- | | | |
| | India) (CIMMYT and IFPRI). Report on | | | |
| | carbon costs across scales and | | | |
| | collaboration with cocoa sector on | | | |
| | REDD+ in WA (IITA). Distribution of | | | |
| | climate finance (T3). | | | |
| Milestone 3.2.1 2014 (2). Testing of institutional | Report on institutional interventions | | See Milestone 3.2.1 2013 (2) | Vi, CARE, World Vision, EcoTrust, |
| arrangements for carbon finance and markets and | for landscape governance in Indonesia | | | EcoAgriculture Partners, U Michigan; |
| mitigation standards (T3, ILRI, ICRAF, IITA, CIAT) Linked to | and Brazil; report and policy briefs on | | | M of Agriculture, Kenya; Unique |
| CRP6.4. | carbon project design; NAMA MRV | | | Forestry |
| | options for Kenya (T3). Analysis of | | | |
| | mitigation opportunities in | | | |
| | coffee/cocoa product chain and input | | | |
| | into Product Category Rules (IITA with | | | |
| | CIAT). Feasibility analysis for fruit tree | | | |
| | and silvopastoral systems to participate | | | |
| | in carbon markets (Colombia) (CIAT). | | | |
| | Feasibility of carbon credit mechanism | | | |
| | for dairy (Kenya) (ILRI). Analysis of | | | |
| | institutions and finance for biocarbon | | | |
| | projects (WA, SA) (ICRAF). Cost of | | | |
| | achieving 30% emissions reductions | | | |
| | (T3). | | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|---|--|---|---|
| incentives and policy instruments for stimulating adoption of low emissions agriculture in EA and SA (CIMMYT, IITA). | policies for adoption of CA to reduce carbon footprints in wheat-rice systems in SA and maize-legume in EA (CIMMYT). Policy recommendation for cocoa and coffee (IITA). | website. | See Milestone 3.2.1 2013 (1) | Ministries of agriculture and environment; climate secretariats |
| Output 3.2.2 Improved capacity to increase the uptake and in | <u>, '</u> | <u>, </u> | · | |
| Milestone 3.2.2 2014. Decision-makers in target regions better informed about policy options and gender implications for incentivizing smallholders for GHG emission intensity reductions (EA, LA, T3) | implementation of NAMAs in Colombia | | See Milestones 3.2.1 2013 (1) and 3.2.1 2013 (2) | Ministries of Agriculture (Kenya, Colombia) |
| Objective 3.3 Test and identify desirable on-farm | practices and their landscape-leve | el implications | | |
| poor farmers, particularly vulnerable groups and women Output 3.3.1 Analysis of mitigation biophysical and socioecon | | | | |
| Milestone 3.3.1 2014. Impact and trade-off analysis of farm management strategies, for C sequestration and nutrient management in rice-wheat and maize-legume systems (IGP, EA, Mexico) (CIMMYT); (ii) water and nutrient management and avoided straw burning in rice-based production systems (IRRI), (iii) coffee and cocoa agroforestry (IITA), (iv) pasture and coffee systems (CIAT, with IFPRI); (v) land use change, land rehabilitation, and peatland management under oil palm (CIFOR), (vii) wood energy and agroforestry, analysis of biomass for efficient pyrolysis liquid fuel production (ICRAF), low-input fruit production (CIAT); coffee and cocoa systems at landscape level (CIAT); and pasture, rangelands (ILRI). | · · | project reports; CCAFS website. | Mitigation options for smallholders must yield livelihood benefits. A range of mitigation options will be required to achieve mitigation at scale among smallholders. | NARIs in each region |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|---|---|-----------------------|---------------------------------|----------------------|
| | rice (IRRI). Participatory trials for C | | | |
| | footprint on coffee, cocoa, | | | |
| | quantification of changes in C stocks | | | |
| | and emissions from land use changes in | | | |
| | Uganda (IITA), report on trade-offs of | | | |
| | cocoa and coffee production in Africa | | | |
| | with link to Central America systems | | | |
| | (IITA with CIAT). Assessment of | | | |
| | emissions from maize-chickpea systems | | | |
| | (Global), participatory trials of CSA | | | |
| | practices in Kenya (ICRISAT with ILRI, | | | |
| | ICRAF, CIFOR). Reports and journal | | | |
| | articles on best practices for pyrolysis, | | | |
| | biochar woodfuels for bioenergy (EA) | | | |
| | (ICRAF). Sharing of options and journal | | | |
| | article for N ₂ O and C sequestration in | | | |
| | pasture, and reduced methane from | | | |
| | improved forage, assessed LA; tradeoff | | | |
| | analysis for mitigation, adaptation, and | | | |
| | livelihoods for multiple systems | | | |
| | (Global) (CIAT). 5 papers and 3 theses | | | |
| | submitted; experiment data collected | | | |
| | for GHG in oil palm (Indonesia), trials to | | | |
| | increase soil carbon stocks (EA, IGP) | | | |
| | (CIFOR). Data, models and tools for | | | |
| | emissions reductions in livestock sector | | | |
| | and synergies with animal health and | | | |
| | productivity (ILRI). | | | |
| Milestone 3.3.1 2015 (1). Impact analysis, integrated | | | | NARIs in each region |
| assessment and management recommendations for: CA at | _ | available. | smallholders must yield | |
| regional scale for range of agroecosystems (CIMMYT); major | scales (IGP, EA, Mexico) (CIMMYT). | | livelihood benefits. A range of | |
| crops of Subsaharan Africa; rice-based systems (IRRI), land | l · · | | mitigation options will be | |
| use change, land rehabilitation, and peat land management | CDM in rice growing regions, pilot | | required to achieve | |
| under oil palm (CIFOR); rangelands (ILRI); and wood energy | projects, women's involvement in MRV, | | mitigation at scale among | |
| and agroforestry (ICRAF). | CDM for straw burning, nutrient cycles | | smallholders. | |
| | and ecosystem functions modeled | | | |
| | (IRRI). Technological interventions for | | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|--|-------------------------------------|---|-------------------------------------|
| | mitigation, productivity, adaptation | | | |
| | (IITA). 8 papers, 2 theses, data analysis | | | |
| | (CIFOR). Best management practices | | | |
| | identified for food security, income and | | | |
| | sustainable intensification (ICRISAT). | | | |
| | Articles on best practices (ICRAF). Final | | | |
| | report on opportunities for C credits | | | |
| | from rangelands (ILRI). | | | |
| Milestone 3.3.1 2015 (2). Learning among national agencies | Synthesis reports. 50 national agency | Learning event participant | Same as 3.3.1 2013 | TBC |
| to review farm-level mitigation options and their socially | ' ' | | | |
| differentiated impacts. Linked to 3.1.2 2014 (1) and (2). | (T3). Evaluation report on current | plans. CCAFS website. | | |
| | practices and incentives for low carbon | | | |
| | agriculture across scales - presented to | | | |
| | stakeholders to adapt technologies and | | | |
| | policies (IITA). N ₂ O and C sequestration | | | |
| | potential of forage systems, including | | | |
| | trees and shrubs, quantified; potentials | | | |
| | for reduced methane emissions | | | |
| | through improved forages defined; a | | | |
| | journal article published on application | | | |
| | of forage based mitigation options in | | | |
| | systems. Impact (including gender | | | |
| | analysis) of mitigation option through | | | |
| | improved forages; Tool for social, | | | |
| | environmental and economic trade-off | | | |
| | analysis for forage-based mitigation; | | | |
| | framework for adaptation, mitigation and livelihood trade-offs (CIAT). | | | |
| Output 3.3.2 Methods developed and validated for GHG mo | | l and level to contribute to com | nliance and voluntary market st | andards |
| Milestone 3.3.2 2014. Draft protocol and data for whole | | | | Colorado State University, T-AGG, |
| farm and landscape GHG emission quantification (ICRAF | | CCAI 3 WEDSILE | | Global Research Alliance, Karlsruhe |
| ILRI, IRRI, CIMMYT, CIAT, T3). Linked to Milestones 3.3. | | | application. Sufficient data | |
| 2013-15 and T4.2. | ICRAF, IRRI, CIMMYT, T3). Workshop on | | exists to validate simulation | |
| 2020 20 0110 1 1121 | data-light methods (T3). Data | | models. Cost effective | |
| | quantifying emissions from and | | measures and MRV are | |
| | potential for emissions reductions from | | possible. Uptake of | |
| | forage-based systems in LAM (CIAT). | | , | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|---|--|----------------------------|-------------|--|
| | Refinement of SHAMBA methodology for approval by Plan Vivo for smallholders (T3). | | guidelines. | |
| Milestone 3.3.2 2015. Protocol and data for quantification of whole farm and landscape GHG emissions among smallholders. (ICRAF, ILRI, IRRI, CIMMYT, CIAT) Linked to 3.3.1 2013-15. | | | | World Bank, CCBA, Rainforest Alliance, VCS, Unilever, Colorado State University, T-AGG, Global Research Alliance, Karlsruhe Institute of Technology, Maseno University; NARS in Kenya, Philippines, and Vietnam. |
| Output 3.3.3 Enhanced capacity for the use and development | | | | |
| Milestone 3.3.3 2014. Regional working groups test and refine monitoring and measurement methods (EA, SA, T3). | Field-testing of methods completed. Regional reports on methods development and testing in SA (SA with CIMMYT and IRRI). Information kiosk on manure management for EA. Expansion of network sites (ILRI). Training of 8 PhD students in quantification of GHG emissions from farms and landscapes (T3 with CIAT, ILRI, ICRAF,; SA with CIMMYT, IRRI). | Workshop participant lists | | CCAC, Global Research Alliance, NARS in Mali, Ghana, Kenya, Ethiopia, |
| Milestone 3.3.3 2015. Regional working groups build capacity in national entities. | 35 national agency personnel engaged in 1 training workshop per CCAFS region (EA, WA). 5 Student theses completed. | Workshop participant lists | • | Global Research Alliance, NARS in Mali, Ghana, Kenya, Ethiopia, Bangladesh, and Nepal. |



Theme 4. Integration for Decision Making

| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|--|---|---|---|
| Objective 4.1 Explore and jointly apply approach global levels | nes and methods that enhance kno | owledge to action linkag | ges with a wide range of p | partners at local, regional and |
| Outcome 4.1: Appropriate adaptation and mitigation strate EAC, South Asia) covering each of the target regions, and in | • | | e development plans of at least | five economic areas (e.g. ECOWAS, |
| Output 4.1.1 Future economic development scenarios taking decision-makers at national, regional and global levels and in Output 4.1.2 Evidence on, testing and communication of, suc | forming regional economic development | and national food security pla | ns and policies | · · |
| development-food security policies and decision making Milestone 4.1.2 2014. Trainings and action research implemented in all regions, with continued learning & evaluation of climate smart village approach; hundreds of women's and other groups trained in CSA practices across 5 regions; development of scaling up of PAR approaches and synthesis of insights widely disseminated into regional and national policy processes, with explicit recognition of social differentiation and gender. Partners monitoring indicators measuring uptake and benefits of CSA practices. | Workshop reports, farmer organization documents, partner reports, blogs, media stories, policy briefs, farmers and other stakeholders scaling up PAR approaches . EAFF report on use of climate info tools among EA farmers' Unions. | CCAFS, and partner organizations' websites | PAR approaches developed are appropriate and transferable to other sites, regions; Partners and knowledge users have sufficient incentives to engage and people trained remain in local institutions in each region. Sponsors and funds for global conference found | Local and national NARS, NGOs, govagencies and University partners, PROLINNOVA, CARE, IUCN, World Vision, World Neighbors, most CG centers and CRPs; EA, WA farmers' organizations (EAFF, ROPPA). Mediae, AFRIN |
| Milestone 4.1.2 2015. New investments by at least 2 int'l development partners in CSA programs in CCAFS tartge countries. Synthesis of knowledge on men and women farmers' adaptation strategies disseminated and fed into adaptation and mitigation strategies and national policies and economic development plans in at least 3 regions. Tens of thousands of farmers (male and female) adopting CSA practices and strategies in 5 regions. | Workshop and synthesis reports, journal article submitted, policy briefs disseminated; partner strategy and policy publications citing CCAFS | CCAFS and partners' websites; national policy documents | Strategy formulators and key national policy makers have been sufficiently involved through engagement and communication efforts, and access and use CCAFS- generated knowledge | Local and national NARS, NGOs, govagencies and University partners, PROLINNOVA, CARE, IUCN, World Vision, World Neighbors, most CG centers and CRPs; EA, WA farmers' organizations (EAFF, ROPPA). Mediae, AFRIN |
| Output 4.1.3 Analyses providing evidence of the benefits of, | strategies for, and enhanced regional capa | acity developed in, gender an | d pro-poor climate change resea | rch approaches that will increase th |

likelihood that CCAFS-related research will benefit women and other vulnerable as well as socially differentiated groups



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|--|-----------------------------|------------------------------------|--|
| Milestone 4.1.3 2014. Regional capacity in gender and | At least 2 international development | CCAFS and partner | Partners able to inform and | FAO, CARE, IFAD, IFPRI, IWMI, |
| climate change action research, and new qualitative and | agencies and several other CRPs using | websites, including gender | implement appropriate | ICRISAT, ILRI, CIAT, ICRAF, CGIAR |
| quantitative tools being implemented by partners in at | CCAFS gender tools to design and | Wiki site | CC/gender analyses across | Gender Program, national partner |
| least 3 regions, and partner institutions implementing more | target programs. Local researchers | | sites in all CCAFS regions; | institutions (universities, NARS, |
| gender and pro-poor targeted activities. | trained in gender approaches (qual and | | people trained remain in local | gov't), GAP network, GFAR, UN |
| | quant) implementing new research in | | institutions; partners fully | Women K Gateway for Women's |
| | all CCAFS regions. Gender indicators | | engaging in learning events | Economic Empowerment |
| | being tracked by partners working in | | and sharing information on K | |
| | CCAFS sites. Many partners using | | platforms. Work on gender | |
| | CCAFS gender wiki. | | will be linked across the | |
| | | | CCAFS themes to ensure | |
| | | | consistency of approaches | |
| | | | and comparability | |
| Milestone 4.1.3 2015. International conference sharing | International CCAFS-gender | Workshop report and | Partners able to inform and | FAO, CARE, IFAD, IFPRI, IWMI, |
| CCAFS-catalyzed evidence from 3 regions on gender | workshop/conference held (all themes, | media stories. CCAFS and | implement appropriate | ICRISAT, ILRI, CIAT, ICRAF, CGIAR |
| implications of climate smart agricultural options and | regions, most CG centers, several | partner websites, including | CC/gender analyses across | Gender Program, national partner |
| approaches. Capacity enhanced and gender and social | CRPs). 5 international development | gender Wiki site. | sites in all CCAFS regions; | institutions (universities, NARS, |
| differentiation research tools being scaled out by partners | agencies/CCAFS partners using CCAFS | | people trained remain in local | gov't), GAP network, GFAR, UN |
| in at least 20 countries and 5 regions. | gender tools and evidence to design | | institutions; partners fully | Women K Gateway for Women's |
| | and target equitable CSA-oriented | | engaging in learning events | Economic Empowerment |
| | programs and measure benefits to | | and sharing information on K | |
| | vulnerable groups. CCAFS report, | | platforms. Work on gender | |
| | journal article based on gender | | will be linked across the | |
| | evidence from implementation of | | CCAFS themes to ensure | |
| | CCAFS gender tools. Evidence of shifts | | consistency of approaches | |
| | in gender norms in some CCAFS sites in | | and comparability | |
| | media, blogs, CCAFS learning briefs. | | | |
| Output 4.1.4 Strengthening capacities to effectively engage i | | | ation strategies into national pol | icies, agricultural development plans, |
| and key regional and global processes related to agriculture a | | | | |
| Milestone 4.1.4 2014 (1). Support to regional and global | | | | CDKN, EAFF, ROPPA, IFFKO (regional |
| processes to clarify the ecological footprint of agriculture | citing CCAFS | CDKN, and partner | processes include agriculture | farmers' organizations), EAC, |
| and the ways it can be reduced, without compromising | | websites; policy | | ECOWAS, ASARECA, CORAF, INSAH, |
| poverty and equity objectives; and building the links to the | | documents and media | | government agencies in target |
| post Rio+20 process. Many and diverse sub-nat'l and nat'l | | articles | | regions/countries |
| partners are using CCAFS scenarios and related K in | | | | |
| adaptation and mitigation forward-planning exercises and | | | | |
| in engagement in global climate change and food security | | | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|---|--------------------------------------|-----------------------|-------------------------------|---------------------------------------|
| processes. | | | | |
| | | | | |
| | | | | |
| Milestone 4.1.4 2015. Network further expanded to help | Regional and global workshop reports | CCAFS website, AMKN, | Regional and global CC | Global Adaptation FUND, UNREDD, |
| inform national, regional and global players of the | citing CCAFS; CCAFS engagement, | CDKN, and partner | processes include agriculture | the World Bank, IPCC, |
| opportunities for a UNFCCC Agreement on Agriculture and | communication and learning | websites; policy | | UNFCCC/SBSTA, key bilateral donors |
| Climate Change, options developed for national policy | approaches synthesized and | documents and media | | developing adaptation and |
| processes | documented | articles | | mitigation strategies, large |
| | | | | international NGOs, key regional and |
| | | | | national actors, research for develop |
| | | | | agencies, national, regional, and |
| | | | | international planning agencies, |
| | | | | researchers on climate change |
| | | | | impacts on agriculture and natural |
| | | | | resource management |
| Objective 4.2 Assemble data and tools for analys | is and planning | | | |

Outcome 4.2 Improved frameworks, databases and methods for planning responses to climate change used by national agencies in at least 20 countries and by at least 10 key international and regional agencies

Output 4.2.1 Integrated assessment framework, toolkits and databases to assess climate change impacts on agricultural systems and their supporting natural resources REGIONAL SITE AND BASELINE CHARACTERIZATION Milestone 4.2.1 2014 (1). Regional site characterizations Baseline survey reports for two new Reports on CCAFS and Trained local partners are not Univ. of Reading Statistical Group, and baseline data analyses completed and cross-regional regions finalized, and reports made partner websites hampered by insecurity or regional partners TBD, CGIAR comparisons initiated including all five target regions at available. Synthesis CCAFS report and other crises/unforeseen | centers, CO three levels: household, village, and institution journal articles drafted, and M&E events and implement the implementation coordinated survey, regional partners engaged. Sites in new regions have been selected. Appropriate theme/regional input on characterization needs. CO M&E plan available Milestone 4.2.1 2015 (1). Midline surveys implemented in Midline surveys in three initial target | Reports on CCAFS website Second round of baseline Univ. of Reading, CGIAR centers, CO three initial target regions, revisiting the same sites as the regions implemented, and data analysis work (midline surveys) is first round and initial analyses across time developed, feasible and desirable in and M&E plan implementation coordinated specific sites in the CCAFS



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS |
|--|---|----------------------------|---|---------------------------------------|
| | | | regions | |
| | | | | |
| | | | | |
| | | | | |
| DOWNSCALED CLIMATE DATA | | | | |
| Milestone 4.2.1 2014 (2). Downscaled climate data and | Downscaled data resources further | Reports, journal articles, | Climate models can be | - |
| methods are being applied in CCAFS regions to help set | updated and refined. Quality | software, documentation; | evaluated appropriately on a | = - |
| priorities and evaluate national and local impacts of climate | assessment of different CMIP5 GCMs | revised datasets available | regional basis | University of Oxford, University of |
| change | and RCMs for CCAFS regions | on CCAFS climate data | | Cape Town, CIAT, INPE, CIP |
| | | portal | | |
| DATABASES AND DATABASE TOOLS | | | | |
| Milestone 4.2.1 2014 (3). Based on interaction with and | Modified databases and database tools | Meta-data documents. | Community of practice | University of Reading, Met Services, |
| feedback from users, databases and tools are being | | Dataverse and other CCAFS | continues to be strengthened | WMO, UK Met Office , Harvard, CIAT, |
| modified to enable stakeholders to assess impacts of | | portals, eport on use and | - | ICRAF, ILRI, CIMMYT, Hutton |
| climate change and evaluate options for strengthening the | | value of databases for | | Institute, AgMIP, CIP, IRRI |
| resilience of agricultural and food systems | | assessing impacts and | | |
| | | evaluating options | | |
| DECADAL/NEAR-TERM CLIMATE PRODUCTS | | | | |
| Milestone 4.2.1 2014 (4). Innovative decadal/near-term | Near-term climate data products and | Reports, papers, data | Climate science makes | , , , , , , , , |
| climate data products to improve near-term climate prediction investigated and developed | scenarios development available for application in selected CCAFS target | | progress to provide useful information of value for | or Leeds, TRI |
| prediction investigated and developed | regions | | decision making contexts | |
| ASSESSMENT TOOLKITS | regions | | accision making contexts | |
| Milestone 4.2.1 2014 (5). Assessment toolkits being refined | Assessment methods developed, links | Reports, journal articles; | Integrated assessment | IFPRI, ILRI, IIASA, PIK, AgMIP, IWMI, |
| and starting to be used in target regions; engagement with | with the regional scenario integrated | training modules | models can be meaningfully | ICRISAT, regional partners, FAO, |
| key users initiated to build capacity in use of tools and data | assessment models explored, with the | developed | | AfricaRice, IRRI, , CIFOR, WorldFish, |
| | view to do ex-ante impact assessment | | stakeholders remains high | Bioversity, ICRAF, CSIRO, UNEP, |
| | of adaptation, risk-management and | | | regional partner UCI. |
| | mitigation options, using a multi-scale | | | |
| | approach. Socioeconomic scenarios for Central America and Andean countries | | | |
| | (University for International | | | |
| | Cooperation-UCI and UNEP, LAM) | | | |
| Milestone 4.2.1 2015 (5). Assessment toolkitsutilized in | , , | | | |
| target regions by national decision-makers and others; | | | | |
| engagement with key users to build capacity in use of tools | | | | |



| | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | | |
|--|--|--|--|--|--|--|
| and data | | | | | | |
| Output 4.2.2 Socially-differentiated decision aids and information developed and communicated for different stakeholders | | | | | | |
| Milestone 4.2.2 2014. Selected approaches to information provision for making decisions evaluated at different scales in selected sites and countries in target regions that engage socially- and gender differentiated target groups as key stakeholders in the process Milestone 4.2.2 2015. Collation and dissemination of information and tools for prioritizing adaptation and mitigation actions at national/sub-national scales that engage socially- and gender-differentiated target groups in | social learning approaches in pilot communities in selected sites. Detailed scoping on national planning portal development, and implementation initiated if appropriate Use of information and tools monitored and results of pilot testing shared | briefs; community of practice on social learning is active, local social learning platforms inform outcome-oriented research CCAFS and partner | are useful to enhance the performance of outcome- oriented agricultural research for development Continued strong demand for information and tools among | Prolinnova, University of Reading IDRC/CARIAA, CSIRO/ACIAR National and sub-national governmental partners, NARS, IDS | | |
| target regions | | | | | | |
| target regions Objective 4.3 Refine frameworks for policy analy Outcome 4.3 New knowledge on how alternate policy and p in at least 20 countries and by at least 10 key international a | program options impact agriculture and f | ood security under climate ch | nange incorporated into strategy | y development by national agencies | | |
| Objective 4.3 Refine frameworks for policy analy Outcome 4.3 New knowledge on how alternate policy and p | program options impact agriculture and found regional agencies. regional levels on agricultural systems (so | cially and gender differentiate | ed producers and consumers, an | d their natural resources), | | |



| MILESTONES (OUTPUT TARGETS) | PERFORMANCE INDICATOR | MEANS OF VERIFICATION | ASSUMPTIONS | PARTNERS | | | |
|--|------------------------------------|-----------------------------|--|--------------------------|--|--|--|
| Milestone 4.3.2 2014. National and regional studies complementary to the global technology policy study | Reports completed and disseminated | CCAFS and partner websites | Reviewers accept reports; authors can be identified for studies in later years; tool development in Output 4.3.1. | FANRPAN, ASARECA, CORAF, | | | |
| Output 4.3.3. Capacity built at CGIAR, NARS, and international organizations to perform global and regional analyses of the effects of policy changes using tools developed in output 4.3.1. | | | | | | | |
| Milestone 4.3.3 2014. Activities held at NARS, and international organizations to build capacity to utilize the modeling tools developed under milestone 4.3.1 2013. | | policies developed by local | resources are available in CGIAR, NARS to build local | organizations. | | | |